Inferring the Photolysis Rate of NO₂ in the Stratosphere 1 **Based on Satellite Observations**

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- 10 Abstract. NO and NO₂ (NO_x) play major roles in both tropospheric and stratospheric chemistry. This paper provides a novel 11 method to obtain a global and accurate photodissociation coefficient for NO₂ based on satellite data. The photodissociation
- 12 coefficient J_{NO2} dominates the daytime diurnal variation of NOx photochemistry. Here the spatial variation of J_{NO2} in 50° S-90° S
- 13 in December from 20-40 km is obtained using data from the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS)
- 14 experiment. Because NO and NO₂ exchange rapidly with one another in the daytime, the J_{NO₂} can be attained assuming steady
- 15 state, and the results are shown to be consistent with model results. The J_{NO2} value decreases as the solar zenith angle increases
- 16 and has a weak altitude dependence. A key finding is that the satellite-derived J_{NO2} increases in the polar regions in good agreement
- 17 with model predictions, due to the effects of ice and snow on surface albedo. Thus, the method presented here provides an
- 18 observations-based check on the role of albedo in driving polar photochemistry.

19 1 Introduction

20 Fast photochemistry in the Earth's atmosphere is driven by sunlight and affects the diurnal variation of many species. The properties 21 of sunlight entering the stratosphere, including light intensity and its energy distribution, depend on the solar zenith angle, as well 22 as distributions of absorbing species. Further, the solar zenith angle is related to latitude, season, and local time. The sunlight 23 entering the stratosphere determines the photochemical rates in the stratosphere, thus affecting stratospheric chemistry, and the 24 diurnal variations of species concentration is one of the impacts. Therefore, diurnal variation observations provide key information 25 in analyzing the photochemical properties of the stratosphere. NOx chemistry is one of the most important elements of stratospheric 26 chemistry and plays a leading role in controlling stratospheric ozone concentration (Crutzen, 1979; Johnston, 1971; Crutzen, 1970). 27 The photodissociation coefficient J_{NO2} quantifies the process of NO₂ photolysis into NO, thus affecting the diurnal variation of 28 NOx. The stratospheric NO and NO₂ abundances are controlled by the following reactions:

29
$$NO + O_3 \rightarrow NO_2 + O_2$$
 (R1)
30 $NO + by \rightarrow NO + O$ (R2)

$$30 \quad NO_2 + NV \rightarrow NO + 0 \tag{R2}$$

- 31 $NO_2 + 0 \rightarrow NO + O_2$ (R3)
- 32 $ClO + NO \rightarrow NO_2 + Cl$ (R4)

33 Because of the short lifetime of NO and NO₂, they are in steady state within the sunlit stratosphere. Therefore, the following 34 equation holds:

35
$$\frac{[NO]}{[NO_2]} \approx \frac{J_{NO_2} + k_{O+NO_2} \times [O]}{(k_{NO+O_3} \times [O_3] + k_{NO+ClO} \times [ClO])}$$
 (1)

