Scenario set-up and forcing data for impact model evaluation and impact attribution within the third round of the Inter-Sectoral Model Intercomparison Project (ISIMIP3a)

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72 Abstract. This paper describes the rationale and the protocol of the first component of the third 73 simulation round of the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP3a, 74 www.isimip.org) and the associated set of climate-related and direct human forcing data (CRF and 75 DHF, respectively). The observation-based climate-related forcings for the first time include high-76 resolution observational climate forcings derived by orographic downscaling, monthly to hourly coastal 77 water levels, and wind fields associated with historical tropical cyclones. The DHFs include land use 78 patterns, population densities, information about water and agricultural management, and fishing 79 intensities. The ISIMIP3a impact model simulations driven by these observation-based climate-related 80 and direct human forcings are designed to test to what degree the impact models can explain observed 81 changes in natural and human systems. In a second set of ISIMIP3a experiments the participating 82 impact models are forced by the same DHFs but a counterfactual set of atmospheric forcings and 83 coastal water levels where observed trends have been removed. These experiments are designed to 84 allow for the attribution of observed changes in natural, human and managed systems to climate 85 change, rising CH₄ and CO₂ concentrations, and sea level rise according to the definition of the Working 86 Group II contribution to the IPCC AR6.

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88 1 Introduction

The Inter-Sectoral Impact Model Intercomparison Project ISIMIP (www.isimip.org) provides a common scenario framework for cross-sectorally consistent climate impact simulations currently covering the following sectors: agriculture (global; in cooperation with AgMIP's Global Gridded Crop Model Intercomparison Project (GGCMI)), water (global and regional), lakes (global and regional), biomes

93 (global), forest (regional), fisheries and marine ecosystems (global and regional), terrestrial biodiversity 94 (global), fire (global), permafrost (global), peat (global), coastal systems (global), energy (global), health 95 (temperature-related mortality; water-borne diseases; vector-borne diseases; and food security and 96 nutrition) (global and local), and labour productivity (global and local). The impact model simulations 97 are made freely available, allowing for all types of follow-up analysis. The consistent design of the 98 simulations does allow for the comparison of climate impact simulations within each sector. However, 99 it also enables the bottom-up integration of impacts across sectors. Thus, it provides a unique basis for 100 the estimation of the effects of climate change on, e.g., the economy, displacement and migration, 101 health, or water quality resolving the mechanisms along different impact channels and fully exploiting 102 the process-understanding represented in the biophysical impact models.

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104 Initialised in 2012, ISIMIP is organised in individual modelling rounds. The decision about their design 105 and the development of the associated simulation protocols has been developed into an iterative 106 process between stakeholders and users of ISIMIP data, the sectoral coordinators representing 107 participating modelling teams, the Scientific Advisory Board, and the Cross-Sectoral and Coordination 108 Team at PIK (ISIMIP Coordination Team, Sectoral Coordinators, Scientific Advisory Board, 2018). 109 Since its second round the ISIMIP protocols comprise an 'a' part describing impact model simulations 110 that cover the historical period forced by observational climate-related and direct human forcings 111 (evaluation set-up), and a 'b' part dedicated to impact simulations based on simulated climate-related 112 forcings including future projections. This paper describes the ISIMIP3a simulation framework only 113 where the DHF described here are also used for the historical simulations within ISIMIP3b. Compared 114 to ISIMIP2a the evaluation set-up based on observational forcing data has been extended to now 115 include additional years up to 2021 and sensitivity experiments using high resolution historical climate 116 forcing data to quantify associated improvements of impact simulations (see section 3.1). Besides, the 117 set of historical observation-based direct human forcings has been updated compared to previous 118 ISIMIP simulation rounds (see Table 1). For the first time, and closely connected to the evaluation set-119 up, ISIMIP3a now also includes an 'impact attribution' scenario set-up designed to address the question 120 "To what degree have observed changes in the climate-related systems contributed to observed 121 changes in natural, human or managed systems compared to direct human influences?" Here, changes 122 in climate-related systems mean climate change itself, changes in atmospheric CO2 and CH4 123 concentration, and sea level changes. The attribution question can both refer to the impacts of individual 124 events (e.g. to what extent has long-term climate change contributed to the observed extent of a specific 125 river flood?) and to long-term changes (e.g. to what extent have long-term climate change and 126 increasing CO₂ fertilisation contributed to an observed change in crop yields?). The IPCC AR5 (Cramer 127 et al., 2014) and AR6 ((O'Neill et al., 2022; Hope et al., 2022) have established a framework for impact 128 attribution according to which an 'observed impact of climate change or change in any other climate-129 related system' is defined as the difference between the observed state of the human, natural or 130 managed system and a counterfactual baseline that characterises the system's behaviour in the 131 absence of changes in the climate-related systems. This counterfactual baseline may be stationary or 132 vary in response to direct human influences such as changes in land use patterns, agricultural or water 133 management or population distribution and economic development affecting exposure and vulnerability 134 to weather-related hazards. While the definition is established for about a decade at least, the number 135 of studies addressing impact attribution based on this basic definition is still relatively small compared 136 to the number of studies addressing climate attribution, i.e. the question to what degree anthropogenic 137 emissions of climate forcers, in particular greenhouse gases, have induced changes in the climate-138 related systems. While climate attribution is mainly confronted by the challenge of separating the 139 anthropogenically forced changes from the internal variability of the climate-related systems, the focus 140 of climate impact attribution is on separating the impacts of observed changes in these climate-related 141 systems from the effects of other direct (human) drivers of changes in the considered natural, human 142 or managed systems. 'Observed changes in the climate-related systems' does not necessarily imply 143 'changes induced by anthropogenic climate forcing', but only means 'any long-term trend' in line with 144 the IPCC definition of climate change (see Glossary of the AR5 (IPCC, 2014) and AR6 (Matthews et 145 al., 2021)).¶ 146 Impact attribution studies usually face the problem that the counterfactual baseline assuming no long-147 term changes in the climate-related systems cannot be observed (see (Hansen et al., 2016) for 148 examples). However, impact models such as the ones participating in ISIMIP are well suited to simulate 149 this baseline. As the impact models usually account not only for the changes in climate or the climate-150 related systems but also for direct human forcings such as land use and irrigation changes, changes in 151 water and agricultural management, population distributions etc. (see Table 1 for a comprehensive list 152 of direct human forcings provided within ISIMIP3a) they are ideal tools to address the attribution 153 guestion: In line with the IPCC definition it requires the comparison of a factual simulation based on the 154 observed variations in the climate-related and direct human drivers to a counterfactual simulations 155 where only the climate-related forcings are replaced by counterfactual versions where long-term trends 156 have been removed. While the factual simulations correspond to the evaluation runs within ISIMIP3a 157 (see section 2.1), the protocol now also includes the counterfactual simulations based on the newly 158 generated counterfactual data sets derived from observational data of climate and coastal water levels 159 (see sections 2.2 for the associated concept and scenario design and Table 3 for a comprehensive list 160 of the counterfactual climate and sea level forcing data that are described in more detail in section 3.1 161 and 3.3, respectively). To allow for an attribution of 'observed changes in natural, human, and managed 162 systems' in contrast to an attribution of simulated changes it has to be demonstrated that the processes 163 represented in the impact model can explain the observed changes in the affected system, i.e. it has to 164 be shown that the model forced by observed changes in the climate-related systems and accounting 165 for the historical development of direct (human) forcings is able to reproduce the observed changes in 166 the affected system. In this way the attribution exercise is closely linked to the ISIMIP3a evaluation 167 exercise. Thereby, models can either explicitly represent known changes in non-climate drivers such 168 as known adjustments of fertiliser input or growing seasons (explicit accounting for non-climate drivers) 169 or implicitly account for their potential contributions by e.g., allowing for non-climate related temporal 170 trends in empirical models as often done in empirical approaches (implicit accounting for non-climate 171 drivers).¶

172 While the default attribution experiment in ISIMIP3a is designed for the attribution of observed changes 173 in human, natural, and managed systems to observed change in the climate-related systems in 174 combination (in the current ISIMIP3a setting this is changes in atmospheric climate forcing in 175 combination with changes in atmospheric CO2 and CH4 concentrations, see Table 3), the protocol also 176 includes a sensitivity experiments that allow for the quantification of the influence of increasing CO2 177 concentrations separately and for an attribution of observed changes in natural, human and managed 178 systems to historical changes in atmospheric CO2 concentrations only (see section 2.1). Here, we 179 consistently define 'an observed impact of a change in any component of the historical forcing as the 180 difference between the observed state of the system to a counterfactual world where only this specific 181 component of the forcing has not changed. So the 'observed impact of increasing CO2 concentrations' 182 is approximated by the difference between a full forcing run and a run where CO2 concentrations are 183 held constant. This is different from the 'CO2 only' experiment considered within TRENDY (Trends in 184 the land carbon cycle, (Sitch et al., 2015, Protocol - TRENDY, 2023)) where the pure effect of increasing 185 CO2 concentrations on the terrestrial carbon cycle (e.g. net biome production) is estimated by 186 simulations where the Dynamic Global Vegetation Models (as participating in the biomes sector of 187 ISIMIP) are forced by the observed increases in CO2 concentrations but a time-invariant "pre-industrial" 188 climate and land use mask. In the above sense, other ISIMIP3a experiments can also be considered 189 counterfactual baseline experiments that allow for the attribution of observed changes in human, 190 natural, or managed systems to changes in the direct human forcings as a whole (DHF set to zero or 191 fixed at 1901 and 2015 levels) or to changes in individual components such as changes in water 192 management, irrigation patterns, and riverine influx of nutrients into the ocean (see section 2.1 and 193 Table 2). The attribution to changes in direct human forcings is e.g. similar to the comparison of the full 194 forcing run within TRENDY to the 'CO2 and climate only' run where climate change and atmospheric 195 CO2 concentrations are prescribed according to observations but land use changes are held constant 196 to quantify the contribution of this direct human forcing to observed changes in the carbon cycle for the 197 annual report of the Global Carbon Project (e.g. (Friedlingstein et al., 2022)). However, in this paper 198 the term 'impact attribution' is used as a short form of 'attribution of observed changes in natural, human 199 and managed systems to observed changes in the climate-related systems' which is the focus of the 200 ISIMIP3a experiments. In other cases the driver to which the changes are attributed is explicitly named. 201 In addition to ISIMIP3a, there are other model intercomparison projects that address different kinds of 202 attribution questions such as Land Use Model Intercomparison Project (LUMIP, (Lawrence et al., 2016)) 203 and Detection and Attribution Model Intercomparison Project (DAMIP, (Gillett et al., 2016)) embedded 204 into the sixth phase of the Coupled Model Intercomparison Project (CMIP6). While the phase 2 LUMIP 205 experiments include historical climate model simulations to quantify the contribution of historical land 206 use changes to observed climate change, the AMIP protocol include a counterfactual 'no anthropogenic 207 climate forcing' baseline to attribute observed changes in climate to anthropogenic climate forcings. 208 209 The development of the_-ISIMIP3-protocol was coordinated by the ISIMIP-Cross-Sectoral Science

