



Anthropogenic amplification of biogenic secondary organic aerosol production 1 2 3 4 5 6 Yiqi Zheng^{1,2, *}, Larry W. Horowitz³, Raymond Menzel³, David J Paynter³, Vaishali Naik³, Jingyi Li⁴, Jingqiu Mao^{1,2, *} 7 8 ¹Geophysical Institute, University of Alaska Fairbanks, Fairbanks, AK, USA 9 ²Department of Chemistry and Biochemistry, University of Alaska Fairbanks, AK, USA 10 ³NOAA Geophysical Fluid Dynamics Laboratory, Princeton, NJ, USA ⁴School of Environmental Science and Engineering, Nanjing University of Information Science 11 12 and Technology, Nanjing, China 13 14 15 *Correspondence to Yiqi Zheng (zhengyiqi1989@gmail.com) and Jingqiu Mao 16 (jmao2@alaska.edu) 17 18





1 Abstract

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3 Biogenic secondary organic aerosols (SOA) contribute to a large fraction of fine aerosols globally, 4 impacting air quality and climate. The formation of biogenic SOA depends on not only emissions 5 of biogenic volatile organic compounds (BVOCs) but also anthropogenic pollutants including primary organic aerosol, sulfur dioxide (SO₂), and nitrogen oxides (NO_x). However, the 6 anthropogenic impact on biogenic SOA production (AIBS) remains unclear. Here we use the 7 8 decadal trend and variability of observed OA in the southeast US, combined with a global 9 chemistry-climate model, to better constrain AIBS. We show that the reduction in SO₂ emissions 10 can only explain 40% of the decreasing decadal trend of OA in this region, constrained by the low summertime month-to-month variability of surface OA. We hypothesize that the rest of OA 11 decreasing trend is largely due to reduction in NO_x emissions. By implementing a scheme for 12 13 monoterpene SOA with enhanced sensitivity to NO_x, our model can reproduce the decadal trend and variability of OA in this region. Extending to centennial scale, our model shows that global 14 15 SOA production increases by 36% despite BVOC reductions from preindustrial period to present 16 day, largely amplified by AIBS. Our work suggests a strong coupling between anthropogenic and 17 biogenic emissions in biogenic SOA production that is missing from current climate models.

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1 1. Introduction

2 Terrestrial vegetation emits more than 1 Pg per year of BVOCs(Guenther et al., 2012), leading to 3 a major source of SOA in the atmosphere(Goldstein and Galbally, 2007). SOA exerts significant 4 impacts on climate, air quality and human welfare(Shrivastava et al., 2017; Pye et al., 2021), but 5 is not well represented in climate models. Global climate models differ largely in simulated SOA 6 burden, variability, and radiative effects (Tsigaridis et al., 2014) due to complexity associated with 7 emission of precursors, multiphase chemical and physical processes, aging, radiative properties, 8 and other processes(Shrivastava et al., 2017). Many climate models simply scale SOA yield with 9 BVOC precursors(Horowitz et al., 2020; Carslaw et al., 2013; Koch et al., 2011).

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11 Current understanding of biogenic SOA formation has advanced far beyond this simple scaling of 12 BVOC emissions. SOA formation from BVOC oxidation is largely dependent on its oxidants 13 $(OH/O_3/NO_3)$ and the yields show non-linear behavior under different NO_x conditions(Ng et al., 2017; Presto et al., 2005). One advanced scheme is the Volatility Basis Set (VBS), in which 14 15 intermediate semivolatile products from the oxidation of BVOCs are grouped into volatility bins and can reversibly condense onto pre-existing organic aerosols(Donahue et al., 2006; Pve et al., 16 17 2010). VBS accounts for the dependence of SOA formation on atmospheric oxidants, NOx-18 dependent chemical regimes, POA and temperature. Some studies showed that VBS schemes 19 underestimated observations and that photochemical aging schemes with varying complexity may improve simulation results in different regions and seasons(Zheng et al., 2015; Robinson et al., 20 21 2007; Oak et al., 2022). Another pathway is through reactive uptake of smaller molecules onto 22 aqueous aerosols. Several isoprene oxidation products, such as epoxides (IEPOX)(Paulot et al., 23 2009) and glyoxal(Liggio, 2005; Li et al., 2016), though often not directly condensable due to their 24 high equilibrium vapor pressure, can undergo aqueous phase reactions and oligomerize in the 25 condensed phase. The detailed mechanism is complicated by aerosol acidity, composition, and coating(Shrivastava et al., 2017). These advancements highlight the role of anthropogenic 26 27 emissions modulating biogenic SOA formation through nitrogen oxides (NO_x), SO₂ and primary 28 organic aerosol (POA).

