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Increased Importance of Aerosol-Cloud Interaction for Surface PM_{2.5} Pollution Relative to Aerosol-Radiation Interaction in China with the Anthropogenic Emission Reduction

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- Abstract: Surface fine particulate matter $(PM_{2.5})$ pollution can be enhanced by 21 feedback processes induced by aerosol-radiation interactions (ARI) and aerosol-22

cloud interactions (ACI). Many previous studies have reported enhanced PM_{2.5} 23 concentration induced by ARI and ACI for episodic events in China. However, 24 few studies have examined the changes in the ARI- and ACI-induced PM_{2.5} 25 enhancements over a long period, though the anthropogenic emissions have 26 changed substantially in the last decade. In this study, we quantify the ARI- and 27 ACI-induced $PM_{2.5}$ changes for 2013–2021 under different meteorology and 28 emission scenarios using the Weather Research and Forecasting model with 29 Chemistry (WRF-Chem) and investigate the driving factors for the changes. Our 30 results show that in January 2013, when China suffered from the worst PM_{2.5} 31 pollution, the PM_{2.5} enhancement induced by ARI in eastern China (5.59 μ g m⁻³) 32 is larger than that induced by ACI (3.96 μ g m⁻³). However, the ACI-induced 33 PM_{2.5} enhancement shows a significantly smaller decrease ratio (51%) than the 34 ARI-induced enhancement (75%) for 2013–2021, making ACI more important 35 for enhancing PM_{2.5} concentrations in January 2021. Our analyses suggest that 36 the anthropogenic emission reduction plays a key role in this shift. Owing to only 37 anthropogenic emission reduction, the ACI-induced PM_{2.5} enhancement 38 decreases by 43% in January, lower than the decrease ratio of the ARI-induced 39 enhancement (57%). The relative change in ARI- and ACI-induced PM_{2.5} 40 enhancement in July is similar to the pattern observed in January caused by 41 anthropogenic emission reduction. The primary reason for this phenomenon is 42 that the decrease of ambient PM_{2.5} for 2013–2021 causes a disproportionately 43 small decrease of liquid water path (LWP) and increase of cloud effective radius 44

(Re) under the condition of high PM_{2.5} concentration. Therefore, the surface solar 45 radiation attenuation (and hence boundary layer height reduction) caused by ACI 46 decreases slower than that caused by ARI. Moreover, the lower decrease ratio of 47 the ACI-induced PM_{2.5} enhancement is dominated by the lower decrease ratio of 48 ACI-induced secondary PM_{2.5} component enhancement, which is additionally 49 caused by smaller decrease ratio of the air temperature reduction and relative 50 humidity (RH) increase. Our findings indicate that, with the decrease of ambient 51 PM_{2.5}, the ACI-induced PM_{2.5} enhancement inevitably becomes more important. 52 This needs to be considered in the formulation of control policies to meet the 53 national PM_{2.5} air quality standard. 54

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56 1. Introduction

Aerosol-radiation interaction (ARI) and aerosol-cloud interaction (ACI) are important ways for aerosols to influence the climate (Rosenfeld et al., 2014; Seinfeld et al., 2016; Liu et al., 2018; Bellouin et al., 2020; Forster et al., 2021). The ARI represents the direct scattering and absorption of solar and infrared radiation by atmospheric aerosols; the ACI denotes the modification effects on the lifetime, physical and optical properties of clouds by atmospheric aerosols.

Previous studies have documented that both ARI and ACI have important contributions to inhibiting the planetary boundary layer height (PBLH), cooling the near-surface air temperature, and increasing the relative humidity (RH) (Wang et al., 2014; Ding et al., 2016; Liu et al., 2018). Moreover, ACI has extra contributions to changing precipitation and cloud chemistry (Zhao et al., 2017;

Zhang et al., 2018). These feedbacks and changes are mostly conducive to 68 increasing the haze severity (Wang et al., 2015; Zhang et al., 2018; Liu et al., 69 2018; Zhou et al., 2019; Zhang et al., 2020; Xiong et al., 2022; Lin et al., 2022). 70 So far, numerous studies have evaluated the fine particulate matter $(PM_{2.5})$ 71 enhancements caused by the decreases of downward shortwave radiation at the 72 surface (SWDOWN), PBLH, near-surface air temperature and precipitation, and 73 by the increase of RH, especially during the severe PM_{2.5} pollution in China (Le 74 et al., 2020). Zhang et al. (2015) and Zhang et al. (2018) quantified that the ARI 75 caused the PM_{2.5} increase by 8.3 μ g m⁻³ in 2013 and 4.0 μ g m⁻³ in 2014. However, 76 both positive and negative contributions of ACI to the PM_{2.5} have been revealed 77 (Forkel et al., 2012; 2015; Kong et al., 2015; Zhang et al., 2015; Zhang et al., 78 2018). Zhao et al. (2017) pointed out that the negative contribution of ACI shown 79 in some studies (Gustafson et al., 2007; Gong et al., 2015) is due to the relatively 80 high prescribed values of cloud droplet number concentration (CDNC) or cloud 81 condensation nuclei (CCN), which could not represent a rather clean condition. 82 Besides, there might be a discrepancy between the enhancements induced by ARI 83 and ACI for primary and secondary PM_{2.5} components. The primary PM_{2.5} 84 components are mainly influenced by physical transport, while the secondary 85 PM_{2.5} components are also affected by chemical formation and decomposition. 86 The lower air temperature and higher RH can help to condense gas precursors 87 into secondary aerosol particles (Donahue et al., 2012) and strengthen aqueous 88 and heterogeneous reactions (Liu et al., 2018). On the contrary, Wu et al. (2020) 89

pointed out that the ARI may also suppress the formation of secondary aerosol 90 because the atmospheric oxidizing capacity and photolysis rate can be changed 91 during the scattering and absorbing of solar radiation. Therefore, not all changes 92 of meteorological factors are conducive to the increase of secondary PM_{2.5}, and 93 these positive and negative contributions would influence the variations of 94 primary and secondary PM_{2.5} components. In a word, although the ARI and ACI 95 processes mostly lead to a net PM_{2.5} increase, the relative increasing rates of 96 different aerosol components are fairly complex due to various physical and 97 chemical processes. 98

