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Aerosol and dynamical contributions to cloud droplet formation in Arctic low-level clouds

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Abstract. The Arctic is one of the most rapidly warming regions of the globe. Low-level clouds and fog modify the energy transfer from and to space and play a key role in the observed strong Arctic surface warming, a phenomenon commonly termed "Arctic amplification". The response of low-level clouds to changing aerosol characteristics throughout the year is therefore an important driver of Arctic change that currently lacks sufficient constraints. As such, during the NASCENT campaign (Ny-Ålesund AeroSol Cloud ExperimeNT) extending over a full year from October 2019 to October 2020, microphysical properties of aerosols and clouds were studied at the Zeppelin station (475 m a.s.l.), Ny-Ålesund, Svalbard, Norway. Particle number size distributions obtained from differential mobility particle sizers as well as chemical composition derived from filter samples and an aerosol chemical speciation monitor were analyzed together with meteorological data, in particular vertical wind velocity. The results were used as input to a state-of-the-art cloud droplet formation parameterization to investigate the particle sizes that can activate to cloud droplets, the levels of supersaturation that can develop, the droplet susceptibility to aerosol and the role of vertical velocity. We evaluate the parameterization and the droplet numbers calculated through a droplet closure with in situ measurements. A remarkable finding is that, for the clouds sampled in situ, closure is successful in mixed-phase cloud conditions regardless of the cloud glaciation fraction. This suggests that secondary ice production through ice-ice collisions or droplet-shattering may explain the high ice fraction, as opposed to rime-splintering that would significantly reduce the cloud droplet number below levels predicted by warm cloud activation theory. We also show that pristine-like conditions during fall led to clouds that formed over an aerosol-limited regime, with high levels of supersaturation (generally around 1%, although highly variable) that activate particles smaller than 20 nm in diameter. Clouds formed in the same regime in late spring and summer, but aerosol activation diameters were much larger due

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to lower cloud supersaturations (c.a. 0.5%) that develop because of higher aerosol concentrations and lower vertical velocities. The contribution of new particle formation to cloud formation was therefore strongly limited, at least until these newly formed particles started growing. However, clouds forming during the Arctic haze period (winter and early spring) can be limited by updraft velocity, although rarely, with supersaturation levels dropping below 0.1% and generally activating larger particles (20 to 200 nm), including pollution transported over a long range. The relationship between updraft velocity and the limiting cloud droplet number agrees with previous observations of various types of clouds worldwide, which tends to confirm the universality of this relationship.

1 Introduction

Greenhouse gas-induced warming is affecting the Arctic more than any other region on the planet (Rantanen et al., 2022). Arctic aerosols have been shown to partially offset local surface warming (Najafi et al., 2015; Breider et al., 2017), which is already impacting the region (Vincent, 2020). Their capacity to form clouds and subsequently impact shortwave and longwave radiation fluxes can strongly influence the regional surface albedo, surface radiation budget, and thus the melting of snow and sea ice (e.g. Curry et al., 1996; Maturilli et al., 2015). Low-level clouds influence the Arctic climate in a substantial but complex manner, with either a positive or a negative forcing depending on the season and the latitude (Intrieri et al., 2002; Shupe and Intrieri, 2004; Tjernström et al., 2014; Tan and Storelvmo, 2019). Arctic low-level clouds are frequently mixed-phase (e.g. Shupe et al., 2008), which makes their representation in models and understanding of their response to cloud condensation nuclei (CCN) availability highly challenging, although critical for understanding Arctic change (e.g., Seinfeld et al., 2016; Sotiropoulou et al., 2016). Extensive long-term observations of aerosols and clouds have been performed in the Arctic (e.g., Platt et al., 2022; Koike et al., 2019); however, aerosol-cloud interactions, and in particular cloud droplet formation processes have to be understood to comprehend the rapid changes occurring in this region of the world. Furthermore, droplet formation and concentrations in mixed-phase clouds (MPCs) are rarely evaluated, even though they can have a profoundly important impact on MPC properties and evolution.

Ny-Ålesund, a scientific settlement based in the Svalbard archipelago, offers a remarkable location for studying the Arctic atmosphere and processes related to aerosol-cloud interactions, with stations located both at sea level and on Zeppelin mountain (475 m a.s.l.). As in the rest of the Arctic, clouds are ubiquitous at Ny-Ålesund, being present 81% of the time (Nomokonova et al.; 2019) with a majority of MPCs, as confirmed by Lawson et al. (2011) over the spring season using a tethered-balloon system. 90% of these MPCs are located below an altitude of 3000 m (Mioche et al., 2015). A combination of ground-based remote sensing observations of cloud properties and the application of broadband radiative transfer simulations allowed to conclude that clouds have an overall warming effect on the surface at Ny-Ålesund (annual average of 11.1 W m^{-2}), although the net surface cloud radiative effect is negative in summer and positive the rest of the year (Ebell et al., 2020). Ny-Ålesund, owing to both its orographic and maritime landscapes, may also bear atmospheric characteristics that are different from the rest of the Arctic (Maturilli et al., 2019). For example, Mioche et al. (2015) showed that mixed-phase clouds (MPCs) are present around 55% of the time above Ny-Ålesund, whereas in the rest of the Arctic, the mean frequency of occurrence is 30% in the winter and 50% for the rest of the year.

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Regarding aerosol size distribution, although no site was shown to be representative of the whole Arctic, several features, such as number concentrations and dominant mode of the size distribution throughout the year, are common to Zeppelin and other Arctic sites such as Nord (Greenland), Barrow (Alaska) or Tiksi (Siberia), as shown by Croft et al. (2016) or Freud et al. (2017). Differences to other Arctic stations can be partly related to Zeppelin being located close to the European continent and to open ocean or in the free troposphere (FT; Ström et al., 2009). Nevertheless, a topography analysis suggested that Zeppelin is influenced to a large extent by planetary boundary layer (PBL) air masses (Collaud Coen et al., 2018). Di Liberto et al. (2012) have shown that over a spring day (with no sunset), the station resided in the PBL from 04:00 to 16:00 and in the FT the rest of the day. Such a diurnal cycle is typically observed in lower latitude and higher altitude sites (Collaud Coen et al., 2018).

Seasonal patterns of aerosol concentrations and size distributions at the Zeppelin station have been extensively investigated (e.g. Ström et al., 2003; Tunved et al., 2013; Croft et al., 2016) . The results agree towards a minimum in number concentration at the onset of fall due to efficient cloud scavenging, scarce transport from lower latitudes and limited new particle formation. Concentrations then increase constantly until they reach the spring Arctic haze maximum. The accumulation mode is dominant over this period, until it gets efficiently scavenged in summer, and the Aitken mode then becomes dominant, due to active new particle formation favoured by strong solar radiation and the absence of condensational sinks (Dall´Osto et al., 2017; Park et al., 2021).

