



# Evaluation of the CMCC global eddy ocean model for the Ocean Model Intercomparison Project (OMIP2)

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**Abstract.** This paper describes the global eddy ocean-sea ice simulation produced at the Euro-Mediterranean Center on Climate Change (CMCC) obtained following the experimental design of the Ocean Model Intercomparison Project phase 2 (OMIP2). The eddy-rich model is based on the NEMOv3.6 framework, with a global horizontal resolution of 1/16° and 98 vertical levels, and was originally designed for an operational short-term ocean forecasting system. Here, it is driven by one multi-decadal cycle of the prescribed JRA55-do atmospheric reanalysis and runoff dataset in order to perform a long-term benchmarking experiment.

To access the accuracy of simulated 3D ocean fields, and highlight the relative benefits of mesoscale activities, the GLOB16 performances are evaluated via a selection of key climate metrics against observational datasets and two other NEMO configurations at lower resolutions: an eddy-permitting resolution (ORCA025) and a non-eddying resolution (ORCA1) designed to form the ocean-sea ice component of the fully coupled CMCC climate model.

The well-known biases in the low-resolution simulations are significantly improved in the high-resolution model. The evolution and spatial pattern of large-scale features (such as sea surface temperature biases and winter mixed layer structure) in GLOB16 are generally better reproduced, and the large-scale circulation is remarkably improved compared to the low-resolution oceans. We find that eddying resolution is an advantage in resolving the structure of western boundary currents, the overturning cells, and flow through key passages. GLOB16 might be an appropriate tool for ocean climate modeling effort, even though the benefit of eddying resolution does not provide unambiguous advances for all ocean variables in all regions.

## 1 Introduction

Ocean-sea ice models are built for a variety of applications. They are used for ocean and ice forecasting on short timescales, but they are also incorporated in coupled climate and Earth system models for sub-seasonal to decadal predictions and climate projections. Accurate representation of the ocean dynamics within the climate system enforces our ability in understanding drivers of climate change and variability, and in determining the ocean-ice influence on atmospheric circulation and ecosystems.

Key challenges in climate model design are the trade-off among the level of model complexity, simulation length and the choice of the spatial resolution of different climate components to compromise between resolving critical processes and computational expense. In the Coupled Model Intercomparison Project Phase 6 (CMIP6), the standard grid spacing for the ocean component of coupled climate models is still 1°, although some models were prepared at 0.25° horizontal grid spacing. Both model configurations do not resolve the ocean mesoscale dynamics in the global domain, and so they miss key processes that can influence the global climate. Eddying ocean models improve the climate state with more accurate estimates of heat transport, boundary currents and ocean dynamics in key straits (Griffies et al. 2015, Hewitt et al. 2016, Roberts et al. 2019). Despite simulations at this resolution still require large computational resources, which limits the length of runs and the capacity to optimize the model setup, running global models able to resolve mesoscale dynamics has become computationally



feasible for climate simulations. It is now necessary to access to which extent the enhanced resolution results in improved ocean states.

40 Within the CMIP6, the Ocean Model Intercomparison Project (OMIP; Griffies et al., 2016) was proposed to trace the origins and consequence of model biases in ocean-sea ice configurations. OMIP provides an experimental and diagnostic framework for evaluating, understanding, and improving ocean and sea ice (together with tracer and biogeochemical components) of climate and earth system models. The essential element behind the OMIP is a common set of atmospheric and runoff datasets for computing surface boundary fluxes to drive the ocean-sea ice models. The phase 2 of OMIP (OMIP2) is forced by the

45 JRA55-do atmospheric forcing (Tsujino et al. 2018) developed from the Japanese 55-year Reanalysis (Kobayashi et al. 2015), and an updated freshwater runoff dataset (Suzuki et al., 2017). Eleven CMIP-class global ocean-sea ice models at low-resolution ( $\sim 1^\circ$ ) have been intercompared and evaluated in Tsujino et al. (2020), identifying many improvements in the simulated fields in transitioning from OMIP Phase 1 (forced by the CORE-II dataset, Large and Yeager, 2009) to OMIP2. For example, the OMIP2 sea surface temperature (SST) reproduces the observed global warming at the end of the last century, the

50 warming hiatus in the 2000s, and the accelerated warming thereafter, all absent in OMIP1; the seasonal and interannual variation in SST and sea surface height is also improved. Many of the remaining model biases are mainly due either to biases in the shared atmospheric forcing, or to poor representation of ocean-sea-ice physical processes, some of which are expected to be mitigated by refining horizontal and/or vertical resolutions. High-resolution OMIP-2 experiments, performed with global ocean-sea ice systems at eddy-rich resolution (order of  $1/10^\circ$ ) are presented and compared by Chassignet et al. (2020) in order

55 to isolate the improvements of ocean-sea-ice response to JRA55-do by increasing horizontal grid resolution. Under this framework, CMCC uses a hierarchy of ocean-sea ice configurations, with the aim of providing a relatively robust assessment of how climate-relevant changes in ocean mean state and variability are associated with the grid enhancement from non-eddying (low-, nominally  $1^\circ$  horizontal grid spacing), eddy-permitting (medium-, nominally  $0.25^\circ$ ) to eddy-rich (high-,  $0.0625^\circ$ ) resolutions in our ocean components. We run OMIP-like simulations with the three models, and we compare them

60 in order to identify the differences in the model ocean response that are resolution dependent, given the shared atmospheric forcing dataset. Our non-eddying experiment (ORCA1) shown here is the one used in Tsujino et al. (2020), designed as a component of the CMCC climate model and Earth system model for CMIP6 (Cherchi et al., 2019; Lovato et al., 2022); the eddy-permitting configuration (ORCA025) shares the same numerical framework and was configured as a component of the CMCC climate

65 model (e.g. Roberts et al., 2020, Meccia et al., 2021) used in the High Resolution Model Intercomparison Project (HighRes MIP, Haarsma et al. 2016). Our eddy-rich configuration, GLOB16, is designed to be for the operational short-term ocean forecast (<https://gofs.cmcc.it/>) and reanalysis systems. It undergoes continuous updates and is now used in international projects for mesoscale process studies at global and regional (e.g. Manral et al., 2023) scales. Our OMIP2 simulation at high resolution was made available in 2020, so it was not included in the intercomparison by Chassignet et al. (2020). Unlike the

70 lower-resolution runs, it is not shared through the Earth System Grid Federation (ESGF) data server. Because of the large computation resources required to run long hindcast simulations with GLOB16, only one JRA55-do cycle (61 years from 1958 to 2018) is analyzed in this paper - versus six JRA55 cycles for the low- and medium-resolutions. This study aims to contribute to assessing how mesoscale activities affect the ocean spatial and temporal variability by comparing GLOB16 to the other two configurations, and to quantify the general improvement of many ocean model metrics by evaluating GLOB16 against observation-based estimates. In this paper, we briefly describe the ocean model and the

75 experiment design (Section. 2). Then, we present GLOB16 climate-relevant ocean variables to provide a general description and evaluation of the global ocean state and the model representation of ocean circulation on global and regional scales (Section 3). First, the temporal evolution of temperature and salinity, upper-ocean temperature, and kinetic energy are presented to examine trends and variabilities in GLOB16, followed by the analyses of the spatial patterns of surface temperature and depth



80 of the mixed layer. Then, ocean surface currents and associated volume transports are provided to highlight the impact of mesoscale dynamics. In Sect. 4, we summarize the study.

## 2 Model and experiment design

GLOB16 is a global, eddying configuration of the ocean and sea ice system built on NEMO code version 3.6 (Madec et al., 2016). The model is based on its first implementation documented in Iovino et al. (2016), it has been extensively upgraded, 85 tested and validated since then.

GLOB16 makes use of a nonuniform tripolar grid with a  $1/16^\circ$  horizontal resolution, which is 6.9 km at the equator, and increases poleward as cosine of latitude (minimum grid spacing is  $\sim 2$  km around Victoria Island and constant 3 km south of  $60^\circ\text{S}$ ) - the grid has  $5762 \times 3963$  grid points horizontally. Ocean and sea ice are on the same horizontal grid. The vertical coordinate system is based on fixed depth levels and consists of 98 vertical levels with a grid spacing increasing from 90 approximately 1 m near the surface to 160 m in the deep ocean. Outline of the model grid and size is in Table 1 for all models. The ocean component is a finite difference, hydrostatic, primitive equation ocean general circulation model, with a linearized free sea surface, a free-slip lateral friction condition and Arakawa C grid. Biharmonic viscosity and diffusivity schemes are used in the horizontal directions in the equations of momentums and tracers, respectively. Tracer advection uses a total variance dissipation (TVD) scheme (Zalesak, 1979). Vertical mixing is achieved using the turbulent kinetic energy (TKE) closure 95 scheme (Blanke and Delecluse, 1993). Background coefficients of vertical diffusion and viscosity represent the vertical mixing induced by unresolved processes in the model. Vertical eddy mixing of both momentum and tracers is enhanced in case of static instability. The turbulent closure model does not apply any specific modification in ice-covered regions. Bottom friction is quadratic, and a diffusive bottom boundary layer scheme is included. The ocean component is coupled to the Louvain-la-Neuve sea Ice Model version 2 (LIM2, Timmermann et al., 2005), which has much simpler thermodynamics but also a smaller 100 computational role compared to the more complex LIM3 code (Rousset et al. 2015, Uotila et al. 2017) available in NEMOv3.6. LIM2 uses a three-layer model for the vertical heat conduction within snow and ice, features a single sea-ice category and open water represented using ice concentration. The ice dynamics is calculated according to external forcing from wind stress, ocean stress, and sea surface tilt and internal ice stresses using C grid formulation (Bouillon et al., 2013), and the elastic-viscous-plastic formulation (Hunke and Dukowicz, 1997). While the best approach to identify the impact of grid resolution 105 should be to change only resolution and associated physics in the suit of models, this was not the case in similar previous studies (Chassignet et al., 2020, Kiss et al., 2020, Li et al., 2020). We have configured all models independently, following their distinct scientific goals. Given the large computation cost of GLOB16 configuration, the GLOB16 experiment is configured using our best practices based on the forecasting application (Cipollone et al., 2020, Masina et al., 2021), since it was practically impossible to re-run the code for long sensitivity tests dedicated to the OMIP-2 exercise.

110 For research and operational applications, CMCC global ocean-sea ice configurations at low- and medium-resolution follow the GLOB16 framework, with the NEMO ocean component coupled to the in-house sea ice module. Here, the non-eddyding (ORCA1) and eddy-permitting (ORCA025) ocean models are derived from the long CMCC experience in coupled climate modeling. The two configurations constitute the ocean-sea ice component of the coupled CMCC Climate Model (CMCC-CM2, Cherchi et al., 2019) and Earth System Model (CMCC-ESM2, Lovato et al., 2022). This model system is based on the 115 Community Earth System Model (CESMv1.2), in which we replaced the original ocean component by NEMOv3.6 (Fogli and Iovino 2014). The ocean component is coupled to the Community Ice Code CICEv4.1 (Hunke and Lipscomb, 2010) via the cpl7 coupling architecture. ORCA1 has a  $1^\circ$  tripolar horizontal mesh with additional refinement of meridional grid to  $1/3^\circ$  in the equatorial region, while ORCA025 has a nominal resolution of  $1/4^\circ$ , both with 50 vertical levels, ranging from 1 to 400 m. The ORCA1 physical core as implemented for the OMIP2 simulation is described in Tsujino et al. 2020. It is shared with 120 ORCA025 code except for resolution-dependent features, such as the eddy induced tracer advection term (Gent and



McWilliams, 1990) added in ORCA1, not in ORCA025. The ice model includes energy-conserving thermodynamics (Bitz and Lipscomb, 1999), multi-category ice thickness (Bitz et al., 2001), and elastic-viscous-plastic ice dynamics (Hunke and Dukowicz, 1997). Note that the two sea ice models LIM and CICE employ different bulk salinity, affecting the salt release from the sea ice into the ocean.

