

Stratospherically induced circulation changes under the extreme conditions of the No-Montreal-Protocol scenario

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Abstract. The Montreal Protocol and its amendments (MPA) have been a huge success in preserving the stratospheric ozone layer from being destroyed by unabated chlorofluorocarbons (CFCs) emissions. The phase out of CFCs has not only prevented serious impacts on our health and climate, but also avoided strong alterations of atmospheric circulation patterns. With the Earth System Model SOCOLv4, we study the dynamical and climatic impacts of a scenario with unabated CFC emissions by 2100, disentangling radiative and chemical (ozone-mediated) effects of CFCs. In the stratosphere, chemical effects of CFCs (i.e. the resulting ozone loss) are the main drivers of circulation changes, weakening wintertime polar vortices and speeding up the Brewer-Dobson circulation. These dynamical impacts during wintertime are due to low-latitude ozone depletion and resulting reduction of the equator-to-pole temperature gradient. Westerly winds in the lower stratosphere strengthen, which is for the Southern Hemisphere (SH) similar to the effects of the Antarctic ozone hole over the second half of the 20th century. Furthermore, the winter and spring stratospheric wind variability increases in the SH, whereas it decreases in summer and fall. This seasonal variation of wind speed in the stratosphere has substantial implications on the major modes of variability in the tropospheric circulation in the No-MPA scenario. We find coherent changes in the troposphere, such as patterns that are reminiscent of a negative Southern and Northern Annular Mode (SAM/NAM) and North Atlantic Oscillation (NAO) anomalies during seasons with a weakened vortex (winter and spring); the opposite occurs during seasons with strengthened westerlies in the lower stratosphere and troposphere (summer). In the troposphere, radiative heating by CFCs prevails throughout the year, shifting the SAM into a positive phase and canceling out the ozone-induced effects on the NAO, whereas the North Pacific sector shows an increase of the meridional sea-level pressure gradient as both CFC heating and ozone-induced effects reinforce each other there. Furthermore, global warming is amplified by 1.9 K with regionally up to 12 K increase over Eastern Canada and Western Arctic. Our study sheds light on the adverse effects of a non-adherence to the MPA on the global atmospheric circulation, uncovering the roles of the underlying physical mechanisms. In so doing, our study emphasizes the importance of the MPA for Earth's climate, to avoid regional amplifications of negative climate impacts.

1 Introduction

The emission of anthropogenic halogenated ozone depleting substances (hODSs) has been predominantly responsible for stratospheric ozone depletion since the 1960s (Solomon, 1999). As a result, the Montreal Protocol and its amendments and adjustments (MPA) were ratified to phase out global ODS production and consumption (World Meteorological Organization, (WMO), 2022). The MPA mitigated severe health impacts from harmful UV radiation and negative climate impacts (Barnes et al., 2019; Neale et al., 2021). It has been also recently shown that the MPA restrictions led to clear changes in vertical dynamical coupling between the stratosphere and the troposphere in past decades with implications for the tropospheric circulation modes (Banerjee et al., 2020). Unlike the health and climates impacts, such circulation response to much stronger future effects of avoided CFC emissions has not been widely addressed.

As already known from historical ozone depletion, the influence from stratospheric circulation changes on the troposphere and surface can be considerable, especially in the SH (Thompson and Solomon, 2002; Gillett and Thompson, 2003; Thompson et al., 2005). The Antarctic ozone hole caused polar stratospheric temperatures to decrease (through reduced absorption of solar radiation) by up to 12 K until the end of the 20th century (Randel et al., 2016; Calvo et al., 2017). As a consequence, the equator-to-pole temperature gradient intensified, which in turn strengthened the polar vortex and caused a delay in its break-up in spring (e.g., Thompson and Solomon, 2002; Dennison et al., 2015). The large-scale SH tropospheric circulation responded to the stronger vortex with a poleward shift of the mid-latitude (eddy-driven) jet stream, a positive trend in the Southern Annular Mode (SAM), an expanding Hadley cell and a subsequent expansion of the subtropical dry zone (Banerjee et al. (2020) and references therein). In the Northern Hemisphere (NH), the tropospheric and surface response to ozone depletion is less well established, partly because long-term trends in Arctic ozone are much smaller than in the Antarctic (Karpechko et al., 2018; Eyring et al., 2021). However, model simulations (Calvo et al., 2015) and observations (Ivy et al., 2017) show that in individual years with strong ozone depletion in the Arctic, the Northern Annular Mode (NAM) shifts to a positive phase in spring, and ozone has been shown to play a sizable role in this link (Friedel et al., 2022). Arctic ozone can also affect tropospheric climate in a scenario with large CO₂ forcing (Chiodo and Polvani, 2019). Overall, the historical ozone depletion period showed that CFCs have the potential to severely alter the stratospheric state via the ozone depletion they induce, and in turn triggered sizable changes in the large-scale tropospheric circulation.

In the "world avoided" (a world without the restriction of the MPA (No-MPA) and thus a continued unabated increase of CFCs throughout the 21st century), the coupling between the stratosphere and the troposphere would become stronger (Morgenstern et al., 2008). In the scope of this study we will focus on the changes of the polar vortices that have a direct effect on the tropospheric circulation, mostly regarding the dominant modes of tropospheric mid-latitude variability, the Northern and Southern Annular Modes (NAM/SAM) and the North Atlantic oscillation (NAO).

Models used in previous "world avoided" studies are not fully interactive and have limited representation of tropospheric and surface processes (e.g. fixed tropospheric ozone in Newman et al. (2009), fixed sea surface temperatures and sea ice in Egorova et al. (2013) or prescribed chemistry in Goyal et al. (2019)) and only briefly touched upon how the changes in the stratosphere affect the large-scale tropospheric circulation. Stronger polar vortices and a strengthening of the SAM with respect

to the present day would be detectable by 2030 (Morgenstern et al., 2008). Newman et al. (2009) showed that the upper flank of the subtropical jet (30° N, 70 hPa) would significantly strengthen by 2065. Using a similar forcing, Egorova et al. (2013) reported a substantial weakening of the polar vortices and a shift of the Northern Annular Mode (NAM) to a negative phase by 2100. This shift is consistent with what we know on how the stratosphere and troposphere are dynamically coupled (Kidston et al., 2015; Domeisen and Butler, 2020). A weakening of the stratospheric polar vortex leads to an equatorward shift of the tropospheric mid-latitude jet and is associated with a negative phase of NAO and NAM/SAM. The equatorward shift of the storm tracks goes along with anomalous surface temperature patterns. In the case of a negative NAO pattern, there is a warming over Eastern Canada and cooling over northern Eurasia. In contrast, an intensification of the polar vortex leads to the opposite effect: a poleward shift of the tropospheric mid-latitude jet and a positive SAM/NAM and NAO index, making the storm tracks stronger and more zonally oriented towards the pole (Kidston et al., 2015; Domeisen and Butler, 2020). In general, similar mechanisms may also be at work in the case of ozone depletion from unabated CFCs, but the sign and details of these mechanisms remain unclear in the context of world-avoided scenario studies.

In addition to their role in destroying ozone, CFCs are important greenhouse gases (GHGs), and can thus directly affect surface temperature by trapping infrared radiation. Goyal et al. (2019) state that the MPA avoided around 1 K global warming by 2050, Garcia et al. (2012) find a 2.5 K increase in global surface temperature by 2070, whereas Egorova et al. (2013) see only significant surface warming of up to 1 K over the South Pole and Southern China and up to -2.5 K regional cooling in Eurasia and Argentina in 2100. In their most recent study, Egorova et al. (2023) report a surface warming of 2.5 K by 2100. However, to which degree CFCs have an impact on surface warming (via long-wave trapping) or can be potentially offset by cooling resulting from ozone depletion is still controversially discussed (Velders et al., 2007; Goyal et al., 2019; Morgenstern et al., 2020; Chiodo and Polvani, 2022; Morgenstern et al., 2021; Young et al., 2021). Taken together, the climatic impacts of unabated CFC emissions, in particular the role of direct (GHG) and ozone-mediated effects, remain poorly understood.

In this study, we complement Egorova et al. (2023), who examine the impacts of a No-MPA scenario at the end of the century with an Earth System Model focusing on the ozone layer, surface air temperature, sea-ice cover, and precipitation. We shed light on the mechanisms, by investigating how ozone depletion (section 3.1) changes the stratospheric circulation in a No-MPA scenario (3.2) and how these changes manifest at the surface in the SH (3.3) and in NH winter (3.4) and how surface temperatures are affected (3.5) at the end of 21st century with the fully interactive Earth System Model SOCOLv4. We also aim to disentangle the impacts of stratospheric ozone depletion on the surface from the warming effect of abundant CFCs. Using such an extreme scenario allows for a very clear signal-to-noise ratio of the modeled response without the need for advanced statistical analysis.

85 2 Method

The Earth System Model (ESM) SOCOLv4.0 (Sukhodolov et al., 2021) was used to conduct the set of free-running experiments to distinguish between chemical (i.e. ozone-mediated) and radiative CFC contribution in the No-Montreal-Protocol scenario. SOCOLv4.0 consists of the interactively coupled Earth System Model (MPIMET, Hamburg, Germany) (Mauritsen et al.,

2019), the chemistry module MEZON (Egorova et al., 2003) and the sulfate aerosol microphysical module AER (Weisenstein et al., 1997; Feinberg et al., 2019) and thus, includes most of the known atmospheric processes involved in the ozone net chemical production and transport as well as its feedbacks with climate. Each experiment consists of three-member ensemble simulations with MPA (ref) and without MPA (noMPA) limitations, covering the period 1980-2100. The model boundary conditions mostly follow the recommendations of CMIP6 under the historical (1980-2014) and SSP2-4.5 (2015-2100) emission scenarios (Riahi et al., 2017). In the noMPA experiment, hODS surface mixing ratios have been increased by 3 % per year since 1987 (Velders et al., 2007) for regulated species. For unregulated species, we follow the recommendations of World Meteorological Organization (WMO) (2018) (see Egorova et al. (2022) for details). Throughout the study, we refer to hODS as CFCs.

To distinguish between the direct greenhouse effect of CFCs and their chemical effects (i.e ozone depletion), we have performed an additional model run, where increasing CFCs were active only chemically but not radiatively (the CFC fields of the ref run were prescribed in the radiation scheme) under SSP2-4.5. See Table 1 for further details.

In the results and discussion we mainly focus on the months June, July and August (JJA), where the signal is most prominent, to discuss the mechanisms. Results for other seasons are shown in the supplemental material. In all figures (if applicable) statistical significance is calculated similarly to a two-sided t-test at a 90 % confidence level following Gutiérrez et al. (2021) and all not statistically significant areas are stippled. Unless indicated differently, all figures show the ensemble mean.

Table 1. List of the experiment simulation procedure (left) and investigated effects (right). All experiments were performed with the SSP2-4.5 scenario.

Experiment	Simulation procedure	Effect
noMPA_CFCRadOff	<ul style="list-style-type: none"> – MPA not in place – CFCs inactive for radiation – 1 member 120 years – 2 members branched out after 2070 and simulated for 30 years 	CFC chemical effect: noMPA_CFCRadOff – ref CFC radiative effect: noMPA – noMPA_CFCRadOff Total effect: noMPA – ref
noMPA	<ul style="list-style-type: none"> – MPA not in place – CFCs active for radiation – 1 member 120 years – 2 members branched out after 2010 and simulated for 90 years 	
reference (ref)	<ul style="list-style-type: none"> – MPA in place – 3 members 120 years 	

3.1 Ozone under the No-Montreal-Protocol scenario

First, we analyze the impact of a hypothetical No-MPA scenario on ozone and the subsequent variations of stratospheric temperature and zonal winds due to ozone changes. In the second part, we investigate how the (ozone-driven) stratospheric circulation changes as well as the impact of CFCs are linked to the tropospheric large scale circulation and the surface.

