



1 Validation and field application of a low-cost device to measure CO₂

2 and ET fluxes

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15 Abstract

16 Mitigating the global climate crisis and its consequences, such as more frequent and severe droughts, is one of the major 17 challenges for future agriculture. Therefore, identifying land use systems and management practices that reduce greenhouse 18 gas emissions (GHG) and promote water use efficiency (WUE) is crucial. This however, requires accurate and precise 19 measurements of carbon dioxide (CO_2) fluxes and evapotranspiration (ET). Despite that, commercial systems to measure CO_2 20 and ET fluxes are expensive and thus, often exclude research in ecosystems within the Global South. This is especially true 21 for research and data of agroecosystems in these areas, which are to date still widely underrepresented. Here, we present a 22 newly developed, low-cost, non-dispersive infrared (NDIR)-based, CO₂ and ET flux measurement device (~200 Euro) that 23 provides reliable, accurate and precise CO_2 and ET flux measurements in conjunction with manual closed chambers. To 24 validate the system, laboratory and field validation experiments were performed, testing multiple different low-cost sensors. 25 We demonstrate that the system delivers accurate and precise CO₂ and ET flux measurements using the K30 FR NDIR (CO₂) 26 and SHT31 (RH) sensor. An additional field trial application demonstrated its longer-term stability (> 3 months) and ability to 27 obtain valid net ecosystem C balances (NECB) and WUE. This was the case, even though environmental conditions at the 28 field trial application site in Sub-Saharan Africa were rather challenging (e.g., extremely high temperatures, humidity and 29 intense rainfall). Consequently, the developed low-cost CO2 and ET flux measurement device not only provides reasonable 30 results but might also help to democratize science and close current data gaps.

31 **1 Introduction**

32 The global climate crisis is one of the most critical problems of our time and identifying and implementing measures to mitigate 33 or adapt to its consequences, such as more frequent and severe drought, is a key challenge. Solving this challenge, requires 34 first and foremost a substantial reduction of anthropogenic greenhouse gas (GHG) emissions in all sectors (IPCC, 2019). While 35 agriculture is a significant contributor to these anthropogenic GHG emissions (FAO, 2020), it might also offer the potential to 36 mitigate the climate crisis by increasing soil carbon (C) sequestration (Lal et al., 2004). Specifically, land use systems and 37 management practices which not only promote a net C uptake but also an efficient water use are needed. They might help to 38 increase soil C stocks and crop productivity, reducing GHG emissions while simultaneously sustaining yield, despite 39 intensifying climate stressors, such as more frequent and severe droughts. Hence, it is crucial to evaluate land use systems 40 regarding their potential to sequester additional C and effectively utilize water. Common parameters used to assess both, are 41 the net ecosystem C balance (NECB; Smith et al., 2010), and the agronomic and ecosystem water use efficiency (WUE; Beer 42 et al., 2009). Their determination, however, requires accurate and precise measurement of carbon dioxide (CO_2) and 43 evapotranspiration (ET) fluxes (Chapin et al., 2006; Livingston and Hutchinson, 1995; Rosenstock et al., 2016; Xu et al., 44 2019).

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46 Measurement of CO₂ and ET fluxes are commonly performed using eddy covariance or chamber based systems (Baldocchi et 47 al., 1996; Smith et al., 2010; Wang et al., 2017; Yang et al., 2014), while especially the latter are well suited for direct treatment 48 comparisons (Dubbert et al., 2014; Hoffmann et al., 2018; Kübert et al., 2019). In case of a remote study site location or 49 limitations in power supply, particularly manual closed chamber measurements are used to measure the CO₂ exchange and ET 50 fluxes (Rochette and Hutchinson, 2015). However, the relatively high costs of needed measurement equipment (particularly 51 gas analyzers) strongly limits their accessibility and often exclude research in ecosystems within the Global South. This 52 resulted in a pronounced underrepresentation of regions, land use systems and management practices from subtropical and 53 tropical South America, South Asia, and Africa, even though the quantification of e.g., CO₂ fluxes in these regions might 54 reduce disparities in the global CO₂ budget (Canadell et al., 2011; Gurney et al., 2002; Kondo et al., 2015).

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56 Recent efforts to solve this financial constraint focus on developing low-cost, yet reliable, measurement devices. This was 57 catalyzed by the growing availability of relatively inexpensive microcontrollers, which are increasingly utilized for scientific, 58 environmental research (Blackstock et al., 2019; Capri et al., 2021). An additional contribution came from the improvement 59 in accuracy and precision of low-cost relative humidity (RH) and especially non-dispersive infrared (NDIR) CO₂ sensors. 60 Evaluation of commercially-available NDIR CO₂ sensors (Keimel et al., 2019; Martin et al., 2017; Pandey et al., 2007; Yasuda 61 et al., 2012) showed that they have acceptable precision and accuracy in measuring CO₂ concentrations especially when proper 62 calibration methods are applied. Although low-cost NDIR CO₂ sensors are commonly used in air quality monitoring studies 63 (Araujo et al., 2020; Wastine et al., 2022), these sensors have also been applied in environmental research (Bastviken et al., 64 2015; Brown et al., 2020). For example, multiple studies have demonstrated the applicability of using low-cost NDIR CO₂ 65 sensors for reliable measurements of soil CO₂ efflux (Brändle and Kunert, 2019; Curcoll et al., 2022; Harmon et al., 2015) and 66 water crop use determination (Capri et al., 2021). However, in case of RH sensors, the inversely increased measurement 67 uncertainty of total water vapor concentration with decreasing RH (e.g. a typical low-cost RH sensor has a measurement 68 accuracy of 1-3 % in relative but not absolute humidity) might constitute a problem. Despite first studies showing the potential 69 of using low-cost sensors as an alternative to more expensive commercial counterparts, there is still little evidence that in situ 70 closed chamber CO₂ and ET flux measurements using both, are comparable in precision and accuracy.