125 To be able to attribute the main differences among model configurations mainly to the increase of ocean resolution in the horizontal and vertical grids, the three configurations employ, as far as possible, the same numerical schemes and parameterizations, except grid-spacing dependent parameters. Key changes in the ocean parameters setting are listed in Table 1.

130 **Table 1. Outline of grid characteristics, ocean timesteps and physical parameters used for the ocean model simulations.**

Parameter	Low resolution ORCA1		Medium resolution ORCA025		High resolution GLOB16	
Horizontal grid points	360×291		1440×1050		5760×3962	
Lateral spacing	1°		0.25°		0.0625°	
number of vertical levels (n)	50		50		98	
maximum depth   depth at level n/2 [m]	5904	252	5904	252	6181	504
Surface   bottom level spacing [m]	1.05	410	1.05	410	0.8	162
Ocean baroclinic time step [sec]	3600		1200		200	
Barotropic sub-step [sec]	30		64		120	
Horizontal viscosity order	2		4		4	
Horizontal viscosity [m <sup>2</sup> /s]	10 <sup>4</sup>		-		-	
Horizontal viscosity [m <sup>4</sup> /s]	-		-1.8 10 <sup>11</sup>		-0.5 10 <sup>10</sup>	
Vertical viscosity [m <sup>2</sup> /s]	10 <sup>-4</sup>		1.2 10 <sup>-4</sup>		1.2 10 <sup>-4</sup>	
Vertical diffusivity [m <sup>2</sup> /s]	10 <sup>-5</sup>		1.2 10 <sup>-5</sup>		1.2 10 <sup>-5</sup>	
Eddy parameterization	yes		no		no	

All three simulations are forced by the JRA55-do dataset whose temporal coverage extends from January 1958 to near present. We use 1958-2018 for all runs used in this manuscript. JRA55 temporal and horizontal resolutions are 3-hours and 0.5625°  
 135 (55km), respectively. The dataset includes liquid and solid precipitation, downward surface longwave and shortwave radiation, sea level pressure, 10 m wind velocity components, 10 m specific humidity, and 10 m air temperature. The Large and Yeager (2004) turbulent flux bulk formulas are used in all three configurations to calculate turbulence heat and momentum fluxes. Wind velocity in JRA55-do has been adjusted to match time-mean scatterometer and radiometer winds, which are relative to the ocean surface current. Tsujino et al. (2018) recommended to add a climatological mean surface current to JRA55-do winds  
 140 to better represent absolute winds. However, since this approach was not tested yet, we did not apply it. We use the wind velocity relative to the full ocean surface velocity in the calculation of wind stress (relative wind stress). JRA55 provides also the total freshwater discharge at 0.25° resolution, it consists of the daily and interannually varying continental river runoff (Suzuki et al., 2018), the monthly freshwater from ice sheets and glaciers in Greenland (Bamber et al., 2018) and the climatological estimates of Antarctic calving and basal melt (Depoorter et al., 2013). Liquid runoff is deposited along the coast  
 145 and distributed in the upper 20 m in the lower-resolution runs, at the ocean surface in GLOB16, with no specific enhancement of the mixing in all cases.

The experiments ran for different time lengths. While the 1° and 1/4° experiments were performed for six 61-year cycles (1 January 1958–31 December 2018) of JRA55-do, GLOB16 was integrated over a single cycle. GLOB16 grid has much higher resolution than the forcing data that implies that mesoscale activities are generated from the internally generated  
 150 variability. Only the first JRA55-do cycle is analyzed for all simulations in this paper.



As suggested by the OMIP-2 protocol, the ocean was initially at rest, with zero sea level and with temperature and salinity from the World Ocean Atlas 2013 v2 (WOA13, Locamini et al., 2013, Zweng et al., 2013) “decav” product (averaged from 1955-2012) interpolated on a  $0.25^\circ$  grid. The initial sea ice conditions are different among models: the initial sea ice properties in coupled runs are taken from spin-up experiments, while ice concentration and thickness for GLOB16 are fixed to 100 % and about 3 m (1 m), respectively in regions north of  $70^\circ$  N and south of  $60^\circ$  S.

We restore sea surface salinity (SSS) to the WOA13 v2 monthly climatology. Salinity restoring is applied globally (excluding sea ice covered areas) via a salt flux, with a timescale set by the “piston velocity” (surface vertical grid spacing divided by restoring timescale) of one year over the upper layer of nominal 100m thickness (100m/1y) in ORCA1 and ORCA025 cases, over 50 m thickness (50m/1y) in GLOB16. It is important to mention that the sea ice models used in our two systems employ different bulk salinity affecting the salt release from the sea ice to the ocean. Over a sea ice formation and melt cycle, this produces stratification differences among runs and might have an impact on the large-scale ocean circulation.

### 3 Model evaluation

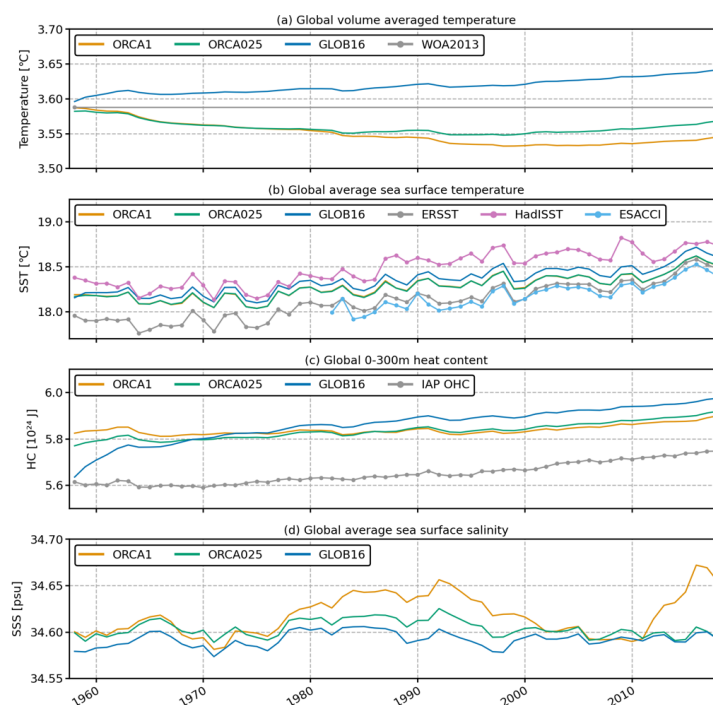
#### 3.1 Temporal evolution

In this section, we characterize features of the temperature and salinity drift as developed in the GLOB16 run in comparison to observational datasets and the lower resolution configurations. The time evolution of the volume-weighted annual mean global ocean potential temperature is shown in Figure 1a. All timeseries start with similar temperature close to the WOA13 initial state ( $\sim 3.59^\circ\text{C}$ ). Then, there is a heat uptake in GLOB16 with the ocean that warms up from the beginning of the run, achieving a warming of  $0.05^\circ\text{C}$  at the end of the integration. In the medium- and low-resolution oceans, the volume mean temperature gradually decreases in the first  $\sim 40$  years to warm up thereafter, staying anyway cooler than the initial condition. Similar behavior is reproduced in the following cycles of ORCA1 and ORCA025, with an overall cooling of  $\sim 0.1^\circ\text{C}$  (not shown), in agreement with the cooling of the low-resolution OMIP2 ensemble mean (Tsujino et al., 2020). The effect of resolution on the thermal evolution of the entire water column is the consequence of different model responses at different depths in the ocean interior (Fig. 2). Comparing the same model at different horizontal resolution and different models at similar resolution does not underline a coherent behavior for the temperature evolution in OMIP2-like simulations. In Tsujino et al. (2020), the spread among non-eddy models is  $0.3^\circ\text{C}$  wide with five (four) models over eleven showing increasing (decreasing) temperatures, two with no drift after one cycle. A comparable spread is found for OMIP1 and CORE-II runs (Tsujino et al., 2020, Griffies et al., 2014). In Chassignet et al. (2020), all eddy-rich models present an increase in global temperature, the spread among the four eddy-rich models is  $\sim 0.1^\circ\text{C}$ , and the increase in horizontal resolution does not necessarily result in a reduction in temperature drift.

The temporal evolution of the annual globally averaged sea surface temperature (SST) is well reproduced by the three models (Fig 1b), being largely affected by the shared atmospheric properties. The simulated SST records present similar interannual variability and lie between the observation-based estimates. Three validated datasets have been used: the global  $2^\circ \times 2^\circ$  Extended Reconstructed Sea Surface Temperature (ERSSTv5, Huang et al., 2017), the global  $1^\circ \times 1^\circ$  Hadley Centre Global Sea Ice and Sea Surface Temperature (HadISSTv1.1, Rayner et al., 2003) and the SST from the European Space Agency (ESA) Climate Change Initiative (CCI) Programme derived from satellite observations dataset and gridded on a  $0.05^\circ \times 0.05^\circ$  global mesh from 1981 upward (Atkinson et al., 2014; Good et al., 2019). GLOB16 is slightly warmer than the other two models (note that ORCA1 and ORCA025 SST coincide in Fig. 2c), and the temperature increase over the integration period is roughly  $0.5^\circ\text{C}$ . The slightly smaller variation of the lower-resolution SST agrees with results in Tsujino et al. (2020), with an increase of  $0.4^\circ\text{C}$ . The impact of resolution on the simulated SST does not correspond to what found in the OMIP2 comparison by Li et al. (2020) and Kiss et al. (2020) where the  $1/10^\circ$  ocean surface is the coldest and with the largest bias from observations. All three models capture the expected warming trend that is higher and closer to the observations in GLOB16 with a value of



about  $0.074^{\circ}\text{C}/\text{decade}$  during 1958–2018, and  $0.084^{\circ}\text{C}/\text{decade}$  during 1982–2018. The GLOB16 trends are slightly smaller than those from ErSST and ESACCI SST and very close to HadISST.



**Figure 1.** Time evolution of the global annual-mean (a) volume-weighted ocean temperature ( $^{\circ}\text{C}$ ), (b) ocean heat content ( $\text{J}$ ) integrated in the depth range 0–300m, (c) sea surface temperature ( $^{\circ}\text{C}$ ) compared with observed HadISST in violet, ErSST in gray, ESA CCI SST in cyan, and (d) sea surface salinity (psu) for GLOB16 and the low-resolution models, during the whole integration cycle from 1958 to 2018.

