# 1 Analysis of insoluble particles in hailstones in China

- Haifan Zhang<sup>1</sup>, Xiangyu Lin<sup>1</sup>, Qinghong Zhang<sup>1\*</sup>, Kai Bi<sup>2\*</sup>, Chan-Pang Ng<sup>1</sup>, Yangze Ren<sup>1</sup>, Huiwen Xue<sup>1</sup>, Li Chen<sup>3</sup>, Zhuolin
   Chang<sup>4</sup>
- <sup>4</sup> <sup>1</sup>Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University, Beijing 100871, China
- <sup>2</sup>Field Experiment Base of Cloud and Precipitation Research in North China, China Meteorological Administration, Beijing
   101200, China
- <sup>7</sup><sup>3</sup>Electron Microscopy Laboratory, Peking University, Beijing 100871, China
- 8 <sup>4</sup>Key Laboratory for Meteorological Disaster Monitoring and Early Warning and Risk Management of Characteristic
- 9 Agriculture in Arid Regions, China Meteorological Administration, Yinchuan 750002, China
- 10 \**Corresponding author*: Qinghong Zhang (<u>qzhang@pku.edu.cn</u>); Kai Bi (<u>bikai\_picard@vip.sina.com</u>)
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12 Abstract. Insoluble particles influence weather and climate by heterogeneous freezing process. Current weather and climate 13 models face considerable uncertainties in freezing processes simulation due to limited information regarding species and 14 number concentration of heterogeneous ice-nucleating particles, particularly insoluble particles. Here, for the first time, size 15 distribution and species of insoluble particles are analyzed in 30 shells of 12 hailstones collected from China, using scanning 16 electron microscopy and energy dispersive X-ray spectrometry. A total of 289,461 insoluble particles were detected and 17 identified into 3 species: organics, dust, and bioprotein, utilizing machine learning methods. The size distribution of insoluble 18 particles of each species varies greatly among the different hailstones but little in their shells. Further, classic size distribution 19 of organics and dust followed logarithmic normal distributions, which could potentially be adapted in future weather and 20 climate models, despite the existence of uncertainties. Our finding suggests the aerosol species and number concentration 21 variance in different storms should be considered in model simulation of the ice freezing process.

### 22 1 Introduction

23 Insoluble particles, acting as main heterogeneous ice-nucleating particles in the atmosphere(Lamb and Verlinde, 2011), 24 influence precipitation formation and radiative forcing (Hoose and Möhler, 2012; DeMott et al., 2015), and further impact 25 weather and climate (Vergara-Temprado et al., 2018). Temperature and vapor supersaturation are used to calculate the number 26 concentration of ice crystal particles in microphysics parameterization rather than considering the physical properties of ice-27 nucleating particles in weather and climate models (DeMott et al., 2010). Few models used the freezing parameterization, 28 which establishes a direct connection between the number concentration of ice-nucleating particles and the number 29 concentration of ice crystals. The absence of description regarding the number concentration of ice-nucleating particles in 30 models can result in an incorrect estimation of ice crystals and lead to significant bias in radiative simulations (Vergara-31 Temprado et al., 2018).

32 An improved description of the number concentrations of ice-nucleating particles is needed (DeMott et al., 2010), while 33 obstructed by a lack of complete microphysical observation in clouds about ice-nucleating particles. There are two ways to 34 sample ice-nucleating particles: The first involves an airborne instrument, named continuous flow thermal gradient diffusion 35 chamber (Rogers et al., 2001; Prenni et al., 2009; DeMott et al., 2010). The second is done in the laboratory, where scientists 36 conduct freezing experiments (Hoose and Möhler, 2012). In most cases, it is necessary for an aircraft to collect air parcels for 37 measurement of the physical properties of ice-nucleating particles in the air. However, former field projects sampled air parcels 38 in anvils of convective clouds, cirrus and winter mixed-phase stratiform clouds. No flight report or article has reported that 39 they sampled air parcels through cores in deep convection. This phenomenon is consistent with consideration for flight security. 40 Thus, current observation is insufficient for describing the whole convective cloud, especially the deep convection in severe 41 storms. Absence about microphysical observations of ice-nucleating particles within severe storms leads to uncertainty in 42 understanding cold cloud process.

Hailstones, as a product of deep convective clouds, serves as a carrier of information within these clouds. Recently, analysis revealed large diversity in number concentration of soluble ions among hailstones from different hailstorms (Li et al., 2018). Further, the detection of soluble ions along with isotopic analysis of a huge hailstone revealed an up-and-down hailstone growth trajectory, which demonstrated that the different shells were formed at different heights (Li et al., 2020). These studies have proved that aerosol information in convective cloud may be recorded in soluble particles within hailstones (Li et al., 2018, 2020). Similarly, insoluble particles in hailstones can also record aerosol information in severe storms.

49 Former studies showed that species and number concentration of insoluble particles in hailstones (Vali, 1968; Rosinski, 50 1966; Michaud et al., 2014) would influence heterogeneous nucleation process (Hoose and Möhler, 2012) and further hailstone 51 formation (Knight, 1981). Information on the species of insoluble particles can determine the freezing temperature when these 52 particles participate in the initiation of ice crystal formation and subsequently impact hailstone embryo growth. Biological 53 particles in hailstones, such as pollen and bacteria, are more efficient ice-nucleating particles than dust within the ice nucleation 54 region of storm clouds (Michaud et al., 2014). They can raise the freezing threshold temperature above -15 °C , while dust 55 particles are activated to form ice crystals at temperatures below -15 °C (Michaud et al., 2014). In addition to species, number 56 concentration of insoluble particles can also influence the hailstone formation. When more dust particles were considered, a 57 model simulation resulted in larger number concentration of ice crystals, smaller graupels (one type of hailstone embryos) size, 58 and suppression of the hailstone growth (Chen et al., 2019). Nonetheless, previous studies involving analysis of insoluble 59 particles in hailstones mainly focused on substances analysis or total number concentration statistics. A size distribution of 60 insoluble particles in hailstones with species information, which is beneficial for completing microphysical observation in 61 severe storms, has not been given so far.

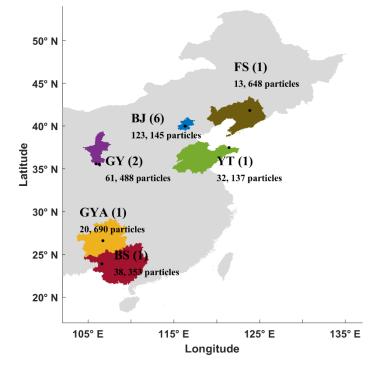
This study analyzed insoluble particles in hailstones collected from 8 hailstorms that occurred in China between 2016 and 2021. The identification of insoluble particles in hailstones was conducted using Scanning Electron Microscopy (SEM) and Energy Dispersive X-ray spectrometry (EDX). The insoluble particles were identified into three species using Self-Organized Maps (SOMs) and the random forest method. The variation in size distribution of insoluble particles in embryos and different shells was explored. Based on the size distributions, logarithmic normal distributions were fitted to describe the concentration of organics and dust in deep convection.

