

Molecular simulations reveal that heterogeneous ice nucleation occurs at higher temperatures in water under capillary tension

Elise Rosky¹, Will Cantrell¹, Tianshu Li², Issei Nakamura¹, and Raymond A. Shaw¹

¹Department of Physics, Michigan Technological University, Houghton, MI, USA

²Department of Civil & Environmental Engineering, George Washington University, Washington, DC, USA

Correspondence: Elise Rosky (emrosky@mtu.edu)

Abstract.

Homogeneous ice nucleation rates occur at higher temperatures when water is under tension, otherwise referred to as negative pressure. If also true for heterogeneous ice nucleation rates, then this phenomenon can result in higher heterogeneous freezing temperatures in water capillary bridges, pores, and other geometries where water is subjected to negative Laplace pressure.

5 Using a molecular model of water ~~freezing on a hydrophilic substrate, it is found that~~ that is freezing on an ice-nucleating substrate; heterogeneous ice nucleation rates exhibit a similar temperature increase at negative pressures as homogeneous ice nucleation. For pressures ranging from ~~from +1~~ atm to -1000 atm, the simulations reveal that the temperature corresponding to the heterogeneous nucleation rate coefficient j_{het} ($\text{m}^{-2} \text{s}^{-1}$) increases ~~linearly~~ as a function of negative pressure, ~~with a slope that can be approximately predicted by the water density anomaly~~. In this pressure range, the slope of the temperature increase with decreasing pressure can be approximated as linear, with steepness influenced in part by the density difference between liquid and ice, and the latent heat of fusion at atmospheric pressure.

15 Simulations of water in capillary bridges confirm that negative Laplace pressure within the water corresponds to an increase in heterogeneous freezing temperature. The freezing temperature in the water capillary bridges increases linearly with inverse capillary height ($1/h$). Varying the height and width of the capillary bridge reveals the ~~role of geometric factors in additional~~ influence that geometric factors can have on heterogeneous ice nucleation. When ~~substrate surfaces are separated by the height of the capillary bridge is~~ less than approximately $h = 20$ Å the nucleation rate is enhanced ~~and when due to confinement between the substrate surfaces~~. When the width of the capillary bridge is less than approximately 30 Å the nucleation rate is suppressed. ~~Ice nucleation does not occur in the region within~~, perhaps in connection to the apparent lack of ice nucleation within ~ 10 Å of the air-water interface ~~and shows a preference for nucleation in the region just beyond 10 Å~~.

20 These results help unify multiple lines of experimental evidence for enhanced nucleation rates due to reduced pressure, either resulting from surface geometry (Laplace pressure) or mechanical agitation of water droplets. This concept is relevant to the phenomenon of contact nucleation and could potentially play a role in a number of different heterogeneous nucleation or secondary ice mechanisms. A linear approximation is discussed, providing a simple framework to estimate the heterogeneous freezing temperature increase in water due to negative Laplace pressure.

25 1 Introduction

Heterogeneous freezing of water occurs when a substrate or material in contact with water catalyzes the formation of ice. The heterogeneous ice nucleation rate coefficient, referred to in this paper as the intensive nucleation rate j_{het} , describes the number of ice nucleation events per unit area of substrate per unit time ($\text{m}^{-2} \text{s}^{-1}$). It is commonly recognized that j_{het} is temperature dependent, with ~~increasing~~ probability of nucleation increasing as temperature is decreased. Meanwhile, less attention has
30 been given to the pressure dependence of j_{het} , which will be the focus of this study. Specifically, we study the behavior of j_{het} under negative pressure, with the hypothesis being that negative pressure in supercooled water will correspond to elevated temperatures of heterogeneous ice nucleation.

Negative pressure in water is prevalent throughout nature, occurring in tree xylem (Jacobsen et al., 2007), in water capillary bridges in soil (Seiphoori et al., 2020), and within nano-sized pores on atmospheric ice nucleating particles (David et al., 2020;
35 Marcolli, 2020; Klumpp et al., 2023). Negative Laplace pressure, given by $\Delta P \approx \sigma_{lv}/2r$, (where $\sigma_{lv} \approx 0.7 \text{ J m}^{-2}$ is the liquid-vapor surface tension of water), becomes significant for nucleation when the air-water interface radius of curvature r is on the order of nanometers, ~~leading~~. This corresponds to negative pressures ~~of order 100s~~ on the order of hundreds of atmospheres. Thus, in this work we explore atmospherically-relevant negative pressures down to -1000 atm .

Though small changes in temperature exert a much larger influence on ice nucleation rate compared to changes in pressure,
40 our results show that negative pressures within the range of -1000 atm can cause heterogeneous ice nucleation rates to occur several Kelvin higher compared to without any pressure change. Within the narrow band of temperatures where heterogeneous ice nucleation is active in the atmosphere, it is commonly approximated that the concentration of ice-nucleating particles increases exponentially with decreasing temperature (Pruppacher and Klett, 1997, Sec. 9.2); this suggests the activation of ice-nucleating particles a few Kelvin warmer can have significant impacts.

45 Recent experiments provide compelling evidence that dynamic or geometric factors can lead to ~~enhancements of the nucleation rate~~ enhancement of ice nucleation rates independent of temperature, leading investigators to explore non-thermal sources of freezing enhancement. For example, it is widely known that contact between an ice-nucleating material and supercooled liquid leads to an increase in the freezing temperature as compared to when the material is immersed (Pitter and Pruppacher, 1973; Levin and Yankofsky, 1983; Diehl et al., 2002). Wetting of a small ice-nucleating particle at a water surface (Shaw et al., 2005)
50 and roughening of a substrate (Gurganus et al., 2014) ~~both have been observed to yield~~ have both yielded similar increases. Even contact with a soluble material not typically considered an ice nucleating particle can induce freezing (Niehaus and Cantrell, 2015). It has been observed that ice nucleation is strongly enhanced when the three-phase contact line of a sessile drop distorts and moves over a substrate during electrowetting (Yang et al., 2015) or over a surface with pinning points (Yang et al., 2018). One hypothesis that attempts to unify these diverse observations is that the curvature and/or stretching of the
55 air-water interface produces negative Laplace pressure and tension within the water (Marcolli, 2017; Yang et al., 2020).

Our previous molecular dynamics simulations of homogeneous ice nucleation within pure water (~~homogeneous ice nucleation~~) identified that homogeneous ice nucleation rates occur at higher temperatures due to negative pressure, ~~with where~~ the increase in temperature ΔT resulting from a decrease in pressure ΔP is described by the linear approximation (Rosky et al., 2022)

$$\Delta T = \frac{T_m \Delta \nu_{ls}}{l_f} \Delta P. \quad (1)$$

60 The governing quantities are the equilibrium melting point (T_m), the molar-volume difference between liquid and solid water ($\Delta \nu_{ls}$), and the enthalpy of fusion (also known as latent heat, l_f) — all evaluated at a reference pressure of 1 atmosphere. The molar volume difference between water and ice is negative, ~~a property more commonly known as the water density anomaly.~~ An across the range of pressures addressed in this study. This property of water allows for an increase in freezing temperature ($\Delta T > 0$) from a decrease in pressure ($\Delta P < 0$) ~~is made possible by this property of water.~~

65 can be found in the appendix of Rosky et al. (2022), using the pressure-dependent formulation of the solid-liquid chemical potential difference (μ) formulated by Němec (2013) combined with classical nucleation theory for j_{hom} (see also Yang et al., 2018). ~~According to this approximation~~ Several thermodynamic properties of water such as the ice and liquid density, latent heat of fusion, and ice–liquid surface tension are approximated as constant with temperature and pressure on the scales relevant to this work.

70 According to the approximation of Equation 1, the slope of $\Delta T / \Delta P$ ($(\Delta T / \Delta P)_{hom}$) is parallel to the liquid-solid phase coexistence (melting point) line, given by the Clapeyron equation. ~~Detailed studies support that the slope of~~ However, it is important to note that homogeneous freezing lines ~~is are~~ not actually parallel to the melting point line (Bianco et al., 2021; Espinosa et al., 2016) ~~; but as shown in both experiments and simulations of water (Bianco et al., 2021; Espinosa et al., 2016; Kanno et al., 1975; Lu et al., 2016;~~ The slope of $(\Delta T / \Delta P)_{hom}$ becomes increasingly steeper than the melting point line at large positive pressures. However, all

75 of these studies suggest that the homogeneous freezing lines and melting point line become closer in slope when approaching the negative pressure regime. Indeed, Rosky et al. (2022) has shown that ~~; as an approximation, it holds true for approximating the two slopes as parallel is satisfactory at negative pressures ranging from 1 atm to -1000 atm. -1000 atm. Furthermore, inspection of the simulated thermodynamic properties of water by Montero de Hijes et al. (2023) arrived at a similar conclusion that “the homogeneous nucleation line should be parallel to the coexistence line for pressures below 500 ~bars.” In light of~~

80 this interpretation, we see that extending Eq. (1) to moderate positive pressures (e.g. 200 atm) provides excellent agreement with the experimental homogeneous freezing temperature depression measured by Kanno et al. (1975), whereas extending the approximation of Eq. (1) farther into the positive pressure regime (e.g., beyond 500 atm) exhibits a growing discrepancy between the predicted value and the experimental measurement. Given the lack of experimental measurements of ice nucleation at negative pressures, it is helpful to ensure that the water models used for this study compare well with measurements in the

85 positive regime before extrapolating to negative pressures. We describe in the Methods section how the water models employed in this study show reasonable agreement with experimental measurements of homogeneous freezing at positive pressures, which builds confidence that they can be used to derive useful insights pertaining to freezing of real water under negative pressures.

In the present study we ask: Does the heterogeneous ice nucleation rate follow a similar expression for pressure dependence

90 as at negative pressures as that of the homogeneous ice nucleation rate? And do simple geometric arrangements leading to negative Laplace pressure indeed result in similar behavior?