In recent years, the Chinese government has successively proclaimed the 99 policies of "Air pollution prevention and control action plan" and "Three-year 100 action plan to win the blue sky defense war", including the promotion of ultra-101 low emission technologies in industrial sectors, the implementation of traffic 102 restriction policies, and the transition from coal to gas in residential cooking. As 103 a result, the annually averaged PM2.5 concentrations in Beijing-Tianjin-Hebei 104 region, Yangtze River Delta (YRD) and Pearl River Delta have been reduced by 105 39.6%, 34.2%, and 27.7% from 2013 to 2017, respectively (Wang et al., 2017; 106 Ding et al., 2019a). Meanwhile, sulfate and organic components have respectively 107 decreased by 76% and 70% in the North China Plain (NCP) (Wang et al., 2019). 108 Considering the sharp anthropogenic emission reduction and PM_{2.5} concentration 109 decrease, Moch et al. (2022) found that the decrease in mean PM_{2.5} concentration 110 from the winter months of 2012–2013 to the winter months of 2016–2017 in 111

112 China weakened the cloud–snowfall–albedo feedback induced by the aerosol 113 semi-direct effect. For air quality, Zhang et al. (2022) found that the decrease in 114 black carbon from 2013 to 2017 in China reduced the enhanced $PM_{2.5}$ 115 concentration induced by the ARI by 1.8 µg m⁻³ in January and 0.3 µg m⁻³ in 116 July.

However, none of the previous studies have systematically evaluated the 117 changes in enhanced PM_{2.5} concentrations through ARI and ACI in China at the 118 long-term scale. Besides, the driving force and physical mechanisms for the 119 changes are also yet to be explored. In this study, we try to investigate the 120 enhanced PM_{2.5} concentrations induced by ARI and ACI in 2013 over China, the 121 impact of the changes in the meteorological background and anthropogenic 122 emission from 2013 to 2021 on ARI- and ACI-induced PM2.5 enhancements and 123 its components. Furthermore, the causes of PM_{2.5} enhancement changes are 124 analyzed. 125

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127 **2. Model and experimental design**

128 **2.1 Model configuration**

The Weather Research and Forecasting model with Chemistry (WRF-Chem) version 4.2 has been used in this study. The model domain covers the whole land area of China with a horizontal resolution of 27 km × 27 km. There are 24 vertical layers from surface to 50 hPa, with denser layers in the planetary boundary layer (PBL). Major physical options used in the model include the Morrison double-

moment scheme (Morrison et al., 2009), the Rapid Radiative Transfer Model for 134 GCMs (RRTMG) shortwave and longwave radiative transfer schemes (Iacono et 135 al., 2008), the Eta similarity surface-layer scheme (Janjic et al., 1994), the Noah 136 land-surface model with multiple parameterization options (Niu et al., 2011), the 137 Bougeault and Lacarrere PBL scheme (Bougeault et al., 1989), and the Grell-138 Freitas ensemble cumulus scheme (Grell et al., 2014). For chemistry, we employ 139 the SAPRC-99 (Statewide Air Pollution Research Center mechanism, version 140 1999) as the gas-phase chemistry mechanism (Carter et al., 2000). The aerosol 141 module used in the study is the Model for Simulating Aerosol Interactions and 142 Chemistry (MOSAIC) (Zaveri et al., 2008), which includes all major aerosol 143 processes and represents the aerosol size distribution with 8 size bins. The 144 MOSAIC also incorporates the one-dimensional Volatility Basis Set (VBS) 145 framework that improves the simulation of secondary organic aerosol 146 (Shrivastava et al., 2011). Rates for photolytic reactions are calculated using the 147 Fast-J photolysis rate scheme (Wild et al., 2000). Additionally, we noted the poor 148 ability of nitrate simulation in the WRF-Chem model. We improved the nitrate 149 simulation by addressing the HONO underestimation in the model (Wang et al., 150 2015; Xue et al., 2020). More detailed information can be found in Section 1 in 151 the Supplementary Information. The meteorological initial and boundary 152 conditions are derived from the National Centers for Environmental Prediction 153 Final Analysis reanalysis data with resolutions of 1.0° \times 1.0° and 6 h 154 (http://rda.ucar.edu/datasets/ds083.2/). The chemical initial and boundary 155

conditions are acquired from the simulation results of the National Center for Atmospheric Research's Community Atmosphere Model with Chemistry (CAM-Chem, before 2020, <u>https://www.acom.ucar.edu/cam-chem/cam-chem.shtml</u>) and the Whole Atmosphere Community Climate Model (WACCM, after 2020, *https://www.acom.ucar.edu/waccm/download.shtml*) with resolutions of $0.94^{\circ} \times 1.25^{\circ}$ and 6 h.

The anthropogenic emission data in China for 2013-2021 are obtained from 162 the ABaCAS-EI (Air Benefit and Cost and Attainment Assessment System-163 Emission Inventory) developed by Tsinghua University (Li et al., 2023). Specific 164 emissions of SO₂, NO_x (NO and NO₂), NH₃, PM_{2.5} and VOCs in 2013 and 2021 165 are presented in Table S2. The emission data in other countries are obtained from 166 the IIASA emission inventory for 2015 (Zheng et al., 2019; Gao et al., 2020). The 167 biogenic emission is calculated online by the Model of Emissions of Gases and 168 Aerosols from Nature (MEGAN) v2.04 (Guenther et al., 2006). The dust emission 169 is calculated online by the Goddard Chemistry Aerosol Radiation and Transport 170 (GOCART) model coupled with the MOSAIC aerosol schemes. (Zhao et al., 2010; 171 2013) 172

