# Scenario set-up and forcing data for impact model evaluation and impact attribution within the third round of the Inter-Sectoral Model Intercomparison Project (ISIMIP3a)

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71 Abstract. This paper describes the rationale and the protocol of the first component of the third 72 simulation round of the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP3a, 73 www.isimip.org) and the associated set of climate-related and direct human forcing data (CRF and 74 DHF, respectively). The observation-based climate-related forcings for the first time include high-75 resolution observational climate forcings derived by orographic downscaling, monthly to hourly coastal 76 water levels, and wind fields associated with historical tropical cyclones. The DHFs include land use 77 patterns, population densities, information about water and agricultural management, and fishing 78 intensities. The ISIMIP3a impact model simulations driven by these observation-based climate-related 79 and direct human forcings are designed to test to what degree the impact models can explain observed 80 changes in natural and human systems. In a second set of ISIMIP3a experiments the participating 81 impact models are forced by the same DHFs but a counterfactual set of atmospheric forcings and 82 coastal water levels where observed trends have been removed. These experiments are designed to 83 allow for the attribution of observed changes in natural, human and managed systems to climate 84 change, rising CH<sub>4</sub> and CO<sub>2</sub> concentrations, and sea level rise according to the definition of the Working 85 Group II contribution to the IPCC AR6.

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## 87 1 Introduction

The Inter-Sectoral Impact Model Intercomparison Project ISIMIP (www.isimip.org) provides a common scenario framework for cross-sectorally consistent climate impact simulations currently covering the following sectors: agriculture (global; in cooperation with AgMIP's Global Gridded Crop Model Intercomparison Project (GGCMI)), water (global and regional), lakes (global and regional), biomes (global), forest (regional), fisheries and marine ecosystems (global and regional), terrestrial biodiversity

93 (global), fire (global), permafrost (global), peat (global), coastal systems (global), energy (global), health 94 (temperature-related mortality: water-borne diseases: vector-borne diseases: and food security and 95 nutrition) (global and local), and labour productivity (global and local). The impact model simulations 96 are made freely available, allowing for all types of follow-up analysis. The consistent design of the 97 simulations does allow for the comparison of climate impact simulations within each sector. However, 98 it also enables the bottom-up integration of impacts across sectors. Thus, it provides a unique basis for 99 the estimation of the effects of climate change on, e.g., the economy, displacement and migration, 100 health, or water quality resolving the mechanisms along different impact channels and fully exploiting 101 the process-understanding represented in the biophysical impact models.

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103 Initialised in 2012, ISIMIP is organised in individual modelling rounds. The decision about their design 104 and the development of the associated simulation protocols has been developed into an iterative 105 process between stakeholders and users of ISIMIP data, the sectoral coordinators representing 106 participating modelling teams, the Scientific Advisory Board, and the Cross-Sectoral and Coordination 107 Team at PIK (ISIMIP Coordination Team, Sectoral Coordinators, Scientific Advisory Board, 2018). 108 Since its second round the ISIMIP protocols comprise an 'a' part describing impact model simulations 109 that cover the historical period forced by observational climate-related and direct human forcings 110 (evaluation set-up), and a 'b' part dedicated to impact simulations based on simulated climate-related 111 forcings including future projections. This paper describes the ISIMIP3a simulation framework only 112 where the DHF described here are also used for the historical simulations within ISIMIP3b. Compared 113 to ISIMIP2a the evaluation set-up based on observational forcing data has been extended to now 114 include additional years up to 2021 and sensitivity experiments using high resolution historical climate 115 forcing data to quantify associated improvements of impact simulations (see section 3.1). Besides, the 116 set of historical observation-based direct human forcings has been updated compared to previous 117 ISIMIP simulation rounds (see **Table 1**). For the first time, and closely connected to the evaluation set-118 up, ISIMIP3a now also includes an 'impact attribution' scenario set-up designed to address the question 119 "To what degree have observed changes in the climate-related systems contributed to observed 120 changes in natural, human or managed systems compared to direct human influences?" Here, changes 121 in climate-related systems mean climate change itself, changes in atmospheric CO2 and CH4 122 concentration, and sea level changes. The attribution question can both refer to the impacts of individual 123 events (e.g. to what extent has long-term climate change contributed to the observed extent of a specific 124 river flood?) and to long-term changes (e.g. to what extent have long-term climate change and 125 increasing CO<sub>2</sub> fertilisation contributed to an observed change in crop yields?). The IPCC AR5 (Cramer 126 et al., 2014) and AR6 ((O'Neill et al., 2022; Hope et al., 2022) have established a framework for impact 127 attribution according to which an 'observed impact of climate change or change in any other climate-128 related system' is defined as the difference between the observed state of the human, natural or 129 managed system and a counterfactual baseline that characterises the system's behaviour in the 130 absence of changes in the climate-related systems. This counterfactual baseline may be stationary or 131 vary in response to direct human influences such as changes in land use patterns, agricultural or water 132 management or population distribution and economic development affecting exposure and vulnerability

133 to weather-related hazards. While the definition is established for about a decade at least, the number 134 of studies addressing impact attribution based on this basic definition is still relatively small compared 135 to the number of studies addressing climate attribution, i.e. the question to what degree anthropogenic 136 emissions of climate forcers, in particular greenhouse gases, have induced changes in the climate-137 related systems. While climate attribution is mainly confronted by the challenge of separating the 138 anthropogenically forced changes from the internal variability of the climate-related systems, the focus 139 of climate impact attribution is on separating the impacts of observed changes in these climate-related 140 systems from the effects of other direct (human) drivers of changes in the considered natural, human 141 or managed systems, 'Observed changes in the climate-related systems' does not necessarily imply 142 'changes induced by anthropogenic climate forcing', but only means 'any long-term trend' in line with 143 the IPCC definition of climate change (see Glossary of the AR5 (IPCC, 2014) and AR6 (Matthews et 144 al., 2021)).

145 Impact attribution studies usually face the problem that the counterfactual baseline assuming no long-146 term changes in the climate-related systems cannot be observed (see (Hansen et al., 2016) for 147 examples). However, impact models such as the ones participating in ISIMIP are well suited to simulate 148 this baseline. As the impact models usually account not only for the changes in climate or the climate-149 related systems but also for direct human forcings such as land use and irrigation changes, changes in 150 water and agricultural management, population distributions etc. (see Table 1 for a comprehensive list 151 of direct human forcings provided within ISIMIP3a) they are ideal tools to address the attribution 152 question: In line with the IPCC definition it requires the comparison of a factual simulation based on the 153 observed variations in the climate-related and direct human drivers to a counterfactual simulations 154 where only the climate-related forcings are replaced by counterfactual versions where long-term trends 155 have been removed. While the factual simulations correspond to the evaluation runs within ISIMIP3a 156 (see section 2.1), the protocol now also includes the counterfactual simulations based on the newly 157 generated counterfactual data sets derived from observational data of climate and coastal water levels 158 (see sections 2.2 for the associated concept and scenario design and Table 3 for a comprehensive list 159 of the counterfactual climate and sea level forcing data that are described in more detail in section 3.1 160 and **3.3**, respectively). To allow for an attribution of 'observed changes in natural, human, and managed 161 systems' in contrast to an attribution of simulated changes it has to be demonstrated that the processes 162 represented in the impact model can explain the observed changes in the affected system, i.e. it has to 163 be shown that the model forced by observed changes in the climate-related systems and accounting 164 for the historical development of direct (human) forcings is able to reproduce the observed changes in 165 the affected system. In this way the attribution exercise is closely linked to the ISIMIP3a evaluation 166 exercise. Thereby, models can either explicitly represent known changes in non-climate drivers such 167 as known adjustments of fertiliser input or growing seasons (explicit accounting for non-climate drivers) 168 or implicitly account for their potential contributions by e.g., allowing for non-climate related temporal 169 trends in empirical models as often done in empirical approaches (implicit accounting for non-climate 170 drivers). 171 While the default attribution experiment in ISIMIP3a is designed for the attribution of observed changes

172 in human, natural, and managed systems to observed change in the climate-related systems in

173 combination (in the current ISIMIP3a setting this is changes in atmospheric climate forcing in 174 combination with changes in atmospheric CO2 and CH4 concentrations, see **Table 3**), the protocol 175 also includes a sensitivity experiments that allow for the quantification of the influence of increasing 176 CO2 concentrations separately and for an attribution of observed changes in natural, human and 177 managed systems to historical changes in atmospheric CO2 concentrations only (see section 2.1). 178 Here, we consistently define 'an observed impact of a change in any component of the historical forcing 179 as the difference between the observed state of the system to a counterfactual world where only this 180 specific component of the forcing has not changed. So the 'observed impact of increasing CO2 181 concentrations' is approximated by the difference between a full forcing run and a run where CO2 182 concentrations are held constant. This is different from the 'CO2 only' experiment considered within 183 TRENDY (Trends in the land carbon cycle, (Sitch et al., 2015, Protocol - TRENDY, 2023)) where the 184 pure effect of increasing CO2 concentrations on the terrestrial carbon cycle (e.g. net biome production) 185 is estimated by simulations where the Dynamic Global Vegetation Models (as participating in the biomes 186 sector of ISIMIP) are forced by the observed increases in CO2 concentrations but a time-invariant "pre-187 industrial" climate and land use mask. In the above sense, other ISIMIP3a experiments can also be 188 considered counterfactual baseline experiments that allow for the attribution of observed changes in 189 human, natural, or managed systems to changes in the direct human forcings as a whole (DHF set to 190 zero or fixed at 1901 and 2015 levels) or to changes in individual components such as changes in water 191 management, irrigation patterns, and riverine influx of nutrients into the ocean (see section 2.1 and 192 Table 2). The attribution to changes in direct human forcings is e.g. similar to the comparison of the full 193 forcing run within TRENDY to the 'CO2 and climate only' run where climate change and atmospheric 194 CO2 concentrations are prescribed according to observations but land use changes are held constant 195 to quantify the contribution of this direct human forcing to observed changes in the carbon cycle for the 196 annual report of the Global Carbon Project (e.g. (Friedlingstein et al., 2022)). However, in this paper 197 the term 'impact attribution' is used as a short form of 'attribution of observed changes in natural, human 198 and managed systems to observed changes in the climate-related systems' which is the focus of the 199 ISIMIP3a experiments. In other cases the driver to which the changes are attributed is explicitly named. 200 In addition to ISIMIP3a, there are other model intercomparison projects that address different kinds of 201 attribution questions such as Land Use Model Intercomparison Project (LUMIP, (Lawrence et al., 2016)) 202 and Detection and Attribution Model Intercomparison Project (DAMIP, (Gillett et al., 2016)) embedded 203 into the sixth phase of the Coupled Model Intercomparison Project (CMIP6). While the phase 2 LUMIP 204 experiments include historical climate model simulations to quantify the contribution of historical land 205 use changes to observed climate change, the AMIP protocol include a counterfactual 'no anthropogenic 206 climate forcing' baseline to attribute observed changes in climate to anthropogenic climate forcings. 207

The development of the protocol was coordinated by the ISIMIP-Cross-Sectoral Science Team (CSST) at the Potsdam Institute for Climate Impact Research (PIK) and involved the sectoral coordinators, participating modelling teams, and the Scientific Advisory Board. The process was initiated by a proposal for the main research questions to be addressed and an associated scenario set-up accounting for suggestions collected in a stakeholder engagement process (Lejeune et al., 2018). 213 Following ISIMIP's mission and implementation document (ISIMIP Coordination Team, Sectoral 214 Coordinators, Scientific Advisory Board, 2018), the basic proposal was approved by the ISIMIP strategy 215 group at the cross-sectoral ISIMIP workshop in Potsdam, September 2018 (Outcomes of the ISIMIP 216 Strategy Group Meeting, 2023). Thereby the CSST and the sectoral coordinators were tasked to 217 translate the decisions into a cross-sectorally consistent simulation protocol and to generate, pre-218 process or collect the required climate-related and direct human forcing data. The provided forcing data 219 sets (e.g. the climate variables or components of atmospheric composition or types of land use) is very 220 much demand driven. The data we describe here represent a core set that is sufficient for the range of 221 models participating so far (see ISIMIP output data table (ISIMIP Output Data Table, 2023) that also 222 provides information about the input data used by the individual models) but may be extended if there 223 were further demands. This paper presents the results of this process and the motivation and reasoning 224 behind the individual steps for ISIMIP3a, while a follow-up paper will provide the same information for 225 ISIMIP3b dedicated to impact projections based on climate model simulations(Frieler, submitted 2023). 226 It provides the point of reference for modelling teams interested in participating in ISIMIP3a but also for 227 users of the impact simulation data, which become freely accessible according to the ISIMIP terms of 228 use (ISIMIP terms of use, 2023). The paper is accompanied by a simulation protocol (ISIMIP3 229 simulation protocol, 2023) providing all technical details such as file and variable naming conventions 230 and sector-specific lists of output variables to be reported by the participating modelling teams. The 231 ISIMIP3 simulation round was officially started on 21st February 2020<sup>1</sup> with the release of the 232 associated protocol. Since then, the protocol has already received some updates through the addition 233 of output variables, correction of errors, and inclusion of new sectors. This paper refers to the protocol 234 version of 14th January 2023. However, the protocol may still receive updates similar to the ones 235 mentioned above. Impact modellers interested in contributing to ISIMIP should therefore refer to 236 (ISIMIP3 simulation protocol, 2023) for the most up to date version for planned impact model 237 simulations. The protocol landing page (protocol.isimip.org) includes a unique version identifier (the 238 commit hash) that links to the latest protocol version on github for traceability.

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240 In the second round of ISIMIP the observation-based model evaluation part (ISIMIP2a) was temporally 241 separate from the climate model-based second part (ISIMIP2b, (Frieler et al., 2017). This has led to 242 inconsistencies in the models and model versions contributing to ISIMIP2a and ISIMIP2b. Also, not all 243 models providing future projections within ISIMIP2b also provided model evaluation runs for ISIMIP2a. 244 To avoid this problem and ensure that each model's set of future projections is accompanied by 245 associated historical simulations allowing for model evaluation, in the third simulation round (ISIMIP3), 246 the ISMIP3a and ISIMIP3b protocols were released together and participating in ISIMIP3 means 247 contributing to ISIMIP3a and ISIMIP3b using the same impact model versions.

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In the following section **2** of this paper, we provide the comprehensive list of all ISIMIP3a model evaluation and sensitivity experiments (see **Table 2** within section **2.1**) and the counterfactual 'no climate change' experiments (see **Table 4** within section **2.2**) describe the rationale behind the scenario

<sup>&</sup>lt;sup>1</sup> announced via email to the ISIMIP mailing list from 21st February 2020

252 set-ups. Detailed description of the climate-related forcing data sets (see CRF section of Table 1 in 253 section 2.1 and Table 3 in section 2.2) are provided in the third section: atmospheric climate data (see 254 section 3.1); tropical cyclone data (see section 3.2); coastal water levels (see section 3.3), and the 255 ocean data (see section 3.4). Section 4 presents the ISIMIP3a direct human forcing data sets (see DHF 256 section of Table 1), comprising population data (see section 4.1), gross domestic product (see section 257 4.2), land use and irrigation patterns (see section 4.3), fertiliser inputs (see section 4.4), land 258 transformations (see section 4.5), nitrogen deposition (see section 4.6), crop calendar (see section 259 4.7), dams and reservoirs (see section 4.8), fishing intensities (see section 4.9), regional forest 260 management (see section 4.10), and desalination (see section 4.11).

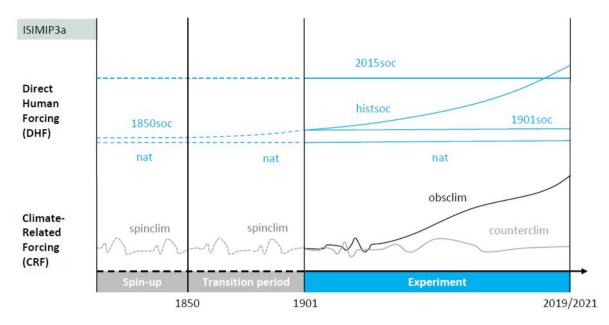
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## 262 2 Experiments and underlying rationale

264 ISIMIP3a includes a core ('default') set of experiments that are specified by a specific set of underlying 265 climate-related forcings and direct human forcings that have to be indicated in the file names when 266 submitting simulation data to the ISIMIP repository. In the following we first introduce these default 267 experiments by defining the combination of both types of forcing data sets. In the subheadings naming 268 the experiments the associated CRF and DHF specifiers to be used in the file names are indicated in 269 brackets where the third sensitivity specifier is set to 'default' (CRF specifier + DHF specifier, default). 270 The different combinations of the default sets of ISIMIP3a CRFs ('obsclim', 'counterclim') and DHFs 271 ('histsoc', '2015soc', '1901soc', '1850soc', 'nat') are sketched in Figure 1 and defined in more detail 272 below (see Table 1 for the default 'obsclim' CRF and the default DHFs and Table 3 for the 'counterclim' 273 CRF). Some of the forcing data sets are mandatory: i.e. if impact models account for the forcing, the 274 specified dataset must be used; if an alternative input data set is used instead, the run cannot be 275 considered an ISIMIP simulation. We also provide 'optional' forcing data that could be used but are not 276 'mandatory' in the above sense (see second column of **Table 1** and **Table 3**). In addition, the protocol 277 includes a set of sensitivity experiments that are described as deviations from the default runs and 278 labelled by the baseline CRF and DHF settings and the third specifier then indicating the deviation from 279 this default setting instead of being set to 'default'. The ISIMIP3a sensitivity runs include experiments 280 with high-resolution climate forcing ('30arcsec', '90arcsec', '300arcsec', or '1800arcsec'), fixed levels 281 of atmospheric CO<sub>2</sub> concentrations ('1901co2'), a scenario assuming no water management 282 ('nowatermgt'), simulations excluding the occurrence of wildfires ('nofire'), keeping irrigation patterns at 283 1901 levels ('1901irr'), and assuming fixed 1955 riverine inputs of freshwater and nutrients into the 284 ocean ('1955-riverine-input') (see Table 2). Table 2 and Table 4 providing the comprehensive list of all 285 'obsclim' and 'counterclim'-based experiments, respectively, also indicate the priority of the experiments 286 where '1st priority' means that modellers should focus on this set of experiments if their capacities were 287 limited and they wanted to limit the set of experiments. However, this is just an indication trying to ensure 288 the generation of a small set of experiments that is covered by as many impact models as possible. If 289 an impact modeller can only do part of the first priority set-up or has to start from second priority 290 simulations these fragmented data sets can also be submitted to the ISIMIP3a repository.