The seasonality of CCN at Zeppelin tends to follow that of the aerosol particle number concentration (Jung et al., 2018; Koike et al., 2019). Using a counterflow virtual impactor inlet, Karlsson et al. (2021) showed that cloud residuals also follow the same seasonality, but negative temperatures cause cloud residual concentrations to drop compared to aerosol concentration. The dominant Aitken mode in summer does not cause a drop in the number of CCN and cloud residuals, suggesting that also particles down to 20 nm in diameter can activate to cloud droplets during this season (Leaitch et al., 2016; Karlsson et al., 2021). Using aircraft measurements over Alaska, Moore et al. (2011) also showed that most aerosols can act as CCN at supersaturations above 0.1% in this region, i.e. where only particles larger than around 100 nm are generally able to activate to cloud droplets. Gramlich et al. (2022) came to the same conclusion by performing chemical analyses of aerosol particles and gases before, during and after cloud, without noting strong variations in chemical composition. CCN are typically associated with accumulation mode particles; however, much smaller particles can activate to cloud droplets if the supersaturations developed in clouds are high enough. Using an adiabatic cloud parcel model, Pöhlker et al. (2021) proposed that in clean environments, such as the Arctic, Aitken mode particles can act as CCN at low updraft velocities (below 1 m s^{-1}). Similarly, Bulatovic et al. (2021) reported a strong influence of Aitken mode particles on the subsistence of stratiform Arctic mixed-phase clouds.

In the atmosphere, supersaturated air associated with cloudy airmasses leads to the unconstrained condensation of water vapour on CCN, leading to cloud droplet activation. The main mechanism driving this process is expansion cooling of ascending air parcels (e.g. Nenes et al., 2001). Droplet number, however, depends on a combination of the cooling and aerosol forming droplets, either of which can be a “limiting factor”, eventually controlling the cloud susceptibility to aerosol. If the factor limiting droplet formation is the lack of aerosol particles (this is the "aerosol-limited" regime), the droplet concentration is directly proportional to the aerosol number concentration and is effectively independent of updraft velocity. The opposite situation can occur, giving rise to an "updraft-limited" regime (e.g. Jensen and Charlson, 1984; Twomey, 1993) during which cloud droplet formation is insensitive to any further

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increase in aerosol concentration. Between these two limiting cases, it is expected that a transitional regime also exists, for which cloud droplet formation is sensitive to both updraft velocity and aerosol properties (Reutter et al., 2009). Given the influence of cloud droplet number on cloud radiative effects, knowledge of the prevalent droplet formation regime in various regions of the world and how it varies throughout the year is of primary importance, because it determines the type of optimal constraints (dynamical or aerosol) required in models. Few studies have used this perspective to determine the best observational results for reducing model uncertainties. Regarding the aerosol-CCN-droplet link, several studies focusing on the Arctic have reported that periods of aerosol-limited regime are frequently found (Garrett et al., 2004; Mauritsen et al., 2011; Eirund et al., 2019), but these studies do not cover a whole year, and/or do not fully constrain the aerosol and updraft velocity characteristics and their relation to cloud supersaturation, activated aerosol size, aerosol source/processes and limiting cloud droplet number (i.e., an asymptotic upper limit of droplet number). The Swiss Alps have been the most extensively studied region in that regard so far, using high-altitude ground-based measurements (Hammer et al., 2015; Hoyle et al., 2016; Georgakaki et al., 2021). At other locations, aircraft flights have been used to investigate cloud formation at higher altitudes, e.g., over the United States of America (Bougiatioti et al., 2020) and the southeastern Atlantic ocean (Kacarab et al., 2020).

The Ny-Ålesund AeroSol Cloud ExperimeNT (NASCENT) campaign took place from fall 2019 to fall 2020 over several sites located close to Ny-Ålesund, Svalbard. Pasquier et al. (2022) comprehensively describe the meteorological context, aerosol climatology, instrumental setup as well as first results related to aerosol-cloud interactions (for liquid, ice, and mixed-phase clouds). Here, we utilized in situ data collected during this campaign to feed a cloud droplet formation parameterization in order to unravel the sensitivity of cloud droplet number to aerosol concentration and composition as well as updraft velocity. Sect. 2 describes the NASCENT campaign and the instrumentation used to provide the data for this study. These data serve as input to the cloud droplet parameterization detailed in Sect. 3, where additional analyses linked to specific instruments are also described. In Sect. 4, parameterization outputs are exposed, analyzed and discussed in the broader context of the Arctic seasonal aerosol cycle, together with a droplet closure. Sect. 5 provides concluding remarks.

2 Measurements

2.1 Measurement site and period

The NASCENT campaign took place in the Svalbard archipelago (also known as Spitsbergen) close to the small seaside settlement of Ny-Ålesund from October 2019 to October 2020. Svalbard itself has
very limited anthropogenic aerosol emissions, but it can be influenced by North Atlantic stormy air masses. In order to limit the influence of locally produced sea spray aerosols and make the results more regionally representative, a measurement station located on top of Mount Zeppelin (475 m a.s.l.; 78° 54′ N, 11° 53′ E), approximately two kilometers south of Ny-Ålesund, served as a sampling site for all data presented in this study, unless explicitly stated otherwise.

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2.2 Instrumentation

2.2.1 Particle number size distributions

Two differential mobility particle sizers (DMPSs) continuously measured particle number size distribution at the Zeppelin station. In the DMPS, the aerosol is first electrically charged by a 63Ni source, allowing selection by electrical mobility, thus mobility diameter, by a differential mobility analyzer (DMA). Condensation particle counters (CPC, TSI models 3010 for DMPS_1 and 3772 for DMPS_2) then measure the concentration of particles contained in the monodisperse flow. The integration of the particle number size distribution between the boundaries of the measured size spectrum provides the integrated particle number concentration, N_{aer}. The DMPSs were connected to a whole-air inlet heated to a temperature of 5-10 °C, following the guidelines of the Global Atmosphere Watch (GAW) program of the World Meteorological Organization (WMO). The size range measured by both DMPSs were 20 to 775 nm for DMPS_1 and 10 to 945 nm for DMPS_2. DMPS_1 and DMPS_2 had a scanning duration of 11 and 7 min, respectively. The size distributions were corrected for particle losses in the inlet using the software tool developed by von der Weiden et al. (2009). More details on the DMPS setup at the Zeppelin station can be found in the study of Karlsson et al. (2021).

