Exploring aerosol-cloud interactions in liquid-phase clouds over eastern China and its adjacent ocean using the WRF-Chem-SBM model

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Abstract. This study aims to explore aerosol-cloud interactions in liquid-phase clouds over eastern China (EC) and its adjacent ocean (ECO) in winter based on WRF-Chem-SBM model which couples a spectral-bin cloud microphysics (SBM) and online aerosol module (MOSAIC) as well as the four-dimensional assimilation approach. The model evaluation demonstrates that data assimilation has an overall positive impact on the simulation, so the model generally reproduces the observed meteorological fields, aerosol, and cloud parameters. Differences in meteorological, aerosol, and topographic conditions lead to the discrepancies of aerosol-cloud processes between EC and ECO, and thus induce more and smaller cloud droplets in EC, and relatively less but large cloud droplets in ECO. Statistical analyses show that cloud droplet number concentration (N_d) increases and then decreases with aerosol number concentration (N_{aero}) in both EC and ECO, with the difference that the strong surface effects (surface longwave radiation cooling and terrain uplift) and intense updraft in EC produce some high N_d at high N_{aero}, while the abundant water vapor in ECO induce N_d to increase faster at low N_{aero} but the production of cloud droplets is suppressed at high N_{aero}. In precipitating clouds, cloud liquid water content (CLWC) increases with N_d and its increase rate gradually slows down, while in non-precipitating clouds, CLWC increases first and then decreases. Compared to ECO, EC is able to produce higher N_d and CLWC under abundant aerosol and supersaturation produced by multiple strong surface and atmospheric processes, and its inflection point N_d value at which CLWC begins to decrease in non-precipitating clouds is also higher. For aerosol-cloud relationship analyses, especially for samples over short time periods, column-based (vertical integration of the layers with liquid-phase clouds) statistics are less detailed and immediate than those based on individual vertical layers due to the inability to accurately match parameters based on the intensity of aerosol-cloud processes in each vertical layer and the effects of processes such as precipitation. Meteorological conditions suitable for EC cloud development include (1) moist air brought by strong easterly winds, (2) cooling and topographic uplift caused by strong northerly winds, and (3) strong updrafts. Meteorological conditions suitable for ECO cloud development include (1) aerosol-rich and not excessively dry airflow from moderate westerly wind, (2) cooling caused by northerly winds, and (3) updrafts. Compared to EC, ECO cloud development is more limited by cooling and humidification due to atmospheric motion (surface effects and

intense updraft in EC enable aerosol activation to occur also in the presence of significant warming or humidity reduction), while the higher water vapor content enables its high N_d to N_{aero} ratio (characterizing the intensity of aerosol activation) and CLWC to N_{aero} ratio (characterizing the speed of cloud development) to occur at higher temperatures than those of EC. High CLWC to N_{aero} ratio appears under similar meteorological conditions to high N_d to N_{aero} ratio, while it occurs more often at low N_{aero} conditions compared to moderate N_{aero} conditions where high N_d to N_{aero} ratio appears.

1 Introduction

Atmospheric aerosols have significant effects on the Earth's radiation balance, water cycle, and climate system through direct absorption and scattering of solar radiation as well as indirect effects on cloud formation and development by acting as cloud condensation nuclei (CCN) and ice nuclei (IN) (Carslaw et al., 2010; Wilcox et al., 2013; Tian et al., 2021). The latter, known as the aerosol indirect effect, or more recently by the Intergovernmental Panel on Climate Change (2013) defined as effective radiative forcing due to aerosol-cloud interactions, RF_{aci}, remains a challenging scientific topic in climate assessment and prediction because of its complex mechanisms and high uncertainties (Church et al., 2013; Jia et al., 2019a; Arias et al., 2021). Liquid-phase clouds offer great opportunities to untangle aerosol indirect effect due to their sheer abundance and impact on cloud radiative forcing (Christensen et al., 2016).

Twomey (1977) pointed out that under a constant cloud water content, the activation of atmospheric aerosol particles entering into clouds leads to an increase in cloud droplet number concentration (N_d), a decrease in droplet size, and an increase in cloud albedo. This mechanism, termed the aerosol first indirect effect, is revealed to be the key driver of aerosol indirect effect, besides, the rapid adjustments also contribute significantly (Quaas et al., 2020). Two key competing mechanisms exist in the latter, one of which is that an increase in N_d causes a decrease in precipitation efficiency and with this, a co-increase in cloud liquid water path (CLWP) and cloud fraction (CF), this mechanism dominates in precipitation clouds (Albrecht, 1989). The other mechanism dominates in non-precipitating clouds, i.e., with limited water content, the decrease in droplet size reduces sedimentation velocity and increases cloud-top liquid water content, resulting in additional cloud top cooling and pushing further entrainment and evaporation (Bretherton et al., 2007). Moreover, as cloud droplets decrease in size, their ratio of surface area to volume is higher and evaporation is faster, resulting in further enhancement of the negative buoyancy at cloud top (Small et al., 2009). Numerous studies have been conducted to assess the contribution of these three mechanisms. Statistical analysis based on satellite-retrieved data indicates that the CLWP of marine low clouds exhibits a weak decreasing trend with rising N_d caused by aerosol increase (Michibata et al., 2016; Rosenfeld et al., 2019). Gryspeerdt et al. (2019) found that CLWP is positively correlated with N_d at low N_d and droplet size greater than the precipitation threshold, i.e., delayed precipitation leads to increased CLWP. In contrast, for the clouds with high N_d and low possibility of precipitation, CLWP shows a negative correlation with N_d. In this case, the increase of aerosol leads to the decrease of cloud droplet size and the increase of N_d, which in turn accelerates the mixing and evaporation process and makes CLWP decrease. The CLWP response to aerosols differs clearly between precipitation and non-precipitating clouds because of the significant influence of

precipitation process on CLWP (Christensen and Stephens, 2012). CLWP has a significant positive correlation with the aerosol
index (AI) in precipitation clouds, and the opposite in non-precipitating clouds (Chen et al., 2014). Furthermore, the response
of CLWP to aerosol highly depends on meteorological conditions. Chen et al. (2014) indicated that CLWP and aerosol
concentration show a negative correlation when entrainment mixing exerts a marked impact on the cloud-side evaporation
process (which usually occurs under free troposphere with dry and unstable atmosphere), and this relationship shifts to positive
as the atmosphere becomes moist and stable. Such statistical analysis, however, suffers severely from retrieval uncertainties
(Arola et al., 2022). In turn, also "opportunistic experiments" such as the analysis of ship and pollution tracks hint at a decrease
in CLWP but an increase in cloud horizontal extent in response to aerosol increases (Toll et al., 2019; Christensen et al., 2022).
In spite of considerable efforts in recent researches to unravel aerosol-cloud interactions, it remains challenging to distinguish
and quantify underlying mechanisms of aerosol-cloud interactions under diverse air pollution and meteorological conditions.

In order to further resolve the mechanisms of aerosol-cloud interactions, the proper use of numerical simulations is
necessary. Current global climate models (GCMs) have difficulties in accurately representing the response of cloud to aerosol,
which is mainly due to (1) the limitation of coarse model resolution, (2) the absence of sufficient consideration of cloud droplet
spectral characteristics, and (3) the fact that most current GCMs parameterize the precipitation mechanism through the
autoconversion process as an inverse function of N_d, without accurate representation of entrainment-mixing processes (Quaas
et al., 2009; Bangert et al., 2011; Michibata et al., 2016; Zhou and Penner, 2017). Regional climate models (RCMs) with higher
resolution and finer physical parameterization can effectively compensate for at least some of these shortcomings and better
reproduce the physical processes, which help to further distinguish and quantify the aerosol-cloud interaction mechanisms (Li
et al., 2008; Bao et al., 2015). The Weather Research and Forecasting model (WRF) has been widely used in regional numerical
simulation studies because of its advanced technology in numerical calculation, model framework, and program optimization,
which has many advantages in portability, maintenance, expandability, and efficiency (Maussion et al., 2011; Islam et al.,
2015; Xu et al., 2021). The chemistry-coupled version of the WRF model (WRF-Chem) allows to simulate the spatial and
temporal distributions of reactive gases and aerosol, spatial transport and their interconversion while simulating meteorological
fields and atmospheric physical processes (Tuccella et al., 2012; Sicard et al., 2021). Bulk and bin approaches are commonly
utilized to simulate regional cloud microphysical processes. Bulk schemes diagnose the size distribution of hydrometeor based
on different predicted bulk mass (one-moment schemes) or number and mass mixing ratios (double-moment schemes) and
assumed size distribution, showing significant limitations in reproducing processes such as condensation, deposition and
evaporation (Lebo et al., 2012; Wang et al., 2013; Fan et al., 2015). Bin schemes predict the size distribution of hydrometeors
based on a number of discrete bins, enabling better representation of cloud microphysical processes. As stated by Khain et al.
(2015), previous studies have demonstrated that bin schemes outperform bulk schemes in simulations. The evaluation of WRF-
chem cloud microphysics by Zhang et al. (2021b) also showed that the bin scheme using the explicit approach reproduced the
aerosol-induced convection and precipitation enhancement that the bulk scheme using the saturation-adjusted approach failed
to model. In this study, the WRF-Chem-SBM model (Gao et al., 2016) is used, in which the Model for Simulating Aerosol
Interactions and Chemistry (MOSAIC) in WRF-Chem (Fast et al., 2006) is coupled with a spectral-bin microphysics (SBM)

scheme (Khain et al., 2004). In WRF-Chem-SBM, aerosol information is provided for cloud microphysical simulations, and cloud microphysical parameters are offered to aerosol-chemistry simulations, which are of great help to reproduce accurate aerosol and cloud conditions as well as to distinguish and quantify aerosol-cloud interaction mechanisms.

Eastern China (EC) is one of the most human-active regions worldwide, resulting in numerous anthropogenic aerosol emissions. The contrast between the high aerosol-content air masses of EC and the relatively clean air masses of the Pacific Ocean makes EC and its adjacent ocean (ECO) ideal regions for exploring aerosol-cloud interactions (Fan et al., 2012; Wang et al., 2015; Zhang et al., 2021a). It is shown that low clouds contribute the most to the Earth's energy balance due to their broad coverage and the albedo effect governing their impact on emitted thermal radiation (Hartmann et al., 1992). The statistics of Niu et al. (2022) using the satellite data from 2007-2016 show that low clouds in EC and ECO occur most frequently in winter, reaching more than 50%, with stratocumulus clouds, which are persistent and sensitive to aerosol variations (Jia et al., 2019b), constituting more than 70% of the low clouds. Therefore, the EC and ECO aerosol-cloud response in winter is an ideal condition to investigate aerosol-cloud interactions in liquid-phase clouds. Based on the WRF-Chem-SBM model, we investigate the aerosol-cloud interaction mechanisms of EC and ECO in winter by obtaining detailed and high-resolution aerosol, cloud parameters as well as meteorological information through reproduction of real scenarios.