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293 294 Figure 1: ISIMIP3a scenario design: Illustration of the default ISIMIP3a forcing data sets. Each experiment is defined by a combination of a CRF data set with a DHF data set. The considered combinations are listed in Table 295 2 and Table 4 and the underlying rationale is described in section 2.1 (evaluation runs based on 'obsclim' defined 296 297 in Table 1) and section 2.2 (attribution runs based on 'counterclim' defined in Table 3). Table 1 also lists all data sets defining the 'histsoc' DHF. Solid lines indicate the part of the experiments that should be reported while the 298 dashed lines illustrate the different spin-up procedures for the models that require a spin-up. Note that the oceanic 299 300 climate-related forcing for the marine ecosystems and fisheries sector is only available for 'obsclim' and the period 1961-2010, i.e. the actual experiments only start from the year 1961. The associated spin-up procedure and the 301 simulations set-up for a transition period are not illustrated in the Figure but described below for the 'obsclim + 302 histsoc, default', 'obsclim + nat, default', 'obsclim + histsoc, 60arcmin', and 'obsclim + nat, 60arcmin' experiments 303 considered in this sector. 304

## 305 2.1 Model evaluation and sensitivity experiments based on observed CRFs ('obsclim')

306 The experiments described in this section are all based on observational (factual) climate data, coastal 307 water levels, and atmospheric CO2 as well as CH4 concentrations including observed trends. The only 308 exception are the sensitivity experiments where CO2 concentrations are fixed at 1901 levels 309 ('1901co2'). However, as these experiments only deviate in this one aspect from the factual CRF they 310 are also described by the 'obsclim' CRF specifier but the '1901co2' sensitivity specifier to indicate the 311 deviation. So all experiments described in this section share the common 'obsclim' CRF specifier in the 312 file names. In contrast, all experiments described in section 2.2 can be identified by the 'counterclim' 313 specifier in the names of the output files containing the impact model simulations.

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## 315 2.1.1 Default evaluation experiments based on observed CRFs ('obsclim')

In this first part of section **2.1** we describe the default ISIMIP3a experiments (sensitivity specifier in the file names set to 'default') that are based on the standard observed climate-related forcings ('obsclim', see CRF part of **Table 1**) in combination with different assumptions regarding direct human forcings ('histsoc', '2015soc', '1901soc', and 'nat') illustrated in **Figure 1**.

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321 Standard evaluation experiment (obsclim + histsoc; default). The first set of observation-based 322 simulations is dedicated to impact model evaluation, i.e., to test our ability to reproduce and explain observed long-term changes or variations in impact indicators such as crop yields, river discharge,
changes in natural vegetation carbon, vegetation types, and peatland moisture conditions. To this end,
we provide the climate-related ('obsclim'), direct human ('histsoc'), and static geographical forcings
listed in **Table 1.** They are described in more detail in sections **3** and **4**.

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328 For impact model simulations that require a spin-up to e.g. balance carbon stocks, 100 years of climate 329 data ('spinclim') are provided that represent stable 1900 climate conditions. The spinclim data is 330 equivalent to the first 100 years of the counterfactual climate data that are described in section 3.1. If 331 more than 100 years of spin-up are needed, the spinclim data can be repeated as often as needed. For 332 the spin-up, CO<sub>2</sub> concentrations and direct human forcing should be kept constant at 1850 levels. To 333 aet to the historical reporting period starting in 1901, modellers should simulate a transition period from 334 1850 to 1900 using spinclim climate data and the observed increase in CO2 concentrations and 335 historical changes in socioeconomic forcings (from 1850-1900).

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The temporal coverage of the evaluation experiment is limited to 1961-2010 in the *marine ecosystems and fisheries* sector due to the availability of reanalysis-based oceanic forcing data (Liu et al., 2021). As spin-up + transition period for the 'obsclim + histsoc, default' experiments starting in 1961 the models should be run through six cycles of 1961-1980 '1955-riverine-input' CRFs (120 years, see **Table 1**) assuming reconstructed fishing efforts from 1861-1960 and constant 1861 levels before during 1841-1860 (see **Table 1** and **Figure 3** in section **4.9**). If more years of spin-up are required, additional cycles of the 1961-1980 '1955-riverine-input' CRFs should be added, assuming constant 1861 fishing efforts.

Table 1: Climate-related, direct human, and static geographic forcing data provided for the model evaluation and sensitivity experiments within ISIMIP3a. The CRFs are grouped according to the definition of the default 'obsclim' CRF (30 arcmin for the atmospheric data and 15 arcmin for the oceanic data), the higher resolution '30arcsec', '90arcsec', '1800arcsec' atmospheric CRF, the lower resolution '60arcmin' oceanic CRF, and the '1955-riverine-input' oceanic CRF for the sensitivity experiments. The listed set of DHFs defines the 'histsoc' set-up.

Forcing	Status	Source, description	
Climate-Related Forcings ('obsclim')			
Atmospheric forcings			
Standard observation-based atmospheric climate forcing	mandatory	GSWP3-W5E5, 20CRv3-W5E5, 20CRv3-ERA5, 20CRv3, see section <b>3.1</b>	
Local atmospheric climate forcing for lake locations	mandatory	Atmospheric data extracted from the data sets above for 72 lakes that have been identified within the <i>lake</i> sector as locations (grid cells of the ISIMIP 0.5° grid) where models can be calibrated based on observed temperature profiles	

		and hypsometry (Golub et al., 2022, https://www.isimip.org/gettingstarted/input-data-bias- adjustment/isimip3-local-lake-sites/).
Tropical cyclone tracks, as well aswind and precipitation fields	mandatory	Tracks from IBTrACS database (period 1950-2021; (Knapp et al., 2010). Wind and precipitation fields calculated by Holland(Holland, 1980, 2008), see section <b>3.2</b>
Lightning	mandatory	Satellite-based (1995-2014) climatology of monthly flash rates (number of strokes km-2 d-1 on 0.5° grid (Cecil, 2006)
Oceanic forcings	•	
Standard observation-based oceanic forcing data	mandatory	GFDL MOM6/COBALTv2 simulations driven by reanalysis- based atmospheric forcing (Liu et al., 2021) see section <b>3.4</b>
Regional oceanic climate forcing for regional <i>marine</i> <i>ecosystems</i> and <i>fisheries</i> sector	mandatory	Extraction from data set above for 21 regional marine ecosystems associated with the interests identified by the modelling groups (https://www.isimip.org/gettingstarted/input-data-bias- adjustment/isimip3-ocean-regions/). The extraction has been done for individual layers (ocean surface or bottom) and a subset of the variables that have been integrated along the ocean column (see Table 8).
Coastal water levels		•
Coastal water levels	mandatory	Hourly coastal water levels with long-term trends, see section <b>3.3</b>
Atmospheric composit	ion	
Atmospheric CO <sub>2</sub> concentration	mandatory	1850-2005: (Meinshausen et al., 2011); 2006-2021: Global annual CO2 from NOAA Global Monthly Mean CO <sub>2</sub> ; (Lan et al., 2023; Büchner and Reyer, 2022)
Atmospheric CH <sub>4</sub> concentration	mandatory	1850-2014: (Meinshausen et al., 2017); 2015-2021: (Büchner and Reyer, 2022; Lan et al., 2023)
Climate-Related Forcir	ngs for sensitiv	ity experiments (30arcsec, 90arcsec, 300arcsec, 1800arcsec,

60arcmin, and 1955-riverine-input), identical to 'obsclim' except for:

Atmospheric forcings (30arcsec, 90arcsec, 300arcsec, 1800arcsec)

High resolution observation-based atmospheric forcing data	mandatory	see section <b>3.1</b> for a description of the CHELSA method applied to downscale the W5E5 observation-based atmospheric data to 30". The data is then upscaled to 90" (~3 km), 300" (~10 km) and 1800" = 0.5° (~60 km) to provide the forcings for additional sensitivity experiments.
Oceanic forcings (60a	rcmin)	
Low resolution observation-based oceanic forcing data	mandatory	GFDL MOM6/COBALTv2 simulations (1961 - 2010) driven by reanalysis-based atmospheric forcing (Liu et al., 2021) upscaled to 1°, see section <b>3.4</b>
Oceanic forcings (195	5-riverine-input	)
Observation-based oceanic forcing data but assuming climatological 1951 to 1958 levels of riverine input	mandatory	GFDL MOM6/COBALTv2 simulations (1961 - 2010) driven by reanalysis-based atmospheric forcing (Liu et al., 2021), but fixed climatological 1951 to 1958 levels of freshwater and nutrients inputs, see section <b>3.4</b>
Direct Human Forcing	('histsoc')	
Population data	mandatory	see section <b>4.1</b>
GDP data	mandatory	see section <b>4.2</b>
Land use and irrigation	mandatory	HYDE-based irrigated and rainfed cropland downscaled to up to 15 crops, managed pasture and grassland, and urban areas, see section <b>4.3</b>
N-fertiliser inputs	mandatory	see section 4.4
Wood harvest	optional	Historical annual country-level wood harvesting data based on the LUH v2 Harmonization Data Set (del Valle et al., 2022; Hurtt et al., 2011, 2020, Land use harmonization,
		2023), see section <b>4.5</b>

		Land use harmonization, 2023), see section <b>4.5</b>	
N-deposition	optional	(Yang and Tian, 2020; Tian et al., 2018)	
Crop calendar	optional	Observation-based representation of recent average planting and maturity dates not accounting for changes over time (Jägermeyr et al., 2021a), see section <b>4.7</b>	
Dams and reservoirs	optional	see section <b>4.8</b>	
Lake and reservoir surface area	optional	Total lake and reservoir area fractions (percentage of grid cell) calculated from the HydroLAKES v1.0 (Messager et al., 2016) and GRanDv1.3 databases (Lehner et al., 2011b) mapped to 0.5 degrees resolution. Areas increase with time because of the increasing number of reservoirs documented in GRanDv1.3. Reservoirs from 2017 onwards are kept constant. This data set differs from the lake surface areas provided as static geographic forcing (see below) which describe the surface area of one representative lake per grid cell and does not change over time.	
Water abstraction	optional	For modelling groups that do not have their own representation, we provide files containing the multi-model mean of domestic and industrial water withdrawal and consumption generated by the WaterGAP, PCR-GLOBWB, and H08 models (1850-2021). This data is based on ISIMIP2a 'varsoc' simulations for 1901-2005 and extended by SSP2-based simulations from the Water Futures and Solutions project up to 2021 (Wada et al., 2016b). Years before 1901 have been filled with the value for year 1901.	
Marine fishing effort	mandatory	Observation-based reconstruction of fishing effort spanning 1841-2010 (Rousseau et al., 2022) based on (Rousseau et al., submitted 2023); see section <b>4.9</b> The climate-related forcing for the <i>marine ecosystems and</i> <i>fisheries</i> sector is only available for 1961-2010, but the spin- up procedure also requires fishing efforts for the earlier years (see description of the procedure for the 'obsclim + histsoc; default' scenario above).	
Forest management	mandatory	Observed stem numbers, thinning type, planting numbers from and common management practices for 9 forest sites	

		in Europe (Reyer et al., 2020b),(Reyer et al., 2023), see section <b>4.10</b>	
Static geographic forcing			
Lake volume at different depths	optional	The gridded data set describes the volume at different depths of one hypothetical lake representing the typical characteristics of all real lakes in the grid cell according to the GLOBathy (Khazaei et al., 2022; Messager et al., 2016) and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016) datasets (Golub et al., 2022). Each hypsographic curve consists of 11 data pairs. Level refers to the depth of the lake taking the lake bottom as the reference. Volume is the volume at the corresponding level.	
Lake area at different depths	optional	The gridded data set describes the lake area at different depths of one hypothetical lake representing the typical characteristics of all real lakes in the grid cell according to the GLOBathy (Khazaei et al., 2022; Messager et al., 2016)and HydroLAKES (Khazaei et al., 2022; Messager et al., 2016) datasets (Golub et al., 2022). Each hypsographic curve consists of 11 data pairs. Level refers to the depth of the lake taking the lake bottom as the reference.	
Lake elevation	optional	The gridded data set provides the elevation above sea level for the representative lakes described above. The information is derived from HydroLAKES v1.0 (Messager et al., 2016).	
Maximum lake depth	optional	Gridded data set that provides the maximum depth for the representative lakes described above and derived from GLOBathy (Khazaei et al., 2022). We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	
Lake depth	optional	Gridded data set that provides the mean depth for the representative lakes as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). We recommend using the area or volume	

		hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	
Lake volume	optional	Gridded data set of volume (km <sup>3</sup> ) for representative lakes described above as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	
Lake surface area	optional	Gridded data set of surface area for the representative lakes described above as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). As opposed to the "Lake and reservoir surface area" listed above under "Direct human forcing", this data set refers to one specific lake associated with each grid cell, and the corresponding surface area does not change over time. We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	
HydroLAKES ID	optional	HydroLAKES reference to relate HydroLAKES and GLOBathy database fields to the representative lakes described above. This dataset contains IDs of the 41449 representative lakes used in ISIMIP, which are a subset of the about 1.4 million lakes contained in the HydroLAKES and GLOBathy database.	
HydroLAKES IDs for big lakes	optional	This dataset is analogous to the one above, but only contains IDs of 93 large lakes. It can be used to produce global plots with conspicuous large lakes. To be used together with the file storing the big lakes mask.	
Big lakes mask	optional	This dataset indicates the 0.5° grid cells actually occupied by each of the 93 large lakes, which can be larger than a single grid cell. It can be used to produce global plots with conspicuous large lakes. To be used together with the big	

		lakes IDs in the dataset above.	
Drainage direction map for river routing	optional	Includes for each grid cell a basin number, flow direction, and slope. Source: ISIMIPddm30 (Müller Schmied, 2022) based on DDM30 (Döll and Lehner, 2002)	
Soil data	optional	Gridded soil characteristics have been generated within the Global Soil Wetness Project (GSWP3) (Dirmeyer et al., 2006; van den Hurk et al., 2016, Global soil wetness project phase 3 — GSWP3 documentation, 2023) and have already been provided within ISIMIP2a.	
		Alternatively, we also provide maps of the dominant soil types (i.e., the type covering the largest fraction of the cell of the topmost soil layer) within each ISIMIP grid cell and the dominant soil types on the agricultural land within each ISIMIP grid cell. Both maps were derived from the Harmonized World Soil Database (HWSD Version 1.1, 2009) assuming that soil types are evenly distributed within the ISIMIP grid cells. We have used version 1.12 of the HWSD data at high resolution (30 arcsec). Information about the fraction of agricultural land within each ISIMIP 0.5°×0.5° grid cell was taken from MIRCA2000 (Portmann et al., 2010). If there is no soil information for an ISIMIP grid cell, e.g. due to differing land-sea-masks, the information from neighbouring cells is used. For further details please see GGCMI-HWSD (2023).	
Land-sea mask	optional	We provide the binary land-sea mask of the W5E5 dataset. It is a conservative land mask where grid cells that in reality cover both land and ocean are counted as ocean. Thus, climate conditions over the land grid cells of this land-sea mask can be safely assumed to represent climate conditions over land rather than a mix of climate conditions over land and ocean. This refers to all climate datasets based on W5E5, i.e. GSWP3-W5E5 and 20CRv3-W5E5 of ISIMIP3a and the ISIMIP3b climate forcing that has been bias- adjusted using W5E5. The mask is also provided in a version without Antarctica. In addition, the generic land-sea mask from ISIMIP2b is provided to be used for global water	

		simulations in ISIMIP3. It marks more grid cells as land than the main mask described above (Lange and Büchner, 2020).
Sea floor depth	optional	Grid cell level ocean depth in metres of GFDL-MOM6- COBALT2 data in 0.25 and 1° horizontal resolution
Binary country mask	optional	Binary country map on a 0.5° x 0.5° latitude-longitude grid
Fractional country mask	optional	Fractional country map on the ISIMIP 0.5° x 0.5° grid. This is the map that has been used to calculate the national data for ISIpedia (isipedia.org) and to e.g. prepare the national population and GDP data provided within ISIMIP3 (see sections <b>4.1</b> and <b>4.2</b> ).
Large Marine Ecosystem masks	mandatory	Binary masks available at 0.25°, 0.5°, and 1° resolution (Sherman, 2017).
Regional Marine Ecosystem masks	optional	Binary masks describing the 21 ocean regions for the regional modelling activities in the fisheries and marine ecosystems available at 0.25° and 1° resolution. These masks have been used for the ocean forcing data extractions (see CRF part of this table).

351

352 Fixed 2015 direct human forcing (obsclim + 2015soc; default). To allow for the quantification of the 353 effect of historical changes in direct human forcings, ISIMIP3a also contains an experiment where all 354 direct human forcings are held constant at year 2015 levels. The difference between the evaluation run 355 described above and this baseline simulation can be considered the impact of changes in direct human 356 forcings. In this sense the experiment allows for the attribution of observed changes in the natural, 357 human, and managed systems to changes in DHF after 2015. In addition, the simulated changes in 358 models' output variables can be considered the 'pure effects of climate-related forcings', conditional on 359 present-day socio-economic conditions. The experiment is also introduced because not all impact 360 models can account for varying direct human forcings but rather assume fixed 'present day' conditions. 361 All modelling teams are asked to do this experiment even if they are able to account for varying direct 362 human forcings to generate one set of impact simulations that can be integrated across all participating 363 models from different sectors or where all simulations from one sector can be compared. If a spin-up is 364 required, it should be based on the 'spinclim' data as described above but fixed 2015 direct human 365 forcings.

366

Fixed 1901 direct human forcing baseline (obsclim + 1901soc; default). Fixing direct human
 forcings at 1901 levels is an alternative approach to quantify i) the effects of direct human forcings when

369 comparing these baseline simulations to the evaluation run and ii) the 'pure effect of observed change 370 in climate-related systems', conditional on socio-economic conditions observed before the onset of this 371 change. As such the experiment is the counterfactual baseline when aiming for the attribution of 372 observed changes in natural, human, and managed systems to observed changes in direct human 373 forcings instead of the attribution to observed changes in the climate-related systems based on the 374 analogous 'counterfactual + histsoc, default' experiment described in section 2.2. Both experiments 375 consider changes in direct human forcings or climate-related systems from 1901 levels, respectively. 376 Because of the low levels of direct human forcings in 1901, this experiment is similar to the sector-377 specific 'nat' experiment that includes no direct human forcings whatsoever (see below). However, 378 while the fully naturalised 'nat' run is suitable for the dynamic vegetation models from the biomes sector 379 that simulate land cover by vegetation on their own, models in other sectors need land cover as an 380 input. As this information is not available for pristine conditions, we introduce the 1901soc scenario 381 such that models in the water sector can use land cover data approximately representative of 1901 382 conditions to describe a situation with minor human influences. If a spin-up is required, it should be 383 based on the 'spinclim' data as described above but fixed 1901 direct human forcings.

