

1 **Technical Note: Monitoring discharge of mountain streams by**
2 **retrieving image features with deep learning**

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6 **Abstract**

7 Traditional discharge monitoring usually relies on measuring flow velocity and cross-
8 section area with various velocimeters or remote-sensing approaches. However, the
9 topography of mountain streams in remote sites largely hinders the applicability of
10 velocity-area methods. We here present a method to continuously monitor mountain
11 stream discharge using a low-cost commercial camera and deep learning algorithm. A
12 procedure of automated image categorization and discharge classification was
13 developed to extract information on flow patterns and volumes from high-frequency
14 red–green–blue (RGB) images with deep convolutional neural networks (CNNs). The
15 method was tested at a small, steep, natural stream reach in southern China. Reference
16 discharge data was acquired from a V-shaped weir and ultrasonic flowmeter installed a
17 few meters downstream of the camera system. Results show that the discharge-relevant
18 stream features implicitly embedded in RGB information can be effectively recognized
19 and retrieved by CNN to achieve satisfactory performance in discharge measurement.
20 Coupling CNN and traditional machine learning models (e.g., support vector machine
21 and random forest) can potentially synthesize individual models' diverse merits and
22 improve generalization performance. Besides, proper image pre-processing and
23 categorization are critical for enhancing the robustness and applicability of the method
24 under environmental disturbances (e.g., weather and vegetation on river banks). Our
25 study highlights the usefulness of deep learning in analyzing complex flow images and
26 tracking flow changes over time, which provides a reliable and flexible alternative

27 apparatus for continuous discharge monitoring of rocky mountain streams.

28 **Keywords:**

29 Discharge monitoring; Mountain streams; Deep learning; Machine learning; Image
30 categorization

31 **1 Introduction**

32 Continuous discharge data is critical for hydrological model development and flood
33 forecast (Clarke, 1999; Mcmillan et al., 2010), water resources management (Council,
34 2004), and aquatic ecosystem health assessment (Carlisle et al., 2017). Traditional
35 discharge monitoring relies on stream gauges that convert water level to discharge with
36 an established stage-discharge curve, or information on stable cross-sections and flow
37 velocity obtained from flow velocimeters such as Acoustic Doppler Current
38 Profiler (ADCP) and ultrasonic defectoscope (Kasuga et al., 2003). However, these
39 approaches require significant investment on the implementation of equipments,
40 training of personnel with expertise, and constant maintenance (Fujita et al., 2007;
41 Czuba et al., 2017; Yorke and Oberg, 2002). Besides, the performance of transducers
42 and velocimeters is usually susceptible to sediments and floating debris, particularly in
43 flooding seasons (Hannah et al., 2011). Consequently, large temporal gaps remain in
44 many discharge records across the world despite of the growing demand on data
45 (Davids et al., 2019; Royem et al., 2012). Spatially, flow monitoring of downstream
46 river sections has been assigned to a higher priority due to the concerns on water supply
47 and flood control, leading to an acute shortage of discharge data in mountain streams

48 and headwater catchments (Deweber et al., 2014).

49 To overcome the limitations of traditional methods, a few image-based approaches
50 have been introduced into water stage, flow velocity, and discharge measurement in
51 rivers (Noto et al., 2022; Leduc et al., 2018). Image-based (Leduc et al., 2018; Noto et
52 al., 2022) approaches rely only on the acquisition of digital images of streams from
53 inexpensive commercial cameras and thus have been a promising alternative for
54 continuous, noninvasive, and low-cost streamflow monitoring. The two most
55 commonly used approaches include large-scale particle image velocimetry (LSPIV)
56 and particle tracking velocimetry (PTV). LSPIV (Fujita et al., 2010) is based on a high-
57 speed cross-correlation scheme between an interrogation area (IA) in a first image and
58 IAs within a search region (SR) in a second image. The technique has been proved
59 effective in monitoring low-velocity and shallow-depth flow fields (Tauro et al., 2018).
60 However, it performs poorly in mapping velocity fields in high resolution when there
61 is a lack~~ing~~ of seeds on the water surface because the algorithm obtains the average
62 speed of each SR (Tauro et al., 2017). Compared to LSPIV, PTV was designed for low
63 seeding density flows, focusing on particle tracking instead of recognition. The PTV
64 approach does not require assumptions on flow steadiness nor the relative position of
65 neighbor particles (Tauro et al., 2018). Several algorithms have been developed for PTV
66 analysis, such as space-time image velocimetry (STIV) and optical tracking
67 velocimetry (OTV), overcoming the over-dependence on natural particles' shape and
68 size (Tauro et al., 2018; Tsubaki, 2017). STIV evaluates surface flow velocity by

69 analyzing a texture angle within a variation of brightness or color on the water surface,
70 while OTV combines automatic feature detection, Lucas-Kanade tracking algorithm
71 and track-based filtering methods to estimate subpixel displacements (Fujita et al., 2007;
72 Karvonen, 2016). Existing image-based discharge measurement methods all use the
73 velocity-area method to indirectly deduce discharge after identifying stage and average
74 (Davids et al., 2019; Leduc et al., 2018; Tsubaki, 2017; Herzog et al., 2022) velocity.
75 The average velocity in a cross-section is estimated with surface velocity derived from
76 natural or artificial seeds on water surface and pre-defined empirical relationships
77 between the surface velocity and average velocity. The velocity-area method relies on
78 a stable relationship between stage and cross-sectional area, and needs to take velocity
79 extrapolations to the edges and vertical distributions throughout the cross-section into
80 account (Le Coz et al., 2012). However, it is difficult to identify the water stage and
81 vertical characteristics of mountain streams due to the steep, narrow, and highly
82 heterogeneous cross-sections. The applicability of PIV and PTV approaches is largely
83 hindered by such topography.

84 Unlike PIV and PTV, deep learning models possess the capability to extract
85 discharge-related features from images of rivers or streams automatically. These models
86 are able to adjust the weights assigned to each feature, eliminating the need for manual
87 attention and reducing the risk of overemphasizing or misinterpreting features that are
88 unresponsive to flow discharge (Canziani et al., 2016). Besides, deep learning models
89 can extract low-level image features, such as edges, textures, and colors (Jiang et al.,

90 2021). These merits could be essential in retrieving information from images of
91 mountain streams, particularly in regions with intricate cross-sectional profiles. For
92 example, (Ansari et al., (2023)REF] developed a convolutional neural network (CNN)
93 to estimate the spatial surface velocity distribution and derive discharge, outperforming
94 traditional optical flow methods both in laboratory and field settings, albeit with a
95 reliance on surveyed cross-section information.

96 In this study, we propose a novel mountain stream discharge monitoring method
97 using a low-cost commercial camera and deep learning models. Automated image
98 categorization and pre-processing procedures were developed for processing high-
99 frequency red-green-blue (RGB) images, and then ~~the convolutional neural network~~
100 ~~(CNN)—CNN~~ was used to extract information on flow patterns from RGB
101 ~~matrixes~~matrices and establish empirical relationships with the classification
102 probabilities of discharge volumes. We hypothesize that (1) the features of mountain
103 streams (e.g., coverage of water surface, flow direction, flow velocity) embedded in
104 RGB images can be recognized by suitable deep learning approaches to achieve
105 effective discharge monitoring, and (2) proper image pre-processing and categorization
106 can improve accuracy of image-based discharge monitoring of mountain streams. A
107 rocky mountain stream of a headwater catchment in tropical southern China was used
108 as a study site to test our hypotheses.