The behavior of homogeneous ice nucleation at negative pressures has been the subject of investigation because of its relationship to the fundamental properties of water (e.g., Bianco et al., 2021). ~~By restricting the study to only the range of negative pressures thought to be relevant to the atmosphere, the previously-mentioned molecular simulations of Rosky et al. (2022) were able~~ (e.g., Bianco et al., 2021; Lu et al., 2016). The work of Rosky et al. (2022) used molecular simulations to characterize a simpler-simple (linear) behavior of homogeneous ice nucleation rate within the negative pressures range thought to be relevant to the atmosphere. Extending these studies to heterogeneous ice nucleation is an integral step towards applying these findings to physical situations. In particular, heterogeneous ice nucleation in atmospheric cloud droplets is of great interest because the majority of ice in the atmosphere forms via this mechanism (Cantrell and Heymsfield, 2005; Hoose and Möhler, 2012). Heterogeneous ice nucleation rates determine the temperature at which primary ice particles form in clouds, which goes on to influence the cloud optical properties, lightning activity, and precipitation (Lamb and Verlinde, 2011).

In this work we characterize the pressure dependence of intensive heterogeneous ice nucleation rates j_{het} at negative pressures using a molecular model of water in contact with ~~a hydrophilic an ice-nucleating~~ substrate. In the first method of applying negative pressure we use a barostat to explicitly set the pressure. In the second method we use capillary water bridges of varying heights to create a range of negative Laplace pressures. We consider whether Eq. (1) remains a valid description for the pressure dependence of heterogeneous ice nucleation in the negative pressure range. In addition to analyzing heterogeneous ice nucleation rates, we also explore the spatial distribution of ice nucleation events within the water capillary bridges to ~~see where nucleation events occur~~ understand how confinement relative to the substrate and the air-water interface may influence our results.

While our simulations do not attempt to represent any specific substrate or configuration found in atmospheric or experimental ice nucleation, our findings provide insight into the extent that capillary tension and surface curvature (e.g. due to mechanical agitation) can influence heterogeneous ice nucleation rates through negative Laplace pressure. Additionally, the spatial locations of ice nucleation relative to the substrate and to the air-water interface inform us that these length scales must be taken into account when considering ice nucleation rate enhancement via this mechanism.

115 2 Methods

Molecular dynamics (MD) simulations are carried out in LAMMPS (Plimpton, 1995) using the mW (Molinero and Moore, 2009) water model, and ~~MLmW the ML-mW~~ (Machine-Learning-mW) that model which has a more realistic ~~water density anomaly~~ (Chan et al., 2019). ~~All simulations employ periodic boundary conditions along the three spatial dimensions.~~ representation of the density difference between liquid water and ice (Chan et al., 2019). The mW homogeneous freezing curve was explored across a wide range of positive pressures by Lu et al. (2016), showing qualitative agreement with that measured by Kanno et al. (1975). More relevant to our study, the ML-mW model also exhibits quantitative agreement with the experimental measurements. Using the same methods described in Rosky et al. (2022), we simulated homogeneous freezing of the ML-mW model at a positive pressure of 500 atm and observed a 6 K depression in the freezing temperature in reference to 1 atm. This agrees quantitatively with the experimental results of Kanno et al. (1975), and exhibits the expected steepening of the homogeneous

125 freezing line in the positive pressure regime. This increases our confidence that ML-mW simulation results will provide useful insights pertaining to real water at negative pressures.

To observe ice nucleation, we equilibrate the water at a supercooled temperature ~~(and then cool the water at a constant rate of 0.25 K ns^{-1} until ice forms. The same cooling rate is used for all simulations in this study. The starting temperature for the cooling ramp at all pressures is 240 K for MLmW-ML-mW and 225 K for mW, corresponding to 52 K and 48 K of~~
130 ~~supercooling respectively)~~ and then cool the water at a constant rate of 0.25 K ns^{-1} until ice forms, relative to their melting temperatures at 1 atm. Ice is identified using the q_6 order parameter, where clusters of molecules with $q_6 > 0.54$ are considered to be ice (Steinhardt et al., 1983; Lupi et al., 2014; Rosky et al., 2022). ~~The cooling rate and substrate surface area used in this study allow us to observe intensive heterogeneous nucleation rates of order of magnitude $j_{het} = 10^{24} \text{ m}^{-2} \text{ s}^{-1}$. A timestep of 5 fs is used for all simulations~~
135 Using the same method as Rosky et al. (2022), the freezing temperature of each cooling ramp is identified by the sharp increase in ice to liquid ratio. The steepest point on a sigmoidal fit to the ice/liquid ratio curve is used to select the nominal nucleation temperature.

Intensive nucleation rates are found by running the same cooling simulation a minimum of 20 times and recording the freezing temperature of each cooling run. These repeated cooling runs form a statistical distribution of freezing temperatures that can be divided into temperature bins with a corresponding intensive nucleation rate, $j_{het}(T)$, within each bin. Calculation
140 of intensive nucleation rate values for this process is described in Rosky et al. (2022) using the methods of Zobrist et al. (2007). The width of the temperature bins are displayed as uncertainty bounds on our data points. As determined from Poisson statistics, we report with 99% certainty that the intensive nucleation rates shown are contained within the bounds of the temperature bin. We reference Table 2 of Koop et al. (1997) to obtain the 99% upper and lower confidence bounds for the number of freezing events observed in each temperature bin. The cooling rate and substrate surface area used in our study allow us to sample
145 intensive heterogeneous nucleation rates of order of magnitude $j_{het} = 10^{24} \text{ m}^{-2} \text{ s}^{-1}$.

We simulate heterogeneous ice nucleation by inserting a hydrophilic-ice-nucleating substrate into a box of water with periodic boundary conditions. This configuration is shown in Fig. 1(a). The ~~substrate molecules are held fixed with zero velocity. Applying a Nose-Hoover barostat to the simulation box, we measure $j_{het}(T)$ at three pressures: 1 atm, -500 atm, and -1000 atm. The Fig. 1(a)~~
150 ~~simulation box has dimensions of $49.22 \times 49.02 \times \sim 58.75 \text{ \AA}$, containing 4,906 water molecules with a 10 \AA thick sheet of hydrophilic-ice-nucleating substrate inserted at the center of the z-axis. The total surface area of the-substrate in contact with water (including both the top and bottom of the substrate) is 48.25 nm^2 . The substrate molecules are held fixed with zero velocity. The x,y-dimensions of the simulation cell are kept constant, while the z-axis dimension may adjust to accommodate changes in pressure. After equilibration of the simulation box, we use cooling ramps to measure $j_{het}(T)$ at three pressures: 1 atm, -500 atm, and -1000 atm.~~

155 Simulations containing 4,906 molecules (a volume of roughly 125 nm^3) are a conventional choice for studies that aim to simulate bulk water properties (e.g., Molinero and Moore, 2009; Lupi et al., 2014; Li et al., 2011). The interaction forces felt between two water molecules in our simulation go to zero when molecules are spaced further than $\sim 4 \text{ \AA}$ apart, and forces between water molecules and substrate molecules go to zero for separations beyond $\sim 5 \text{ \AA}$ (Molinero and Moore, 2009). Thus, the chosen thickness of our substrate ensures that water molecules on either side of the substrate will not interact

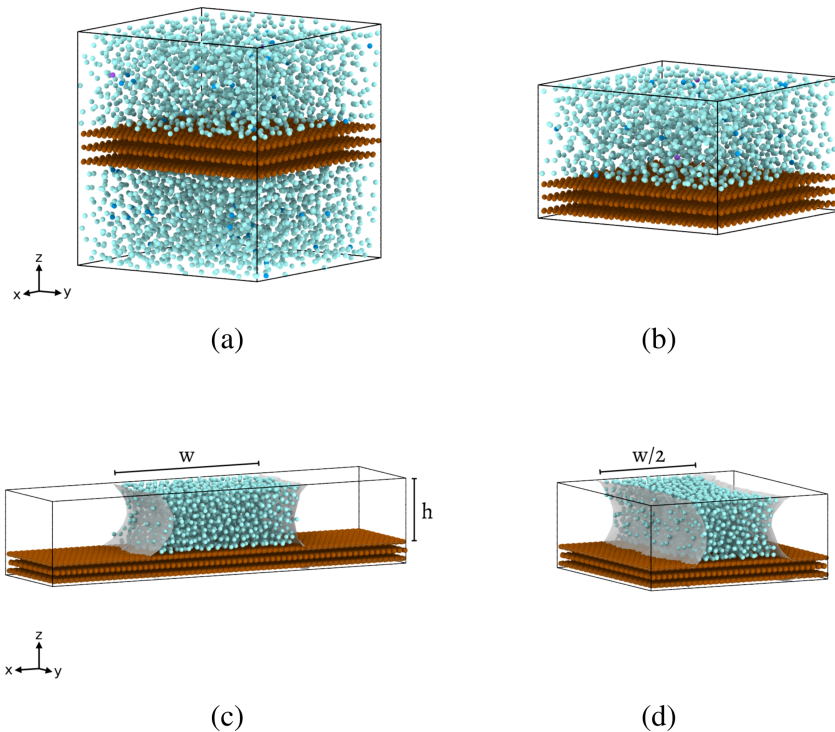


Figure 1. Heterogeneous ice nucleation simulation configurations with periodic boundary conditions employed along all three dimensions. Water molecules are cyan and the substrate material is brown. All configurations have the same total surface area of contact between water and substrate to within 6%. (a) "Unconfined" water with a barostat. (b) Confined water with a barostat, designed to capture the effect of confining water along the z -axis between substrate surfaces. (c) Capillary water bridge, used without a barostat so that negative Laplace pressure may arise naturally within the water capillary bridge due to the curved geometry of the air-water interface (gray shading). (d) Narrow capillary bridge, simulates confinement of the water along the x -axis between the two air-water interfaces (gray shading). In configurations (b), (c), and (d), the periodic boundary conditions cause the bottom surface of the substrate to be in contact with the water molecules at the top of the simulation cell, thus forming the water bridge in (c) and (d).