To account for the physical processes of aerosol-radiation-cloud feedback on meteorological factors and $PM_{2.5}$, the four-dimensional data assimilation (FDDA) is not utilized in our simulations. Aerosol optical depth, single scattering albedo, and asymmetry factors are calculated based on the Lorenz-Mie theory as a function of wavelength and three-dimensional location (Fast et al., 2006). Then,

the aerosol optical properties are transferred to the RRTMG radiation scheme to 178 calculate the impact of aerosol on the radiation balance (Iacono et al., 2008). As 179 for the ACI, activated aerosols are calculated by the Abdul-Razzak and Ghan 180 scheme (Abdul-Razzak & Ghan, 2002) and are then coupled with the Morrison 181 two-moment cloud microphysics scheme (Morrison et al., 2009). The prognostic 182 cloud water content calculated by the Morrison scheme is input into the RRTMG 183 scheme for the radiative transfer calculation. It should be noted that the prognostic 184 aerosol does not influence cumulus clouds and ice nucleation in the model. The 185 prognostic aerosol can only be activated as CCN. It does not directly contribute 186 to ice nucleation, which is only influenced by air temperature and supersaturation 187 (Kanji et al., 2017). Furthermore, CCN would influence grid-scale clouds. 188 However, limited by the horizontal resolution of $27 \text{ km} \times 27 \text{ km}$, cumulus clouds 189 could not be resolved in this grid. 190

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192 **2.2 Experimental design**

As described in the introduction, the purpose of this study is to quantify the contributions of ARI and ACI to $PM_{2.5}$ concentrations under different emission scenarios. The simulation periods are January and July, 2013 and 2021, representing winter and summer, respectively.

As shown in Table 1, the enhanced $PM_{2.5}$ concentration induced by ARI and ACI could be obtained via comparing the simulation results with ARI or ACI turned on or off. By setting the 'aer ra feedback' to 0 in the model, the ARI could

200	be turned off, which means that the interaction between aerosol and radiation is
201	prevented. The ACI could be turned off through prescribing the CDNC of 25 cm^{-3}
202	in the microphysical scheme, which represents average level in the pristine air
203	(Bennartz et al., 2007). For example, the 13M13E_B, 13M13E_NR and
204	13M13E_NRC shown in Table 1 represent the cases with ARI and ACI effects,
205	without ARI effect, and without ARI and ACI effects in 2013, respectively. The
206	ARI-induced PM _{2.5} enhancement could be acquired by comparing the results of
207	13M13E_B and 13M13E_NR; the ACI-induced $PM_{2.5}$ enhancement could be
208	obtained by comparing the results of 13M13E_NR and 13M13E_NRC.

Table 1. Case definition under different meteorological backgrounds and
anthropogenic emissions with ARI or ACI turned on or off.

Case	Meteorology	Emission	ARI	ACI
13M13E_B	Jan & Jul, 2013	Jan & Jul, 2013	on	on
13M13E_NR	Jan & Jul, 2013	Jan & Jul, 2013	off	on
13M13E_NRC	Jan & Jul, 2013	Jan & Jul, 2013	off	off
21M13E_B	Jan & Jul, 2021	Jan & Jul, 2013	on	on
21M13E_NR	Jan & Jul, 2021	Jan & Jul, 2013	off	on
21M13E_NRC	Jan & Jul, 2021	Jan & Jul, 2013	off	off
21M21E_B	Jan & Jul, 2021	Jan & Jul, 2021	on	on
21M21E_NR	Jan & Jul, 2021	Jan & Jul, 2021	off	on
21M21E_NRC	Jan & Jul, 2021	Jan & Jul, 2021	off	off

In order to obtain the changes of the ARI- and ACI-induced PM_{2.5} 213 enhancements from 2013 to 2021 caused by the variation of meteorological 214 background and by the reduction of anthropogenic emission, the control 215 experiments (21M13E; three experiments: with ARI and ACI turned on, with ARI 216 turned off and ACI turned on, and with ARI and ACI turned off) are designed 217 with the meteorological background in 2021 and the anthropogenic emission in 218 2013. In the following, the 13M13E, 21M13E and 21M21E represent the cases 219 with meteorological background and anthropogenic emission in 2013, 220 meteorological background in 2021 and anthropogenic emission in 2013, and 221 meteorological background and anthropogenic emission in 2021, respectively. 222 Taking the ARI for example, the change of the ARI-induced PM_{2.5} enhancement 223 from the variation of meteorological background is obtained by subtracting the 224 ARI-induced PM_{2.5} enhancement in the 13M13E from that in the 21M13E [Eq. 225 (1)]; the change in the ARI-induced $PM_{2.5}$ enhancement from the reduction of 226 anthropogenic emission is obtained by subtracting the ARI-induced PM_{2.5} 227 enhancement in the 21M13E from that in the 21M21E [Eq. (2)]. The calculations 228 for the ACI-induced $PM_{2.5}$ enhancement are similar, as shown in Eqs. (3) and (4). 229 230

$$ARI_{met} = (21M13E_B - 21M13E_NR) - (13M13E_B - 13M13E_NR),$$
(1)
$$ARI_{emi} = (21M21E_B - 21M21E_NR) - (21M13E_B - 12M13E_B - 12M13E_NR) - (21M13E_B - 12M13E_NR) - (21M13E_NR) - (21M12R) -$$

$$21M13E_NR),$$
(2)

$$ACI_{met} = (21M13E_NR - 21M13E_NRC) - (13M13E_NR - 13M13E_NRC),$$
(3)

$$ACI_{emi} = (21M21E_NR - 21M21E_NRC) - (21M13E_{NR} - 121M13E_{NR}),$$
(4)

where the ARI_{met} (ACI_{met}) and ARI_{emi} (ACI_{emi}) represent the changes of the enhanced $PM_{2.5}$ concentration induced by the ARI (ACI) from 2013 to 2021 caused by the variation of meteorological background and reduction of anthropogenic emission, respectively.

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236 **2.3 Model evaluation**

To determine the accuracy and reliability of simulation results, the 13M13E_B and 21M21E_B simulations (Table 1) are verified by using the observations. The variables checked in the evaluation contain the concentration and components of surface $PM_{2.5}$ and the meteorological factors, including air temperature (T2) and water vapor mixing ratio (Q2) at 2 m, wind speed (WS10) and wind direction (WD10) at 10 m, as well as cloud fraction (CF) and liquid water path (LWP).

