



1 Climatic Controls on Metabolic Constraints in the Ocean

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3 Precious Mongwe¹, Matthew Long², Takamitsu Ito³, Curtis Deutsch⁴, and Yeray

- 4 Santana-Falcón⁵
- 5 ¹Southern Ocean Carbon Climate Observatory (SOCCO), CSIR, Cape Town, South Africa
- 6 ²Oceanography Section, Climate and Global Dynamics Laboratory, National Center for Atmospheric Research,
- 7 Boulder, CO, United States of America
- 8 ³School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, Georgia United States of
- 9 America
- 10 ⁴Department of Geosciences, Princeton University, Princeton, NJ, United States of America
- 11 ⁵CNRM, Université de Toulouse, Météo-France, CNRS, Toulouse, 31057, France
- 12 Corresponding Author: Precious Mongwe (pmongwe@csir.co.za)

13 Abstract

14 Observations and models indicate that climate warming is associated with the loss of dissolved

- 15 oxygen from the ocean. Dissolved oxygen is a fundamental requirement for heterotrophic marine
- 16 organisms (except marine mammals) and, since the basal metabolism of ectotherms increases
- 17 with temperature, warming increases organisms' oxygen demand. Therefore, warming and
- 18 deoxygenation pose a compound threat to marine ecosystems. In this study, we leverage an
- 19 ecophysiological framework and compilation of empirical trait data quantifying the temperature
- 20 sensitivity and oxygen requirements of metabolic rates for a range of marine species
- 21 ("ecotypes"). Using the Community Earth System Model Large Ensemble, we investigate how
- 22 natural climate variability and anthropogenic forcing impact the ability of marine environments
- 23 to support aerobic metabolisms on interannual to multi-decadal timescales. Warming and
- 24 deoxygenation projected over the next several decades will yield a reduction in the volume of
- 25 viable ocean habitat. We find that fluctuations in temperature and oxygen associated with natural
- 26 variability are distinct from those associated with anthropogenic forcing in the upper ocean.
- 27 Further, the joint temperature-oxygen anthropogenic signals emerges sooner than independently
- 28 from natural variability. Our results demonstrate that anthropogenic perturbations underway in
- 29 the ocean will strongly exceed those associated with the natural system; in many regions,
- 30 organisms will be pushed closer to or beyond their physiological limits, leaving the ecosystem
- 31 more vulnerable to extreme temperature-oxygen events.





32 **1. Introduction**

- 33 Dissolved oxygen (O_2) is a fundamental metabolic requirement for heterotrophic marine organisms, excluding marine mammals (Portner, 2002; Keeling et al., 2010; Tiano et al., 2014). 34 O₂ is declining due to warming, a tendency long predicted by models (Keeling et al., 2010; Long 35 et al., 2016; Oschlies et al., 2018) and recently found evident at the global scale in compilations 36 37 of in situ observations (Schmidtko et al., 2017; Ito et al., 2017). Deoxygenation is driven by the 38 direct effect of reduced oxygen solubility with warming compounded by buoyancy-induced 39 stratification in the upper ocean, which weakens the ventilation-mediated supply of fresh oxygen 40 to the ocean interior. While the full ecological impacts of ocean deoxygenation remain uncertain, it is clear that the physiological impacts of oxygen loss on marine organisms can be considered 41 42 explicitly in the context of warming: basal metabolic rates for ectothermic organisms depend on 43 ambient temperature and increase with warming (Gillooly et al., 2001); thus, higher temperatures 44 impose additional demand for oxygen to sustain aerobic respiration (Deutsch et al., 2015). 45 Consequently, as the ocean warms, even present-day oxygen distributions may be insufficient to 46 meet the oxygen demands of organisms living near key physiological thresholds (Deutsch et al., 47 2022). 48 49 While model projections clearly demonstrate that warming and deoxygenation are consequences 50 of human-driven climate change, it is important to recognize that natural climate variability also 51 produces important fluctuations in these quantities. Indeed, evidence suggests that natural 52 variability contributes to hypoxic events, such as those observed in the California Current, where 53 fish and benthic-organism mortality has been associated with low-O₂ waters impinging on the 54 continental shelf (Pozo Buil and Di Lorenzo, 2017; Howard et al., 2020). A clear understanding 55 of how natural climate variability drives fluctuations in metabolic state and the associated 56 implications for organisms is a critical context in which to view long-term climate warming. 57 Given that the natural system is highly dynamic, climate change signals are often masked by 58 decadal-scale variability (Ito and Deutsch, 2010). While numerous authors have considered 59 detection and attribution of climate change for physical and biogeochemical variables (Rodgers 60 et al., 2015; Long et al., 2016; Schlunegger et al., 2019), comparatively little attention has been 61 devoted to explicitly characterizing the relative influence of natural and anthropogenic drivers of
- 62 changes in the ocean's capacity to support aerobic life. In this study, we approach this challenge





63	by leveraging the concept of the Metabolic Index (Φ) introduced by Deutsch et al. (2015). Φ is
64	based on the notion that aerobic organisms can persist only where the ambient oxygen partial
65	pressure (pO_2) is sufficient to meet the requirements of sustaining respiration. Φ incorporates an
66	explicit representation of the dependence of metabolic oxygen demand on temperature, thus
67	providing a framework to consider how joint oxygen and temperature variability constrain viable
68	habitat in the ocean.
69	
70	Many ocean organisms may already be under threat from deoxygenation (Hoegh-Guldberg and
71	Bruno, 2010; Breitburg et al., 2018); however, ongoing climate-driven loss of oxygen raises
72	important questions about the future of marine ecosystems: How will anthropogenic changes in
73	dissolved oxygen and temperature impact the capacity of ocean habitats to support aerobic
74	metabolism? What is the spatial and temporal distribution of changes in the ocean's metabolic
75	state associated with climate variability? At what point can anthropogenic change in the ocean's
76	metabolic state be distinguished from natural variability? This study addresses these questions
77	using a combination of metabolic theory, a dataset set quantifying key physiological parameters
78	for a collection of marine species adapted to specific environments ("ecotypes"), and the oxygen
79	and temperature distributions simulated in the Community Earth System Model, version 1 Large
80	Ensemble (CESM1-LE), which includes 34 members simulating ocean biogeochemistry under
81	climate variability and change from 1920-2100 forced using historical data and the
82	Representative Concentration Pathway Scenario 8.5 (RCP85) (Kay et al., 2015; Long et al.,
83	2016).
84	
85	This paper is organized as follows. Section 2 presents a brief overview of the relevant metabolic
86	theory, the associated empirical datasets, and describes our approach to analysis. In Section 3 we
87	present results quantifying the joint temperature-oxygen variability simulated in the CESM1-LE,

88 evaluating the spatiotemporal structure of variability in marine ecotype habitat, including long-

89 term trends based on the RCP8.5 scenario and time of emergence (ToE). The main outcomes of

90 the results are synthesized in Section 4 and summarized in Section 5.