160 with each other. We refer to the configuration shown in Fig. 1(a) as "unconfined" because the vertical dimension is large enough that much of the water is unaware of the substrate. Structural correlations in the liquid decay to zero beyond 1.5 nm (Cox et al., 2015; Bi et al., 2016; Lupi et al., 2014); the height of the "unconfined" simulation cell is more than twice this length. To confirm that the nucleation rate on the substrate is not influenced by confinement effects in the "unconfined" cell, simulations at 1 atm were performed with the z -axis dimension doubled to 100 Å, showing no change in the heterogeneous
 165 nucleation rate.

To simulate the effects of confining the water between the two substrate surfaces, we repeat the simulations with reduced box heights, as shown in Fig. 1(b). We test z -axis heights of $h = 30$ Å, 24 Å, and 18 Å, which will later be used as the heights of our capillary water bridges. All simulations in this study employ periodic boundary conditions along the three spatial dimensions,

and use a timestep of 5 fs. For both the “unconfined” and confined configurations, ~~pressure is controlled using equilibration~~
170 ~~is carried out with a Berendsen barostat (damping constant of 10 ps) and Bussi thermostat (damping constant of 5 ps). The~~
~~cooling runs are carried out in the isothermal-isobaric (NPT) ensemble, implemented by employing~~ an isobaric-isoenthalpic
(NPH) ensemble ~~coupled with using~~ a Nose-Hoover ~~thermostat, which together generate the isothermal-isobaric NPT ensemble~~
~~barostat (damping constant of 10 ps) coupled with the Bussi thermostat~~ (Allen and Tildesley, 2017).

The selection of pressures used for this study is informed by two factors. First, Eq. (1) dictates that ~~the slope $\Delta T/\Delta P$~~
175 ~~is negative only~~ an increase in freezing temperature due to negative pressure is expected when the molar volume difference
between liquid water and ice is negative in value. ~~In other words, an increase in freezing temperature due to negative pressure~~
~~is only expected when~~ ($\Delta v_{ls} < 0$). Simulations of water under a wide range of thermodynamic conditions indicate that Δv_{ls}
changes from negatively to positively valued at some point below -1000 atm (Bianco et al., 2021). ~~We Thus, we~~ do not
explore pressures below -1000 atm ~~so this is not a concern to stay within the range of interest to ice nucleation enhancement~~.
180 Furthermore, we are particularly interested in negative pressure regimes that could be ~~feasibly be achieved feasible~~ during
atmospheric processes or during laboratory experiments, making the range of pressure from 1 atm to -1000 atm ~~the most~~
appropriate for our purposes.

We have taken steps towards addressing whether these magnitudes of negative pressure within water can be found in nature
by simulating water capillary bridges. ~~As will be discussed in Sec. 3.2, a~~ volume of water placed between two hydrophilic
185 substrate surfaces forms a capillary bridge that is expected to have negative Laplace pressure within the water. ~~The same~~
~~hydrophilic~~ ~~As shown in Fig. 1(c), we use the same ice-nucleating~~ substrate used in the previously described ~~simulations is~~
~~used configurations~~ to construct water capillary bridges ~~, shown in Fig. 1(c). Capillary bridges~~ with heights $h = 30$ Å, 24 Å,
and 18 Å ~~are used~~. We apply the same constant-cooling simulation ~~process procedure~~ to obtain intensive heterogeneous ice
nucleation rates within the capillary bridges. In these simulations, we remove the external barostat so that negative pressure
190 within the water is solely a result of the capillary bridge geometry. Water capillary bridge simulations are ~~equilibrated and~~
carried out in the NVT ensemble (~~number of molecules, volume, and temperature is conserved during each timestep~~), using a
~~Bussi thermostat with damping constant of 5 ps. The simulation cell dimensions are all held fixed during the capillary bridge~~
~~simulations. The widths of these capillary bridges are measured at the water-substrate interface~~. The total area of contact
between the water and substrate remains consistent (~ 48.25 nm²) to within 6% for all capillary bridge configurations. ~~The~~
195 ~~desired contact area is achieved by tuning the y-axis dimension of the simulation cells to adjust the length of the capillaries~~
~~along the y-axis~~. Intensive nucleation rates are ultimately obtained by dividing by the ~~water-substrate water-substrate~~ surface
area (m⁻² s⁻¹) ~~; However, and~~ maintaining a consistent surface area ensures that all simulations sample the same magnitude
of nucleation rate. ~~Only the ML-mW model was used for the capillary bridge simulations~~.

We look more closely at the ice nucleation events within the water capillary bridges by identifying the location of all
200 nucleation events. The identification of ice nucleation location is done visually using the clustering capability of OVITO, a
visualization and analysis tool for molecular dynamics simulation data (Stukowski, 2010). The center of mass of the initial ice
cluster is used as the freezing location. The initial ice clusters contain an average of 25 water molecules and their positions
have an uncertainty of 5 Å along each axis.

Throughout this paper, data points will be presented using circles to indicate unconfined heterogeneous freezing in the configuration shown by Fig. 1(a). Squares will indicate confined heterogeneous freezing as in the example of Fig. 1(b). Diamonds will indicate water capillary bridge data, as in Fig. 1(c). Narrow diamonds will represent the narrow capillary configuration, shown by Fig. 1(d).

2.1 Interaction potential between water and substrate

In molecular dynamics simulation, the forces between molecules are defined by interaction potentials. ~~We start our investigation of heterogeneous freezing with the mW water model, freezing on a substrate which was introduced by Lupi et al. (2014) to model the interaction of mW water with a carbon surface. Our Lupi et al. (2014) introduced an interaction potential to model mW water with a carbon substrate, with the contact angle between mW water and carbon tuned to 86 degrees. We use this same interaction potential to simulate heterogeneous freezing of the mW water model. Although our~~ focus in this work is not to model any particular substrate. ~~However, this interaction potential is a useful place to start because it, this mW-Carbon interaction potential~~ has the benefit of having ~~already been been already~~ used in studies of ice nucleation ~~and models a hydrophilic material~~ (Lupi et al., 2014; Bi et al., 2016). A description of the equations of interaction for this potential and its parameters is included in Appendix A.

We observe that a simulation box containing 4,096 mW water molecules at 1 atm with no substrate freezes homogeneously at an average temperature of 202 K when cooled at a constant rate of 0.25 K ns^{-1} . After inserting a layer of substrate into the center of this same volume of water (as in Fig. 1(a)) and using the same cooling rate, we observe that heterogeneous freezing on the substrate takes place at an average temperature of 217.5 K, a 15.5 K increase over the homogeneous freezing temperature. This is consistent with the results of Lupi et al. (2014), who reported a $12 \pm 3 \text{ K}$ difference between heterogeneous and homogeneous freezing temperature when cooling at a rate of 1 K ns^{-1} .

Our previous study (Rosky et al., 2022) indicates that the ~~MLmW-ML-mW~~ water model is more appropriate for studying pressure effects on ice nucleation because it exhibits a ~~density anomaly-molar volume difference between liquid water and ice~~ that is closer to real water (Chan et al., 2019). Therefore, in order to increase the ability to translate our findings onto real water droplets, we focus our study on the ~~MLmW-ML-mW~~ model instead of mW. We define a substrate interaction potential for the ~~MLmW-ML-mW~~ model that is a modified version of the mW-Carbon interaction potential defined by Lupi et al. (2014). We adjust the parameters of the interaction potential to form a ~~potential between the substrate and MLmW water that is hydrophilic and substrate on which ML-mW water is~~ stable within the range of negative pressures that we study. ~~The resulting interaction parameters used in this work are summarized in Table A1.~~

~~In selecting interaction parameters for the substrate, we are not attempting to exactly model the properties of water-carbon interaction or reproduce the mW-Carbon interaction potential for the MLmW model. However, we did select a potential that~~ and exhibits a similar ~~temperature~~ difference between heterogeneous and homogeneous freezing ~~temperature as shown by the mW model as seen in the mW-Carbon interaction.~~ A simulation box containing 4,096 ~~MLmW-ML-mW~~ water molecules at 1 atm freezes homogeneously at an average temperature of 214.5 K when cooled at a constant rate of 0.25 K ns^{-1} . With the

substrate inserted, the average heterogeneous freezing temperature on this substrate is 228.5 K, a change in temperature of 14 K.

The resulting ~~interaction potential between MLmW and substrate~~ ML-mW-Substrate interaction potential has a contact angle between water and substrate that is smaller than that of the mW-Carbon potential, ~~which was tuned by Lupi et al. (2014) to have a contact angle of 86 degrees~~. This smaller contact angle is consistent with Bi et al. (2016), Cox et al. (2015) and Lupi and Molinero (2014), where the interaction potential is adjusted in a similar manner as here to modify the substrates hydrophilicity. Our estimate of the MLmW-Substrate ML-mW-Substrate contact angle is 50.5 degrees and will be discussed in Sec. 3.2. The resulting interaction parameters used in this work are summarized in Table A1.

245 3 Results

3.1 Heterogeneous nucleation rate with negative pressure

Freezing of water on ~~a hydrophilic an ice-nucleating~~ substrate (Fig. 1(a)) is simulated at 1 atm, -500 atm and -1000 atm to identify how the intensive heterogeneous nucleation rate, j_{het} , behaves at negative pressures. The surface cooling rate and area of the substrate ~~and the rate at which the system is cooled are two factors that determine the magnitude of j_{het} that will be~~ observed in these simulations, which in this case is $j_{het} = 10^{24} \text{ m}^{-2} \text{ s}^{-1}$. ~~Larger substrate area or slower cooling rate would each decrease the observed intensive heterogeneous nucleation rate. We keep these two factors in contact with water is kept~~ fixed as we change the pressure of the system so that we observe the same magnitude the same magnitudes of j_{het} is sampled at all pressures. For each pressure setting, we identify the temperature at which the intensive nucleation rate j_{het} is equal to $10^{24} \text{ m}^{-2} \text{ s}^{-1}$, thus obtaining contours of constant j_{het} in pressure-temperature coordinates. Figure 2 shows these results for both the MLmW-ML-mW model and mW model. Intensive homogeneous nucleation rate data j_{hom} ($\text{m}^{-3} \text{ s}^{-1}$), as well as equilibrium melting points T_m are also included in these plots for comparison from with Rosky et al. (2022).