29

30 One major uncertainty is to what extent anthropogenic emissions modulate biogenic SOA 31 formation. In the southeast US (SEUS), a region largely covered by natural vegetation and also 32 heavily populated, organic aerosol shows a decreasing trend in the recent two decades(Kim et al., 2015; Attwood et al., 2014), likely due to reductions in POA (Blanchard et al., 2016; Ridley et al., 33 34 2018), sulfate and aerosol water (Christiansen et al., 2020; Ridley et al., 2018; Marais et al., 2017; Malm et al., 2017; Blanchard et al., 2016) and NO_x (Zheng et al., 2015; Xu et al., 2015; Pye et al., 35 2019). Several studies suggest that SO₂ largely modulates SOA through reactive uptake of 36 37 IEPOX(Pye et al., 2013; Marais et al., 2017), but the acidity-catalyzed sulfate uptake mechanism 38 appears to overestimate the trend of OA reduction in the SEUS(Zheng et al., 2020). The role of 39 NOx remains unclear. While SOA yield generally decreases with NOx level due to fragmentation 40 of large molecules (Kroll and Seinfeld, 2008), recent studies show that NO_x can in fact increase 41 SOA production (Zheng et al., 2015; Xu et al., 2015; Pye et al., 2019; Pullinen et al., 2020). The combined effect of NO_x, SO₂ and POA can be significant (Carlton et al., 2010; Hoyle et al., 2011), 42 43 but remain unconstrained by ambient observations.

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Here we use the decadal trend and variability of observed OA in the southeast US, combined with other observational datasets and a global chemistry-climate model (GFDL AM4.1)(Horowitz et





- al., 2020), to better constrain the anthropogenic impact on biogenic SOA production (AIBS). We
- 2 use three schemes (summarized in Table 1 and detailed in Methods), Simple, CMPX, and CMPX as to investigate the AIRS from decadel to contempial time scales
- 3 CMPX_ag, to investigate the AIBS from decadal to centennial time scales.
- 4
- 5 **2. Methods**

6 **2.1 GFDL AM4.1**

7 The Geophysical Fluid Dynamics Laboratory (GFDL)'s Atmospheric Model version 4.1 (AM4.1)(Horowitz et al., 2020) is a three-dimensional global chemistry-climate model that 8 9 includes interactive simulation of stratospheric chemistry and tropospheric O₃-NO_x-CO-VOC and 10 bulk aerosol chemistry, allowing explicit treatment of aerosol reactive uptake of IEPOX and 11 glyoxal(Li et al., 2016, 2018; Mao et al., 2013). Community Emissions Data System (CEDS) are 12 used for historical anthropogenic emissions from 1849 to 2016. Global fire emissions are based on 13 Global Fire Emissions Database version 4 (GFED4), the Fire Modeling Intercomparison Project 14 (FireMIP), visibility-observations and Global Charcoal Database (GCD). Biogenic isoprene and 15 monoterpene emissions are calculated online by the Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2.1), using empirical functions of plant-functional-type (PFT)-16 17 specific emission basal factors, leaf area index (LAI), temperature and light, LAI and PFTs are 18 prescribed at the 1992 level. Dependence of soil moisture, O₃ and CO₂ are neglected due to large 19 uncertainties. Radiative effects of SOA is calculated assuming SOA is externally mixed from other 20 aerosols(Horowitz et al., 2020), although ISOA is formed through sulfate uptake in the chemistry 21 module.

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23 We perform simulations for years 1998-2016 for present day (PD) and 1870-1888 for pre-24 industrial period (PI). In each simulation, the first two years are discarded as spin-up. The remaining 17 years are used for analysis. The PD simulations are nudged with reanalysis winds 25 from NCEP-DOE Reanalysis 2. The PI simulations are free running with no nudging. All 26 27 simulations are driven by observed or reconstructed sea surface temperature and sea-ice(Horowitz et al., 2020). In the two PI simulations, we scale up the isoprene and monoterpene emission basal 28 29 factors by 35% to account for the higher natural vegetation cover at pre-industrial period than 30 today, equivalent to a 26% reduction of natural vegetation cover from PI to PD(Unger, 2014). We 31 apply this single scaling factor to BVOC emission basal factors as an idealized study instead of 32 using reconstructed land cover type and LAI to avoid uncertainties in historical vegetation 33 reconstructions.

