Modernizing the open-source community Noah-MP land surface model (version 5.0) with enhanced modularity, interoperability, and applicability 3

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41 **1. Introduction**

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Land surface models (LSMs) are useful modeling tools to resolve terrestrial responses to and 43 interactions with the atmosphere, ocean, glacier, and sea ice in the earth system. Traditionally, 44 45 LSMs were thought to mainly provide lower boundary conditions to the coupled atmospheric models. However, modern LSMs have been increasingly employed as an indispensable component 46 in the climate and weather systems to offer biogeophysical and biogeochemical insight for 47 understanding and quantifying the impact and evolution of climate, weather, and the integrated 48 49 earth environment (Blyth et al., 2021). LSMs have been widely applied to tackle many important societally relevant challenges, such as drought, flood, heat wave, water availability, agriculture, 50 food security, wildfires, deforestation, and urbanization (Bonan and Doney, 2018). 51

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53 Among many LSMs that have been developed in the past few decades, the open-source community 54 Noah with Multi-parameterization Options (Noah-MP; Niu et al., 2011; Yang et al., 2011) is one of the most widely-used state-of-the-art LSMs. The article describing the Noah-MP model by Niu 55 et al (2011) is *de facto* the most cited LSM paper in the last 10 years, highlighting its worldwide 56 popular usage in the international science community. Compared to its predecessor, the Noah LSM 57 (Chen et al., 1996, 1997; Chen and Dudhia, 2001; Ek et al., 2003), Noah-MP significantly 58 improves known Noah limitations by employing enhanced treatments of vegetation canopy, 59 snowpack, soil processes, groundwater, and their complex interactions as well as additional 60 capabilities for critical land processes (e.g., crop, irrigation, tile drainage, groundwater, urban, 61 carbon and nitrogen cycles). Another unique feature of Noah-MP is the inclusion of multiple 62 physics options for different land processes, which allows the multi-physics model ensemble 63 64 experiments for uncertainty assessment and testing competing hypotheses (Zhang et al., 2016; J. Li et al., 2020). 65

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Noah-MP can be applied to various spatial scales spanning from point scale locally to ~100-km 67 resolution globally, and temporal scales spanning from sub-daily to decadal time scales. Since its 68 original development, Noah-MP has been used in many important applications, including 69 numerical weather prediction (Suzuki and Zupanski, 2018; Ju et al., 2022), high-resolution climate 70 71 modeling (Gao et al., 2017; Liu et al., 2017; Rasmussen et al., 2023), land data assimilation (Xu 72 et al., 2021; Nie et al., 2022), drought (Arsenault et al., 2020; Niu et al., 2020; Wu et al., 2021; Abolafia-Rosenzweig et al., 2023a), wildfire (Kumar et al., 2021; Abolafia-Rosenzweig et al., 73 2022a, 2023b), snowpack evolution (Wrzesien et al., 2015; He et al., 2019; Jiang et al., 2020), 74 75 hydrology and water resources (Cai et al., 2014; Liang et al., 2019; X. Zhang et al., 2022a; Hazra et al., 2023), crop and agricultural management (Liu et al., 2016; Ingwersen et al., 2018; Warrach-76 Sagi et al., 2022; Valayamkunnath et al., 2022; Zhang et al., 2020, 2023), urbanization and heat 77 island (Xu et al., 2018; Salamanca et al., 2018; Patel et al., 2022), biogeochemical cycle (Cai et 78 79 al., 2016; Brunsell et al., 2021), wind erosion (Jiang et al., 2021), wetland (Z. Zhang et al., 2022), 80 groundwater (Barlage et al., 2015, 2021; Li et al., 2022), and landslide hazard (Zhuo et al., 2019).

Currently, Noah-MP has been implemented into many community research and operational
weather/climate/hydrology models, including the Weather Research and Forecasting model
(WRF), the Model for Prediction Across Scales (MPAS), the NOAA operational National Water
Model (NWM), the NOAA Unified Forecast System (UFS), the NASA Land Information System
(LIS), and the NCAR High-Resolution Land Data Assimilation System (HRLDAS).

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Bespite its popular usage in the international research and application communities, the Noah-MP core code engine was designed 12 years ago and is outdated, and does not take advantage of modern Fortran language architecture. It has a single lengthy (>12,000 lines) Fortran source file lumping together all model physics with complex code and data structures using inconsistent format and does not follow the modern Fortran code standard. This makes the Noah-MP model code difficult for users and developers to read, modify, and test as well as to implement and apply it to other community models. Furthermore, a lengthy code is error prone and challenging to debug.