Comparing the data points for constant for intensive heterogeneous nucleation rate ($j_{het} = 10^{24} \text{ m}^{-2} \text{ s}^{-1}$) with the data for constant intensive homogeneous nucleation rate ($j_{hom} = 10^{32} \text{ m}^{-3} \text{ s}^{-1}$), we see that ~~they follow a very~~ the two follow a similar slope in pressure-temperature coordinates. Most significantly, we observe that the increase in temperature as a function of pressure for j_{het} ~~is linear to~~ can be approximated as linear within the sampling uncertainty, indicating that the use of a linear ~~approximation for $\Delta T/\Delta P$ is appropriate for estimate for $(\Delta T/\Delta P)_{het}$ may be applied to~~ heterogeneous ice nucleation. For the mW model in particular, the slope predicted by Eq. (1) fits exceptionally well to both the homogeneous and heterogeneous freezing data. Meanwhile, Eq. (1) seems to ~~slightly underestimate the heterogeneous slope of the MLmW model.~~ Nevertheless ML-mW model. The source of excess steepness in the ML-mW heterogeneous slope is not uncovered in this study and merits further investigation. Despite this weakness, these results do indicate that the slope predicted by Eq. (1) may still be applicable to heterogeneous nucleation, to within the simulation uncertainty. ~~Thus we may conclude~~ The similarity between the heterogeneous and homogeneous slopes for each respective water model suggest that l_f and Δv_{ls} remain key factors in determining the pressure dependence of heterogeneous nucleation rate. Indeed, ~~it is significant to note that the observed slopes change consistently between mW and MLmW models,~~ suggesting that the water density anomaly the consistent change in

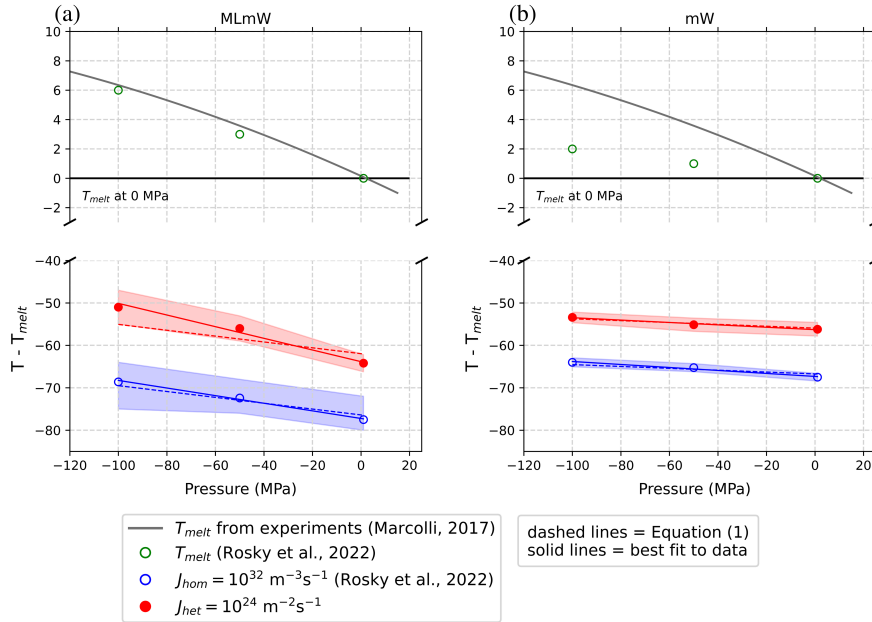


Figure 2. Melting (green open circles), heterogeneous-freezing (red closed circles) and homogeneous freezing temperature (blue open circles) versus pressure. The heterogeneous freezing results are new, [and the using an ice-nucleating substrate inserted into a filled box of water with periodic boundary conditions \(See Fig. 1\(a\)\).](#) The melting and homogeneous freezing results are reproduced from Rosky et al. (2022) for comparison. The red and blue shading represents the 99% confidence intervals (uncertainty) for the simulation data. Dashed lines use the slope predicted by Eq. (1) to obtain a best fit to the intercept. Solid lines are a best linear fit of both slope and intercept. [The numeric values of these slopes are given in Table 1.](#) Contours of constant heterogeneous nucleation rate are, to within sampling uncertainty, linear and nearly parallel with lines of constant homogeneous nucleation rate for both the [MLmW-ML-mW](#) model (a) and [mW](#) model (b). The gray melting point line from Marcolli (2017) is a fit to experimental measurements, which the [MLmW-ML-mW](#) model reproduces more realistically. Note that $-100 \text{ MPa} = -1000 \text{ atm}$.

270 [slope between the mW and ML-mW models indicate that the magnitude of density difference between liquid water and ice](#) plays a similar [key](#)-role for heterogeneous freezing as was previously found for homogeneous freezing (Rosky et al., 2022).

In classical nucleation theory, j_{het} has the form (Lamb and Verlinde, 2011)

$$j_{het} = A_{het} \exp\left(\frac{C f_{het}}{T \Delta \mu^2}\right), \quad (2)$$

where f_{het} is a heterogeneous compatibility function, typically related to the contact angle on the substrate, and $\Delta \mu$ is the
 275 chemical potential difference for the phase change. The factor $C = 16\pi\gamma_{ls}^3/(3k_B\rho^2)$ depends on the liquid-solid surface tension (γ_{ls}) and density of ice (ρ). The [pre-factor \$A_{het}\$ is related to the diffusivity of water molecules.](#) The pressure dependence is introduced into this expression by using a formulation for chemical potential difference given by Nĕmec (2013). [Equation As](#)

with homogeneous freezing, we may approximate that the pre-factor, liquid and ice density, latent heat of fusion, and ice-liquid surface tension remain constant with temperature and pressure on the scales relevant to this work. As long as the heterogeneous compatibility function f_{het} is not strongly pressure dependent: The approximation of Eq. (1) is valid for heterogeneous, as well as homogeneous, ice nucleation, ~~as long as the~~. The heterogeneous compatibility function, f_{het} of the substrate ~~is not strongly pressure dependent, which has been~~, is formulated as a function of the effective contact angle between water and substrate (Lamb and Verlinde, 2011; Zobrist et al., 2007). ~~The~~. The roughly linear trend in our data suggest that the contact angle and compatibility function are not strongly dependent on pressure ; ~~this in the negative pressure regime studied here~~. This follows from the fact that the derivation of Eq. (1) hinges on approximating many terms as constant along lines of constant j . ~~If any of~~ ; ~~if~~ these assumptions are invalid in the pressure regime examined here, we would expect their effects to reveal themselves by producing a highly non-linear trend in pressure-temperature coordinates, which we do not observe.

The thermodynamic values used in Eq. (1) to produce the dashed lines ~~in of~~ Fig. 2 are listed ~~for each water model~~ in Table 1 and ~~are evaluated for bulk water correspond to bulk water at 1 atm~~ (no proximity to an interface). As summarized in ~~the table~~, ~~using these values in Eq. (1) predicts a slope~~ column four of Table 1, these values predict a slope of $\Delta T/\Delta P = -0.069$ K MPa^{-1} for the MLmW model. ~~When fitting a line to the heterogeneous data points from our simulations~~ ML-mW model. A line of best fit to the simulated ML-mW heterogeneous freezing data instead gives a slope of $(\Delta T/\Delta P)_{het} = -0.14$ K MPa^{-1} (solid red line in Fig. 2(a)), ~~we instead find a slope of~~ -0.14 K MPa^{-1} . ~~The slope predicted by Eq. (1)~~. This predicted slope (dashed red line in Fig. 2(a)) sits only marginally within the uncertainty bounds of the heterogeneous nucleation rate data and is 47% steeper than ~~our the~~ best fit of the MLmW-ML-mW homogeneous freezing data (See Table 1).

We take some time to consider which factors may contribute to the steeper slope of heterogeneous freezing ~~line of the MLmW~~ in the ML-mW model compared to the homogeneous freezing line. ~~Our hypothesis~~ One hypothesis is that Eq. (1) still holds true for heterogeneous ice nucleation, but that adjustments need to be made to the values of Δv_{ls} or l_f used in the equation ~~While the linear nature of $(\Delta T/\Delta P)$ is apparent in our results to achieve quantitative agreement with the heterogeneous freezing line~~. While our results support that the trend in $(\Delta T/\Delta P)_{het}$ can be approximated as linear in the negative pressure regime, we are less confident in the quantitative values of Δv_{ls} and l_f for heterogeneous freezing compared to homogeneous freezing. In the homogeneous case, the values of T_m , l_f , and Δv_{ls} are well constrained because they can be calculated from bulk water, ~~and as a result we see which could account for the~~ excellent agreement between Eq. (1) and the homogeneous simulation results of Rosky et al. (2022). For the heterogeneous case, there is more ambiguity around these thermodynamic values because the properties of water near an interface may differ from the bulk properties. We see that by using ~~the~~ bulk thermodynamic values in Eq. (1), we underestimate the slope of $(\Delta T/\Delta P)_{het}$ by up to 50%. Interestingly, this ~~difference in discrepancy between the~~ homogeneous and heterogeneous slopes is seen only ~~with the MLmW in the ML-mW~~ model. Meanwhile the homogeneous and heterogeneous freezing lines are nearly parallel for the mW model. This could indicate the thermodynamic properties of mW water are less influenced near the substrate compared to the ~~MLmW model~~. ML-mW model. This interpretation is supported by evidence from Qiu et al. (2018) which identified mW water at the mW-Carbon interface as having thermodynamics similar to that of the bulk liquid.

