



1           **Technical Note: Monitoring discharge of mountain streams by**  
2                           **retrieving image features with deep learning**

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## 6 Abstract

7 Traditional discharge monitoring usually relies on measuring flow velocity and cross-  
8 section area with various velocimeters or remote-sensing approaches. However, the  
9 topography of mountain streams in remote sites largely hinders the applicability of  
10 velocity-area methods. We here present a method to continuously monitor mountain  
11 stream discharge using a low-cost commercial camera and deep learning algorithm. A  
12 procedure of automated image categorization and discharge classification was  
13 developed to extract information on flow patterns and volumes from high-frequency  
14 red–green–blue (RGB) images with deep convolutional neural networks (CNNs). The  
15 method was tested at a small, steep, natural stream reach in southern China. Reference  
16 discharge data was acquired from a V-shaped weir and ultrasonic flowmeter installed a  
17 few meters downstream of the camera system. Results show that the discharge-relevant  
18 stream features implicitly embedded in RGB information can be effectively recognized  
19 and retrieved by CNN to achieve satisfactory accuracy in discharge measurement.  
20 Coupling CNN and traditional machine learning models (e.g., support vector machine  
21 and random forest) can potentially synthesize individual models' diverse merits and  
22 improve generalization performance. Besides, proper image pre-processing and  
23 categorization are critical for enhancing the robustness and applicability of the method  
24 under environmental disturbances (e.g., weather and vegetation on river banks). Our  
25 study highlights the usefulness of deep learning in analyzing complex flow images and  
26 tracking flow changes over time, which provides a reliable and flexible alternative



27 apparatus for continuous discharge monitoring of rocky mountain streams.

28 **Keywords:**

29 Discharge monitoring; Mountain streams; Deep learning; Machine learning; Image  
30 categorization

31 **1 Introduction**

32 Continuous discharge data is critical for hydrological model development and flood  
33 forecast (McMillan et al., 2010; Clarke, 1999), water resources management (Council,  
34 2004), and aquatic ecosystem health assessment (Carlisle et al., 2017). Traditional  
35 discharge monitoring relies on stream gauges that convert water level to discharge with  
36 an established stage-discharge curve, or information on stable cross-sections and flow  
37 velocity obtained from flow velocimeters such as acoustic doppler current profiler  
38 (ADCP) and ultrasonic defectoscope (Kasuga et al., 2003). However, these approaches  
39 require significant investment on the implementation of equipments, training of  
40 personnel with expertise, and constant maintenance (Fujita et al., 2007; Czuba et al.,  
41 2017; Yorke and Oberg, 2002). Besides, the performance of transducers and  
42 velocimeters is usually susceptible to sediments and floating debris, particularly in  
43 flooding seasons (Hannah et al., 2011). Consequently, large temporal gaps remain in  
44 many discharge records across the world despite of the growing demand on data  
45 (Davids et al., 2019; Royem et al., 2012). Spatially, flow monitoring of downstream  
46 river sections has been assigned to a higher priority due to the concerns on water supply  
47 and flood control, leading to an acute shortage of discharge data in mountain streams



48 and headwater catchments (Deweber et al., 2014).

49 To overcome the limitations of traditional methods, a few image-based approaches  
50 have been introduced into water stage, flow velocity, and discharge measurement in  
51 rivers (Noto et al., 2022; Leduc et al., 2018). Image-based (Leduc et al., 2018; Noto et  
52 al., 2022) approaches rely only on the acquisition of digital images of streams from  
53 inexpensive commercial cameras and thus have been a promising alternative for  
54 continuous, noninvasive, and low-cost streamflow monitoring. The two most  
55 commonly used approaches include large-scale particle image velocimetry (LSPIV)  
56 and particle tracking velocimetry (PTV). LSPIV (Fujita et al., 1998) is based on a high-  
57 speed cross-correlation scheme between an interrogation area (IA) in a first image and  
58 IAs within a search region (SR) in a second image. The technique has been proved  
59 effective in monitoring low-velocity and shallow-depth flow fields (Tauro et al., 2018).  
60 However, it performs poorly in mapping velocity fields in high resolution when there  
61 is a lacking of seeds on the water surface because the algorithm obtains the average  
62 speed of each SR (Tauro et al., 2017). Compared to LSPIV, PTV was designed for low  
63 seeding density flows, focusing on particle tracking instead of recognition. The PTV  
64 approach does not require assumptions on flow steadiness nor the relative position of  
65 neighbor particles (Tauro et al., 2018). Several algorithms have been developed for PTV  
66 analysis, such as space-time image velocimetry (STIV) and optical tracking  
67 velocimetry (OTV), overcoming the over-dependence on natural particles' shape and  
68 size (Tauro et al., 2018; Tsubaki, 2017). STIV evaluates surface flow velocity by  
69 analyzing a texture angle within a variation of brightness or color on the water surface,





70 while OTV combines automatic feature detection, Lucas-Kanade tracking algorithm  
71 and track-based filtering methods to estimate subpixel displacements (Karvonen, 2016;  
72 Fujita et al., 2007). Existing image-based discharge measurement methods all use the  
73 velocity-area method to indirectly deduce discharge after identifying stage and average  
74 (Davids et al., 2019; Herzog et al., 2022; Leduc et al., 2018; Tsubaki, 2017) velocity.  
75 The average velocity in a cross-section is estimated with surface velocity derived from  
76 natural or artificial seeds on water surface and pre-defined empirical relationships  
77 between the surface velocity and average velocity. The velocity-area method relies on  
78 a stable relationship between stage and cross-sectional area, and needs to take velocity  
79 extrapolations to the edges and vertical distributions throughout the cross-section into  
80 account (Le Coz et al., 2012). However, it is difficult to identify the water stage and  
81 vertical characteristics of mountain streams due to the steep, narrow, and highly  
82 heterogeneous cross-sections. The applicability of PIV and PTV approaches is largely  
83 hindered by such topography.

