

1 Climatic Controls on Metabolic Constraints in the Ocean

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12 13 **Abstract**

14 Observations and models indicate that climate warming is associated with the loss of dissolved
15 oxygen from the ocean. Dissolved oxygen is a fundamental requirement for heterotrophic marine
16 organisms (except marine mammals) and, since the basal metabolism of ectotherms increases
17 with temperature, warming increases organisms' oxygen demand. Therefore, warming and
18 deoxygenation pose a compound threat to marine ecosystems. In this study, we leverage an
19 ecophysiological framework and compilation of empirical trait data quantifying the temperature
20 sensitivity and oxygen requirements of metabolic rates for a range of marine species
21 ("ecotypes"). Using the Community Earth System Model Large Ensemble, we investigate how
22 natural climate variability and anthropogenic forcing impact the ability of marine environments
23 to support aerobic metabolisms on interannual to multi-decadal timescales. Warming and
24 deoxygenation projected over the next several decades will yield a reduction in the volume of
25 viable ocean habitat. We find that fluctuations in temperature and oxygen associated with natural
26 variability are distinct from those associated with anthropogenic forcing in the upper ocean.
27 Further, the joint temperature-oxygen anthropogenic signal emerges sooner than temperature and
28 oxygen independently from natural variability. Our results demonstrate that anthropogenic
29 perturbations underway in the ocean will strongly exceed those associated with the natural
30 system; in many regions, organisms will be pushed closer to or beyond their physiological limits,
31 leaving the ecosystem more vulnerable to extreme temperature-oxygen events.

32 **1. Introduction**

33 Dissolved oxygen (O₂) is a fundamental metabolic requirement for heterotrophic marine
34 organisms, excluding marine mammals (Portner, 2002; Keeling et al., 2010; Tiano et al., 2014).
35 The decline ocean O₂ due to warming is a tendency long predicted by models (Keeling et al.,
36 2010; Long et al., 2016; Oschlies et al., 2018) and recently found evident at the global scale in
37 compilations of in situ observations (Schmidtko et al., 2017; Ito et al., 2017). Deoxygenation is
38 driven by the direct effect of reduced oxygen solubility with warming compounded by
39 buoyancy-induced stratification in the upper ocean, which weakens the ventilation-mediated
40 supply of fresh oxygen to the ocean interior. While the full ecological implications of ocean
41 deoxygenation remain uncertain, it is clear that the physiological impacts of oxygen loss on
42 marine organisms can be considered explicitly in the context of warming: basal metabolic rates
43 for ectothermic organisms depend on ambient temperature and increase with warming (Gillooly
44 et al., 2001); thus, higher temperatures impose additional demand for oxygen to sustain aerobic
45 respiration (Deutsch et al., 2015). Consequently, as the ocean warms, even present-day oxygen
46 distributions may be insufficient to meet the oxygen demands of organisms living near key
47 physiological thresholds (Deutsch et al., 2022).

48
49 Model projections clearly demonstrate that warming and deoxygenation are consequences of
50 human-driven climate change, yet natural climate variability also produces important
51 fluctuations in these quantities. Indeed, evidence suggests that natural variability contributes to
52 hypoxic events, such as those observed in the California Current, where fish and benthic-
53 organism mortality has been associated with low-O₂ waters impinging on the continental shelf
54 (Pozo Buil and Di Lorenzo, 2017; Howard et al., 2020). A clear understanding of how natural
55 climate variability drives fluctuations in metabolic state and the associated implications for
56 organisms is a critical context in which to view long-term climate warming. Given that the
57 natural system is highly dynamic, climate change signals are often masked by decadal-scale
58 variability (Ito and Deutsch, 2010). While numerous authors have considered detection and
59 attribution of climate change for physical and biogeochemical variables (Rodgers et al., 2015;
60 Long et al., 2016; Schlunegger et al., 2019), comparatively little attention has been devoted to
61 explicitly characterizing the relative influence of natural and anthropogenic drivers of changes in
62 the ocean's capacity to support aerobic life. In this study, we approach this challenge by

63 leveraging the concept of the Metabolic Index (Φ) introduced by Deutsch et al. (2015). Φ is
64 based on the notion that aerobic organisms can persist only where the ambient oxygen partial
65 pressure (pO_2) is sufficient to sustain respiration. Φ incorporates an explicit representation of the
66 dependence of metabolic oxygen demand on temperature, thus providing a framework to
67 consider how joint oxygen and temperature variability constrain viable habitat in the ocean.

68

69 Many ocean organisms may already be under threat from deoxygenation (Hoegh-Guldberg and
70 Bruno, 2010; Breitburg et al., 2018); however, ongoing climate-driven loss of oxygen raises
71 important questions about the future of marine ecosystems: How will anthropogenic changes in
72 dissolved oxygen and temperature affect the capacity of ocean habitats to support aerobic
73 metabolism? What is the spatial and temporal distribution of changes in the ocean’s metabolic
74 state associated with climate variability? At what point can anthropogenic change in the ocean’s
75 metabolic state be distinguished from natural variability? This study addresses these questions
76 using a combination of metabolic theory, a dataset quantifying key physiological parameters for
77 a collection of marine species adapted to specific environments (“ecotypes”), and the oxygen and
78 temperature distributions as simulated in the Community Earth System Model, version 1 Large
79 Ensemble (CESM1-LE), which includes 34 members simulating ocean biogeochemistry under
80 climate variability and change from 1920–2100 forced using historical data and the
81 Representative Concentration Pathway Scenario 8.5 (RCP85) (Kay et al., 2015; Long et al.,
82 2016).

83

84 This paper is organized as follows. Section 2 presents a brief overview of the relevant metabolic
85 theory, the associated empirical datasets, and describes our approach to analysis. In Section 3 we
86 present results quantifying the joint temperature-oxygen variability simulated in the CESM1-LE,
87 evaluating the spatiotemporal structure of variability in marine ecotype habitat, including long-
88 term trends based on the RCP8.5 scenario and time of emergence (ToE). The main outcomes of
89 the results are synthesized in Section 4 and summarized in Section 5.

90

91 **2. Datasets and methods**

92 **2.1 Metabolic index**

93 Empirical studies measuring thermal tolerance and oxygen requirements in the laboratory on an
 94 array of marine organisms have enabled an assessment of lethal thresholds (Vaquer-Sunyer and
 95 Duarte, 2008; Rosewarne et al., 2016). These data coupled with recent advances in a theoretical
 96 framework enable both explanatory and predictive power in the context of a dynamic
 97 environment (Deutsch et al., 2015; Penn et al., 2018; Howard et al., 2020). The fundamental
 98 insights here are that basal metabolic rates for ectothermic marine organisms depend on ambient
 99 temperature and generally increase with warming (Gillooly et al., 2001). Increasing basal
 100 metabolic rates impose additional demand for oxygen. Organisms use oxygen dissolved in
 101 seawater and acquisition tends to be limited by diffusive processes; thus, oxygen supply is
 102 related to the ambient pO_2 . The ratio of oxygen supply to temperature-dependent demand
 103 provides a critical indicator of the capacity for an organism to meet its metabolic requirements.
 104 Deutsch et al. (2015) formalized these concepts into a quantity termed the “Metabolic Index
 105 (Φ)”, which is defined as the ratio of oxygen supply to an organism’s resting metabolic demand.
 106 Oxygen supply is parameterized according to a biomass-dependent scaling of pO_2 , capturing
 107 variation in the efficiency with which organisms acquire and utilize O_2 . This can be expressed as
 108 $S = \hat{\alpha}_s B^\sigma pO_2$, where $\hat{\alpha}_s$ represent gas transfer between an organism and its environment and B^δ
 109 is the scaling of supply with biomass, B (Piiper et al., 1971). Gas supply is represented as an
 110 Arrhenius function;

$$111 \quad \hat{\alpha}_s = \alpha_s \exp\left\{\frac{-E_s}{k_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\} \quad (1)$$

112

113 Resting metabolic demand is also expressed using the Arrhenius equation as

$$114 \quad D = \alpha_D B^\delta \exp\left\{\frac{-E_d}{k_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\}, \quad (2)$$

115 where α_D is a species-specific basal metabolic rate, E_d (eV) is the temperature dependence of
 116 oxygen supply, T is temperature, T_{ref} is the reference temperature (15°C), and k_B is the
 117 Boltzmann constant (Gillooly et al., 2001). Gas transfer is kinematically slow at low
 118 temperatures, and hence organism viability can be limited by the energy to acquire oxygen at low
 119 temperatures, thus E_o varies with temperature. Here we account for this by adding the
 120 temperature dependence (dE_o/dT) to E_o in equations above ($E_o + \frac{dE_o}{dT}(T - T_{ref})$), using the mean

121 value of $dE_o/dT = 0.022$ eV consistent with Deutsch et al. (2020). The Metabolic Index can thus
 122 be written as the ratio of S/D :

$$\begin{aligned}
 123 \quad \Phi &= \frac{\alpha_s B^\sigma}{\alpha_D B^\delta} pO_2 \exp\left\{\frac{-E_s}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right] + \frac{E_d}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\}, \\
 124 \quad &= A_o B^{\sigma-\delta} pO_2 \exp\left\{\frac{E_d - E_s}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\}, \\
 125 \quad &= A_o pO_2 \exp\left\{\frac{E_o}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\}, \tag{3}
 \end{aligned}$$

126 where $A_o = \alpha_s/\alpha_D$ (l/atm) is the hypoxic tolerance, $E_o = E_d - E_s$ (E_s is the temperature
 127 dependence of oxygen supply) (Deutsch et al., 2015; Penn et al., 2018). The exponent, $\varepsilon = \sigma -$
 128 δ , is the allometric scaling of the supply to demand ratio with biomass, is typically near zero.
 129 Therefore, in the analysis that follows, we presume unit biomass and thus neglect potential
 130 impacts of variations in biomass.