91

92 2. Datasets and methods





93 2.1 Metabolic index

94 Empirical studies measuring thermal tolerance and oxygen requirements in the laboratory on an 95 array of marine organisms have enabled an assessment of lethal thresholds (Vaquer-Sunyer and 96 Duarte, 2008; Rosewarne et al., 2016). These data coupled with recent advances in a theoretical 97 framework enable both explanatory and predictive power in the context of a dynamic 98 environment (Deutsch et al., 2015; Penn et al., 2018; Howard et al., 2020). The fundamental 99 insights here are that basal metabolic rates for ectothermic marine organisms depend on ambient 100 temperature and generally increase with warming (Gillooly et al., 2001). Increasing basal 101 metabolic rates impose additional demand for oxygen. Organisms use oxygen dissolved in 102 seawater and acquisition tends to be limited by diffusive processes; thus, oxygen supply is 103 related to the ambient pO_2 . The ratio of oxygen supply to temperature-dependent demand 104 provides a critical indicator of the capacity for an organism to meet its metabolic requirements. 105 Deutsch et al. (2015) formalized these concepts into a quantity termed the "Metabolic Index (Φ) ", which is defined as the ratio of oxygen supply to an organism's resting metabolic demand. 106 107 Oxygen supply is parameterized according to a biomass-dependent scaling of pO_2 , capturing variation in the efficiency with which organisms acquire and utilize O₂. This can be expressed as 108 109 $S = \alpha_s B^{\sigma} p O_2$, where α_s is a mass-normalized coefficient expressing the rate of gas transfer between an organism and its environment and B^{δ} is the scaling of supply with biomass, B (Piiper 110 111 et al., 1971). Resting metabolic demand can be expressed using the Arrhenius equation as

112
$$D = \alpha_D B^{\delta} exp\{\frac{-E_d}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}} \right] \},$$

where α_D is a species-specific basal metabolic rate, B^{δ} is the scaling of this rate with biomass, E_d 113 114 (eV) is the temperature dependence of oxygen supply, T is temperature, T_{ref} is the reference 115 temperature (15°C), and k_B is the Boltzmann constant (Gillooly et al., 2001). Gas transfer is 116 kinematically slow at low temperatures, and hence organism viability can be limited by the 117 energy to acquire oxygen at low temperatures, thus E_{ρ} varies with temperature. Here we account for this by adding the temperature dependence (dE_o/dT) to E_o in equations above $(E_o + \frac{dE_o}{dT})(T - t)$ 118 119 T_{ref}), using the mean value of $dE_o/dT = 0.022$ eV consistent with Deutsch et al. (2020). The 120 Metabolic Index can thus be written as the ratio of *S*/*D*:

121
$$\Phi = A_o p O_2 exp\{\frac{-E_o}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}} \right] \},$$
(1)



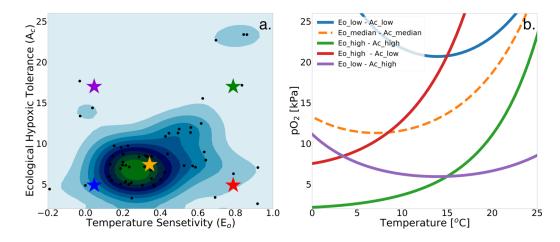


122 123 where $A_o = \alpha_s / \alpha_D (1/atm)$ is the hypoxic tolerance, $E_o = E_d - E_s (E_s)$ is the temperature 124 dependence of oxygen supply) (Deutsch et al., 2015; Penn et al., 2018). The exponent, $\varepsilon = \sigma$ – 125 δ , is the allometric scaling of the supply to demand ratio with biomass, is typically near zero. 126 Therefore, in the analysis that follows, we presume unit biomass and thus neglect potential 127 impacts of variations in biomass. 128 129 If Φ falls below a critical threshold value of 1, conditions are physiologically unsustainable: an 130 organism cannot meet its basic resting metabolic oxygen requirements. Conversely, values of Φ 131 above 1 enable organismal metabolic rates to increase by a factor of Φ above resting levels, 132 permitting critical activities such as feeding, defence, growth, and reproduction. Thus, for a given environment and species, Φ provides an estimate of the ratio of maximum sustainable 133 134 metabolic rate to the minimum rate necessary for basal metabolism. Deutsch et al. (2015) 135 inferred the ratio of active to resting energetic demand by examining the biogeographic distribution of several species, finding that range boundaries coincide with values of $\Phi = 1.5-7$. 136 137 This threshold, termed critical rate (Φ_{crit}), represents the minimum metabolic index required for an organism to sustain an active metabolic state, which is a more meaningful ecological 138 139 threshold than requirements for resting metabolism. Therefore, in this study, we define a quantity 140 Φ' , which is derived by dividing Φ by Φ_{crit} ; equivalently, this yields an adjusted definition of the hypoxic tolerance trait, $A_c = A_o / \Phi_{crit}$, where A_c is termed the "ecological hypoxia tolerance" 141 142 consistent with Howard et al. (2020). Where $\Phi' > 1$ (i.e., $\Phi > \Phi_{crit}$) an organism can sustain an 143 active metabolic rate; where $\Phi' < 1$ (i.e., $\Phi < \Phi_{crit}$), O_2 is insufficient and an active metabolic 144 state is not viable. Henceforth, our analysis uses Φ' to characterize ecotypes viability. 145 146 2.2 Physiological dataset 147 We make use of a dataset describing physiological parameters for a collection of 61 marine 148 ecotypes spanning a range of ecological hypoxic tolerances (A_c) and temperature sensitivities 149 (E_o) (Penn et al., 2018; Deutsch et al., 2020, Figure 1a). We illustrate how the physiological traits 150 E_o and A_c constrain habitat viability in the context of distributions of pO_2 and temperature in the 151 marine environment in Figure 1b, which shows the minimum pO_2 (i.e., pO_2 at Φ_{crit}) required to 152 sustain an active metabolic state as a function of temperature for five combinations of E_{o} and A_{c} .





