Summary

In 'Alpine hillslope failure in the western US: Insights from the Chaos Canyon landslide, Rocky Mountain National Park USA', authors Matthew Morriss, Benjamin Lehmann, Benjamin Campforts, George Brencher, Brianna Rick, Leif Anderson, Alexander Handwerger, Irina Overeem, and Jeffrey Moore offer a comprehensive look at a large and rapid bedrock slope failure in the Rocky Mountains. The authors use a host of tools to better understand the morphology, kinematics, history, and triggering of the Chaos Canyon Landslide (CCLS), and argue that such events, which represent a potentially substantial and underappreciated hazard, may only become more common in the coming years. This manuscript offers a sort of blueprint for how to conduct reconnaissance studies of similar bedrock landslides occurring in alpine environments. However, despite all the methods thrown at this slide, the authors still struggled to outline why the CCLS failed catastrophically on June 28th, 2022. Some of this uncertainty lies in the inherent complexity of large, creeping bedrock landslides, where the morphology and stress fields change from season to season. Moreover, some of the methods used in this paper proved more useful than others. The authors present a fantastic structure-from-motion model and accompanying morphology assessment, including precise volume estimates. The optical image-correlation based displacement history offers tremendous insight to precatastrophic-failure landslide behavior, and the careful historical analysis of climate and snowmelt shed light on the possible triggering mechanisms, and on how anomalous spring, 2022 was compared to past years. However, the Insar analysis and slope stability model did not seem to add much to the overall understanding of the CCLS. It was unfortunate that the Insar analysis, which could have been quite useful, suffered from unwrapping issues. I go into more detail in the following 'main points' regarding the slope stability modeling, but Slide2 is probably not the appropriate tool to study this type of slope failure, nor does the experimental setup of adding water to a slope with FS~1 broaden our understanding of the triggering mechanics. Finally, as I expand on in the following comments, the manuscript would benefit from a more targeted and evidencebased discussion of how the CCLS fits into the broader realm of cryosphere-related geohazards. Despite these critiques, this manuscript offers an important look at a landslide which may have broad implications for future geohazards. I recommend publication in Earth Surface Dynamics after addressing the following main points and line-by-line edits in the attached commented pdf.

Sincerely,

Dr. Sean Lahusen

We would like to thank Dr. Lahusen for the time he took to review our manuscript. We also would like to thank the Editor for ensuring that we have a strong review process to help our paper be the best possible story. We have taken Dr Lahusen's suggestions into account and detail below our response to his broader comments. We also attach a longer PDF tracking changes, line by line, in response to Dr. Lahusen's specific feedback.

Best Regards,

Matthew Morriss & Ben Lehmann

Reviewer main points

1. The manuscript would benefit from more specificity and cited evidence when describing the nature of the hazard that the CCLS represents, how it fits into the global and regional records of glacier and permafrost ice loss, and in what ways we can meaningfully extrapolate that hazard to the rest of the continental U.S.

While I don't expect the authors to be able to definitively assign the cause of the CCLS, I struggled to come away from the manuscript with a better understanding of the most likely primary underlying cause - permafrost degradation, unusually high snowmelt rates, or more inherent changes to the landslide morphology and shear surface degradation? All the above? Was anything exceptional about June 28th, 2022? We can assume that many other fluctuations in pore-water pressure in past years had initiated or accelerated temporary pulses of CCLS deformation, so we know the slope was not in a stable configuration to begin with. And yet, these pulses of movement did not lead to catastrophic collapse in the past. A pertinent question seems to be: what was different on June 28th? If you could prove the hydrologic conditions were historically elevated compared to past summers, this could be a convincing argument. However, it seems like the snowmelt totals where actually on the lower end compared to the last 5 years (Figure 6B). Another hypothesis the authors discuss is that the shear zone was in fact not fully developed, but had been developing over many years, and finally reached a critically weak state where an unexceptional increase in pore-water pressure was now sufficient to trigger catastrophic collapse. This seems like a reasonable hypothesis. Similarly, perhaps the landslide, which was perched on a steep slope, simply crept itself into a new, inherently unstable geometry on the slope where catastrophic failure was possible. *Subtle geometric* changes between the slide mass and the underlying slope can have a pronounced effect on landslide stability.