84 In this study, we propose a novel mountain stream discharge monitoring method  
85 using a low-cost commercial camera and deep learning models. Automated image  
86 categorization and pre-processing procedures were developed for processing high-  
87 frequency red-green-blue (RGB) images, and then the convolutional neural network  
88 was used to extract information on flow patterns from RGB matrixes and establish  
89 empirical relationships with the classification probabilities of discharge volumes. We  
90 hypothesize that (1) the features of mountain streams (e.g., coverage of water surface,  
91 flow direction, flow velocity) embedded in RGB images can be recognized by suitable



92 deep learning approaches to achieve effective discharge monitoring, and (2) proper  
93 image pre-processing and categorization can improve accuracy of image-based  
94 discharge monitoring of mountain streams. A rocky mountain stream of a headwater  
95 catchment in tropical southern China was used as a study site to test our hypotheses.

96

## 97 **2 Methods**

### 98 **2.1 Site and field setting**

99 The study site is located on a small, steep, rocky reach of a stream in the Zhuhai Campus  
100 of Sun Yat-sen University, China (22°20'58" N, 113°34'29" E). The site elevation is 13  
101 m above sea level and about 2 km away from the Lingding Yang of South China Sea.  
102 The stream flow is mainly controlled by rainfall in the upstream drainage area. Water  
103 stage and flow velocity increase rapidly during East Asian summer monsoon rainfalls  
104 and fluctuate with synoptic weather conditions on dry days.

105 The main objective of the study was to test the applicability of deep-learning based  
106 image processing approaches in capturing the flow characteristics and discharge  
107 volumes in the daily flow cycle in this mountain stream. We selected a straight, single-  
108 thread reach for the gauging location, and set up a Hikvision camera on the left bank of  
109 the stream to collect flow images (**Fig. 1**). Discharge data monitored by a weir about 8  
110 m downstream of the camera was used for model training and validation. The camera  
111 was installed 3 m above the ground, facing the surface of the stream almost vertically.  
112 The entire stream width is visible in the images. The camera was equipped with a 150W



113 solar panel and 80AH lithium battery, enabling the camera to work continuously for 80  
114 hours without external power on rainy days. The camera supports the wireless  
115 transmission of video data to the server.

116



117

118 **Figure 1.** Camera setup. The camera is set on the left bank of the stream, about 3 m  
119 above the water surface, and 8 m upstream of a gauging weir.

120

## 121 2.2 Data

122 The flat V-shaped weir downstream of the camera monitors discharge with an open  
123 channel flowmeter and an overflow flowmeter. The flowmeters measure water levels in  
124 the channel and in front of the weir with ultrasonic sensors and calculate real-time  
125 discharge at the time step of two minutes by a semi-empirical equation suggested by



126 the State Bureau of Technical Supervision of China (www.chinesestandard.net), as

127 
$$Q = \frac{8}{15} C_e \tan \frac{\theta}{2} \sqrt{2gh_e^{\frac{5}{2}}} \quad (1)$$

128 where  $Q$  is the discharge of stream,  $\theta$  is the angle of triangular weir,  $g$  is acceleration  
129 of gravity,  $h_e$  is the height of the triangle barrier from the bottom,  $C_e$  is an empirical  
130 coefficient.

131 We collected the discharge data of the weir and its corresponding stream videos  
132 during daylight (07:00-19:00 UTC+8) from July 20<sup>th</sup> to September 27<sup>th</sup>, 2022. The raw  
133 video resolution was 2560×1440 pixels with a refresh rate of 50 Hz. Images were  
134 extracted from the videos at the 5- minute interval to avoid excessive similarity between  
135 adjacent images. A total of 7,757 image samples labeled with 37 discharge values  
136 between 0.014 and 0.050 m<sup>3</sup>/s were collected for model testing.