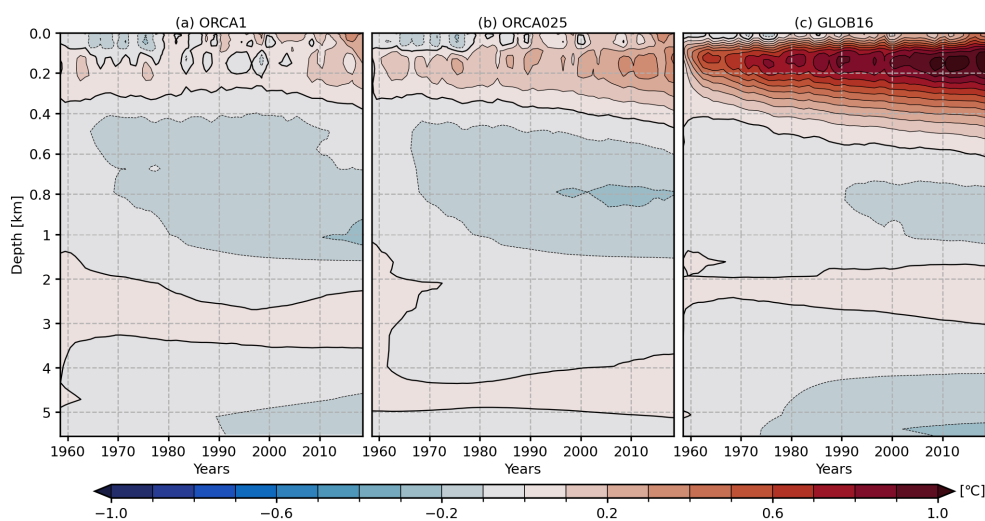
The GLOB16 heat uptake is better explained in Figure 2 that shows the time evolution of the horizontally averaged temperature anomaly as a function of depth. An anomaly for a specific date is computed as the difference between this current value and the temperature initial state. This metric does not aim to examine the impact of resolution in potentially reducing the 3D temperature bias, but it has rather the scope to show to which extent the modelled 3D temperature departs from the initial state as resolution changes. While the vertical structure is not largely affected by the resolution, there are large changes in the magnitude of differences among configurations. There is a strong depth-dependent thermal adjustment from the initial condition in GLOB16 (Fig. 2c) that exhibits a large and rapid subsurface warming down to 500m from the beginning of the simulation. This warming is centered within the 100–200m depth range and the maximum error is larger than  $1^{\circ}\text{C}$ . GLOB16 shows a weak and gradual cooling in the mid-depth and deep ocean. This upper ocean warming is found in other eddying oceans (e.g. Lellouche et al., 2021; Chassignet et al., 2020), but the impact of resolution on the temperature drift is largely model dependent (Kiss et al., 2020; Chassignet et al., 2020) and might be due to different resolved and parameterized processes. Our lower resolutions configurations show smaller changes from the initial condition as a function of depth; the warming in the upper hundred meters is less pronounced and slower. The analysis in Tsujino et al. (2020) shows that the temperature drift in the low-resolution simulations continuously deepens and strengthens at all depths during the six forcing cycles.

The thermal adjustment in GLOB16 is consistent with the significant increase in the SST (Fig. 1b) and ocean heat content (OHC) integrated in the 0–300m depth range (Fig. 1c). The OHC, as an indicator for this heat accumulation (e.g., Cheng et al., 2021), is slightly larger than estimates from the Institute of Atmospheric Physics (IAP, Cheng et al., 2017), and its temporal



220 2).

evolution is approximately linear in all runs. The mean OHC in GLOB16 has a linear warming of  $3.096 \times 10^{22}$  J per decade that closely follows the observed one ( $3.033 \times 10^{22}$  J/decade) from 1970 (after the large models' adjustment) to 2018 (Table



**Figure 2.** Time evolution of the horizontally averaged anomalies (relative to WOA13) of potential temperature (in °C) as a function of depth and time from 1958 to 2018. The upper 1000m are stretched, and 0.1°C contours for  $\Delta T$  are drawn.

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Figure 1d displays the time series of annual mean sea surface salinity, averaged over the global domain. All models remain relatively stable with similar interannual variability except ORCA1 that is generally the most saline ocean and overestimates the other runs in 3 decades. The SSS drift is offset by the surface salinity restoring that is incorporated into the codes to enforce salt conservation in the model ocean (in Sect. 2). The restoring of SSS drives its quasi-stationary evolution, the salt exchange between ocean and sea-ice due to ice formation and melting, is the only source of salt for the ocean. Compared to recent Argo salinity observations that have a mean value of  $\sim 34.9$  psu, all simulations present fresher surface ocean as generally seen in OMIP2 runs (Tsuji no et al. 2020, Kiss et al. 2020, Li et al. 2020), suggesting differences between the observational datasets and the WOA initial conditions.

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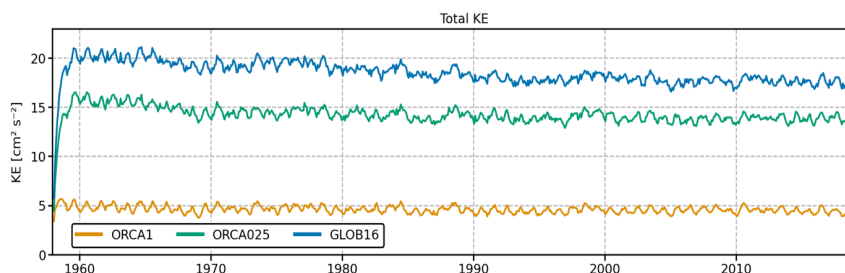
**Table 2.** Annual mean and linear trend of sea surface temperature for the period 1982-2018 common to all datasets, and of the 0-300m OHC for the period 1970-2018.

SST	ORCA1	ORCA025	GLOB16	HadISST	ErSST	ESACCI SST
Annual mean (°C)	18.351	18.349	18.432	18.604	18.238	18.187
Standard deviation (°C)	0.10	0.11	0.11	0.12	0.14	0.15
Linear Trend (°C/dec)	0.076	0.079	0.084	0.080	0.116	0.121
OHC	ORCA1	ORCA025	GLOB16	IAP		
Annual mean ( $10^{24}$ J)	5.840	5.845	5.889	5.662		
Standard deviation ( $10^{22}$ J)	2.05	3.1	3.31	4.43		
Linear Trend ( $10^{22}$ J/dec)	1.175	2.058	3.096	3.033		

Figure 3 shows the time evolution of the global-averaged kinetic energy (KE) for the three configurations from 1958 to 2018. The KE evolution is similar between models with a quick increase in the first two years, to slowly decrease in the following



240 decades and level off at the end of the integration period. The global KE is a strong function of resolution and is expected to  
 be higher in oceans that contain more turbulent processes; the total KE from the low to high resolution model increases by a  
 factor of  $\sim 4$  (as in Chassignet et al., 2020) and the eddying ocean still underestimates by more than half the observation-based  
 estimates (Chassignet and Xu, 2017). While ORCA1 quickly reaches a steady state of  $\sim 4.5 \text{ cm}^2 \text{ s}^{-2}$ , KE in ORCA025 and  
 GLOB16, with a mean value of  $\sim 14.2 \text{ cm}^2 \text{ s}^{-2}$  and  $\sim 18.5 \text{ cm}^2 \text{ s}^{-2}$  respectively, tends to decrease by  $\sim 10\%$  in 2018. The global  
 245 averaged eddy component of KE (defined as the kinetic energy of the time-varying component of the velocity field) contributes  
 to the total KE by about 65% in GLOB16, 50% in ORCA025 and only 25% in ORCA1 (not shown).



250 **Figure 3. Time series of the global average of monthly-mean total kinetic energy (in  $\text{cm}^2 \text{ sec}^{-2}$ ) during the whole integration cycle, from 1958 to 2018.**

### 3.2 Horizontal spatial distribution

#### 3.2.1 Sea surface temperature

Drift in ocean-sea ice models can be linked to deficiencies in model numerics and physics or due to unresolved processes. To  
 255 further examine the surface temperature differences, Figure 4 shows latitude-longitude maps of SST biases computed with  
 respect to ERSSTv5, over the last 10 years of the integration (2009-2018). Overall, the large-scale pattern of the thermal error  
 is similar among configurations, suggesting possible systematic biases in the surface boundary conditions or surface forcing.  
 The largest SST differences from observations are collocated with energetic eddy activities and major frontal zones, where  
 SST gradients are strongest and the shift of jet locations results in large biases. GLOB16 bias still presents some of the common  
 260 model biases, but most of the SST biases are reduced when horizontal resolution increases. For instance, the warm biases  
 associated with WBCs seen in most of the OMIP2 models at non-eddying resolution (Tsujino et al., 2020; Chassignet et al.,  
 2020) are significantly reduced. Clear differences are also in the North Atlantic where the cold bias in the southern subpolar  
 gyre weakens and covers a much smaller area, due to a more realistic representation of the North Atlantic Current and  
 convection processes (Danabasoglu et al., 2014). On the other hand, the cold bias in the Nordic Sea is stronger in GLOB16  
 265 presumably due to changes in the northward transports. Generally, GLOB16 has warmer SST in tropical and subtropical  
 regions. The SST does not benefit from the resolution in the eastern boundary upwelling regions where the bias has been  
 shown to be sensitive to atmospheric forcing resolution (Tsujino et al., 2020, Bonino et al., 2019). In the Southern Ocean,  
 biases are larger in the energetic regions and are reduced in GLOB16 compared to the lower-resolution experiments with a  
 more realistic representation of fronts.

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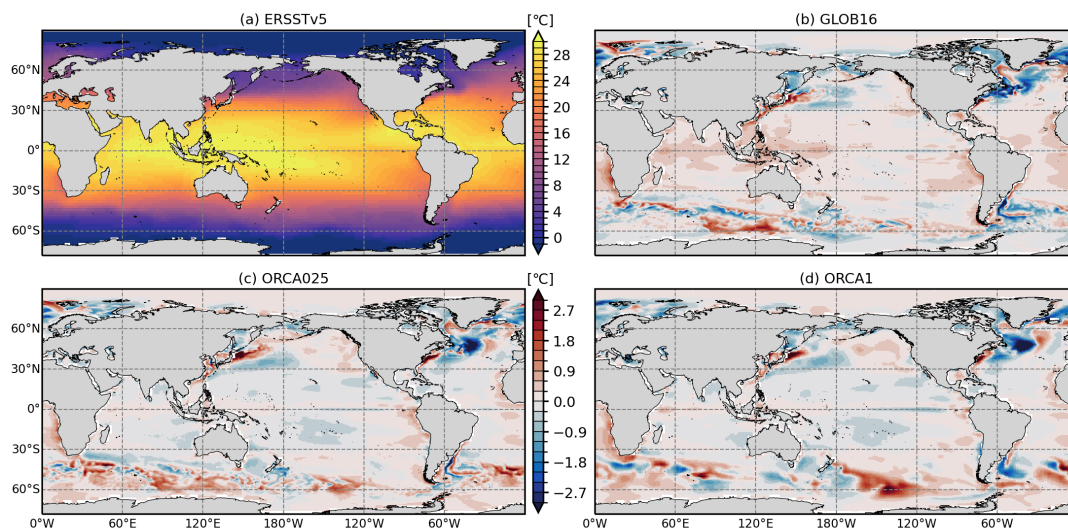


Figure 4. Model sea surface temperature differences (in °C) from ERSSTv5 averaged over the 2009-2018 period.

### 3.2.2 Mixed layer depth

275 Here, we analyze the GLOB16 simulated mixed layer depth (MLD) in the boreal and austral winters, when the mixed layer reflects the depth of rapid overturn of surface water which is closely related to formation of dense and deep-water masses. The lower-resolution models are also shown for comparison. The model values are validated against a recent dataset of monthly climatology of surface MLD over the global ocean, which is computed from 4.5 million hydrographic profiles from the NCEI-NOAA World Ocean Database (WOD) and the Argo program (de Boyer Montégut, 2022). The observed MLD is diagnosed

280 through a density threshold criterion as the depth over which the potential density increases by  $0.03 \text{ kg m}^{-3}$  from the reference value of surface potential density taken at 10m depth; resulting values are mapped on a monthly basis at  $1^\circ \times 1^\circ$  spatial resolution (de Boyer Montégut et al., 2004). The same density threshold method is applied to model output as recommended by Griffies et al. (2016) to compute the MLD in OMIP models. The simulated and observed MLD are shown respectively in Figure 5 and Figure 6 for the March and September climatologies, computed over the last 10 years of the model integration.