We appreciate Dr. Lahusen's thoughts on the potential causes of the sudden collapse of the Chaos Canyon landslide. We've opted to modify our text in favor of Dr. Lahusen's comments: that potentially a decrease in permafrost sets the stage for an unexceptional increase in pore-water pressure combined with weakening of the shear plane and a continually less-stable landscape position for the slide lead to the June 28th failure. While we cannot discern exactly which of the several triggers may be most responsible for the failure, we have changed our text to represent these hypotheses.

If permafrost degradation is indeed the primary conditioning mechanism for this catastrophic collapse, then to argue that these types of events are likely to become more

common across the continental U.S. also requires some discussion of similar terrain in the continental U.S. How widespread is permafrost at sub-arctic latitudes? Obu et al. (2019) discusses this some, while also citing the earliest study of permafrost in the Rockies that I could find (lves and Fahey, 1971):

'All permafrost zones occur in the Rocky Mountains of the USA with sporadic permafrost in Colorado at elevations above 3200 m asl and discontinuous permafrost above 3500 m asl, results which agree with observations by Ives and Fahey (1971). Isolated permafrost patches are modelled in the highest peaks of the Sierra Nevada in the southwestern USA, which corresponds well with the presence of active rock glaciers in the area (Liu et al., 2013)'

Thank you for this comment. We've added these reference and a specific reference to the elevations where permafrost may be found in Colorado and the SW US.

A major point of this paper is that events like the CCLS are only going to become more common. You mention a transformational period in alpine landscapes over the last few decades and cite 'changes to the ice glaciers of the world' – but what changes are we talking about, specifically - and what rates? This message comes off as overly-vague. Replacing some of this vague language with targeted evidence would be much more compelling. The global cryosphere has undergone enormous changes in the last 115kya, including numerous glacial advances and retreats in the last 20kya. Globally, most glaciers have been receding since the end of the LIA in the middle 19th century. Undoubtedly, some of the presently-observed glacial retreat rates, permafrost degradation, and increases in alpine rock avalanche activity are due to anthropogenic climate change over the last century. However, if you want to argue the last few decades are transformational, I would reference some of the following work: Marzeion et al. (2014) show that only 25% of global glacial mass loss from 1851-2010 was due to anthropogenic causes – but this percentage nearly tripled to 69% for the period of 1991-2010. Hugonnet et al. (2021) show a dramatic acceleration in global glacial ice mass loss and thinning from 2000-2019. Furthermore, Christian et al. (2018) suggest that much of the effect of the last few decades of warming has already been baked into mountain glaciers in the form of 'committed retreat', which will result in continued dramatic glacial terminus retreat, even in the absence of additional warming. Cite more specific evidence and rates of this transformational period of change over the last few decade, because the idea of a transformational period strongly suggests we have left a long period of glacial and general cryosphere stability. If so, when was this period of stability – the end of the LGM? Following retreat from the Younger Dryas? The LIA (where many glaciers in saw substantial advances and subsequent retreats)? Another way I would think about this from a geomorphological perspective is: when was the last time a landscape experienced ice-free conditions?

We thank the reviewer for this comment. We have specifically added text and appropriate references to the introduction and discussion to reflect this comment. Citing the increased rate of glacial retreat brought to our attention by the reviewer and going further, looking into alpine

rockfall rate inventories (Ravanel and Deline 2011; Deline et al. 2021). These references build a narrative of accelerating destabilization in alpine regions, with many of the changes driven by warming of the past few decades yet to be seen (e.g.. Christian et al., 2018). There are comparably fewer papers on the rate of change within the Coterminous United States, which is aa key argument of our paper, so many of the additional references and examples are from the Alps. We also added a caveat that little is known about the alpine landscape's stability in the RMNP region beyond the fact that there was a small Little Ice Age glacial advance (Benson et al., 2007).

