

1 **Influence of the previous North Atlantic Oscillation (NAO)**
2 **on the spring dust aerosols over North China**

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15
16 **Abstract.** The North Atlantic Oscillation (NAO) has been confirmed to be closely related to the
17 weather-climate in many regions of the Northern Hemisphere, however, its effect and mechanism
18 upon the formation of dust events (DEs) in China have rarely been discussed. By using the station
19 observation dataset, and multi reanalysis datasets, it is found that the spring dust aerosols (DAs) in
20 North China (30 °-40 °N, 105 °-120 °E), a non-dust source region, show high values with a strong
21 inter-annual variability, and the spring DAs in North China is significantly correlated with the
22 previous winter NAO. According to the 9 spring DEs affected significantly by negative phase of the
23 preceding winter NAO in the North China during 1980-2020, it is shown that before the outbreak
24 of DEs, due to the transient eddy momentum (heat) convergence (divergence) over the dust aerosol
25 (DA) source regions, the zonal wind speed increases in upper-level troposphere, strengthening the
26 zonal wind in the middle-lower levels through momentum downward transmission. Simultaneously,
27 there is transient eddy momentum (heat) divergence (convergence) around the Ural Mountains,
28 which is favorable for establishment and maintenance of the Ural Ridge, as well as development of
29 the air temperature and vorticity advections. The combined effects of temperature and vorticity

30 advections result in the Siberian Highs and Mongolian cyclone to establish, strengthen, and move
31 southward near the surface, guiding the cold air from high latitudes southward, and is favorable to
32 the uplift and transmission of DAs to the downstream North China. Simultaneously, the changes in
33 upstream transient eddy flux transport can cause both energy and mass divergence in North China,
34 resulting in diminishing winds during DEs, which would facilitate the maintenance of dust aerosols
35 here and promote the outbreak of DEs. This study reveals the impact of transient eddy fluxes
36 transport on the dusty weather anomalies modulated by the NAO negative signal in the North China,
37 which deepen the understanding of formation mechanism of DEs in China.

38 **1 Introduction**

39 Airborne dust aerosols (DAs), which play a significant role in the evolution of the atmospheric
40 system, have an important influence on human society, ecosystems, and biochemical cycles. In
41 particular, the radiative forcing of DAs is comparable to that of clouds on a regional-scale and has
42 a key impact on the local weather-climate (Kaufman et al., 2002; Huang et al., 2014a; Yang et al.,
43 2017). Recently, the influence of DAs on the weather-climate has attracted widespread attention
44 from researchers, and the direct, indirect and semi-direct feedback responses between them have
45 been confirmed by scientific studies. For direct processes, DAs can impact the weather-climate by
46 scattering (absorbing) radiation to cool (heat) the atmosphere (Sokolik and Toon, 1996; Zhang et
47 al., 2019); via indirect influence, DAs can change the albedo of ice and snow surfaces by altering
48 the characteristics of cloud condensation nuclei and ice nuclei (Ackerman et al., 2000; Sassen et al.,
49 2003); and in the semi-direct effects, DAs can increase cloud droplet evaporation and reduce cloud
50 water pathways by heating the clouds (Huang et al., 2006). The DAs of worldwide annual emissions
51 in the range of 1000-2150 Tg are lifted up by the surface wind (Zender et al., 2004), with only
52 approximately 30% of these resettles in the dust aerosol (DA) source regions, while the other 70%
53 is transported to downstream areas thousands of kilometres away, causing changes in the local
54 weather-climate (Duce et al., 1980; Huang et al., 2015).

55 One of the most serious natural catastrophes in East Asia is dusty weather. Studying the
56 climatological features, variations, and influencing factors of DAs are crucial to both understand
57 and predict DA variation patterns (Feng et al., 2020; Yao et al., 2021). Extensive analysis of
58 meteorological and climatic factors associated with the occurrence of global dust events (DEs) have
59 been conducted using ground-based observations (e.g., Ji and Fan, 2019; Liu et al., 2020), satellite

60 remote sensing (e.g., Chiapello et al., 2005; Han et al., 2022), and numerical simulations (e.g.,
61 Ginoux et al., 2004; Chen et al., 2017). It is concluded that the variations in DA concentration and
62 transport are related to many factors, including atmospheric circulation (Huang et al., 2021), surface
63 wind (Liu et al., 2004; Wang et al., 2018), cyclone frequency (Yu et al., 2019), Asian monsoon
64 (Wilcox et al., 2020), and the North Atlantic Oscillation (NAO) (Mao et al., 2011; Feng et al., 2019;
65 Li et al., 2019a). The deserts in northern and western China and Mongolia are the most important
66 areas affecting China (primarily Badain Juran and Taklimakan), and the DAs in the above source
67 areas contribute 70% of the dust emissions in East Asia (Zhang et al., 2003; Che et al., 2019).
68 Therefore, it is of great significance to explore the characteristics and causes of DAs.

69 Recently, DEs seem to be frequent in China, seriously endangering human health, hindering
70 socioeconomic development and causing widespread concern among the scientific community and
71 the public. For example, from 14-16 March 2021, a large-scale dust event (DE) occurred in northern
72 China (Yin et al., 2021), resulting in more than 3.8 million km² affected, with the maximum hourly
73 average concentration of PM₁₀ surpassing 8000 μm^{-3} and a drop in visibility to less than 0.5 km
74 in Beijing (Zhang et al., 2022). In China, strong DEs can transport DAs to Japan, Korea and even
75 across the Pacific Ocean to the North American coast, reflecting the fact that regional DEs in China
76 can evolve into hemispheric and even global-scale phenomenon (Kurosaki and Mikami, 2003; Lee
77 and Sohn, 2009). According to previous studies, spring is the most active time for the occurrence of
78 DEs in China, accounting for over 80% of all DEs yearly (Liu et al., 2004). Therefore, it is important
79 practical and scientific value and relevance to investigate the formation mechanism of DEs in China,
80 especially in spring.

81 In the Northern Hemisphere (NH), the NAO is a seesaw mode of centre pressure variation
82 (Walker, 1924) near the Azores and Iceland, and is the most important low-frequency dipole pattern
83 during boreal winter. The NAO has crucial effects on temperature (e.g., Hurrell, 1995; Yu et al.,
84 2016), precipitation (e.g., Hartley and Keables, 1998; Giannini et al., 2000), and storm tracks (e.g.,
85 Lau and Nath, 1991; Jin et al., 2006) in the North Atlantic and its surrounding areas. However, its
86 signal can also be used as a mediator waveguide through the midlatitude westerly wind belts,
87 capturing the downstream propagating Rossby wave train and thus extending its effect to the
88 weather-climate of the Eurasian continent, as well as the entire NH (e.g., Watanabe, 2004; Lin et al.,

89 2011; Zhang et al., 2021). China is located downstream of the NAO-connected circulation system,
90 and its weather (e.g., Wang and Shi, 2001; Liu et al., 2001; Zuo et al., 2015) and climate (e.g., Liu
91 et al., 2018; Li et al., 2021a; Yao et al., 2022) are impacted by variations in different phases and
92 intensities of the NAO.

93 Meanwhile, it has been shown that the NAO also has a crucial influence on the process of DEs
94 in China. For example, Tang et al. (2005) noted that the frequency of spring DEs in northern China
95 was significantly influenced by fluctuations in the NAO intensity. In addition, Zhao et al. (2012)
96 discovered an obvious negative correlation between the winter NAO intensity and the late spring
97 and summer DEs in northwestern China. The above studies mainly analysed the association between
98 the NAO and the DEs in China from the perspective of seasonal-scale climate. However, the
99 influence of weather-scale meteorological elements on DEs is also important (Wang et al., 2009; An
100 et al., 2018). As mentioned previously, the large-scale climate variability model of the NAO can
101 capture the Rossby wave train propagating downstream, which in turn has a crucial effect on the
102 weather-scale elements. As one of the two fundamental fluctuations in the atmosphere, transient
103 eddy is widely used in studies to diagnose Rossby wave trains (Trenberth, 1986) and can provide a
104 perspective of mechanism exploration in abnormal variations in atmospheric circulation (Li et al.,
105 2022). It has been noted that transient eddy has a sustained impact on the development of
106 atmospheric systems such as the Siberian Highs (SH) as well as the North Pacific low (Holopainen
107 and Oort, 1981; Holopainen et al., 1982). The forcing impact of transient eddy on the mean airflow
108 can enhance anticyclonic circulation, which in turn may trigger large-scale severe low-temperature
109 occurrences (Li et al., 2019b). In addition, Li et al. (2022) showed that transient eddy played a
110 significant role in the formation of abnormal atmospheric circulation of DEs by focusing on the
111 spring DEs in south Xinjiang, China, during 1980-2018.

112 It is essential to continue deep study of the synoptic mechanism of DE formation through the
113 aspect of the NAO. On the one hand, with the recent huge energy consumption and astounding
114 economic development in East Asia, the eastern part of China has suffered from escalating air
115 pollution problems (Zhang et al., 2012; Zhao et al., 2016). With the occurrence of DEs, DAs are one
116 of the most crucial elements affecting air quality in East Asia (Huang et al., 2014b; Nie et al., 2015).
117 Previous studies have provided some analysis and initial progress on the relationship between the

118 NAO and the DEs in northern China, mainly DA source areas such as northwestern China (Tang et
119 al., 2005; Zhao et al., 2012). However, such studies are limited to the eastern part of China, which
120 is not a DA source area but is severely affected by DEs. On the other hand, previous studies of the
121 NAO on the DEs in China have mainly been analysed on the seasonal-scale to provide a large-scale
122 climatic background for the occurrence of DEs in China, but it is not clear how the NAO affects the
123 DEs in China at the synoptic-scale, and the role of transient eddy in the anomalous circulation of
124 the atmosphere in DEs under the modulation of the NAO is uncertain.

125 From the above points of view, we investigated three main scientific questions in this paper: 1)
126 Does the NAO affect the area with high values of DAs in eastern China? What are the characteristics
127 of the impact? 2) What are the synoptic causes of the formation of DEs influenced by the NAO? 3)
128 How can we explain the mechanism of the formation of synoptic system anomalies by transient
129 eddy under NAO modulation? To address the above issues, by using station observation dataset, the
130 multi reanalysis datasets from 1980-2020, this paper investigated the long-term changes in the
131 spring DAs in China to examine the characteristics of the impact of NAO on the DAs in eastern
132 China, and to explore the atmospheric circulation mechanism affecting DEs considering transient
133 eddy flux transport under the influence of the NAO. The remaining work is organized as follows.
134 Section 2 describes the datasets and methods employed in this paper. Sections 3.1, 3.2, and 3.3 cover
135 the selection of DA study area and its link with the NAO, the process of abnormal atmospheric
136 circulation during DEs, and the impacts of the transport features of transient eddy fluxes
137 accompanying synoptic system anomalies, respectively. Section 4 contains the conclusions and
138 discussions.

139 **2 Datasets and methods**

140 **2.1 Datasets**

141 The China National Meteorological Center (CNMC) provides the daily DE occurrence dataset
142 over mainland China, which contains three types of DEs (dust storm, blowing dust, and floating
143 dust). When DAs are transported with visibility less than 1 km, it is considered a dust storm, whereas
144 floating dust with visibility less than 10 km is caused by DAs from upwind source regions, and
145 blowing dust is defined similarly to floating dust, with the difference that the DAs are emitted from

146 local source areas. Several previous studies have confirmed the validity of the dataset (Kang et al.,
147 2016; Wang et al., 2018).