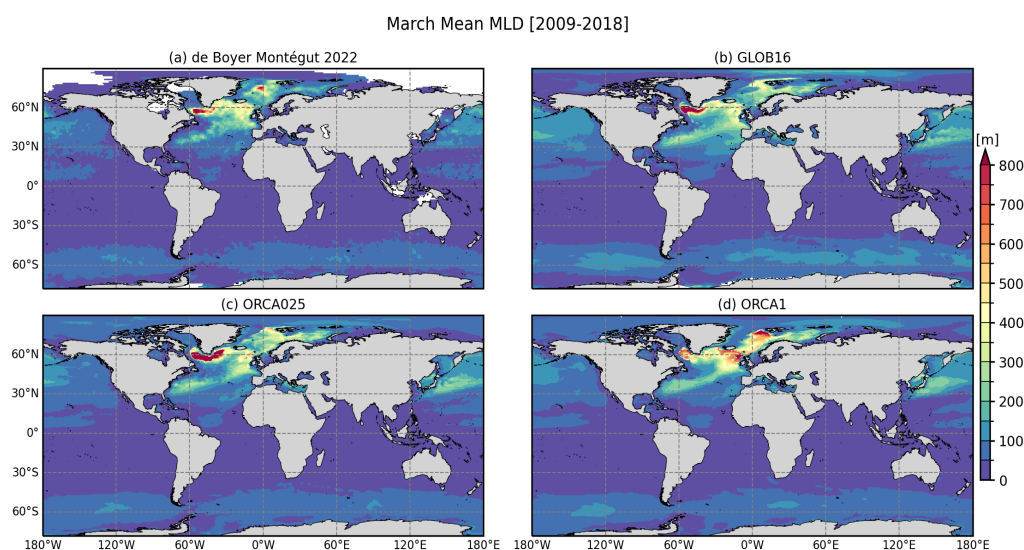
285 The GLOB16 MLD is highly variable in space and time and presents a strong seasonal cycle, as in the observed fields (de Boyer Montégut et al., 2022; Johnson and Lyman, 2022; Holte et al., 2017). In March, GLOB16 shows a spatial pattern close to the observed one, with good correspondence between regions of shallow and deep mixed layers. Both the modelled and observed fields show regions of shallow MLD at low latitudes. The mixed layer deepening at mid-high latitudes is highly heterogeneous in space and well reproduced in both hemispheres. In general, in regions of strong convection (e.g., North

290 Atlantic subpolar regions, Weddell and Ross and south-eastern Pacific) the GLOB16 mixed layer is deeper than observation-based estimates. This mismatch between the model and observations can depend on limitations of the model physics, but it is also worth noting that the comparison with the observed dataset is less robust at high latitudes due to the scarcity of in situ ocean observations in winter. Deeper mixed layer is simulated along the Antarctic Circumpolar Current (ACC) where its depth can reach  $\sim 150\text{m}$ . In the North Atlantic subpolar gyre, GLOB16 reasonably simulates, in terms of depth and location, the winter deep mixed layer associated with the North Atlantic Deep Water (NADW) formation, thus it has the capability to form water masses at the right locations. In the subpolar gyre and Nordic Seas, the GLOB16 penetration depth compares well with the observations, with the closest agreement in the Irminger Sea and a weak negative bias in the Greenland Sea ( $\sim 100\text{m}$ ). As

295 seen in other high-resolution models (Treguier et al., 2023), the high-resolution model slightly overestimates the observed 600m mixed layer within the Labrador Sea basin, where it exceeds 1000m.



300 Among models, while the March MLD is generally similar at low and mid latitudes, there is a strong dependence of the MLD  
 on the spatial grid resolution in the high-latitude ocean sectors. The ORCA1 model tends to overestimate the amplitude and  
 the location of MLD maxima in the Nordic Seas and Irminger Sea with weak convection in the Labrador Sea (Fig. 5d), as  
 generally happens in non-eddy-permitting oceans (e.g. Tsujino et al., 2020, Brodeau and Koenigk, 2016, Danabasoglu et al., 2014).  
 Increasing to eddy-permitting resolution in ORCA025, the MLD is reduced north of the Greenland Scotland Ridge, but largely  
 305 deepens in the Labrador Sea with also a too wide horizontal extension of convection (Fig. 5c), compared to observations (e.g.  
 Koenigk et al., 2021). These well-known features caveats in lower-resolution ocean models appear largely improved in eddy-permitting  
 oceans able to resolve the key mesoscale processes that strongly control the stratification, and intensity of the Labrador Sea  
 Water production (Pennelly and Myers, 2020).

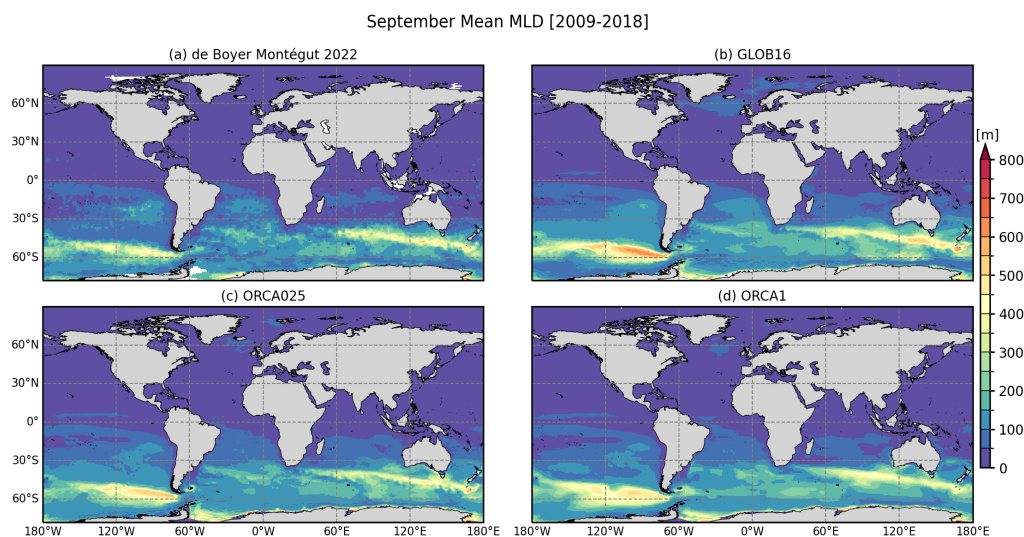


310 **Figure 5. March mixed layer depth (in m) from (a) observation-based estimates, (b) GLOB16, (c) ORCA025 and (d) ORCA1. All MLD fields are computed as the monthly climatology over last 10-year output.**

In September, the observed shallow ML in the northern hemisphere is well reproduced in all models, with a marked sign of  
 315 the upper-ocean circulation on the modelled spatial distribution. In the Southern Ocean, observations show mixed layer  
 deepening north of the marginal sea-ice zone, toward the ACC (Fig. 6a) to reach the very deep convection area associated with  
 the formation of mode water in the northern side of the ACC. GLOB16 reproduces the band of deep mixed layers extending  
 along the northern ACC flank associated with the Sub-Antarctic Mode Water (SAMW) in the Indian and Pacific Oceans (Fig.  
 6b). It generally overestimates the observed penetration depth and lower-resolution models (Fig 6c, d) in the Eastern Pacific.  
 320 At higher latitudes, water masses are transformed into dense and deep water within the mixed layer.  
 GLOB16 simulates deep mixed layers in the sea-ice cover areas in the coastal Weddell Sea and Ross Sea, yielding persistent  
 winter convective overturning off Antarctica with implications on the rate of the Antarctic Bottom Water (AABW) formation.  
 Only few observed profiles are present there, but the winter mixed layer at the shelf break is found locally to be 300–500 m  
 deep from the under-ice Argo network (Pellichero et al., 2016). In ORCA1 and ORCA025, the mixed layer deepens in the  
 325 Weddell Sea gyre and all along the Antarctica coastlines. It is well known that although the AABW is formed over the  
 continental shelves and then sinks to the bottom along the Antarctic slope, low-resolution ocean models generally reproduce  
 unrealistic deep mixed layers in the Weddell Sea where the AABW is formed by open-ocean convection in the gyre (e.g.  
 Heuzé 2021). To a great extent, these differences in the mixed layer structure around Antarctica have a dynamical origin

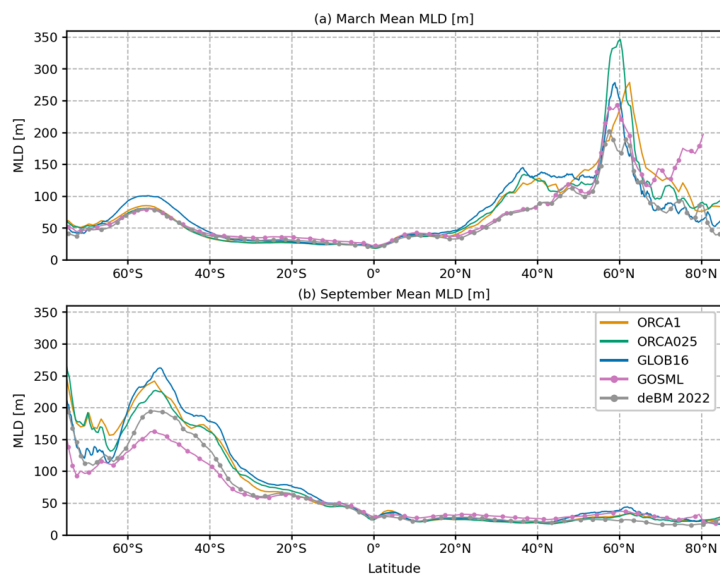


(explicit representation of ocean currents and mesoscale eddies at high resolution), but they might also be due to the sea ice  
 330 formation and brine rejection that follow different schemes in GLOB16 (with LIM2 sea ice model), and ORCA runs (with  
 CICE sea ice model).



335 **Figure 6. September mixed layer depth (in m) of: (a) observation-based estimates, (b) GLOB16, (c) ORCA025 and (d) ORCA1. MLD fields are computed as the monthly climatology over last 10-year output.**

To better illustrate differences in the modelled and observed MLD, Figure 7 presents the zonal mean of the March and  
 September MLD climatology as a function of latitudes (between 70°S and 85°N). A second data set is also used for an overview  
 of the zonal mean MLD biases. Johnson and Lyman (2022) have recently published a statistical monthly climatology of the  
 340 Global Ocean Surface Mixed Layer (GOSML, <https://www.pmel.noaa.gov/gosml/>) based on ARGO data. They find that the  
 distribution of MLD is non gaussian, with large skewness and kurtosis that vary seasonally and spatially. The MLD variance  
 also displays seasonal variations, and it depends on the MLD itself (regions with large MLDs have a large MLD variance).  
 As global zonal mean, GLOB16 has a generally larger or similar mixed layer depth compared to lower resolution models. As  
 expected, the March MLD differences between GLOB16 and the other two models are much larger in the northern hemisphere  
 345 where GLOB16 ML starts to deepen at ~15°N with a clear increase at the WBC latitudes. In the subpolar gyre the MLD  
 differences between high- and low-resolution models are as large as the differences between the two observation datasets.  
 GLOB16 is in close agreement with GOSML estimates from 55° to 65°N northward, with mixed layer by de Boyer Montégut  
 (2022) the shallowest and ORCA025 that overestimates all products and models. Maximum North Atlantic MLD is clearly  
 mislocated in ORCA1. In September, all models overestimate the observed MLD in the Southern Ocean up to ~25°S. In the  
 350 rest of the basin, all models follow the shallow mixed layer and again the model spread is comparable to the observation one.  
 GLOB16 is the closest to GOSML from 40°N northward.