- 36 A number of studies on the diurnal variation of NOx and J_{NO2} in the stratosphere have been reported, based on models or airborne 37 observations. Fabian et al. (1982) used a two-dimensional model to examine the diurnal variations of NOx at different altitudes. 38 Many studies focused on the diurnal variation of NOx using airborne observations, and these were subsequently compared with models. (Pommereau, 1982; Roscoe et al., 1986; Kawa et al., 1990). Madronich et al. (1985) measured J_{NO_2} in the stratosphere 39 40 utilizing a balloon platform and compared it to a model; they showed that the J_{NO2} value has a weak altitude dependence. Webster 41 and May (1987) measured the diurnal variation of NOx and J_{NO2} simultaneously utilizing a balloon. Del Negro et al. (1999) 42 calculated J_{NO2} based on the concentrations of NO, NO2, O3, ClO, and HO2 measured on an aircraft and BrO from a model, and 43 compared them with a model. They found that the J_{NO2} inferred from the data assuming steady state matched their model well. 44 Moreover, it has been emphasized that albedo has a substantial effect on J_{NO2} (Madronich, 1987; Bösch et al., 2001; Laepple, 2005; 45 Walker et al., 2022). Further, the surface albedo over ice and snow has a large and important effect on tropospheric chemistry in 46 the polar regions (Walker et al., 2022) due in large part to its effect on J_{NO_2} , highlighting the need to evaluate J_{NO_2} on a large scale. 47 Surface radiometers have also been used to infer information about J_{NO2} for different sky conditions in the troposphere (Shetter et 48 al., 1992; Junkermann et al., 1989). However, aircraft, surface radiometers, or balloon measurements are all local and the amount 49 of data is therefore limited. At the same time, models are based on theoretical calculations and require measured data for verification. 50 These considerations are the motivation for this paper, in which satellite data are used to characterize J_{NO2} on a global basis, with 51 particular emphasis on values obtained over ice and snow. 52 Satellite measurements of NOx allow elucidation of its zenith angle and albedo dependence. The global concentrations of NO, 53 NO2, and related species as discussed below can be easily obtained using satellite data and used to determine J_{NO2} at different
- 14 latitudes, albedo, and altitudes. Solomon et al. (1986) reported satellite observations of the NO₂ diurnal variation in the stratosphere 15 at solar zenith angles ranging from about 35 to 110 degrees but concurrent NO data were not available. Anderson et al. (1981) 15 employed a similar method to study the zenith angle variation of mesospheric O₃. The Michelson Interferometer for Passive 15 Atmospheric Sounding (MIPAS) is a Fourier transform spectrometer carried on Envisat, measuring not only NO₂ but also NO and 15 O₃, as well as ClO, all of which are used here in inferring J_{NO_2} (see below). MIPAS was designed and operated for the measurement 15 of atmospheric species from space and can detect limb emission in the middle atmosphere with high spectral resolution and low-16 noise performance (Fischer et al., 2008).
- 61 In this work, the novel method of obtaining the zenith angle dependence of NOx and J_{NO_2} using satellite data in summer over the 62 polar cap is reported, taking 50° S-90° S in December in 20-40 km as an example. The diurnal variations of NOx and J_{NO_2} at 63 different altitudes are described. J_{NO_2} changes with latitude are discussed and a J_{NO_2} map in the Antarctic is used to elucidate 64 albedo effects. In summary, this work shows a method for obtaining NOx diurnal variation and accurate J_{NO_2} based on satellite 65 data, expanding the way to attain information on this key photodissociation coefficient.

66 2 Data and Methods

67 2.1 MIPAS Data

The vertical resolution of MIPAS is approximately 3-8 km in the stratosphere, and the horizontal resolution is 30 km across track, about 500 km for along-track until 2004 (400 km after 2004). The vertical scan range is 5-150 km. Satellite operation was stopped temporarily in March 2004 due to technical issues and resumed in January 2005 in a new operation mode. MIPAS allows near complete global coverage, ranging from 87° S to 89° N obtained about every three days by 73 scans per orbit and 14.3 orbits per day. Each day the satellite passes through the same latitude at two local times (ascending side and descending side, as shown in

- Fig. 1). Therefore, for this dataset, there are only two solar zenith angles at each latitude. We therefore focus on 50° S-90° S in the
- 74 polar day, in December 2009, where there are as many solar zenith angles as possible in a relatively small latitude range. The data
- 75 from the satellite was averaged daily and zonally (Because the specific latitudes of the satellite data vary somewhat from one orbit
- 76 to another, we bin the data using a two-degree interval). Then we calculate the four-day running mean, which is shown in Fig. 2
- 77 and Fig. 3.
- 78 In this paper, we used the NO, NO₂, O₃, ClO, temperature and pressure data from V8 MIPAS retrievals performed with the 79 IMK/IAA level 2 processor. The retrieval of temperature was reported by Kiefer et al. (2021). For NO retrieval, the method 80 considered the populations of excited NO states (Funke et al., 2005). This implies that photolysis of NO_2 is included in the retrieval priors. However, retrieved NO is only weakly dependent on prior knowledge of J_{NO2} values (10-15%). In our calculations, 81 according to Eq. (2) and (3), NO, NO2 and O3 play comparable roles in calculation of J_{NO2}, reducing the impact of prior knowledge 82 83 on the final results. Therefore, prior knowledge of J_{NO2} will have a small effect on our findings as long as prior knowledge of J_{NO2} 84 is not completely incorrect. The NO retrieval was documented by Funke et al. (2023). These authors reported an accuracy of 8-85 15% for altitudes of 20 to 40 km. Regarding O₃, Kiefer et al (2023) reported an accuracy of 3-8% in the altitude region of interest. 86 The retrievals of NO₂ and ClO were described by Funke et al. (2005) and von Clarmann et al. (2009), respectively, with accuracies 87 of 0.2-0.8 ppbv for NO₂ and total error of more than 35% for ClO. However, please note that these papers refer to older data 88 versions. Accuracy estimates for V8 ClO and NO₂ are not yet available but the values quoted here were used as a rough guideline.