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212 was initiated by a proposal for the main research questions to be addressed and an associated scenario 213 set-up accounting for suggestions collected in a stakeholder engagement process (Leieune et al., 214 2018). Following ISIMIP's mission and implementation document (ISIMIP Coordination Team, Sectoral 215 Coordinators, Scientific Advisory Board, 2018), the basic proposal was approved by the ISIMIP strategy 216 group at the cross-sectoral ISIMIP workshop in Potsdam, September 2018 (Outcomes of the ISIMIP 217 Strategy Group Meeting, 2023). Thereby the CSST and the sectoral coordinators were tasked to 218 translate the decisions into a cross-sectorally consistent simulation protocol and to generate, pre-219 process or collect the required climate-related and direct human forcing data. The provided forcing data 220 sets (e.g. the climate variables or components of atmospheric composition or types of land use) is very 221 much demand driven. The data we describe here represent a core set that is sufficient for the range of 222 models participating so far (see ISIMIP output data table (ISIMIP Output Data Table, 2023) that also 223 provides information about the input data used by the individual models) but may be extended if there 224 were further demands. This paper presents the results of this process and the motivation and reasoning 225 behind the individual steps for ISIMIP3a, while a follow-upnother paper will provides the same 226 information for ISIMIP3b dedicated to future-impact projections based on climate model simulations 227 (Frieler, submitted 2023). It provides the point of reference for modelling modelling teams interested in 228 participating in ISIMIP3a but also for users of the impact simulation data, which become freely 229 accessible according to the ISIMIP terms of use (ISIMIP terms of use, 2023). The paper is accompanied 230 by a simulation protocol (ISIMIP3 simulation protocol, 2023) providing all technical details such as file 231 and variable naming conventions and sector-specific lists of output variables to be reported by the 232 participating modelling teams. The ISIMIP3 simulation round was officially started on 21st February 233 2020¹ with the release of the associated protocol. Since then, the protocol has already received some 234 updates through the addition of output variables, correction of errors, and inclusion of new sectors. This 235 paper refers to the protocol version of 14th January 2023. However, the protocol may still receive 236 updates similar to the ones mentioned above. Impact modellers interested in contributing to ISIMIP 237 should therefore refer to (ISIMIP3 simulation protocol, 2023) for the most up to date version for planned 238 impact model simulations. The protocol landing page (protocol.isimip.org) includes a unique version 239 identifier (the commit hash) that links to the latest protocol version on github for traceability.

241 In the second round of ISIMIP the observation-based model evaluation part (ISIMIP2a) was temporally 242 separate from the climate model-based second part (ISIMIP2b, (Frieler et al., 2017). This has led to 243 inconsistencies in the models and model versions contributing to ISIMIP2a and ISIMIP2b. Also, not all 244 models providing future projections within ISIMIP2b also provided model evaluation runs for ISIMIP2a. 245 To avoid this problem and ensure that each model's set of future projections is accompanied by 246 associated historical simulations allowing for model evaluation, in the third simulation round (ISIMIP3), 247 the ISMIP3a and ISIMIP3b protocols were released together and participating in ISIMIP3 means 248 contributing to ISIMIP3a and ISIMIP3b using the same impact model versions.

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¹ announced via email to the ISIMIP mailing list from 21st February 2020

250 In the following section 2 of this paper, we provide the comprehensive list of all ISIMIP3a model 251 evaluation and sensitivity experiments (see Table 2 within section 2.1) and the counterfactual 'no 252 climate change' experiments (see Table 4 within section 2.2) describe the rationale behind the scenario 253 set-ups. Detailed description of the climate-related forcing data sets (see CRF section of Table 1 in 254 section 2.1 and Table 3 in section 2.2) are provided in the third section: atmospheric climate data 255 (see section 3.1); tropical cyclone data (see section 3.2); coastal water levels (see section 3.3), and the 256 ocean data (see section 3.4). Section 4 presents the ISIMIP3a direct human forcing data sets (see DHF 257 section of Table 1), comprising population data (see section 4.1), gross domestic product (see section 258 4.2), land use and irrigation patterns (see section 4.3), fertiliser inputs (see section 4.4), land 259 transformations (see section 4.5), nitrogen deposition (see section 4.6), crop calendar (see section 260 4.7), dams and reservoirs (see section 4.8), fishing intensities (see section 4.9), regional forest 261 management (see section 4.10), and desalination (see section 4.11).

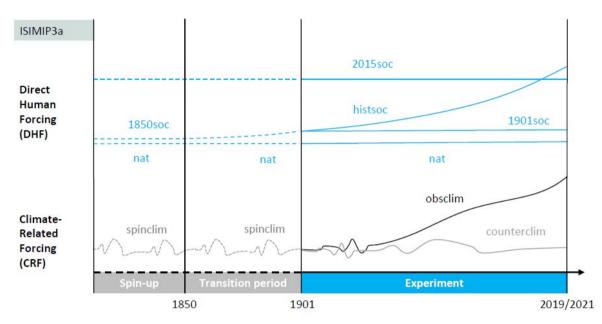
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264 **2 Experiments and underlying rationale**

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266 ISIMIP3a includes a core ('default') set of experiments that are specified by a specific set of underlying 267 climate-related forcings and direct human forcings that have to be indicated in the file names when 268 submitting simulation data to the ISIMIP repository. In the following we first introduce these default 269 experiments by defining the combination of both types of forcing data sets. In the subheadings naming 270 the experiments the associated CRF and DHF specifiers to be used in the file names are indicated in 271 brackets where the third sensitivity specifier is set to 'default' (CRF specifier + DHF specifier, default). 272 The different combinations of the default sets of ISIMIP3a CRFs ('obsclim', 'counterclim') and DHFs 273 ('histsoc', '2015soc', '1901soc', '1850soc', 'nat') are sketched in Figure 1 and defined in more detail 274 below (see Table 1 for the default 'obsclim' CRF and the default DHFs and Table 3 for the 'counterclim' 275 CRF). Some of the forcing data sets are mandatory: i.e. if impact models account for the forcing, the 276 specified dataset must be used; if an alternative input data set is used instead, the run cannot be 277 considered an ISIMIP simulation. We also provide 'optional' forcing data that could be used but are not 278 'mandatory' in the above sense (see second column of **Table 1** and **Table 3**). In addition, the protocol 279 includes a set of sensitivity experiments that are described as deviations from the default runs and 280 labelled by the baseline CRF and DHF settings and <u>the</u> third specifier <u>then</u> indicating the deviation from 281 this default setting instead of being set to 'default'. The ISIMIP3a sensitivity runs include experiments 282 with high-resolution climate forcing ('30arcsec', '90arcsec', '300arcsec', or '1800arcsec'), fixed levels 283 of atmospheric CO₂ concentrations ('1901co2'), a scenario assuming no water management 284 ('nowatermgt'), simulations excluding the occurrence of wildfires ('nofire'), keeping irrigation patterns at 285 1901 levels ('1901irr'), and assuming fixed 1955 riverine inputs of freshwater and nutrients into the 286 ocean ('1955-riverine-input') (see Table 2). Table 2 and Table 4 providing the comprehensive list of all 287 'obsclim' and 'counterclim'-based experiments, respectively, also indicate the priority of the experiments 288 where '1st priority' means that modellers should focus on this set of experiments if their capacities were 289 limited and they wanted to limit the set of experiments. However, this is just an indication trying to ensure

- 290 the generation of a small set of experiments that is covered by as many impact models as possible. If
- 291 an impact modeller can only do part of the first priority set-up or has to start from second priority
- 292 simulations these fragmented data sets can also be submitted to the ISIMIP3a repository.
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296 Figure 1: ISIMIP3a scenario design: Illustration of the default ISIMIP3a forcing data sets. Each experiment is 297 298 299 defined by a combination of a CRF data set with a DHF data set. The considered combinations are listed in Table 2 and Table 4 and the underlying rationale is described in section 2.1 (evaluation runs based on 'obsclim' defined in Table 1) and section 2.2 (attribution runs based on 'counterclim' defined in Table 3). Table 1 also lists all data 300 sets defining the 'histsoc' DHF. Solid lines indicate the part of the experiments that should be reported while the 301 dashed lines illustrate the different spin-up procedures for the models that require a spin-up. Note that the oceanic 302 climate-related forcing for the marine ecosystems and fisheries sector is only available for 'obsclim' and the period 303 1961-2010, i.e. the actual experiments only start from the year 1961. The associated spin-up procedure and the 304 simulations set-up for a transition period are not illustrated in the Figure but described below for the 'obsclim + 305 histsoc, default', 'obsclim + nat, default', 'obsclim + histsoc, 60arcmin', and 'obsclim + nat, 60arcmin' experiments 306 considered in this sector. 307

- 308 2.1 Model evaluation and sensitivity experiments based on observed CRFs ('obsclim')
- 309 The experiments described in this section are all based on observational (factual) climate data, coastal
- 310 water levels, and atmospheric CO2 as well as CH4 concentrations including observed trends. The only
- 311 exception are the sensitivity experiments where CO2 concentrations are fixed at 1901 levels
- 312 (<u>(1901co2)</u>. However, as these experiments only deviate in this one aspect from the factual CRF they
- are also described by the 'obsclim' CRF specifier but the '1901co2' sensitivity specifier to indicate the
- 314 deviation. So all experiments described in this section share the common 'obsclim' CRF specifier in the
- 315 file names. In contrast, all experiments described in section 2.2 can be identified by the 'counterclim'
- 316 specifier in the names of the output files containing the impact model simulations. ¶
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- 318 2.1.1 Default evaluation experiments based on observed CRFs ('obsclim')¶
- 319 In this first part of section 2.1 we describe the default ISIMIP3a experiments (sensitivity specifier in the
- 320 file names set to 'default') that are based on the standard observed climate-related forcings ('obsclim',

see CRF part of **Table 1**) in combination with different assumptions regarding direct human forcings
 ('histsoc', '2015soc', '1901soc', and 'nat') illustrated in Figure 1.