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Here, we present the hard- and software implementation, as well as laboratory and in situ validation of a newly, low-cost and open-source CO₂ and ET flux measurement device. We hypothesise that by using the device in conjunction with a manual closed chamber 1.) CO₂ and ET fluxes can be reliably and accurately measured; and that 2.) measured CO₂ and ET fluxes can be used to obtain valid estimates of net ecosystem C balance (NECB) and WUE, even under challenging environmental conditions such as extremely high air temperatures, humidity, and precipitation. To test these hypotheses, we first validated the accuracy and precision of four different low-cost NDIR CO₂ sensors (K30 FR, SCD30, MHZ-14, and MHZ-19) under controlled laboratory conditions. Afterwards, the NDIR sensors passing laboratory validation as well as two different RH





sensors were validated in field. During field validation, ET and CO₂ fluxes (ecosystem respiration (R_{eco}) and net ecosystem exchange (NEE)), as well as temperature-dependent R_{eco} and photosynthetic active radiation (PAR)-dependent gross primary production (GPP) parameters, were compared to the results obtained simultaneously with a reference infrared gas analyser (IRGA; LI-850, LI-COR, USA). Finally, the ability of the developed low-cost CO₂ and ET flux measurement device to obtain reliable NECB and WUE as well as its practicability and stability were tested. Therefore, multiple devices were used during a field trial application in Northern Ghana to obtain seasonal CO₂ exchange and ET, as well as NECB and WUE for four different fertilizer treatments in a maize cultivation.

86 2 Material and Methods

87 2.1 Hard- and software implementation

88 The developed, highly portable CO₂ and ET flux measurement device consists of a logger and sensor unit, both assembled out 89 of a combination of various low-cost, off-the-shelf components. A complete list of used components, distributors and prices is 90 given in Table 1. Figure 1 shows the assembled logger and attachable sensor unit, together with a schematic representation of 91 the wiring. The logger unit consists of an Arduino Uno like microcontroller (Atmega328, AZ-Delivery Vertriebs GmbH, 92 Germany) with attached Logger Shield module (AZ-Delivery Vertriebs GmbH, Germany) including an SD card reader and 93 SD card (2 GB) to store sensor readings and a real time clock (RTC) which helps to keep the time and date even when the 94 system is switched off. A BME280 air temperature (± 1 °C), air humidity (± 3 %) and air pressure sensor (± 1 hPa; Reichelt 95 electronics GmbH, Germany) as well as an LCD display (AZ-Delivery Vertriebs GmbH, Germany) and HC-05 Bluetooth 96 module are part of the logger unit and connected to the microcontroller. The logger unit is fitted into a weather and shock 97 resistant outdoor housing (B&W Outdoor Case Type 500, OVERHAUL MEDIA GmbH, Germany). The external sensor unit 98 consists of a NDIR-based CO₂ (0-10000 ppm, ±30 ppm ±3 % accuracy; K30 FR, Senseair AB, Sweden), an air humidity (RH) 99 and air temperature sensor (SHT31, ± 2 % accuracy, Sensirion AG, Switzerland or DHT22, ± 2 to 5 % accuracy, Aosong 100 Electronics Co., Ltd, China). Both sensors were connected through a seven core cable to the logger unit using UART (K30 101 FR) and I2C (SHT31) data communication, respectively. The power supply of the microcontroller is ensured by six 102 rechargeable AA NiMH batteries (1.2 V; 2600 mAh) in a 6×AA battery holder, which supply 7.2 V. Due to the power 103 requirements of the external sensor unit (K30 FR and SHT31), an additional 6×AA battery holder is attached to the housing 104 directly. Software implementation was done using Arduino IDE 2.0.3.

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111 **Table 1:** Sensor components and cost (in Euro) at the time of writing, including weather and shock-proof housing and energy

supply (rechargeable batteries). Components needed for optional semi-automatic mode are listed in addition.

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COMPONENT	AMOUNT	DESCRIPTION	PRICE	DISTRIBUTOR
B&W OUTDOOR CASE TYP 500	1	Outdoor case for housing electrical components	28.75 Euro	www.profikoffer.de
PVC HARD FOAM PLATE	1	PVC 5 mm hard foam plate to create interior of housing for electronic components	1.5 Euro	www.amazon.de
LUSTER TERMINALS	12	Luster terminals for wiring electrical components within housing	0.6 Euro	www.amazon.de
0.2 mm ² 24 AWG ELECTRICAL WIRE		Electrical wires for wiring electrical components within housing		www.amazon.de
7 PIN AVIATION CONNECTOR	2	Aviation connector to connect logger unit within weatherproof housing with passive NDIR sensor installed in the closed chamber to be attached	2.9 Euro	www.amazon.de
7 CORE RUBBER CABLE (1.5 m)	1	Cable to connect logger unit within weatherproof housing with passive NDIR sensor installed in the closed chamber to be attached	3.75 Euro	www.conrad.de
WS R13-112 AAAA ROCKER SWITCH	1	Rocker switch for switching on and off	1 Euro	www.reichelt.de
ATMEGA 328	1	Arduino Uno like microcontroller	5 Euro	<u>www.az-</u> delievery.de
DATALOGGER MODULE	1	Logger shield for Arduino UNO like microcontroller with SD card reader and RTC unit	4.6 Euro	www.az- delievery.de
HAMA CLASS 4, SD MEMORY CARD, 2 GB, 10 MB/s	1	SD memory card to save sensor readings	6 Euro	www.saturn.de
HC-05 BLUETOOTH WIRELESS RF-TRANSCEIVER- MODULE RS232	1	Bluetooth module for wireless communication	5.2 Euro	<u>www.az-</u> delievery.de
16×2 LCD OR OLED DISPLAY WITH I2C ADAPTER	1	LCD or OLED display for data visualization	3.7 Euro	<u>www.az-</u> delievery.de
BMP280	1	Air pressure, air humidity and air temperature sensor	1.7 Euro	www.reichelt.de
DHT22 OR SHT31 MODUL	1	Air temperature and air humidity sensor	6.4 Euro	www.az- delievery.de
SENSEAIR K30 FR (FAST RESPONSE)	1	CO_2 measuring module with fast response time; Measuring range: 0 to 5000 ppm CO_2 , operating range: 0 to 50 °C	85 Euro	<u>www.driessen-</u> kern.de
GOOBAY 11467 6x (4x) MIGNON (AA) BATTERY HOLDER	2 (1)	Battery holder for 6× NiMH rechargeable mignon (AA) batteries	4.6 Euro	www.conrad.de
CONRAD ENERGY HR06 MIGNON (AA)-AKKU NiMH 2600 mAh 1.2 V	12 (16)	NiMH rechargeable mignon (AA) batteries	38 Euro	www.conrad.de
4.5 V METAL BRUSH AIR PUMP	2	Air pump for flushing headspace of small chambers	9.45 Euro	www.berrybase.de
IRLZ44N MOSFET	1	Mosfet to control power supply to pumps	0.75 Euro	www.reichelt.de
TOTAL COST			199.7 Euro	