131
 132 If Φ falls below a critical threshold value of 1, conditions are physiologically unsustainable: an
 133 organism cannot meet its basic resting metabolic oxygen requirements. Conversely, values of Φ
 134 above 1 enable organismal metabolic rates to increase by a factor of Φ above resting levels,
 135 permitting critical activities such as feeding, defence, growth, and reproduction. Thus, for a
 136 given environment and species, Φ provides an estimate of the ratio of maximum sustainable
 137 metabolic rate to the minimum rate necessary for basal metabolism. Deutsch et al. (2015)
 138 inferred the ratio of active to resting energetic demand by examining the biogeographic
 139 distribution of several species, finding that range boundaries coincide with values of $\Phi = 1.5-7$.
 140 This threshold, termed critical rate (Φ_{crit}), represents the minimum metabolic index required for
 141 an organism to sustain an active metabolic state, which is a more meaningful ecological
 142 threshold than requirements for resting metabolism. Therefore, in this study, we define a quantity
 143 Φ' derived by dividing Φ by Φ_{crit} , so when Φ falls below 1, the organism can no longer sustain its
 144 active metabolic demand and will need to make physiological trade-offs. Accounting for these
 145 active metabolic requirements, we use an adjusted definition of the hypoxic tolerance trait, $A_c =$
 146 A_o / Φ_{crit} , where A_c is termed the ‘‘ecological hypoxia tolerance’’, consistent with Howard et al.,
 147 2020. Where $\Phi' > 1$ (i.e., $\Phi > \Phi_{crit}$) an organism can sustain an active metabolic rate; where $\Phi' <$
 148 1 (i.e., $\Phi < \Phi_{crit}$), O_2 is insufficient and an active metabolic state is not viable. Henceforth, our

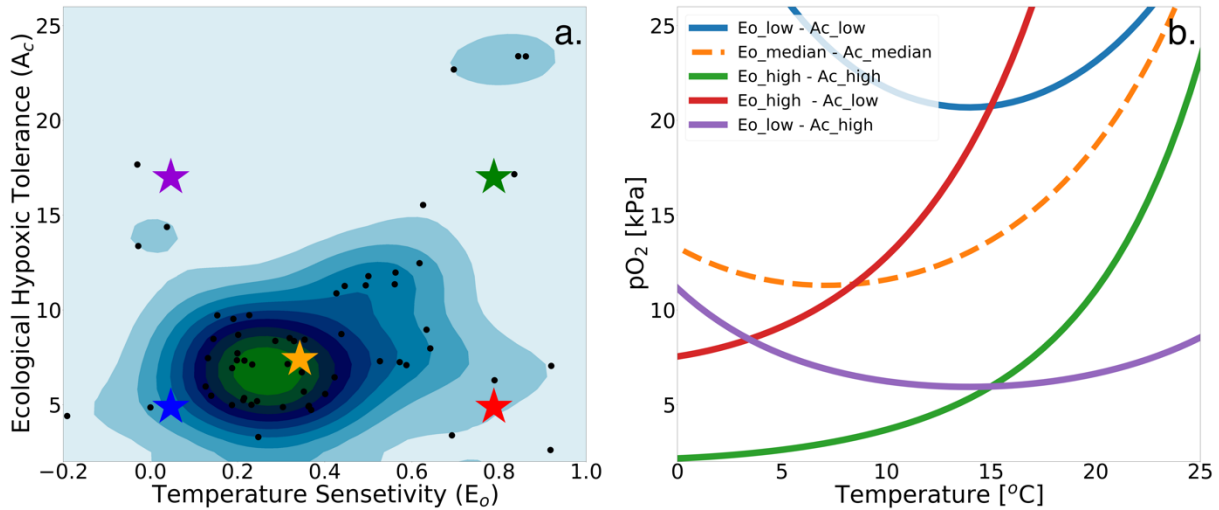
149 analysis focuses on Φ' ; in the subsequent metabatic index refers to Φ' ($\Phi' = \Phi$) throughout the
150 text and figures.

151

152 **2.2 Physiological dataset**

153 We make use of a dataset describing physiological parameters for a collection of 61 marine
154 ecotypes spanning a range of ecological hypoxic tolerances (A_c) and temperature sensitivities
155 (E_o) (Penn et al., 2018; Deutsch et al., 2020, Figure 1a). The 61 species span benthic and pelagic
156 habitats across four phyla in all ocean basins (Arthropoda, Chordata, Mollusca, and Cnidaria).
157 The dataset include 28 malacostracans, 21 fishes, three bivalves and cephalopods, two copepods,
158 and one each for gastropods, ascidians, scleractinian corals, and sharks with body mass spans of
159 eight orders of magnitude (Penn et al., 2018). We illustrate how the physiological traits E_o and A_c
160 constrain habitat viability in the context of distributions of pO_2 and temperature in the marine
161 environment in Figure 1b, which shows the minimum pO_2 (i.e., pO_2 at Φ_{crit}) required to sustain
162 an active metabolic state as a function of temperature for five combinations of E_o and A_c . The
163 five combinations are derived from sampling the probability distributions of E_o and A_c (Figure
164 1a) at the 10th, 50th, and 90th percentile values (illustrated by colored stars in Figure 1a and
165 corresponding curves in Figure 1b). We assume that the trait distributions are independent, which
166 is a reasonably modest simplification; E_o is represented by a normal distribution and A_c by a
167 lognormal distribution function (Figure S1). The pO_2 at Φ_{crit} curves shown in Figure 1b delineate
168 regions of pO_2 -temperature space that are habitable (above the curve) and uninhabitable (below
169 the curve). The reversing curvature of pO_2 at Φ_{crit} in Figure 1b at low temperature captures the
170 decrease of the organism's oxygen acquisition efficiency in cooler conditions yielding cold
171 intolerance. At very low temperatures, gas transfer is limited by the decrease in molecular gas
172 diffusion, as a consequence, oxygen transfer into the organisms requires energy, yielding cold

173 intolerance, this is well illustrating by the blue line in Figure 1b.



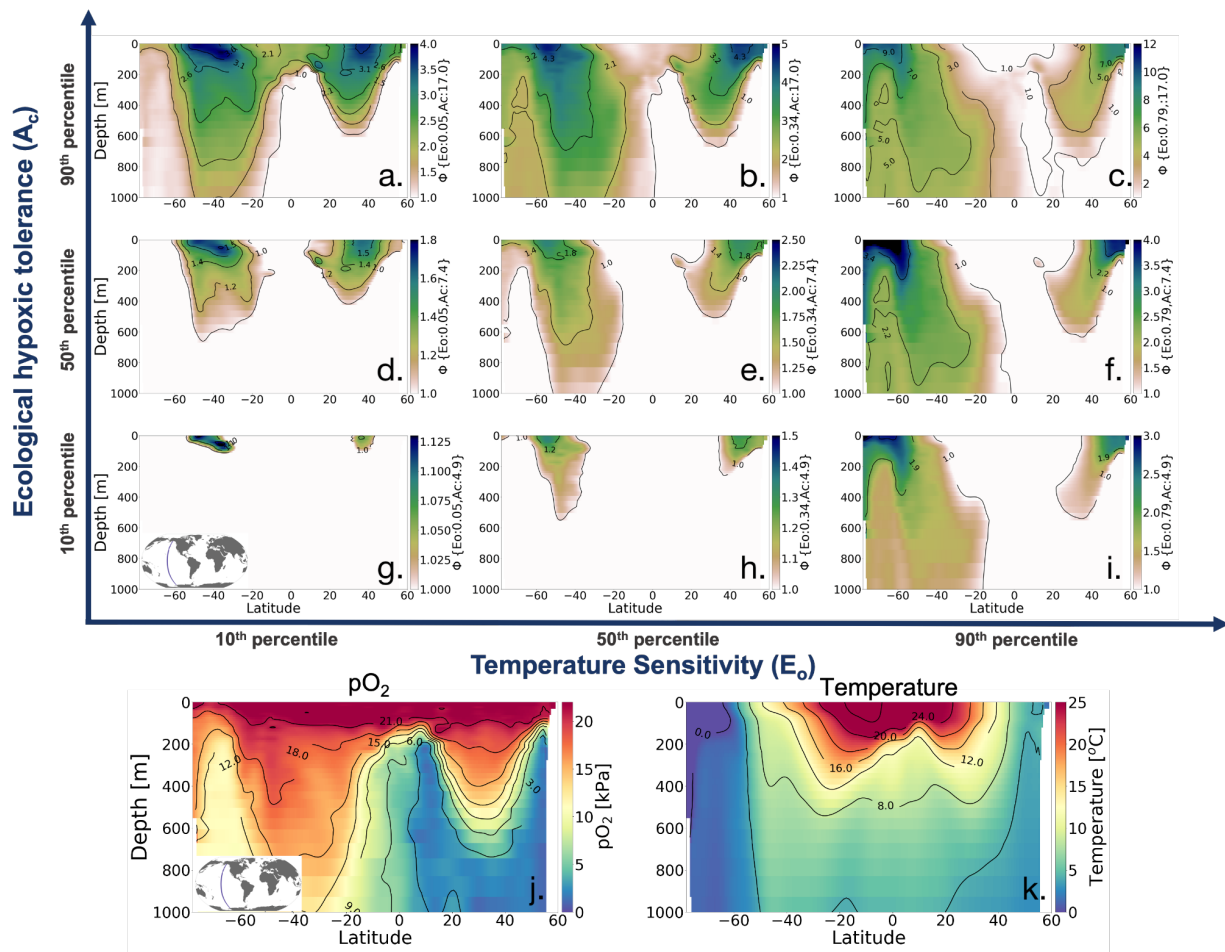
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175 **Figure 1.** Physiological traits determining hypoxic tolerance. (a) Scatter plot of 61 marine ecotypes for which
176 empirically derived estimates of activation energy (E_o) and the ecological hypoxic tolerance (A_c) have been
177 determined (Penn et al., 2018). The color shows the density of occurrence for the 61 marine ecotypes in the $A_c - E_o$
178 trait space. (b) The minimum pO_2 required to sustain an active metabolic state (i.e., pO_2 at Φ_{crit} , Deutsch et al., 2020)
179 for five combinations of A_c and E_o corresponding to the stars in panel “a”; these are combinations of the 10th, 50th,
180 90th percentile values for each parameter. Below the pO_2 lines shown, the organism would experience an oxygen
181 deficit relative to its active metabolism requirements, effectively signifying the species-specific hypoxic conditions,
182 based on physiological traits, for this range of temperatures.