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35 2.2 Modeling of SOA formation

In GFDL AM4.1, SOA is composed of anthropogenic SOA (ASOA), isoprene SOA (ISOA) and monoterpene SOA (TSOA). ASOA is formed through the oxidation of C₄H₁₀ by OH in all simulations. In the default "Simple" scheme, ISOA and TSOA are assumed to be produced with a pseudo-emission equivalent to a 10% per-carbon yield of the interactively calculated isoprene and monoterpene emissions, respectively.

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42 In the updated "CMPX" scheme, isoprene and monoterpenes are oxidized by OH, O₃ and NO₃.

43 TSOA is calculated by a 4-product Volatility Basis Set (VBS) summarized in Table 1. Organic

- 44 peroxy radicals (RO₂) formed from OH- and O₃-initiated oxidation of monoterpene can react
- 45 with NO under high-NO_x conditions and with HO₂ under low-NO_x conditions. The low-NO_x
- 46 pathway (RO₂+HO₂) has higher yields for SOA than the high-NO_x pathway (RO₂+NO)(Pye et





al., 2010; Zheng et al., 2015). The branching ratio between the low- versus high-NO_x pathways
 are defined as:

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$$\beta_{NO} = \frac{k_{RO2+NO} * [NO]}{k_{RO2+NO} * [NO] + k_{RO2+HO2} * [HO_2]}$$

6 Where k_{RO2+NO} and $k_{RO2+HO2}$ represent the reaction rate coefficients of RO₂+NO and RO₂+HO₂,

7 respectively. At nighttime, the NO₃-initiated oxidation of monoterpenes has a high yield of

8 organic nitrates and contributes a significant amount of SOA(Ng et al., 2017).

9 In an additional configuration, we further implement a simplified photochemical aging 10 parameterization to the semivolatile oxidation products of terpenes in the CMPX scheme (CMPX ag)(Zheng et al., 2015), to account for the decrease in volatility as a result of OH 11 12 oxidation(Donahue et al., 2012). We apply a rate constant of $k_{OH} = 4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ (Robinson et al., 2007), in line with recent estimates of 2-4 $\times 10^{-11}$ cm³ molec⁻¹ s⁻¹ for terpene 13 SOA(Donahue et al., 2012; Isaacman-VanWertz et al., 2018). As we show below, the aging 14 15 increases the SOA burden as well as the sensitivity of SOA to $NO_{x_{x}}$ improving the model underestimate of SOA by the VBS scheme. 16

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ISOA is computed through the aqueous-phase uptake of IEPOX and glyoxal onto sulfate aerosol. 18 19 The uptake coefficients for IEPOX and glyoxal are set to 0.001, different than previous studies using higher or acidity-dependent uptake coefficients(Marais et al., 2016; Lin et al., 2014a). This 20 is supported by the OA month-to-month variability (MMV) in summer and its decadal trend over 21 22 the southeast US, as a previous model with acidity-dependent uptake coefficients shows too high of MMV and too much OA in the early 2000s(Zheng et al., 2020). The uptake rate coefficients 23 24 can be even lower due to the effect of aerosol-phase state (Zhang et al., 2018b). To avoid 25 uncertainties associated with aerosol acidity, relative humidity, and coating effect, we here apply uptake coefficient of 0.001 for both IEPOX and glyoxal. This leads to good agreement between 26 27 our model and observation in the SEUS on both OA magnitude and summertime MMV (Figure 1, 28 S1, S2 and S13). The details of the Simple, CMPX, and CMPX ag schemes are summarized in 29 Table 1.

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31 **2.3 Observational datasets**

32 For model evaluation we use filter measurement of organic carbon from two surface aerosol 33 measurement networks in the US: IMPROVE (the Interagency Monitoring of Protected Visual 34 Environments)(Solomon et al., 2014) and SEARCH (the SouthEastern Aerosol Research and Characterization)(Edgerton et al., 2005). We focus on the southeast US which is both heavily 35 vegetated and populated. We select 20 IMPROVE sites and 3 SEARCH rural sites within the 36 37 southeast US region (29-37°N, 74-96°W). We apply a seasonal-dependent ratio to convert organic 38 carbon to organic aerosol (OA) mass: 2.2 in June-July-August, 1.8 in December-January-February 39 and 1.9 in other months(Philip et al., 2014).