2.2.2 Aerosol chemical composition

The mass concentration of non-refractory bulk aerosol was measured by a time-of-flight aerosol chemical speciation monitor (ToF-ACSM; Fröhlich et al., 2013), whose technology is based on the aerosol mass spectrometer (AMS; Aerodyne Research Inc., Billerica, MA, USA). Measurements were also performed using a 3 stage filter pack system manufactured by the Norwegian Institute for Air Research (NILU) and designed for sampling of particles and gaseous compounds. The filters have a diameter of 47 mm and the flowrate is 12-16 L/min with a sampling duration of 24 hours. First in the direction of the air flow is a Teflon filter (Millipore 3 µm) for collecting ions (SO_4^{2-}, NH_4^+, NO_3^-, Ca^{2+}, K^+, Cl^-, Na^+). This is followed by an alkaline (KOH) and an acid (oxalic acid) impregnated filters (Whatman 40) to collect respectively volatile acidic and alkaline components. These instruments sampled behind a whole-air inlet (with a size-cut of around 10 µm, based on tests performed behind the inlet); note however that the TOF-ACSM uses a particulate matter larger than 2.5 µm ($PM_{2.5}$) aerodynamic lens. Organic carbon mass concentration was derived from filter samples collected by a Digitel high-volume sampler with a PM_{10} inlet, which operated at a flow rate of 689 L/min over a whole week. The aerosol particles were collected on prefired (850 °C; 3 h) quartz fiber filters (PALLFLEX Tissuequartz 2500QAT-UP; 150 mm in diameter). Thermal-optical analysis (TOA) was performed using a Sunset Lab OC/EC Aerosol Analyzer, using transmission for charring correction, and operated according to the EUSAAR-2 temperature program (Cavalli et al., 2010).

Equivalent black carbon (eBC) concentration was retrieved from a multi-angle absorption photometer (MAAP, model 5012, Thermo Fisher Scientific, Waltham, MA, USA). This instrument collects particles on a fiber filter and measures the transmission and back scattering of laser light at multiple angles to determine the aerosol absorption coefficient at a wavelength of 637 nm. eBC concentration, calculated from this coefficient using a mass absorption cross-section (MAC) value of 10.6 $m^2\ g^{-1}$ (as suggested by Ohata et al., 2021), was used to complement both ACSM and filterpack data in order to retrieve aerosol hygroscopicity.

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2.2.3 Meteorological data

Three dimensional wind was continuously observed with a 1 Hz ultrasonic anemometer (model uSonic-3 Omni, METEK GmbH, Elmshorn, SH, Germany), which was placed close to the whole-air inlet. The uSonic measures the speed of sound in between three pairs of transducers and derives a three dimensional (3D) wind vector from the differences of travel path between the three pairs. We inferred updraft velocity from uSonic measurements and could compare it to Doppler wind LiDAR (light detection and ranging) data, which provides 3D profiles starting from around 150 m a.g.l. up to 10 km and beyond. The wind LiDAR (WindCube 200, Leosphere, Paris, France; property of AWIPEV) projects a laser beam (vertically for vertical velocity) and measures the Doppler shift between the reference and the backscattered radiation, enabling it to estimate the wind component along the beam propagation direction. Detailed information on the principle of operation as well as recent improvements of the Doppler wind LiDAR can be found in Liu et al. (2019). An overview of the system and the long-term wind pattern over Ny-Ålesund can be found in Graßl et al. (2022). The wind LiDAR was located on the roof of the Atmospheric Observatory of Ny-Ålesund, at an altitude close to sea level and around two kilometers in horizontal distance from Zeppelin (Beck et al., 2017; 2018).
A meteorological station located on the roof the Zeppelin station provided data of wind speed and direction, temperature and pressure.

2.2.4 Cloud droplet concentration

Cloud particle concentrations were sampled with the HOLographic cloud Imager for Microscopic Objects (HOLIMO; Beck et al., 2017; Ramelli et al., 2020). HOLIMO can image an ensemble of cloud droplets (with diameter above 6 µm) in a three dimensional sample volume of about 15 cm^3. A convolutional neural network trained and fine-tuned on cloud particles from holographic imagers is used to identify the cloud droplets from artifacts and ice crystals (Touloupas et al., 2020). The holographic imager was attached below the tethered balloon system HoloBalloon (Ramelli et al., 2020; Pasquier et al., 2022).

3 Data analysis and methods

3.1 Particle number size distribution, composition and aerosol hygroscopicity

Based on the comparison between both DMPSs given in Sect. 4.1, we utilized data from both DMPSs in the analysis of this study, so that gaps in DMPS_1 data are filled with data from DMPS_2. All figures displaying aerosol number concentrations thus include data from both DMPSs.
Organic mass concentration was provided by the ACSM, while organic carbon concentration was measured by a high-volume sampler. The organic carbon concentration given by the filter analysis from this instrument was multiplied by a factor of 2.2 to obtain an estimation of the organic mass concentration, following the recommendations of Turpin and Lim (2001).
Chloride and sodium are assumed to be the only compounds predominantly present in the coarse mode, whereas the two DMPSs only measured in the submicron range, and the ToF-ACSM does not measure sea salt. This is an issue because the inputs of the cloud droplet parameterization should consider the size distribution and hygroscopicity of the

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same aerosol population. For that reason, chloride and sodium were not included in the calculation of aerosol hygroscopicity. However, we know that these compounds can contribute to the fine mode and increase submicron hygroscopicity at Zeppelin, mostly in winter, as shown by Zieger et al., (2010) and Adachi et al. (2022). To estimate the potential effect of these compounds on our droplet formation results, we performed a sensitivity study, detailed in Sect. 4.3.

Both the ACSM and the filterpack allow for the retrieval of inorganic nitrate, sulphate and ammonium mass concentration. Volume fractions of neutral salts and their hygroscopicity parameters are used as inputs to the volumetric mixing rule required to calculate the total hygroscopicity of the aerosol. For both datasets, we used the simplified ion pairing scheme detailed in Gysel et al. (2007) to calculate the concentration of neutral salts from that of ions, using daily averaged data. From there, the total hygroscopicity parameter κ was introduced by Petters and Kreidenweis (2007) to describe aerosol hygroscopicity based on a semi-empirical parameterization of the Raoult effect. Knowing the hygroscopicity value of each neutral compound - we utilized values listed in Petters and Kreidenweis (2007), Carrico et al. (2010) and Zieger et al. (2017) - the mass-mixing rule enables to estimate the overall aerosol hygroscopicity. We utilized filterpack-derived hygroscopicity values as input to the cloud droplet parameterization described in the next section because the filterpack shows less data gaps than the ACSM over the duration of the NASCENT campaign. ACSM-derived hygroscopicity is thus only used as a validation of the filterpack-derived hygroscopicity values retrieved (see the comparison of both in Fig. S3).