109

110 **2 Methods**

111 **2.1 Site and field setting**

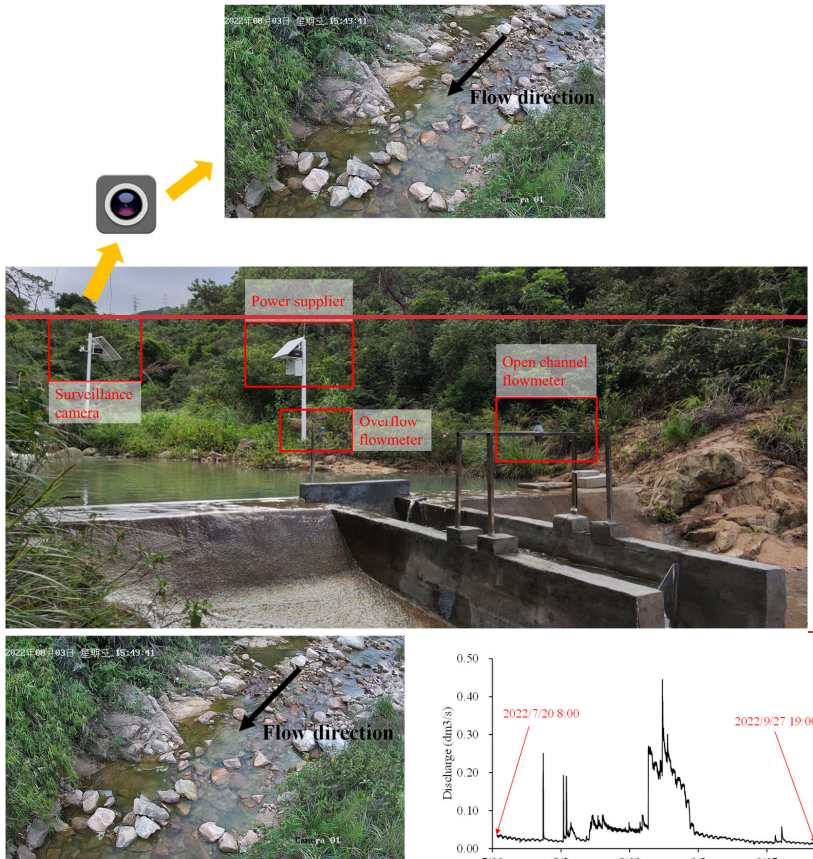
112 The study site is located on a small, steep, rocky reach of a stream in the Zhuhai Campus
113 of Sun Yat-sen University, China (22°20'58" N, 113°34'29" E). The site elevation is 13
114 m above sea level and about 2 km away from the Lingding Yang of South China Sea.

115 The stream flow is mainly controlled by rainfall in the upstream drainage area. Water
116 stage and flow velocity increase rapidly during East Asian summer monsoon rainfalls
117 and fluctuate with synoptic weather conditions on dry days.

118 The main objective of the study was to test the applicability of deep-learning based
119 image processing approaches in capturing the flow characteristics and discharge
120 volumes in the daily flow cycle in this mountain stream. We selected a straight, single-
121 thread reach for the gauging location, and set up a Hikvision camera on the left bank of
122 the stream to collect flow images (**Fig. 1**). Discharge data monitored by a weir about 8
123 m downstream of the camera was used for model training and validation. The camera
124 was installed 3 m above the ground, facing the surface of the stream almost vertically.
125 The entire stream width is visible in the images. The camera was equipped with a 150W
126 solar panel and 80AH lithium battery, enabling the camera to work continuously for 80
127 hours without external power on rainy days. The camera supports the wireless
128 transmission of video data to the server.

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131



132 **Figure 1.** Camera setup. The camera is set on the left bank of the stream, about 3 m

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133 above the water surface, and 8 m upstream of a gauging weir. [The top right panel](#)
134 [demonstrates the changes in the flowmeter's discharge during the measurement period.](#)

135

136 **2.2 Data**

137 The flat V-shaped weir downstream of the camera monitors discharge with an open
138 channel flowmeter and an overflow flowmeter. The flowmeters measure water levels in
139 the channel and in front of the weir with ultrasonic sensors and calculate real-time
140 discharge at the time step of two minutes by a semi-empirical equation suggested by
141 the State Bureau of Technical Supervision of China (www.chinesestandard.net), as

$$142 \quad Q = \frac{8}{15} C_e \tan \frac{\theta}{2} \sqrt{2g} h_e^{\frac{5}{2}} \quad (1)$$

143 where Q is the discharge of stream, θ is the angle of triangular weir, g is
144 acceleration of gravity, h_e is the height of the water surface from the bottom of triangle
145 barrier, C_e is an empirical coefficient.

146 We collected the discharge data of the weir ([Fig. 1](#)) and its corresponding stream
147 videos during daylight (07:00-19:00 UTC+8) from July 20th to September 27th, 2022.
148 The raw video resolution was 2560×1440 pixels with a refresh rate of 50 Hz. Images
149 were extracted from the videos at 5-minute intervals to avoid excessive similarity
150 between adjacent images. A total of 7,757 image samples labeled with 37 discharge
151 values between 0.014 and 0.050 m³/s at the interval of 0.001 m³/s were collected for
152 model testing.

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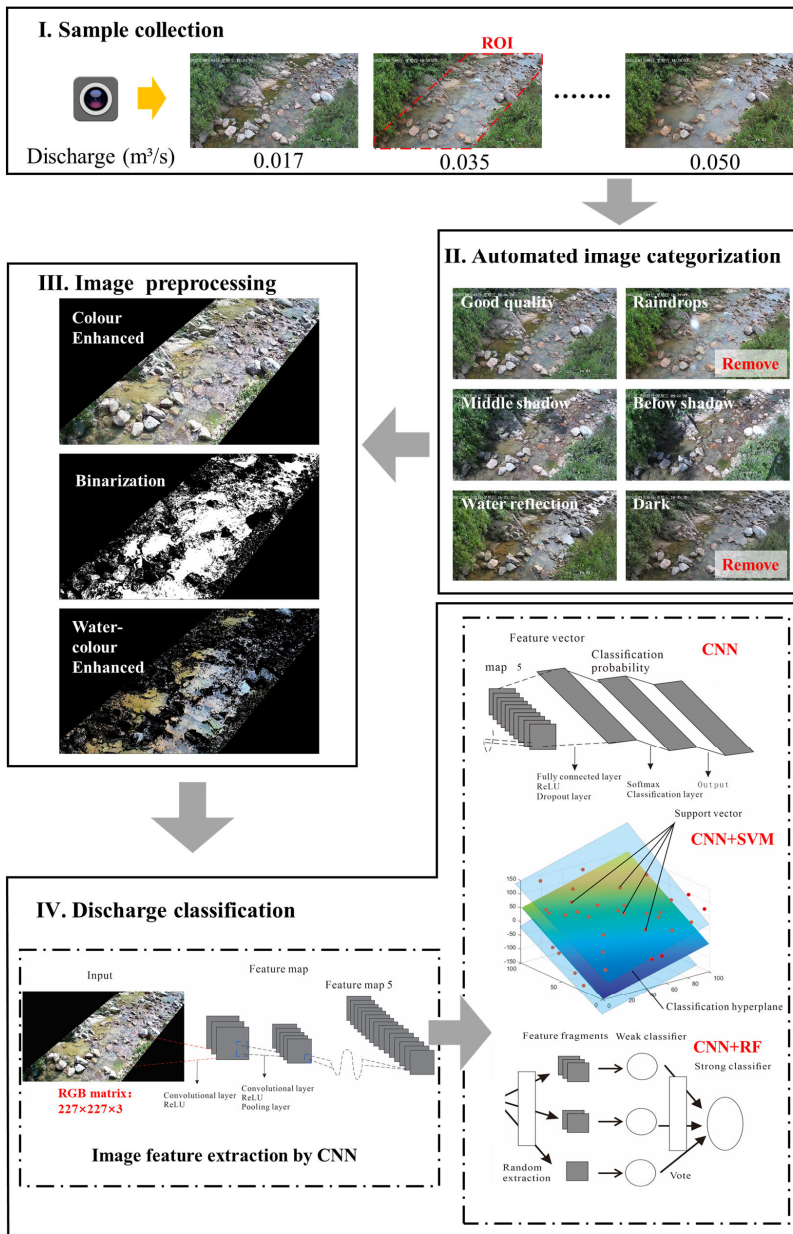
153 **2.3 Image processing**