#### 68 2 Methods

# 69 2.1 Sample information and experimental design

Hailstones were collected from eight hailstorms that occurred in six provinces of China during warm seasons from 2016
 to 2021 (Table 1, Fig. 1). Volunteers stored the hailstones in clean containers, including plastic bags, glass containers, and

- tinfoil, either during or immediately after the hail events. All hailstone samples were transported to a laboratory at Peking
- 73 University in Beijing and kept at temperatures ranging from -18 °C to -4 °C. The hailstones were then transferred into vacuum-
- realed plastic pockets and preserved in a freezer, maintaining an internal temperature ranging from -29°C to -23°C, until they
- 75 underwent further processing and analysis.



- Fig. 1: Geographical distribution of collected hailstones. The collecting locations of hailstones are indicated by black dots. Provinces
   of China from which the hailstones were collected are represented by six different colors. The number of hailstones we analyzed was
   indicated in parentheses. Abbreviations (corresponding to Table 1): BJ BeiJing; BS BaiSe; FS FuShun; GY GuYuan; GYA -
- 80 GuiYAng; YT YanTai.

		Total column	Freezing level				
Date & Beijing Local Time <sup>a</sup>	Latitude & Longitude <sup>b</sup>	water vapor <sup>c</sup> (kg / m²)	height – orography	Location & Sample abbreviation <sup>e</sup>	Samples <sup>f</sup>	Diameter <sup>g</sup>	Particle
						(mm)	number <sup>h</sup>
			altitude <sup>d</sup> (m)				
19 June 2018,	41.82° N,	26.35 <sup>[18]</sup>	3241.66 <sup>[18]</sup>	FuShun (FS)	1	13.80	13,648
18:30	123.85° E						
10 June 2016,	40.00° N,	36.86 <sup>[14]</sup>	3780.52 <sup>[14]</sup>	BeiJing (BJ1)	1	_	35,291
15:00	116.32° E						
				BeiJing (BJ2)		25.38	14,865
				BeiJing (BJ3)		24.11	20,233
30 June 2021,	39.95° N,	31.84 <sup>[20]</sup>	3852.76 <sup>[20]</sup>	BeiJing (BJ4)	5	16.30	20,350
20:18	116.30° E			BeiJing (BJ5)		14.86	14,350
				BeiJing (BJ6)		22.80	18,056
01 Oct 2021,	37.49° N,	32.81 <sup>[13]</sup>	3642.42 <sup>[13]</sup>	YanTai (YT)	1	45.00	32,137
14:02	121.44° E						
25 Aug 2020,	35.53° N,	17.83 <sup>[17]</sup>	422.58 <sup>[17]</sup>	GuYuan (GY1)	1	15.00	29,341
18:00	106.32° E						
26 Aug 2020,	35.58° N,	17.01 <sup>[15]</sup>	835.04 <sup>[15]</sup>	GuYuan (GY2)	1	18.50	32,107
16:00	105.93° E						
14 Apr 2016,	26.60° N,	31.62 <sup>[19]</sup>	2147.58 <sup>[19]</sup>	GuiYAng (GYA)	1	26.20	20,690
20:00	106.72° E						
09 May 2016,	23.90° N,	47.45 <sup>[18]</sup>	4572.70 <sup>[18]</sup>	BaiSe (BS)	1	_	38,353
18:51	106.60° E						

<sup>a</sup> Date and Beijing local time of hailstorms occurrences. Hailstones were collected within 30 min during hail.

<sup>b</sup> Latitude and longitude where the hailstone were collected.

<sup>e</sup> Location and sample abbreviations.

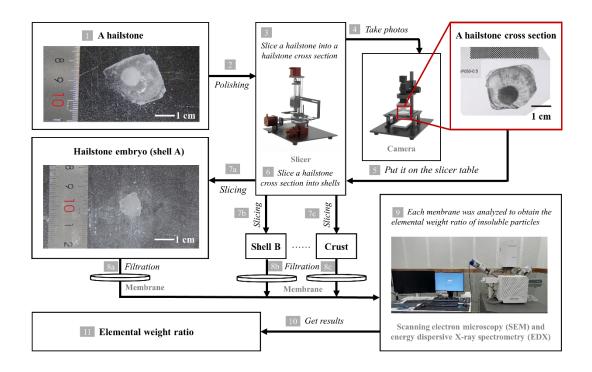
 <sup>&</sup>lt;sup>c</sup> Total column water vapor values (Beijing local time of ERA5 reanalysis data in square brackets (Hersbach et al., 2018)).
 <sup>d</sup> Depth between freezing level and orography (Beijing local time of ERA5 reanalysis data in square brackets(Hersbach et al., 2018)).

<sup>&</sup>lt;sup>f</sup> Numbers of hailstones used in the experiments.

<sup>&</sup>lt;sup>g</sup> Diameter of hailstone (— means no record).

<sup>&</sup>lt;sup>h</sup> Insoluble particle number in hailstones.

Insoluble particles were extracted in the experiments (Fig. 2). The surface of each hailstone was polished to remove any attached grass or soil. Subsequently, the hailstones were sliced into cross-sections along the major axis, corresponding to the size of the hailstone embryo. The cross-section were further sliced into shells using heated Fe-Cr alloy wire at an air temperature below  $-8^{\circ}$ C. The shells within a hailstone were distinguished based on their natural transparency or opacity. However, hailstones with a major axis < 7 mm could not be sliced due to the mass loss resulting from heating using our experimental apparatus.



89

90 Fig. 2: Schematic diagram illustrating the experimental framework. [1-2] The surface of each hailstone was polished to remove any 91 attached grass or soil. [3] Subsequently, the hailstones were sliced into cross-sections along the major axis, corresponding to the size 92 of the hailstone embryo. [4-7] After photographing the hailstone cross-sections, they were further subdivided into shells using heated 93 Fe-Cr alloy wire at an air temperature below -8°C. The shells were distinguished based on their natural transparency or opacity. [8] 94 The solution of melting shell samples was then passed through a filter membrane to isolate the insoluble particles. [9] Each shell 95 sample underwent analysis using scanning electron microscopy and energy-dispersive X-ray spectrometry to determine the 96 elemental weight ratios of the insoluble particles within approximately 4 hours. [11] Finally, the elemental weight ratio information 97 of hailstones was obtained.

98

99 The shells were sequentially labeled with capital letters in alphabetical order, starting from the embryo (designated as 100 shell A) and progressing toward the crust. After the ice shells melting into a solution, the solution was filtered through a 101 membrane (VSWP01300, Merck KGaA, Germany) with a pore size of 30 nm. The 1 mL (a total of 5 mL) of distilled water 102 underwent five passes through the filter membrane to ensure maximum retention of insoluble particles on the membrane. Subsequently, the filter membrane was dried under an air temperature of approximately 40°C to satisfy the dry-environment requirements of SEM.