- 153 The five combinations are derived from sampling the probability distributions of E_o and A_c
- 154 (Figure 1a) at the 10th, 50th, and 90th percentile values (illustrated by colored stars in Figure 1a
- and corresponding curves in Figure 1b). We assume that the trait distributions are independent,
- 156 which is a reasonably modest simplification; E_o is represented by a normal distribution and A_c by
- 157 a lognormal distribution function (Figure S1). The pO_2 at Φ_{crit} curves shown in Figure 1b
- 158 delineate regions of pO_2 -temperature space that are habitable (above the curve) and
- uninhabitable (below the curve). The reversing curvature of pO_2 at Φ_{crit} in Figure 1b at low
- 160 temperature captures the decrease of the organism's oxygen acquisition efficiency in cooler
- 161 conditions yielding cold intolerance.



162

163 Figure 1. Physiological traits determining hypoxic tolerance. (a) Scatter plot of 61 marine ecotypes for which

164 empirically derived estimates of activation energy (E_o) and the ecological hypoxic tolerance (A_c) have been

165 determined (Penn et al., 2018). The color shows the density of occurrence for the 61 marine ecotypes in the A_c - E_o

166 trait space. (b) The minimum pO_2 required to sustain an active metabolic state (i.e., pO_2 at Φ_{crit} , Deutsch et al., 2020)

167 for five combinations of A_c and E_o corresponding to the stars in panel "a"; these are combinations of the 10th, 50th,

- 168 90th percentile values for each parameter.
- 169

170 To illustrate how the trait combinations of E_o and A_c exert control on the geographic distribution

- 171 of organisms in the marine environment (Deutsch et al., 2020), we use observations of pO₂ and T
- along a zonal transect of the Pacific Ocean and plot Φ' for nine combinations of E_o and A_c
- 173 percentile values (Figure 2). The colorbar in Figures 2a-i show the metabolic index for an active
- 174 state (Φ '); regions with values above one are habitable (color), while regions with values below



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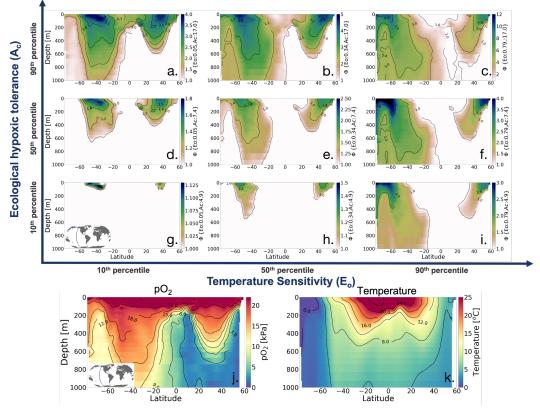
176 considerations are not considered). The subplots in the upper portion of the figure are arranged 177 according to the same trait axes shown in Figure 1a; E_o increases horizontally from left to right 178 and A_c increases from the bottom to the top. For the trait combination in the bottom left (low E_o , 179 low A_c; Figure 2g), metabolism is relatively insensitive to temperature, and tolerance for low 180 pO_2 is poor. Thus, ecotypes with low E_q and low A_c are restricted to high latitude surface waters, 181 where temperatures are cool, and pO_2 is abundant (Figure 2g). As E_{ρ} increases from left to right, 182 metabolic rates become more sensitive to temperature. Then, habitat is gained at depth, where 183 temperatures are cooler and higher temperature sensitivity confers an advantage (Figure 2g-i). 184 From the bottom to the top, the increase in tolerance of low pO_2 conditions increases habitability 185 in regions of low pO_2 , enabling organisms to expand beyond high-latitude surface waters (Figure 186 2g-a). The biogeographic range for organisms with high A_c is modulated by E_o ; as temperature 187 sensitivity increases, ecotype viability at high latitudes is increased, but tropical surface waters

one are uninhabitable (white) on the basis of metabolic constraints (other ecological

- 188 become less viable (Figure 2 a-c). Henceforth, our analysis will utilize the metabolic index of the
- 189 median ecotype ($E_o = 0.34$, $A_c = 7.4$; Figure 2e) for illustrative purposes; i.e., all metabolic index
- 190 figures refer to this median ecotype unless otherwise stated.









192 Figure 2. Annual mean metabolic index (Φ) for nine combinations of the ecological traits E_o (metabolic

- temperature sensitivity) and Ac (ecological hypoxic tolerance) along a transect in the Pacific Ocean based on a
- 194 climatology from the World Ocean Atlas dataset (Garcia et al., 2014). The percentile values of each trait are: 10th (Eo
- 195 = 0.04, A_c = 4.8), 50th (E_o = 0.34, A_c = 7.4), and 90th (E_o = 0.79, A_c = 17.0). The lower panels show pO_2 and
- 196 temperature from the WOA dataset. Note that the colorbar range differs by panel and values where $\Phi' < 1$ are
- 197 omitted, thus the color shows only areas where an active metabolic state can be sustained.
- 198

199 2.3 Earth system model simulations

- 200 This study is based on the CESM1-LE, described in detail by Kay et al. (2015). The CESM1-LE
- 201 included 34 ensemble members integrated from 1920–2100 under historical and RCP8.5 forcing.
- 202 The ensemble was generated by adding round-off level (10⁻¹⁴ K) perturbations to the air
- 203 temperature field at initialization in 1920; this small difference yields rapidly diverging model
- 204 solutions due to the chaotic dynamics intrinsic to the climate system, thus developing ensemble
- spread representative of internal variability (Kay et al., 2015). Briefly, the CESM1-LE uses the

