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2 **Technical Note: On HALOE stratospheric water vapor variations and trends at Boulder,**  
3 **Colorado**

4 by

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13 **Abstract.** This study compares time series of stratospheric water vapor (SWV) data at 30 hPa  
14 and 50 hPa from 1993 to 2005, based on sets of Halogen Occultation Experiment (HALOE)  
15 profiles above the Boulder, CO (40°N, 255°E) region and on local frost-point hygrometer (FPH)  
16 measurements. Their differing trends herein agree with most of the previously published  
17 findings. FPH trends are presumed to be accurate within their data uncertainties, and there are  
18 no known measurement biases affecting the HALOE trends. However, the seasonal sampling  
19 from HALOE is deficient at 40°N from 2001 to 2005, especially during late winter and  
20 springtime-, when HALOE SWV time series at 20 hPa 55°N clearly show a springtime  
21 maximum in SWV at 40°N. This study finds that the SWV trends from HALOE and FPH nearly  
22 agree within uncertainties at 30 hPa, but not at 50 hPa, for the more limited time span of 1993 to  
23 2002. Yet, HALOE SWV have at 50 hPa has significant and perhaps uncertain corrections for  
24 interfering aerosol extinction after aerosols from 1992 at 50 hPa, but not at 30 hPa. to 1994.  
25 Northern hemisphere time series and daily SWV plots of SWV near 30 hPa from the Limb  
26 Infrared Monitor of the Stratosphere (LIMS) experiment indicate that there is transport of  
27 filaments of high SWV from polar to middle latitudes during dynamically active, winter and  
28 springtime periods. Although FPH measurements sense SWV variations at all scales, the  
29 HALOE time series do not resolve smaller-scale structures because its time series data are based  
30 on an average of four or more occultations within a finite latitude/longitude sector. It is  
31 concluded that the variations and trends of HALOE SWV are reasonable at 40°N and 30 hPa

32 from 1993 to 2002 and in accord with the spatial scales of its measurements and sampling  
33 frequencies.

34

## 35 **1. Background and Objective**

36 There have been numerous studies of long-term changes of stratospheric water vapor (SWV)  
37 mixing ratios (e.g., Konopka, et al., 2022; Hegglin et al., 2014; Hurst et al., 2011). SWV trends  
38 in the lowermost stratosphere are affected mainly by non-zonal variations of the cold-point  
39 temperature (CPT) at the tropical tropopause, followed by transport of the associated relatively  
40 dry, entry-level air. Hegglin et al. (2014) also report on the roles of the oxidation of methane to  
41 water vapor in the middle and upper stratosphere and of changes in the Brewer/Dobson  
42 circulation (BDC) on water vapor trends throughout the stratosphere. One remaining puzzle is  
43 that the SWV trends from frost-point hygrometer (FPH) measurements above Boulder, CO, are  
44 more positive (or less negative) than zonal average and Boulder region analyses of SWV from  
45 the Halogen Occultation Experiment (HALOE) (Scherer et al., 2008). Lossow et al. (2018)  
46 reported that those differences increase with altitude, and they cautioned that trends over Boulder  
47 may not be representative of zonal-mean values some years. Konopka et al. (2022) found from  
48 reanalysis data that there is a moistening above the Boulder region during late boreal winter and  
49 spring.

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51 The present study reconsiders in Section 2 the HALOE SWV trends and variations near Boulder  
52 for 1993 through 2005 and compares them in Section 3 with those from the Boulder FPH  
53 measurements that are assumed to be accurate. The focus is on the trend differences at 30 hPa,  
54 where Lossow et al. (2018) found that they were largest. Section 4 reports on the SWV trend  
55 differences for the same years at 50 hPa, or where there may be biases in HALOE SWV from its  
56 corrections for interfering aerosols. Section 5 shows a time series of northern hemisphere SWV  
57 near 30 hPa from the Nimbus 7 Limb Infrared Monitor of the Stratosphere (LIMS) dataset of  
58 1978-1979. Daily plots of LIMS geopotential height (GPH) and SWV show the effects of  
59 meridional transport of SWV to 40°N during a dynamically active period in February 1979.  
60 That example provides evidence of a late winter to spring moistening in the Boulder region.  
61 There are also instances of elevated SWV in the HALOE time series at subpolar latitudes at that

62 time of year. Section 6 concludes that the HALOE SWV variations and trends at 40°N are  
63 understandable compared with those from FPH, given the spatial scales of their measurements,  
64 the reduction in sampling by HALOE after 2001, and possible HALOE SWV biases from  
65 interfering aerosols.

66

## 67 **2. Time series analyses of HALOE SWV near Boulder**

68 SWV time series from the HALOE dataset are analyzed by multiple linear regression (MLR)  
69 techniques in the manner of Remsberg (2008) and Remsberg et al. (2018a). Although HALOE  
70 began operations in October 1991, its SWV profiles are degraded in the lower stratosphere in  
71 1991 through mid-1992 because of solar tracking anomalies in the presence of the very large  
72 extinction effects from Pinatubo aerosols. Figure 1a shows HALOE time series data from late  
73 1991 through 2005 for the Boulder sector.

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75 The Boulder region HALOE SWV points of Fig. 1a are for 30 hPa and are based on averages of  
76 profiles within the latitude range of  $40\pm 4^\circ\text{N}$  and the longitude range of  $255\pm 35^\circ\text{E}$ , since HALOE  
77 seldom measured profiles at the exact location of Boulder. A rather narrow latitude range was  
78 chosen for this study because there is a significant latitudinal gradient in SWV near 40°N in both  
79 fall and springtime. The finite longitude range of  $\pm 35^\circ$  attains four or more profiles, most times,  
80 from the SR or SS orbital crossings near Boulder, and it is sufficient for indicating low zonal  
81 wavenumber effects on the SWV field. The data in Fig. 1a from January 1993 onward are fit  
82 with an MLR model that corrects for effects of lag-1 autoregression (AR1) and accounts for  
83 memory between adjacent data points (Tiao et al., 1990); its AR1 coefficient is 0.35. The MLR  
84 model fit to the data of January 1993 through 2005 (solid curve) includes constant and linear  
85 trend terms plus periodic annual (AO), semiannual (SAO) and QBO-like terms. The periodic  
86 QBO-like term is approximated as a 28-mo cycle, based on a Fourier analysis of an initial time  
87 series residual after accounting for the seasonal terms. The model also contains proxy terms for  
88 El Nino/Southern Oscillation (ENSO) forcings and solar cycle flux forcings. Significant terms  
89 are SAO, QBO-like, and ENSO proxy; the latter two terms account for differences from the fit of  
90 the HALOE data in Fig. 1a versus that from a simple seasonal fitting. The dashed line in Fig. 1a

91 represents the sum of the constant term (4.84 ppmv) and a linear trend coefficient of  $-0.22 \pm 0.04$   
92 ppmv/decade, having a confidence interval (CI) of 95% or a trend of  $-4.5 \pm 0.6(2\sigma)$  %/decade.  
93 The SWV trend from Fig. 1a agrees closely with previous trends from HALOE data near 30 hPa  
94 in the latitude range of 35°N to 45°N (Davis et al., 2016; Lossow et al., 2018).