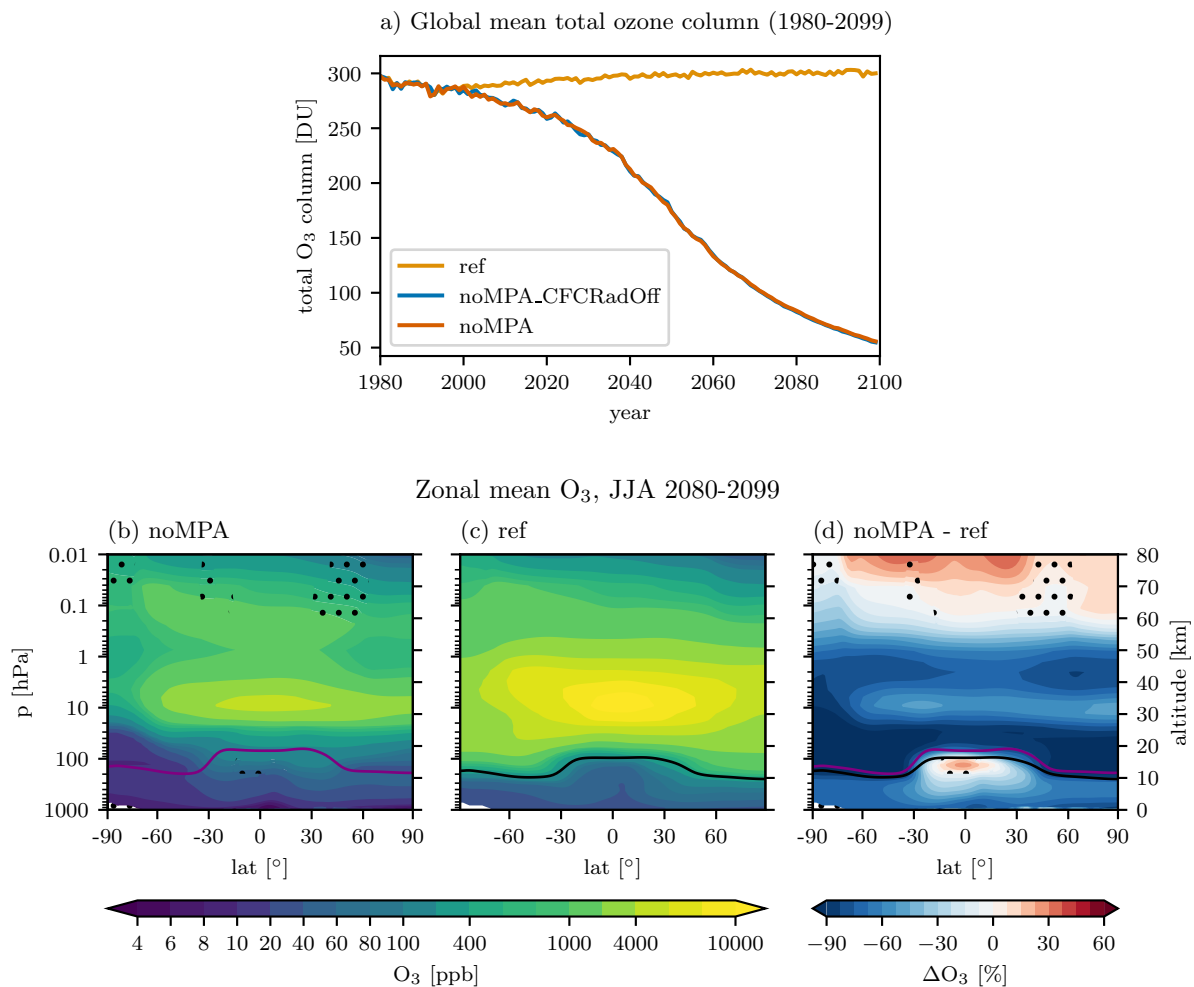


Figure 1. Top: Global mean total ozone column evolution from 1980-2099 for the reference, noMPA and noMPA_CFCRadOff scenarios. Bottom: Zonal mean ozone noMPA (b), ref (c) and differences in % of noMPA–ref (d) for JJA 2080–2099. The tropopause height is indicated in purple for the noMPA and in black for the MPA reference experiment. Stippling indicates not significant at a 90 % confidence level. Colorbar levels for b and c are evenly numbered in log spacing. Note that the color saturation for the difference is different for negative and positive values.

110 In a scenario where the MPA is not in place, the abundant CFCs in the atmosphere severely reduce the global total ozone column to only 60 DU by the end of the 21st century in both No-MPA experiments (Fig. 1a). Note that both No-MPA scenarios are lying on top of each other, suggesting that radiative effects of CFCs alone do not affect the global ozone content. This severe ozone reduction is consistent with findings from e.g. Garcia et al. (2012), who reported a collapse of the global ozone layer with ozone columns below 100 DU in a No-MPA scenario in the mid 21st century (see also e.g. Goyal et al. (2019); Velders et al. (2007); Newman et al. (2009); Egorova et al. (2013, 2023); Young et al. (2021)). Figure 1 (bottom) shows the zonal mean ozone of the world without a Montreal Protocol (noMPA, b) compared to the reference (c) and the difference (noMPA-ref) (d) at the end of the century for austral winter (JJA). The uncontrolled CFC emissions have increased the chlorine concentration by a factor of 20-80 compared to the reference at the end of the 21st century, which causes an ozone depletion by up to 90 % in the stratosphere, with the strongest reduction happening in the lower stratosphere. Gas-phase ozone destruction by chlorine is additionally accelerated by its heterogeneous activation on stratospheric aerosols and polar stratospheric clouds (PSCs), which also became much more widespread due to the temperature drop in the lower stratosphere (Fig. 2a, c). The cooling is especially prominent in the tropical lower stratosphere, where temperatures drop below the PSC Type 1 formation threshold of 195 K between 130 and 20 hPa (Fig. 2c) and Cl₂ (Fig. 2b, d) accumulates. This was also observed in Newman et al. (2009) and Garcia et al. (2012) and we also see an increase of PSC Type 1 (nitric acid trihydrate (NAT) and supercooled ternary solution (STS)) (Fig. 2a) in the tropics. PSCs Type 2 (ice crystals, when temperatures fall below 190 K) are parameterized to only extend from 0 – 50° in each hemisphere in SOCOL (see also Steiner et al., 2021).

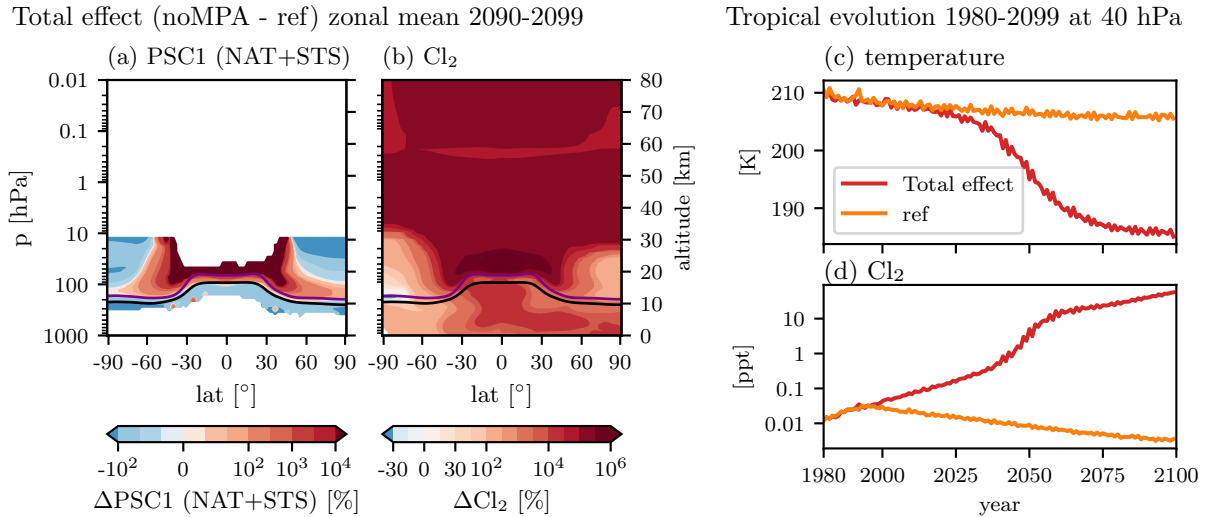


Figure 2. Left: 2090-2099 annual mean zonal mean of PSC Type 1 (nitric acid trihydrate (NAT) and supercooled ternary solution (STS)) (a) and Cl₂ (b) differences in % for the total effect (noMPA–ref). The tropopause height is indicated in purple for the noMPA and in black for the MPA reference experiment. Colorbar levels for (a) and (b) are linear around 0 and log spacing < -10 % and > 10 % for (a) and > 30 % for (b). Right: Evolution of tropical mean (23° N – 23° S) temperature (c) and Cl₂ (d) anomalies at 40 hPa from 1980 to 2099 for the total effect (noMPA–ref). Note that (d) has a log y-axis.

In the troposphere, ozone is depleted by up to 60 % consistent with the documented impacts of ODS on tropospheric ozone via e.g. changes in stratosphere-troposphere exchange (Banerjee et al., 2016; Shindell et al., 2013). At around 100 hPa in low latitudes, self-healing of the ozone layer occurs (Fig. 1d). With depleted ozone in high altitudes, UV radiation can penetrate further down and produce ozone there, as it is also observed in Morgenstern et al. (2008); Egorova et al. (2013, 2023). In the No-MPA scenario at the end of the 21st century, ozone depletion is no longer subject to any season or restricted to the polar regions, but is happening globally all year round (Fig. A1). We particularly want to highlight here the severe ozone reduction in the tropical lower stratosphere, which introduces new dynamical consequences compared to the past and present-day ozone depletion effects (see next section 3.2).

135 3.2 Stratospheric response

Here, we investigate the effect of the No-MPA scenario in JJA on zonal mean temperatures (Fig. 3c), zonal winds (Fig. 3f) and age of air (Fig. D1c) and go into the decomposition of the CFC chemical (Fig. 3a, d) and radiative effect (Fig. 3b, e) to investigate the processes and quantify their contributions to the total impact of No-MPA. Consistent with other “world avoided” studies (e.g., Goyal et al., 2019; Garcia et al., 2012), the global ozone depletion at the end of the 21st century leads to a severe decrease in lower stratospheric temperatures. Fig. 3c shows the temperature response to the combined effect of the CFC chemical effect (ozone depletion), which mainly cools the stratosphere, and the CFC radiative effect, which warms the troposphere and parts of the stratosphere. Lower stratospheric temperatures (100–20 hPa) drop by over 20 K and by over 30 K in the upper stratosphere (3–0.7 hPa) as shown in Fig. 3a, c. This is coherent with the pattern of ozone anomalies induced by CFCs (Fig. 1d), indicating that the cooling is mostly due to reduced ozone absorption of shortwave solar radiation as well as of longwave terrestrial radiation (Fig. 1a, d). The cooling is especially prominent in the tropics (Fig. 3a, c), where heterogeneous chlorine activation enhances ozone destruction (Fig. 2a, b). This severe cooling is seen throughout all seasons (Fig. B1). The area of reduced cooling between 20–3 hPa in the tropics and NH can be explained on the one hand by the maximum ozone concentration region at around 10 hPa (see Fig. 1b and c) and on the other hand by the increased absorption of infrared radiation at 9.6 μ m as missing ozone allows this radiation to penetrate higher up (Chipperfield and Pyle, 1988; Shine, 1986).

