



Regime shift of a large river as a response to Holocene climate change depends on land use – a numerical case study from the Chinese Loess Plateau

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# Abstract

The Wei River catchment in the southern part of the Chinese Loess Plateau (CLP), is one of the centers of the agricultural revolution in China. The area has experienced intense land use changes since ~6000 BCE, which makes it an ideal place to study the response of fluvial systems to anthropogenic land cover change (ALCC). We applied a numerical landscape evolution model that combines the Landlab landscape evolution model with an evapotranspiration model to investigate the direct and indirect effects of ALCC on hydrological and morphological processes in the Wei River catchment since

- 25 the mid-Holocene. The results show that ALCC not only leads to changes in discharge and sediment load in the catchment but also affects their sensitivity to climate change. When the proportion of agricultural land area exceeded 50% (around 1000 BCE), the sensitivities of discharge and sediment yield to climate change increased abruptly indicating a regime change in the fluvial catchment. It is associated with a large
- 30 sediment pulse in the lower reaches. The model simulation results also show a link between human settlement, ALCC and floodplain development: Changes in agricultural land use changes lead to downstream sediment accumulation and floodplain development, which in turn leads to further spatial expansion of agriculture and human settlement.
- 35 **Key words:** Anthropogenic land cover change, fluvial regime shift, fluvial climate sensitivity, climate change, Chinese Loess Plateau, central China





#### **1** Introduction

Fluvial systems are affected by natural factors such as tectonics and climate change,
as well as by human activities (Bridgland, 2000; Bender et al., 2016; Best, 2019; Adams et al., 2020; Bender et al., 2020; Li et al., 2020; Mossa and Chen, 2022). Many studies have focused on the changes in river systems during the last century due to human-activities, e.g. dams, reservoirs and mining (Vörösmarty et al., 2003; Brunier et al., 2014; Zhao et al., 2015; Alfieri et al., 2017; Kong et al., 2017; Webber et al., 2017;

45 Zhang et al., 2021; Wang et al., 2022). However, human influence on the fluvial catchments can date back thousands of years, to the beginning of the local agricultural revolution (De Moor et al., 2008; Dotterweich, 2013; Ellis et al., 2016; Zhao et al., 2022a).

Recent studies have raised the possibility that increasing anthropogenic stress on

- 50 a river system may increase its risk from future extreme climate events, e.g. floods and droughts, since the resilience of the river system may be reduced, thus allowing it cross a tipping point (Best and Darby, 2020; Choudhury et al., 2022). Determining the history of a river can provide insight into the effects of anthropogenic perturbations that are likely to unfold in its future evolution (Macklin and Lewin, 2019). However, the
- 55 response of the fluvial catchments to external perturbations is not straightforward (Broothaerts et al., 2014; Guo et al., 2016; Verstraeten et al., 2017). Moreover, a regime shift in a fluvial catchment is difficult to notice, since gradual changes caused by

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external perturbations may alter the resilience of a fluvial catchment with only a small apparent effect on the current state (Scheffer et al., 2001). As a result, the extent to which the vulnerability of fluvial catchments is affected by human-induced changes

- (e.g. land use) and the moment when the threshold could be crossed remains unclear. In addition, unraveling the mechanisms governing the response of a fluvial catchment to multiple, simultaneous forcings is notoriously difficult, especially in large river systems, where external factors and their effects are unique in each catchment and even
- 65 each river reach (Mao and Cherkauer, 2009; Fuller et al., 2015; Verstraeten et al., 2017; Macklin and Lewin, 2019). In consequence, a quantitative analysis of the interplay of the effects of climate change and land use change on the fluvial catchments is needed, and landscape evolution models (LEMs) provide a good opportunity for this (Zhao et al., 2022a,b).
- 70 The Chinese Loess Plateau (CLP) located in central China is affected by soil erosion seriously (Wang et al., 2006; Bloemendal et al., 2008; Zhao et al., 2013). Previous studies have already found that the erosion in the CLP is caused by both climate change and the development of agriculture which started a few thousand years ago (He et al., 2002; Huang et al., 2006; Chen et al., 2015; Chen et al., 2021). However,
- 75 the exact impact of these changes and the mechanisms involved remain largely unknown, especially for the time period around 1000 BC when a sediment pulse occurred in the river system (Song et al., 2020).

The Wei River catchment, located in the southeastern part of the CLP, is one of the  $4\ /\ 41$ 





most important sediment transport routes between the CLP and the Yellow River (Fig.1).