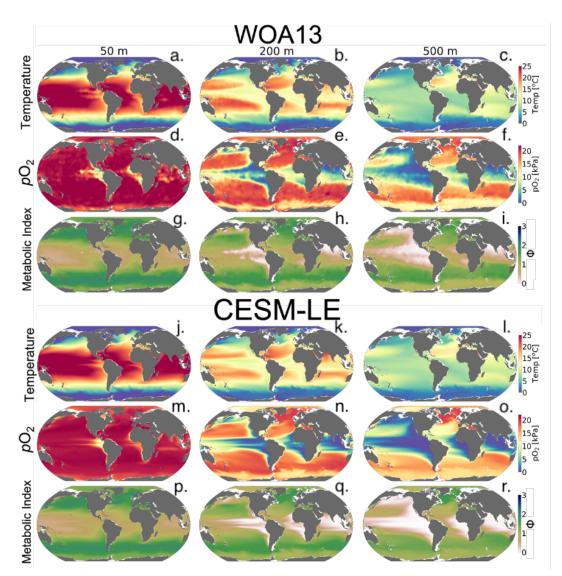


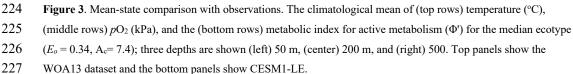


- 206 Community Earth System Model, version 1 (Hurrell et al., 2013), with a horizontal resolution of nominally 1° in all components. The ocean component is Parallel Ocean Program version 2, 207 (Smith et al., 2010) with sea ice simulated by the Los Alamos Sea Ice Model version 4 (Hunke 208 209 and Lipscomb, 2010). Ocean biogeochemistry was represented by the Biogeochemical Elemental 210 Cycling (BEC) model (Moore et al., 2013; Lindsay et al., 2014). 211 212 Our analysis focuses on three depths: 50 m representing near-surface dynamics, the epipelagic 213 zone at 200 m, and the mesopelagic zone at 500 m. pO₂ was calculated using the Garcia and 214 Gordon. (1992) solubility formulation. For convenience, we use the period 1920-1965 to define 215 a minimally-perturbed natural state, as this period is prior to the development of substantial 216 anthropogenic trends in ocean oxygen and temperature (Long et al., 2016). We also examine 217 distributions over the last three decades of the 21st century (2070-2099) to evaluate the projected 218 climate-change signal under RCP8.5. We use the mean across all 34 ensemble members to 219 quantify the deterministic, "forced" response of the climate system to anthropogenic influence (Deser et al., 2012). The ensemble spread is thus indicative of the amplitude of variations 220 221 attributable to natural variability.
- 222









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- We compared the CESM1-LE (1920 1965) with the World Ocean Atlas, version 2013
- 230 (WOA2013) dataset (Garcia et al., 2014), an observationally-based, gridded climatology (Figure
- 231 3a-i). CESM1-LE generally provides a reasonable representation of pO₂ and temperature



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233



234 well simulated in the CESM1-LE, showing a root mean square error (RMSE) < 1.3 °C, and pattern correlation coefficient (PCC) >0.98 in all three selected depths (50 m, 200 m, and 500) 235 236 (Table 1). Temperature magnitudes are slightly underestimated at 50 m and 200 m (mean bias of 237 < 0.3°C), and overestimated by 0.41 °C at 500 m. Note that since our comparison uses CESM1-LE data from 1920-1965, some discrepancy in temperature might be expected from the signal of 238 239 climate warming present in the WOA observations. pO2 is also reasonably well captured by the 240 CESM1-LE (PCC <0.95), but magnitudes are slightly underestimated at depth, showing a mean 241 bias of -1.63 kPa and -2.1 kPa at 200 m and 500 m with respect to WOA13 (Table 1). Regions of 242 low pO_2 waters are too extensive in CESM1-LE (Figure 3n-o) and there is a slight degradation of skill with depth for pO_2 fields (Table 1). The underestimation of pO_2 leads to a slight 243 244 underestimation of Φ' with respect to WOA13 (Figure 3 p-r); however, Φ' computed from the model fields demonstrates that the dominant spatial patterns are well captured by the CESM1-LE 245 246 despite magnitudes that are slightly too low (i.e., Figure 1, c, l). These differences ultimately matter most near the hypoxic zones and at the boundaries of habitable zones like the OMZs. 247

distributions at the selected depths (Figure 3); however, there are important biases to

acknowledge in the context of interpreting the results. Temperature magnitudes are generally





- 250 Table 1. Summary statistics for the comparison of CESM1-LE with the World Ocean Atlas dataset (Garcia et al.,
- 251 2014). The columns include the mean bias, pattern correlation coefficient (PCC), and root mean square error
- 252 (RMSE) at 50 m, 200 m, and 500 m.

	Mean bias	R	RMSE
-		Temperature [°C]	I
50 m	-0.17	0.99	1.22
200 m	-0.25	0.99	1.22
500 m	0.10	0.98	0.63
	I	pO ₂ [kPa]	
50 m	0.05	0.99	1.91
200 m	-1.17	0.96	5.96
500 m	-1.46	0.95	6.28
		Metabolic index	
50 m	0.01	0.99	0.02
200 m	-0.09	0.97	0.05
500 m	-0.15	0.96	0.08