95 These issues limit the further development and application of Noah-MP.

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97 Therefore, this study is motivated to modernize (refactor) the entire Noah-MP model by adopting modern Fortran code and data structures and standards, which substantially enhances the model 98 modularity, interoperability, and applicability. The base code used for refactoring is the Noah-MP 99 version 4.5 (released in December 2022; https://github.com/NCAR/noahmp/tree/release-v4.5-100 WRF), and the refactoring effort does not change model physics. We release the 101 102 modernized/refactored Noah-MP as version 5.0 (v5.0; https://github.com/NCAR/noahmp), which includes five key features: (1) enhanced modularization and interoperability by re-organizing 103 104 model physics into individual process-level Fortran module files, (2) enhanced data structure with new hierarchical data types and optimized variable declaration and initialization structures, (3) 105 106 enhanced code structure and subroutine calling workflow by leveraging the new data structure and modularization and refining code to be more concise, (4) enhanced (descriptive and self-107 explanatory) model variable naming standard, and (5) enhanced driver and interface code 108 structures to couple with host weather/climate/hydrology models. In addition, we have created a 109 comprehensive technical documentation (He et al., 2023) to describe model physics and details of 110 the refactored Noah-MP and a set of model benchmark and reference datasets for future 111 comparison and assessment. Overall, the modernized open-source community Noah-MP model 112 (version 5.0) will allow a more efficient and convenient process for future model developments 113 and applications. The framework and practice in the course of refactoring the entire Noah-MP code 114 is also applicable to other LSMs and ESMs. 115

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117 This paper reports the key features of the modernized Noah-MP v5.0 and is organized as follows.

118 Section 2 briefly summarizes the Noah-MP model physics with several updates since its original

development. Sections 3–7, respectively, introduce the key features of the modernized Noah-MP

120 in terms of enhanced model modularization, data type, code structure, variable naming, and

121 coupling structure with host models. Section 8 describes the model benchmarking and reference

- datasets. Section 9 provides the release information of model code and technical documentation.Section 10 concludes the paper with future model development plans.
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125 2. Noah-MP version 5.0 model physics

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127 **2.1 Noah-MP description**

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129 Noah-MP (Niu et al., 2011) was originally developed based on the Noah LSM (Chen et al., 1996, 1997; Chen and Dudhia, 2001; Ek et al., 2003) to augment its modeling capabilities with enhanced 130 physical representations and treatments of dynamic vegetation, canopy interception and radiative 131 transfer processes, multi-layer snowpack physics, and soil and hydrological processes. The history 132 of model development and evolution has been described in the technical documentation (He et al., 133 2023). Noah-MP is designed to simulate land surface and subsurface energy and water processes 134 in both uncoupled and coupled modes with atmospheric or hydrological models at sub-daily time 135 scale and high spatial resolution (even for point scale). This further allows the use of Noah-MP in 136 different hydrological, weather, and climate models for applications in a wide range of spatial and 137 temporal scales with proper integration in time and space. 138

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Noah-MP divides its land grid into two sub-grid tiles, namely vegetated and non-vegetated grounds, 140 based on vegetation cover fraction. The biogeophysical and biogeochemical processes are treated 141 separately for the vegetated and bare grounds. Noah-MP adopts a "big-leaf" canopy treatment 142 characterized by canopy properties dependent on vegetation types. Noah-MP accounts for a 143 multiple-layer snowpack, where snow ice and liquid water content, density, depth, and temperature 144 are simulated dynamically. Noah-MP also includes multi-layer soil thermal and hydrological 145 processes with dynamically evolving soil temperature and water content. The vegetation, snow, 146 and soil components in Noah-MP are closely coupled and interacted with each other via complex 147 energy, water, and biochemical processes. Their detailed physical formulations and 148 parameterizations in Noah-MP v5.0 are described in the technical documentation (He et al., 2023). 149 Below, we briefly summarize the energy, water, and biochemical processes in Noah-MP v5.0. 150

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152 **2.2 Noah-MP energy processes**

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Noah-MP resolves energy budgets and processes separately for vegetated and non-vegetated ground portions of each grid (Niu et al., 2011). The vegetation cover fraction, either from observational inputs or model calculations based on leaf area index (LAI) inputs or predicted by the dynamic vegetation module, is used to separate vegetated and bare grounds. The grid-mean energy states and fluxes are calculated as an average of vegetated and bare ground values weighted by vegetation cover fraction. For surface radiative processes driven by incoming shortwave and longwave radiation (atmospheric forcing), Noah-MP simulates the radiative absorption and

scattering by the canopy and ground (soil/snow) as well as the longwave emissions by the canopy 161 and ground (soil/snow). The net absorbed total (shortwave and longwave) radiative flux is 162 balanced by precipitation advected heat flux, total surface sensible and latent heat fluxes, and 163 ground heat flux. The precipitation advected heat flux represents the heat flux advected from 164 165 precipitation (rain/snow) to canopy/ground due to the temperature difference between precipitation (surface air) and canopy/ground. The total surface sensible heat includes the sensible heat from 166 canopy, snowpack, and soil surfaces. The total surface latent heat includes the latent heat from 167 snowpack sublimation, soil evaporation, canopy snow sublimation, canopy water evaporation, and 168 169 plant transpiration. The ground heat flux is the heat flux leaving the ground surface to drive subsurface snow/soil phase change and/or temperature changes. 170

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To model the aforementioned surface energy flux components, Noah-MP dynamically calculates a number of key land surface properties, include ground snow cover fraction, surface roughness,

canopy and ground thermal properties, snow and soil albedo, surface emissivity, and canopy

174 catopy and ground merinal properties, show and son alocdo, surface emissivity, and catopy

radiative transfer. Many of these property and process calculations have multiple physics options(see Sect. 2.6). Based on the canopy and ground energy balance, Noah-MP further solves the

(see Sect. 2.6). Based on the canopy and ground energy balance, Noah-MP further solves thetemperature and phase change for canopy, snowpack, and soil. Figure 1 summarizes the key energy

processes and budget components as well as the energy balance equation in Noah-MP v5.0. Note

that the energy processes at glacier grids are treated similarly to those at 100% bare (non-vegetated)

180 ground grids except that the soil is replaced by glacier ice with ice-specific properties.





