1 Climatic Controls on Metabolic Constraints in the Ocean.

2 Precious Mongwe¹, Matthew Long², Takamitsu Ito³, Curtis Deutsch⁴, and Yeray

3 Santana-Falcón⁵

4 ¹Southern Ocean Carbon Climate Observatory (SOCCO), CSIR, Cape Town, South Africa

5 ²Oceanography Section, Climate and Global Dynamics Laboratory, National Center for Atmospheric Research,

6 Boulder, CO, United States of America

³School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, Georgia United States of
 America

- 9 ⁴Department of Geosciences, Princeton University, Princeton, NJ, United States of America
- 10 ⁵CNRM, Université de Toulouse, Météo-France, CNRS, Toulouse, 31057, France
- 11 Corresponding Author: Precious Mongwe (pmongwe@csir.co.za)

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13 Abstract

- 14 Observations and models indicate that climate warming is associated with the loss of dissolved
- 15 oxygen from the ocean. Dissolved oxygen is a fundamental requirement for heterotrophic marine
- 16 organisms (except marine mammals) and, since the basal metabolism of ectotherms increases
- 17 with temperature, warming increases organisms' oxygen demand. Therefore, warming and
- 18 deoxygenation pose a compound threat to marine ecosystems. In this study, we leverage an
- 19 ecophysiological framework and compilation of empirical trait data quantifying the temperature
- 20 sensitivity and oxygen requirements of metabolic rates for a range of marine species
- 21 ("ecotypes"). Using the Community Earth System Model Large Ensemble, we investigate how
- 22 natural climate variability and anthropogenic forcing impact the ability of marine environments
- 23 to support aerobic metabolisms on interannual to multi-decadal timescales. Warming and
- 24 deoxygenation projected over the next several decades will yield a reduction in the volume of
- 25 viable ocean habitat. We find that fluctuations in temperature and oxygen associated with natural
- 26 variability are distinct from those associated with anthropogenic forcing in the upper ocean.
- 27 Further, the joint temperature-oxygen anthropogenic signal emerges sooner than independently
- 28 from natural variability. Our results demonstrate that anthropogenic perturbations underway in
- 29 the ocean will strongly exceed those associated with the natural system; in many regions,
- 30 organisms will be pushed closer to or beyond their physiological limits, leaving the ecosystem
- 31 more vulnerable to extreme temperature-oxygen events.

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34 **1. Introduction**

35 Dissolved oxygen (O₂) is a fundamental metabolic requirement for heterotrophic marine organisms, excluding marine mammals (Portner, 2002; Keeling et al., 2010; Tiano et al., 2014). 36 37 O2 is declining due to warming, a tendency long predicted by models (Keeling et al., 2010; Long 38 et al., 2016; Oschlies et al., 2018) and recently found evident at the global scale in compilations 39 of in situ observations (Schmidtko et al., 2017; Ito et al., 2017). Deoxygenation is driven by the 40 direct effect of reduced oxygen solubility with warming compounded by buoyancy-induced 41 stratification in the upper ocean, which weakens the ventilation-mediated supply of fresh oxygen 42 to the ocean interior. While the full ecological impacts of ocean deoxygenation remain uncertain, 43 it is clear that the physiological impacts of oxygen loss on marine organisms can be considered 44 explicitly in the context of warming: basal metabolic rates for ectothermic organisms depend on 45 ambient temperature and increase with warming (Gillooly et al., 2001); thus, higher temperatures 46 impose additional demand for oxygen to sustain aerobic respiration (Deutsch et al., 2015). 47 Consequently, as the ocean warms, even present-day oxygen distributions may be insufficient to 48 meet the oxygen demands of organisms living near key physiological thresholds (Deutsch et al., 49 2022). 50 51 While model projections clearly demonstrate that warming and deoxygenation are consequences 52 of human-driven climate change, it is important to recognize that natural climate variability also 53 produces important fluctuations in these quantities. Indeed, evidence suggests that natural 54 variability contributes to hypoxic events, such as those observed in the California Current, where 55 fish and benthic-organism mortality has been associated with low-O2 waters impinging on the 56 continental shelf (Pozo Buil and Di Lorenzo, 2017; Howard et al., 2020). A clear understanding 57 of how natural climate variability drives fluctuations in metabolic state and the associated 58 implications for organisms is a critical context in which to view long-term climate warming. 59 Given that the natural system is highly dynamic, climate change signals are often masked by 60 decadal-scale variability (Ito and Deutsch, 2010). While numerous authors have considered 61 detection and attribution of climate change for physical and biogeochemical variables (Rodgers 62 et al., 2015; Long et al., 2016; Schlunegger et al., 2019), comparatively little attention has been devoted to explicitly characterizing the relative influence of natural and anthropogenic drivers of 63 64 changes in the ocean's capacity to support aerobic life. In this study, we approach this challenge

by leveraging the concept of the Metabolic Index (Φ) introduced by Deutsch et al. (2015). Φ is based on the notion that aerobic organisms can persist only where the ambient oxygen partial pressure (pO_2) is sufficient to meet the requirements of sustaining respiration. Φ incorporates an explicit representation of the dependence of metabolic oxygen demand on temperature, thus providing a framework to consider how joint oxygen and temperature variability constrain viable habitat in the ocean.

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72 Many ocean organisms may already be under threat from deoxygenation (Hoegh-Guldberg and 73 Bruno, 2010; Breitburg et al., 2018); however, ongoing climate-driven loss of oxygen raises 74 important questions about the future of marine ecosystems: How will anthropogenic changes in 75 dissolved oxygen and temperature impact the capacity of ocean habitats to support aerobic 76 metabolism? What is the spatial and temporal distribution of changes in the ocean's metabolic 77 state associated with climate variability? At what point can anthropogenic change in the ocean's 78 metabolic state be distinguished from natural variability? This study addresses these questions 79 using a combination of metabolic theory, a dataset set quantifying key physiological parameters 80 for a collection of marine species adapted to specific environments ("ecotypes"), and the oxygen 81 and temperature distributions simulated in the Community Earth System Model, version 1 Large 82 Ensemble (CESM1-LE), which includes 34 members simulating ocean biogeochemistry under 83 climate variability and change from 1920-2100 forced using historical data and the 84 Representative Concentration Pathway Scenario 8.5 (RCP85) (Kay et al., 2015; Long et al., 85 2016). 86 87 This paper is organized as follows. Section 2 presents a brief overview of the relevant metabolic 88 theory, the associated empirical datasets, and describes our approach to analysis. In Section 3 we 89 present results quantifying the joint temperature-oxygen variability simulated in the CESM1-LE,

90 evaluating the spatiotemporal structure of variability in marine ecotype habitat, including long-