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41 We also compare modeling results to OA measurement by Aerosol Chemical Speciation Monitor

42 (ACSM). We select 3 European sites from the ACTRIS (the Aerosol, Clouds and Trace Gases

- 43 Research Infrastructure) network: Hyytiala (Finland), Puy de Dome (France) and Birkenes II
- 44 (Norway); two sites from the ARM (Atmospheric Radiation Measurement) network: Southern





- 1 Great Plains (US) and Manacapuru, Amazonia (Brazil). These sites are covered by natural 2 vegetation and have more than a year's worth of data available.
- 3
- 4

5 3. Results

6 **3.1 Decadal trend of summertime OA in SEUS and its variability**

The SEUS is a region heavily influenced by both biogenic and anthropogenic emissions(Mao et al., 2018). In the last two decades, organic aerosol shows a decreasing trend, resulting from reductions in anthropogenic pollutants including SO₂ and NO_x (Marais et al., 2017; Blanchard et al., 2016; Ridley et al., 2018). Both CMPX_ag and CMPX schemes can reproduce the summertime surface OA concentrations measured from SEARCH and IMPROVE networks(Solomon et al., 2014; Edgerton et al., 2005), at 4-5 μ g/m³ as shown in Figure 1, while the Simple scheme has a slight overestimate (~ 7 μ g/m³).

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15 We first examine the simulated decadal OA trend in the SEUS against filter-based measurements from IMPROVE and SEARCH networks (Methods). From 2000 to 2016, the measured summer 16 OA declines by -0.13 µg/m³/year from SEARCH and by -0.09 µg/m³/year from IMPROVE, both 17 at a reduction rate of -2.3%/year (Figure 1a). This decreasing trend is well reproduced by the 18 19 CMPX ag simulation with a decrease of $-0.11 \,\mu\text{g/m}^3$ (-2.0%) per year (Figure 1a), less so with the 20 CMPX scheme (-1.4%/year). In contrast, the Simple scheme shows a slight increase (+0.7%/year) 21 in surface OA due to lack of AIBS and little change of POA in 2000-2016 in this region (Figure 22 1c).

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24 We further examine the summertime month-to-month variability of surface OA. We find that both 25 CMPX ag and CMPX schemes can well reproduce the low summertime month-to-month variability of surface OA (standard deviation smaller than 2 µg/m³) constrained by IMPROVE and 26 27 SEARCH measurements (Figure S5), using fixed uptake coefficients (γ =0.001) of IEPOX and glyoxal. This summertime month-to-month variability was found to be too high (standard 28 29 deviation up to 5 μ g/m³) in the early 2000s using an acidity-dependent IEPOX reactive uptake 30 scheme(Marais et al., 2016, 2017), pointing to additional species besides SO₂ driving the 31 decreasing OA trend.

32

33 One unique feature of the CMPX ag simulation is the dominance of TSOA (Figure 1), mainly 34 through enhanced sensitivity of TSOA production to NOx. Such dominance of TSOA in this region is also supported by recent field observations(Xu et al., 2018; Zhang et al., 2018a). We find TSOA 35 contributes to 60% of the surface OA trend in the CMPX ag scheme, mainly through NOx 36 37 reduction. The NO₃-initiated pathway contributes to the majority of surface TSOA decrease 38 (Figure S3), resulting from the rapid decrease of NO₃ (Figure 1d)(Boyd et al., 2017; Rollins et al., 39 2012). Compared to the CMPX scheme, the dominant contribution of TSOA is largely due to the 40 OH aging effect, which amplifies the SOA yield from all monoterpene oxidation channels. As a 41 result, we find that NO_x reduction accounts for 60% of OA decrease in SE US. This enhanced 42 sensitivity to NO_x, resonates with recent developments on monoterpene-derived highly 43 oxygenated organic molecules or autooxidation(Pye et al., 2019), highlighting the importance of 44 NO_x in AIBS.