105 The number of insoluble particles in each shell was determined using scanning electron microscopy (SEM), with a focus 106 on particles larger than 0.16 µm. The length along the major axis of the particles was measured using Aztec software (Aztec 107 software, Oxford Instruments plc, UK) on SEM images. The software was able to randomly capture electron microscopy 108 photos of the membrane (Aztec User Manual). No particle will be counted repeatedly. Energy-dispersive X-ray spectrometry 109 (EDX) was utilized to determine the elemental weight ratios of the particles. Only elements with an atomic number greater 110 than 4 could be detected due to the X-ray input window being made of beryllium. Each shell sample was analyzed within 111 approximately 4 hours by SEM and EDX. The scanning mode of SEM was set in a random order to reduce errors caused by 112 bias in the detection area.

#### 113 **2.2 Clustering and classification**

The number of insoluble particles was measured using Aztec on SEM images, but the species could not be determined directly and were identified by machine learning method. The criteria of species classification were established by the SOMs method to determine the species of unclassified particles. These labeled particles were then regarded as trainning set in random forest classifier. Details are presented in Fig. 3.

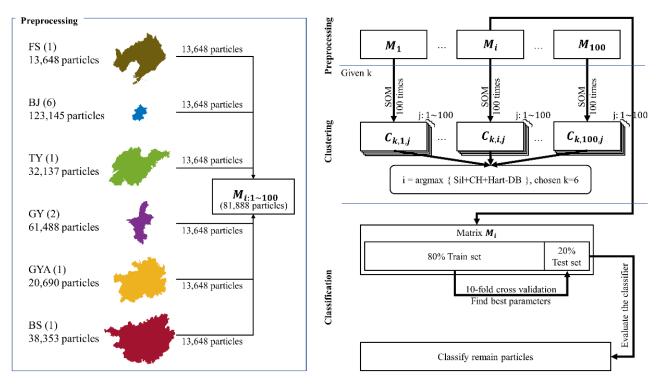


Fig. 3: Schematic diagram illustrating the methodological framework used for particle identification in this study. A total of 100 matrices M<sub>i</sub>, with *i* ranging from 1 to 100, were utilized in self-organized maps clustering analyses, each containing 81,888 unidentified particles with 19 elemental features (N, Na, Mg, Al, Si, P, S, Cl, K, Ca, Ti, Cr, Mn, Fe, Ni, Cu, Br, Ba, and Pb). The centroid matrix C<sub>k,i,j</sub> represents the clustering results obtained through the self-organized maps method with a given cluster

number k. The self-organized maps operation with the same k was repeated 100 times to ensure result robustness, where j denotes the number of repetitions ranging from 1 to 100. Four indices, Silhouette index (Sil), Calinski–Harabasz index (CH), modified Hartigan index (Hart), and Davies–Bouldin index (DB), were employed to determine the optimal parameters k, i, and j. The matrix M<sub>i</sub> containing identified 81,888 particles was randomly divided into a training set (80 %) and a test set (20 %) for random forest classification. The 10-fold cross-validation was utilized to determine the best tree. Abbreviations (corresponding to Table 1): BJ - BeiJing; BS - BaiSe; FS - FuShun; GY - GuYuan; GYA - GuiYAng; YT - YanTai.

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With reference to the studies of Ault et al. (2012) and Kirpes et al. (2018) and considering the results of elemental weight ratios determined by EDX analysis, 19 elements (N, Na, Mg, Al, Si, P, S, Cl, K, Ca, Ti, Cr, Mn, Fe, Ni, Cu, Br, Ba, and Pb) were selected to confirm the species of particles. C and O were not taken in account when clustering or classifying particles as the membrane filters were made from cellulose acetate and cellulose nitrate, which contain C, H, N, and O. We could not detect H because the ray-input window was made of beryllium. All particles showed high contents of C and O but different contents of N, so N was retained as a feature of classification.

Species of aerosol particles vary with sampling location (Tao et al., 2017). Therefore, when establishing the matrices of elemental weight ratios for clustering, equal amounts of data were randomly extracted from the sample data from each province to ensure the inclusion of a consistent proportion of samples from each region in the training process. A hailstone FS collected from Fushun City, Liaoning Province was shown to contain 13,648 insoluble particles, which was the smallest among all samples from six provinces (Fig. 1). With random sampling of 13,648 particles from each province, the matrix used in clustering analyses included 81,888 particles. This operation was repeated 100 times to obtain 100 matrices  $M_i$  with *i* ranging from 1 to 100.

Each matrix **M**<sub>i</sub> was clustered using the SOMs method. SOMs belong to the category of competitive learning algorithms and are a type of artificial neural network (Kohonen, 1990). A basic SOMs network consists of an input layer, weight vectors, and an output layer. Each neuron in the output layer possesses a set of weight vectors, which represent the topological structure of the neurons in the output layer, associated with the inputs. SOMs are commonly used as dimensionality reduction algorithms, enabling the representation of high-dimensional data in a lower-dimensional structure while preserving the original topology. When SOMs are trained on unlabeled data for clustering purpose, it proves highly beneficial in clustering unlabeled and highdimensional inputs into visualized two-dimensional outputs.

We utilized the SOMs code from MATLAB's deep learning toolbox. The input of SOMs is  $M_i$ . At begin, the neural network in the output layer was initialized as 1-D dimension with k neurons. The number of neurons in the output layer matches k ranging from 2 to 10. The operation of SOMs with the same initialized k neurons and input matrix  $M_i$  was repeated 100 times to ensure result robustness. The clustering result was stored in matrix  $C_{k,i,j}$ , which corresponded to the

given k centroids in  $\mathbf{M}_{i}$  with  $j^{th}$  SOMs operation. Each  $\mathbf{C}_{k,i,j}$  matrix consists of k rows and 19 columns (corresponding 154 155 to the number of elemental features). Four indices, namely, the Silhouette index (Rousseeuw, 1987), the Calinski-Harabasz 156 index (Calinski and Harabasz, 1974), the modified Hartigan index (Sibson and Hartigan, 1976), and the Davies-Bouldin index 157 (Davies and Bouldin, 1979), were selected as evaluation indicators to determine the parameters k, i and j. The Silhouette 158 index, Davies-Bouldin index, and Calinski-Harabasz index assess the similarity between a particle and others within the same 159 cluster, as well as the dissimilarity across different clusters for a given k. Hartigan index evaluates whether it is worthy to 160 increase the k. Notably, Hartigan index has undergone modifications that preserve its statistical meaning while conserving 161 computational resources.

162 Hartigan index (Sibson and Hartigan, 1976) is defined as:

163 
$$H(k) = (N - k - 1) \left[ \frac{err(k)}{err(k + 1)} - 1 \right], k = 2 \sim 10$$
(1)

164 
$$err(k) = \sum_{g=1}^{k} \sum_{x_g \in C_g} (x_g - C_g)^2$$
(2)

165

166 k: the number of clusters.