Simulated temperature, wind, and water vapor are compared with the observations from the National Climate Data Center (NCDC, http://www.ncdc.noaa.gov/). The evaluation shows that the absolute errors for T2, WS10 and Q2 are respectively less than 1°C, 1 m s⁻¹ and 0.1 g kg⁻¹ (Table S3),

and those for WD10 are near or less than 10°. For the simulation utilizing the 248 FDDA, the benchmarks of biases proposed by Emery et al. (2001) are 0.7°C, 0.6 249 m s⁻¹, 1.0 g kg⁻¹ and 20° for the T2, WS10, Q2 and WD10, respectively. The 250 biases of the T2 and WS10 in our simulations have exceeded the benchmarks, 251 while they are still similar to or smaller than in most previous WRF-Chem 252 applications without FDDA over East Asia (Zhang et al., 2015; Zhao et al., 2017). 253 Simulated CF and LWP are compared with the data from the Moderate-254 resolution Imaging Spectroradiometer (MODIS) aboard the Terra satellite 255 (http://ladsweb.nascom.nasa.gov/data/search.html). Overall, the CF and LWP 256 simulations are in good agreement with the observations (Figs. S1 and S2). The 257 high values of observed CF and LWP primarily appear in the south of China in 258 January 2013 and 2021, and high value of CF also occurs in the NCP region. The 259 high values of CF and LWP in the south of China could be reproduced in the 260 simulation, while the CF in NCP region is slightly underestimated, which could 261 be owing to imperfect cloud parameterization scheme in the model or 262 uncertainties in the retrieval of MODIS datasets. In July 2013 and 2021, part of 263 high value area of observed LWP and most high value area of observed CF appear 264 in the southwestern China and the east coast of China, which also could be 265 captured by the simulation. In addition, high LWP also appears in Gansu and 266 Sichuan Provinces in July 2013 and in the YRD and Sichuan-Chongqing in July 267 2021, which are both well reproduced. The distributions of low values of 268 observed CF and LWP in January and July of 2013 and 2021 are also well 269

simulated.

The simulation of surface $PM_{2.5}$ concentration is compared with the data 271 China National from the Environmental Monitoring Center 272 (https://quotsoft.net/air/). The evaluation shows that both the regional average 273 value and spatial distribution of simulated PM_{2.5} concentration are in good 274 agreement with the observational data. As shown in Fig. S3, the biases of regional 275 average PM_{2.5} concentration in January and July of 2013 and 2021 are below 5 276 µg m⁻³ in eastern China. In this study, the eastern China includes most of Chinese 277 provinces except Xinjiang, Xizang, Ningxia, Qinghai, Gansu, Inner-Mongolia 278 and Heilongjiang Provinces, which contains most polluted regions in China. In 279 addition, the distributions of high simulated PM_{2.5} concentration are also 280 consistent with the observations, such as the NCP region, the YRD region, and 281 the Sichuan-Chongqing area. 282

The simulated $PM_{2.5}$ components are also reasonable compared with the 283 observation data. Given that the PM_{2.5} components data in 2013 are very rare, we 284 sourced three sets of data in January 2013, respectively in Beijing (Mattias et al., 285 2017), Handan (Zhang et al., 2015), and Shanghai (Li et al., 2015). The results 286 show that the simulated $PM_{2.5}$ components are reproduced well generally. 287 Specifically, the simulated PM_{2.5} components are larger than half of observational 288 PM_{2.5} components and less than the double observational PM_{2.5} components (Fig. 289 S4). Observed PM_{2.5} components data in 2021 are from a data sharing platform 290 for the NCP region and its surrounding areas (Wang et al., 2019). Fig. S5 shows 291

the ratios of observation to simulation of ammonium, sulfate, BC and organic 292 carbon (OC) in January and July 2021. The results exhibit that almost all the ratios 293 of PM_{2.5} components are located between 0.5 and 2.0, while some ratios of sulfate 294 in January, part of OC in January, and BC in January and July are beyond this 295 range. But these discrepancies will not cause obvious uncertainties in this 296 research. Specifically, considering BC low hygroscopicity, BC overestimations 297 in January and July 2021 probably bring low uncertainties in ACI-induced PM_{2.5} 298 enhancement. To test the impact of simulated BC overestimation in January 2021 299 on ARI-induced PM_{2.5} enhancement, we utilize another set of particulate matter 300 (PM) source profiles (Liu et al., 2018) and conduct the simulations for January 301 2021. The results indicate that the ratios of simulated BC concentration to 302 observational BC concentration are within 2.0. The ARI-induced $PM_{2.5}$ 303 enhancement is 1.33 μ g m⁻³, which shows a negligible difference from the result 304 (1.37 µg m⁻³) obtained using original PM source profiles (Fig. S6). In view of the 305 results in January 2021, the BC overestimation in July 2021 also probably brings 306 low uncertainties in ARI-induced PM_{2.5} enhancement. However, the reduction in 307 simulated BC concentration in January 2021 does not necessarily mean that this 308 set of PM source profiles is better than the original PM source profiles, because 309 this might be an accidental result caused by other uncertainties. For example, the 310 current model underestimates the wet deposition of BC due to neglecting the 311 increase in BC hygroscopicity brought about by BC aging. If this process is 312 considered in the model, simulated BC concentrations might be better reproduced 313

using original PM source profiles. Therefore, in this study, we still use the original 314 results for our analysis. The model also underestimates the sulfate concentration 315 and overestimates the part of OC concentration in January 2021. We think that 316 neither of these discrepancies will cause significant uncertainties in ARI- and 317 ACI-induced $PM_{2.5}$ enhancement. Specifically, the majority of aerosol is 318 scattering aerosol and the PM_{2.5} concentration in January 2021 is reproduced well. 319 Therefore, we think that the impact of the sulfate underestimation on the ARI-320 induced PM_{2.5} enhancement would be largely offset by the overestimation of 321 other scattering aerosol components, such as OC. In addition, the OC 322 overestimation should not bring significant uncertainty to ACI-induced PM_{2.5} 323 enhancement either, because of the relatively lower hygroscopicity of OC 324 compared to secondary inorganic aerosol. The underestimation of sulfate 325 simulation in January 2021 also minimally affects ACI-induced PM_{2.5} 326 enhancement because the sulfate underestimation mainly occurs in the North 327 China Plain, where cloud cover is low. In contrast, in southern cities such as 328 Mianyang city in Sichuang province where there is plenty of cloud cover, the 329 sulfate simulation was 4.19 μ g m⁻³ in January 2021, which is very close to the 330 observed value of 4.25 μ g m⁻³ (Lin et al., 2022). 331