Table 1. Parameters entering Eq. (1) for homogeneous and heterogeneous nucleation, for both mW and ML-mW models. Dashes indicate that a measurement of this value is not known to us. Sources: ^a Chan et al. (2019), ^b Rosky et al. (2022).

	l_f [J/mol]	T_m [K]	Δv_{ls} [m ³ /mol]	$\frac{T_m \Delta v_{ls}}{l_f}$ [K/MPa]	$\Delta T/\Delta P$ best fit [K/MPa]
mW J_{hom}	5271.8 ^a	273 ^{a,b}	-4.2×10^{-7} ^{a,b}	-0.022	-0.035
mW J_{het}	---	273	---		-0.028
MLmW ML-mW	5857.6 ^a	292 ^b	-13.8×10^{-7} ^{a,b}	-0.069	-0.089
J_{hom}	---	292	---		-0.14
MLmW ML-mW					
J_{het}					
Real water J_{hom}	6025.0 ^a	273.15 ^a	-16.1×10^{-7} ^b	-0.073	---

Parameters entering Eq. (1) for homogeneous and heterogeneous nucleation, for both mW and MLmW models. Dashes indicate that a measurement of this value is not known to us. Sources: ^a Chan et al. (2019), ^b Rosky et al. (2022).

Another possibility is that the contact angle and surface free energy (surface tension) between water and substrate exerts an influence on the slope of $(\Delta T/\Delta P)_{het}$. Evans (1967) showed that a heterogeneous freezing line for aqueous suspension of ice-nucleating material at positive pressures is actually less steep than the melting point line, and thus also less steep than the homogeneous freezing line. Although the mW-substrate and ML-mW-substrate were designed to have the same freezing temperature enhancement over the homogeneous freezing temperatures, they do exhibit different contact angles between the water and substrate. Thus, this could potentially be a factor involved in the discrepancy between ML-mW homogeneous and heterogeneous slopes as compared to the mW case where the two lines are parallel.

In all-cases our simulation results, using bulk water thermodynamic values in Eq. (1) provides a lower-bound to the slope of $(\Delta T/\Delta P)_{het}$, which can be very useful in estimating the increase in heterogeneous freezing temperature due to negative pressure in atmospheric and experimental contexts. However, further investigation is needed to identify a robust way to obtain values $l_f, \Delta v_{ls}$ that will account for the observed steepness of the ~~MLmW-ML-mW~~ heterogeneous nucleation slope. Going forward, the linearity and the theoretical prediction of the slope are probably adequate for practical use, given other significant uncertainties in using classical nucleation theory.

3.2 Heterogeneous ice nucleation in water capillary bridges

One way for water to exist stably under negative pressure is through geometric configurations which produce high degrees of negative surface curvature at the air-water interface, such as inside a water capillary bridge. The negative pressure experienced by the water in these cases is a result of Laplace pressure, $P = \sigma_{lv}(\frac{1}{r_1} + \frac{1}{r_2})$, where σ_{lv} is the surface tension between liquid and vapor, and r_1 and r_2 are the radii of curvature of the air-water interface. In these cases, the equilibrium pressure within the water is smaller than the external environmental pressure, allowing for negative pressure to exist within water that is otherwise

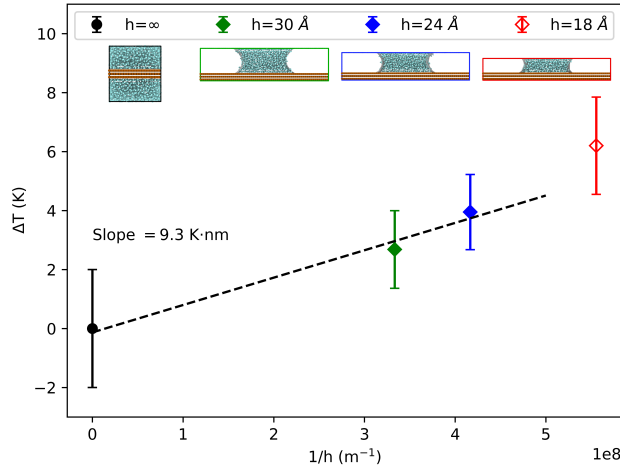


Figure 3. Increase in heterogeneous freezing temperature ΔT versus $1/h$ for capillary-bridge heights of $h = 30$ (green filled diamond), 24 (blue filled diamond), and 18 \AA (red open diamond), as well as for bulk water (filled black circle) in contact with identical [hydrophilic ice-nucleating](#) substrates. Excluding the 18-\AA capillary (red diamond) which is influenced by confinement effects, the line of constant nucleation rate as a function of inverse capillary height follows a linear trend [shown by the linear best fit to the data \(dashed black line\)](#). The 18-\AA capillary data is not included in the linear fit to obtain the indicated slope $\Delta T \cdot h$.

in a 1 atm environment. The Laplace pressure associated with different capillary geometries is summarized by Elliott (2021). For the capillary bridge configuration used in this study, shown in Fig. 1(c), the expected Laplace pressure within the water is

$$\Delta P = -\sigma_{lv} \frac{2 \cos(\theta)}{h}, \quad (3)$$

where θ is the contact angle between water and the substrate. By substituting the above equation into Eq. (1), we obtain an expression to predict the temperature increase for a given nucleation rate j_{het} as a function of inverse capillary bridge height,

$$\Delta T = -2\sigma_{lv} \cos(\theta) \frac{\Delta \nu_{ls} T_m}{l_f} \left(\frac{1}{h} \right). \quad (4)$$

Given the previous [conclusion-inference](#) that terms σ_{lv} and θ do not change significantly with pressure, we expect a linear relationship between freezing temperature and inverse capillary height $1/h$. Figure 3 shows the freezing temperatures corresponding to an intensive heterogeneous nucleation rate $j_{het} = 10^{24} \text{ m}^{-2} \text{ s}^{-1}$ inside water capillary bridges with heights $h = 30$, 24, and 18 \AA . We find that the data follows a linear trend as anticipated. As will be discussed in Sec. 3.4, we have excluded the 18 \AA capillary bridge from the current analysis because this scale of confinement of the water between the substrate surfaces causes an increase in ice nucleation rate that cannot be attributed to negative pressure alone.

We can now use the linear slope $-2\sigma_{lv} \cos(\theta) \left[\frac{\Delta \nu_{ls} T_m}{l_f} \right]$ from Eq. (4) to analyze the results in Fig. 3. A linear fit to the [data points, shown by the dashed black line](#) in Fig. 3, produces a slope [\(\$\Delta T \cdot h\$ \) of 9.3 of \$\(\Delta T \cdot h\) = 9.3 \text{ K} \cdot \text{m}\$](#) . We also know

345 from our analysis of $(\Delta T/\Delta P) - (\Delta T/\Delta P)_{het}$ in Sec. 3.1 and Table 1 that the best fit value of $\left[\frac{\Delta v_{ls} T_m}{l_f}\right]$ for the **MLmW**
ML-mW model is -0.14 K MPa^{-1} . We substitute these two slopes into Eq. (4) to solve for the value $\sigma_{ts} \cos(\theta) = 0.042$
 $\sigma_{lv} \cos(\theta) = 0.042 \text{ J m}^{-2}$. The surface tension $\sigma_{ts} - \sigma_{lv}$ of the mW model was reported by Molinero and Moore (2009) to be
 0.066 J m^{-2} , and by following methods of [Li et al. \(2009\) \(supplementary material\)](#) [Li et al. \(2009, Supplementary material\)](#),
we found the same value for the **MLmW-ML-mW** model. Using this value of $\sigma_{ts} - \sigma_{lv}$, we solve for the contact angle $\theta = 50.5$
350 degrees. This value of θ is consistent with estimates we obtain by measuring the radius of curvature of the capillary bridge
air-water interfaces using a method similar to Giovambattista et al. (2007). With these estimates of $\sigma_{ts} - \sigma_{lv}$ and θ , we can also
use Eq. (3) to calculate the magnitude of Laplace pressure that may be present within the water capillary bridges. The 24-\AA
capillary bridge has a pressure of -345 atm , and the 30-\AA bridge has a pressure of -275 atm .

Our main findings are that negative Laplace pressure created within water capillary bridges increases the temperature of j_{het}
355 in a manner that is consistent with the linear slope of $\Delta T/\Delta P - (\Delta T/\Delta P)_{het}$ combined with the expected Laplace pressure
for this capillary geometry. A 24-\AA capillary bridge can exhibit a $\approx 3\text{-K}$ increase in heterogeneous freezing temperature, and a
 $\approx 2\text{-K}$ increase within a 30-\AA water capillary bridge.