## 137 **2.3 Image processing**

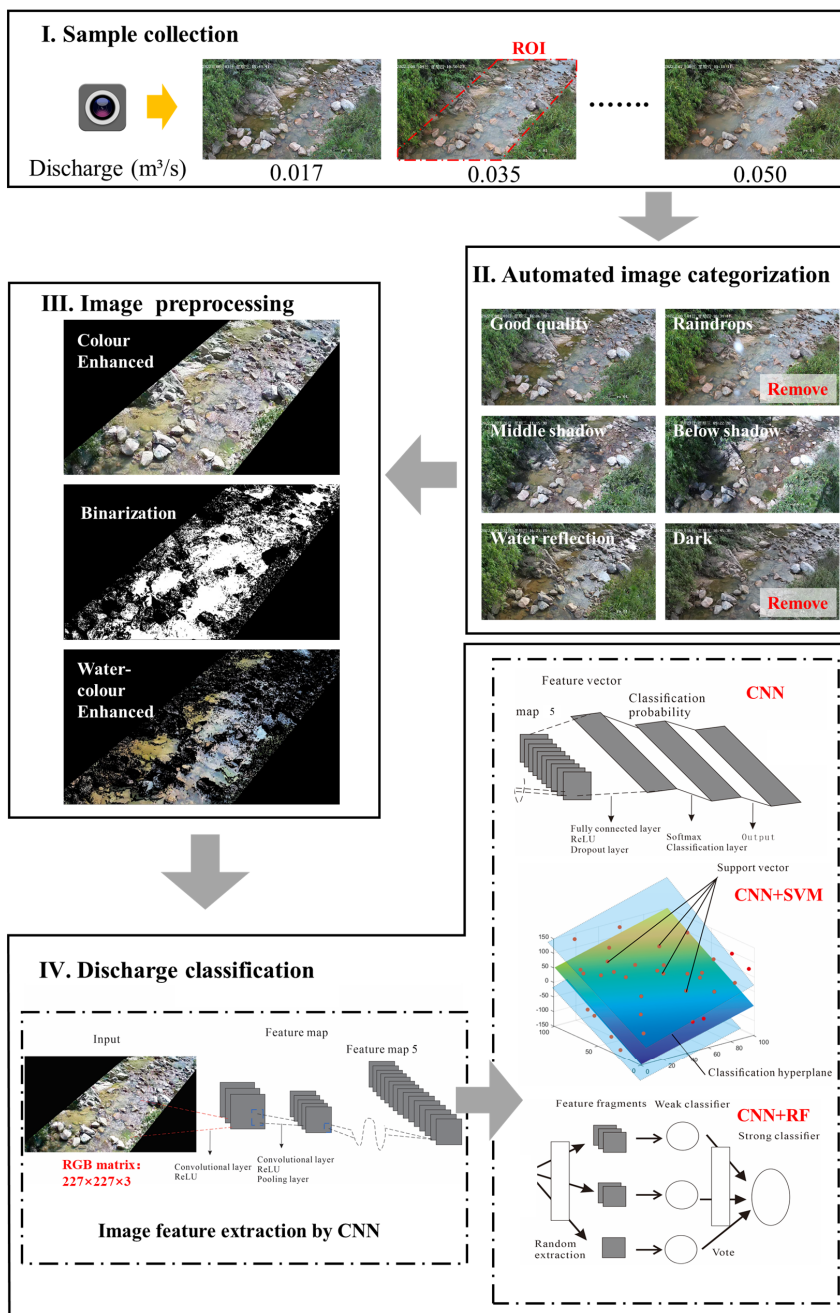
### 138 **2.3.1 Image categorization**

139 Environmental disturbances such as illumination and shadow can seriously interfere  
140 with the extraction of effective image features of mountain streams, such as boundaries  
141 of water surface and textures of flow lines (Gershon et al., 1986; Herzog et al., 2022).  
142 Although researchers have proposed methods to eliminate shadows (Finlayson et al.,  
143 2002), the treatment effect in some complex environments, such as plant shadows and  
144 boulders distributed on mountain streams, is not always satisfactory.

145 Frequently observed disturbances on images include: (1) shadows in the target stream  
146 region due to plants blocking direct sunlight; (2) image noise due to raindrops attached



147 to the camera lens on rainy days; (3) the lack of light leading to low brightness and  
148 contrast of the image; (4) overexposure of image due to light reflection of the water  
149 surface (around 16:00 UTC+8 in this case). Taking these factors into consideration, we  
150 divided all image samples into six categories, including "Good quality", "Raindrops",  
151 "Middle shadow", "Below shadow", "Water reflection", and "Dark" (**Fig. 2**). "Good  
152 quality" contains image samples without obvious noise or shadow. All the other images  
153 lose some feature information due to noise, shadows, reflections, or dim lighting. To  
154 ensure the model performance under different environmental conditions, we designed  
155 an automated categorization procedure to screen the raw images and exclude the  
156 "Raindrops" and "Dark" samples from model training. The procedure categorizes  
157 images by comparing the feature values of brightness or saturation in particular test  
158 areas to predefine thresholds under different conditions (see **Section 3.2**).



159

160 **Figure 2.** Flowchart of image processing and discharge monitoring.

161



162 **2.3.2 Color enhancement**

163 In order to highlight the stream features embedded in the images and avoid image  
164 information redundancy, we compared three commonly used color enhancement  
165 approaches to process the image samples.

166 **(1) Color Enhanced.** A dynamic histogram equalization technique (Abdullah-Al-  
167 Wadud et al., 2007; Cheng and Shi, 2004) was used to enhance contrast and emphasize  
168 stream features. First, vegetation areas on both sides of the stream were cropped and  
169 filled with black. Then, histogram equalization was used to enhance the contrast  
170 between light and dark, i.e., brighten the bubbles, swirls, ripples, splashes, water  
171 coverage, etc., and darken the bottom stones and reflections in the water.

172 **(2) Binarization.** Binarization of image information can decrease the computational  
173 load and enable the utilization of simplified methods compared to 256 levels of grey-  
174 scale or RGB color information (Sauvola and Pietikäinen, 2000; Finlayson et al., 2002).  
175 In this case, the RGB and HSB (Hue, Saturation, Brightness) information extracted  
176 from images suggests that the brightness of the stream water under daylight ranges from  
177 0.2 to 0.7, and the values of three color components follow:

178 
$$R(x, y) + G(x, y) + B(x, y) > 350 \quad (2)$$

179 Where  $R(x, y)$ ,  $G(x, y)$  and  $B(x, y)$  respectively represent the red, green, and blue  
180 color values of the pixel  $(x, y)$ . The original image was transformed into a binary image  
181 by assigning the values of "1" and "0" to the pixels within and out of the water body,  
182 respectively.

183 **(3) Water-color Enhanced.** Considering that water-color features may carry some



184 useful information on discharge (Kim et al., 2019), we tested a new pre-processing  
185 method combining the two approaches above. The RGB information of the original  
186 image within the water body areas was kept unchanged, while the non-water body areas  
187 were filled with black color. Then, the water body areas were further enhanced with the  
188 histogram equalization method to highlight the edge transition between the water body  
189 and the background (Abdullah-Al-Wadud et al., 2007).