In summary, the performances of WRF-Chem model on the simulations of air quality and meteorological factors over China are fairly good, and the differences between simulations and observations are reasonable and acceptable.

336 3. Results and discussion

337 3.1 The impacts of ARI and ACI feedbacks on the meteorological 338 factors and PM_{2.5} concentrations in 2013

We comprehensively discuss the effects of ARI and ACI on the regional 339 meteorological factors and PM_{2.5} concentrations in January and July 2013. Fig. 1 340 shows the impacts of ARI and ACI feedbacks on the SWDOWN, PBLH, T2, RH 341 and PM_{2.5} concentration in January and July 2013. For the ARI, the SWDOWN 342 decreases by 18.37 and 7.71 W m⁻² in January and July 2013 in eastern China, 343 respectively. Since the incoming solar radiation reaching the ground is reduced 344 by PM, the T2 and PBLH in eastern China further decrease by 0.30 and 0.03°C, 345 and 28.34 and 8.75 m in January and July 2013, respectively. Meanwhile, the RH 346 increases by 0.46% and 0.08% due to the water vapor accumulation in the 347 suppressed planetary boundary layer (Liu et al., 2018). Ultimately, the PM_{2.5} 348 concentration increases by 5.59 and 0.13 μ g m⁻³ in eastern China (Fig. 1d). For 349 the ACI, affected by the cloud modified by the aerosol, the SWDOWN, T2 and 350 PBLH decrease by 7.54 and 14.03 W m⁻², 0.18 and 0.17 °C, and 10.89 and 24.31 351 m, and the RH increases by 0.34% and 0.37% in January and July 2013 in eastern 352 China, respectively. As a result, the PM_{2.5} concentration increases by 3.96 and 353 $2.20 \ \mu g \ m^{-3}$ in eastern China. Fig. 2 shows that the regional averaged values and 354 spatial distributions of PM_{2.5} enhancements induced by ARI and ACI in 2013 are 355 in line with the results of previous studies (Zhao et al., 2017; Zhang et al., 2018). 356 Overall, the enhanced PM_{2.5} concentration induced by ARI is greater than 357

that induced by ACI in January 2013, which is due to the relatively low LWP in the high $PM_{2.5}$ concentration area. But it shows the opposite situation in July 2013, owing to the plentiful cloud in warm July (Zhang et al., 2018).



Fig. 1. The regional averaged reductions of (a) downward shortwave radiation at the surface (SWDOWN), (b) planetary boundary layer height (PBLH), (c) 2-m air temperature (T2), and increments of (d) relative humidity (RH) and (e) fine particulate matter ($PM_{2.5}$) concentration induced by the aerosol-radiative interaction (ARI) and aerosol-cloud interaction (ACI) in January and July 2013

in eastern China, the error bars represent the standard deviations for different meteorological factors and $PM_{2.5}$ concentration induced by ARI and ACI in January and July 2013 in eastern China.

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372 **3.2** The shift of the PM_{2.5} enhancements induced by ARI and ACI

As discussed in section 3.1, the enhanced $PM_{2.5}$ concentrations induced by ARI and ACI exhibit obvious spatial and seasonal variations in 2013. However, due to the variations of meteorological background and the reduction of anthropogenic emission from 2013 to 2021, their joint and individual impacts on the ARI- and ACI-induced $PM_{2.5}$ enhancements are still unclear. Fig. 2 shows the ARI- and ACI-induced $PM_{2.5}$ enhancements in the experiments of 13M13E, 21M13E and 21M21E in January and July.

As shown in Fig. 2, from 2013 to 2021, the PM_{2.5} concentration 380 enhancement induced by the ARI in January decreases by 75% (from 5.59 to 1.37 381 μg m⁻³). Zhang et al. (2022) also found that the ARI effect over China weakens 382 during 2013–2017, and the ratio of PM_{2.5} enhancement to the ambient PM_{2.5} 383 concentration decreases from 5.40% to 3.30%. The decline of the $PM_{2.5}$ 384 enhancement ratio (2.10%) is lower than that in this study (3.26%) due to the 385 continuous emission reduction after 2017. On the other hand, the ACI-induced 386 $PM_{2.5}$ enhancement decreases by 51%, from 3.96 to 1.93 µg m⁻³. With lower 387 percentage decrease in the PM_{2.5} enhancement, the ACI-induced PM_{2.5} 388 enhancement exceeds the ARI-induced PM_{2.5} enhancement in January 2021. In 389

July, both the ARI- and ACI-induced PM_{2.5} enhancements show decreasing trends,
the percentage decreases of the ARI-induced (31%) and ACI-induced (34%)
PM_{2.5} enhancements are very close.