91 term trends based on the RCP8.5 scenario and time of emergence (ToE). The main outcomes of

- 92 the results are synthesized in Section 4 and summarized in Section 5.
- 93
- 94 2. Datasets and methods

95 2.1 Metabolic index

96 Empirical studies measuring thermal tolerance and oxygen requirements in the laboratory on an 97 array of marine organisms have enabled an assessment of lethal thresholds (Vaquer-Sunyer and 98 Duarte, 2008; Rosewarne et al., 2016). These data coupled with recent advances in a theoretical 99 framework enable both explanatory and predictive power in the context of a dynamic 100 environment (Deutsch et al., 2015; Penn et al., 2018; Howard et al., 2020). The fundamental 101 insights here are that basal metabolic rates for ectothermic marine organisms depend on ambient 102 temperature and generally increase with warming (Gillooly et al., 2001). Increasing basal 103 metabolic rates impose additional demand for oxygen. Organisms use oxygen dissolved in 104 seawater and acquisition tends to be limited by diffusive processes; thus, oxygen supply is 105 related to the ambient pO2. The ratio of oxygen supply to temperature-dependent demand 106 provides a critical indicator of the capacity for an organism to meet its metabolic requirements. 107 Deutsch et al. (2015) formalized these concepts into a quantity termed the "Metabolic Index 108 (Φ) ", which is defined as the ratio of oxygen supply to an organism's resting metabolic demand. 109 Oxygen supply is parameterized according to a biomass-dependent scaling of pO2, capturing 110 variation in the efficiency with which organisms acquire and utilize O2. This can be expressed as 111 $S = \alpha_s B^{\sigma} p O_{22}$ where α_s represent gas transfer between an organism and its environment and B^{δ} 112 is the scaling of supply with biomass, B (Piiper et al., 1971). Gas supply is represented as an 113 Arrhenius function; $\underline{\alpha}_{s} = \alpha_{s} exp\{\frac{-E_{s}}{K_{B}}\left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\}$ 114 (1)115 116 Resting metabolic demand is also expressed using the Arrhenius equation as $D = \alpha_D B^{\delta} exp\{\frac{-E_d}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\},$ 117 (2)118 where α_D is a species-specific basal metabolic rate, E_d (eV) is the temperature dependence of 119 oxygen supply, T is temperature, T_{ref} is the reference temperature (15°C), and k_B is the 120 Boltzmann constant (Gillooly et al., 2001). Gas transfer is kinematically slow at low 121 temperatures, and hence organism viability can be limited by the energy to acquire oxygen at low 122 temperatures, thus Eo varies with temperature. Here we account for this by adding the

123 temperature dependence (dE_o/dT) to E_o in equations above $(E_o + \frac{dE_o}{dT}(T - T_{ref}))$, using the mean

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133 value of $dE_o/dT = 0.022$ eV consistent with Deutsch et al. (2020). The Metabolic Index can thus

134	be written as the ratio of <i>S/D</i> :		
135	$\Phi = \frac{\alpha_s}{\alpha_D} \frac{B^\sigma}{B^\delta} p O_2 exp\{\frac{-E_s}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right] + \frac{E_d}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}}\right]\},$	(Formatted: Indent: First line: 1,27 cm
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136	$= A_o B^{\sigma-\delta} p O_2 exp\{\frac{E_d - E_s}{V_A K_B} \Big \frac{1}{T} - \frac{1}{T_{ref}} \Big\},$	≤ 1	φ
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137	$\underline{\qquad} = A_o p O_2 exp\{\frac{E_o}{K_B} \left[\frac{1}{T} - \frac{1}{T_{ref}} \right] \}, \qquad (3)$) 	Deleted: 0
138	where $A_o = \alpha_s / \alpha_D (1/atm)$ is the hypoxic tolerance, $E_o = E_d - E_s (E_s)$ is the temperature		Deleted: 1 Deleted: 1
139	dependence of oxygen supply) (Deutsch et al., 2015; Penn et al., 2018). The exponent, $\varepsilon = \sigma -$		
140	δ , is the allometric scaling of the supply to demand ratio with biomass, is typically near zero.		
141	Therefore, in the analysis that follows, we presume unit biomass and thus neglect potential		
142	impacts of variations in biomass.		
143			
144	If Φ falls below a critical threshold value of 1, conditions are physiologically unsustainable: an		
145	organism cannot meet its basic resting metabolic oxygen requirements. Conversely, values of Φ		
146	above 1 enable organismal metabolic rates to increase by a factor of Φ above resting levels,		
147	permitting critical activities such as feeding, defence, growth, and reproduction. Thus, for a		
148	given environment and species, Φ provides an estimate of the ratio of maximum sustainable		
149	metabolic rate to the minimum rate necessary for basal metabolism. Deutsch et al. (2015)		
150	inferred the ratio of active to resting energetic demand by examining the biogeographic		
151	distribution of several species, finding that range boundaries coincide with values of $\Phi = 1.5$ –7.		
152	This threshold, termed critical rate (Φ_{crit}), represents the minimum metabolic index required for		
153	an organism to sustain an active metabolic state, which is a more meaningful ecological		
154	threshold than requirements for resting metabolism. Therefore, in this study, we define a quantity		
155	Φ derived by dividing Φ by Φ_{crit} so when Φ falls below 1, the organism can no longer sustain its		Formatted: Font: 12 pt
156	active metabolic demand and will need to make physiological trade-offs. Account for these		Formatted: Font: 12 pt Formatted: Font: 12 pt
157	active metabolic requirements, we use an adjusted definition of the hypoxic tolerance trait, $A_c =$		Formatted: Font: 12 pt
158	A_o/Φ_{crite} where A_c is termed the "ecological hypoxia tolerance", consistent with Howard et al.,		Deleted: Φ' , which is derived by dividing Φ by Φ_{crit} ; equivalently, this yields an adjusted definition of the hypoxic
159	<u>2020.</u> Where $\Phi' > 1$ (i.e., $\Phi > \Phi_{crit}$) an organism can sustain an active metabolic rate; where $\Phi' < \Phi'$		"ecological hypoxia tolerance" consistent with Howard et al.
160	1 (i.e., $\Phi < \Phi_{crit}$), O_2 is insufficient and an active metabolic state is not viable. Henceforth, <u>our</u>		(2020)
161	analysis focuses on Φ' ; in the subsequent $\Phi' = \Phi$ for the text and figures.		Deleted: our analysis uses
1.01			Deleted: to characterize ecotypes viability.