3.2 Cloud droplet number concentration and cloud supersaturation

Knowledge of particle number size distribution, overall hygroscopicity parameter κ as well as air temperature and pressure allow for the determination of the potential cloud droplet number concentration, N_d, the maximum available cloud supersaturation, S_{max}, and the minimum diameter required for an aerosol particle to activate to a cloud droplet, D_{act}, using a cloud droplet formation parameterization. Note that the term "potential" is used to describe these outputs because the parameterization results include periods when no clouds were effectively present at Zeppelin. Based on cloud parcel theory, this parameterization was initially developed by Nenes and Seinfeld (2003) and improved with new implementations successively by Fountoukis and Nenes (2005), Barahona et al. (2010) and Morales Betancourt and Nenes (2014). Results of N_d and S_{max} are then constrained by updraft velocity measurements, here given by a uSonic and a wind LiDAR (Sect. 2.2.3). The activation parameterization is based on cloud parcel theory and solves the equations that describe droplet formation in an ascending air parcel containing aerosols and water vapor, specifically at the point where supersaturation becomes equal to S_{max}; N_d is then equal to the number of CCN with critical supersaturation less than S_{max}. The parameterization uses as inputs the observed pressure and the temperature, the aerosol size distribution data, the hygroscopicity parameter κ and the updraft velocities.

We extracted wind LiDAR data corresponding to the updraft velocity at 500 m above ground level to make them comparable to uSonic data from Zeppelin station. We noticed surprisingly high values of vertical velocity measured by the uSonic during northern wind conditions (Fig. S1), which we attribute to the presence of winds orographically lifted by the Zeppelin mountain. Based on Fig. S1, we decided to discard uSonic data when the wind direction was between 335 and 15 degrees, so that any droplet calculation made is more representative of regional conditions than specific conditions at Zeppelin during strong orographically driven updrafts.

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The high resolution of wind LiDAR and ultrasonic anemometer data reveals the highly variable nature of vertical velocity; to calculate droplet numbers relevant for the average cloud, we use the probabilistic approach detailed in Georgakaki et al. (2021): wind LiDAR data are grouped by hour and each block of 1 hour data is fitted to half-Gaussian probability density functions (PDFs) with a zero mean and a standard deviation σ_w. The cloud droplet formation parameterization is then applied for a characteristic velocity, $w^* = 0.79\ \sigma_w$, as this provides the average droplet number over the distribution of positive velocities in the domain. A comparison of the results of this analysis derived from the uSonic and the wind LiDAR are shown in Fig. S2. Several studies performed using this approach gave successful droplet closures for N_d and S_{max} in various types of clouds (e.g., Fountoukis et al., 2007; Kacarab et al., 2020; Georgakaki et al., 2021). Note that this approach is not valid for boundary layers that undergo deep convection but only for low vertical velocities typical of boundary layer ascent and descent over a diurnal cycle, which is the case for Zeppelin (Di Liberto et al., 2012; Collaud Coen et al., 2018).

4 Results and discussion

4.1 Particle number concentration and size distribution

Fig. 1a presents N_{aer} time series, with values that show a minimum in October 2019 (20 - 60 cm^{-1}) followed by a relatively constant increase until an upper plateau (100 - 1000 cm^{-1}) reached between May and August 2020. A sharp decrease in N_{aer} is then observed towards the October minimum. Although with a shift of a month for the high concentration plateau, these measurements are in good agreement with the annual cycle of particle concentration reported by Tunved et al. (2013) averaged over ten years from 2000 to 2010 at Zeppelin. Aerosol levels measured at Zeppelin during the NASCENT campaign can thus be considered typical for this site. Overlapping periods of both DMPSs allow for a comparison of N_{aer} (see Fig. 1b) and confirm the strong correlation between both instruments.

4.2 Aerosol hygroscopicity parameter κ

The time series of κ values derived from both the filterpack and the ACSM data is shown in Fig. S3a. Both instruments, despite being based on techniques with a different aerosol size cut-off ($PM_{2.5}$ for the ACSM and PM_{10} for the filterpack), generally provide κ values that agree to within 50% for the majority of data points (Fig. S3b). The mean hygroscopicity parameter κ value over the whole campaign was 0.40 when derived from the ACSM and 0.32 from the filterpack. Temporal trend shows a relatively constant κ value around 0.3 in fall, winter and spring, but a slightly lower value in summer, dropping to approximately 0.2, although rather variable.

A small number of field campaigns at Zeppelin investigated aerosol hygroscopicity. In summer 2008, Zábori et al., (2015) utilized both bulk chemical composition and size-resolved CCN measurements, retrieving κ values of respectively 0.5 and 0.3-0.4, slightly higher than our results. Zieger et al. (2010) measured wet and dry aerosol scattering from July to October 2008 using humidified and dry nephelometers. Using Mie theory, they were able to retrieve the hygroscopicity parameter κ and found a mean value of 0.57. This is also higher than the values we report in Fig. S3a, but the techniques used and the seasons studied are different. The median κ value of 0.23, retrieved by Jung et al. (2018), based on 5 years of CCN-scanning mobility particle sizer (SMPS) measurements, however agree

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with our results. Year-round hygroscopic growth measurements by Rastak et al., (2014) led to the conclusion that the influence of hygroscopic growth on the direct radiative effect was higher in summer than during the Arctic Haze period. The hygroscopicity parameter has been constrained also in other locations in the Arctic, all of them combining a CCN counter and an instrument measuring particle number size distribution, either an ultra-high sensitivity aerosol spectrometer (UHSAS), a SMPS or a DMPS to provide input data for κ-Köhler theory calculations. Moore et al. (2011) and Herenz et al. (2018) both characterized springtime Arctic aerosol. The former reported values around 0.4 for background air masses and slightly below 0.6 for Arctic boundary layer in aircrafts flying over northern Alaska (USA); the latter retrieved a κ value of 0.23 at a station located in the Inuvik region in Canada. Martin et al. (2011) and Lathem et al. (2013) ran measurements in the summer season and found similar values (average of 0.33 and 0.32, respectively), on an icebreaker on its way from Svalbard to the proximity of the North pole and on aircrafts flights between Alberta (Canada) and Greenland. Also in summer, but at a ground-based station in northern Sweden (68° North), Kammermann et al. (2010) retrieved lower κ values, between 0.07 and 0.21, but the close presence of the Stordalen mire, known to emit organic precursors, could cause a local reduction in aerosol hygroscopicity. At the Villum station in northeastern Greenland, Massling et al. (2022) reported κ values very close to the present study using CCN-SMPS measurements: 0.28-035 in spring, and 0.23-0.35 in summer.

4.3 Potential cloud supersaturation, droplet number concentration and activation diameter

The cloud droplet formation parameterization outputs S_{max}, N_d and D_{act} are displayed as a time series in Fig. 2, together with the measured values of σ_w, which are used to constrain the parameterization. During periods of rain (noted in Fig. 1a), the aerosol load was strongly reduced, directly implying sharp decreases in N_d and D_{act} which impact the other parameters. As expected, S_{max} and σ_w covaried during the whole year, since turbulence and vertical velocity are primary drivers of cloud supersaturation generation. Values of S_{max} were highest in fall, centered around 1% and reaching up to 4% (Fig. 1b). Except for a drop in early August, S_{max} values ranged between around 0.5% and 1.5% in the second half of spring and in summer.