### 190 **2.3.3 Image denoising**

191 Images pre-processed by all of three approaches still contain large amounts of noise  
192 due to environmental disturbances and edge oversharpener caused by image contrast  
193 enhancement (Herzog et al., 2022). Therefore, the wavelet transform (Zhang, 2019) was  
194 adopted to denoise the image samples. We chose a compromise threshold between hard  
195 and soft thresholds as the threshold function (Chang et al.). When the wavelet  
196 coefficient is greater than or equal to the threshold, a compromise coefficient  $\alpha$  ranging  
197 from 0 to 1 is added before the threshold to achieve a smooth transition from hard to  
198 soft thresholds, as

$$199 \quad \lambda = \frac{\text{median}(d_j(k))}{0.6745} \times \sqrt{2 \log(M \times N)} \quad (3)$$

$$200 \quad \omega_\lambda = \begin{cases} [\text{sign}(\omega)](|\omega| - \alpha\lambda), & |\omega| \geq \lambda \\ 0, & |\omega| < \lambda \end{cases} \quad (4)$$

201 where  $j$  is the scale of wavelet decomposition,  $d_j(k)$  is the coefficient of wavelet  
202 decomposition,  $M$  and  $N$  are the length and width of images,  $\omega$  is the wavelet coefficient,  
203  $\lambda$  is the set threshold, and  $\text{sign}$  is the sign function. In this case,  $M \times N = 2560 \times 1440$ ,  
204  $\alpha = 0.5$ .





## 205 **2.4 Algorithms of discharge estimation**

206 We used three algorithms to establish discharge classification models (**Fig. 2**), including  
207 convolutional neural network (CNN), support vector machine (SVM), and random  
208 forest (RF). The data of the RGB color matrix derived from pre-processed images was  
209 used as model inputs. SVM and RF were coupled with CNN to explore the potential  
210 merits of traditional machine learning algorithms in improving the accuracy and  
211 efficiency of CNN-based discharge classifiers.

### 212 **2.4.1 Convolutional Neural Network (CNN)**

213 Deep convolutional neural network allows computational models composed of multiple  
214 processing layers to learn representations of data with multiple levels of abstraction,  
215 which have brought breakthroughs in processing images, video, speech, and audio  
216 (Lecun et al., 2015). The AlexNet architecture (Krizhevsky et al., 2017) was used to  
217 construct our model. Parameters of the semantic layer of the model were calibrated to  
218 achieve feature extraction and classification of stream images. The image size was first  
219 rescaled from 2560×1440 to 227×227 to facilitate the migration of trained AlexNet. A  
220 227×227×3 (length×width×color) matrix was retrieved from each image as the model  
221 input. There were five built-in convolutional layers, using a 3×3 convolution kernel and  
222 a 3×3 pooled kernel. We replaced the last three layers of AlexNet with a full-connection  
223 layer, a softmax layer, and a classification layer, leaving all other layers intact. The  
224 parameters of the full-connection layer were set according to the number of selected  
225 discharge values. The ReLU function was used as the convolutional layer activation



226 function to extract and pass on the water coverage features. The SoftMax function was  
227 the activation function of the output layer, and the extracted feature vectors were  
228 compressed under each discharge label. The probability that a stream image falls into a  
229 discharge label was calculated as

$$230 \quad P(y|x) = \frac{e^{h(x,y_i)}}{\sum_{i=1}^n e^{h(x,y_i)}} \quad (5)$$

231 where  $x$  is the feature vector extracted by CNN,  $y$  is the discharge label,  $n$  is the number  
232 of labels,  $h(x, y_i)$  is the linear connectivity function. The training method for CNN was  
233 stochastic gradient descent with momentum, with 15 samples in small batches, a  
234 maximum number of rounds of 10, and an initial learning rate of 0.00005. The samples  
235 were shuffled in every epoch.

#### 236 **2.4.2 Convolutional Neural Network coupled with Support Vector Machine** 237 **(CNN+SVM)**

238 SVM is a machine learning method based on structural risk minimization and Vapnik–  
239 Chervonenkis (VC) dimension theory (Cortes and Vapnik, 1995). It has been widely  
240 used in image processing, pattern recognition, fault diagnosis, prediction and  
241 classification (Burges, 1998), which can help to capture key samples and eliminate  
242 redundant samples by finding the optimal hyperplane. Compared with neural networks,  
243 which rely on large training samples and tend to fall into local optima, SVM can achieve  
244 global optima with a simpler model structure (Hanczar et al., 2010; Matykiewicz and  
245 Pestian, 2012). However, the SVM-based classifier requires manual input of image  
246 features. Therefore, we coupled CNN and SVM to achieve automatic discharge



247 classification. Image features extracted by CNN (i.e., the output of the 5<sup>th</sup> CNN pooling  
248 layer) were fed into SVM classifiers to calculate discharge.

#### 249 **2.4.3 Convolutional Neural Network coupled with Random Forest (CNN+RF)**

250 RF (Ho, 1995) is a flexible machine-learning algorithm that combines the output of  
251 multiple decision trees to reach a single result. Each decision tree depends on the values  
252 of a random vector sampled independently and with the same distribution for all trees  
253 in the forest (Breiman, 2001; Panda et al., 2009). It is an integrated algorithm of the  
254 Bagging type (Aslam et al., 2007) that combines multiple weaker classifiers, and the  
255 final result is obtained by voting or averaging to improve accuracy and generalization  
256 performance. We here used an RF with 350 decision trees and five decision leaves. The  
257 coupling method of CNN+RF is similar to CNN+SVM, using the same pooling outputs  
258 of CNN as the inputs of RF discharge classifiers.