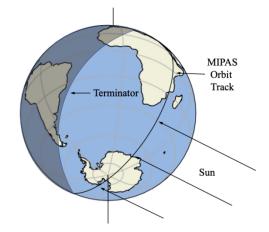


Figure 1. Schematic representation of the MIPAS orbit at high latitude in December showing the ascending (dayside) and
 descending (nightside) portions of the orbit and the terminator.

92 2.2 Model Calculations

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93 The Whole Atmosphere Community Climate Model version 6 (WACCM6) is used in this study. WACCM6 is a component of the 94 Community Earth System Model version 2 (CESM2; Gettelman et al., 2019; Danabasoglu et al., 2020). The horizontal resolution 95 is 1.9° latitude $\times 2.5^{\circ}$ longitude and the with 88 vertical levels up to about 140 km, with the altitude resolution increasing from 0.1 96 km near the surface to 1.0 km in the upper troposphere-lower stratosphere (UTLS) and 1–2 km in the stratosphere. This work uses 97 the specified dynamics version of WACCM6, where the atmosphere below 50 km is nudged to the Modern-Era Retrospective 98 Analysis for Research and Applications, version 2 (MERRA-2; Gelaro et al., 2017), temperature and wind fields with a relaxation 99 time of 50 h. The chemistry mechanism includes a detailed representation of the middle atmosphere, with a sophisticated suite of 100 gas-phase and heterogeneous chemistry reactions, including the Ox, NOx, HOx, ClOx, and BrOx reaction families. There are ~100 101 chemical species and ~300 chemical reactions. Reaction rates are updated following Jet Propulsion Laboratory (JPL) 2015

102 recommendations (Burkholder et al., 2015). The photolytic approach is based on both inline chemical modules (<200nm) and a 103 lookup table approach (>200-750nm; see Kinnison et al., 2007). The look-up table (LUT) approach uses the Tropospheric 104 Ultraviolet-Visible Radiation Model (TUV4.2; Madronich, 1987; Madronich and Weller, 1990), an advanced radiation transfer 105 model widely used by the scientific community, using the four-stream pseudospherical discrete ordinates option. TUV has 106 demonstrated excellent performance in intercomparisons with ground-based measurements, particularly under ideal sky conditions 107 (Shetter et al., 2003). Model values for December 2009 at the same times and locations as the satellite data are selected by the 108 satellite profile algorithm to compare with the satellite data, and denoted "Model". The satellite profile algorithm outputs 109 constituents (e.g., J_{NO2} and NOx concentrations) at the nearest latitude, longitude, and local time to the observation.

110 2.3 Chemical Equation

111 NO is assumed to be in a steady state in the sunlit atmosphere at 20-40 km at least for zenith angles less than 94°, due to its short 112 lifetime. Using the chemistry discussed above, J_{NO_2} can then be expressed as

113
$$J_{NO_2} = \frac{[NO]}{[NO_2]} \times \left(k_{NO+O_3} \times [O_3] + k_{NO+ClO} \times [ClO] \right) - k_{O+NO_2} \times [O]$$
(2)

114 Where k is the rate constant, J_{NO_2} is the photodissociation coefficient of NO₂, and [O₃] is the concentration of O₃.