- 80 In this study, we combine the Landlab landscape evolution model (Hobley et al., 2017; Barnhart et al., 2020) with an evapotranspiration model (Thornton, 2010) to simulate the temporal and spatial changes of discharge and sediment yields in the Wei River catchment from 6000 BCE to AD 1850. In the simulations, we apply spatially and temporally varying precipitation and temperature, based on paleo-climate records
- 85 (Chen et al., 2015; Peterse et al., 2011). The simulated results from KK10 scenarios produced by Kaplan et al. (2011) are used to collect the changes of anthropogenic land cover. We specifically address the fluvial regime shifts in the Wei River catchment, which are reflected by changes in the sensitivity of discharge and sediment yield to climate change due to ALCC.

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#### 2 Study area

# 2.1 Geographic setting

As the largest tributary of the Yellow River, the Wei River is 818 km long and has a total drainage area about  $1.35 \times 10^5$  km<sup>2</sup> (Guo et al., 2016) (Fig. 1a). The headwaters of the river, which eventually drains into the Yellow River, are located in the Niaoshushan Mountains, in the western part of the CLP (Chang et al., 2016; Jia et al., 2021) (Fig. 1b). The Wei River catchment is located at the transitional zone of arid (north) to humid (south) areas. The catchment has an average annual precipitation of 500-700 mm and is a typical East Asian monsoon region. The precipitation mainly





- 100 occurs from June to September (Jia et al., 2021). The mean annual temperature ranges from 7.8 °C to 13.5 °C (Tian et al., 2022). The mean annual discharge and sediment load of the Wei River are 7.5×10<sup>9</sup> m<sup>3</sup> and 3.9×10<sup>8</sup> t (from 1956 to 2010), respectively (Chang et al., 2016). The natural vegetation cover in the catchment changes from deciduous broadleaf forest in the east to the temperate steppe in the west (Zhou et al.,
- 105 2015), and about 50% of the valley area is cultivated (Yu et al., 2016).

The northern part of the Wei River catchment is located in the southern part of the CLP and is mainly covered by loess (Liu, 1985; Li and Lu, 2010). The tributaries draining the CLP are relatively long and contribute large amounts of sediment (Fig. 1b) (Chang et al., 2016; Jia et al., 2021). The southern part of the catchment lies in the

- 110 northern Qinling Mountains and has relatively short tributaries characterized by flash flows (Fig. 1b) (Jia et al., 2021). The Wei River catchment has two major tributaries, the Jing River and the Beiluo River (Fig. 1b). There are four types of landforms in the catchment: 'hilly-gully', 'rocky-hill', 'table-gully' and 'fluvial-plain' areas. These landforms also have different vegetation covers (Fig. 1c) (Yang, 2020). The 'hilly-gully
- 115 area' is located in the upper reaches of the main stream of the Wei River and in the northern part of the catchment (Fig. 1c). The widely distributed steep gullies in these areas cause a significant sediment yield (Chen et al., 2016; Zhang et al., 2020; Tian et al., 2022). The 'rocky-hill area' includes the west-central and southern portions of the catchment (Fig. 1c). The drainage divide between the Jing River and the Beiluo River
- 120 also belongs to this 'rocky-hill area' (Fig. 1c). It has a long history with high forest  $\frac{6}{41}$





cover (Zhang et al., 2017). The central parts of the Jing River and Beiluo River belong to the 'table-gully area' (Fig. 1c), which is a high platform surrounded by gullies (Chen et al., 2016). The middle and lower reaches of the main stream of the Wei River belong to the 'fluvial-plain area' (Fig. 1c). They are mainly covered by alluvial deposits.

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Fig 1: The Wei River catchment a. Location of the Wei River and Yellow River; b. Meteorological stations and rivers in and around the Wei River catchment; c. Landform types in the catchment; d. Hydrological stations and sub-catchments (The topographic map used in fig. 1b,d is extracted from the NASA SRTM 90m digital elevation model (<u>https://srtm.csi.cgiar.org/</u>); the satellite imagery used in fig. 1c is from © GoogleEarth map

(https://www.earthol.com/))

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#### 2.2 Land-use history

In China, the Yellow River basin is one of the origins of agricultural civilization (Shen, 2000). In the Wei River catchment, numerous local agricultural cultures have