The drastic temperature changes in the stratosphere alter the lapse rate (Fig. C1) in the “world avoided” scenario, lifting the tropopause in the tropics, which was also observed by Newman et al. (2009). The upward shift in the tropical tropopause (reaching 50 hPa) is almost entirely due to ozone depletion, with CFC radiation barely affecting it as seen in Figures 3b and C1. This effect is similar to the tropopause rise from well-mixed GHG (Santer et al., 2003; Meng et al., 2021). Above the tropopause, the stratospheric temperatures strongly increase up to the inflection point at 3 hPa, where they start to decrease again, suggesting that the stratopause drops to lower altitudes in the No-MPA experiments shrinking the stratosphere compared to the reference.

Interestingly, the Antarctic stratosphere exhibits a warming of over 3 K at around 10 hPa (similar signal for Arctic stratosphere in Fig. B1d and f). Newman et al. (2009) explained it by an increased downwelling due to the Brewer-Dobson circulation (BDC) speed-up. However, CO changes shown in Fig. D1d and f, indicate reduced vertical transport from the mesosphere. CO can be interpreted as a dynamical tracer from its production region (CO₂ photolysis) in the mesosphere (e.g., Solomon et al.,

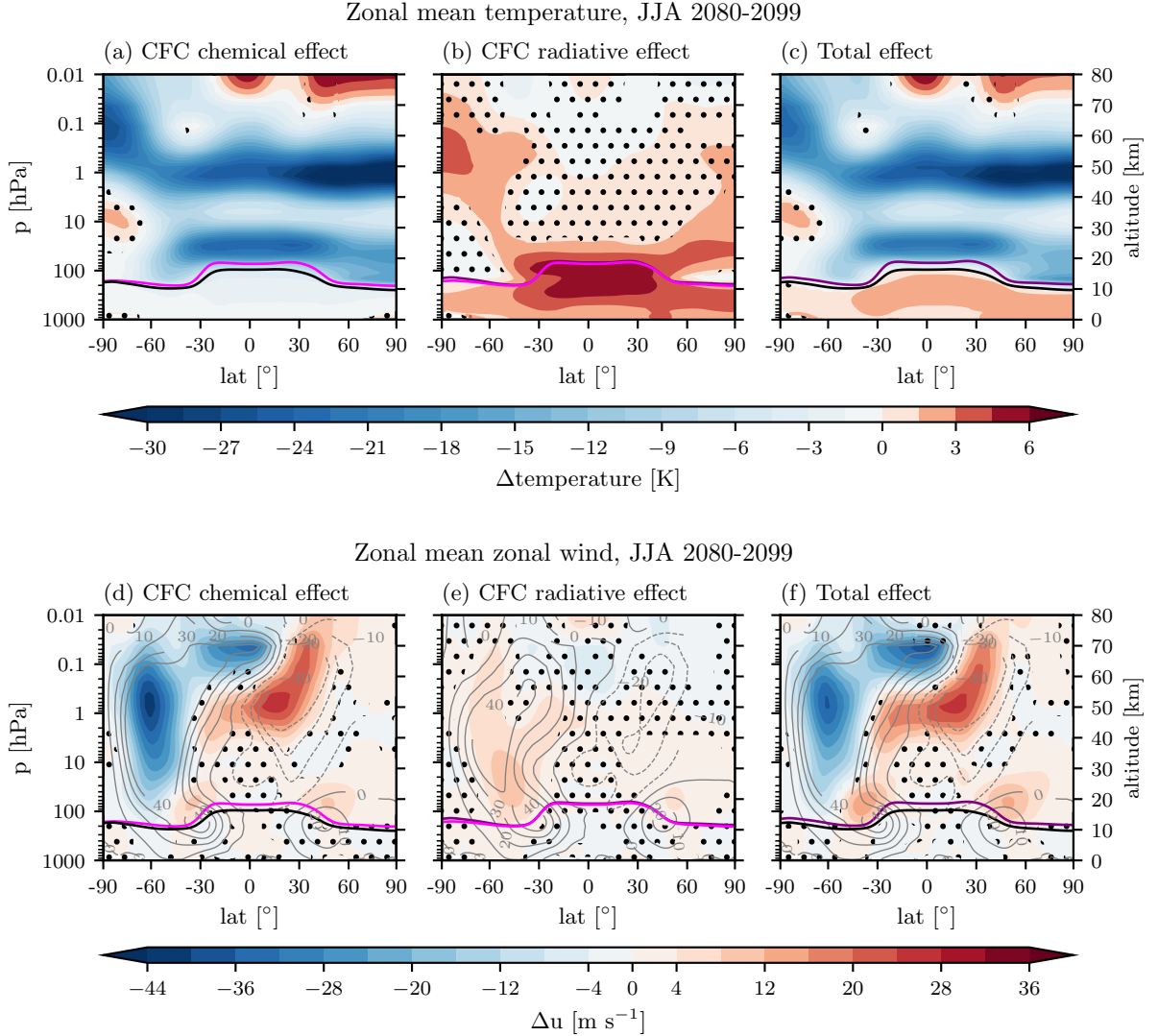


Figure 3. Zonal mean temperature differences (upper row) and zonal mean zonal wind differences (bottom row) for JJA 2080-2099. The left column shows CFC chemical effect (noMPA_CFCRadOff–ref), the center column the CFC radiative effect (noMPA–noMPA_CFCRadOff) and the right column the total effect of CFC chemical and CFC radiative effect combined (noMPA–ref). The tropopause height is indicated in purple for the noMPA, in magenta for noMPA_CFCRadOff and in black for the reference experiment. Stippling indicates not significant at a 90 % confidence level. For zonal wind in the bottom row, the contour lines indicate the ref zonal wind profile. Note that the color saturation is different for negative and positive values.

1985; Funke et al., 2009) and especially for the polar stratosphere (e.g., de Zafra and Muscari, 2004; McDonald and Smith, 2013). Funke et al. (2009) showed a very efficient CO descent in the mesosphere and stratosphere in the NH polar vortex during winter. However when the vortex gets perturbed from an SSW this descent reduces. We argue that with the weaker

vortex under No-MPA conditions, we have similar weak SH vortex conditions, which are reflected in the reduced polar CO in
 165 Fig. D1d and f due to the weaker mesospheric vertical transport. The warming at 10 hPa could then also be partly explained by
 the weaker vortex, allowing warmer air from the mid-latitudes to be mixed into the polar stratosphere more easily. A similar
 warming has also been observed under ozone hole conditions by Haase et al. (2020) (see their Fig. 5) or Waugh et al. (2009)
 (see their Fig. 1).

CFCs by themselves (i.e. without considering their effects on ozone, Fig. 3b) strongly warm (by up to 5 K) the troposphere,
 170 consistent with previous studies (Garcia et al., 2012; Goyal et al., 2019); we will examine this feature, along with surface
 temperature in more detail in section 3.5. The CFC-induced warming also extends into the lower stratosphere up to 20 hPa,
 which is consistent with the recent findings of Chiodo and Polvani (2022), indicating that this is a direct (radiative) effect,
 without any influence of dynamical changes in this region. Upper stratospheric warming at high latitudes in Fig. 3b most likely
 stems from the BDC speed-up (see later this section).

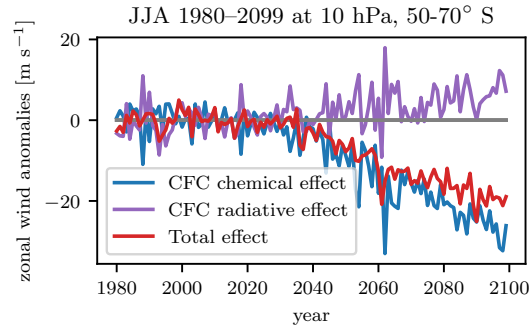


Figure 4. Zonal wind evolution at 10 hPa 50–70° S for the CFC chemical effect (noMPA_CFCRadOff – ref), the CFC radiative effect (noMPA – noMPA_CFCRadOff) and the total effect of CFC chemical and CFC radiative effect combined (noMPA – ref).

175 Next, we aim at understanding in more detail the dynamical impacts of a No-MPA scenario. In Fig. 3f the zonal wind
 response to the overall CFC effect is depicted. The wintertime polar vortex speed reduces substantially, whereas the subtropical
 jets (STJs) shift up and accelerate in both hemispheres. Furthermore, the BDC also speeds up, as the age of air gets younger in
 the entire stratosphere (Fig. D1c).

As seen in Fig. 2c, the missing shortwave absorption from depleted ozone starts to reduce tropical temperatures in the lower
 180 stratosphere by 2030 and causes them to drop to 185 K by 2090. This severe cooling in the tropics reduces the equator-to-pole
 temperature gradient (Fig. 3a). This reduction in the gradient is largest in the SH winter and starts to weaken the polar cap zonal
 wind at 10 hPa by 2040 (blue and red lines in Fig. 4). Consequently, the polar vortex slows down due to the severe cooling of
 the tropical lower stratosphere (around 50 hPa in Fig. 3a) from the CFC chemical effect, i.e. ozone depletion. At the end of
 the 21st century, the polar vortex in the SH has significantly slowed down by up to 25 m s⁻¹ at 10 hPa and 40 m s⁻¹ at 1 hPa
 185 (Fig. 3d). In the NH, we find a slowdown of the vortex by up to 15 m s⁻¹ at 10 hPa, although this signal is limited to individual
 seasons such as fall, winter and spring (Fig. E1). Egorova et al. (2013) observe a similar weakening of the polar vortices. For
 summer, we observe the opposite effect in both hemispheres. The stratospheric winds strengthen (Figures E1d for SH and 3d

for NH summer) due to stronger polar cooling than in winter, which increases the equator-to-pole gradient again (Figures B1d for SH and 3a for NH summer). Seasonally decomposing the vortex response for ozone depletion shows that the vortex reacts in a similar way to recent ozone depletion trends in the summertime (strengthening of stratospheric westerlies), while it acts in the opposite direction in wintertime (weakening of stratospheric westerlies).

For the CFC radiative effect, we see that the polar vortices are slightly stronger by up to 10 m s^{-1} in the SH (Fig. 3e) and NH during winter (Fig. E1e) compared to the CFC chemical effect. This enhancement originates from the CFC warming in the tropical troposphere and lower stratosphere. Therefore the equator-to-pole temperature gradient in the lower stratosphere is larger when the CFC warming scenario is included. This is also seen in the SH polar cap wind evolution (purple line Fig. 4).

Additionally, we observe a strengthening of the upward flank of the subtropical jets near the tropopause and poleward shift (around 3° N/S) of the STJs throughout all seasons and scenarios (Figures 3 bottom row and E1). Polar lower stratospheric cooling during summertime further contributes to these dynamical changes, acting in the same way as Antarctic ozone hole conditions (e.g., Previdi and Polvani, 2014).

As a consequence of the weaker vortices and the stronger STJs, planetary waves can more efficiently propagate to the stratosphere. There they induce an acceleration of the Brewer Dobson circulation, leading to a decrease in age of air (AoA) in the global stratosphere (Fig. D1 top row), consistent with previous studies (Egorova et al., 2013; Newman et al., 2009; Morgenstern et al., 2008). Here, we find that this strengthening is almost entirely due to CFC-induced ozone depletion (Fig. D1a), similar to what occurred in the recent past (Abalos et al., 2019; Polvani et al., 2019). The strongest effect is on the shallow branch of the BDC, where the air gets younger by up to 0.8 years. The radiative heating by CFC further contributes to the speedup of the BDC, (reduction by 0.3 years, mainly the deep branch, Fig. D1b), leading to a total AoA decline by 0.5 years in the deep branch and to more than a year in the shallow branch (Fig. D1c).

In summary, the severe cooling from missing ozone has substantial implications for the stratospheric circulation, which, depending on the season, are the opposite to the historic ozone depletion period (winter) or show the same sign (summer).