148 Datasets of daily and monthly DA concentrations under the Modern-Era Retrospective
149 Analysis for Research and Applications, version 2 (MERRA-2), were derived from the Global
150 Modeling and Assimilation Office (GMAO) of the National Aeronautics and Space Administration
151 (NASA) (Gelaro et al., 2017). Its foundation is built on the assimilation of multiple satellite systems
152 (AVHRR, MISR, MODIS) and AERONET ground-based observations, and the correctness and
153 reliability are considered to be better than those obtained from the assimilation of individual
154 satellites. The most significant benefit of the MERRA-2 dataset is the spatiotemporal coherence,
155 which cannot be surpassed by station-based or individual satellite dataset and allow for rigorous
156 statistical analysis of the spatiotemporal patterns (Gelaro et al., 2017). The dust column mass density
157 is used to represent the atmospheric DA concentration under the MERRA-2 product during 1980-
158 2020 (horizontal resolution: $0.625^\circ \times 0.5^\circ$) in this paper. Yao et al. (2021) used the MERRA-2
159 dataset to analyse the monthly mean DAs in China and found that the result was consistent with the
160 previous conclusions obtained using different DA datasets (Che et al., 2019; Liu et al., 2020),
161 demonstrating the feasibility and applicability of the MERRA-2 dataset for assessing the DAs in
162 China.

163 The Sea Surface Temperature (SST) dataset was obtained from the Extended Reconstructed
164 Sea Surface Temperature, version 5 (ERSST-5), from the National Oceanic and Atmospheric
165 Administration (NOAA) over the period 1980-2020 (horizontal resolution: $2.0^\circ \times 2.0^\circ$) (Huang et al.,
166 2017). The atmospheric reanalysis dataset, including the wind field, geopotential height field,
167 sea level pressure field, temperature field, and vertical velocity field, obtained from the European
168 Center for Medium-Range Weather Forecasts (ECMWF) is the fifth-generation reanalysis global
169 atmosphere (ERA5) dataset over the period 1980-2020 (horizontal resolution: $0.25^\circ \times 0.25^\circ$).
170 Compared to its predecessor, ERA-Interim, ERA5 has a modified data assimilation system and
171 improved physical model to achieve reanalysis data information with improved quality (Hersbach
172 et al., 2020). In this paper, unless otherwise specified, the boreal winter season is referred to as
173 December-January-February (DJF), and the spring season is March-April-May (MAM).

174

175 2.2 Methods

176 The NAO index (NAOI) was chosen to indicate the NAO activities. The NAOI describes the
177 large-scale circulation characteristics of the NAO well (Li and Wang, 2003), and is defined by the
178 following equation:

$$179 \text{NAOI} = \hat{P}_{35^{\circ}\text{N}} - \hat{P}_{65^{\circ}\text{N}} \quad (1)$$

180 In the above equation, P represents the monthly mean sea level pressure averaged from 80 °W
181 to 30 °E, \hat{P} is the standardized value of P , and the subscript \hat{P} indicates the latitude. The selection
182 criteria for the NAO abnormal years is based on the NAOI index averaged over the winter months,
183 the index is then normalized, and the years with a NAOI exceeding 0.5 standard deviations are
184 recorded as NAO anomalous years.

185 According to the operational criteria of the CNMC, DE occurrences are defined as when the
186 number of sites with DEs is more than 1/3 of the total amount in the selected region. According to
187 the variations in DAs and to assure the feasibility of DE sample number, we defined a DE when any
188 of three types of DEs occur. Although the DEs last for a short period of time, even only one day, the
189 abnormal atmospheric circulation that affects the DEs will exist over a long period before and after
190 the day of the outbreak of DEs (Li et al., 2022). Therefore, to investigate the abnormal atmospheric
191 signals during DEs, the time-scale was separated into the following parts: “Day -n”, “Day 0” and
192 “Day +n”, which indicate prior to, the date of, and lag after the day of DE outbreak, respectively.
193 To probe obvious signals in DEs, we extended the number of days of DE cycle to 6 days, i.e., before
194 the DE outbreak to “Day -3” and after the DE outbreak to “Day +2”.

195 To analyse the features of transient eddy flux transport during DEs based on atmospheric
196 variables, the method of physical decomposition was used in this work (Qian, 2012). Any
197 atmospheric elements F , such as u , v , and T , can be decomposed into two parts according to the
198 above decomposition principle: the temporal mean part \bar{F} and the transient eddy part F' . \bar{F}
199 represents the state in which the radiation from the subsurface at a fixed point in the atmosphere is
200 in equilibrium with the daily and annual cycles of solar radiation, while F' is a deviation from
201 the balanced state as follows:

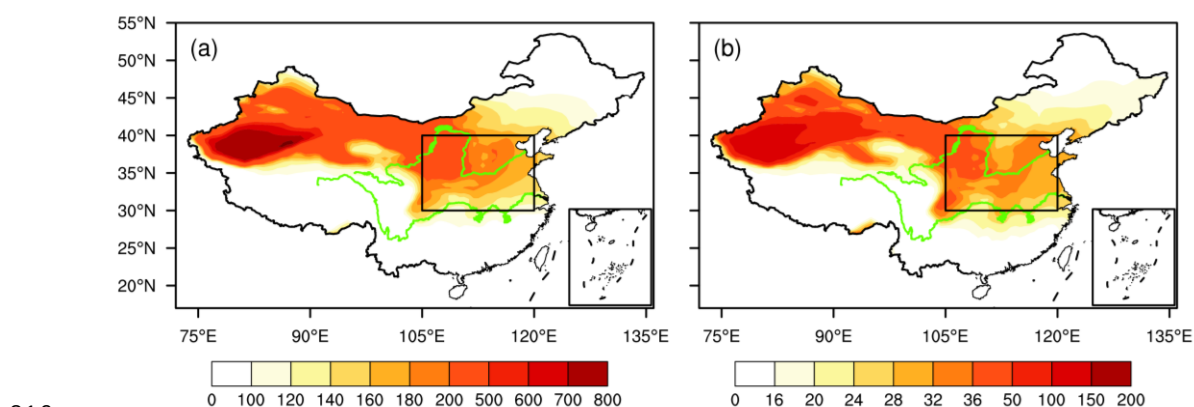
$$202 F = \bar{F} + F' \quad (2)$$

203 In this paper, the transient eddy flux transport is denoted by $[u'v']$ and $[v'T']$, representing the
204 momentum and heat of transient eddy transport, respectively. Within the range of longitude that we
205 choose, the zonal means are represented in the variables by square brackets ($[]$).

206 3 Results

207 3.1 Selection of the dust aerosol study area and association with the NAO

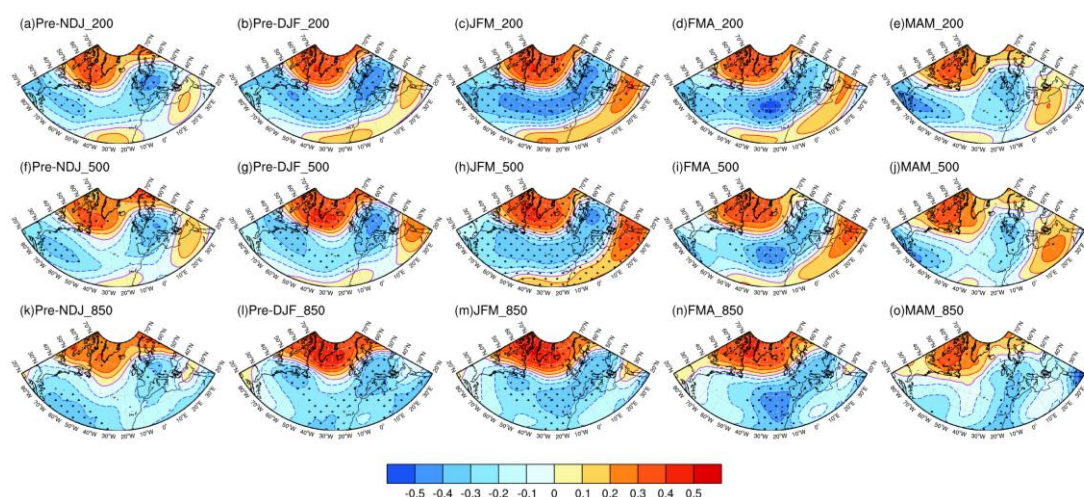
208 The concentration of spring DA and their standard deviation distributions in China are shown
209 in Fig. 1. The large values of DAs are mostly situated in source regions such as Xinjiang and Inner
210 Mongolia. In addition, the DA concentrations in the non-dust source areas, north of the Yangtze
211 River (30° – 40° N, 105° – 120° E), also show high values (Fig. 1a). Moreover, the standard deviation
212 of DAs in this region is also characterized as a large value, indicating that DAs have strong annual
213 variability and are easily influenced by dust disasters (Fig. 1b). Therefore, the area spanning (30° –
214 40° N, 105° – 120° E) is selected as the study region to explore the variability of DAs and the possible
215 formation causes of DEs, which in the analysis that follows is referred to as North China.



217 **Figure 1.** (a) Spatial distribution of the climatological spring dust column mass density and (b) dust
218 column mass density standard deviation, unit: mg m^{-2} . The black box indicates the North China.

219 To explore the possible links affecting the spring DAs in North China, the correlation
220 coefficients between the regional average DAs and the geopotential height field are illustrated in
221 the previous and contemporaneous periods (Fig. 2). The pattern of the MAM DAs and the DJF, JFM,
222 FMA and MAM geopotential height fields all show significant north–south reversal in the North
223 Atlantic, i.e., negative in the Azores and positive in Iceland, which indicates typical characteristics
224 of the NAO negative phase. This dipole structure can be observed in the lower, middle and upper

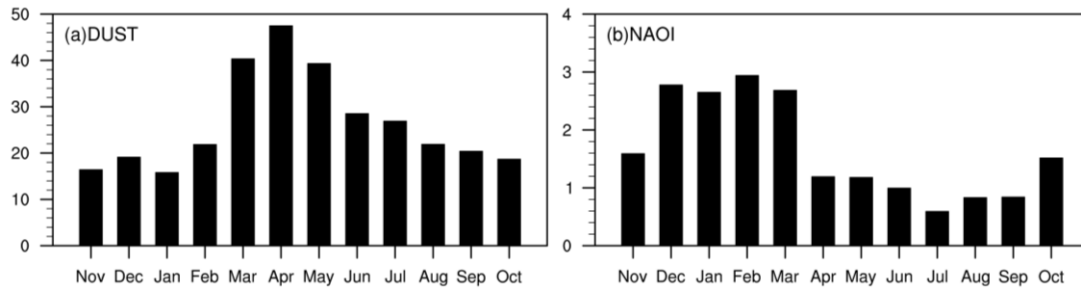
225 troposphere, denoting that there is an important connection between the spring DAs in North China
 226 and the previous NAO variations. Furthermore, significant correlation coefficients can be found
 227 between the spring DAs in North China and the NAOI in previous DJF, JFM, and FMA, and the
 228 correlation coefficients are -0.39, -0.40, -0.40, -0.28, respectively. Simultaneously, as illustrated in
 229 Fig. 3, March, April and May, corresponding to the spring months, show substantial standard
 230 deviations, suggesting that the DAs in North China vary dramatically in these 3 months, while the
 231 monthly average standard deviations of the NAOI present the highest values in December, January
 232 and February, corresponding to the winter. Therefore, the effect of the NAO in boreal winter on the
 233 later spring DAs in North China and the synoptic formation mechanism of the impact should be
 234 analysed and explored. Considering the significant negative effect of the NAO on the DAs in North
 235 China, the main focus in the subsequent analysis is on the negative phase of the NAO.



236

237 **Figure 2.** (a-e) Correlations of the spring dust column mass density in North China with geopotential
 238 height anomalies at 200 hPa during the previous and simultaneous seasons. (f-j) and (k-o), As in (a-
 239 e), but for the correlations with geopotential height anomalies at 500 hPa and 850 hPa, respectively.
 240 Dotted blue, solid magenta and solid red lines indicate negative, zero and positive contour values,
 241 respectively. The contour intervals are 0.1. The black dotted areas are significant at the 90%
 242 confidence level.