95

96 All MLR term coefficients are reasonably accurate, if the seasonal sampling is good.

97 ~~Yet, However, from 2001 through 2005 there are indications that HALOE SWV in Fig. 1a is~~  
98 ~~larger for few to no sunrise (SR) than for or sunset (SS) occultation samples in Fig. 1a from~~  
99 ~~2002 late winter through 2005. Those differences are springtime. That sampling deficit became~~  
100 ~~longer because HALOE was turned on later following a UARS yaw maneuver and turned off a~~  
101 ~~bit earlier prior to a UARS yaw maneuver and then turned on later following the next yaw event~~  
102 ~~starting in 2001, to conserve power on the UARS spacecraft in late 2001. That. While that~~  
103 ~~change in operating procedure meant that there were fewer accounts for the lack of HALOE SR~~  
104 ~~measurements near 40°N during late winter and springtime after 2001, although it remained good~~  
105 ~~at, the HALOE sampling frequency remains as earlier for lower latitudes (not shown) and~~  
106 ~~higher latitude zones. As an example, HALOE SWV for the longitude sector of Boulder but at~~  
107 ~~the higher latitude zone of  $55 \pm 10^\circ\text{N}$  is shown in Figure 1b, where and shows that the seasonal~~  
108 ~~sampling is also better occurs more regularly compared to that at 40°N in Fig. 1a. Northern~~  
109 ~~hemisphere SWV attains its annual maximum in late winter or early springtime, according to the~~  
110 ~~MLR modeling of HALOE SWV at 55°N (Fig. 1b). Note that HALOE SWV in Fig. 1b at 55°N~~  
111 ~~also has rather high values in early 2002 or following stratospheric warming events in the winter~~  
112 ~~of 2001-2002 (Charlton and Polvani, 2007). If There may be that there was also have been~~  
113 ~~transport of high higher SWV values to 40°N at that time, but HALOE did not observe it directly.~~

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115 ~~The negative HALOE SWV trend in Fig. 1a is affected by the downward shift in SWV values~~  
116 ~~from 2002 onward. Separate MLR analyses of the SS and then the SR data points of Fig. 1a from~~  
117 ~~1993 to 2005 (not shown) yield trends that are significantly more negative for SS (-0.30~~  
118 ~~ppmv/decade) than for SR (-0.17 ppmv/decade). The HALOE SWV trends at 40°N for the time~~  
119 ~~segment from 2001 to 2005 differ because of the timing of and/or lack of their late winter and~~  
120 ~~springtime values. Even so, it is expected that there ought to be decreasing SWV values at 40°N~~

121 during those years in response to the decrease in SWV in the tropical lower stratosphere in early  
122 2001, as noted by Randel et al. (2006), Scherer et al. (2008), Hegglin et al. (2014), and Konopka  
123 et al. (2022) ~~according to the decrease in SWV in the tropical lower stratosphere in early 2001.~~  
124 They reported that there was a slight delay ~~in the~~for a decrease of SWV at 40°N because of the  
125 slow ascent of the dry tropical air plus the subsequent meridional transport and mixing of that air  
126 to middle latitudes.

127  
128 Scherer et al. (2008) ~~also~~ noted that it is perhaps more appropriate to apply two, piecewise linear  
129 trend terms for the MLR modeling of the HALOE SWV data in Fig. 1a, where there is a break  
130 point in 2002. Thus, Figure 1c shows a separate trend analysis of HALOE SWV for the Boulder  
131 sector at 40°N, but only for 1993 to 2002; its average SWV value is 4.62 ppmv and its shorter  
132 trend term is no longer negative but positive at  $0.22 \pm 0.04$  ppmv/decade (or  $4.7 \pm 0.7(2\sigma)$   
133 %/decade). Finally, Figure 2 is the residual (data minus MLR model curve) for the fit in Fig. 1a,  
134 and its variations about the mean are of order  $\pm 0.3$  ppmv. An important test of the adequacy of  
135 the set of terms in its MLR model is whether any structure remains in the residual. No periodic  
136 structure is apparent in Fig. 2, ~~even though~~although there are clear seasonal gaps in the data  
137 series after 2001.

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### 139 **3. Time series of FPH measurements of SWV**

140 Figure 3a is the SWV time series at 30 hPa from the FPH data at Boulder and for 1993-2005 for  
141 comparison with Fig. 1a. Individual FPH profiles were interpolated vertically to obtain SWV  
142 values at the 30-hPa level, and the FPH time series points are also spaced irregularly. SAO,  
143 QBO, ENSO, and Linear terms from the MLR model of Fig. 3a have a significance of better than  
144 90%. The constant term is 4.70 ppmv, which is a bit less than that from the HALOE series (4.84  
145 ppmv) but within the estimated systematic uncertainties for both measurements. The FPH trend  
146 for 1993-2005 is positive or  $+0.17 \pm 0.07$  ppmv/decade (or  $+3.6 \pm 1.5(2\sigma)$  %/decade), as compared  
147 to the negative trend from HALOE ( $-4.5 \pm 0.6(2\sigma)$  %/decade). There is also a change in trend  
148 around 2002 in the FPH data of Fig. 3a, although it is not so apparent because of the rather large  
149 scatter of the FPH points. Figure 3b shows the corresponding FPH MLR analysis for 1993 to

150 2002, which yields an average SWV of 4.62 ppmv and agrees with the average HALOE value  
151 from Fig. 1c. The FPH trend for 1993 to 2002 is  $+0.32 \pm 0.6$  ppmv/decade (or  $+6.9 \pm 1.2$   
152 %/decade), which is more positive than that of HALOE ( $+4.7 \pm 0.7$  %/decade) but only slightly  
153 outside the overlapping envelope (e.g.,  $+5.7$  versus  $+5.4$  %/decade) from their mutual trend  
154 uncertainties.