259

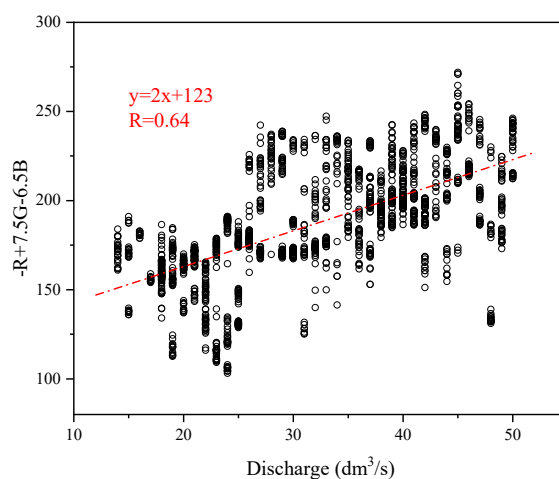
### 260 **3 Results**

#### 261 **3.1 Correlation between color information and discharge**

262 The unstructured image data of mountain streams implicitly contain many stream  
263 features relevant to discharge, such as the width and depth of streams, the coverage of  
264 water surface, and spatial distributions of flow direction and flow velocity. CNN has  
265 been widely used in various classification and regression problems for its capability in  
266 recognizing the features of interest from images (Krizhevsky et al., 2017). In this study,  
267 we attempted to achieve discharge monitoring by establishing empirical relationships



268 between the RGB color information of the water body and the discharge volumes. We  
269 first explored the correlation between the combination of R/G/B values in the region of  
270 interest (ROI, see **Fig. 2**) and discharge conditions. Traversing the common algebraic  
271 combinations of the three colors, we found that  $-\bar{R} + 7.5\bar{G} - 6.5\bar{B}$  ( $\bar{R}$ ,  $\bar{G}$ ,  $\bar{B}$  are the  
272 mean values of red, green and blue channels of an image, respectively) had a spearman  
273 correlation coefficient of 0.67 with discharge (p-value < 0.01), indicating that the  
274 discharge is significantly correlated with the color combination value at the 99%  
275 confidence level (**Fig. 3**). Such result suggests that discharge conditions are embedded  
276 in RGB information of mountain streams to some extent, which could be further  
277 retrieved and refined by CNN models.



278

279 **Figure 3.** Correlation between RGB color values and corresponding discharges.

280

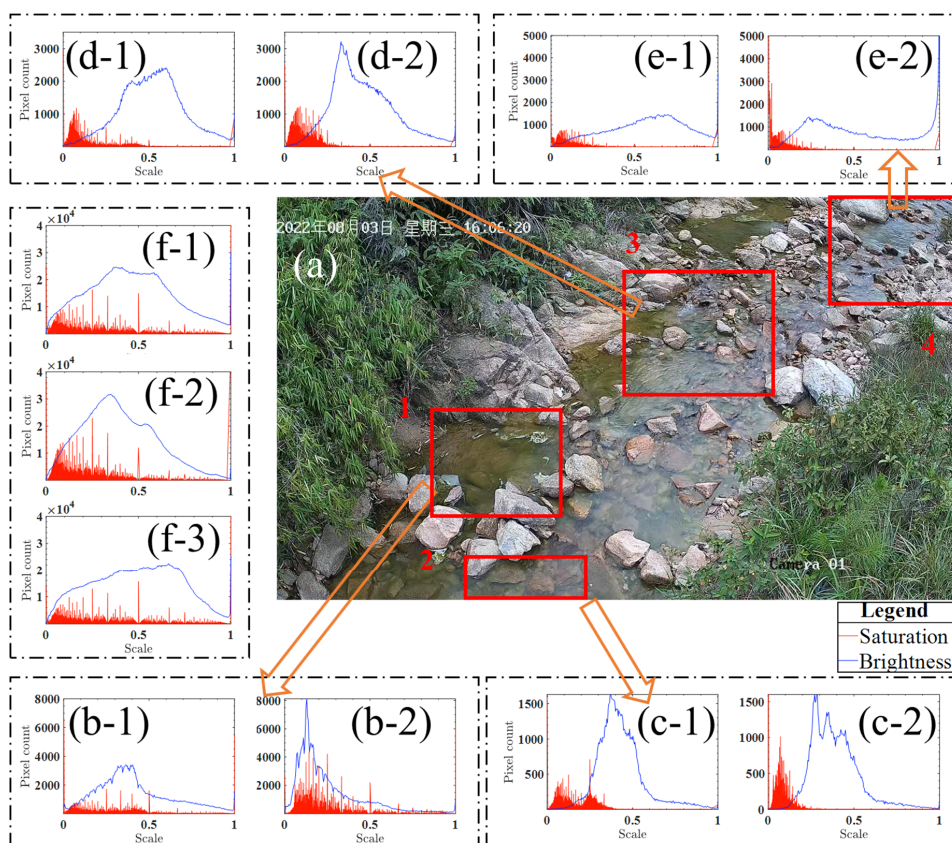
### 281 **3.2 Automated image categorization**

282 We selected four areas in the image as the detection areas for the categorization

283 procedure (**Fig. 4a**). It was found that the upper and lower shadows in the target stream