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Standard evaluation experiment-using observed variations of direct human forcings (obsclim + histsoc; default). The first set of observation-based simulations is dedicated to impact model evaluation, i.e., to test our ability to reproduce and explain observed long-term changes or variations in impact indicators such as crop yields, river discharge, changes in natural vegetation carbon, vegetation types, and peatland moisture conditions. To this end, we provide the climate-related ('obsclim'), direct human ('histsoc'), and static geographical forcings listed in Table 1. They are and-described in more detail in sections 3 and 4.

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332 For impact model simulations that require a spin-up to e.g. balance carbon stocks, 100 years of climate 333 data ('spinclim') are provided that represent stable 1900 climate conditions. The spinclim data is 334 equivalent to the first 100 years of the counterfactual climate data that are described in section 3.1. If 335 more than 100 years of spin-up are needed, the spinclim data can be repeated as often as needed. For 336 the spin-up, CO₂ concentrations and direct human forcing should be kept constant at 1850 levels. To 337 get to the historical reporting period starting in 1901, modellers should simulate a transition period from 338 1850 to 1900 using spinclim climate data and the observed increase in CO₂ concentrations and 339 historical changes in socioeconomic forcings (from 1850-1900).

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The temporal coverage of the evaluation experiment is limited to 1961-2010 in the *marine ecosystems* and fisheries sector due to the availability of reanalysis-based oceanic forcing data (Liu et al., 2021). As spin-up + transition period for the 'obsclim + histsoc, default' experiments starting in 1961 the models should be run through six cycles of 1961-1980 '1955-riverine-input' CRFs (120 years, see **Table 1**) assuming reconstructed fishing efforts from 1861-1960 and constant 1861 levels before during 1841-1860 (see **Table 1** and **Figure 3** in section **4.9**). If more years of spin-up are required, additional cycles of the 1961-1980 '1955-riverine-input' CRFs should be added, assuming constant 1861 fishing efforts.

Table 1: Climate-related, direct human, and static geographic forcing data provided for the model evaluation and sensitivity experiments within ISIMIP3a. The CRFs are grouped according to the definition of the default 'obsclim' CRF <u>(30 arcmin for the atmospheric data and 15 arcmin for the oceanic data)</u>, the higher resolution '30arcsec', '90arcsec', '1800arcsec' atmospheric CRF, the lower resolution '60arcmin' oceanic CRF, and the '1955-riverine-input' oceanic CRF for the sensitivity experiments. The listed set of DHFs defines the 'histsoc' set-up.

Forcing	Status	Source, description		
Climate-Related Forcings ('obsclim')				
Atmospheric forcings				
Standard observation-basedmandatoryGSWP3-W5E5, 20CRv3-W5E5, 20CRv3-ERA5, 20see section 3.1		GSWP3-W5E5, 20CRv3-W5E5, 20CRv3-ERA5, 20CRv3, see section 3.1		

atmospheric climate forcing			
Local atmospheric climate forcing for lake locations	mandatory	Atmospheric data extracted from the data sets above for 72 lakes that have been identified within the <i>lake</i> sector as locations (grid cells of the ISIMIP 0.5° grid) where models can be calibrated based on observed temperature profiles and hypsometry (Golub et al., 2022, https://www.isimip.org/gettingstarted/input-data-bias- adjustment/isimip3-local-lake-sites/).	
Tropical cyclone tracks <u>, as well as and</u> wind <u>and</u> <u>precipitation</u> fields	mandatory	Tracks from IBTrACS database (period <u>1950</u> 1841-2021; (Knapp et al., 2010). Wind <u>and precipitation</u> fields calculated by Holland model (Holland, 1980, 2008), see section 3.2	
Lightning	mandatory	Satellite-based (1995-2014) climatology of monthly flash rates (number of strokes km-2 d-1 on 0.5° grid (Cecil, 2006)	
Oceanic forcings			
Standard observation-based oceanic forcing data	mandatory	GFDL MOM6/COBALTv2 simulations driven by reanalysis- based atmospheric forcing (Liu et al., 2021) , see section 3.4	
Regional oceanic climate forcing for regional <i>marine</i> <i>ecosystems</i> and <i>fisheries</i> sector	mandatory	Extraction from data set above for 21 regional marine ecosystems <u>associated with the interests identified by the</u> <u>modelling groups</u> (<u>https://www.isimip.org/gettingstarted/input-data-bias-</u> <u>adjustment/isimip3-ocean-regions/</u>). The extraction has been done for individual layers (ocean surface or bottom) and a subset of the variables that have been integrated along the ocean column (see Table 8).	
Coastal water levels			
Coastal water levels	mandatory	Hourly coastal water levels with long-term trends-for impact attribution, see section 3.3	
Atmospheric composition			
Atmospheric CO2	mandatory	1850-2005: (Meinshausen et al., 2011); 2006-2021: Global	

	r	
concentration		annual CO2 from NOAA Global Monthly Mean CO2; (Lan et
		al., 2023; Büchner and Reyer, 2022)
Atmospheric CH4	mandatory	1850-2014: (Meinshausen et al., 2017); 2015-2021:
concentration		(Büchner and Reyer, 2022; Lan et al., 2023)
		ty experiments (30arcsec, 90arcsec, 300arcsec, 1800arcsec,
60arcmin, and 1955-riv	/erine-input), id	lentical to 'obsclim' except for:
Atmospheric forcings (30arcsec, 90ar	csec, 300arcsec, 1800arcsec)
High resolution	mandatory	see section 3.1 for a description of the CHELSA method
observation-based		applied to downscale the W5E5 observation-based
atmospheric forcing		atmospheric data to 30". The data is then upscaled to 90"
data		· · · · · · · · · · · · · · · · · · ·
		provide the forcings for additional sensitivity experiments.
Oceanic forcings (60ar	cmin)	
Low resolution	mandatory	GFDL MOM6/COBALTv2 simulations (1961 - 2010) driven
observation-based		by reanalysis-based atmospheric forcing (Liu et al., 2021)
oceanic forcing data		upscaled to 1°, see section 3.4
Oceanic forcings (1955	5-riverine-input	
		/
Observation-based	mandatory	GFDL MOM6/COBALTv2 simulations (1961 - 2010) driven
oceanic forcing data		by reanalysis-based atmospheric forcing (Liu et al., 2021),
but assuming		but fixed climatological 1951 to 1958 levels of freshwater
climatological 1951		input and input of nutrients inputsand pollutants, see section
to 1958 levels of		3.4
riverine input		
Direct Human Forcing	('histsoc')	
Population data¤	mandatory¤	see section 4.1¤
	mandatory	
<u>GDP data¤</u>	mandatory¤	see section 4.2 ^m
Land use and	mandatory	HYDE-based irrigated and rainfed cropland downscaled to
irrigation		up to 15 crops, managed pasture and grassland, and urban
_		areas, see section 4.3
<u>N-fertiliser inputs</u>	<u>mandatory¤</u>	see section 4.4 ^m

Wood harvest	optional	Historical annual country-level wood harvesting data based on the LUH ² v2 ^h Harmonization Data Set (del Valle et al., 2022; Hurtt et al., 2011, 2020, Land use harmonization, 2023), see section 4.5	
Land transformation	mandatory	Historical annual land-use transformation data, based on the LUH v2h Harmonization Data Set (Hurtt et al., 2011, 2020, Land use harmonization, 2023), see section 4.5	
N-fertiliser inputs	mandatory	see section 4.4	
N-deposition	optional	(Yang and Tian, 2020; Tian et al., 2018) ; see section 3.6	
Crop calendar	optional	Observation-based representation of recent average planting and maturity dates not accounting for changes over time (Jägermeyr et al., 2021a), see section 4.7	
Dams and reservoirs	optional	see section 4.8	
Lake and reservoir surface area¤	optional¤	Total lake and reservoir area fractions (percentage of grid cell) calculated from the HydroLAKES v1.0 (Messager et al., 2016) and GRanDv1.3 databases (Lehner et al., 2011b) mapped to 0.5 degrees resolution. Areas increase with time because of the increasing number of reservoirs documented in GRanDv1.3. Reservoirs from 2017 onwards are kept constant. This data set differs from the lake surface areas provided as static geographic forcing (see below) which describe the surface area of one representative lake per grid cell and does not change over time. ¤	
Water abstraction	optional	For modelling groups that do not have their own representation, we provide files containing the multi-model mean of domestic and industrial water withdrawal and consumption generated by the WaterGAP, PCR-GLOBWB, and H08 models (1850-2021). This data is based on ISIMIP2a 'varsoc' simulations for 1901-2005 and extended by SSP2-basedRCP6.0 simulations from the Water Futures and Solutions project up to 2021 (Wada et al., 2016b). Years before 1901 have been filled with the value for year 1901.	
Marine fishing effort	mandatory	Observation-based reconstruction of fishing effort spanning	

		1841-2010 (Rousseau et al., 2022) based on (Rousseau et al., submitted 2023); see section 4.9 The climate-related forcing for the <i>marine ecosystems and</i> <i>fisheries</i> sector is only available for 1961-2010, but the spin- up procedure also requires fishing efforts for the earlier years (see description of the procedure for the 'obsclim + histsoc; default' scenario above).
Dams and reservoirs	optional	see section 4.8
Water abstraction	optional	For modelling groups that do not have their own representation, we provide files containing the multi-model mean of domestic and industrial water withdrawal and consumption generated by the WaterGAP, PCR-GLOBWB, and H08 models (1850-2021). This data is based on ISIMIP2a 'varsoc' simulations for 1901-2005 and extended by <u>SSP2-based</u> RCP6.0 simulations from the Water Futures and Solutions project up to 2021 (Wada et al., 2016b). Years before 1901 have been filled with the value for year 1901.
Lake and reservoir surface area	optional	Total lake and reservoir area fractions (percentage of grid cell) calculated from the HydroLAKES v1.0 (Messager et al., 2016) and GRanDv1.3 databases (Lehner et al., 2011b) mapped to 0.5 degrees resolution. Areas increase with time because of the increasing number of reservoirs documented in GRanDv1.3. Reservoirs from 2017 onwards are kept constant. This data set differs from the lake surface areas provided as static geographic forcing (see below) which describe the surface area of one representative lake per grid cell and does not change over time.
Forest management	mandatory	Observed stem numbers, thinning type, planting numbers from and common management practices for 9 forest sites in Europe (Reyer et al., 2020b),(Reyer et al., 2023), see section 4.10
Population data	mandatory	see section 4.1
GDP data	mandatory	see section 4.2