155  
156 Figure 4 shows the residual (FPH minus MLR) for the time series data of Fig. 3a, where the FPH  
157 points exhibit more scatter compared with the HALOE residual in Fig. 2. Data points of the FPH  
158 record are assumed to be valid and accurate to  $<6\%$  or about  $\pm 0.3$  ppmv, according to the  
159 extensive studies of Hall et al. (2016). The rather large scatter in Fig. 4 exceeds that uncertainty.  
160 Local FPH measurements are sensitive to SWV variations across all spatial scales. Note that the  
161 structure in the FPH residual of Fig. 4 is aperiodic and presumably due to small-scale  
162 atmospheric variations in some instances. Accordingly, it is difficult for the MLR modeling to  
163 fit all the real structure in the FPH data, and its linear trend term is not highly significant.  
164 Conversely, each individual HALOE profile gives an SWV value that is an average across its  
165 tangent view path ( $\sim 300$  km) and with a vertical resolution of no better than two kilometers. The  
166 HALOE time series points are also based on sector averages of four or more profiles. Thus,  
167 HALOE does not resolve SWV variations at small to intermediate scales.

168  
169 There are high FPH SWV values in Fig. 3 on 22 May (5.8 ppmv) and on 26 June 1996 (5.5  
170 ppmv), possibly due to elevated SWV in filaments of polar vortex air that were transported to  
171 and remained isolated above the location of Boulder for days to weeks (e.g., Manney et al.,  
172 2022). A search of individual profiles from HALOE reveals SWV values of order 6.5 ppmv at  
173  $60^\circ\text{N}$ ,  $270^\circ\text{E}$  in mid-March 1996. Temperature at that higher latitude location is only 200 K and  
174 methane is only 0.4 ppmv, both of which are characteristic of winter vortex air. HALOE also  
175 found a small region of high SWV ( $\sim 5.8$  ppmv) and low methane in several soundings near  
176  $44^\circ\text{N}$ ,  $170^\circ\text{E}$  on 12 May 1996. In another instance, FPH has high SWV on 12 April 2000 (5.9  
177 ppmv). HALOE SWV approached 7.0 ppmv near  $60^\circ\text{N}$ ,  $270^\circ\text{E}$  about a month earlier on 18  
178 March 2000; there are also several HALOE values greater than 5.0 ppmv at  $40^\circ\text{N}$  on 20 April  
179 2000. An example of a source of the elevated SWV is considered in Section 5.

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#### 181 4. Uncertainties for the HALOE SWV trends

182 Gordley et al. (2009) reported that there are no indications of an instrument bias for the HALOE  
183 SWV trends. However, HALOE SWV profiles ~~may can~~ be affected by residual effects from  
184 cloud tops and subvisible cirrus, as shown for HALOE ozone (Bhatt et al., 1999). As a result,  
185 ~~the~~ HALOE SWV trends at pressure levels of 100 hPa and even 70 hPa may not be accurate.  
186 Harries et al. (1996) ~~also~~ reported that ~~a given~~ HALOE SWV ~~profile is~~ profiles are uncertain by  
187 ~~8%~~ at 40 hPa ~~by 8% in 1992~~ because of interfering aerosols, and Hervig et al. (1995)  
188 ~~made~~ showed that there are significant ~~aerosol~~ corrections for Pinatubo aerosols at 36°N in mid-  
189 1992 for the retrieval of HALOE SWV at 30 hPa and, especially at 50 hPa, ~~following the~~  
190 ~~Pinatubo eruption. Figure 5 is the HALOE time series at 50 hPa; note that the abrupt decrease of~~  
191 ~~SWV occurs in mid-2001. While the HALOE trend is negative from 1993 to 2005, the MLR~~  
192 ~~model fit is positive from December 1992 to mid-2001. Its SAO, AO, QBO, and ENSO terms~~  
193 ~~are significant, its mean value is 4.28 ppmv, and its trend to mid-2001 is  $+3.7 \pm 1.4$  %/decade.~~

194

195 Figure 5 shows HALOE SWV time series points at 50 hPa, where there is a decrease of SWV  
196 starting in mid-2001. Its trend from December 1992 to 2005 is negative or  $-6.6 \pm 0.9$  %/decade.  
197 Yet, the MLR trend is positive ( $+3.7 \pm 1.4$  %/decade) for the shorter period of December 1992 to  
198 mid-2001 or just prior to the abrupt decrease. Its model SAO, AO, QBO, and ENSO terms are  
199 significant, and its mean value is 4.28 ppmv. A secondary trend for the somewhat shorter (and  
200 later) period of January 1994 to mid-2001 is already negative ( $-4.2 \pm 1.2$  %/decade) and nearer to  
201 that of the full period of December 1992 to 2005. It may be that the aerosol correction model has  
202 a bias error that affects retrieved SWV from December 1992 to January 1994.

203

204

205 ~~Figure 6 is the corresponding FPH time series at 50 hPa. It shows no clear change in 2001,~~  
206 ~~largely a consequence of the scatter in the data. Its mean value from late 1992 to mid-2001 is~~  
207 ~~4.21 ppmv, but its trend is  $+10.8 \pm 1.7$  %/decade or much larger than from HALOE. The HALOE~~

208 ~~versus FPH trend difference at 50 hPa is qualitatively like that from Scherer et al. (2008, their~~  
209 ~~Fig. 7). On the other hand, at 30 hPa HALOE has lower aerosol extinction values and yields a~~  
210 ~~SWV trend for 1993 to 2002 that agrees more nearly with that of FPH (HALOE from Fig. 1e is~~  
211 ~~+4.7 %/decade and FPH from Fig. 3b is +6.9 %/decade).~~