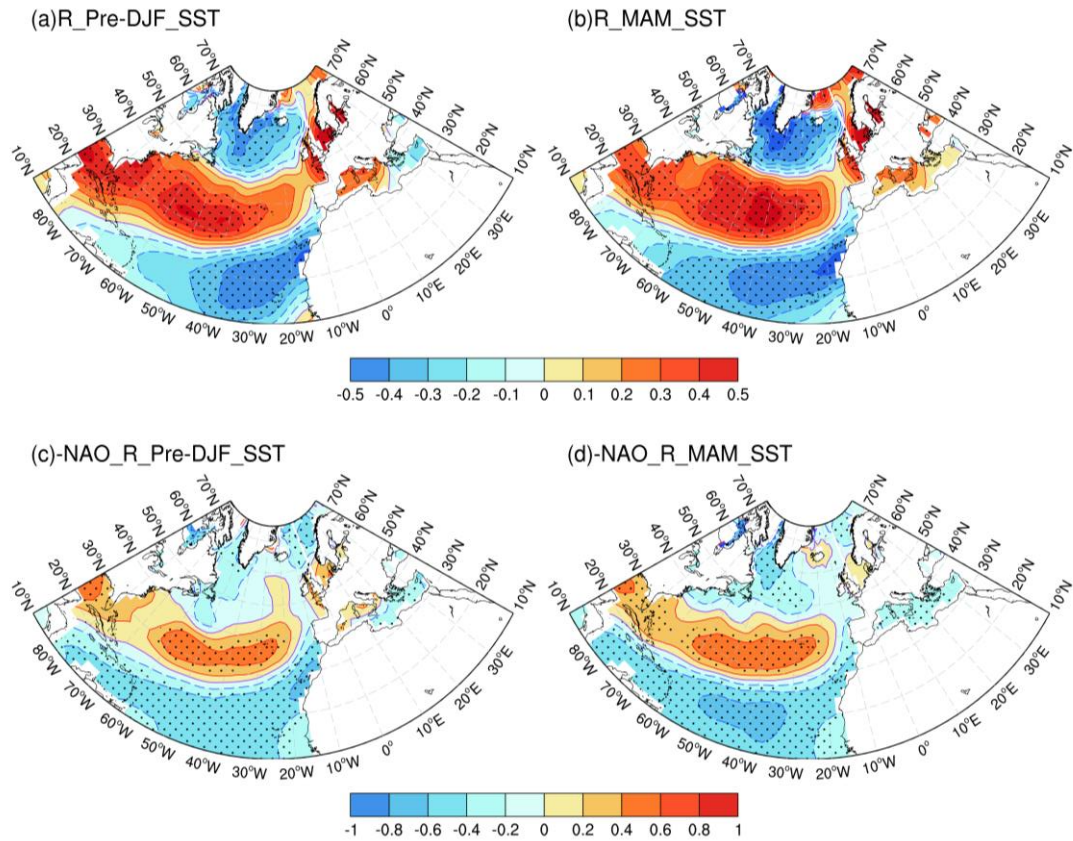
243



244

245 **Figure 3.** The monthly standard deviation of (a) the dust column mass density in North China and
 246 the (b) NAOI. The unit in (a) is mg m⁻².

247 According to the analysis, the NAOI in boreal winter and late spring DAs in North China have
 248 a substantial negative link. How does the boreal winter NAO affect the late spring DAs in North
 249 China? There is an obvious correlation between the boreal winter NAOI and both contemporaneous
 250 and late North Atlantic SST anomalies (SSTA), as well as a triple-pole model of “-, +, -” (Fig. 4a to
 251 b). Previous studies have noted that on seasonal and annual scales, the triple-pole of SST is the
 252 primary mode of North Atlantic SST variation, and its variability is closely correlated to the changes
 253 in the NAO (Wu et al., 2009). The NAO can modify SST by influencing changes in sea surface
 254 wind speed and hence latent heat fluxes in the North Atlantic (Cayan et al., 1992). Simultaneously,
 255 the triple-pole SST mode generates atmospheric circulation similar to the NAO, suggesting a
 256 positive feedback between them, which has been verified in both observational data analysis (Czaja
 257 et al., 2002) and ocean-atmosphere coupled model simulations (Watanabe et al., 2000). These
 258 findings show that the SST has a “capacitor effect” on the NAO negative signal, which prolongs the
 259 influence of the NAO signal over the surrounding and downstream areas. Moreover, through the
 260 correlation distribution between the boreal winter NAOI and both contemporaneous and late North
 261 Atlantic SSTA corresponding to the selected NAO negative phase years (1979, 1981, 1984, 1985,
 262 1986, 1995, 1997, 2000, 2002, 2003, 2005, 2009, 2010, and 2012), it can be found that the North
 263 Atlantic SSTA from boreal winter to late spring is manifested as a “-, +, -” triple-pole pattern
 264 characteristic (Fig. 4c to d), which further verifies that the early NAO negative signal can be stored
 265 in the North Atlantic SST and has an influence on the subsequent weather-climate over the
 266 surrounding and downstream regions.



267

268 **Figure 4.** Correlations of the boreal winter NAOI with the (a) simultaneous and (b) following spring
 269 SSTA. (c-d) As in (a-b), but during the negative NAO phases (1979, 1981, 1984, 1985, 1986, 1995,
 270 1997, 2000, 2002, 2003, 2005, 2009, 2010, and 2012). Dotted blue, solid magenta and solid red
 271 lines indicate negative, zero and positive values, respectively. The contour intervals are 0.1 in (a-b)
 272 and 0.2 in (c-d). The black dotted areas are significant at the 95% confidence level.

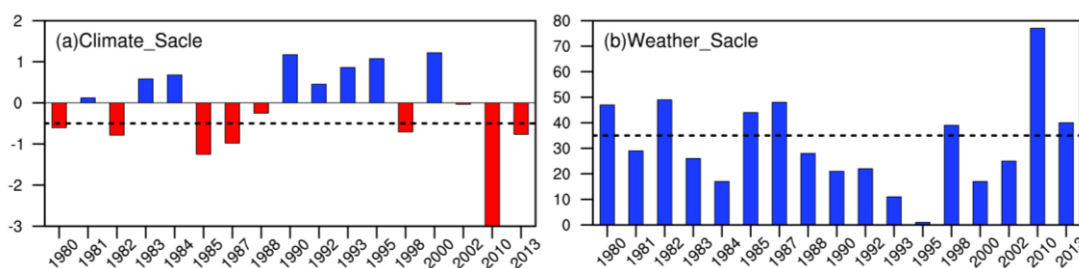
273 3.2 Atmospheric circulation evolution during DEs

274 In this paper, we select 27 spring DEs in North China during the period of 1980-2020 based on
 275 the selection criteria, which are distributed over 17 years (Table. 1). It is found that there are shifts
 276 of the NAO from a positive to negative phase preceding all the DEs, highlighting the significant
 277 influence of the NAO negative phase on the occurrence of DEs. Among these, the 9 DEs (19800419,
 278 19820408, 19820502, 19820508, 19850403, 19870317, 19980416, 20100320 and 20130309) are
 279 striking based on the preliminary unusually strong winter NAO negative signal compared to that
 280 before the rest of DEs. At the climate-scale, the NAO intensity in boreal winter of the 9 DEs are all
 281 less than -0.5 standard deviations, which corresponds to the NAO negative phase years (Figure. 5a).
 282 Further analysis on the weather-scale indicates that the number of days with NAO negative phase
 283 (the value of NAOI less than -0.5) are above 35 days in the 3 months of boreal winter in the 9 DEs

284 (Figure. 5b). Furthermore, the NAO negative phase is longer and more intense during these 9 DEs,
 285 while the NAO negative phase days in the remaining DEs are below 35 days (figure not shown).
 286 Therefore, to avoid information filtering caused by too many study cases and to reflect the statistical
 287 significance for studying the modulation effect of the NAO negative signal on the DEs in North
 288 China to the maximum extent, we chose these 9 DEs with the strongest influence of the NAO
 289 negative signal to study the evolution characteristics and synoptic causes of DEs in North China.

290 **Table 1.** Based on the CNMC selection criteria, 27 DEs and the years in which these DEs occurred

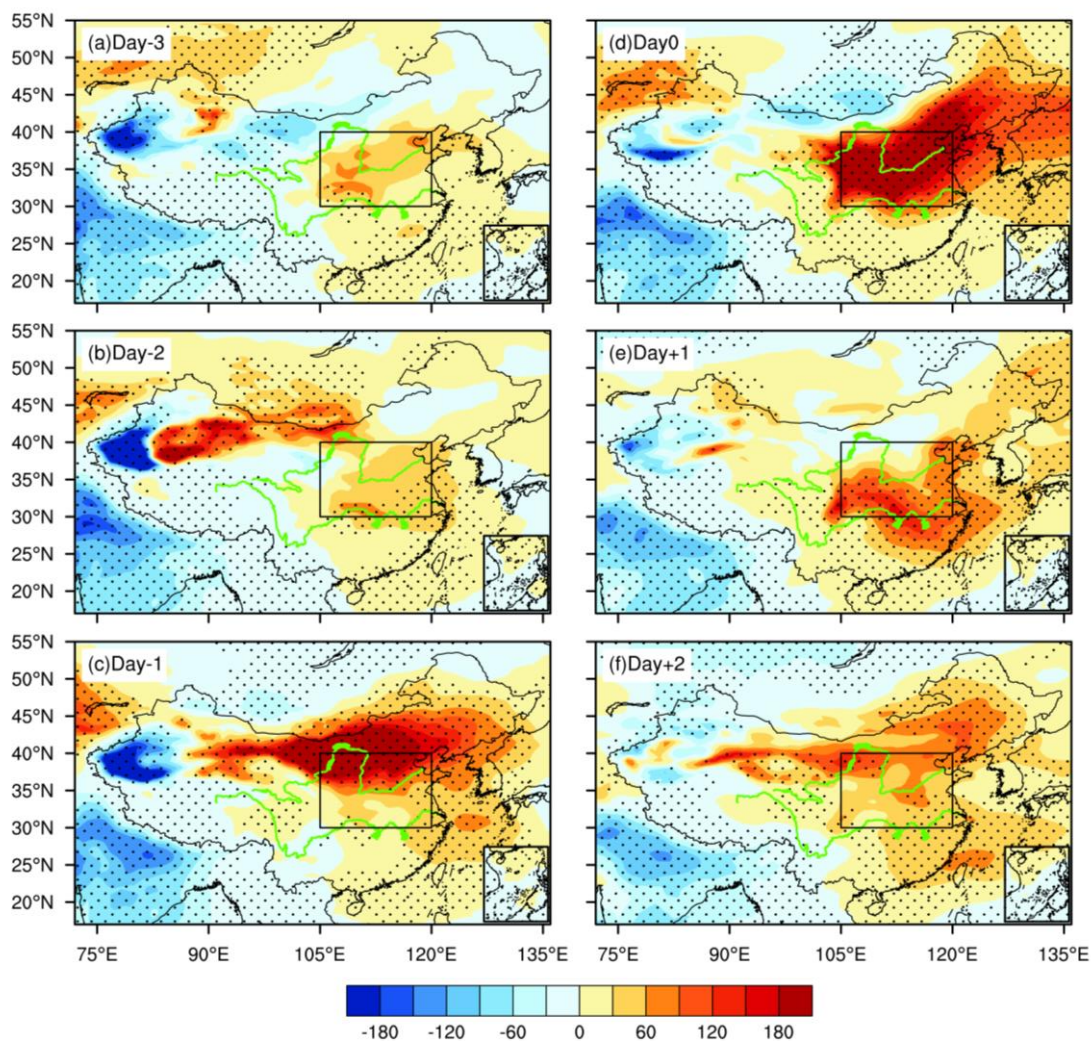
Years	DEs
1980, 1981, 1982, 1983, 1984, 1985	19800419, 19810308, 19810325, 19810502, 19820408, 19820502, 19820508, 19830316, 19830401, 19830428, 19840301, 19840420, 19840428, 19850403
1987, 1988, 1990, 1992, 1993, 1995	19870317, 19880411, 19880417, 19900407, 19920411, 19930424, 19950311
1998, 2000, 2002, 2010, 2013	19980416, 20000327, 20000409, 20020320, 20100320, 20130309



291
 292 **Figure 5.** (a) The standardized inter-annual variability of the previous winter NAOI of the years in
 293 which these DEs occurred, (b) the number of days when the value of previous winter NAOI is less
 294 than -0.5 of the years in which these DEs occurred.

