# **1** Climatic Controls on Metabolic Constraints in the Ocean

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#### 13 Abstract

14 Observations and models indicate that climate warming is associated with the loss of dissolved 15 oxygen from the ocean. Dissolved oxygen is a fundamental requirement for heterotrophic marine 16 organisms (except marine mammals) and, since the basal metabolism of ectotherms increases with temperature, warming increases organisms' oxygen demand. Therefore, warming and 17 18 deoxygenation pose a compound threat to marine ecosystems. In this study, we leverage an 19 ecophysiological framework and compilation of empirical trait data quantifying the temperature 20 sensitivity and oxygen requirements of metabolic rates for a range of marine species 21 ("ecotypes"). Using the Community Earth System Model Large Ensemble, we investigate how 22 natural climate variability and anthropogenic forcing impact the ability of marine environments 23 to support aerobic metabolisms on interannual to multi-decadal timescales. Warming and 24 deoxygenation projected over the next several decades will yield a reduction in the volume of 25 viable ocean habitat. We find that fluctuations in temperature and oxygen associated with natural 26 variability are distinct from those associated with anthropogenic forcing in the upper ocean. 27 Further, the joint temperature-oxygen anthropogenic signal emerges sooner than temperature and 28 oxygen independently from natural variability. Our results demonstrate that anthropogenic 29 perturbations underway in the ocean will strongly exceed those associated with the natural 30 system; in many regions, organisms will be pushed closer to or beyond their physiological limits,

31 leaving the ecosystem more vulnerable to extreme temperature-oxygen events.

#### 32 **1. Introduction**

33 Dissolved oxygen  $(O_2)$  is a fundamental metabolic requirement for heterotrophic marine 34 organisms, excluding marine mammals (Portner, 2002; Keeling et al., 2010; Tiano et al., 2014). 35 The decline ocean  $O_2$  due to warming is a tendency long predicted by models (Keeling et al., 36 2010; Long et al., 2016; Oschlies et al., 2018) and recently found evident at the global scale in 37 compilations of in situ observations (Schmidtko et al., 2017; Ito et al., 2017). Deoxygenation is 38 driven by the direct effect of reduced oxygen solubility with warming compounded by 39 buoyancy-induced stratification in the upper ocean, which weakens the ventilation-mediated 40 supply of fresh oxygen to the ocean interior. While the full ecological implications of ocean 41 deoxygenation remain uncertain, it is clear that the physiological impacts of oxygen loss on 42 marine organisms can be considered explicitly in the context of warming: basal metabolic rates 43 for ectothermic organisms depend on ambient temperature and increase with warming (Gillooly 44 et al., 2001); thus, higher temperatures impose additional demand for oxygen to sustain aerobic 45 respiration (Deutsch et al., 2015). Consequently, as the ocean warms, even present-day oxygen 46 distributions may be insufficient to meet the oxygen demands of organisms living near key 47 physiological thresholds (Deutsch et al., 2022).

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49 Model projections clearly demonstrate that warming and deoxygenation are consequences of 50 human-driven climate change, yet natural climate variability also produces important 51 fluctuations in these quantities. Indeed, evidence suggests that natural variability contributes to 52 hypoxic events, such as those observed in the California Current, where fish and benthic-53 organism mortality has been associated with low-O<sub>2</sub> waters impinging on the continental shelf 54 (Pozo Buil and Di Lorenzo, 2017; Howard et al., 2020). A clear understanding of how natural 55 climate variability drives fluctuations in metabolic state and the associated implications for 56 organisms is a critical context in which to view long-term climate warming. Given that the 57 natural system is highly dynamic, climate change signals are often masked by decadal-scale 58 variability (Ito and Deutsch, 2010). While numerous authors have considered detection and 59 attribution of climate change for physical and biogeochemical variables (Rodgers et al., 2015; Long et al., 2016; Schlunegger et al., 2019), comparatively little attention has been devoted to 60 61 explicitly characterizing the relative influence of natural and anthropogenic drivers of changes in 62 the ocean's capacity to support aerobic life. In this study, we approach this challenge by

63 leveraging the concept of the Metabolic Index ( $\Phi$ ) introduced by Deutsch et al. (2015).  $\Phi$  is

based on the notion that aerobic organisms can persist only where the ambient oxygen partial

65 pressure  $(pO_2)$  is sufficient to sustain respiration.  $\Phi$  incorporates an explicit representation of the

66 dependence of metabolic oxygen demand on temperature, thus providing a framework to

- 67 consider how joint oxygen and temperature variability constrain viable habitat in the ocean.
- 68

69 Many ocean organisms may already be under threat from deoxygenation (Hoegh-Guldberg and 70 Bruno, 2010; Breitburg et al., 2018); however, ongoing climate-driven loss of oxygen raises 71 important questions about the future of marine ecosystems: How will anthropogenic changes in 72 dissolved oxygen and temperature affect the capacity of ocean habitats to support aerobic 73 metabolism? What is the spatial and temporal distribution of changes in the ocean's metabolic state associated with climate variability? At what point can anthropogenic change in the ocean's 74 75 metabolic state be distinguished from natural variability? This study addresses these questions 76 using a combination of metabolic theory, a dataset quantifying key physiological parameters for 77 a collection of marine species adapted to specific environments ("ecotypes"), and the oxygen and 78 temperature distributions as simulated in the Community Earth System Model, version 1 Large 79 Ensemble (CESM1-LE), which includes 34 members simulating ocean biogeochemistry under 80 climate variability and change from 1920-2100 forced using historical data and the 81 Representative Concentration Pathway Scenario 8.5 (RCP85) (Kay et al., 2015; Long et al., 82 2016).

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This paper is organized as follows. Section 2 presents a brief overview of the relevant metabolic theory, the associated empirical datasets, and describes our approach to analysis. In Section 3 we present results quantifying the joint temperature-oxygen variability simulated in the CESM1-LE, evaluating the spatiotemporal structure of variability in marine ecotype habitat, including longterm trends based on the RCP8.5 scenario and time of emergence (ToE). The main outcomes of the results are synthesized in Section 4 and summarized in Section 5.