Together with Bougiatioti et al. (2020), Kacarab et al. (2020) examined the conditions for which cloud formation is insensitive to any increase in N_{aer} (i.e., updraft-limited regime) and found that it corresponds to when S_{max} is below 0.1%. Subsequent studies (e.g., Georgakaki et al., 2021) also supported this criterion, which is the one we decided to use here as well. Fig. 2b indicates that such conditions only occurred over short periods in winter and during the first half of spring. This shows that updraft velocity-limited conditions can be found, although very rarely, in the Svalbard archipelago when aerosol concentrations approach the Arctic haze maximum in winter and early spring (Fig. 2b). This is an important result, because when these conditions occur, cloud formation is not linearly influenced by these large aerosol loads, but only until a certain threshold, which will be discussed in the following.

N_d, however, is not clearly linked to S_{max}, but follows the trend of N_{aer} shown in Fig. 1a (this is confirmed by the scatterplots in Fig. 3) because a higher number of aerosol particles goes with a higher number of CCN on which water vapour can condense, as shown at Zeppelin by Jung et al. (2018). On the other hand, higher N_{aer} also results in a more intense competition for water vapour, leading to a decrease in S_{max}, which in turn tends to limit N_d. Measuring the annual cycle of cloud residual number concentration at the same site from November 2015 to February 2018 using a ground-based counterflow virtual impactor (GCVI) inlet, Karlsson et al. (2021) reported a similarly high and variable

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plateau between April and August as well as a minimum in fall. They however reported very low concentrations in January in February, followed by an extremely sharp increase from March to April. Our results show a steadier increase over the whole winter, in agreement with the increase in N_{aer} (Fig. 1a).

Clear seasonal variations in D_{act} can also be seen: the pristine-like conditions in fall and early winter associated with high cloud supersaturations led to low values of D_{act}, with frequent occurrences below 20 nm (Fig. 2d). These are even lower diameters than the values reported by Koike et al. (2019) – minimum D_{act} around 30 to 50 nm – using air parcel model calculations. Together with relatively high S_{max} at this period of the year, the very low aerosol concentrations, mainly concentrated in the accumulation mode (Tunved et al., 2013), leads to their efficient activation to cloud droplets, in agreement with previous results from Siegel et al. (2022). Although anthropogenic pollution transported from lower latitudes during the Arctic haze period (late winter and spring; Rahn, 1981) controls the CCN and droplet population, only a fraction of it was activated to cloud droplets, as larger D_{act} values, centered around 50-100 nm, were in the range of accumulation mode particles typically linked to this type of atmospheric transport. Such D_{act} values persisted over the summer with a particularly high peak between 100 and 200 nm at the beginning of August. Similarly, the dominant Aitken mode, originating from the intense new particle formation activity, likely stayed to a very large extent in the interstitial (unactivated) phase.

With a view to consistency with data from the DMPSs, the cloud droplet parameterization outputs shown in Fig. 2 are based on hygroscopicity calculations that do not include sodium and chloride, under the assumption that submicron aerosol particles do not contain these compounds. According to Adachi et al. (2022), this assumption may not be fully correct, as particles of 1 μm in winter are found to be composed of 50% by mass of sea salt, with a decreasing proportion with decreasing particle diameter. To address any effects of sea salt on droplet formation, we performed a sensitivity analysis assuming the extreme case for which half of the measured aerosol mass was sea salt and repeated the analysis detailed above. Using a κ value of 1.1 for sea salt, as suggested by Zieger et al. (2017), the overall hygroscopicity shifted from values of 0.2-0.3 (see Fig. S3) to around 0.7. Fig. S4 shows the seasonal percent change such an increase in aerosol hygroscopicity has on N_d, S_{max} and D_{act}. The two former parameters are very slightly affected in fall, winter and spring (up to ~5% for N_d). In summer they undergo a 20% change, but this is the season when the effective sea salt fraction is the lowest, as shown by Adachi et al. (2022), making such an effective change particularly unlikely. Values of D_{act} are more affected, although moderately with a reduction of around 30% regardless of the season. Overall, this sensitivity analysis shows that aerosol hygroscopicity effects from sea salt may have a second order influence on the cloud droplet parameterization outputs, and thus, would not significantly affect the results and conclusions based on the base case hygroscopicity value.

4.4 Limiting droplet number concentration

During updraft-limited cloud formation conditions, values of N_d reach an upper limit, independent on N_{aer}, which Kacarab et al. (2020) suggested to name the limiting droplet number concentration, N_d^{lim}. This upper limit can be visualized as a plateau for which S_{max} drops below 0.1% when plotting N_d as a function of N_{aer}. This is shown in Fig. 3, where as a sensitivity analysis, we prescribed three different σ_w values, 0.1, 0.2 and 0.3 m s^{-1} for running the cloud droplet parameterization. Note that the three values of σ_w chosen here are representative of the stratiform cloud conditions typically prevailing in the Arctic. Based on the results in Sect. 4.3, updraft-limited conditions were observed

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in winter and early spring. Extracting mean plateau values corresponding to these seasons from Fig. 3, we retrieved N_d^{lim} values of 173 cm^{-3} for winter and 128 cm^{-3} for spring, when an updraft velocity σ_w = 0.1 m s^{-1} is prescribed. These results are in good agreement with the 2 years averaged peak concentration of cloud residuals measured by Karlsson et al. (2021) for April and May. This implies that when updraft velocity was low at the Zeppelin station in winter and early spring, only the fraction of the aerosol number concentration corresponding to these seasonal plateau values formed cloud droplets; any surplus of aerosol (very likely during Arctic haze conditions) remained in the interstitial phase.

Adding up to the different regimes of cloud formation distinguished in Sect 4.3., the N_d^{lim} plateau is almost never reached in fall and in summer. Short drops in S_{max} below 0.1% occurred, e.g. at the beginning of December and August as shown in Fig. S1b, but over too short periods for them to be associated as updraft velocity-limited conditions. For that reason, we do not consider the summer S_{max} values below 0.1% in Fig. 3 as a relevant N_d^{lim} plateau value.

In addition, it is worth noting that when applying the same analysis with an assumption on σ_w of 0.2 or 0.3 m s^{-1} (middle and right panels in Fig. 3) and even higher (not shown), the 0.1% S_{max} threshold is not reached at all, neither in winter nor in spring, indicating that vertical velocity-limited conditions cannot be found if the turbulence of the boundary layer is not extremely low.