ISOA contributes to 40% of surface OA trend in the CMPX ag scheme, mainly through SO₂ 1 2 reduction. The decrease in surface ISOA, at -0.05 µg/m³/year, is associated with the strong

- 3 reduction in sulfate (-7%/year). The rapidly decreasing sulfate, NO_x and O_3 in the model are
- 4 consistent with observations over the SEUS (Figure S4) and previous studies(Zheng et al., 2020;
- 5 Wells et al., 2021; Simon et al., 2015). In contrast to Marais et al. (2017), we find that this
- 6 nondominant role of ISOA brings model into much better agreement with observations, especially
- 7 on the low summertime month-to-month variability of surface OA (standard deviation smaller than
- 8 2 µg/m³) constrained by IMPROVE and SEARCH measurements (Figure S5)(Zheng et al., 2020).
- 9 The observed summertime month-to-month variability also implies a weaker dependence of OA
- 10 to sulfate aerosols in this region than as shown in Marais et al. (2017), highlighting the importance
- 11 of TSOA.
- 12

13 We find a similar trend of summer OA column concentration to the surface OA trend in the model. The CMPX ag simulation suggests a decreasing trend in summer OA column concentration, 14 15 driven by both TSOA (-0.13 mg/m²/year) and ISOA (-0.12 mg/m²/year) (Figure 1b). Similar to the surface, the aging effect increases the column production of TSOA in CMPX_ag and its 16 17 sensitivity to changes in NO_x compared with the CMPX scheme.

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19 3.2 Present-day OA in vegetated regions and global budget

We further evaluate the modeled OA against measurements by Aerosol Chemical Speciation 20 21 Monitor (ACSM) in other vegetated regions (Figure S6). In 3 European sites from the ACTRIS 22 network (Methods)(Crenn et al., 2015), all model simulations underestimate measured OA. One 23 possible reason is uncertainties associated with BVOC emissions and biogenic SOA. Jiang et al. 24 (Jiang et al., 2019) showed that MEGAN overestimates isoprene emission but underestimates 25 monoterpene emissions in Europe by a factor of 3. At the Amazon and US sites from the ARM network(Uin et al., 2019), the CMPX ag scheme successfully captures the measured OA 26 27 magnitude and seasonal variation. The Simple scheme overestimates the surface OA in Amazon. 28 In the SEUS compared to filter measurements, all simulations show lower OA in winter than 29 observations (Figure S6), likely due to an underestimate of wintertime emissions of POA(Tsigaridis et al., 2014; Liu et al., 2021). In general, the updated CMPX_ag and CMPX 30 31 schemes agree well with observations in the Amazon and US where biogenic emissions are high.

32

33 Globally, the SOA burden from the Simple, CMPX and CMPX ag schemes are 0.99, 0.50 and 34 1.05 Tg, respectively, and their SOA production rates are 82, 40 and 69 Tg/year (Figure 2), in agreement with other global modeling studies. The AeroCom phase II model intercomparison 35 summarizes a median SOA source of 51 Tg/year with a range between 16 to 121 36 37 Tg/year(Tsigaridis et al., 2014), although top-down methods indicate SOA source could be up to 38 50-380 Tg/year(Spracklen et al., 2011). Uncertainties associated with BVOC emissions contribute 39 to the wide spread of SOA estimate by global models. In GFDL AM4.1, annual isoprene and 40 monoterpene emissions are computed to be 505 ± 14 and 137 ± 5 Tg/year, respectively (Figure 2), in 41 line with previous estimates(Guenther et al., 2012).

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43 Detailed SOA budgets for the three schemes are summarized in Table 2. The CMPX and 44 CMPX ag schemes have much less ISOA than the Simple scheme as the latter has high pseudo emission of isoprene SOA, which is 10% in GFDL AM4.1 as compared to 3% used in other global 45 models like GEOS-Chem(Pai et al., 2020; Henze and Seinfeld, 2006). ISOA (22.2 Tg/year) and 46





1 TSOA (14.4 Tg/year) in the CMPX scheme are consistent with previous estimate by GEOS-2 Chem(Pai et al., 2020; Zheng et al., 2020). The CMPX ag scheme has higher TSOA (44 Tg/year) 3 than CMPX and Simple due to the aging effect of semivolatile oxidation products from terpenes (Figure 2), and is close to the high end of estimate (12.7-40 Tg/year) by AeroComII(Tsigaridis et 4 5 al., 2014). ASOA is often neglected by global models despite an estimate of 13.5 Tg/year 6 suggesting ASOA as a non-negligible source(Tsigaridis et al., 2014). In GFDL AM4.1, ASOA 7 (3.3 Tg/year) only considers oxidation of C₄H₁₀, which does not well represent all ASOA and 8 warrants further research.