355 **Figure 7. Zonal mean MLD (in m) as a function of latitude between 70°S and 85°N in the 3 models and observation-based estimates for a) March and b) September averaged from 2009-2018.**

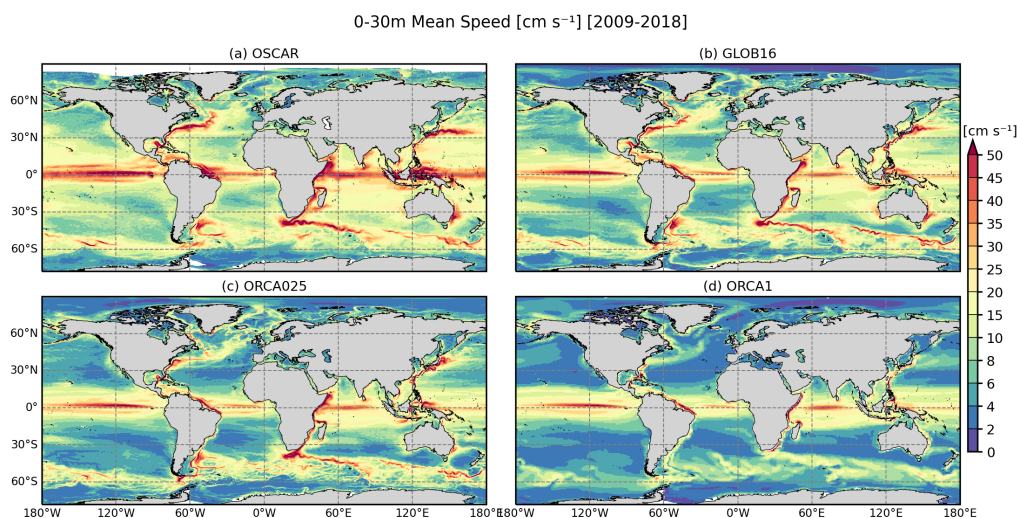
### 3.3 Ocean circulation

#### 3.3.1 Near-surface ocean currents

360 We compare maps of the GLOB16 ocean current with the Ocean Surface Current Analyses Real-time (OSCAR; <http://podaac.jpl.nasa.gov>) dataset for the full modeled domain (Fig. 8), zoomed in the key dynamical regions (Fig. 9 and 10), OSCAR field is calculated from satellite datasets and consists of a geostrophic term, a wind-driven term, and a thermal wind adjustment, vertically averaged over a surface layer thickness of 30 m and interpolated on a 0.25° grid. The lower resolution models are also shown. Comparison is made over the last 10 years of the cycle integration using daily output.

365 Globally, the large-scale current system represented by GLOB16 qualitatively compares very well with observations, with the model reproducing each of the local maxima in OSCAR. The large dynamic systems and their amplitude are sharply reproduced: the WBCs (such as the Kuroshio, Gulf Stream, North Brazil Current), the Loop Current in the Gulf of Mexico, the Agulhas recirculation, the Leeuwin Current and Antarctic Circumpolar Current (ACC). Despite the improvement in regions of strong and unstable currents, GLOB16 underestimates observed estimates in specific regions as in the equatorial current system (10°S-10°N), but its current velocity is higher than lower resolution runs over the entire domain. Although the eddy-permitting model reproduces the spatial pattern of satellite estimates (Fig. 8c), the intensity of the global current system is overall lower than GLOB16 and OSCAR. The spatial distribution of the upper ocean current system represents regions of intense activity concentrated along well-known ocean surface currents, including WBCs (with a limited extension), and bands of strong activity represented in the tropics and ACC region. Nonetheless, there are regions in which the ocean current representation is underrepresented, such as the East Australian Current and the Mozambique Channel. As many of the coarse ocean components of CMIP5/6 models, the ORCA1 configuration shows a clearly poorer representation of the surface current systems at global scale (Fig. 8d), compared to the eddy-rich and eddy-permitting models. It captures the major circulation features but it is unable to represent flow instabilities and meanders, since eddies are parameterized rather than explicitly resolved in this coarse-resolution model.

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380

**Figure 8. Ocean current (in  $\text{cm s}^{-1}$ ) averaged between 0-30m of the global domain for the three simulations and the OSCARv3 data set.**

In an eddy-rich regime, the ocean model is less diffusive/viscous leading to an improvement in the strength and position of

385 WBCs. Note that the WBCs remain dependent on the models' numerics, despite the general improvements in their representation due to model resolution. The impact of mesoscale dynamics on Gulf Stream separation and penetration in the Atlantic Ocean is depicted in Figure 9 (left column). Accurately simulating the Gulf Stream separation in ocean numerical models has been a challenge and does still remain an issue despite the fact that major improvements are realized in eddy-rich ocean configurations (e.g., Chassignet and Xu, 2021). Observations show that the Gulf Stream separates from the coast at

390 Cape Hatteras ( $35^{\circ}\text{N}$ ,  $75^{\circ}\text{W}$ ), and the North Atlantic Current flows north along the east side of the Grand Banks from  $40^{\circ}$  to  $50^{\circ}\text{N}$  (e.g. Rossby, 1996). Consistent with other modeling studies (e.g., Chassignet and Xu, 2021, Kiss et al., 2020, Petersen et al., 2019), the eddy rich GLOB16 presents a considerable improvement in the Gulf Stream representation compared to lower resolution models. The mean Gulf Stream in GLOB16 compares well with OSCAR in its path and areal structure, although it overshoots the separation latitude by a few degrees ( $\sim 37^{\circ}\text{N}$  as in ORCA025, Iovino et al. 2016). In GLOB16, the Gulf Stream

395 is slightly narrower and weaker than observations from  $65^{\circ}$  to  $50^{\circ}\text{W}$ , where OSCAR depicts a uniform flow towards the Grand Banks. GLOB16 adequately captures the North Atlantic Current (NAC) with the flow turning northwestward around the Grand Banks to separate into a zonal branch heading toward the Azores Islands and a branch flowing towards Newfoundland. At medium- and low-resolution, the Gulf Stream flow is more zonal and significantly weaker - it does not penetrate far into the interior and the recirculating gyre (Fig. 9 c, d, left column). In the ORCA1 ocean, as in many ocean components of the CMIP

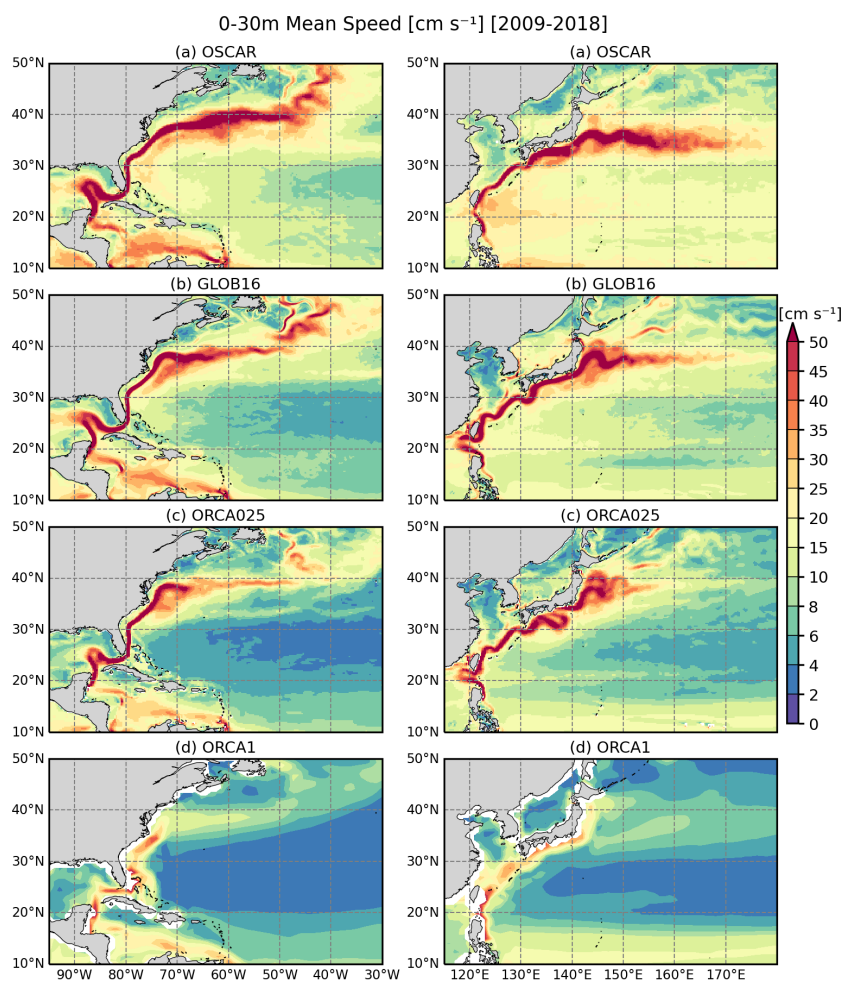
400 climate models, the Gulf Stream stays confined west of the New England Seamounts (e.g. Tsujino et al., 2020) with a poor representation of the NAC. The bifurcation into two branches is not correctly reproduced in the eddy-permitting ocean and absent in the non-eddying case, leading to the fresh and cold bias in the Labrador Sea and northwestern subpolar gyre (Fig. 4). Figure 9 (right column) shows the surface ocean circulation in the North Pacific sector that includes the Kuroshio Current. OSCAR shows that, passed Taiwan at  $\sim 24^{\circ}\text{N}$ , the current enters the East China Sea and follows closely the steep continental slope toward Japan, then separates from the boundary approximately at  $140^{\circ}\text{E}$  and  $35^{\circ}\text{N}$  to flow eastward into the open basin of the North Pacific Ocean as the Kuroshio Extension (Kawai, 1972). As for the Gulf Stream, the GLOB16 current presents a clear improvement in reproducing this WBC system – the Kuroshio has similar structure to OSCAR estimate but is narrower in amplitude and the separation is shifted northward by about  $2^{\circ}$  of latitude. The decaying eastward along the Kuroshio extension and magnitude match the observed one with the current reaching the  $170^{\circ}\text{E}$  longitude with a  $\sim 35\text{cm/sec}$  speed.

405



410 ORCA025 has a reasonable spatial distribution and amplitude toward 145°E, to rapidly decay westwards. ORCA1  
 substantially underestimates the WBC and its extension (Tseng et al., 2016), with the velocity of the Kuroshio Extension  
 generally lower than 15 cm/sec.

It is worth mentioning also that, previous studies (e.g., Chassignet and Xu, 2017, Ajayi et al., 2020) showed that a prerequisite  
 for significantly intensifying the WBCs and improving the realism of their separation and eastward penetration is to resolve  
 415 sub-mesoscale activities (with horizontal resolution up to 1/50°).