3.3 Implications on tropospheric SAM

To better understand the stratospheric implications of the No-MPA scenarios on the tropospheric variability modes, we focus on the SH polar vortex and its implications on tropospheric SAM. SAM is a large-scale climate pattern in the SH with implications for temperature and precipitation. Figure 5 (upper row) shows the seasonal cycle of zonal wind changes between $40\text{--}70^\circ \text{ S}$. As described in section 3.2, the winter time polar vortex substantially slows down due to the CFC chemical effect (Fig. 5a, c). This weaker vortex in turn becomes more variable in winter and beginning of spring (Fig. 5d), as wave propagation into the stratosphere is facilitated, which increases the likelihood of Sudden Stratospheric Warmings (SSW). Morgenstern et al. (2022) showed that SOCOL is among the models that can generate SSWs in the SH. The weaker vortex in winter and spring manifests in the troposphere by pushing the tropospheric SAM to a more negative phase (Figures 6a, winter, F1a, spring). This finding is a novelty to the current understanding on how ozone depletion can affect the SAM: In the extreme ozone-depletion scenario, the SAM shows a pronounced wintertime negative SAM phase and no longer strengthens in spring.

Zonal Mean 40–70° S 2080–2099

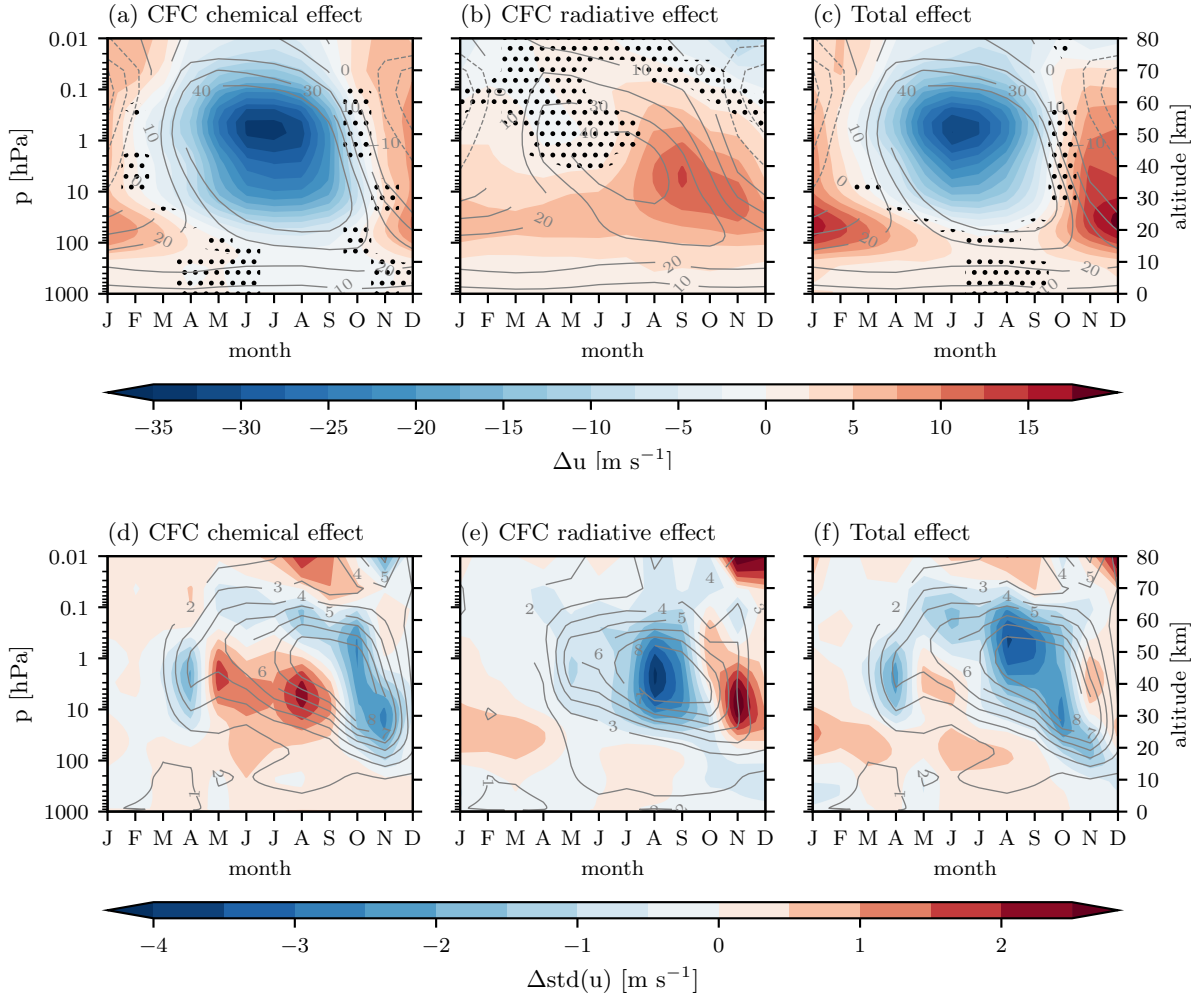


Figure 5. 40–70° S zonal mean wind differences (upper row) and zonal mean standard deviation differences for zonal wind (bottom row) for each month of 2080–2099. The left column shows CFC chemical effect (noMPA_CFCRadOff–ref), the center column the CFC radiative effect (noMPA–noMPA_CFCRadOff) and the right column the total effect of CFC chemical and CFC radiative effect combined (noMPA–ref). Stippling indicates not significant at a 90 % confidence level. The contour lines indicate the ref zonal wind profile in the top row and the ref standard deviation in the bottom row. Note that the color saturation is different for negative and positive values.

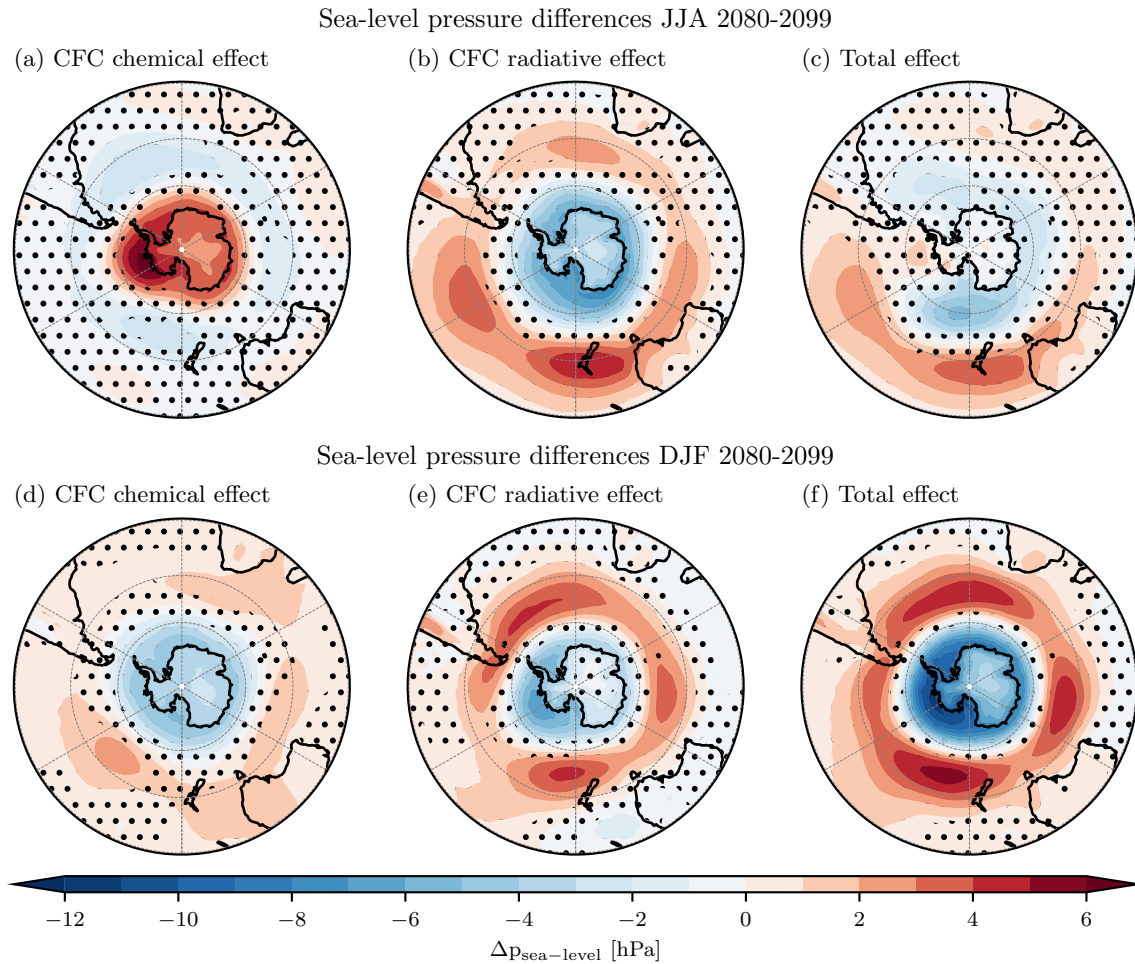


Figure 6. 2080-2099 Antarctic winter (upper row) and summer (lower row) sea-level pressure differences. CFC chemical effect (a, d), CFC radiative effect (b, e) and total effect (c, f). Stippling indicates not significant at a 90 % confidence level. Note that the color saturation is different for negative and positive values.

In contrast, winds in the stratosphere strengthen in summer and fall, effectively extending the lifetime of the stratospheric polar vortex (Sun et al., 2014) and shifting the tropospheric SAM to a more positive phase (Figures 6d, F1d). This is consistent with our current understanding of how ozone depletion affects the stratospheric circulation and in turn, how different vortex states affect the tropospheric circulation (e.g., Domeisen and Butler, 2020).

225 For the CFC radiative effects, the opposite happens: The vortex strengthens in all seasons, causing a shift of the SAM to a more positive phase (SAM+) all year round (Fig. 6b, e, F1b, e). Additionally, the vortex variability decreases (Fig. 5e). Combining both CFC effects shows (Fig. 6c, f, F1c, f) that the SAM+ response is dominated by the CFC radiative effect. It is only slightly reduced where the SAM is in a negative phase due to the CFC chemical effect (summer and spring), but reinforced where chemical and radiative CFC effects contribute to the positive phase (winter and fall). This partial cancellation of effects

230 (for summer and spring) is similar to what Morgenstern et al. (2014) found in terms of how ozone-mediated impacts of GHGs on the summer SAM offset the direct (radiative) effects of ODS and GHGs.

Overall, the results show that the way ozone depletion affects the large scale tropospheric circulation would have substantially changed in a future without the MPA, as compared to how ozone depletion has affected the circulation in the recent past. Until today, the CFC chemical effect has been presumably dominating the SAM response as it is driven by polar ozone
235 depletion and recovery. In the No-MPA scenario, the polar ozone depletion gets nearly saturated towards the end of the 21st century. The polar vortex is then mostly affected by the tropical ozone depletion, weakening of the meridional temperature gradient and thus changing the sign of the stratospheric SAM and NAM anomalies. In addition we show that in the troposphere the CFC radiative effect becomes dominant, particularly towards the end of the century (see also section 3.5). However, the overall SAM response is still strongly modulated by the stratospheric changes, which strengthen or weaken the radiative effect,
240 depending on the season.