9

10 **3.3** Centennial change in biogenic SOA and direct radiative forcing

11 We now extend our analysis of AIBS from the decadal scale to the centennial scale. To represent 12 the higher natural vegetation cover during PI, we scale up isoprene and monoterpene emission 13 basal factor in the PI simulations by 35%, equivalent to a 26% reduction of natural vegetation 14 cover from PI to PD(Unger, 2014). This simple scaling should be considered as an idealized study 15 to avoid uncertainties associated with historical vegetation reconstruction and the complex role of 16 CO₂ including both fertilization and inhibition effects. From 1870s to 2000s, the simulated 17 isoprene emissions decrease from 632 ± 15 to 505 ± 14 Tg/year (-20%) and monoterpene emissions 18 decrease from 161±5 to 137±5 Tg/year (-15%) (Figure 2), consistent with previous studies(Heald 19 and Spracklen, 2015).

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21 Despite the reduction in BVOC emissions from PI to PD, we show a significant increase of 22 biogenic SOA (Figure 2), resulting from increase in anthropogenic emissions and amplified by AIBS. With an increase by 1.4, 7, and 4 for emissions of POA, SO₂ and NO_x, total SOA production 23 24 increases by 36% and its burden increases by 42% (in the CMPX_ag scheme). ASOA, ISOA and 25 TSOA contribute 17%, 62%, and 21% to the changes in total SOA production, respectively. In contrast, the Simple scheme shows a decrease of SOA production following the reduction in 26 27 BVOC emissions (Figure 2b). The large increase of SOA from PI to PD differs from previous estimates(Spracklen et al., 2011; Heald and Spracklen, 2015; Zhu et al., 2019; Scott et al., 2017; 28 29 Lin et al., 2014b; Heald and Geddes, 2016; Hoyle et al., 2009), largely due to AIBS constrained 30 by observations.

31

32 The total PI-to-PD SOA rise is largely dominated by ISOA (62%), resulting from the strong 33 increase in anthropogenic SO₂ emissions and uptake of IEPOX and glyoxal onto sulfate aerosols. 34 The global burden of sulfate aerosol has doubled from 0.7 Tg at PI to 1.6 Tg at PD, with large 35 increase over the tropics, SEUS, and Eurasia (Figure S11). The increase in TSOA is due to both increased NO_x emissions and POA emissions. In contrast to the decadal trend where β_{NO} barely 36 37 changes, the PI-to-PD increase of TSOA due to the change of NOx is suppressed by the shift of 38 β_{NO} . The branching ratio β_{NO} increases from a global average of 0.32 at PI to 0.61 at PD (Figure 39 S12), indicating a shift from low-NOx pathway (higher yields) to high-NOx pathway (lower yields) 40 for the OH- and O₃-initiated oxidation. These competing effects lead to a net $\pm 10\%$ change in 41 TSOA production and a +14% increase in burden from PI to PD. The PI-to-PD change in TSOA in the CMPX scheme is small (-7% in production and +6% in burden). Increased POA provides 42 43 more organic mass for monoterpene oxidation products to condense on, especially in central Africa 44 and central South America (Figure S11).





1 The large increase of biogenic SOA leads to a cooling direct radiative forcing (DRF) from PI to 2 PD, opposed to the warming suggested by the Simple scheme. DRF is usually defined as the 3 difference between PI and PD direct radiative fluxes at top-of-atmosphere (TOA) under all-sky 4 conditions. We show in Figure 3 the global instantaneous DRF at top-of-atmosphere (TOA) of -5 (26-44) mW/m², comparable to that of POA(-98 mW/m²). In contrast, the Simple scheme shows a warming DRF of +17 mW/m², largely due to lack of AIBS. The DRF of SOA in the updated 6 7 schemes resides within reported AeroComII estimates, which ranges from -210 to -10 mW/m², 8 with a mean value of -60 mW/m² and a median value of -20 mW/m²(Myhre et al., 2013). Due to 9 this increase of SOA burden, our results may also imply a large indirect radiative forcing from 10 biogenic SOA that is missing from previous work(Carslaw et al., 2013).