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Figure 1: (a) Logger unit in weather and shock resistant housing, (b) external sensor unit attached to a transparent non-flowthrough non-steady-state (NFT-NSS) closed chamber and (c) schematic representation of wiring.

116 2.2 Laboratory validation

To identify the NDIR sensor most suitable for in situ, dynamic closed chamber measurements, four different NDIR-based sensors, namely 1.) MHZ-19 (Winsen Electronics Technology CO., LTD, China), 2.) MHZ-14 (Winsen Electronics Technology CO., LTD, China), 3.) SCD30 (Sensirion AG, Switzerland) and 4.) K30 FR (Senseair AB, Sweden) were tested and validated regarding their precision and accuracy during a laboratory validation experiment. For this, sensors were placed separately into a sealed, ventilated, cylindrical vessel (Fig. 2; V: 1425.5 cm²) and connected to the developed low-cost logger system.





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Figure 2: Experimental setup of the performed laboratory validation experiment for four different NDIR CO₂ sensors connected to the developed low-cost CO₂ and ET flux measurement device (MHZ-14, MHZ-19, SCD30 and K30 FR). Validation was performed through injecting distinct amounts of technical gas (Linde, Germany; 10000 ppm CO₂) into the airtight, sealed, cylindrical vessel.

All sensors were calibrated in ambient air prior to use according to manufacturer instructions. Afterwards different distinct amounts (5 to 30 ml; in 5 ml steps; each step repeated five times) of a technical gas containing 10000 ppm CO₂ (Linde, Germany) were injected into the sealed vessel using a syringe. In between injections, the vessel was flushed with ambient air by two pumps (1.5 L min⁻¹) connected to the vessel (semi-automatic measurement mode of the developed device). Finally, CO₂ concentration increases inside the vessel, measured in a 5 s interval by the NDIR-based sensors, from before to after injection (Δ CO₂ in ppm) were compared against mixing-induced CO₂ concentration increases. Sensors that performed best in terms of accuracy and precision were subsequently validated during the field validation experiment.

136 2.3 Field validation

Field validation of the low-cost CO₂ and ET flux measurement device was performed through parallel manual closed chamber measurements using an infrared gas analyzer (IRGA; LI-850, LI-COR, USA) and NDIR sensors (CO₂) passing previous laboratory validation, as well as two different RH sensors (ET). Measurements were conducted at the "PatchCrop" experimental field, managed by the Leibniz Centre for Agricultural Landscape Research (Fig. 3; ZALF). "PatchCrop" features multiple smaller patches (72 x 72 m), with diverse and site-specific crop rotations, aiming to create synergies and interactions between fields.

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Figure 3: Parallel opaque (R_{eco}) manual closed chamber measurements with a Li-COR 850 IRGA (LI-850, LI-COR, USA)
and the developed, low-cost CO₂ and ET flux measurement device at ZALF experimental field near the village of Tempelberg,
North-East Germany (52°44'86.2'' N, 14°14'05.1'' E). The developed system was equipped with a K30 FR and SCD30 NDIR,
as well as SHT31 and DHT22 sensor.

148 The experimental field "PatchCrop" is located near the village of Tempelberg, Northeast Germany (52°44'86.2" N, 149 14°14'05.1" E). The temperate climate is characterized by a mean annual air temperature of 9.7°C and mean annual 150 precipitation of 544 mm (ZALF weather station, 2010-2019). The medium loamy, sand textured soil can be classified as 151 Luvisol (WRB). CO₂ exchange (NEE and Reco) and ET measurements were conducted for a mixture of *Phacelia* and *Guizotia* 152 *abyssinica* at three repetitive plots, established at one of the patches through installing PVC collars (A: 0.5625 m²; 5 cm deep) 153 in the beginning of October 2022. Measurements started shortly after sunrise and lasted to late afternoon during two 154 consecutive days, using a dynamic, (non-)flow-through non-steady-state ((N)FT-NSS) manual closed chamber system. Used 155 transparent (86 % light transmission; NEE flux measurements) and opaque (Reco flux measurements), cubic shaped PVC chambers had a total volume of 0.296 m³ and were equipped with a fan for efficient headspace mixing. CO₂ and H₂O 156 157 concentrations, as well as RH, during chamber deployment were recorded in parallel using a LI-850 IRGA and the developed, 158 low-cost measurement device, equipped with a K30 FR, SCD30, SHT31 and DHT22 sensor, respectively. NEE, R_{eco}, and ET 159 fluxes were measured by alternately deploying the opaque and transparent chambers on the three pre-installed PVC frames. 160 During individual 4 min measurements, CO₂ and H₂O concentration, as well as RH, changes in the chamber headspace, air 161 temperature inside and outside the chamber, soil temperature and humidity (TMS-4, TOMST, Czech Republic) as well as PAR 162 (outside the chamber; Skye, UK) were recorded at a 3 s (LI-850) and 5 s interval (NDIR and RH sensors). To validate the low-





163 cost CO₂ and ET flux measurement device, measured R_{eco} , NEE and ET fluxes, as well as derived temperature (R_{eco}) and PAR 164 dependency functions (GPP), were directly compared against results obtained in parallel with the LI-850.

