

1 Quantifying Effects of Earth Orbital Parameters and 2 Greenhouse Gases on Mid-Holocene Climate

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9 **Abstract.** The mid-Holocene (MH) is the most recent typical climate period, and a subject of great interest in global
10 paleocultural research. Following the latest Paleoclimate Modelling Intercomparison Project phase 4 (PMIP4) protocol
11 and using a fully coupled climate model, we simulated the climate during both the MH and the pre-industrial (PI)
12 periods, and quantified the effects of Earth orbital parameters (ORB) and greenhouse gases (GHG) on climate
13 differences, focusing on the simulated differences in the Atlantic meridional overturning circulation (AMOC) between
14 these two periods. Compared to the PI simulation, the ORB effect in the MH simulation led to seasonal enhancement
15 of temperature, consistent with previous findings. In the MH simulation, the ORB effect led to a remarkably warmer
16 climate in the mid-to-high latitudes and increased precipitation in the Northern Hemisphere, which were partially
17 offset by the cooling effect of the lower GHG. The AMOC in the MH simulation was about 4% stronger than that in
18 the PI simulation. The ORB effect led to 6% enhancement of the AMOC in the MH simulation, which was, however,
19 partly neutralized by the GHG effect. Transient simulation from the MH to the PI further demonstrated opposite
20 effects of ORB and GHG on the evolution of the AMOC during the past 6000 years. The simulated stronger AMOC in
21 the MH was mainly due to the thinner sea ice in the polar oceans caused by the ORB effect, which reduced the
22 freshwater flux export to the subpolar Atlantic and resulted in a more saline North Atlantic. This study may help us
23 quantitatively understand the roles of different external forcing factors in Earth's climate evolution since the MH.
24 **Keywords:** Mid-Holocene, Earth orbital parameters, Greenhouse gases, Atlantic Meridional Overturning Circulation

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26

27 **1. Introduction**

28 The mid-Holocene (MH; 6000 years before the present) is a period of profound cultural transition worldwide,
29 particularly in the arid-semi-arid belt of $\sim 30^{\circ}\text{N}$ (Sandweiss et al., 1999; Moss et al., 2007; Roberts et al., 2011;
30 Warden et al., 2017). The MH climate, which belongs to the Holocene climatic optimum (Rossignol-Strick, 1999;
31 Chen et al., 2003; Zhang et al., 2020), differs notably from that of the subsequent period. Many studies have shown
32 that the development of human civilization during this period was influenced by the climate, which was closely related
33 to external factors such as the Earth's orbital parameters (ORB), greenhouse gases (GHG), and solar constants (Jin,
34 2002; Wanner et al., 2008; Warden et al., 2017). Therefore, it is of great interest to study the MH climate, for a better
35 understanding of the influence of external forcing factors on human civilization.

36 As the key benchmark period of the Paleoclimate Modelling Intercomparison Project (PMIP) program
37 (Joussaume and Taylor, 1995; Kageyama et al., 2018), the MH experiment was designed to examine climate response
38 to a change in the seasonal and latitudinal distribution of incoming solar radiation caused by known changes in Earth
39 orbital forcing. As the program evolved, the GHG concentrations used in the MH experiments are closer to the true
40 values (Monnin et al., 2001, 2004). However, most studies focused on the general climate differences between the MH
41 and pre-industrial (PI) periods; the individual effects of the ORB and GHG on the climate itself are not isolated. Some
42 studies examined the role of GHG by comparing different PMIP programs. Otto-Bliesner et al. (2017) found that the
43 change in the experimental protocol between PMIP phase 4 and PMIP phase 3 (PMIP4 and PMIP3 hereafter,
44 respectively), with a reduction in CO_2 concentration from 280 to 264.4 ppm, would reduce GHG forcing by about 0.3
45 W/m^2 . This change can produce an estimated global mean cooling in surface air temperature (SAT) of about 0.28°C
46 based on the climate sensitivity of difference models in PMIP4 (Brierley et al., 2020). The GHG contribution to
47 temperature change is small, but not negligible. Quantifying the effects of ORB and GHG on the difference between
48 the MH and PI has important implications for a deeper understanding of the roles played by external forcing factors in
49 the past climate.

50 The Atlantic meridional overturning circulation (AMOC) is considered an important heat transmitter of the
51 Earth's climate system, which affects global climate on various timescales (Rahmstorf, 2006). Paleoclimate studies
52 showed that the weakening or stopping of the AMOC can result in substantial cooling across the Northern Hemisphere
53 (NH) (Brown and Galbraith, 2016; Yan and Liu, 2019). In recent years, predictions concerning future behavior of the
54 AMOC by the Intergovernmental Panel on Climate Change (IPCC) are accompanied by notable uncertainties,
55 particularly due to the substantial variability in anticipated AMOC changes under different emission scenarios (Fox-
56 Kemper et al., 2021). Therefore, simulating past AMOC changes and exploring the effects of different forcing factors

57 on its behavior will help us understand the nature of abrupt climate change in the past and mitigate uncertainties in
58 future climate projections. In the previous MH simulations of the PMIP, the AMOC was generally stronger than that
59 of the PI (Găinușă-Bogdan et al., 2020); this change in the AMOC is related to sea ice feedback, and the simulation
60 results may be slightly different due to model or resolution differences (Shi and Lohmann, 2016; Shi et al., 2022).
61 Recent studies suggested that the difference of the AMOC between the MH and PI periods in PMIP4 ensemble
62 simulation is not significant (Brierley et al., 2020). By comparing the strength of the AMOC during the interglacial
63 period, it was found that the variation range of the AMOC in the MH is within the internal variability range of all
64 models; and the ORB does not seem to have played a role (Jiang et al., 2023a). By examining multi-model transient
65 simulations that all include two or more external forcing factors, Jiang et al. (2023b) reported that the AMOC did not
66 change much from the MH to the PI, which is consistent with some proxy reconstructions.

67 In this paper, we further study the mechanism of weak difference of the AMOC between the MH and PI periods.
68 The effects of different external forcings on the AMOC are quantified through several sensitivity experiments.
69 Multiple transient experiments are also performed to verify the roles of different forcing factors in long-term climate
70 evolution. This paper is structured as follows. An introduction to the fully coupled climate model is given in section 2,
71 along with experimental design. In section 3, we present the effects of ORB and GHG on the MH climate, and their
72 effects on the Hadley cell and AMOC. The changes of North Atlantic Ocean buoyancy between the MH and PI
73 periods in both equilibrium and transient experiments are described in section 4. Summary and discussion are given in
74 section 5.

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76 **2. Model and experiments**

77 The coupled model used in this study is the National Centre for Atmospheric Research's Community Earth
78 System Model version 1.0 (CESM1.0). It includes atmospheric, oceanic, sea-ice, and land model components. The
79 atmospheric model consists of 26 vertical levels and T31 horizontal resolution (roughly $3.75^\circ \times 3.75^\circ$). The land model
80 shares the same horizontal resolution as the atmospheric model. The ocean model has 60 vertical levels, and employs
81 gx3v7 horizontal resolution. In the zonal direction, the grid has a uniform 3.6° spacing. In the meridional direction, the
82 grid is nonuniformly spaced: it is 0.6° near the equator, gradually increases to the maximum 3.4° at $35^\circ\text{N}/^\circ\text{S}$, and then
83 decreases poleward. The sea-ice model has the same horizontal resolution as the ocean model. More details on these
84 model components can be found in a number of studies (Smith and Gent, 2010; Hunke and Lipscomb, 2010; Lawrence
85 et al., 2012; Park et al., 2014).