- 91 **2. Datasets and methods**
- 92 **2.1 Metabolic index**

93 Empirical studies measuring thermal tolerance and oxygen requirements in the laboratory on an 94 array of marine organisms have enabled an assessment of lethal thresholds (Vaguer-Sunver and 95 Duarte, 2008; Rosewarne et al., 2016). These data coupled with recent advances in a theoretical 96 framework enable both explanatory and predictive power in the context of a dynamic 97 environment (Deutsch et al., 2015; Penn et al., 2018; Howard et al., 2020). The fundamental 98 insights here are that basal metabolic rates for ectothermic marine organisms depend on ambient 99 temperature and generally increase with warming (Gillooly et al., 2001). Increasing basal 100 metabolic rates impose additional demand for oxygen. Organisms use oxygen dissolved in 101 seawater and acquisition tends to be limited by diffusive processes; thus, oxygen supply is related to the ambient  $pO_2$ . The ratio of oxygen supply to temperature-dependent demand 102 103 provides a critical indicator of the capacity for an organism to meet its metabolic requirements. 104 Deutsch et al. (2015) formalized these concepts into a quantity termed the "Metabolic Index 105  $(\Phi)$ ", which is defined as the ratio of oxygen supply to an organism's resting metabolic demand. 106 Oxygen supply is parameterized according to a biomass-dependent scaling of  $pO_2$ , capturing 107 variation in the efficiency with which organisms acquire and utilize O<sub>2</sub>. This can be expressed as  $S = \hat{\alpha}_s B^{\sigma} p O_2$ , where  $\hat{\alpha}_s$  represent gas transfer between an organism and its environment and  $B^{\delta}$ 108 109 is the scaling of supply with biomass, B (Piiper et al., 1971). Gas supply is represented as an 110 Arrhenius function;

$$\hat{\alpha}_{s} = \alpha_{s} exp\{\frac{-E_{s}}{K_{B}} \left[ \frac{1}{T} - \frac{1}{T_{ref}} \right] \}$$
(1)

- 112
- 113 Resting metabolic demand is also expressed using the Arrhenius equation as

114 
$$D = \alpha_D B^{\delta} exp\{\frac{-E_d}{K_B} \left[ \frac{1}{T} - \frac{1}{T_{ref}} \right] \}, \qquad (2)$$

115 where  $\alpha_D$  is a species-specific basal metabolic rate,  $E_d$  (eV) is the temperature dependence of 116 oxygen supply, T is temperature,  $T_{ref}$  is the reference temperature (15°C), and  $k_B$  is the 117 Boltzmann constant (Gillooly et al., 2001). Gas transfer is kinematically slow at low 118 temperatures, and hence organism viability can be limited by the energy to acquire oxygen at low 119 temperatures, thus  $E_o$  varies with temperature. Here we account for this by adding the 120 temperature dependence ( $dE_o/dT$ ) to  $E_o$  in equations above ( $E_o + \frac{dE_o}{dT}(T - T_{ref})$ ), using the mean 121 value of  $dE_o/dT = 0.022$  eV consistent with Deutsch et al. (2020). The Metabolic Index can thus 122 be written as the ratio of *S/D*:

123 
$$\Phi = \frac{\alpha_s}{\alpha_D} \frac{B^{\sigma}}{B^{\delta}} pO_2 exp\left\{\frac{-E_s}{K_B}\left[\frac{1}{T} - \frac{1}{T_{ref}}\right] + \frac{E_d}{K_B}\left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\right\},$$

124 
$$= A_o B^{\sigma-\delta} p O_2 exp\{\frac{E_d - E_s}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\},$$

125 
$$= A_o p O_2 exp\{\frac{E_o}{K_B} \left[ \frac{1}{T} - \frac{1}{T_{ref}} \right] \},$$
(3)

where  $A_o = \alpha_s / \alpha_D (1/atm)$  is the hypoxic tolerance,  $E_o = E_d - E_s (E_s)$  is the temperature dependence of oxygen supply) (Deutsch et al., 2015; Penn et al., 2018). The exponent,  $\varepsilon = \sigma - \delta$ , is the allometric scaling of the supply to demand ratio with biomass, is typically near zero. Therefore, in the analysis that follows, we presume unit biomass and thus neglect potential impacts of variations in biomass.

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If  $\Phi$  falls below a critical threshold value of 1, conditions are physiologically unsustainable: an 132 organism cannot meet its basic resting metabolic oxygen requirements. Conversely, values of  $\Phi$ 133 134 above 1 enable organismal metabolic rates to increase by a factor of  $\Phi$  above resting levels, 135 permitting critical activities such as feeding, defence, growth, and reproduction. Thus, for a 136 given environment and species,  $\Phi$  provides an estimate of the ratio of maximum sustainable 137 metabolic rate to the minimum rate necessary for basal metabolism. Deutsch et al. (2015) 138 inferred the ratio of active to resting energetic demand by examining the biogeographic 139 distribution of several species, finding that range boundaries coincide with values of  $\Phi = 1.5-7$ . 140 This threshold, termed critical rate ( $\Phi_{crit}$ ), represents the minimum metabolic index required for 141 an organism to sustain an active metabolic state, which is a more meaningful ecological 142 threshold than requirements for resting metabolism. Therefore, in this study, we define a quantity  $\Phi'$  derived by dividing  $\Phi$  by  $\Phi_{crit}$ , so when  $\Phi$  falls below 1, the organism can no longer sustain its 143 144 active metabolic demand and will need to make physiological trade-offs. Accounting for these 145 active metabolic requirements, we use an adjusted definition of the hypoxic tolerance trait,  $A_c =$  $A_o / \Phi_{crit}$ , where  $A_c$  is termed the "ecological hypoxia tolerance", consistent with Howard et al., 146 147 2020. Where  $\Phi' > 1$  (i.e.,  $\Phi > \Phi_{crit}$ ) an organism can sustain an active metabolic rate; where  $\Phi' < \Phi'$ 1 (i.e.,  $\Phi < \Phi_{crit}$ ),  $O_2$  is insufficient and an active metabolic state is not viable. Henceforth, our 148

149 analysis focuses on  $\Phi'$ ; in the subsequent metabatic index refers to  $\Phi' (\Phi' = \Phi)$  throughout the 150 text and figures.