Observing the shape of the plots displayed in Fig. 3 also provides information on the efficiency of cloud droplet formation and corroborates the results discussed above. The high S_{max} and corresponding low D_{act} in fall and winter are associated to the droplet activation of the whole aerosol population, leading N_d^{lim} to match N_{aer}; these two parameters are thus represented in a scatterplot as a narrow band close to the 1:1 relationship. On the contrary, the scatterplot for the summer season shows a much larger spread with an offset from the 1:1 line, in agreement with a large proportion of Aitken mode aerosol particles that did not activate to cloud droplets. Interestingly, the sharp transition between these two activation behaviours, also observed by Engvall et al. (2008) and which we have shown to occur in the middle of spring (Fig. 2a-b-c), results in a scatterplot where both staggered and matching N_d - N_{aer} relationships can be observed.

Extracting the plateau values of N_d^{lim} from Fig. 3, we investigate their relationship with σ_w in different types of clouds located in various geographic locations using previous studies in which the same probabilistic analysis was performed (Fig. 4). Kacarab et al. (2020) measured from an aircraft in summer in the marine boundary layer over the southeastern Atlantic, a region that can be strongly influenced by biomass burning fires from the African continent. They retrieved values of σ_w between 0.30 (relatively clean air mass) and 0.56 m s^{-1} (more polluted) for which N_d^{lim} was reached. Georgakaki et al. (2021) also reported σ_w values as high as 0.5 m s^{-1} in two mid-altitude stations located in the Swiss Alps in central Europe. The fact that they had winter measurements allowed them to reach values as low as 0.1 m s^{-1}, making their results directly comparable to those shown here. They could link this value of σ_w to an N_d^{lim} plateau of 108.1 cm^{-3}. At the other side of the spectrum, Bougiatioti et al. (2020) reached an N_d^{lim} plateau for σ_w values as high 1.2 m s^{-1} thanks to late spring and summer flights in the boundary layer over the southeastern United States. They proposed the following linear correlation between N_d^{lim} [cm^{-3}] and σ_w [m s^{-1}]: N_d^{lim} = 1033.9 σ_w + 112.28 (R2 = 0.92). Georgakaki et al. (2021) updated this relationship, including the results from Kacarab et al. (2020) and their own: N_d^{lim} = 1137.9 σ_w – 17.1, and proved very strong agreement between all reported data (R^2 = 0.94). The two additions from the present study, associated to winter and spring plateau values for σ_w = 0.1 m s^{-1}, also agree well with these previous

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results, although they were retrieved in a very different environment, relatively clean with clouds mainly originating from maritime air masses and uplifted in mountainous terrain. This provides another confirmation of the robustness of the empirically demonstrated σ_w - N_d^{lim} relationship regardless of the environment, type of clouds and aerosol sources.

4.5 Droplet closure

Nd is one of the outputs provided by the cloud droplet formation parameterization utilized in the present study (see Fig. 2c). The availability of instruments measuring this parameter facilitates the validation of the cloud droplet parameterization by comparing in situ data with the parameterization output. This was achieved several times in past studies through successful droplet closures (e.g. Fountoukis et al., 2007; Kacarab et al., 2020), and can also be performed here using measurements from the HOLIMO taken on 9 HoloBalloon flights during the NASCENT campaign (Fig. 5; see Pasquier et al. (2022a) for detailed descriptions of the atmospheric conditions during the flights). The closure appears successful for N_d > 8-10 cm^{-3}, thereby validating the use of the cloud droplet formation parameterization in the Arctic environment. Values of N_d below 8-10 cm^{-3} approach the minimum threshold concentration for which a cloud can still be defined as such, potentially including periods when droplet nucleation is not effectively occurring or has been followed by out-of-cloud scavenging.

Mixed-phase clouds tend to rapidly glaciate (i.e., convert to pure ice clouds) due to the Wegener-Bergeron-Findeisen (WBF) process or riming (Korolev et al., 2017), which can effectively transfer mass from the liquid to the ice phase. Nevertheless, Fig. 5 provides evidence that even at high degrees of glaciation (as high as 90%), cloud parcel activation theory can predict N_d accurately. This implies that the cloud liquid water content is not significantly involved in the glaciation process. Instead, secondary ice production (SIP) through ice-ice collisions or droplet-shattering may justify high glaciation fraction without depleting small cloud droplets (Field et al., 2017; Korolev and Leisner, 2020) over the duration of the HoloBalloon flights, and most probably over spring and fall. SIP through rime-splintering is unlikely as it would have reduced the available N_d. This hypothesis is in line with the findings of Pasquier et al. (2022a), where the effect of SIP was inferred in about 40% of the in-cloud measurements.

Previous observations (Borys et al., 2003; Lance et al., 2011; Norgren et al., 2018) reported that large aerosol loadings could hamper the efficiency of riming in mixed-phase clouds. Here we show that even in the very pristine conditions during winter and spring, the amount of riming does not seem to affect the droplet number concentrations significantly from what is expected from warm cloud activation theory.

5 Summary and Conclusions

Measurements performed over a whole year at the Zeppelin station in the Svalbard archipelago, in the framework of the NASCENT campaign, served as inputs for a semi-empirical parameterization whose use was validated through a droplet closure. This led to unravel different characteristics of cloud droplet formation in the Arctic environment:

• Several studies focusing on the factors limiting cloud droplet formation were recently published and revealed precious information on boundary layer clouds with high updraft velocities such as cumulus and stratocumulus clouds, but also in alpine mixed-phase clouds that can form in more stable air dynamics. The current study demonstrates that updraft-

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velocity cloud formation can also occur in a relatively unpolluted environment with weak convection of maritime air masses such as the Arctic, during winter and early spring.

• During the fall and early winter period, the high cloud supersaturations encountered allow to efficiently activate to cloud droplets the vast majority of the aerosol population. By late winter and spring (Arctic Haze period), accumulation mode particles transported over long-range pathways contribute to cloud formation, although cloud supersaturations, and hence activation diameters, are highly variable. Interestingly, the onset of intense new particle formation in summer coincides with an increase in activation diameters. Newly formed and dominant Aitken mode particles thus barely participate in cloud formation before they grow to larger sizes over the course of summer and fall.

• The recent interest in understanding the response of the limiting droplet number concentration to variations in updraft velocity has led to describe a relationship between these two parameters that showed to be strikingly similar in very diverse environments, intensities of atmospheric convection and types of clouds. We showed that, as unpolluted and weakly dynamic as it is, the Arctic environment is no exception. This is an important step towards the confirmation of the universality of the N_d^{lim} - σ_w relationship.

• Insights into the mechanisms of secondary ice production in the Arctic spring and fall could be extracted from our droplet closure. They suggest that riming was not taking place in any significant amount, leaving room for ice-ice collisions and droplet shattering as the main mechanisms of glaciation, in addition to primary ice production. This also means that warm cloud activation theory, such as described by well-established activation parameterizations (e.g., Morales and Nenes, 2014) are appropriate for application in mixed-phase cloud simulations.