Figure 1. Schematic diagram of energy budget and processes represented in Noah-MP version 5.0.

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186 2.3 Noah-MP water processes

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Noah-MP accounts for five major water budget components, including precipitation, 188 evapotranspiration (ET), total runoff, net lateral flow, and total water storage change intercepted 189 by the canopy and in snow, soil, and aquifer. For precipitation, Noah-MP has several temperature-190 based rainfall-snowfall partitioning parameterizations or can use the partitioning from atmospheric 191 models directly (see Sect. 2.6). Noah-MP simulates canopy interception and throughfall of rain 192 193 and snow, where the intercepted rain and snow on the canopy can go through unloading/dripping, 194 frost, sublimation, melting, and freezing processes. Net evaporation loss from the canopyintercepted liquid water (evaporation minus dew), net sublimation from the canopy-intercepted 195 snow (sublimation minus frost), transpiration (via plant hydraulics), net soil surface evaporation, 196 and net snowpack sublimation together contribute to the total surface ET. Noah-MP dynamically 197 simulates multi-layer snowpack water storage (ice and liquid water) changes driven by 198 199 snowfall/rainfall, frost, sublimation, freezing, and melting. The snowmelt water out of snowpack together with rainfall at the soil surface are further partitioned into surface runoff and infiltration 200 based on multiple runoff and infiltration physics options (see Sect. 2.6). Soil moisture and 201

unsaturated water flow across soil layers are simulated using the one-dimensional Richards 202 equation. Two optional groundwater schemes, one without 2-D lateral flow (Niu et al., 2007) and 203 one with 2-D lateral flow (Fan et al., 2007; Miguez-Macho et al. 2007), are available in Noah-MP 204 to simulate groundwater dynamics, including groundwater recharge, water table change, baseflow, 205 206 seepage, and/or lateral flow. Noah-MP also includes dynamic irrigation and tile drainage processes for agricultural management applications (Valayamkunnath et al., 2021, 2022). Figure 2 207 summarizes the key water processes and budget components as well as the water balance equation 208 in Noah-MP v5.0. Note that the water processes at glacier grids are treated similarly to those at 209 210 100% bare ground grids except that all the soil and subsurface hydrological processes are removed and replaced by glacier ice (He et al., 2023). 211

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Noah-MP Water Budget and Processes

Total water balance:

- 213 Precipitation + lateral flow – Evapotranspiration – Total Runoff = Δ (water storage in canopy, snow, soil, aquifer) Difference in the storage in canopy in the storage in the sto
- Figure 2. Schematic diagram of water budget and processes represented in Noah-MP version 5.0.
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- 217 **2.4 Noah-MP biochemical processes**
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- 219 Currently, the community version of Noah-MP only accounts for carbon processes for biochemical
- 220 cycles, while nitrogen dynamics and soil carbon dynamics have been developed in non-community
- Noah-MP versions managed by individual research groups (e.g., Cai et al., 2016; X. Zhang et al.,

2022b). We will synthesize and integrate individual Noah-MP updates into the community version 222 in the future (see Sect. 2.5 for more discussions). Noah-MP simulates carbon processes for both 223 natural/generic vegetation (Niu et al., 2011) and explicit agricultural crops (Liu et al., 2016). The 224 carbon processes related to vegetation growth dynamics include (1) carbon assimilation from 225 226 photosynthesis by shaded and sunlit leaves, (2) carbon allocation to different parts of vegetation (leaf, stem, wood and root) and soil carbon pools (fast and slow carbon), (3) carbon loss due to 227 respiration of different vegetation and soil carbon pools, (4) carbon transfer between vegetation 228 and fast soil carbon pools through vegetation (leaf, stem, wood and root) turnover and seasonal 229 230 death of leaf and stem, and (5) soil carbon pool conversion through soil carbon stabilization. The total carbon flux to the atmosphere and net primary productivity are computed based on the 231 aforementioned carbon processes. Figure 3 summarizes the key carbon processes and budget 232 components as well as the carbon balance equation in Noah-MP v5.0. Note that the carbon 233 processes for crop growth are treated similarly to those of natural vegetation, except that the wood 234 component of plants is removed and the grain component of crops is added with additional carbon 235 conversion from leaf, stem, and root to grain depending on crop growing stages. 236





Total carbon balance:



Figure 3. Schematic diagram of carbon budget and processes represented in Noah-MP version 5.0.