284 section mainly appeared in Area 3 and Area 1&2, respectively. Disturbance of water  
285 surface reflection was mostly found in Area 4. The thresholds of saturation or brightness  
286 in the four detection areas for image categorization were determined manually by  
287 comparing image samples under different conditions. The procedure of automated  
288 image categorization includes four steps (**Fig. 5**). First, "Dark" images (**Fig. 4f-2**) were  
289 identified when the standard deviation of the brightness or saturation of the full image  
290 was less than 0.2. "Raindrops" images (**Fig. 4f-3**) were identified when the mean  
291 difference of the saturation or brightness of the image was greater than 35% compared  
292 to the "Good quality" images (**Fig. 4f-1**). These two types of images were excluded  
293 from the training samples. Then, "Below shadow" (**Fig. 4b-2; Fig. 4c-2**) and "Middle  
294 shadow" images (**Fig. 4d-2**) were identified when the brightness value with the largest  
295 number of pixels in Area 1&2 and Area 3 was less than 0.3 and 0.4, respectively. Last,  
296 "Water reflection" images were identified when the number of pixels at the brightness  
297 value of 0.98 in Area 4 exceeded 1300 (**Fig. 4e-2**). The other charts in **Fig. 4** show the  
298 saturation and brightness distributions in each detection area derived from a typical  
299 "Good quality" image.  
300



301

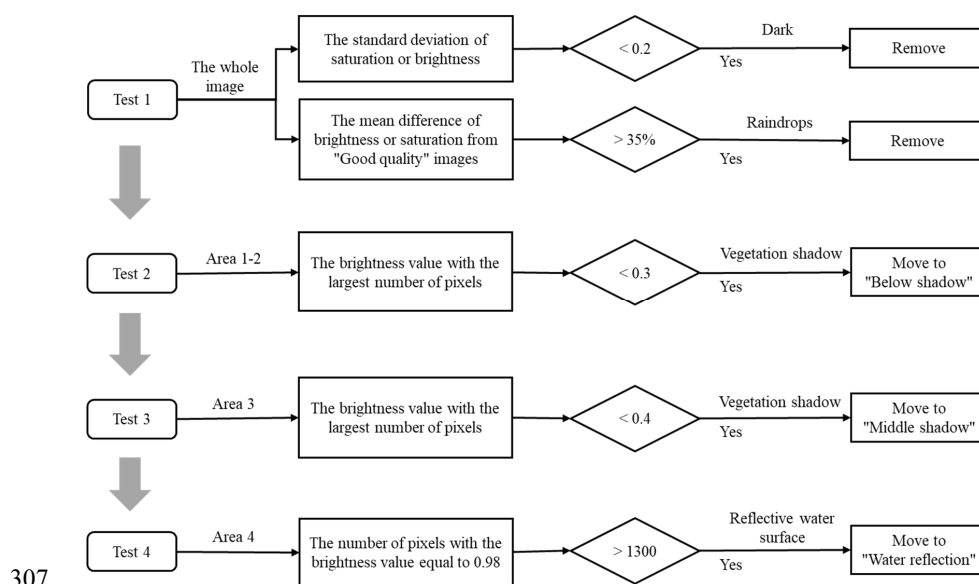
302 **Figure 4.** Comparison of saturation and brightness distributions in the four detection

303 areas under different environmental conditions. The horizontal axis is the interval range

304 (0-1) of saturation and brightness in HSB space. The vertical axis indicates the number

305 of pixels under a certain saturation or brightness value.

306



307

308 **Figure 5.** Procedure of automated image categorization.

309

### 310 3.3 Model training and validation

311 We selected 100 stream images corresponding to each discharge volume for model  
 312 training and validation. The databases of "Good quality", "Middle shadow", "Below  
 313 shadow", and "Water reflection" were sampled in the ratio of 7:1:1:1 to ensure the  
 314 representation of different environmental conditions. The samples were distributed  
 315 evenly in each discharge interval to enhance model performance on high and low flows.

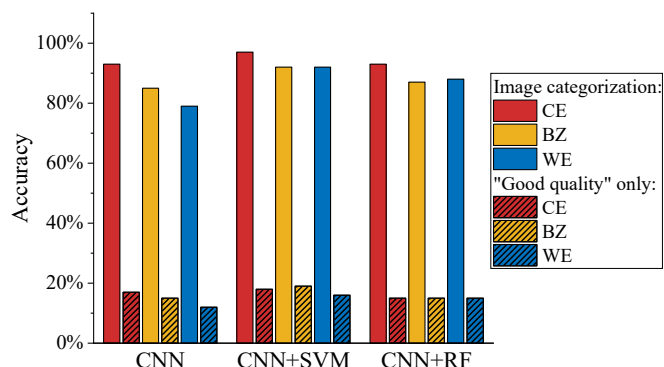
#### 316 3.3.1 Effectiveness of image categorization

317 Most of the previous image-based studies only selected unblemished images for  
 318 discharge or velocity monitoring, which resulted in poor model performance under  
 319 environmental disturbances. (Chapman et al., 2020; Herzog et al., 2022; Leduc et al.,



320 2018) In this study, we also included samples under the influence of vegetation shadows  
321 and water reflection for model training. **Fig. 6** demonstrates the difference in accuracy  
322 of monitoring discharge by the defective images, using two sets of models trained with  
323 only "Good quality" images and samples filtered by automated image categorization,  
324 respectively. Results derived from the three discharge classification models and three  
325 color-enhancing methods consistently suggest that the procedure of automated image  
326 categorization can significantly improve model performance in apprehending defective  
327 images. Classification accuracy of the models trained with only "Good quality" samples  
328 staggered between 11.8%-18.7%, while the accuracy of the models trained after  
329 automated image categorization was higher than 79.0% (79.0%-97.4%) regardless of  
330 the choices of color processing method and deep learning model. The average  
331 difference in accuracy between the two sets of training samples reached 73.9%. The  
332 proportionate inclusion of defective images with vegetation shadow and water surface  
333 reflection enhances the anti-interference ability of the models in complex environments.  
334  
335  
336





337

338 **Figure 6.** Accuracy of discharge classification of images under environmental  
 339 disturbances. Bars with and without patterns show the results using the models trained  
 340 with only “Good quality” samples and samples after automated image categorization,  
 341 respectively. Color enhancement methods include Color Enhanced (CE), Binarization  
 342 (BZ), and Water-color Enhanced (WE).