176 2.2 Physiological dataset

- 177 We make use of a dataset describing physiological parameters for a collection of 61 marine
- 178 ecotypes spanning a range of ecological hypoxic tolerances (A_c) and temperature sensitivities
- 179 (*E_o*) (Penn et al., 2018; Deutsch et al., 2020, Figure 1a). The 61 species span benthic and pelagic
- 180 <u>habitats across four phyla in all ocean basins (Arthropoda, Chordata, Mollusca, and Cnidaria).</u>
- 181 The dataset include 28 malacostracans, 21 fishes, three bivalves and cephalopods, two copepods,
- 182 and one each for gastropods, ascidians, scleractinian corals, and sharks with body mass spans of
- 183 <u>eight orders of magnitude (Penn et al., 2018)</u>. We illustrate how the physiological traits E_o and A_c
- 184 constrain habitat viability in the context of distributions of pO_2 and temperature in the marine
- 185 environment in Figure 1b, which shows the minimum pO_2 (i.e., pO_2 at Φ_{crit}) required to sustain
- 186 an active metabolic state as a function of temperature for five combinations of E_o and A_c . The
- 187 five combinations are derived from sampling the probability distributions of E_o and A_c (Figure
- 188 1a) at the 10th, 50th, and 90th percentile values (illustrated by colored stars in Figure 1a and
- 189 corresponding curves in Figure 1b). We assume that the trait distributions are independent, which
- is a reasonably modest simplification; E_o is represented by a normal distribution and A_c by a
- 191 lognormal distribution function (Figure S1). The pO_2 at Φ_{crit} curves shown in Figure 1b delineate
- 192 regions of pO_2 -temperature space that are habitable (above the curve) and uninhabitable (below
- 193 the curve). The reversing curvature of pO_2 at Φ_{crit} in Figure 1b at low temperature captures the
- 194 decrease of the organism's oxygen acquisition efficiency in cooler conditions yielding cold
- 195 intolerance. <u>At very low temperatures, gas transfer is limited by the decrease in molecular gas</u>
- 196 diffusion, as a consequence, oxygen transfer into the organisms requires energy, yielding cold

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198 intolerance, this is well illustrating by the blue line in Figure 1b.

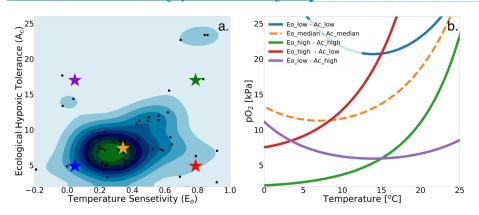


Figure 1. Physiological traits determining hypoxic tolerance. (a) Scatter plot of 61 marine ecotypes for which empirically derived estimates of activation energy (E_o) and the ecological hypoxic tolerance (A_c) have been determined (Penn et al., 2018). The color shows the density of occurrence for the 61 marine ecotypes in the $A_c - E_o$ trait space. (b) The minimum pO_2 required to sustain an active metabolic state (i.e., pO_2 at Φ_{crit} , Deutsch et al., 2020) for five combinations of A_c and E_o corresponding to the stars in panel "a"; these are combinations of the 10th, 50th, 90th percentile values for each parameter.

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To illustrate how the trait combinations of E_o and A_c exert control on the geographic distribution of organisms in the marine environment (Deutsch et al., 2020), we use observations of pO_2 and T along a zonal transect of the Pacific Ocean and plot Φ' for nine combinations of E_o and A_c percentile values (Figure 2). The colorbar in Figures 2a-i show the metabolic index for an active

state (Φ '); regions with values above one are habitable (color), while regions with values below one are uninhabitable (white) on the basis of metabolic constraints (other ecological

- 213 considerations are not considered). The subplots in the upper portion of the figure are arranged
- 214 according to the same trait axes shown in Figure 1a; E_{o} increases horizontally from left to right
- 215 and A_c increases from the bottom to the top. For the trait combination in the bottom left (low E_o ,
- 216 low Ac; Figure 2g), metabolism is relatively insensitive to temperature, and tolerance for low
- 217 pO_2 is poor. Thus, ecotypes with low E_o and low A_c are restricted to high latitude surface waters,
- 218 where temperatures are cool, and pO_2 is abundant (Figure 2g). As E_o increases from left to right,
- 219 metabolic rates become more sensitive to temperature. Then, habitat is gained at depth, where

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- 220 temperatures are cooler and higher temperature sensitivity confers an advantage (Figure 2g-i).
- 221 From the bottom to the top, the increase in tolerance of low pO_2 conditions increases habitability
- 222 in regions of low pO_2 , enabling organisms to expand beyond high-latitude surface waters (Figure
- 223 2g-a). The biogeographic range for organisms with high A_c is modulated by E_o ; as temperature
- 224 sensitivity increases, ecotype viability at high latitudes is increased, but tropical surface waters
- 225 become less viable (Figure 2 a-c). Henceforth, our analysis will utilize the metabolic index of the
- 226 median ecotype ($E_o = 0.34$, $A_c = 7.4$; Figure 2e) for illustrative purposes; i.e., all metabolic index
- 227 figures refer to this median ecotype unless otherwise stated.

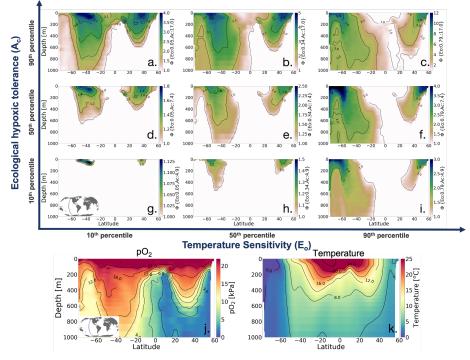


Figure 2. Annual mean metabolic index (Φ) for nine combinations of the ecological traits E_o (metabolic

- 230 temperature sensitivity) and Ac (ecological hypoxic tolerance) along a transect in the Pacific Ocean based on a
- 231 climatology from the World Ocean Atlas dataset (Garcia et al., 2014). The percentile values of each trait are: 10th (Eo
- 232 = 0.04, A_c = 4.8), 50th (E_o = 0.34, A_c = 7.4), and 90th (E_o = 0.79, A_c = 17.0). The lower panels show pO_2 and
- $233 \qquad \text{temperature from the WOA dataset. Note that the colorbar range differs by panel and values where $\Phi' < 1$ are $P_1 < 1$
- 234 omitted, thus the color shows only areas where an active metabolic state can be sustained.