115 To obtain the concentration of O, O is assumed to be in a steady state with ozone at 20-40 km. The concentration of O can be 116 expressed as

117
$$[0] = \frac{J_{O_3} \times [O_3]}{k_{O+O_2 + M} \times [O_2] \times [M]}$$
(3)

118 The k_{N0+03}, k_{N0+Cl0}, k_{0+N02} and their uncertainties are from JPL (Burkholder et al., 2015), and k_{0+02+M} and its uncertainty are 119 from International Union of Pure and Applied Chemistry (IUPAC; Atkinson et al., 2004). It is worth noting that J₀₃ in the Eq. (3) 120 comes from the model here, which is a limitation of this study. However, in the stratosphere below about 33 km [O] has a small 121 effect on J_{NO2} calculation (less than 8.1 percent) due to its low concentration (Johnston and Podolske, 1978). CIO can similarly be 122 ignored when altitudes are less than 35 km, where ClO concentrations are small (Sagawa et al., 2013); otherwise using ClO data 123 from MIPAS would introduce large and unnecessary uncertainty. HO2 and BrO both can react with NO but they are not measured 124 by MIPAS and their contributions to the partitioning between NO and NO₂ are negligibly small at the altitudes considered here 125 (Del Negro et al., 1999). Therefore, we don't consider them in this paper.

126 3 Results and Discussion

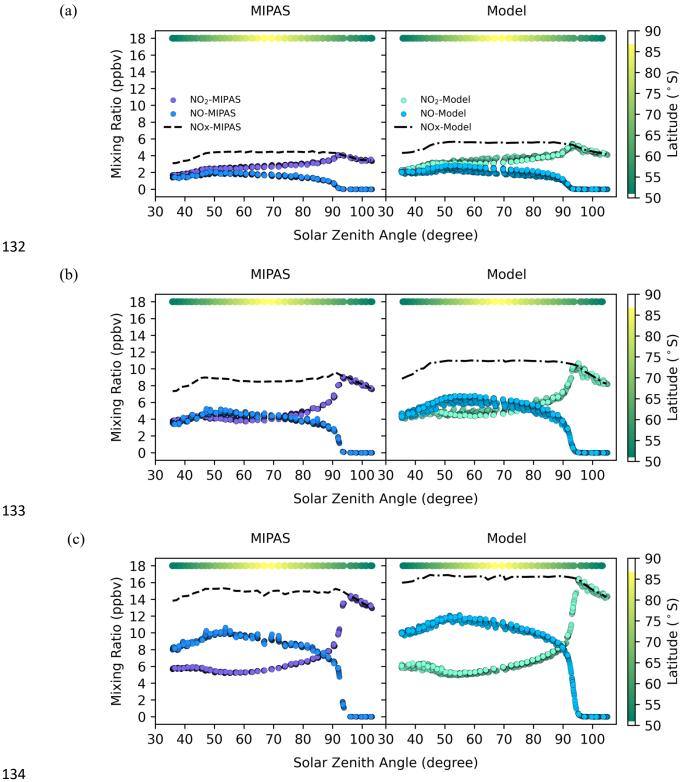
127 3.1 NOx Concentration at different altitudes

128 To better understand the diurnal variation of NOx, concentrations of NO and NO₂ from MIPAS and the model are shown in Fig.

129 2 at different altitudes. The NO and NO₂ concentrations from MIPAS and the model show very good overall consistency. The

solar zenith angle of 90 degrees is a clear dividing line, showing that light drives the diurnal variation, and the results are in good

accord with the theory.