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- 242 2.5 Noah-MP physics updates since original development
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Since the release of the original Noah-MP in year 2011 (Niu et al., 2011), there are several important updates in Noah-MP physics. Some of the updates have been included in the community version of Noah-MP v5.0, while some are only available in the non-community versions managed by individual research groups. We will make efforts to synthesize and integrate individual Noah-MP updates into the community version in the future by working with those developer teams. Here, to the best of our knowledge, we briefly list the major Noah-MP physics updates from the community in the past decade.

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The new/enhanced physics included in the community Noah-MP version 5.0 since 2011 are: (1) 252 the Miguez-Macho-Fan (MMF) groundwater scheme (Barlage et al., 2015); (2) three additional 253 runoff schemes: the Variable infiltration capacity (VIC), dynamic VIC, and Xinanjiang schemes 254 255 (McDaniel et al., 2020); (3) tile drainage schemes (Valayamkunnath et al., 2022); (4) dynamic irrigation schemes (sprinkler, micro, and flooding irrigation) (Valayamkunnath et al., 2021); (5) a 256 dynamic crop growth model for corn and soybean (Liu et al., 2016) with enhanced C3 and C4 crop 257 parameters (Zhang et al., 2020); (6) coupling with urban canopy models (Xu et al., 2018; 258 Salamanca et al., 2018) with local climate zone modeling capabilities (Zonato et al., 2021); (7) 259 enhanced snow cover, snow compaction, and wind-canopy absorption parameters (He et al., 2021); 260 (8) a wet-bulb temperature-based snow-rain partitioning scheme (Wang et al., 2019). 261

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263 The new/enhanced physics currently not included in the community Noah-MP version 5.0 since 2011 are: (1) nitrogen dynamics (Cai et al., 2016); (2) big-tree plant hydraulics (Li et al., 2021); 264 (3) dynamic root optimization (Wang et al. 2018) with an explicit representation of plant water 265 storage (Niu et al., 2020); (4) additional snow cover parameterizations (Jiang et al., 2020); (5) 266 coupling with a wind erosion model (Jiang et al., 2021); (6) a wetland representation and dynamics 267 (Z. Zhang et al., 2022); (7) a unified turbulence parameterization throughout the canopy and 268 roughness sublayer (Abolafia-Rosenzweig et al., 2021); (8) enhanced snow albedo representations 269 (Abolafia-Rosenzweig et al., 2022b); (9) coupling with a snow radiative transfer (SNICAR) model 270 (Wang et al., 2020, 2022); (10) an organic soil layer representation at forest floors (Chen et al., 271 272 2016) and a microbial-explicit soil organic carbon decomposition model (MESDM; X. Zhang et 273 al., 2022b); (11) coupling with atmospheric dry deposition of air pollutant (Chang et al., 2022); (12) enhanced permafrost soil representations (X. Li et al., 2020); (13) spring wheat crop dynamics 274 (Zhang et al., 2023); (14) new treatment of thermal roughness length (Chen and Zhang 2009); (15) 275 276 the Gecros crop model (Ingwersen et al., 2018; Warrach-Sagi et al., 2022); (16) a 1-D dualpermeability flow model (based on the mixed-form Richards' equation) representing preferential 277 flow through variably-saturated soil with surface ponding being developed in the University of 278 279 Arizona.

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281 **2.6 Noah-MP multi-physics options**

One unique feature and advantage of Noah-MP is the inclusion of multiple physics options for 283 different land processes for testing competing hypotheses (i.e., options) and multi-model ensemble 284 simulations. Table 1 summarizes all the available physics options in the community Noah-MP 285 286 v5.0. In particular, compared to previous Noah-MP versions, we have separated the runoff options for surface and subsurface runoff processes, and added a new physics option for snow thermal 287 conductivity calculations, which were originally hard-coded without the namelist control 288 capability. More detailed descriptions of each physics option are provided in the technical 289 documentation (He et al., 2023). 290

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Table 1. List of Noah-MP version 5.0 multi-physics options

Noah-MP Physics	Option	Notes (* indicates the default option)			
ľ –	1	off (use table LeafAreaIndex; use VegFrac =			
		VegFracGreen from input) (Niu et al., 2011; Yang			
		et al., 2011)			
	2	on (together with OptStomataResistance = 1) (Dickinson et al., 1998; Niu and Yang, 2003)			
OntDynamicVag	3	off (use table LeafAreaIndex; calculate VegFrac)			
	4*	off (use table LeafAreaIndex; use maximum vegetation fraction)			
options for dynamic (prognostic)	5	on (use maximum vegetation fraction)			
vegetation	6	on (use VegFrac = VegFracGreen from input)			
	7	off (use input LeafAreaIndex; use VegFrac =			
	/	VegFracGreen from input)			
	8	off (use input LeafAreaIndex; calculate VegFrac)			
	9	off (use input LeafAreaIndex; use maximum			
		vegetation fraction)			
OntRainSnowPartition	1*	Jordan (1991) scheme			
	2	BATS: when TemperatureAirRefHeight < freezing			
opticuliono wi utition		point+2.2 (Yang and Dickinson, 1996)			
options for partitioning precipitation	3	TemperatureAirRefHeight < freezing point (Niu et al., 2011)			
	4	Use WRF microphysics output (Barlage et al., 2015)			
	5	Use wet-bulb temperature (Wang et al., 2019)			
OptSoilWaterTranspiration	1*	Noah (soil moisture) (Ek et al., 2003)			
	2	CLM (matric potential) (Oleson et al., 2004)			
options for soil moisture factor for stomatal resistance & ET	3	SSiB (matric potential) (Xue et al., 1991)			
OntGroundResistanceEvan	1*	Sakaguchi and Zeng (2009) scheme			
optoroundreesistanceLvap	2	Sellers (1992) scheme			
options for ground resistent to	3	adjusted Sellers (1992) for wet soil			
evaporation/sublimation	4	Sakaguchi and Zeng (2009) for non-snow; rsurf = rsurf_snow for snow (set in NoahmpTable.TBL)			
OptSurfaceDrag	1*	Monin-Obukhov (M-O) Similarity Theory (Brutsaert, 1982)			
options for surface layer drag/exchange coefficient	2	original Noah (Chen et al. 1997)			