The contributions of the meteorological background variation and 393 anthropogenic emission reduction to the changes of the ARI- and ACI-induced 394 PM_{2.5} enhancements are different. Due to the meteorological background change 395 from 2013 to 2021, the ARI- and ACI-induced PM2.5 enhancements show 396 different characteristics in January and July. It can be seen that, the ARI-induced 397 $PM_{2.5}$ enhancement decreases from 5.59 to 3.15 µg m⁻³ with the variation of 398 meteorological background in January, while it increases from 0.13 to 0.27 μ g m 399 $^{-3}$ in July. The primary reason for the difference is that the ambient PM_{2.5} 400 concentration decreases in January but increases in July caused by different 401 meteorological backgrounds. The ACI-induced PM_{2.5} enhancement changes 402 slightly from 3.96 to 3.40 μ g m⁻³ in January due to the variation of meteorological 403 background. However, it increases from 2.20 to 3.31 µg m⁻³ in July, because of 404 a large aerosol-induced LWP increase in July 2021. 405

Considering the reduction of anthropogenic emission, the ARI- and ACIinduced $PM_{2.5}$ enhancements both show declining trends (middle and right columns in Fig. 2). The ARI-induced $PM_{2.5}$ enhancement decreases by 56.51% in January, from 3.15 to 1.37 µg m⁻³. The ACI-induced $PM_{2.5}$ enhancement decreases by 43.24%, from 3.40 to 1.93 µg m⁻³. The percentage decrease of the ACI-induced $PM_{2.5}$ enhancement is lower than that of the ARI-induced in January, which also occurs in July, when the ARI-induced $PM_{2.5}$ enhancement decreases by 66.67% (from 0.27 to 0.09 µg m⁻³) and ACI-induced $PM_{2.5}$ enhancement decreases by 56.50% (from 3.31 to 1.44 µg m⁻³).

In summary, both the variation of meteorological background and the 415 reduction of anthropogenic emission play important roles in changing the ARI-416 and ACI-induced PM2.5 enhancements. However, the decreases of ARI- and ACI-417 induced PM_{2.5} enhancements from 2013 to 2021 are primarily attributed to the 418 reduction of anthropogenic emission. In addition, the percentage decrease of the 419 ACI-induced PM_{2.5} enhancement is lower than that induced by the ARI in both 420 January and July. Therefore, the ACI-induced PM_{2.5} enhancement has become 421 increasingly important in both January and July from 2013 to 2021. 422



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Fig. 2. The distributions of enhanced $PM_{2.5}$ concentrations (unit: $\mu g m^{-3}$) induced by the ARI (first and third rows) and the ACI (second and fourth rows) in January (first and second rows) and July (third and fourth rows) in the experiments of 13M13E (left column), 21M13E (middle column) and 21M21E (right column).

3.3 The changes in the enhanced PM_{2.5} components induced by the

431 **ARI and the ACI**



of the ACI-induced $PM_{2.5}$ enhancement is lower than that induced by the ARI in both January and July. We find that the difference is primarily from the different percentage decreases of the secondary $PM_{2.5}$ component enhancements induced by ARI and ACI.

Fig. 3 shows the percentage decreases of ARI- and ACI-induced PM_{2.5} 437 component enhancements caused by the anthropogenic emission reduction in 438 January and July. It can be seen that the difference between the percentage 439 decreases of the ARI- and ACI-induced enhancements of sulfate, nitrate, 440 ammonium and OC is larger than those of BC and other inorganic aerosol (OIN). 441 OIN refers to inorganic compositions other than sulfate, nitrate, ammonium, and 442 BC. These compositions include sea salt and mineral elements. Specifically, the 443 difference between the percentage decreases for sulfate, nitrate, ammonium and 444 OC enhancements are 34.66%, 40.20%, 13.80% and 25.65% respectively, and the 445 values for OIN and BC are 8.67% and 6.67%. This result indicates that the lower 446 decrease in the ACI-induced PM_{2.5} concentration enhancement is mainly due to 447 the small decrease in the ACI-induced enhancements of secondary PM_{2.5} 448 components. The main causes will be illustrated in section 3.4. 449



Fig. 3. Percentage decreases (21M13E-21M21E)/21M13E) of the spatial and temporal average ARI- and ACI-induced PM_{2.5} component enhancements in eastern China in January and July caused by the anthropogenic emission reduction from 2013 to 2021.

457 **3.4 Causes for the increased importance of ACI**

458 **3.4.1 Explanation from the perspective of meteorological changes**

As discussed in previous studies, the decrease of PBLH and T2 and the increase of RH are tightly related to the ARI- and ACI-induced $PM_{2.5}$ enhancements (Donahue et al., 2012; Ding et al., 2016; Moch et al., 2022; Liu et al., 2018). From the perspective of the ARI- and ACI-induced changes in meteorological factors, we investigate the primary reasons for the increasing importance of the ACI-induced $PM_{2.5}$ enhancement under the reduction of anthropogenic emission.

Fig. 4 shows the percentage decreases of ARI- and ACI-induced decrease of SWDOWN, PBLH and T2 and increase of RH due to the reduction of

anthropogenic emission from 2013 to 2021. In January, in order to illustrate the 468 reasons of the lower percentage decrease in the ACI-induced PM_{2.5} enhancement 469 clearly, we take the highly polluted NCP region as an example. As shown in Fig. 470 4c, the percentage decreases of the ACI-induced decline of SWDOWN (19%), 471 PBLH (27%) and T2 (20%) and the increase of RH (24%) are lower than those 472 of the ARI-induced decline of SWDOWN (29%), PBLH (39%) and T2 (32%) and 473 the increase of RH (36%). The phenomenon in July is similar with that in January 474 (Figs. 4a and b). To our knowledge, the PBLH and T2 are determined by the 475 incoming solar radiation at the surface, and they can strongly influence the RH. 476 So the lower percentage decrease in the ACI-induced reductions of PBLH and T2 477 and increase of RH could be explained by the lower percentage decrease in the 478 ACI-induced SWDOWN reduction. 479