236 2.3 Earth system model simulations

This study is based on the CESM1-LE, described in detail by Kay et al. (2015). The CESM1-LE 237 included 34 ensemble members integrated from 1920-2100 under historical and RCP8.5 forcing. 238 The ensemble was generated by adding round-off level (10⁻¹⁴ K) perturbations to the air 239 240 temperature field at initialization in 1920; this small difference yields rapidly diverging model solutions due to the chaotic dynamics intrinsic to the climate system, thus developing ensemble 241 242 spread representative of internal variability (Kay et al., 2015). Briefly, the CESM1-LE uses the 243 Community Earth System Model, version 1 (Hurrell et al., 2013), with a horizontal resolution of 244 nominally 1° in all components. The ocean component is Parallel Ocean Program version 2, 245 (Smith et al., 2010) with sea ice simulated by the Los Alamos Sea Ice Model version 4 (Hunke 246 and Lipscomb, 2010). Ocean biogeochemistry was represented by the Biogeochemical Elemental 247 Cycling (BEC) model (Moore et al., 2013; Lindsay et al., 2014). 248 249 Our analysis focuses on three depths: 50 m representing near-surface dynamics, the epipelagic 250 zone at 200 m, and the mesopelagic zone at 500 m. pO2 was calculated using the Garcia and 251 Gordon. (1992) solubility formulation. For convenience, we use the period 1920-1965 to define 252 a minimally-perturbed natural state, as this period is prior to the development of substantial 253 anthropogenic trends in ocean oxygen and temperature (Long et al., 2016). We also examine 254 distributions over the last three decades of the 21st century (2070-2099) to evaluate the projected 255 climate-change signal under RCP8.5. We use the mean across all 34 ensemble members to 256 quantify the deterministic, "forced" response of the climate system to anthropogenic influence (Deser et al., 2012). The ensemble spread is thus indicative of the amplitude of variations 257 258 attributable to natural variability.

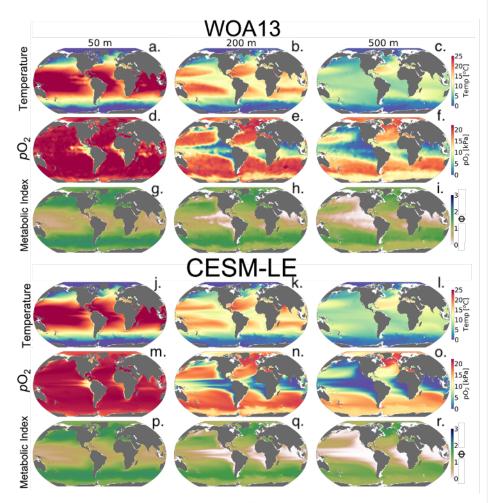


Figure 3. Mean-state comparison with observations. The climatological mean of (top rows) temperature ($^{\circ}$ C), (middle rows) p(C) (P(A) and the (bottom rows) metabolic index for active metabolism (Φ) for the median ecoty

262 (middle rows) pO_2 (kPa), and the (bottom rows) metabolic index for active metabolism (Φ) for the median ecotype

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- 266 We compared the CESM1-LE (1920 1965) with the World Ocean Atlas, version 2013
- 267 (WOA2013) dataset (Garcia et al., 2014), an observationally-based, gridded climatology (Figure
- 268 3a-i). CESM1-LE generally provides a reasonable representation of pO_2 and temperature

 $⁽E_o = 0.34, A_c = 7.4);$ three depths are shown (left) 50 m, (center) 200 m, and (right) 500. Top panels show the WOA13 dataset and the bottom panels show CESM1-LE.

269	distributions at the selected depths (Figure 3); however, there are important biases to	
270	acknowledge in the context of interpreting the results. Temperature magnitudes are generally	
271	well simulated in the CESM1-LE, showing a root mean square error (RMSE) $<$ 1.3 °C, and	
272	pattern correlation coefficient (PCC) >0.98 in all three selected depths (50 m, 200 m, and 500)	
273	(Table 1). Temperature magnitudes are slightly underestimated at 50 m and 200 m (mean bias of	
274	< 0.3°C), and overestimated by 0.41 °C at 500 m. Note that since our comparison uses CESM1-	
275	LE data from 1920-1965, some discrepancy in temperature might be expected from the signal of	
276	climate warming present in the WOA observations. pO_2 is also reasonably well captured by the	
277	CESM1-LE (PCC <0.95), but magnitudes are slightly underestimated at depth, showing a mean	
278	bias of -1.63 kPa and -2.1 kPa at 200 m and 500 m with respect to WOA13 (Table 1). Regions of	
279	low pO_2 waters are too extensive in CESM1-LE (Figure 3n-o) and there is a slight degradation of	
280	skill with depth for pO_2 fields (Table 1). The underestimation of pO_2 leads to a slight	Deleted:
281	underestimation of Φ' with respect to WOA13, and overestimate habitat loss in the future	
282	<u>climate (Figure 3 p-r)</u> ; however, Φ ' computed from the model fields demonstrates that the	
283	dominant spatial patterns are well captured by the CESM1-LE despite magnitudes that are	
284	slightly too low (i.e., Figure 1, c, l). This CESM pO2 bias is common among coarse-resolutions	
285	ocean models and it is attributed to a sluggish circulation and hence weak ventilation (Long et	
286	al., 2016). These differences ultimately matter most near the hypoxic zones and at the boundaries	
287	of habitable zones like the Oxygen Minimum Zones (OMZs).	Deleted: OMZ
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- 293 Table 1. Summary statistics for the comparison of CESM1-LE with the World Ocean Atlas dataset (Garcia et al.,
- 294 2014). The columns include the mean bias, pattern correlation coefficient (PCC), and root mean square error
- 295 (RMSE) at 50 m, 200 m, and 500 m. RMSE Mean bias R Temperature [°C] 0.99 50 m -0.17 1.22 -0.25 0.99 1.22 200 m 500 m 0.10 0.98 0.63 $pO_2[kPa]$ 50 m 0.05 0.99 1.91 200 m -1.17 0.96 5.96 500 m -1.46 0.95 6.28 Metabolic index 0.01 0.99 0.02 50 m 0.97 200 m -0.09 0.05 500 m -0.15 0.96 0.08

298 **2. Results**

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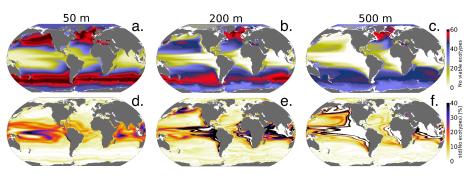
3.1 Joint temperature-pO2 natural variability and forced trends