- 167 C: the centroid of all data
- 168 N: the number of observations in data
- 169  $C_g$ : the centroid of cluster g
- 170  $x_g$ : the observation of cluster g
- 171  $x_n$ : the observation of data

The calculation of H(k) requires clustering for values of k ranging from 2 to 11 in order to obtain H(2), H(3), ..., H(10). Clustering particles into 11 clusters would require performing an additional 10,000 iterations of the SOMs, with 100 iterations of extracting  $\mathbf{M_i}$  and 100 iterations of SOMs for each  $\mathbf{M_i}$ . Additionally, we observed that the SOMs did not perform well in the Silhouette index (Sil), the Calinski–Harabasz index (CH), and the Davies–Bouldin index (DB) when k = 2. As a result, we introduced modifications to the Hartigan index.

177 
$$Hart(k) = [N - (k - 1) - 1] \left[ \frac{err(k - 1)}{err(k)} - 1 \right], k = 2 \sim 10$$
(3)

$$err(k) = \sum_{g=1}^{k} \sum_{x \in C_g} (x_g - C_g)^2, k \ge 2$$
 (4)

179

178

180 When k = 1, it indicates that all particles are belong to one cluster.

181 
$$err(1) = \sum_{n=1}^{N} (x_n - C)^2$$
(5)

In clustering with a specific value of k, our objective is to have particles tightly grouped together in feature space while ensuring that the centroids exhibit a significant dispersion compared to k - 1. A higher value of Hart(k) for a given kindicates improved clustering performance. The best k, i and j was chosen by combining the evaluation of the four indices (Fig. 4). We applied max normalization to rescale the four indices, Sil(k), CH(k), DB(k), and Hart(k). Subsequently, the best combination of k, i and j was determined, resulting in  $\{Sil(k,i,j) + CH(k,i,j) + Hart(k,i,j) - DB(k,i,j)\}$ reaching its maximum.

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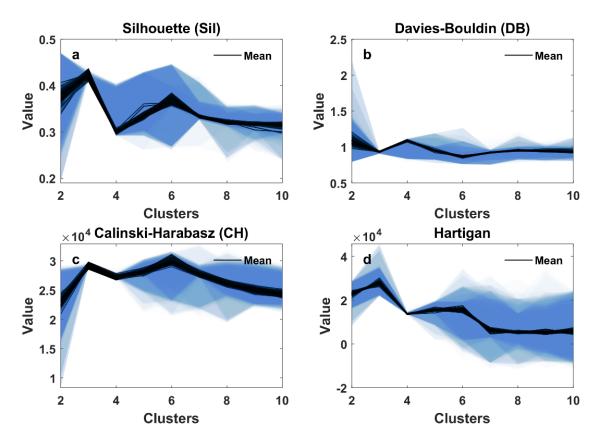
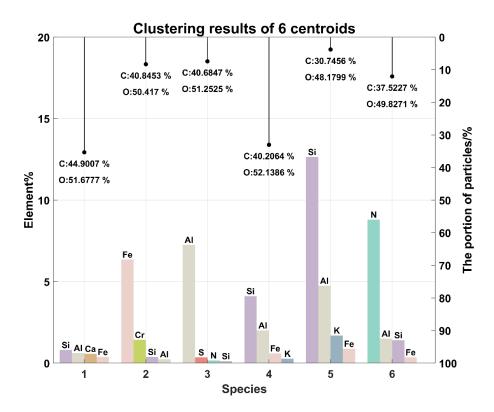




Fig. 4: Evaluation of self-organized maps clustering results. The clustering results of self-organized maps were evaluated using (a)
 Silhouette index, (b) Davies–Bouldin index, (c) Calinski–Harabasz index, and (d) Hartigan index. The self-organized maps operation
 was repeated 100 times to ensure result robustness. The solid lines and shading represent the average and spread of 100 repetitions,
 respectively.

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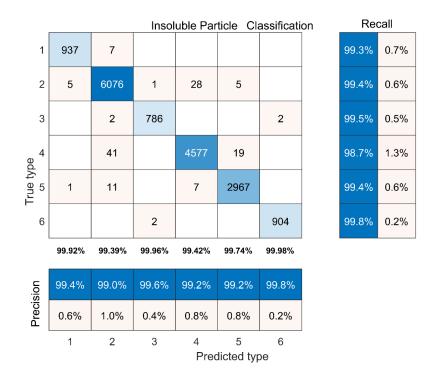
The centroid matrix  $C_{k,i,j}$  with best k, i and j was treated as a training set for random forest classification. The chosen centroid matrix  $C_{k,i,j}$  with the top four elements is shown in Fig. 5 with k = 6. The first species with low elemental weight ratio except C and O contents was considered to be organics. The second species with high Fe content and low Cr content was introduced by the material of the slicer used in the experiment. The third species had a high Al content representing oxides or carbonates of aluminum. The fourth and fifth species were mineral silicates. So that, the third, fourth, and fifth species were



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Fig. 5: Centroids of clustering with six clusters from self-organized maps results and each species portion. Colored bars show the top four elements of each species. The stem bars show the portion of each species. The average contents of C and O of each species are marked at the end of the stem bars.

The random forest method was applied in classifying insoluble particles, which involves randomly growing 100 classification trees. The training set consisted of 80 % of  $M_i$  and 10-fold stratified cross-validation was applied during the training process to find the best tree among the 100 random trees. The remaining 20 % particles of  $M_i$  was used as the test set to evaluate the best tree. The confusion matrix of classification results are shown in Fig. 6. All remaining insoluble particles were classified by this tree. Finally, we identified three species: organics, dust, and bioprotein aerosols.



211

Fig. 6: Confusion matrix of the best random forest classifier tree. The numbers on the diagonal are accurately predicted insoluble
 particles. Numbers in bold indicate the accuracy of prediction of each type.

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225

### 215 **2.3 Conversion of insoluble particle number concentration**

216 Particle number was converted to a number concentration per cubic centimeter volume water (hereinafter referred to as 217 number concentration) using the following formula:

$$n_{\text{liquid}} \cdot V_{\text{liquid}} = N_{\text{liquid}} = N_{\text{diluted}} = n_{\text{diluted}} \cdot V_{\text{diluted}} \tag{6}$$

The number of insoluble particles in the melted shell solution  $(N_{liquid})$  can be calculated by multiplying their number concentration  $(n_{liquid})$  with the volume of the shell solution  $(V_{liquid})$ . Part of the solution was not used up in the experiments and was kept as a backup. Therefore, the shell solution was diluted in some experiments and part of the solution was consumed in the experiments. As in the melting solution, the number of insoluble particles in the diluted solution  $(N_{diluted})$  can be calculated by multiplying their number concentration  $(n_{diluted})$  with the volume of the diluted solution $(V_{diluted})$ . The total particle number in the melted shell  $(N_{liquid})$  remains unchanged during the dilution process  $(N_{diluted})$ .

$$n_{diluted} = n_{used} = \frac{N_{used}}{V_{used}}$$
(7)

The number concentration of the diluted solution  $(n_{diluted})$  is equal to that of the consuming part  $(n_{used})$ . Assuming the rinsing operation ensures all insoluble particles in the shell were on the membrane, the number of insoluble particles in the consumed solution  $(N_{used})$  is equal to the number of insoluble particles counted on the membrane  $(N_{filter})$ .