We believe that the relatively lower decrease in the ACI-induced SWDOWN 480 reduction is inevitable under high ambient PM_{2.5} concentration. As shown in Fig. 481 S8b, the SWDOWN reduction induced by the ARI shows a linear relationship 482 with the decline of ambient $PM_{2.5}$ concentration, which is similar with Zhou et al. 483 (2018). In contrast, the decrease in the SWDOWN reduction induced by the ACI 484 is lower than that by the ARI due to the ambient PM_{2.5} decrease in the high PM_{2.5}-485 polluted regime. The reason is that the decrease in ambient PM_{2.5} concentration 486 directly weakens the ARI-induced SWDOWN reduction, but it has only a minor 487 impact on the ACI-induced SWDOWN reduction because the change in LWP and 488 cloud effective radius (Re) induced by ACI is not sensitive to PM2.5 reduction in 489

the PM_{2.5}-polluted regime. In our simulations, the influence of ACI-induced Re 490 change is relatively smaller than that of ACI-induced LWP change with a large 491 decrease in PM_{2.5} concentration (Fig. S7). Therefore, we are only concerned with 492 change in ACI-induced LWP with a reduction in PM_{2.5}. As shown in Fig. S8a, 493 when the ambient $PM_{2.5}$ concentration exceeded 15 µg m⁻³, the decrease in ACI-494 induced LWP increase is relatively low with a $PM_{2.5}$ reduction from 120 to 15 µg 495 m⁻³, indicating that aerosols are not a key limiting factor to cloud formation in 496 this range. Note that when the ambient $PM_{2.5}$ concentration decreases to 15 µg 497 m⁻³, the weakening of SWDOWN reduction induced by the ACI might be larger 498 than that by the ARI. This is because decrease in ACI-induced LWP increase is 499 relatively fast, with a PM_{2.5} reduction from 15 to 0 μ g m⁻³. Previous studies have 500 demonstrated that the decrease in ACI-induced LWP increase is relatively fast or 501 slow with the ambient PM_{2.5} reduction in the PM_{2.5}-clean or polluted condition, 502 respectively (Myhre et al., 2007; Savane et al., 2015). The regional and temporal 503 average PM_{2.5} concentration in eastern China in January and July simulated using 504 background meteorology in 2021 and emissions in 2013 is 63 and 25 μ g m⁻³, 505 which is much higher than 15 μ g m⁻³. Therefore, the decrease in ACI-induced 506 SWDOWN reduction in both months is weak. 507

Especially, the lower PBLH caused by ARI and ACI will enhance the accumulation of all the $PM_{2.5}$ components, but higher RH and lower T2 induced by the ARI and ACI could promote the production of extra secondary $PM_{2.5}$ components through strengthening aqueous and heterogeneous reactions and causing gas precursors to condense into particle matter (Donahue et al., 2012; Liu et al., 2018). Therefore, lower percentage decrease in the T2 reduction and RH increase induced by the ACI is more likely to weaken the decrease in the enhancements of secondary $PM_{2.5}$ components. This well explains the lower percentage decreases in the enhancements of secondary $PM_{2.5}$ components induced by the ACI than those by the ARI as shown in Fig. 3.





Fig. 4. The percentage decreases of the regional averages of (a) the decrease of SWDOWN and PBLH, and (b) the T2 reduction and RH increase induced by ARI and ACI in eastern China caused by the anthropogenic emission reduction in January and July from 2013 to 2021. (c) is the same as (a) and (b), but in the NCP region in January.

525

526 3.4.2 Explanation from the perspective of PM_{2.5} concentration distribution 527 changes

528 Ambient $PM_{2.5}$ concentration is the fundamental factor to trigger the ARI 529 and the ACI. In order to further explore the reasons for the increasing importance 530 of enhanced $PM_{2.5}$ concentration induced by ACI, we discuss the characteristics 531 of enhanced $PM_{2.5}$ concentration induced by ARI and ACI under different $PM_{2.5}$ 532 pollution levels. Given that this study mainly focuses on the change in ARI- and 533 ACI-induced $PM_{2.5}$ enhancement in the $PM_{2.5}$ -polluted regime. we only discuss 534 these changes within the $PM_{2.5}$ concentration range of 15–180 µg m⁻³

The PM_{2.5} concentration is divided into 11 levels from 15 to 180 μ g m⁻³. As shown in Fig. 5a, in the heavily PM_{2.5}-polluted regime (135–180 μ g m⁻³), the decrease in SWDOWN induced by ARI is much larger than that induced by ACI (Fig. S9a). Then, the decrease in PBLH and T2 and the increase in RH induced by ARI are also larger than those induced by ACI (Fig. S9b–d). Thus, the enhanced PM_{2.5} induced by the ARI is much larger than that by the ACI (Fig. 5a). However, when the PM_{2.5} concentration decrease to the range of 15–45 μ g m⁻³,

the decrease in SWDOWN, PBLH, and T2 and the increase in RH induced by 542 ACI significantly exceed those induced by ARI. Thus, the ACI-induced PM_{2.5} 543 enhancement significantly exceeds the ARI-induced PM_{2.5} enhancement and 544 becomes more important. This indicates the fast decrease in the ARI-induced 545 PM_{2.5} enhancement and the increasing contribution of the ACI-induced PM_{2.5} 546 enhancement with the decrease in the $PM_{2.5}$ concentration. In summary, the 547 percentage decrease in the $PM_{2.5}$ enhancement induced by ACI is weaker than 548 that induced by ARI with the decrease of PM_{2.5} concentration because of the 549 lower percentage decrease in the ACI-induced SWDOWN, which causes the 550 lower percentage decrease in the ACI-induced PBLH and T2 reduction and the 551 RH increase. Furthermore, as shown in Fig. S8a, the low percentage decrease in 552 the ACI-induced SWDOWN reduction is due to a low decrease in the ACI-553 induced LWP in the PM_{2.5}-polluted regime. Considering the decrease in the 554 ambient PM_{2.5} concentration due to the anthropogenic emission reduction from 555 2013 to 2021 (Fig. 5b), the ACI-induced PM_{2.5} enhancement certainly contributes 556 more to the total $PM_{2.5}$ concentration in 2021. 557



Fig. 5. (a) The enhanced $PM_{2.5}$ concentrations induced by ARI and ACI at different ambient $PM_{2.5}$ levels. These data are from the simulations for January and July in the experiments of 21M13E and 21M21E. The percentage represents the ratio of the ACI-induced $PM_{2.5}$ enhancement to the sum of ARI- and ACIinduced $PM_{2.5}$ enhancements. (b) The distributions of ambient $PM_{2.5}$ levels in January and July in the experiments of 21M13E and 21M21E.