183

184 To illustrate how the trait combinations of E_o and A_c exert control on the geographic distribution
185 of organisms in the marine environment (Deutsch et al., 2020), we use observations of pO_2 and T
186 along a zonal transect of the Pacific Ocean and plot Φ' for nine combinations of E_o and A_c
187 percentile values (Figure 2). The colorbar in Figures 2a-i show the metabolic index for an active
188 state (Φ'); regions with values above one are habitable (color), while regions with values below
189 one are uninhabitable (white) on the basis of metabolic constraints (other ecological
190 considerations are not considered). The subplots in the upper portion of the figure are arranged
191 according to the same trait axes shown in Figure 1a; E_o increases horizontally from left to right
192 and A_c increases from the bottom to the top. For the trait combination in the bottom left (low E_o ,
193 low A_c ; Figure 2g), metabolism is relatively insensitive to temperature, and tolerance for low
194 pO_2 is poor. Thus, ecotypes with low E_o and low A_c are restricted to high latitude surface waters,
195 where temperatures are cool, and pO_2 is abundant (Figure 2g). As E_o increases from left to right,

196 metabolic rates become more sensitive to temperature. Then, habitat is gained at depth, where
 197 temperatures are cooler and higher temperature sensitivity confers an advantage (Figure 2g–i).
 198 From the bottom to the top, the increase in tolerance of low pO_2 conditions increases habitability
 199 in regions of low pO_2 , enabling organisms to expand beyond high-latitude surface waters (Figure
 200 2g-a). The biogeographic range for organisms with high A_c is modulated by E_o ; as temperature
 201 sensitivity increases, ecotype viability at high latitudes is increased, but tropical surface waters
 202 become less viable (Figure 2 a-c). Henceforth, our analysis will utilize the metabolic index of the
 203 median ecotype ($E_o = 0.34$, $A_c = 7.4$; Figure 2e) for illustrative purposes; i.e., all metabolic index
 204 figures refer to this median ecotype unless otherwise stated.



205
 206 **Figure 2.** Annual mean metabolic index (Φ) for nine combinations of the ecological traits E_o (metabolic
 207 temperature sensitivity) and A_c (ecological hypoxic tolerance) along a transect in the Pacific Ocean based on a
 208 climatology from the World Ocean Atlas dataset (Garcia et al., 2014). The percentile values of each trait are: 10th (E_o
 209 = 0.04, $A_c = 4.8$), 50th ($E_o = 0.34$, $A_c = 7.4$), and 90th ($E_o = 0.79$, $A_c = 17.0$). The lower panels show pO_2 and

210 temperature from the WOA dataset. Note that the colorbar range differs by panel and values where $\Phi' < 1$ are
211 omitted, thus the color shows only areas where an active metabolic state can be sustained.

212

213 **2.3 Earth system model simulations**

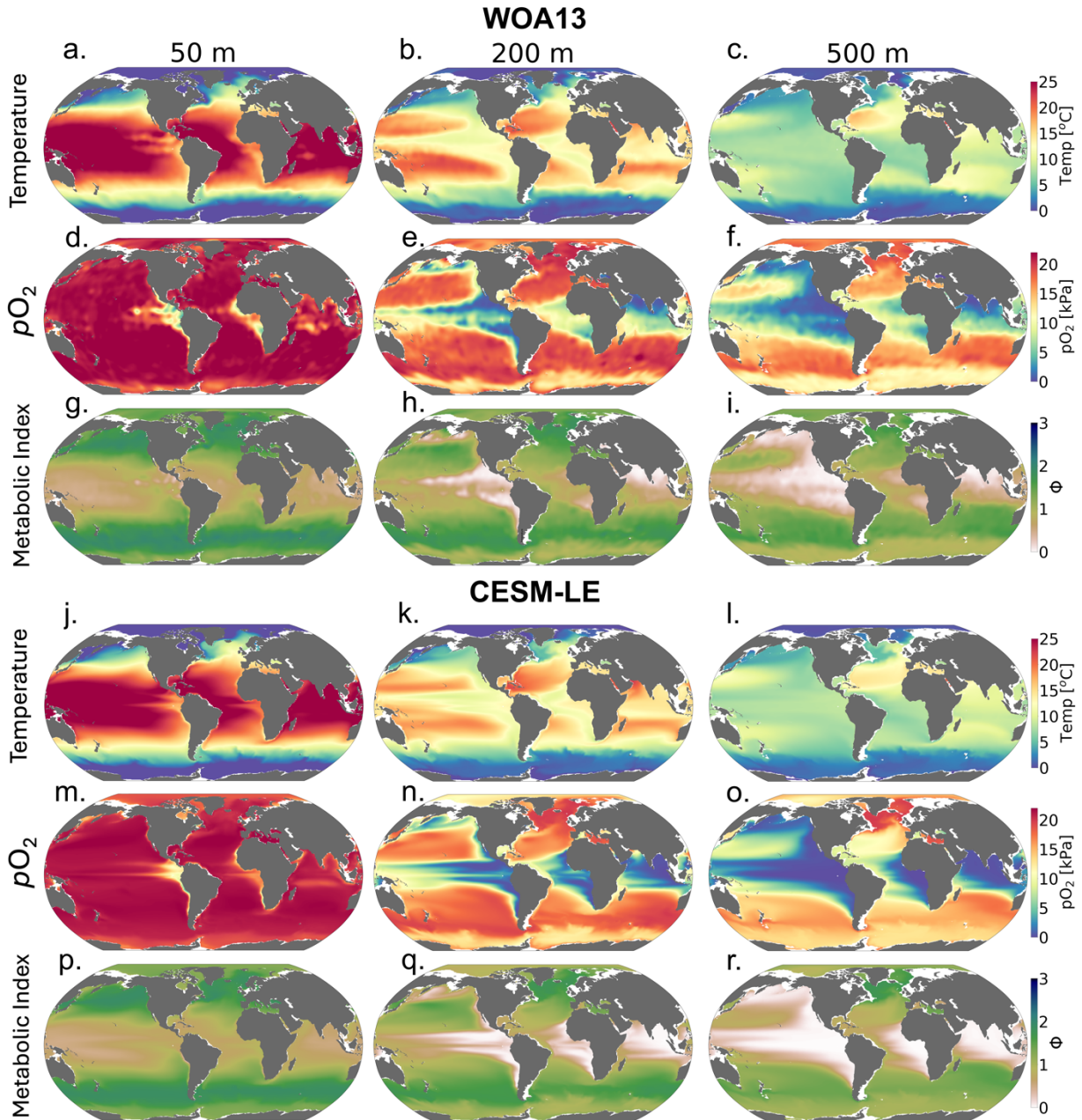
214 This study is based on the CESM1-LE, described in detail by Kay et al. (2015). The CESM1-LE
215 included 34 ensemble members integrated from 1920–2100 under historical and RCP8.5 forcing.