154 **2.3.1 Image categorization**

155 Environmental disturbances such as illumination and shadow can seriously interfere
156 with the extraction of effective image features of mountain streams, such as boundaries
157 of water surface and textures of flow lines (Herzog et al., 2022; Gershon et al., 1986).
158 Although researchers have proposed methods to eliminate shadows (Finlayson et al.,
159 2002), the treatment effect in some complex environments, such as plant shadows and
160 boulders distributed on mountain streams, is not always satisfactory.

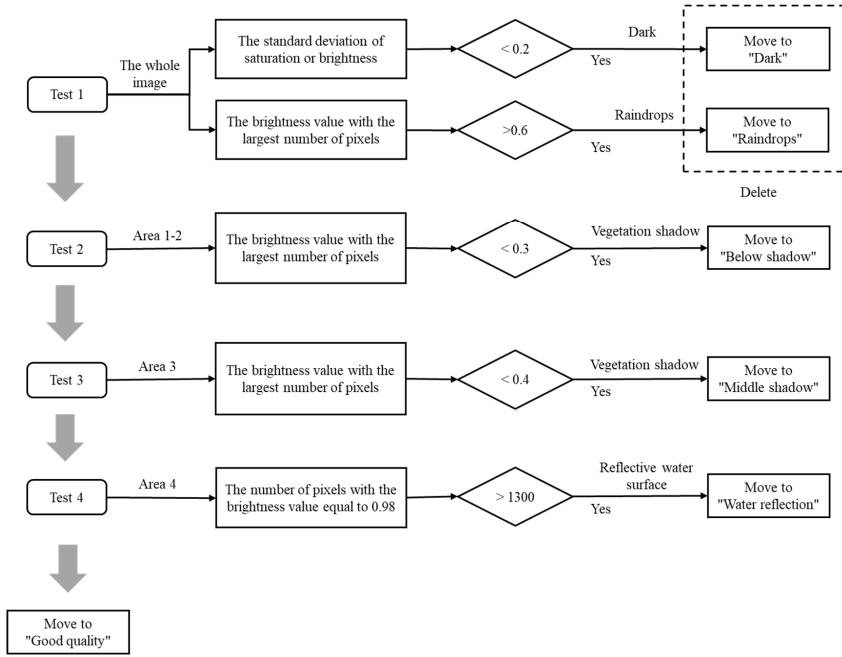
161 Frequently observed disturbances on images include: (1) shadows in the target stream
162 region due to plants blocking direct sunlight; (2) image noise due to raindrops attached
163 to the camera lens on rainy days; (3) the lack of light leading to low brightness and
164 contrast of the image; (4) overexposure of image due to light reflection of the water
165 surface (around 16:00 UTC+8 in this case). Taking these factors into consideration, we
166 divided all image samples into six categories, including "Good quality", "Raindrops",
167 "Middle shadow", "Below shadow", "Water reflection", and "Dark" (**Fig. 2**). "Good
168 quality" contains image samples without obvious noise or shadow. All the other images
169 lose some feature information due to noise, shadows, reflections, or dim lighting. To
170 ensure the model performance under different environmental conditions, we designed
171 an automated categorization procedure (**Fig. 3**) to screen the raw images and exclude
172 the "Raindrops" and "Dark" samples from model training.

173



174

175 **Figure 2.** Flowchart of image processing and discharge monitoring.



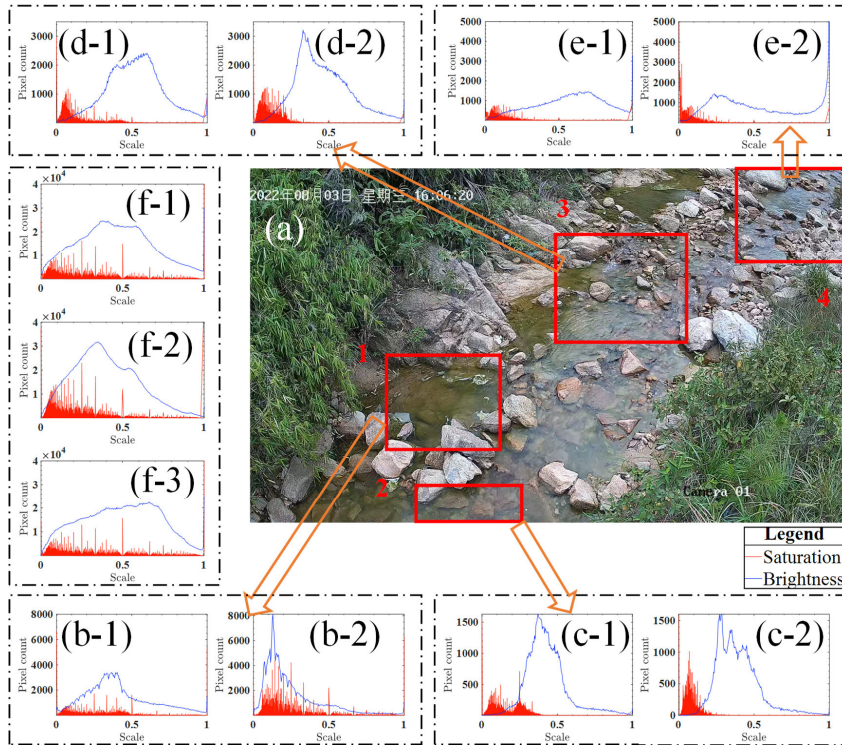
177

178 **Figure 3.** Procedure of automated image categorization.

179

180 Firstly, we selected four areas in the image as the detection areas (**Fig. 4a**) where the
 181 special conditions mentioned above commonly occurred: the upper and lower shadows
 182 in the target stream section mainly appeared in Area 3 and Area 1&2, respectively;
 183 disturbance of water surface reflection was mostly found in Area 4. Then, the thresholds
 184 of saturation or brightness in the four detection areas for image categorization were
 185 determined manually by comparing image samples under different conditions. The
 186 four-step procedure includes: (1) "Dark" images (**Fig. 4f-2**) were identified when the
 187 standard deviation of the brightness or saturation of the full image was less than 0.2. (2)

188 "Raindrops" images (**Fig. 4f-3**) were identified when the brightness of the whole image
189 with the largest number of pixels was greater than 0.6. These two types of images were
190 excluded from the training samples. (3) "Below shadow" (**Fig. 4b-2; Fig. 4c-2**) and
191 "Middle shadow" images (**Fig. 4d-2**) were identified when the brightness value with
192 the largest number of pixels in Area 1&2 and Area 3 was less than 0.3 and 0.4,
193 respectively. (4) "Water reflection" images were identified when the number of pixels
194 with a brightness value of 0.98 in Area 4 exceeded 1300 (**Fig. 4e-2**). The images passing
195 all the tests in the procedure were considered "Good quality" samples. The other charts
196 in **Fig. 4** show the saturation and brightness distributions derived from a typical "Good
197 quality" image.