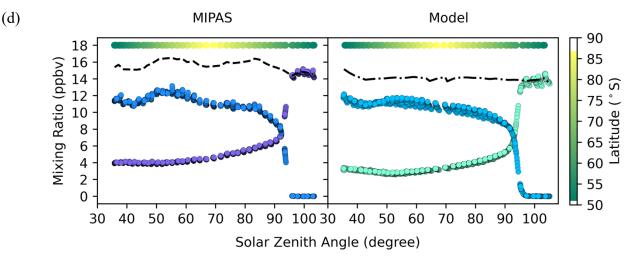


Figure 2. The concentrations of NO, NO₂ and NOx in 50° S-90° S in December 2009 from MIPAS and the model at different altitudes. (a) 23 km (b) 28 km (c) 33 km (d) 38km. Model values are at the same time and location as the satellite data. The color bar represents the latitude of the data points at each solar zenith angle. To ensure clear visual distinction for each point, black outlines are applied around them.

135

NO and NO₂ exchange with one another but their sum (NOx) varies relatively little for solar zenith angles less than about 90°. An increase in NO is matched by a decrease in NO₂ for zenith angles from about 30-50°, and then NO concentration decreases at larger angles, mainly reflecting changes in the photolysis rate as the satellite sweeps across the mid-latitudes and polar cap (see below). NO rapidly disappears when the solar zenith angle exceeds 90 degrees, and the concentrations of NO and NO₂ change dramatically during twilight. NO decreases rapidly while NO₂ increases rapidly. When the solar zenith angle is more than 90 degrees at these altitudes, NO is completely oxidized to NO₂, so there is no NO and NO₂/NOx is 1. In addition, the concentration of NO₂ decreases slightly when the solar zenith angle is more than 90 degrees, which indicates the formation of the N₂O₅.

148 It should also be noted that in Fig. 2, the concentrations of NO and NO₂ also reflect latitude variations, because the data at each 149 zenith angle come from different latitudes as shown by the color bar at the top of each panel in Fig. 2, but these variations are fairly 150 small over the summer polar cap and consistent with the model as shown. From 23 km to 33 km, the concentrations of NO and 151 NO₂ increase with the altitude.

152 3.2 J_{NO_2} at different altitudes and solar zenith angles

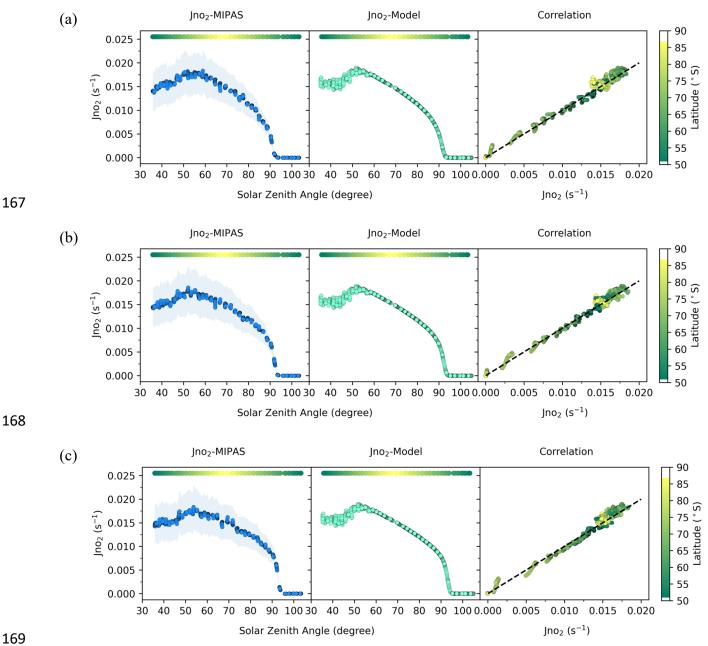
153 Using Eq. (2) above, the J_{NO_2} and the error bar at different altitudes is shown in Fig. 3 along with the J_{NO_2} values from the model. 154 The correlation diagrams show that the values inferred from the satellite observations are in excellent agreement with the model. 155 Fig. 3 shows that the J_{NO2} values at different altitudes within the 20-40 km range are nearly identical. This indicates the weak 156 dependence of J_{NO2} value on altitude, which was also reported by Madronich et al. (1985). This is because the NO2 photolysis is 157 largely driven by wavelengths ranging from 300 nm to 420nm (Madronich et al., 1983), which lie within a spectral region relatively 158 free of atmospheric absorption. Consequently, the flux remains nearly constant at different altitudes. When the solar zenith angle 159 is higher than about 90 degrees, the J_{NO_2} value drops rapidly to 0. The uncertainty is also shown in Fig. 3, and the model J_{NO_2} is 160 within the error bar. The deviation between the results and model is significantly smaller than the 1- σ uncertainty, implying that 161 the estimates of measurement errors of MIPAS may be conservative. To illustrate how different species affect our calculations at 162 some altitudes, the effects of different gases at 38 km are shown in Fig. S1. The figure shows that O₃, O and ClO are critical to