- 135 developed since the mid-Holocene (Table 1). Agriculture first developed at about 6000 BCE (Li et al., 2009). It started with small settlements in the southeastern part of the catchment (Laoguantai Culture; Jia, 2003) or located on the terraces of tributaries in the northerwestern part of the catchment (Dadiwan Culture; Feng, 1985). Next, during the development of the Yangshao Culture, from 5000 BCE to 3000 BCE, the intensity of
- 140 agriculture and the number of settlements increased (Li et al., 2009; Tan et al., 2011). Later, the Longshan Culture, from 3000 BCE to 2000 BCE, emerged in the Wei River catchment (Jin et al., 2002). The significant increase in charcoal concentration and the diversity of food utensils during this period indicate the rapid development of an agriculture-based civilization in the catchment area (Jin et al., 2002). From 2000 BCE
- to 1000 BCE, the food demand of the increasing population led to further expansion of the area of agricultural land (Zhao, 2004). In addition, more high-yield crops, such as wheat, were planted in this period (pre-Zhou and Western Zhou dynasty) (Zhao, 2004).
   After about 1000 BCE, the intensity of agricultural activity increased significantly, with more natural vegetation being converted to crop land due to innovations in agricultural
- 150 technology (Jia, 2003). From about 1000 BCE to the present, the forest cover in the Loess Plateau decreased by about 44% (Zhao et al., 2013).





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Time period	Culture	Age
6000 BCE - 5000 BCE	Laoguantai	6000 BCE - 5000 BCE
	Dadiwan	5850 BCE - 5400 BCE
5000 BCE - 4000 BCE	Yangshao	6800 BCE - 6000 BCE
4000 BCE - 3000 BCE	Yangshao	4000 BCE - 3000 BCE
	Majiayao	3600 BCE – 2900 BCE
3000 BCE – 2000 BCE	Majiayao	2900 BCE - 2050 BCE
	Longshan	2600 BCE – 2000 BCE
	Qijia	2400 BCE – 1900 BCE
2000 BCE – 1000 BCE	Siba	1900 BCE - 1500 BCE
	Kayue	1900 BCE – 1500 BCE
1000 BCE – AD 1	Xindian	1600 BCE - 600 BCE
	Siwa	1300 BCE - 500 BCE

Table 1 The development of agriculture in the Wei River catchment since the mid- Holocene

(Shi, 1986;	Zhou,	2003;	Yu	et al.,	2016)
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### 2.3 Hydrology and hydrological stations

The data from twenty-two hydrological stations are used (Fig. 1d). The Wushan, Qin'an, Beidao and Linjiacun hydrological stations are located in the upper reaches of the Wei River (Fig. 1d), where the mean annual discharge and sediment load account for 26% and 30% of the entire catchment (Wang, 2013), respectively. Here, the

160 for 26% and 30% of the entire catchment (Wang, 2013), respectively. Here, the discharge mostly originates between the Beidao and Linjiacun stations, while the sediment load is mostly produced in the upper area of the Qin'an station (Fig.1d) (Wang, 2013). The Weijiaobao, Xianyang, Lintong and Huaxian hydrological stations (Fig. 1d)





are located in the middle and lower reaches of the Wei River, which contribute about
48% of the discharge of the catchment (Zhang et al., 2007). The downstream part of the
Wei River, which includes the Lintong and Huaxian hydrological stations (Fig. 1d), is
a typical sediment accumulation area (Gao, 2006).

The Jing River is the largest tributary of the Wei River. About 71% of its sediment is transported to the Wei River (Zhang et al., 2020). There are nine hydrological stations

170 located in this river: the Hongde, Jiaqiao, Qingyang, Yuluoping, Jingchuan, Yangjiaping, Jingcun and the Zhangjiashan stations (Fig. 1d). The 73% of the discharge in the Jing River catchment comes from the upper reaches of the Yangjiaping station and from the reaches between the Yangjiaping, Yuluoping and Zhangjiashan stations (Zhang et al., 2020). The sediment load mainly comes from upstream of the Yuluoping station,

accounting for 54% of the sediment load in the Jing River basin (Han, 2019).

For the Beiluo River, data from five hydrological stations are used in this work: the Wuqi, Liujiahe, Jiaokou, Huangling and Zhuangtou stations (Fig. 1d). The 57% of the discharge in the Beiluo River catchment is produced between the Liujiahe and Zhuangtou stations (Ran et al., 2000). Most of the sediment load is produced in the reaches upstream of the Liujiahe station, which accounts for 90.6% of the sediment load

in the Beiluo River basin (Zhang et al., 2017).

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# **3** Materials and methods

# 3.1 Model development

In order to simulate the trend of fluvial sediment load and discharge under the impacts of land use and climate change, we apply the Landlab landscape model (Hobley et al., 2017; Barnhart et al., 2020) combining an evapotranspiration model (Thornton, 2010). The models are described in more detail in Chen et al.,(2021).