216 The ensemble was generated by adding round-off level (10^{-14} K) perturbations to the air
217 temperature field at initialization in 1920; this small difference yields rapidly diverging model
218 solutions due to the chaotic dynamics intrinsic to the climate system, thus developing ensemble
219 spread representative of internal variability (Kay et al., 2015). Briefly, the CESM1-LE uses the
220 Community Earth System Model, version 1 (Hurrell et al., 2013), with a horizontal resolution of
221 nominally 1° in all components. The ocean component is Parallel Ocean Program version 2,
222 (Smith et al., 2010) with sea ice simulated by the Los Alamos Sea Ice Model version 4 (Hunke
223 and Lipscomb, 2010). Ocean biogeochemistry was represented by the Biogeochemical Elemental
224 Cycling (BEC) model (Moore et al., 2013; Lindsay et al., 2014).

225

226 Our analysis focuses on three depths: 50 m representing near-surface dynamics, the epipelagic
227 zone at 200 m, and the mesopelagic zone at 500 m. pO_2 was calculated using the Garcia and
228 Gordon. (1992) solubility formulation. For convenience, we use the period 1920–1965 to define
229 a minimally-perturbed natural state, as this period is prior to the development of substantial
230 anthropogenic trends in ocean oxygen and temperature (Long et al., 2016). We also examine
231 distributions over the last three decades of the 21st century (2070–2099) to evaluate the projected
232 climate-change signal under RCP8.5. We use the mean across all 34 ensemble members to
233 quantify the deterministic, “forced” response of the climate system to anthropogenic influence
234 (Deser et al., 2012). The ensemble spread is thus indicative of the amplitude of variations
235 attributable to natural variability.

236



237
 238 **Figure 3.** Mean-state comparison with observations. The climatological mean of (top rows) temperature ($^{\circ}\text{C}$),
 239 (middle rows) $p\text{O}_2$ (kPa), and the (bottom rows) metabolic index for active metabolism (Φ') for the median ecotype
 240 ($E_o = 0.34$, $A_c = 7.4$); three depths are shown (left) 50 m, (center) 200 m, and (right) 500. Top panels show the
 241 WOA13 dataset and the bottom panels show CESM1-LE.

242

243 We compared the CESM1-LE (1920 - 1965) with the World Ocean Atlas, version 2013
 244 (WOA2013) dataset (Garcia et al., 2014), an observationally-based, gridded climatology (Figure

245 3a-i). CESM1-LE generally provides a reasonable representation of pO_2 and temperature
246 distributions at the selected depths (Figure 3); however, there are important biases to
247 acknowledge in the context of interpreting the results. Temperature magnitudes are generally
248 well simulated in the CESM1-LE, showing a root mean square error (RMSE) < 1.3 °C, and
249 pattern correlation coefficient (PCC) > 0.98 in all three selected depths (50 m, 200 m, and 500)
250 (Table 1). Temperature magnitudes are slightly underestimated at 50 m and 200 m (mean bias of
251 < 0.3 °C), and overestimated by 0.41 °C at 500 m. Note that since our comparison uses CESM1-
252 LE data from 1920-1965, some discrepancy in temperature might be expected from the signal of
253 climate warming present in the WOA observations. pO_2 is also reasonably well captured by the
254 CESM1-LE (PCC < 0.95), but magnitudes are slightly underestimated at depth, showing a mean
255 bias of -1.63 kPa and -2.1 kPa at 200 m and 500 m with respect to WOA13 (Table 1). Regions of
256 low pO_2 waters are too extensive in CESM1-LE (Figure 3n-o) and there is a slight degradation of
257 skill with depth for pO_2 fields (Table 1). The underestimation of pO_2 leads to a slight
258 underestimation of Φ' with respect to WOA13, and overestimate habitat loss in the future
259 climate (Figure 3 p-r); however, Φ' computed from the model fields demonstrates that the
260 dominant spatial patterns are well captured by the CESM1-LE despite magnitudes that are
261 slightly too low (i.e., Figure 1, c, l). This CESM pO_2 bias is common among coarse-resolutions
262 ocean models and it is attributed to a sluggish circulation and hence weak ventilation (Long et
263 al., 2016). These differences ultimately matter most near the hypoxic zones and at the boundaries
264 of habitable zones like the Oxygen Minimum Zones (OMZs).

265
266

267 **Table 1.** Summary statistics for the comparison of CESM1-LE with the World Ocean Atlas dataset (Garcia et al.,
 268 2014). The columns include the mean bias, pattern correlation coefficient (PCC), and root mean square error
 269 (RMSE) at 50 m, 200 m, and 500 m.

	Mean bias	R	RMSE
	Temperature [°C]		
50 m	-0.17	0.99	1.22
200 m	-0.25	0.99	1.22
500 m	0.10	0.98	0.63
	pO₂ [kPa]		
50 m	0.05	0.99	1.91
200 m	-1.17	0.96	5.96
500 m	-1.46	0.95	6.28
	Metabolic index		
50 m	0.01	0.99	0.02
200 m	-0.09	0.97	0.05
500 m	-0.15	0.96	0.08

270

271

272 **2. Results**

273

274 **3.1 Joint temperature- pO_2 natural variability and forced trends**

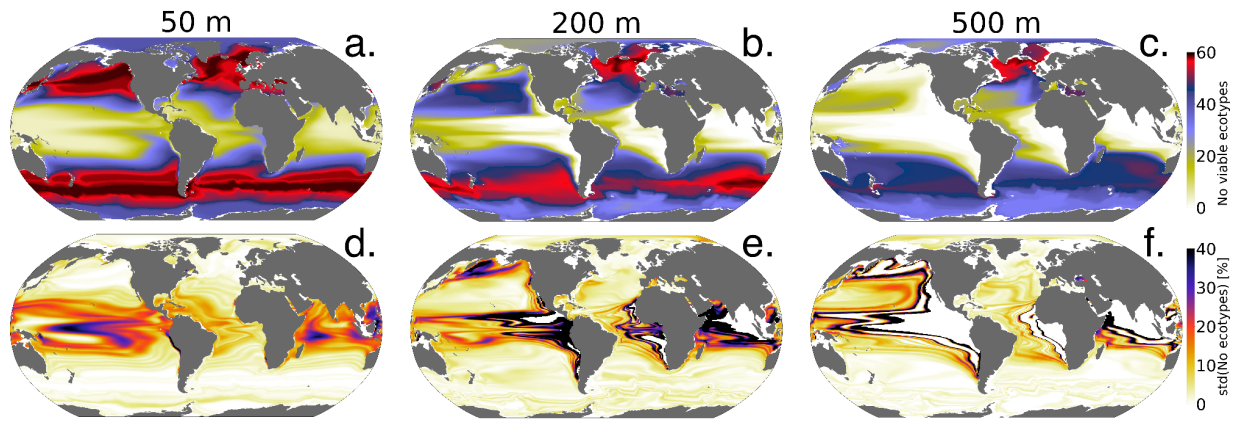
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276 The spatial distribution of the number of viable ecotypes is shown in Figure 4 for the
277 “unperturbed” climate (1920-1965). Our intention here is not to quantify the actual
278 biogeographic range of organisms in the environment, but rather to illustrate the ocean’s ability
279 to support respiration by marine ectotherms given the metabolic capacities afforded within the
280 trait space of extant organisms. High latitude environments do not impose strong aerobic
281 constraints (cold intolerance notwithstanding), thus over much of the Southern Ocean, North
282 Atlantic, and Arctic Ocean almost all 61 ecotypes can sustain respiration. The tropical oceans
283 impose the strongest aerobic constraints, restricting the viability of ecotypes that do not have
284 high-hypoxia tolerance (A_o). For example, less than 25 ecotypes are viable over much of the
285 tropical surface ocean (Figure 4a); low concentrations of oxygen at depth impose even stronger
286 constraints, and no ecotypes are viable in the core of OMZs (Figure 4b, c). The spatial patterns of
287 the number of viable ecotypes is tightly controlled by temperature at the surface, since pO_2 is
288 mostly near saturated levels; at depth, however, pO_2 is the dominant driver of geographic
289 patterns in ecotype viability (Figures 2-4). Temperature generally decreases with depth, reducing
290 the metabolic oxygen demand. However, since pO_2 also decreases with depth and displays
291 greater lateral heterogeneity, pO_2 emerges as the dominant constraint of spatial structure in
292 ecotype viability at depth.