86 To quantify the effects of ORB and GHG on climate differences between the MH and PI periods, we designed
87 three sensitivity experiments following the PMIP4 protocol (Table 1). Exp MH uses the ORB and GHG in the MH
88 period. Exp MH_ORB uses the ORB in the MH period and the GHG in the PI period. Exp PI uses the ORB and GHG
89 in the PI period. Note that our simulations do not intend to compare climate states between PMIP3 and PMIP4; we
90 want to isolate the individual effects of ORB and GHG within the framework of the PMIP4. There are differences
91 between PMIP3 and PMIP4 in solar constant and GHG concentration. The solar constant in the three experiments is
92 set to 1360.75 W/m². The specific values of the ORB are listed in Table 1 (Berger and Loutre, 1991); and the GHG
93 data comes from the ice-core records of the Antarctica and Greenland (Otto-Bliesner et al., 2017). The vernal equinox
94 is set to noon on 21 March. Exps MH and MH_ORB start from the PI condition, and each of the three experiments is
95 integrated for 2000 years and reaches the equilibrium by then (Fig. 5a). The effect of ORB is obtained by subtracting
96 Exp PI from Exp MH_ORB, and the effect of GHG is obtained by subtracting Exp MH_ORB from Exp MH. The
97 combined effect of ORB and GHG is obtained by subtracting Exp PI from Exp MH. In this paper, we use the monthly
98 mean data of the last 500 years of each model simulation for analysis (Fig. 5a).

99 To enhance the rigor of our study and confirm the effects of ORB and GHG on the climate evolution from the
100 MH to the PI, we conducted three additional transient experiments (Table 2): Exp ORB represents the transient
101 experiment for ORB; Exp GHG, the transient experiment for GHG; and Exp Full, the experiment where ORB, GHG,
102 and total solar irradiance are applied concurrently. The ORB data in the transient experiments is from Berger and
103 Loutre (1991), the GHG data is interpolated from GHG data reconstructed from Antarctic ice cores, and the total solar
104 irradiance data is from the PMIP4 SATIRE-M solar forcing data (Otto-Bliesner et al., 2017). Each transient
105 experiment starts at the MH and concludes at the PI, spanning a total of 5900 model years. We use model years 1–500
106 to represent the MH climate (Stage 1) and model years 5401–5900 to represent the PI climate (Stage 2), and then
107 compare the difference between Stage 1 and Stage 2 to the results of the equilibrium experiments (Fig. 5b). The
108 settings for forcing information in the transient experiments are listed in Table 2.

109

110 **Table 1. Forcings and boundary conditions in equilibrium experiments. More details can be found in Otto-Bliesner et al.**
111 **(2017).**

	Exp MH	Exp PI	Exp MH_ORB
Orbital parameters			Same as Exp MH
Eccentricity	0.018682	0.016764	0.018682
Obliquity (degrees)	24.105	23.459	24.105
Perihelion – 180	0.87	100.33	0.87

Greenhouse gases		Same as Exp PI	
CO ₂ (ppm)	264.4	284.3	284.3
CH ₄ (ppb)	597	808.2	808.2
N ₂ O (ppb)	262	273.0	273.0

112

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Table 2. Forcing and boundary conditions in transient experiments.

	Exp ORB	Exp GHG	Exp Full
Orbital parameters	Berger and Loutre (1991)	Same as Exp MH Flückiger et al. (2002)	Same as Exp ORB
Greenhouse gases	Same as Exp MH	Monnin et al. (2004) Spahni et al. (2005)	Same as Exp GHG
Total solar irradiance	Same as Exp MH	Same as Exp MH	Otto-Bliesner et al. (2017)

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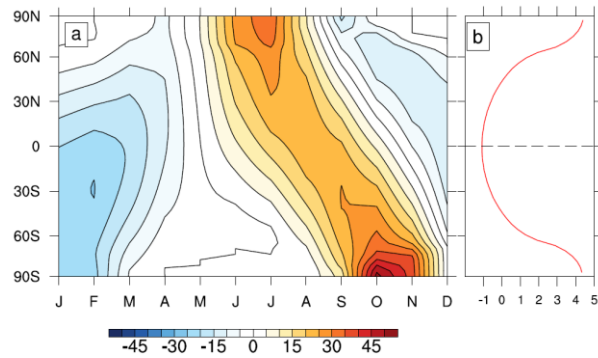
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Orbital parameters include eccentricity, precession, and obliquity. In the past six millennia, both eccentricity and obliquity did not change much. The main change came from precession, which is influenced by eccentricity and the longitude of perihelion. As a result, perihelion is close to the NH autumn equinox in the MH period and close to the NH winter solstice in the PI period. Therefore, with respect to Exp PI, the solar energy received at the top of the atmosphere (TOA) in Exp MH changed seasonally and latitudinally, as shown in Fig. 1a. Compared to Exp PI, Exp MH had higher NH summer radiation and lower winter radiation, and the difference during June–August (JJA) reached 30 W/m² in the high latitudes. Smaller precession led to more radiation received in the NH summer in the MH period. Figure 1b shows the meridional variation of annual mean shortwave radiation at the TOA, which is greater than 4 W/m² poleward of 45°N(S), but negative and smaller than 1 W/m² between 45°S and 45°N. This situation is associated with the larger obliquity in the MH (Otto-Bliesner et al., 2006; Williams et al., 2020). In addition, the difference of GHG between the MH and PI periods can lead to an effective radiative forcing of 0.3 W/m² (Otto-Bliesner et al., 2017).



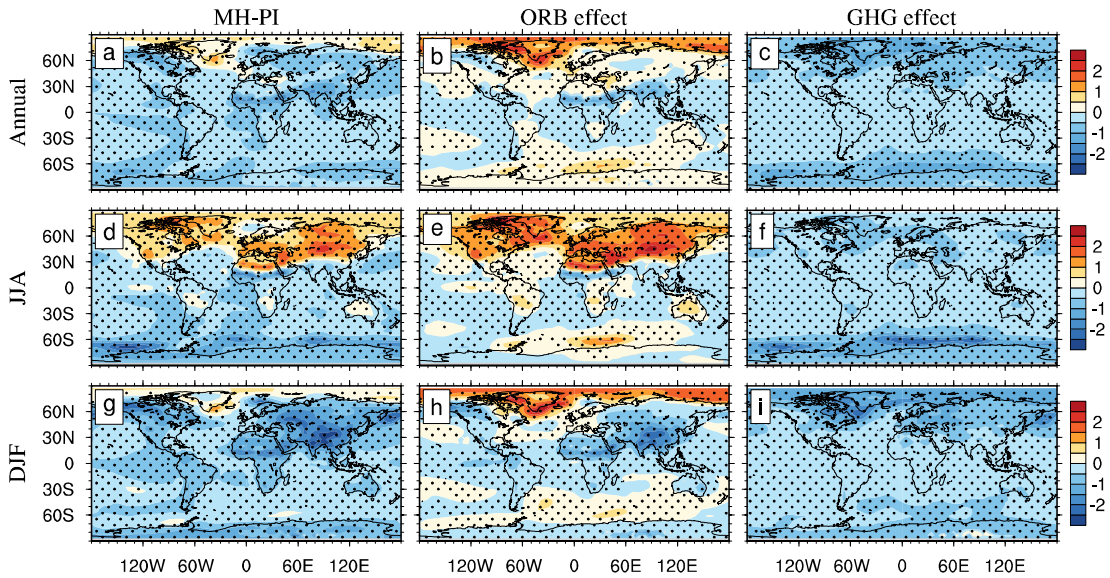
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128 **Figure 1 (a) Latitude-month distribution of solar radiation change at the TOA in Exp MH, and (b) annual mean solar**
 129 **radiation change, with respect to Exp PI. Units: W/m².**