The paper is structured as follows: Section 2 introduces the model configuration and observational data used in the study, Section 3 presents the evaluation of simulated results and the analysis of aerosol-cloud responses presented in the simulations, and the summary is given in Section 4.

2 Methods and Data

2.1 Simulation Setup

We performed model simulations using the WRF-Chem-SBM (Gao et al., 2016), in which the 4-bin MOSAIC aerosol module treats mass and number of nine major aerosol species, including sulfate, nitrate, sodium, chloride, ammonium, black carbon, primary organics, other inorganics, and liquid water (Zaveri et al., 2008). The diameters of 4 bins ranges from 0.039-0.156, 0.156-0.624, 0.624-2.5 and 2.5-10.0 μm, respectively, and aerosol particles are assumed to be internally mixed. This module is capable of treating processes such as emissions, new particle formation, particle growth/shrinkage due to uptake/loss of trace gases, coagulation, dry and wet deposition (Sha et al., 2019). In addition, this model incorporates the fast version of SBM, which solves a system of prognostic equations for three hydrometeor types (liquid drops, ice/snow and graupel) and CCN size distribution functions (Khain et al., 2010). Each size distribution is structured by 33 mass doubling bins (i.e., the mass of the particle in the kth bin is twice that of the k-1th bin). The cloud microphysical processes described in the SBM contain aerosol activation, freezing, melting, diffusion growth/evaporation of liquid drops, deposition/sublimation of ice particles, drop and ice collisions.

The model domain is shown in Fig. 1, and two-layer nested grids are employed. The parent domain (12 km resolution) have centroids and grid points of (32°N, 120°E) and 151×125, while the nested domains (4 km resolution) represent EC (160

130 × 160 grid points) and ECO (121 × 121 grid points), respectively. There are 48 vertical layers up to 50 hPa, with layer spacing extending from 40 m near the surface to 200 m at 3000 m altitude and over 1000 m above 10000 m altitude. The simulations run from 00:00:00 UTC on 1 Feb 2019 to 00:00:00 UTC on 13 Feb 2019, where the first 24 h are disregarded as spin-up and not involved in subsequent analyses. The model outputs once per hour. Meteorological initial and boundary conditions are obtained from the National Center for Environmental Prediction (NCEP) FNL global reanalysis data with 0.25° resolution and

135 available every 6 h (https://rda.ucar.edu/datasets/ds083.3, last access: 11 October 2023), chemical initial and boundary conditions from Community Atmosphere Model with Chemistry (Emmons et al., 2020), and anthropogenic emission sources come from the Multi-resolution Emission Inventory for China (MEIC) 2016 version developed by Tsinghua University (http://meicmodel.org.cn, last access: 19 March 2023). As presented in Fig.1, the anthropogenic aerosols of EC and ECO are dominated by EC under winter monsoon, although the model domain contains countries and regions other than China, MEIC

140 can satisfy the anthropogenic aerosol simulation of the region concerned in this study. The model parameterization settings are listed in Table 1. Using these configurations, EC and ECO simulations require around 15,000 and 10,000 CPU~~UP~~ core-hours, respectively.

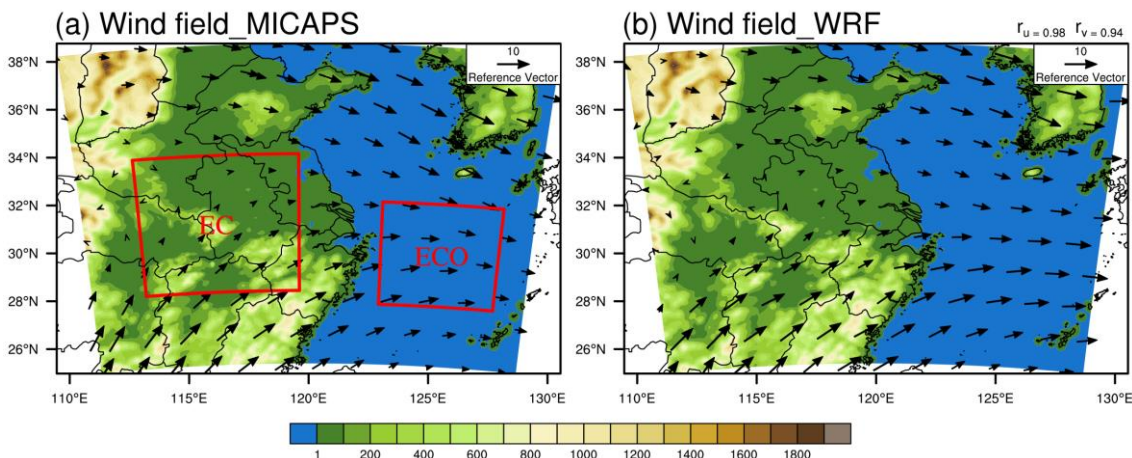



Figure 1. Topography (unit: m) of the model domain, MICAPS (a) and assimilated simulated (b) 850 hPa wind fields (unit:

145 m·s^{-1}) during the simulation period and their correlation coefficients of u and v components (r_u、 r_v) given in the upper right corner

Table 1. Model parameterization settings

Process	Number	Name
Longwave radiation	4	RRTMG (Mlawer et al., 1997)
Shortwave radiation	5	Goddard (Zhong et al., 2016)
Surface layer	1	MM5 Monin-Obukhov (Pahlow et al., 2001)
Land surface	2	Unified Noah (Chen et al., 2010)

Boundary layer	1	YSU (Shin et al., 2012)
Chemistry and aerosols	9	CBMZ and 4-bin MOSAIC (Sha et al., 2022)
Photolysis	2	Fast-J (Wild et al., 2000)
Sea salt emission	2	MOSAIC/SORGAM (Fuentes et al., 2011)
Dust emission	13	GOCART (Zhao et al., 2010)
Biogenic emission	3	MEGAN (Guenther et al., 2006)

2.2 Four-dimensional data assimilation

The accuracy of the meteorological field is crucial to reproduce realistic aerosol-cloud interaction, and thus a four-dimensional data assimilation approach is used in both parent domain and nested domains to improve the simulated meteorological field. This approach utilizes relaxation terms based on the model error at observational stations to make the simulated meteorological fields closer to reality (Liu et al., 2005), thus exerting positive effects on the simulation of atmospheric physical and chemical processes (Rogers et al., 2013; Li et al., 2016; Ngan and Stein, 2017; Zhao et al., 2020; Hu et al., 2022). The data used for assimilation are obtained from the NCEP operational global surface (https://rda.ucar.edu/datasets/ds461-0, last access: 19 March 2023) and upper-air (https://rda.ucar.edu/datasets/ds351-0, last access: 19 March 2023) observation subsets, which contain meteorological elements such as altitude, wind direction, wind speed, air pressure, temperature and dew point.

2.3 Observational data

We use multiple observational data to assess the ability of the model to reproduce meteorological fields, aerosol and cloud parameters. Precipitation data is taken from the Integrated Multi-satellitE Retrievals for GPM (IMERG) dataset (Huffman et al., 2019), of which the daily accumulated high quality precipitation product (0.1° resolution) is used in this study (https://disc.gsfc.nasa.gov/datasets/GPM_3IMERGDF_06/summary?keywords=Precipitation, last access: 30 May 2023). Other meteorological variables are obtained from the Meteorological Information Comprehensive Analysis and Process System (MICAPS) developed by the National Meteorological Center (NMC) of China (http://www.nmc.cn, last access: 19 March 2023), with 12 h temporal resolution and 11 vertical layers, containing meteorological elements such as wind field, height, temperature and temperature dew point difference. Near-surface $PM_{2.5}$ data are obtained from the National Urban Air Quality Real-time Release Platform of China National Environmental Monitoring Centre with 1 h temporal resolution (https://air.cnemc.cn:18007, last access: 19 March 2023). The cloud optical depth (AOD) data is obtained from the Moderate Resolution Imaging Spectrometer (MODIS) MOD04_L2 dataset (Levy et al., 2015), of which the AOD product combining the "Dark Target" and "Deep Blue" algorithms with 10km resolution is used in this study (https://ladsweb.modaps.eosdis.nasa. gov/search/order/1/MOD04_L2--61, last access: 19 March 2023). The cloud parameters including cloud droplet effective radius (CER), cloud optical thickness (COT), CLWP and cloud phase data at 1 km resolution, as well as cloud top temperature

(CTT) at 5 km resolution (https://ladsweb.modaps.eosdis.nasa.gov/search/order/1/MOD06_L2--61, last access: 19 March 2023), are obtained from the MODIS Level-2 Cloud (MOD06_L2) product (Platnick et al., 2015). The CER, COT and CLWP are retrieved from 2.1 μm wavelength, which is the default value in the product (1.6 μm and 3.7 μm wavelength retrievals are also available).

Spatial correlation analysis (Pearson product-moment coefficient), Pearson linear correlation analysis, and root mean square error (RMSE) are used to assess the spatial and temporal correlations of the simulated and observed values as well as the error of the simulated values relative to the observations, respectively. To calculate these parameters, it is necessary to unify the spatio-temporal coordinates of the simulated and observed data. Specifically, MODIS (1-10 km resolution) and IMERG (0.1° resolution) data are interpolated to the WRF grid (12 km resolution) when comparing the model to satellite data, and WRF simulations are interpolated to the MICAPS grid (2.5° resolution) when comparing the model to MICAPS data.