Simulations of the period from 1996 to 2016 are used to calibrate the model parameters by tuning the simulated discharges and sediment loads to the measured

- 190 values at the hydrological stations. For simplicity, only the most important model parameters are calibrated, i.e. the effective root depth of plants and the erodibilities of the Base and Surface layers. The additional, less important parameters, such as biological parameters in the evapotranspiration model (Table S1) and the value of 'n' (a scaling exponent) in the Landlab's SPACE model component (Shobe et al., 2017)
- (Table S2), are provided by previous researches (Thornton, 2010; Shobe et al., 2017).Details of the calibration procedure are included in the Sect. 3.2. The calibrated model parameters are subsequently used in simulations for the time period from 6000 BCE to AD1850.

3.1.1 Evapotranspiration model

200 The simulated vegetation types in the Wei River catchment include deciduous broadleaf forest, grassland and crops, the distributions of which are shown in Sect. 3.3.

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Ecological parameters of deciduous broadleaf forests and grasslands are based on the default values of the Biome-BGC model (White et al., 2000; Thornton, 2010). They have previously been successfully applied to the discharge and sediment load simulations in one of the tributaries, the Beiluo River catchment (Chen et al., 2021).

For the crops, we use the winter wheat's ecological parameters since that is the dominant crop type in the Wei River catchment (Zhang et al., 1987). The soil nitrogen content, which is one of the required parameters in the model for the areas covered by crops, is assumed to be constant (0.0004kgN/m<sup>2</sup>) to simulate the effects of fertilization

- (Qin et al., 2010). The crop is irrigated twice during its growth and the timing of irrigation depends on local farming practices (Zhang et al., 1987). The applied value of irrigation each year is set equal to the mean annual value of irrigation in the Wei River catchment after the 1990s (Liu, 2003). In the modelling, similar to the previous studies (Hu et al., 2011), 80% of the stems and leaves are removed each year to simulate the
- 215 harvest processes.

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3.1.2 Spatial distribution of climate data

For the calibration simulations of the period 1996 to 2016, we use the Kriging interpolation to compute the spatial distribution of evapotranspiration and runoff. The used meteorological data are the same as the previous simulations performed in the Beiluo River catchment (Chen et al., 2021). These data, from twenty meteorological stations located in and around the catchment (Fig. 1b), are collected from the National

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Meteorological Information Centre (<u>http://data.cma.cn/</u>). Eight insolation stations in and around the study area (Fig. 1a) are used to obtain the insolation data. We select another six meteorological stations (Fig. 1b) to test the accuracy of data obtained by this method. The predicted and measured data match well ( $R^2 > 70\%$ , Fig. S1).

For the simulations during the Holocene (from 6000 BCE to AD 1850), the reconstructions of Holocene climate including precipitation and temperature (Peterse et al., 2011; Chen et al., 2015) are used to predict the climatic inputs, by methods of Chen et al. (2001). The predicted precipitation and air temperatures fit well with the

- 230 reconstructed data in Beilianchi lake (Zhang et al., 2020, 2021) (Text S2, Fig. S2), which is located in the northwestern part of the Wei River catchment (Fig. 1a). The additional data, i.e. Holocene atmospheric CO<sub>2</sub> concentration, are from the results of the Vostok ice core (Barnola et al., 1995; Petit et al., 1999), while humidity and sunshine duration are set equal to modern values. Additionally, the insolation values during
- Holocene are calculated by the method of Laskar et al. (2004).
  - 3.1.3 Anthropogenic land cover change

The changes of anthropogenic land use since the mid-Holocene (Fig. S3) is obtained from the KK10 database, which in turn is calculated from a global ALCC model that is driven by population density and the land suitability (Kaplan et al., 2009,

240 2011). The land suitability takes into account that agriculture develops first on the most productive crop lands (Kaplan et al., 2009). The simulated time series of land-use

(1)





change for the KK10 model is from 6000 BCE to AD 1850. Only the provincial data from 221 BCE to AD 1850 are available to calibrate the spatial patterns of population changes in China (Zhao and Xie, 1988). As a result, there is an uncertainty in the land-

245 use changes in our study region prior to 221 BC. In previous simulations focusing on the Beiluo River catchment, Chen et al. (2021) applied a variation of 25% for the ALCC from 6000 BCE to 221 BCE to estimate the impact of this uncertainty. It was shown to have a limited effect on the simulation results for discharges and sediment loads.

3.1.4 Initial topography

- 250 There are two layers in the landscape evolution model, a Base layer and a Surface layer (Shobe et al., 2017). The Surface layer consists of loose material, i.e. sediments, and is above the Base layer, which is composed of bedrock. The initial topography for the simulations is extracted from the NASA SRTM 90m digital elevation model (DEM) (https://srtm.csi.cgiar.org/) and resampled to a spatial resolution of 1000 m. Since the
- 255 river network is disrupted after resampling, we resample the elevation of the network separately and combine it with the previously resampled DEM. Then, the steady-state topography is calculated over 5000 model years in order to remove DEM errors in the fluvial network (e.g. Campforts et al., 2020; Sharma et al., 2021; Chen et al., 2021). Subsequently, the elevation of the Baser layer used in the Holocene simulations is set
- 260 equal to the steady-state topography. Because we use similar erodibilities of loess and sediment, the initial sediment thickness ( the thickness of the Surface layer ) is set to 0





m.