Our findings are consistent with changes in wind at 500 hPa, which we use as a proxy for the eddy-driven jet (Fig. F2). Most remarkably, the strongest response in the eddy-driven jet is seen in austral summer (DJF), when the jet strongly contracts poleward in the SH (Fig. F2c); this is due to the fact that during this season, chemical and radiative effects of CFCs act in the same direction, much in the same way as GHGs and the ozone hole affected the westerly winds in the recent past (e.g.,
245 Previdi and Polvani, 2014). This poleward contraction and strengthening of the westerly winds, and in particular the shift to a more positive SAM phase have wide repercussions on regional weather regimes and precipitation patterns across the Southern Hemisphere (Gillett et al., 2006; Kidston et al., 2015; Brönnimann et al., 2017). Egorova et al. (2023) show in their Fig. 7b the 2090-2100 annual mean precipitation differences of the combined chemical and radiative CFC effect (total effect). Precipitation increases over the Southern Ocean and Antarctica, while it decreases over South America and off the coasts of South Africa
250 and southern Australia, consistent with the fingerprint of a positive SAM (Gillett et al., 2006). These regional precipitation changes are similar to what is expected in a changing climate for the SH (Lee et al., 2023).

3.4 Tropospheric NAM response

The previous results show that the absence of the MPA and subsequent changes in ozone lead to drastic changes in the stratospheric circulation and also influence the tropospheric circulation in the SH. Similar to the SH, large-scale climate variability
255 in the NH can be described by the Northern Annular Mode (NAM) (Fig. 7 for winter, F3 for the other seasons). For the European and the North Atlantic sector, it is often referred to as the North Atlantic Oscillation (NAO) (Eyring et al., 2021). The weakening of the polar vortex in the NH due to the CFC chemical effect, i.e. ozone depletion, is reflected in the decrease of the meridional near surface pressure gradient in Fig. 7a. In boreal winter, we find a pressure increase at the NH pole and a decrease in mid-latitudes, which is reminiscent of a shift of the NAM to a more negative phase (NAM[−]). The sea-level pressure gradient
260 forms a tripole-like pattern between the Atlantic and the Pacific. Since the pressure increase in the high latitudes is mainly in the Atlantic sector, we refer here to the NAM[−] as NAO[−]. The NAO[−] pattern is also strongly reflected in the surface temperature response in Fig. 8b (DJF and MAM), with a warming over eastern Canada and a severe cooling over northern Eurasia.

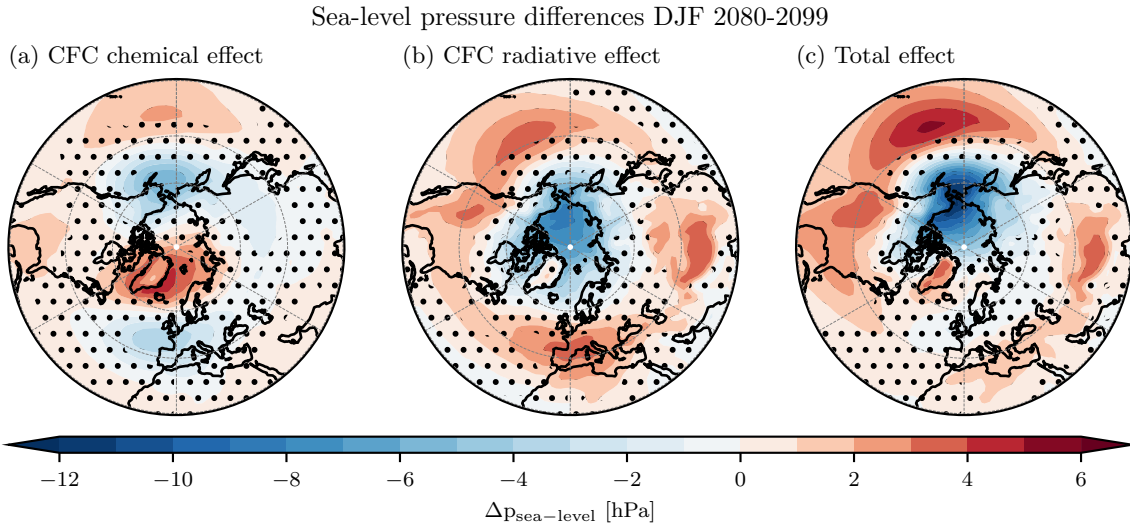


Figure 7. 2080-2099 Arctic winter sea-level pressure differences. CFC chemical effect (a), CFC radiative effect (b) and total effect (c). Stippling indicates not significant at a 90 % confidence level. Note that the color saturation is different for negative and positive values.

For the radiative CFC effect, the NAM is zonally more symmetric and shows the opposite signal all year round with varying strength. It shifts to a more positive phase, i.e. the near surface pressure gradient between the mid and high latitudes increases (Figures 7b, for the other seasons F1 middle column). It is most likely that this response does not originate from the stratosphere, as stratospheric changes induced by CFCs are small (see Fig. 3b and e), but arises from the CFCs induced warming in the troposphere and in particular, the upper tropical troposphere. This CFC GHG effect is similar to what is observed in a future changing climate (see e.g., Ivanciu et al., 2022). The combined (total) CFC effects cancel each other out in winter in the NH Atlantic region (Fig. 7c), i.e. the NAO is unaffected by the collapse of the ozone layer. However, the Pacific sector shows a strengthening of the meridional pressure gradient as both chemical and radiative CFC effect reinforce each other there. The stronger pressure gradient over the North Pacific is associated with changes in regional precipitation patterns, such as enhanced precipitation over the North Pacific, Alaska, Canada and parts of the Arctic (Fig. 7b in Egorova et al., 2023). With climate change, Arctic latitudes are projected to receive more precipitation (Lee et al., 2023). Hence, the absence of the MPA would amplify the effects of climate change on the hydro-climate of these regions.

Overall under the extreme scenario of the No-MPA, we observe significant changes in the sea-level pressure anomalies both in the Atlantic and in the Pacific sector. However, the overall changes in the Atlantic sector cancel each other out due to the opposing signs of the individual effects. This is in contrast to today's knowledge of the effects of historical ozone depletion trends in the Arctic, which are deemed unlikely to have induced any trends in the NAM (Karpechko et al., 2018). We also want to emphasize here that the forcing applied from this extreme No-MPA scenario exceeds by far any forcing from the historical ozone depletion period.

3.5 Surface temperature response

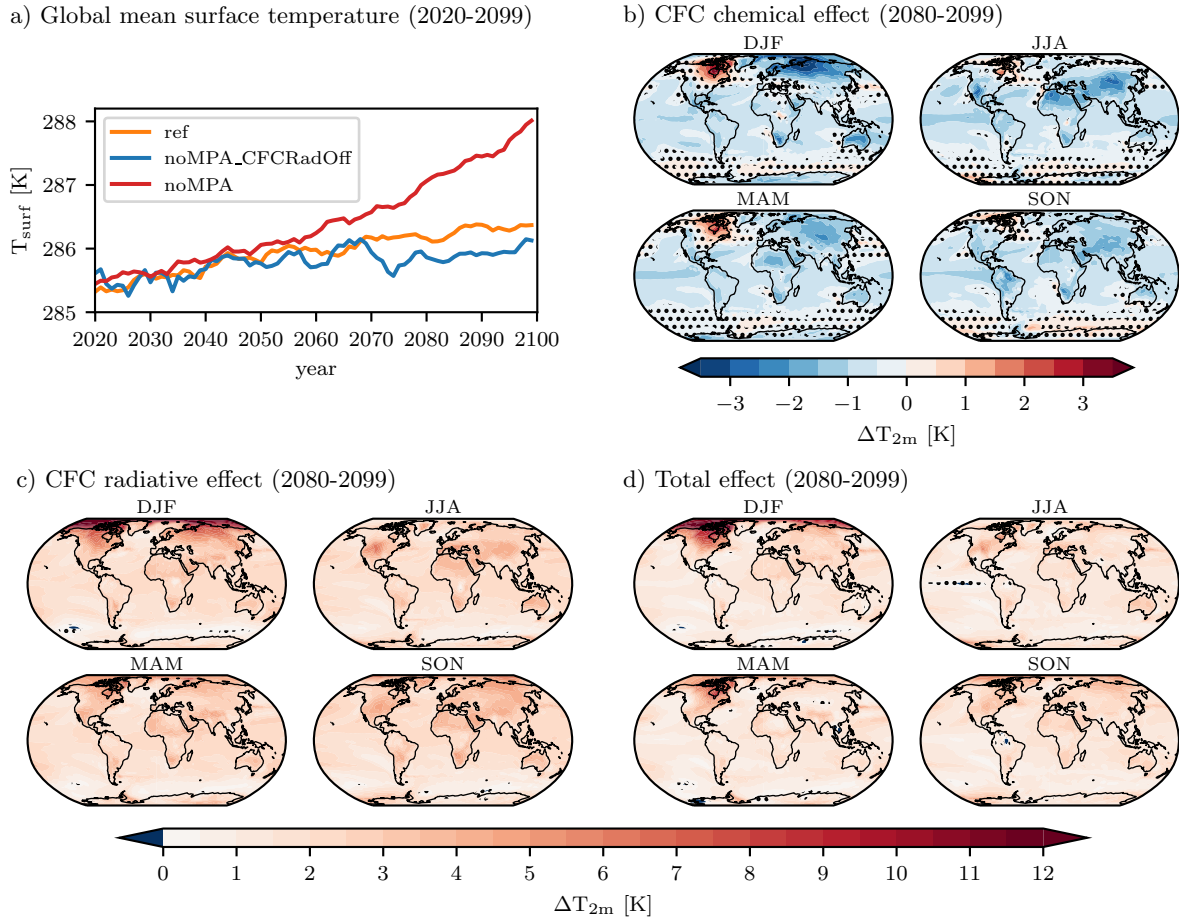


Figure 8. Surface temperatures: a) Global mean surface temperature evolution for the two No-MPA scenarios and the reference from 2020-2099, (b)–(d) global surface temperature for the CFC chemical effect, the CFC radiative effect and the total effect at the end of the century. Stippling indicates not significant at a 90 % confidence level.

As a consequence of the abundance of CFCs and their large greenhouse gas potential, the global surface temperature rises in the noMPA experiment by almost 3.5 K compared to 1980 and is around 1.9 K higher than the reference experiment at the end of the century (red line in Fig. 8a). This is similar to the warming at the end of the century obtained with the SSP5-8.5 scenario at the end of the century (see Fig. 4 in Egorova et al., 2023), or what Young et al. (2021) show for their world avoided based on the RCP6.0 scenario. However, we are not taking into account the additional warming from additional release of biospheric carbon in the No-MPA scenario as they did. Since the surface temperature changes are small in the 1980–2020 period (see also Fig. 4 in Egorova et al., 2023), we decided to only show it from 2020 to 2099 to zoom in on the period around 2050 when the temperatures curves start to diverge due to the radiative CFC effect (see later this section). When the CFC warming is not

290 considered (noMPA_CFCRadOff), the ozone depletion leads to a decrease in surface temperature at the end of the century (blue line in Fig. 8a) by 0.6 K compared to the reference (orange line in Fig. 8a). This temperature decrease is also depicted in Fig. 8b. For the boreal winter, we obtain the strongest cooling exceeding -3 K over northern Eurasia, whereas northern Canada experiences a warming of up to 3 K. This warming is most likely part of the dynamical response and the resulting negative NAO phase, due to the weakening of the stratospheric polar vortex discussed above. These regional temperature changes are due to
295 e.g. reduced advection of mild air over Eurasia (e.g., Hurrell, 1995; Visbeck et al., 2001). The CFC radiative effect increases the surface temperature by around 2.5 K globally, with the strongest signal of an over 12 K increase in the northern polar regions in DJF (Fig. 8c), which leads to a net warming of 1.9 K globally (Fig. 8d). The most pronounced effect is seen over northern Canada where the warming from the ozone depletion adds to the CFC radiative effect, leading to an overall warming of over 13 K at the end of the 21st century. To put this warming into perspective: For the Arctic region, the IPCC AR6 (Lee
300 et al., 2023) projects a warming of 10 K over the period from 1995–2014 to 2081–2100 under the highest emissions scenario SSP5-8.5. Hence, the warming in a mid-level emission scenario (SSP2-4.5) without MPA would even surpass the warming in a high-emission scenario (SSP5-8.5) with the MPA being in place.