Some screening criteria are applied to MODIS-retrieved cloud variables to make sure liquid clouds are selected (Saponaro et al., 2017), i.e., (1) selecting only liquid-phase cloud parameters and (2) filtering out transparent-cloudy pixels (COT < 5) to limit uncertainties (Zhang et al., 2012). The same filtering also applied to WRF-Chem model results when we evaluate the simulations against MODIS data. Cloud droplet number concentration N_d is calculated according to the approach of Brenguier et al. (2000) and Quaas et al. (2006):

$$N_d = \gamma \cdot COT^{0.5} \cdot CER^{-2.5} \tag{1}$$

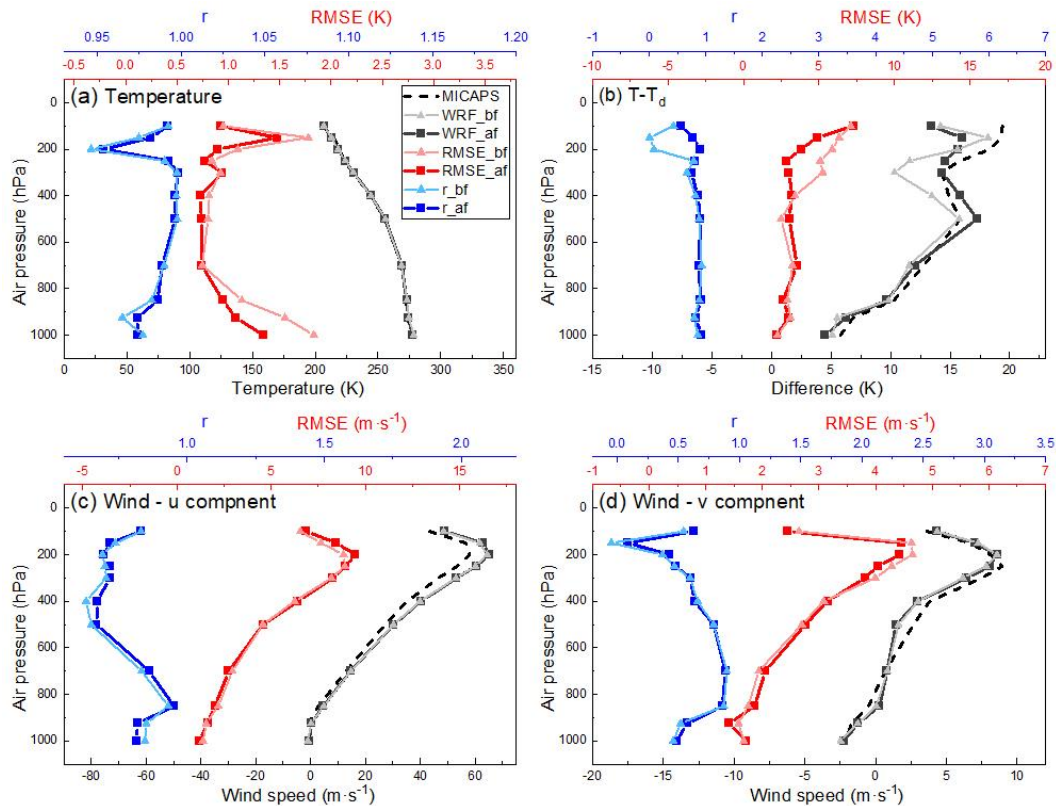
where γ is an empirical constant with the value of 1.37×10^{-5} m^{-5}, and COT and CER are obtained from MODIS. Moreover, due to the discontinuity of MODIS data, we matched the simulated data with MODIS data in spatio-temporal coordinates for evaluation (i.e., the simulated value is valid only when the MODIS data is valid in that spatio-temporal coordinate, otherwise the simulated value is set as the missing and does not participate in the calculation). Due to the differences in satellite retrievals and model parameterization, the simulated liquid-phase clouds are often defined based on certain thresholds when comparing with satellite-retrieved data, e.g. Roh et al. (2020) classified the clouds with CLWC > 1 mg m^{-3} and cloud ice water content (CIWC) < 1 mg m^{-3} as liquid-phase clouds. In this study, based on the selection of column COT $\geqslant$ 5 that matched with MODIS filtering, the vertical layers (48 layers in total) with cloud optical thickness for water (COTW) > 0.1 and cloud optical thickness for ice (COTI) < 0.01 at each grid point and each time are selected as liquid-phase cloud layers, and the highest layer meeting this condition is defined as the simulated cloud top (this filtering is only used for comparison with MODIS data, and the analysis of aerosol-cloud interactions in liquid-phase clouds in this study is strictly limited to CLWC > 0 and CIWC = 0).

3 Results and Discussion

3.1 Evaluation of simulation result

Due to limitations in the resolution of observational data (e.g., MICAPS gridded upper-air meteorological field data with a resolution of 2.5°) and data availability (e.g., only terrestrial near-surface observations of PM$_{2.5}$ are available), we utilized

205 outer domain simulations when evaluating the model results. For aerosol-cloud analysis in Section 3.3 and beyond, we employed finer inner domain simulations.

Four-dimensional data assimilation directly impacts the simulations of meteorological fields (temperature, pressure, humidity and wind), and thereby aerosol and cloud. Figure 2 presents the vertical distribution of meteorological variables from the simulations and observations, as well as the RMSE and spatial correlation coefficients of the simulations relative to
210 observations at each layer. As the complexity of atmospheric physical and chemical processes and data errors resulted from processes such as observation and interpolation, the assimilation exerts some positive effects on the simulated meteorological field, but also increases the difference between some of the simulated variables and the observations. Assimilation effectively improves the correlation between simulated and observed temperatures, dew point depression, middle level zonal wind, and meridional wind, while reducing the RMSE of simulated and observed temperatures, upper level dew point depression, and
215 lower and upper level meridional winds. At the same time, however, it also weakens the correlation between the simulated and observed low-level zonal winds, and increases the RMSE of the simulated and observed mid-level dew point depression, upper-level zonal winds, and mid-level meridional winds. But overall, the assimilation is positive and provides effective help in exploring aerosol-cloud interactions.

220 **Figure 2.** MICAPS and simulated average temperature (a) and dew point depression (b) as well as u (c) and v (d) components of wind during the simulation period (black lines) as well as RMSE (red lines) and spatial correlation coefficients (blue lines)

between observations and simulations before and after assimilation for each vertical layer (subscripts "_bf" and "_af" represent simulation before and after assimilation)

225   Assimilation exerts indirect influences on precipitation, aerosol emission (mainly natural aerosols such as dust and sea salt), transport, and deposition. The RMSE of simulated and observed precipitation (Figs. 3a-c) is reduced by 61.5% after assimilation. In terms of aerosol spatial distribution (Figs. 3d-f), the model reasonably reproduces the MODIS AOD distribution, and there is no significant difference in the simulated average AOD before and after assimilation. To further evaluate the effect of assimilation on the simulation of aerosol temporal variations, 16 stations with relatively continuous

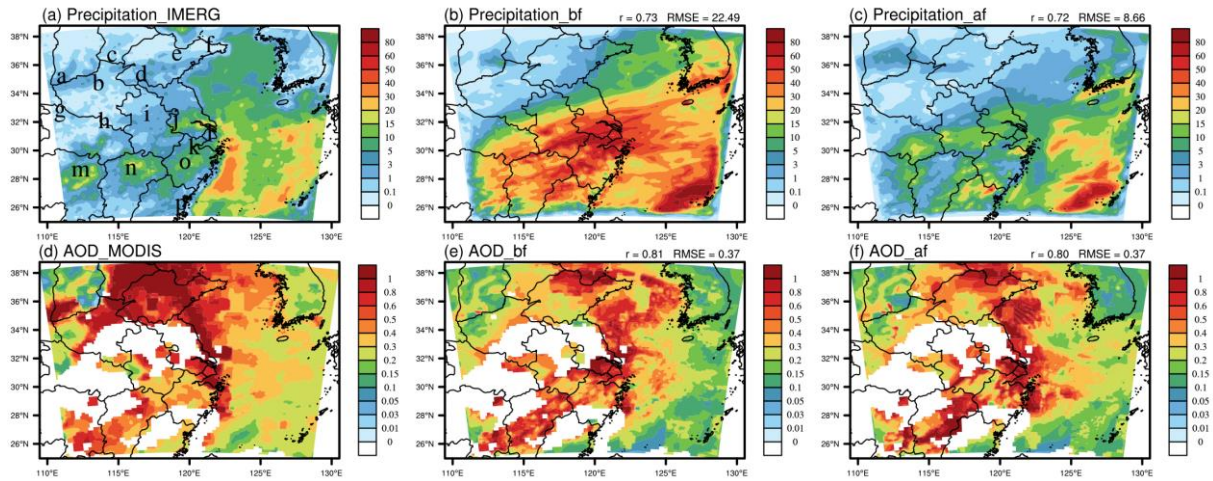
230 observation (Fig. 3a) are selected evenly from the model domain (Fig. 4). In general, the simulations before and after assimilation both reasonably reproduce the temporal variation of near-surface $PM_{2.5}$, and the correlation between simulated and observed $PM_{2.5}$ at all stations pass the test at 99% significance. But with assimilation, the simulated $PM_{2.5}$ concentrations are generally closer to the observations, and the correlation coefficients between the simulated and the observed have increased in 13 of the 16 stations, while the average correlation coefficient of the 16 stations has increased from 0.63 to 0.69.