212

213 ~~Corrections to SWV from interfering aerosols are more significant and extend for longer times at~~  
214 ~~50 hPa than at 30 hPa.~~ Aerosol extinction profiles are determined from wavelengths of the  
215 HALOE gas filter correlation channels of HF, HCl, CH<sub>4</sub>, and NO (Hervig et al., 1995). Then,  
216 corrections for the HALOE radiometer channels (H<sub>2</sub>O, NO<sub>2</sub>, and O<sub>3</sub>) are a modeled extrapolation  
217 with wavelength from the NO channel aerosol profile at 5.26 micrometers. Example  
218 comparisons of retrieved HALOE SWV versus correlative measurements indicate that the  
219 modeled corrections are qualitatively correct, ~~even~~ in 1992 (Hervig et al., 1996). Nevertheless,  
220 the model for aerosol absorption versus wavelength assumes a size distribution shape and an  
221 aqueous sulfuric acid composition (i.e., refractive index) that is constant with altitude and over  
222 time. Effectively, the aerosol corrections represent a change in aerosol number density only.  
223 That correction model was employed for ~~both a background aerosol layer, as well as for the~~  
224 ~~decay of the Pinatubo aerosol layer.~~ ~~Thus, there can be a residual, time dependent bias for, as~~  
225 ~~well as for near background aerosols. Hervig et al. (1995) estimated the effect of those biases on~~  
226 ~~HALOE SWV due at 50 hPa is +0.8 ppmv for a profile at 36°N in September 1992, or when the~~  
227 ~~aerosol extinction was 5 X 10<sup>-4</sup> km<sup>-1</sup> or close to the beginning date of December 1992 in the~~  
228 ~~MLR analysis of Fig. 5. The HALOE data show that aerosol correction model.~~ ~~Perhaps~~  
229 ~~HALOE SWV is under corrected at 50 hPa for the extinction and its effects of aerosols through~~  
230 ~~the mid-1990s on SWV had declined by about a factor of five by January 1994.~~

231

232 Figure 6 is the corresponding FPH time series at 50 hPa. It shows no clear change in 2001,  
233 largely a consequence of the scatter of its data points. Its mean SWV value from late 1992 to  
234 mid-2001 is 4.21 ppmv, but its trend is +10.8±1.7 %/decade or much larger than from HALOE.  
235 Still, it is noted that the FPH trends are variable with time because of the significant scatter of the  
236 points in its time series. Nevertheless, the HALOE versus FPH trend differences at 50 hPa are  
237 qualitatively like those obtained by Scherer et al. (2008, their Fig. 7).



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HALOE measurements at 30 hPa are affected by a lower aerosol extinction of  $2 \times 10^{-4} \text{ km}^{-1}$  from September to December 1992, and its SWV values are uncertain by only  $\pm 0.2$  ppmv. Note that the SWV trend at 30 hPa agreed better with that from FPH (HALOE from Fig. 1c is  $+4.7 \text{ \%/decade}$  and FPH from Fig. 3b is  $+6.9 \text{ \%/decade}$ ). By January 1994 the aerosol extinction values at 30 hPa declined by nearly a factor of ten, and HALOE SWV is nearly unaffected by aerosol corrections thereafter.

HALOE SWV trends should be ~~more~~most accurate ~~above~~in the ~~aerosol layer~~absence of aerosols. As a check on that likelihood, Figure 7 shows the corresponding fit of the HALOE SWV time series from 1993 to 2002 at 20 hPa, or just above the top of the volcanic aerosol layer. SWV has a positive vertical mixing ratio gradient with altitude, due to the oxidation of methane to SWV in the middle stratosphere, and average SWV at 20 hPa is 4.74 ppmv or a bit higher than that at 30 hPa (4.62 ppmv). A combined AO/SAO maximum shows clearly in Fig. 7, where the AO amplitude is twice that of the SAO and the AO and SAO phase maxima ~~are~~occur on 19 February and 9 April, respectively. Those seasonal cycles confirm the late winter/early spring moistening found in reanalysis data at 40°N by Konopka et al. (2022).

The HALOE SWV trend at 20 hPa for 1993-2002 is  $+6.9 \pm 0.9$  ( $2\sigma$ )  $\text{\%/decade}$ , which agrees with that at 30 hPa from FPH ( $+6.9 \pm 1.2 \text{ \%/decade}$ ). (There are too few FPH data at 20 hPa for a direct trend comparison with HALOE.) Yet, the HALOE trend at 20 hPa is significantly more positive than its trend at 30 hPa ( $+4.7 \pm 0.7 \text{ \%/decade}$ ). Remsberg (2015, Table 1) reported ~~significant~~ positive trends for HALOE methane in the tropical middle stratosphere of order 10%/decade, a small fraction (certainly less than half) of which may have undergone an oxidization to SWV and subsequent transport to 40°N. The increase of  $2.2 \text{ \%/decade}$  for the HALOE SWV trend from 30 to 20 hPa could be due to that process alone. Thus, it ~~may be~~is inferred that the HALOE aerosol corrections at 30 hPa are small and quite reasonable over time, too.

## 267        **5. Source for the springtime moistening at 40°N**

268 Hegglin et al. (2014) and Remsberg (2015) showed that both methane and water vapor from  
269 limb-viewing satellite datasets (SPARC, 2017) are good indicators of seasonal variations of the  
270 BDC in the stratosphere. They reported on a hemispheric asymmetry for the net circulation,  
271 where the BDC in the northern hemisphere (NH) is stronger and its methane and relative SWV  
272 trends are more positive than in the southern hemisphere. The strength of the NH BDC is  
273 enhanced in winter, primarily due to effects of forcings from planetary waves. There is chemical  
274 conversion of methane to water vapor in the middle and upper stratosphere followed by descent  
275 of that relatively moist air to the lower stratosphere in the region of the polar vortex.

276  
277 Seasonal SWV data from the LIMS experiment illustrate the above process for 1978-1979.  
278 Figure 8 (from Remsberg et al., 2018b, their Fig. 14) displays a seasonal increase in SWV within  
279 the NH on the 550 K potential temperature surface (near 30 hPa) in terms of its area diagnostic  
280 versus equivalent latitude, which is a vortex-centered display of SWV along potential vorticity  
281 contours. Fig. 8 indicates that enhanced values of water vapor descended to this surface in the  
282 vortex region by early January and continued through March. Specifically, there was an  
283 equatorward expansion of the average SWV value of 5.2 ppmv to the equivalent latitude of 40°N  
284 during mid-February and from mid-March onward, as the high latitude air mixed with lower  
285 latitude air. Note that the 550 K surface is well above the tropical tropopause, minimizing  
286 effects due to any meridional exchanges of water vapor within the lowermost stratosphere.  
287 Similar analyses of seasonal changes of ozone also show that there is further descent to lower  
288 potential temperature levels during springtime and a similar transport and mixing of polar air to  
289 lower latitudes at those levels (Curbelo et al., 2021).

290  
291 Polar plots of LIMS Version 6 (V6) geopotential height (GPH) and SWV for 17 February 1979  
292 are in Figures 9 and 10. They indicate the effects of meridional transport of polar air to middle  
293 latitudes, in response to a high latitude, zonal wave-2 event. Fig. 9 shows high GPH (and  
294 anticyclonic circulation) in the Aleutian and eastern Atlantic sectors and low GPH in the polar  
295 vortex (cyclonic) that extends southward across North America. The associated higher values of  
296 SWV in Fig. 10, though somewhat noisy, are characteristic of vortex air that also underwent a

297 southward transport. The vortex (region of highest SWV) is elongated and extends equatorward  
298 around 90°E and 270°E. There is also a filament of high SWV (>5.5 ppmv) at the latitude of  
299 Boulder and across adjacent longitudes. The seasonal time series display of NH SWV in Fig. 8  
300 shows that this is when the 5.2 ppmv contour extends to near 40°N equivalent latitude.