198

199 **Figure 4.** Comparison of saturation and brightness distributions in the four detection
 200 areas under different environmental conditions. The horizontal axis is the interval range
 201 (0-1) of saturation and brightness in HSB space. The vertical axis indicates the number
 202 of pixels under a certain saturation or brightness value. Figures b-1, c-1, d-1, and e-1
 203 display the saturation and brightness distributions in Area 1-4 of a “Good quality”
 204 sample. Figures b-2, c-2, d-2, and e-2 display the results derived from samples of
 205 “Below shadow” (b-2; c-2), “Middle shadow” (d-2), and “Water reflection” (e-2),
 206 respectively. Figures f-1, f-2, and f-3 display the saturation and brightness distributions
 207 of an entire image, derived from “Good quality”, “Dark”, and “Raindrops” samples,

208 respectively.

209

210 **2.3.2 Color enhancement**

211 In order to highlight the stream features embedded in the images and avoid image
212 information redundancy, we compared three commonly used color enhancement
213 approaches to process the image samples.

214 **(1) Color Enhanced.** A dynamic histogram equalization technique (Abdullah-Al-
215 Wadud et al., 2007; Cheng and Shi, 2004) was used to enhance contrast and emphasize
216 stream features. First, vegetation areas on both sides of the stream were cropped and
217 filled with black. Then, histogram equalization was used to enhance the contrast
218 between light and dark, i.e., brighten the bubbles, swirls, ripples, splashes, water
219 coverage, etc., and darken the bottom stones and reflections in the water.

220 **(2) Binarization.** Binarization of image information can decrease the
221 computational load and enable the utilization of simplified methods compared to 256
222 levels of grey-scale or RGB color information (Finlayson et al., 2002; Sauvola and
223 Pietikäinen, 2000). In this case, the RGB and HSB (Hue, Saturation, Brightness)
224 information extracted from images suggests that the brightness of the stream water
225 under daylight ranges from 0.2 to 0.7, and the values of three color components follow:

$$226 \quad R(x, y) + G(x, y) + B(x, y) > 350 \quad (2)$$

227 Where $R(x, y)$, $G(x, y)$ and $B(x, y)$ respectively represent the red, green, and blue
228 color values of the pixel (x, y) . The original image was transformed into a binary image

229 by assigning the values of "1" and "0" to the pixels within and out of the water body,
230 respectively.

231 **(3) Water-color Enhanced.** Considering that water-color features may carry some
232 useful information on discharge (Kim et al., 2019), we tested a new pre-processing
233 method combining the two approaches above. The RGB information of the original
234 image within the water body areas was kept unchanged, while the non-water body areas
235 were filled with black color. Then, the water body areas were further enhanced with the
236 histogram equalization method to highlight the edge transition between the water body
237 and the background (Abdullah-Al-Wadud et al., 2007).

238 2.3.3 Image denoising

239 Images pre-processed by all of three approaches still contain large amounts of noise
240 due to environmental disturbances and edge oversharpening caused by image contrast
241 enhancement (Herzog et al., 2022). Therefore, the wavelet transform (Zhang, 2019) was
242 adopted to denoise the image samples. We chose a compromise threshold between hard
243 and soft thresholds as the threshold function (Chang et al., 2010). When the wavelet
244 coefficient is greater than or equal to the threshold, a compromise coefficient α ranging
245 from 0 to 1 is added before the threshold to achieve a smooth transition from hard to
246 soft thresholds, as

$$247 \quad \lambda = \frac{\text{median}(d_j(k))}{0.6745} \times \sqrt{2 \log(M \times N)} \quad (3)$$

$$248 \quad \omega_\lambda = \begin{cases} [\text{sign}(\omega)](|\omega| - \alpha\lambda), & |\omega| \geq \lambda \\ 0, & |\omega| < \lambda \end{cases} \quad (4)$$

249 where j is the scale of wavelet decomposition, $d_j(k)$ is the coefficient of wavelet

250 decomposition, M and N are the length and width of images, ω is the wavelet
251 coefficient, λ is the set threshold, and $sign$ is the sign function. In this case, $M \times$
252 $N=2560 \times 1440$, $\alpha=0.5$.

253 2.4 Correlation between color information and discharge

254 The unstructured image data of mountain streams implicitly contains many stream
255 features relevant to discharge, such as the width and depth of streams, the coverage of
256 water surface, and spatial distributions of flow direction and flow velocity. ~~CNN has
257 been widely used in various classification and regression problems for its capability in
258 recognizing the features of interest from images (Krizhevsky et al., 2017).~~ In this study,
259 we attempted to achieve discharge monitoring by establishing empirical relationships
260 between the RGB color information of the water body and the discharge volumes. We
261 first explored the correlation between the combination of R/G/B values $(a\bar{R} + b\bar{G} +$
262 $c\bar{B})$, where \bar{R} , \bar{G} , \bar{B} are the mean values of red, green and blue channels of an image,
263 respectively, and a , b , and c are coefficients to be determined) in the region of interest
264 (ROI, see Fig. 2) and the discharge conditions. Spearman's rank correlation coefficient
265 between $a\bar{R} + b\bar{G} + c\bar{B}$ RGB values and discharge is calculated as

$$266 \quad r_s = 1 - \frac{6 \sum_{i=1}^n d_i^2}{n(n^2-1)} \quad (5)$$

267 where n is the number of samples, d_i is the difference between the ranks of R/G/B values
268 and discharge of each image sample. ~~Different algebraic combinations of a , b , and c ,
269 representing red, blue, and green components, respectively, are explored to investigate
270 potential correlations and demonstrate the feasibility of deriving discharge from RGB~~

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271 images.

272

273 **2.5 Algorithms of discharge estimation**

274 We used three algorithms to establish discharge classification models (**Fig. 2**), including
275 convolutional neural network (CNN), support vector machine (SVM), and random
276 forest (RF). The data of the RGB color matrix derived from pre-processed images was
277 used as model inputs. SVM and RF were coupled with CNN to explore the potential
278 merits of traditional machine learning algorithms in improving the classification
279 accuracy and efficiency of CNN-based discharge classifiers. All the embedding image
280 features are normalized and regularized before passed to classifiers to avoid overfitting
281 for CNN-based models.