OptStomataResistance	1*	Ball-Berry scheme (Ball et al., 1987; Bonan, 1996)			
options for canopy stomatal resistance	2	Jarvis scheme (Jarvis, 1976)			
OptSnowAlbedo	1*	BATS snow albedo (Dickinson et al., 1993)			
options for ground snow surface albedo	2	CLASS snow albedo (Verseghy, 1991)			
OntCononyPadiationTransfor	1	modified two-stream (gap = f (solar angle,3D structure, etc) < 1-VegFrac) (Niu and Yang, 2004)			
options for eacony radiation transfer	2	two-stream applied to grid-cell (gap=0) (Niu et al., 2011)			
options for canopy radiation transfer	3*	two-stream applied to vegetated fraction (gap=1- VegFrac) (Dickinson, 1983; Sellers, 1985)			
OptSnowSoilTempTime	1*	semi-implicit; flux top boundary condition (Niu et al., 2011)			
options for snow/soil temperature	2	full implicit (original Noah); temperature top boundary condition (Ek et al., 2003)			
time scheme (only layer 1)	3	same as 1, but snow cover for skin temperature calculation (Niu et al., 2011)			
	1*	Stieglitz scheme (Yen, 1965)			
OptSnowThermConduct	2	Anderson (1976) scheme			
	3	Constant (Niu et al., 2011)			
options for snow thermal conductivity	4	Verseghy (1991) scheme			
	5	Douvill scheme (Yen, 1981)			
OptSoilTemperatureBottom	1	& TemperatureSoilBottom not used) (Niu et al., 2011)			
options for lower boundary condition of soil temperature	2*	TemperatureSoilBottom at DepthSoilTempBottom (8m) read from a file (original Noah) (Ek et al., 2003)			
OptSoilSupercoolWater	1*	No iteration (Niu and Yang, 2006)			
options for soil supercooled liquid water	2	Koren's iteration (Koren et al., 1999)			
	1	TOPMODEL with groundwater (Niu et al., 2007)			
	2	TOPMODEL with an equilibrium water table (Niu et al., 2005)			
	3*	Schaake scheme (original Noah) (Schaake et al., 1996)			
OptRunoffSurface	4	BATS surface and subsurface runoff (Yang and Dickinson, 1996)			
options for surface runoff	5	Miguez-Macho & Fan (MMF) groundwater scheme (Fan et al., 2007; Miguez-Macho et al. 2007)			
	6	Variable Infiltration Capacity Model surface runoff scheme (Liang et al., 1994)			
	7	Xinanjiang Infiltration and surface runoff scheme (Jayawardena and Zhou, 2000)			
	8	Dynamic VIC surface runoff scheme (Liang and Xie, 2003)			

OptRunoffSubsurface options for drainage & subsurface runoff	1~8	similar to runoff option, separated from original Noah-MP runoff option, currently tested & recommended the same option# as surface runoff (default)			
OptSoilPermeabilityFrozen	1*	linear effects, more permeable (Niu and Yang, 2006)			
options for frozen soil permeability	2	nonlinear effects, less permeable (Koren et al., 1999)			
OptDynVicInfiltration	1*	Philip scheme (Liang and Xie, 2003)			
	2	Green-Ampt scheme (Liang and Xie, 2003)			
options for infiltration in dynamic VIC runoff scheme	3	Smith-Parlange scheme (Liang and Xie, 2003)			
OptTileDrainage	0*	No tile drainage			
	1	on (simple scheme) (Valayamkunnath et al., 2022)			
options for tile drainage currently only tested & calibrated to work with runoff option=3	2	on (Hooghoudt's scheme) (Valayamkunnath et al., 2022)			
	0*	No irrigation			
OptIrrigation	1	Irrigation on (Valayamkunnath et al., 2021)			
	2	irrigation trigger based on crop season planting and harvesting dates (Valayamkunnath et al., 2021)			
options for imgation	3	irrigation trigger based on LeafAreaIndex threshold (Valayamkunnath et al., 2021)			
OptIrrigationMethod	0*	method based on geo_em fractions			
antions for imigation mothed and	1	sprinkler method (Valayamkunnath et al., 2021)			
works when OptIrrigation > 0	2	micro/drip irrigation (Valayamkunnath et al., 2021)			
works when optimigation > 0	3	surface flooding (Valayamkunnath et al., 2021)			
OptCropModel	0*	No crop model			
options for crop model	1	Liu, et al. (2016) crop scheme			
	1*	use input dominant soil texture			
OptSoilProperty	2	use input soil texture that varies with depth			
	3	use soil composition (sand, clay, orgm) and			
options for defining soil properties		pedotransfer function			
OutDulation of a	4	use input soil properties			
optredotransfer options for pedotransfer functions, only works when OptSoilProperty=3	1*	Saxton and Rawls (2006) scheme			
OptGlacierTreatment	1*	include phase change of glacier ice			
options for glacier treatment	2	Glacier ice treatment more like original Noah			