130 **3. Results**

131 **3.1 Surface air temperature and precipitation**

132 Compared to Exp PI, Exp MH has warmer annual mean temperatures in the NH high latitudes and cooler
 133 temperatures in the rest of the globe (Fig. 2a), while Exp MH_ORB has a warmer surface at mid–high latitudes in both
 134 the NH and SH, with a greater range and magnitude than Exp MH (Fig. 2b). Figure 2b shows direct response to the
 135 meridional change of annual mean solar radiation. The lower GHG in the MH contributed to a lower global surface
 136 temperature, which is clear in the mid–high latitudes (Fig. 2c). In the NH summer (June–August, or JJA), Exp MH
 137 shows a general warming of more than 1°C north of 30°N, which is more significant in Greenland and Euro-Asian
 138 continent, and a cooling belt in northern India and central Africa (Fig. 2d), which is associated with increased rainfall
 139 due to the enhanced monsoon (Fig. 2d). The magnitude and extent of warming due to the ORB effect are apparently
 140 greater, with warming of up to 3°C in central Asia (Fig. 2e). The GHG cooling is more pronounced over the Southern
 141 Ocean (Fig. 2f). In the NH winter (December–February, or DJF), only the NH polar latitudes remain the warming.
 142 There is strong cooling (up to 3°C) in the African and Euro-Asian continents (Fig. 2g). The patterns under the ORB
 143 and GHG forcing are similar to their annual mean situations, except for the enhanced cooling in South Asia and
 144 central Africa (Fig. 2h) and over the subpolar Atlantic (Fig. 2i). Most figures show polar amplification, which may be
 145 related to the change of sea ice (Otto-Bliesner et al., 2017; Williams et al., 2020).

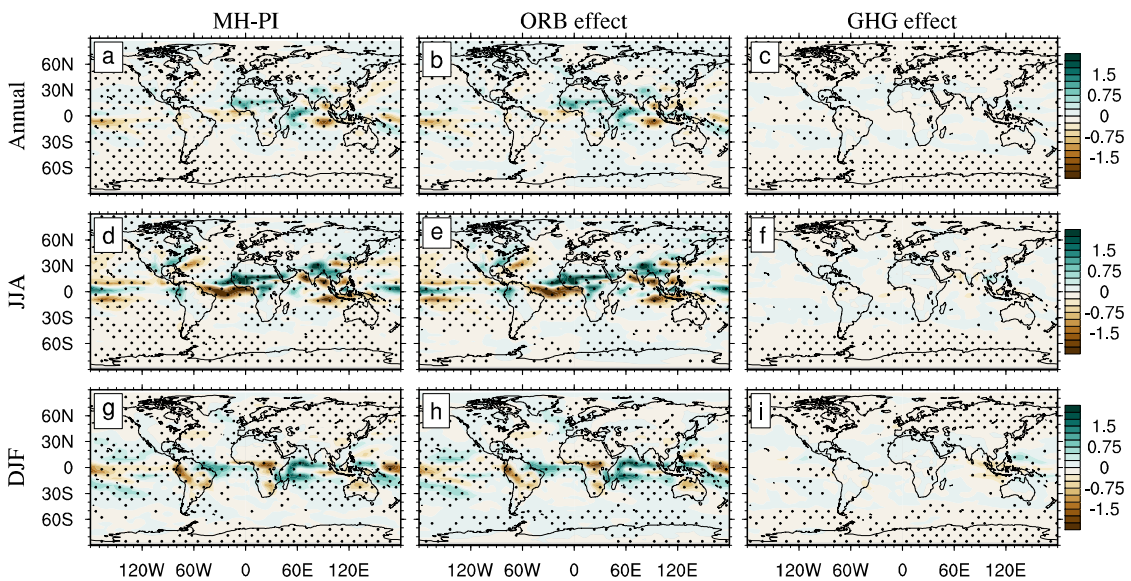


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147 **Figure 2 (Left column) Changes in SAT in Exp MH, with respect to Exp PI, and the contributions from (central column)**
 148 **the ORB effect and (right column) the GHG effect. (a)–(c) are for annual mean; (d)–(f), for NH JJA; and (g)–(i), for NH**
 149 **DJF. Stippling shows significance over the 90% level calculated by Student *t*-test. Units: °C.**

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151 Differences in precipitation between the MH and PI simulations are shown in Fig. 3. Consistent with the
 152 latitudinal and seasonal differences of insolation (Fig. 1), the largest difference in precipitation between the two
 153 periods also occurs in the NH summer, with significantly more precipitation in northern India and equatorial African
 154 monsoon region, and drier in the equatorial Atlantic and Pacific in Exp MH (Fig. 3d). The difference between Exps
 155 MH and PI is mainly in the global tropics, and is contributed predominantly by the ORB effect (Figs. 3e, h), as the
 156 GHG effect is very weak (Figs. 3f, i).



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Figure 3 Same as Fig. 2, but for precipitation change. Units: mm/day.

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3.2 Meridional atmospheric circulation

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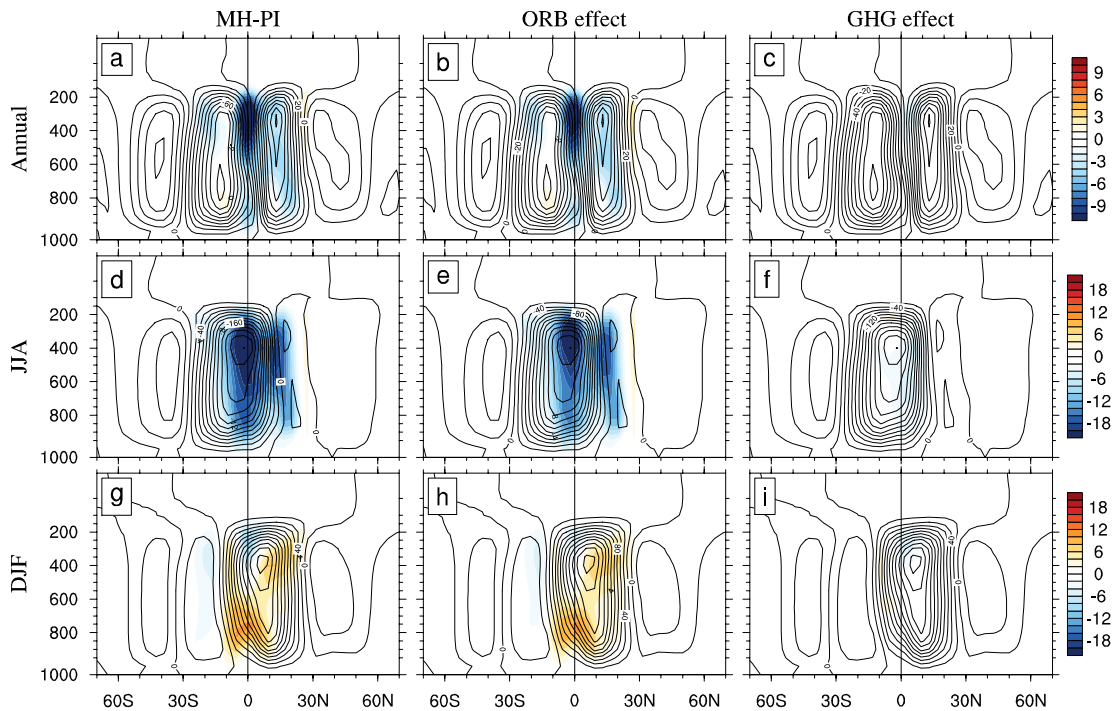
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The meridional atmospheric circulation, namely, the Hadley cell, in Exp MH is about 10% weaker than that in Exp PI (Fig. 4a), consistent with the weaker meridional atmospheric temperature gradient in Exp MH than in Exp PI. The weaker Hadley cell in Exp MH is mainly due to the ORB effect (Figs. 4b, e, h). The GHG effect can be neglected (Figs. 4c, f, i). The Hadley cell is weaker due to the strong warming of the high-latitude temperatures in the NH summer (Fig. 4d). The strengthening of the Hadley cell in the NH winter (Fig. 4g) corresponds to an increasing temperature gradient between the tropics and mid latitudes (Fig. 2g). The weaker Hadley cell also leads to a weaker meridional atmospheric heat transport from low to high latitudes, which will be discussed in section 3.4.