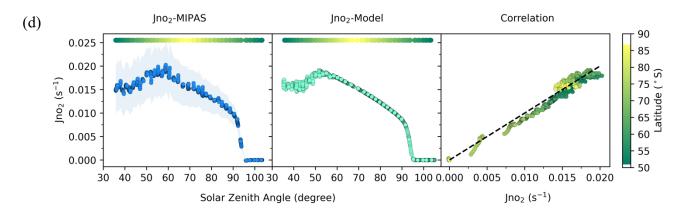
NOx chemistry at 38 km. However, the concentrations of ClO and O are smaller at altitudes of less than 35 km, and have about

3.6% and less than 12% influence in our calculations, respectively. Moreover, the satellite data error of CIO becomes larger at

lower altitudes, so CIO is not considered here when the altitude is lower than 35km.







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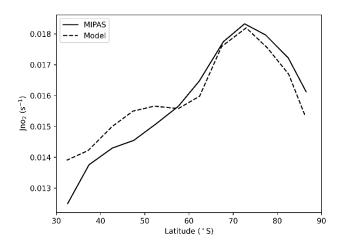
Figure 3. The J_{NO_2} in 50° S-90° S from MIPAS and the model at different altitudes. (a) 23 km (b) 28 km (c) 33 km (d) 38km. Model values are for the same time and location as the satellite data. The color bar represents the latitude of the data points at each solar zenith angle. In the correlation plots, the abscissa is J_{NO_2} -MIPAS and the ordinate is the J_{NO_2} -Model and the slope of dashed line is 1. To ensure clear visual distinction for each point, black outlines are applied around them.

175 3.3 J_{NO2} at different latitudes

The J_{NO_2} values from the satellite and model at different latitudes are next discussed. The clear relationship between J_{NO_2} and latitude from MIPAS and model is also displayed in Fig. 4, and the close comparison between the two is remarkable. It is obvious that the satellite-inferred J_{NO_2} monotonically increases with latitude from 30° S-70° S, and then decreases at higher latitudes. The J_{NO_2} over the pole is taken at a larger solar zenith angle, which explains its decrease relative to surrounding parts of Antarctica. Fig. 5 displays maps of the detailed distributions of J_{NO_2} from MIPAS and model, which exhibits their excellent consistency and shows a sharp transition between mid-latitudes and the Antarctic continent or regions covered by sea ice.

182 The sharp transitions in J_{NO2} values shown in Fig. 5 can only be caused by the large difference in albedo between the ocean and 183 the Antarctic environs, covered by sea ice, land ice, and snow (Brandt et al., 2005; Shao and Ke, 2015). Albedo has a strong 184 influence on J_{NO_2} because NO₂ is more sensitive than most atmospheric species to the effects of scattering and reflection 185 (Madronich et al., 1983; Madronich, 1987; Bösch et al., 2001; Laepple et al., 2005). This is because the atmosphere exhibits 186 considerable transparency at frequencies relevant to NO₂ photolysis, allowing a large number of photons to persist throughout the 187 long atmospheric path, reaching Earth's surface and eventually returning to the stratosphere. In the high latitude area, the ground 188 is covered with ice and snow, and the albedo can be as high as 0.9, while in the lower latitudes, the albedo is about 0.1 (Brandt et 189 al., 2005; Shao and Ke, 2015). Table 1 shows the J_{NO2} values at different solar zenith angles under different albedos. The results 190 show that the albedo has a strong influence on the values, especially at low solar zenith angles. Based on Fig. 5, the J_{NO2} above the 191 continental ice is greater than that above the Antarctic sea ice, which may be because the fraction of open water within the pack 192 influences the albedo (Brandt et al., 2005).