295 From the abnormal field of the spatial distribution of DA concentration synthesized by the
 296 selected DEs (Fig. 6), it can be found that before the outbreak of DEs, the positive anomalies of DA
 297 concentrations appear in the source regions (Xinjiang and Mongolia), and on “Day -1”, the positive
 298 anomalous field gradually expands and develops into a positive anomaly belt with maximum values
 299 of 180 mg m⁻². At this time, the DA concentrations on the north side of North China already show
 300 obvious positive anomalies, providing sufficient source contributions for the next outbreak of DEs.
 301 On “Day 0”, the overall DA concentration anomaly value exceeds 180 mg m⁻² in North China,
 302 indicating that large-scale DEs have broken out. After the outbreak of DEs, the positive DA
 303 concentration anomalies in North China decrease rapidly, and the impact of DAs from Xinjiang and

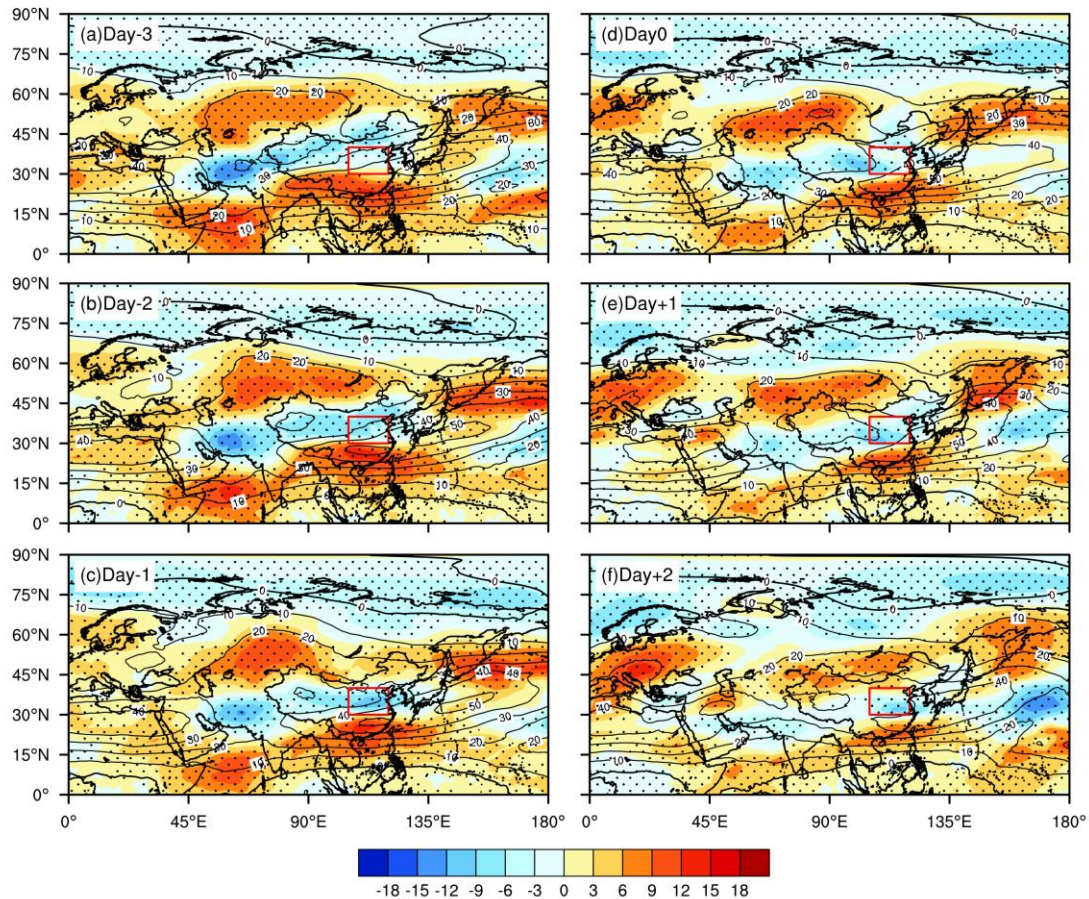
304 Mongolia on North China gradually weakens. Before the outbreak of DEs, the DA concentrations
 305 in Mongolia and Xinjiang, which are the primary source areas of DAs in East Asia (Zhang et al.,
 306 2003), gradually increase. In the growth process of DA concentrations, DAs are gradually
 307 transported eastward to North China. Therefore, the outbreak of DEs in North China is mainly
 308 caused by the rapid increase in DA concentrations and their eastward transmission from Xinjiang
 309 and Mongolia.



310
 311 **Figure 6.** Spatial distribution of the dust column mass density anomalies in East Asia from "Day -
 312 3" to "Day +2" during DEs, unit: mg m^{-2} . The black dotted areas are significant at the 95%
 313 confidence level.

314 The evolution of the 200 hPa atmospheric circulation during DEs is illustrated in Fig. 7,
 315 representing features of the wind field in high levels of the troposphere. On "Day -3", there are
 316 large-scale anomalous positive zonal winds in the Siberian area, with positive zonal wind anomalies

317 of $+9 \text{ m s}^{-1}$. From “Day -2” to “Day -1”, the positive zonal wind anomalies move southward,
318 controlling the upstream area of North China (35° - 50° N, 70° - 110° E), as well as the dust source
319 areas of Xinjiang and Mongolia. The zonal wind anomalies over the area of North China are in a
320 “+, -, +” triple-pole pattern from the equator to 60° N, indicating that the boreal winter NAO negative
321 signal can propagate to East Asia, resulting in changes in the East Asian subtropical jet stream
322 (EASJS) and polar-front jet stream (PFJS) in late spring. In earlier investigations, similar findings
323 were noted (Shao and Zhang, 2012). There are also remarkable features of the EASJS along 30° N,
324 with two centres: the west centre is in northern Africa, with a central intensity of 40 m s^{-1} , and the
325 east centre is situated in the western Pacific south of Japan, with a central intensity of 50 m s^{-1} . As
326 a result of its far distance from the DA source regions in East Asia and North China, the western
327 centre of the EASJS has a very limited impact on these regions. From “Day -3” to “Day -1”, the
328 eastern centre of the EASJS moves eastward, which leads to diminishing zonal winds at 200 hPa in
329 North China, and the negative anomaly centre is lower than -9 m s^{-1} . From “Day 0” to “Day +2”,
330 the eastern centre of the EASJS stops moving eastward and recedes slightly westward, and the zonal
331 winds over North China change to -6 m s^{-1} . Under the impact of the jet stream, there are anomalous
332 positive zonal winds and negative zonal winds controlling the dust source areas of Xinjiang,
333 Mongolia and North China, respectively. Through the effect of vertical circulation, abnormal winds
334 at high altitudes can influence middle and low altitude winds through momentum compensation and
335 downward (Li et al., 2015; Wu et al., 2016). Therefore, which may lead to the generation of windy
336 weather near the DA source areas and the maintaining the DA concentration in North China, both
337 of which contribute to the occurrence of DEs in North China.

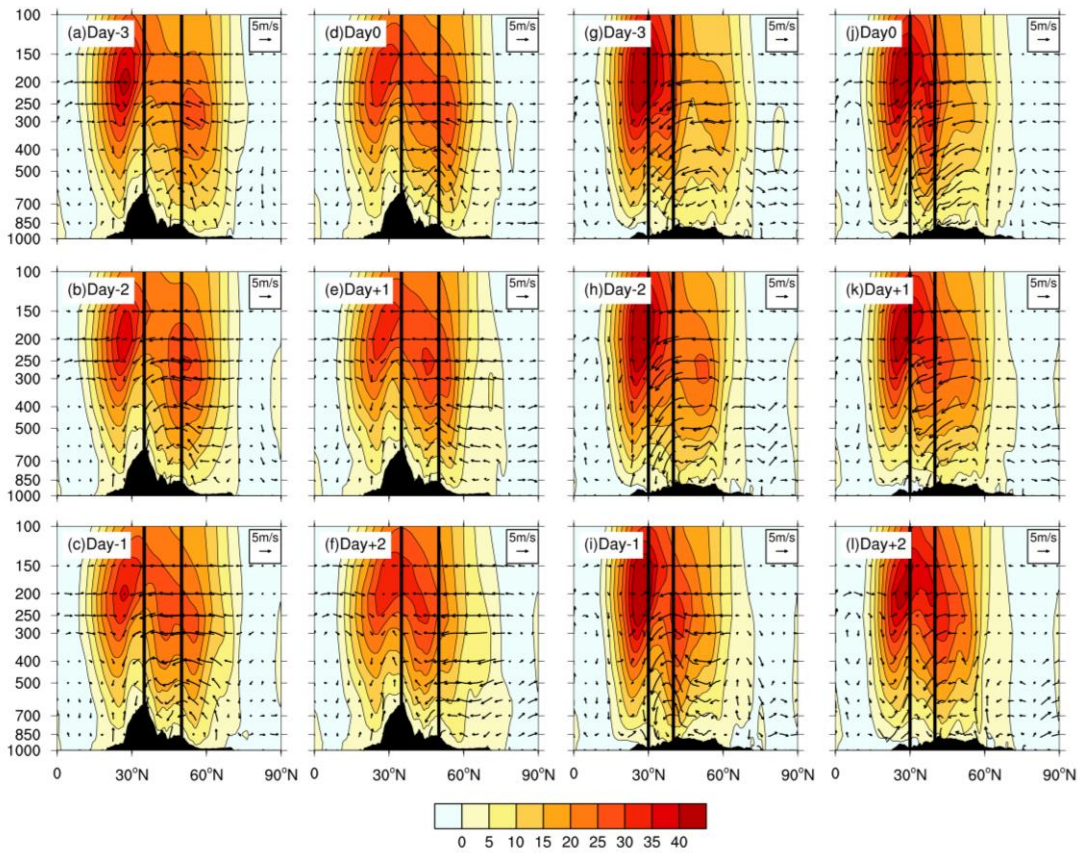


338

339 **Figure 7.** Spatial distribution of the 200 hPa winds (contour, unit: m s^{-1}) and their anomalies (shades, unit: m s^{-1}) from “Day -3” to “Day +2” during DEs. The black dotted areas are significant at the 95%
 340 confidence level.
 341

342 To further determine the impact of the upper-level wind speed on the middle-lower wind speed,
 343 the vertical pressure-latitude distribution of the mean zonal winds and the vertical winds are
 344 calculated over the DA source areas and North China (Fig. 8). As shown in Fig. 8a to f, before the
 345 outbreak of DEs, due to the downward momentum of the zonal winds at high altitudes above the
 346 DA source areas, the enhancement of the wind speed in the middle-low levels is evident (Wu et al.,
 347 2016), resulting in the generation of strong surface wind to meet the dynamic conditions for the
 348 uplift of local DAs. After the outbreak of DEs, the average zonal winds in the high levels and the
 349 downward momentum effect weaken in DA source areas. Compared with the mean zonal winds in
 350 the troposphere over North China (Fig. 8g to l), from “Day -3” to “Day -1”, corresponding to the
 351 weakening of the zonal winds in the high levels, the zonal wind speed in the middle-low levels
 352 decreases due to momentum compensation for the zonal winds in the high levels (Li et al., 2015),
 353 which is conducive to the maintenance of DA concentration that is transported before the DE

354 outbreak, as well as in preparation for the subsequent DE outbreak in North China. After the DE
 355 outbreak, the zonal winds at high altitudes on the north side of North China strengthen due to the
 356 increase in zonal winds in the middle-low levels, and the DA concentration begins to decrease under
 357 the effect of strong winds near the surface. It is also noted that during the whole evolution of DEs,
 358 the south side of North China is dominated by southerly winds, which have a certain blocking effect
 359 on the northward airflow carrying DAs and are favorable for maintaining the DA concentration in
 360 North China.