301

302 Figure 8 also indicates that there was an initial descent of polar air with higher values of SWV to  
303 near the 31.6 hPa surface around 10 January. Then there was a more general expansion of  
304 elevated SWV to the equivalent latitude of 40°N by the end of January (follow the 4.8 ppmv  
305 contour in Fig. 8). Similar instances of meridional transport and mixing to North American  
306 middle latitudes are a likely cause of the sporadic appearance of high SWV values during the  
307 winter and early spring seasons of the FPH measurements in Fig. 3 and in the recent reanalysis  
308 studies of Konopka et al. (2022) and of Wargan et al. (2023). However, the HALOE time series  
309 points in Fig. 1 do not resolve such features so well because they are based on averages of four  
310 or more profiles from within the rather large sector around Boulder.

311

312 HALOE SWV time series were also analyzed for occurrences of higher SWV in three separate  
313 longitude sectors (North America, 255±35°E; Aleutian, 180±35°E; and European, 35±35°E)  
314 from 1993 to 2002. There are several such instances at 40°N in the Boulder sector (Fig. 1), but  
315 none in the Aleutian or European sectors (not shown). Conversely, Figure 11 shows that there  
316 are several positive SWV anomalies within the higher latitude zone of 53±7°N in the European  
317 sector but none in the Boulder or Aleutian sectors (not shown). SWV in Fig. 11 approaches 6.0  
318 ppmv in four instances (on 22 April 1994, 14 April 1996, 7 March 2000, and 14-19 February  
319 2001), and average SWV is 5.14 ppmv. All four instances are accompanied by low values of  
320 methane, which is a tracer of the transport of polar air to lower latitudes. The instances in 2000  
321 and 2001 also occurred just after temperatures in the upper stratosphere were of order 270 K or  
322 like that for a sudden stratospheric warming (SSW) event. There was a rather extended area of  
323 higher SWV over Europe, not merely a filament of vortex air, following those events.

324

## 325 **6. Summary and Conclusions**

326 Analyses of time series of HALOE and FPH SWV were conducted at 30 hPa and 50 hPa for the  
327 Boulder region. Sampling frequencies for both sets of time series are of the order of a few days  
328 to several weeks. The SWV trend in the Boulder region is positive from the FPH and negative  
329 from the HALOE data from 1993 to 2005. It is assumed that the time series of FPH SWV  
330 measurements are accurate, or to within their uncertainties of <6%; the foregoing HALOE/FPH  
331 trend differences appear significant. However, there are rather large gaps at 40°N during late  
332 winter and spring in the HALOE time series after 2001, due to the limited power that was  
333 available for HALOE operations. This makes it is more difficult to resolve the seasonal terms  
334 and the trend term from HALOE data after 2001.

335  
336 The HALOE SWV trend goes from positive to negative around 2002, and that change is a  
337 delayed effect following the sharp decrease in tropical, lower stratospheric SWV that occurred  
338 early in 2001. The FPH time series has a trend that is less positive after 2001, too, although that  
339 change is not so obvious because of the larger scatter for its points. It is more appropriate to fit  
340 two, piecewise linear trends to both the HALOE and FPH time series with a break point in 2002.  
341 There are no known measurement biases that are affecting the HALOE trends. However, the  
342 retrievals of HALOE SWV do have significant and uncertain corrections for interfering aerosol  
343 extinction following the eruption of Pinatubo, particularly at 50 hPa, where the trends from  
344 HALOE and FPH disagree. The analyzed HALOE trend ( $+4.7 \pm 0.7$  %/decade) at 30 hPa agrees  
345 more closely with that from FPH ( $+6.9 \pm 1.2$  %/decade), or where the aerosol corrections are  
346 relatively small after 1992.

347  
348 The HALOE SWV time series at 20 hPa clearly shows a springtime maximum. Northern  
349 hemisphere SWV time series from the Limb Infrared Monitor of the Stratosphere (LIMS)  
350 experiment indicate a transport of higher SWV from polar to middle latitudes during late winter  
351 and springtime. Daily surface maps of LIMS SWV reveal filamentary structure at the latitude of  
352 40°N during and following dynamically active periods. Surface maps of GPH verify that there  
353 was meridional transport of high SWV from the polar vortex to the latitude of 40°N at those  
354 times. Whereas FPH measurements sense SWV variations at all scales, the HALOE time series  
355 do not resolve intermediate to smaller scale structure because its data points are based on an

356 average of four or more occultation profiles within a finite latitude/longitude sector centered on  
357 Boulder. It is concluded that the variations and trends of HALOE SWV are reasonably accurate  
358 at 40°N and 30 hPa for 1993 to 2002 and in accord with the spatial scales of its measurements  
359 and its sampling frequencies.

360

### 361 **Data Availability**

362 The LIMS V6 Level 3 product and the HALOE V19 profiles are at the NASA EARTHDATA  
363 site of EOSDIS and its Website as:

364 [https://disc.gsfc.nasa.gov/datacollection/LIMSN7L3\\_006.html](https://disc.gsfc.nasa.gov/datacollection/LIMSN7L3_006.html), and as

365 [https://disc.gsfc.nasa.gov/datacollection/UARHA2FN\\_019.html](https://disc.gsfc.nasa.gov/datacollection/UARHA2FN_019.html), respectively.

366 Frost point hygrometer (Lev) data were downloaded from the NOAA website:

367 [https://gml.noaa.gov/aftp/data/ozwv/WaterVapor/Boulder\\_New/](https://gml.noaa.gov/aftp/data/ozwv/WaterVapor/Boulder_New/).