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#### 152 2.2 Physiological dataset

153 We make use of a dataset describing physiological parameters for a collection of 61 marine 154 ecotypes spanning a range of ecological hypoxic tolerances  $(A_c)$  and temperature sensitivities 155  $(E_o)$  (Penn et al., 2018; Deutsch et al., 2020, Figure 1a). The 61 species span benthic and pelagic 156 habitats across four phyla in all ocean basins (Arthropoda, Chordata, Mollusca, and Cnidaria). 157 The dataset include 28 malacostracans, 21 fishes, three bivalves and cephalopods, two copepods, 158 and one each for gastropods, ascidians, scleractinian corals, and sharks with body mass spans of 159 eight orders of magnitude (Penn et al., 2018). We illustrate how the physiological traits  $E_o$  and  $A_c$ constrain habitat viability in the context of distributions of  $pO_2$  and temperature in the marine 160 161 environment in Figure 1b, which shows the minimum  $pO_2$  (i.e.,  $pO_2$  at  $\Phi_{crit}$ ) required to sustain 162 an active metabolic state as a function of temperature for five combinations of  $E_o$  and  $A_c$ . The 163 five combinations are derived from sampling the probability distributions of  $E_{a}$  and  $A_{c}$  (Figure 1a) at the 10<sup>th</sup>, 50<sup>th</sup>, and 90<sup>th</sup> percentile values (illustrated by colored stars in Figure 1a and 164 165 corresponding curves in Figure 1b). We assume that the trait distributions are independent, which is a reasonably modest simplification;  $E_o$  is represented by a normal distribution and  $A_c$  by a 166 167 lognormal distribution function (Figure S1). The  $pO_2$  at  $\Phi_{crit}$  curves shown in Figure 1b delineate 168 regions of  $pO_2$ -temperature space that are habitable (above the curve) and uninhabitable (below the curve). The reversing curvature of  $pO_2$  at  $\Phi_{crit}$  in Figure 1b at low temperature captures the 169 170 decrease of the organism's oxygen acquisition efficiency in cooler conditions yielding cold 171 intolerance. At very low temperatures, gas transfer is limited by the decrease in molecular gas 172 diffusion, as a consequence, oxygen transfer into the organisms requires energy, yielding cold





175 Figure 1. Physiological traits determining hypoxic tolerance. (a) Scatter plot of 61 marine ecotypes for which 176 empirically derived estimates of activation energy  $(E_o)$  and the ecological hypoxic tolerance  $(A_c)$  have been 177 determined (Penn et al., 2018). The color shows the density of occurrence for the 61 marine ecotypes in the  $A_c$  -  $E_o$ 178 trait space. (b) The minimum  $pO_2$  required to sustain an active metabolic state (i.e.,  $pO_2$  at  $\Phi_{crit}$ , Deutsch et al., 2020) 179 for five combinations of  $A_c$  and  $E_o$  corresponding to the stars in panel "a"; these are combinations of the 10th, 50th, 180 90th percentile values for each parameter. Below the pO<sub>2</sub> lines shown, the organism would experience an oxygen 181 deficit relative to its active metabolism requirements, effectively signifying the species-specific hypoxic conditions, 182 based on physiological traits, for this range of temperatures. 183

184 To illustrate how the trait combinations of  $E_o$  and  $A_c$  exert control on the geographic distribution 185 of organisms in the marine environment (Deutsch et al., 2020), we use observations of  $pO_2$  and T along a zonal transect of the Pacific Ocean and plot  $\Phi'$  for nine combinations of  $E_o$  and  $A_c$ 186 187 percentile values (Figure 2). The colorbar in Figures 2a-i show the metabolic index for an active 188 state ( $\Phi$ '); regions with values above one are habitable (color), while regions with values below 189 one are uninhabitable (white) on the basis of metabolic constraints (other ecological 190 considerations are not considered). The subplots in the upper portion of the figure are arranged 191 according to the same trait axes shown in Figure 1a;  $E_{0}$  increases horizontally from left to right 192 and  $A_c$  increases from the bottom to the top. For the trait combination in the bottom left (low  $E_o$ , 193 low A<sub>c</sub>; Figure 2g), metabolism is relatively insensitive to temperature, and tolerance for low 194  $pO_2$  is poor. Thus, ecotypes with low  $E_o$  and low  $A_c$  are restricted to high latitude surface waters, 195 where temperatures are cool, and  $pO_2$  is abundant (Figure 2g). As  $E_o$  increases from left to right,

196 metabolic rates become more sensitive to temperature. Then, habitat is gained at depth, where 197 temperatures are cooler and higher temperature sensitivity confers an advantage (Figure 2g-i). 198 From the bottom to the top, the increase in tolerance of low  $pO_2$  conditions increases habitability 199 in regions of low  $pO_2$ , enabling organisms to expand beyond high-latitude surface waters (Figure 200 2g-a). The biogeographic range for organisms with high  $A_c$  is modulated by  $E_o$ ; as temperature 201 sensitivity increases, ecotype viability at high latitudes is increased, but tropical surface waters 202 become less viable (Figure 2 a-c). Henceforth, our analysis will utilize the metabolic index of the 203 median ecotype ( $E_o = 0.34$ ,  $A_c = 7.4$ ; Figure 2e) for illustrative purposes; i.e., all metabolic index 204 figures refer to this median ecotype unless otherwise stated.