3.3 Effect of confinement between substrate layers

~~The Capillary theory is expected to remain valid at the nano-scale (Elliott, 2021), however the~~ ice nucleation rate in water can be
360 effected by confined geometries ([Cao et al., 2019; Roudsari et al., 2022](#)) ([Cao et al., 2019; Roudsari et al., 2022; Hussain and Haji-Akbari,](#)
. When analyzing the increase in freezing temperature within the water capillary bridges, we need to disentangle the effects
of confinement from the effects of Laplace pressure. To do so, we ran simulations to observe how confinement alone affects
ice nucleation rate on the substrate. We use the configuration shown in Fig. 1(b), where the spacing between the substrate
surfaces along the z -axis dimension has been reduced to $18, 24$ and 30 \AA to match the levels of confinement present in our
365 water capillary bridges. In these simulations, water molecules are confined between the substrate surfaces, but ~~do not have the~~
~~have no~~ air-water interface that gives rise to Laplace pressure. ~~The pressure in the boxes is set to 1, -500 , and -1000 atm ,~~
~~to directly compare against the “unconfined” configuration across the full range of pressures.~~ Results are plotted in Fig. 4(a),
showing that 24 and 30-\AA separations (blue and green data points) have identical freezing temperatures at all pressures as the
“unconfined” configuration (black data points). This allows us to conclude that the ~~trends freezing temperature enhancement~~
370 reported previously, e.g. for 24 and 30-\AA capillary bridges in Fig. 3, are due to pressure alone. The 18-\AA setup (red data points
in Fig. 4(a)) exhibits a significant increase in freezing temperature as a result of the confined geometry. This behavior is indeed
evident in the ~~departure of the~~ 18-\AA capillary bridge freezing data ~~point from the linear trend~~ in Fig. 3, ~~which~~. This is why
we have excluded the 18-\AA capillary bridge data from the slope analysis in the previous section. ~~Other research (Elliott, 2021)~~
~~supports that capillary theory can extend to the nano-scale used in our simulations, which our results corroborate. Meanwhile,~~
375 ~~our results are also consistent with Almeida et al. (2021), who indicate that the capillary theory breaks down with separations~~
~~less than $\approx 20 \text{ \AA}$.~~

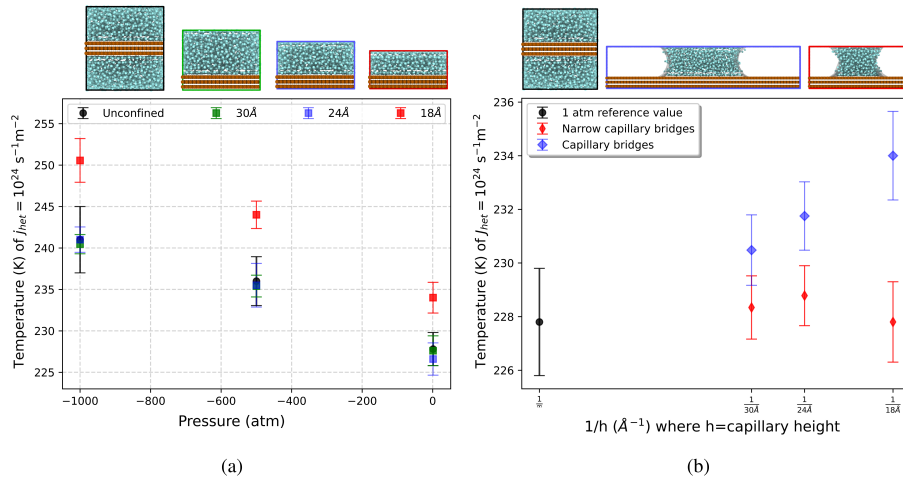


Figure 4. (a) Heterogeneous freezing temperature versus pressure with varying separation between substrates, illustrating the effect of confinement along the z -axis. We see that confinement influences the ice nucleation rate for only the 18-Å configuration (red squares). The 24-Å (blue squares) and 30-Å (green squares) confinement configurations exhibit the same freezing temperatures at all pressures as the “unconfined” reference simulations (black circles). (b) Heterogeneous freezing temperature versus inverse capillary-bridge height for varying widths of the capillary bridge, showing the effect of confinement along the x -axis between air-water interfaces. Confining the water within a narrow capillary bridge (red diamonds) suppresses the increase in freezing temperature that is seen in the capillary bridges that are twice as wide (blue diamonds).

3.4 Freezing locations relative to the air-water interface

We now consider whether there is an analogous confinement effect along the x -axis, between the two air-water interfaces of our capillary bridge simulations. A narrow capillary bridge configuration can be seen in Fig. 1(d). These narrow capillary bridges are 30 Å wide, while the capillary bridges used in our previous results (henceforth referred to as “wide capillary bridges”) are 60 Å wide. The total surface area of substrate–water contact is kept constant between the narrow and wide capillary bridges by doubling the y -dimension of the narrow capillary simulation box. Figure 4(b) shows heterogeneous freezing rate temperature as a function of $1/h$ for the wide capillary bridges (blue diamonds), compared with narrow capillary bridges (red diamonds). We observe that confining the water within a narrow capillary bridge eliminates the temperature enhancement from Laplace pressure that is observed in the wide capillaries. This result may be connected to the apparent suppression of ice nucleation near the air-water interface, as discussed below.

Figure 5(a) shows the x, z -plane locations of ice nucleation events throughout a capillary bridges within the wide 30-Å tall capillary bridge viewed from the side, with the. The air-water interface is shown in red shading. Figure 5(b) shows the ice nucleation locations combined locations of ice nucleation events in the wide 24-Å and 30-Å tall capillary bridges, as viewed from above in the x, y -plane, viewing the capillary bridge from above. In this case, the red shading indicates the position of the air-water interface at the substrate. We see that nucleation is preferred near the substrate as expected, but also that it is

suppressed near the air-water interfaces. In Fig. 5(c) we plot freezing ~~locations~~ probability density as a function of distance from the nearest substrate surface using all freezing events in the wide 24-Å and 30 Å tall capillary bridges. Because this distribution is asymmetric (being affected by the substrate on one side) we fit a gamma distribution to the data to find that
395 99% of nucleation events occur at distances between 3.2 and 6.9 Å from the substrate, along the z -axis. Figure 5(d) shows the probability ~~distribution~~ density of ice nucleation as a function of distance from the nearest air-water interface using all freezing events in the wide 24-Å and 30-Å capillary bridges. Analyzing this spatial distribution of ice nucleation events, we observe that ice nucleation never occurs within approximately 10 Å of the air-water interface. ~~Additionally, there is a statistically significant preference for ice nucleation to occur between 20 and 25 Å from the air-water interface. Thus, it appears that ice nucleation~~
400 ~~has a preference for the~~ This lack of heterogeneous ice nucleation in the immediate vicinity of the air-water interface could be related to premelting at the ice-vapor interface, described for the mW model by Qiu and Molinero (2018).

The narrow capillary bridges being 30-Å wide implies that most of the water molecules are positioned within 10 Å of an air-water interface but avoids immediate proximity where no ice nucleation events are observed. In all of the narrow capillary bridges (red diamonds in 4(b)) the heterogeneous freezing temperature is the same as the 1 atm reference temperature (black
405 data point in Fig. 4(b)). Furthermore, the enhancement in freezing temperature previously seen in the wide 18-Å tall capillary due to confinement between the substrate layers is eliminated inside the narrow 18-Å tall capillary bridge.

Suppression of ice nucleation ~~has been noted by Haji-Akbari et al. (2014)~~ in the vicinity of flat air-water interfaces has been noted by Haji-Akbari et al. (2014) using the mW model. They explain this effect through the observation that ice embryos near the interface tend to be less spherical compared to in the bulk, thus imposing a larger ice-liquid surface energy term that
410 inhibits nucleation. ~~Their analysis did not point towards a preference for freezing in the region 15-25 Å from the interface as we see here. However, Haji-Akbari and Debenedetti (2017) found that for~~ For the more detailed TIP4P/ice model, Ice model, Haji-Akbari and Debenedetti (2017) saw that ice nucleation was not only suppressed within 10 Å of the air-water interface ; ~~and~~ but also showed evidence of higher ice nucleation rates near in the sub-surface region of the interface compared to in the bulk.

415 ~~A narrow capillary bridge configuration can be seen in Fig. 4~~ In Figure 5(d), where the total surface area of substrate-water contact is kept constant by doubling the y -dimension of the simulation box while halving the width of the capillary bridge. The narrow capillary bridges are 30 Å wide, so that most of the water is within 10 Å of the air-water interface. Figure 4(b) shows heterogeneous freezing rate temperatures as a function of $1/h$ for we see that the freezing locations in the wide capillary bridges used in our previous results (blue diamonds), ~~compared with narrow capillary bridges (red diamonds). As a result~~
420 ~~of the apparent suppression of ice nucleation within 10 Å of~~ bridge simulations hint at a slight preference for ice nucleation to occur between ~ 20 and 25 Å from the air-water interface, ~~we observe that confining the water within a narrow capillary bridge eliminates the temperature enhancement from Laplace pressure that is observed in the wide capillaries. Furthermore, the enhancement in freezing temperature within the 18-Å capillary due to confinement between the substrate layers is also eliminated inside the narrow capillary bridge. In all of the narrow bridge heights (18 Å, 24 Å, and 30 Å) we observe that the~~
425 ~~heterogeneous freezing temperatures have no change from the 1 atm heterogeneous case (black data point in Fig. 4(b)). We also note that all ice nucleation events in the narrow capillary bridges still occur at least 10 Å away from.~~ However, when we

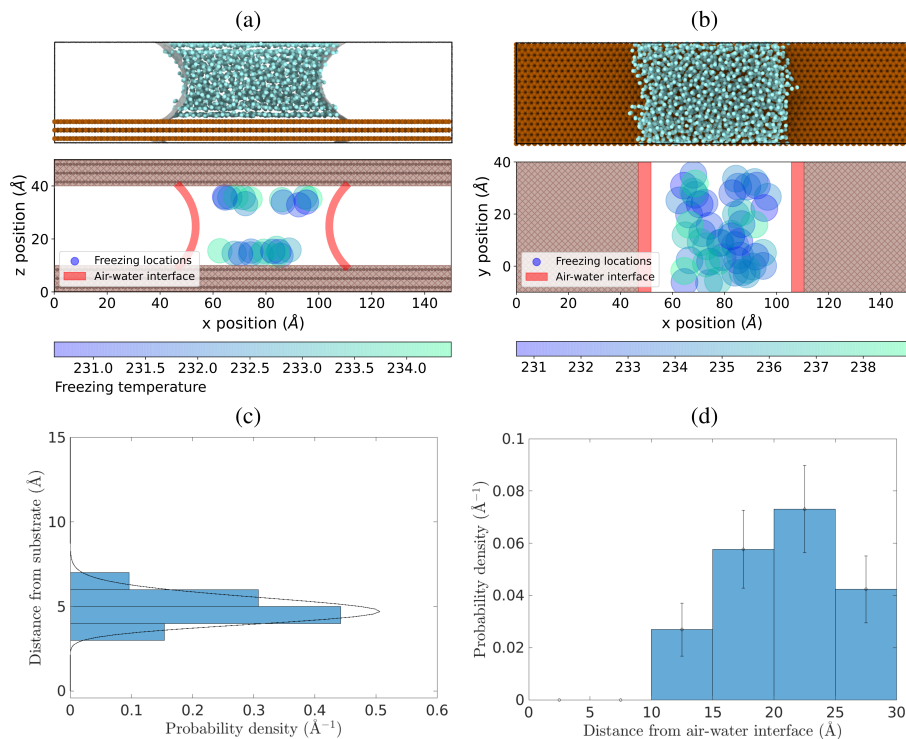


Figure 5. Spatial locations of ice nucleation within water capillary bridges. (a) Locations in a 24-30 Å tall capillary bridge, in the x-z plane. (b) Locations of freezing events in the x-y plane for both the 24 Å and 30 Å capillary bridges combined, viewed from above. (c) Probability density of ice nucleation initiating a distance away from the substrate, using data from freezing locations inside the 24 Å and 30 Å capillary bridges. The dashed line is a gamma distribution fit. (d) Probability density of ice nucleation initiating a distance away from the air-water interface (red shading in Fig. (a) and (b)) freezing locations inside the 24 Å and 30 Å capillary bridges.