# 3.2 Calibration

Below, we present the calibrations of model parameters by fitting the simulated discharge and sediment load to the observed values at the hydrological stations (Fig. 1d). The discharge and sediment load data from another seven hydrological stations (Fig. 1d) are used for validation. Mean annual discharge and sediment load data measured at the stations are affected by e.g. dams and irrigation systems. These data were corrected into natural discharge and sediment load data using the method by

270 Chang et al.(2016). Details are presented in the supplemental materials (Text S1, Table S3). The calibrated parameters are the effective root depth of plants and the erodibilities of the Base and Surface layers. The calibration results are accepted when the mismatch between the simulated and observed discharges and sediment loads is less than 10%.

3.2.1 Effective root depth of plants

For the evapotranspiration model, we only calibrate the effective root depth, which is determined by the vegetation types and soil environment (Vörösmarty et al., 1989), because it has the largest impact on the evapotranspiration rates and soil water content in the evapotranspiration model.

For the root depth calibration, the catchment is subdivided into sixteen sub-280 catchments (Fig 1d). We use the present-day precipitation and temperature, and fit the mean annual discharge at the outlet of each sub-catchment (gray triangle in Fig. 1d).





The initial effective root depths of plants are set at 1.5 m for deciduous broadleaf forest and 1m for grass and crop lands, based on the average root depth in the Loess Plateau (You et al., 2009). During the iterative calibration processes we vary the root depth

285 incrementally by 1 cm, while the difference between the initial root depths of trees and grass/croplands is kept constant. After calibration, the mismatches between the observed and simulated annual discharge at the calibration stations are between 0.48% and 6.10% (Fig. S4).

In order to validate the accuracy of our calibrated results, we further compare the

- 290 differences between the predicted and natural annual discharge at another seven hydrological stations (red triangle in Fig. 1d). The results show that the model predicts annual discharge with an error that ranges between 0.35% and 7.95% (Fig. S4).
  - 3.2.2 Erodibilities

For the parameters in the Landlab model, we calibrate the erodibilities of the Base

and Surface layers based on measured annual sediment loads (Fig. 1d). The initial values of the Base layer's erodibility are calculated based on the geologic map (Fig S5a), which is extracted from a 1:250,000 digital geologic map of China (Zuo et al., 2018), and the parameters of bedrock's erodibility from the LAPSUS model (Schoorl and Veldkamp, 2001). For the initial values of the Surface layer's erodibility, we
calculate the values by the method of Hancock et al. (2019), which uses the soil

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soil properties (Fig. S5b-e) come from the China soil map, which in turn is collected from the Harmonized World Soil Database (v1.1) (Nachtergaele et al., 2010). The NDVI data (Fig. S5f) are based on the SPOT vegetation index database of China (http://westdc.westgis.ac.cn/).

Then, the Base layer's and Surface layer's erodibilities in each sub-catchment are adjusted until the simulated sediment load matches the observed data at the hydrological stations located at the sub-catchment's outlet (gray triangle in Fig. 1d). After calibrations, the mismatches between the observed and simulated annual sediment load

- 310 at the calibration stations range from 0.01% to 9.39% (Fig. S6). For the validation stations, the model predicts annual sediment load with an error that ranges between 1.50% and 7.27% (Fig. S6).
  - 3.3 Holocene simulations

Two model scenarios (a model with land use and climate change, Normal, and a

- 315 *model without climate change, WCC*) are used in the Holocene simulations. *Scenario Normal* uses reconstructed paleo-climate data and KK10 land-use data to model the spatial and temporal changes in water and sediment discharges due to climate change and anthropogenic land cover changes. *Scenario WCC* is used to study solely the effects of land use change; the climatic conditions are kept constant.
- 320 In order to demonstrate the effects of anthropogenic land cover change on drainage hydrology, we calculate the changes of discharge and sediment yield at the outlet as





well as their coefficient of spatial variation (CV, Eq. (1)) for the whole catchment.

$$CV = \frac{1}{x_a} \sqrt{\frac{\sum_{i=1}^{n} (x_i - x_a)^2}{n}}$$
(1)

Where, CV is the coefficient of spatial variation of the mean annual discharge or 325 sediment yield.  $x_a$  is the average discharge or sediment yield of the whole catchment.  $x_i$ is the discharge or sediment yield in the *i* grid-cell, and *n* is the total number of gridcells.