Static geographic forci	Static geographic forcing		
Lake volume at different depths	optional	The gridded data set describes the volume at different depths of one hypothetical lake representing the typical characteristics of all real lakes in the grid cell according to the GLOBathy (Khazaei et al., 2022; Messager et al., 2016) and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016) datasets (Golub et al., 2022). Each hypsographic curve consists of 11 data pairs. Level refers to the depth of the lake taking the lake bottom as the reference. Volume is the volume at the corresponding level.	
Lake area at different depths	optional	The gridded data set describes the lake area at different depths of one hypothetical lake representing the typical characteristics of all real lakes in the grid cell according to the GLOBathy (Khazaei et al., 2022; Messager et al., 2016)and HydroLAKES (Khazaei et al., 2022; Messager et al., 2016) datasets (Golub et al., 2022). Each hypsographic curve consists of 11 data pairs. Level refers to the depth of the lake taking the lake bottom as the reference.	
Lake elevation	optional	The gridded data set provides the elevation above sea level for the representative lakes described above. The information is derived from HydroLAKES v1.0 (Messager et al., 2016).	
Maximum lake depth	optional	Gridded data set that provides the maximum depth for the representative lakes described above and derived from GLOBathy (Khazaei et al., 2022). We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	
Lake depth	optional	Gridded data set that provides the mean depth for the representative lakes as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.	

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Lake volume	optional	Gridded data set of volume (km ³) for representative lakes described above as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.
Lake surface area	optional	Gridded data set of surface area for the representative lakes described above as calculated from GLOBathy and HydroLAKES v1.0 (Khazaei et al., 2022; Messager et al., 2016). As opposed to the "Lake and reservoir surface area" listed above under "Direct human forcing", this data set refers to one specific lake associated with each grid cell, and the corresponding surface area does not change over time. We recommend using the area or volume hypsographic curves described above as inputs for your lake model. Use this file only if your lake model does not accept a full hypsographic curve as an input.
HydroLAKES ID	optional	HydroLAKES reference to relate HydroLAKES and GLOBathy database fields to the representative lakes described above. This dataset contains IDs of the 41449 representative lakes used in ISIMIP, which are a subset of the about 1.4 million lakes contained in the HydroLAKES and GLOBathy database.
HydroLAKES IDs for big lakes	optional	This dataset is analogous to the one above, but only contains IDs of 93 large lakes. It can be used to produce global plots with conspicuous large lakes. To be used together with the file storing the big lakes mask.
Big lakes mask	optional	This dataset indicates the 0.5° grid cells actually occupied by each of the 93 large lakes, which can be larger than a single grid cell. It can be used to produce global plots with conspicuous large lakes. To be used together with the big lakes IDs in the dataset above.
Drainage direction map for river routing	optional	Includes for each grid cell a basin number, flow direction, and slope. Source: ISIMIPddm30 (Müller Schmied, 2022)

		based on DDM30 (Döll and Lehner, 2002)
Soil data optional		Gridded soil characteristics have been generated within the Global Soil Wetness Project (GSWP3) (Dirmeyer et al., 2006; van den Hurk et al., 2016, Global soil wetness project phase 3 — GSWP3 documentation, 2023) and have already been provided within ISIMIP2a.
		Alternatively, we also provide maps of the dominant soil types (i.e., the type covering the largest fraction of the cell of the topmost soil layer) within each ISIMIP grid cell and the dominant soil types on the agricultural land within each ISIMIP grid cell. Both maps were derived from the Harmonized World Soil Database (HWSD Version 1.1, 2009) assuming that soil types are evenly distributed within the ISIMIP grid cells. We have used version 1.12 of the HWSD data at high resolution (30 arcsec). Information about the fraction of agricultural land within each ISIMIP 0.5°×0.5° grid cell was taken from MIRCA2000 (Portmann et al., 2010). If there is no soil information for an ISIMIP grid cell, e.g. due to differing land-sea-masks, the information from neighbouring cells is used. For further details please see GGCMI-HWSD (2023).
Land-sea mask	optional	We provide the binary land-sea mask of the W5E5 dataset. It is a conservative land mask where grid cells that in reality cover both land and ocean are counted as ocean. Thus, climate conditions over the land grid cells of this land-sea mask can be safely assumed to represent climate conditions over land rather than a mix of climate conditions over land and ocean. This refers to all climate datasets based on W5E5, i.e. GSWP3-W5E5 and 20CRv3-W5E5 of ISIMIP3a and the ISIMIP3b climate forcing that has been bias- adjusted using W5E5. The mask is also provided in a version without Antarctica. In addition, the generic land-sea mask from ISIMIP2b is provided to be used for global water simulations in ISIMIP3. It marks more grid cells as land than the main mask described above (Lange and Büchner, 2020).

Sea floor depth	optional	Grid cell level ocean depth in metres of GFDL-MOM6- COBALT2 data in 0.25 and 1° horizontal resolution
Binary country mask	optional	Binary country map on a 0.5° x 0.5° latitude-longitude grid
Fractional country mask	optional	Fractional country map on the ISIMIP 0.5° x 0.5° grid. This is the map that has been used to calculate the national data for ISIpedia (isipedia.org) and to e.g. prepare the national population and GDP data provided within ISIMIP3 (see sections 4.1 and 4.2).
Large Marine Ecosystem masks	mandatory	Binary masks available at 0.25°, 0.5°, and 1° resolution (Sherman, 2017).
Regional Marine Ecosystem masks	optional	Binary masks describing the 21 ocean regions for the regional modelling activities in the fisheries and marine ecosystems available at 0.25° and 1° resolution. These masks have been used for the ocean forcing data extractions (see CRF part of this table).

356 Fixed 2015 direct human forcing (obsclim + 2015soc; default). To allow for the quantification of the 357 effect of historical changes in direct human forcings, ISIMIP3a also contains an experiment where all 358 direct human forcings are held constant at year 2015 levels. The difference between the evaluation run 359 described above and this baseline simulation can be considered the impact of changes in direct human 360 forcings. In this sense the experiment allows for the attribution of observed changes in the natural, 361 human, and managed systems to changes in DHF after 2015. In addition, the simulated changes in 362 models' output variables can be considered the 'pure effects of climate-related forcings', conditional on 363 present-day socio-economic conditions. The experiment is also introduced because not all impact 364 models can account for varying direct human forcings but rather assume fixed 'present day' conditions. 365 All modelling teams are asked to do this experiment even if they are able to account for varying direct 366 human forcings to generate one set of impact simulations that can be integrated across all participating 367 models from different sectors or where all simulations from one sector can be compared. If a spin-up is 368 required, it should be based on the 'spinclim' data as described above but fixed 2015 direct human 369 forcings.

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371 ¶

372 Impact of historical changes in direct human forcings - Fixed 1901 direct human forcing baseline 373 (obsclim + 1901soc; default). Fixing direct human forcings at 1901 levels is an alternative approach 374 to quantify i) the effects of direct human forcings when comparing these baseline simulations to the 375 evaluation run and ii) the 'pure effect of observed change in climate-related systems', conditional on 376 socio-economic conditions observed before the onset of this change. As such the experiment is the 377 counterfactual baseline when aiming for the attribution of observed changes in natural, human, and 378 managed systems to observed changes in direct human forcings instead of the attribution to observed 379 changes in the climate-related systems based on the analogous 'counterfactual + histsoc, default' 380 experiment described in section 2.2. Both experiments consider changes in direct human forcings or 381 climate-related systems from 1901 levels, respectively. Because of the low levels of direct human 382 forcings in 1901, this experiment is similar to the sector-specific 'nat' experiment that includes no direct 383 human forcings whatsoever (see below). However, while the fully naturalised 'nat' run is suitable for the 384 dynamic vegetation models from the *biomes* sector that simulate land cover by vegetation on their own, 385 models in other sectors need land cover as an input. As this information is not available for pristine 386 conditions, we introduce the 1901soc scenario such that models in the water sector can use land cover 387 data approximately representative of 1901 conditions to describe a situation with minor human 388 influences. If a spin-up is required, it should be based on the 'spinclim' data as described above but 389 fixed 1901 direct human forcings.

391 Impact of direct human forcings - No direct human forcing baseline (obsclim + nat; default). To 392 estimate the full effect of 2015 levels of DHF we also introduce a baseline 'nat' experiment that does 393 not consider any DHFs but a natural state of the world. Then the difference to the 'obsclim + 2015soc, 394 default' experiment can be considered the effect of 2015 levels of DHF. The comparison to the 'obsclim 395 + histsoc, default' experiment allows for the attribution of observed changes in the natural, human, and 396 managed systems to historical changes in the DHF. TIn addition, trends in the 'obsclim + nat; default' 397 run only represent the impacts historical changes in the climate-related forcings would have had on an 398 otherwise natural state of the world. While the '1901soc' conditions may be similar to 'nat' conditions, 399 trends in the 'obsclim + 1901soc; default' run may not only be induced by historical changes in the CRFs but could also represent lagged responses to changes in DHFs during the transition period. The 'nat' 400 401 experiment can also be used to quantify the natural carbon sequestration potential of natural vegetation 402 without any management or land-use as an important counterfactual baselinedata set to assess the 403 additionality of carbon sequestration measures. The 'nat' experiment is sector-specific for the biomes, 404 peat and marine ecosystems and fisheries sectors. If a spin-up is required in the biomes and peat 405 sector, it should be based on the 'spinclim' data as described above but assuming no direct human 406 forcings. In the marine ecosystems and fisheries sector the spin-up should be based on the '1955 407 riverine input' CRF as described for 'obsclim + histsoc, default' section but assuming no DHF, i.e. no 408 fishing efforts.

409

390

410 <u>2.1.2 Sensitivity experiments based on observed CRFs ('obsclim')</u>

411 This second part of section 2.1 is dedicated to the different sensitivity experiments described as

412 deviations from the default cases described in section 2.1.1. Instead of the 'default' specifier, all

413 experiments described here are labelled by a sensitivity specifiers indicating their deviation from the

- 414 default cases. The experiments listed here are not explicitly depicted in Figure 1. ¶
- 415 👖

High and low resolution sensitivity experiments (obsclim + histsoc; 30arcsec, 90arcsec, 300arcsec, 1800arcsec, and 60arcmin). To test whether high resolution atmospheric climate data improve the climate impact model simulations, we also provide observational atmospheric forcing data at 30" ('30arcsec'), 90" ('90arcsec'), and 300" ('300arcsec') resolution as well as atmospheric forcings at the original 1800" resolution but derived from the 30" (~1 km) data ('1800arcsec'). In addition, the oceanic data (original resolution of 0.25°) is upscaled to 1° to also test the sensitivity of the impact simulations to this modification ('60arcmin').