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Figure 3. Distributions of accumulated precipitation (unit: mm, a-c) and average AOD (dimensionless, d-f) during the simulation period from the observation as well as before and after assimilation of the meteorological fields (r and RMSE at the up-right corner represent the spatial correlation coefficient and root mean square error of the observed and the simulated, respectively, where RMSE is in the same unit as the variable in the figure. The subscripts "_bf" and "_af" in the subfigure

240 captions have the same meaning as in Fig. 2. The markers a-p in Fig. 3a represent the locations of the stations in Fig. 4)
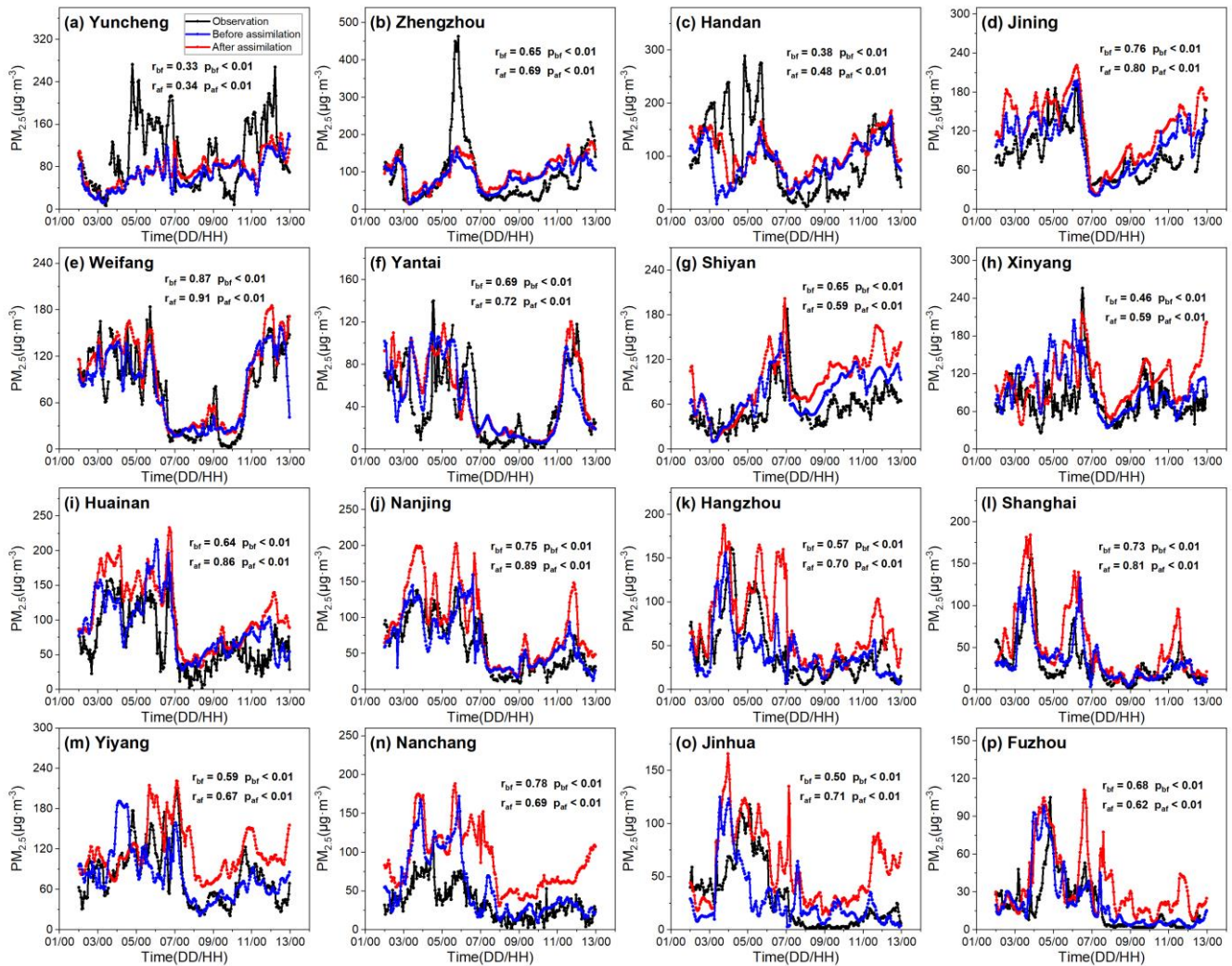
Figure 4. Temporal variations of near-surface PM$_{2.5}$ observed (black line) and simulated before (blue line) and after (red line) assimilation of meteorological fields, at each site (the r and p values represent the correlation and significance of the observation and simulation, respectively, and subscripts "bf" and "af" have the same meaning as in the previous figure)

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Figure 5 presents the simulated cloud parameters before and after assimilation and compared with MODIS. It is seen that the model without assimilation generally reproduces the spatial distribution of MODIS cloud parameters, but with some overestimation for CER and COT and some underestimation for N$_d$. Compared with MODIS, the simulation with assimilation produces overall higher N$_d$ and lower CLWP over land but more reasonable CER and its distribution. The model also

250 reasonably reproduces the spatial distribution of MODIS-retrieved COT and CTT, which is important to our analysis presented below.
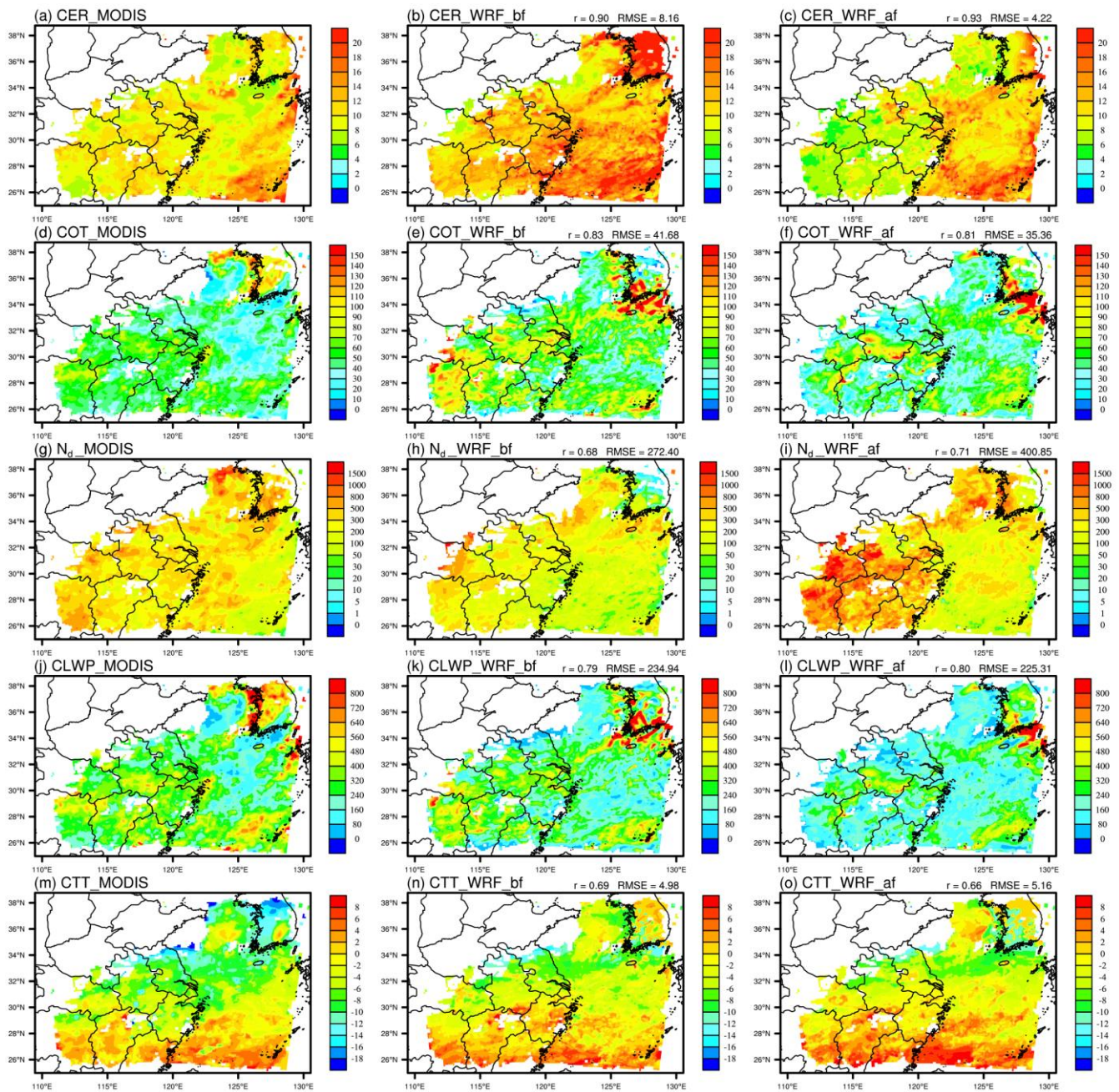
Figure 5. Spatial distribution of average CER (a-c, in μm), COT (d-f, dimensionless), N_d (g-i, in cm^{-3}), CLWP (j-l, in g·m^{-2}) and CTT (m-o, in °C) from MODIS and WRF simulation before and after assimilations (The r and RMSE in the upper right corner and the subscripts "_bf" and "_af" in the subfigure captions have the same meaning as in the previous figure)

Based on the model samples matched with the spatio-temporal coordinates of MODIS valid values, we further evaluate

the ability of the model to reproduce the satellite-retrieved CLWP-N_d relationship (Figure 6). It is found that the simulation with assimilation generally reproduces the increase-decrease-increase variation in CLWP with N_d although the model

260 underestimates CLWP at low N_d as shown by MODIS. The correlation between the simulation and the MODIS CLWP passes the test at the 99% significance level ($p<0.01$). In contrast, the simulation before assimilation fails to reproduce the above mentioned CLWP-N_d relationship.
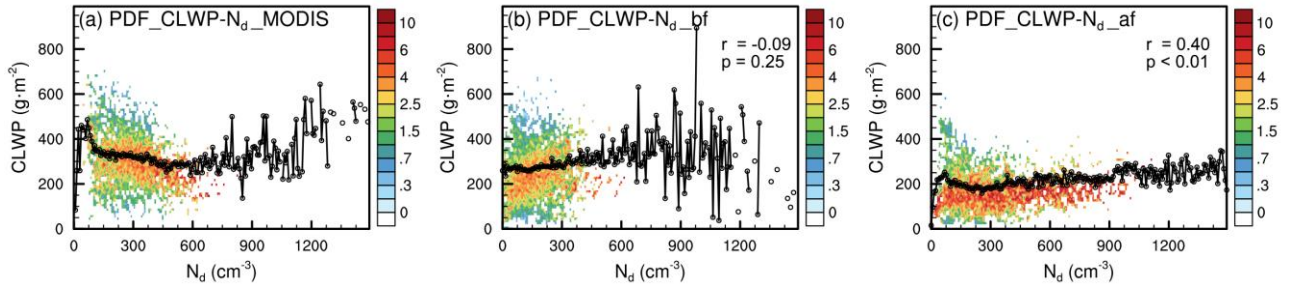
Figure 6. CLWP-N_d relationship of MODIS (a) and simulated before (b) and after (c) assimilation (all samples are assigned

265 into 200 × 100 bins, with each N_d value corresponding to 100 CLWP bins, and the colored dots in the figure represent the number of samples in that CLWP bin as a percentage of all the samples corresponding to that N_d value, i.e., each N_d value corresponds to a total of 100% of the colored dot values. The black line in the figure represents the average of all samples corresponding to each N_d value)