165 **2.4 Field trial application**

166 The developed, low-cost measurement device has been tested for applicability and reliability under challenging environmental 167 conditions in an experimental field managed by the Council for Scientific and Industrial Research-Savanna Agricultural 168 Research Institute (Fig. 4; CSIR-SARI). The experimental field (21 × 54 m), located near the city of Nyankpala, Northern Ghana (9°24'15.9'' N, 01°00'12.1'' W), featured a split-plot design (3×6 m; n=3) with the main plot assigned to tillage 169 170 practice (conventional vs. reduced tillage) and the subplot assigned to a factorial combination of organic and mineral fertilizers. 171 The tropical region around Nyankpala is characterized by a mean annual air temperature of 26 °C and a unimodal rainfall 172 pattern with a distinct rainy season from June to October followed by a dry season from November to May (Alua et al., 2018) 173 resulting in a mean annual precipitation of 1100 mm (CSIR-SARI weather station, 1995-2013). The soil is sandy loam textured 174 and classified as Acrisol (WRB). CO₂ exchange (NEE and R_{eco}) and ET measurements were conducted for maize (Zea mays) 175 from July to October 2022 at four out of the nine treatments with reduced tillage (bullock plough), namely: 1.) Fertisoil (5 t 176 ha⁻¹; commercial organic fertilizer in Northern Ghana; FT), 2.) farmyard manure (5 t ha⁻¹; FM), 3.) Fertisoil + NPK (5 t ha⁻¹ + 177 90-60-60 kg ha⁻¹; FT+MIN) and 4.) farmyard manure + NPK (5 t ha⁻¹ + 90-60-60 kg ha⁻¹; FM+MIN). Measurement campaigns 178 took place every two weeks from sunrise to late evening using a dynamic, NFT-NSS manual closed chamber system. Used 179 transparent (86 % light transmission; NEE flux measurements) and opaque (Reco flux measurements), cubic shaped PVC 180 chambers had a total volume of 1.56 m^3 and were equipped with a fan for efficient headspace mixing. CO₂ concentration and 181 RH changes during chamber deployment were recorded using the developed, low-cost measurement device, equipped with a 182 K30 FR and DHT22 sensor. During each measurement campaign, NEE, Reco, and ET fluxes were measured by alternately 183 deploying the opaque and transparent chambers on pre-installed frames (A: 0.96 m²) at each of the measured plots.

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Figure 4: Transparent (NEE) manual closed chamber measurement at CSIR-SARI experimental field, used for field trial
 application of the developed, low-cost CO₂ and ET flux measurement device, near the city of Nyankpala, Northern Ghana
 (9°24'15.9'' N, 01°00'12.1'' W).

189 2.5 Data processing

190 2.5.1 CO₂ and ET flux calculation, separation and gap-filling

191 For laboratory validation, the changes in CO_2 concentrations in the vessel, expressed as ΔCO_2 in ppm, were calculated as the 192 mixing ratio of measured ambient air and injected technical gas CO₂ concentration (10000 ppm). These were compared with the ΔCO_2 obtained for the four different NDIR sensors as the difference in mean CO_2 concentrations measured for one minute 193 194 right before and two minutes after injection. For the field validation, measured CO_2 and ET fluxes were calculated using a 195 modular R script, described in detail by Hoffmann et al. (2015; CO₂) and Dahlmann et al. (2022; ET), respectively. Prior to 196 CO₂ and ET flux calculation a death-band of 10 % was applied to the data of each chamber measurement. CO₂ concentrations 197 measured using the LI-850 were additionally corrected for changes in water vapour during chamber measurements (Webb et 198 al., 1980; McDermitt et al., 1993). Unlike the LI-850 which provided H₂O as mole fraction, used low-cost RH sensors (DHT22 199 and SHT31) required additional post processing. RH measurements were converted into a mass concentration following Hamel 200 et al. (2015; Eq. 1):

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$$H_2 O = \frac{RH \cdot e^s}{100 \cdot P}$$
(1)

where RH is the relative humidity, P is the gas pressure (Pa) and e^s is the saturated vapour pressure (Pa), calculated according to Allen et al. (1998). Thereafter, CO₂ and ET fluxes were calculated based on the ideal gas law using a linear regression approach (Eq. 2):

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$$208 f = \frac{MpV}{RTA} \cdot \frac{\Delta c}{\Delta t} (2)$$

210 where M denotes the molar mass of the gas ($g mol^{-1}$), p denotes the ambient air pressure (Pa) and V denotes the chamber 211 volume (m^3). Since plants accounted for < 0.1 % of the total chamber volume, a static chamber volume was assumed. R denotes 212 the gas constant (8.314 m³ Pa K^{-1} mol⁻¹), T denotes temperature inside the chamber (K), A denotes the basal area (m⁻²) and $\Delta c/\Delta t$ denotes the linear CO₂ (e.g., Leiber-Sauheitl et al., 2014) and H₂O concentration change over time (e.g., Dahlmann et 213 214 al., 2022). The variables T and, more importantly, $\Delta c/\Delta t$, were obtained by applying a variable moving window (0.5 to 3 min) 215 to each chamber measurement. Thus, resulting multiple ET and CO₂ fluxes per measurement (based on generated variable 216 moving window data subsets) were further evaluated according to the following criteria: 1.) fulfilled prerequisites for applying 217 a linear regression (normality (Lilliefor's adaption of the Kolmogorov-Smirnov test), homoscedasticity (Breusch-Pagan test) 218 and linearity); 2.) regression slope ($p \le 0.1$, t-test); 3.) range of within-chamber air temperature not larger than ± 1.5 K and a 219 PAR deviation (only transparent chamber measurements) not larger than ± 20 % of the average to ensure stable environmental 220 conditions within the chamber throughout the respective measurement window; 4.) no outliers present (±6xIQR). Calculated 221 CO₂ and ET fluxes that did not meet all criteria were discarded. In cases where more than one flux per measurement met all 222 criteria, the CO₂ and ET flux with steepest slope and closest to chamber deployment were chosen. For field validation and field 223 trial application CO_2 fluxes were additionally separated into its flux components R_{eco} , GPP and NEE and gap-filled through 224 deriving empirical models. In the case of Reco, a temperature-dependent Arrhenius-type function was used and fitted for air as 225 well as soil temperatures measured in different depths (Lloyd and Taylor, 1994; Eq. 3).