282 **2.5.1 Convolutional Neural Network (CNN)**

283 Deep convolutional neural network allows computational models composed of multiple
284 processing layers to learn representations of data with multiple levels of abstraction,
285 which have brought breakthroughs in processing images, video, speech, and audio
286 (Lecun et al., 2015). The AlexNet architecture (Krizhevsky et al., 2017) was used to
287 construct our model. Parameters of the semantic layer of the model were calibrated to
288 achieve feature extraction and classification of stream images. The image size was first
289 rescaled from 2560×1440 to 227×227 to facilitate the migration of trained AlexNet. A
290 227×227×3 (length×width×color) matrix was retrieved from each image as the model
291 input. There were five built-in convolutional layers, using a 3×3 convolution kernel and

292 a 3×3 pooled kernel. We replaced the last three layers of AlexNet with a full-connection
 293 layer, a softmax layer, and a classification layer, leaving all other layers intact. The
 294 parameters of the full-connection layer were set according to the number of selected
 295 discharge values. The ReLU function was used as the convolutional layer activation
 296 function to extract and pass on the water coverage features. The SoftMax function was
 297 the activation function of the output layer, and the extracted feature vectors were
 298 compressed under each discharge label. The probability that a stream image falls into a
 299 discharge label was calculated as

$$300 \quad P(y|x) = \frac{e^{h(x,y_i)}}{\sum_{i=1}^n e^{h(x,y_i)}} \quad (6)$$

301 where x is the feature vector extracted by CNN, y is the discharge label, n is the number
 302 of labels, $h(x, y_i)$ is the linear connectivity function. The training method for CNN
 303 was stochastic gradient descent with momentum, with 15 samples in small batches, a
 304 maximum number of rounds of 10, a validation frequency of 3 epochs, and an initial
 305 learning rate of 0.00005. The samples were shuffled in every epoch. The loss function
 306 for discharge classification was Cross-Entropy Loss, as

$$307 \quad L = -\frac{1}{N} \sum_{i=1}^N \sum_{c=1}^C y_{i,c} \log(p_{i,c}) \quad (7)$$

308 where L is the value of loss, N is the number of samples, C is the number of discharge
 309 classes, $y_{i,c}$ represents the value of the true label for the i^{th} sample in the c^{th} class using
 310 one-hot encoding, and $p_{i,c}$ represents the probability of i^{th} sample belonging to c^{th}
 311 class calculated by CNN.

312

313 **2.5.2 Convolutional Neural Network coupled with Support Vector Machine**
314 **(CNN+SVM)**

315 SVM is a machine learning method based on structural risk minimization and Vapnik–
316 Chervonenkis (VC) dimension theory (Cortes and Vapnik, 1995). It has been widely
317 used in image processing, pattern recognition, fault diagnosis, prediction and
318 classification (Burges, 1998), which can help to capture key samples and eliminate
319 redundant samples by finding the optimal hyperplane. Compared with neural networks,
320 which rely on large training samples and tend to fall into local optima, SVM can achieve
321 global optima with a simpler model structure (Hanczar et al., 2010; Matykiewicz and
322 Pestian, 2012). However, the SVM-based classifier requires manual input of image
323 features. Therefore, we coupled CNN and SVM to achieve automatic discharge
324 classification. Image features extracted by CNN (i.e., the output of the 5th CNN pooling
325 layer) were fed into SVM classifiers to calculate discharge. The extracted image
326 features, coded with a "one-vs-all" scheme, were used to train binary SVM classifiers.
327 Specifically, one SVM classifier with a linear kernel function was trained for each
328 discharge class to distinguish that class from the rest. The hinge loss function was
329 employed to optimize the entire model by maximizing the margin between discharge
330 classes.~~The extracted image features were coded with "one-vs-all" scheme, and the~~
331 ~~linear kernel function was selected for SVM classifier with hinge loss.~~

332

333 2.4.3 Convolutional Neural Network coupled with Random Forest (CNN+RF)

334 RF (Tin Kam, 1995) is a flexible machine-learning algorithm that combines the output
335 of multiple decision trees to reach a single result. Each decision tree depends on the
336 values of a random vector sampled independently and with the same distribution for all
337 trees in the forest (Breiman, 2001; Panda et al., 2009). It is an integrated algorithm of
338 the Bagging type (Aslam et al., 2007) that combines multiple weaker classifiers, and
339 the final result is obtained by voting or averaging to improve accuracy and
340 generalization performance. We here used an RF with comprising 350 decision trees
341 and five decision leaves for discharge calculation. The coupling method of CNN+RF
342 mirrors that of similar to CNN+SVM, using the same pooling outputs of CNN as ~~the~~
343 ~~inputs of~~ for RF discharge classifiers. RF is trained to assign optimal weights to each
344 decision tree and leaf without a specific loss function.

345

346 2.6 Model evaluation metrics

347 The performance of discharge classification models was measured by four widely used
348 metrics, including classification accuracy, F1 score, coefficient of determination (R^2),
349 and root mean square error (RMSE).

350 (1) Accuracy:

$$351 \quad Accuracy = \frac{\sum_{i=1}^k TP_i}{N} \quad (8)$$

352 where TP_i is the number of correctly classified samples in the i^{th} discharge class; N is
353 the total number of samples; k is the number of discharge classes.

354 (2) F1 score:

$$355 \quad F1 = \frac{2 \times Precision \times Recall}{Precision + Recall} \quad (9)$$

356 where *Precision* is the ratio of true positive classification (TP_i) to the sum of TP_i and

357 the number of misclassified samples with the i^{th} discharge simulated by a model (FP_i);

358 *Recall* is the ratio of TP_i to the sum of TP_i and the number of misclassified samples

359 with the observed i^{th} discharge (FN_i), calculated as

$$360 \quad Precision = \sum_{i=1}^k \frac{n_i}{N} \times \frac{TP_i}{TP_i + FP_i} \quad (10)$$

$$361 \quad Recall = \sum_{i=1}^k \frac{n_i}{N} \times \frac{TP_i}{TP_i + FN_i} \quad (11)$$

362 where n_i is the number of samples that fall in the i^{th} class.

363 (3) R^2

$$364 \quad R^2 = 1 - \frac{\sum_{j=1}^N (y_j - \hat{y}_j)^2}{\sum_{j=1}^N (y_j - Y)^2} \quad (12)$$

365 where y_j and \hat{y}_j are the observed and simulated discharge, respectively; Y is the mean

366 discharge.

367 (4) RMSE

$$368 \quad RMSE = \sqrt{\frac{1}{N} \sum_{j=1}^N (y_j - \hat{y}_j)^2} \quad (13)$$

369 **3 Results**

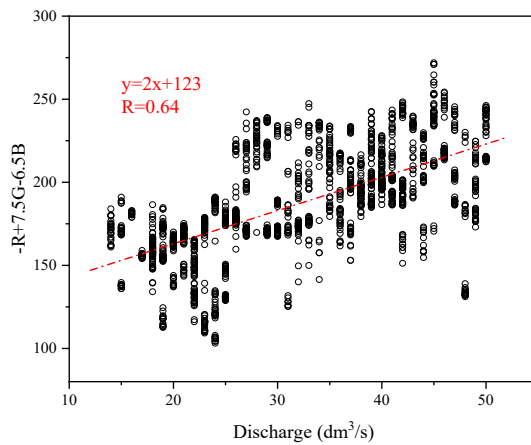
370 **3.1 Correlation analysis**

371 This section aims to demonstrate the feasibility of retrieving flow discharge from

372 stream images, showcasing how discharge related features are indeed embedded in

373 RGB matrices. We first performed a preliminary correlation analysis between the RGB

374 [matrices in ROI and the discharge values](#). Traversing the common algebraic
375 combinations of the three colors, we found that $-\bar{R} + 7.5\bar{G} - 6.5\bar{B}$ (\bar{R} , \bar{G} , \bar{B} are the
376 mean values of red, green and blue channels of an image, respectively) had a spearman
377 correlation coefficient of 0.67 with discharge (p-value < 0.01), indicating that the
378 discharge is significantly correlated with the color combination value at the 99%
379 confidence level (**Fig. 5**). Such result suggests that discharge conditions are embedded
380 in RGB information of mountain streams to some extent, which could be further
381 retrieved and refined by CNN models.