Author contribution

GM and AN conceived and designed the study. GM, AN and PG developed the data analysis methods, AN developed the droplet calculation codes and performed the simulations with GM. GM authored the manuscript with input from AN and PG. CR provided the wind lidar data. CL and WA provided the chemical composition data (filterpack and ToF-ACSM) from Zeppelin. JW helped with ground-based aerosol and the balloon-borne cloud measurements, and contributed to the discussion and interpretation. ROD helped with the balloon-borne and conducted the mountaintop cloud measurements. All co-authors commented on the manuscript and provided feedback. RK, PZ and GF provided aerosol microphysical and meteorological data from Zeppelin station and contributed to the interpretation and manuscript editing and commenting.

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The authors declare that they have no conflict of interest.

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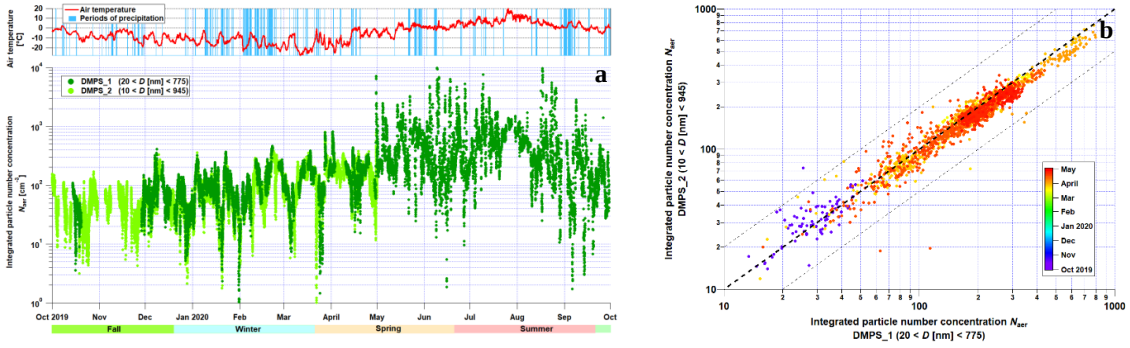

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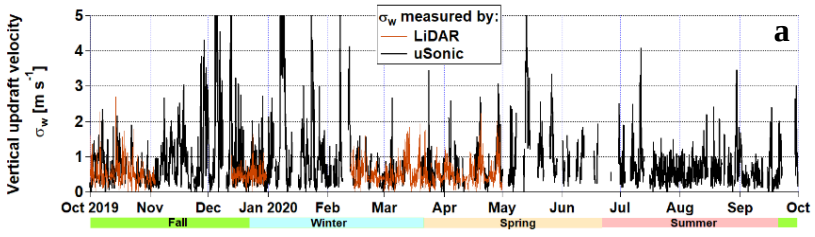
769 **Figure 1: a): Air temperature measured by a probe (top panel) and integrated particle number concentration N_{aer} (bottom**
770 **panel) as measured by two DMPS systems at the Zeppelin station, displayed as time series. It should be noted that the two**
771 **DMPSs have different size ranges: 20 to 775 nm for DMPS_1; 10 to 945 nm for DMPS_2. b): Comparison of integrated**
772 **particle number concentration N_{aer} as measured by two differential mobility particle sizer (DMPS) systems at Zeppelin, with**
773 **the date as colour scale.**



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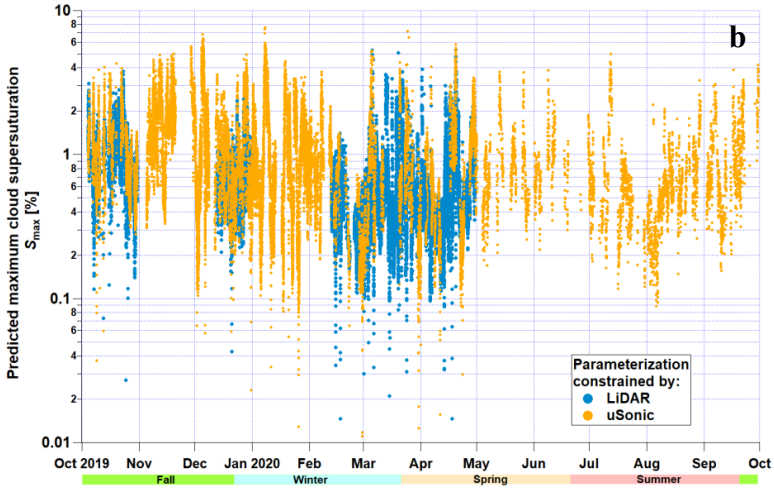

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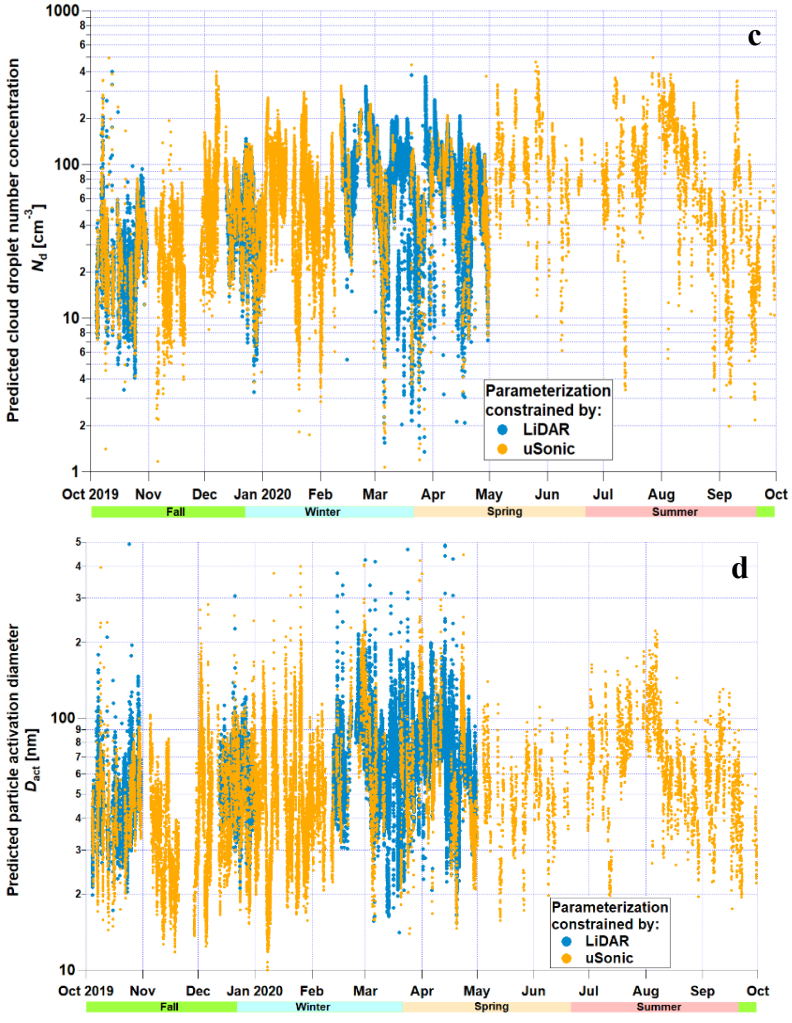