229 We use SEM to capture electron microscopy images of the membrane. Assuming a uniform distribution of insoluble

particles on the filter membrane, a software randomly capture electron microscopy photos of the membrane and count the visible insoluble particles in those images. The relationship between total number of visible insoluble particles counted in the images ( $N_{count}$ ) and  $N_{filter}$  is:

$$\frac{S_{images}}{S_{filter}} = \frac{N_{count}}{N_{filter}}$$
(8)

That is,  $N_{\text{filter}}$  is determined by multiplying  $N_{\text{count}}$  by the ratio of the areas between the entire filter membrane ( $S_{\text{filter}}$ ) and the electron microscopy images ( $S_{\text{images}}$ ). These three formulas Eq. (6-8) were reduced to Eq. (9):

236 
$$n_{liquid} = \frac{1}{V_{liquid}} \cdot \frac{S_{filter}}{S_{images}} \cdot \frac{V_{diluted}}{V_{used}} \cdot N_{count}$$
(9)

Here,  $S_{filter}$ ,  $S_{images}$ ,  $N_{count}$ ,  $V_{diluted}$ , and  $V_{used}$  can be measured. The liquid volume ( $V_{liquid}$ ) was determined as the average of readings obtained by two experimenters from the test tube. Take the logarithm on both sides:

250 average of readings obtained by two experimenters from the test tube. Take the logarithm on obth sides.

239 
$$\ln n_{liquid} = -\ln V_{liquid} + \ln S_{filter} - \ln S_{images} + \ln V_{diluted} - \ln V_{used} + \ln N_{count}$$
(10)

240 Based on Eq. (10), a tiny change in  $n_{liquid}$  can be represented as  $dn_{liquid}$ :

$$dn_{liquid} = n_{liquid} \cdot \left( -\frac{dV_{liquid}}{V_{liquid}} + \frac{dV_{diluted}}{V_{diluted}} - \frac{dV_{used}}{V_{used}} + \frac{dN_{count}}{N_{count}} \right)$$
(11)

242 As,

241

243

250

233

$$dS_{filter} = dS_{images} = 0 \tag{12}$$

244 The uncertainty ( $\Delta$ ) of  $n_{liquid}$  comes from the measurement error of the experimental instruments, following below 245 (Taylor 1997):

245 (Taylor, 1997):

246 
$$\Delta = n_{liquid} \cdot \sqrt{\left(\frac{dV_{liquid}}{V_{liquid}}\right)^2 + \left(\frac{dV_{diluted}}{V_{diluted}}\right)^2 + \left(\frac{dV_{used}}{V_{used}}\right)^2 + \left(\frac{dN_{count}}{N_{count}}\right)^2}$$
(13)

Here, the accuracy of the test tube is 0.1 mL. The term dV represents the greatest reading error caused by human and was set to 0.05 mL. The quantity  $\frac{dN_{count}}{N_{count}}$  corresponds to the uncertainty associated with size of insoluble particles and the

scan settings.

$$\frac{dN_{count}}{N_{count}} = \frac{dPs}{Ps} = \frac{3}{6,340,608}$$
(14)

251 The term dPs represents the minimum number of pixels that can be detected in an image. Ps denotes the total number 252 of pixels in the micrograph.

# 253 2.4 Curves fitting

We aggregated insoluble particles into 0.2-μm intervals (0.2 μm bin interval in Fig. 7 and Fig. 10, and 2 μm bin interval
 in Fig. 8 and Fig. 9) to fit the logarithmic normal distribution:

256 
$$n(\ln D) = \frac{N}{\sqrt{2\pi} \ln \sigma_g} \cdot exp\left[-\frac{\left(\ln D - \ln D_g\right)^2}{2 \ln^2 \sigma_g}\right]$$
(15)

257 *N* denotes the total number concentration of particles. Both  $n(\ln D)$  and n(D) represent the size distributions of 258 particles, where *D* is the diameter of insoluble particles.  $n(\ln D)$  and n(D) can be converted to each other by *D*.

259 
$$n(D) = \frac{1}{D} \cdot n(\ln D)$$
(16)

260

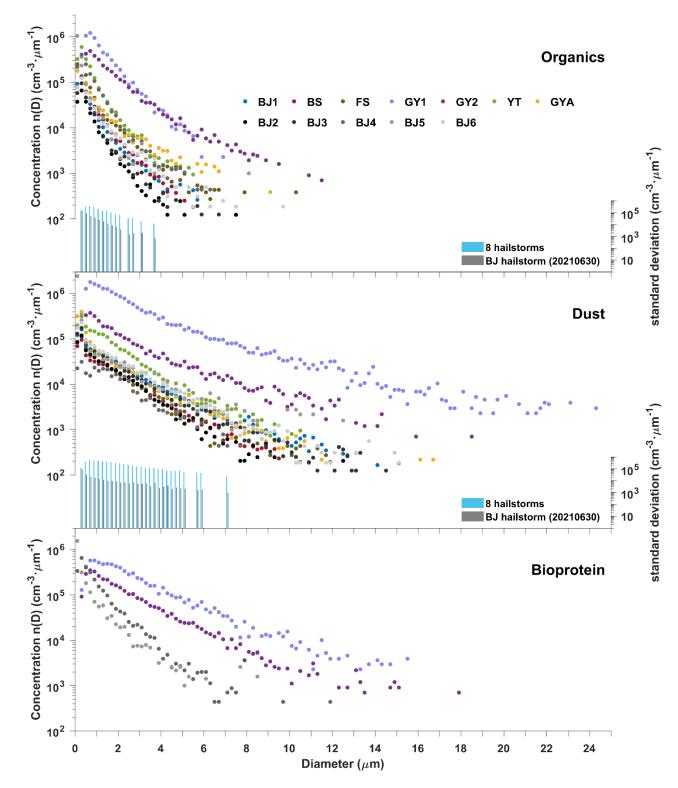
When the  $N_{count}$  in an interval equals 1, the number concentration will exhibit a flat tail due to the conversion to obtain  $n_{liquid}$ . The fitting data were selected with intervals equals to  $0.2 \,\mu\text{m}$ . The least squares method was applied to determine the fitting parameters and R<sup>2</sup> was used to estimate the goodness of fit. The two centroids of fitting parameters of organics and dust were determined by K-means method.