270 **3.2 Aerosol and cloud droplet distribution in EC and ECO**

The aerosol physical and chemical processes, aerosol-cloud interactions, and consequent aerosol and cloud droplet distribution in EC and ECO (Fig. 7) exhibit distinct discrepancies due to the differences in aerosol properties, topography, and meteorological fields. EC aerosols are mainly primary and secondary aerosols produced by anthropogenic emissions (Fig. 8a), with small initial particle size. Under the influence of strong surface effects (surface longwave radiation cooling and terrain

275 uplift) and intense updrafts, these small particles can be activated into cloud droplets, but the limited water vapor hinders further growth of cloud droplets. ECO aerosols are mainly transported from EC (as shown in Fig. 8b, ECO's locally emitted chloride and sodium aerosols contribute less than 20% of the total aerosol mass), so that most aerosols in ECO are anthropogenic aerosols with mostly easily transportable small particles, while with relatively more large particles compared to EC due to sea salt contribution. In addition, the abundant water vapor in ECO provides favorable conditions for aerosol

280 activation and cloud droplet growth, with much more cloud droplets above 8 μm radius than that in EC though the total cloud droplet number is lower than that in EC.
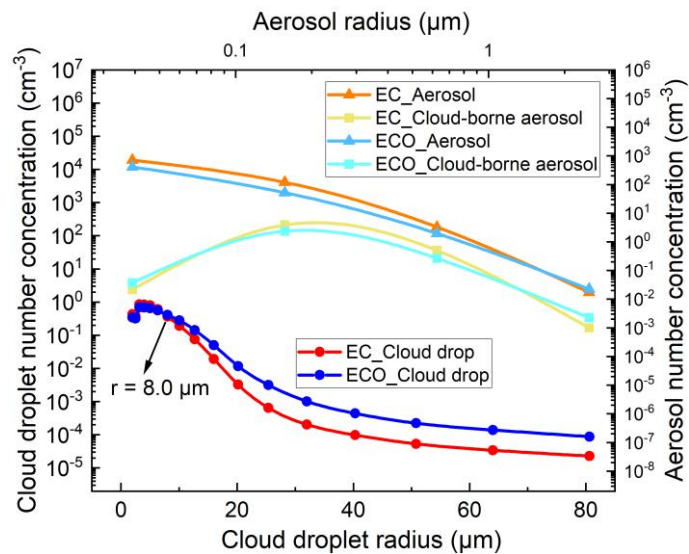
Figure 7. Size distributions of cloud droplets, total aerosols and activated (cloud-borne) aerosols in liquid-phase clouds of EC and ECO (in order to obtain the spectral distributions, the aerosols and cloud droplets number concentrations of each bins were first vertically weighted averaged into three-dimensional data containing only time, longitude, and latitude, and only the vertical layers of the liquid-phase cloud were weighted averaged, i.e., the layers with CIWC>0 were excluded from the calculations. Subsequent direct averaging of the three-dimensional number concentrations in each bins obtained the values in figure)
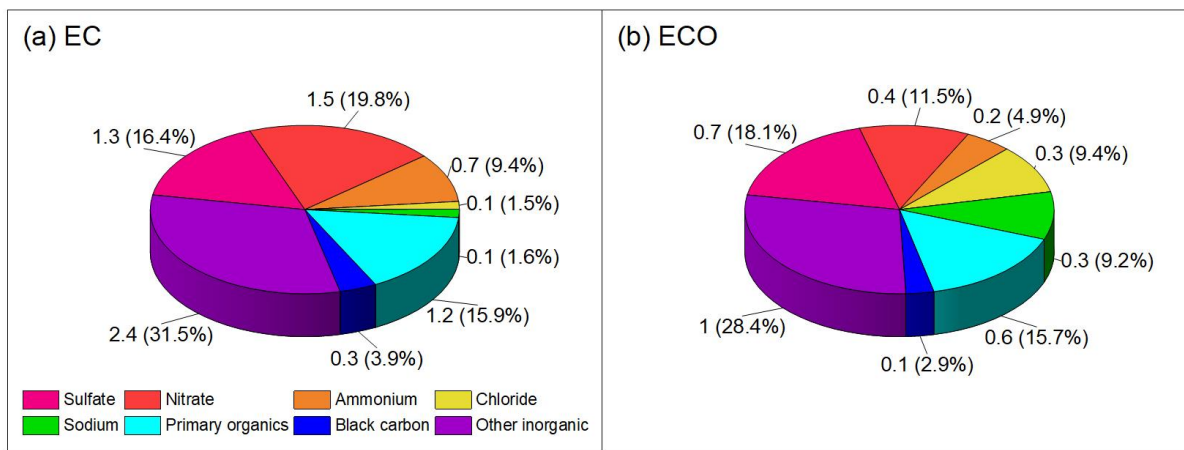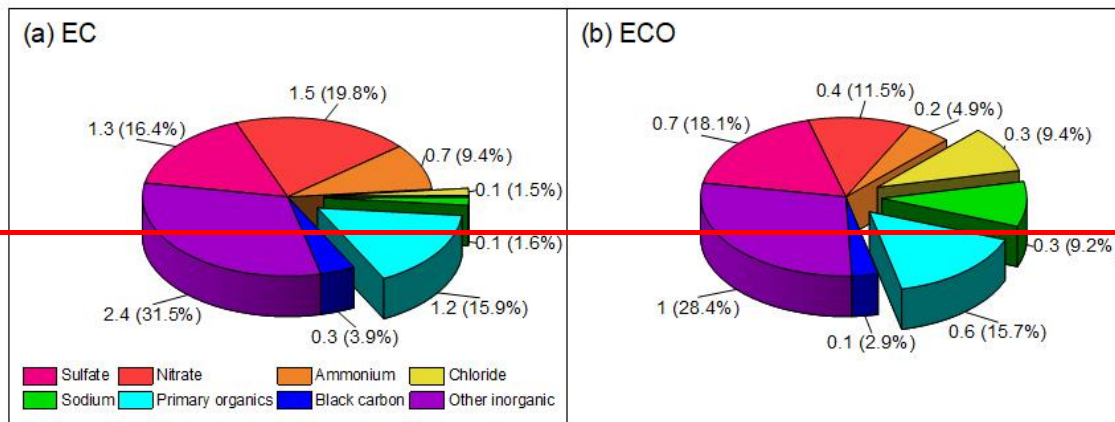
Figure 8. Average concentration (in μg·m^{-3}) and percentage of each type of aerosol in EC and ECO during the simulation period (the concentration is a vertically weighted average of each type of aerosol)

EC aerosol mainly originates from surface emissions, so its number concentration (N$_{aero}$) gradually decreases from surface to upper layer (Fig. 9a), while ECO aerosols are mainly transported from EC, so N$_{aero}$ hotspot in ECO is located at the transport altitude near 1800 m above sea level (Fig. 9b). In addition to aerosol number and size, atmospheric supersaturation is a determinant of aerosol activation. In EC, the main contributing factors to supersaturation include (1) atmospheric convection, which acts mainly in the areas with relatively strong updrafts and high water vapor content below 4000m altitude. Above 4000 m, the lack of water vapor makes it difficult to supersaturate even with strong updrafts (Fig. 9e, i and k). (2) Water vapor and temperature changes caused by advection, which mainly work in the region of high water vapor content at tens of meters to 1000 m above surface. (3) Long-wave radiative cooling at surface, which acts mainly at night or early morning (Fig. S1), leads to a high supersaturation of the atmosphere (the disappearance of this effect during the daytime makes the temporal average supersaturation near surface relatively low). The high aerosol concentration and supersaturation makes the high N$_d$ near surface (Fig. 9c). (4) Topographic uplift, the forced uplift of topography makes the atmosphere more susceptible to becoming supersaturated. In ECO, convection and advection are main influncing factors for supersaturation. Due to the abundant water vapor content, even though vertical convection is weak, the relatively strong updraft area near 28°N at 2000-4000 m elevation generates much higher supersaturation than the EC (Figs. 9e-f and i-l).
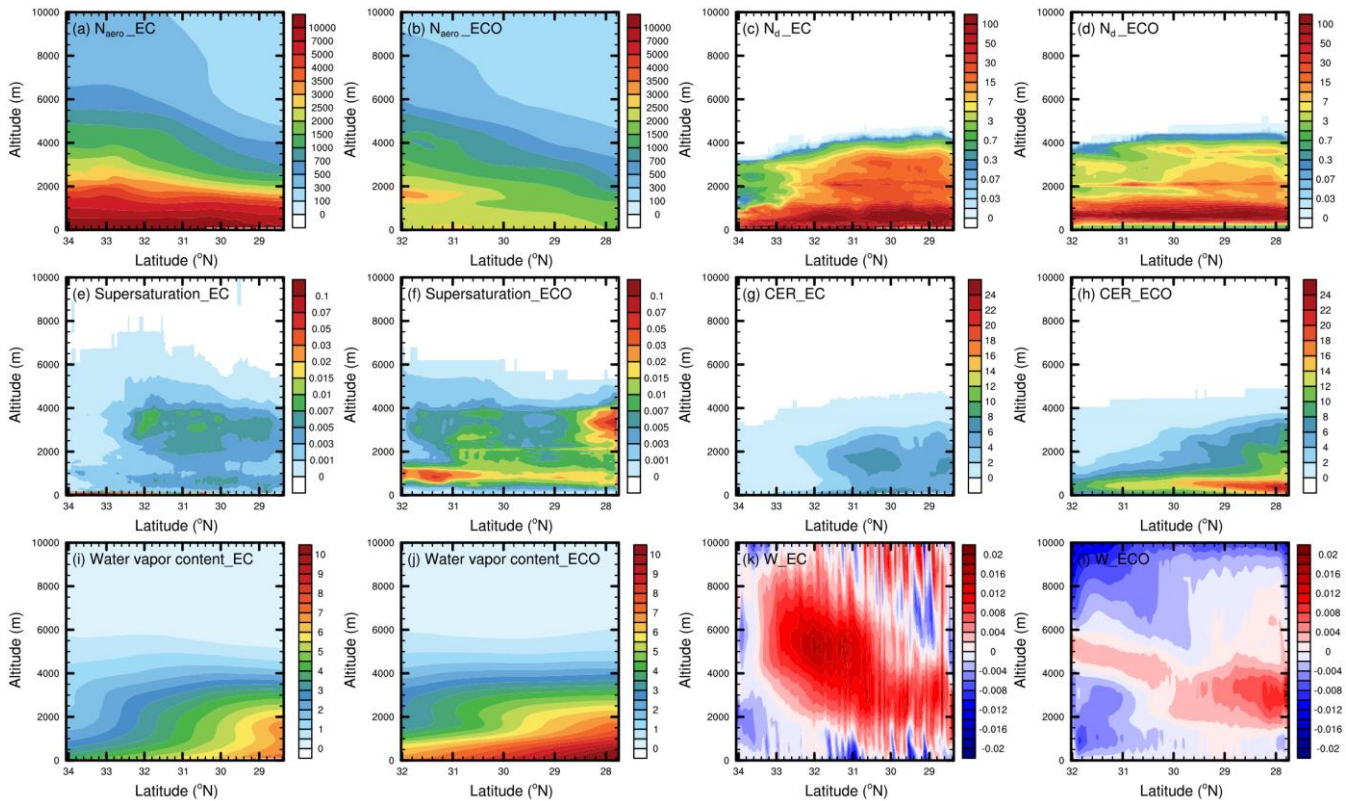
Figure 9. EC and ECO aerosol number concentration (in cm^{-3}, a-b), N_d in liquid-phase cloud (in cm^{-3}, c-d), atmospheric supersaturation (in %, e-f), CER (in μm, g-h), water vapor content (in g·m^{-3}, i-j) and vertical wind speed (in m·s^{-1}, k-l) distributions (this figure presents the latitude-averaged variables vary with the height. For N_d, supersaturation, and CER, we first filtered out the grid points with CIWC higher than 0. The lower limit of supersaturation value used in this study is 0. Even if the atmosphere is not saturated, the supersaturation value is 0 rather than a negative value. The average supersaturation characterizes the overall intensity of supersaturation in EC and ECO during the simulation period)