3. Enhanced model modularization in Noah-MP version 5.0

In the Noah-MP v5.0, we have modularized all model physics by separating and re-organizing each code subroutine into individual process-level Fortran module file with new descriptive, self-

explanatory module and subroutine names. As such, each model physics or scheme has its own 299 separate module. Figure 4 shows the calling tree of the modularized Noah-MP main model physics 300 workflow. Figures 5-7 show the calling tree of the modularized energy, water, and carbon 301 processes, respectively. Compared to the previous Noah-MP versions that have a single lengthy 302 303 source file lumping together all model subroutines with non-self-explanatory names, the highlymodularized model structure of the Noah-MP v5.0 provides a much more clear, neat, and 304 organized way for users and developers to understand and follow the model logics and physics. 305 These new modules use consistent coding format and standards, offering convenience for code 306 307 reading, writing, and debugging. The highly-modularized model structure also allows external community weather/climate/hydrology models to easily adopt specific Noah-MP physical 308 processes/schemes as independent process-level module files and implement them for testing and 309 coupling. 310



Figure 4. The modularized Noah-MP main physics calling tree in version 5.0. Blue boxes indicate water processes, orange boxes indicate energy processes, and green boxes indicate biochemical processes. The direction of arrows indicates processes calling sequence and information flow. Note that the 1-D glacier column model has similar structures as the main non-glacier model, except that the vegetation-related processes are removed and soil is replaced by glacier ice.





- **Figure 5**. The modularized Noah-MP energy processes calling tree in version 5.0. Note that the
- 321 glacier model has similar structures except that the vegetation-related processes are removed and
- soil is replaced by glacier ice.
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Figure 6. The modularized Noah-MP water processes calling tree in version 5.0. Note that the glacier model has similar structures except that it only includes the snowpack processes and soil

327 is replaced by glacier ice.





Figure 7. The modularized Noah-MP biochemical processes calling tree in version 5.0. Note that currently the Noah-MP v5.0 only includes carbon processes. Note that the CropPhotosynthesis module is not used currently to avoid inconsistency with the photosynthesis calculations from the canopy stomatal resistance module.

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4. Enhanced data structure in Noah-MP version 5.0

In the Noah-MP v5.0, we have enhanced data structure with new hierarchical data types, which 338 allows a more efficient and convenient control of model variables and substantially simplifies code 339 structures and calling interface (Section 5). Figure 8 summarizes the new Noah-MP data type 340 hierarchy and gives some examples of model variable expression based on the hierarchical data 341 types. Specifically, we have defined an overarching "noahmp" main data type, which includes 342 "forcing" for atmospheric forcing variable type, "config" for model configuration variable type 343 with "domain" and "namelist" subtypes, "energy" for energy-related variable type, "water" for 344 water-related variable type, and "biochem" for biochemistry-related variable type. The "energy", 345 "water", and "biochem" types are further divided into "flux", "state", and "param" subtypes for 346 flux, state, and parameter variables. This hierarchical data structure provides a better organization 347 and management of model variables and their physical attributes. We have also optimized the 348 variable declaration and initialization structures based on those new data types and consistent 349 coding format and standard. In addition, we have re-defined many key local model state, flux, and 350 351 parameter variables in the base code to be global variables in the refactored code, which allows a

better track and management of these variables for diagnosis, transfer between Noah-MP and host 352 models, and coupling with data assimilation systems. 353

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Figure 8. (a) The new hierarchical "noahmp" data types in the Noah-MP version 5.0. (b) Examples 357

- of model variable expression using the hierarchical data types. 358
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360 361 5. Enhanced code structure in Noah-MP version 5.0

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Leveraging the model modularization (Section 3) and new data types (Section 4) in the Noah-MP 363 v5.0, we have further refined the code structure and subroutine interface. A graphical 364 365 representation of the refactored Noah-MP subroutine interface is depicted in Figure 9. Specifically, the refined subroutine interface only requires passing the "noahmp" data type instead of each 366 individual variable names, because all relevant variables are defined and included in the "noahmp" 367 data type. This significantly simplifies the code structure with much more concise and neat 368 subroutine calls. The refined subroutine interface also makes future model development and code 369 changes simpler, more efficient, and less error-prone. For instance, if users want to add/remove a 370 variable for a specific physical scheme, they only need to edit as few as 3 module files: variable 371 type definition module, variable initialization module, the target physical scheme module, and if 372 needed, the variable input/output module. There is no need to go through and change all the 373 374 subroutine calls and interfaces that use the target variable.