As seen from the temperature evolution in Fig. 8a, the CFC warming effect starts to overpower the cooling from ozone at around 2055. We consider this point in time to be the shift in regimes where the surface response to the No-MPA scenario is no
305 longer dominated by the CFC chemical effect. The radiative effect of CFCs takes over and continues to modulate the surface climate as it was indicated e.g. by Velders et al. (2007). Taken together, we find that the avoided warming due to the MPA is substantially modulated - at the regional scale - by the large-scale circulation changes induced by ozone and alterations in stratosphere-troposphere coupling. In addition, we find that globally, only a minor fraction (30 %) of the surface heating due to CFCs (via long wave trapping) is offset by the cooling due to the resulting ozone depletion (Goyal et al., 2019), consistent
310 with recent work examining the radiative forcing (Chiodo and Polvani, 2022).

4 Conclusions

We conducted a set of experiments with the ESM SOCOLv4, where we investigated changes in large-scale circulation of the stratosphere and troposphere under the extreme conditions of a No-Montreal-Protocol scenario at the end of the 21st century.

The key novelty over previous studies lies in our detailed separation of the effects induced by abundant CFCs: the chemical
315 (i.e. ozone depletion) and radiative (i.e. global warming) properties of CFCs. To achieve this, we carried out experiments where CFCs were active and inactive for the radiation scheme. The main results of the CFC chemical effect are summarized as follows:

- Unabated CFC emissions deplete up to 90 % of ozone in the stratosphere at the end of the 21st century, severely decreasing shortwave heating there and leading to a cooling of the global stratosphere by up to 30 K.
- 320 – The cooling is particularly pronounced in the tropical stratosphere, reducing the equator-to-pole temperature gradient in both hemispheres, and consequently also substantially weakening the winter polar vortices in both hemispheres.

- The weaker wintertime vortices shift the tropospheric SAM to a more negative phase in winter and spring as well as the NAO (winter only). Additionally, the SH wintertime polar vortex variability decreases.
- 325 – In austral summer and beginning of fall, westerly winds in the SH stratosphere strengthen causing a shift to a more positive SAM in the troposphere, and a decrease in the wind variability.
- The global surface temperature decreases by 0.6 K with a regional warming of 3 K over northern Canada and cooling of –3 K over northern Eurasia. These regional patterns are largely modulated by the changes in the large-scale tropospheric circulation.

The CFC radiative effect counteracts the chemical effect of CFC-induced ozone depletion. Through their longwave absorptivity, 330 CFCs strongly warm the troposphere (by up to 5 K) and the lower stratosphere. Further effects include:

- The tropical region is most affected by the CFC induced tropospheric warming, which slightly increases the equator-to-pole gradient, leading to slightly stronger wintertime vortices compared to the CFC chemical effect.
- The slightly stronger vortex, and thus decreased variability, together with the strong tropospheric warming of CFCs, shifts the tropospheric SAM to a more positive phase year round and the NAM in winter only.
- 335 – The global surface temperature increases by 2.5 K with the strongest warming by up to 12 K over the Arctic regions.

Taken together, the CFC chemical effects largely shape the stratospheric temperature and circulation changes, whereas the CFC radiative effects are the dominant drivers of the tropospheric large scale circulation and surface temperature changes. In the troposphere, the radiative effects of CFCs overcompensate the changes resulting from ozone depletion (i.e. the CFC chemical effect). The combined CFC chemical and radiative effect are:

- 340 – The BDC speeds up, but with clearly distinct roles of chemical and radiative effects. The shallow branch is mostly affected by the CFC chemical effect and the air becomes over a year younger, whereas the deep branch is mainly influenced by the CFC warming.
- Both effects cancel each other out for NAO leaving it nearly unchanged under No-MPA conditions. In the North Pacific sector, both effects reinforce each other, increasing the meridional sea-level pressure gradient.
- 345 – The tropospheric SAM is more positive for austral summer and fall, when CFC chemical and radiative effect reinforce their positive phases consistent with previous work on the ozone hole and its impacts on summertime circulation trends in the SH (World Meteorological Organization (WMO), 2018). The SAM+ signals weakens for winter and spring when both effects are in anti-phase.
- The global surface temperature increases by 1.9 K with the Arctic region being mostly affected in boreal winter (over 350 13 K warming) and spring, where both effects strengthen each other. The Antarctic region is fairly buffered and follows the mean global increase.

Overall, the MPA has prevented not only severe implications for our health, but also avoided substantial changes in our surface climate. Besides the well known global warming effect of CFCs with subsequent tropospheric circulation changes, we showed that the dynamical changes in the stratosphere, caused by severe ozone depletion, would have also strongly affected the tropo-
355 spheric variability modes, resulting in regional amplification of adverse effects on surface climate. A further amplification of reduced precipitation over South America and increased precipitation over the Southern Ocean and North Pacific was avoided as well as a further strengthening of the Arctic warming.

Code and data availability. The code of SOCOLv4 is available in a general-purpose open repository zenodo <https://zenodo.org/record/4570622> with doi 10.5281/zenodo.4570622. Further information on SOCOLv4 can be found at Sukhodolov et al. (2021). The data were up-
360 loaded to a general-purpose open repository zenodo with doi: 10.5281/zenodo.7234665, the web page is [https://zenodo.org/record/7234665#](https://zenodo.org/record/7234665#.Y1aP-UxBxaQ).Y1aP-UxBxaQ and can also be provided by the corresponding authors upon request.

Appendix A: Ozone

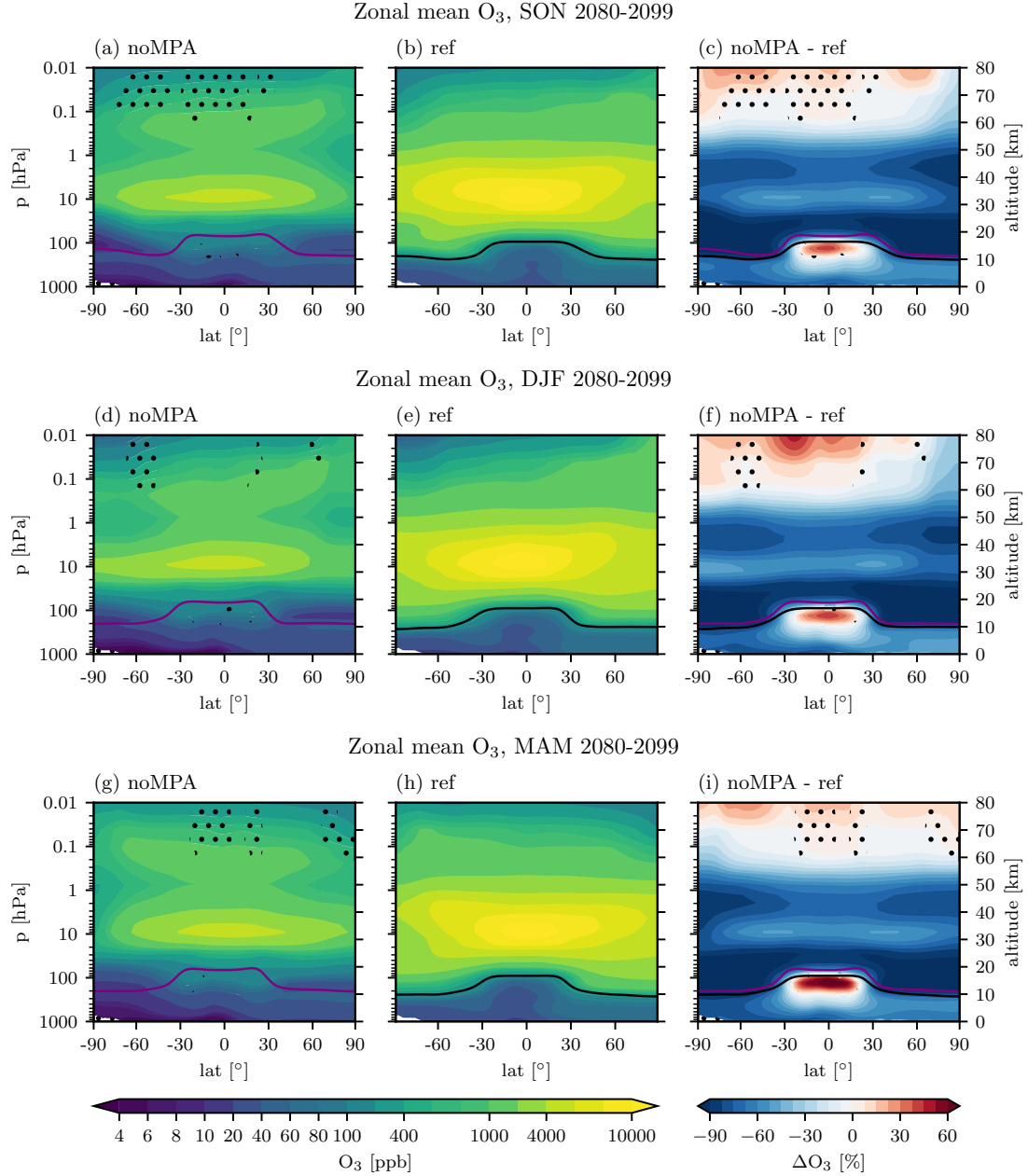


Figure A1. 2080–2099 zonal mean ozone noMPA (a), ref (b) and differences in % of noMPA-ref (c) for SON (top), DJF (middle) and MAM (bottom) 2080-2099. The tropopause height is indicated in purple for the noMPA and in black for the MPA reference experiment. Stippling indicates not significant at a 90 % confidence level. Colorbar levels are evenly numbered in log spacing. Note that the color saturation for the differences is different for negative and positive values.