**Figure 9. Ocean current (in  $\text{cm s}^{-1}$ ) averaged between 0-30m in the Gulf Stream and Kuroshio regions for the 3 simulations and the OSCARv3 dataset, averaged in the last decade 2009-2018.**

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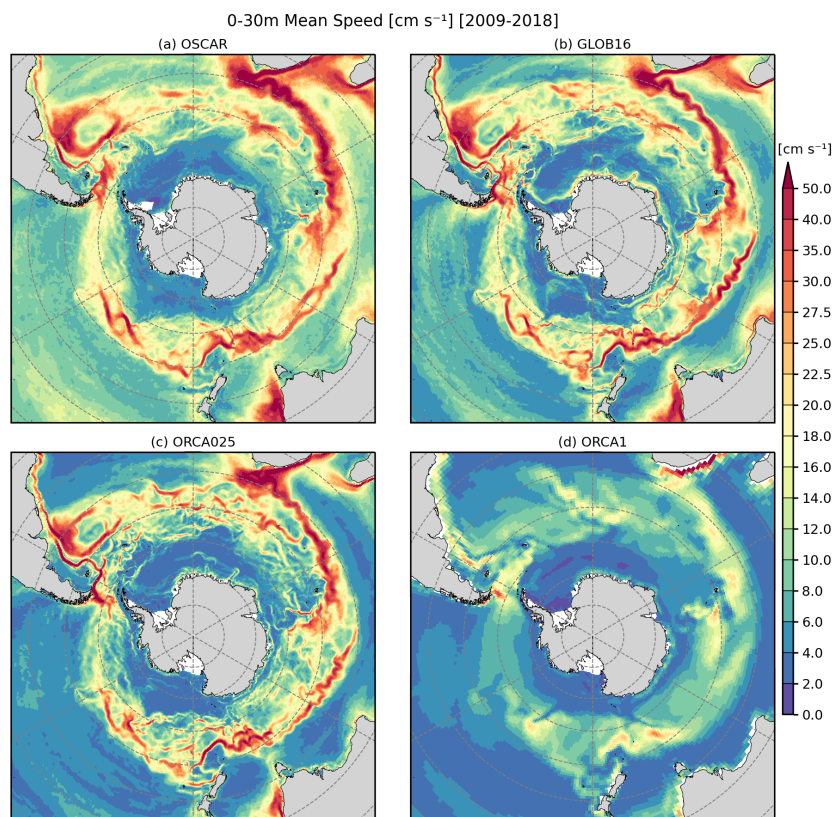
Figure 10 shows role of the mesoscale eddy field in representing the complex ocean circulation in the Southern Ocean sector dominated by the ACC and its distinct structure with energetic mesoscales and multiple jets (Ivchenko et al., 2008). Being dependent on mesoscale eddy activity, the ACC structure and intensity are sensitive to ocean model resolution and configuration (Farneti et al., 2015). Even though all models depict the major circulation pattern, the spatial structure and strength in the GLOB16 ocean are in much closer agreement with the OSCAR dataset, following the observed irregular width and pathway. In the Indian Ocean, the Agulhas Current in GLOB16 properly follows observations with the flow down the Mozambique channel and the eastern Madagascar coast that continues along the coast of southern Africa. The Agulhas Current

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retroreflects at the southern tip of the African continental shelf to flow both west into the South Atlantic and east along the Agulhas Return Current. The ACC travels across the Indian Ocean where its southern extreme approaches 70°S, its maxima are approximately at ~45°S. Toward the Pacific sector, the flow passes around and through gaps in Macquarie Ridge and then moves northeast along and around the eastern edge of the Campbell Plateau (south of New Zealand). In the South Pacific the current is bounded at 40°S and its extension toward Antarctica is limited by the well captured gyre in the Ross Sea. The flow weakens eastward due to the influence of Drake Passage and then extends in the Atlantic Ocean. Downstream of Drake Passage, the ACC northern part describes an equatorward loop to ~40°S in the southwestern Argentine Basin. The Antarctic coastal current is also clearly represented, it flows westward along the Antarctic coast and meets the eastward-flowing ACC at the Drake Passage, emerging as the Malvinas current. Then, the flow resumes its eastward course across the Atlantic Ocean, where it extends southward to ~60°S with a proper Weddell Sea gyre, and northward between latitudes 40°S and 50°S. It is worth mentioning that the satellite dataset might misrepresent or be less accurate close to the Antarctic coastline or ice-covered areas.

ORCA025 successfully captures most of the circulation features and the circulation pattern agrees reasonably well with observations and the eddying ocean but with reduced amplitude, while ORCA1 struggles to accurately reproduce the main Southern Ocean processes that influence the large-scale ocean circulation. The low-resolution ACC is everywhere weak (generally below 20 cm s<sup>-1</sup>) compared to OSCAR.



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**Figure 10.** Ocean current (in cm s<sup>-1</sup>) averaged between 0-30m in the Antarctic region for the 3 simulations and the OSCARv3 dataset, averaged over the last decade 2009-2018.

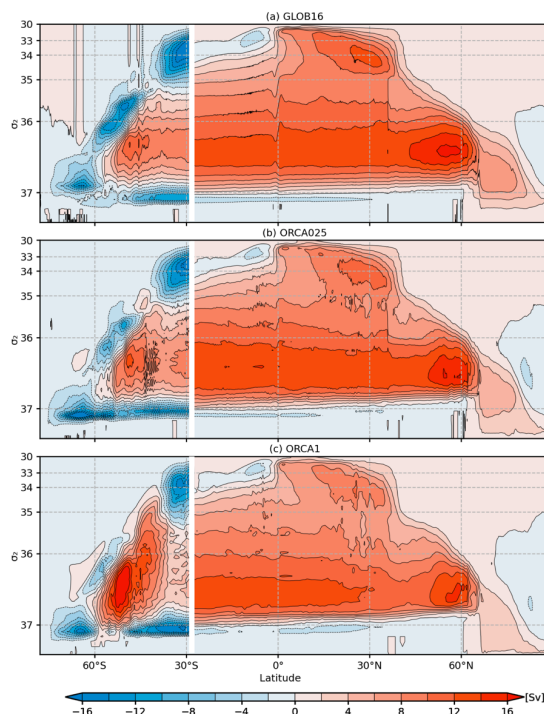


### 3.3.2 Volume and heat transports

450 Transports of mass, in particular the meridional overturning circulation (MOC), are frequently used to evaluate the model performance. To provide an overview of the large-scale general circulation of the GLOB16 configuration, the meridional overturning stream function is computed for a zonally averaged view. The calculation is made in density space on potential density surfaces (referenced to 2000 dbar,  $\sigma_2$  units) via a diapycnal velocity in order to represent the transport of tracers and quantify the transformation of water masses in different density classes (Andrews and McIntyre, 1978). The difference between  
455 transport in depth versus density coordinates is relevant at high latitudes where isopycnals slope dramatically (Johnson et al. 2019): the differences are due to the (horizontal) transport affected by the subpolar gyre in the North Atlantic, while they arise from the large contribution by mesoscale eddies and standing waves to the transport of density in the Antarctic circumpolar sector.

Figure 11 shows the zonally integrated overturning stream function over all longitudes in the Southern Ocean (south of 30°S) and in the Atlantic Ocean (AMOC, north of 30°S), averaged over the last 10 years of integration (2009–2018) for each of the  
460 three model cases. The Southern Ocean MOC consists of an anticlockwise abyssal cell, which occupies a small part of density space but comprises a significant fraction of global water volume, being associated with the Antarctic Bottom Water (AABW) formation. This abyssal cell is mainly driven by processes of surface water mass transformation over the Antarctic continental shelf; its observed strength is  $\sim 21 \pm 6$  Sv (Ganachaud and Wunsch, 2000). After one cycle of JRA-do forcing, it reaches  $\sim 15$  Sv  
465 around 65°S in all runs and is centered at  $1036.8 \text{ kg m}^{-3}$  in GLOB16 (Fig. 11a) while it is denser in the lower-resolution models (Fig. 11b, c). This suggests that longer integrations are required for GLOB16 before a quasi-equilibrium behavior of the overturning in the deep ocean is reached. A portion of the southern overturning cell is exported out of the Southern Ocean across 30°S. Northward, we do present only the Atlantic component that dominates the interhemispheric upper overturning cell at global scales. The AMOC consists of a positive upper/mid-depth cell whose northward branch transports thermocline and intermediate waters and whose southward branch transports North Atlantic Deep Water (NADW), and an abyssal cell associated with the AABW formed in the Southern Hemisphere; at high latitudes, the AMOC involves the sinking of dense water in the subpolar gyre, which upwells at the surface in the Southern Ocean (Marshall and Speer, 2012). In GLOB16, the NADW starts to sink north of 45°N with the largest densification at 55°N; the density of the southward NADW flow ranges  
470 between  $1036.5\text{--}1037 \text{ kg m}^{-3}$  (corresponding to depth of 1500–3000 m, not shown). About 6 Sv travel northward across Greenland–Scotland Ridge. The cross-equatorial transport is below 14 Sv. Decreasing resolutions, the overall structure of the transport in the Atlantic Ocean does not change significantly, the magnitude of the overturning south of the Greenland–Scotland Ridge is similar but the density of the sinking water slightly increases, the transport tends to weaken and be restricted in a smaller latitude band. North of the ridge, the transport weakens by  $\sim 30\%$  and  $\sim 60\%$  in ORCA025 and ORCA1 respectively, suggesting also a reduction of heat supply to the Arctic basin (not shown). In all models, the abyssal cell fills the deep ocean  
475 of water denser than  $1037 \text{ kg m}^{-3}$  and reaches  $\sim 2$  Sv in density space ( $\sim 6$  Sv below 3000 m in depth space).  
480





**Figure 11. Time-mean zonally-integrated overturning circulation (in Sv) over the Atlantic sector as a function of latitude and  $\sigma_2$  averaged over the period 2009-2018 for the three simulations. South of 30°S, the integral is taken over all longitudes. The density axis is non-uniform, the contour interval is 1 Sv.**

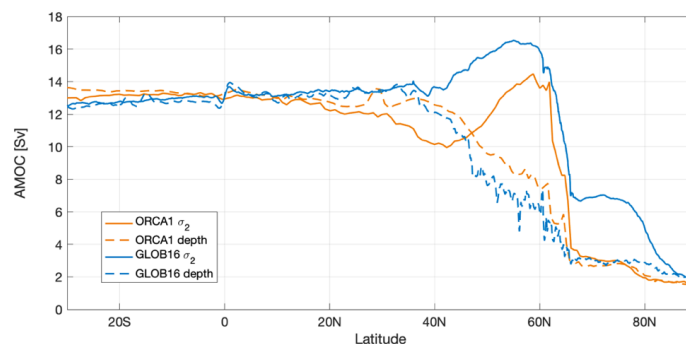
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The AMOC in depth and density spaces has specific characteristics due to differences in the zonal integration along a constant density versus along a constant depth surface (e.g Kwon and Frankignoul 2014). While the AMOC in depth space emphasizes changes of isopycnal depth with latitude, the AMOC in density space better represents the transformation of water mass properties with latitude. The differences between the two calculations are hence significant in the Atlantic subpolar gyre, which is characterized by a large density contrast between the warm and salty water from the North Atlantic Current flowing northeastward in the eastern gyre and the return denser (colder and fresher) flow moving southward in the western sector (Hirschi et al., 2020). The maximum values of AMOC as function of latitude are shown in Figure 12 for both calculations, as computed from GLOB16 and ORCA1 models (ORCA025 lies close to GLOB16 – not shown). In both configurations, differences between AMOC in density and depth space are negligible in the Southern Ocean and tropical band, then the two curves start to diverge northwards. In GLOB16, the AMOC in depth coordinate weakens markedly north of about 35°N and declines by 80% north of the Greenland Scotland Ridge (~65°N); the AMOC in density coordinate increases till 60°N, with the highest values (larger than ~16.5 Sv) between about 50°N and 60°N, where it is more than twice as strong as the AMOC in depth. This difference is less pronounced in our low-resolution configuration with a larger fraction of the sinking occurring at the northernmost latitudes, in agreement with previous studies (Zhang, 2010, Danabasoglu et al., 2014, Hirschi et al., 2020).