**Figure 2**. Annual mean metabolic index ( $\Phi'$ ) for nine combinations of the ecological traits  $E_o$  (metabolic temperature sensitivity) and  $A_c$  (ecological hypoxic tolerance) along a transect in the Pacific Ocean based on a climatology from the World Ocean Atlas dataset (Garcia et al., 2014). The percentile values of each trait are:  $10^{\text{th}}(E_o$  $= 0.04, A_c = 4.8$ ),  $50^{\text{th}}(E_o = 0.34, A_c = 7.4)$ , and  $90^{\text{th}}(E_o = 0.79, A_c = 17.0)$ . The lower panels show  $pO_2$  and

temperature from the WOA dataset. Note that the colorbar range differs by panel and values where  $\Phi' < 1$  are

211 omitted, thus the color shows only areas where an active metabolic state can be sustained.

212

#### 213 2.3 Earth system model simulations

214 This study is based on the CESM1-LE, described in detail by Kay et al. (2015). The CESM1-LE 215 included 34 ensemble members integrated from 1920-2100 under historical and RCP8.5 forcing. The ensemble was generated by adding round-off level (10<sup>-14</sup> K) perturbations to the air 216 217 temperature field at initialization in 1920; this small difference yields rapidly diverging model 218 solutions due to the chaotic dynamics intrinsic to the climate system, thus developing ensemble 219 spread representative of internal variability (Kay et al., 2015). Briefly, the CESM1-LE uses the 220 Community Earth System Model, version 1 (Hurrell et al., 2013), with a horizontal resolution of 221 nominally 1° in all components. The ocean component is Parallel Ocean Program version 2, 222 (Smith et al., 2010) with sea ice simulated by the Los Alamos Sea Ice Model version 4 (Hunke 223 and Lipscomb, 2010). Ocean biogeochemistry was represented by the Biogeochemical Elemental 224 Cycling (BEC) model (Moore et al., 2013; Lindsay et al., 2014).

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226 Our analysis focuses on three depths: 50 m representing near-surface dynamics, the epipelagic 227 zone at 200 m, and the mesopelagic zone at 500 m.  $pO_2$  was calculated using the Garcia and 228 Gordon. (1992) solubility formulation. For convenience, we use the period 1920–1965 to define 229 a minimally-perturbed natural state, as this period is prior to the development of substantial 230 anthropogenic trends in ocean oxygen and temperature (Long et al., 2016). We also examine 231 distributions over the last three decades of the 21st century (2070-2099) to evaluate the projected 232 climate-change signal under RCP8.5. We use the mean across all 34 ensemble members to 233 quantify the deterministic, "forced" response of the climate system to anthropogenic influence 234 (Deser et al., 2012). The ensemble spread is thus indicative of the amplitude of variations 235 attributable to natural variability.





**Figure 3**. Mean-state comparison with observations. The climatological mean of (top rows) temperature (°C), (middle rows)  $pO_2$  (kPa), and the (bottom rows) metabolic index for active metabolism ( $\Phi$ ') for the median ecotype ( $E_o = 0.34$ ,  $A_c = 7.4$ ); three depths are shown (left) 50 m, (center) 200 m, and (right) 500. Top panels show the WOA13 dataset and the bottom panels show CESM1-LE.

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- 243 We compared the CESM1-LE (1920 1965) with the World Ocean Atlas, version 2013
- 244 (WOA2013) dataset (Garcia et al., 2014), an observationally-based, gridded climatology (Figure
- 245 3a-i). CESM1-LE generally provides a reasonable representation of  $pO_2$  and temperature

- 246 distributions at the selected depths (Figure 3); however, there are important biases to
- 247 acknowledge in the context of interpreting the results. Temperature magnitudes are generally
- 248 well simulated in the CESM1-LE, showing a root mean square error (RMSE) < 1.3 °C, and
- 249 pattern correlation coefficient (PCC) >0.98 in all three selected depths (50 m, 200 m, and 500)
- 250 (Table 1). Temperature magnitudes are slightly underestimated at 50 m and 200 m (mean bias of
- 251 < 0.3°C), and overestimated by 0.41 °C at 500 m. Note that since our comparison uses CESM1-
- LE data from 1920-1965, some discrepancy in temperature might be expected from the signal of
- climate warming present in the WOA observations.  $pO_2$  is also reasonably well captured by the
- 254 CESM1-LE (PCC <0.95), but magnitudes are slightly underestimated at depth, showing a mean
- bias of -1.63 kPa and -2.1 kPa at 200 m and 500 m with respect to WOA13 (Table 1). Regions of
- low *p*O<sub>2</sub> waters are too extensive in CESM1-LE (Figure 3n-o) and there is a slight degradation of
- skill with depth for  $pO_2$  fields (Table 1). The underestimation of  $pO_2$  leads to a slight
- underestimation of  $\Phi'$  with respect to WOA13, and overestimate habitat loss in the future
- 259 climate (Figure 3 p-r); however,  $\Phi'$  computed from the model fields demonstrates that the
- 260 dominant spatial patterns are well captured by the CESM1-LE despite magnitudes that are
- slightly too low (i.e., Figure 1, c, l). This CESM  $pO_2$  bias is common among coarse-resolutions
- 262 ocean models and it is attributed to a sluggish circulation and hence weak ventilation (Long et
- al., 2016). These differences ultimately matter most near the hypoxic zones and at the boundaries
- 264 of habitable zones like the Oxygen Minimum Zones (OMZs).
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- 266

- 267 Table 1. Summary statistics for the comparison of CESM1-LE with the World Ocean Atlas dataset (Garcia et al.,
- 268 2014). The columns include the mean bias, pattern correlation coefficient (PCC), and root mean square error
- 269 (RMSE) at 50 m, 200 m, and 500 m.