423 The 30" atmospheric data (1979-2016) is derived from by a topographic downscaling of the 424 observational W5E5 data (resolution of 0.5°) that particularly corrects for systematic effects induced by 425 orographic details not represented in global reanalyses (CHELSA-W5E5, see section 3.1). The data 426 set comprises daily mean precipitation, daily mean surface downwelling shortwave radiation, daily 427 mean near-surface air temperature, daily maximum near surface air temperature, daily minimum near 428 surface air temperature (see **Table 5**). We additionally provide simple approaches to downscale surface 429 downwelling longwave radiation, near-surface relative humidity, air pressure and near-surface wind 430 speed (see section 3.1). Given the considerable storage capacities required by daily 1 km x 1 km data 431 and constraints on data handling and download, we also aggregate the CHELSA-W5E5 data to 90" (~3 432 km), 300" (\sim 10 km) and 1800" = 0.5° (\sim 60 km) to determine which resolution is required to improve the 433 impact model simulations compared to observed impact indicators. The evaluation of these historical 434 sensitivity experiments will inform future downscaling activities for the GCM climate forcing data 435 including future projections. The '1800arcsec' experiment is included as a reference, as the aggregated 436 CHELSA-W5E5 data differ from the standard W5E5 data at the same resolution (see section 3.1). So 437 far the experiments have been added to the agriculture, lakes, global and regional water, regional 438 forests, terrestrial biodiversity, and labour protocol. However, they may be added to other sectors, too. 439 The inclusion of the experiment is only constrained by the restricted set of variables included in 440 CHELSA-W5E5. We do not provide spin-up data for the experiments. This means that models requiring 441 a spin-up currently cannot perform the experiments. We will work on a solution on demand.

442 In contrast to the experiment testing the sensitivity of the impact simulations to a higher resolution of 443 the atmospheric CRFs, the associated sensitivity experiment for the marine ecosystems and fisheries 444 sector is not based on higher but on lower resolution oceanic data. While the default 'obsclim' oceanic 445 forcing data is derived by interpolating the observation-based historical ocean simulations from a tri-446 polar 0.25° grid to a regular 0.25° grid (see section 3.4), the CRFs for the sensitivity experiment are 447 derived by aggregating the default 'obsclim' data to a regular 1.0° grid ('60arcmin'). Evaluating the 1.0° 448 resolution is of interest because this is the resolution of the oceanic forcing data in ISIMIP3b. The low 449 resolution simulations could either start from the end of the simulations of the transition period of the 450 associated higher resolution runs ('obsclim + histsoc; default') or starting conditions could be newly 451 generated by following the 'spin-up + transition' procedure of 'obsclim + histsoc; default' experiment but 452 using the low-resolution '1955-riverine-input' CRF from the years 1961-1980.

453

Low resolution sensitivity experiment (obsclim + nat; 60arcmin). This sensitivity experiment for the *marine ecosystems and fisheries* sector is analogous to the 'obsclim + nat; default' experiment 456 described further above, but using the lower-resolution oceanic CRF ('60arcmin'). The difference 457 between this experiment and the 'obsclim + histsoc: 60arcmin' sensitivity experiment can be considered 458 the effect of the historical changes in 2015 levels of DHF as estimated using lower-resolution CRF, and 459 comparison with the same difference in the default experiments then indicates how the estimate of this 460 effect depends on the resolution of the oceanic forcing. The simulations could either start from the end 461 of the simulations of the transition period of the associated higher resolution runs ('obsclim + nat; 462 default') or starting conditions could be newly generated by following the 'spin-up + transition' procedure 463 of 'obsclim + nat, default' experiment but using the low-resolution '1955-riverine-input' CRF from the 464 vears 1961-1980.

465

466 CO₂ sensitivity experiments (obsclim + histsoc, obsclim + 2015soc, or obsclim + 1901soc; 467 1901co2). To quantify the pure effect of the historical increase in atmospheric CO₂ concentrations on 468 vegetation leaf gas exchange and follow-on effects on carbon stocks, water use efficiency, vegetation 469 distribution etc., we introduced three sensitivity experiments where atmospheric CO₂ concentrations 470 are held constant at 1901 levels (= 296.13 ppm) in contrast to the default 'obsclim + histsoc', 'obsclim 471 + 2015soc', or 'obsclim + 1901soc' experiments, respectively, where atmospheric CO₂ concentrations 472 are assumed to increase according to observations. The effect is known as CO₂ fertilisation through an 473 increase of the photosynthesis rate of plants and limited leaf transpiration (increase in water use 474 efficiency) enabling a more efficient uptake of carbon by the plants. Comparing the 'obsclim + histsoc, 475 default' experiment to the 'obsclim + histsoc, 1901soc' experiment can be considered as attributing 476 historical changes in natural, human, and managed systems to historical changes in CO2 477 concentrations as a single component of the changes in climate-related systems. The experiment is 478 included into the protocols of the agriculture, terrestrial biodiversity, biomes, fire, lakes (global and 479 local), permafrost, peat and water (global and regional) sector. A potentially required spin-up should be 480 identical to the spin-up for the associated default experiments using the transition period 1850-1900 to 481 reach the 1901 CO₂ level.

482

483 Water management sensitivity experiment (obsclim + histsoc, obsclim + 2015soc; nowatermgt). 484 In this "no water management" experiment, models are run assuming no irrigation, no human water 485 abstraction, no dams or reservoirs, and no seawater desalination, while other direct human forcings 486 such as land use changes are considered according to 'histsoc' or '2015soc'. By comparison to the 487 default experiments, the simulations allow for a quantification of the pure effects of dedicated water 488 management measures on, e.g., discharge. When comparing 'obsclim + histsoc, nowatermgt' to 489 obsclim + histsoc, default' this can be considered attributing observed changes in natural, human, or 490 managed systems to (changes in) water management-. The sensitivity experiment has been introduced 491 into the *global and regional water* sector protocols. If a spin-up is required, it should be done similar to 492 the spin-up for the associated default experiments but assuming "no water management".

493

494 Irrigation sensitivity experiment (obsclim + histsoc, 1901irr). In this "no irrigation expansion"
 495 experiment, models are run assuming irrigation extent and irrigation water use efficiencies fixed at the

496 year 1901, while other direct human forcings such as land use changes and water management 497 categories are considered according to 'histsoc' or '2015soc'. By comparison to the default experiments, 498 the simulations allow for a quantification of the pure effects of historical irrigation expansion (i.e. the 499 attribution of historical changes in natural, human, or managed systems to changes in irrigation 500 compared to 1091). The sensitivity experiment has been introduced into the global water and biome 501 sector protocols. If a spin-up is required, it should be done similar to the spin-up for the associated 502 default experiments but assuming "no irrigation expansion". This experiment is designed such that its 503 outcomes are comparable to those of the Irrigation Impacts Model Intercomparison Project (IRRMIP; 504 https://hvdr.vub.be/projects/irrmip), in which Earth System Models simulate irrigation influences on the 505 Earth system.

506

507 **No-fire sensitivity experiment (obsclim + histsoc; nofire).** In this 'nofire' experiment, fire is switched 508 off in the model simulations. In comparison to the default 'obsclim + histsoc' simulations, the historical 509 effects of fires on, e.g., carbon fluxes and vegetation distributions can be determined. The sensitivity 510 experiment has been introduced into the *fire, biomes, permafrost, and peat* protocols. The required 511 spin-up should be done similar to the spin-up for the associated default experiments but assuming no 512 fire activities.¶

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514 Fixed 1955 riverine input into the ocean sensitivity experiment (obsclim + histsoc; obsclim + nat; 1955-515 riverine-input). In this '1955-riverine-input' experiment, riverine input into the ocean (amount of 516 freshwater and nutrients) is held constant at 1955 levels. In comparison to the default 'obsclim + histsoc' 517 simulation, the experiment allows for the quantification of the impacts of historical climate-induced 518 variations in freshwater influx in combination with the climate and directly human induced changes in 519 nutrient inputs (attribution of observed changes in marine ecosystems and fisheries to long term 520 changes in riverine freshwater and nutrient inputs). The riverine inputs in the 'obsclim + nat; 1955-521 riverine-input' experiment are identical to the ones in the 'obsclim + histsoc; 1955-riverine-input', i.e. 522 the riverine inputs also account for the human contribution to the nutrient influx due to land use changes 523 and fertiliser inputs and are not 'naturalized'. Instead the 'nat' specifier in the marine ecosystems and 524 fisheries sector only means 'no fishing efforts'. Thus, the comparison to the naturalised default 525 experiment (obsclim + nat; default) not accounting for any fishing efforts to the 'obsclim + nat; 1955-526 riverine-input' experiment allows for a quantification of the contribution of climate-induced changes in 527 freshwater-influx to the overall impacts of climate change in combination with the contribution of the 528 effect of the human contribution to nutrient inputs at 1955 levels. The sensitivity experiment has been 529 introduced into the marine ecosystems and fisheries protocol. A potentially required spin-up should be 530 done similar to the spin-up for the associated default experiments but assuming riverine inputs fixed at 531 1955 levels.¶ 532

533 Table 2: ISIMIP3a evaluation and sensitivity experiments

	Period: Historical
	1901-2019
and CH₄	obsclim
s according	histsoc
and CH₄	obsclim
an forcing	2015soc
and CH₄	obsclim
an forcing	1901soc
and CH₄	obsclim
	nat
els, fixed	obsclim Sensitivity experiment: 1901co2
s according	histsoc
els, fixed	obsclim Sensitivity experiment: 1901co2
els, f	ïxed