382
383 **Figure 5.** Correlation between RGB color values and corresponding discharges.

384

385 **3.2 Effectiveness of automated image categorization**

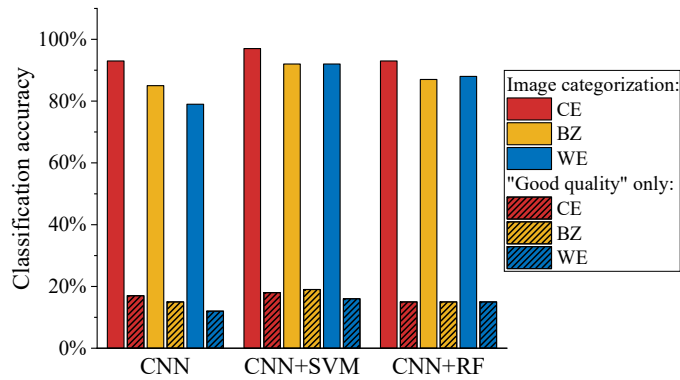
386 Most of the previous image-based studies only selected unblemished images for
387 discharge or velocity monitoring, which resulted in poor model performance under
388 environmental disturbances (Leduc et al., 2018; Chapman et al., 2020; Herzog et al.,

389 2022). In this study, we also included samples under the influence of vegetation
390 shadows and water reflection for model training. We selected approximately 100 stream
391 images corresponding to each discharge volume (at the interval of 0.001 m³/s) from the
392 pre-processed samples (3168 images in total). The databases of "Good quality",
393 "Middle shadow", "Below shadow", and "Water reflection" were approximately
394 sampled in the ratio of 7:0.6:1.4:1 (2146:244:437:341 images) to ensure the
395 representation of different environmental conditions. The samples were distributed
396 evenly in each discharge interval to avoid bias towards particular discharge conditions
397 and enhance model performance on high and low flows (Wang et al., 2023).

398 **Fig. 6** demonstrates the difference in classification accuracy of monitoring discharge
399 by the defective images, using two sets of models trained with only "Good quality"
400 images and samples filtered by automated image categorization, respectively. Results
401 derived from the three discharge classification models and three color-enhancing
402 methods consistently suggest that the procedure of automated image categorization can
403 significantly improve model performance in apprehending defective images.
404 Classification accuracy of the models trained with only "Good quality" samples
405 staggered between 11.8%-18.7%, while the accuracy of the models trained after
406 automated image categorization was higher than 79.0% (79.0%-97.4%) regardless of
407 the choices of color processing method and deep learning model. The average
408 difference in classification accuracy between the two sets of training samples reached
409 73.9%. The proportionate inclusion of defective images with vegetation shadow and

410 water surface reflection enhances the anti-interference ability of the models in complex
411 environments.

412



413

414 **Figure 6.** Accuracy of discharge classification of images under environmental
415 disturbances. Bars with and without patterns show the results using the models trained
416 with only "Good quality" samples and samples after automated image categorization,
417 respectively. Color enhancement methods include Color Enhanced (CE), Binarization
418 (BZ), and Water-color Enhanced (WE).

419

420 3.3 Model training and validation

421 After the treatments of color-enhancing, image denoising, and automated image
422 categorization, the images were randomly divided into training and validation sets by
423 the ratio of 7:3, and then used for model training and validation, respectively.

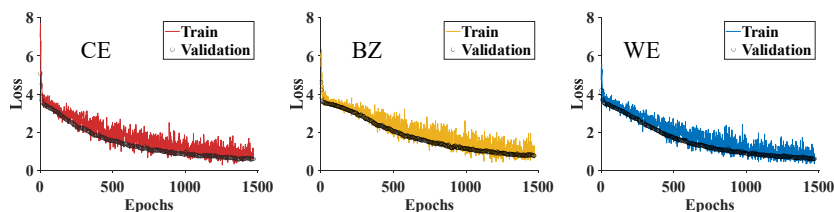
424

425 3.3.1 Loss changes

426 The changes in training and validation loss of the CNN models driven by three types of
427 color-enhanced images are demonstrated in **Fig. 7**. In the initial twenty epochs, the
428 training loss values decreased rapidly from 7.70 to 3.73 (Color Enhanced), from 5.91
429 to 3.73 (Binarization), and from 5.41 to 3.80 (Water-color Enhanced), respectively.
430 Subsequently, the decreasing rates slowed during the following 1000 epochs, averaging
431 around -0.0027 to -0.0030 per epoch. The loss value usually stabilizes after 1000 epochs
432 in CNN training (Keskar et al., 2016). In our case, the loss value began to flatten after
433 the 1300th epoch, signifying convergence towards a consistent loss value below 1.00
434 across all three color-enhancing methods. Therefore, we set the maximum training
435 epochs to 1470 to ensure model performance while avoiding overfitting.

436 The proximity between the training and validation loss changes at the final few
437 epochs is an important indicator that the model is not suffering from overfitting. A
438 commonly acknowledged benchmark of such proximity is approximately 0.1 to 0.2
439 (Heaton, 2018). In our CNN models, the validation loss values at the final epoch were
440 0.60, 0.78, and 0.63, respectively, which were 0.19, 0.08, and 0.07 lower than the
441 corresponding training loss. Such results suggest that the models did not suffer from
442 overfitting or underfitting.

443



444

445 **Figure 7.** Changes in training and validation loss of the models driven by three types

446 of color-enhanced images. Color enhancement methods include Color Enhanced (CE),

447 Binarization (BZ), and Water-color Enhanced (WE).

448

449 **3.3.2 Comparison of discharge classification models**

450 The heap map (**Fig. 8**) visualizes the performance of different models in classifying the

451 validation image set with three tested color-enhancing methods under different

452 environmental conditions. Results show that all three models (i.e., CNN, CNN+SVM,

453 CNN+RF) can achieve satisfactory performance on discharge classification. The R^2

454 under all environmental conditions was greater than 0.97, suggesting that the simulated

455 discharge ~~were~~was significantly correlated to the flowmeters' measurement. The

456 comparison of model performance generally shows consistency under different

457 environmental conditions. Higher classification accuracy and F1 score are always

458 accompanied by higher R^2 and lower RMSE, showing that CNN-based models perform

459 well in accurately recognizing true discharge and handling outliers. Among the three

460 models, CNN is more likely to over- or under-estimate discharge than both CNN+SVM

461 and CNN+RF, with classification accuracy and F1 score 8.6~13.4% and 0.084~0.115

462 lower than CNN+SVM and CNN+RF, respectively. CNN+RF achieved the best fit with

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463 ~~the lowest RMSE.~~ With all environmental conditions taken into account, CNN+SVM
464 shows the best overall performance with the highest classification accuracy of 88.6%,
465 the highest F1 score of 0.878, the highest R^2 of 0.989, and the lowest RMSE of 1.08
466 dm^3/s . Such results could be related to the size of our samples and the characteristics of
467 the features extracted by deep layers of CNN. The features extracted from stream
468 images under one specific flow discharge show similarities, which highlights the
469 SVM's capability in classifying the embeddings from small samples with linear features.