384

385 No direct human forcing baseline (obsclim + nat; default). To estimate the full effect of 2015 levels 386 of DHF we also introduce a baseline 'nat' experiment that does not consider any DHFs but a natural 387 state of the world. Then the difference to the 'obsclim + 2015soc, default' experiment can be considered 388 the effect of 2015 levels of DHF. The comparison to the 'obsclim + histsoc, default' experiment allows 389 for the attribution of observed changes in the natural, human, and managed systems to historical 390 changes in the DHF. Trends in the 'obsclim + nat; default' run only represent the impacts historical 391 changes in the climate-related forcings would have had on an otherwise natural state of the world. 392 While the '1901soc' conditions may be similar to 'nat' conditions, trends in the 'obsclim + 1901soc; 393 default' run may not only be induced by historical changes in the CRFs but could also represent lagged 394 responses to changes in DHFs during the transition period. The 'nat' experiment can also be used to 395 quantify the natural carbon sequestration potential of natural vegetation without any management or 396 land-use as an important counterfactual baseline to assess the additionality of carbon sequestration 397 measures. The 'nat' experiment is sector-specific for the biomes, peat and marine ecosystems and 398 fisheries sectors. If a spin-up is required in the biomes and peat sector, it should be based on the 399 'spinclim' data as described above but assuming no direct human forcings. In the marine ecosystems 400 and fisheries sector the spin-up should be based on the '1955 riverine input' CRF as described for 401 'obsclim + histsoc, default' section but assuming no DHF, i.e. no fishing efforts.

402

## 403 2.1.2 Sensitivity experiments based on observed CRFs ('obsclim')

This second part of section **2.1** is dedicated to the different sensitivity experiments described as deviations from the default cases described in section **2.1.1**. Instead of the 'default' specifier, all experiments described here are labelled by a sensitivity specifiers indicating their deviation from the default cases. The experiments listed here are not explicitly depicted in **Figure 1**.

408

High and low resolution sensitivity experiments (obsclim + histsoc; 30arcsec, 90arcsec, 300arcsec, 1800arcsec, and 60arcmin). To test whether high resolution atmospheric climate data improve the climate impact model simulations, we also provide observational atmospheric forcing data at 30" ('30arcsec'), 90" ('90arcsec'), and 300" ('300arcsec') resolution as well as atmospheric forcings at the original 1800" resolution but derived from the 30" (~1 km) data ('1800arcsec'). In addition, the oceanic data (original resolution of 0.25°) is upscaled to 1° to also test the sensitivity of the impact simulations to this modification ('60arcmin').

416 The 30" atmospheric data (1979-2016) is derived from a topographic downscaling of the observational 417 W5E5 data (resolution of  $0.5^{\circ}$ ) that particularly corrects for systematic effects induced by orographic 418 details not represented in global reanalyses (CHELSA-W5E5, see section **3.1**). The data set comprises daily mean precipitation, daily mean surface downwelling shortwave radiation, daily mean near-surface 419 420 air temperature, daily maximum near surface air temperature, daily minimum near surface air 421 temperature (see Table 5). We additionally provide simple approaches to downscale surface 422 downwelling longwave radiation, near-surface relative humidity, air pressure and near-surface wind 423 speed (see section 3.1). Given the considerable storage capacities required by daily 1 km x 1 km data 424 and constraints on data handling and download, we also aggregate the CHELSA-W5E5 data to 90" (~3 425 km), 300" (~10 km) and 1800" =  $0.5^{\circ}$  (~60 km) to determine which resolution is required to improve the 426 impact model simulations compared to observed impact indicators. The evaluation of these historical 427 sensitivity experiments will inform future downscaling activities for the GCM climate forcing data 428 including future projections. The '1800arcsec' experiment is included as a reference, as the aggregated 429 CHELSA-W5E5 data differ from the standard W5E5 data at the same resolution (see section 3.1). So 430 far the experiments have been added to the agriculture, lakes, global and regional water, regional 431 forests, terrestrial biodiversity, and labour protocol. However, they may be added to other sectors, too. 432 The inclusion of the experiment is only constrained by the restricted set of variables included in 433 CHELSA-W5E5. We do not provide spin-up data for the experiments. This means that models requiring 434 a spin-up currently cannot perform the experiments. We will work on a solution on demand.

435 In contrast to the experiment testing the sensitivity of the impact simulations to a higher resolution of 436 the atmospheric CRFs, the associated sensitivity experiment for the marine ecosystems and fisheries 437 sector is not based on higher but on lower resolution oceanic data. While the default 'obsclim' oceanic 438 forcing data is derived by interpolating the observation-based historical ocean simulations from a tri-439 polar 0.25° grid to a regular 0.25° grid (see section 3.4), the CRFs for the sensitivity experiment are 440 derived by aggregating the default 'obsclim' data to a regular 1.0° grid ('60arcmin'). Evaluating the 1.0° 441 resolution is of interest because this is the resolution of the oceanic forcing data in ISIMIP3b. The low 442 resolution simulations could either start from the end of the simulations of the transition period of the 443 associated higher resolution runs ('obsclim + histsoc; default') or starting conditions could be newly 444 generated by following the 'spin-up + transition' procedure of 'obsclim + histsoc; default' experiment but 445 using the low-resolution '1955-riverine-input' CRF from the years 1961-1980.

446

447 **Low resolution sensitivity experiment (obsclim + nat; 60arcmin).** This sensitivity experiment for 448 the *marine ecosystems and fisheries* sector is analogous to the 'obsclim + nat; default' experiment 449 described further above, but using the lower-resolution oceanic CRF ('60arcmin'). The difference 450 between this experiment and the 'obsclim + histsoc: 60arcmin' sensitivity experiment can be considered 451 the effect of the historical changes in DHF as estimated using lower-resolution CRF, and comparison 452 with the same difference in the default experiments then indicates how the estimate of this effect 453 depends on the resolution of the oceanic forcing. The simulations could either start from the end of the 454 simulations of the transition period of the associated higher resolution runs ('obsclim + nat; default') or 455 starting conditions could be newly generated by following the 'spin-up + transition' procedure of 'obsclim 456 + nat, default' experiment but using the low-resolution '1955-riverine-input' CRF from the years 1961-457 1980.

458

459 CO<sub>2</sub> sensitivity experiments (obsclim + histsoc, obsclim + 2015soc, or obsclim + 1901soc; 460 1901co2). To quantify the pure effect of the historical increase in atmospheric CO<sub>2</sub> concentrations on 461 vegetation leaf gas exchange and follow-on effects on carbon stocks, water use efficiency, vegetation 462 distribution etc., we introduced three sensitivity experiments where atmospheric CO<sub>2</sub> concentrations 463 are held constant at 1901 levels (= 296.13 ppm) in contrast to the default 'obsclim + histsoc', 'obsclim 464 + 2015soc', or 'obsclim + 1901soc' experiments, respectively, where atmospheric CO<sub>2</sub> concentrations 465 are assumed to increase according to observations. The effect is known as CO<sub>2</sub> fertilisation through an 466 increase of the photosynthesis rate of plants and limited leaf transpiration (increase in water use 467 efficiency) enabling a more efficient uptake of carbon by the plants. Comparing the 'obsclim + histsoc, 468 default' experiment to the 'obsclim + histsoc, 1901soc' experiment can be considered as attributing 469 historical changes in natural, human, and managed systems to historical changes in CO2 470 concentrations as a single component of the changes in climate-related systems. The experiment is 471 included into the protocols of the agriculture, terrestrial biodiversity, biomes, fire, lakes (global and 472 local), permafrost, peat and water (global and regional) sector. A potentially required spin-up should be 473 identical to the spin-up for the associated default experiments using the transition period 1850-1900 to 474 reach the 1901 CO<sub>2</sub> level.

475

476 Water management sensitivity experiment (obsclim + histsoc, obsclim + 2015soc; nowatermgt).

477 In this "no water management" experiment, models are run assuming no irrigation, no human water 478 abstraction, no dams or reservoirs, and no seawater desalination, while other direct human forcings 479 such as land use changes are considered according to 'histsoc' or '2015soc'. By comparison to the 480 default experiments, the simulations allow for a quantification of the pure effects of dedicated water 481 management measures on, e.g., discharge. When comparing 'obsclim + histsoc, nowatermgt' to 482 'obsclim + histsoc, default' this can be considered attributing observed changes in natural, human, or 483 managed systems to (changes in) water management. The sensitivity experiment has been introduced 484 into the *global and regional water* sector protocols. If a spin-up is required, it should be done similar to 485 the spin-up for the associated default experiments but assuming "no water management".

486

487 Irrigation sensitivity experiment (obsclim + histsoc, 1901irr). In this "no irrigation expansion"
 488 experiment, models are run assuming irrigation extent and irrigation water use efficiencies fixed at the

489 year 1901, while other direct human forcings such as land use changes and water management 490 categories are considered according to 'histsoc' or '2015soc'. By comparison to the default experiments. 491 the simulations allow for a quantification of the pure effects of historical irrigation expansion (i.e. the 492 attribution of historical changes in natural, human, or managed systems to changes in irrigation 493 compared to 1091). The sensitivity experiment has been introduced into the global water and biome 494 sector protocols. If a spin-up is required, it should be done similar to the spin-up for the associated 495 default experiments but assuming "no irrigation expansion". This experiment is designed such that its 496 outcomes are comparable to those of the Irrigation Impacts Model Intercomparison Project (IRRMIP; 497 https://hvdr.vub.be/projects/irrmip), in which Earth System Models simulate irrigation influences on the 498 Earth system.

499

500 **No-fire sensitivity experiment (obsclim + histsoc; nofire).** In this 'nofire' experiment, fire is switched 501 off in the model simulations. In comparison to the default 'obsclim + histsoc' simulations, the historical 502 effects of fires on, e.g., carbon fluxes and vegetation distributions can be determined. The sensitivity 503 experiment has been introduced into the *fire, biomes, permafrost, and peat* protocols. The required 504 spin-up should be done similar to the spin-up for the associated default experiments but assuming no 505 fire activities.

506

507 Fixed 1955 riverine input into the ocean sensitivity experiment (obsclim + histsoc; obsclim + 508 nat; 1955-riverine-input). In this '1955-riverine-input' experiment, riverine input into the ocean (amount 509 of freshwater and nutrients) is held constant at 1955 levels. In comparison to the default 'obsclim + 510 histsoc' simulation, the experiment allows for the quantification of the impacts of historical climate-511 induced variations in freshwater influx in combination with the climate and directly human induced 512 changes in nutrient inputs (attribution of observed changes in marine ecosystems and fisheries to long 513 term changes in riverine freshwater and nutrient inputs). The riverine inputs in the 'obsclim + nat; 1955-514 riverine-input' experiment are identical to the ones in the 'obsclim + histsoc; 1955-riverine-input', i.e. 515 the riverine inputs also account for the human contribution to the nutrient influx due to land use changes 516 and fertiliser inputs and are not 'naturalized'. Instead the 'nat' specifier in the marine ecosystems and 517 fisheries sector only means 'no fishing efforts'. Thus, the comparison to the naturalised default 518 experiment (obsclim + nat; default) not accounting for any fishing efforts to the 'obsclim + nat; 1955-519 riverine-input' experiment allows for a quantification of the contribution of climate-induced changes in 520 freshwater-influx to the overall impacts of climate change in combination with the contribution of the 521 effect of the human contribution to nutrient inputs at 1955 levels. The sensitivity experiment has been 522 introduced into the marine ecosystems and fisheries protocol. A potentially required spin-up should be 523 done similar to the spin-up for the associated default experiments but assuming riverine inputs fixed at 524 1955 levels.

525

526 Table 2: ISIMIP3a evaluation and sensitivity experiments

	Period: Historical
	1901-2019
and CH₄	obsclim
s according	histsoc
and CH₄	obsclim
an forcing	2015soc
and CH₄	obsclim
an forcing	1901soc
and CH₄	obsclim
	nat
els, fixed	obsclim Sensitivity experiment: 1901co2
s according	histsoc
els, fixed	obsclim Sensitivity experiment: 1901co2
els, f	ïxed

2nd priority	<b>DHF:</b> Fixed 2015 levels of direct human forcing for the entire time period	2015soc
CO₂ sensitivity 1901soc 2nd priority	<b>CRF:</b> Observed climate change, CH <sub>4</sub> concentrations and coastal water levels, fixed CO <sub>2</sub> concentration at 1901 level	obsclim Sensitivity experiment: 1901co2
	<b>DHF:</b> Fixed 1901 levels of direct human forcing for the entire time period	1901soc
Water management sensitivity	<b>CRF:</b> Observed climate change, coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations	obsclim
histsoc 2nd priority	<b>DHF:</b> No accounting for water management but representation of other direct human influences such as land use changes according to "histsoc"	histsoc Sensitivity experiment: nowatermgt
Water management sensitivity	<b>CRF:</b> Observed climate change, coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations	obsclim
2015soc 2nd priority	<b>DHF:</b> No accounting for water management but representation of other direct human influences such as land use patterns according to "2015soc"	2015soc Sensitivity experiment: nowatermgt
Irrigation sensitivity	<b>CRF:</b> Observed climate change, coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations	obsclim
2nd priority	<b>DHF:</b> Fixed year-1901 irrigation areas and water use efficiencies but representation of other direct human influences such as land use changes according to "histsoc"	histsoc Sensitivity experiment: 1901irr
No-fire sensitivity	<b>CRF:</b> Observed climate change, coastal water levels, CO <sub>2</sub> and CH <sub>4</sub> concentrations	obsclim

histsoc 2nd priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc Sensitivity experiment: nofire
Riverine influx sensitivity histsoc 2nd priority	<b>CRF:</b> Observation-based oceanic forcing data, but with constant riverine nutrient and freshwater influx.	obsclim Sensitivity experiment: 1955- riverine-input
	<b>DHF:</b> Varying direct human influences according to observations	histsoc
Riverine influx sensitivity nat 2nd priority	<b>CRF:</b> Observation-based oceanic forcing data, but with constant riverine nutrient and freshwater influx.	obsclim Sensitivity experiment: 1955- riverine-input
	DHF: No direct human influences	nat
H i g h - r e s o l u t i o n sensitivity, 1km histsoc	<b>CRF:</b> Observed high-resolution climate forcing (30"), coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 30arcsec
2nd priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 3km histsoc	<b>CRF:</b> Observed high-resolution climate forcing (90"), coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 90arcsec
2nd priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 12km	<b>CRF:</b> Observed high-resolution climate forcing (360"), coastal water levels, and CO <sub>2</sub> and CH <sub>4</sub> concentrations. For this experiment only 1979-	obsclim Sensitivity

histsoc 2nd priority	2016 is covered	experiment: 360arcsec
	<b>DHF:</b> Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 60km histsoc	<b>CRF:</b> Observed climate forcings aggregated from high-resolution data, coastal water levels, CO <sub>2</sub> and CH <sub>4</sub> concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 1800arcsec
2nd priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc
L o w - r e s o l u t i o n sensitivity, 1° in the ocean histsoc	<b>CRF:</b> Observation-based oceanic forcing data	obsclim Sensitivity experiment: 60arcmin
2nd priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc
L o w - r e s o l u t i o n sensitivity, 1° in the ocean nat	<b>CRF</b> : Observation-based oceanic forcing data	obsclim Sensitivity experiment: 60arcmin
2nd priority	DHF: No direct human influences	nat

527

# 528 **2.2** Counterfactual baseline simulations for impact attribution ('counterclim')

529

The second set of impact model simulations within ISIMIP3a is dedicated to the attribution of historical changes in natural, managed, and human systems to long-term changes in climate-related systems, i.e. the atmosphere, ocean and cryosphere as physical or chemical systems (see section 1). In ISIMIP3a, we address attribution to changes in the climate system itself, e.g., trends in atmospheric temperature and precipitation, and changes in coastal water levels, and atmospheric CO<sub>2</sub> concentrations. The provided counterfactual forcing data comprises daily atmospheric climate derived from the ISIMIP observational climate datasets (see section 3.1); daily counterfactual coastal water 537 levels derived from the ISIMIP historical coastal water level dataset (see section 3.3); and constant 538 1901 atmospheric CO<sub>2</sub> and CH<sub>4</sub> concentrations (see **Table 3**). So far, we do not address attribution to 539 long-term changes in i) the ocean (e.g. temperature or ocean acidification changes), ii) the cryosphere 540 (e.g. glacier mass loss), and iii) tropical cyclone characteristics (e.g. trends in associated heavy 541 precipitation or wind speeds) other than the effects mediated through sea level rise. Table 3 lists the 542 climate-related forcings defining the 'counterclim' experiments. The 'counterclim' climate-related 543 forcings are combined with the observed direct human forcing to facilitate the attribution experiments 544 listed in Table 4 and explained below.

545

Forcing	Status	Source, description				
Climate-related forcings (counterclim)						
Atmospheric forcings	Atmospheric forcings					
Counterfactual 'no-climate change' atmospheric climate forcing	mandatory	Detrended versions of the GSWP3-W5E5, 20CRv3- W5E5, 20CRv3-ERA5, 20CRv3 data sets derived by the Attrici method, see section <b>3.1</b>				
Local atmospheric climate forcing for lake location	mandatory	Atmospheric data extracted from the data sets above for 72 lakes that have been identified within the <i>lake</i> sector as locations (grid cells of the ISIMIP 0.5° grid) where models can be calibrated based on observed temperature profiles and hypsometry (depth and area).				
Tropical cyclone tracks and windfields	mandatory	We do not provide 'no climate change' TC tracks and windfields but the original tracks from the IBTrACS database (Knapp et al., 2010); period 1841-2021) windfields calculated by Holland model (Holland, 2008, 1980) should be used in combination with the counterfactual water levels to estimate the impacts of sea level rise on TC induced damages, losses or replacement, see section <b>3.2</b>				
Lightning	mandatory	We do not provide 'no climate change' lightning data. Instead the original Flash Rate Monthly Climatology (Cecil, 2006) should be used in the				

## 546 Table 3: ISIMIP3a counterfactual climate-related forcings ('counterclim')

		'counterclim' set-up.		
Oceanic forcings				
Oceanic forcing data	-	We do not provide any counterfactual oceanic forcings, i.e. there is no 'no climate change' experiment proposed for the <i>marine ecosystems</i> and fisheries sector.		
Coastal water levels				
Coastal water levels	mandatory	Counterfactual monthly (1901 - 1978) and hourly (1979 - 2015) coastal water levels where long-term trends have been removed, see section <b>3.3</b>		
Atmospheric composition or fluxes				
Atmospheric CO <sub>2</sub> concentration	mandatory	1901 levels ([CO <sub>2</sub> ] = 296.13 ppm) of observed atmospheric CO <sub>2</sub> concentrations according to (Meinshausen et al., 2011)		
Atmospheric CH <sub>4</sub> concentration	mandatory	1901 levels of atmospheric CH <sub>4</sub> concentrations ([CH <sub>4</sub> ] = 928.80 ppb), according to (Meinshausen et al., 2017)		

547

548 Standard attribution experiment using counterfactual climate-related forcings and observed 549 variations of direct human forcings (counterclim + histsoc; default). This is the twin experiment to 550 the default 'obsclim+histsoc' evaluation experiment. It uses the 'counterclim' climate-related forcings 551 as described in **Table 3** while all direct human forcings are the same as the ones used in the evaluation 552 experiment ('histsoc'). As the corresponding evaluation experiment aims to ensure that impact models 553 can fully capture the historical variations including its long-term trends, this experiment is best suited 554 for impact attribution. It is therefore the standard impact attribution experiment that each sector should 555 strive to follow.