#### 265 3 Results

A total of 289,461 insoluble particles were detected from 30 shells of 12 hailstones using SEM. The identification of insoluble particles employed SOMs for clustering and random forest for classification. Four indices were utilized to determine the appropriate parameters in clustering. The clustering results ( $C_{k,i,j}$ ) were divided into a training and a testing set for classification. The confusion matrix of the best classifier showed an accuracy, precision, and recall of 99.7 %, 99.4 %, and 99.5 %, respectively. All particles were classified as organics, dust, and bioprotein aerosols (i.e., the fraction of biological aerosols with protein content).

### 272 **3.1 Sample similarity**

273 Five of the 12 hailstones (BJ2–BJ6) were from the same hailstorm that occurred in Beijing on June 30, 2021. The insoluble 274 particles present in BJ2-BJ6 showed similarity in the size distribution of organics, dust, and bioprotein aerosols, while those 275 from 8 hailstones (BJ1, BJ2, BS, FS, GY1, GY2, YT and GYA) exhibited a wider dispersion (Fig. 7). The results were similar 276 to those of Li et al., who reported that the number concentrations of water-soluble ions varied among hailstorm events but 277 showed similarity in the same storm (Li et al., 2018). These analyses suggested that insoluble particles in the hailstorm may 278 come from local natural or anthropogenic emissions (e.g., soil dust, aerosols from biomass and fossil fuel combustion, products 279 of the conversion of gaseous precursors), which is also suggested by the results on water-soluble ions (Beal et al., 2022). The 280 updraft within the hailstorm is likely to bring insoluble particles from local surfaces or boundary layers into deep convective 281 clouds, as hailstorms are among the most severe storms with strong updrafts (Battaglia et al., 2022).

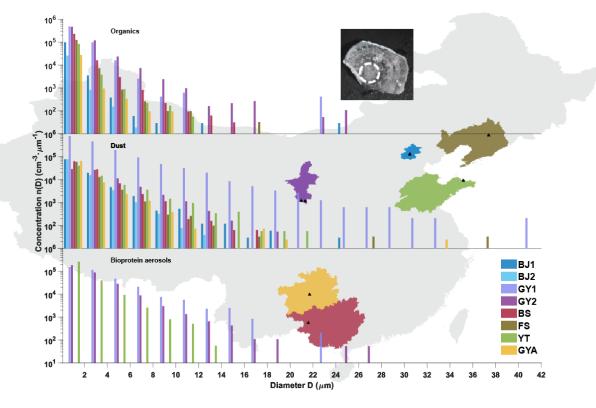


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Fig. 7: Size distribution of organics, dust, and bioprotein aerosols of insoluble particles in 12 hailstones. The colored dots represent data from 7 hailstones BJ1, BS, FS,GY1, GY2, YT and GYA which were from different hailstorms. The black and gray dots correspond to data from hailstones (BJ2 to BJ6) that were from the same hailstorm occurring in Beijing on June 30, 2021. The blue and gray bars indicate the standard deviation of number concentration of insoluble particles from 8 hailstones (BJ1, BJ2, BS, FS,GY1, GY2, YT and GYA) from 8 cases and 5 hailstones (BJ2 to BJ6) from one case, respectively. Abbreviations (corresponding to Table 1): BJ - BeiJing; BS - BaiSe; FS - FuShun; GY - GuYuan; GYA - GuiYAng; YT - YanTai.

# 289 **3.2 Size distribution in embryos**

All hailstone embryos analyzed in this study are graupel particles, which grows from the initial ice particles through accretion of supercooled droplets (Knight, 1981). These initial ice particles are formed through nucleation of insoluble particles where heterogeneous nucleation take place (Lamb and Verlinde, 2011). In other words, insoluble particles in graupels influence the formation of ice crystals and subsequently affect the formation of hailstone embryos.



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Fig. 8: Size distribution of insoluble particles in hailstone embryos. Different colors represent the provinces in China from which
 the hailstones were collected. Black triangles indicate the locations of hailstone sample collection. The white dashed circle highlights
 part of the hailstone embryo. Abbreviations (corresponding to Table 1): BJ - BeiJing; BS - BaiSe; FS - FuShun; GY - GuYuan; GYA
 - GuiYAng; YT - YanTai.

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300 The variations in number concentrations of dust and bioprotein insoluble particles indicate that particle number 301 concentrations decrease exponentially with particle diameter, with markble variation observed among hailstorms (Fig. 8). BJ2 302 was selected to represent five hailstones from the same storm to simplify comparison. The size distribution distinguishes 303 organics from dust and bioprotein aerosols. The number concentrations of organics from all samples decrease with particle 304 diameter less than 8 µm, while those of GY1 and GY2 fluctuate starting at diameters of 8 µm and 12 µm, respectively. 305 Compared to other hailstones, GY1 and GY2 were collected in remote areas, where is fields of rural areas dedicated to growing 306 crops near the south of the Gobi Desert. Therefore, GY1 and GY2 have a coarse mode of organics with particle diameters 307 larger than 12 µm, possibly might due to the emission of spring-wheat straw burning and unrestricted diesel engine vehicles. 308 The transport of coal combustion in surrounding cities may also contribute to the coarse mode organics. Among all cases, there 309 is a significant variance in the size distribution of both organics and dust. The number concentration of organics from a 310 hailstone embryo varied from 1 to 390 times, compared to those at the same particle diameter in hailstone embryos from 311 different cases. The number concentration of dust from a hailstone embryo varied from 1 to 527 times, compared to those at 312 the same particle diameter in hailstone embryos from different cases. The number concentrations of dust from BJ1, BJ2, and 313 GY1 are at least 3 times higher than organics in particles of the same diameter in the range of  $2-24 \mu m$ .

314 Moreover, dust showed a wider size distribution than organics and bioproteins among all samples. Dust from GY1 had a 315 higher number concentration and larger maximum size (42 µm) compared to other hailstone embryos. Hailstone samples with 316 high insoluble particle content, i.e., GY1 and GY2, showed significantly lower total column water vapor values and smaller 317 depth between freezing level height and orography within one hour before hailstorm occurrence, compared to other hailstones 318 (Table 1). The competition of condensation and relative shorter updraft pathway might be responsible for the high number 319 concentrations of organics, dust, and bioproteins in GY1 and GY2, as compared with other haistones. Bioprotein aerosols, 320 with high freezing efficiency, may have formed initial ice particles in GY1, GY2, and YT, while dust or organics formed initial 321 ice particle in hailstorms in the other five cases. All hailstone embryos contained organics and dust, but not all hailstone 322 embryos contained a significant amount of bioprotein aerosols. Due to limited comprehension of the transportation and 323 transformation processes of biological materials, it is challenging that to establish a definitive relationship between biological 324 protein particles and biological aerosols (Fröhlich-Nowoisky et al., 2016).