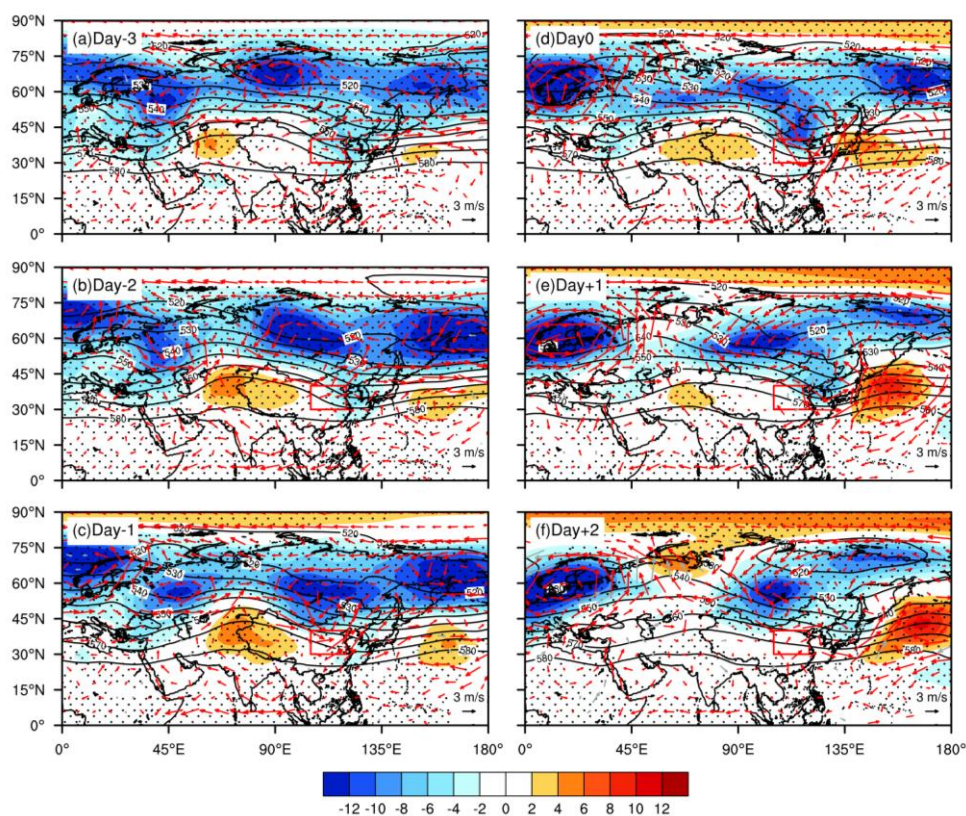


361

362 **Figure 8.** (a-f) Pressure-latitude section of zonal wind [u] (shaded, unit: m s^{-1}) and vertical wind
 363 (arrow, (v , w), w expands 100 times, unit: m s^{-1}) averaged over 70° - 110° E from “Day -3” to “Day
 364 +2” during DEs. The area surrounded by the black line is the range of the source regions of DA. (g-
 365 l) As in (a-f), but averaged over 105° - 120° E.

366 In the evolution of atmospheric circulation of DEs at 500 hPa (Fig. 9a to c), the trough-ridge
 367 situation is characterized by two troughs and one ridge throughout the middle-high latitudes of the
 368 Eurasian continent from “Day -3” to “Day -1”. The two troughs are located in the Black Sea (30° -
 369 60° N, 20° - 50° E) and the eastern part of Russia (30° - 60° N, 105° - 130° E), and the ridge is situated in
 370 the Ural Mountains (25° - 45° N, 60° - 80° E). The western and eastern troughs both show negative

371 variations before the outbreak of DEs, with maximum values of “-4 dagpm” and “-10 dagpm”,
 372 respectively, while the Ural Ridge (UR) manifests as gradual enhancement with maximum values
 373 of “+6 dagpm”. From “Day 0” to “Day +2”, the intensities of the trough and ridge all gradually
 374 weaken (Fig. 9d to f). Before the outbreak of DEs, on account of the strengthening advancement of
 375 the trough-ridge situation, the middle-high latitudes are dominated by the strong meridional
 376 circulation, the transport of northern cold air increases, and the southward invasion of the cold air
 377 enhances the local surface wind speed, leading to the uplift of DAs in the DA source areas. After
 378 the outbreak of DEs, due to the changes in the trough-ridge situation and the weakening of the UR,
 379 the cold air transport weakens. It should be noted that the UR during DEs is much less than a
 380 blocking high based on the intensity as well as the duration. The UR is established on “Day -1” and
 381 disappears basically on “Day +1”, which is also consistent with the feature that the maximum
 382 duration of DEs does not exceed 2 days (Li et al., 2022).

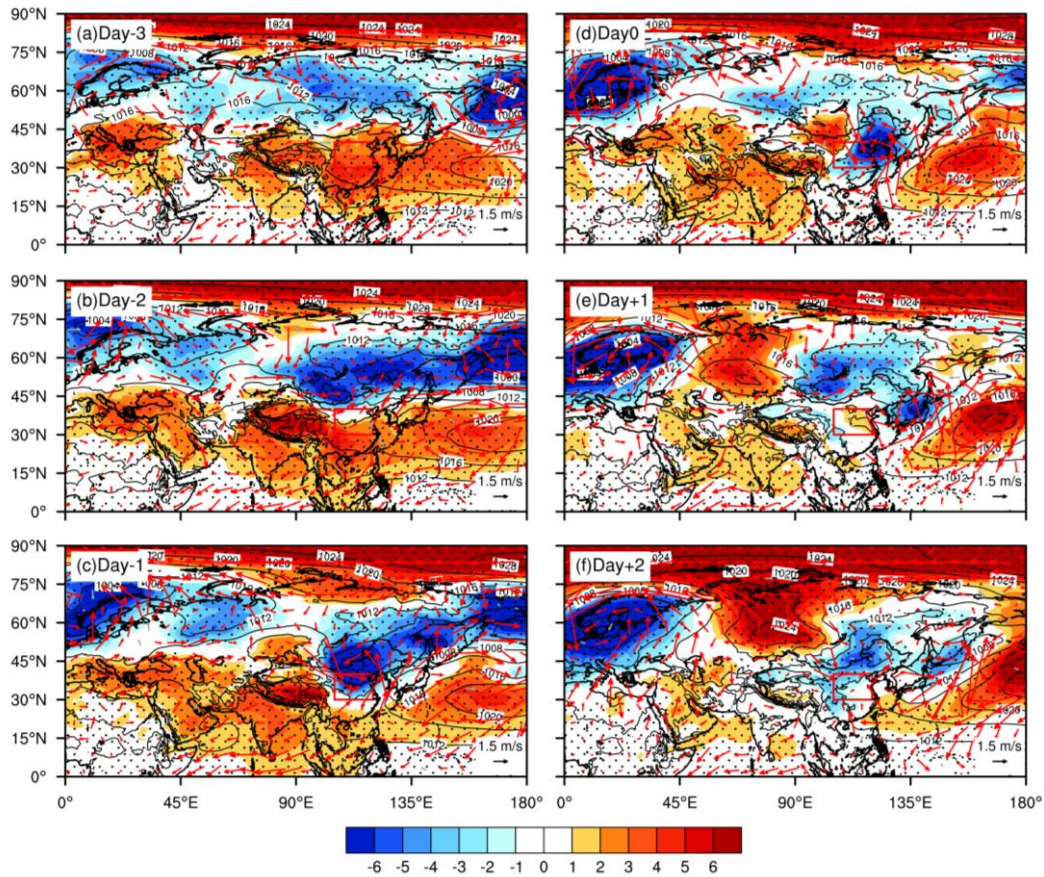


383
 384 **Figure 9.** The spatial distribution of 500 hPa geopotential height (contour, unit: dagpm) overlays its
 385 anomalies (shades, unit: dagpm) and 850 hPa wind anomalies (arrows, unit: m s⁻¹) from “Day -3”
 386 to “Day +2” during DEs. The black dotted areas and red arrow areas are significant at the 95%
 387 confidence level.

388 There is also a corresponding signal during DEs in the sea level pressure field (Fig. 10). On

389 “Day -3”, North China is controlled by an anticyclonic system dominated by sinking airflow.
390 Therefore, poor precipitation conditions lead to the weak wet-deposition of DAs during transport
391 (Kutiel and Furman, 2003). Meanwhile, the SH starts to appear in the upstream areas of North China.
392 On “Day -2”, the intensity of the SH is enhanced, with maximum values of “+6 hPa”, and moves
393 toward North China, while the intensity of the anticyclonic system controlling North China slightly
394 weakens, and its position does not change significantly. In Mongolia, the MC starts to develop,
395 accompanied by strong winds and vertical upward flows induced by the MC, which is conducive to
396 the dynamic circumstances for the development uplift of DAs. On “Day -1”, the location and
397 intensity of the SH both change little, while the intensity of the anticyclonic system controlling
398 North China weakens and even tends to disappear, and the intensity of the MC increases and moves
399 slightly southward. On “Day 0”, the SH moves eastward to the territory of Mongolia, and the MC
400 moves southeastward, which is conducive to the transport of DAs from Mongolia to North China.
401 From “Day +1” to “Day +2”, the intensities of both the SH and MC start to weaken, and the impact
402 on North China begins to weaken. In summary, under the negative phase of the NAO, before the
403 outbreak of DEs, due to the establishment, strengthening and southward movement of the SH and
404 MC, there is a wide range of northerly winds and the outbreak of cold air to the south, which is
405 advantageous for the uplift and transmission of DAs to North China. Simultaneously, North China
406 is controlled by an anticyclonic system, leading to local weather, mainly sunny conditions and weak
407 winds, which is also favorable for the transport of DAs to North China. After the outbreak of DEs,
408 both the SH and MC start to weaken, indicating that the uplift of DAs in the DA source areas and
409 the dust transmission activities to North China start to weaken.

410 From the above atmospheric circulation characteristics, under the NAO negative phase, the
411 crucial synoptic systems leading to DE occurrences in North China are the abnormal winds by the
412 anomalies of the upper-level EASJS, the UR, the SH and the frontal cyclone (MC) near the surface.
413 In addition, transient eddy is crucial for the abnormal evolution of atmospheric circulation. The
414 mechanism of the formation of these synoptic system anomalies from the view of transient eddy are
415 investigated to reveal the mechanism of the synoptic cause for the DEs in North China under the
416 modulation of the NAO negative phase.



417

418 **Figure 10.** Spatial distribution of the sea level pressure (contour, unit: hPa) overlays its anomalies
 419 (shades, unit: hPa) and 1000 hPa wind anomalies (arrows, unit: m s^{-1}) from “Day -3” to “Day +2”
 420 during DEs. The black dotted areas and red arrow areas are significant at the 95% confidence level.