556

**Fixed 2015 direct human forcing attribution experiment (counterclim + 2015soc; default).** This is the twin experiment to the 'obsclim+2015soc' experiment. It uses the 'counterclim' climate-related forcings as described in **Table 3** and constant direct human forcings at 2015 levels ('2015soc'). Impact attribution using this experiment has caveats because the twin 'obsclim+2015soc' experiment is not built to fully explain the historical observations including its trends. Impact attribution building on this experiment therefore needs to find other means to ensure that the impact model correctly captures the response to changes in the climate-related systems. It may e.g. build on the assumption that fixed direct human forcings do not change the models' sensitivity to historical climate change. The impact models that cannot account for varying historical direct human forcings can take up the attribution task through this experiment.

567

**Fixed 1901 direct human forcing attribution experiment (counterclim + 1901soc; default).** This is the twin experiment to the 'obsclim+1901soc' experiment. It allows for a quantification of the combined effect of changes in all forcings (climate-related and direct human) during the historical period when compared to the default evaluation experiment ('obsclim+histsoc'). It also allows for a quantification of the effect of varying direct human drivers when compared to the 'counterclim+histsoc' experiment and the effect of the 2015 to 1901 difference in direct human forcing if compared to the 'counterclim+2015soc' experiment, conditional on counterclim climate-related forcings.

575

576 **No direct human forcing attribution experiment (counterclim + nat; default)** This is the twin 577 experiment to the default 'obsclim+nat' experiment. It allows for a quantification of the effect of climate 578 change under conditions of absent direct human forcings but a natural state of the world. The 'nat' 579 experiment is included in the *biomes* sector protocol.

580

Experiment	Short description	Period: Historical
		1901-2019
counterfactual climate	<b>CRF:</b> Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in <b>Table 3</b>	counterclim
histsoc 1st priority	<b>DHF:</b> Varying direct human influences according to observations	histsoc
counterfactual climate	<b>CRF:</b> Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in <b>Table 3</b>	counterclim
2015soc 1st priority	<b>DHF:</b> Fixed 2015 levels of direct human forcing for the entire time period	2015soc

581 **Table 4: ISIMIP3a attribution experiments** 

counterfactual climate	<b>CRF:</b> Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in <b>Table 3</b>	counterclim
1901soc 2nd priority	<b>DHF:</b> Fixed 1901 levels of direct human forcing for the entire time period	1901soc
counterfactual climate	<b>CRF:</b> Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in <b>Table 3</b>	counterclim
nat 2nd priority	DHF: No direct human influences	nat

582

## 583 3 Climate-related forcing data

584

# 585 **3.1 Observational atmospheric climate forcing data (factual + counterfactual)**

586

The data sets described in this section all contain the variables listed in **Table 5** at the resolution indicated there. While section **3.1.1** described the standard atmospheric climate forcing as one component of the default 'obsclim' CRF used within the evaluation experiments (see section **2.1.1**), section **3.1.2** describes the derivation of the high resolution data used within the 'obsclim'-based sensitivity experiments (see section **2.1.2**), and section **3.1.3** provides a description of the basic approach and the references for the derivation of the counterfactual atmospheric climate forcings used for the 'counterclim' experiments described in section **2.2**.

594 595

## Table 5: Atmospheric climate variables provided as part of the climate-related forcing

Variable	Variable specifier	Unit	Resolutio n	Datasets
Near-Surface Relative Humidity	hurs	%	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Near-Surface Specific Humidity	huss	kg kg-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5

				(factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Precipitation (including snowfall)	pr	kg m-2 s-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (factual, 1979-2016)
Snowfall	prsn	kg m-2 s-1	0.5° grid, daily	GSWP3-W5E5 (factual only, 1901-2019, 0.5°)
Surface Air Pressure	ps	Pa	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Surface Downwelling Longwave Radiation	rids	W m-2	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Surface Downwelling Shortwave Radiation	rsds	W m-2	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)

			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)
Near-Surface Wind Speed	sfcwind	m s-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Near-Surface Air Temperature	tas	к	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)
Daily Maximum Near-Surface Air Temperature	tasmax	к	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (factual and counterfactual, 1979-2016)
Daily Minimum Near-Surface Air	tasmin	к	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5

Temperature			(factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
		30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)

596

## 597 3.1.1 Default factual data

598 As one component of the default 'obsclim' CRFs, we provide four observational datasets specifically 599 generated for the evaluation experiments of ISIMIP3a: GSWP3-W5E5, 20CRv3-W5E5, 20CRv3-ERA5, 600 and 20CRv3. All four datasets have daily temporal and 0.5° spatial resolution and cover the variables 601 listed in Table 5. Their temporal coverage varies, with GSWP3-W5E5 and 20CRv3-W5E5 covering 602 1901-2019, while 20CRv3-ERA5 covers 1901-2021 and 20CRv3 covers 1901-2015. Instead of 603 excluding datasets that do not cover the most recent years, we focused on including datasets that start 604 in 1901, to allow for a common spin-up procedure (described in section 2.1 for the 'obsclim + histsoc; 605 default' experiment), in order to support models that need to spin up, e.g., their carbon pools under 606 stable climate-related and direct human forcings before they can do the actual experiments.

607

608 The GSWP3-W5E5 dataset is based on W5E5 v2.0 (Lange et al., 2021), which is also used as the 609 observational reference dataset for the bias adjustment of climate input data for ISIMIP3b that will be 610 described in an ISIMIP3b protocol paper(Frieler, submitted 2023). W5E5 v2.0 combines WFDE5 v2.0 611 ((Cucchi et al., 2020) with data from the latest version of the European Reanalysis (ERA5; (Hersbach 612 et al., 2020) over the ocean. WFDE5 v2.0 is generated with the WATCH Forcing Data methodology 613 that includes bias adjustment of all variables (Cucchi et al., 2020). Since W5E5 v2.0 only covers the 614 years 1979 to 2019, it was extended backward in time to the year 1901. For this extension, we used 615 version 1.09 of the Global Soil Wetness Project phase 3 (GSWP3) dataset (Kim, 2017), bias-adjusted 616 to W5E5 v2.0 in order to reduce discontinuities at the 1978–1979 transition. The method used for this 617 bias adjustment was ISIMIP3BASD v2.5 (Lange, 2019, 2021). The GSWP3 dataset is a dynamically 618 downscaled and bias-adjusted version of the Twentieth Century Reanalysis version 2 (20CRv2; (Compo 619 et al., 2011)). For a detailed description of the GSWP3-W5E5 dataset and its constituents, see (Mengel 620 et al., 2021).

621

Unfortunately, for some variables, GSWP3 shows discontinuities at every turn of the month. The monthby-month bias adjustment applied in its creation is responsible for this artefact (Rust et al., 2015). In
order to overcome this issue, which also affects GSWP3-W5E5, we additionally provide 20CRv3W5E5, a dataset where W5E5 v2.0 is backward-extended using ensemble member 1 of the Twentieth
Century Reanalysis version 3 (20CRv3; (Slivinski et al., 2019, 2021), interpolated to 0.5° and then bias-

adjusted to W5E5 v2.0 using ISIMIP3BASD v2.5. The 20CRv3-W5E5 data are continuous at every turn
of the month thanks to the application of ISIMIP3BASD v2.5 in running-window mode (see section 3.1).
Since GSWP3 is based on 20CRv2, the 20CRv3-W5E5 dataset can be considered an update of
GSWP3-W5E5.

631

632 Two more climate input datasets are provided in ISIMIP3a in order to facilitate climate input data-related 633 quantifications of uncertainty in the associated impact assessments. Those datasets are not based on 634 W5E5 to account for trend and variability artefacts in W5E5 that are related to the climatological infilling 635 procedures used to deal with gaps in the station observations employed for the bias adjustment of 636 ERA5 for the production of WFDE5 (for a detailed description of this caveat see 637 https://data.isimip.org/caveats/20/). The first of the additional ISIMIP3a climate input datasets is 638 20CRv3-ERA5, which was created in the same way as 20CRv3-W5E5, but using ERA5 instead of 639 W5E5 for the time period 1979-2021, and also as the bias adjustment target for the time period 1901-640 1978. Finally, we also provide the 'raw' 20CRv3 data, i.e., ensemble member 1 of 20CRv3, interpolated 641 to 0.5° but not bias-adjusted to any other dataset. This dataset is included since it was generated with 642 only one method and did not need to be combined with another dataset to fully cover the 20th century.

643

## 644 3.1.2 High resolution atmospheric factual data (CHELSA-W5E5)

This dataset is provided to facilitate the high resolution sensitivity experiment described in section **2.1.2**. It covers the global land area at 30" (~1 km) horizontal and daily temporal resolution from 1979 to 2016 for the variables precipitation (pr), surface downwelling shortwave radiation (rsds), and daily mean, minimum and maximum near-surface air temperature (tas, tasmin, tasmax). CHELSA-W5E5 v1.0 (Karger et al., 2022b) is a downscaled version of the W5E5 v1.0 dataset, where the downscaling is done with the Climatologies at High resolution for the Earth's Land Surface Areas (CHELSA) v2.0 algorithm (Karger et al., 2017, 2021, 2022a).

652

653 This algorithm applies topographic adjustments based on surface altitude (orog) information from the 654 Global Multi-resolution Terrain Elevation Data 2010 (GMTED2010; (Danielson and Gesch, 2011). The 655 algorithm is applied day by day. CHELSA-W5E5 tas is obtained by applying a lapse rate adjustment to 656 W5E5 tas, using differences between CHELSA-W5E5 orog and W5E5 orog in combination with 657 temperature lapse rates from ERA5. Those lapse rates are calculated based on atmospheric 658 temperature, T, at 950 hPa and 850 hPa, and the geopotential height, z, of those pressure levels. The 659 lapse rate used for the adjustment is calculated as the daily mean of hourly values of  $(T_850 - T_950)/$ 660  $(z_850 - z_950)$ . The variables tasmax and tasmin are downscaled in the same way, using the same 661 lapse rate value.

Precipitation downscaling uses daily mean zonal and meridional wind components from ERA5 to approximate the orographic wind effect on small-scale precipitation patterns (differences between windward and leeward precipitation rates) and combines that with the height of the planetary boundary layer to estimate the total orographic effect on precipitation intensity. Using that, precipitation from W5E5 is downscaled such that precipitation fluxes are preserved at the original 0.5° resolution of W5E5.
More details are given in (Karger et al., 2021).

668 Surface downwelling shortwave radiation, rsds, at 30 arcsec resolution is strongly influenced by 669 topographic features such as aspect or terrain shadows, which are less pronounced at 0.5° resolution. 670 The downscaling algorithm combines such geometric effects with orographic effects on cloud cover for 671 an orographic adjustment of rsds. Geometric effects are considered by computing 30" clear-sky 672 radiation estimates using the method described in (Karger et al., 2022a) and a simplified, uniform 673 atmospheric transmittance of 80%. These effects include shadowing from surrounding terrain, diffuse 674 radiation, and terrain aspect. To include how orographic effects on cloud cover influence rsds, the clear-675 sky radiation estimates are adjusted using downscaled ERA5 total cloud cover. The cloud cover 676 downscaling uses ERA5 cloud cover at all pressure levels and the orographic wind field following the 677 methods described in (Brun et al., 2022b). Finally, the clear-sky radiation estimates adjusted for cloud 678 cover are rescaled such that they match W5E5 rsds, B-spline interpolated to 30".

679 We provide the original CHELSA-W5E5 data with a horizontal resolution of 30" = 0.5' (~1 km) as well 680 as spatially aggregated versions with resolutions of 1.5' (~3 km, aggregation factor 3), 5.0' (~10 km, 681 aggregation factor 10) and  $30.0' = 0.5^{\circ}$  (~60 km, aggregation factor 60). The aggregation to  $0.5^{\circ}$  is 682 necessary since the aggregated CHELSA-W5E5 data differ from the default GSWP3-W5E5 and 683 20CRv3-W5E5 data provided in the 'obsclim' set-up for 1979-2016. This has two reasons. First, the 684 downscaled data are based on W5E5 v1.0 whereas GSWP3-W5E5 and 20CRv3-W5E5 are based on 685 W5E5 v2.0. Secondly, for all variables except pr, the CHELSA downscaling algorithm produces data 686 that differs from the original data when it is upscaled (spatially aggregated) back to the original 687 resolution.

688

689 We do not provide a counterfactual version of the high resolution climate forcing.

690

691 The CHELSA method is not yet available for all variables included in the standard forcing data. Relative 692 humidity, surface wind, air pressure, and longwave radiation can not yet be downscaled by the 693 approach. To allow modellers to start the sensitivity experiments already now, we provide an alternative 694 downscaling approach as described below. We use observational data with the required higher spatial 695 resolution but lower temporal resolution to generate the high resolution daily relative humidity and 696 surface wind speeds. Air pressure is derived by on orographic correction of the linearly interpolated sea 697 level pressure and surface downwelling longwave radiation is derived from high-resolution 698 temperatures derived by CHELSA and relative humidity. The code required to generate the data is 699 freely available (Malle, 2023).

700

For daily mean near-surface relative humidity (hurs) the provided downscaling algorithm combines monthly 30" CHELSA-BIOCLIM+ data (Brun et al., 2022b, a) with daily W5E5 data. In a first step we regrid daily 0.5° W5E5 hurs to the target grid (30") by bilinear interpolation. We assume relative humidity to follow a beta-distribution and logit-transform both regridded monthly-averaged W5E5 (*hurs*<sup>W5E5</sup><sub>mon</sub>) and monthly CHELSA-BIOCLIM+ ( $hurs_{mon}^{CHELSA}$ ) relative humidity data. The difference ( $\Delta hurs_{mon}$ ) is then added to daily regridded and logit-transformed W5E5 hurs of the respective month, and the final raster is obtained by back-transforming the sum:

708 
$$hurs_{dly} = \frac{1}{(1+exp^{-h})}$$
, (1)

709 where

710 
$$h = log(\frac{hurs_{dly}^{W5E5}}{1 - hurs_{dly}^{W5E5}}) + \Delta hurs_{mon}, (2)$$

711 
$$\Delta hurs_{mon} = log(\frac{hurs_{mon}^{CHELSA}}{1 - hurs_{mon}^{CHELSA}}) - log(\frac{hurs_{mon}^{W5E5}}{1 - hurs_{mon}^{W5E5}})$$
. (3)

712 To include orographic effects into daily mean near-surface wind speed (sfcwind) we follow the approach 713 of (Brun et al., 2022b), and use an aggregation of the Global Wind Atlas 3.0 data (Badger et al., 714 n.d.)Technical University of Denmark(Badger et al., n.d.) in combination with daily 0.5° sfcwind from 715 W5E5. We first regrid both the Global Wind Atlas data and the W5E5 sfcwind data to the target grid of 30" using bilinear interpolation. The Global Wind Atlas data product (*sfcWind*<sup>GWA</sup>) represents average 716 717 wind speeds for 2008 to 2017. We therefore average daily regridded W5E5 data over this time period  $(sfcWind_{cli}^{W5E5})$ . We assume surface wind speeds follows a Weibull distribution and log-transform both 718 datasets before computing the difference  $\Delta sfcWind_{cli}$ , whereby a small positive constant (c) was added 719 720 to all data points before applying the transformation to avoid the problem that log(0) is undefined. We 721 add this difference layer ( $\Delta sfcWind_{cl}$ ) to each log-transformed daily W5E5 raster, and back-transform 722 the sum to obtain the final daily mean near-surface wind speed raster:

723 
$$sfcWind_{dly} = exp^{(log(sfcWind_{dly}^{W5E5} + c) + \Delta sfcWind_{cli})} - c$$
, (4)

724 where

**725** 
$$\Delta sfcWind_{cli} = log(sfcWind_{cli}^{GWA} + c) - log(sfcWind_{cli}^{W5E5} + c)$$
. (5)

726

727 Daily mean surface air pressure (ps) is calculated using the barometric formula:

728  $ps_{dly} = psl_{dly}^{W5E5} \times exp^{-(g \times orog \times M)/(T_0 \times R)}$ , (6)

with  $psl_{dly}^{W5E5}$  being the regridded 0.5° W5E5 daily mean sea-level pressure (bilinear interpolation to 30"), *g* the gravitational acceleration constant (9.80665 m/s<sup>2</sup>), *orog* the altitude at which air pressure is calculated (CHELSA-W5E5 orog, m), *M* the molar mass of dry air (0.02896968 kg/mol), *R* the universal gas constant (8.314462618 J/(mol K)) and  $T_0$  the sea level standard temperature (288.16 K). 733 For Surface Downwelling Longwave Radiation (*rlds*) we follow (Fiddes and Gruber, 2014) as well as (Konzelmann et al., 1994), and account for orographic effects by reducing the clear-sky component of all-sky emissivity with elevation. We assume cloud emissivity remains unchanged when moving from coarse to fine resolution. First, we compute clear-sky emissivity components both for the 0.5° W5E5 grid and the target 30" grid ( $\epsilon_{clear}^{W5E5}$ ,  $\epsilon_{clear}^{highres}$  respectively):

739 
$$\epsilon_{clear}^{highres/W5E5} = 0.23 + x1(pV_{dly}^{highres/W5E5}/tas_{dly}^{highres/W5E5})^{1/x2}$$
, (7)

where x1 = 0.43 and x2 = 5.7 and  $pV_{dly}^{highres/W5E5}$  is water vapour pressure as a function of relative humidity at the respective resolution (see (Fiddes and Gruber, 2014). By using 0.5° W5E5 *rlds* and *tas* data and inverting the Stefan-Boltzmann equation we obtain all-sky emissivity:

743 
$$\epsilon_{allsky}^{W5E5} = rlds_{dly}^{W5E5} / (\sigma \times (tas_{dly}^{W5E5})^4)$$
, (8)

with  $\sigma$  being the Stefan-Boltzmann constant (5.67 x 10<sup>-8</sup> Js<sup>-1</sup> m<sup>-2</sup> K<sup>-4</sup>). In a next step, the cloud-based component of emissivity ( $\Delta \epsilon_{dly}^{W5E5}$ ) can be estimated as the difference between all-sky and clear-sky emissivity, which is then regridded to the target grid via bilinear interpolation.