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$$R_{eco} = R_{ref} \cdot e^{E_0 \left[\frac{1}{T_{ref} - T_0} - \frac{1}{T - T_0}\right]}$$
(3)

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where R_{ref} is the respiration rate at the reference temperature (T_{ref} ; 283.15 K), E_0 is an activation energy-like parameter, T_0 is the starting temperature constant (227.13 K) and T is the mean air or soil temperature during the flux measurement. Out of the four obtained R_{eco} models (one model for air temperature inside the chamber, one for air temperature outside the chamber; soil temperature at 2 and 5 cm depth), the model with the lowest Akaike information criterion (AIC) was finally used. In case of GPP a PAR dependent, rectangular hyperbolic light-response function, based on the Michaelis–Menten kinetic, was used





(Elsgaard et al., 2012; Hoffmann et al., 2015; Wang et al., 2013; Eq. 4). Since GPP cannot be measured directly, GPP fluxes were calculated as the difference between measured NEE and modelled R_{eco} fluxes, using campaign specific, previously derived parameters R_{ref} and T_0 .

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$$GPP = \frac{GP_{\max} \cdot \alpha \cdot PAR}{\alpha \cdot PAR + GP_{\max}}$$
(4)

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where GP_{max} is the maximum rate of C fixation at infinite PAR (µmol CO₂ m⁻² s⁻¹), α is the light use efficiency (µmol CO₂ 240 µmol⁻¹ photons) and PAR is the photon flux density (corrected for chamber light transmission) of the photosynthetically active 241 radiation $(\mu mol^{-1} photons m^{-2} s^{-1})$. In cases where the rectangular hyperbolic light-response function did not result in 242 243 significant parameter estimates, a non-rectangular hyperbolic light-response function was used (Gilmanov et al., 2007; 2013). Reco and GPP parameter sets were evaluated and discarded in case of non-significant parameter estimates. If no fit or a non-244 significant fit was achieved, averaged flux rates were used for Reco and GPP instead. Reco, GPP and NEE were modelled in half 245 hourly steps for the entire period based on continuously monitored temperature and PAR. For ET, campaign-wise average 246 247 daily ET fluxes (for nighttime ET fluxes measured before, for daytime ET fluxes measured after 8:00) were determined and 248 linearly interpolated between campaigns for the entire crop growth period.

249 **2.5.2 NECB and WUE**

NECB for the field trial application experiment was calculated as the sum of cumulated NEE, C output such as harvested
biomass C and C input due to organic fertilizer application (Eq. 5; Smith et al., 2010).

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253 NECB = NEE +
$$C_{input} - C_{output}$$
 (5)

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Several minor NECB components have not been considered, such as, C input from seeding and methane emissions. However, due to their relatively low magnitude (e.g., no methane emissions in mineral soil under aerobe conditions) their influence on the NECB of our study is neglectable. Values for R_{eco}, GPP, NEE, harvested biomass C and NECB are given using the atmospheric sign convention (Ceschia et al., 2010), where positive values indicate C losses from the plant-soil system and negative values indicate C uptake. Thus, NECB refers to the total change in below-ground C. WUE was calculated as the agricultural WUE (WUE_{agro}; Eq. 6; Hatfield and Dold, 2019).

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$$262 \qquad \text{WUE} = \frac{\text{DM}}{\text{ET}} \tag{6}$$

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where DM denotes harvested dry biomass in g m^{-2} and ET is cumulative evapotranspiration in mm.





265 2.5.3 Error calculation and statistical analysis

To test for normal distribution of the data obtained from laboratory and field validation measurements, Kolmogorov-Smirnov test (p<0.05) was performed. In case of normal distribution, significant differences between ΔCO_2 in ppm or R_{eco}, NEE, and ET fluxes measured from low-cost sensors and mixing ratio ΔCO_2 or IRGA-based R_{eco}, NEE, and ET fluxes were determined using one-sample t-test (p<0.05). Error calculation for CO₂ and ET fluxes, as well as crop season CO₂ exchange and ET, were quantified using a comprehensive error prediction algorithm described in detail by Hoffmann et al. (2015).

271 **3 Results and Discussion**

272 **3.1 Laboratory validation**

273 Differences in accuracy and precision among the tested, four different low-cost NDIR sensors are shown in Fig. 5a-d as 1:1-274 agreement plots between mixing ratio (calculated) and measured ΔCO_2 . While accuracy can be assessed as deviation from the 275 1:1-agreement line, precision is determined by the residual standard deviation (SD) and the coefficient of determination (r^2) of 276 the linear regression fitted on calculated versus measured ΔCO_2 . The K30 FR (Fig. 5d) showed the highest accuracy among 277 all tested NDIR sensors, reflecting well the increase in CO_2 concentration (ΔCO_2) derived through mixing ratio. 278 Correspondingly, no significant difference (one sample t-test, p=0.80) was found between calculated and measured ΔCO_2 . The 279 SCD30 (Fig. 5c), even though fairly accurate at lower, failed to reflect higher calculated ΔCO_2 values and generally tends to 280 overestimate triggered ΔCO_2 . Neither the MHZ-14 (Fig. 5b) nor the MHZ-19 (Fig. 5a) were sufficiently accurate and able to 281 reflect triggered ΔCO_2 . While the MHZ-14 showed a rather constant offset from the 1:1-agreement by 28 ppm, the MHZ-19 282 tends to increasingly overestimate higher ΔCO_2 values derived through mixing ratio. Hence, unlike the K30 FR, all other NDIR 283 sensors measured significantly higher ΔCO_2 when compared to mixing ratio ΔCO_2 (one sample t-test, p<0.01). Unlike the 284 accuracy, overall precision and measurement repeatability among all four NDIR sensors was generally high and fairly 285 comparable, showing a residual SD of 2.78 ppm, 4.23 ppm, 2.52 ppm and 3.58 ppm, respectively. Regarding the response time 286 (defined as mean time from injection to measured initial CO2 concentration increase), all four NDIR sensors differed 287 substantially, with only 44 seconds for the K30 FR and more than 280 seconds for the MHZ-14. The same was true for the 288 response strength (defined as the mean time from beginning to end of the injection triggered CO₂ concentration increase, which 289 represents its steepness), with 61, 160 and 265 seconds for the K30 FR, SCD30 and MHZ-19 respectively. In case of the MHZ-290 14, response strength could not be evaluated, since no clear saturation after injection induced CO₂ concentration increase could 291 be observed.