11

12 4. Summary

13 Our work suggests a strong coupling between anthropogenic and biogenic emissions in biogenic SOA production. Constrained by observations in SEUS, we show that the summertime OA 14 15 decreasing trend is likely driven by reduction in both NO_x and SO₂ emissions, through TSOA and ISOA. SO₂ alone cannot explain this trend. Our results also point to the importance role of NO_x 16 17 on modulating biogenic SOA, in line with recent understanding on autooxidation(Pye et al., 2019), 18 although further studies are warranted. The success of the updated schemes in capturing the 19 observed OA trend and month-to-month variability provides confidence in model simulations over 20 longer time scales. At centennial scale, ISOA dominates the total SOA change as a result of a 21 significant rise in global sulfate aerosol from PI to PD, especially in the fast-developing regions 22 like Africa, Middle East, India, and China. POA increases greatly in central Africa and central 23 South America as well as India and east China, which enhances TSOA production. The significant 24 increase in SOA due to AIBS in these regions poses new challenges to meet the World Health 25 Organization's recommendation on annual fine particulate matter exposure (5 µg/m³)(Pai et al., 2022). Under future scenarios with reduced emissions of SO₂, NO_x and POA, the AIBS may 26 27 indicate larger reductions in SOA than current model predictions.

28

29 The updated SOA scheme in GFDL AM4.1 shows an advance in representing vegetation-30 chemistry-climate interactions than the default model which assumes fixed yields of SOA from 31 biogenic hydrocarbons, although a variety of uncertainties still exist in the evaluation of SOA and 32 its climate impact. First, the model likely underestimates wintertime POA in the US, total OA in 33 Europe and anthropogenic SOA globally, and the model does not consider absorbing SOA or 34 brown carbon which could form from biomass burning and aging(Tsigaridis and Kanakidou, 2018). 35 The model applies the same optical parameters for all SOA as hydrophilic POA. Second, we use a simplified aging parameter to represent the enhanced SOA sensitivity of NOx. This should be 36 37 improved by more explicit schemes on autooxidation (Pye et al., 2019) and organic 38 nitrates(Takeuchi and Ng, 2019). Properties that influence the multiphase growth of SOA, 39 including coating and viscosity, are also not implemented in our model (Shrivastava et al., 2017). 40 The model does not consider nucleation of extremely low volatile compounds from BVOC 41 oxidation, which may increase SOA in pristine environments in the pre-industrial period, thus 42 reducing the PI-to-PD radiative forcing of SOA(Gordon et al., 2016; Zhu et al., 2019). These 43 uncertainties warrant further research in studies on anthropogenic-influenced SOA in climate 44 models.





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14 Author Contributions

- 15 Conceptualization: YZ, JM
- 16 Methodology: YZ, LWH, RM, DJP, VN, JM
- 17 Investigation: YZ, LWH, JM
- 18 Writing-original draft: YZ, JM
- 19 Writing-review & editing: YZ, LWH, RM, DJP, VN, JL, JM
- 20

21 Data availability

- 22 The IMPROVE filter OA and sulfate data is available at <u>http://views.cira.colostate.edu/iwdw/</u>. The
- 23 ACTRIS ACSM OA data is available at https://actris.nilu.no/. The ARM ACSM OA data is
- 24 available at https://www.arm.gov/data/. The EPA's AQS data is available at https://aqs.epa.gov.
- 25 Model outputs are available at <u>https://doi.org/10.6084/m9.figshare.21493986.v1</u>.
- 26

27 Competing interests

- 28 The authors declare no competing interests.
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Table 1. Comparison of the SOA schemes used in this study. Further details and discussions are included in Methods.

Scheme	ASOA	VOSI			TSOA			
Simple	$C_4H_{10}+OH$	10% yields from isoprene emissions	10%	ó yields fro	om monoter	pene emiss	ions	
			4 hin 17D62	0	α for C* (C	* in µg/m ³)		Yield at
			-201 III0-+	$C^{*=0.1}$	$C^{*=1}$	$C^{*=10}$	$C^{*=100}$	$10 \ \mu g/m^3$
CMPX	Same as	Heterogeneous uptake of IEPOX ($\gamma=0.001$) and	MTP+OH/O3; NO (high-NO _x pathway)	0.04	0.0095	60.0	0.015	0.09
	ardime	glyoxal $(\gamma=0.001)^1$	MTP+OH/O3; HO2 (low-NOx pathway)	0.08	0.019	0.18	0.03	0.19
			MTP+NO ₃	0	0	0.321	1.083	0.26
CMPX_ag ³	Same as Simple	Same as CMPX	Same as CM	PX, with a	aging k _{OH} =	: 4×10 ⁻¹¹ cn	n ³ molec ⁻¹ s	-1

 1 γ represents uptake coefficients of IEPOX or glyoxal onto aqueous sulfate aerosol.