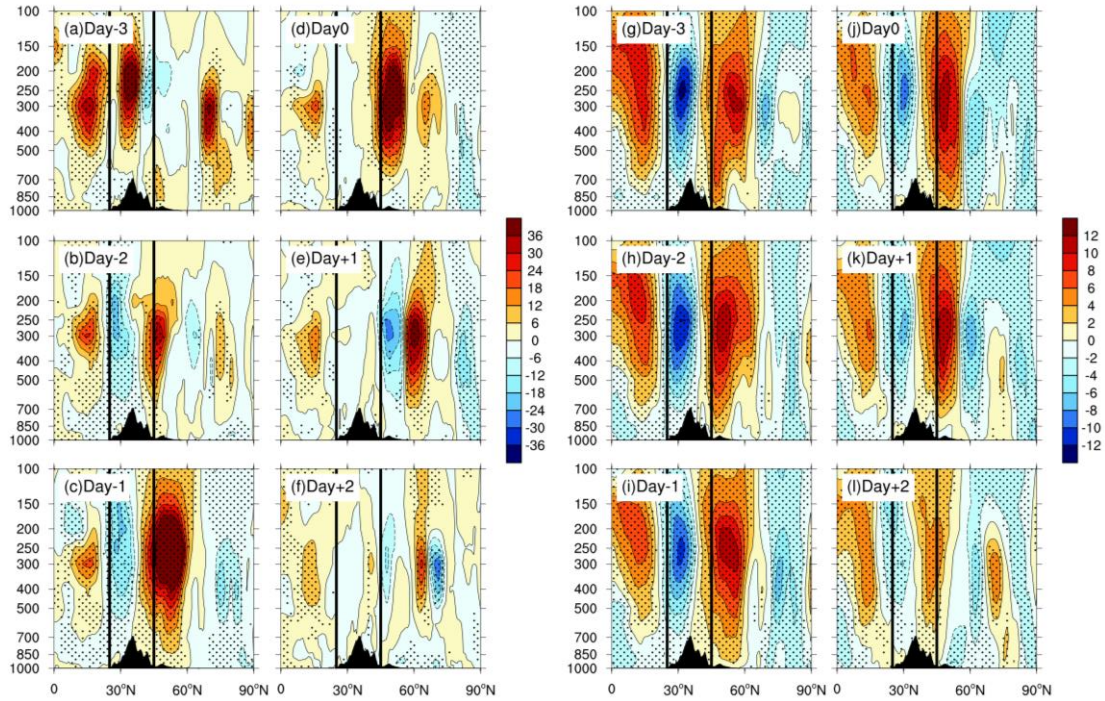
421 3.3 Transient eddy flux transport characteristics during DEs

422 Transient eddy fluctuations contribute to the maintenance of the atmospheric energy balance
 423 through energy transport, and the energy transport process causes the divergence and convergence
 424 of energy and mass between different regions, thus forming new regions of forcing within the
 425 atmosphere (Li et al., 2019b). Transient eddy flux transport can enhance the positive pressure
 426 component of the mean airflow and compensate for ground friction, which has a significant effect
 427 on the maintenance of atmospheric circulation. For example, transient eddy momentum transport is
 428 associated with the development of blocking high generation, and momentum transport contributes
 429 differently during each period of its development (Li et al., 2019b). DE occurrences are inevitably
 430 accompanied by tropospheric atmospheric circulation anomalies, and transient eddy flux transport
 431 plays a significant role in the process of atmospheric circulation. Therefore, the possible mechanism
 432 of transient eddy momentum and heat transport during DEs under the NAO negative phase on the

433 change in major synoptic system anomalies are further analysed and explored.

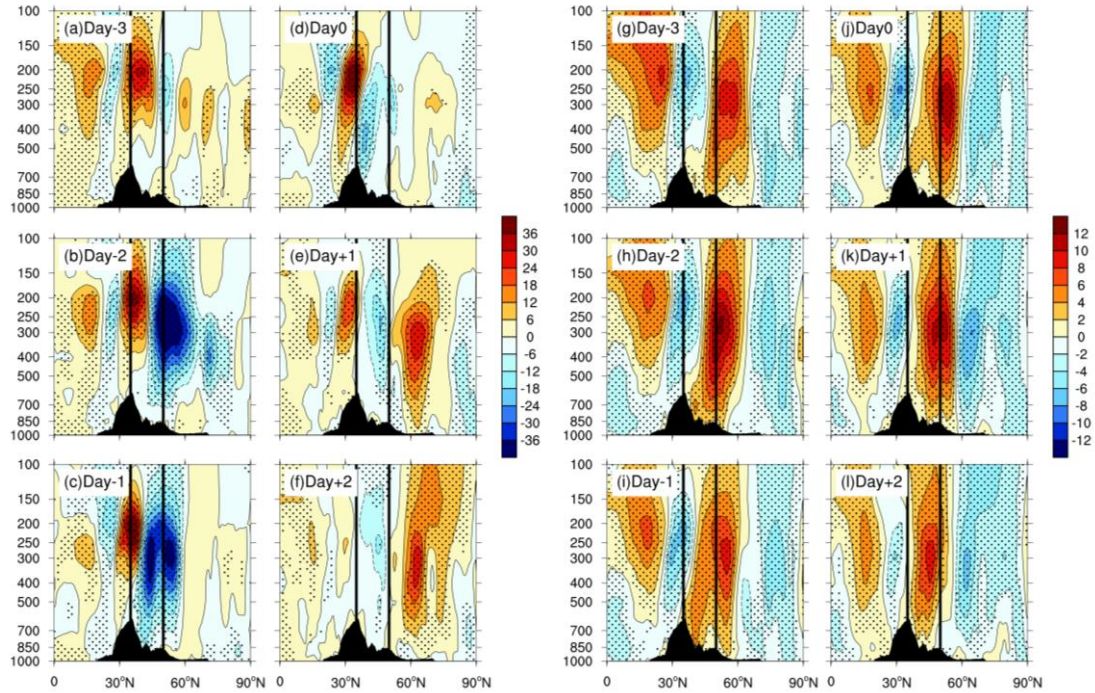
434 The “+” (“-”) sign of $[u'v']$ implies the poleward (equatorward) transport of transient eddy
435 momentum, and the “+” (“-”) sign of $[u']$ represents the positive (negative) anomaly of the zonal
436 winds. Analysing the transport features of transient eddy momentum within the active range of the
437 UR during DEs (Fig. 11a to f), it is found that a pattern of “positive south and negative north”
438 appears with poleward, equatorward momentum transport near 30°N and 40°N at approximately
439 200-500 hPa on “Day -3”, respectively, while a $[u'v']$ convergence centre exists within the area of
440 the UR. On “Day -2”, the feature of $[u'v']$ in the Urals region changes from convergence to
441 divergence, and on “Day -1”, the “negative south and positive north” pattern of transient eddy
442 momentum reaches the highest value during DEs, with maximum values of $-24 \text{ m}^2 \text{ s}^{-2}$ and $+30 \text{ m}^2 \text{ s}^{-2}$,
443 respectively. After the outbreak of DEs, the intensity of the $[u'v']$ centre in the Urals region rapidly
444 decreases, with no longer obvious $[u'v']$ transport. For the zonal wind anomalies $[u']$ (Fig. 11g to l),
445 before the outbreak of DEs, $[u']$ in the Urals region there is a “negative south and positive north”
446 mode, which is mainly controlled by negative $[u']$, with maximum values of -12 m s^{-1} ,
447 corresponding to divergence of $[u'v']$. From “Day 0” to “Day +1”, the UR region gradually becomes
448 dominated by the “+” signal of $[u']$. On “Day +2”, as there is unobvious $[u'v']$ within the region of
449 the UR, the intensity of $[u']$ weakens rapidly. Investigation of the transient eddy momentum
450 transport characteristics and the changes in the zonal wind anomalies during DEs show that there is
451 an obvious divergence centre of the transient eddy momentum within the range of UR before the
452 outbreak of DEs, corresponding to zonal wind weakening and the establishment of meridional
453 circulation. Therefore, transient eddy momentum transport has an indirect influence on the
454 establishment and advancement of the UR (Li et al., 2022). To obtain a better understanding of the
455 role of transient eddy momentum on anomalies of the wind field during DEs, the $[u'v']$
456 characteristics of the DA source areas are further analysed (Fig. 12a to f). The transient eddy
457 momentum pattern of “positive south and negative north” in the DA source areas is gradually
458 displayed at 200-500 hPa from “Day -3” to “Day -1”, with maximum values of $+36 \text{ m}^2 \text{ s}^{-2}$ and -36
459 $\text{m}^2 \text{ s}^{-2}$, respectively. After the outbreak of DEs, the source areas of DAs are mainly controlled by
460 the weak $[u'v']$ negative centre, with unobvious transient eddy momentum. For the zonal wind
461 anomalies $[u']$ (Fig. 12g to l), before the outbreak of DEs, the DA source regions gradually become

462 dominated by positive $[u']$, with maximum values of $+10 \text{ m s}^{-1}$ on account of the convergence of
 463 transient eddy momentum, facilitating the uplift of DAs. After the outbreak of DEs, $[u']$ still
 464 predominantly increases, indicating that there are more complex reasons for the zonal wind changes
 465 than the transport of transient eddy momentum, which deserves in-depth analysis later.



466

467 **Figure 11.** Pressure-latitude section of (a-f) the mean transient momentum $[u'v']$ (unit: $\text{m}^2 \text{s}^{-2}$) and
 468 (g-l) zonal wind anomalies $[u']$ (unit: m s^{-1}) averaged over $60^\circ\text{--}80^\circ\text{E}$ from “Day -3” to “Day +2”
 469 during DEs. The area surrounded by the black line is the range of the UR. The black dotted areas
 470 are significant at the 95% confidence level.

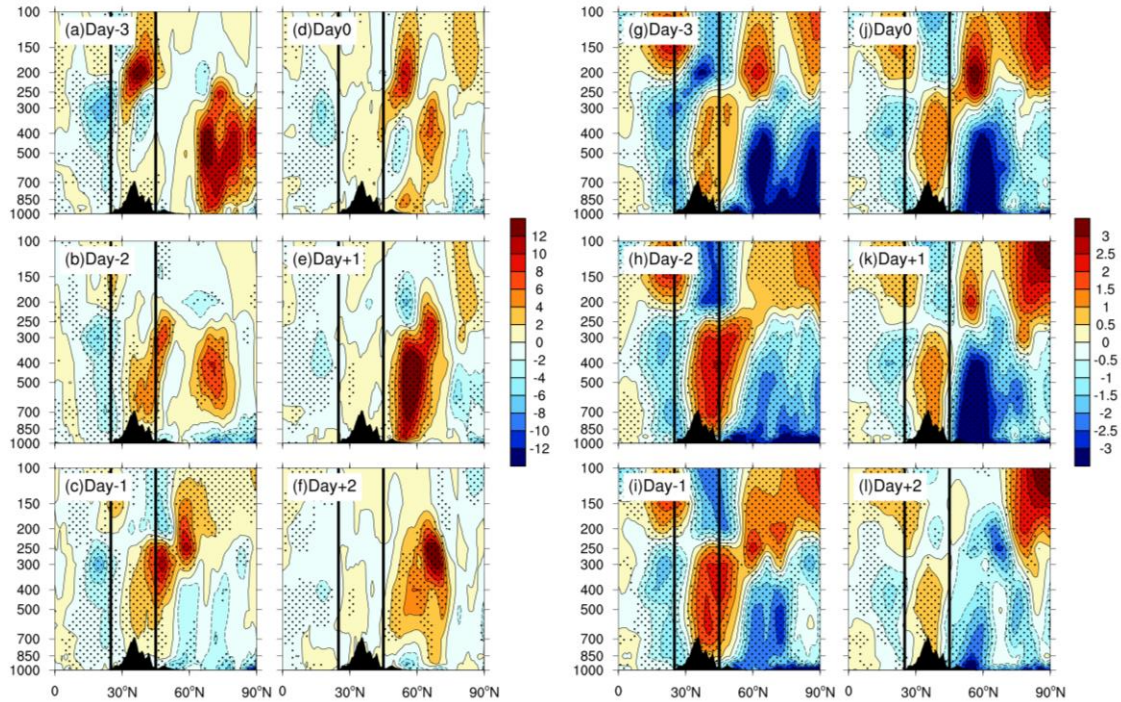


471

472 **Figure 12.** Pressure-latitude section of (a-f) the mean transient momentum $[u'v']$ (unit: $\text{m}^2 \text{s}^{-2}$) and
 473 (g-l) zonal wind anomalies $[u']$ (unit: m s^{-1}) averaged over 70°E to 110°E from “Day -3” to “Day +2”
 474 during DEs. The area surrounded by the black line is the range of the source regions of DA. The
 475 black dotted areas are significant at the 95% confidence level.