repeat these simulations with an even wider (120-Å) capillary bridge we find that this propensity for nucleation in the air-water interface-sub-surface region near the air-water interface is not broadly observed for all geometries (see Appendix B). Whether or not the ML-mW exhibits any surface freezing propensity is inconclusive from our results.

430 In summary, confinement between hydrophilic-the ice-nucleating substrates at scales smaller than 20 Å tends to enhance nucleation in the ML-mW-ML-mW model, whereas confinement between air-water interfaces on scales smaller than 30 Å tends to inhibit heterogeneous nucleation.

4 Discussion and Concluding Remarks

435 From what we understand about ice nucleation, a supercooled water droplet in a cloud at a given temperature can be expected to freeze within a time frame dictated by the heterogeneous ice nucleation rate of the particle surfaces it may be in contact with. However, the factors that influence the heterogeneous nucleation rate are not all understood. Research on heterogeneous

freezing in the atmosphere is performed on scales ranging from full clouds, using in-situ and remote sensing measurements to characterize aerosols and to identify the presence of ice in clouds; to the scale of single water droplets in laboratory studies and computer simulations to investigate the mechanisms that lead to freezing. Most measurements and computational results are interpreted in the context of classical nucleation theory, allowing the roles of temperature and time in the nucleation process to be understood (e.g., Niedermeier et al., 2011). Most often, it is assumed that singular properties dominate over the stochastic time dependence, and it is therefore typical in cloud physics to characterize ice nucleating particles in terms of their freezing temperature (e.g., Hoose and Möhler, 2012; Frostenberg et al., 2022). Knowledge of the freezing efficiency of ice nucleating particles is then used to understand the formation of ice in clouds (e.g., Yang et al., 2013; Fu and Xue, 2017). Their representation in coarse-resolution models even has implications for prediction of Arctic amplification in the climate problem (Tan et al., 2022).

This study has focused on how, for a fixed nucleation rate, the heterogeneous freezing temperature increases with decreasing pressure. Our key result is that the temperature corresponding to a certain ice nucleation rate increases linearly with the magnitude of negative pressure. Specifically, regardless of how negative pressure is created in the system, e.g. barostat or Laplace pressure, the change is described by the approximation $\Delta T/\Delta P = T_m \Delta v_{ls}/l_f$ (see Eq. (1)). This is certainly not true across a broad range of pressures (e.g., Bianco et al., 2021; Espinosa et al., 2016); however, for atmospheric processes it is likely that only the negative pressure range of 1 atm to -1000 atm, which was investigated here, is relevant. Therefore, the linear approximation can serve as the basis for a straightforward parameterization of the pressure effect. Essentially, the temperature increase for heterogeneous freezing is determined in large part by the volume difference between liquid and ice. For real water using the values listed in Table 1 we can estimate that the slope ($\Delta T/\Delta P$) is $7.3 \times 10^{-8} \text{ K Pa}^{-1} = 7.3 \times 10^{-3} \text{ K atm}^{-1}$. Consider, for example, a

To understand the implications this pressure effect could have in atmospheric ice nucleation, consider a hypothetical case where atmospheric cloud droplets containing a certain type of ice-nucleating particle (IN) are likely to freeze within a second at -20°C , implying a corresponding nucleation rate of what we shall call $J_{atmospheric}$. The parameterization implied given by Eq. (1) states that if the water in contact with the ice nucleating substrate is under a tension of -500 atm, then $\Delta T = 4 \Delta T_{het} \approx 3.7 \text{ K}$. Thus, the same nucleation rate $J_{atmospheric}$ at which freezing occurs within a second, would instead be encountered at -16.3°C . This negative pressure could be calculated according to the Laplace pressure, such that the environmental pressure can be 1 atm. A common parameterization for the concentration of ice forming nuclei is $n_{IN} \propto \exp(\beta \delta T)$, where $\delta T = T_m - T$ and $\beta \approx 0.6 \text{ K}^{-1}$ (Pruppacher and Klett, 1997, Sec. 9.2). It follows that the enhancement in the concentration of ice nucleating particles when pressure is reduced by ΔP is $n_{IN, \Delta P}/n_{IN} = \exp(\beta \Delta T)$, where ΔT is obtained from ΔP using Eq. (1). An order-of-magnitude increase in concentration results from $\Delta T = 3.8 \text{ K}$, very close to the value suggested above for -500 atm. More recent measurements have led to refinements in parameterizations of n_{IN} , but the pressure within the water with a negative radius of curvature is negative general exponential trend with δT still holds in many contexts (DeMott et al., 2010). Thus, these moderate differences in the temperature at which an IN is active are certainly worth attention in the context of mixed-phase clouds.

Natural examples abound with water under tension, having negative pressures in the range of 100s of atmospheres, within the range explored in this paper. For example, changes in pressure within mineral inclusions have been shown to lead to significant changes in the conditions for ice-water coexistence, and even to the superheating of ice (Roedder, 1967). Soils consist of a hierarchy of particle sizes that are bound through capillary tension, with similar pressure ranges being present (Seiphoori et al., 2020). Negative pressures in trees, and even synthetic trees, reach negative pressures of 100s of atmospheres (Wheeler and Stroock, 2008). ~~Our findings provide additional perspectives to those of Lintunen et al. (2013), who showed a tendency for suppression of ice nucleation in the xylem of vascular plants.~~

Negative pressures can also be generated through dynamic means. For example, droplets impacting solid or liquid surfaces can experience significant pressure perturbations (Cheng et al., 2022). In fact, mechanical impact has been implemented as a method to initiate ice nucleation to avoid the persistence of supercooled liquid in phase-change thermal storage systems (Wang et al., 2022). Conversely, imposing isochoric conditions has been shown to greatly increase the stability of supercooled water so that it can be used for cryopreservation (Powell-Palm et al., 2020). One can speculate that there could be connections to contact nucleation or even formation of ice from collisions between supercooled droplets (Alkezweeny, 1969) or breakup of supercooled raindrops that contain ice nucleating particles that otherwise would not be active, save for large, transient negative pressures (James et al., 2021). It has been observed repeatedly that ice generation in clouds is correlated with the presence of large drops (Rangno and Hobbs, 1991; Lance et al., 2011), and it is worth noting that such drops are exactly what is needed to allow significant collisional growth or drop breakup. These will be exciting ideas to explore in future research.

Other perspectives on ice nucleation can also be related to the pressure and density-anomaly results presented here. Baker and Baker (2004) argued that freezing in pure water occurs at the temperature at which its compressibility, with associated density fluctuations, reaches a maximum. The results were for atmospheric pressure, but the perspective that local densities of water and ice drive ice nucleation, rather than specific interfacial properties, is consistent. Insofar as our results depend on the water activity (Němec, 2013), they are consistent with previous efforts to cast nucleation in that framework (Koop et al., 2000; Knopf and Alpert, 2013). The effect of pressure on nucleation rate can be interpreted in terms of water activity; for example, (Knopf and Alpert, 2013) have shown via extensive laboratory experiments with a range of materials, that nucleation rates scale with water activity. This further extends the findings of Koop et al. (2000) that freezing and melting are related to pressure, following the water activity. ~~The perspective of water activity dependence in aqueous solutions is most directly relevant to freezing temperature depression, but in general its dependence on pressure is consistent with our molecular dynamics results.~~ Freezing temperature depression due to positive pressure in water was measured experimentally by Kanno et al. (1975) and decrease in ice nucleation rate due to positive Laplace pressure in nano-scale water droplets was demonstrated by Li et al. (2013), both leading to the intuitive notion that negative Laplace pressure will cause an increase in freezing temperature.

Creating Laplace pressures large enough in magnitude to enhance ice nucleation temperatures involves geometric configurations on nanometer spatial scales, which also may introduce confinement effects into the system. These considerations are important to understanding whether or not enhancement in ice nucleation can be expected. Experimental measurements of freezing inside porous material observed no freezing enhancement in pores with confinement on similar size scales as studied here (~2 nm

diameter) (e.g., Marcolli, 2014), while Lintunen et al. (2013) show a tendency for ice nucleation to be suppressed in the xylem of vascular plants (which should be under significant negative pressure). One important component in interpreting discrepancies among various findings is that experiments using cylindrical shaped pores are different from the confinement geometry found in slit pores. The key mechanism for rate enhancement in the slit pore configuration is the density oscillations induced by a flat interface (Cox et al., 2015; Bi et al., 2016; Lupi et al., 2014), which is destroyed by curved geometry.