747 
$$\Delta \epsilon_{dly}^{W5E5} = \epsilon_{allsky}^{W5E5} - \epsilon_{clear}^{W5E5}$$
 (9)

In a last step we obtain elevation-corrected longwave radiation ( $rlds_{dly}$ ) by adding  $\Delta \epsilon_{dly}^{W5E5}$  to the highresolution clear-sky emissivity ( $\epsilon_{clear}^{highres}$ ) and applying the Stefan-Boltzmann law again:

750 
$$rlds_{dly} = (\epsilon_{clear}^{highres} + \Delta \epsilon_{dly}^{W5E5}) \times \sigma \times (tas_{dly}^{highres})^4$$
 (10)

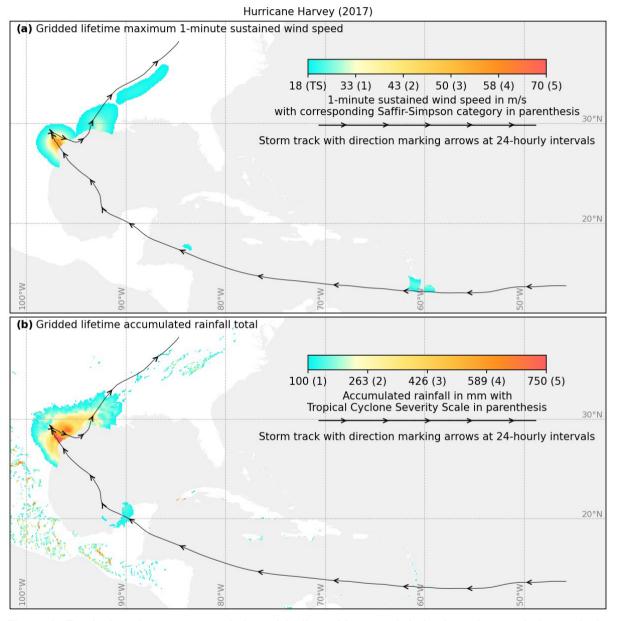
As soon as the CHELSA approach is extended to also cover the missing variable we plan to also provide
 these data and test for the sensitivity of the impact simulations to these two alternative downscaling
 methods.

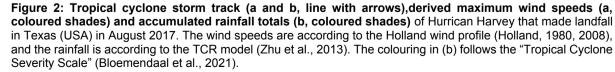
754

## 755 **3.1.3 Default counterfactual data.**

756 To simulate the baseline 'no climate change' state of a human or natural system that is required for 757 impact attribution, we provide a detrended version of the observational factual forcing data using the 758 ATTRICI approach (ATTRIbuting Climate Impacts, Mengel et al., 2021). The method identifies the long-759 term shifts in the factual daily climate variables that are correlated to global mean temperature change 760 assuming a smooth annual cycle of the associated scaling coefficients for each day of the year. The 761 observed trends since 1901 are then removed from the observational data by projecting the observed 762 data onto the estimated distributions assuming a fixed 1901 level of global warming. The projection is 763 done through quantile mapping, a method borrowed from the bias adjustment literature. In this way we 764 preserve the internal variability of the observed data in the sense that factual and counterfactual data for a given day have the same rank in their respective statistical distributions. The impact model simulations forced by the counterfactual climate inputs therefore allow for quantifying the contribution of the observed climate change (no matter from where the trends originate) to observed long-term changes in impact indicators but also for quantifying the contribution of the observed trend in climate to the magnitude of individual impact events.

# **3.2 Tropical cyclone (TC) data (factual)**





#### Table 6: Tropical cyclone information provided as part of the ISIMIP3a climate-related forcing

Variable	Variable specifier	Unit	Resolution	Datasets
Time associated with a given location of the storm centre	time	hours since 1950-01-01 00:00	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Latitudinalcoordinate of storm centre (as defined by the reporting agencies)	lat	degrees north	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Longitudinal coordinate of storm centre (as defined by the reporting agencies)	lon	degrees east	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Ocean basin: NA/SA (North/South Atlantic), EP/WP/SP (East/West/South Pacific), NI/SI (North/South Indian Ocean)	basin	two-letter abbreviation	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Central pressure	pres	hPa	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Environmental pressure (pressure of the outermost closed isobar)	penv	mbar	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Maximum 1-minute sustained wind speed	windspatial max	knots	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Radius of maximum wind speeds	rmw	nautical miles	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Radius of the outermost closed isobar	roci	nautical miles	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)

Wind speed on the 850 hPa pressure level	u850 v850	ms^-1	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Temperature on the 600 hPa pressure level	t600	К	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
1-minute sustained wind speed	wind	ms^-1	hourly on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Gridded lifetime maximum 1-minute sustained wind speed	windlifetime max	ms^-1	per storm on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
National territory exposed to wind speeds of at least 34, 48, 64, 96 knots	34knarea 48knarea 64knarea 96knarea	km^2	per storm and country	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Number of people exposed to wind speeds of at least 34, 48, 64, 96 knots	34knpop 48knpop 64knpop 96knpop	count	per storm and country	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011) and assuming temporally varying (histsoc) or fixed 2015 (2015soc) population distributions

				(see section <b>4.1</b> ).
Economic assets exposed to wind speeds of at least 34, 48, 64, 96 knots	34knassets 48knassets 64knassets 96knassets	Int\$ PPP 2005	per storm and country	Windfields according to the Holland wind profile (Holland, 1980, 2008) and Emanuel-Rotunno wind profile (Emanuel and Rotunno, 2011) and assuming temporally varying (histsoc) or fixed 2015 (2015soc) asset distributions (see section <b>4.2</b> ).
Total rainfall	rain	mm	hourly on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Maximum 24-hourly rainfall total during the whole storm duration	max_rain	mm	per storm on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)

781 As additional CRF, we provide historical TC tracks (information about the observed location of minimal 782 pressure), with associated gridded wind and rain fields (see variable names and units in Table 6 and 783 the maps of maximum wind speed and accumulated rainfall totals for the example of hurricane Harvey 784 in Figure 2). In addition to this purely CRF, we also provide wind exposure in terms of i) shares of 785 national territory affected by extreme winds speeds, ii) national shares of people exposed to extreme 786 winds speeds, and iii) national shares of economic assets affected by extreme winds speeds as derived 787 from the estimated wind fields and historical population and GDP distributions (see below). Table 6 788 provides a comprehensive list of all variables, their meaning and resolution as well as their source.

790 TC Tracks (position of storm centre, central pressure, environmental pressure, radius of 791 maximum wind speed and the outermost closed isobar). We provide processed track information 792 of historical TCs from 1950 to 2021. The information is derived from IBTrACS, the most comprehensive 793 global dataset of historical TC activity (Knapp et al., 2010) that provides information about the location 794 of the storm centre, the pressure at the centre and at the outermost closed isobar as well as the 795 maximum 1-minute sustained wind speed as reported by the WMO Regional Specialised Meteorological 796 Centers (RSMCs) and by agencies in Shanghai and Hong Kong. For recent events and most reporting 797 agencies, IBTrACS also contains observational information about the radius from the centre where 798 maximum wind speed is attained and the radius of the outermost closed isobar. Information is provided 799 in at least 6-hourly time steps. Usually temporal resolution reaches three hours or even less. The latest 800 version (v04r00) of IBTrACS is continuously updated with near real time data taken from regional 801 meteorological agencies. The data is marked as provisional before it is replaced by so-called best track 802 data up to two years after the events. IBTrACS contains data from 1842 to present, but coverage by 803 the WMO RSMCs starts much later for some of the basins (around 1850 for the North Atlantic and 804 South Indian, in 1905 for the South Pacific, in 1950 for the North Pacific, and in 1990 for the Northern 805 Indian basin). Data quality is globally consistent starting from the mid 1970s when satellite observations 806 became available.

807 The data set we provide uses best track data from 1950 to 2021. For each TC in IBTrACS, we merge 808 the data of different reporting agencies into a single track data set with information about the following 809 variables: time, location of the storm centre, ocean basin, central pressure, maximum 1-minute 810 sustained wind speed, environmental pressure, radius of maximum wind speeds, and radius of the 811 outermost closed isobar (see Table 8). Several processing steps are applied to ensure consistency and 812 completeness of the data: For each storm, the variables that are not reported by the officially responsible 813 WMO RSMC for this storm are taken from the next agency in the following list that did report this variable 814 for this storm: the US agencies (NHC, JTWC, CPHC), Japanese Meteorological Agency, Indian 815 Meteorological Department, MeteoFrance (La Reunion), Bureau of Meteorology (Australia), Fiji 816 Meteorological Service, New Zealand MetService, Chinese Meteorological Administration, Hong Kong 817 Observatory. Thus, for different storms, the same variable might be taken from different agencies. As 818 sustained wind speeds are reported at different averaging intervals by different agencies, we use 819 multiplicative factors to rescale all wind speeds to 1-minute sustained winds (Knapp and Kruk, 2010). 820 All variables are extracted at the highest temporal resolution where time and location information is 821 available in IBTrACS. Temporal reporting gaps within a variable are linearly interpolated so that the 822 temporal resolution is at least 3-hourly. After interpolation, time steps where neither central pressure 823 nor maximum wind speeds are available, are discarded. Tracks with less than two valid time steps are 824 discarded. If at least one of central pressure or maximum wind speed is available, one variable is 825 estimated from the other using statistical wind-pressure relationships. Missing RMW and ROCI values 826 are estimated from the central pressure using statistical relationships. Finally, missing environmental pressure values are filled with basin-specific defaults (1010 hPa for the Atlantic and Eastern Pacific,
1005 hPa for the Indian Ocean and Western Pacific, and 1004 hPa for the South Pacific).

We provide two additional along-track variables that are taken from the European Reanalysis (ERA5; (Hersbach et al., 2020), and that are needed for the computation of precipitation (see below): The temperature at the storm centre on the 600 hPa pressure level, and the wind speed on the 850 hPa pressure level, averaged over the 200-500 km annulus around the storm centre.

833 Gridded maps of (maximum) wind speeds. We derive two different gridded wind field products from 834 an extrapolation of the observed TC track information to gridded estimates of surface wind speeds (1-835 minute sustained winds at 10 metres above ground), at a spatial resolution of 300 arc-seconds 836 (approximately 10 km). The two products are based on circular wind fields from different radial wind 837 profiles. The first is a semiempirical model that estimates the full wind profile from the central pressure 838 variable based on the gradient wind balance assumption (Holland, 1980, 2008). The second, more 839 physics-based model uses the less-reliable maximum wind speed variable to derive the wind profile 840 from the boundary layer angular momentum balance (Emanuel and Rotunno, 2011). This wind profile 841 represents the storm's inner core very well, but tails off too sharply in the outer region (Chavas and Lin, 842 2016). However, for high-impact events, the core is the most relevant storm region, and outer wind 843 profiles are not analytically solvable, incurring considerable computational expense when applied to a 844 large track set.

In both cases, the circular wind fields are combined with translational wind vectors that arise from the TC movement, assuming that the influence of translational wind decreases with distance from the TC centre (Cyclone Database Manager, 2023). We use the highest available temporal resolution (up to 3hourly) provided in IBTrACS and interpolate it to 1-hourly resolution before applying the parametric wind field models. In a postprocessing step, we also calculate the maximum value of wind speeds over the duration of the TC event ('max wind').

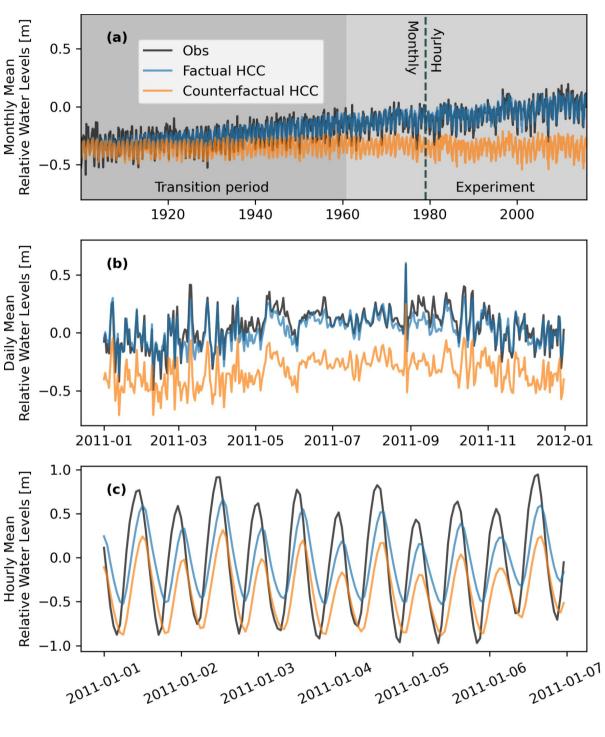
The approach by Holland has been successfully applied in socioeconomic risk and impact analyses (Peduzzi et al., 2012; Geiger et al., 2018; Eberenz et al., 2021). The Emanuel-Rotunno approach has been used for storm surge simulations (Krien et al., 2017; Marsooli et al., 2019; Gori et al., 2020; Yang et al., 2021), and as the basis for the rain field model that we describe below (Feldmann et al., 2019).

855 Wind Exposure. As an extension of the tropical cyclone exposure data set TCE-DAT (Geiger et al., 856 2018), we provide national shares of people and economic assets exposed to 1-minute sustained winds 857 above 34, 48, 64, and 96 knots for each storm. In addition to that, shares of national territory affected 858 by 1-minute sustained winds above 34, 48, 64, and 96 knots are provided. To estimate the exposed 859 population and assets we use the 'histsoc' population and GDP distributions described in section 4.1 860 and section 4.2, respectively. The GDP values are converted to assets by applying the decadal (2010-861 2019) mean of national capital stock to GDP ratios from the Penn World Table version 10.0 (Feenstra 862 et al., 2015). We also provide exposed population and assets assuming fixed 2015 population and 863 asset distributions.

- 864 Precipitation. We are also planning to provide rainfall fields, following a physics-based model that 865 simulates convective TC rainfall by relating the precipitation rate to the total upward velocity within the 866 TC vortex (Zhu et al., 2013). The approach has been successfully applied in rainfall risk assessments 867 in the US (Feldmann et al., 2019; Gori et al., 2022). The rain rate will be simulated for all events in the 868 IBTrACS database at 0.5-hourly temporal and 300 arc-seconds (approximately 10 km) spatial resolution 869 within a 1500 km radius around the storm centre. We provide the derived rainfall totals at hourly 870 resolution as well as the maximum 24-hourly rainfall total during the entire storm duration since this 871 variable is frequently used for rainfall risk assessment studies (Fagnant et al., 2020).
- B72 Different TC wind profiles can be used as an input for the rain field model (Lu et al., 2018; Xi et al.,
- 873 2020). We will provide the rainfall fields for the two wind profile models by Holland and Emanuel-
- 874 Rotunno that we also use for the wind fields described above.

876 877

3.3 Coastal water levels (factual + counterfactual)



880 881 882 883 883 884 885 886 Figure 3: Observed and reconstructed coastal relative water levels at New York, USA. The counterfactual baseline represents water levels without long-term trend since 1900. Water levels are aggregated to monthly means in panel (a) and daily means in the year 2011 in panel (b) while panel c shows part of the data in hourly resolution. The reconstructed water levels are available as monthly mean values from 1901 to 1978 and as hourly mean values from 1979 to 2015.



Variable	Variable specifier	Unit	Resolution	Datasets
Coastal water levels	cwl	m	custom coastal grid; monthly from 1901 to 1978 and hourly from 1979 to 2015	HCC obsclim and counterclim (Treu et al.2023)

888 To enable the quantification of impacts of historical relative sea level rise on coastal systems we provide 889 observation-based coastal water levels building on the HCC dataset (Hourly Coastal water levels with 890 Counterfactual; Treu et al.2023). In contrast to absolute sea levels, relative sea levels are measured 891 against a land-based reference frame (tide gauge measurements). This means that they are not only 892 determined by thermal expansion, loss of land ice, or dynamical processes influenced by climate 893 change, but also by vertical land movements (Wöppelmann and Marcos, 2016) induced by, e.g., glacial 894 isostatic adjustments (Caron et al., 2018; Whitehouse, 2018) or human interventions such as ground 895 water abstraction (Wada et al., 2016a). HCC encompasses factual and counterfactual coastal water 896 levels along global coastlines from 1901 to 1978 on monthly resolution and from 1979 to 2015 on hourly 897 resolution (see Figure 3). The counterfactual coastal water levels are derived from the factual dataset 898 by removing the trend in relative sea level since 1900. The detrending preserves the timing of historical 899 extreme sea-level events similar to the counterfactual atmospheric climate forcing described in section 900 **3.1** (see Figure 3, panel B). Hence, the data can be used for an event-based attribution of, e.g., 901 observed flooding to observed relative sea-level rise with pairs of impact simulations driven with the 902 factual and counterfactual datasets. It is important to highlight that 'attribution to observed changes in 903 relative water levels' does not imply attribution to anthropogenic climate forcing because such observed 904 changes may include trends that are not driven by human greenhouse gas emissions. Important 905 sources for such trends are the ongoing adjustments of ice sheets, glaciers and the earth crust to 906 climate conditions before industrialization (Slangen et al. 2016) and the land subsidence due to water, 907 gas and oil extraction (Nicholls et al. 2021). In the following the derivation of the data is described in 908 more detail.