- Benson, L., Madole, R., Kubik, P., and McDonald, R.: Surface-exposure ages of Front Range moraines that may have formed during the Younger Dryas, 8.2calka, and Little Ice Age events, Quaternary Science Reviews, 26, 1638–1649, https://doi.org/10.1016/j.quascirev.2007.02.015, 2007.
- Deline, P., Gruber, S., Amann, F., Bodin, X., Delaloye, R., Failletaz, J., Fischer, L., Geertsema, M., Giardino, M., Hasler, A., Kirkbride, M., Krautblatter, M., Magnin, F., McColl, S., Ravanel, L., Schoeneich, P., and Weber, S.: Ice loss from glaciers and permafrost and related slope instability in high-mountain regions, in: Snow and Ice-Related Hazards, Risks, and Disasters, Elsevier, 501–540, https://doi.org/10.1016/B978-0-12-817129-5.00015-9, 2021.
- Ravanel, L. and Deline, P.: Climate influence on rockfalls in high-Alpine steep rockwalls: The north side of the Aiguilles de Chamonix (Mont Blanc massif) since the end of the 'Little Ice Age,' The Holocene, 21, 357–365, https://doi.org/10.1177/0959683610374887, 2011.

Finally, perhaps it's not appropriate to lump all cryosphere processes together – mountain glaciers like those that carved chaos canyon are inherently dynamic systems. Permafrost – maybe less so. How does this dynamic glacial history compare with the record of permafrost-underlain landscapes over the same timescale? Where are the changes in the last few decades most pronounced (especially in the lower 48, as this seems to be a main point of the paper)?

Thank you for this comment. We have been challenged to find equivalent studies of permafrost instability in the Lower-48 that we can point to showing rapid changes within the past several decades. Again, with so many publications coming from the Alps, our permafrost modeling, and our climate analysis show such changes are underway or perhaps will soon be underway due to the "baked in" behavior described previously. We have added one reference, O'Connor and Costa (1993), which is one of the few published works we could locate describing the hazards posed by alpine regions from a warming climate with mention of the lower-48. The dearth of papers on such changes in permafrost in the lower-48 and their associated hazards is compounded by the fact that the lower latitude and lower elevation regions that have sporadic or discontinuous permafrost will see the most rapid changes (Patton et al., 2019; Slater and Lawrence, 2013). But perhaps the lack of such literature is not a sign that the phenomena does not exist. We continue to frame our discussion and introduction that there is evidence in the Alps, Alaska, and the Canadian Rockies of increasing instability, but it remains to be seen if the lower-48 will see large scale and rapid changes. The evidence we present appears to indicate that

the Chaos Canyon landslide is an example of this type of instability, perhaps one of the earlier landslides recorded in the lower-48 that appears linked to climate change.

- Patton, A. I., Rathburn, S. L., and Capps, D. M.: Landslide response to climate change in permafrost regions, Geomorphology, 340, 116–128, https://doi.org/10.1016/j.geomorph.2019.04.029, 2019.
- O'Connor, J. E. and Costa, J. E.: Geologic and hydrologic hazards in glacierized basins in North America resulting from 19th and 20th century global warming, Nat Hazards, 8, 121–140, https://doi.org/10.1007/BF00605437, 1993.
- Slater, A. G. and Lawrence, D. M.: Diagnosing Present and Future Permafrost from Climate Models, Journal of Climate, 26, 5608–5623, https://doi.org/10.1175/JCLI-D-12-00341.1, 2013.

1. Mohr-Coloumb limit equilibrium slope stability models like Slide2 seem insufficient to model a creeping, accelerating rock mass

Landslides like the CCLS, which have been creeping intermittently for years with total displacement >10 meters, probably have pronounced strain-rate dependent behavior. Ideally, a slope stability model could account for (or at least approximate) this strain rate dependency, in order to capture the interaction between some of the following landslide characteristics:

- 1. Rate-hardening or rate-weakening frictional properties of the shear zone material
- 2. Material dilatancy vs contraction of the shear zone material
- 3. Evolution of drained vs. undrained conditions along the shear zone
- 4. Changing landslide geometry (>10 meters of displacement since the landslide initiation)
- 5. External factors influencing pore-water pressure, like snowmelt