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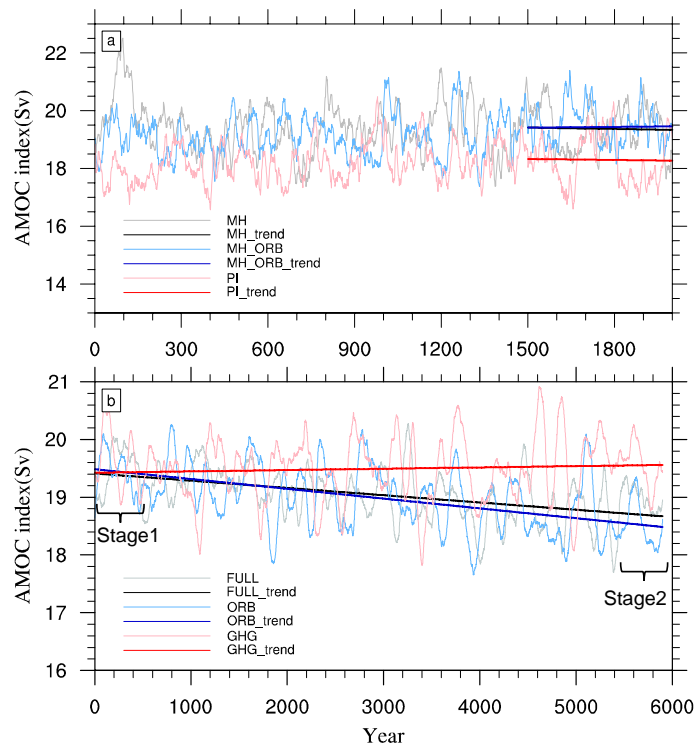
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Figure 4 Same as Fig. 2, but for the mean Hadley cell in Exp PI (contour) and its changes (shading) in Exp MH. Units: 10^9 kg/s.

178 **3.3 Atlantic meridional overturning circulation**

179 The AMOC strength, defined as the maximum streamfunction between 0 and 2000 m and between 20° and 70°N
 180 in the North Atlantic, are 19.4 and 18.3 Sv in Exps MH and PI, respectively. Figure 5a shows the time series of the
 181 AMOC of the three equilibrium experiments, all of which reached the equilibrium state. The AMOC in Exp MH_ORB
 182 (dark blue line) is 1 Sv stronger than that in Exp PI (dark red line), while the AMOC in Exp MH (dark black line) is
 183 roughly the same as that in Exp MH_ORB. Figure 5b shows the evolution of the AMOC in the three transient
 184 experiments. In Exp ORB, the AMOC strength shows a downward trend (dark blue line). In Exp GHG, the AMOC
 185 strength exhibits a slight increase with an indistinct trend (dark red line). In Exp Full, the trend of AMOC strength is
 186 essentially between Exps ORB and GHG, indicating a combined effect of external forcing factors (dark black line).



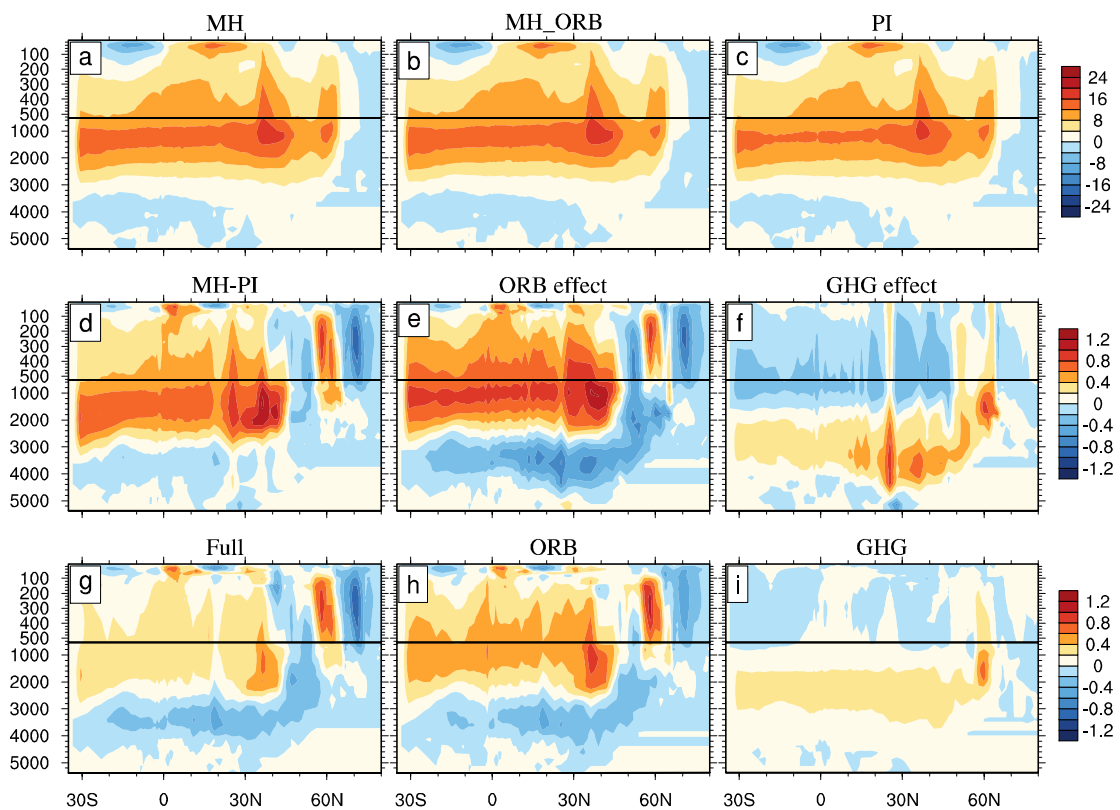
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188 **Figure 5 (a) Evolutions of the AMOC in Exp MH (gray and black lines), Exp MH_ORB (blue lines), and Exp PI (red lines).**
 189 **(b) Evolutions of the AMOC in Exp Full (gray and black lines), Exp ORB (blue lines), and Exp GHG (red lines). The thick**
 190 **lines indicate the linear trends of the AMOC in different experiments. Units: Sv (1 Sv=10⁶ m³/s).**

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192 The patterns of the AMOC are shown in Fig. 6; the depth of the maximum AMOC in all experiments occurs near 1000
 193 m. The AMOC patterns in Exps MH and PI are similar (Figs. 6a, c), which suggests that the combined effect of the
 194 ORB and GHG on the AMOC is small (Fig. 6d). This is similar to some recent studies, even though there are slight

195 differences north of 45°N (Brierley et al., 2020; Williams et al., 2020). Individual effects of the ORB and GHG are not
 196 negligible (Figs. 6e, f). In fact, the ORB effect leads to 6% stronger AMOC in Exp MH than in Exp PI (Fig. 6e). The
 197 deep overturning is significantly enhanced south of 45°N, but slightly weakened north of 45°N. However, at the same
 198 time the GHG effect leads to a slight decline in AMOC strength in Exp MH, especially above 1500 m south of 45°N
 199 (Fig. 6f). The ORB and GHG have opposite effects on the AMOC, which make the AMOC in Exp MH roughly the
 200 same as that in Exp PI. Figure 6g-i further shows the effects of different forcing factors on the AMOC patterns in the
 201 transient experiments, which are similar to the changes in the equilibrium experiments (Figs. 6d–f), although there are
 202 differences in intensity. The offset effect between ORB and GHG in the transient experiments is the same as that in the
 203 equilibrium experiments.