909

910 Default factual data. To capture the impacts of extreme water levels we provide hourly observation-911 based coastal water levels as forcing data. To this end we combine the Coastal Dataset for the 912 Evaluation of Climate Impact (CoDEC) dataset (Muis et al., 2020) that describes high frequency 913 variation of sea level along global coastlines with a recent reconstruction of observed long-term sea-914 level rise (Dangendorf et al., 2019). The CoDEC hourly data builds on a shallow-water model with fixed 915 ocean density driven by ERA5 wind and atmospheric pressure fields. The CoDEC data thus starts only 916 in the year 1979 and does not include variations due to ocean density changes and multi-year trends 917 from observed sea-level rise or vertical land movement. In contrast, the hybrid reconstructions (HR) 918 dataset from (Dangendorf et al., 2019) represents sea-level change since 1900 on a monthly timescale, 919 including density variations and multi-year trends. Long term sea-level change in HR is based on fitting 920 theoretically known and modelled spatial-temporal fields of individual contributing factors of sea level 921 change to a set of observations of sea level change from tide gauges. The individual contributing factors 922 are theoretically known cryospheric fingerprints from two ice sheets, 18 major glacier regions, glacial 923 isostatic adjustment from 161 Earth rheological models and dynamic changes of sea surface height 924 modelled by six global climate models. Short term sea-level variations are represented in HR by 925 extending the spatio-temporal patterns from satellite altimetry back to the year 1900 using tide gauge 926 records. We create the HCC dataset by low-pass filtering the HR dataset and high-pass filtering the 927 CoDEC dataset before summing them. Vertical land motion is subsequently added to yield relative 928 changes of water levels along global coastlines. HCC shows improved agreement with tide gauge 929 records on hourly to monthly time scales when compared to CoDEC due to the inclusion of density 930 variations. This is most apparent for lower latitudes. The performance on interannual time scales is 931 equal to (Dangendorf et al., 2019).

932

933 Default counterfactual data. To estimate the effects of historical sea-level rise on coastal systems, 934 we provide a counterfactual sea-level dataset as forcing for coastal impact models (Treu et al. 2023). 935 To this end the long term trend in the HCC data (1900-2015) was identified by a simple guadratic model 936 in time and subtracted from the factual HCC data. The quadratic model assumes a constant 937 acceleration of sea-level rise over time. Analysis of sea level rise acceleration shows variation 938 throughout the last century with an acceleration phase in the early century followed by a deceleration 939 and then again acceleration until today (Dangendorf et al., 2019). By design, this variation is not 940 included in our quadratic trend estimate. In general, we expect our trend estimation to largely exclude 941 natural variability from the trend due to the low dimensionality of the trend model and the long data 942 period. This is a desired outcome and preserves the natural variability in the counterfactual. Extreme 943 sea-level events have the same timing in the counterfactual and the factual dataset, facilitating event-944 based impact attribution.

945

## 946 3.4 Ocean data (factual)

947

948 Default factual data. For the fisheries and marine ecosystem models, we provide a number of physical 949 and biogeochemical variables for the period 1961 to 2010 at different depth levels in the ocean (see 950 Table 8). Since direct measurements of these variables are very scarce (Sarmiento and Gruber, 2006, 951 WOCE Atlas, 2023), the only way to obtain a globally (or even regionally) complete and consistent 952 forcing dataset is to use numerical models. Global ocean models, which also serve as oceanic 953 components of Earth System models, often simulate many or all of the required variables. To let 954 observations at least indirectly enter the oceanic forcing data for ISIMIP3a, we provide outputs from an 955 ocean model run that is forced by an observation-based reanalysis product of atmospheric forcing (Liu 956 et al., 2021). Compared to the oceanic forcing (Stock et al., 2014) provided to generate the ISIMIP2a 957 simulations for the marine ecosystems and fisheries sector (Tittensor et al., 2018), this new dataset is 958 based on the latest GFDL-MOM6 and COBALTv2 physical and biogeochemical ocean models running 959 on a tripolar 0.25° grid and using the JRA-55 reanalysis (Tsujino et al., 2018) as the surface forcing, in 960 contrast to the inter-annual forcing dataset of (Large and Yeager, 2009), which was previously used to

961 drive GFDL-MOM4. The simulations also account for dynamic, time-varying river freshwater and 962 nitrogen inputs that were simulated based on GFDL's land-watershed model LM3-TAN (Land Model 963 version 3 with Terrestrial and Aquatic Nitrogen; (Lee et al., 2019), adjusted using observations from the 964 Global Nutrient Export from WaterSheds (NEWS) database (Seitzinger et al., 2006). To create the 965 default 'obsclim' climate-related forcings for the fisheries and marine ecosystem models these ocean 966 model simulation data have been interpolated to a regular 0.25° grid while vertical resolution is 967 preserved. In contrast to the atmospheric data, oceanic CRF are provided at monthly temporal 968 resolution.

969

970 Low resolution factual data. To test to what degree a lower spatial resolution of the climate-related 971 forcings affects the impact model simulations, the oceanic climate-related forcings have also been 972 aggregated to one degree resolution as input for the 'obsclim + histsoc, 60arcmin' sensitivity 973 experiment.

974

975 **CRF for the '1955-riverine-input' sensitivity experiment.** The '1955-riverine-inputs' sensitivity 976 experiment builds on 0.25 degree GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, but 977 without time-varying riverine inputs. Instead the influx of freshwater and nutrients are fixed at mean 978 1951 to 1958 levels as described in the "control run" introduced by (Liu et al., 2021). The data is 979 interpolated to a regular 0.25 degree grid in the same way as the default 'obsclim' CRFs.

980

We currently do not provide counterfactual versions of the ocean data forcing, though options are beingexplored.

984	Table 8: ISIMIP3a oceanic climate-related forcing. Variables with suffixes -bot, -surf, and -vint were obtained
985	from the seafloor, the top layer of the ocean, and vertical integration, respectively.

Variable	Variable specifier	Unit	Resolution	Datasets
Mass concentration of total phytoplankton expressed as chlorophyll	chl	kg m-3	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 applied when converting from mass to volumetric unit, i.e. µg kg-1 to kg m-3

Downward flux of	expc-bot	mol	0.25° and 1°	GFDL-COBALT2 simulation forced
organic particles	onpo not	m-2	grid, monthly	by the JRA-55 reanalysis,
expressed as organic		s-1		accounting for climate-driven
carbon at ocean				changes in riverine inputs
bottom				('default') or assuming fixed levels
				of riverine inputs ('1955-riverine-
				input'). Derived from nitrogen
				detritus flux at ocean bottom
				(fndet_btm) by multiplying with
				fixed N-C ratio of 6.625.
				Extractions for individual grid cells
				available in ASCII format for
				regional models (see <b>Table 1</b> ).
	•			
Particulate organic	intpoc	kg m-	0.25° and 1°	GFDL-COBALT2 simulation forced
carbon content in the		2	grid, monthly	by the JRA-55 reanalysis,
upper 100 m				accounting for climate-driven
				changes in riverine inputs
				('default') or assuming fixed levels
				of riverine inputs ('1955-riverine- input'). Derived by aggregating
				bacterial, detritus, diazotroph,
				large+small phytoplankton,
				large+medium+small zooplankton
				nitrogen biomass and multiplying
				by a fixed N-C ratio of 6.625.
				Extractions for individual grid cells
				available in ASCII format for
				regional models (see <b>Table 1</b> ).
Net primary organic	intpp	mol	0.25° and 1°	GFDL-COBALT2 simulation forced
carbon production by		m-2	grid, monthly	by the JRA-55 reanalysis, both
all types of		s-1		accounting for climate-driven
phytoplankton in grid				changes in riverine inputs
cell column				('default') or assuming fixed levels
				of riverine inputs ('1955-riverine-
				input'). Derived by aggregating net
				primary productions by diatoms,

				diazotrophs and pico- phytoplankton and under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for regional models (see <b>Table 1</b> ).
Net primary organic carbon production by diatoms in grid cell column	intppdiat	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for regional models (see <b>Table 1</b> ).
Net primary organic carbon production of carbon by diazotrophs in grid cell column	intppdiaz	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for regional models (see <b>Table 1</b> ).

·	·	·	r	i
Net Primary Mole Productivity of Carbon by Picophytoplankton in grid cell column	intpppico	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the assumption of a fixed N-C ratio of 6.625.
Mixed Layer Ocean Thickness defined by a Sigma Theta difference (= density difference) of 0.125 kg m-3 compared to the surface	mlotst-0125	m	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Dissolved oxygen concentration; vertically resolved, at the bottom or at the surface, respectively	o2, o2-bot, o2-surf	mol m-3	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells of the bottom and surface layer available in ASCII format for regional models (see <b>Table 1</b> ).
pH; vertically resolved, at the bottom or at the surface, respectively	ph, ph-bot, ph-surf	1	0.25° and 1° grid, 35 levels (m from the surface), ocean bottom and surface fields, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input') where pH is derived from ion concentrations H+ as pH = - $log_{10}(H+)$ .

				Extractions for individual grid cells of the bottom and surface layer available in ASCII format for regional models (see <b>Table 1</b> ).
Total phytoplankton carbon concentration; vertically resolved or integrated over the grid cell column, respectively	phyc, phyc- vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Aggregated from diatom, diazotroph and pico-phytoplankton. Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see <b>Table 1</b> ).
Concentration of diatoms expressed as carbon in sea water; vertically resolved or integrated over the grid cell column, respectively	phydiat, phydiat-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for

				regional models (see <b>Table 1</b> ).
Concentration of diazotrophs expressed as carbon in sea water; vertically resolved or integrated over the grid cell column, respectively	phydiaz, phydiaz-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.
Mole concentration of picophytoplankton expressed as carbon in sea water; vertically resolved or integrated over the grid cell column, respectively	phypico, phypico-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.
Net downward shortwave radiation at sea water surface	rsntds	W m- 2	0.25° and 1° grid, monthly	From JRA-55 reanalysis
Sea ice area fraction	siconc	%	0.25° and 1° grid, monthly	From JRA-55 reanalysis

	1			
Sea water salinity; vertically resolved, at the bottom, or at the surface, respectively	so, so-bot, so-surf	0.001	0.25° and 1° grid, 35 levels (m from the surface), ocean bottom and surface fields, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells of the surface and bottom layer are available in ASCII format for regional models (see <b>Table 1</b> ).
Sea water potential temperature	thetao	°C	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Ocean model cell thickness	thkcello	m	0.25° and 1° grid, 35 levels (m from the surface), constant	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Sea water potential temperature at sea floor (bottom)	tob	°C	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells are available in ASCII format for regional models (see <b>Table 1</b> ).

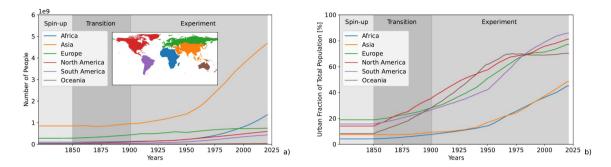
	r	·	r	,
Sea surface temperature	tos	°C	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extracted from uppermost ocean layers potential temperatures. Extractions for individual grid cells are available in ASCII format for regional models (see <b>Table 1</b> ).
Sea water zonal velocity	uo	m s-1	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Sea water meridional velocity	vo	m s-1	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Concentration of zooplankton of meso size expressed as carbon in seawater; vertically resolved or integrated over the grid cell column, respectively	zmeso, zmeso-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Aggregated from large and medium zooplankton. Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from

Concentration of	zmicro,	mol	0.25° and 1°	mass to volumetric unit, i.e. mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see <b>Table 1</b> ). GFDL-COBALT2 simulation forced
zooplankton of micro scale expressed as carbon in seawater; vertically resolved or integrated over the grid cell column, respectively.	zmicro-vint	m-3	grid, 35 levels (m from the surface) and vertically integrated, monthly	by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see <b>Table 1</b> ).
Total Zooplankton Carbon Concentration; vertically resolved or integrated over the grid cell column, respectively	zooc, zooc- vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'), aggregated from large, medium and micro zooplankton. Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.

	of the vertically integrated data set are available in ASCII format for regional models (see <b>Table 1</b> ).

#### 4 Direct human forcings

#### 4.1 Population data



# 

Figure 4: Historical evaluation of population for different continents. Total number of people living in the
region (panel a) and urban population as a fraction of the total population per region (panel b).

993	
994	

Table 9: Population data provided as part of the ISIMIP3a direct h	uman forcing

Variable	Variable specifier	Unit	Resolution	Datasets
National population	рор	Number of people in millions	annual	UN 2019 WPP database (2023): census-based from 1950 to 2020 + "medium-variant" forecast provided for 2021
Gridded total population	total-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and adjusted to match the national UN numbers described above (see text below)
Gridded rural population	rural-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and rescaled by the same national scaling factors as the total population
Gridded urban population	urban-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and rescaled by the same national scaling factors as the total population

For ISIMIP3a we provide consistent gridded and national population data (see Table 9) by rescaling
the gridded data to match the national aggregates. Figure 4 shows the temporal evolution of total and
urban population for different continents.

999

1000 National data. Annual national population data are taken from the 2019 UN World Population Prospects 1001 (WPP) database for the period from 1950 – 2021 (United Nations, 2019). The 2019 revision of the WPP 1002 provides census-based population numbers from 1950 through 2020. For the year 2021, we use the 1003 "medium-variant" of the probabilistic forecast also provided by the WPP. The forecast accounts the 1004 past experience of each country, while reflecting uncertainty about future changes based on the past 1005 experience of other countries under similar conditions (see United Nations, 2019 for details). For 1006 countries not covered in the database, estimates are taken from the MissingIslands dataset (Arujo et 1007 al., 2021) to finally provide population data for 249 countries.

1008

1009 Gridded data. We provide gridded population data that is based on HYDE v3.3 (Klein Goldewijk, 2022). 1010 Just like the original dataset we provide total, rural and urban population per grid cell. The original HYDE 1011 3.3 data was on a 1/12°×1/12° grid and has been interpolated to ISIMIP's 0.5°×0.5° grid. Furthermore. 1012 the land-sea distinction was modified to comply with the ISIMIP country mask (see Table 1). Before the 1013 year 1950 HYDE provides data every ten years, the intermediate years have been filled by linear 1014 interpolation. Also, the original HYDE data ends in 2020. So to cover the whole ISIMIP3a time frame 1015 the final year 2020 has been duplicated as 2021. In this way annual coverage of 1850 to 2021 has been 1016 achieved.

Data for all grid cells of a country, as defined by the ISIMIP 0.5°×0.5° fractional country map (see Table
1), have been rescaled such that the country's total population matches the numbers provided in the
national population data. Since the national data only starts in 1950, all years prior to 1950 have been
rescaled by the national scaling factors of 1950. The urban and rural populations have been rescaled
by the same national scaling factors as the total population.

1022

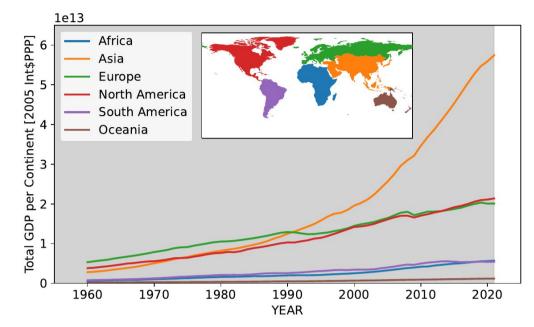


Figure 5: Aggregated GDP (Int\$ PPP 2005) for different continents.

Table 10: GDP data provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resolution	Datasets
National Gross Domestic Product	gdp	Int\$ PPP 2005	annual	World Bank's World Development Indicator database (Anon, 2008)
Gridded Gross Domestic Product	gridded-gdp	Int\$ PPP 2005	annual	National GDP data downscaled to the 0.5° grid according to (Wang and Sun, 2022)

1030

Similar to the population data we also provide gridded and national GDP data (see **Table 10**). The downscaling of the national numbers is based on population and nightlight data (see below). In contrast to ISIMIP2a the gridded GDP and population data are now consistent such that previous artefacts in the derived GDP per capita could be eliminated (see below). **Figure 5** shows the historical increase in GDP for different continents.

1036

1037 National GDP data. Time series of per-capita GDP for the time period 1960-2021 are taken from the
 1038 World Bank's World Development Indicator database (WDI) (Anon, 2008) and converted into constant
 1039 2005 Int\$PPP, using deflators and PPP conversion factors from WDI. For countries not covered in the

WDI database, data from the MissingIslands dataset (Arujo et al., 2021) is used to allow covering 249
countries. Following a method developed by (Koch and Leimbach, 2023); the values for the year 2021
are derived from the IMF's World Economic Outlook short-term estimates of GDP per capita growth
(International Monetary Fund, 2021) that comprise estimates of the growth impacts of the Covid-19
shock.

1045

Gridded GDP data. Gridded GDP data at 0.5 degree resolution are derived from the national GDP time
series by applying the LitPop method (Zhao et al., 2017; Eberenz et al., 2019), which uses the ISIMIP3a
gridded population based on HYDE v.3.3 and nighttime light (NTL) data to downscale national GDP
data for the period 1960-2021 to the ISIMIP 0.5°×0.5° grid.

1050

1051 As the disaggregation of GDP is not only based on population but also uses the NTL GDP per capita, 1052 it is not constant within different countries. Deriving the gridded GDP data from the gridded population 1053 data provided within ISIMIP3a ensures that the both data sets can be combined such that the associated 1054 GDP per capita does no longer show the artefacts that have been found in the ISIMIP2a GDP per capita 1055 (ISIMIP2a: suspicious gridded GDP per capita data; new functions in the isimip data repository; Forum 1056 on Scenarios for Climate and Societal Futures, 2023). 1057 1058

**4.3 Land use and irrigation patterns** 

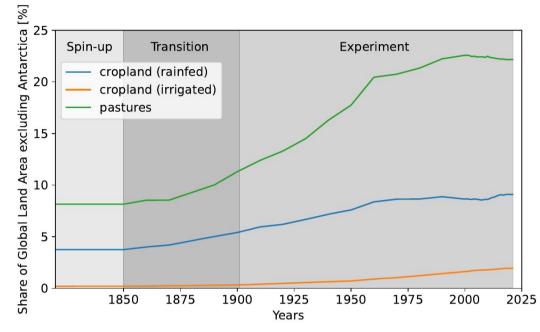
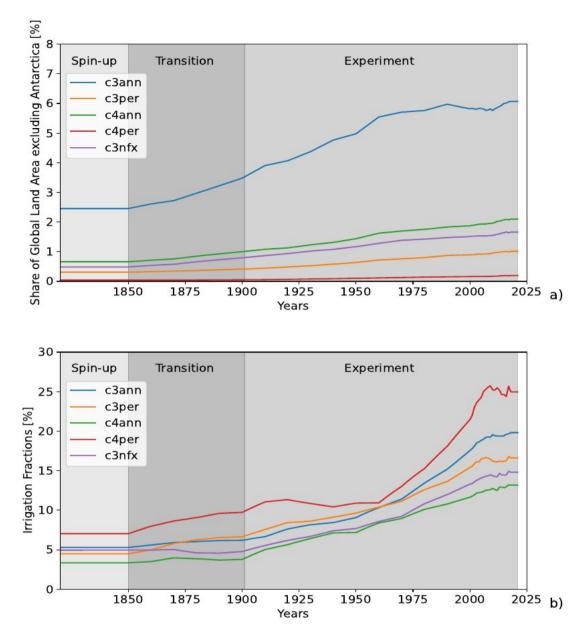


Figure 6: Share of Global Land Area excluding Antarctica covered by rainfed cropland (green), irrigated cropland (blue), and pasture (orange) [%]. The information is from the LUH v2 data set provided as direct human forcing for ISIMIP3a (see details below).