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Figure 5: 1:1-agreement between mixing ratio and measured ΔCO_2 in ppm from the four low-cost sensors tested (K30 FR, SCD30, MHZ-14 and MHZ-19). The dashed black line indicates the 1:1-agreement. The dotted green line shows the linear regression through the average ΔCO_2 for each injection step (n=5), calculated from the repetitive measurements per step. Error bars indicate ±1.96 SD. The grey shaded area represents the respective confidence band of the regression line.

297 While accuracy and precision are of course highly relevant, response time and response strength in particular play a key role 298 in determining the extent to which the tested NDIR sensors can be used for in situ NFT-NSS closed chamber measurements. 299 With a response time of almost 2 min and 5 min, respectively, as well as low response strength, MHZ-19 and MHZ-14 would 300 likely fail to correctly reflect ΔCO_2 during short-time (<4 min) closed chamber measurements, regardless of their low accuracy, 301 which makes them additionally unsuitable. Therefore, only the K30 FR (and to a much lower extent the SCD30) with its fast 302 response time and high response strength passed laboratory validation and met all necessary requirements for accurate and 303 precise in situ measurements of CO2 exchange. Our findings, comparing accuracy and precision of four different NDIR sensors 304 during a laboratory setup, are in a good agreement with previous studies performing laboratory validation of single sensors.





305 Brändle and Kunert (2019), who compared the MHZ-14A NDIR sensor against a GFS-3000 (Heinz Walz GmbH, Germany) 306 during a laboratory validation observed a similar response time and a general measurement offset of approx. +40 ppm. Based 307 on this and an additionally conducted field validation, Brändle and Kunert (2019) also suggested that the MHZ-14A is not 308 suitable for short term measurements (<5 mins). Also findings of González Rivero et al. (2023), who tested the ability of the 309 SCD30 to reflect calibration gas concentrations and concluded an acceptable accuracy and response time, are in a good 310 agreement with results of the present study. The most widely tested NDIR sensors so far, however, are those of the K-Series 311 (as e.g., Ali et al., 2016; Blackstock et al., 2019; Brown et al., 2020; Mendes et al., 2015). Laboratory validation performed by 312 Blackstock et al. (2019) using K30 1 % sensor to measure a span of different CO₂ concentrations verified that it well reflects 313 CO₂ concentrations within the accuracy stated by the manufacturer. Similarly, laboratory tests performed by Mendes et al. 314 (2015) found that the K30 sensor has nearly perfect linear response against calibration gas CO_2 concentrations. Lastly, the 315 laboratory experiment by Ali et al. (2016) also highlighted the accuracy of the K30 1 % sensor when compared against 316 measurements of an SBA-5 CO₂ gas analyzer (PP Systems, USA). During their experiment both sensors showed a strong 317 correlation and no offset, when K30 1 % sensor self-calibration was used, highlighting the self-calibration capabilities of the 318 K-series sensors that contribute to their stable performance and high measurement repeatability with minimal maintenance 319 compared to other NDIR sensors.

320 **3.2 Field validation**

321 A total of 41 closed chamber measurements (Reco: 21; NEE: 20) has been conducted during the two days field validation, using 322 the LI-850 as reference for both NDIR sensors passing the laboratory validation (CO₂; K30 FR and SCD30) and the two tested RH sensors (ET; SHT31 and DHT22). While for the LI-850, 41 valid CO₂ fluxes (Reco: 21; NEE: 20) could be calculated, 35 323 324 (Reco: 21; NEE: 14) and 36 (Reco: 21; NEE: 15) valid fluxes were obtained for K30 FR and SCD30, respectively. To avoid 325 systematic impact of opaque chambers on plant transpiration via stomatal closure upon darkening, in case of ET fluxes, only 326 transparent chamber measurements were taken into account (Larcher, 2003). Out of the 20 NEE measurements, 13 valid ET 327 fluxes could be calculated in case of the LI-850. Compared to that, 18 and 17 valid ET fluxes were obtained for the SHT31 328 and DHT22, respectively. Differences in accuracy and precision for CO₂ and ET fluxes calculated based on NDIR (Fig. 6a-b) 329 and RH measurements (Fig. 6c-d) compared to CO₂ and ET fluxes calculated based on LI-850 are shown as 1:1-agreement 330 plots in Fig. 6. While the comparison between Reco and NEE fluxes calculated from LI-850 and K30 FR measurements (Fig. 331 6a), was in accordance with the laboratory validation and showed again the overall accuracy and precision of this NDIR sensor, 332 a small positive offset was found. Hence, CO_2 fluxes for the K30 FR were significantly higher (R_{eco} mean diff. 1.12 µmol m⁻² s⁻¹; one sample t-test, p<0.05) and less negative (NEE mean diff. 1.41 µmol m⁻² s⁻¹; one sample t-test, p<0.05) when compared 333 to LI-850. No such systematic offset was found in case of the SCD30 (Fig. 6b), which showed significantly lower Reco (mean 334 335 diff. -1.33 μ mol m⁻² s⁻¹; one sample t-test, p<0.05) and much less negative NEE fluxes (mean diff. -4.18 μ mol m⁻² s⁻¹; one sample t-test, p<0.05) compared to LI-850. Since neither both NDIR sensors showed a similar offset, nor an overestimation 336





- 337 was found for the K30 FR during the laboratory validation already, it can be assumed that the detected offset in case of the
- 338 K30 FR is neither a direct result of microclimatic effects (e.g., increasing humidity), nor incorrect sensor readings. Instead,
- inter-alia differences within the chamber headspace and the position of the NDIR sensor right below the chamber top, approx.
- 340 10 cm above the LI-850 inlet and outlet, might help to explain it. Compared to the K30 FR, especially NEE fluxes obtained
- 341 by the SCD30, were characterized by a very low precision.