302 The spatial distribution of the number of viable ecotypes is shown in Figure 4 for the 303 "unperturbed" climate (1920-1965). Our intention here is not to quantify the actual 304 biogeographic range of organisms in the environment, but rather to illustrate the ocean's ability 305 to support respiration by marine ectotherms given the metabolic capacities afforded within the 306 trait space of extant organisms. High latitude environments do not impose strong aerobic 307 constraints (cold intolerance notwithstanding), thus over much of the Southern Ocean, North 308 Atlantic, and Arctic Ocean almost all 61 ecotypes can sustain respiration. The tropical oceans 309 impose the strongest aerobic constraints, restricting the viability of ecotypes that do not have 310 high-hypoxia tolerance (A_o) . For example, less than 25 ecotypes are viable over much of the 311 tropical surface ocean (Figure 4a); low concentrations of oxygen at depth impose even stronger 312 constraints, and no ecotypes are viable in the core of OMZs (Figure 4b, c). The spatial patterns of 313 the number of viable ecotypes is tightly controlled by temperature at the surface, since pO_2 is 314 mostly near saturated levels; at depth, however, pO_2 is the dominant driver of geographic patterns in ecotype viability (Figures 2-4). Temperature generally decreases with depth, reducing 315 316 the metabolic oxygen demand. However, since pO_2 also decreases with depth and displays 317 greater lateral heterogeneity, pO2 emerges as the dominant constraint of spatial structure in 318 ecotype viability at depth. 319 320 The standard deviation of annual anomalies using all CESM1-LE ensemble members provides 321 insight into the amplitude of natural variability (Figure. 5, one standard deviation). Temperature 322 and pO_2 show similar patterns of natural variability in the upper ocean, both showing particularly 323 large variance in the western tropical Pacific and Indian Ocean (Figure 5 a, d). Spatial variation 324 in the magnitude of temperature variability generally decreases with depth, but pO2 displays even

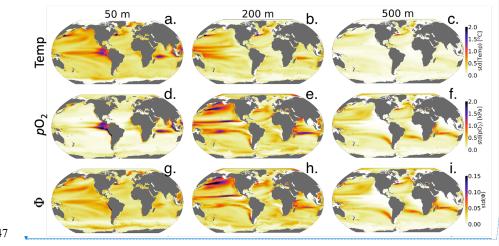
325 relatively larger variability at depth with respect to the surface in some regions (Figure 5 a-f).

- 326 The joint pO_2 -temperature variability manifests in variations of Φ' (Figure 5g-i). Natural
- 327 variability in Φ ' computed for the median ecotype shows spatial patterns similar to temperature

- 328 in the upper-surface ocean (50 m), but is more similar to pO_2 at depth. Thus, variations in Φ' tend 329 to be temperature-dominated near the surface, but are more strongly controlled by pO_2 variability 330 at depth. Φ ' also shows the most extensive natural variability at 200 m consistent with the 331 variability of pO_2 . The number of viable species shows more dramatic fluctuations than 332 variations in the median ecotype Φ' ; variations in the number of viable ecotypes exceed 30% on 333 annual timescales in the tropical upper ocean and near OMZ boundaries in the water column 334 (Figure 4 c-d). This reflects the fact that interannual variability can preclude habitability for 335 some regions of the A_c - E_o trait space, but these variations do not necessarily impact viability for 336 the median ecotype (Figure 1). In the tropical surface ocean, high temperatures (>25°C), and 337 saturated surface ($pO_2 > 20$ kPa) require high hypoxia tolerance (A_c), but permit a range of 338 E_o values (Figure 1b, 2a-b). Ecotypes with larger temperature sensitivity (high E_o) are
- 339 particularly responsive to variations in temperature.
- 340



- Figure 4. Metabolic constraints on trait-space viability. Top row: the number of ecotypes from the physiological
 trait database that are viable (total = 61) in the CESM1-LE over the period 1920–1965. Bottom row: the standard
 deviation (expressed as a percent of the mean) in the number of viable ecotypes, reflecting fluctuations driven by
 natural variability.
- 346



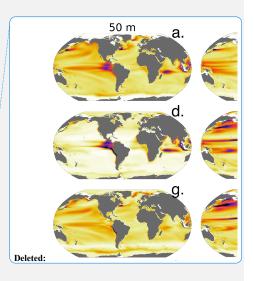


Figure 5. The amplitude of natural variability in the ocean's metabolic state. The panels show the standard deviation of annual-mean anomalies of all ensemble members over the period 1920–1965 for (top row) temperature (°C), (middle row) pO₂ (kPa), and (bottom row) the metabolic index (unitless) of the median ecotype (*E_o* = 0.34, *A_c*= 7.4).
CESM1-LE simulates nearly homogeneous warming between 1920–1965 and 2070–2099 in the surface ocean (50 m) under RCP8.5, with an exception of the so-called North Atlantic warming

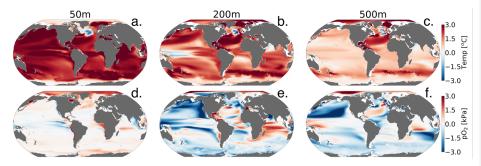
surface ocean (50 m) under KCF8.5, with an exception of the so-caned North Atlantic warming
 hole (Figure 6a). Both modelling and observational studies have linked the North Atlantic

355 warming hole to the slowing of the Atlantic overturning circulation with climate change (Keil et

al., 2020). The magnitude of ocean warming generally diminishes with depth except in the North

- 357 Atlantic, where, despite reductions, the overturning circulation effectively propagates
- 358 anthropogenic heat anomalies into the ocean interior. pO2 shows heterogeneous changes between
- 359 1920–1965 and 2070–2099 (Figure 6 d-f). In the upper ocean, pO_2 changes are generally small (<
- $360 \qquad 1 \ \text{kPa}) \ \text{because the near-surface is kept close to saturation via photosynthetic oxygen production}$
- 361 and air-sea equilibration. At depth, however, pO_2 shows long-term changes linked to
- accumulated effects of respiration and changes in circulation (Ito et al., 2017). At 200 m for
- 363 example, the Pacific Ocean displays a basin-wide mean reduction in pO_2 of 2 kPa (~30%), while
- 364 the Atlantic and Indian basins gain about >2 kPa (\sim 10 35%) by the end of the century. The
- 365 largest long-term $pO_2 loss (>3 kPa)$ occurs in the North Pacific while the largest $pO_2 gain (\sim 2$

367 kPa) occurs in the North Atlantic gyre and western Indian Ocean (Figure 6 e-f).



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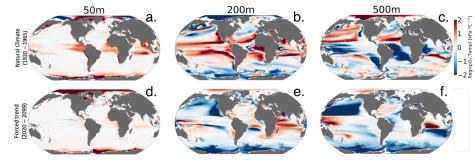
Figure 6. Net long-term change (2070–2099 minus 1920–1965) in the CESM1-LE ensemble means temperature (top) and (bottom) *p*O₂ at 50 m, 200 m, and 500 m.