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471 3.3.3 Comparison of color-enhancing methods

472 Among the three tested color-enhancing methods, the Color Enhanced approach
473 generally shows the best performance in discharge classification. Models driven by
474 Color Enhanced images achieved higher classification accuracy (+2.3%~+7.4%),
475 higher F1 score (+0.033~+0.067), higher R^2 (+0.001~+0.009), and lower RMSE (–
476 0.068 ~ –0.415 dm^3/s) than those driven by images processed with Binarization and
477 Water-color Enhanced. This is partly due to the different treatments in the edges of the
478 water body. Binarization and Water-color Enhanced relatively cause larger deviation
479 from the real edges, while Color Enhanced retains the image information to the
480 maximum extent. Binarization reduces the cost of discharge computation and data
481 storage by transforming raw stream images into binary images, and thus facilitates real-
482 time monitoring by embedded end-to-end devices (e.g., mobile phones) with
483 insufficient computing power (Shi et al., 2019). Considering that the color and texture

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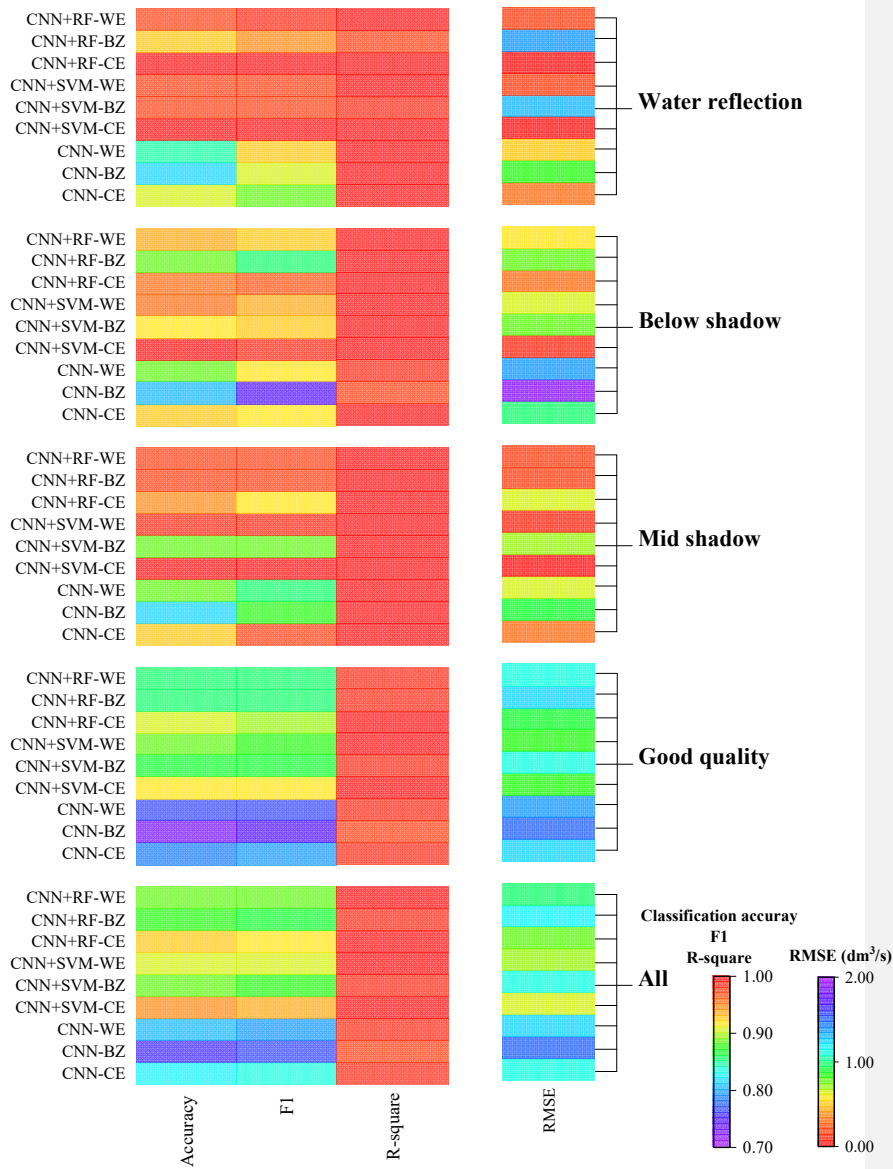
484 of the water surface vary significantly with discharge volumes while the background is
485 relatively stable, we proposed the Water-color Enhanced approach that only processes
486 color information within the water body. In our experiment, it took only 0.0154s to
487 recognize flow discharge from one Binarization image with an Intel (R) Core (TM) i7-
488 10750H CPU, which was 36% and 22% faster than that of Color Enhanced and Water-
489 color Enhanced images, respectively. Such results suggest that it is beneficial to retain
490 the background information to the maximum extent and include the non-water parts of
491 mountain streams in image processing. However, future applications of image-based
492 discharge monitoring need to strike a balance between accuracy and speed when
493 choosing color processing methods.

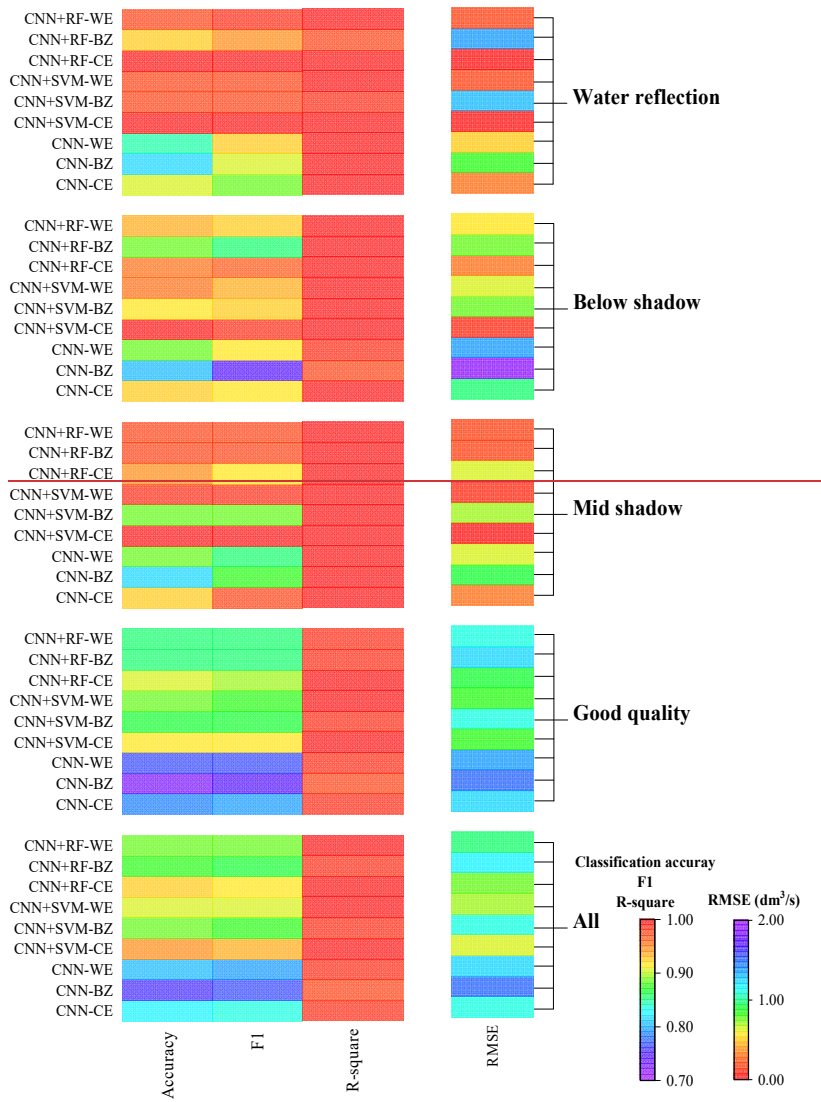
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498

499

500 **Figure 8.** Performance of discharge classification models under different
501 environmental conditions. Color enhancement methods include Color Enhanced (CE),
502 Binarization (BZ), and Water-color Enhanced (WE).