Even though the findings presented in this study describe the effect of negative pressure on heterogeneous ice nucleation rates, there are still open questions remaining that involve the thermodynamic properties of water at interfaces, under confinement and across greater regions of water's phase diagram. Key questions of interest to refine and expand upon the results of this study include: (1) simulating different substrates to observe changes, if any, in the slope of heterogeneous nucleation rate coefficient lines, (2) exploring liquid water and ice properties near substrates to determine whether they alter the parameters in Eq. (1), (3) assessing the level of surface freezing propensity present in the ML-mW water model and how this factors into the confinement effects seen in this study, and (4) investigating the interplay between cavitation and ice nucleation in this doubly metastable regime of water.

5 Concluding Remarks

Using molecular dynamics simulations of heterogeneous ice nucleation on a hydrophilic ice-nucleating substrate, we demonstrate that negative pressure within supercooled water allows for a given ice nucleation rate to occur at higher temperatures. The increase in heterogeneous freezing temperature with negative pressure is ~~can be estimated as~~ linear in nature, which lends support to the use of a linear approximation that depends on the latent heat release and ~~water density anomaly the molar volume difference between liquid and ice~~ to predict the slope. This approximation works particularly well for homogeneous nucleation rates; it is acceptable for heterogeneous nucleation, but ~~the variables~~ may need adjustment to provide better quantitative agreement.

To observe this pressure-dependent trend in heterogeneous nucleation rate, we first used a simulation setup with a substrate in contact with the volume of water. A barostat was applied to this system to probe pressures of 1 atm, -500 atm, and -1000 atm. Next, we created a configuration where negative pressure is introduced into the water without applying a barostat, but instead through negative Laplace pressure inside a water capillary bridge between ~~two the~~ surfaces of substrate. The pressure within the capillary bridge depends on the level of curvature, which is set by the contact angle and separation between substrate layers, ~~and enhancement in~~. The observed enhancement in heterogeneous ice nucleation rate with increasing negative Laplace pressure is consistent with Eq. (~~1 was observed~~).

Finally, to see how confinement alone affects ice nucleation rate on the substrate, we decreased the substrate separation distance to 18, 24, and 30 Å. We observed that 24 and 30-Å separations showed identical ice nucleation rates as the larger volume system. The 18 Å setup exhibited a large increase in ice nucleation rate as a result of the confined system confinement. Nucleation was also shown to avoid regions within approximately 10 Å of an air-water interface, ~~but may have a preference for that distance compared to the bulk~~. Thus, capillary bridges or other substrate-water geometries with layers less than 20 Å thick

will be strongly influenced by the proximity of the [interface](#)[interfaces](#), and the simple parameterization of freezing temperature enhancement due to negative pressure cannot be directly applied.

Appendix A: Molecular Interaction Potential

Interactions between water molecules and other water molecules, as well as interactions between water molecules and substrate molecules are all described using versions of the Stillinger-Weber interaction potential (Stillinger and Weber, 1985). When used for interactions involving water molecules, this potential is coarse-grained, meaning that the oxygen and hydrogen atoms are combined into one atom. The bonds between water molecules are then represented using a three-body potential, ϕ_3 , which is a function of the angle θ_{ijk} formed between every set of three water molecules (i, j , and k). This three-body potential creates a preference towards water ~~molecuels adobpting~~ [molecules adopting](#) a bond angle of approximately 105 degrees, set by the $\cos\theta_0$ parameter in Table A1. A two-body interaction term, ϕ_2 , applies forces that are dependent on the radial distance between two atoms r_{ij} .

$$\phi_2(r_{ij}) = A\epsilon \left[B \left(\frac{\sigma}{r_{ij}} \right)^p - \left(\frac{\sigma}{r_{ij}} \right)^q \right] \exp \left(\frac{\sigma}{r_{ij} - a\sigma} \right) \quad (\text{A1})$$

$$\phi_3(\theta_{ijk}, r_{ij}, r_{ik}) = \lambda\epsilon [\cos\theta_{ijk} - \cos\theta_0]^2 \exp \left(\frac{\gamma\sigma}{r_{ij} - a\sigma} \right) \exp \left(\frac{\gamma\sigma}{r_{ik} - a\sigma} \right) \quad (\text{A2})$$

The parameters used for the interaction between water molecules and the substrate are summarized in Table A1. The cutoff distance where forces between molecules goes to zero is $a\sigma$. Note also that interactions between water molecules and substrate molecules do not have a three-body contribution, and are only influenced by the two-body potential term.

In creating a suitable interaction potential for an interaction between [MLmW-ML-mW](#) water and the substrate, we start with the [MLmW-MLmW-ML-mW-ML-mW](#) parameters and, as in the methods of Fitzner et al. (2015), only the ϵ and σ values are adjusted to produce our [MLmW-Substrate-ML-mW-Substrate](#) interaction. Given that the value of ϵ we have used is larger than that of the mW-Carbon interaction, the smaller contact angle that we see for [MLmW-Substrate-ML-mW-Substrate](#) is consistent with Bi et al. (2016) and Cox et al. (2015), where higher values of ϵ were used to increase hydrophilicity of the Carbon-mW interaction potential Bi et al. (2016).

Appendix B: [Freezing locations in an extra wide capillary](#)

[Prompted by a reviewer comment, we constructed a 120-Å wide capillary bridge to look at where in relation to the air-water interfaces ice nucleation events tend to occur. Using 50 ice nucleation events, we see that they again avoid the immediate ~ 10 Å vicinity of the air-water interface. However, the slight preference for freezing in the region just beyond 10 Å from the air-water interface that was noticed in the 60-Å wide capillary bridges is no longer pronounced in this 120-Å wide capillary bridge. Oscillations in the water density field may play a role here \(Cox et al., 2015; Bi et al., 2016; Lupi et al., 2014\), making this an intriguing subject for further investigation.](#)

Table A1. Parameters of the interaction potential between water and the substrate, and for water-water interactions, for the mW model and the ML-mW model. The Stillinger–Weber interaction potential is given by Eq. (A1).

	mW-Carbon (Lupi et al., 2014)	MLmW-Substrate <u>ML-mW-Substrate</u>	mW-mW (Molinero and Moore, 2009)	MLmW-MLmW <u>ML-mW-ML-mW</u> (Chan et al., 2019)
ϵ (Kcal/mole)	0.13	0.35	6.189	6.855473
σ (Å)	3.2	2.2	2.3925	1.884015
a	1.80	2.124872	1.80	2.124872
λ	0.0	0.0	23.15	24.673877
γ	0.0	0.0	1.20	1.207943
$\cos \theta_0$	0.0	0.0	-0.33	-0.279667
A	7.049556277	7.111598	7.049556277	7.111598
B	0.6022245584	1.991526	0.6022245584	1.991526
p	4.0	4.011214	4.0	4.011214
q	0.0	0.0	0.0	0.0
tol	0.0	0.0	0.0	0.0

~~Parameters of the interaction potential between water and the substrate, and for water-water interactions, for the mW model and the MLmW model. The Stillinger–Weber interaction potential is given by Eq. (A1).~~

Code and data availability. LAMMPS is free and open-source software developed by Sandia National Laboratory and a large user community. Scripts to reproduce simulations in LAMMPS and data going into Figures can be found at <http://doi.org/10.37099/mtu.dc.all-datasets/41>

570 *Author contributions.* ER, WC, and RAS designed the study; ER, TL, and IN set up the simulations; ER ran the simulations; All authors contributed to interpreting the results and writing the paper.

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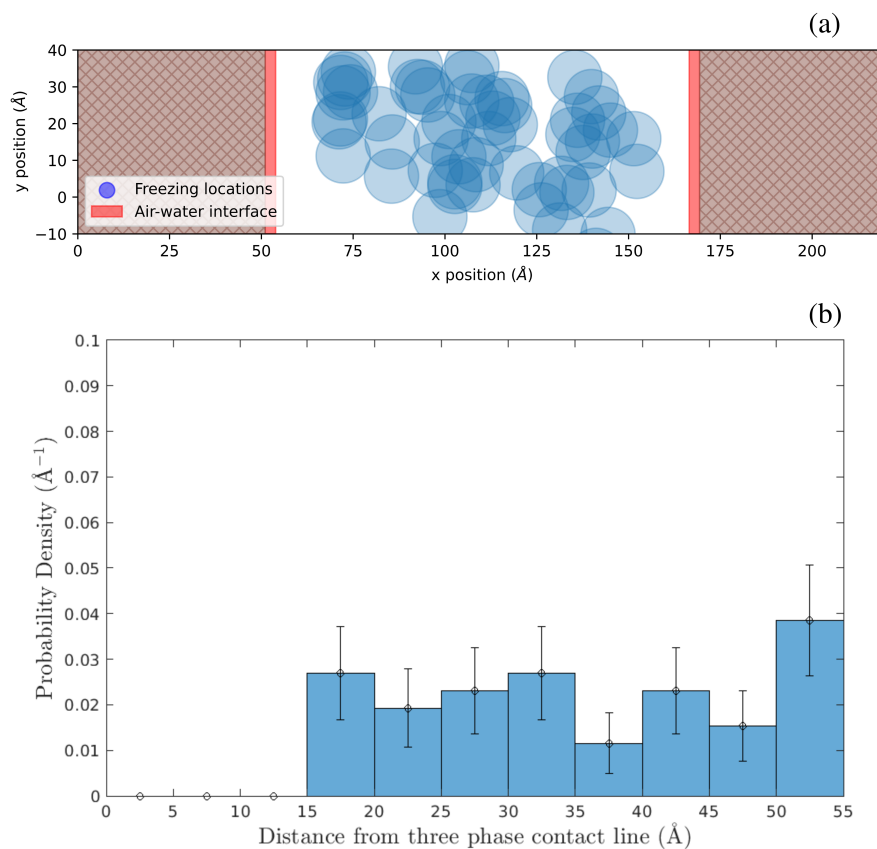


Figure B1. (a) Ice nucleation locations in the x - y plane of a 120-Å wide capillary bridge. (b) Probability distribution of freezing events as a function of distance from the nearest air-water interface in a 120 Å wide capillary bridge. The figure contains 50 data points.

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