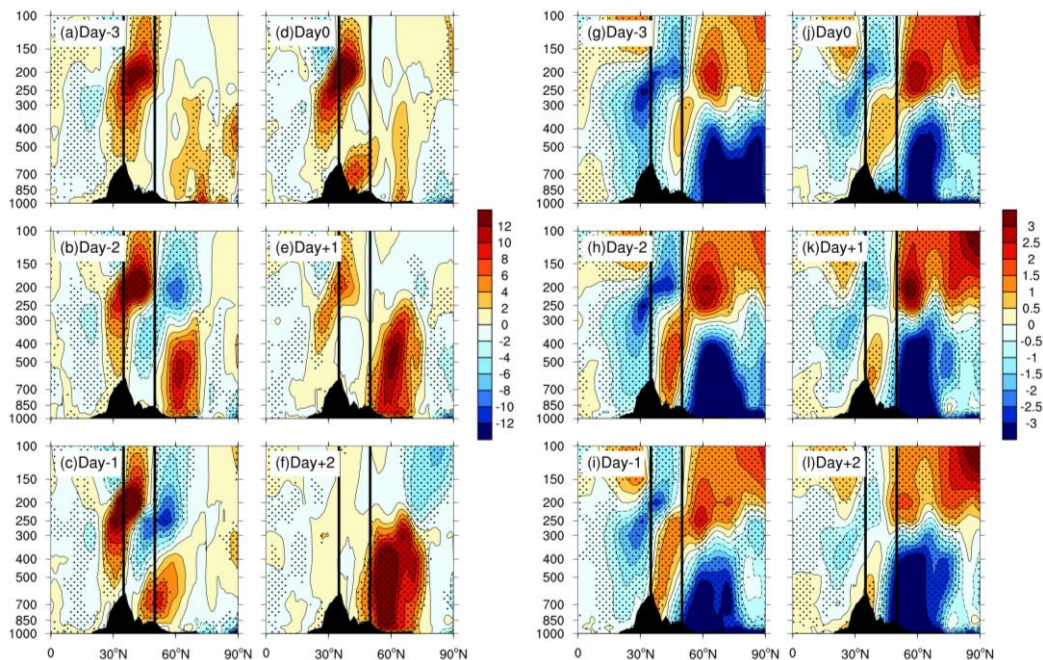
476 Atmospheric circulation also heavily depends on transient eddy heat transport (Li et al., 2019b;
 477 Li et al., 2022). Similar to the features of transient eddy momentum transport, the “+” (“-”) sign of
 478 $[v'T']$ describes the poleward (equatorward) transport of transient eddy heat, and the “+” (“-”) sign
 479 of $[T']$ implies an increase (decrease) in temperature. In the analysis of the $[v'T']$ characteristics
 480 within the range of UR during DEs (Fig. 13a to f), it is found that the convergence of transient eddy
 481 heat is dominant at 200-500 hPa on “Day -3”, with maximum values of $+12 \text{ m s}^{-1} \text{ K}$ and $-6 \text{ m s}^{-1} \text{ K}$,
 482 respectively. During the subsequent “Day -2” to “Day -1”, the UR area is dominated by a strong
 483 positive $[v'T']$ centre, which also exhibits the convergence features of transient eddy heat. There are
 484 unobvious features of $[v'T']$ in the developmental range of the UR from “Day 0” to “Day +2”. In the
 485 analysis of the temperature anomalies $[T']$ (Fig. 13g to l), it is shown that before the outbreak of
 486 DEs, the area with developed UR is in the convergence region of transient eddy heat, and $[T']$
 487 becomes more visible when the transient eddy heat transport increases, showing a “negative south
 488 and positive north” pattern below 300 hPa. The temperature gradient $(-\partial T/\partial y)$ is weakened here
 489 by the thermal wind formula ($u_T = R \cdot -\frac{\partial T}{\partial y}$, where u_T, R, T demonstrate the thermal wind, gas

490 constant and temperature, respectively), and the thermal wind will be weakened due to the decline
491 in the temperature gradient. When the thermal wind is weakened, the zonal winds are also weakened,
492 which facilitates the establishment of meridional circulation and the development of a blocking
493 situation (Li et al., 2019b). After the outbreak of DEs, $[T']$ decreases due to the weakening of the
494 transient eddy heat transport. From “Day 0” to “Day +1”, the negative $[T']$ anomaly centre appears
495 north of the UR, and the negative $[T']$ centre gradually moves southward, which corresponds to the
496 increase in the temperature gradient here and is not favorable to the development of the UR. The
497 transient eddy heat transport also has an impact on the wind field for the source regions of DAs (Fig.
498 14a to c). Before the outbreak of DEs, the $[v'T']$ over the DA source areas gradually evolves to be
499 dominated by the convergence above 300 hPa, while the divergence of $[v'T']$ is dominated below
500 300 hPa. The strongest $[v'T']$ convergence and divergence characteristics are both reached on “Day
501 -1”. After the outbreak of DEs (Fig. 14d to f), the DA source regions are controlled by a positive
502 $[v'T']$ centre, with the convergence of $[v'T']$ on “Day 0”. From “Day +1” to “Day +2”, there are
503 unobvious $[v'T']$ features over the source areas of DAs. Analysis of the temperature anomalies $[T']$
504 (Fig. 14g to i) reveals that below 300 hPa in the DA source regions before the outbreak of DEs, the
505 transient eddy heat transport weakens with time due to the “positive south and negative north” mode
506 of $[T']$, with maximum values of +2 K and -2 K, respectively. The temperature gradient is enhanced,
507 and the development of thermal wind leads to the enhancement of zonal winds. In addition, the
508 zonal winds in the middle-low levels are enhanced by the enhanced thermal wind, and the
509 momentum downward transport of zonal winds in the high levels further enhances the zonal winds
510 in the middle-low levels, which is conducive to the uplift of DAs. In addition, the convergence of
511 $[v'T']$ is not favorable to the development of the zonal winds above 300 hPa according to the thermal
512 wind formula, which hinders the momentum downward transport of the zonal winds in the high
513 levels in the DA source areas. Transient momentum transport has a greater effect on the variability
514 of zonal winds at high levels than transient eddy heat transport (Solomon, 1997).



515

516 **Figure 13.** Pressure-latitude section of (a-f) the mean transient heat [$v'T'$] (unit: $\text{m s}^{-1} \text{K}$) and (g-l)
 517 temperature anomalies [T'] (unit: K) averaged over 60°E to 80°E from “Day -3” to “Day +2” during
 518 DEs. The area surrounded by the black line is the range of the UR. The black dotted areas are
 519 significant at the 95% confidence level.



520

521 **Figure 14.** Pressure-latitude section of (a-f) the mean transient heat [$v'T'$] (unit: $\text{m s}^{-1} \text{K}$) and (g-l)
 522 temperature anomalies [T'] (unit: K) averaged over 70°E to 110°E from “Day -3” to “Day +2” during
 523 DEs. The area surrounded by the black line is the range of the source regions of DA. The black
 524 dotted areas are significant at the 95% confidence level.

525 The transient eddy flux transport characteristics during DEs show that the changes in $[u'v']$ and
 526 $[v'T']$ in the DA source regions and the active range of the UR cause both energy and mass
 527 divergence in North China, leading to diminishing zonal winds at high levels over North China
 528 during DEs. Meanwhile, the zonal winds in the middle-low levels weaken through vertical
 529 circulation due to the diminishing zonal winds at high levels, accompanied by southerly airflow on
 530 the south side of North China, facilitating the maintenance of DA concentrations.

531 Results of the previous analysis of the transient eddy flux transport characteristics indicate that
 532 the evolution of the UR may be explained by the divergence of transient eddy momentum and the
 533 convergence of transient eddy heat by the thermal wind formula. By using the equation for the quasi-
 534 geostrophic potential, this mechanism can also be verified (Gary, 2012) as follows:

$$535 \left[\nabla^2 + \frac{\partial}{\partial p} \cdot \left(\frac{f^2}{\sigma} \cdot \frac{\partial}{\partial p} \right) \right] \cdot \frac{\partial \Phi}{\partial t} = -f \cdot \vec{V}_g \cdot \nabla (\xi_g + f) - \frac{\partial}{\partial p} \cdot \left[-\frac{f^2}{\sigma} \cdot \vec{V}_g \cdot \nabla \left(-\frac{\partial \Phi}{\partial p} \right) \right] \quad (3)$$

536 Where f, Φ, \vec{V}_g denote the Coriolis parameter, geopotential height, and geopotential wind,
 537 respectively, and $\sigma = -\frac{RT}{p} \cdot \frac{\partial \ln \theta}{\partial p}$, $\xi_g = \frac{\partial V_g}{\partial x} - \frac{\partial U_g}{\partial y} = \frac{1}{f} \cdot \nabla^2 \cdot \Phi$ denote the static stability and the
 538 geostrophic relative vorticity, respectively. The first and second terms on the right-hand side of the
 539 equal sign of Eq. (3) have the following equivalence after simplification:

$$540 -\frac{\partial \Phi}{\partial t} \propto -\vec{V}_g \cdot \nabla \xi_g \quad (4)$$

$$541 \frac{\partial \Phi}{\partial t} \propto \frac{\partial}{\partial p} (-\vec{V}_g \cdot \nabla T) \quad (5)$$

542 On the trough-ridge lines, since the vorticity advection in Eq. (4) is zero and the height of the
 543 isobaric surface has no change, it only moves the ridges and does not change the strength of the
 544 ridges. The temperature advection in Eq. (5) mainly acts on the trough-ridge lines, which affects the
 545 development of the trough-ridge intensity but has no influence on its movement. In this study, we
 546 mainly consider the change in trough-ridge intensity during DEs by noting the effect of temperature
 547 advection. Temperature advection generally decreases with height, so it is sufficient to discuss only
 548 the signs of positive and negative temperature advection here. Analysis of transient eddy heat
 549 transport characteristics reveal that the transport of transient eddy heat in the UR region before the
 550 outbreak of DEs will lead to uneven temperature distribution, which in turn leads to the development

551 of warm advection. The analysis of the quasi-geostrophic potential theory shows that the
 552 development of warm advection in this region is beneficial to the development of the UR and to the
 553 establishment of a temperature ridge. After the outbreak of DEs, the temperature advection starts to
 554 weaken as $[v'T']$ no longer change within the area of UR, and thus, the intensity of UR also starts to
 555 weaken.

556 Meanwhile, the active development of temperature advection and vorticity advection due to
 557 transient eddy heat transport and the enhancement of UR, based on the Ω equation in the quasi-
 558 geostrophic theory (Gary, 2012), can also explain abnormal evolutions of the SH as well as the MC
 559 near the surface during DEs, as follows:

$$560 \quad \left(\nabla^2 + \frac{f^2}{\sigma} \cdot \frac{\partial^2}{\partial p^2} \right) \omega = \frac{f}{\sigma} \cdot \frac{\partial}{\partial p} [\vec{V}_g \cdot \nabla(\xi_g + f)] + \frac{1}{\sigma} \cdot \nabla^2 \left[\vec{V}_g \cdot \nabla \left(-\frac{\partial \Phi}{\partial p} \right) \right] \quad (6)$$

561 Where $f, \omega, \Phi, \vec{V}_g$ denote the coriolis parameter, vertical velocity, geopotential height, and
 562 geopotential wind, respectively, and $\sigma = -\frac{RT}{p} \cdot \frac{\partial \ln \theta}{\partial p}$, $\omega = \frac{dp}{dt}$, $\xi_g = \frac{\partial v_g}{\partial x} - \frac{\partial u_g}{\partial y} = \frac{1}{f} \cdot \nabla^2 \cdot \Phi$ and
 563 denote the static stability, vertical velocity, and geostrophic relative vorticity, respectively. After
 564 simplification, the first and second terms in Eq. (6) to the right of the equal sign are equivalent to
 565 the following:

$$566 \quad \omega \propto \frac{\partial}{\partial p} \cdot [-\vec{V}_g \cdot \nabla \xi_g] \quad (7)$$

$$567 \quad \omega \propto \vec{V}_g \cdot \nabla T \quad (8)$$

568 According to Eq. (7) and (8), the relationship between the vertical velocity and vorticity
 569 advection, temperature advection can be obtained, respectively. When the positive (negative)
 570 vorticity advection increases with height, the degree of counterclockwise (clockwise) rotation
 571 increases there, and the divergence (convergence) increases with height under the Coriolis force,
 572 which will produce the rising (sinking) motion. As the vorticity advection generally increases with
 573 height, it is sufficient to discuss only the signs of positive and negative vorticity advection here. In
 574 short, it is expressed as warm (cold) advection corresponding to the rising (sinking) motion. Before
 575 the outbreak of DEs, the northwest winds in front of the UR are strengthened along with the
 576 enhancement of the ridge, and the northwest winds correspond to the development of negative
 577 vorticity advection and cold advection. According to the quasi-geostrophic theory, a sinking motion

578 will be generated in front of the UR, which corresponds to pressurization at the surface and will
579 promote the establishment, strengthening, and southward movement of the SH. Similarly, the
580 Mongolian region is located in front of the trough at this time, controlled by the southwest winds.
581 Corresponding to the development of positive vorticity advection and warm advection here, an
582 upward motion will be generated in Mongolia, corresponding to depressurization at the surface,
583 which will promote the establishment, enhancement, and southward movement of MC there.
584 After the outbreak of DEs, both the SH and MC start to weaken by reversal impacts of the
585 temperature and vorticity advectons due to the weakening of the trough-ridge situation at a deeper
586 level due to the change in transient eddy transport conditions.