253





255 **2. Results**

256

257 3.1 Joint temperature-pO2 natural variability and forced trends 258 259 The spatial distribution of the number of viable ecotypes is shown in Figure 4 for the 260 "unperturbed" climate (1920-1965). Our intention here is not to quantify the actual 261 biogeographic range of organisms in the environment, but rather to illustrate the ocean's ability 262 to support respiration by marine ectotherms given the metabolic capacities afforded within the 263 trait space of extant organisms. High latitude environments do not impose strong aerobic 264 constraints (cold intolerance notwithstanding), thus over much of the Southern Ocean, North 265 Atlantic, and Arctic Ocean almost all 61 ecotypes can sustain respiration. The tropical oceans 266 impose the strongest aerobic constraints, restricting the viability of ecotypes that do not have high-hypoxia tolerance (A_o) . For example, less than 25 ecotypes are viable over much of the 267 268 tropical surface ocean (Figure 4a); low concentrations of oxygen at depth impose even stronger 269 constraints, and no ecotypes are viable in the core of OMZs (Figure 4b, c). The spatial patterns of 270 the number of viable ecotypes is tightly controlled by temperature at the surface, since pO_2 is 271 mostly near saturated levels; at depth, however, pO_2 is the dominant driver of geographic patterns in ecotype viability (Figures 2-4). Temperature generally decreases with depth, reducing 272 273 the metabolic oxygen demand. However, since pO_2 also decreases with depth and displays 274 greater lateral heterogeneity, pO_2 emerges as the dominant constraint of spatial structure in 275 ecotype viability at depth.

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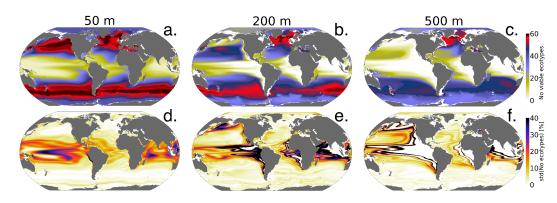
The standard deviation of annual anomalies using all CESM1-LE ensemble members provides insight into the amplitude of natural variability (Figure. 5). Temperature and pO_2 show similar patterns of natural variability in the upper ocean, both showing particularly large variance in the western tropical Pacific and Indian Ocean (Figure 5 a, d). Spatial variation in the magnitude of temperature variability generally decreases with depth, but pO_2 displays even relatively larger variability at depth with respect to the surface in some regions (Figure 5 a–f). The joint pO_2 temperature variability manifests in variations of Φ' (Figure 5g-i). Natural variability in Φ'

284 computed for the median ecotype shows spatial patterns similar to temperature in the upper-





- 285 surface ocean (50 m), but is more similar to pO_2 at depth. Thus, variations in Φ' tend to be 286 temperature-dominated near the surface, but are more strongly controlled by pO_2 variability at 287 depth. Φ ' also shows the most extensive natural variability at 200 m consistent with the 288 variability of pO_2 . The number of viable species shows more dramatic fluctuations than 289 variations in the median ecotype Φ' ; variations in the number of viable ecotypes exceed 30% on 290 annual timescales in the tropical upper ocean and near OMZ boundaries in the water column (Figure 4 c-d). This reflects the fact that interannual variability can preclude habitability for 291 292 some regions of the A_c - E_o trait space, but these variations do not necessarily impact viability for 293 the median ecotype (Figure 1). In the tropical surface ocean, high temperatures (> 25° C), and 294 saturated surface ($pO_2 > 20$ kPa) require high hypoxia tolerance (A_c), but permit a range of 295 E_o values (Figure 1b, 2a-b). Ecotypes with larger temperature sensitivity (high E_o) are 296 particularly responsive to variations in temperature.
- 297



298

Figure 4. Metabolic constraints on trait-space viability. Top row: the number of ecotypes from the physiological trait database that are viable (total = 61) in the CESM1-LE over the period 1920–1965. Bottom row: the standard deviation (expressed as a percent of the mean) in the number of viable ecotypes, reflecting fluctuations driven by natural variability.





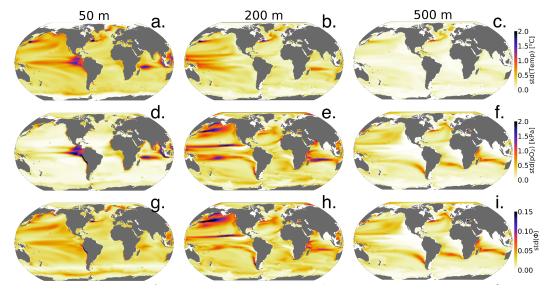




Figure 5. The amplitude of natural variability in the ocean's metabolic state. The panels show the standard deviation of annual-mean anomalies of all ensemble members over the period 1920–1965 for (top row) temperature (°C), (middle row) pO_2 (kPa), and (bottom row) the metabolic index (unitless) of the median ecotype ($E_o = 0.34$, $A_c = 7.4$).

309 CESM1-LE simulates nearly homogeneous warming between 1920–1965 and 2070–2099 in the

310 surface ocean (50 m) under RCP8.5, with an exception of the so-called North Atlantic warming

311 hole (Figure 6a). Both modelling and observational studies have linked the North Atlantic

312 warming hole to the slowing of the Atlantic overturning circulation with climate change (Keil et

al., 2020). The magnitude of ocean warming generally diminishes with depth except in the North

314 Atlantic, where, despite reductions, the overturning circulation effectively propagates

anthropogenic heat anomalies into the ocean interior. pO_2 shows heterogeneous changes between

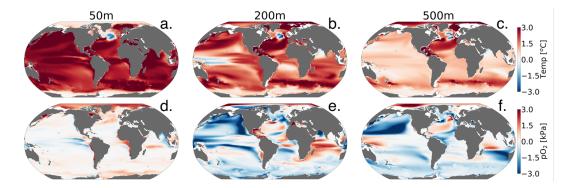
316 1920–1965 and 2070–2099 (Figure 6 d-f). In the upper ocean, pO₂ changes are generally small (<

- 317 1 kPa) because the near-surface is kept close to saturation via photosynthetic oxygen production
- 318 and air-sea equilibration. At depth, however, pO_2 shows long-term changes linked to
- 319 accumulated effects of respiration and changes in circulation (Ito et al., 2017). At 200 m for
- 320 example, the Pacific Ocean displays a basin-wide mean reduction in pO_2 of 2 kPa (~30%), while
- 321 the Atlantic and Indian basins gain about >2 kPa ($\sim 10 35\%$) by the end of the century. The
- 322 largest long-term $pO_2 \log (>3 \text{ kPa})$ occurs in the North Pacific while the largest $pO_2 \text{ gain} (\sim 2$





323 kPa) occurs in the North Atlantic gyre and western Indian Ocean (Figure 6 e-f).