	Mean bias	R	RMSE
	I	Temperature [°C]	
50 m	-0.17	0.99	1.22
200 m	-0.25	0.99	1.22
500 m	0.10	0.98	0.63
		pO <sub>2</sub> [kPa]	
50 m	0.05	0.99	1.91
200 m	-1.17	0.96	5.96
500 m	-1.46	0.95	6.28
		Metabolic index	
50 m	0.01	0.99	0.02
200 m	-0.09	0.97	0.05
500 m	-0.15	0.96	0.08

2. Results

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- 274 275

#### 3.1 Joint temperature-pO2 natural variability and forced trends

276 The spatial distribution of the number of viable ecotypes is shown in Figure 4 for the 277 "unperturbed" climate (1920-1965). Our intention here is not to quantify the actual 278 biogeographic range of organisms in the environment, but rather to illustrate the ocean's ability 279 to support respiration by marine ectotherms given the metabolic capacities afforded within the 280 trait space of extant organisms. High latitude environments do not impose strong aerobic 281 constraints (cold intolerance notwithstanding), thus over much of the Southern Ocean, North 282 Atlantic, and Arctic Ocean almost all 61 ecotypes can sustain respiration. The tropical oceans 283 impose the strongest aerobic constraints, restricting the viability of ecotypes that do not have 284 high-hypoxia tolerance  $(A_{\rho})$ . For example, less than 25 ecotypes are viable over much of the 285 tropical surface ocean (Figure 4a); low concentrations of oxygen at depth impose even stronger 286 constraints, and no ecotypes are viable in the core of OMZs (Figure 4b, c). The spatial patterns of 287 the number of viable ecotypes is tightly controlled by temperature at the surface, since  $pO_2$  is 288 mostly near saturated levels; at depth, however,  $pO_2$  is the dominant driver of geographic 289 patterns in ecotype viability (Figures 2-4). Temperature generally decreases with depth, reducing 290 the metabolic oxygen demand. However, since  $pO_2$  also decreases with depth and displays 291 greater lateral heterogeneity,  $pO_2$  emerges as the dominant constraint of spatial structure in 292 ecotype viability at depth.

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294 The standard deviation of annual anomalies using all CESM1-LE ensemble members provides 295 insight into the amplitude of natural variability (Figure. 5, one standard deviation). Temperature 296 and  $pO_2$  show similar patterns of natural variability in the upper ocean, both showing particularly 297 large variance in the western tropical Pacific and Indian Ocean (Figure 5 a, d). Spatial variation 298 in the magnitude of temperature variability generally decreases with depth, but  $pO_2$  displays even 299 relatively larger variability at depth with respect to the surface in some regions (Figure 5 a-f). 300 The joint  $pO_2$ -temperature variability manifests in variations of  $\Phi'$  (Figure 5g-i). Natural 301 variability in  $\Phi$ ' computed for the median ecotype shows spatial patterns similar to temperature

302 in the upper-surface ocean (50 m), but is more similar to  $pO_2$  at depth. Thus, variations in  $\Phi'$  tend 303 to be temperature-dominated near the surface, but are more strongly controlled by  $pO_2$  variability 304 at depth.  $\Phi$ ' also shows the most extensive natural variability at 200 m consistent with the 305 variability of  $pO_2$ . The number of viable species shows more dramatic fluctuations than 306 variations in the median ecotype  $\Phi'$ ; variations in the number of viable ecotypes exceed 30% on 307 annual timescales in the tropical upper ocean and near OMZ boundaries in the water column 308 (Figure 4 c-d). This reflects the fact that interannual variability can preclude habitability for 309 some regions of the  $A_c$ - $E_o$  trait space, but these variations do not necessarily impact viability for 310 the median ecotype (Figure 1). In the tropical surface ocean, high temperatures (>25°C), and 311 saturated surface ( $pO_2 > 20$  kPa) require high hypoxia tolerance ( $A_c$ ), but permit a range of 312  $E_o$  values (Figure 1b, 2a-b). Ecotypes with larger temperature sensitivity (high  $E_o$ ) are 313 particularly responsive to variations in temperature. 314



Figure 4. Metabolic constraints on trait-space viability. Top row: the number of ecotypes from the physiological
trait database that are viable (total = 61) in the CESM1-LE over the period 1920–1965. Bottom row: the standard
deviation (expressed as a percent of the mean) in the number of viable ecotypes, reflecting fluctuations driven by
natural variability.



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Figure 5. The amplitude of natural variability in the ocean's metabolic state. The panels show the standard deviation of annual-mean anomalies of all ensemble members over the period 1920–1965 for (top row) temperature (°C), (middle row)  $pO_2$  (kPa), and (bottom row) the metabolic index (unitless) of the median ecotype ( $E_o = 0.34$ ,  $A_c = 7.4$ ).

326 CESM1-LE simulates nearly homogeneous warming between 1920–1965 and 2070–2099 in the 327 surface ocean (50 m) under RCP8.5, with an exception of the so-called North Atlantic warming 328 hole (Figure 6a). Both modelling and observational studies have linked the North Atlantic 329 warming hole to the slowing of the Atlantic overturning circulation with climate change (Keil et 330 al., 2020). The magnitude of ocean warming generally diminishes with depth except in the North 331 Atlantic, where, despite reductions, the overturning circulation effectively propagates 332 anthropogenic heat anomalies into the ocean interior.  $pO_2$  shows heterogeneous changes between 333 1920–1965 and 2070–2099 (Figure 6 d-f). In the upper ocean,  $pO_2$  changes are generally small (< 334 1 kPa) because the near-surface is kept close to saturation via photosynthetic oxygen production 335 and air-sea equilibration. At depth, however,  $pO_2$  shows long-term changes linked to 336 accumulated effects of respiration and changes in circulation (Ito et al., 2017). At 200 m for 337 example, the Pacific Ocean displays a basin-wide mean reduction in  $pO_2$  of 2 kPa (~30%), while 338 the Atlantic and Indian basins gain about >2 kPa ( $\sim 10 - 35\%$ ) by the end of the century. The 339 largest long-term  $pO_2$  loss (>3 kPa) occurs in the North Pacific while the largest  $pO_2$  gain (~2

340 kPa) occurs in the North Atlantic gyre and western Indian Ocean (Figure 6 e-f).