343

### 344 3.3.2 Comparison of models and color-enhancing methods

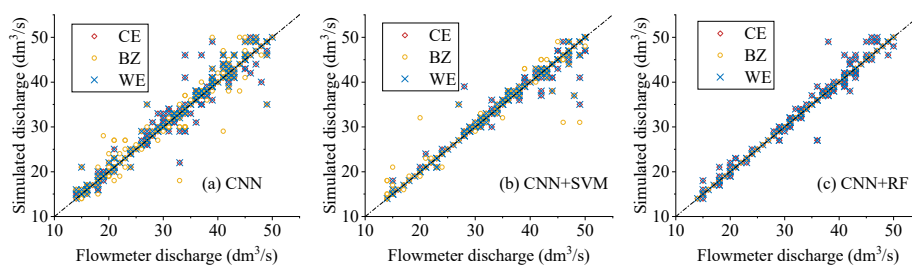
345 The stream image samples after the treatments of color-enhancing, image denoising,  
 346 and automated image categorization were randomly divided into training and validation  
 347 sets by the ratio of 7:3. The scatter plots of measured and simulated discharge based on  
 348 the validation samples (**Fig. 7**) show that all three models (i.e., CNN, CNN+SVM,  
 349 CNN+RF) can achieve satisfactory accuracy. The simulated discharges were all  
 350 significantly correlated to the flowmeters’ measurement with  $R$  values higher than 0.95.  
 351 The observed and simulated discharge under most flow conditions were distributed  
 352 around the 1:1 line with  $RMSE$  of 1.39, 1.02, and 0.69  $\text{dm}^3/\text{s}$ , respectively. However, a  
 353 comparison of the three models suggests that CNN is more likely to over- or under-



354 estimate discharge than both CNN+SVM and CNN+RF. CNN+RF achieved the best fit  
355 with the lowest *RMSE*. On the other hand, CNN+SVM shows the best performance on  
356 discharge classification (**Fig. 8**) with an accuracy higher than 91.3% using all three  
357 color-enhancing methods, which was 13.2%-14.4% higher than CNN and 2.2%-4.2%  
358 higher than CNN+RF. Such results could be related to the size of our samples and the  
359 characteristic of the features extracted by deep layers of CNN. SVM has been widely  
360 used for its capability to solve classification problems in the cases of small samples  
361 with linear features. It uses slack variables to allow the distances to the classification  
362 plane not to meet the original requirements for some points, thus avoiding overfitting  
363 in the training period.

364 Among the three tested color-enhancing methods, the Color Enhanced approach  
365 generally shows the best adaptability with the discharge classification models, with an  
366 accuracy 2.5%-5.2% higher than the Binarization and Water-color Enhanced images of  
367 the validation set. This may be caused by the different treatments in the edges of the  
368 water body. Binarization and Water-color Enhanced relatively cause larger deviation  
369 from the real edges, while Color Enhanced retains the image information to the  
370 maximum extent so that CNN can automatically and accurately identify the color  
371 differences between the target water body and the background. Overall, CNN+SVM  
372 using samples processed by the automated image categorization and Color Enhanced  
373 procedures performs best with a classification accuracy of 94.7%.

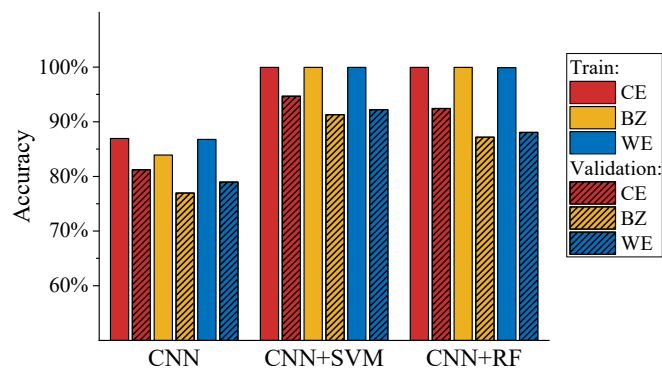
374



375

376 **Figure 7.** Comparison of discharge simulated from the validation set's images and the  
 377 flowmeters' measurement. The used deep learning models include CNN (a),  
 378 CNN+SVM (b), and CNN+RF (c). Color enhancement methods include Color  
 379 Enhanced (CE), Binarization (BZ), and Water-color Enhanced (WE).

380



381

382 **Figure 8.** Accuracy of discharge classification on the training (bars without patterns)  
 383 and validation set (bars with patterns). Color enhancement methods include Color  
 384 Enhanced (CE), Binarization (BZ), and Water-color Enhanced (WE).