Of all these important factors, Slide2, which is typically used to model the failure of intact rock slopes, can only model the last. Of course, no model can totally capture the intricacies of a natural slope, and even if it could, ascertaining these physical properties for the CCLS may prove impossible given the lack of instrumentation prior to failure. Still, in my opinion, *Slide2 is too much of an oversimplification for this very complex slope failure, and is not the appropriate tool for modeling a creeping, rate-dependent bedrock landslide - especially if interstitial ice was involved.* GLE models like Slide2 typically predict a binary outcome: total stability (no slope deformation whatsoever) or catastrophic failure. This CCLS does not exemplify this use case - in all likelihood the CCLS was creeping right before it collapsed. I can see how the authors tried to replicate this condition in Slide2 by

using a realistic, empirically-derived geometry and tuning the model parameters such that the FS was just barely greater than 1.0, representing a slope on the verge of collapse.

However, a slope with a FS~1 in Slide2 does not represent an intermittently creeping landslide – it represents an intact bedrock slope that has experienced no displacement. *Most problematically, the addition of pore water pressure (in the form of any amount of additional water perched above the slip surface) will invariably push a modeled slope with a calculated FS of ~1.0 into a state of instability. I don't understand what value such an analysis adds to our understanding of the CCLS. If the authors could somehow prove the slip surface as the modeled snowpack melt volume (although this would bring up the question of why previous years with higher snow melt rates did not trigger the catastrophic collapse). To be clear, after reading your manuscript I am convinced that elevated porewater pressure, likely from snowmelt, played an important role in triggering the June 28^{\text{th}} collapse, but Slide2 is an inappropriate tool to study this type of landslide and does not reinforce an otherwise compelling assertion.*

We would like to thank the reviewer for his detailed response and suggested revisions to this section of our text. The reviewer is correct in laying out several landslide characteristics there were not tested nor evaluated in our manuscript; however, this landslide provided very little opportunity to construct the models that the reviewer describes. Our manuscript is built on simple empirical observations and models that incorporate those observations. We have very little data about the pre-failure landslide. Moreover, to build the model that is recommended, evaluating rate-hardening/weakening and evaluating dilatancy/contraction would require more physical parameters than we currently have available about the state of the landslide pre-collapse, or as the reviewer put it so well: "ascertaining these physical properties for the CCLS may prove impossible given the lack of instrumentation prior to failure."

Our approach with modeling is to explore the potentials of the landslide system, as opposed to trying to build a complex representation of the system. That is not to say either approach is more correct, but for the information we have readily available (i.e. the slide geometry in 2017 and its post-collapse geometry) a simpler model is an easier to understand entry point for the discussion of the collapse of the Chaos Canyon landslide. We would welcome and potentially collaborate on future modeling efforts to achieve the more holistic representation of the system described by the reviewer. This would likely be a research project and manuscript on its own right. However, an investigator seeking to answer these questions with a more complex model will continue to be confounded by the lack of available physical parameters pre-collapse; perhaps there are other landslides that are more data rich and provide greater opportunity to learn about the complex systems at work.

Including the Slide2 analysis provides an opportunity to highlight the sensitivity of this system to increases in pore fluid pressures an important discussion point for our manuscript. We prefer to keep this analysis in the manuscript as it is. To add more details would require more physical parameters we do not currently have access to nor ability to estimate in a robust manner. To do so would detract from the goal of keeping our methods rooted closely to our empirical observations.

1. The paper suggests tackling climate-driven alpine slope stability hazards on a national scale, but offers no new related data or analyses outside of the Chaos Canyon Landslide

I think the scope of this paper in its current form is totally sufficient and represents a fantastic addition to our understanding of an important landslide - potentially a harbinger of future, similar events. Unfortunately, the authors also suggest they intend to examine similar processes at the scale of the continental U.S. The author's stated goals for this study are to: 1.'Develop the tools necessary to analyze those events' and 2. 'Understand the stability of similar alpine slopes within a warming climate regime by characterizing the relation between permafrost, topographic and climate forcings, and slope instabilities.' (lines 46-48). The manuscript title is also suggestive of this broader national-level analysis. While it is totally reasonable and very intriguing to speculate about extrapolating the implications of this single landslide to a national scale, this study does not offer any new analyses at that scale. Original data and analyses in this paper are confined to the CCLS. So, *while such speculation is an important element of the paper's discussion that should remain, it does seem like the paper promises but then does not deliver on new analyses or data pertinent to the broader hazard. I suggest subtle rewording throughout the manuscript to reflect this.*