Next, the sensitivities of the mean annual discharge and sediment yield as well as their spatial variation coefficients to the climate change are calculated based on the 330 differences between the *Normal* and *WCC* scenarios (Eq.(2)). Finally, the calculated sensitivities are correlated with the different intensities of human activity to reveal the impact of land use change on the fluvial response mechanisms.

$$S_c = \left| \frac{dif_{climate-basic}}{\Delta climate} \right| \tag{2}$$

Where,  $S_c$  is the sensitivity of the simulation results to climate change. A higher

- value of  $S_c$  means a more sensitive response. *dif<sub>climate-basic</sub>* is the difference between the simulation results between the *Normal* and *WCC* scenarios. The simulation results are the mean annual discharge, the CV of the mean annual discharge, the mean annual sediment yield and the CV of the mean annual sediment yield, resulting in four different sensitivity values.  $\Delta climate$  is the difference between the climate conditions for the scenarios. We use the difference of precipitation between both scenarios as the
- 340 scenarios. We use the difference of precipitation between both scenarios as the  $\Delta climate$  parameter, since precipitation is the climate parameter that has the most significant impact on the simulation results (Chen et al., 2021).





In the Holocene simulation, the time-step is one year. For simplicity, the annual climatic parameters and anthropogenic land cover are set constant for each 500 years.

- 345 For the modeling of discharge and sediment load, the distributions of natural plants are determined by the pollen-based reconstruction of main vegetation types in different geomorphic units in the Loess Plateau (Sun et al., 2017). By associating the reconstructed vegetation data with the modern geomorphic distribution map (<u>http://www.geodata.cn</u>), the natural plants in the Wei River catchment are divided into
- 350 forest and grass (Fig. S7).

# **4** Results

## 4.1 Normal scenario

### 4.1.1 Runoff and discharge

The evolution of the simulated runoff and discharge from 6000 BCE to AD 1850

- 355 is shown in Fig. 2. The mean annual runoff (catchment average) increases about 16.5% during the first 2000 years (6000 BCE to 4000 BCE). A second increase of around 6.7% takes place from 2000 BCE to 500 BCE (Fig. 2A). Spatially, the simulated runoff rates show a gradual increasing trend from north to south, which is caused by the distribution of mean annual precipitation. A low value occurs in the middle reaches of
- 360 the Jing River and the Beiluo River, which may be caused by the high value of effective root depth (Fig. S4) causing a locally high value of evapotranspiration.

In each sub-catchment, the fluctuations of the mean annual discharge are similar





(Fig. 2B). The main contribution to the discharge at the catchment outlet is provided by the downstream part of the Wei River (Fig. 2b1). During the Holocene, the
discharge decreases by 13.2%, 26.7%, 20.7%, 31.1%, 35.1% and 21.8% in the Wushan, Qin'an, Linjiacun, Xianyang, Huaxian and Outlet sub-catchment, respectively (Fig. 2b1). In the Jing River, the discharge decreases by 37.3%, 20.2%, 37.3%, 44.7%, 22.7% and 47.2% in the Hongde, Jiaoqiao, Qinyang, Yuluoping, Yangjiaping and Zhangjiashan sub-catchment, respectively (Fig. 2b2). In the Beiluo river, reductions about 33.1%, 29.6%, 39.9% and 50.4%, in the Wuqi, Liujiahe, Jiaokou and Zhuangtou

sub-catchment, respectively (Fig.2b3).







Fig 2: Simulated mean annual runoff (A) and the time-trend of sub-catchment mean annual discharge (B).

4.1.2 Sediment thickness and sediment yield

<sup>375</sup> Figure 3 shows the distribution of sediment thickness and the evolution of sediment yield in each sub-catchment. The sediment thickness has a decreased trend from northwest to southeast. The upper and lower reaches of the main stream of the Wei River have a thin accumulation of sediment (less than 2 m) (Fig. 3A). A prominent sediment accumulation is predicted in the lower reaches from 1000 BCE onwards; its lateral extension results from lateral channel migration (Fig. 3A).





For the main stream of the Wei River, the sediment flux mainly comes from the Qin'an sub-catchment (Fig. 3b1). There is no sediment yield at the outlet of the Wei River, which indicates it is a sedimentation zone (Fig. 3b1). Sediment yields are higher in the Jing River than in other sub-catchments; the maximum value is located in the 385 Yuluoping sub-catchment (Fig. 3b2). In the Beiluo River, the sediment is mostly produced in the Wuqi and Liujiahe sub-catchments (Fig. 3b3). The trends of mean annual sediment yield in each sub-catchment are similar and had a total increase 10 to 30 times during the simulation (Fig. 3B). Before 1000 BC, the mean annual sediment yield had an approximately steady, linear increase in all sub-catchments (Fig. 3B).