503

504 **4 Discussion**

505 The existing image-based methods usually rely on either the estimations of flow
506 velocity and cross-section area or assumptions on stage-discharge correlation (Tauro et
507 al., 2017; Leduc et al., 2018; Davids et al., 2019; Li et al., 2019). The first type of
508 method uses image-derived surface velocity to estimate sub-sectional mean streamflow
509 velocity and spatial integration of discharge (Le Coz et al., 2012). The difficulties in
510 capturing cross-sectional characteristics and the relationship between flow velocity and
511 water depth limit their application in small mountain streams. The second type of
512 method retrieves river geometry directly through remote sensing, yet the accuracy is
513 primarily determined by the empirical assumptions on the relationships among water
514 depth, velocity, and discharge (Gleason and Smith, 2014; Young et al., 2015). In this
515 study, we proposed a new camera-based method to directly establish the relationship
516 between the RGB ~~matrixes~~matrices of stream images and the classification probabilities
517 of discharge. The unique merit of the CNN-based model is its capability in
518 automatically extracting and refining discharge-related features from image samples,
519 which improves the accuracy and applicability of the model. Previous attempts suggest
520 that the selection of image features can significantly affect the performance on

521 classification of stream images (Tauro et al., 2014). For example, Chapman et al. (2020)
522 manually extracted features from pre- and post-weir images and used them as the inputs
523 of machine learning models. However, the dominant image features relating to stream
524 discharge could vary across different environments (e.g., topography, vegetation on
525 river banks, water quality), limiting the transferability of such manually identified
526 features.

527 Weather conditions (e.g., sun position, fog, rain) are the most common difficulties
528 that reduce picture quality (Leduc et al., 2018). Therefore, we designed an automated
529 procedure for categorizing samples by their brightness and saturation: (a) select four
530 areas in the image as detection areas, (b) eliminate images with insufficient light or
531 raindrops on the lens, (c) identify thresholds and classify the remaining images into four
532 categories for further model training, including the images under the influence of
533 vegetation shadow and overexposure caused by water reflection in certain angles. Such
534 inclusion and categorization of defective samples have significantly enhanced the anti-
535 interference ability of the model, facilitating uninterrupted discharge monitoring
536 through the daytime. These factors and the thresholds of brightness and saturation are
537 site-specific and require manual trials to identify them. However, after adequate initial
538 calibration, an established model can be used for the same site for extended periods and
539 repeated installations of camera systems.

540 The training and validation of deep learning models require a large number of
541 representative samples (He et al., 2016). We collected a total of 7757 image samples

542 from July 20th to September 27th, 2022, and 3168 images were used for model training
543 and validation after image screening and categorization. Although we executed an
544 effective automatic categorization procedure on the acquired image samples, it is
545 undeniable that the training and validation sets didn't cover all environmental
546 disturbances. For example, the time of sunrise and sunset, the appearance of water
547 surface reflections, and the coverage of vegetation shadows are affected by the angles
548 of sunlight and vary with seasons. With sufficient artificial lighting or installation of a
549 night-vision infrared camera (Royem et al., 2012), the images during nighttime can also
550 be used for discharge monitoring after training. More image samples are needed to
551 enrich the representativeness of the model in further studies. Another limitation is that
552 we have focused on low and average flow conditions in the model training due to the
553 lack of high-quality flood samples. In tropical and subtropical mountain streams of
554 southern China, floods usually occur during rainstorms and only last for a short time.
555 Heavy rainfalls constantly block the camera lens with raindrops, and the rapid
556 streamflow movement during heavy rainfall tends to cause blurred images, which can
557 only be partly improved by increasing the shutter speed and adjusting the camera
558 position. Moreover,
559 The final and most significant limitation of our method is its dependency on site-
560 specific field data. This data is crucial for identifying the criteria for image
561 categorization and assigning discharge labels to stream images, which are essential
562 steps for model training. Consequently, this requirement, which restricts the broader

563 ~~applicability of our approach in ungauged basins, where such field data may not be~~
564 ~~readily available. To overcome this limitation and enable the widespread use of our~~
565 ~~method, further research on integrating is necessary to multiple data sources and~~
566 ~~surveying approaches is warranted for developing a more generalizable method.~~
567 ~~approach. For instance, a model that can be directly transferred to other sites without~~
568 ~~extensive calibration by incorporating hydrological and physical factors would be ideal.~~

569

570 **5 Conclusions**

571 ~~The results demonstrate the effectiveness of~~This study presents a novel method for
572 discharge monitoring of mountain streams using deep learning techniques and a low-
573 cost solar-powered commercial camera (approximately \$200).~~The two hypotheses~~
574 ~~proposed in the introduction are effectively confirmed, building the foundational~~
575 ~~framework of this study. The results confirmed our hypothesis that T~~the discharge-
576 relevant stream features embedded in a large number of RGB images can be implicitly
577 recognized and retrieved by CNN to achieve continuous discharge monitoring
578 ~~(hypothesis one)~~. Coupling CNN and traditional machine learning methods can
579 potentially improve model performance in discharge classification to various extents.

580 In this case, the classification accuracy, F1 score, and R^2 of CNN+SVM and CNN+RF
581 were 9.1%~14.4% higher, while the F1 score were 0.084~0.115, and 0.006~0.010
582 higher, respectively, while RMSE was 0.31~0.51 dm³/s lower compared to CNN.

583 Proper image pre-processing and categorization can largely enhance the applicability

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584 of image-based discharge monitoring ~~(hypothesis two)~~. In an environment under
585 complex disturbances such as mountain streams, image quality is constantly interfered
586 with by shadows of vegetation on the river banks. The automated image categorization
587 procedure can effectively recognize discharge from defective images by filtering
588 samples under different conditions and improve model robustness. The comparison of
589 the three color-enhancing approaches also confirms the importance of including the
590 non-water parts (e.g., large rocks) and retaining the background information to the
591 maximum extent in the image analysis.

592 The proposed method provides an inexpensive and flexible alternative apparatus for
593 continuous discharge monitoring at rocky upstream mountain streams, where it is
594 challenging to identify the cross-section shape or establish a stable stage-discharge
595 relationship. Site-specific field data is needed to identify the criteria for image
596 categorization and model validation. However, it circumvents the potential errors in
597 assuming cross-section characteristics, such as the relationship between water depth
598 and flow velocity, and represents a new direction for applying deep learning techniques
599 in acquiring high-frequency discharge data through image analysis.

600

601 **Code/Data availability**

602 The code and data are available upon request from the corresponding author.

603 **Author contribution**

604 KD and CF conceptualized the experiments. GY, ZZ, and QZ curated the data. All
605 authors participated in the investigation. CF, GY, ZZ, and QZ wrote the original draft
606 and visualized the data. KD reviewed and edited the final version of the manuscript.

607 **Competing interests**

608 The authors declare no competing interests.

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