587 **4 Conclusions and discussions**

588 As a significant extra-equatorial mode of low-frequency atmospheric variability with a periodic
589 signal on the seasonal-scale in the NH and a dominant mode of seasonal to annual winter semi-
590 annual variability, the NAO has an influence on the DEs in East Asia, with significant regional
591 characteristics. The spring DA concentration in North China, a non-dust source region, exhibits high
592 values and strong annual variability. In this study, it is found that the boreal winter NAO negative
593 signal has a significant effect on the DEs in late spring in North China. Modulated by the NAO
594 negative signal, the tropospheric weather situation shows obvious anomalies, and the evolution
595 mechanism can be revealed from the perspective of transient eddy transport. And we use the NAOI
596 provided by Climate Prediction Center, which has been used in many studies (Zuo et al., 2015; Li
597 et al., 2021a; Yao et al., 2022), for correlation analysis with the NAOI used in this work and find a
598 good agreement with a correlation coefficient of 0.83 (figure not shown). This point indicates that
599 the result would not be affected by choice of NAOI.

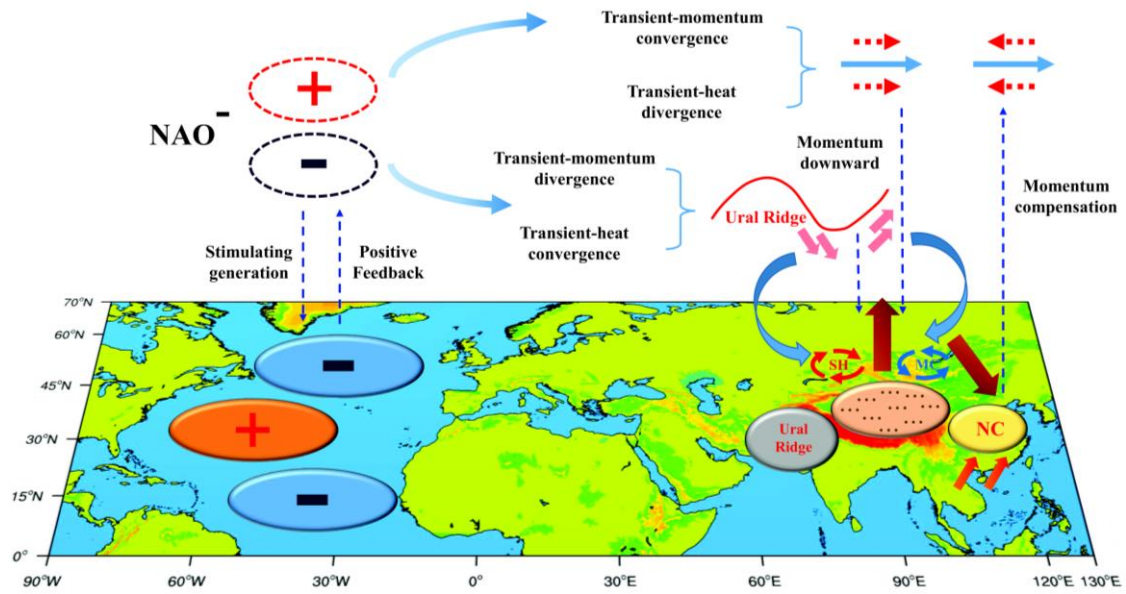
600 The boreal winter NAO negative signal through the “capacitor effect” of the North Atlantic
601 prolongs its atmospheric signal and influences the late spring DEs in North China. Under the
602 modulation impact of the upstream NAO negative signal, before the outbreak of DEs, the zonal
603 winds at 200 hPa above the DA source regions gradually increase, and the increased zonal winds in
604 the high levels transport the momentum to the zonal winds in the middle-low levels through the
605 vertical circulation, which is favorable to the uplift of DAs in the DA source areas. Meanwhile, the
606 zonal wind in the high levels is dominated by negative anomalies over North China, which has a

607 counter effect on the zonal winds in the middle-low levels by vertical circulation and facilitates the
608 maintenance of DA concentrations in North China. At 500 hPa, the trough-ridge situation is obvious
609 in Eurasia continent, the transport of northern cold air increases, and the southward invasion of the
610 cold air enhances the local surface wind speed in the DA source areas, leading to the uplift of DAs.
611 Near the surface, the SH and MC are established, enhanced and move southward, providing the
612 northerly airflow in front of the DA source regions, guiding the cold air at high latitudes southward
613 and acting favourably to the uplift and transmission of DAs to downstream North China.
614 Simultaneously, the south side of North China is dominated by southerly winds, which have a
615 blocking effect on the northerly airflow carrying DAs, facilitating the maintenance of DA
616 concentrations in North China. After the outbreak of DEs, both favorable atmospheric circulations
617 weaken gradually, and the DAs also start to decrease in North China.

618 Transient eddy has an important effect on the synoptic evolution of DEs through the
619 modulation of the NAO negative signal. In advance of the outbreak of DEs, the transient eddy
620 momentum at 300-500 hPa above the DA source region is dominated by convergence, and thus, the
621 zonal winds are enhanced. Meanwhile, the characteristics of transient eddy heat over the source
622 areas of DAs are mainly divergence, and the temperature anomalies are in a “positive south and
623 negative north” pattern, resulting in enhancement of the temperature gradient and the zonal winds.
624 Therefore, the zonal winds over the DA source regions increased by both the downward momentum
625 and thermal wind, which is favorable to the uplift of DAs. Within the area of UR, the transient eddy
626 momentum (heat) diverges (converges). The divergence of transient eddy momentum will lead to
627 the weakening of zonal winds, while the decrease in zonal winds and the reduction in temperature
628 gradients are both favoured by the convergence of transient eddy heat. The establishment and
629 growth of the meridional circulation are significantly influenced by both, which is conducive to the
630 development of the UR. The changes in upstream transient eddy flux transport cause both energy
631 and mass divergence in North China, resulting in diminishing zonal winds during DEs, facilitating
632 the maintenance of DA concentration. After the outbreak of DEs, the transient eddy flux transport
633 characteristics in both the Ural region and the source areas of DAs gradually weaken, and the effect
634 on the zonal winds within these regions is also reduced.

635 In this study, we examine how the late spring DEs in North China are impacted by the boreal

636 winter NAO negative signal and the corresponding synoptic mechanism from the view of transient
637 eddy flux transport on the weather-scale by selecting 9 DEs from 1980 to 2020. Our focus is
638 different from previous analyses of the NAO and the DEs in China on the seasonal climate-scale
639 (Tang et al., 2005; Zhao et al., 2012). The findings demonstrate that the boreal winter NAO negative
640 signal can store its signal in the North Atlantic as a triple-pole structure of “-, +, -”, which then
641 releases in late spring and affects the anomaly of atmospheric circulation in the troposphere by
642 transient eddy flux transport, as well as the zonal winds over the DA source regions and North China.
643 The thermal wind principle and the quasi-geostrophic theory can both explain this mechanism.
644 According to changes in the temperature gradient, the thermal wind principle directly explains
645 variations in the wind fields by the convergence and divergence of the thermal and momentum
646 transient eddy flux during DEs. The quasi-geostrophic theory can illustrate the abnormal formation
647 mechanism of synoptic systems in the whole troposphere during DEs. To be more precise, the
648 influence of the NAO negative signal in transient eddy fluxes causes temperature and vorticity
649 advection to develop; therefore, both mid-upper-level systems (the UR) and surface systems (the
650 SH and MC) strengthen, which can be explained by the height tendency equation and Ω equation,
651 respectively. The development of the above synoptic systems is favorable to the uplift of DAs in the
652 DA source areas and the transmission process to North China. Meanwhile, the southward airflow
653 on the south side of North China is favorable for maintaining the stable high value of DA
654 concentration for 1-2 days. The results are illustrated in Fig. 15, which displays the main synoptic
655 systems and transient eddy flux transport characteristics during DEs against the background of the
656 NAO negative phase. The result is helpful for a thorough comprehension of the mechanism
657 underlying the formation of DEs in eastern China and can serve as a point of reference for the
658 seasonal forecasting of DEs (Hong et al., 2019).



659

660 **Figure 15.** A schematic diagram of the main synoptic system anomalies and the role of transient
 661 eddy fluxes during DEs.

662 In addition to transient eddy, stationary eddy is also crucial in the development of atmospheric
 663 circulation, and the energy transport of stationary eddy differs from that of transient eddy, and has
 664 been applied to the analysis of atmospheric circulation processes such as the UR (Li et al., 2019c).
 665 To further advance our knowledge of dust hazards at the regional-scale in China, the impacts of
 666 various forms of energy transport on the synoptic systems of DEs in China will be concurrently
 667 considered in future work. Globally, the majority of DAs are found in arid and semi-arid areas, and
 668 the most widespread and longest-lasting source regions of DAs are located in the NH, forming a
 669 dust belt starting from the west coast of northern Africa and extending through the Arabian
 670 Peninsula and central and southern Asia to eastern China (Washington et al., 2003; Ginoux et al.,
 671 2012). In this study, the contribution of DA source regions in East Asia to the DEs in North China
 672 is mainly considered, but insufficient consideration is given to other DA source regions. In further
 673 studies, more study methods, such as numerical simulations, should be applied to fully explore the
 674 role of DA transport from the NH source areas of DAs to China and to elucidate the details of the
 675 development of DEs in China. In addition, the DEs in China are also impacted by other factors that
 676 are related to the NAO, such as the ENSO (Li et al., 2021b; Yang et al., 2022). Previous research
 677 has demonstrated that central Pacific El Niño events can stimulate the negative phase of the NAO
 678 by transmitting the Pacific signal to the north Atlantic through a “subtropical bridge” mechanism,
 679 while this association is insignificant for eastern Pacific El Niño events (Graf and Zanchettin, 2012).

680 Zhang et al. (2015) also discovered that the north Atlantic jet stream strengthens and the NAO
681 exhibits a positive phase during central Pacific La Niña events, whereas the north Atlantic jet stream
682 weakens and the NAO exhibits a negative phase during eastern Pacific La Niña events. In the
683 context of global warming, the SSTA of the tropical Pacific mainly exhibits a cold tongue mode,
684 and the positive phase of the cold tongue mode can easily stimulate central Pacific El Niño events
685 (Li et al., 2017). However, it is not clear how the connection between the NAO and ENSO will
686 evolve under global warming. Therefore, it is worthwhile to continue researching the synergistic
687 effect of the NAO and ENSO on the DEs in China. Furthermore, NAO is closely related to dust
688 activities in many regions, except for China. For example, Moulin et al. (1997) and Ginoux et al.
689 (2004) both indicated a strong correlation between NAO and dust activity in North Africa, using
690 satellite dataset and dust transport models, respectively. Banerjee et al. (2021) and Li et al. (2022)
691 emphasized the important role of NAO in the dust activities of South Asia and Central Asia. Hence,
692 future studies are needed to explore the relationship between the dust activities in other regions and
693 NAO.

694

695 **Code and data availability.** The MERRA-2 dust aerosol concentrations dataset can be downloaded
696 from <https://disc.gsfc.nasa.gov/datasets?project=MERRA-2> (last access: 12 January 2023). The
697 atmospheric reanalysis datasets, including the wind field, geopotential height field, sea level
698 pressure field, temperature field, and vertical velocity field can be downloaded from
699 <https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset> (last access: 12 January
700 2023). Our results can be made available upon request.

701 **Author contributions.** YL, FLX, and JF conceptualized and designed the research. FLX
702 synthesized and analysed the dataset. YL, FLX, and JF produced the figures. CL and WJZ
703 contributed to the MERRA-2 dataset retrieval. All the authors including MYD, WJS discussed the
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705

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