Appendix B: Temperature

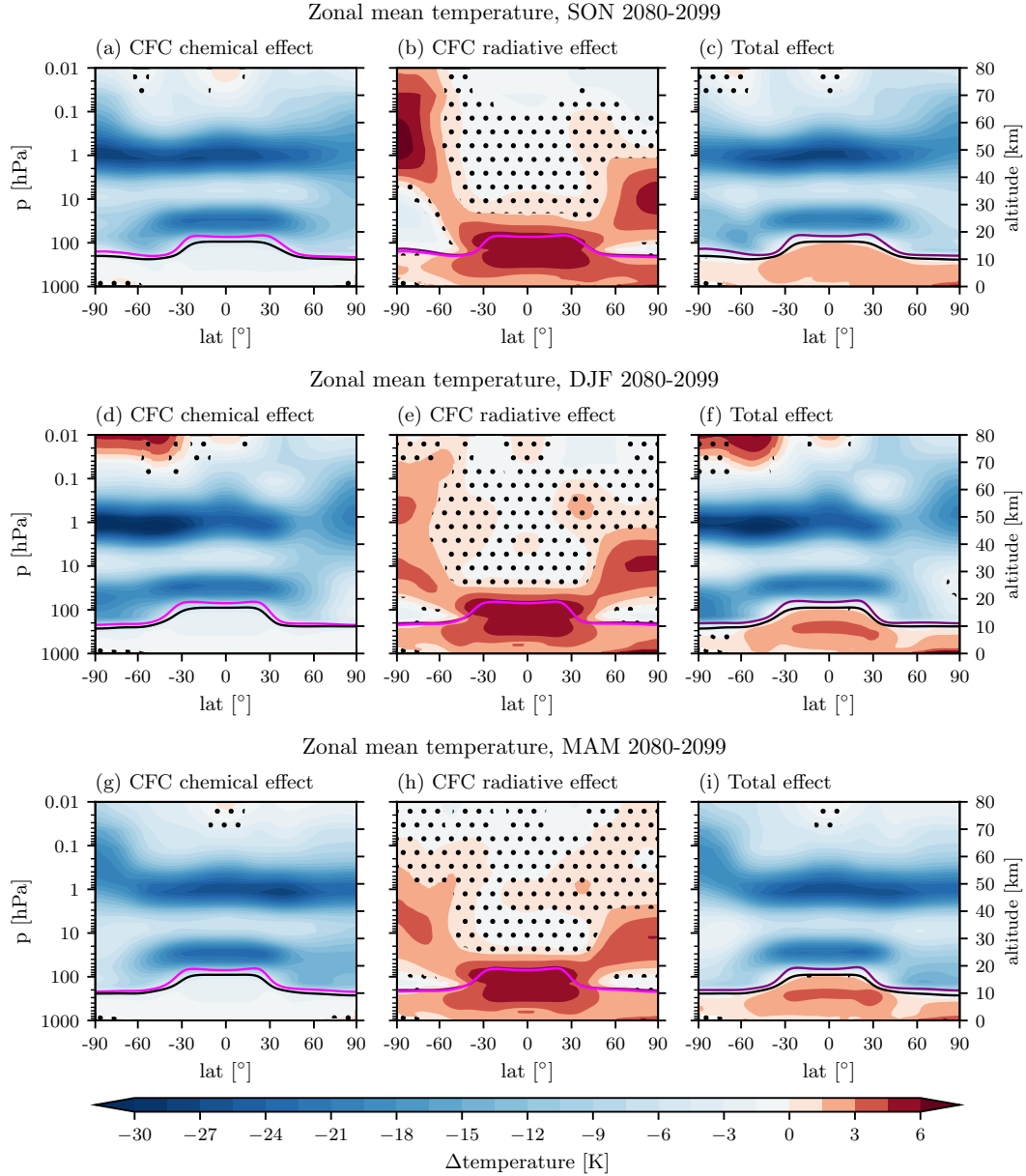


Figure B1. 2080–2099 zonal mean temperature differences in % SON (upper row), DJF (middle row) and MAM (bottom row). The left column shows the CFC chemical effect, the center column the CFC radiative effect and the right column the total effect of CFC chemical and radiative effect combined. Stippling indicates not significant at a 90 % confidence level. The tropopause height is indicated in purple for the noMPA, in magenta for noMPA_CFCRadOff and in black for the reference experiment. Note that the color saturation is different for negative and positive values.

Appendix C: Temperature profile

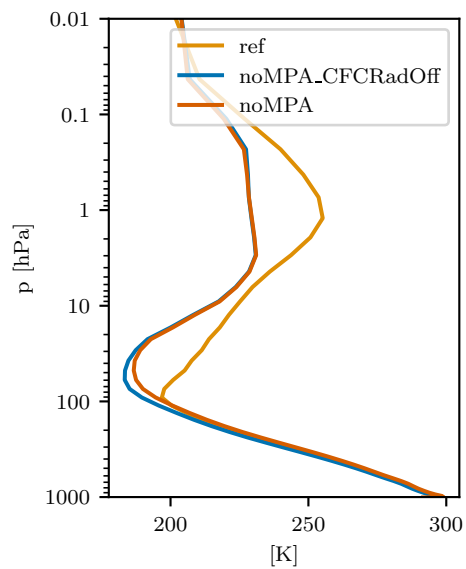


Figure C1. Tropical (30° N-S) zonal mean temperature profiles of noMPA, noMPA_CFCRadOff and ref in JJA 2080-2099.

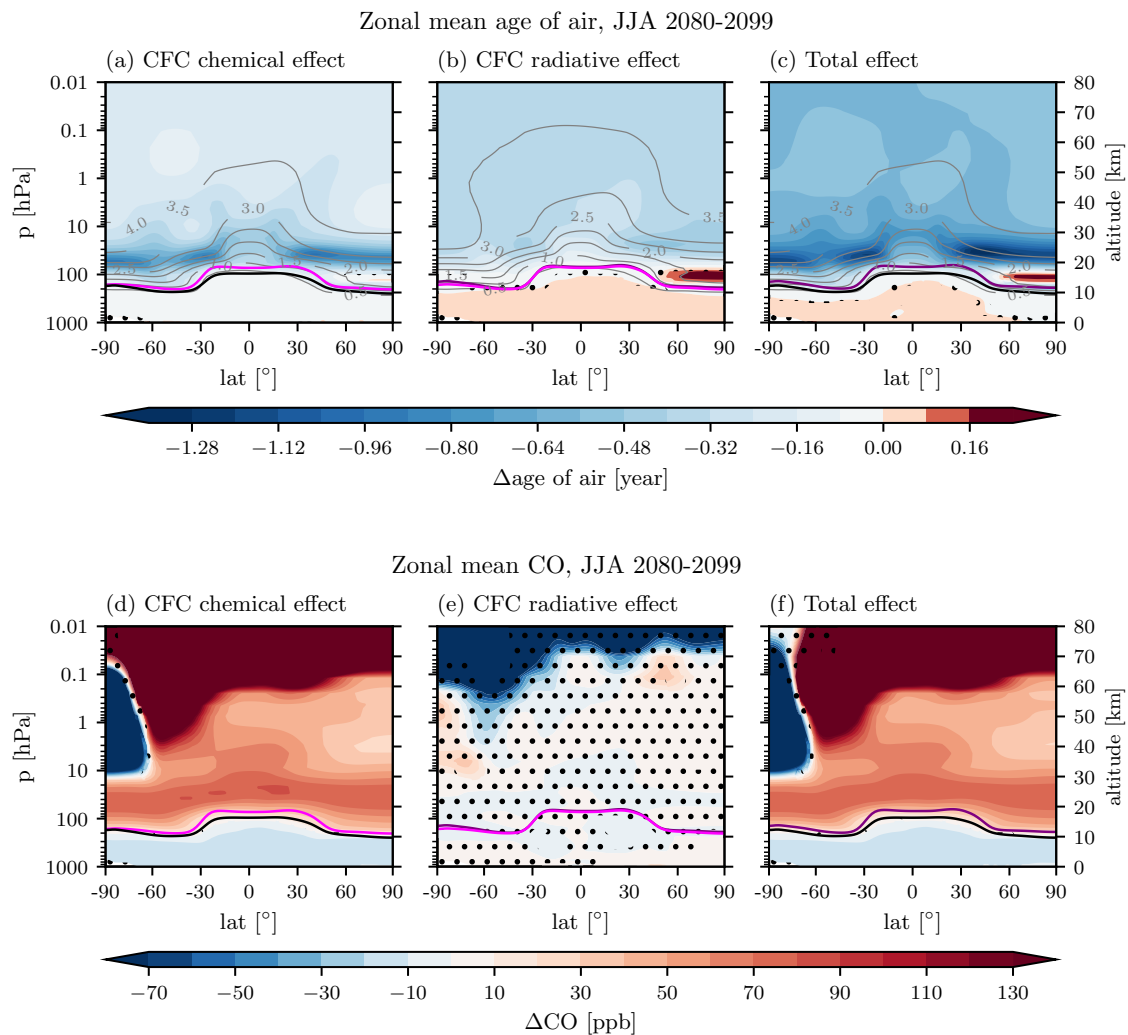


Figure D1. Top: age of air for JJA (other seasons look very similar) 2080-2099, bottom: CO. The left column shows the CFC chemical effect, the center column the CFC radiative effect and the right column the total effect of CFC chemical and radiative effect combined. Stippling indicates not significant at a 90 % confidence level. The tropopause height is indicated in purple for the noMPA, in magenta for noMPA_CFCRadOff and in black for the reference experiment. Note that the color saturation is different for negative and positive values.

Appendix E: Zonal wind

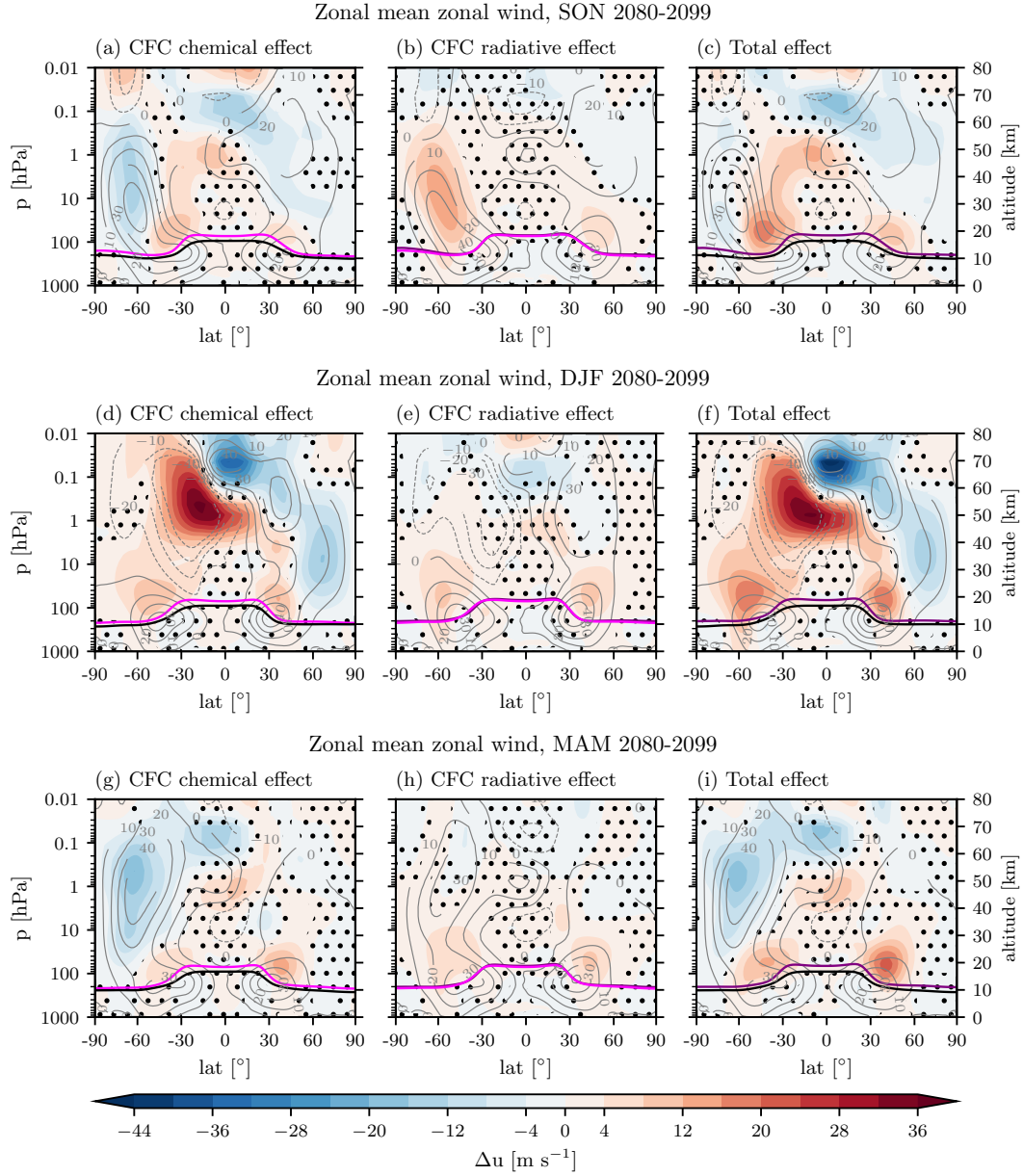


Figure E1. 2080–2099 zonal mean zonal wind differences in % SON (upper row), DJF (middle row) and MAM (bottom row). The left column shows the CFC chemical effect, the center column the CFC radiative effect and the right column the total effect of CFC chemical and radiative effect combined. Stippling indicates not significant at a 90 % confidence level. The tropopause height is indicated in purple for the noMPA, in magenta for noMPA_CFCRadOff and in black for the reference experiment. The contour lines indicate the ref zonal wind profile. Note that the color saturation is different for negative and positive values.