390 Subsequently, they experienced a sharp increase between 1000 BC and AD1. Then, a rapid decrease occurred after AD 1 (Fig. 3B).







Fig 3: Sediment thickness (A) and the evolution of sub-catchment mean annual sediment yield (B)

# 395 4.2 The difference of model results for the two scenarios

The spatial distributions of mean annual runoff in the *Normal* and *WCC* scenarios are similar (Figs. 2A, S8A). However, the evolution of simulated mean annual runoff (catchment average) has fluctuations in the *Normal* scenario while it is almost linearly increasing in the *WCC* scenario (Figs. 2A, S8A). Compared to *Normal* scenario (Fig.





- 2B), the discharge yields of the sub-catchments belonging to the southeastern part (such as Zhangjiashan and Zhuangtou) increase appreciably in the *WCC* scenario (Fig. S8B).
  The comparison of the mean annual discharge (catchment average) and its spatial variation coefficient for these two scenarios show that the impacts of climate change on the temporal and spatial trends of discharge start to increase after AD 1 (more than 20%)
- 405 (Fig 4a, b).

In both the *Normal* and *WCC* scenarios, a large accumulation occurs in the middle reaches of Jing River at about 4000 BCE and in the downstream part of the Wei River around 1000 BCE (Figs. 3A, S9A). However, the sediment thickness in the northern part of the catchment is thicker in the *Normal* scenario than in the *WCC* scenario (Figs.

- 410 3A, S9A). In the WCC scenario (Fig. S9B), the sediment yields of the sub-catchments located in the northwestern part (such as Jiaoqiao, Qinyang) are larger compared to the Normal scenario (Fig. 3B). Based on the comparison of the results of the Normal scenario to those of the WCC scenario, the intensity of the impact of climate change on the mean annual sediment yield (in the Normal scenario) increases to some extent after
- 415 AD 1 (more than 20%, Fig 4c). The spatial variation coefficient of sediment yield in the two scenarios are almost the same during the simulation (less than 20%, Fig 4d), which indicates that land use change is the dominant factor for the spatial characteristics of sediment yield.







420 Fig 4: Comparison of mean annual discharge yield (a), CV of discharge yield (b), mean annual sediment yield

(c) and CV of sediment yield (d) for the Normal and WCC Scenarios

#### **5** Discussion

5.1 A regime shift around 1000 BC

- The sediment thickness distribution in the *Normal* scenario shows a significant increase in the lower reaches of the main Wei River after 1000 BCE (Fig. 3A, red rectangle). The mean annual sediment yield in each sub-catchment also experiences a large increase at the same time (Fig. 3B). These changes are not only a consequence of the large increase of the land use around the 1000 BC (Chen et al., 2021), but also a
- 430 signal indicating the change of sensitivity of the fluvial catchment to climate change as a result of increasing ALCC.





The sensitivity to climate change of mean annual discharge and sediment yield and their coefficient of spatial variation alters abruptly when the areal extent of land use exceeds a certain threshold (Fig. 5). When the areal extent is low (<30 %), the

- 435 sensitivity to climate change declines steadily with increasing areal extent (and thus also with time; Fig. 5). The sensitivity fluctuates when the areal extent is between 30% and 50% (Fig. 5). However, when the areal extent of land use is high (>50%), starting at ~1000 BCE, the sensitivity increases with increasing areal extent (Fig. 5) indicating a regime shift of the fluvial catchment.
- 440 These changes in sensitivity are associated with the areal extent of land use and the type of vegetation change. In the catchment, the natural vegetation is made up of forest and grass (Fig. S7), which is converted to cropland. Runoff in grassland is more sensitive to climate change than runoff in cropland, whereas runoff in forest is less sensitive than runoff in cropland (Mao and Cherkauer, 2009). The main vegetation
- 445 change in the catchment during the time period from 6000 BCE to around 3000 BCE is from grass to the crop in the western and northern parts of the catchment (Fig. S3), which leads to the decreased sensitivities of the catchment discharge and sediment yield and their spatial variations, CV, to climate change (Fig. 5a, b). From around 3000 BCE to 1000 BCE, the sensitivities first sharply increase and then decrease rapidly, which
- 450 shows their instability. This may be caused by changes of other parameters, like air temperature (Fig. S2), which are not considered. From 1000 BCE onwards, the major anthropogenic vegetation changes are from forest to crop in the southeastern part of the 26 / 41





catchment (Fig. S3), which results in the increase of the sensitivities (Fig. 5).