We appreciate the reviewers comment on this point and have adjusted both our title and the introduction of our manuscript to match this feedback. While the discussion remains broad, the introduction now focuses more on the Chaos Canyon Landslide as a case study from which we can test techniques and learn about alpine landform stability, with the hope that these suite of tools, ideas, and models can be used elsewhere. It is our hope that these comments are in lines with the reviewer's comments and make the story we are trying to tell overall more consistent.

References Cited

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Obu, J., Westermann, S., Bartsch, A., Berdnikov, N., Christiansen, H. H., Dashtseren, A., ... & Zou, D. (2019). Northern Hemisphere permafrost map based on TTOP modelling for 2000–2016 at 1 km2 scale. Earth-Science Reviews, 193, 299-316.

Hugonnet, R., McNabb, R., Berthier, E., Menounos, B., Nuth, C., Girod, L., ... & Kääb, A. (2021). Accelerated global glacier mass loss in the early twenty-first century. *Nature*, *592*(7856), 726-731.

Zemp, M., Huss, M., Thibert, E., Eckert, N., McNabb, R., Huber, J., Barandun, M., Machguth, H., Nussbaumer, S.U., Gärtner-Roer, I. and Thomson, L., 2019. Global glacier mass changes and their contributions to sea-level rise from 1961 to 2016. *Nature*, *568*(7752), pp.382-386.

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Marzeion, B., Cogley, J.G., Richter, K. and Parkes, D., 2014. Attribution of global glacier mass loss to anthropogenic and natural causes. *Science*, *345*(6199), pp.919-921.

Line by Line Response to Reviewer 2

Line 23: These questions should be consistent with those outlined in section 1.3

We have changed the listened investigation items to match section 1.3

Line 28: I would add a transition sentence here, something along the lines of: 'Despite the lack of observed climate-driven landslides in the continental U.S., these slope failures pose a potentially high risk to the ever-increasing number of people who spend time in alpine environments.'

We have added a transition sentence, per the reviewer's recommendations

Line 35: What does 'glacier instability' mean? Serac collapse? Ice avalanches from hanging glaciers/icefalls? Full translation sliding failures driven by basal pore-water increases like those documented by Kaab et al. (2018)? It could also be interpreted to mean glacier down-wasting and terminus retreat (i.e. the position and mass of glacial ice is unstable over time). So, I'd clarify. If this term is well-known in the community, disregard this comment.

We have further defined 'glacier instability' and actually remove that phrase from the manuscript. Instead specifying that we mean glacier retreat or changes in glacier mass globally.

Line 41: I'm a bit confused by this timescale. Glacial conditioning of alpine landscapes in the cont. US has been occurring for far more than 10,000 years - cyclically throughout much of the Pleistocene, correct? If this is in reference to the amount of time a landscape has experienced 'ice-free' conditions since glacial retreat from the last maximum, wouldn't it be more like 15-20 ka for much of the PNW?

The manuscript now specifies that we are talking about landscape evolution combining long timescale (10s to 100s of ka) in addition to recent climate change. This should stratify the reviewers comment.

Line 46: what events? Assuming alpine bedrock landslides but it's not stated

We specify now in the text we mean high elevation, mid-latitude landslide here.

Line 45: Very nice figure

Thank you!

Line 45: I would state that the imagery predates the catastrophic failure of the CC LS

We have changed the caption to say so

Line 50: A general comment: throughout the manuscript, I would find a way to be more clear about differentiating the June 28th rapid failure vs. the existing landslide that had been deforming for years.

Yes, we recognize that this is a bit confusing. We've done our best to tighten up the language and make it more clear the difference between the pre-existing landslide landform and the rapid failure on June 28^{th} .

Line 54: What deposit? This is the first we have heard of this. Assuming not the landslide deposit...? Could be as easy as changing 'The deposit' to "A deposit'?

Fixed

Line 127: This term sounds self-explanatory, but a brief description would still be useful.