370 371

Figure 7 shows the relationship between interannual variations in pO_2 versus temperature (pO_2 -T) in the unperturbed climate (1920–1965; top row) and for the forced trend associated with 21st

- 374 century climate change (2070–2099 minus 1920–1965; bottom row). The nature of the pO_2 -T
- 375 relationship is an important indicator of the impacts of variability on the metabolic state.
- 376 Furthermore, the extent to which the forced trend is characterized by a pO₂-T relationship that is
- 377 distinct from that associated with natural variability provides insight into the potential for
- 378 advanced or delayed detection of signals in Φ relative to pO_2 or temperature alone. Given that
- 379 metabolic rates for most organisms increase with temperature (positive E_o), a positive correlation
- 380 between variations in temperature and pO_2 is generally indicative of compensating changes,
- 381 wherein increased oxygen demand is at least partially offset by increased supply. Anticorrelation
- between temperature and pO_2 , by contrast, will generally be associated with compounding
- 383 impacts on the metabolic index, as a negative correlation indicates that reductions in pO_2 (i.e.,
- 384 oxygen supply) accompany warming (i.e., increased demand). The sign of the pO_2 -T relationship
- in the natural climate varies regionally and with depth (Figure 7, top row). The surface ocean is
- 386 generally characterized by a weak, positive pO_2 -T relationship, which could manifest from,
- 387 among other mechanisms, temperature-induced increases in photosynthetic oxygen production
- 388 (Figure 7a). The natural pO_2 -T relationship in the epipelagic (200 m) is characterized by strong
- 389 positive correlations in the tropics and negative correlations at high latitudes (Figure 7b). A
- 390 positive correlation between pO_2 and temperature at this depth could be induced by variability

391 associated with adiabatic vertical displacement of isopycnals, or "heave", which has the effect of 392 translating background gradients in properties vertically in the water column (Long et al., 2016). 393 Upward movement of a deep isopycnal surface would yield a negative temperature anomaly and 394 a negative pO_2 anomaly (positive correlation), as the deeper, colder waters have greater oxygen 395 utilization signatures associated with longer ventilation age. Negative correlations between pO_2 396 and temperature could manifest from ventilation processes, where enhanced subduction of 397 surface water yields anomalously cold water masses that are enriched in oxygen. The sign of 398 these epipelagic pO_2 -T correlations shows some similarity to those associated with the externally 399 forced climate (Figure 6e), but the latter is characterized by a greater prevalence of 400 anticorrelation, most notably in the North Pacific ocean. At 500 m depth, the relationship 401 between temperature and pO2 in the natural climate is almost a mirror image of the epipelagic 402 (Figure 7c); the tropics generally display negative correlations, while polar regions show positive 403 correlations (Figure 7 e). The pO_2 -T relationship in the forced trend at 500 m is dominated by 404 broad regions of deeply negative correlations, with the most pronounced effect again in the 405 North Pacific. The negative relationship is consistent with a ventilation signal, as buoyancy-406 induced stratification from warming curtails the introduction of new oxygen into the ocean 407 interior. The predominantly negative pO_2 -T relationship associated with the forced trend is 408 indicative of the compounding effects of climate change on metabolic state, increasing metabolic 409 demand while simultaneously reducing oxygen supply.



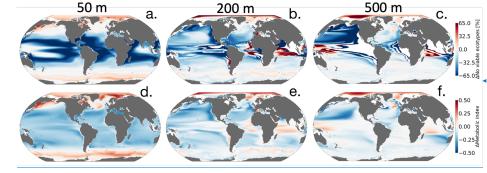
410

411 **Figure 7.** Regression of annual means pO_2 versus temperature (kPa °C⁻¹) for (top row) interannual variability and 412 (bottom row) the forced trend (difference between 2020–2099 and 1920–1965). The columns show the regressions 413 computed at different depths, 50 m, 200 m, and 500 m, respectively.

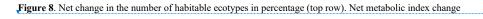
415 **3.2 Long-term habitat changes**

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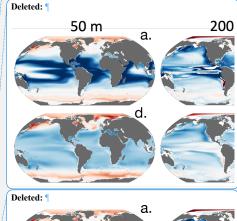
417 Figure 8 shows the climate-driven changes in Φ' for the median ecotype, as well as the impacts 418 of climate change on the number of viable ecotypes. Notably, while pO2 in the near-surface 419 ocean is relatively insensitive to climate change (Figure 6d), there are reductions in Φ' in the 420 tropics (Figure 9d), owing to the direct impacts of warming. These changes are associated with 421 deep reductions in the number of viable ecotypes in the tropics (Figure 8a). There are modest 422 increases in Φ ' and ecotype viability at high-latitudes; metabolic state in these regions is affected 423 by cold intolerance, thus warming broadens the viable region of trait space. Additionally, 424 reductions in sea ice cause an increase in pO_2 , as gas exchange becomes more effective at 425 restoring equilibrium oxygen concentrations. The number of viable ecotypes shows more intense 426 patterns than those in the median ecotype Φ' in the upper ocean (Figure 8). This is partly because 427 ecotypes predicted to lose viability in the tropical regions (~ 50%) are at the extremes of the A_{c} -428 E_o distribution (Figure 1) and not captured by the median ecotype Φ '. Nevertheless, outside the 429 tropical regions, the median ecotype gives a good indication of the anthropogenic impact to 430 marine ectotherms. The projected habitat loss in the epipelagic-pelagic North Pacific (> 50%) 431 and habitat gain in the epipelagic-pelagic Southern Indian Ocean (~40%) and pelagic western 432 tropical regions (~40%) are consistent with a decrease in the median ecotype Φ' . Note that the 433 most pronounced effects on habitat are associated with regions where climate change drives a 434 strongly negative pO_2 -temperature relationship (Figure 7).

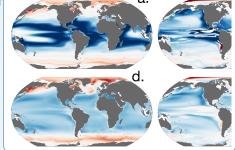


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441 (2070 - 2099 vs. 1920 - 1965) for the median ecotype [$E_o = 0.34$, $A_c = 7.4$] (bottom row). At 50m (first column), 442 200m (second column) and 500m (third column).