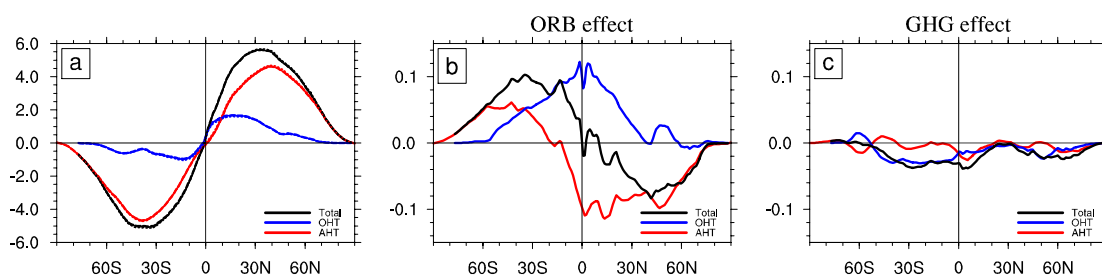
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 205 **Figure 6** Patterns of mean AMOC in (a) Exp MH, (b) Exp MH_ORB, and (c) Exp PI; and (d) AMOC change in Exp MH,
 206 with respect to Exp PI. (e) and (f) show AMOC changes due to the ORB effect and GHG effect, respectively. g, h, i represent
 207 the AMOC changes between the two stages (Stage1-Stage2) in Exps Full, ORB, and GHG, respectively. The AMOC index is
 208 defined as the maximum streamfunction in the range of 0–2000 m of 20°–70°N in the North Atlantic. Units: Sv.

209
 210 **3.4 Meridional heat transport**

211 Meridional heat transport (MHT) plays an important role in maintaining energy balance of the Earth climate
 212 system. Figure 7a shows the annual MHTs in different experiments, which are nearly identical. The climate

213 differences between Exps MH and PI hardly change the integrated heat transport in both the atmosphere and ocean.
 214 Consistent with previous studies (Trenberth and Caron, 2001), the annual mean MHT shows an antisymmetric
 215 structure about the equator, with the peak value of about 5.5 PW (1 PW=10¹⁵W) at 40°N/S. Compared with ocean
 216 heat transport (OHT), the atmosphere heat transport (AHT) dominates at most latitudes, which is also consistent with
 217 previous studies (Held, 2001; Wunsch, 2005; Czaja and Marshall, 2006).

218 However, the MHT changes caused by the ORB and GHG effects appear to be nonnegligible. The ORB causes
 219 an increase in OHT in the NH, with the maximum change of about 0.10 PW near the equator, roughly 10% of the
 220 mean OHT there. This is due to the enhanced AMOC, and is the main cause of temperature increase in the NH high
 221 latitudes (Fig. 2b). The northward AHT is reduced, with the maximum change of about 0.10 PW. This is due to the
 222 weakened Hadley cell. The AHT change compensates the OHT change very well in the deep tropics, while the former
 223 overcompensates the latter in the NH off-equatorial regions (Fig. 7b). The GHG effect on the MHT is very weak, with
 224 the maximum MHT change of no more than 0.04 PW near 5°N (Fig. 7c), which is just one third of the ORB-induced
 225 MHT change (Fig. 7b).



226
 227 **Figure 7** (a) Annual mean meridional heat transport (MHT). Black, red, and blue lines are for the total MHT, AHT, and
 228 OHT, respectively. Solid, dashed, and dotted lines are for Exps MH, MH_ORB, and PI, respectively. (b) and (c) show
 229 changes in the total MHT, AHT, and OHT due to ORB and GHG effects, respectively. Units: PW (1 PW = 10¹⁵ W).

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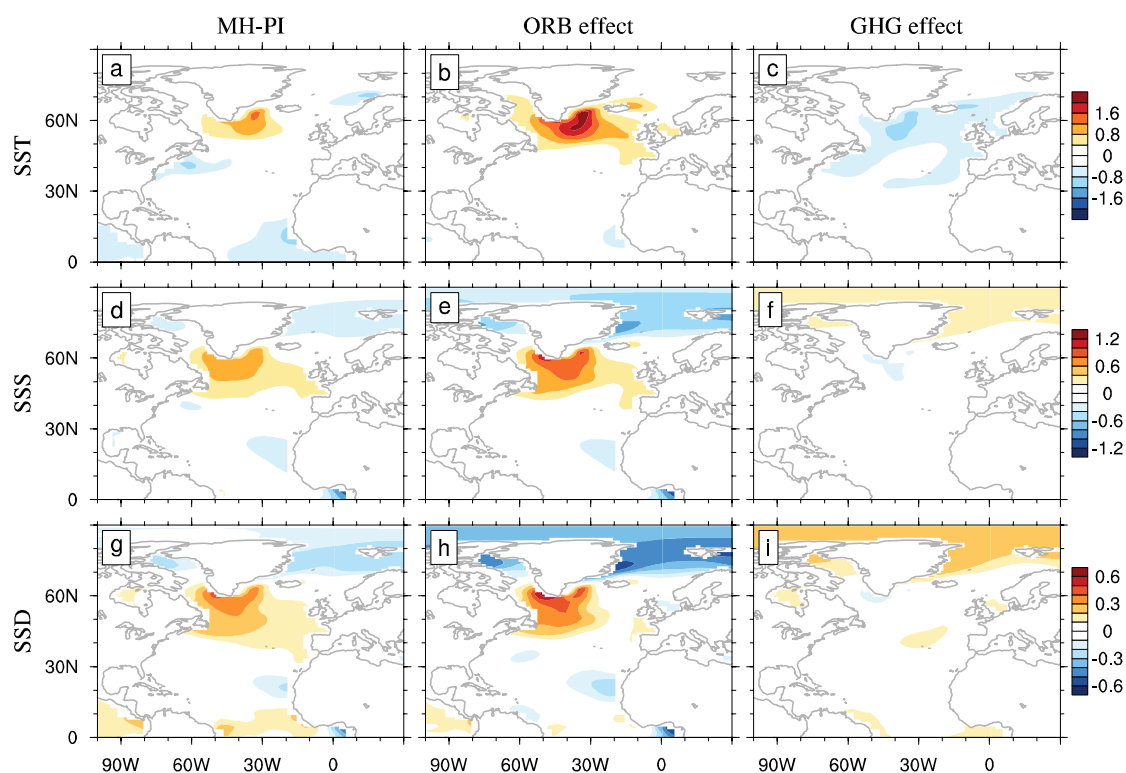
231 4. Changes in North Atlantic Ocean