Figure 9. Demonstration of refactored subroutine interface and code structure in the Noah-MPversion 5.0.

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6. Enhanced variable naming in Noah-MP version 5.0

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In the Noah-MP v5.0, we have also renamed all the model variables using a more descriptive and 383 384 self-explanatory naming standard, which clarifies the physical meaning of variables directly by their names and hence substantially lowers the hurdles of reading and understanding the code and 385 model physics. The original variable names in the previous Noah-MP versions are hard to 386 understand, in which case users have to check back and forth the variable definition to know their 387 physical meaning. For instance, the original variable name for canopy intercepted total water is 388 "CMC", while the new name is "CanopyTotalWater". Table 2 gives more examples of the 389 enhanced variable naming in Noah-MP v5.0. A detailed Noah-MP variable glossary listing 390 variables' original and new names, physical meaning, data type, and unit is provided in the 391 technical documentation (He et al., 2023) and the community Noah-MP GitHub repository. 392 393

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Table 2. Examples of new variable names based on a more descriptive and self-explanatory

397	naming standard in the	Noah-MP version 5.0,	compared with	the original names.
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Variable physical meaning/definition	New name	Original name	Variable Type	Unit
wetted or snowed fraction of canopy	CanopyWetFrac	FWET	Real	-
canopy intercepted liquid water	CanopyLiqWater	CANLIQ	Real	mm
canopy intercepted ice	CanopyIce	CANICE	Real	mm
canopy intercepted total water	CanopyTotalWater	CMC	Real	mm
canopy capacity for snow interception	CanopyIceMax	MAXSNO	Real	mm
canopy capacity for liquid water interception	CanopyLiqWaterMax	MAXLIQ	Real	mm
ice fraction in snow layers	SnowIceFrac	FICE_SNOW	Real	-
bulk density of snowfall	SnowfallDensity	BDFALL	Real	kg/m ³
snow cover fraction	SnowCoverFrac	FSNO	Real	-
snow layer ice	SnowIce	SNICE	Real	mm
snow layer liquid water	SnowLiqWater	SNLIQ	Real	mm

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400 7. Enhanced coupling structure with host models in Noah-MP version 5.0

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We have further updated the Noah-MP driver and interface coupled with potential host 402 weather/climate/hydrology models. Figure 10 summarizes the interface and coupling structures in 403 the Noah-MP v5.0. Specifically, the coupling interface includes: (1) defining a 2-D (for structured 404 grid mesh) or vectorized (for unstructured grid mesh) Noah-MP input/output data type 405 "NoahmpIO" to facilitate the input/output communication between host models and the core 406 Noah-MP 1-D column model ("noahmp" data type); (2) the initialization of the "NoahmpIO" 407 variables with values from host models; (3) the main Noah-MP driver that calls the core 1-D 408 column model and transfers between the "NoahmpIO" and "noahmp" variables as part of 409 410 input/output processes. Currently, the coupling of the Noah-MP v5.0 with the NCAR/HRLDAS system has been successfully completed. The coupling of Noah-MP v5.0 with the NASA/LIS 411 system and the WRF-Hydro/NWM system is on-going. We also plan to couple the Noah-MP v5.0 412 with other host models in the future (Section 9), such as WRF, MPAS, and NOAA/UFS. Because 413 of the enhanced coupling interface and structure in Noah-MP v5.0, we will only need to slightly 414 adapt the coupling interface and driver to allow it to work with different host models. We will 415 manage and maintain the interface and driver code for each host model in the community Noah-416 MP GitHub repository to ensure the compatibility between host models and updated core Noah-417 418 MP source code in the future, which will allow smooth transition and seamless synthesizing of 419 Noah-MP updates in host models.



Figure 10. Workflow of the Noah-MP v5.0 driver and interface structures to couple with various
host weather/climate/hydrology models.

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426 8. Benchmarking for Noah-MP version 5.0

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To benchmark the functionality, reproducibility, and computational efficiency of the modernized 428 Noah-MP code, we have conducted a series of hierarchical test simulations during the course of 429 Specifically, after refactoring each major Noah-MP model 430 Noah-MP refactoring. component/physics (e.g., water, energy, carbon, etc.) listed in Figure 4, we built simple driver 431 modules to conduct benchmark simulations using each of these model component/physics to test 432 and ensure the bit-for-bit consistency between the refactored code and base code for all Noah-MP 433 434 physics options. Here is an example for the refactored Noah-MP water component model we built benchmarking 435 for during the course of refactoring: https://github.com/cenlinhe/NoahMP refactor/tree/water refactor, which was used to test the bit-436 for-bit consistency between the refactored and base Noah-MP water component codes. 437

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439 After we completed the entire model refactoring, we have conducted another set of test simulations using the completed Noah-MP v5.0 to ensure its bit-for-bit consistency with the base model code 440 for all different combinations of physics options as well as to benchmark its computational 441 efficiency. These tests were conducted via 1-year point-scale SNOTEL 804-site simulations, 1-442 year 12-km gridded continental US simulations, and 1-year 1-km gridded simulations over central 443 444 US agricultural regions (particularly to test individual and combination of physics options related to crop, irrigation, tile drainage, and groundwater). The tests all showed exactly the same results 445 between the refactored and base simulations, with similar computational efficiency. 446