### 325 3.3 Size distribution in shells

326 Size distribution of each species varieded little in characteristics between outer shells with the embryos (Fig. 9). In a four-327 shell hailstone, the number concentrations of insoluble particles exhibited V-shaped distributions (BS and YT) or inverse V-328 shaped distributions (BJ1) from embryo to crust. Five of nine two-shell hailstones showed higher number concentrations of 329 dust in crusts than embryos, while seven of them showed higher number concentrations of organics in embryos than crusts. 330 Moreover, the quantification of differences in number concentration varied little among shells. The 90.5 % points showed that 331 differences in number concentration of the same kind particles in a shell compared to the previous shell at the same diameter 332 was within twice (294 data points in Fig. 9). This observation is attributed to the fact that the growth of hailstones beyond the 333 embryo stage relies on the accretion of supercooled water rather than ice crystals (Lamb and Verlinde, 2011). Consequently, 334 the hailstone recorded not only insoluble particles during the embryo formation, but also insoluble particle in the hailstone 335 growth zone throughout the hailstorm. As a result, the size distribution of particles within the entire hailstones may represent 336 the distribution of insoluble particles in deep convection regions where the hailstones went through.

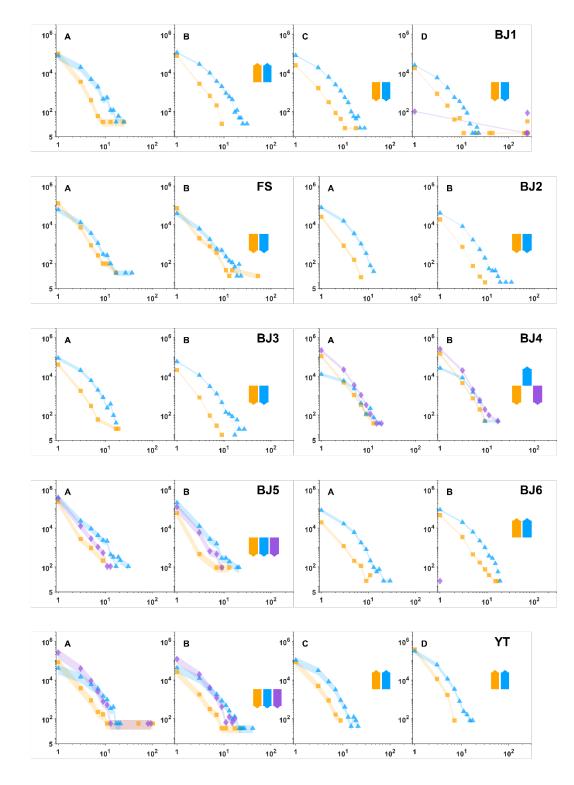
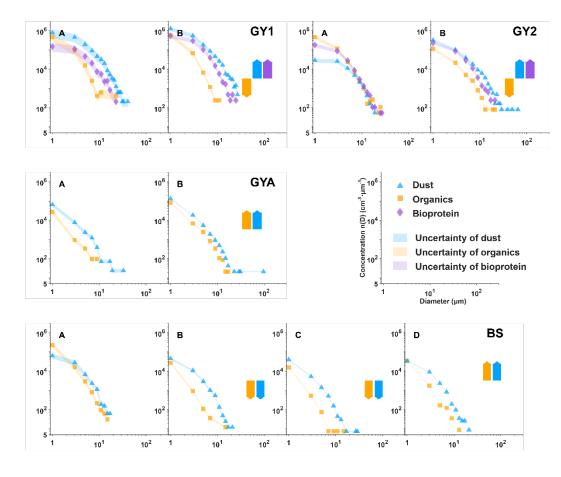


Fig. 9a. Size distribution of insoluble particles within the natural shells of 12 hailstones is represented. Blue triangles, orange squares, and purple diamonds are used to indicate dust, organics, and bioprotein aerosols, respectively. The natural shells are denoted alphabetically with capital letters (shell A refers to embryos, and shell B/D refers to the crust of hailstones). The arrow direction illustrates the tendency of particle number concentration in each layer compared to the previous shell. Shading is employed to indicate uncertainty. Detailed calculations are provided in the supplementary information. Abbreviations (corresponding to Table 1): BJ - BeiJing; BS - BaiSe; FS - FuShun; GY - GuYuan; GYA - GuiYAng; YT - YanTai.



346 Fig. 9b is a continuation of Fig. 9a.

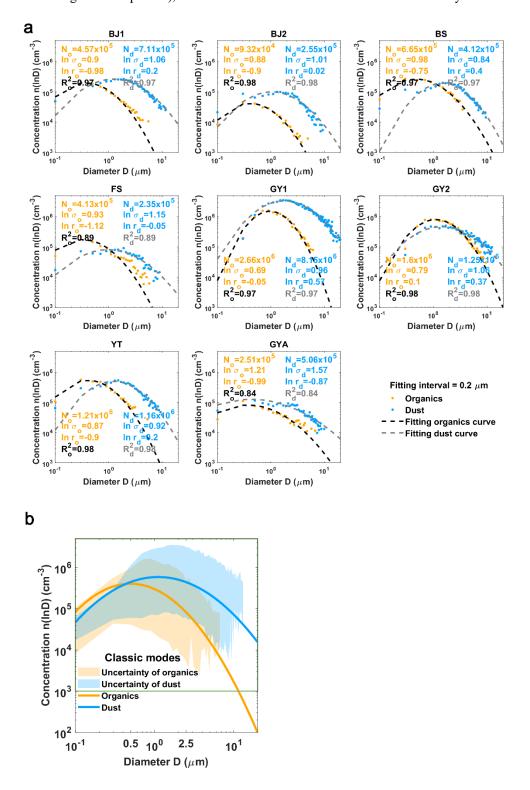
# 348 **3.4 Logarithmic normal distribution of dust and organics**

The size distributions of dust and organics in the whole hailstone can be described by a logarithmic normal distribution
(Fig. 10a) (Lamb and Verlinde, 2011):

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$$n(\ln D) = \frac{N}{\sqrt{2\pi} \ln \sigma_g} \cdot exp\left[-\frac{(\ln D - \ln D_g)^2}{2\ln^2 \sigma_g}\right], (D > 0.2 \ \mu m)$$
(17)

352 Where  $n(\ln D)$  is the number concentration of insoluble particles per cubic centimeter volume water ranging from  $\ln D - \frac{1}{2} d \ln D$  to  $\ln D + \frac{1}{2} d \ln D$ . Here, D represents the diameter of particles (in micrometers),  $\ln D_g$  is the geometric 353 354 mean diameter, and  $\ln \sigma_g$  is the geometric standard deviation (Lamb and Verlinde, 2011). The number of bioprotein aerosols was below the limit of detection in some samples, so that, only the curves of organics and dust were fitted. The fitting 355 356 parameters of the same species were aggregated in parameter space, and were suspected to be related to the physical properties 357 of each species, requiring further studies for confirmation. Moreover, the fitting parameters of organics and dust particles were 358 clustered into two centroids (Fig. 10b) by the K-means method, which indicated that organics and dust have two classic modes (classic mode of organics:  $\ln D_o = -0.70$ ,  $\ln \sigma_o = 0.91$ , and  $N_o = 9.19 \times 10^5$  cm<sup>-3</sup>; classic mode of dust:  $\ln D_d = 0.11$ ,  $\ln \sigma_d = 0.$ 359

= 1.07, and  $N_o = 1.59 \times 10^6 \text{ cm}^{-3}$ ). That is, insoluble organics in hailstones are usually smaller in diameter and present in lower amounts than dust. Regardless of fine or coarse particles ( $D < 0.5 \,\mu\text{m}$  in diameter were not considered in reference to DeMott et al. (DeMott et al., 2010)), the number concentration of dust was up to 2 orders of magnitude higher than the number concentration of organics. These observations indicated that dust accounted for the major portion of particles in eight hailstorms (no considering about bioprotein), which was consistent with the observations of embryos described above.