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Figure 6. Net long-term change (2070–2099 minus 1920–1965) in the CESM1-LE ensemble means temperature
(top) and (bottom) pO<sub>2</sub> at 50 m, 200 m, and 500 m.

345 Figure 7 shows the relationship between interannual variations in  $pO_2$  versus temperature ( $pO_2$ -346 T) in the unperturbed climate (1920–1965; top row) and for the forced trend associated with 21st 347 century climate change (2070–2099 minus 1920–1965; bottom row). The nature of the pO<sub>2</sub>-T 348 relationship is an important indicator of the impacts of variability on the metabolic state. 349 Furthermore, the extent to which the forced trend is characterized by a  $pO_2$ -T relationship that is 350 distinct from that associated with natural variability provides insight into the potential for 351 advanced or delayed detection of signals in  $\Phi$  relative to  $pO_2$  or temperature alone. Given that 352 metabolic rates for most organisms increase with temperature (positive  $E_o$ ), a positive correlation 353 between variations in temperature and  $pO_2$  is generally indicative of compensating changes, 354 wherein increased oxygen demand is at least partially offset by increased supply. Anticorrelation 355 between temperature and  $pO_2$ , by contrast, will generally be associated with compounding 356 impacts on the metabolic index, as a negative correlation indicates that reductions in  $pO_2$  (i.e., 357 oxygen supply) accompany warming (i.e., increased demand). The sign of the  $pO_2$ -T relationship 358 in the natural climate varies regionally and with depth (Figure 7, top row). The surface ocean is 359 generally characterized by a weak, positive  $pO_2$ -T relationship, which could manifest from, 360 among other mechanisms, temperature-induced increases in photosynthetic oxygen production 361 (Figure 7a). The natural  $pO_2$ -T relationship in the epipelagic (200 m) is characterized by strong 362 positive correlations in the tropics and negative correlations at high latitudes (Figure 7b). A 363 positive correlation between  $pO_2$  and temperature at this depth could be induced by variability

364 associated with adiabatic vertical displacement of isopycnals, or "heave", which has the effect of 365 translating background gradients in properties vertically in the water column (Long et al., 2016). 366 Upward movement of a deep isopycnal surface would yield a negative temperature anomaly and 367 a negative  $pO_2$  anomaly (positive correlation), as the deeper, colder waters have greater oxygen 368 utilization signatures associated with longer ventilation age. Negative correlations between  $pO_2$ 369 and temperature could manifest from ventilation processes, where enhanced subduction of 370 surface water yields anomalously cold water masses that are enriched in oxygen. The sign of 371 these epipelagic  $pO_2$ -T correlations shows some similarity to those associated with the externally 372 forced climate (Figure 6e), but the latter is characterized by a greater prevalence of 373 anticorrelation, most notably in the North Pacific ocean. At 500 m depth, the relationship 374 between temperature and  $pO_2$  in the natural climate is almost a mirror image of the epipelagic 375 (Figure 7c); the tropics generally display negative correlations, while polar regions show positive 376 correlations (Figure 7 e). The  $pO_2$ -T relationship in the forced trend at 500 m is dominated by 377 broad regions of deeply negative correlations, with the most pronounced effect again in the 378 North Pacific. The negative relationship is consistent with a ventilation signal, as buoyancy-379 induced stratification from warming curtails the introduction of new oxygen into the ocean 380 interior. The predominantly negative  $pO_2$ -T relationship associated with the forced trend is 381 indicative of the compounding effects of climate change on metabolic state, increasing metabolic 382 demand while simultaneously reducing oxygen supply.



383

Figure 7. Regression of annual means pO<sub>2</sub> versus temperature (kPa °C<sup>-1</sup>) for (top row) interannual variability and
(bottom row) the forced trend (difference between 2020–2099 and 1920–1965). The columns show the regressions
computed at different depths, 50 m, 200 m, and 500 m, respectively.

#### 3.2 Long-term habitat changes

389

390 Figure 8 shows the climate-driven changes in  $\Phi'$  for the median ecotype, as well as the impacts 391 of climate change on the number of viable ecotypes. Notably, while  $pO_2$  in the near-surface 392 ocean is relatively insensitive to climate change (Figure 6d), there are reductions in  $\Phi'$  in the 393 tropics (Figure 9d), owing to the direct impacts of warming. These changes are associated with 394 deep reductions in the number of viable ecotypes in the tropics (Figure 8a). There are modest 395 increases in  $\Phi$ ' and ecotype viability at high-latitudes; metabolic state in these regions is affected 396 by cold intolerance, thus warming broadens the viable region of trait space. Additionally, sea ice 397 melt support an increase in  $pO_2$ , as gas exchange becomes more effective at restoring 398 equilibrium oxygen concentrations. The number of viable ecotypes shows more intense patterns 399 than those in the median ecotype  $\Phi'$  in the upper ocean (Figure 8). This is partly because 400 ecotypes predicted to lose viability in the tropical regions (~ 50%) are at the extremes of the  $A_c$ -401  $E_o$  distribution (Figure 1) and not captured by the median ecotype  $\Phi'$ . Nevertheless, outside the 402 tropical regions, the median ecotype gives a good indication of the anthropogenic impact to 403 marine ectotherms. The projected habitat loss in the epipelagic-pelagic North Pacific (> 50%) 404 and habitat gain in the epipelagic-pelagic Southern Indian Ocean (~40%) and pelagic western 405 tropical regions (~40%) are consistent with a decrease in the median ecotype  $\Phi'$ . Note that the 406 most pronounced effects on habitat are associated with regions where climate change drives a 407 strongly negative  $pO_2$ -temperature relationship (Figure 7).





410 (2070 - 2099 vs. 1920 - 1965) for the median ecotype [ $E_o = 0.34$ ,  $A_c = 7.4$ ] (bottom row). At 50m (first column), 411 200m (second column) and 500m (third column).