368

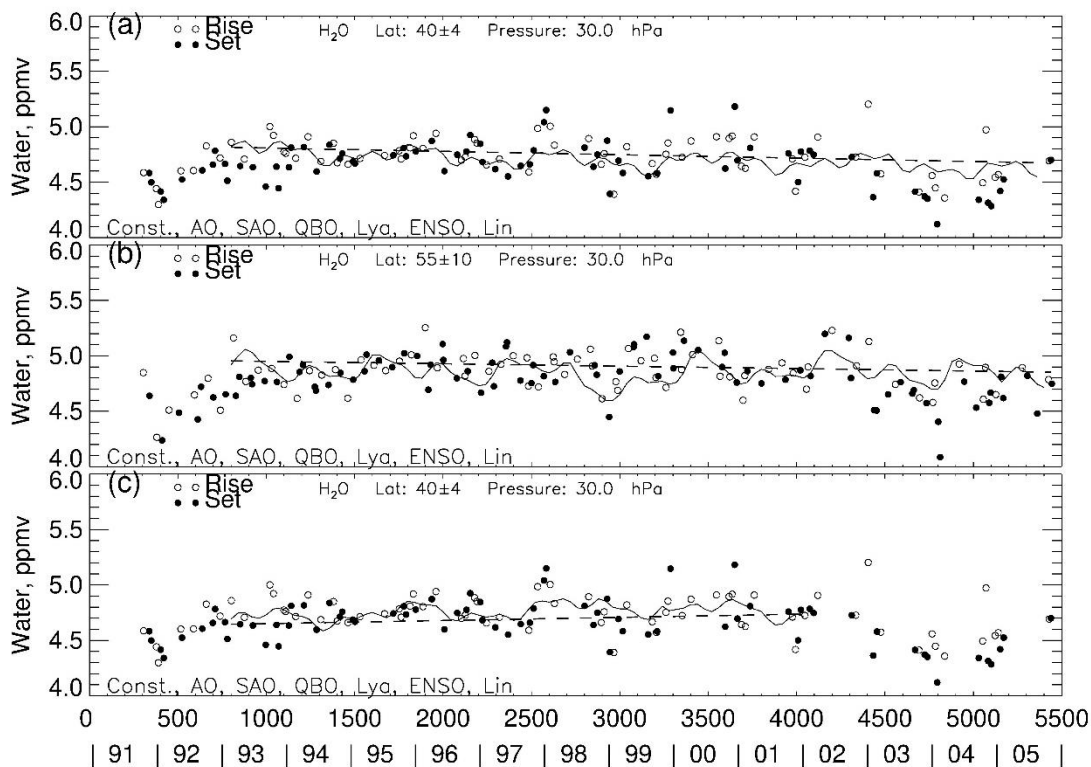
369 *Competing interests:* The author declares no competing interests.

370

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374 Research Associate of the Science Directorate at NASA Langley.

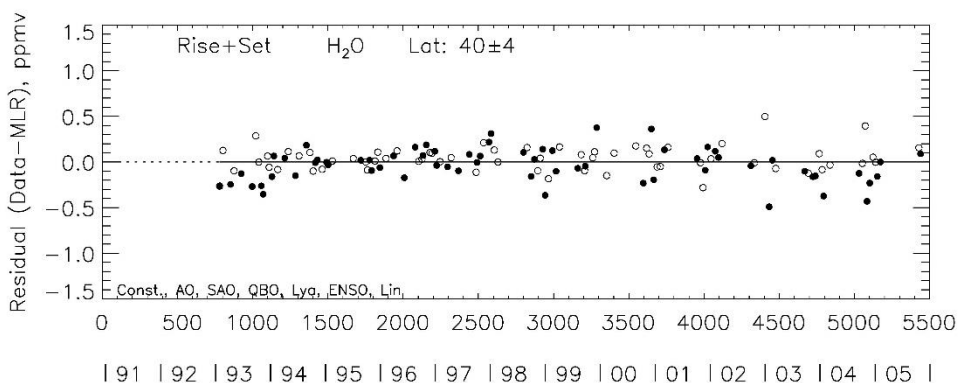
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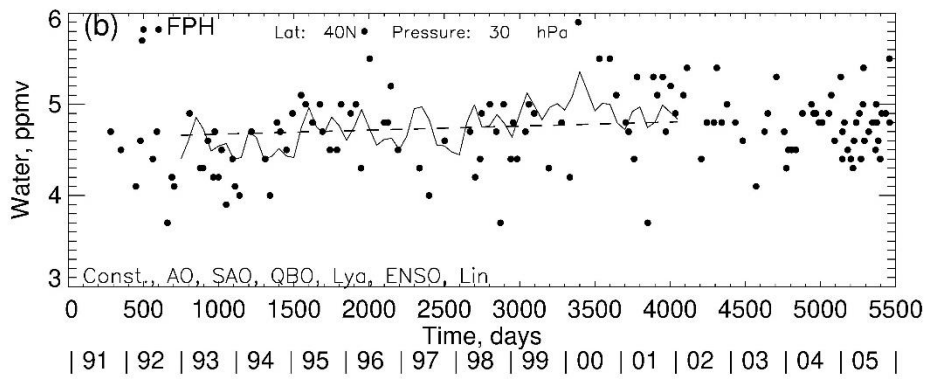
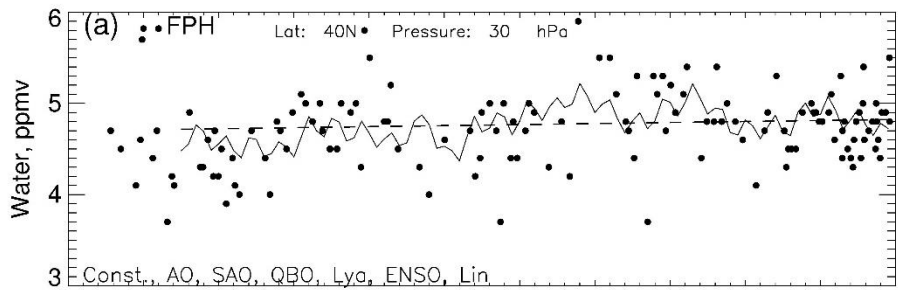
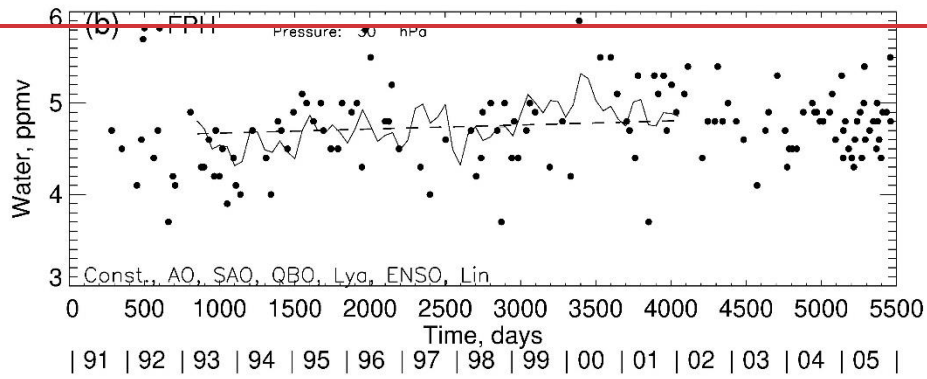
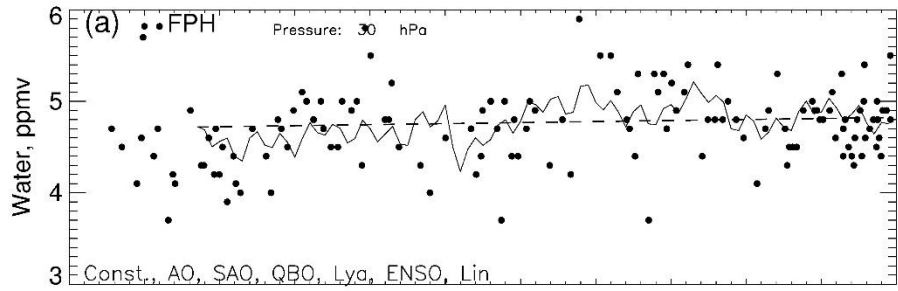
378  
 379 Figure 1—MLR fit to a HALOE SWV time series; (a) Boulder sector, 40°N, 1993-2005, (b) at  
 380 55°N, and (c) 40°N, 1993-2002. The fit of all the MLR terms is the oscillating curve; the linear  
 381 trend term is the straight dashed line. Time by year or in days on abscissa begins Jan. 1, 1991.