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Figure 6: 1:1-agreement between (a-b) CO_2 and (c-d) ET fluxes measured with infrared gas analyzer (IRGA; LI-850, LI-COR, USA) and low-cost NDIR sensors (K30 FR and SCD30). The dashed black line indicates the 1:1-agreement. The dotted green/blue line shows the linear regression through the measured CO_2/ET fluxes. The grey/blue shaded area represents the respective confidence band of the regression line. Error bars indicate calculated flux error (α =0.9).

The reason for this are certainly the lower CO_2 concentrations (<400 ppm) in the NEE measurements, which are clearly outside the measurement range specified by the manufacturer (400 to 10000 ppm). This also explains the decreasing precision with increased negative NEE fluxes obtained by SCD30, since these are likely related to CO_2 concentration measurements well





350 below 400 ppm. The general underestimation of R_{eco} and NEE fluxes derived from SCD30, however, is probably a result of its rather long response time and lower response strength when compared to the K30 FR (see 3.1). No significant difference (mean 351 diff. -0.01 mm d⁻¹; one sample t-test, p=0.89) was found between ET fluxes calculated from H₂O concentration and RH 352 353 measurements, using the LI-850 and SHT31, respectively (Fig. 6c). Together with an r^2 of 0.72, this indicates a reasonable 354 accuracy of SHT31 derived ET flux estimates. Compared to that, ET fluxes, determined through RH measurements using the DHT22 (Fig. 6d), were significantly smaller (mean diff. 0.28 mm d⁻¹; one sample t-test, p<0.05) than LI-850 based ET fluxes 355 and with an r² of 0.64, less accurate. This is consistent with sensor accuracy for measuring relative humidity specified by their 356 357 corresponding manufacturers, which are ± 2 % accuracy for SHT31 and ± 2 -5 % accuracy for DHT22. Since these low-cost 358 sensors were only capable of measuring at this level of accuracy, a higher uncertainty at lower RH concentrations and 359 consequently derived ET fluxes, might occur, even though not directly detected within this study. The overall precision of 360 SHT31 and DHT22 derived ET fluxes were fairly similar, but with a residual SD of 0.36 and 0.39 mm d⁻¹, rather high. Figure 361 7 shows Reco (Fig. 7a-b) and GPP (Fig. 7c-d) parameter estimates for flux measurements performed with the LI-850 compared 362 to K30 FR (Fig. 7a, 7c) and SCD30 (Fig.7b, 7d), respectively. Since the Reco and GPP parameters are based on the fluxes presented in Fig. 6, similar differences between LI-850, K30 FR and SCD30 could be obtained. With an R_{ref} and E₀ of 4.60 363 and 212.71, the K30 FR had similar, but slightly higher Reco parameters (Fig. 7a) when compared to the LI-850 (Rref: 4.14; E₀: 364 365 195.01). This indicates not only in general higher R_{eco} fluxes but, more importantly, also a stronger increase of R_{eco} fluxes with rising temperature. In the case of the SCD30 (Rref: 2.54; Eo: 270.07), differences in Reco parameters were, however, much more 366 367 pronounced. The same tends to be true for obtained GPP parameters, which were highly comparable for LI-850 (α : -0.048; 368 GP_{max}: -39.83) and K30 FR (α: -0.042; GP_{max}: -38.42), but distinctly different for SCD30 (α: -0.029; GP_{max}: -31.83). As a result, the fitted K30 FR PAR dependency function was fully within the confidence band of the LI-850 PAR dependency 369 370 function. In summary, the K30 FR well represented Reco and GPP fluxes measured with the LI-850 and thereon based parameter estimates for Reco and GPP. Unlike the K30 FR, the SCD30 was only able to reflect LI-850 Reco and GPP fluxes measured 371 372 within the manufacture specified concentration range. Correspondingly, accurate parameter estimates, especially with GPP, 373 were not obtained. Our findings are further supported by studies that compared the accuracy of K-series sensors against 374 commercial sensor counterparts and its accuracy for field CO₂ flux measurements (Curcoll et al., 2022). They integrated a K30 375 STA sensor into NFT-NSS chamber measurements and were able to accurately measure CO₂ fluxes for a grassland ecosystem. 376 Adding to that, the average CO₂ flux obtained during our study using K30 FR (0.4 μ mol m⁻² s⁻¹) falls within the range of 377 reported daily average NEE values (4 to -6 μ mol m⁻² s⁻¹) in the study by Emmel et al. (2018) for a field site in Switzerland 378 which was also covered with Phacelia cover crop. Based on the performed field validation, the developed low-cost 379 measurement device equipped with the K30 FR and SHT31 is likely to accurately measure CO₂ and ET fluxes in situ, using 380 NFT-NSS closed chambers.







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Figure 7: Comparison of R_{eco} temperature dependency (dotted red lines) and GPP PAR dependency functions (dotted green lines) between LI-850 (dark red/green) and K30 FR and SCD30 (light red/green), respectively. Shaded red/green areas indicate confidence band around functions. Dots represent measured R_{eco} and derived GPP fluxes. Error bars indicate calculated flux error (α =0.9).