3.3 Aerosol activation of liquid-phase clouds in EC and ECO

To explore the responses of clouds to aerosols and their influencing factors, we perform a statistical analysis on aerosols, clouds and meteorological elements for the grid points with liquid-phase cloud (i.e. $N_d > 1$ cm^{-3}, CIWC = 0 and supersaturation > 0) at each time. The statistics are based on each vertical layer and the column (vertical integration of layers with liquid-phase clouds), respectively, with the former providing abundant samples and more immediate and detailed aerosol-cloud relationships, and the latter facilitating relevant studies to compare it directly with information such as satellite retrievals.

Aerosol activation is the first step of aerosol-cloud interaction, and we analyze the variation of N_d with aerosol (Fig. 10) based on the statistics for each vertical layer and for the column, respectively. At low N_{aero}, aerosols promote cloud droplet increase by acting as CCN (Figs. 10a-b). As aerosols and cloud droplets increase, more small aerosols (Figs. 10e-f) heighten

the requirement of atmospheric supersaturation for aerosol activation, and the consumption of water vapor from cloud droplet
growth makes it more difficult for the atmosphere to reach supersaturation, thus suppressing aerosol activation. As shown in Fig. 10a-b, N_d in both EC and ECO exhibit the general trend of increasing first and then decreasing with increasing N_{aero}, but there are some differences between EC and ECO. In EC (Fig. 10a), strong surface effects and updrafts as well as abundant aerosols allow N_d to maintain a more persistent trend. In addition, aerosol activation is not suppressed in the near-surface areas with high aerosol concentration, and aerosols can still be activated at high supersaturation (Fig. 10i) caused by the effects of longwave radiative cooling (the diurnal variation of this effect is also one of the main reasons for the fluctuation of N_d with N_{aero}), terrain uplift (the high topographic gradient areas where this action takes effect are also usually characterized by aerosol accumulation), and relatively high water vapor content (Fig. 10m) near surface. In ECO, the absence of surface effects as in EC and weaker updrafts limit its supersaturated water supply, and the supersaturation (Fig. 10f) shows a more pronounced and synchronized variation with variation in ambient water vapor content (Fig. 10n) and decreases rapidly with increasing N_{aero} after N_d peak. After N_d reaches its peak, the increase in small aerosols and the decrease in supersaturation prevent N_d from continuing to increase and N_d starts to show a decreasing trend, without fluctuations like EC. Unlike the statistics for each vertical layer, the statistics for the column show that N_d exhibits an increase with AOD followed by a general maintenance (Figs. 10c-d). In EC, the maintenance is mainly due to a higher percentage of easily activated large particles at high AOD (Fig. 10g), while in ECO it is mainly due to an overall higher supersaturation (Fig. 10l) associated with the abundant water vapor (because column sampling cannot accurately match aerosol-cloud related variables in vertical coordinates based on the intensity of cloud processes, column sampling exhibits a less immediate and precise relationship between supersaturation and water vapor content than sampling of each vertical layer. So in terms of column statistics, although ECO water content is close to EC, its average supersaturation is much higher than that of EC).
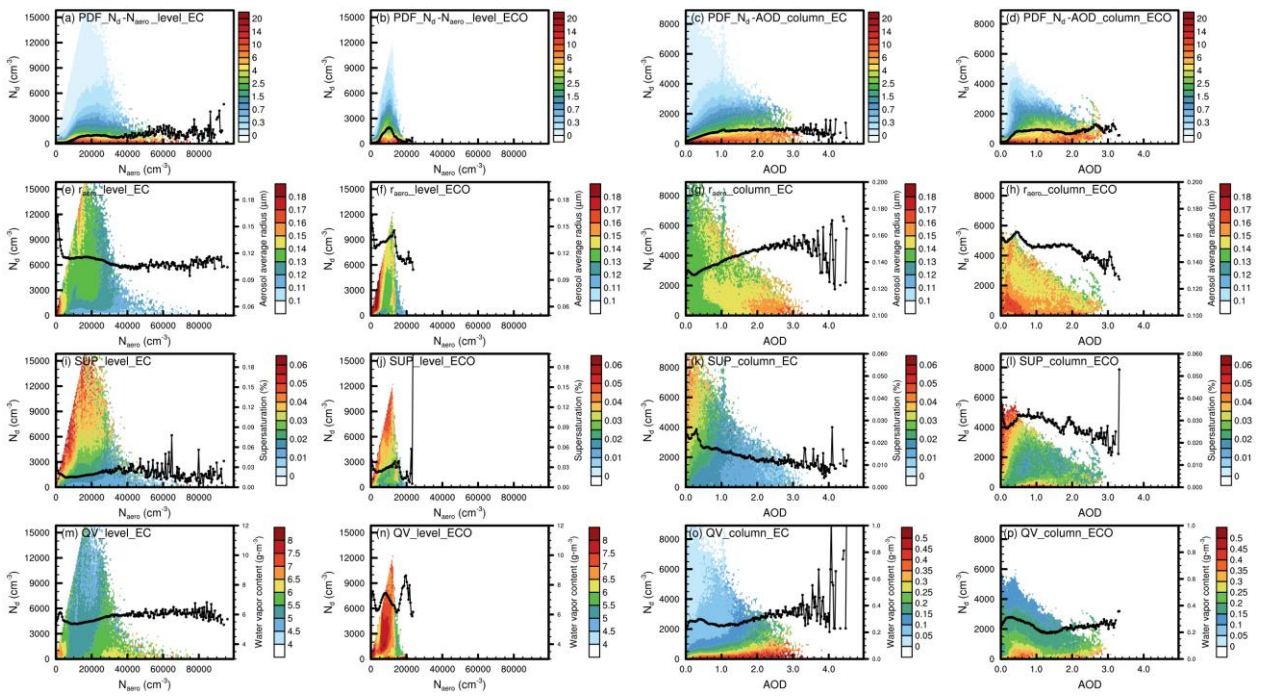
Figure 10. N_d varies with aerosol (a-d, the unit for colored dots is %, while the unit for the black lines is the left vertical axis unit), as well as the aerosol volume average radius (e-h, the colored dots and black lines of these figures and all subsequent figures correspond to the units on the right vertical axis unit), supersaturation (i-l) and water vapor content (m-p) varies with N_d and aerosol in EC and ECO based on statistics at each vertical layer (two columns on the left) and column (two columns on the right), respectively (samples were taken from each time and each grid point, and each sample contained N_{aero} or AOD, N_d, aerosol volume mean radius, supersaturation, and water vapor content values. These samples were placed into 200 aerosol $\times$ 100 N_d bins according to the interval in which their N_{aero} or AOD values and N_d values were located. The colored dots in Figs. a-d represent the proportion of that bin's sample number to the total number of samples of the 100 bins corresponding to the N_{aero} or AOD interval, i.e., the total value of all colored dots corresponding to each N_{aero} or AOD interval is 100%. The black lines in Figs. a-d represent the average N_d of all samples at the corresponding N_{aero} or AOD intervals. The colored dots in Figs. e-p represent the average values of the variable for all samples in the bin corresponding to the aerosol and N_d intervals. The black lines in Figs. e-p represent the average value of this variable in all samples corresponding to this aerosol interval)

To investigate the influence of meteorological conditions on aerosol activation, a statistically analysis on the variation of N_d to N_{aero} ratio (characterizing the intensity of aerosol activation) with N_{aero} for different zonal wind speed (U), meridional wind speed (V), vertical wind speed (W), temperature, water vapor content, as well as changes in temperature and water vapor per hour ~~temperature and water vapor changes at that time compared to the last time (the model outputs once per hour, the changes are the values of the current time minus the previous hour)~~ are presented in Fig. 11. In EC, high N_d to N_{aero} ratio occurs when the zonal wind speed < -6 m·s^{-1} or meridional wind speed < -7 m·s^{-1}, the former due to large amounts of water vapor