443

444 **3.3 Time of Emergence**

445

446 In this section, we examine the "time of emergence" (ToE, Hawkins and Sutton, 2012), the point

447 when forced changes in pO_2 , temperature and Φ' can be distinguished from the background 448 natural variability. We define ToE as the time when the magnitude of change in the ensemble

natural variability. We define ToE as the time when the magnitude of change in the ensemble
mean of a particular variable exceeds two standard deviations of the natural climate (1920 -

450 1965). This is illustrated in Figure 9 for a single grid point in the North Pacific at 200 m. At this

451 location, the forced trend in temperature shows a monotonic increase, while pO₂ shows a

452 monotonic decrease; as a result, Φ' for the median ecotype and the number of viable ecotypes

453 decrease over time. The anti-correlation between pO_2 and temperature exacerbates trends in Φ' ,

454 and hence the forced trend of the median ecotype Φ' emerges from natural noise earlier than

455 either pO₂ or temperature do alone (Figure 10a-c). Note that although the ToE of ecotype

456 viability change is directly derived from changes in Φ' , it is binary counted; changes in ecotype

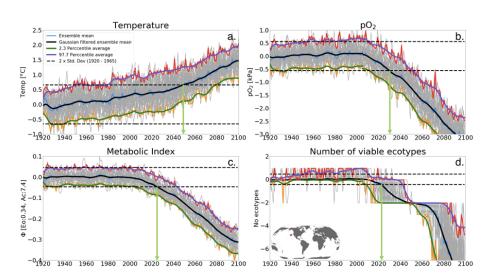
457 viability are counted in whole numbers and this creates a step-function temporal-spatial variation

458 (Figure 9d). Consequently, this step-function-like feature of ecotype viability creates

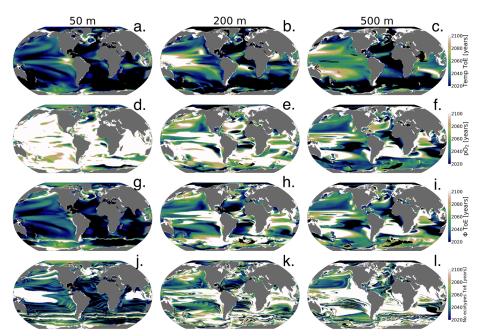
459 discontinuities even in spatial patterns of ToE (Figure 10 j-l) as also shown in the natural

460 variance in Figure 4 d-f.

461



464Figure 9.. Time of emergence (ToE) of the climate forcing signal for (a) temperature, (b) pO_2 (c) the metabolic465index of the median ecotype [$E_o = 0.34$, $A_c = 7.4$], and (d) the number of viable ecotypes for a single model grid in466the North Pacific at 200 m. ToE (green arrows) is defined as the time when the forced trend signal (ensemble467member time series) is above two standard deviations (black dotted line) of all ensemble members for the period4681920 - 1965.

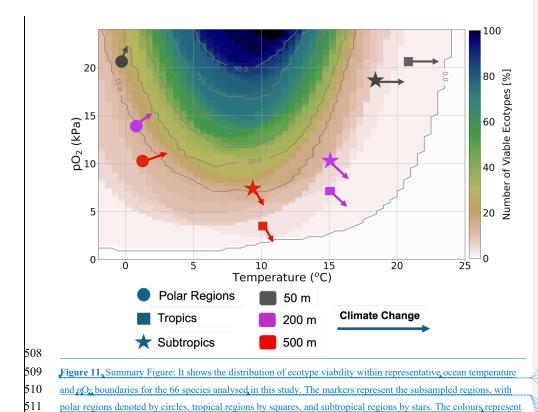


471 Figure 10. Time of emergence (ToE) of the climate forcing signal for temperature, pO₂, phi, and the number of
472 viable ecotypes. ToE is defined as the time when the forced trend signal (ensemble member time series) is above
473 two standard deviations of all ensemble members for the period 1920 - 1965.

• • •	
475	The ToE of pO_2 and temperature are inverted with depth; temperature emerges earliest in the
476	upper ocean while pO_2 emerges earlier at depth and later or shows no emergence in the upper
477	ocean (Figure 10 a-f). This feature is consistent with larger upper ocean temperatures long-term
478	changes and greater pO_2 changes at depth. Near-surface ocean temperature has mostly already
479	emerged by 2020 and is predicted to have almost completely emerged by the late 2060s under
480	RCP85 (Figure 10 a-c). The early emergence of temperature from natural noise also persists for
481	regions of relatively low natural variance at depth, e.g., the Southern Ocean and Atlantic Basin
482	Gyres. Regions of the largest natural variability (see Figure 5) like the subtropical-subpolar
483	Pacific however do not emerge until close to the end of the century. For <i>p</i> O ₂ , anthropogenic
484	changes in the upper ocean generally do not emerge from natural noise before the end of the
485	century except for the Arctic Ocean and Eastern Antarctic. In the Arctic Ocean and Eastern

486	Antarctic pO_2 gain is related to sea-melt emergence by the mid-2050s (Figure 10a). The median
487	ecotype Φ ' ToE shows spatial patterns that are coherent with temperature ToE in the upper ocean
488	with exception of polar regions. In contrast, they are consistent with pO_2 ToE patterns at depth;
489	this is consistent with net long-term Φ ' changes in Figure 9d. The emergence of the
490	anthropogenic signal in ecotype viability closely resembles the median ecotype Φ' spatial
491	patterns but showing non-harmonious spatial patterns due to the step-function-like counting
492	feature of viability changes. It shows that the predicted $\sim 50\%$ ecotype viability loss in the
493	tropics (Figure 6a) may already be distinguishable from natural variability by the mid-2030s. In
494	the North Pacific, the predicted $> 50\%$ ecotype viability loss in the epipelagic-pelagic regions is
495	predicted to start emerging in the 2040s at 500 m and 2080s at 200 m (Figure 10 k-l).
496	
497	In summary, we showed that because of the surface ocean's large warming signal and the least
498	pO_2 loss outside of the polar regions under the RCP85 climate scenario, it is characterized by
499	habitat loss in the tropics and a slight habitat gain in polar regions (Figure 11). Sea-ice melts
500	support Oxygen gain through the enhancement of temperature-driven solubility in the surface
501	polar regions. At depth, warming is less prevalent by the end of the 21st century; however,
502	oxygen loss related to the weakening ventilation of the ocean interior as the ocean becomes more
503	stratified has a stronger impact on metabolic reliance, leading to habitat loss in tropics and
504	subtropics. On the other hand, cooler temperatures and efficient ventilation in polar regions
505	create an oxygen-rich environment. Thus, in contrast to tropical and subtropical regions,
506	warming leads to a slight habitat gain (Figure 11), as organisms escape the cold intolerance
507	imposed by molecular gas diffusion at low temperatures.
1	

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518 (Ito et al., 2017; Schmidtko et al., 2017; Long et al., 2016). Higher metabolic oxygen demand at 519 higher temperatures (Gillooly et al., 2001; Deutsch et al., 2015, 2022) raises concerns about the 520 ability of marine ectotherms to support aerobic respiration in the future. This study set out to

The human-induced rapid warming of the planet has been shown to drive ocean deoxygenation

the depth levels; 50 m (grey), 200 m (purple), and 500 m (red). Each arrow shows the estimated joint temperature-

 pO_2 climate change vector based on the net changes in temperature and pO_2 (as depicted in Figure 6).