382



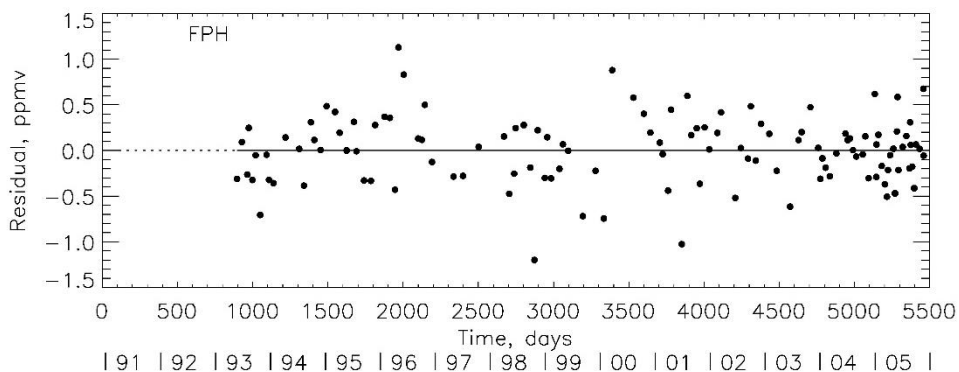
383  
 384 Figure 2—Residual from MLR model fit to HALOE time series data of Fig. 1(a).

385



389 Figure 3—Time series of FPH data and MLR fit to them for comparison with Fig. 1; (a) 1993-  
390 2005, (b) 1993-2002.

391



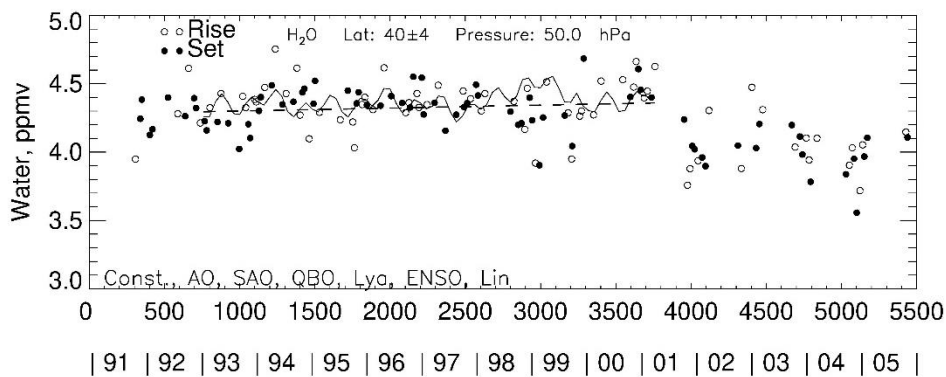
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393 Figure 4—Time series residual for the MLR fit to the FPH data of Fig. 3(a).

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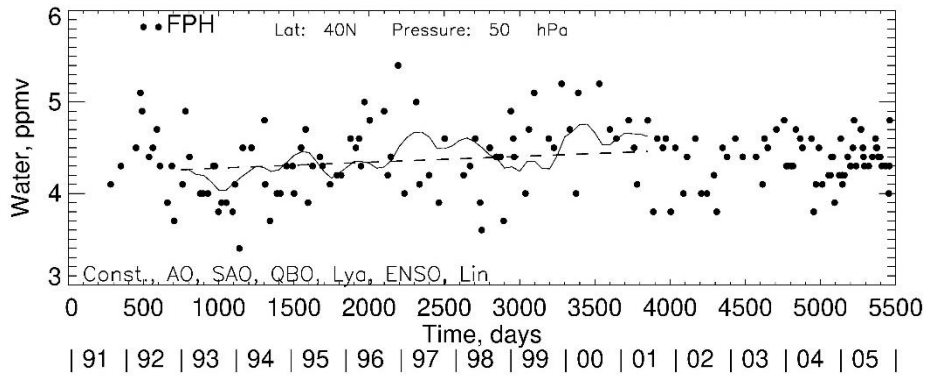


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398 Figure 5—HALOE time series data at 50 hPa and MLR fit to them for 1993 to 2002.