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Figure 6. Net long-term change (2070–2099 minus 1920–1965) in the CESM1-LE ensemble means temperature
 (top) and (bottom) pO₂ at 50 m, 200 m, and 500 m.

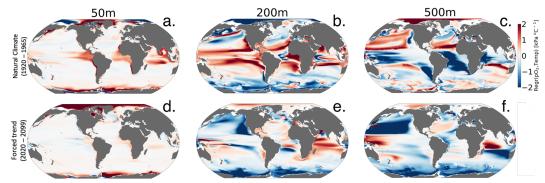
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328 Figure 7 shows the relationship between interannual variations in pO_2 versus temperature (pO_2 -329 T) in the unperturbed climate (1920–1965; top row) and for the forced trend associated with 21st 330 century climate change (2070–2099 minus 1920–1965; bottom row). The nature of the pO_2 -T 331 relationship is an important indicator of the impacts of variability on the metabolic state. 332 Furthermore, the extent to which the forced trend is characterized by a pO₂-T relationship that is 333 distinct from that associated with natural variability provides insight into the potential for 334 advanced or delayed detection of signals in Φ relative to pO_2 or temperature alone. Given that 335 metabolic rates for most organisms increase with temperature (positive E_a), a positive correlation 336 between variations in temperature and pO_2 is generally indicative of compensating changes, 337 wherein increased oxygen demand is at least partially offset by increased supply. Anticorrelation 338 between temperature and pO_2 , by contrast, will generally be associated with compounding 339 impacts on the metabolic index, as a negative correlation indicates that reductions in pO_2 (i.e., 340 oxygen supply) accompany warming (i.e., increased demand). The sign of the pO_2 -T relationship 341 in the natural climate varies regionally and with depth (Figure 7, top row). The surface ocean is 342 generally characterized by a weak, positive pO_2 -T relationship, which could manifest from, 343 among other mechanisms, temperature-induced increases in photosynthetic oxygen production 344 (Figure 7a). The natural pO_2 -T relationship in the epipelagic (200 m) is characterized by strong 345 positive correlations in the tropics and negative correlations at high latitudes (Figure 7b). A 346 positive correlation between pO_2 and temperature at this depth could be induced by variability





associated with adiabatic vertical displacement of isopycnals, or "heave", which has the effect of 347 348 translating background gradients in properties vertically in the water column. Upward movement 349 of a deep isopycnal surface would yield a negative temperature anomaly and a negative pO_2 350 anomaly (positive correlation), as the deeper, colder waters have greater oxygen utilization 351 signatures associated with longer ventilation age. Negative correlations between pO_2 and 352 temperature could manifest from ventilation processes, where enhanced subduction of surface 353 water yields anomalously cold water masses that are enriched in oxygen. The sign of these 354 epipelagic pO_2 -T correlations shows some similarity to those associated with the externally 355 forced climate (Figure 6e), but the latter is characterized by a greater prevalence of 356 anticorrelation, most notably in the North Pacific ocean. At 500 m depth, the relationship 357 between temperature and pO_2 in the natural climate is almost a mirror image of the epipelagic 358 (Figure 7c); the tropics generally display negative correlations, while polar regions show positive 359 correlations (Figure 7 e). The pO_2 -T relationship in the forced trend at 500 m is dominated by 360 broad regions of deeply negative correlations, with the most pronounced effect again in the 361 North Pacific. The negative relationship is consistent with a ventilation signal, as buoyancy-362 induced stratification from warming curtails the introduction of new oxygen into the ocean 363 interior. The predominantly negative pO_2 -T relationship associated with the forced trend is 364 indicative of the compounding effects of climate change on metabolic state, increasing metabolic 365 demand while simultaneously reducing oxygen supply.



366

Figure 7. Regression of annual means pO_2 versus temperature (kPa °C⁻¹) for (top row) interannual variability and (bottom row) the forced trend (difference between 2020–2099 and 1920–1965). The columns show the regressions computed at different depths, 50 m, 200 m, and 500 m, respectively.





- 371 **3.2 Long-term habitat changes**
- 372

373 Figure 8 shows the climate-driven changes in Φ' for the median ecotype, as well as the impacts 374 of climate change on the number of viable ecotypes. Notably, while pO_2 in the near-surface 375 ocean is relatively insensitive to climate change (Figure 6d), there are reductions in Φ' in the 376 tropics (Figure 9d), owing to the direct impacts of warming. These changes are associated with 377 deep reductions in the number of viable ecotypes in the tropics (Figure 8a). There are modest 378 increases in Φ ' and ecotype viability at high-latitudes; metabolic state in these regions is affected by cold intolerance, thus warming broadens the viable region of trait space. Additionally, 379 380 reductions in sea ice cause an increase in pO_2 , as gas exchange becomes more effective at 381 restoring equilibrium oxygen concentrations. The number of viable ecotypes shows more intense 382 patterns than those in the median ecotype Φ' in the upper ocean (Figure 8). This is partly because 383 ecotypes predicted to lose viability in the tropical regions (~ 50%) are at the extremes of the A_c -384 E_o distribution (Figure 1) and not captured by the median ecotype Φ' . Nevertheless, outside the 385 tropical regions, the median ecotype gives a good indication of the anthropogenic impact to 386 marine ectotherms. The projected habitat loss in the epipelagic-pelagic North Pacific (> 50%) 387 and habitat gain in the epipelagic-pelagic Southern Indian Ocean (~40%) and pelagic western 388 tropical regions (~40%) are consistent with a decrease in the median ecotype Φ' . Note that the 389 most pronounced effects on habitat are associated with regions where climate change drives a 390 strongly negative pO_2 -temperature relationship (Figure 7).