2nd priority	DHF: Fixed 2015 levels of direct human forcing for the entire time period	2015soc
CO₂ sensitivity 1901soc 2nd priority	 CRF: Observed climate change, CH₄ concentrations and coastal water levels, fixed CO₂ concentration at 1901 level DHF: Fixed 1901 levels of direct human forcing 	obsclim Sensitivity experiment: 1901co2 1901soc
Water management	for the entire time period CRF: Observed climate change, coastal water	obsclim
Water management sensitivity	levels, and CO ₂ and CH ₄ concentrations	
histsoc 2nd priority	DHF: No accounting for water management but representation of other direct human influences such as land use changes according to "histsoc"	histsoc Sensitivity experiment: nowatermgt
Water management sensitivity	CRF: Observed climate change, coastal water levels, and CO ₂ and CH ₄ concentrations	obsclim
2015soc 2nd priority	DHF: No accounting for water management but representation of other direct human influences such as land use patterns according to "2015soc"	2015soc Sensitivity experiment: nowatermgt
Irrigation sensitivity	CRF: Observed climate change, coastal water levels, and CO ₂ and CH ₄ concentrations	obsclim
2nd priority	DHF: Fixed year-1901 irrigation areas and water use efficiencies but representation of other direct human influences such as land use changes according to "histsoc"	histsoc Sensitivity experiment: 1901irr
No-fire sensitivity	CRF: Observed climate change, coastal water levels, CO ₂ and CH ₄ concentrations	obsclim

histsoc 2nd1st priority Riverine influx sensitivity histsoc	 DHF: Varying direct human influences according to observations CRF: Observation-based oceanic forcing data, but with constant riverine nutrient and freshwater influx. 	histsoc Sensitivity experiment: nofire obsclim Sensitivity experiment: 1955-
2nd1st priority	DHF: Varying direct human influences according to observations	riverine-input histsoc
Riverine influx sensitivity nat 2nd1st priority	CRF: Observation-based oceanic forcing data, but with constant riverine nutrient and freshwater influx.	obsclim Sensitivity experiment: 1955- riverine-input
	DHF: No direct human influences	nat
H i g h - r e s o l u t i o n sensitivity, 1km histsoc	CRF: Observed high-resolution climate forcing (30"), coastal water levels, and CO ₂ and CH ₄ concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 30arcsec
2nd priority	DHF: Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 3km histsoc	CRF: Observed high-resolution climate forcing (90"), coastal water levels, and CO ₂ and CH ₄ concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 90arcsec
2nd priority	DHF: Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 12km	CRF: Observed high-resolution climate forcing (360"), coastal water levels, and CO ₂ and CH ₄ concentrations. For this experiment only 1979-	obsclim Sensitivity

histsoc 2nd priority	2016 is covered	experiment: 360arcsec
	DHF: Varying direct human influences according to observations	histsoc
H i g h - r e s o l u t i o n sensitivity, 60km histsoc	CRF: Observed climate forcings aggregated from high-resolution data, coastal water levels, CO ₂ and CH ₄ concentrations. For this experiment only 1979-2016 is covered	obsclim Sensitivity experiment: 1800arcsec
2nd priority	DHF: Varying direct human influences according to observations	histsoc
L o w - r e s o l u t i o n sensitivity, 1° in the ocean histsoc	CRF: Observation-based oceanic forcing data	obsclim Sensitivity experiment: 60arcmin
2nd priority	DHF: Varying direct human influences according to observations	histsoc
L o w - r e s o l u t i o n sensitivity, 1° in the ocean nat	CRF: Observation-based oceanic forcing data	obsclim Sensitivity experiment: 60arcmin
2nd priority	DHF: No direct human influences	nat

535 **2.2 Counterfactual baseline simulations for impact attribution ('counterclim')**

536

537 The second set of impact model simulations within ISIMIP3a is dedicated to the attribution of historical 538 changes in natural, managed, and human systems to long-term changes in climate-related systems, 539 i.e. the atmosphere, ocean and cryosphere as physical or chemical systems (see section 1). In 540 ISIMIP3a, we address attribution to changes in the climate-related systems itself, e.g., trends in 541 atmospheric temperature and precipitation, and changes in; coastal water levels, and atmospheric CO₂ 542 concentrations. The provided counterfactual forcing data comprises daily atmospheric climate derived 543 from the ISIMIP observational climate datasets (see section **3.1**); daily counterfactual coastal water

544 levels derived from the ISIMIP historical coastal water level dataset (see section 3.3); and constant 545 1901 atmospheric CO₂ and CH₄ concentrations (see **Table 3**). So far, we do not address attribution to 546 long-term changes in i) the ocean (e.g. temperature or ocean acidification changes), ii) the cryosphere 547 (e.g. glacier mass loss), and iii) tropical cyclone characteristics (e.g. trends in associated heavy 548 precipitation or wind speeds) other than the effects mediated through sea level rise. Table 3 lists the 549 climate-related forcings defining the 'counterclim' experiments. The 'counterclim' climate-related 550 forcings are combined with the observed direct human forcing to facilitate the attribution experiments 551 listed in Table 4 and explained below.

552

Forcing	Status	Source, description				
Climate-related forcings (counterclim)						
Atmospheric forcings						
Counterfactual 'no-climate change' atmospheric climate forcing	mandatory	Detrended versions of the GSWP3-W5E5, 20CRv3- W5E5, 20CRv3-ERA5, 20CRv3 data sets derived by the Attrici method, see section 3.1				
Local atmospheric climate forcing for lake location	mandatory	Atmospheric data extracted from the data sets above for 72 lakes that have been identified within the <i>lake</i> sector as locations (grid cells of the ISIMIP 0.5° grid) where models can be calibrated based on observed temperature profiles and hypsometry (depth and area).				
Tropical cyclone tracks and windfields	mandatory	We do not provide 'no climate change' TC tracks and windfields but the original tracks from the IBTrACS database (Knapp et al., 2010); period 1841-2021) windfields calculated by Holland model (Holland, 2008, 1980) should be used in combination with the counterfactual water levels to estimate the impacts of sea level rise on TC induced damages, losses or replacement, see section 3.2				
Lightning	mandatory	We do not provide 'no climate change' lightning data. Instead the original Flash Rate Monthly Climatology (Cecil, 2006) should be used in the				

553 Table 3: ISIMIP3a counterfactual climate-related forcings ('counterclim')

		'counterclim' set-up.
Oceanic forcings		
Oceanic forcing data	-	We do not provide any counterfactual oceanic forcings, i.e. there is no 'no climate change' experiment proposed for the <i>marine ecosystems</i> and fisheries sector.
Coastal water levels¤		
Coastal water levels¤	<u>mandatory¤</u>	<u>Counterfactual monthly (1901 - 1978) and hourly</u> (1979 - 2015) coastal water levels where long-term trends have been removed, see section 3.3¤
Atmospheric composition or flu	ixes	
Atmospheric CO ₂ concentration	mandatory	1901 levels ([CO ₂] = 296.13 ppm) of observed atmospheric CO ₂ concentrations according to (Meinshausen et al., 2011)
Atmospheric CH ₄ concentration	mandatory	1901 levels of atmospheric CH ₄ concentrations ([CH ₄] = 928.80 ppb), according to (Meinshausen et al., 2017)

555	The attribution question "To what degree have observed changes in the climate-related systems
556	contributed to observed changes in natural, human or managed systems?" could refer to individual
557	events (e.g. to what extent has long-term climate change contributed to the observed extent of a specific
558	river flood?) or long-term changes (e.g. to what extent have long-term climate change and increasing
559	CO2 fertilisation contributed to an observed change in crop yields?). In line with IPCC WG2 AR6, chapter
560	16 ((O'Neill et al., 2022)), an observed impact of climate change or any other change in a climate-
561	related system is defined as the difference between the observed state of the human, natural or
562	managed system and a counterfactual baseline that characterises the system's behaviour in the
563	absence of changes in the climate related systems. This counterfactual baseline may be stationary or
564	vary in response to direct human influences such as changes in land use patterns, agricultural or water
565	management or population distribution and economic development affecting exposure and vulnerability
566	to weather-related hazards.¶
567	¶

568 While the definition is quite straightforward, the number of studies addressing impact attribution based
569 on this basic definition is still relatively small compared to the number of studies addressing climate

570 attribution, i.e. the question to what degree anthropogenic emissions of climate forcers, in particular

571 greenhouse gases, have induced changes in the climate-related systems. While climate attribution is 572 confronted by the challenge of separating the anthropogenically forced changes from the internal 573 variability of the climate-related systems, climate impact attribution is about separating the impacts of 574 observed changes in these climate related systems from the effects of other direct (human) drivers of 575 changes in the considered natural, human or managed systems. Despite this difference, both climate 576 and climate impact attribution share the feature that they rely on the comparison of the observed 577 situation to a counterfactual situation that cannot be observed but simulated by either climate models 578 (climate attribution) or climate impact models (impact attribution). In the case of impact attribution, that 579 means simulations of the considered natural, human or managed system in the absence of climate 580 change, sea level rise, and changes in CO₂ concentrations. These simulations are now part of the 581 ISIMIP3a protocol.

582 Impact attribution relies on a high explanatory power of impact models for historical observations. As 583 a first step, it has to be demonstrated that the processes represented in the impact model can explain 584 the observed changes in the affected system, i.e. it has to be shown that the model forced by observed 585 changes in the climate-related systems ('obsclim') and accounting for the historical development of 586 direct (human) forcings is able to reproduce the observed changes in the affected system (ISIMIP3a 587 evaluation experiments, see section 2.1). Thereby, models can either explicitly represent known 588 changes in non-climate drivers such as known adjustments of fertiliser input or growing seasons (explicit 589 accounting for non-climate drivers) or implicitly account for their potential contributions by e.g., allowing 590 for non-climate related temporal trends in empirical models as often done in empirical approaches 591 (implicit accounting for non-climate drivers). In a second step, the impact model can be used to describe 592 the counterfactual world without long-term changes in the climate-related systems by forcing it with the 593 observed changes in direct human influences as in the evaluation experiments (see section 2.1) but by 594 a counterfactual, stationary state of the climate-related systems (see Table 3). Attribution of climate 595 impacts to anthropogenic forcing would need an additional step separating anthropogenic climate 596 forcing from other sources of climate trends, which is not covered by the ISIMIP3a attribution setup. 597 Here, we describe the reasoning behind the individual experiments. Potentially required spin-up should 598 be identical to the corresponding 'obsclim' experiments mentioned in each description.

599 Standard attribution experiment using counterfactual climate-related forcings and observed 600 variations of direct human forcings (counterclim + histsoc; default). This is the twin experiment to 601 the default 'obsclim+histsoc' evaluation experiment. It uses the 'counterclim' climate-related forcings 602 as described in **Table 3** while all direct human forcings are the same as the ones used in the evaluation 603 experiment ('histsoc'). As the corresponding evaluation experiment aims to ensure that impact models 604 can fully capture the historical variations including its long-term trends, this experiment is best suited 605 for impact attribution. It is therefore the standard impact attribution experiment that each sector should 606 strive to follow.