1067 Figure 7: Panel A: Share of Global Land Area excluding Antarctica covered by different groups of crops 1067 1068 1069 1070 1071 1072 (C3 annual (blue), C3 perennial (orange), C4 annual (green), C4 perennial (red), C3 nitrogen fixing (purple)). Panel B: Fraction of irrigated land for the different groups of crops. The information is from the LUH v2 data set (see details on further disaggregation of the LUH v2 groups below).

## Table 11: Historical land use and irrigation patterns provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resoluti	Datasets
			on	

Total crop land,	cropland_total,	unitless	0.5°×0.5°,	LUH2 v2 (Hurtt et
rainfed cropland,	cropland_rainfed,	(share	annual	al., 2020, Land use
irrigated cropland	cropland_irrigated	of area		harmonization,
		in a		2023)
		grid		
		cell)		
pastures	pastures	unitless	0.5°×0.5°,	sum of
		(share	annual	'managed_pastures
		of area		' and 'rangeland'
		in a		from HYDE 3.2
		grid		(see below)
		cell)		
Managed	managed_pastures	1	0.5°×0.5°,	first subcategory of
pastures		(share	annual	'pastures' from
		of area		HYDE 3.2 (see
		in a		above)
		grid		
		cell)		
rangeland	rangeland	1	0.5°×0.5°,	second
Tangeland		(share	annual	subcategory of
		of area	annuai	'pastures' from
		in a		HYDE 3.2, more
		grid		extensive
		cell)		management than
				-
				'managed pastures'
				(see above)
C3 annual	c3ann_irrigated, c3ann_rainfed	1	0.5°×0.5°,	LUH v2, for the
rainfed cropland,		(share	annual	disaggregation we
C3 annual		of area		consider C3 annual
irrigated cropland		in a		to be: rapeseed,
		grid		rice, temperate
		cell)		cereals, temperate
				roots, tropical roots,
				sunflower, others
				C3 annual (see
				below)

C3 perennial cropland	c3per_irrigated, c3per_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	LUH v2 (this variable appears in the file only distinguishing 5 land use types and in the file with the downscaled 15 land use types. The provided values are identical)
C3 nitrogen- fixing rainfed cropland, C3 nitrogen-fixing irrigated cropland	c3nfx_irrigated, c3nfx_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	LUH v2 for the disaggregation we consider 'C3 nitrogen-fixing' to be: groundnut, pulses, soybean, others C3 nitrogen- fixing (see below)
C4 annual rainfed cropland, C4 annual irrigated cropland	c4ann_irrigated, c4ann_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	LUH v2, for the disaggregation we consider 'C4 annual' to be: maize, tropical cereals (see below)
C4 perennial rainfed cropland, C4 perennial irrigated cropland	c4per_irrigated, c4per_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	LUH v2 (this variable appears in the file only distinguishing 5 land use types and in the file with the downscaled 15 land use types. The provided values are identical), in the file with the 15 crops 'C4 perennial' is considered to be

Fraction of grid	maize_irrigated, maize_rainfed	1	0.5°×0.5°	sugarcane downscaled from
cell where maize	maize_imgated, maize_raimed	(share	annual	LUH v2 data based
is grown (rainfed		of area		on the crop
and irrigated)		in a		distribution from
		grid		(Monfreda et al.,
		cell)		2008). The method
				is described in
				(Frieler et al., 2017)
Fraction of grid	oil_crops_groundnut_irrigated,	1	0.5°×0.5°	downscaled from
cell where	oil_crops_groundnut_rainfed,	(share	annual	LUH v2 data based
groundnut is		of area		on the crop
grown (rainfed		in a		distribution from
and irrigated)		grid cell)		(Monfreda et al., 2008). The method
				is described in
				(Frieler et al., 2017)
				· · · · · · · · · · · · · · · · · · ·

Fraction of grid cell where rapeseed is grown (rainfed and irrigated)	oil_crops_rapeseed_irrigated, oil_crops_rapeseed_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where soybean is grown (rainfed and irrigated)	oil_crops_soybean_irrigated, oil_crops_soybean_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where sunflower is grown (rainfed and irrigated)	oil_crops_sunflower_irrigated, oil_crops_sunflower_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where pulses are grown (rainfed and irrigated)	pulses_irrigated, pulses_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where rice is grown (rainfed and irrigated)	rice_irrigated, rice_rainfed	1 (share of area in a	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from

Fraction of grid cell where temperate cereals are grown (rainfed and irrigated)	temperate_cereals_irrigated, temperate_cereals_rainfed	grid cell) 1 (share of area in a grid cell)	0.5°×0.5°, annual	(Monfreda et al., 2008). The method is described in (Frieler et al., 2017) downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where temperate roots are grown (rainfed and irrigated)	temperate_roots_irrigated, temperate_roots_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where tropical cereals are grown (rainfed and irrigated)	tropical_cereals_irrigated, tropical_cereals_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where tropical roots are grown (rainfed and irrigated)	tropical_roots_irrigated, tropical_roots_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)

		1	l	
Fraction of grid	others_c3ann_irrigated,	1	0.5°×0.5°,	downscaled from
cell where C3	others_c3ann_rainfed	(share	annual	LUH v2 data based
annual crops		of area		on the crop
other than		in a		distribution from
rapeseed, rice,		grid		(Monfreda et al.,
temperate		cell)		2008). The method
cereals,				is described in
temperate roots,				(Frieler et al., 2017)
tropical roots,				
and sunflower				
are grown				
(rainfed and				
irrigated)				
Fraction of grid	others_c3nfx_irrigated,	1	0.5°×0.5°,	downscaled from
cell where	others_c3nfx_rainfed	(share	annual	LUH v2 data based
nitrogen fixing		, of area		on the crop
C3 crops other		in a		distribution from
than groundnut,		grid		(Monfreda et al.,
pulses, and		cell)		2008). The method
soybean are				is described in
grown (rainfed				(Frieler et al., 2017)
and irrigated)				
Urban areas	urbanareas	1	0.5°×0.5°,	LUH v2
		(share	annual	
		of area		
		in a		
		grid		
		cell)		
1				

1074 Historical land use and irrigation patterns for ISIMIP3a simulations are taken from LUH v2 (Hurtt et al.,

1075 2020, Land use harmonization, 2023). The data set is, up to 2018, identical to the data provided with 1076 ISIMIP2b. The data are based on the HYDE 3.2 land use data set (Klein Goldewijk et al., 2017) and 1077 have been constantly extended up to 2021, i.e., by copying the 2018 patterns into 2019, 2020, and 1078 2021.

1079 The original HYDE 3.2 data distinguishes four categories of land use: rainfed and irrigated cropland, 1080 managed pastures, and more extensively managed rangelands (see **Table 11**). The latter two 1081 categories are combined to grazing lands (ISIMIP variable 'pastures', see **Figure 6**). In LUH v2 the crop 1082 land information is further downscaled to five crop types: C3 annual plants, C3 perennial plants, C3 1083 nitrogen fixing plants, C4 annual plants and C4 perennial plants (see global aggregates in Figure 7). In 1084 the same vein as the HYDE case, the LUH v2 data set distinguishes between rainfed and irrigated 1085 croplands. For the purpose of driving the ISIMIP impact models, the LUH v2 data was interpolated from 1086 the original 0.25° × 0.25° to the standard ISIMIP 0.5° × 0.5° global grid. In a further downscaling step 1087 the 5 crops land use data has been downscaled even further to 15 crop types (see global aggregates 1088 in Figure 7). For this purpose the Monfreda land use dataset (Monfreda et al., 2008) has been used. It 1089 describes the crop land areas of 175 crops in the year 2000, and we use this to downscale the 5 crops 1090 categories into land use areas of 15 more specific crop types (maize, groundnut, rapeseed, soybeans, 1091 sunflower, rice, sugarcane, pulses, temperate cereals (including wheat), temperate roots, tropical 1092 cereals, tropical roots, others annual, others perennial, and others N-fixing). The ratios determined from 1093 the year 2000 numbers have then been applied to all years. For further details please refer to (Frieler 1094 et al., 2017).

1095

1096 The areas outside of the specified agricultural and urban land is considered 'natural vegetation' and not 1097 prescribed further to not constrain the dynamical vegetation models.

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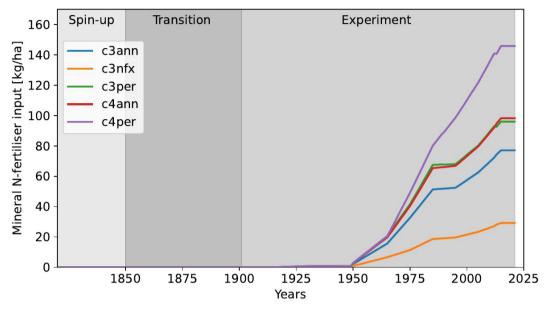


Figure 8: Mean mineral N-fertiliser input averaged across the land areas where the considered crop groups are grown.

Variable	Variable specifier	Unit	Resolution	Datasets
Mineral N-fertiliser input for annual C3 crops C4annual, C4 perennial, C3 nitrogen fixing)	fertl_c3ann,	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH v2 (Hurtt et al., 2020)
Mineral N-fertiliser input for perennial C3 crops	fertl_c3per	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH v2 (Hurtt et al., 2020)
Mineral N-fertiliser input for annual C4 crops	fertl_c4ann	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH v2 (Hurtt et al., 2020)

Table 12: Fertiliser inputs provided as part of the ISIMIP3a direct human forcing.

Mineral N-fertiliser input for perennial C4 crops	fertl_c4per	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH v2 (Hurtt et al., 2020)
Mineral N-fertiliser input for nitrogen-fixing C3 crops	fertl_c3nfx	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH v2 (Hurtt et al., 2020)

1108 The LUH v2 data set also includes national application rates of industrial nitrogen fertiliser (Hurtt et al., 1109 2020). This does not include manure. The fertiliser data is not based on HYDE but was derived from 1110 other sources. The data for the years 1915–1960 are based on (Smil, 2001), 1961–2011 are based on 1111 a compilation by (Zhang et al., 2015) which in turn is based on FAOSTAT (FAO, 2016), and 2012-2015 1112 are based on a projection by the International Fertilizer Association (IFASTAT, 2015). For the pure crop 1113 runs within ISIMIP, where the considered crops are assumed to be grown everywhere without a land 1114 use specification, the LUH v2 national fertiliser inputs are assumed to be applied everywhere within the 1115 country. To calculate crop production, the LUH2 v2 land use patterns are applied in post-processing, 1116 i.e. by multiplying the crop yields from the pure crop run with the land use patterns (fraction of the grid cell where the crop has been grown). 1117

1118

# 1119 4.5 Land transformation

1120

# 1121 Table 13: Land transformation and wood harvest provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resolu tion	Datasets
Wood harvest area from primary forest land	primf-harv	Fraction of the national land area, kg in case of biomass	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022, Land use harmonization, 2023)

Wood harvest area from primary non- forest land Wood harvest area from secondary mature forest land	primn-harv secmf-harv	Fraction of the national land area, kg in case of biomass Fraction of the national land area, kg in case of biomass	Annual , nationa I sum Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022) Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)
Wood harvest area from secondary young forest land	secyf-harv	Fraction of the national land area, kg in case of biomass	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)
Wood harvest area from secondary non- forest land	secnf-harv	Fraction of the national land area, kg in case of biomass	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)
Wood harvest biomass carbon from primary forest land	primf-bioh	Fraction of the national land area, kg in case of biomass	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)
Wood harvest biomass carbon from primary non-forest land	primn-bioh	Fraction of the national land area, kg in case of biomass	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)

	a a surf black	Enerth (		
Wood harvest	secmf-bioh	Fraction of	Annual	Based on LUH v2
biomass carbon from		the	, 	v2h (Hurtt et al.,
secondary mature		national	nationa	2011, 2020; del
forest land		land area,	l sum	Valle et al., 2022)
		kg in case		
		of biomass		
Wood harvest	secyf-bioh	Fraction of	Annual	Based on LUH v2
biomass carbon from		the	,	v2h (Hurtt et al.,
secondary young		national	nationa	2011, 2020; del
forest land		land area,	l sum	Valle et al., 2022)
		kg in case		
		of biomass		
Wood harvest	secnf-bioh	Fraction of	Annual	Based on LUH v2
biomass carbon from		the	,	v2h (Hurtt et al.,
secondary non-forest		national	nationa	2011, 2020; del
land		land area,	l sum	Valle et al., 2022)
		kg in case		
		of biomass		
Not forest-related	<type 1="">_to_<type 2=""></type></type>	Fraction of	Annual	Based on LUH v2h
land transformations		the grid		(Hurtt et al., 2011,
All transitions from	With type 1 and type 2 from	cell		2020, Land use
one type of land use	the following list:			harmonization,
to another				2023);
	secdf (potentially forested			Land is considered
	secondary land),			to be 'potentially
	secdn (potentially non-			forested' if the
	forested secondary land),			above ground
	urban (urban land),			biomass density (kg
	<b>c3ann</b> (C3 annual crops),			C m−2) of the
	<b>c4ann</b> (C4 annual crops),			potential vegetation
	<b>c3per</b> (C3 perennial crops),			as estimated by the
	<b>c4per</b> (C4 perennial crops),			Miami-LU model
	<b>c3nfx</b> (C3 nitrogen-fixing			accounting for
	crops),			changes in cropland
	pastr (managed pasture)			and grazing land
	range (rangeland)			is > 2 kg C m-2

		(Hurtt et al., 2020)

1123 These datasets are based on the LUH v2 Harmonization Data Set covering 850 to 2015 (Hurtt et al., 1124 2020, Land use harmonization, 2023). The wood harvest data were obtained by aggregating from the 1125 original LUH v2 grid to the ISIMIP 0.5° × 0.5° grid (first-order conservative remapping) and then 1126 aggregating to the national sums. Wood harvesting data are used in the vegetation models to mimic 1127 wood removal as part of forest management and clearing, and has a strong influence on the carbon 1128 balance. National data are provided so that models can use their internal routines to distribute the 1129 harvesting within a country's forest area. The gridded land transformation data were obtained by 1130 aggregating from the original LUH v2 grid to the ISIMIP  $0.5^{\circ} \times 0.5^{\circ}$  grid; these data always end a year 1131 earlier than all other land use data, because a year in these data sets actually describes the changes 1132 from the current to the next year. The data have been extended up to 2021 by copying the 2015 data 1133 into the following years (files end in 2020).

1134

## 1135 4.6 Nitrogen Deposition

1136

## 1137 <u>Table 14: Nitrogen deposition provided as part of the ISIMIP3a direct human forcing.</u>

Variable	Variable specifier	Unit	Resolution	Datasets
Reduced nitrogen deposition	nhx	g N m-2 mon- 1	monthly	based on simulations from (Tian et al., 2018)

Oxidised	noy	g N m-2 mon-	monthly	based on
nitrogen		1		simulations from
deposition				(Tian et al., 2018)

1139

1140 Reduced and oxidised nitrogen deposition (NHx, NOy) are based on simulations by the NCAR

- Chemistry-Climate Model Initiative during 1850-2014 (Tian et al., 2018). Nitrogen deposition data was
  interpolated to 0.5° by 0.5° using the nearest grid point method. Data in 2015-2021 are assumed to be
- 1143 the same as that in 2014.
- 1144

## 1145 4.7 Crop calendar

1146

1147**Table 15:** Crop calendar provided as optional representation of agricultural management. The information is<br/>given for 18 crop types.

Variable	Variable specifier	Unit	Resolution	Datasets
Planting day, separated for rainfed and irrigated crops where applicable	planting_day	day of year	0.5°, time average, no variation in time	(Jägermeyr et al., 2021b)
Maturity day, separated for rainfed and irrigated crops where applicable	maturity_day	day of year	0.5°, time average, no variation in time	(Jägermeyr et al., 2021b)

1149

1150 Unfortunately, there is no global data set describing changes in growing seasons across the historical 1151 period. Instead we provide a static crop calendar that has been developed within the AgMIP Global 1152 Gridded Crop Model Intercomparison GGCMI and merges information from various observational data 1153 sources (Jägermeyr et al., 2021b). It provides planting and maturity days for 18 different crops at the 1154 ISIMIP standard 0.5° grid. Grid cells outside of currently cultivated areas are spatially extrapolated 1155 (details below). For wheat and rice two growing seasons are provided while for all other crops the 1156 calendar only specifies one main growing season. The reported growing seasons should not be 1157 considered the growing seasons for one specific year but as 'representative growing season' across 1158 the recent years. Within the crop models different crop varieties are represented by different heat units

- required to reach physiological maturity. The crop calendar should be implemented by adjusting the required heat units to the average of the annual sums of heat units between the specified planting and
- 1161 maturity date over all growing seasons between 1979 and 2010.
- 1162 If modellers use a temporal adjustment of cultivars by varying required heat units in response to socio-
- economic development or historical climate change this is certainly allowed within the 'histsoc' set-up.
- 1164 If cultivars are fixed according to the method described above this simulation will be considered a
- 1165 '2015soc' simulation as long as other direct human drivers are also held constant at 2015 levels.
- 1166 However, if, e.g., fertiliser inputs are varied over time according to provided forcing data (see section
- **4.4**), the run will be considered a 'histsoc' run.
- GGCMI is currently working on a temporally resolved global crop calendar at the same spatial resolution based on various new data sources including agricultural ministries, census reports, phenological data bases, experimental sites, etc. This data set will be published separately and could then be used to inform 'histsoc' simulations.
- 1172

# 1173 **4.8 Dams and reservoirs**

1174

# 1175 <u>Table 16: Information about dams and reservoirs</u>

Variable	Variable specifier	Unit	Resolution	Datasets
Unique ID for each	ID	unitless	per dam	Global Reservoir
point representing a		numbers: 1-		and Dam
dam and its associated		7320 from		Database
reservoir.		GRanD and		(GRanDv1.3,
		J3-J26 from		data up to 2016;
		GeoDAR		(Lehner et al.,
		v1.2		2011a, b) and
				GeoDAR v1.2
				(Wang et al.,
				2022) covering
				the period 2016-
				2020
Name of the dam	DAM_NAME	unitless	per dam	GRanDv1.3,
structure				GeoDARv1.2
Original longitudinal	LON_ORIG	degree (°)	per dam	GRanDv1.3,
location of the dam				GeoDARv1.2
Original longitudinal	LAT_ORIG	degree (°)	per dam	GRanDv1.3,
location of the dam				GeoDARv1.2