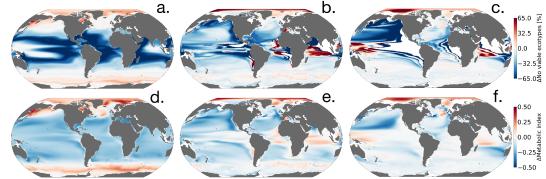


Figure 8. Net change in the number of habitable ecotypes in percentage (top row). Net metabolic index change

- 393 (2070 2099 vs. 1920 1965) for the median ecotype $[E_o = 0.34, A_c = 7.4]$ (bottom row). At 50m (first column),
- 394 200m (second column) and 500m (third column).





395

396 3.3 Time of Emergence	396	3.3 Time of Emergence
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397

398	In this section, we examine the	"time of emergence"	(ToE, Hawkins and Sutton, 2012), the point	

399 when forced changes in pO_2 , temperature and Φ' can be distinguished from the background

- 400 natural variability. We define ToE as the time when the magnitude of change in the ensemble
- 401 mean of a particular variable exceeds two standard deviations of the natural climate (1920 -

402 1965). This is illustrated in Figure 9 for a single grid point in the North Pacific at 200 m. At this

- 403 location, the forced trend in temperature shows a monotonic increase, while pO_2 shows a
- 404 monotonic decrease; as a result, Φ' for the median ecotype and the number of viable ecotypes

405 decrease over time. The anti-correlation between pO_2 and temperature exacerbates trends in Φ' ,

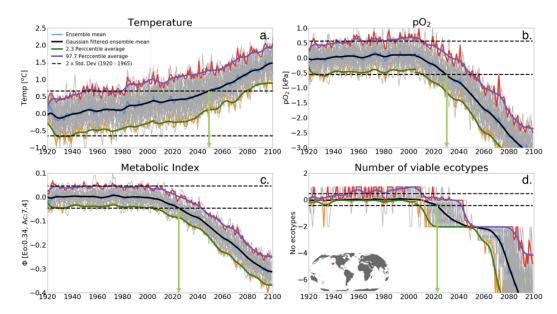
- 406 and hence the forced trend of the median ecotype Φ' emerges from natural noise earlier than
- 407 either *p*O₂ or temperature do alone (Figure 10a-c). Note that although the ToE of ecotype
- 408 viability change is directly derived from changes in Φ' , it is binary counted; changes in ecotype
- 409 viability are counted in whole numbers and this creates a step-function temporal-spatial variation
- 410 (Figure 9d). Consequently, this step-function-like feature of ecotype viability creates

411 discontinuities even in spatial patterns of ToE (Figure 10 j-l) as also shown in the natural

- 412 variance in Figure 4 d-f.
- 413
- 414







416 Figure 9.. Time of emergence (ToE) of the climate forcing signal for (a) temperature, (b) pO₂(c) the metabolic

417 index of the median ecotype $[E_o = 0.34, A_c = 7.4]$, and (d) the number of viable ecotypes for a single model grid in

418 the North Pacific at 200 m. ToE (green arrows) is defined as the time when the forced trend signal (ensemble

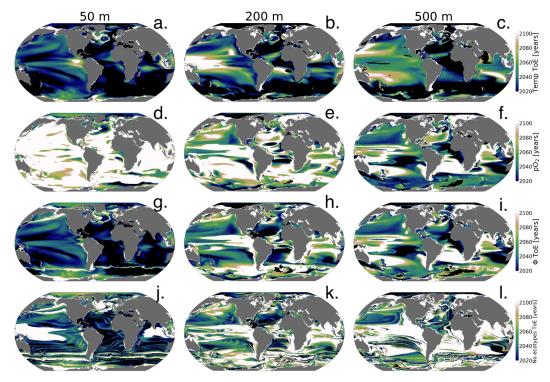
419 member time series) is above two standard deviations (black dotted line) of all ensemble members for the period

420 1920 - 1965.

421







422

Figure 10. Time of emergence (ToE) of the climate forcing signal for temperature, pO₂, phi, and the number of
viable ecotypes. ToE is defined as the time when the forced trend signal (ensemble member time series) is above
two standard deviations of all ensemble members for the period 1920 - 1965.

426

427 The ToE of pO_2 and temperature are inverted with depth; temperature emerges earliest in the 428 upper ocean while pO_2 emerges earlier at depth and later or shows no emergence in the upper 429 ocean (Figure 10 a-f). This feature is consistent with larger upper ocean temperatures long-term 430 changes and greater pO_2 changes at depth. Near-surface ocean temperature has mostly already 431 emerged by 2020 and is predicted to have almost completely emerged by the late 2060s under 432 RCP85 (Figure 10 a-c). The early emergence of temperature from natural noise also persists for 433 regions of relatively low natural variance at depth, e.g., the Southern Ocean and Atlantic Basin Gyres. Regions of the largest natural variability (see Figure 5) like the subtropical-subpolar 434 435 Pacific however do not emerge until close to the end of the century. For pO_2 , anthropogenic 436 changes in the upper ocean generally do not emerge from natural noise before the end of the 437 century except for the Arctic Ocean and Eastern Antarctic. In the Arctic Ocean and Eastern





438	Antarctic pO_2 gain is related to sea-melt emergence by the mid-2050s (Figure 10a). The median
439	ecotype Φ' ToE shows spatial patterns that are coherent with temperature ToE in the upper ocean
440	with exception of polar regions. In contrast, they are consistent with pO_2 ToE patterns at depth;
441	this is consistent with net long-term Φ' changes in Figure 9d. The emergence of the
442	anthropogenic signal in ecotype viability closely resembles the median ecotype Φ' spatial
443	patterns but showing non-harmonious spatial patterns due to the step-function-like counting
444	feature of viability changes. It shows that the predicted $\sim 50\%$ ecotype viability loss in the
445	tropics (Figure 6a) may already be distinguishable from natural variability by the mid-2030s. In
446	the North Pacific, the predicted $> 50\%$ ecotype viability loss in the epipelagic-pelagic regions is
447	predicted to start emerging in the 2040s at 500 m and 2080s at 200 m (Figure 10 k-l).
448	

449 4. Discussion

450

The human-induced rapid warming of the planet has been shown to drive ocean deoxygenation (Ito et al., 2017; Schmidtko et al., 2017; Long et al., 2016). Higher metabolic oxygen demand at higher temperatures (Gillooly et al., 2001; Deutsch et al., 2015, 2022) raises concerns about the ability of marine ectotherms to support aerobic respiration in the future. This study set out to characterize the anticipated climate change signal in the ocean's metabolic state in the context of natural variability using the metabolic theory as a basis to examine the capacity of the environment to support ectothermic marine heterotrophs.