607

Fixed 2015 direct human forcing attribution experiment (counterclim + 2015soc; default). This
 is the twin experiment to the 'obsclim+2015soc' experiment. It uses the 'counterclim' climate-related

- 610 forcings as described in **Table 3** and constant direct human forcings at 2015 levels ('2015soc'). Impact 611 attribution using this experiment has caveats because the twin 'obsclim+2015soc' experiment is not 612 built to fully explain the historical observations including its trends. Impact attribution building on this
- 613 experiment therefore needs to find other means to ensure that the impact model correctly captures the
- response to changes in the climate-related systems. It may e.g. build on the assumption that fixed direct
- 615 human forcings do not change the models' sensitivity to historical climate change. The impact models
- 616 that cannot account for varying historical direct human forcings can take up the attribution task through
- 617 this experiment.
- 618

Fixed 1901 direct human forcing attribution experiment (counterclim + 1901soc; default). This is the twin experiment to the 'obsclim+1901soc' experiment. It allows for a quantification of the combined effect of changes in all forcings (climate-related and direct human) during the historical period when compared to the default evaluation experiment ('obsclim+histsoc'). It also allows for a quantification of the effect of varying direct human drivers when compared to the 'counterclim+histsoc' experiment and the effect of the 2015 to 1901 difference in direct human forcing if compared to the 'counterclim+2015soc' experiment, conditional on counterclim climate-related forcings.

626

627 **No direct human forcing attribution experiment (counterclim + nat; default)** This is the twin 628 experiment to the default 'obsclim+nat' experiment. It allows for a quantification of the effect of climate 629 change under conditions of absent direct human forcings but a natural state of the world. The 'nat' 630 experiment is included in the *biomes* sector protocol.

631

Experiment	Short description	Period: Historical
		1901-2019
counterfactual	CRF: Detrended observational atmospheric climate	counterclim
climate	forcing, detrended observed coastal water level forcings, and other CRF as listed in Table 3	
histsoc	DHF: Varying direct human influences according to	histsoc
1st priority	observations	
counterfactual	CRF: Detrended observational atmospheric climate	counterclim
climate	forcing, detrended observed coastal water level forcings, and other CRF as listed in Table 3	
2015soc		

632 Table 4: ISIMIP3a attribution experiments

1st priority	DHF: Fixed 2015 levels of direct human forcing for the entire time period	2015soc
counterfactual climate 1901soc	CRF: Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in Table 3	counterclim
2nd priority	DHF: Fixed 1901 levels of direct human forcing for the entire time period	1901soc
counterfactual climate	CRF: Detrended observational atmospheric climate forcing, detrended observed coastal water level forcings, and other CRF as listed in Table 3	counterclim
nat 2nd priority	DHF: No direct human influences	nat

634 3 Climate-related forcing data

635

636 **3.1 Observational atmospheric climate forcing data (factual + counterfactual)**

637

638 The data sets described in this section all contain the variables listed in Table 5 at the resolution

639 indicated there. While section 3.1.1 described the standard atmospheric climate forcing as one

640 component of the default 'obsclim' CRF used within the evaluation experiments (see section 2.1.1),

641 section 3.1.2 describes the derivation of the high resolution data used within the 'obsclim'-based

642 <u>sensitivity experiments (see section 2.1.2), and section 3.1.3 provides a description of the basic</u>

643 approach and the references for the derivation of the counterfactual atmospheric climate forcings

644 used for the 'counterclim' experiments described in section 2.2. ¶

645 646

 Image: style="text-align: center; color: blue; background-color: blue; center; color: blue; background-color: blue; background-

Variable	Variable specifier	Unit	Resolutio n	Datasets
Near-Surface Relative Humidity	hurs	%	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)

Near-Surface Specific Humidity	huss	kg kg-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Precipitation (including snowfall)	pr	kg m-2 s-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (factual, 1979-2016)
Snowfall	prsn	kg m-2 s-1	0.5° grid, daily	GSWP3-W5E5 (factual only, 1901-2019, 0.5°)
Surface Air Pressure	ps	Pa	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Surface Downwelling Longwave Radiation	rlds	W m-2	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Surface Downwelling Shortwave Radiation	rsds	W m-2	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021),

				20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)
Near-Surface Wind Speed	sfcwind	m s-1	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
Near-Surface Air Temperature	tas	к	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)
Daily Maximum Near-Surface Air Temperature	tasmax	к	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (factual and counterfactual, 1979-2016)

Daily Minimum Near-Surface Air Temperature	tasmin	in K	0.5° grid, daily	GSWP3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-W5E5 (factual and counterfactual, 1901-2019), 20CRv3-ERA5 (factual and counterfactual, 1901-2021), 20CRv3 (factual and counterfactual, 1901- 2015)
			30" grid, 90" grid, 300" grid, 1800" grid; daily	CHELSA-W5E5 (1979-2016)

659

R

649 **3.1.1 Default factual data**- ¶

650 As one component of the default 'obsclim' CRFs, we provide four observational datasets specifically 651 generated for the evaluation experiments of ISIMIP3a: GSWP3-W5E5, 20CRv3-W5E5, 20CRv3-ERA5, 652 and 20CRv3. All four datasets have daily temporal and 0.5° spatial resolution and cover the variables 653 listed in Table 5. Their temporal coverage varies, with GSWP3-W5E5 and 20CRv3-W5E5 covering 654 1901-2019, while 20CRv3-ERA5 covers 1901-2021 and 20CRv3 covers 1901-2015. Instead of 655 excluding datasets that do not cover the most recent years, we focussed on including datasets that 656 start in 1901, to allow for a common spin-up procedure (described in section 2.1 for the 'obsclim + 657 histsoc; default' experiment), in order to support models that need to spin up, e.g., their carbon pools 658 under stable climate-related and direct human forcings before they can do the actual experiments.

660 The GSWP3-W5E5 dataset is based on W5E5 v2.0 (Lange et al., 2021), which is also used as the 661 observational reference dataset for the bias adjustment of climate input data for ISIMIP3b that will be 662 described in an ISIMIP3b protocol paper(Frieler, submitted 2023). W5E5 v2.0 combines WFDE5 v2.0 663 (WATCH Forcing Data methodology applied to ERA5 reanalysis data over land; (Cucchi et al., 2020) 664 with data from the latest version of the European Reanalysis (ERA5; (Hersbach et al., 2020) over the 665 ocean. WFDE5 v2.0 is generated with the WATCH Forcing Data methodology that includes bias 666 adjustment of all variables (Cucchi et al., 2020). Since W5E5 v2.0 only covers the years 1979 to 2019, 667 it was extended backward in time to the year 1901. For this extension, we used version 1.09 of the 668 Global Soil Wetness Project phase 3 (GSWP3) dataset (Kim, 2017), bias-adjusted to W5E5 v2.0 in 669 order to reduce discontinuities at the 1978–1979 transition. The method used for this bias adjustment 670 was ISIMIP3BASD v2.5 (Lange, 2019, 2021). The GSWP3 dataset is a dynamically downscaled and 671 bias-adjusted version of the Twentieth Century Reanalysis version 2 (20CRv2; (Compo et al., 2011)). 672 For a detailed description of the GSWP3-W5E5 dataset and its constituents, see (Mengel et al., 2021).

673

674 Unfortunately, for some variables, GSWP3 shows discontinuities at every turn of the month. The month-675 by-month bias adjustment applied in its creation is responsible for this artefact (Rust et al., 2015). In 676 order to overcome this issue, which also affects GSWP3-W5E5, we additionally provide 20CRv3-677 W5E5, a dataset where W5E5 v2.0 is backward-extended using ensemble member 1 of the Twentieth 678 Century Reanalysis version 3 (20CRv3; (Slivinski et al., 2019, 2021), interpolated to 0.5° and then bias-679 adjusted to W5E5 v2.0 using ISIMIP3BASD v2.5. The 20CRv3-W5E5 data are continuous at every turn 680 of the month thanks to the application of ISIMIP3BASD v2.5 in running-window mode (see section 3.1). 681 Since GSWP3 is based on 20CRv2, the 20CRv3-W5E5 dataset can be considered an update of 682 GSWP3-W5E5.

683

684 Two more climate input datasets are provided in ISIMIP3a in order to facilitate climate input data-related 685 quantifications of uncertainty in the associated impact assessments. Those datasets are not based on 686 W5E5 to account for trend and variability artefacts in W5E5 that are related to the climatological infilling 687 procedures used to deal with gaps in the station observations employed for the bias adjustment of 688 ERA5 for the production of WFDE5 (for a detailed description of this caveat see 689 https://data.isimip.org/caveats/20/). The first of the additional ISIMIP3a climate input datasets is 690 20CRv3-ERA5, which was created in the same way as 20CRv3-W5E5, but using ERA5 instead of 691 W5E5 for the time period 1979-2021, and also as the bias adjustment target for the time period 1901-692 1978. Finally, we also provide the 'raw' 20CRv3 data, i.e., ensemble member 1 of 20CRv3, interpolated 693 to 0.5° but not bias-adjusted to any other dataset. This dataset is included since it was generated with 694 only one method and did not need to be combined with another dataset to fully cover the 20th century. 695

696 <u>3.1.2 Default counterfactual data.</u> ¶

697 To simulate the baseline 'no climate change' state of a human or natural system that is required for 698 impact attribution, we provide a detrended version of the observational factual forcing data using the 699 ATTRICI approach (ATTRIbuting Climate Impacts, (Mengel et al., 2021). The method identifies the 700 long term shifts in the factual daily climate variables that are correlated to global mean temperature 701 change assuming a smooth annual cycle of the associated scaling coefficients for each day of the year. 702 The observed trends since 1901 are then removed from the observational data by projecting the 703 observed data onto the estimated distributions assuming a fixed 1901 level of global warming. The 704 projection is done through quantile mapping, a method borrowed from the bias adjustment literature. In 705 this way we preserve the internal variability of the observed data in the sense that factual and 706 counterfactual data for a given day have the same rank in their respective statistical distributions. The 707 impact model simulations forced by the counterfactual climate inputs therefore allow for quantifying the 708 contribution of the observed climate change (no matter from where the trends originate) to observed 709 long term changes in impact indicators but also for quantifying the contribution of the observed trend in 710 climate to the magnitude of individual impact events. ¶ 711

712 <u>3.1.2</u> High resolution atmospheric factual data (CHELSA-W5E5) ¶

This dataset is provided to facilitate the high resolution sensitivity experiment described in section **2.1.2**. It covers the global land area at 30" (~1 km) horizontal and daily temporal resolution from 1979 to 2016 for the variables precipitation (pr), surface downwelling shortwave radiation (rsds), and daily mean, minimum and maximum near-surface air temperature (tas, tasmin, tasmax). CHELSA-W5E5 v1.0 (Karger et al., 2022b) is a downscaled version of the W5E5 v1.0 dataset, where the downscaling is done with the Climatologies at High resolution for the Earth's Land Surface Areas (CHELSA) v2.0 algorithm (Karger et al., 2017, 2021, 2022a).