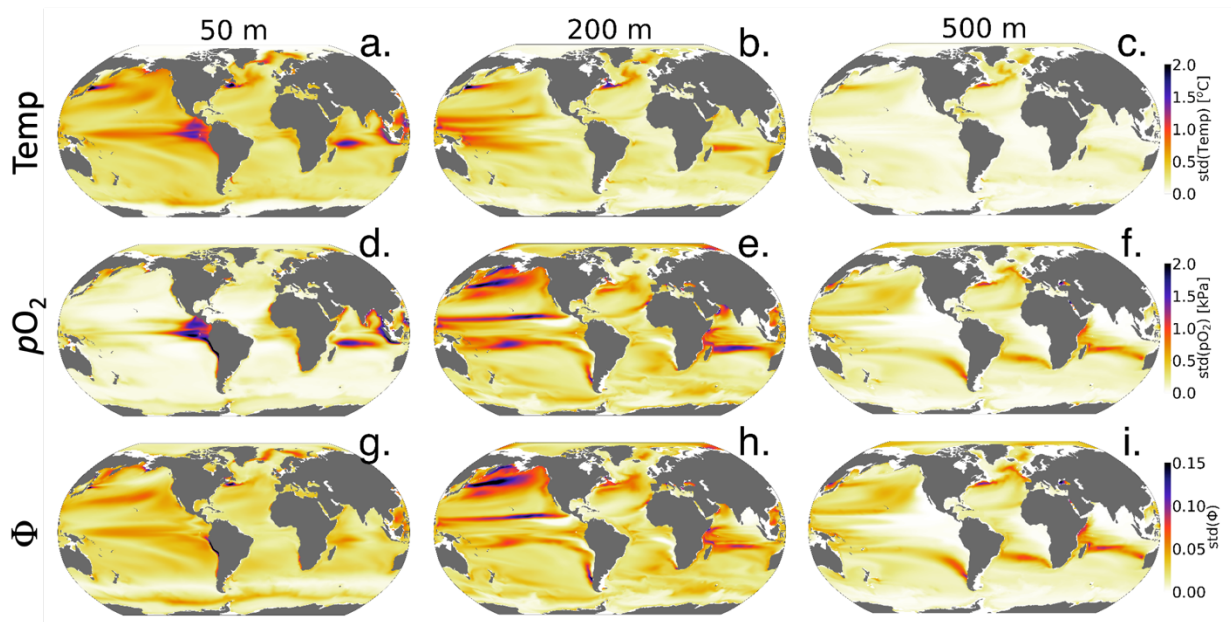
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294 The standard deviation of annual anomalies using all CESM1-LE ensemble members provides
295 insight into the amplitude of natural variability (Figure. 5, one standard deviation). Temperature
296 and pO_2 show similar patterns of natural variability in the upper ocean, both showing particularly
297 large variance in the western tropical Pacific and Indian Ocean (Figure 5 a, d). Spatial variation
298 in the magnitude of temperature variability generally decreases with depth, but pO_2 displays even
299 relatively larger variability at depth with respect to the surface in some regions (Figure 5 a–f).
300 The joint pO_2 -temperature variability manifests in variations of Φ' (Figure 5g-i). Natural
301 variability in Φ' computed for the median ecotype shows spatial patterns similar to temperature

302 in the upper-surface ocean (50 m), but is more similar to pO_2 at depth. Thus, variations in Φ' tend
 303 to be temperature-dominated near the surface, but are more strongly controlled by pO_2 variability
 304 at depth. Φ' also shows the most extensive natural variability at 200 m consistent with the
 305 variability of pO_2 . The number of viable species shows more dramatic fluctuations than
 306 variations in the median ecotype Φ' ; variations in the number of viable ecotypes exceed 30% on
 307 annual timescales in the tropical upper ocean and near OMZ boundaries in the water column
 308 (Figure 4 c–d). This reflects the fact that interannual variability can preclude habitability for
 309 some regions of the A_c - E_o trait space, but these variations do not necessarily impact viability for
 310 the median ecotype (Figure 1). In the tropical surface ocean, high temperatures ($>25^\circ\text{C}$), and
 311 saturated surface ($pO_2 > 20$ kPa) require high hypoxia tolerance (A_c), but permit a range of
 312 E_o values (Figure 1b, 2a-b). Ecotypes with larger temperature sensitivity (high E_o) are
 313 particularly responsive to variations in temperature.
 314



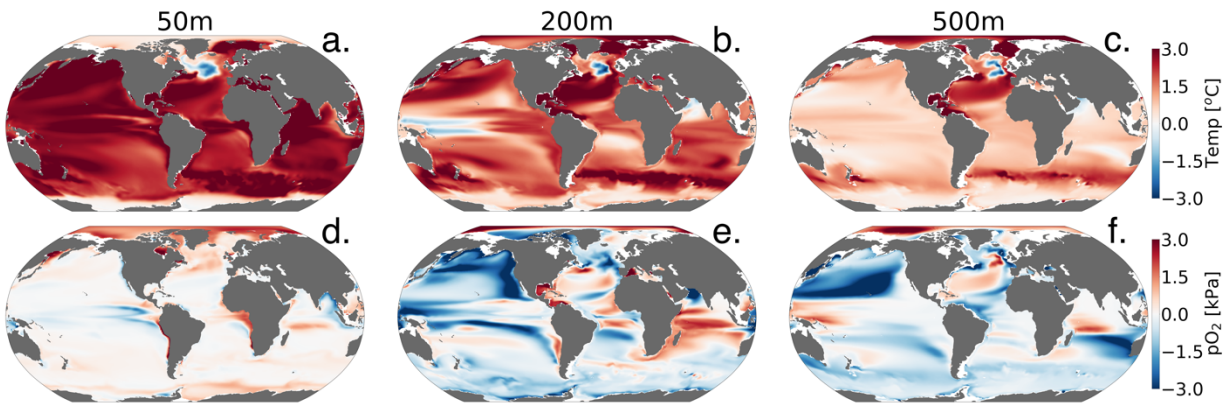
315
 316 **Figure 4.** Metabolic constraints on trait-space viability. Top row: the number of ecotypes from the physiological
 317 trait database that are viable (total = 61) in the CESM1-LE over the period 1920–1965. Bottom row: the standard
 318 deviation (expressed as a percent of the mean) in the number of viable ecotypes, reflecting fluctuations driven by
 319 natural variability.
 320



321
 322 **Figure 5.** The amplitude of natural variability in the ocean’s metabolic state. The panels show the standard deviation
 323 of annual-mean anomalies of all ensemble members over the period 1920–1965 for (top row) temperature (°C),
 324 (middle row) pO_2 (kPa), and (bottom row) the metabolic index (unitless) of the median ecotype ($E_o = 0.34$, $A_c = 7.4$).
 325

326 CESM1-LE simulates nearly homogeneous warming between 1920–1965 and 2070–2099 in the
 327 surface ocean (50 m) under RCP8.5, with an exception of the so-called North Atlantic warming
 328 hole (Figure 6a). Both modelling and observational studies have linked the North Atlantic
 329 warming hole to the slowing of the Atlantic overturning circulation with climate change (Keil et
 330 al., 2020). The magnitude of ocean warming generally diminishes with depth except in the North
 331 Atlantic, where, despite reductions, the overturning circulation effectively propagates
 332 anthropogenic heat anomalies into the ocean interior. pO_2 shows heterogeneous changes between
 333 1920–1965 and 2070–2099 (Figure 6 d-f). In the upper ocean, pO_2 changes are generally small (<
 334 1 kPa) because the near-surface is kept close to saturation via photosynthetic oxygen production
 335 and air-sea equilibration. At depth, however, pO_2 shows long-term changes linked to
 336 accumulated effects of respiration and changes in circulation (Ito et al., 2017). At 200 m for
 337 example, the Pacific Ocean displays a basin-wide mean reduction in pO_2 of 2 kPa (~30%), while
 338 the Atlantic and Indian basins gain about >2 kPa (~ 10 - 35%) by the end of the century. The
 339 largest long-term pO_2 loss (>3 kPa) occurs in the North Pacific while the largest pO_2 gain (~2

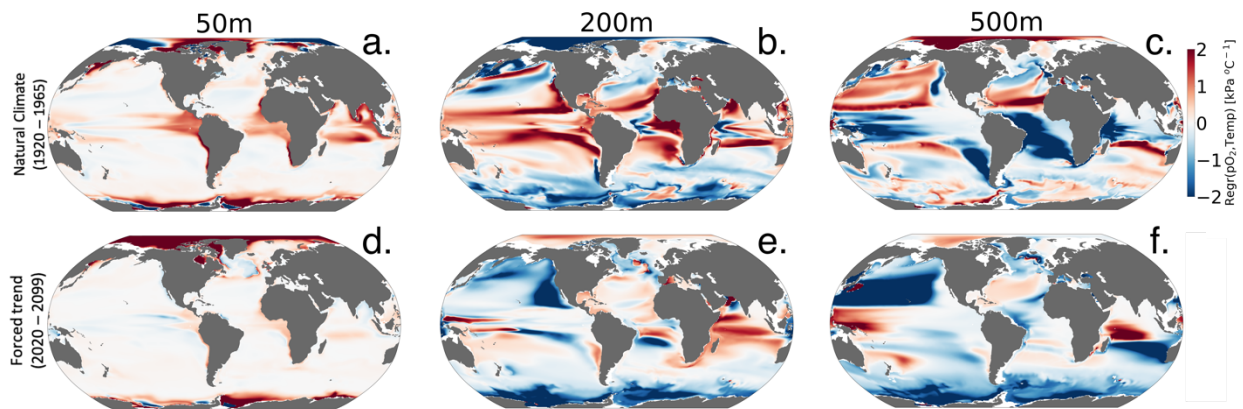
340 kPa) occurs in the North Atlantic gyre and western Indian Ocean (Figure 6 e-f).



341
342 **Figure 6.** Net long-term change (2070–2099 minus 1920–1965) in the CESM1-LE ensemble means temperature
343 (top) and (bottom) pO_2 at 50 m, 200 m, and 500 m.