from the ocean brought by easterly winds (Fig. S2b) and the latter due to cold air brought by northerly winds (Fig. S2a) and uplift caused by the south high and north low topography in EC (Fig. 1). The overall high ratio is exhibited at relatively high vertical wind speeds, but aerosol activation is also found when the vertical airflow is weak or dominated by downdraft due to the influence of advection at tens of meters to 1000 m above surface, topographic uplift, and long-wave radiative cooling at surface. High N_d to N_{aero} ratio in EC mainly occurs at low temperatures and low humidity conditions, which is due to the fact that the temperature and humidity horizontal gradients are essentially the same (Fig. S2), and EC with low overall water vapor content is more likely to reach supersaturation at both low temperature and water vapour content, and becomes increasingly difficult to reach supersaturation when the temperature and humidity are simultaneously increased. The increase in water vapor and decrease in temperature contribute to EC aerosol activation, but the strong surface effects and updrafts enable aerosol activation to occur even at significant warming or humidity reduction.
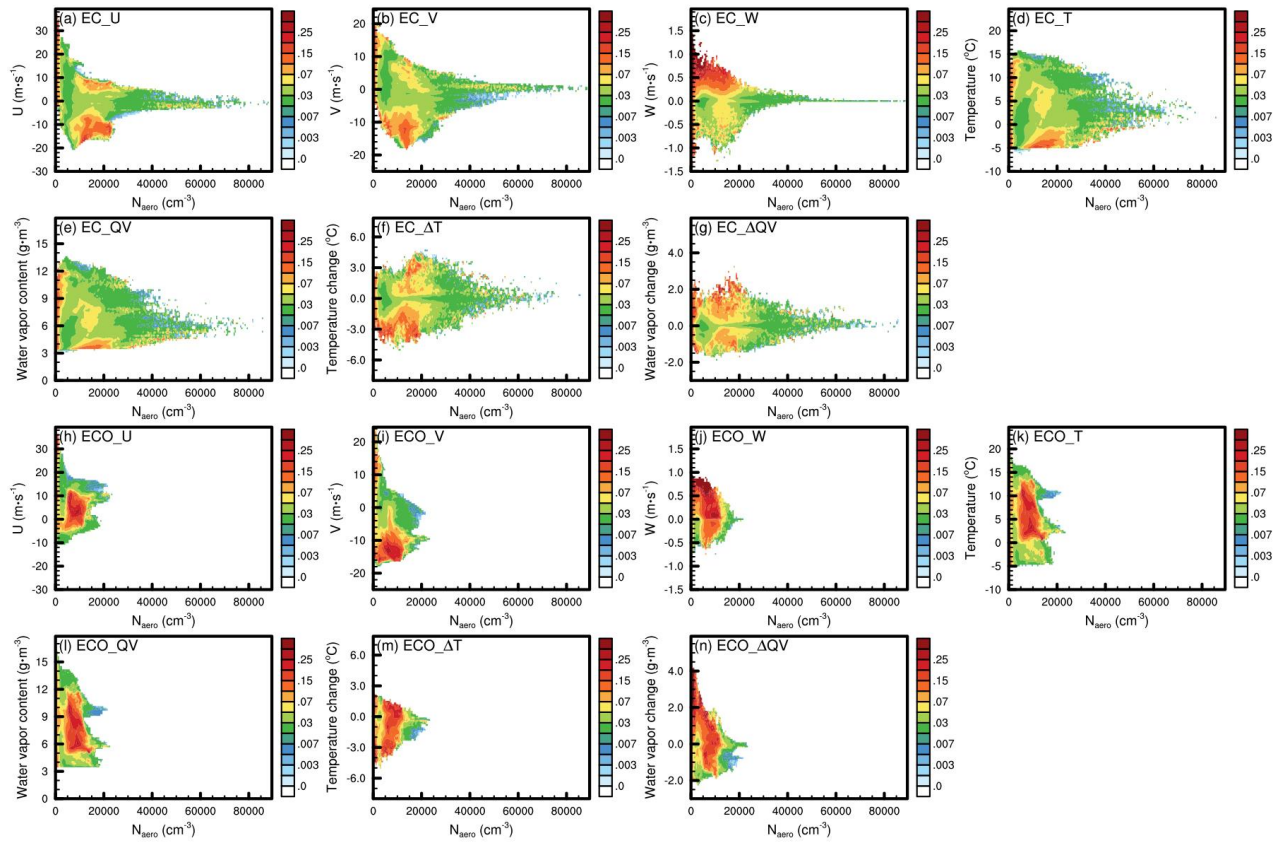
Figure 11. Variation of EC (a-g) and ECO (h-n) N_d to N_{aero} ratio (unit: cm^{-3}·cm^3) with N_{aero} at different U-wind (a and h), V-wind (b and i), W-wind (c and j), temperature (d and k), water vapor content (e and l), temperature change (f and m) and water vapor change (g and n, this figure is sampled at each vertical layer and calculated in the same way as Fig. 10, except that the bin of N_d is replaced by the bin of each meteorological element)

380   In ECO, the zonal wind speed favourable to aerosol activation is below 0 m·s^{-1} or 0-13 m·s^{-1}, with the former ensuring the supply of water vapor and the latter providing more abundant aerosols, while at zonal wind speed above 13 m·s^{-1}, the excessively dry air from land makes the atmosphere difficult to reach supersaturation, despite the large amount of aerosols brought by the westerly wind. The meridional wind speed suitable for ECO activation is mainly below -8 m·s^{-1}, the cold air brought by strong northerly winds makes it easier for the atmosphere to reach supersaturation. The abundance of water vapor

385 makes ECO more susceptible to reach supersaturation by updrafts, making its activation exhibit a high sensitivity to vertical wind speeds. Compared to EC, ECO's more abundant water vapor content generate higher N_d to N_{aero} ratio at higher temperatures and humidity conditions. In addition, ECO aerosol activation is more limited by cooling and humidification due to atmospheric motion (no strong surface effects like in EC), its high N_d to N_{aero} ratio is clearly skewed toward high humidification and cooling conditions compared to EC.

390 **3.4 Impact of aerosols on development of liquid-phase clouds**

    Aerosol activation alters cloud droplet size distribution and consequent changes in cloud microphysical and dynamical processes, which is also known as rapid adjustment (Heyn et al., 2017; Mulmenstadt and Feingold, 2018). We discuss the variations of CLWC and CER with increasing N_d (Fig.12) for precipitation clouds (rainwater content above 1 mg·m^{-3} for each vertical layer and above 1 g·m^{-2} for column) and non-precipitating clouds (rainwater content below 0.001 mg·m^{-3} for each

395 vertical layer and below 0.001 g·m^{-2} for column). For precipitation clouds, CLWC in both EC and ECO show a trend of rapid increase followed by a gradual slowdown (the net influence of water content limitation, evaporation and precipitation effects, accompanied by the decrease in CER) with N_d. The difference lies in that the abundant water vapor in ECO makes its CLWC increase much faster than that in EC when N_d is very low, whereas the higher aerosol concentration, strong surface effects and updrafts in EC enable it to have a wider N_d range and can produce higher CLWC. For non-precipitating clouds, the

400 consumption of limited supersaturated water by aerosol activation and cloud droplet growth causes clear decreasing trends of CLWC with N_d after CLWC increasing to a certain level. The difference between the two regions is that more limited supersaturated water supply in ECO causes its CER to decrease faster and CLWC to start decreasing earlier.
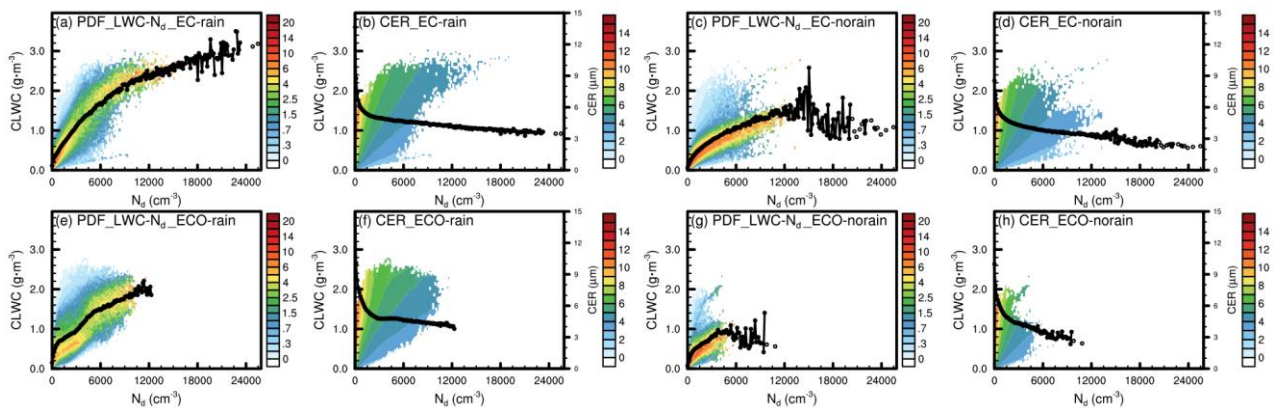
Figure 12. CLWC varies with N_d (a, c, e and g), and CER varies with CLWC and N_d (b, d, f and h) for precipitating (two columns on the left) and non-precipitating (two columns on the right) clouds in EC (a-d) and ECO (e-h), based on statistics at each vertical layer (interpretation of the figure is the same as in the caption of Fig. 10)