Sediment accumulation that first occurs in the middle reaches of the Jing River

and the Beiluo River at ~ 4000 BCE, and subsequently migrates to the lower reaches of the main Wei River at ~ 1000 BCE, indicates a sediment wave, which has also been reported in other catchments (Van Balen et al., 2010; James and Lecce, 2013). Since the significant aggradation in the lower reaches of the main Wei River at ~ 1000 BCE reflects a regime shift of the whole fluvial catchment, the earlier sediment accumulation in the middle reaches of the Jing River and the Beiluo River at ~ 4000 BCE maybe indicate an earlier regime shift in the tributaries.



Fig 5: Sensitivity of discharge and sediment yield to the climate changes due to increasing areal extent of land use with time. The colors of points indicate the simulated time; 50% land use area corresponds to 1000 BCE.





- 5.2 Coupling between ALCC by human settlement and floodplain development The spatial distribution of sediment accumulation correlates with the distribution of archaeological sites since the mid-Holocene (Yu et al., 2016; Fig. 6). Sediment accumulation and floodplain construction first occurs in the downstream part, then expands to the northwestern part and becomes concentrated in the upstream part in the western part of the CLP, in the Qi'an and Yangjiaping sub-catchments (Fig. 6a). This pattern of expansion is consistent with the spatial trend of the growth of the number of archaeological sites during the same period (Yu et al., 2016). This can be explained by the spatially asynchronous development of floodplains, caused by migration of sediment waves in the catchment. The floodplains provided ideal locations for initial
- 475 settlements (Clevis et al., 2006). These new settlements, in turn, would have led to an increase in local land use, which in turn, would result in higher sediment yields. These sediments are then transported further and accumulated downstream, which results in floodplain development there and thus provides new suitable places for further human settlement (Fig. 7). This resembles the niche construction theory (NCT) from biological
- and ecological systems (Laland et al., 1996; Laland et al., 1999; Laland et al., 2001;
   O'Brien and Laland, 2012). The NCT places emphasis on the capacity of organisms, in
   this case humans, to modify their environment and thereby act as co-directors of their
   own, and other species' evolution (Laland et al., 2001; Spengler, 2021).







485 Fig 6: The spatial correspondence between the location of sediment aggradation (a) and archaeological sites (b, Yu et al., 2016) during the mid-Holocene.







Fig 7: Conceptual model for the relationship between expansion of agriculture and fluvial floodplain 490 aggradation.

#### **6** Conclusions

Land use change in the Wei River catchment in the Chinese Loess Plateau (CLP) not only has a significant direct impact on discharge and sediment yield, but also alters

- 495 the resilience of this fluvial catchment to climate change. The sensitivity of the entire catchment to climate change decreases with increasing amounts of areal extent of land use, as long as it is less than 30%, when the increase in areal extent is dominated by a change from grass to cropland. The sensitivity increases when the areal extent of land use exceeds 50% of the catchment around 1000 BCE. During this increase in extent,
- 500 the main vegetation cover changed from trees to crops. This regime shift can be reflected by the significant sediment accumulation in the lower reaches starting around 1000 BCE. There is an initial sediment aggradation in the middle reaches of Jing River and Beiluo River (~ 4000 BCE), which migrates to the lower part of Wei River around





3000 years ago. This migration of sedimentary waves suggests that the regime shifts 505 occur earlier upstream in the tributaries. Our simulation results also suggest that there is a coupling between early land use, sediment accumulation and resultant floodplain development: new settlements on floodplains lead to further increases in sediment yield and floodplain formation further downstream.

# Code and data availability

- 510 The mapped shapefiles and the code used to process the mapped data are available upon request to the corresponding author. The data for the KK10 scenario can be accessed at <u>https://doi.pangaea.de/10.1594/PANGAEA.871369</u>. The documentation of the Landlab can be found at <u>https://landlab.readthedocs.io/</u> and the newest version of the software is archived at <u>https://doi.org/10.5281/zenodo.3647556</u> and <u>https://</u>
- 515 <u>doi.org/10.5281/zenodo.3644240</u>. The source of Biome-BGC model can be accessed at http://www.ntsg.umt.edu.

## **Author contribution**

Hao Chen wrote the code and performed the simulations. Xianyan Wang and Ronald van Balen modified the code and improved the simulations. Yanyan Yu provided

520 the data of archaeological sites. Huayu Lu modified the main text of the manuscript.Hao Chen wrote the manuscript with contributions from all co-authors.

#### **Competing interests**

The authors declare that they have no conflict of interest.





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