458

459 The spatial variation in pO_2 and temperature in the unperturbed natural climate state set 460 biogeographic boundaries based on ectotherms' physiological performance. The resilience of 461 these ectotherms' biogeographic structure to natural variability and long-term climate warming is 462 perturbed by the joint pO_2 -temperature changes, effectively measured by the metabolic index 463 (Φ). An increase in the capacity of the organisms to support aerobic respiration increases Φ '; for 464 example by ocean cooling or increase in oxygen supply contrary, warming and decrease in 465 oxygen supply decrease Φ' . There are exceptions in extremely low-temperature environments, 466 where aerobic respiration is also limited by kinematic gas transfer into the organism in addition 467 to environmental oxygen supply. Relative changes in pO_2 and temperature in the natural





- 468 variability and forced trend, therefore, regulate ectotherms' resilience to environmental changes. 469 Under the RCP85 climate scenario, the ocean generally warms homogeneously but concurrent 470 pO_2 changes are heterogeneous and vary with depth. Thus, the characteristics of these pO_2 -471 temperature forced trend changes determine when the climate change impact on marine 472 ectotherms can be distinguishable from natural variability.
- 473

474 In the surface ocean, pO_2 is generally abundant and relatively uniform, and thus spatial 475 temperature variations have a dominant constraint on the spatial variations of organismic 476 metabolic state. The warmest parts of the surface ocean, the tropical oceans, can only support 477 about 10-20 (\sim 30%) of the 61 ecotypes while cooler regions in extratropics have nearly 100% 478 viability. Moreover, since warming anomalies propagate from the surface, the surface tropical 479 oceans also show the largest natural variance in temperature and ecotype viability in the surface 480 ocean. This is because extremely warm temperatures in the surface tropics (>25°C) are mainly 481 suited for organisms with high-temperature sensitivity (E_0) , which are relatively fewer, and 482 mostly close to their physiological limits (Storch et al., 2014). Large natural variability in these 483 warmest parts of the tropical surface ocean precludes the forced trend signal from emerging from 484 the natural variability in the ecotype viability by end of the century although the ocean warms the 485 largest in the surface. Nevertheless, the large warming trends in the surface ocean generally 486 emerge relatively early (the 2020s) from natural variability in both temperature and ecotype 487 viability in most regions. Minimal changes in surface pO_2 in the forced trend affirm that surface 488 ocean marine ectotherms are mainly perturbed by temperature in the context of anthropogenic 489 changes. In polar regions, warming has a counterintuitive effect on marine ectotherms with 490 respect to most parts of the surface ocean. There, warming helps organisms escape extreme cold 491 intolerances by enhancing membrane kinematic gas transfer which enhances Φ' and thus ecotype 492 richness in the future. 493

494 In the epipelagic and pelagic regions (200 m and 500 m), the temperature forced trend and

495 natural variability are smaller compared to the surface ocean, while concurrent pO_2 changes are

496 larger than the surface ocean. Thus, pO_2 and temperature play a more intricate role in

497 perturbating marine ectotherm habitats in the context of anthropogenic warming with respect to

498 the surface ocean, where temperature plays a dominant role. At depth, contrasting the regression





499	between pO_2 and temperature in the natural climate, and forced trends provides an instructive
500	framework to analyzing ectotherms' long-term changes. Regions showing distinct correlations
501	between temperature and pO_2 in the forced trends relative to the natural variability show a
502	weakening metabolic resilience; loss of habitat and emerging relatively early from natural
503	variability. For example, in the pelagic - epipelagic North Pacific, temperature-pO2 regressions
504	switched from a positive correlation in the unperturbed climate to a strong negative correlation in
505	the forced trend. Consequently, the pelagic-epipelagic North Pacific is projected to lose nearly
506	half of the present climate ecotype viability by the end of the century. This loss of pelagic -
507	epipelagic North Pacific habitat is projected to emerge earliest at 500 m (the 2030s) where
508	anthropogenic pO_2 losses are larger than at 200 m. On the other hand, in the Arctic Ocean and
509	some parts of the Southern Ocean, concomitant pO2-temperature correlations in the forced trends
510	result in the preservation of the marine habitat and even slight enhancements.
511	
512	5. Conclusions
513	

514 The joint temperature-oxygen metabolic framework in this study provides additional insight into 515 the impact of climate change on marine ecosystems in comparison to the independent oxygen or 516 temperature analysis. We here showed that while warming is the leading order driving 517 mechanism of climate change, the direct effect of warming on marine ecosystems is mostly in 518 the upper ocean. Climate change-related oxygen loss is a major driver of marine ecosystem stress 519 in addition to warming at depth. Incorporating organismal physiological sensitivity to oxygen-520 temperature changes in the metabolic framework provides insight into how climate impacts the 521 biogeographic structure of marine habitat. We find that underway forced trends perturbations in 522 pO_2 and temperature will strongly exceed those associated with the natural system in many parts 523 of the upper ocean, mostly pushing organisms in these environments closer to or beyond their 524 physiological limits. Climate warming is expected to drive significant marine habitat loss in the 525 surface tropical oceans and epipelagic - pelagic North Pacific Basin, while gaining marginal 526 habitat viability in the surface Arctic Ocean and some parts of the Ocean Southern. 527

6. Competing interests





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530	
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538	8. Author contribution
539	
540	PM and ML designed the study approach. PM developed the model code and analysis with
541	feedback from ML, CD and TI. PM prepared the manuscript with contributions from all co-
542	authors.
543	
544	9. Data access
545	
546	The CESM1 large ensemble data used in this study can be accessed in this location:
547	https://www.cesm.ucar.edu/community-projects/lens/data-sets
548	
549	10. References
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