Longitude, adjusted to the ISIMIPddm30 0.5° grid cell centres	LON_DDM30	degree (°)	per dam	Adjustment of original GRanDv1.3, GeoDARv1.2 data
Latitude, adjusted to the ISIMIPddm30 0.5° grid cell centres	LAT_DDM30	degree (°)	per dam	Adjustment of original GRanDv1.3, GeoDARv1.2 data
Upstream area draining into the reservoir using ISIMIPddm30	CATCH_SKM_DDM30	km²	per dam	Derived from dam location and the ISIMIPddm30 drainage map.
Upstream area draining into the reservoir acc. to GRanD [km²]	CATCH_SKM_GRanD	km²	per dam	GRanDv1.3
Representative maximum storage capacity of reservoir	CAP_MCM	10 <sup>6</sup> m <sup>3</sup>	per dam	GRanDv1.3, GeoDARv1.2
Year of construction, completion, commissioning, etc. (not specified)	YEAR	year	per dam	GRanDv1.3, GeoDARv1.2 + complemented by internet research
Alternative year (may indicate multi-year construction, secondary dam, etc.)	ALT_YEAR	year	per dam	GRanD
Original, rounded location has been shifted with automatic mapping (FLAG_CORR=1)	FLAG_CORR	Unitless labels: 1 or 2	per dam	Introduced when adjusting the locations to the ISIMIPddm30

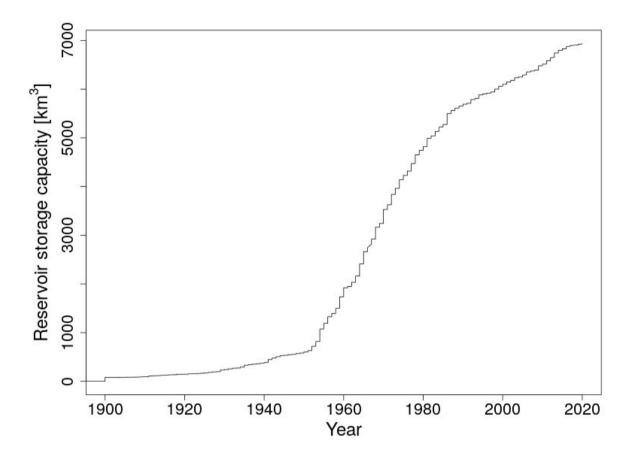
If visual check or manual re-location has been applied (FLAG_CORR=2)				0.5° grid
Name of the river which the dam impounds	RIVER	unitless	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Country where the dam is located	COUNTRY	unitless	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Height of the dam. If multiple heights are available, the foundation height was used.	D_Hght_m	m	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Maximum inundation area of the reservoir	R_Area_km2	km²	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Maximum inundation length of the reservoir	R_Lgth_km	km	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD

				database
Main purpose(s) of the dam	PURPOSE	no units	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Sources used to collect this dam's information	SOURCE	no units	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database. If filled out for GeoDAR records, it corresponds to the source for the year of construction/ commissioning
Other notes related to the mapping or re- location of dams to ISIMIPddm30	COMMENTS	no units	per dam	

1177 In order to offer a consistent and common source of information about reservoirs and associated dams 1178 for climate impact modellers (see Table 16), we joined the Global Reservoir and Dam Database of the 1179 Global Water System Project (GRanD v1.3; (Lehner et al., 2011a, b) with a subset of the Georeferenced 1180 global Dams And Reservoirs (GeoDAR v1.2) database (Wang et al., 2022), developed at Kansas State 1181 University (KSU), and provided by Jida Wang ahead of publication, so that it could be provided when 1182 launching ISIMIP3 in 2020. These additional dams have construction or projected finalisation dates 1183 between 2016 and 2025, while GRanD v1.3 includes dams constructed up until 2017. In total, the 1184 combined database now includes 7331 dams whose construction will be finished by 2025. It includes 1185 dams that were constructed before the simulation period, but still exist (the first reported dam was 1186 finished in the year 286). For the simulations described here, dams with (projected) construction dates

after 2020 are not considered; these will become relevant in the ISIMIP3b simulations, with exception
of the Grand Ethiopian Renaissance Dam, which we decided to include since its reservoir reached a
first stage of filling of 4.9 km<sup>3</sup> in July 2020 (BBC news: Nile dam row, 2020; Tractebel: Filling of the
reservoir of the Grand Renaissance Dam, 2020).

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1192

**Figure 9: Cumulative reservoir storage capacity between 1900 and 2020.** Reservoirs that are active before the year 1901 have been assigned to the year 1900. Horizontal axis shows year of construction, completion, or commissioning, reflecting ambiguity in available data.

1197 The original GRanDv1.3 dam locations were mapped to the global 30-min drainage direction map 1198 (ISIMIPddm30, (Müller Schmied, 2022) based on DDM30 (Döll and Lehner, 2002), by applying the 1199 following algorithm:

1200 Firstly, the locations have been rounded to the closest 0.5° grid cell centre. Then, the area of the 1201 upstream catchment draining into the GRanD reservoirs (previous version of GRanDv1.3) in the 1202 ISIMIPddm30 map have been calculated and compared against the ones reported in GRanD. All dams 1203 with an upstream area bigger than 10000 km<sup>2</sup> in GRanD and more than 50% deviation from the GRanD 1204 upstream area have been shifted to the 8 possible neighbouring cells. If any of these shifts resulted in 1205 a smaller deviation from the GRanD upstream areas, the dam was moved to the grid cell resulting in 1206 the smallest deviation in the upstream area. 1207 Additionally, a visual validation and, where appropriate, manual relocation were applied with the aim to

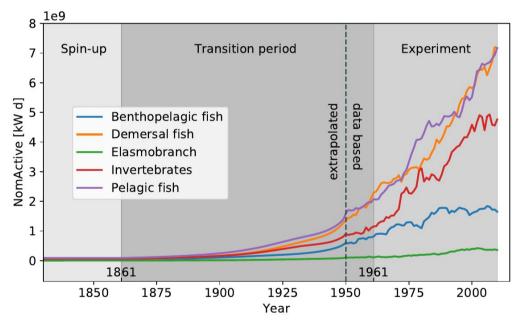
find the best fitting grid cell from a hydrological perspective. Due to the low resolution of the model grid.

reservoirs might get wrongly assigned to e.g. the main stream (either before or after the confluence of two rivers), even though the dam is located in a particular tributary according to the database.

1211 In those cases, and based on visual GIS inspection, the best location was searched, e.g. by moving the 1212 dam location one cell upstream to preserve the routing order and to avoid a different or much deviating 1213 river basin in the ISIMIPddm30 stream network. In case a dam is not assigned to any river basin in the 1214 ISIMIPddm30 (which can happen due to the difference in spatial resolution), the most suited location 1215 according to the observed upstream area was selected. Because of limited capacity, this visual 1216 validation procedure was applied only for dams present in the earlier GranDv1.1 version that have a 1217 maximum storage capacity greater than 0.5 km<sup>3</sup> (1108 dams), as well as for all the 458 additional dams 1218 in GRanDv1.3 and the 11 dams (excluding post-2020 dams) added from GeoDAR v1.2, and not for 1219 several thousand smaller dams present in GranDv1.1. In total the reported dams have a global 1220 cumulative storage capacity of approximately 6932 km<sup>3</sup> (Figure 9).

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## 1222 4.9 Fishing intensities



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**Figure 10: Evolution of historical nominal active fishing effort** (NomActive) as provided for the spin-up, transition period, and 'obsclim + histoc, default' ISIMIP3a experiment, separated by target functional group. The groups represent an aggregation of 29 even finer categories covered by the data set (see **Table 17**).

**Table 17: Information about historical fishing intensities provided as DHF within ISIMIP3a**. For the spin-up + transition period required by models within the *marine ecosystems and fisheries* sector the forcing is provided for 1841-2010 although the 'obsclim + histoc, default' experiment only starts in 1961.

Variable	Variable specifier	Unit	Resolution	Datasets
Total nominal active fishing effort (i.e., accounting for total	NomActiv e	kW d (kilowatts of fleet	annual data spatially grouped by Exclusive Economic Zones	Reconstruction based on historical yearbook and FAO

power of the fleet but	power	(EEZ), (Sea Around	compilations
not including changes	times	Us Area Parameters	((Rousseau et al.,
in the efficiency of	days at	and Definitions) and	2022) based on
fishing technology)	sea)	nested within Large	(Rousseau et al.,
separated by fishing		Marine Ecosystems.	submitted 2023). The
sector, fleet, and target		Masks for the latter	reconstructions have
functional groups.		are provided as static	been extended
		geographic	backwards to 1841 by
		information (see Table	constant 1861 values
		<b>1</b> ).	to cover the 120 years
			of spin-up required for
			the marine
			ecosystems and
			fisheries models

1232 The data set of reconstructed historical fishing efforts (Rousseau et al., 2022) serves as the DHF for 1233 the *marine ecosystems and fisheries* sector. The efforts are quantified for 'artisanal' and 'industrial' 1234 fishing (sector), 66 Large Marine Ecosystems (LME), 187 national Exclusive Economic Zones (EEZ) 1235 and 'high seas', 244 country identifiers from the Sea Around Us Project (SAUP), 16 different categories 1236 of applied gears (e.g. bottom trawls, longlines and purse seines), 29 target functional groups (see 1237 nominal active fishing effort for 5 aggregated categories in **Figure 10**), separately.

1238 The original annual time series spanning 1950-2015 were further extrapolated into the past to 1861 1239 using generalised additive models (Rousseau et al., submitted 2023; see Figure 10). To cover the 1240 'spin-up + transition' period from 1841-1960 the data set has been extended backwards by 1861 values. 1241 Forcing with this dataset allows for a comparison of simulated catches against the congruent (Watson, 1242 2019) reconstruction of historical fisheries catches (spanning the period 1869-2015; (Watson and Tidd, 1243 2018). To permit integration into marine ecosystem models that capture different fishing sectors, fleets, 1244 and functional groups these data include nominal active fishing effort disaggregated by location 1245 (Exclusive Economic Zone/High Seas and Large Marine Ecosystem), fishing country, fishing gear, 1246 targeted functional group, and fishing sector (coastal artisanal and industrial). Impact modellers are 1247 allowed to distribute this effort across space, time, and target organisms in any method compatible with 1248 their models' structure. The fishing effort data does not include any information about changes in the 1249 efficiency of fishing technology over time (technological creep). Assumptions about these efficiencies 1250 are left to the individual modellers and usually determined in model calibration.

1251

#### 1252 4.10 Forest management for regional forest sector

1253

**Table 18:** Information about historical forest management provided as DHF for the *regional forest* sector withinISIMIP3a

Variable	Variable specifier	Unit	Resolution	Datasets
Silvicultural system	sysi	na	stand	(Reyer et al., 2023)
Tree species	species	na	stand	(Reyer et al., 2023)
Harvest type	harvtype	na	stand	(Reyer et al., 2023)
Thinning type	thintype	% of basal area	stand	(Reyer et al., 2023)
Rotation length	rotlength	year	stand	(Reyer et al., 2023)
Thinning frequency	thinfrequ	year	stand	(Reyer et al., 2023)
Year of Management intervention	manyear	year	stand	(Reyer et al., 2023)
Type of management intervention	mantype	na	stand	(Reyer et al., 2023)
Regeneration species	regen	na	stand	(Reyer et al., 2023)
Planting density	plantdens	na	stand	(Reyer et al., 2023)
Planting age	plantage	year	stand	(Reyer et al., 2023)
Planting seedling height	planthei	m	stand	(Reyer et al., 2023)
Planting diameter at breast height	plantdbh	cm	stand	(Reyer et al., 2023)
Age when diameter at breast height is	dbhage	year	stand	(Reyer et al., 2023)

reached				
Stem number	stemno	na	stand	(Reyer et al., 2020a) based on (Reyer et al., 2020b)

1257 For the regional forest sector, forest management is defined for nine forest sites in Europe, four in 1258 Germany (Peitz, KROOF, Solling-beech, Solling-spruce) as well in Czech Republic (Bily Kriz), Denmark 1259 (Sorø), France (Le Bray), Italy (Collelongo) and Finland (Hyytiälä) (Reyer et al., 2020b). Additionally, a 1260 set of forest site-specific forest management rules and planting numbers based on historical standard 1261 management practices of the area where the forest sites are located are defined and spelled out in 1262 concrete management schedules to enable modellers to simulate '2015soc' conditions (Reyer et al., 1263 2023). The regional forest management data has not been harmonised to the global gridded wood 1264 harvest data provided for the biomes sector, because the data is very site-specific and the variation not 1265 resolved in the global data set.

1266

## 1267 5 Conclusion

1268 The first part of the third simulation round of the Inter-sectoral Impact Model Intercomparison Project 1269 ISIMIP (ISIMIP3a) is intended to facilitate impact model evaluation and impact attribution experiments 1270 to significantly move forward our understanding of observed changes in natural and human systems 1271 and their respective drivers. Impact models as participating in ISIMIP encode our process knowledge 1272 on how several drivers (climate-related ones as well as direct human influences) come together to 1273 generate observed changes. As such, they are ideal tools for this task. The new ISIMIP3a simulation 1274 framework including the provision of the relevant forcing data is intended to unleash the power of a wide 1275 range of models from different sectors to quantify the contribution of observed changes in climate-1276 related systems to observed environmental or societal changes.

1277 As a first step towards impact attribution, the ISIMIP3a evaluation experiments will help to clarify how 1278 well the current generation of impact models can explain observed changes in impacted systems based 1279 on provided information about the different forcings. The performance of the models in reproducing 1280 observed variations and long-term changes in the impacted systems, certainly does not only depend 1281 on the models themselves but also on the availability and uncertainties associated with the climate-1282 related and direct human forcings (see Table 1). We capture part of this uncertainty by providing four 1283 different observational atmospheric climate forcing data and associated counterfactual forcings (see 1284 section **2.1**) and TC windfields derived from two different modelling approaches (see section **3.2**). 1285 Uncertainties in the direct human forcings are represented to the degree that the forcing data sets 1286 considered as 'optional' vary from model to model. In addition, the multi-model framework of ISIMIP 1287 allows for testing to what degree different process-representations may be better suitable to explain the 1288 observations than others.

High explanatory power is then a prerequisite for impact attribution through the ISIMIP3a attribution
experiments based on counterfactual climate-related forcings following the IPCC definition (O'Neill et
al., 2022).

1292

1293 The setup is the first that allows to easily and broadly address impact attribution across many impact 1294 categories. This will fill an important gap as only few process-based impact models have been used in 1295 this field despite their general suitability. The presented work can thus lay the ground for urgently 1296 necessary works to inform climate litigation (Burger et al., 2020; Burger and Tigre, 2023), the loss and 1297 damage debate (Mechler et al., 2018; Wyns, 2023), and last but not least also decisions about short 1298 term adaptation measures. It will ultimately help to carve out the sensitivity of our ecosystems and 1299 human societies to historical climate change, which is a precondition for robustly projecting future 1300 climate impacts.

This paper aims to give an overview of the ISIMIP3a experiments and the provided climate-related and direct human forcing data sets. It is intended to work as a catalogue where modellers can find all relevant information about the data sets they need for the impact model simulations within ISIMIP3a. As a community-driven initiative across multiple disciplines the selection of the best available forcing data for ISIMIP builds on the expertise within the different sectoral communities.

- 1306 We would like to improve or complement these data sets in a continuous process wherever possible. 1307 So this paper can also be read as a call for contributing additional data that could i) be provided within 1308 the current round (ISIMIP3) as optional data (see explanation in the introduction) that is not harmonised 1309 within or across sectors or ii) as mandatory forcing for an upcoming simulation round. In particular, we 1310 aim for temporally resolved historical growing seasons that have been shown to be critical to reproduce 1311 observed crop yields (Jägermeyr and Frieler, 2018), counterfactual oceanic climate-related forcings, 1312 counterfactual TC-related precipitation (Risser and Wehner, 2017; van Oldenborgh et al., 2017; Wang 1313 et al., 2018; Patricola and Wehner, 2018), temporally resolved lightning data for the full set of considered 1314 climate model simulations, and temporally resolved human drainage and restoration activities in 1315 peatlands as one of the key controls over global peatland greenhouse gas emissions (Loisel et al., 1316 2020).
- 1317

1318 Author contribution: KF lead the project and developed the concept with contributions from JS, MM, 1319 CO, CPOR, JLB, CSH, CMP, TDE, KOC, CN, RH, DT, OM, JJ, GL, SC, EB, AGS, NS, JC, SH, CB, AG, 1320 FL, SNG, HMS, FH, TH, RM, DP, WT, DMB, MB. JV supported the data generation and harmonisation 1321 of the protocol across all sectors. SL provided atmospheric climate forcing data. MM provided coastal 1322 water level data and atmospheric forcing data. MdRRL, JW and FY provided dam data. CO and IJS 1323 provided GDP data. CPOR provided forest management data. DNK and JTM provided high resolution 1324 climate forcing data. ST provided coastal water levels and counterfactual climate forcing data. YR 1325 provided data on fishing efforts. CS and XL provided ocean forcing data. TV provided TC data. TW and 1326 FS provided gridded GDP data. IV provided lake data. JJ provided growing seasons. CM provided soil 1327 data. KF prepared the manuscript with contributions from all co-authors.

1328

1329 **Code and data availability:** All input data described is available for participating modelers with a 1330 respective account at the DKRZ server. Data will be made publicly available, and most data is already 1331 publicly available at https://data.isimip.org/. Availability is documented on www.isimip.org where the 1332 way of accessing the data is described, as well. Model output is already partly available at 1333 https://data.isimip.org/.

1334 The ISIMIP Repository fulfills the Archive standards as stated in the "GMD code and data policy". The 1335 Repository is hosted and maintained by the Potsdam Institute for Climate Impact Research (PIK). Data 1336 can only be published or removed from the repository by the ISIMIP data team, that is monitored by the 1337 ISIMIP steering committee according to the organisational structure of ISIMIP (ISIMIP organigram. 1338 2020). DOI are used to refer to datasets in a persistent way. Whenever a dataset is replaced for any 1339 reason a copy is kept on tape, and a new DOI is issued, while the old DOI is kept online with information 1340 on how to retrieve the archived data. Detailed information can be found in the ISIMIP terms of use 1341 (ISIMIP terms of use, 2023).

1342

1343 Competing interests: At least one of the (co-)authors is a member of the editorial board of1344 Geoscientific Model Development.

1345

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