412

#### 413 **3.3 Time of Emergence**

414

415 In this section, we examine the "time of emergence" (ToE, Hawkins and Sutton, 2012), the point 416 when forced changes in  $pO_2$ , temperature and  $\Phi'$  can be distinguished from the background 417 natural variability. We define ToE as the time when the magnitude of change in the ensemble 418 mean of a particular variable exceeds two standard deviations of the natural climate (1920 -419 1965). This is illustrated in Figure 9 for a single grid point in the North Pacific at 200 m. At this 420 location, the forced trend in temperature shows a monotonic increase, while  $pO_2$  shows a 421 monotonic decrease; as a result,  $\Phi'$  for the median ecotype and the number of viable ecotypes 422 decrease over time. The anti-correlation between  $pO_2$  and temperature exacerbates trends in  $\Phi'$ , 423 and hence the forced trend of the median ecotype  $\Phi'$  emerges from natural noise earlier than 424 either  $pO_2$  or temperature do alone (Figure 10a-c). Note that although the ToE of ecotype 425 viability change is directly derived from changes in  $\Phi'$ , it is binary counted; changes in ecotype 426 viability are counted in whole numbers and this creates a step-function temporal-spatial variation 427 (Figure 9d). Consequently, this step-function-like feature of ecotype viability creates 428 discontinuities even in spatial patterns of ToE (Figure 10 j-l) as also shown in the natural 429 variance in Figure 4 d-f. 430



433 **Figure 9.** Time of emergence (ToE) of the climate forcing signal for (a) temperature, (b) pO<sub>2</sub>(c) the metabolic

- 434 index of the median ecotype [ $E_o = 0.34$ ,  $A_c = 7.4$ ], and (d) the number of viable ecotypes for a single model grid in
- the North Pacific at 200 m. ToE (green arrows) is defined as the time when the forced trend signal (ensemble
- 436 member time series) is above two standard deviations (black dotted line) of all ensemble members for the period
- 437 1920 1965.
- 438



440 Figure 10. Time of emergence (ToE) of the climate forcing signal for temperature, pO<sub>2</sub>, phi, and the number of
441 viable ecotypes. ToE is defined as the time when the forced trend signal (ensemble member time series) is above
442 two standard deviations of all ensemble members for the period 1920 - 1965.

439

444 The ToE of  $pO_2$  and temperature are inverted with depth; temperature emerges earliest in the 445 upper ocean while  $pO_2$  emerges earlier at depth and later or shows no emergence in the upper 446 ocean (Figure 10 a-f). This feature is consistent with larger upper ocean temperatures long-term 447 changes and greater  $pO_2$  changes at depth. Near-surface ocean temperature has mostly already 448 emerged by 2020 and is predicted to have almost completely emerged by the late 2060s under 449 RCP85 (Figure 10 a-c). The early emergence of temperature from natural noise also persists for 450 regions of relatively low natural variance at depth, e.g., the Southern Ocean and Atlantic Basin 451 Gyres. Regions of the largest natural variability (see Figure 5) like the subtropical-subpolar 452 Pacific however do not emerge until close to the end of the century. For  $pO_2$ , anthropogenic 453 changes in the upper ocean generally do not emerge from natural noise before the end of the 454 century except for the Arctic Ocean and Eastern Antarctic. In the Arctic Ocean and Eastern

455 Antarctic  $pO_2$  gain is related to sea-melt emergence by the mid-2050s (Figure 10a). The median 456 ecotype  $\Phi$ ' ToE shows spatial patterns that are coherent with temperature ToE in the upper ocean 457 with exception of polar regions. In contrast, they are consistent with pO<sub>2</sub> ToE patterns at depth; 458 this is consistent with net long-term  $\Phi'$  changes in Figure 9d. The emergence of the 459 anthropogenic signal in ecotype viability closely resembles the median ecotype  $\Phi'$  spatial 460 patterns but showing non-harmonious spatial patterns due to the step-function-like counting 461 feature of viability changes. It shows that the predicted  $\sim 50\%$  ecotype viability loss in the 462 tropics (Figure 6a) may already be distinguishable from natural variability by the mid-2030s. In 463 the North Pacific, the predicted > 50% ecotype viability loss in the epipelagic-pelagic regions is 464 predicted to start emerging in the 2040s at 500 m and 2080s at 200 m (Figure 10 k-l).

465

466 In summary, we showed that because of the surface ocean's large warming signal and the least 467  $pO_2$  loss outside of the polar regions under the RCP85 climate scenario, it is characterized by 468 habitat loss in the tropics and a slight habitat gain in polar regions (Figure 11). Sea-ice melts 469 support Oxygen gain through the enhancement of temperature-driven solubility in the surface 470 polar regions. At depth, warming is less prevalent by the end of the 21st century; however, 471 oxygen loss related to the weakening ventilation of the ocean interior as the ocean becomes more 472 stratified has a stronger impact on metabolic reliance, leading to habitat loss in tropics and 473 subtropics. On the other hand, cooler temperatures and efficient ventilation in polar regions 474 create an oxygen-rich environment. Thus, in contrast to tropical and subtropical regions, 475 warming leads to a slight habitat gain (Figure 11), as organisms escape the cold intolerance 476 imposed by molecular gas diffusion at low temperatures.



478Figure 11. Summary Figure: It shows the distribution of ecotype viability within representative ocean temperature479and  $pO_2$  boundaries for the 66 species analysed in this study. The markers represent the subsampled regions, with480polar regions denoted by circles, tropical regions by squares, and subtropical regions by stars. The colours represent481the depth levels; 50 m (grey), 200 m (purple), and 500 m (red). Each arrow shows the estimated joint temperature-482 $pO_2$  climate change vector based on the net changes in temperature and  $pO_2$  (as depicted in Figure 6).

# 483

## 484 **4. Discussion**

485

The human-induced rapid warming of the planet has been shown to drive ocean deoxygenation (Ito et al., 2017; Schmidtko et al., 2017; Long et al., 2016). Higher metabolic oxygen demand at higher temperatures (Gillooly et al., 2001; Deutsch et al., 2015, 2022) raises concerns about the ability of marine ectotherms to support aerobic respiration in the future. This study set out to characterize the anticipated climate change signal in the ocean's metabolic state in the context of natural variability using the metabolic theory as a basis to examine the capacity of the environment to support ectothermic marine heterotrophs.