344
345 Figure 7 shows the relationship between interannual variations in pO_2 versus temperature (pO_2 -
346 T) in the unperturbed climate (1920–1965; top row) and for the forced trend associated with 21st
347 century climate change (2070–2099 minus 1920–1965; bottom row). The nature of the pO_2 -T
348 relationship is an important indicator of the impacts of variability on the metabolic state.
349 Furthermore, the extent to which the forced trend is characterized by a pO_2 -T relationship that is
350 distinct from that associated with natural variability provides insight into the potential for
351 advanced or delayed detection of signals in Φ relative to pO_2 or temperature alone. Given that
352 metabolic rates for most organisms increase with temperature (positive E_o), a positive correlation
353 between variations in temperature and pO_2 is generally indicative of compensating changes,
354 wherein increased oxygen demand is at least partially offset by increased supply. Anticorrelation
355 between temperature and pO_2 , by contrast, will generally be associated with compounding
356 impacts on the metabolic index, as a negative correlation indicates that reductions in pO_2 (i.e.,
357 oxygen supply) accompany warming (i.e., increased demand). The sign of the pO_2 -T relationship
358 in the natural climate varies regionally and with depth (Figure 7, top row). The surface ocean is
359 generally characterized by a weak, positive pO_2 -T relationship, which could manifest from,
360 among other mechanisms, temperature-induced increases in photosynthetic oxygen production
361 (Figure 7a). The natural pO_2 -T relationship in the epipelagic (200 m) is characterized by strong
362 positive correlations in the tropics and negative correlations at high latitudes (Figure 7b). A
363 positive correlation between pO_2 and temperature at this depth could be induced by variability

364 associated with adiabatic vertical displacement of isopycnals, or “heave”, which has the effect of
 365 translating background gradients in properties vertically in the water column (Long et al., 2016).
 366 Upward movement of a deep isopycnal surface would yield a negative temperature anomaly and
 367 a negative pO_2 anomaly (positive correlation), as the deeper, colder waters have greater oxygen
 368 utilization signatures associated with longer ventilation age. Negative correlations between pO_2
 369 and temperature could manifest from ventilation processes, where enhanced subduction of
 370 surface water yields anomalously cold water masses that are enriched in oxygen. The sign of
 371 these epipelagic pO_2 -T correlations shows some similarity to those associated with the externally
 372 forced climate (Figure 6e), but the latter is characterized by a greater prevalence of
 373 anticorrelation, most notably in the North Pacific ocean. At 500 m depth, the relationship
 374 between temperature and pO_2 in the natural climate is almost a mirror image of the epipelagic
 375 (Figure 7c); the tropics generally display negative correlations, while polar regions show positive
 376 correlations (Figure 7 e). The pO_2 -T relationship in the forced trend at 500 m is dominated by
 377 broad regions of deeply negative correlations, with the most pronounced effect again in the
 378 North Pacific. The negative relationship is consistent with a ventilation signal, as buoyancy-
 379 induced stratification from warming curtails the introduction of new oxygen into the ocean
 380 interior. The predominantly negative pO_2 -T relationship associated with the forced trend is
 381 indicative of the compounding effects of climate change on metabolic state, increasing metabolic
 382 demand while simultaneously reducing oxygen supply.



383
 384 **Figure 7.** Regression of annual means pO_2 versus temperature ($kPa \text{ } ^\circ C^{-1}$) for (top row) interannual variability and
 385 (bottom row) the forced trend (difference between 2020–2099 and 1920–1965). The columns show the regressions
 386 computed at different depths, 50 m, 200 m, and 500 m, respectively.
 387

388 3.2 Long-term habitat changes

389

390 Figure 8 shows the climate-driven changes in Φ' for the median ecotype, as well as the impacts
391 of climate change on the number of viable ecotypes. Notably, while pO_2 in the near-surface
392 ocean is relatively insensitive to climate change (Figure 6d), there are reductions in Φ' in the
393 tropics (Figure 9d), owing to the direct impacts of warming. These changes are associated with
394 deep reductions in the number of viable ecotypes in the tropics (Figure 8a). There are modest
395 increases in Φ' and ecotype viability at high-latitudes; metabolic state in these regions is affected
396 by cold intolerance, thus warming broadens the viable region of trait space. Additionally, sea ice
397 melt support an increase in pO_2 , as gas exchange becomes more effective at restoring
398 equilibrium oxygen concentrations. The number of viable ecotypes shows more intense patterns
399 than those in the median ecotype Φ' in the upper ocean (Figure 8). This is partly because
400 ecotypes predicted to lose viability in the tropical regions ($\sim 50\%$) are at the extremes of the A_c -
401 E_o distribution (Figure 1) and not captured by the median ecotype Φ' . Nevertheless, outside the
402 tropical regions, the median ecotype gives a good indication of the anthropogenic impact to
403 marine ectotherms. The projected habitat loss in the epipelagic-pelagic North Pacific ($> 50\%$)
404 and habitat gain in the epipelagic-pelagic Southern Indian Ocean ($\sim 40\%$) and pelagic western
405 tropical regions ($\sim 40\%$) are consistent with a decrease in the median ecotype Φ' . Note that the
406 most pronounced effects on habitat are associated with regions where climate change drives a
407 strongly negative pO_2 -temperature relationship (Figure 7).

408

409

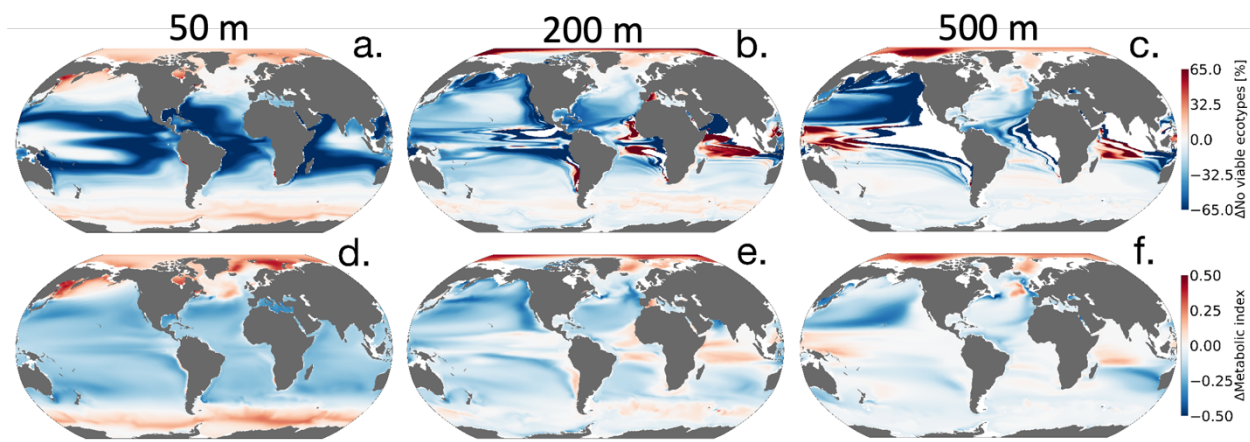


Figure 8. Net change in the number of habitable ecotypes in percentage (top row). Net metabolic index change

410 (2070 - 2099 vs. 1920 - 1965) for the median ecotype [$E_o = 0.34$, $A_c = 7.4$] (bottom row). At 50m (first column),
411 200m (second column) and 500m (third column).