determines the partitioning of these products between gas and aerosol phase. The mass-based stoichiometric yield coefficients, α, for ²In the 4-bin VBS, monoterpene (MTP) is oxidized by OH, O₃ or NO₃ to generate 4 semivolatile surrogate products, which can reversibly partition into pre-existing organic aerosol. C* represents saturation concentration of each semivolatile product and each parent hydrocarbon/oxidant system are fit with a VBS using C* of 0.1, 1, 10, and 100 μg/m³ (Pye et al. 2010).

be further oxidized by OH with a rate constant of $k_{OH} = 4 \times 10^{-11}$ cm³ molec⁻¹ s⁻¹, which reduces its volatility by an order of magnitude. ³In the aging scheme, at every time step, each semivolatile product except for the lowest volatility bin (C*=0.1 µg m⁻³) is assumed to



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Table 2. Annual mean budget of POA and SOA in all simulations. Results are averaged over 1872-1888 for pre-industrial and 2000-2016 for present-day simulations. SOA includes ASOA (anthropogenic SOA), ISOA (isoprene-SOA), and TSOA (monoterpene-SOA).

_				Ы					PD			
Simulation	Variable	Burden (Tg)	Production (Tg/yr)	Wet Deposition (Tg/yr)	Dry Deposition (Tg/yr)	Lifetime (day)	Burden (Tg)	Production (Tg/yr)	Wet Deposition (Tg/yr)	Dry Deposition (Tg/yr)	Lifetime (day)	
All^1	POA	0.58	47.0	32.4	14.6	4.5	1.00	68.1	48.9	19.2	5.4	
	ASOA	0.003	0.2	ı			0.06	3.3	-			
Gimelo	ISOA	0.83	80.4	-	-		0.78	65.0	-	-		
aiduire	TSOA	0.15	15.6	ı			0.15	13.4	-			
	Total SOA	0.98	96.2	79.3	16.9	3.7	0.99	81.7	68.0	13.7	4.4	
	ASOA	0.003	0.2	-	-	-	0.06	3.3	-	-		
	ISOA	0.11	10.7	-			0.26	22.2	ı	-		
CIMEN	TSOA	0.16	15.5	-	-		0.17	14.4		-		
	Total SOA	0.27	26.4	22.3	4.1	3.7	0.50	39.9	33.6	6.3	4.6	
	ASOA	0.003	0.2	ı			0.06	3.3	-			
CMDV 22	ISOA	0.11	10.8	-	-		0.27	22.0	-	-		
CIMITA_48	TSOA	0.63	40.1	-	-		0.72	44.0	-	-		
	Total SOA	0.74	51.1	43.4	7.7	5.3	1.05	69.3	58.9	10.4	5.5	

¹For POA budget, the differences between different schemes are negligible.











Figure 1. Summertime (June-July-August) values averaged in the southeast US in 2000-2016. (a) Surface concentrations of OA from the two measurement networks, IMPROVE and SEARCH, and the Simple, CMPX and CMPX_ag simulations. (b) Column concentrations of OA. In (a) and (b), color shades represent OA components from the CMPX_ag scheme. (c) Standardized emissions of isoprene, monoterpenes, POA, NO_x and SO₂. (d) Standardized surface concentrations of gases O₃, OH and NO₃, sulfate aerosol, and branching ratio. In (c) and (d), each variable has been divided by its 17-year average for standardization. In attached text, "m" represents 2000-2016 relative trend with units of %/year; numbers in parenthesis in (a) and (b) represent trends with units of $\mu g/m^3/year$ or $mg/m^2/year$; "avg" represents the 17-year average with units of $\mu g/m^3$ in (a), mg/m^2 in (b), $mg/m^2/hour$ in (c) and different units shown in (d).







Figure 2. (a) Emissions (Tg/year) of POA, SO₂, NO_x, isoprene (ISOP) and monoterpenes (MTP). ISOP and MTP emissions have been divided by 10. (b) Simulated SOA global production (Tg/year) and burden (Tg). (c) Simulated SOA column concentration (mg/m²) at PI and PD and their difference in the CMPX ag scheme.







Figure 3. Direct radiative forcing (RF, mW/m^2) of POA and SOA at top-of-atmosphere (TOA) all-sky, TOA clear-sky (TOA_cs), surface all-sky and surface clear-sky (Surface_cs) conditions. Negative RFs represent cooling effects.