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400

401 Figure 6—As in Fig. 5, but for FPH data.

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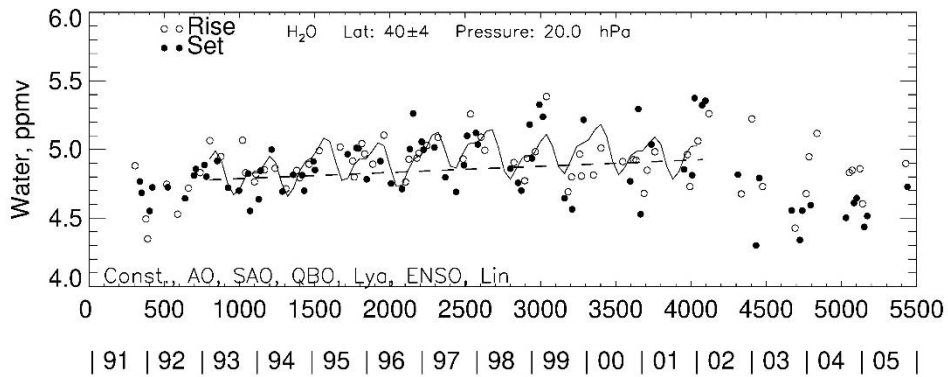
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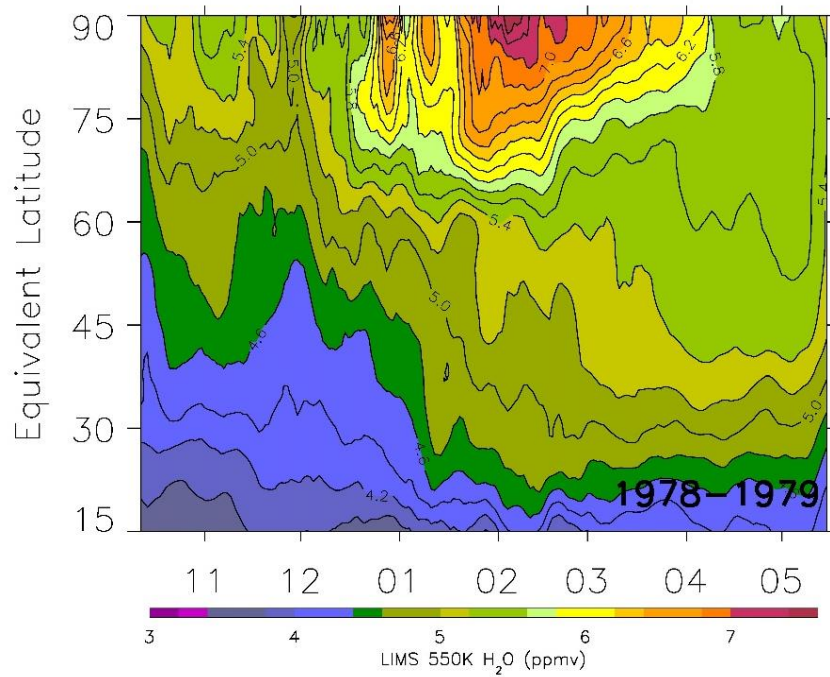
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412 Figure 7—HALOE time series data at 20 hPa and MLR fit to them for 1993 to 2002.

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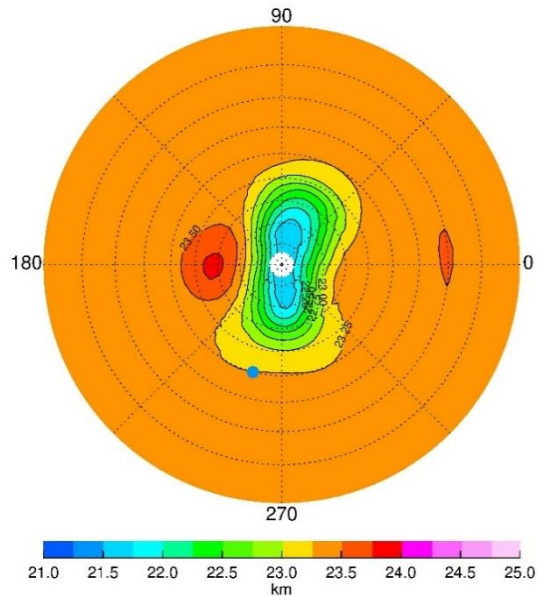


414

415 Figure 8—Time series of LIMS water vapor vs. equivalent latitude at 550 K and with smoothing  
416 over 7 days. Contour interval is 0.2 ppmv. Tic marks along the abscissa denote the middle of  
417 each month.

418

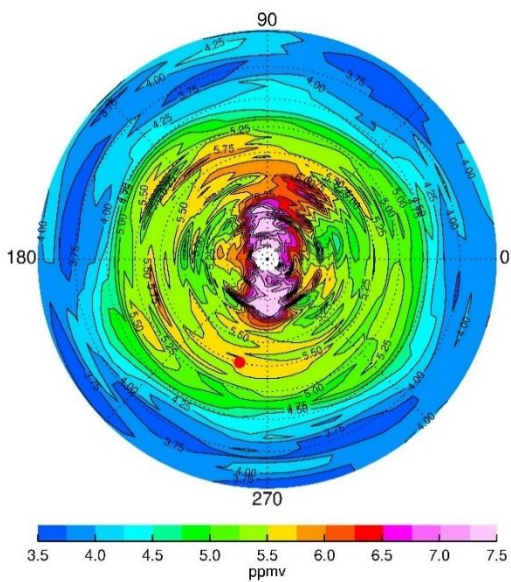
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420

421 Figure 9—NH plot on the 31.6-hPa surface for 17 February 1979 of LIMS geopotential height  
 422 (GPH). Contour increment for GPH is 0.25 gpkm, and dashed circles are at every 10° of  
 423 latitude. Blue dot is location of Boulder, CO (40°N, 255°E).

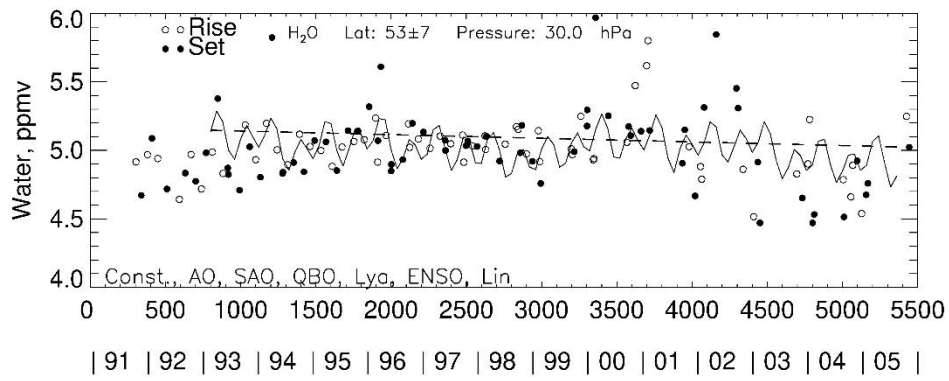
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425

426 Figure 10—As in Fig. 9, but for LIMS SWV on 17 February. Contour interval (CI) is 0.25  
 427 ppmv. Red dot is location of Boulder.

428



429

430 Figure 11—As in Fig. 1(a), but for a European sector, centered at 53°N, 35°E.

431

432

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