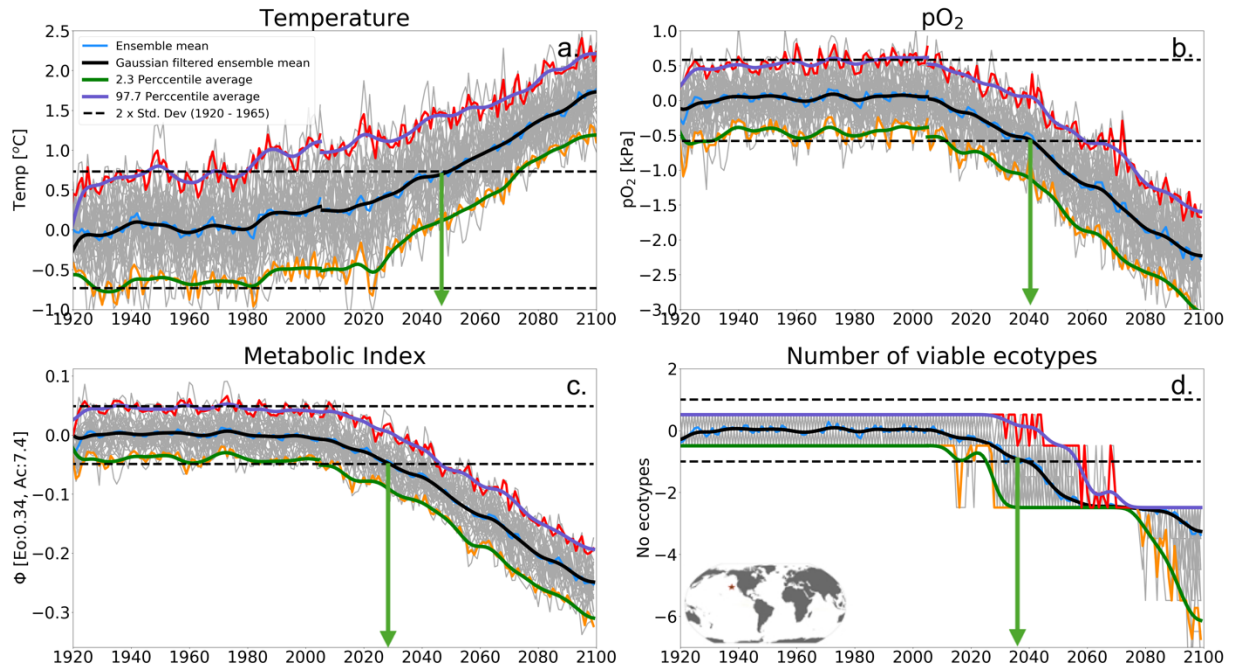
412

413 **3.3 Time of Emergence**

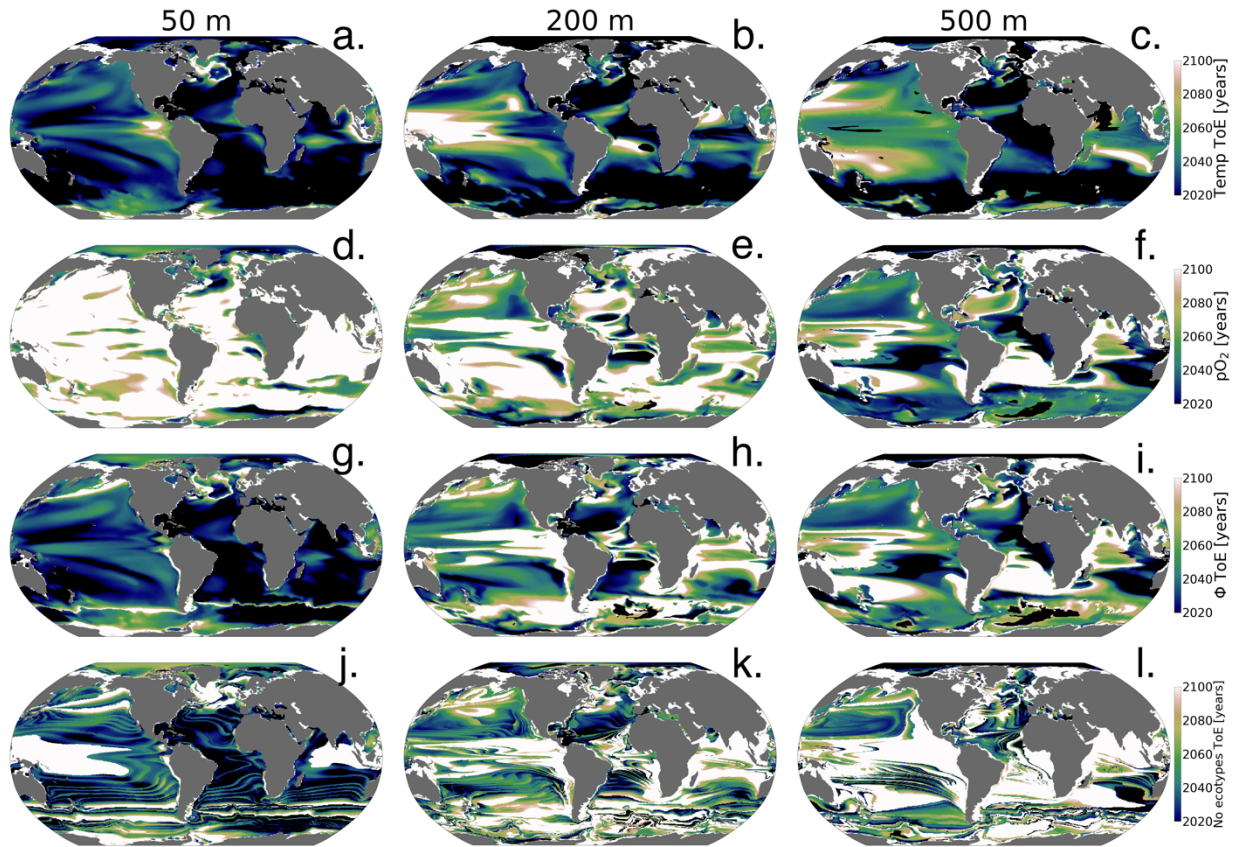
414

415 In this section, we examine the “time of emergence” (ToE, Hawkins and Sutton, 2012), the point
416 when forced changes in pO_2 , temperature and Φ' can be distinguished from the background
417 natural variability. We define ToE as the time when the magnitude of change in the ensemble
418 mean of a particular variable exceeds two standard deviations of the natural climate (1920 -
419 1965). This is illustrated in Figure 9 for a single grid point in the North Pacific at 200 m. At this
420 location, the forced trend in temperature shows a monotonic increase, while pO_2 shows a
421 monotonic decrease; as a result, Φ' for the median ecotype and the number of viable ecotypes
422 decrease over time. The anti-correlation between pO_2 and temperature exacerbates trends in Φ' ,
423 and hence the forced trend of the median ecotype Φ' emerges from natural noise earlier than
424 either pO_2 or temperature do alone (Figure 10a-c). Note that although the ToE of ecotype
425 viability change is directly derived from changes in Φ' , it is binary counted; changes in ecotype
426 viability are counted in whole numbers and this creates a step-function temporal-spatial variation
427 (Figure 9d). Consequently, this step-function-like feature of ecotype viability creates
428 discontinuities even in spatial patterns of ToE (Figure 10 j-l) as also shown in the natural
429 variance in Figure 4 d-f.

430



431
 432 **Figure 9.** Time of emergence (ToE) of the climate forcing signal for (a) temperature, (b) pO₂ (c) the metabolic
 433 index of the median ecotype [$E_o = 0.34$, $A_c = 7.4$], and (d) the number of viable ecotypes for a single model grid in
 434 the North Pacific at 200 m. ToE (green arrows) is defined as the time when the forced trend signal (ensemble
 435 member time series) is above two standard deviations (black dotted line) of all ensemble members for the period
 436 1920 - 1965.
 437

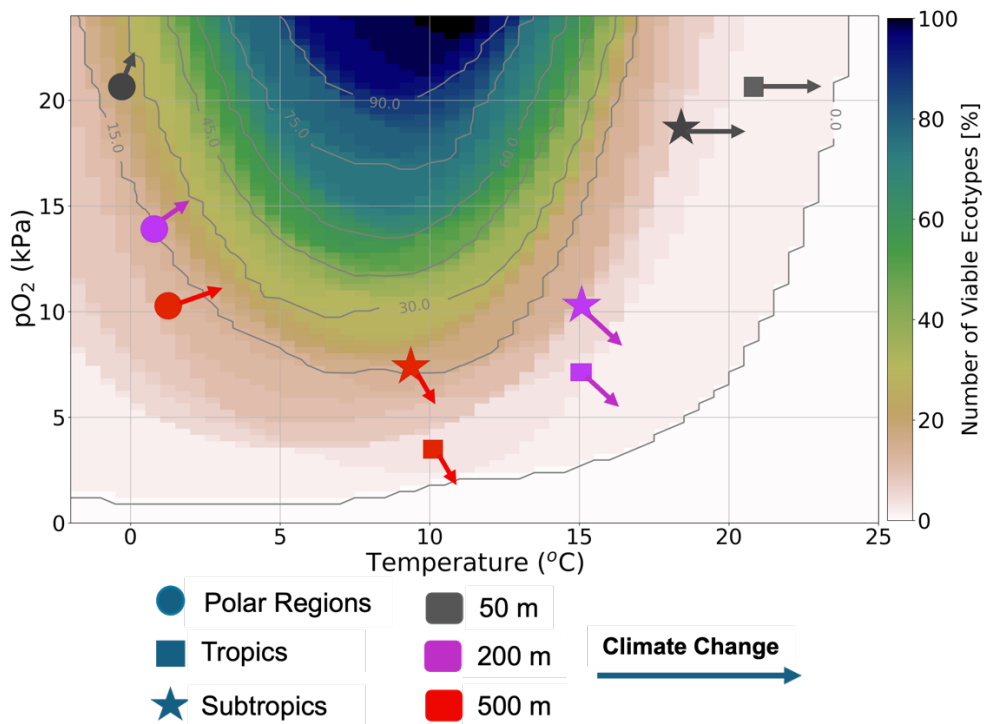


438
 439 **Figure 10.** Time of emergence (ToE) of the climate forcing signal for temperature, pO_2 , ϕ , and the number of
 440 viable ecotypes. ToE is defined as the time when the forced trend signal (ensemble member time series) is above
 441 two standard deviations of all ensemble members for the period 1920 - 1965.