232 4.1 Changes in sea-surface temperature, salinity, and density

233 The strength of the AMOC is largely determined by the North Atlantic deep-water formation, which is in turn
 234 determined by upper-ocean density. Figures 8 and 9 show the differences of sea-surface temperature (SST), salinity
 235 (SSS), and density (SSD) in the North Atlantic between Exps MH and PI, and the two stages in the transient
 236 experiments, respectively. The SST difference is characterized by a warming up to 1.6°C in the subpolar Atlantic and a
 237 cooling of about 1°C near the Nordic Seas and Gulf Stream extension region (Fig. 8a). The surface ocean warming in

238 the North Atlantic is due to the ORB effect (Fig. 8b), which causes a strong and extensive warming in the North
 239 Atlantic, with the maximum warming in the subpolar Atlantic. The GHG effect causes a general cooling in the North
 240 Atlantic (Fig. 8c), offsetting partially the ORB-induced warming, leaving a cooling in the Nordic Seas and Gulf
 241 Stream extension (Fig. 8a). The North Atlantic SST change in Exp Full (Fig. 9a) is consistent with that of Exp MH
 242 (Fig. 8a), although the magnitude is slightly smaller. Exp ORB also exhibits stronger warming than Exp Full (Fig. 9b),
 243 consistent with Fig. 8b. Exp GHG shows a slight cooling (Fig. 9c), consistent with Fig. 8c. Overall, the SST change in
 244 the transient experiments is the same as that in the equilibrium experiments.

245 The patterns of SSS difference between Exps MH and PI are similar to those of SST difference. In general, the
 246 North Atlantic is more saline in Exp MH than in Exp PI (Fig. 8d), mainly due to stronger evaporation over
 247 precipitation in Exp MH than in Exp PI (Fig. 12d), which is in turn due to the warmer SST forced by the ORB effect
 248 (Fig. 8e). The polar oceans are fresher in Exp MH than in Exp PI (Figs. 8d, e), mainly due to more freshwater flux
 249 coming from sea ice in Exp MH (Figs. 12a, b), consistent with the warmer climate in the MH due to the ORB effect.
 250 The SSS difference caused by the GHG effect is roughly opposite to that caused by the ORB effect, but with much
 251 weaker magnitude (Fig. 8f), because the cooling effect of the GHG makes less evaporation in the subtropical–subpolar
 252 Atlantic and more sea ice in the polar oceans (Fig. 12c). Similar to the equilibrium experiments, the SSS changes in
 253 the transient experiments show similar characteristics (Figs. 9d, e, f).

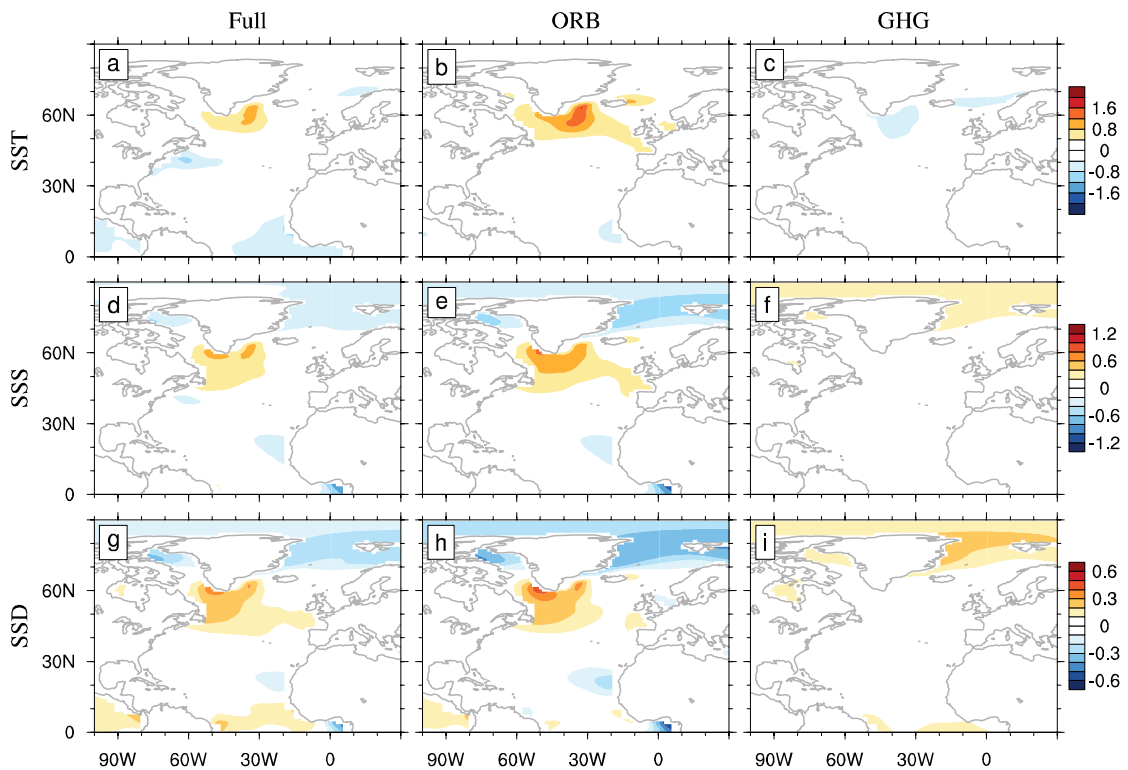


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 255 **Figure 8** Changes in (a)–(c) sea-surface temperature (SST), (d)–(f) sea-surface salinity (SSS), and (g)–(i) sea-surface density
 256 (SSD) of the North Atlantic in Exp MH, with respect to Exp PI. (a), (d), and (g) are for the total changes; (b), (e), and (h),

257 for the changes due to ORB effect; (c), (f), and (i), for changes due to GHG effect. Units: °C for SST, psu for SSS, and kg/m³
 258 for SSD.

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260 The patterns of SSD difference (Figs. 8g–i) resemble those of both SSS and SST differences, while its polarity is
 261 determined by SSS difference. The higher SSD in the North Atlantic is favorable for a stronger deep-water formation
 262 and thus a stronger AMOC in Exp MH. Forced by the ORB effect, the North Atlantic surface ocean can be 0.5 kg/m³
 263 denser in Exp MH than in Exp PI (Fig. 8h), which could have resulted in a 1.2-Sv stronger AMOC in Exp MH than in
 264 Exp PI (Fig. 6e). However, the GHG effect, although weak, has an opposite effect on SSD and thus the AMOC (Fig.
 265 8i), and eventually mitigates the ocean change in Exp MH. Similar patterns of SSD are shown in the transient
 266 experiments, with increased North Atlantic density in Exp ORB, and the opposite and weaker effect in Exp GHG
 267 (Figs. 9g, h, i), corresponding to changes in the AMOC (Fig. 6). These suggest that the mechanisms of ORB and GHG
 268 on climate change in the equilibrium and transient experiments are consistent.