Figure 2. Time series of a) measured updraft velocity σ_w, predicted potential b) maximum cloud supersaturation S_{max}, c) cloud droplet number concentration N_d and d) particle activation diameter D_{act} at the Zeppelin station over the whole NASCENT campaign. N_d, S_{max} and D_{act} are constrained by measurements of σ_w, whose values (after analysis as described in Sect 3.2) are shown at the top of both panels. These results are direct outputs of the cloud droplet formation parameterization presented in Sect. 3.2.

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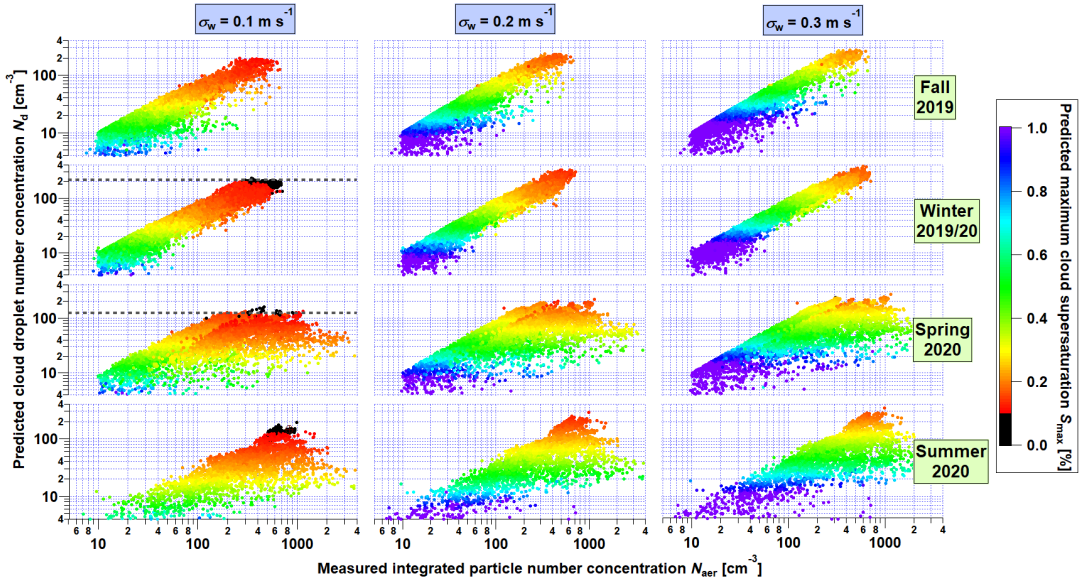

Figure 3. Predicted potential droplet number concentration N_d, an output of the cloud droplet formation parameterization, as a function of integrated particle number concentration N_{aer}, measured by the DMPSs, split between 12 panels corresponding to four seasons and three different assumptions on updraft velocity σ_w: 0.1, 0.2 and 0.3 m s^{-1}. The colour scale is the maximum predicted cloud supersaturation S_{max}; note that data points for which S_{max} <0.1% are shown in black. Plateau values of N_d^{lim}, calculated as the average value of data points for which S_{max} <0.1%, are displayed as grey dotted lines.

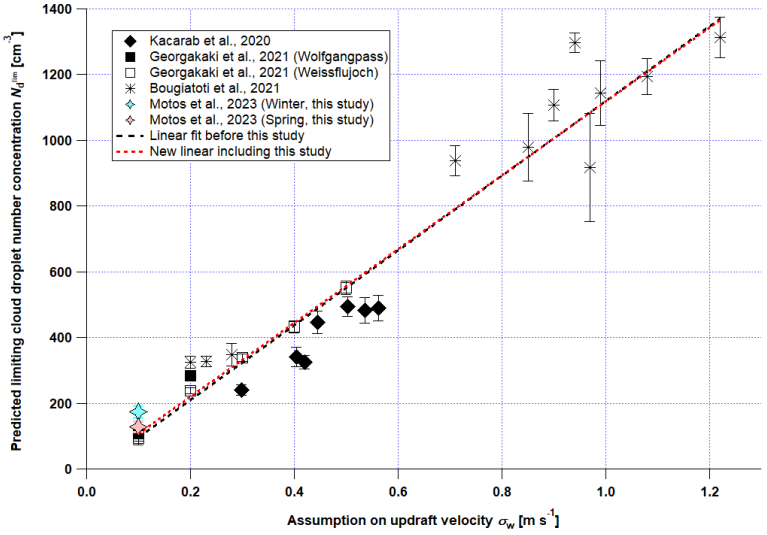

Figure 4. Predicted limiting cloud droplet number concentration plotted against the corresponding assumption on the updraft velocity, comparing the present study with existing literature. The linear fit displayed in black is the one given by Georgakaki et al. (2021); the red one includes results from the current study.

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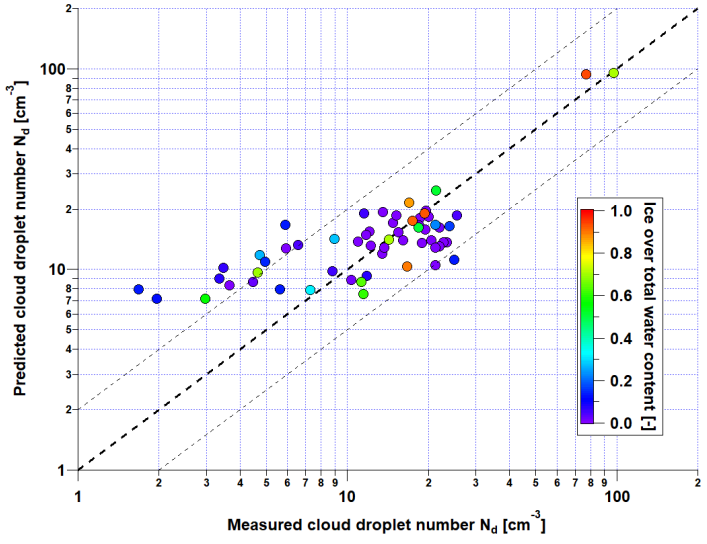

Figure 5. Scatterplot of predicted against measured cloud droplet number N_d during 9 HoloBalloon flights on November 10, 11, 12 2019 and April 1st, 2020.