442
 443 The ToE of pO_2 and temperature are inverted with depth; temperature emerges earliest in the
 444 upper ocean while pO_2 emerges earlier at depth and later or shows no emergence in the upper
 445 ocean (Figure 10 a-f). This feature is consistent with larger upper ocean temperatures long-term
 446 changes and greater pO_2 changes at depth. Near-surface ocean temperature has mostly already
 447 emerged by 2020 and is predicted to have almost completely emerged by the late 2060s under
 448 RCP85 (Figure 10 a-c). The early emergence of temperature from natural noise also persists for
 449 regions of relatively low natural variance at depth, e.g., the Southern Ocean and Atlantic Basin
 450 Gyres. Regions of the largest natural variability (see Figure 5) like the subtropical-subpolar
 451 Pacific however do not emerge until close to the end of the century. For pO_2 , anthropogenic
 452 changes in the upper ocean generally do not emerge from natural noise before the end of the
 453 century except for the Arctic Ocean and Eastern Antarctic. In the Arctic Ocean and Eastern

454 Antarctic pO_2 gain is related to sea-melt emergence by the mid-2050s (Figure 10a). The median
455 ecotype Φ' ToE shows spatial patterns that are coherent with temperature ToE in the upper ocean
456 with exception of polar regions. In contrast, they are consistent with pO_2 ToE patterns at depth;
457 this is consistent with net long-term Φ' changes in Figure 9d. The emergence of the
458 anthropogenic signal in ecotype viability closely resembles the median ecotype Φ' spatial
459 patterns but showing non-harmonious spatial patterns due to the step-function-like counting
460 feature of viability changes. It shows that the predicted $\sim 50\%$ ecotype viability loss in the
461 tropics (Figure 6a) may already be distinguishable from natural variability by the mid-2030s. In
462 the North Pacific, the predicted $> 50\%$ ecotype viability loss in the epipelagic-pelagic regions is
463 predicted to start emerging in the 2040s at 500 m and 2080s at 200 m (Figure 10 k-l).

464
465 In summary, we showed that because of the surface ocean's large warming signal and the least
466 pO_2 loss outside of the polar regions under the RCP85 climate scenario, it is characterized by
467 habitat loss in the tropics and a slight habitat gain in polar regions (Figure 11). Sea-ice melts
468 support Oxygen gain through the enhancement of temperature-driven solubility in the surface
469 polar regions. At depth, warming is less prevalent by the end of the 21st century; however,
470 oxygen loss related to the weakening ventilation of the ocean interior as the ocean becomes more
471 stratified has a stronger impact on metabolic reliance, leading to habitat loss in tropics and
472 subtropics. On the other hand, cooler temperatures and efficient ventilation in polar regions
473 create an oxygen-rich environment. Thus, in contrast to tropical and subtropical regions,
474 warming leads to a slight habitat gain (Figure 11), as organisms escape the cold intolerance
475 imposed by molecular gas diffusion at low temperatures.



476

477 **Figure 11.** Summary Figure: It shows the distribution of ecotype viability within representative ocean temperature
 478 and pO_2 boundaries for the 66 species analysed in this study. The markers represent the subsampled regions, with
 479 polar regions denoted by circles, tropical regions by squares, and subtropical regions by stars. The colours represent
 480 the depth levels; 50 m (grey), 200 m (purple), and 500 m (red). Each arrow shows the estimated joint temperature-
 481 pO_2 climate change vector based on the net changes in temperature and pO_2 (as depicted in Figure 6).

482

483 **4. Discussion**

484

485 The human-induced rapid warming of the planet has been shown to drive ocean deoxygenation
 486 (Ito et al., 2017; Schmidtko et al., 2017; Long et al., 2016). Higher metabolic oxygen demand at
 487 higher temperatures (Gillooly et al., 2001; Deutsch et al., 2015, 2022) raises concerns about the
 488 ability of marine ectotherms to support aerobic respiration in the future. This study set out to
 489 characterize the anticipated climate change signal in the ocean's metabolic state in the context of
 490 natural variability using the metabolic theory as a basis to examine the capacity of the
 491 environment to support ectothermic marine heterotrophs.

492

493 The spatial variation in pO_2 and temperature in the unperturbed natural climate state set
494 biogeographic boundaries based on ectotherms' physiological performance. The resilience of
495 these ectotherms' biogeographic structure to natural variability and long-term climate warming is
496 perturbed by the joint pO_2 -temperature changes, effectively measured by the metabolic index
497 (Φ'). An increase in the capacity of the organisms to support aerobic respiration increases Φ' ; for
498 example by ocean cooling or increase in oxygen supply contrary, warming and decrease in
499 oxygen supply decrease Φ' . There are exceptions in extremely low-temperature environments
500 (Figure 11), where aerobic respiration is also limited by kinematic gas transfer into the organism
501 in addition to environmental oxygen supply. Relative changes in pO_2 and temperature in the
502 natural variability and forced trend, therefore, regulate ectotherms' resilience to environmental
503 changes. Under the RCP85 climate scenario, the ocean generally warms homogeneously but
504 concurrent pO_2 changes are heterogeneous and vary with depth. Thus, the characteristics of these
505 pO_2 -temperature forced trend changes determine when the climate change impact on marine
506 ectotherms can be distinguishable from natural variability.

507

508 In the surface ocean, pO_2 is generally abundant and relatively uniform, and thus spatial
509 temperature variations have a dominant constraint on the spatial variations of organismic
510 metabolic state. The warmest parts of the surface ocean, the tropical oceans, can only support
511 about 10-20 (~ 30%) of the 61 ecotypes while cooler regions in the extra tropics have nearly
512 100% viability. Moreover, since warming anomalies propagate from the surface, the surface
513 tropical oceans also show the largest natural variance in temperature and ecotype viability. This
514 is because extremely warm temperatures in the surface tropics ($>25^\circ C$) are mainly suited for
515 organisms with high-temperature sensitivity (E_o), which are relatively fewer, and mostly close to
516 their physiological limits (Storch et al., 2014). Large natural variability in these warmest parts of
517 the tropical surface ocean precludes the forced trend signal from emerging from the natural
518 variability in the ecotype viability by end of the century although the ocean warms the largest in
519 the surface. Nevertheless, the large warming trends in the surface ocean generally emerge
520 relatively early (the 2020s) from natural variability in both temperature and ecotype viability in
521 most regions. Minimal changes in surface pO_2 in the forced trend affirm that surface ocean
522 marine ectotherms are mainly perturbed by temperature in the context of anthropogenic changes.
523 In polar regions, warming has a counterintuitive effect on marine ectotherms with respect to

524 most parts of the surface ocean. There, warming helps organisms escape extreme cold
525 intolerances by enhancing membrane kinematic gas transfer which enhances Φ' and thus ecotype
526 richness in the future (Figure 11)

527

528 In the epipelagic and mesopelagic regions (200 m and 500 m), the forced temperature trend and
529 natural variability are broadly smaller than the surface ocean, while pO_2 changes show the
530 opposite. Thus, at depth pO_2 play a more intricate role in perturbing marine ectotherm habitats
531 in the context of anthropogenic warming with respect to the surface ocean, where temperature
532 plays a dominant role. Contrasting the regression between pO_2 and temperature in the natural
533 climate, and forced trends provides an instructive framework to analysing ectotherms' long-term
534 changes. Regions showing different correlations between temperature and pO_2 in the forced
535 trends in comparison to the natural climate suggest a loss of metabolic resilience; loss of habitat,
536 and these regions tend to have a relatively early ToE. For instance, in the epipelagic and
537 mesopelagic North Pacific, temperature- pO_2 regressions switched from a positive correlation in
538 the unperturbed climate to a strong negative correlation in the forced trend (Figure 7). The North
539 Pacific pelagic – epipelagic regions is projected to lose nearly half of the present climate ecotype
540 viability by end of the 21st century, the projected habitat loss start emerging by the late 2030s
541 under the RCP85 climate scenario, On the other hand, in the Arctic Ocean and some parts of the
542 Southern Ocean, same sign pO_2 -temperature correlations in the forced trends result in the
543 preservation of the marine habitat and even slight enhancements.

544

545 **5. Conclusions**

546

547 The joint temperature-oxygen metabolic framework in this study provides additional insight into
548 the impact of climate change on marine ecosystems in comparison to the independent oxygen or
549 temperature analysis. We here showed that while warming is the leading order driving
550 mechanism of climate change, the direct effect of warming on marine ecosystems is mostly in
551 the upper ocean. Climate change-related oxygen loss is a major driver of marine ecosystem stress
552 in addition to warming at depth. Incorporating organismal physiological sensitivity to oxygen-
553 temperature changes in the metabolic framework provides insight into how climate impacts the

554 biogeographic structure of marine habitat. We find that forced perturbations to pO₂ and
555 temperature will strongly exceed those associated with the natural system in many parts of the
556 upper ocean, mostly pushing organisms in these environments closer to or beyond their
557 physiological limits. Climate warming is expected to drive significant marine habitat loss in the
558 surface tropical oceans and epipelagic - pelagic North Pacific Basin, while gaining marginal
559 habitat viability in the surface Arctic Ocean and some parts of the Ocean Southern.

560

561 **6. Competing interests**

562 The contact author has declared that none of the authors has any competing interests

563

564 **7. Acknowledgments**

565

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571 **8. Author contribution**

572

573 PM and ML designed the study approach. PM developed the analysis with feedback from ML,
574 CD and TI. PM prepared the manuscript with contributions from all co-authors.

575

576 **9. Data access**

577

578 The CESM1 large ensemble data used in this study can be accessed in this location:

579 <https://www.cesm.ucar.edu/community-projects/lens/data-sets>

580

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