In addition, in order to provide the community with reference Noah-MP v5.0 model datasets for 448 future comparison and assessment, we have conducted 3 sets of benchmark simulations, including 449 21-year (2000-2020) 12-km continental US simulations driven by the NLDAS-2 atmospheric 450 451 forcings (Xia et al., 2012), 10-year (2009-2018) point-scale SNOTEL 804-site simulations over the western US driven by observed precipitation and temperature as well as other NLDAS-2 452 atmospheric forcings downscaled to 90-m spatial resolution (He et al., 2021), and 1-year (2000) 453 4-km dynamic crop simulations over the U.S. Corn Belt region driven by the convection-454 455 permitting WRF modeling (Zhang et al., 2020). We have archived all the atmospheric forcing datasets, model setup input datasets, and model output datasets for these benchmark simulations. 456 Figure 11 shows an example of the model output. Note that a comprehensive evaluation of the 457 simulation results is outside the scope of this model description paper and will be done in the next 458 459 step.





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Figure 11. Demonstration of 20-year (2001-2020) annual mean (a) 2-m temperature, (b) snow
cover fraction, (c) snow water equivalent, and (d) top 10-cm soil moisture from the Noah-MP
version 5.0 12-km continental US benchmark simulations driven by the NLDAS-2 atmospheric
forcings.

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467 9. Model code and technical documentation for Noah-MP version 5.0

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We archive, manage, and maintain the Noah-MP v5.0 (together with previous code versions) at
the NCAR community Noah-MP GitHub repository (https://github.com/NCAR/noahmp) for

public access. We have also created a comprehensive technical documentation (He et al., 2023)
for the Noah-MP v5.0, available at http://dx.doi.org/10.5065/ew8g-yr95, which provides detailed
descriptions of model physics and formulations.

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475 **10. Conclusions and future plans**

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In this study, we modernized the widely-used state-of-the-art Noah-MP LSM by adopting modern 477 Fortran code and data structures and standards, which substantially enhances the model modularity, 478 479 interoperability, and applicability. The modernized Noah-MP has been released as the model version 5.0, which includes the following key features: (1) enhanced modularization and 480 interoperability by re-organizing model physics into individual process-level Fortran module files, 481 (2) enhanced data structure with new hierarchical data types and optimized variable declaration 482 and initialization structures, (3) enhanced code structure and calling workflow by leveraging the 483 new data structure and modularization, (4) enhanced (descriptive and self-explanatory) model 484 variable naming standard, and (5) enhanced driver and interface structure to couple with host 485 weather/climate/hydrology models. The base code used for modernization is the Noah-MP version 486 487 4.5 (released in December 2022), and the modernization effort does not change model physics. In addition, we have created a comprehensive technical documentation (He et al., 2023) of the Noah-488 MP v5.0, and a set of benchmark simulation datasets. The Noah-MP v5.0 has been coupled to the 489 NCAR/HRLDAS system. Currently, the work of coupling the Noah-MP v5.0 with the latest 490 NASA/LIS system and the WRF-Hydro/NWM system is on-going. In the future, we also plan to 491 492 couple the Noah-MP v5.0 to other weather and climate models, including WRF, MPAS, and NOAA/UFS. Overall, the modernized open-source community Noah-MP model will allow a more 493 494 efficient and convenient process for future model developments and applications.

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497 Code and data availability

498 <u>1.</u> The Noah-MP model code (<u>https://doi.org/10.5281/zenodo.7901855</u>) is available at 499 <u>https://github.com/NCAR/noahmp</u>

500 <u>2.</u> The coupled HRLDAS/Noah-MP model code (https://doi.org/10.5281/zenodo.7901867) is
 501 available at https://github.com/NCAR/hrldas

502 <u>3.</u> The Noah-MP technical documentation is available at http://dx.doi.org/10.5065/ew8g-yr95

503 <u>4.</u> The benchmark datasets are stored in the NCAR high-performance supercomputer (HPC)

504 <u>campaign storage file system (data path: /glade/campaign/ral/hap/cenlinhe/NoahMP_benchmark/,</u>

see details about the storage system at https://arc.ucar.edu/knowledge_base/70549621) and can be

- 506 provided by the corresponding author upon request, due to the extremely large data size (8.8 TB).
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- 508

509 Author contribution

CH, PV, and MB led the code refactoring effort with the help from all the other coauthors (FC,
DG, RC, GN, ZY, DN, ME, TS, RR). CH and PV led the technical documentation writing effort
with the help from all the other coauthors (MB, FC, DG, RC, GN, ZY, DN, ME, TS, RR). CH
conducted the benchmark model simulations. CH drafted the manuscript with improvements from
all the other coauthors (PV, FC, MB, DG, RC, GN, ZY, DN, ME, TS, RR).

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517 **Competing interests**

- 518 The authors declare that they have no conflict of interest.
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