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- 194
- 195





197 Figure 4. The relationship between J_{NO2} and latitude from MIPAS and model in 30° S-90° S at 28 km. Model data is for the same 198 time and location as the satellite data. J_{NO_2} is examined wherever the solar zenith angle is less than 70 degrees and averaged 199 every five degrees of latitude.

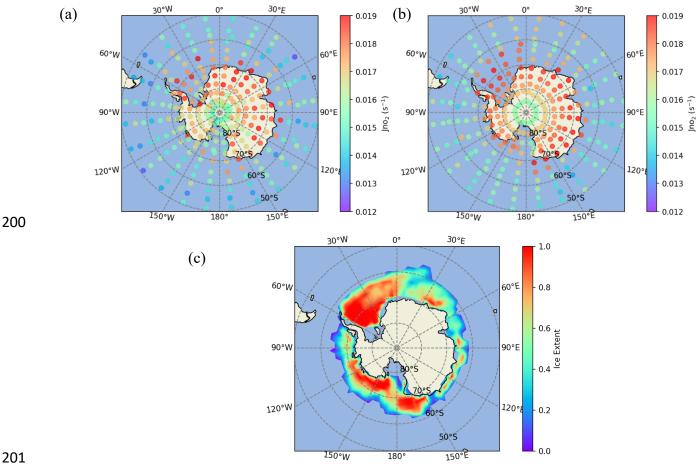


Figure 5. The mapping of J_{NO_2} in 50° S-90° S at 28 km from (a) MIPAS and (b) model. (c) The distribution of the sea ice extent 202 203 in December 2009 in Antarctica from the model. Model J_{NO2} data are for the same time and location as the satellite data. J_{NO2} is 204 shown wherever the solar zenith angle is less than 70 degrees and averaged every 3.33° latitude ×15° longitude. 205

Table 1. J_{NO_2} at different solar zenith angles under different albedos (α)

Solar zenith angle	$J_{NO_2} \left(\alpha = 0.1 \right)$	$J_{NO_2} (\alpha = 0.9)$	$J_{NO_2} (\alpha = 0.9)/J_{NO_2} (\alpha = 0.1)$
0	1.30	2.21	1.70
10	1.30	2.19	1.69
20	1.29	2.14	1.65
30	1.28	2.05	1.59
40	1.27	1.92	1.52
50	1.24	1.77	1.42
60	1.20	1.58	1.31
70	1.14	1.36	1.20
80	1.01	1.10	1.09
90	0.664	0.673	1.01

208 4 Conclusions

The diurnal variations of NOx species and the resulting J_{NO_2} from about 50° S-90° S in December in 20-40 km have been evaluated based on MIPAS data. Light has a strong impact on the diurnal variations. NO and NO₂ are in steady state in the daytime and their sum is almost constant.

212 The calculated J_{NO_2} remarkably consistent with the model results, and the J_{NO_2} value decreases as the solar zenith angle increases.

213 The J_{NO_2} value drops rapidly to 0 at the solar zenith angle of about 90 degrees. Moreover, the weak dependence of the J_{NO_2} value

on altitude in this region is evident.

215 The results from the satellite and the model both indicate that J_{NO_2} increases with latitude, which can be attributed to more reflected

216 light from ice and snow surfaces with high albedo. In summary, this work presents a new method for obtaining accurate J_{NO_2}

values mainly based on satellite data. Further, this method can be extended to other photodissociation coefficients, paving the way

- 218 for further tests of global photodissociation coefficients data based on satellites.
- 219

220 Code and data availability. The data and code are available at https://doi.org/10.5281/zenodo.7764756.

221 Supplement.

Author contributions. S.S. designed the study. J. G. analyzed the data and produced the figures. S. M. and D. K. run the models
 and contributed significantly to the interpretation of findings. J.G. wrote the manuscript, with comments from all authors.

224 Competing interests. The contact author has declared that none of the authors has any competing interests.

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