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**Figure 12. Maximum values of GLOB16 AMOC (in Sv) in depth (solid line) and  $\sigma_2$  (dashed line) averaged over the period 2009-2018.**

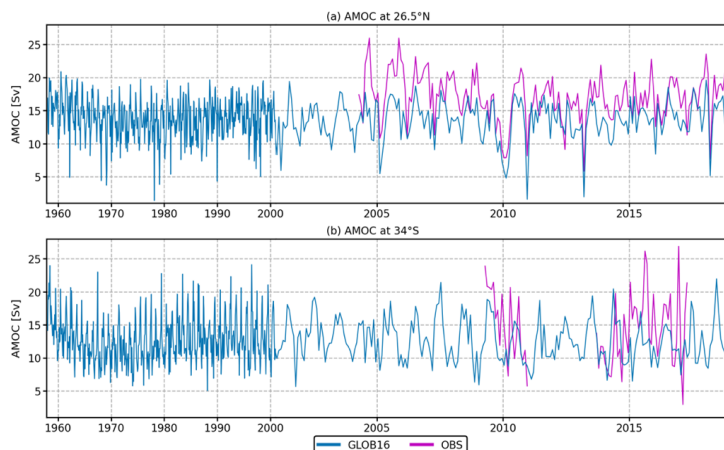
505 The continuously varying strength of the AMOC has been measured across fixed sections at several latitudes, for example at 26.5°N (since spring 2004) and 34.5°S (since 2009). In the former, the magnitude of the AMOC is defined as the maximum of the stream function in depth and represents the total northward transport above the overturning depth. It is made available by the RAPID/MOCHA program (<https://rapid.ac.uk/rapidmoc/>, Smeed et al., 2018). We compare the time series of the strength of the AMOC at 26.5° N from the eddying-model integration and the RAPID estimates in Figure 13a. Compared to

510 the mean observed value of  $16.9 \pm 3.44$  Sv for the period 2005-2018, the modelled AMOC transport is slightly weaker reaching a mean value of 13.6 Sv (OMIP2 high-res simulations range from 14 to ~20 Sv in Chassignet et al., 2020). As other OMIP runs, GLOB16 shows a transport decrease in the first two decades, and quasi-zero tendency thereafter to follow the RAPID interannual variability in the last decade. The GLOB16 captures the low AMOC events observed in 2009 and 2010. There are no evident changes to the AMOC strength at 26.5°N due to grid resolution (with a mean value of 13.45 Sv and 13.59 Sv in

515 ORCA025 and ORCA1, respectively). Much of the variability at that latitude on interannual timescales is dominated by wind forcing (Pillar et al. 2016), against the previous hypothesis that AMOC variations are driven by the buoyancy forcing in subpolar regions (Kuhlbrodt et al. 2007). All simulations are forced by the same atmospheric reanalysis over a single JRA-do cycle and present similar interannual variability (not shown).

The South Atlantic meridional gap between Africa and Antarctica provides a crossroad for ACC water masses and water masses exchanged between the subtropical Indian and South Atlantic gyres (Speich et al., 2006). The AMOC transport in the Southern Atlantic (Fig. 13b) is estimated on direct daily measurements at 34.5°S from the South Atlantic MOC Basin-wide Array (SAMBA, Meinen et al., 2013), which has a pilot array in 2009-2019 and a second record from 2013 to 2017. It is worth noting that the SAMBA calculation method uses a time-mean reference velocity, so the observations at 34.5°S provide the time-variability of the AMOC rather than an observational mean. The observations yield a peak-to-peak range of 54.6 Sv on

525 daily means, about 20 Sv on monthly means. The AMOC has a time mean meridional transport over the full 2009–2017 period (keeping in mind the ~3-year gap) of  $14.7 \pm 8.3$  Sv. Time mean AMOC transport in GLOB16 is 12.1 Sv over the same period, with a weaker interannual variability. Transport in the medium- and low-resolution oceans compares in magnitude (13.8 Sv in both runs) and time variability at interannual and decadal scales with GLOB16 (not shown).



530

**Figure 13. Time evolution of monthly mean AMOC transports, defined as the maximum value of the global overturning stream function in GLOB16 (blue line) computed (a) across 26.5°N and compared to RAPID estimates, and (b) 34°S compared to SAMBA record.**

535 The mean Atlantic meridional heat transport (AMHT) averaged over the last 10 years of integration is presented as function of latitude in Figure 14a, as reproduced by the three models, in comparison with a suite of direct and indirect observational estimates. The range of observed transports is quite broad (Trenberth and Caron, 2001): the location of heat transport maximum and its magnitude are observation dependent. The AMHT peak is close to 22°N in the estimates by Large and Yeager (2009, LY09 in figure), and around 15°N in the European Centre for Medium-Range Weather Forecasts (ECMWF) estimates

540 by Trenberth and Caron (2001, TC01), while the maximum is widely extended between 10°-20°N in the Trenberth and Fasullo (2008, TF08) and between 20° and 30°N in the more recent estimates derived from JRA55-do (Tsujino et al., 2020). In the direct measurements by Ganachaud and Wunsch (2003, GM03), the MHT peaks around 24°N. Direct measurements are the largest estimates, followed by LY09 and JRA55 at all latitudes, and up to ~25% larger than estimates from ECMWF reanalysis (TC01) and TF08. All model configurations reproduce the large-scale features and latitudinal variation of the observed profiles,

545 with the Atlantic Ocean carrying heat northward (positive transport) at all latitudes. Models underestimate the mean heat transport relative to in situ measurements, LY09 and JRA55 reanalyses, as also seen in the OMIP and COREII coarse resolution models (Tsujino et al., 2020, Danabasoglu et al., 2014) and in the eddy-permitting and eddy-rich ocean and climate models (Chassignet et al., 2020, Griffies et al., 2015, Msadek et al., 2013). The strongest heat transport is found in the eddy-rich ocean. Finer ocean resolution leads to increased heat transport in the northern hemisphere and brings GLOB16 in an overall better agreement with observations. GLOB16 tracks the ECMWF estimates and compares well with TF08. The three simulations are

550 similar in the Southern Ocean with the MHT ranging within 0.1 PW, while the mean North Atlantic heat transport is always higher in the eddy-rich ocean than eddy-permitting and lowest resolution models (Chassignet et al. 2020, Hirschi et al. 2019). The GLOB16 maximum heat transport of about 0.88 PW is located at ~25°N. The maxima are not collocated in latitude in the two other models: the meridional distribution of ORCA025 heat transport is very close to GLOB16 in the North Atlantic, with the largest value (~0.78 PW) distributed over a wide band of latitudes between 5° and 30°N, while the AMHT in the non-eddying model presents a peak of ~0.75 PW at ~18°N to rapidly drop toward 45°N and increase again between 45° and 55°N with a marked positive slope that indicates a gain of heat in the subpolar gyre. This simulated increase of heat transport at high latitudes reflects insufficient heat loss to the atmosphere between mid- and subpolar latitudes; it is present in ORCA025 too and many coarse and eddy-permitting models (e.g. Danabasoglu et al., 2014; Grist et al., 2010, Petersen et al., 2019), it is less

555 pronounced in GLOB16, likely due to a correct path of the simulated North Atlantic Current (e.g. Treguier et al., 2012, Robert et al., 2016).

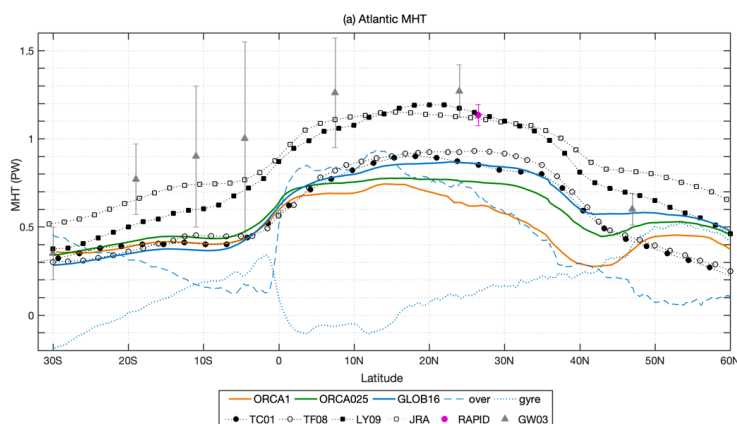
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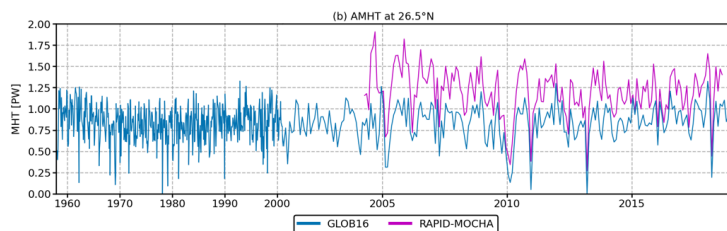


We also assess the distinct contribution of the overturning and horizontal gyre circulations (Fig. 14a) to GLOB16 ocean heat transport. Following Johns et al. (2011), the total MHT is decomposed into vertical and horizontal heat transports, assumed to represent “overturning” or “gyre” heat transports, respectively. The overturning dominates the MHT over a large latitude range (e.g., Msadek et al., 2013; Xu et al., 2016), slightly exceeds the total MHT between the equator and 15°N where the gyre component is weakly southward, decreasing the total northward MHT. At 26.5°N, the breakdown into the overturning and gyre transports agrees well with RAPID observations: the gyre circulation accounts only for slightly more than 10% of the total MHT (McCarthy et al., 2015). Northward, the overturning component drops and the gyre one increases to level off at 42°N, from there on the horizontal circulation dominates the Atlantic heat transport and explains the large GLOB16 MHT compared to observed TC01 and TF08 (in agreement with the eddying climate models by Griffies et al., 2015). In the eddy-permitting simulation, the overturning and gyre components follow the GLOB16 ones at all latitudes, while, in the non-eddying simulation, the gyre component ranges between  $\pm 0.1$  PW from the equator to 40°N and then rapidly increases, becoming dominant north of 47°N (not shown).

At 26.5°N, the AMHT is significantly smaller than the observational estimates at 26.5°N in all cases (not shown for ORCA025 and ORCA1). GLOB16 generally underestimates the mean RAPID value that equals 1.14 PW with an error bar of  $\pm 0.032$  (Bryden et al. 2020), as well as the RAPID estimates all through the RAPID record (Fig. 14a, b). Similar behavior can be seen in many model studies covering a large range of horizontal resolution (e.g. Maltrud and McClean, 2005; Mo and Yu, 2012; Danabasoglu et al., 2014). GLOB16 misrepresent the interannual variability in the first  $\sim 5$  years of RAPID record to better follow the data variability onward capturing the 2010, 2011, 2013 and 2017 minima. It is worth mentioning that several studies (e.g. Sinha et al. 2017, Roberts et al. 2013, McCarthy et al. 2012, McCarthy et al. 2015) have discussed the potential for structural errors associated to the measurement design and calculation methodology of the RAPID basin-wide estimates. Among those, Stepanov et al. (2016) provided insight into understanding the source of dissimilarities between the Atlantic heat transport at 26.5°N as simulated in ocean models (in the GLOB16 eddy-rich and ORCA025 eddy-permitting regimes) and estimated from the RAPID array. They quantified how the values of AMHT depend on the calculation method, in particular the RAPID-like calculation (following Johns et al., 2011) applied to model outputs was compared to the classical model calculation using 3D output of temperature and velocity fields (model truth). They found that the negative MHT bias generally obtained from models can be directly linked to the applied calculation method rather than a potential weakness of the model itself in reproducing the observed transports. In their study, the RAPID-like calculation leads to an MHT increase of about 20% that can at least partially explain the discrepancies between the true model MHT and the RAPID estimates.