385

## 386 4 Discussion

387 The existing image-based methods usually rely on either the estimations of flow  
 388 velocity and cross-section area or assumptions on stage-discharge correlation (Davids



389 et al., 2019; Leduc et al., 2018; Li et al., 2019; Tauro et al., 2017). The first type of  
390 method uses image-derived surface velocity to estimate sub-sectional mean streamflow  
391 velocity and spatial integration of discharge (Le Coz et al., 2012). The difficulties in  
392 capturing cross-sectional characteristics and the relationship between flow velocity and  
393 water depth limit their application in small mountain streams. The second type of  
394 method retrieves river geometry directly through remote sensing, yet the accuracy is  
395 primarily determined by the empirical assumptions on the relationships among water  
396 depth, velocity, and discharge (Gleason and Smith, 2014; Young et al., 2015). In this  
397 study, we proposed a new camera-based method to directly establish the relationship  
398 between the RGB matrixes of stream images and the classification probabilities of  
399 discharge. The unique merit of the CNN-based model is its capability in automatically  
400 extracting and refining discharge-related features from image samples, which improves  
401 the accuracy and applicability of the model. Previous attempts suggest that the selection  
402 of image features can significantly affect the performance on classification of stream  
403 images (Tauro et al., 2014). For example, Chapman et al. (2020) manually extracted  
404 features from pre- and post-weir images and used them as the inputs of machine  
405 learning models. However, the dominant image features relating to stream discharge  
406 could vary across different environments (e.g., topography, vegetation on river banks,  
407 water quality), limiting the transferability of such manually identified features.

408 Weather conditions (e.g., sun position, fog, rain) are the most common difficulties  
409 that reduce picture quality (Leduc et al., 2018). Therefore, we designed an automated  
410 procedure for categorizing samples by their brightness and saturation: (a) select four



411 areas in the image as detection areas, (b) eliminate images with insufficient light or  
412 raindrops on the lens, (c) identify thresholds and classify the remaining images into four  
413 categories for further model training, including the images under the influence of  
414 vegetation shadow and overexposure caused by water reflection in certain angles. Such  
415 inclusion and categorization of defective samples have significantly enhanced the anti-  
416 interference ability of the model, facilitating uninterrupted discharge monitoring  
417 through the daytime. These factors and the thresholds of brightness and saturation are  
418 site-specific and require manual trials to identify them. However, after adequate initial  
419 calibration, an established model can be used for the same site for extended periods and  
420 repeated installations of camera systems.

421 The training and validation of deep learning models require a large number of  
422 representative samples (He et al., 2016). We collected a total of 7757 image samples  
423 from July 20<sup>th</sup> to September 27<sup>th</sup>, 2022, and 3464 images were used for model training  
424 and validation after image screening and categorization. Although we executed an  
425 effective automatic categorization procedure on the acquired image samples, it is  
426 undeniable that the training and validation sets didn't cover all environmental  
427 disturbances. For example, the time of sunrise and sunset, the appearance of water  
428 surface reflections, and the coverage of vegetation shadows are affected by the angles  
429 of sunlight and vary with seasons. With sufficient artificial lighting or installation of a  
430 night-vision infrared camera (Royem et al., 2012), the images during nighttime can also  
431 be used for discharge monitoring after training. More image samples are needed to  
432 enrich the representativeness of the model in further studies. Another limitation is that



433 we have focused on low and average flow conditions in the model training due to the  
434 lack of high-quality flood samples. In tropical and subtropical mountain streams of  
435 southern China, floods usually occur during rainstorms and only last for a short time.  
436 Heavy rainfalls constantly block the camera lens with raindrops, and the rapid  
437 streamflow movement during heavy rainfall tends to cause blurred images, which can  
438 only be partly improved by increasing the shutter speed and adjusting the camera  
439 position.

440

## 441 **5 Conclusions**

442 The results demonstrate the effectiveness of a novel method for discharge monitoring  
443 of mountain streams using deep learning and a low-cost solar-powered commercial  
444 camera (approximately \$200). The discharge-relevant stream features embedded in a  
445 large number of RGB images can be implicitly recognized and retrieved by CNN to  
446 achieve continuous discharge monitoring. Coupling CNN and traditional machine  
447 learning methods can potentially improve model performance in discharge  
448 classification to various extents. In this case, the accuracy of CNN+SVM and CNN+RF  
449 were 9.1%-14.4% higher than CNN. Proper image pre-processing and categorization  
450 can largely enhance the applicability of image-based discharge monitoring. In an  
451 environment under complex disturbances such as mountain streams, image quality is  
452 constantly interfered with by shadows of vegetation on the river banks. The automated  
453 image categorization procedure can effectively recognize discharge from defective



454 images by filtering samples under different conditions and improve model robustness.  
455 The comparison of the three color-enhancing approaches also confirms the importance  
456 of including the non-water parts (e.g., large rocks) and retaining the background  
457 information to the maximum extent in the image analysis.

458 The proposed method provides an inexpensive and flexible alternative apparatus for  
459 continuous discharge monitoring at rocky upstream mountain streams, where it is  
460 challenging to identify the cross-section shape or establish a stable stage-discharge  
461 relationship. Site-specific field data is needed to identify the criteria for image  
462 categorization and model validation. However, it circumvents the potential errors in  
463 assuming cross-section characteristics, such as the relationship between water depth  
464 and flow velocity, and represents a new direction for applying deep learning techniques  
465 in acquiring high-frequency discharge data through image analysis.

466

#### 467 **Code/Data availability**

468 The code and data are available upon request from the corresponding author.

#### 469 **Author contribution**

470 KD and CF conceptualized the experiments. GY, ZZ, and QZ curated the data. All  
471 authors participated in the investigation. CF, GY, ZZ, and QZ wrote the original draft  
472 and visualized the data. KD reviewed and edited the final version of the manuscript.



473 **Competing interests**

474 The authors declare no competing interests.

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