386 3.3 Field trial application

During the measurement period, half-hourly air temperatures at the field site near Nyankpala, Northern Ghana, reached as high as 46°C, with daily average air temperatures ranging from 24°C to 32°C. Daily rainfall varied strongly between the rainy and dry season, with single heavy rain event of up to 115 mm d⁻¹. Consequently, average monthly air humidity was highest (65 to 85 %) during the rainy season and as low as 23 % during the dry season. Irrespective of these harsh environmental conditions, the reliability of the developed low-cost measurement device could be proven during the field trial application. Periodically performed diurnal CO₂ measurement campaigns resulted in consistent R_{eco} and NEE fluxes, showing throughout the entire crop growth a clear light (PAR) dependency for derived GPP fluxes (data not shown). The maximum daily R_{eco} (3.9 g C m⁻²





394 d⁻¹) and GPP (-6.9 g C m⁻² d⁻¹) fluxes derived for the non-mineral fertilized treatments, were well within the range (4.0 g C m⁻² ² d⁻¹ and -7.0 g C m⁻² d⁻¹) of EC derived maximum daily R_{eco} and GPP fluxes reported by Quansah et al. (2015), who measured 395 396 a mixed fallow and cropping system in Northern Ghana, dominated by tall grasses. When adjusted for observation length, 397 cumulative NEE, GPP and Reco values obtained during the same study (27 g C m⁻², -195 g C m⁻² and 222 g C m⁻²) were found 398 to be consistent with the average cumulative NEE, GPP and Reco values obtained from the non-mineral fertilized treatments 399 during our field trial application experiment (-58 g C m⁻², -355 g C m⁻² and 297 g C m⁻²). Also, EC measurements of an unfertilized cropland system (including maize) in Cameroon resulted with 218.5 g C m⁻² in a comparable cumulative R_{eco} 400 401 (Verchot et al., 2020). Regarding ET, the highest cumulative ET of our study (FM + MIN; 229 mm) was similar to the measured 402 ET flux (238 mm) of a field site in Northern Benin, which was dominated by C4 plants (Mamadou et al., 2016). In general, 403 obtained cumulative ET (Fig. 8d) for all four treatments were furthermore in a good agreement with ET obtained for Northern 404 Ghana from average monthly actual evapotranspiration (FAO, 2019), corrected using phenology specific crop factors for grain 405 maize (263 mm; Brouwer and Heibloem, 1986). Cumulative Reco and GPP fluxes recorded for the four different treatments well-reflected the difference in harvested biomass (529 g C m⁻² for FT+MIN and 267 g C m⁻² for FM+MIN), with higher 406 cumulative Reco and GPP for higher crop biomass (Fig. 8a-b). Consequently, also NEE and thereon based NECB was higher 407 408 for additionally, mineral fertilized treatments compared to non-mineral fertilized treatments, with differences between 409 additionally, mineral and non-mineral fertilized treatments being more pronounced for FM when compared to FT (Fig. 8c and 410 e). Similar tendencies were found for ET and thereon based WUE, with additionally, mineral fertilized treatments showing a 411 higher ET and WUE compared to non-mineral fertilized treatments (Fig. 8d and f). This is in alignment with results reported 412 by Mo et al. (2017) for maize in Kenya, where WUE increased with higher grain yield due to increasing mineral N fertilization. 413 Besides the reliability of the developed low-cost measurement system, also its practicability was proved during the field trial 414 application. Despite of the rather demanding environmental conditions, the system showed that it is uncomplicated and easy 415 to operate even for untrained staff. It easily connects to end user devices using the Bluetooth module, so data can be visualized 416 inter-alia with a smartphone in real-time without the need to open the weather and shock resistant outdoor housing. After a 417 short training session, even non-technical trained staff can conduct minor repairs of the system directly in the field. Its light-418 weight and low power consumption with the 12 rechargeable NiMH batteries lasting for as long as eight hours, make the 419 system especially suitable for in situ closed chamber measurements in remote tropical areas. Compared to Li-ion batteries, the 420 rechargeable NiMH batteries are furthermore relatively safe to use at high temperatures. However, the missing user interface 421 currently still prevents direct input of information, such as names of measurement location and soil temperatures, which made 422 data post processing more tedious.







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Figure 8: Cumulative (a-d) R_{eco} , GPP, NEE (g C m⁻²) and ET fluxes (mm) as well as thereon based estimates of (e-f) NECB (g C m⁻²) and WUE (g mm⁻¹) for the four different fertilizer treatments, namely: 1.) Fertisoil (5 t ha⁻¹; commercial organic fertilizer in Northern Ghana; FT), 2.) farmyard manure (5 t ha⁻¹; FM), 3.) Fertisoil + NPK (5 t ha⁻¹ + 90-60-60 kg ha⁻¹; FT+MIN) and 4.) farmyard manure + NPK (5 t ha⁻¹ + 90-60-60 kg ha⁻¹; FM+MIN).

428 **4** Conclusions and implications for further use

Performed experiments showed that CO_2 and ET fluxes can be measured reliably and in a stable manner over time using inexpensive NDIR and RH sensors in conjunction with a manual closed chamber system. Out of the various low-cost CO_2 and RH sensors that were validated, the K30 FR and SHT31 proved to be the most accurate in measuring CO_2 and ET fluxes, respectively. Additionally, the developed low-cost measurement device was shown to be both practical and applicable to use even in environmentally challenging agroecosystems, as demonstrated by the field trial application in Northern Ghana, sub-Saharan Africa. There within, seasonal CO_2 and ET fluxes turned out to be reliable and could be used to obtain valid NECB





and WUE estimates. Since the system developed is battery-powered (solar rechargeable), based on open-source technology and all its components are low-cost, it can become easily accessible to a broad range of researchers. This opens manyfold potential applications, especially in the Global South, regarding the evaluation and identification of various land use systems and management practices, in terms of their C sequestration potential, water consumption and WUE. Therefore, the developed measurement device can be a valuable tool in evaluating and assessing global C and water flux models, ultimately expanding the network for C budget and ET research that are both critical for climate crisis adaptation and mitigation.

441 **5 Data and code availability**

442 The data and code referred to in this study are publicly accessible at https://doi.org/10.4228/zalf-hdqh-br42.

443 **6 Author contribution**

MH and RM conceptualized and developed the system and code. RM, DA and GS carried out the laboratory and field validation
 experiments. MA conducted the field trial application. RM, MH, and MD wrote and prepared the manuscript with contributions
 of all co-authors. All authors have reviewed and agreed to the final version of the manuscript.

447 **7** Competing interests

448 The authors declare that they have no conflict of interest.

449

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