567 **4. Conclusions**

568 Under the background of sharped anthropogenic emission reduction, this 569 study investigates changes of the ARI- and ACI-induced $PM_{2.5}$ enhancements for 570 2013–2021, and explores the causes for these changes from the perspectives of 571 meteorological factors and $PM_{2.5}$ concentration distribution.

The results show that the enhanced $PM_{2.5}$ induced by the ARI (5.59 µg m⁻³) 572 is greater than that by the ACI (3.96 μ g m⁻³) in January 2013. However, the ARI-573 and ACI-induced PM_{2.5} enhancements decrease from 5.59 and 3.96 μ g m⁻³ to 1.37 574 and 1.93 $\mu g~m^{-3}$ in January and decrease by 75% and 51% for 2013–2021. The 575 smaller decrease ratio (51%) for ACI-induced PM_{2.5} enhancements implies that 576 ACI becomes more important for enhancing PM_{2.5} concentrations in January 577 2021. Furthermore, we separated the contributions of meteorological background 578 variation and anthropogenic emission reduction. Compared with the 579 meteorological background variation, anthropogenic emission reduction plays a 580 more important role in causing the decrease of ARI- and ACI-induced PM_{2.5} 581 enhancements. Owing to only emission reduction, the enhanced PM_{2.5} 582 concentrations induced by the ARI and ACI decrease by 56% and 43% in January 583 and 66% and 56% in July, respectively. The ACI-induced $PM_{2.5}$ enhancement 584 becomes increasingly important in both January and July for 2013–2021. More 585 specifically, the lower percentage decrease in the ACI-induced PM_{2.5} 586 enhancement is dominated by the lower decrease in the enhancements of 587 secondary PM_{2.5} components. 588

The lower percentage decrease in the enhanced $PM_{2.5}$ induced by the ACI is 589 due to the lower percentage decrease in the ACI-induced SWDOWN reduction, 590 which is because of the lower decrease in the LWP and increase in the Re caused 591 by the ambient $PM_{2.5}$ decrease in the high $PM_{2.5}$ -polluted regime (Fig. 6). At the 592 same time, the lower percentage decreases in the T2 reduction and RH increase 593 induced by the ACI further lead to the lower percentage decrease in the 594 enhancements of the ACI-induced secondary PM_{2.5} components (Fig. 6). Notably, 595 due to relative lower percentage decrease in the ACI-induced SWDOWN 596 reduction in the high PM_{2.5}-polluted regime, the increasing importance of ACI-597 induced $PM_{2.5}$ enhancement is a matter of course with the ambient $PM_{2.5}$ decrease. 598 599



Fig. 6. Schematic diagram for the decrease of ARI- and ACI-induced primary and secondary $PM_{2.5}$ enhancement due to reduction in ambient $PM_{2.5}$ concentration. The size of the arrows represents the magnitude of changes due to reduction in ambient PM2.5 concentration.

This study has important implication for the PM_{2.5} control. As we know, 606 ARI- and ACI-induced PM_{2.5} enhancements have a non-negligible contribution 607 to the deterioration of PM_{2.5} air quality. Previous research has investigated the 608 impact of anthropogenic emission reduction on the ARI-induced PM_{2.5} 609 enhancement (Zhou et al., 2019). But compared with PM_{2.5} enhancement induced 610 by ARI, that induced by ACI is more complicated and harder to be alleviated. Our 611 findings have further revealed that the ACI-induced PM_{2.5} enhancement is getting 612 more important relative to that induced by ARI. This is especially true in cloud-613 prone areas like Sichuan-Chongqing area, which have witnessed rather weak 614 decreases of ACI-induced PM_{2.5} concentration in the past decade due to weak 615 decreases of aerosol-induced LWP under the condition of high ambient PM_{2.5} 616 level (Fig. 2). The ACI-induced PM_{2.5} enhancement needs to be considered more 617 seriously in the formulation of control polices to meet national PM_{2.5} air 618 quality standard, especially in cloud-prone areas with high ambient PM_{2.5} 619 concentration. To control ACI-induced PM2.5 enhancement, first, a larger 620 emission reduction is necessary in cloudy areas compared with less cloudy areas 621 to bring about a noticeable decrease in ACI-induced LWP in response to PM_{2.5} 622 reduction. Second, secondary inorganic aerosol (SNA), which is an important 623 component of total aerosol, has a large influence on the ACI-induced PM_{2.5} 624 enhancement because of its high hygroscopicity. This makes it easy for SNA to 625 be activated as CCN and influence LWP. We think that it is crucial to make 626

substantial decreases in the precursors of SNA, such as SO₂, NO_x and NH₃ species. 627 These decreases could substantially decrease SNA. A large decrease in SNA 628 would enhance the ACI-induced LWP response to PM_{2.5} reduction and cause a 629 large decrease in ACI-induced PM2.5 enhancement. In addition, relative to ARI-630 induced PM_{2.5} enhancement, the lower decrease in ACI-induced PM_{2.5} 631 enhancement is mainly because of the small decrease in ACI-induced 632 enhancements of secondary PM_{2.5} components. A substantial decrease in SNA 633 would make the decrease ratio of ACI-induced PM_{2.5} enhancement approach the 634 more rapid decrease ratio of ARI-induced PM_{2.5} enhancement. 635

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637 Data and Code availability.

638 The data and code used in this study are available upon request from the 639 corresponding author.

640

641 Author Contribution

D.G., B.Z. and S.W. designed the research; D.G., B.Z., J.S. and B.G. improved the WRF-Chem performance; D.G. and B.Z. performed WRF-Chem simulations; X.W., S.L. and Z.D. processed the anthropogenic emissions; D.G. analyzed the data with the help from B.Z., S.W. and Y.W.; D.Y. and J.S. helped to design some figures; S.W., Y.W., Y.Z. and Y.H. presented important suggestions for the analysis and writings; D.G. and B.Z. wrote the paper with inputs from all coauthors.

650 **Competing interests**

651 The author declares no competing interests.

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