521 characterize the anticipated climate change signal in the ocean's metabolic state in the context of

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4. Discussion

523	natural variability using the metabolic theory as a basis to examine the capacity of the	
524	environment to support ectothermic marine heterotrophs.	
525		
526	The spatial variation in pO_2 and temperature in the unperturbed natural climate state set	
527	biogeographic boundaries based on ectotherms' physiological performance. The resilience of	
528	these ectotherms' biogeographic structure to natural variability and long-term climate warming is	
529	perturbed by the joint pO_2 -temperature changes, effectively measured by the metabolic index	
530	(Φ '). An increase in the capacity of the organisms to support aerobic respiration increases Φ '; for	
531	example by ocean cooling or increase in oxygen supply contrary, warming and decrease in	
532	oxygen supply decrease Φ '. There are exceptions in extremely low-temperature environments	
533	(Figure 11), where aerobic respiration is also limited by kinematic gas transfer into the organism	
534	in addition to environmental oxygen supply. Relative changes in pO_2 and temperature in the	
535	natural variability and forced trend, therefore, regulate ectotherms' resilience to environmental	
536	changes. Under the RCP85 climate scenario, the ocean generally warms homogeneously but	
537	concurrent pO2 changes are heterogeneous and vary with depth. Thus, the characteristics of these	
538	pO_2 -temperature forced trend changes determine when the climate change impact on marine	
539	ectotherms can be distinguishable from natural variability.	
540		
541	In the surface ocean, pO_2 is generally abundant and relatively uniform, and thus spatial	
542	temperature variations have a dominant constraint on the spatial variations of organismic	
543	metabolic state. The warmest parts of the surface ocean, the tropical oceans, can only support	
544	about 10-20 (~ 30%) of the 61 ecotypes while cooler regions in extratropics have nearly 100%	
545	viability. Moreover, since warming anomalies propagate from the surface, the surface tropical	
546	oceans also show the largest natural variance in temperature and ecotype viability, This is	
547	because extremely warm temperatures in the surface tropics (>25°C) are mainly suited for	
548	organisms with high-temperature sensitivity (E_0) , which are relatively fewer, and mostly close to	
549	their physiological limits (Storch et al., 2014). Large natural variability in these warmest parts of	
550	the tropical surface ocean precludes the forced trend signal from emerging from the natural	
551	variability in the ecotype viability by end of the century although the ocean warms the largest in	
552	the surface. Nevertheless, the large warming trends in the surface ocean generally emerge	
553	relatively early (the 2020s) from natural variability in both temperature and ecotype viability in	

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555	most regions. Minimal changes in surface pO_2 in the forced trend affirm that surface ocean	
556	marine ectotherms are mainly perturbed by temperature in the context of anthropogenic changes.	
557	In polar regions, warming has a counterintuitive effect on marine ectotherms with respect to	
558	most parts of the surface ocean. There, warming helps organisms escape extreme cold	
559	intolerances by enhancing membrane kinematic gas transfer which enhances Φ' and thus ecotype	
560	richness in the future (Figure 11)	
561		
562	In the epipelagic and <u>meso</u> pelagic regions (200 m and 500 m), the <u>forced</u> temperature trend and	
563	natural variability are broadly smaller than the surface ocean, while pO_2 changes show the	<u> </u>
564	opposite. Thus, at depth pO_2 play a more intricate role in perturbating marine ectotherm habitats	
565	in the context of anthropogenic warming with respect to the surface ocean, where temperature	
566	plays a dominant role. Contrasting the regression between pO2 and temperature in the natural	
567	climate, and forced trends provides an instructive framework to analysing ectotherms' long-term	
568	changes. Regions showing <u>different</u> correlations between temperature and pO_2 in the forced	
569	trends in comparison to the natural climate suggest a loss metabolic resilience; loss of habitat,	
570	and these regions tend to have a relatively early ToE, For instance, in the epipelagic and	\square
571	mesopelagic North Pacific, temperature- pO_2 regressions switched from a positive correlation in	\mathbb{N}
572	the unperturbed climate to a strong negative correlation in the forced trend (Figure 7). The North	$\backslash \rangle$
573	Pacific pelagic – epipelagic regions is projected to lose nearly half of the present climate ecotype	
574	viability by end of the 21st century, the projected habitat loss start emerging by the late 2030s	\mathbb{N}
575	under the RCP85 climate scenario, On the other hand, in the Arctic Ocean and some parts of the	\mathbb{N}
576	Southern Ocean, same sign pO_2 -temperature correlations in the forced trends result in the	
577	preservation of the marine habitat and even slight enhancements.	
578		
579	5. Conclusions	
580		/

581 The joint temperature-oxygen metabolic framework in this study provides additional insight into

- 582 the impact of climate change on marine ecosystems in comparison to the independent oxygen or
- 583 temperature analysis. We here showed that while warming is the leading order driving
- 584 mechanism of climate change, the direct effect of warming on marine ecosystems is mostly in

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612	the upper ocean. Climate change-related oxygen loss is a major driver of marine ecosystem stress	
613	in addition to warming at depth. Incorporating organismal physiological sensitivity to oxygen-	
614	temperature changes in the metabolic framework provides insight into how climate impacts the	
615	biogeographic structure of marine habitat. We find that forced perturbations to pO2 and	Formatted: Subscript
616		Deleted: We find that underway forced trends perturbations $n pO_2$ and temperature will strongly exceed those associated
617		with the natural system
618	physiological limits. Climate warming is expected to drive significant marine habitat loss in the	
619	surface tropical oceans and epipelagic - pelagic North Pacific Basin, while gaining marginal	
620	habitat viability in the surface Arctic Ocean and some parts of the Ocean Southern.	
621		
622	6. Competing interests	
623	The contact author has declared that none of the authors has any competing interests	
624		
625	7. Acknowledgments	
626		
627	PM, ML, CD and TI were funded by the National Science Foundation (NSF) grant agreement	
628	No. 1737158. PM and YSF were also funded by the European Union's Horizon 2020 research	
629	and innovation programme under grant agreement No. 820989 (COMFORT).). We also would	
630	like to acknowledge the data access and computing support provided by the NCAR Cheyenne	
631	HPC.	
632	8. Author contribution	
633		
634	PM and ML designed the study approach. PM developed the analysis with feedback from ML,	Deleted: model code and
635	CD and TI. PM prepared the manuscript with contributions from all co-authors.	
636		
637	9. Data access	
638		
639	The CESM1 large ensemble data used in this study can be accessed in this location:	
640	https://www.cesm.ucar.edu/community-projects/lens/data-sets	
641		

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