We've added one!

Line 210: as someone not familiar with data processing for InSAR, I would appreciate a citation referencing this issue

References added!

Line 232: I almost wonder if it's worth moving this figure entirely to the supplemental materials (or at least panels B-D). It's unfortunate that the InSAR did not provide more useful insight, but it doesn't seem worth having a figure in the main text that essentially shows the technique wasn't very useful in this particular application.

We've kept this InSAR figure within the main text but modified it to be a 2 row, 2 column figure for efficiency of space.

Line 237: Add scale to panel c. Also, just a suggestion, not totally necessary, but I would change the tick mark division values to be less random, i.e. 1600,3200,4800 instead of 1638,3276,4914. Feel free to ignore if this is difficult to do.

This figure was created using pixels as the coordinate system, so we aren't totally able to change the frame coordinates easily, but we did add a scale to the first panel and a reference to the pixel scaling in the caption. *Line 257:* True, but compared to the past 5 years, it was average or below-average. Figure 6b indicates that 2018,2020, and 2021 had reached greater snowmelt by June 28th than 2022. This seems to stand at odds with any argument that June 28th, 2022 was exceptional

We've changed the text to indicate that yes 2022 was an unexceptional year. Hopefully this is more clear to the reader now.

Line 276: should be degree symbol?

Fixed

Line 278: Cool model and very clear, readable figure

Thanks!

Line 282: should this be meters?

Fixed

Line 290: I'm not convinced this analysis does prove that. It simply proves that Slide2D works as advertised - if you reduce the effective normal stress by ANY amount in a modeled slope, of course the FS will be reduced by some amount. If this exercise is done for a slope with a modeled FS barely above 1.0, it will be reduced to below 1.0. This analysis unfortunately does not inform the relative sensitivity of the slope to pore-water pressure perturbations. See an extended discussion in the 'Main Reviewer Points' section of my review.

We have responded to this comment in the Main reviewer points attached above.

293: cite

Fixed!

299: I think this is an important point that deserves some discussion. Why do the authors think no similar events have occurred in the lower 48? Is the CCLS exceptional or have we only recently surpassed some threshold related to warming of alpine environments? Or some other reason?

We have expanded on this comment from the reviewer and added another section to the discussion postulating on why there are fewer to no observed or discussed high elevation landslides in the lower 48 related to climate change at this point.

Line 313: Glad to see a discussion of this phenomenon here. The same observed, accelerating landslide behavior could also be caused by shear zone development, regardless of whether the material itself is rate-weakening or rate-hardening on an particle scale.

Thanks! We appreciate the positive feedback..

Line 326: would this technically make it a rock glacier?

Potentially... Although the deposit lacks many of the typical morphological characteristics common to a rock glacier. We discussed this at some length as a group and opted to call the deposit/landform a landslide rather than a rock glacier.

Line 336: I'm a little confused here. The active layer was only modeled to be ~2 meters deep in a total thickness of 15 m of permafrost. Having trouble reconciling how this relatively shallow active layer influenced flowpaths to such depths as the slip surface.

We have tightened our language a bit. The general idea is that if there's a consistent permafrost horizon across the landslide then snowmelt will not be able to penetrate to the failure surface; however, if that permafrost becomes discontinuous it may be possible for new flowpaths to open and melt can now penetrate the landform to the failure surface. There is also the potential for fissures to open due to the sliding of the landslide.

Line 360: It seems like a major point you're trying to make in this paper is that events like the CCLS are only going to become more common. But, this message comes off as overly-vague. See reviewer main points for more details on this.

We have addressed this review in the main comments section of the reviewer comments higher up.

Line 373: Given the troubles with the InSAR analysis, any advice for future studies? *We recommend that insar be used in concert with pixel tracking and tried to make that clear in our language.*

Line 375: See main reviewer points on this - but I'd like to know how common such locations are in the lower 48

We have responded to this comment in the main reviewer comments

Line 387: might note that a similar failure with higher ice content may travel further - representing an even greater risk to park visitors

We thank the reviewer for this insight and modified our text to incorporate it into the conclusions.

Line 388: What years?

Fixed!