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595 **Figure 14. (a) Atlantic meridional heat transport (in PW, positive northward) in ORCA1 (orange), ORCA025 (green) and GLOB16**  
**(blue, divided in its overturning (dashed) and gyre (dotted) components), averaged in 2009-2018, compared with direct and indirect**  
**observational estimates. TC01 corresponds to ECMWF estimates by Trenberth and Caron (2001), TF08 to Trenberth and Fasullo**  
**(2008), LY09 to Large and Yeager (2009), JRA refers to JRA55-do v3 estimates in Tsujino et al. (2020). GW03 and RAPID refer**  
**to Ganachaud and Wunsch (2003) and RAPID array, respectively. The vertical bars indicate the uncertainty range for the direct**  
600 **estimates. (b) Times series of the total Atlantic MHT in GLOB16 (blue line) across 26.5°, compared to the RAPID record (magenta).**

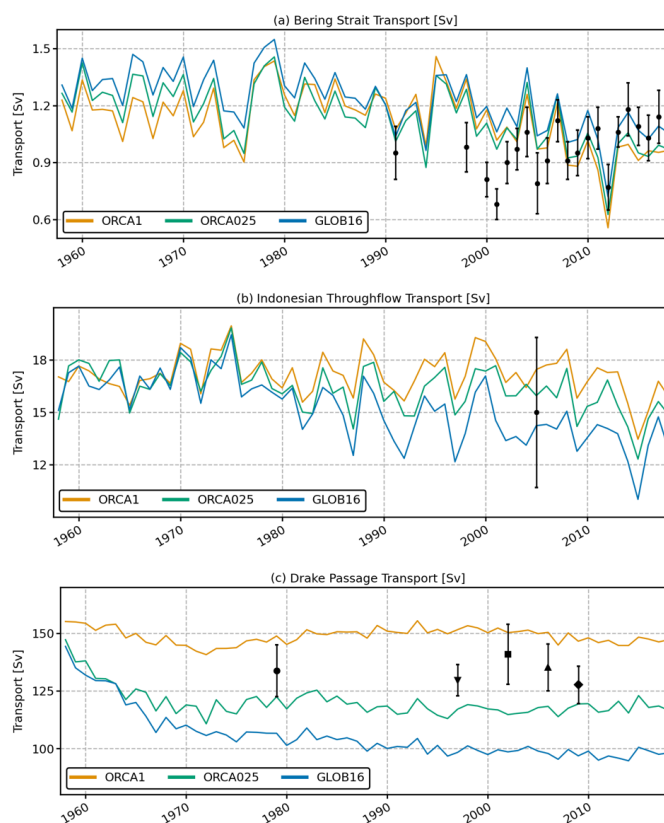
The strengths of the GLOB16 volume transports across key passages agree well with observations and are generally within or very close to the limits of observed uncertainty. The simulated Pacific inflow across the Bering Strait (Fig. 15a) tends to be slightly large in GLOB16 compared to lower resolution. During the first two decades where observations are available, GLOB16 overestimates the recent estimates by Woodgate and Peralta-Ferriz (2021), it cannot depict the increasing northward flow ( $0.01 \pm 0.006$  Sv/year), but it follows very closely the observed interannual variability in the last simulated decade from the  $\sim 0.8$  Sv minimum in 2010 (Woodgate 2018). The large transport at Bering Strait is common to many NEMO simulations and does not depend on the grid resolution (e.g. Marzocchi et al. 2015).

The total Indonesian Throughflow (ITF, negative transport into the Indian Ocean) measures water exchanges between the Pacific and Indian Ocean. Water masses that flow through the ITF are advected westward to feed the upper limb of the meridional overturning circulation in the Southern Atlantic Ocean and contribute to the Agulhas Current. The volume transport estimates from the INSTANT Program over a  $\sim 3$ -year period during 2004–2006, corresponds to 15.0 Sv, varying from 10.7 to 18.7 Sv (Sprintall et al., 2009; Gordon et al., 2010). The GLOB16 ITF transport (in Fig. 15b) is computed between Indonesia and Australia across the three outflow passages of Lombok, Ombai, and Timor straits. It falls within the range of minimum and maximum values from INSTANT but slightly underestimates the observed mean value. While the ITF has no evident drift in the first 20 years, it exhibits a gradual decrease afterwards with large interannual variability. The differences among models are impacted by the model accuracy in realistically representing ocean topographic features, such as narrow straits. At lower resolutions, the total transport has smaller or no evident drift over time and is generally above the mean observed value. The effect of resolution on the interannual variability is small.

Figure 15(c) presents the time series of the annual mean Drake Passage (positive eastward) that is representative of the large-scale features and strength of the ACC where it is constricted between the Antarctic Peninsula and the southern tip of South America. In the Southern Ocean, low-frequency adjustment to local and remote forcing and deep bottom water formation processes likely require longer integrations for stabilizing the ACC transport – also coarse models may still present significant trends and have not reached an equilibrium after the fifth cycle of atmospheric forcing (Farneti et al., 2015). Substantial efforts have been made toward measuring ACC transport, especially in the Drake Passage from the late 70s. Mean observed values of the full-column transports range from mean strength of  $127 \pm 1$  Sv (Chidichimo et al., 2014), to  $129 \pm 6$  Sv from the World Ocean Circulation Experiment hydrographic data (Lumpkin and Speer, 2007), to  $134 \pm 13$  Sv based on the International Southern Ocean Studies (ISOS) program (Whitworth and Peterson 1985), to  $135.3 \pm 10.2$  Sv based on hydrography cruises from 1993 to 2020 along the line SR1b (e.g. Xu et al., 2020), to  $141 \pm 2.7$  Sv and  $173 \pm 19.7$  Sv based on the DRAKE (Koenig et al., 2014) and cDRAKE (Donohue et al., 2016) programs, respectively. The GLOB16 time series of the yearly averaged transport shows a fast decline in the first 20 simulated years, then the drift becomes negligible, and the transport stabilizes at a level of about 100 Sv, below the most recent estimates (Xu et al., 2020). The eddy-permitting ocean presents a similar



behavior with a smaller decrease and  $\sim 120$  Sv at the end of the integration. As already shown in the CORE-II intercomparison, the mean transport at the Drake Passage is generally larger than observational estimates in non-eddying oceans (Farneti et al., 2015). This is confirmed by our low-resolution transport that is indeed above observations, the time series presents a smaller decrease and levels off at 150 Sv, comparable to the mean transport of  $\sim 160$  Sv from the low-resolution OMIP2 runs in the first JRA cycle (Tsuji no et al. 2020, Chassignet et al., 2020). The simulation of the ACC is sensitive to the grid resolution in both forced and coupled simulations (Hewitt et al., 2020). In contrast to the eddy-permitting and eddy-rich ocean models, the non-eddying regime fails to represent distinct ACC frontal jets (Beadling et al., 2020), the time-mean flow across the Drake Passage is all eastward and there is no evidence of small intermittent westward currents. In the higher resolution models, the ACC structure agrees with the observed frontal locations and intensity, and the time-mean velocity is characterized by distinct counter flows, possibly linked to stationary mesoscale features that may not be evident in long-term observational means over different periods (Hewitt et al. 2020). This feature can partially explain the reduced ACC transport in eddying oceans. Variability in ACC strength is shown, by observations and models, to be relatively insensitive to atmospheric forcing changes due to the eddy saturation (Hallberg and Gnanadesikan 2006): additional energy imparted from the winds is cascaded to the oceanic mesoscale instead of inducing prolonged accelerations of the horizontal mean flow. The net overturning is determined by a balance between a wind-driven circulation and an opposing eddy-induced transport.



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Figure 15. Time evolution of annual-mean volume transport (in Sv) of (a) the northward flow through the Bering Strait, (b) the Indonesian Throughflow from the Pacific to the Indian Ocean, and (c) the ACC through the Drake Passage. Observed values with error bars are shown. For the ACC transport, a suite of observations is shown: Whitworth (1983), Whitworth and Peterson (1985) (circle), Lumpkin and Speer (2007) (triangle down), Koenig et al. (2014) (square), Xu et al. (2020) (triangle up) and Chidichimo et al. (2014) (diamond).

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#### 4. Concluding summary

The OMIP2-like simulation performed by the CMCC ocean and sea-ice model at eddying horizontal resolution, GLOB16, is described and evaluated in this study. GLOB16 employs the NEMOV3.6 ocean model coupled to the LIM2 sea ice model.

660 While it is generally applied to perform short-term ocean forecasting for operational purpose, here GLOB16 has been used to perform a longer benchmarking experiment based on the OMIP2 framework. The eddy-permitting and non-eddying ocean-sea ice systems are components of the CMCC-CM2 and CMCC-ESM2 based on the CESM infrastructure, and they use NEMOV3.6 and CICEv4.1.

665 Due to their different applications, the CMCC global ocean-sea ice model suite is not specifically designed as a model hierarchy for investigating the sensitivity of ocean solutions to grid resolution. However, all models follow the OMIP2 experimental and diagnostic framework. Only the low-resolution experiment has been previously evaluated in a complete OMIP2 integration (six JRA55 cycles); Tsujino et al. 2020 showed that it reproduces the ocean-sea ice climate at the level of realism comparable to results from a majority of the OMIP2 low-resolution models in a wide range of indices.

670 Goal of this evaluation exercise is to highlight a general improvement of many key metrics used in climate modelling when the ocean-sea ice system is run at eddying resolution. The GLOB16 ocean assessment informs which aspects of the model can be used for climate study and provide a benchmark for future developments. As one might expect, the GLOB16 simulation usually presents better results compared to lower-resolution oceans, this is clearly the case for surface currents and internal variability. We show that additional horizontal resolution does not necessarily improve distinct biases in temperature and salinity in all regions. Because of the relatively short integration time, some of the results, such as deep ocean circulation and overturning variability, may not be robust yet (Danabasoglu et al., 2016, Chassignet et al., 2020). Overall, the GLOB16 upper ocean mean state and variability are well reproduced when compared to observational records and the gain due to finer resolution is robust when compared to a coarser resolution ocean. Large-scale surface circulation, patterns of western boundary currents, the Gulf Stream behavior and associated North Atlantic SST biases, ocean heat content, mass exchange from the Indian to the Pacific Ocean, and from the Pacific into the Arctic Ocean are all much improved in GLOB16, when resolution is refined.

680 Several aspects of the ocean dynamics need further process-focused analyses and ocean model development activities, such as the AMOC magnitude and variability, and the weak ACC transport (weaker than observed values). These GLOB16 shortcomings are partly due to the relatively short integration length needed by eddy-rich simulation to accurately resolve the response of the deep ocean. The GLOB16 improvements and weaknesses presented in this study are consistent with results from the previous intercomparison of OMIP2 runs carried at low- and high-resolution (Chassignet et al., 2020). In spite of its shortcomings, the evaluation leads us to conclude that GLOB16 appears to be competitive with similar models from other institutions (Chassignet et al. 2020, Kiss et al. 2020, Li et al 2020).

#### 5. Code and Data Availability

690 The NEMO model is freely available and distributed under the CeCILL v2.0 license. The version 3.6 code can be downloaded from <https://forge.ipsl.jussieu.fr/nemo>. The ORCA1 and ORCA025 model results are archived on the Earth System Grid Federation (ESGF) nodes. The GLOB16 model results presented in this paper are available at <https://doi.org/10.5281/zenodo.7752243>. The atmospheric forcing for the OMIP-2 exercise is available as input datasets for Model Intercomparison Projects (input4MIPs) at <https://esgf-node.llnl.gov/search/input4mips/> (last access: 16 March 2023). All observed datasets are publicly available at the links provided into the text.



695 **6. Author contribution**

DI, and SM conceived and designed the experiments. PF performed the simulations. DI and PF analysed the model output and observational data. DI wrote the manuscript with contributions from all co-authors. All authors provided scientific input.

**7. Competing interests**

The authors declare that they have no conflict of interest.

700 **8. Acknowledgements**

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