Appendix F: Surface

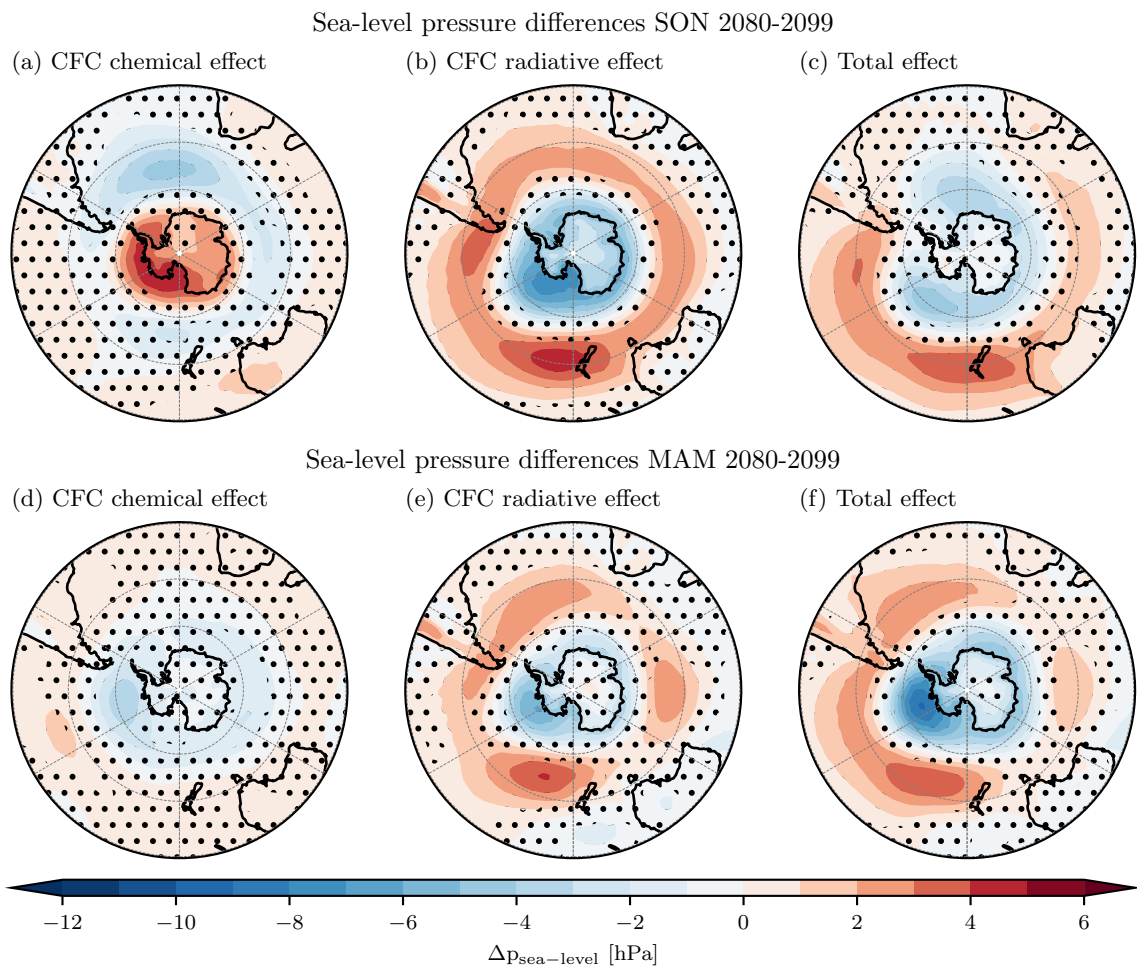


Figure F1. 2080-2099 Antarctic spring and fall sea-level pressure differences. CFC chemical effect (a, d), CFC radiative effect (b, e) and total effect (c, f). Stippling indicates not significant at a 90 % confidence level. Note that the color saturation is different for negative and positive values.

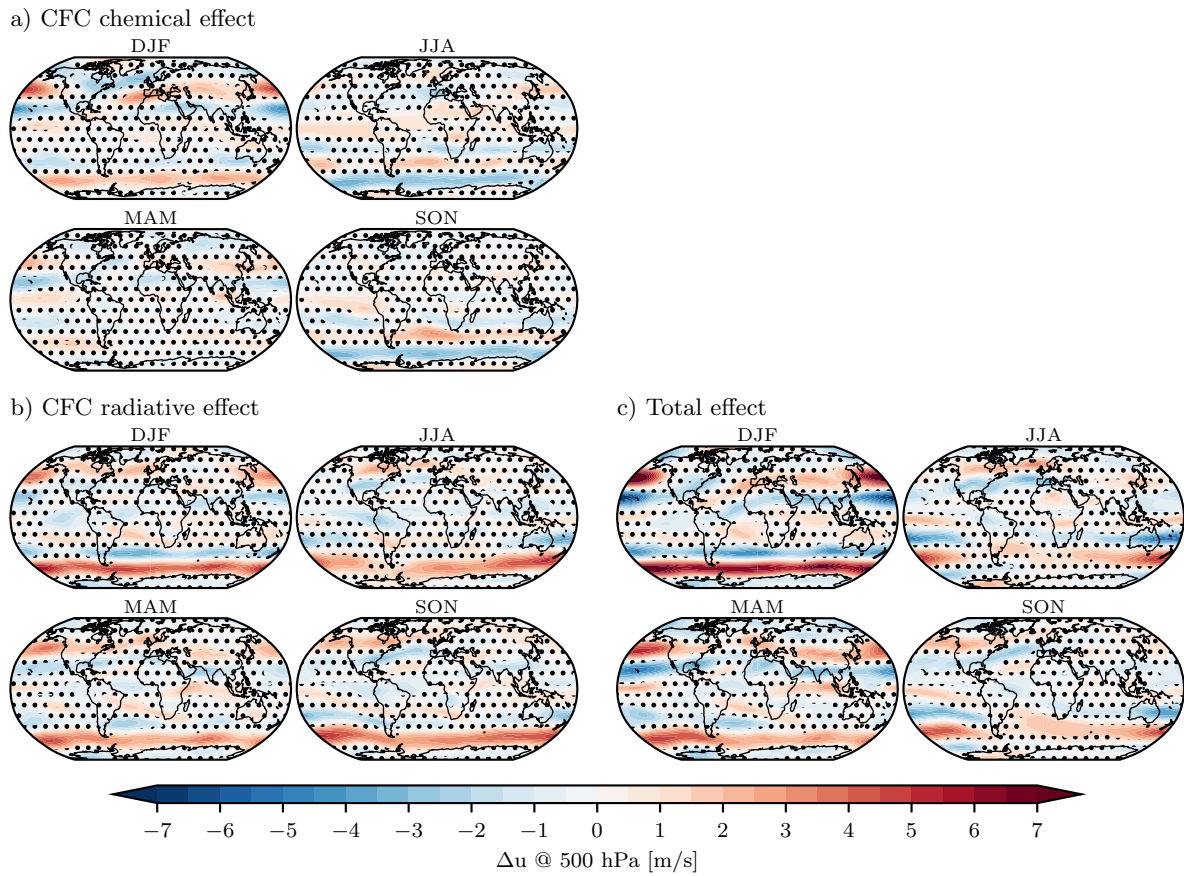


Figure F2. 2080-2099 zonal wind differences at 500 hPa. CFC chemical effect (a), CFC radiative effect (b) and total effect (c). Stippling indicates not significant at a 90 % confidence level.

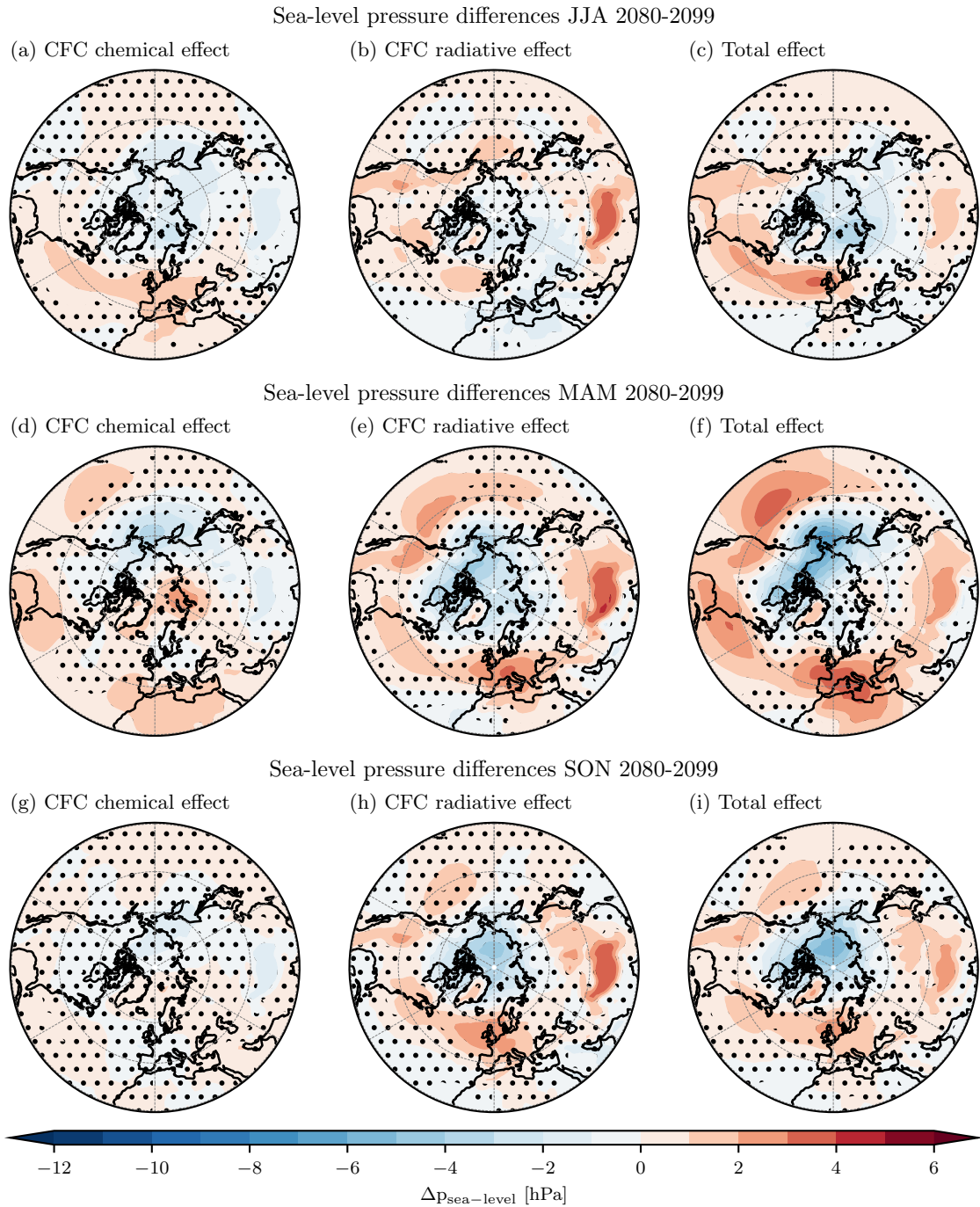


Figure F3. 2080-2099 Arctic summer, spring and fall sea-level pressure differences. CFC chemical effect (a, d, g), CFC radiative effect (b, e, h) and total effect (c, f, i). Stippling indicates not significant at a 90 % confidence level. Note that the color saturation is different for negative and positive values.

Author contributions. FZ performed the data analysis and visualization with support from JS and wrote the manuscript draft. TS and JS run the SOCOLv4 experiments. FZ, TS and GC analyzed and discussed the results. MF, SS, TP, TE, ER and JS participated in discussing the results and editing the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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