We further examine the variations of CLWP and its related elements with AOD based on the statistics of the column (Fig. 13). For the precipitation clouds, with the increase of AOD, CLWP remains generally stable (the combined effects of cloud droplets and precipitation changes), while N_d increases initially and then decreases (the decrease in N_d of ECO at AOD 1.5-2.0 is due to a decrease in water vapor content caused by individual processes as shown in Fig. 10p, and simulations and statistical analyses for longer time periods can attenuate the effect of such individual processes), and both CER and rain water path (RWP, i.e. rainwater column content) decrease. There is a bi-directional interaction between RWP and aerosols, i.e., low AOD is largely resulted from the washout of aerosols by precipitation, whereas increasing N_d and decreasing CER due to increasing aerosols also decrease the RWP. For non-precipitation clouds, CLWP increases with AOD, while N_d firstly increases and then shows a weak decreasing trend.
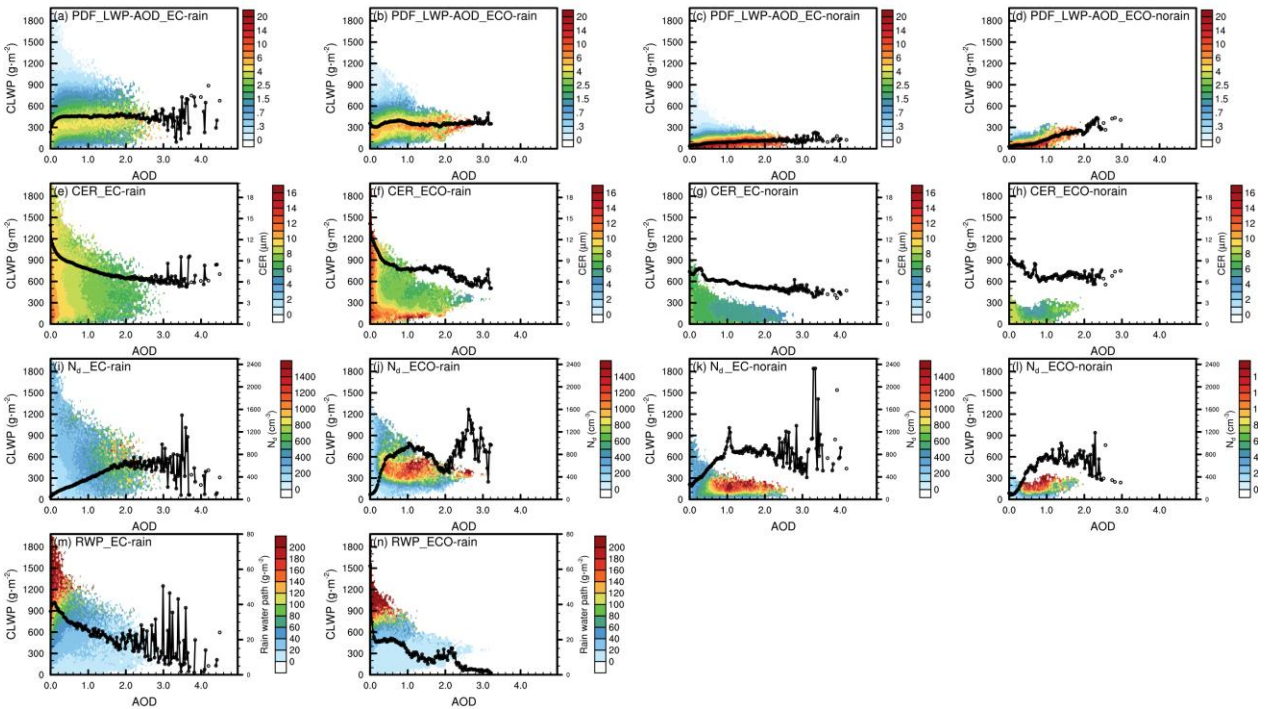
Figure 13. CLWP varies with AOD (a-d), as well as CER (e-h), N_d (i-l) and RWP (m-n) varies with CLWP and AOD for precipitation clouds (two columns on the left) and non-precipitating clouds (two columns on the right) in EC and ECO (interpretation of the figure is the same as in the caption of Fig. 10)

Fig. 14 exhibits the effects of different meteorological and aerosol conditions on CLWC. The CLWC and N_{aero} ratio

(characterizing the speed of cloud development) under different meteorological and N_{aero} conditions shows generally similar variation to the N_d and N_{aero} ratio in Fig. 11, with only some minor differences. Compared to the high N_d and N_{aero} ratios exhibited in Fig. 10, which tend to occur at medium N_{aero}, the high CLWC and N_{aero} ratios at low N_{aero} are more heavily weighted due to the more abundant water vapor supply and weaker evaporation when there are fewer but larger cloud droplets.
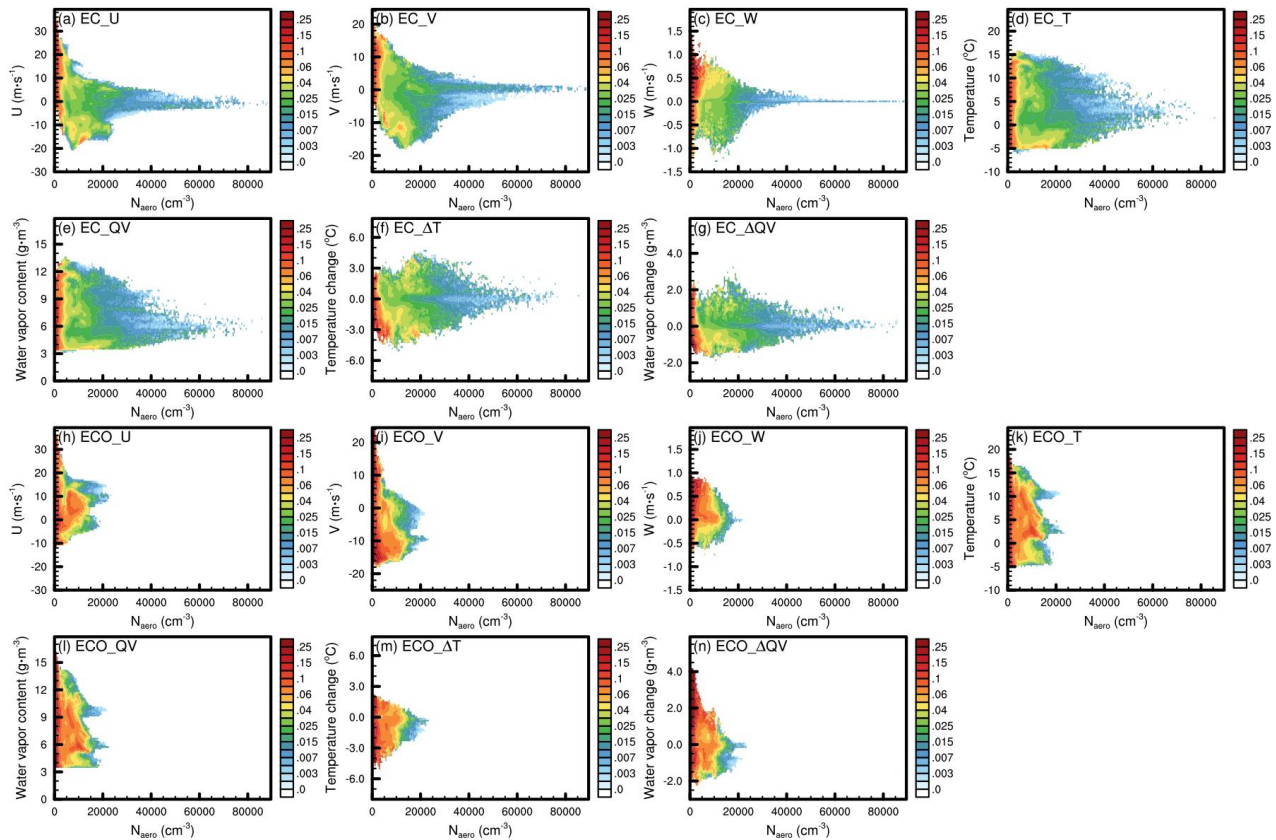
Figure 14. Same as Fig. 11 but for CLWC to N_{aero} ratio (unit: $mg \cdot m^{-3} \cdot cm^3$)

4 Summary

In this study, aerosol-cloud interactions in liquid-phase clouds over eastern China (EC) and its adjacent ocean region (ECO) in winter are explored based on the WRF-Chem-SBM model in which a spectral-bin cloud microphysics (SBM) and online aerosol module (MOSAIC) are coupled.

The impact of four-dimensional data assimilation on the simulation and performance of the coupling system is firstly evaluated using multiple observations. With assimilation, the simulated meteorological field is generally closer to the observations both in values and spatial distribution. The simulations of precipitation and aerosol are effectively improved by optimizing the meteorological field, the RMSE of simulated precipitation versus observation is reduced by 61.5%, and the

temporal correlation of simulated $PM_{2.5}$ with observations at each site is improved by 9.5% on average.

We explore the responses of clouds to aerosols and their influencing factors through the statistics of liquid-phase cloud samples. Statistics on each vertical layer show that in both EC and ECO, N_d exhibits an overall increasing and then decreasing trend with N_{aero}. The difference is that the strong surface effects (surface longwave radiation cooling and terrain uplift) in EC induce high N_d near-surface with high N_{aero}, whereas in ECO, N_d increases faster at low N_{aero} due to abundant water vapor, and decreases rapidly after the peak of N_d. However, the statistics on the entire column show that N_d increases with AOD and then generally remains unchanged (there is no clear decrease as in the statistics on each vertical layer), partly because high AOD does not correspond to high N_{aero}, and also because the statistics on column are not as detailed and immediate as those on each vertical layer.

Statistics on each vertical layer show that in precipitation clouds, CLWC increases with N_d and its increase rate gradually slows down, whereas in non-precipitation clouds with lower water content, CLWC decreases with N_d after its increase to the peak. The difference between EC and ECO precipitation clouds lies in that the abundant aerosol, strong surface effects and updrafts allow EC to produce higher N_d and CLWC, and the more abundant water vapor in ECO enables its CLWC to increase faster at low N_d. The difference between the non-precipitating clouds in the two regions is that the insufficient supply of supersaturated water due to fewer and less intense processes affecting supersaturation in ECO leads to its CER decreasing rapidly, and the CLWC begins to decrease at lower N_d than in EC. We further analyse the variation of CLWP with AOD based on the statistics on column. For precipitation clouds, CLWP remains generally stable with AOD without significant variation due to the combined effect of precipitation and aerosol. While in non-precipitating clouds, which are almost unaffected by precipitation, CLWP increases gradually with AOD.

We explore the effects of different meteorological and aerosol conditions on N_d to N_{aero} ratio (characterizing the intensity of aerosol activation) and CLWC to N_{aero} ratio (characterizing the speed of cloud development). In EC, favourable meteorological conditions for aerosol activation include: (1) moist air brought by strong easterly wind, (2) cooling and topographic uplift due to strong northerly wind, and (3) strong updraft. In ECO, the meteorological conditions suitable for aerosol activation include (1) aerosol-rich and not excessive dry airflow from moderate westerly wind, (2) cooling due to strong northerly wind, and (3) updraft. ECO's abundant water vapor allows it to produce high N_d to N_{aero} ratio at higher temperature environments than EC, but fewer and less intense processes affecting supersaturation make its activation more dependent on strong humidification and cooling (whereas EC's strong surface effects can enable high N_d to N_{aero} ratio to occur even under significant warming or humidity reduction). Meteorological conditions suitable for CLWC increase are close to aerosol activation, while high CLWC to N_{aero} ratios occur more often at low N_{aero} conditions compared to moderate N_{aero} conditions where high N_d to N_{aero} ratio appears.

Data availability. The model outputs are available upon request (the namelist file of the model simulation is attached as Supplement A), the other data can be accessed from the websites listed in Sect. 2.

Author contributions. JZ and XM designed and conducted the model experiments, analysed the result and wrote the paper. XM developed the project idea and supervised the project. XM, JQ and HJ proposed scientific suggestions and revised the paper.

Competing interests. One author (JQ) is a member of the editorial board of journal ACP, and the authors have no other competing interests to declare.

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