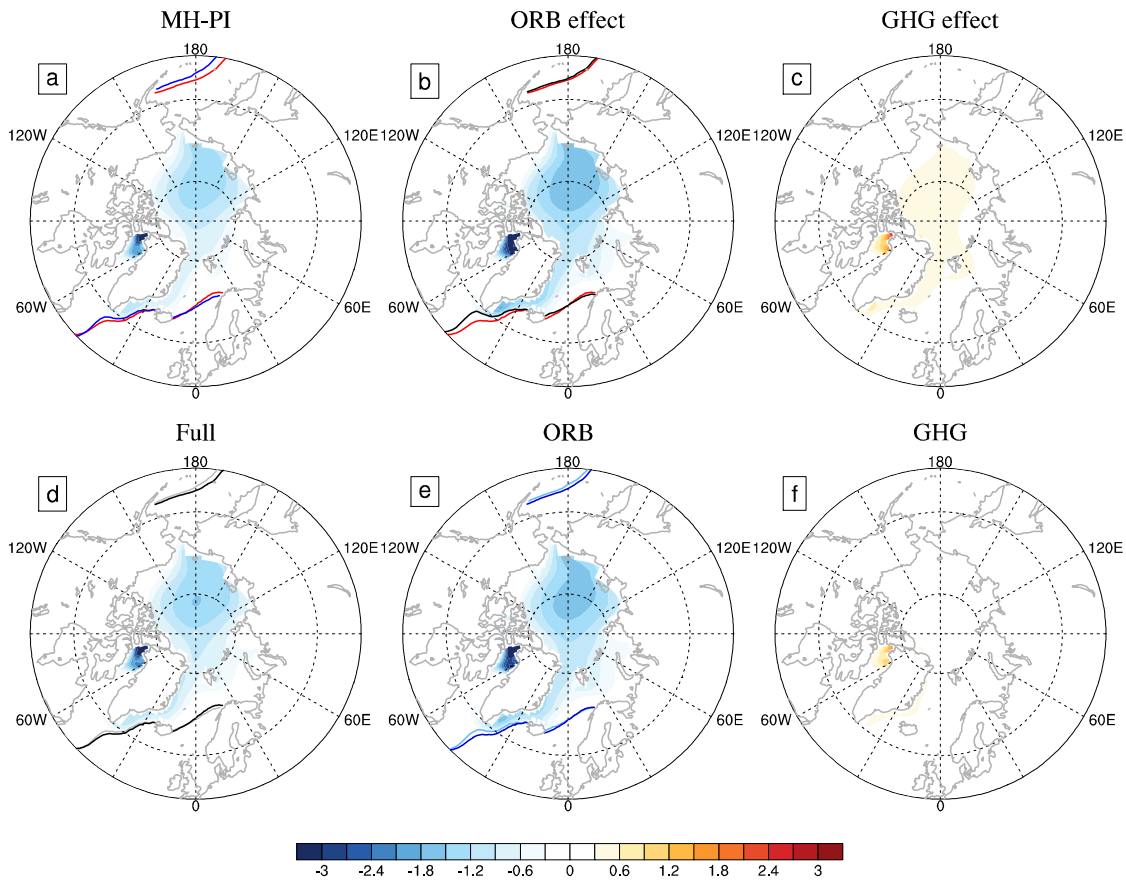
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270 **Figure 9** Similar to Fig. 8, but for Exps Full, ORB, and GHG, respectively. All variables represents changes between the
 271 two stages (Stage1-Stage2).

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273 **4.2 Change in surface freshwater flux**

274 Sea-surface freshwater flux includes both sea-ice formation (melting) and net evaporation (i.e., evaporation
 275 minus precipitation, or EMP). Figure 10 shows the change of annual mean sea-ice thickness in the Arctic. The Arctic
 276 sea-ice thickness in Exp MH is about 1.0 m thinner than that in Exp PI (Fig. 10a). The largest sea-ice difference,
 277 which is about 3.0 m thinner in Exp MH, occurs in the Baffin Bay. When forced by the ORB effect only, the Arctic
 278 sea ice [no “-“] would be more than 1.5 m thinner (Fig. 10b), consistent with the stronger insolation and the warming
 279 in the NH high latitudes (Figs. 1, 2e). The GHG effect leads to a slight increase of sea ice in the Arctic (Fig. 10c) in
 280 Exp MH, which is less than 0.5 m in thickness. Changes in Arctic sea-ice thickness can affect sea ice transport to the
 281 subpolar Atlantic. The loss of sea ice in the central Arctic Ocean can reduce its export through the Fram Strait, which
 282 can lead to an increase in salinity in the associated subpolar [no “-“] regions (Shi and Lohmann, 2016), as shown in
 283 Figs. 8d and e. Similar changes in sea-ice thickness also occur in the transient experiments: the Arctic sea-ice
 284 thickness is decreased significantly in Exp ORB, while it is nearly unchanged in Exp GHG (Figs. 10e, f), reflecting the
 285 consistency of the effects of ORB and GHG in both equilibrium and transient experiments.

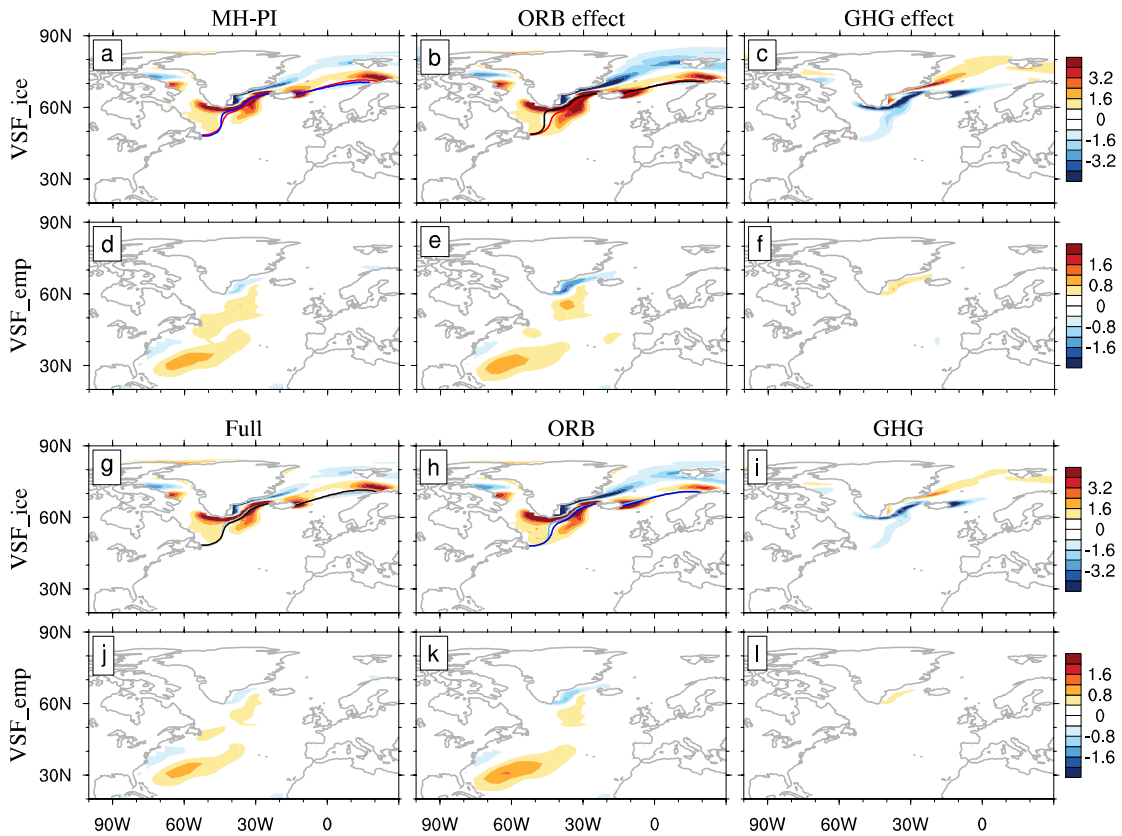


286
 287 **Figure 10** (a)–(c) Changes in Arctic mean sea-ice thickness in Exp MH, with respect to Exp PI. Positive (negative) value
 288 represents sea-ice formation (melting). (a) is for the total change; (b) and (c), for changes due to ORB and GHG effects,
 289 respectively. Solid blue, black, and red curves show the sea-ice margin in Exps MH, MH_ORB and PI, respectively. (d)–(f)

290 Same as (a)–(c), except for Exps Full, ORB, and GHG, respectively. The solid gray and light blue curves indicate the sea-ice
 291 margin of Stage1 in Exps Full and ORB, respectively; and the black and dark blue solid curves represent the sea-ice margin
 292 of Stage2 in Exps Full and ORB, respectively. The sea-ice margin is defined by the 15% sea-ice fraction. Units: m.