494 The spatial variation in  $pO_2$  and temperature in the unperturbed natural climate state set 495 biogeographic boundaries based on ectotherms' physiological performance. The resilience of 496 these ectotherms' biogeographic structure to natural variability and long-term climate warming is 497 perturbed by the joint  $pO_2$ -temperature changes, effectively measured by the metabolic index 498  $(\Phi')$ . An increase in the capacity of the organisms to support aerobic respiration increases  $\Phi'$ ; for 499 example by ocean cooling or increase in oxygen supply contrary, warming and decrease in 500 oxygen supply decrease  $\Phi'$ . There are exceptions in extremely low-temperature environments 501 (Figure 11), where aerobic respiration is also limited by kinematic gas transfer into the organism 502 in addition to environmental oxygen supply. Relative changes in  $pO_2$  and temperature in the 503 natural variability and forced trend, therefore, regulate ectotherms' resilience to environmental 504 changes. Under the RCP85 climate scenario, the ocean generally warms homogeneously but 505 concurrent  $pO_2$  changes are heterogeneous and vary with depth. Thus, the characteristics of these 506  $pO_2$ -temperature forced trend changes determine when the climate change impact on marine 507 ectotherms can be distinguishable from natural variability.

508

509 In the surface ocean,  $pO_2$  is generally abundant and relatively uniform, and thus spatial 510 temperature variations have a dominant constraint on the spatial variations of organismic 511 metabolic state. The warmest parts of the surface ocean, the tropical oceans, can only support 512 about 10-20 ( $\sim$  30%) of the 61 ecotypes while cooler regions in the extra tropics have nearly 513 100% viability. Moreover, since warming anomalies propagate from the surface, the surface 514 tropical oceans also show the largest natural variance in temperature and ecotype viability. This 515 is because extremely warm temperatures in the surface tropics (>25°C) are mainly suited for 516 organisms with high-temperature sensitivity  $(E_0)$ , which are relatively fewer, and mostly close to 517 their physiological limits (Storch et al., 2014). Large natural variability in these warmest parts of 518 the tropical surface ocean precludes the forced trend signal from emerging from the natural 519 variability in the ecotype viability by end of the century although the ocean warms the largest in 520 the surface. Nevertheless, the large warming trends in the surface ocean generally emerge 521 relatively early (the 2020s) from natural variability in both temperature and ecotype viability in 522 most regions. Minimal changes in surface  $pO_2$  in the forced trend affirm that surface ocean 523 marine ectotherms are mainly perturbed by temperature in the context of anthropogenic changes. 524 In polar regions, warming has a counterintuitive effect on marine ectotherms with respect to

525 most parts of the surface ocean. There, warming helps organisms escape extreme cold

526 intolerances by enhancing membrane kinematic gas transfer which enhances  $\Phi'$  and thus ecotype

527 richness in the future (Figure 11)

528

529 In the epipelagic and mesopelagic regions (200 m and 500 m), the forced temperature trend and 530 natural variability are broadly smaller than the surface ocean, while  $pO_2$  changes show the 531 opposite. Thus, at depth  $pO_2$  play a more intricate role in perturbating marine ectotherm habitats 532 in the context of anthropogenic warming with respect to the surface ocean, where temperature 533 plays a dominant role. Contrasting the regression between  $pO_2$  and temperature in the natural 534 climate, and forced trends provides an instructive framework to analysing ectotherms' long-term 535 changes. Regions showing different correlations between temperature and  $pO_2$  in the forced 536 trends in comparison to the natural climate suggest a loss of metabolic resilience; loss of habitat, 537 and these regions tend to have a relatively early ToE. For instance, in the epipelagic and 538 mesopelagic North Pacific, temperature- $pO_2$  regressions switched from a positive correlation in 539 the unperturbed climate to a strong negative correlation in the forced trend (Figure 7). The North 540 Pacific pelagic – epipelagic regions is projected to lose nearly half of the present climate ecotype 541 viability by end of the 21st century, the projected habitat loss start emerging by the late 2030s 542 under the RCP85 climate scenario, On the other hand, in the Arctic Ocean and some parts of the 543 Southern Ocean, same sign  $pO_2$ -temperature correlations in the forced trends result in the 544 preservation of the marine habitat and even slight enhancements.

545

- 546 **5. Conclusions**
- 547

The joint temperature-oxygen metabolic framework in this study provides additional insight into the impact of climate change on marine ecosystems in comparison to the independent oxygen or temperature analysis. We here showed that while warming is the leading order driving mechanism of climate change, the direct effect of warming on marine ecosystems is mostly in the upper ocean. Climate change-related oxygen loss is a major driver of marine ecosystem stress in addition to warming at depth. Incorporating organismal physiological sensitivity to oxygentemperature changes in the metabolic framework provides insight into how climate impacts the

555	biogeographic structure of marine habitat. We find that forced perturbations to $pO_2$ and
556	temperature will strongly exceed those associated with the natural system in many parts of the
557	upper ocean, mostly pushing organisms in these environments closer to or beyond their
558	physiological limits. Climate warming is expected to drive significant marine habitat loss in the
559	surface tropical oceans and epipelagic - pelagic North Pacific Basin, while gaining marginal
560	habitat viability in the surface Arctic Ocean and some parts of the Ocean Southern.
561	
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564	
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572	8. Author contribution
573	
574	PM and ML designed the study approach. PM developed the analysis with feedback from ML,
575	CD and TI. PM prepared the manuscript with contributions from all co-authors.
576	
577	9. Data access
578	
579	The CESM1 large ensemble data used in this study can be accessed in this location:
580	https://www.cesm.ucar.edu/community-projects/lens/data-sets
581	
582	10. References
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