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Fig. 10: Fitting size distribution functions of organics and dust contained in the whole hailstone. (a) Fitting parameters of logarithmic

367 normal distributions of BJ1, BJ2, BS, FS, GY1, GY2, YT, GYA. (b) Classic modes of dust and organics (interval of data is 0.2 μm

and fitting curves painted with interval of 0.02  $\mu$ m). The fitting range of (a) is shown with a green rectangle. The centroid of the organics fitting parameter (orange line) is  $\ln \sigma_o = 0.91$ ,  $\ln D_o = -0.70$ , and  $N_o = 9.19 \times 10^5$  cm<sup>-3</sup>. The centroid of the dust fitting parameter (blue line) is  $\ln \sigma_d = 1.07$ ,  $\ln D_d = 0.11$ , and  $N_d = 1.59 \times 10^6$  cm<sup>-3</sup>. Shading showed uncertainty of organics and dust. Abbreviations (corresponding to Table 1): BJ - BeiJing; BS - BaiSe; FS - FuShun; GY - GuYuan; GYA - GuiYAng; YT - YanTai.

### 372 4 Conclusions

This was the first study to simultaneously analyze both the number concentrations and species (including organics, dust and bioproteins) of insoluble particles in hailstones. The findings from this analysis offer valuable insights into particle observations within severe storms. Understanding the number concentration and composition of these insoluble particles is crucial, as they play a significant role as ice-nucleating particles during the heterogeneous nucleation process in deep convection.

378 The size distribution of insoluble particles in hailstones from the same hailstorm showed less variation than those from 379 different hailstorms. One possible reason is that updrafts of hailstorms brought insoluble particles from local surfaces or 380 boundary layers into deep convective clouds. Moreover, almost all insoluble particles in hailstone embryos analyzed in this 381 study showed an exponential size distribution, which was consistent with the effects of gravity. The number concentrations of 382 organics and dust from different hailstone embryos differed up to 389 times and 526 times at the same diameter, respectively. 383 The changes in paticle concentration may lead to at leat one-order-of-magnitude viarance in ice-nucleating particle (DeMott 384 et al., 2010). Additionally, size distribution of insoluble particles varied in shells up to 27 times, which was much small than 385 differences with different hailstorms.

386 Two logarithmic normal distribution models were applied to fit the size distribution of organics and dust within hailstones, 387 providing a description of insoluble particles in the deep convection during hailstone formation. The analysis of the two classic 388 size distribution modes of insoluble particles indicated a significant presence of dust, without considering bioprotein. 389 Furthermore, a positive correlation exists between the number concentrations of insoluble particles and that of ice-nucleating 390 particles in hailstones, specifically for corresponding species (Ren et al., 2023, submitted, figure not shown). A further 391 measurement of ice-nucleating particles by drop-freezing experiments will establish the relationship between insoluble 392 particles and immersion ice-nucleating particles. Combination of these results with future experiments to determine the number 393 concentrations and species of particles from local observations will establish the relationship between surface observation and 394 ice-nucleating particles in deep convective clouds, which will lead to improvement of the parameterization of ice-nucleating 395 particles in both weather and climate models.

396 Nonetheless, two kinds of classic size distribution modes of organics and dust in hailstones were performed, but a more 397 robust classic mode required a larger number of samples. In future, for climate or weather models, the classic mode can be

- 398 assumed as the mean state to describe the characteristics of insoluble particles in supercooling water. In addition, this study
- did not attempt to parameterize bioprotein aerosols, because there was a great uncertainty in quantification due to poor
- 400 understanding of biological processes (Fröhlich-Nowoisky et al., 2016). Further collaborative studies are required to gain a
- 401 better understanding of biological processes to establish the classic bioprotein mode.

#### 402 Code availability

- 403 Self-organized maps algorithm is functions on MATLAB
- 404 <u>https://ww2.mathworks.cn/help/deeplearning/ref/selforgmap.html</u>
- 405 Random forest algorithm is functions on MATLAB
- 406 https://ww2.mathworks.cn/help/stats/treebagger.html?searchHighlight=TreeBagger&s tid=srchtitle TreeBagger 1
- 407 The 10-fold stratified cross-validation algorithm is functions on MATLAB
- 408 https://ww2.mathworks.cn/help/stats/cvpartition.html?searchHighlight=cvpartition&s\_tid=srchtitle\_cvpartition\_1
- 409 Identification algorithms are coded on MATLAB and will be made available on request.

### 410 Data availability

411 Data will be made available on request.

#### 412 Author contributions

Haifan Zhang wrote the original draft under the concept presented by Qinghong Zhang. Haifan Zhang, Xiangyu Lin and Chan-Pang Ng participated in preprocess and reservation of hailstones from volunteers. Haifan Zhang and Xiangyu Lin sliced hailstones using machine manufactured by Kai Bi and performed the experiments on analyzing element weight ratio of insoluble particles with help of Li Chen. Kai Bi also provided hailstones BJ2 ~ BJ6. Machine learning on identification of particles is operated by Haifan Zhang. Yangze Ren and Huiwen Xue compared ice nucleation particles from drop-freezing experiments with our data. Zhuolin Chang provided hailstones GY1 and GY2. All authors discussed and contributed to the final manuscript. Qinghong Zhang directed this project.

### 420 Competing interests

421 The authors declare no competing interests.

# 423 Acknowledgments

- 424 This study was supported by the National Natural Science Foundation of China (Grant Nos. 42030607 and 41930968),
- 425 the Innovation Project of the China Meteorological Administration (Grant No. CXFZ2021J038) and the Key R & D projects
- 426 in Ningxia Hui Autonomous Region (2022BEG02010). The authors thank Cai Yao from the Meteorological Bureau of
- 427 Guangxi, China in collecting hailstones BS in Guangxi. The authors thank volunteers in collecting hailstones. The authors
- 428 thank Prof. Jiwen Fan from Pacific Northwest National Laboratory of the United States for discussions.

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