293

294 The sea-ice margin in the North Atlantic in Exp MH is slightly more northward compared to that in Exp PI (solid
 295 blue curve, Fig. 11a). The curves in Fig. 11 show sea-ice margin in different experiments. The northward displacement
 296 of sea-ice margin and the decrease in sea-ice volume in the Arctic favor the decrease in freshwater flux in the North
 297 Atlantic, helping a more saline North Atlantic, which contributes about $0.9 \text{ psu } 10\text{yr}^{-1}$ to the SSS tendency between
 298 40° and 60°N (Fig. 11a). The EMP flux is small, and the upper ocean is refreshed at a steady rate of about $0.09 \text{ psu } 10$
 299 yr^{-1} in the North Atlantic (Fig. 11d). The contributions of sea-ice change and EMP flux to SSS in the transient
 300 experiments are also about 0.9 and $0.09 \text{ psu } 10 \text{ yr}^{-1}$, respectively (Figs. 11g, j). Overall, for the North Atlantic the
 301 change of sea ice plays a dominant role; and its contribution to SSS tendency is about 10 times that of EMP.



302

303 **Figure 11** Changes in (a)–(c), namely, virtual salt flux (VSF) due to sea ice, and in (d)–(f), VSF due to EMP in Exp MH,
 304 with respect to Exp PI. Positive (negative) value represents sea-ice formation (melting) or evaporation larger (smaller) than
 305 precipitation. (a) and (d) are for total changes; (b) and (e), for changes due to ORB effect; (c) and (f), for GHG effect. (g)–(l)
 306 Same as (a)–(f), but for Exp Full, Exp ORB, and Exp GHG, respectively. The solid gray and light blue curves indicate the
 307 sea-ice margin of Stage1 in Exps Full and ORB, respectively; and the black and dark blue solid curves represent the sea-ice

308 **margin of Stage2 in Exps Full and ORB, respectively. The sea-ice margin in (a)–(b) is defined the same way as that in Fig. 8.**
309 **Units: psu 10 yr⁻¹.**

310

311 The sea-ice margin in Exp MH is controlled by the ORB effect. In individual forcing experiment, the sea-ice
312 margin forced by the ORB effect is almost the same as that in Exp MH (solid black curve, Fig. 11b). The contributions
313 of ORB and GHG effects to changes in virtual salt flux (VSF) due to sea ice are 1.3 and -0.4 psu 10 yr⁻¹, respectively
314 (Figs. 11b, c); and those due to the EMP flux are 0.06 and 0.03 psu 10 yr⁻¹, respectively (Figs. 11e, f). In the transient
315 experiments, the contributions of ORB and GHG effects to the VSF due to sea ice are 1.1 and -0.2 psu 10 yr⁻¹,
316 respectively (Figs. 11h, i); and those due to EMP flux are 0.05 and 0.03 psu 10 yr⁻¹, respectively (Figs. 11i, l). This
317 suggests that the sea-ice change caused by the ORB effect plays an important role in the enhancement of the AMOC in
318 Exp MH.

319 In general, the modelling results suggest that the stronger AMOC in the MH period resulted from more saline
320 North Atlantic, which was contributed mainly by smaller freshwater flux coming from the Arctic. The contribution of
321 EMP to salinity change was small, which was only one-tenth of the sea-ice contribution. ORB and GHG consistently
322 play opposite roles in the deep-water formation of the subpolar Atlantic. Their combined effect resulted in little
323 change in the AMOC in the MH period, which is less than 1 Sv enhancement in both equilibrium and transient
324 experiments.

325

326 **5. Summary and discussion**

327 In this study, six experiments using the CESM1.0 were conducted to quantify the contributions of ORB and GHG
328 effects to the MH climate. Most attention was paid to the AMOC; and the mechanism to the insignificant difference of
329 the AMOC between the MH and PI periods was explored. This study is the first attempt to separate the ORB and GHG
330 effects on the MH climate. Simulations showed that the NH climate exhibits much greater regional and seasonal
331 variability due to the seasonal enhancement of insolation caused by changes in ORB; and these contrasting seasonal
332 responses lead to little change in annual mean climate. Lower GHG in Exp MH has a global cooling effect, with
333 greater temperature decreases at higher latitudes associated with feedbacks from sea ice and snow cover. The
334 combined effect of these two forcing factors leads to a weak warming at the NH high latitudes and cooling elsewhere,
335 similar to the temperature changes in the PMIP4 ensemble (Brierley et al., 2020).

336 Weakening meridional atmospheric temperature gradient in Exp MH leads to the Hadley cell being weakened by
337 about 10% in the NH. At the same time, due to the change of sea-surface buoyancy in the North Atlantic, the AMOC
338 is slightly enhanced by about 4%. As far as the changes in MHT magnitude in the NH are concerned, the effect of
339 ORB is about five times that of GHG. Our experiments also showed that the change in the AMOC is mostly
340 determined by the freshwater flux change in the North Atlantic, which is in turn closely related to the Arctic sea-ice
341 change related to the ORB effect. GHG has the opposite effect to ORB, which mitigates the enhancement of the
342 AMOC (Figs. 9b, c).

343 The conclusions drawn in this paper may be model-dependent. Shi and Lohmann (2016) simulated a stronger MH
344 AMOC in the high-resolution version of the ECHAM, with a maximum change of more than 2 Sv. Most of the models
345 in the CMIP5 reveal a positive AMOC change in the MH period. Some previous studies (Ganopolski et al., 1998;
346 Otto-Bliesner et al., 2006) showed that the AMOC in the MH is weaker than that of the PI period. The main reason for
347 the inconsistency is that the simulated ocean salinity in the North Atlantic is different. Therefore, it is necessary to
348 carry out simulations with multiple models to reduce model dependence. Our simulations of the AMOC in the MH are
349 similar to those of Jiang et al. (2023), both showing no significant changes in the AMOC in the MH compared with
350 the PI; however, their study did not explain the mechanism behind this phenomenon. Our study reveals the
351 competitive relationship between the two forcing factors through multiple-equilibrium state simulations and transient
352 simulations, supporting the popular conclusions about the AMOC change from the MH to the PI periods.

353 Our study focuses on the effects of ORB and GHG; and the simulated cooler annual mean temperature in most
354 areas of the NH differs from the warming record revealed by most proxy data (Wanner et al., 2008; Larrosoana, 2012;
355 Liu et al., 2014), but is similar to the conclusions from the PMIP4 simulations. It is unclear whether these differences
356 originate from the model, the data record, or a combination of the two. Some proxy data suggested that the climate of
357 North Africa was wetter in the MH period, which was known as the Green Sahara. Jiang et al. (2012) analyzed the
358 simulation results of six coupled models in PMIP2 for the MH period. They found that the dynamic vegetation effect
359 led to a decrease in annual cooling over China in five of these models during the MH period, although its impact on
360 the MH temperature was minimal. Braconnot et al. (2021) and Zhang et al. (2021a) studied the effect of dust reduction
361 on climate due to the greening of the Sahara desert, using the CESM and IPSL models, respectively, showing global
362 mean surface temperature increased by about 0.1°C. Although there are other forcing factors in the MH period, such
363 as vegetation, dust, and topography, overall our simulations are representative of the most important forcing factors
364 and provide quantified estimates of the contributions of ORB and GHG effects on the MH climate.

365

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370

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