720

721 This algorithm applies topographic adjustments based on surface altitude (orog) information from the 722 Global Multi-resolution Terrain Elevation Data 2010 (GMTED2010; (Danielson and Gesch, 2011). The 723 algorithm is applied day by day. CHELSA-W5E5 tas is obtained by applying a lapse rate adjustment to 724 W5E5 tas, using differences between CHELSA-W5E5 orog and W5E5 orog in combination with 725 temperature lapse rates from ERA5. Those lapse rates are calculated based on atmospheric 726 temperature, T, at 950 hPa and 850 hPa, and the geopotential height, z, of those pressure levels. The 727 lapse rate used for the adjustment is calculated as the daily mean of hourly values of (T 850 - T 950)/728 (z 850 - z 950). The variables tasmax and tasmin are downscaled in the same way, using the same 729 lapse rate value.

Precipitation downscaling uses daily mean zonal and meridional wind components from ERA5 to approximate the orographic wind effect on small-scale precipitation patterns (differences between windward and leeward precipitation rates) and combines that with the height of the planetary boundary layer to estimate the total orographic effect on precipitation intensity. Using that, precipitation from W5E5 is downscaled such that precipitation fluxes are preserved at the original 0.5° resolution of W5E5. More details are given in (Karger et al., 2021).

736 Surface downwelling shortwave radiation, rsds, at 30 arcsec resolution is strongly influenced by 737 topographic features such as aspect or terrain shadows, which are less pronounced at 0.5° resolution. 738 The downscaling algorithm combines such geometric effects with orographic effects on cloud cover for 739 an orographic adjustment of rsds. Geometric effects are considered by computing 30" clear-sky 740 radiation estimates using the method described in (Karger et al., 2022a) and a simplified, uniform 741 atmospheric transmittance of 80%. These effects include shadowing from surrounding terrain, diffuse 742 radiation, and terrain aspect. To include how orographic effects on cloud cover influence rsds, the clear-743 sky radiation estimates are adjusted using downscaled ERA5 total cloud cover. The cloud cover 744 downscaling uses ERA5 cloud cover at all pressure levels and the orographic wind field following the 745 methods described in (Brun et al., 2022b). Finally, the clear-sky radiation estimates adjusted for cloud 746 cover are rescaled such that they match W5E5 rsds, B-spline interpolated to 30".

We provide the original CHELSA-W5E5 data with a horizontal resolution of 30" = 0.5' (~1 km) as well as spatially aggregated versions with resolutions of 1.5' (~3 km, aggregation factor 3), 5.0' (~10 km, aggregation factor 10) and 30.0' = 0.5° (~60 km, aggregation factor 60). The aggregation to 0.5° is necessary since the aggregated CHELSA-W5E5 data differ from the default GSWP3-W5E5 and 751 20CRv3-W5E5 data provided in the 'obsclim' set-up for 1979-2016. This has two reasons. First, the 752 downscaled data are based on W5E5 v1.0 whereas GSWP3-W5E5 and 20CRv3-W5E5 are based on 753 W5E5 v2.0. Secondly, for all variables except pr, the CHELSA downscaling algorithm produces data 754 that differs from the original data when it is upscaled (spatially aggregated) back to the original 755 resolution.

756

757 We do not provide a counterfactual version of the high resolution climate forcing.

758

759 The CHELSA method is not vet available for all variables included in the standard forcing data. Relative 760 humidity, surface wind, air pressure, and longwave radiation can not yet be downscaled by the 761 approach. To allow modellers to start the sensitivity experiments already now, we provide an alternative 762 downscaling approach as described below. We use observational data with the required higher spatial 763 resolution but lower temporal resolution to generate the high resolution daily relative humidity and 764 surface wind speeds. Air pressure is derived by on orographic correction of the linearly interpolated sea 765 level pressure and surface downwelling longwave radiation is derived from high-resolution 766 temperatures derived by CHELSA and relative humidity. The code required to generate the data is 767 freely available (Malle, 2023).

768

For daily mean near-surface relative humidity (hurs) the provided downscaling algorithm combines monthly 30" CHELSA-BIOCLIM+ data (Brun et al., 2022b, a) with daily W5E5 data. In a first step we regrid daily 0.5° W5E5 hurs to the target grid (30") by bilinear interpolation. We assume relative humidity to follow a beta-distribution and logit-transform both regridded monthly-averaged W5E5 ($hurs_{mon}^{W5E5}$) and monthly CHELSA-BIOCLIM+ ($hurs_{mon}^{CHELSA}$) relative humidity data. The difference ($\Delta hurs_{mon}$) is then added to daily regridded and logit-transformed W5E5 hurs of the respective month, and the final raster is obtained by back-transforming the sum:

776
$$hurs_{dly} = \frac{1}{(1+exp^{-h})}$$
, (1)

777 where

778
$$h = log(\frac{hurs_{dly}^{W5E5}}{1 - hurs_{dlv}^{W5E5}}) + \Delta hurs_{mon}, (2)$$

779
$$\Delta hurs_{mon} = log(\frac{hurs_{mon}^{CHELSA}}{1 - hurs_{mon}^{CHELSA}}) - log(\frac{hurs_{mon}^{W5E5}}{1 - hurs_{mon}^{W5E5}}). (3)$$

To include orographic effects into daily mean near-surface wind speed (*sfcwind*) we follow the approach of (Brun et al., 2022b), and use an aggregation of the Global Wind Atlas 3.0 data (Badger et al., n.d.)Technical University of Denmark(Badger et al., n.d.) in combination with daily 0.5° sfcwind from W5E5. We first regrid both the Global Wind Atlas data and the W5E5 sfcwind data to the target grid of 30" using bilinear interpolation. The Global Wind Atlas data product (*sfcWind*^{GWA}_{cli}) represents average wind speeds for 2008 to 2017. We therefore average daily regridded W5E5 data over this time period ($sfcWind_{cli}^{W5E5}$). We assume surface wind speeds follows a Weibull distribution and log-transform both datasets before computing the difference $\Delta sfcWind_{cli}$, whereby a small positive constant (c) was added to all data points before applying the transformation to avoid the problem that log(0) is undefined. We add this difference layer ($\Delta sfcWind_{cli}$) to each log-transformed daily W5E5 raster, and back-transform the sum to obtain the final daily mean near-surface wind speed raster:

791
$$sfcWind_{dly} = exp^{(log(sfcWind_{dly}^{W5E5} + c) + \Delta sfcWind_{cli})} - c$$
, (4)

792 where

793
$$\Delta sfcWind_{cli} = log(sfcWind_{cli}^{GWA} + c) - log(sfcWind_{cli}^{W5E5} + c) .$$
(5)

794

795 Daily mean surface air pressure (ps) is calculated using the barometric formula:

796
$$ps_{dly} = psl_{dly}^{W5E5} \times exp^{-(g \times orog \times M)/(T_0 \times R)}$$
, (6)

with psl_{dly}^{W5E5} being the regridded 0.5° W5E5 daily mean sea-level pressure (bilinear interpolation to 30"), *g* the gravitational acceleration constant (9.80665 m/s²), *orog* the altitude at which air pressure is calculated (CHELSA-W5E5 orog, m), *M* the molar mass of dry air (0.02896968 kg/mol), *R* the universal gas constant (8.314462618 J/(mol K)) and T_0 the sea level standard temperature (288.16 K).

801

For Surface Downwelling Longwave Radiation (*rlds*) we follow (Fiddes and Gruber, 2014) as well as (Konzelmann et al., 1994), and account for orographic effects by reducing the clear-sky component of all-sky emissivity with elevation. We assume cloud emissivity remains unchanged when moving from coarse to fine resolution. First, we compute clear-sky emissivity components both for the 0.5° W5E5 grid and the target 30" grid (ϵ_{clear}^{W5E5} , $\epsilon_{clear}^{highres}$ respectively):

807
$$\epsilon_{clear}^{highres/W5E5} = 0.23 + x1(pV_{dly}^{highres/W5E5}/tas_{dly}^{highres/W5E5})^{1/x2}$$
, (7)

808 where x1 = 0.43 and x2 = 5.7 and $pV_{dly}^{highres/W5E5}$ is water vapour pressure as a function of relative 809 humidity at the respective resolution (see (Fiddes and Gruber, 2014). By using 0.5° W5E5 *rlds* and *tas* 810 data and inverting the Stefan-Boltzmann equation we obtain all-sky emissivity:

811
$$\epsilon_{allsky}^{W5E5} = rlds_{dly}^{W5E5} / (\sigma \times (tas_{dly}^{W5E5})^4)$$
, (8)

with σ being the Stefan-Boltzmann constant (5.67 x 10⁻⁸ Js⁻¹ m⁻² K⁻⁴). In a next step, the cloud-based component of emissivity ($\Delta \epsilon_{dly}^{W5E5}$) can be estimated as the difference between all-sky and clear-sky emissivity, which is then regridded to the target grid via bilinear interpolation.

815
$$\Delta \epsilon_{dly}^{W5E5} = \epsilon_{allsky}^{W5E5} - \epsilon_{clear}^{W5E5}$$
 (9)

816 In a last step we obtain elevation-corrected longwave radiation $(rlds_{dly})$ by adding $\Delta \epsilon_{dly}^{W5E5}$ to the high-817 resolution clear-sky emissivity ($\epsilon_{clear}^{highres}$) and applying the Stefan-Boltzmann law again:

818 $rlds_{dly} = (\epsilon_{clear}^{highres} + \Delta \epsilon_{dly}^{W5E5}) \times \sigma \times (tas_{dly}^{highres})^4$ (10)

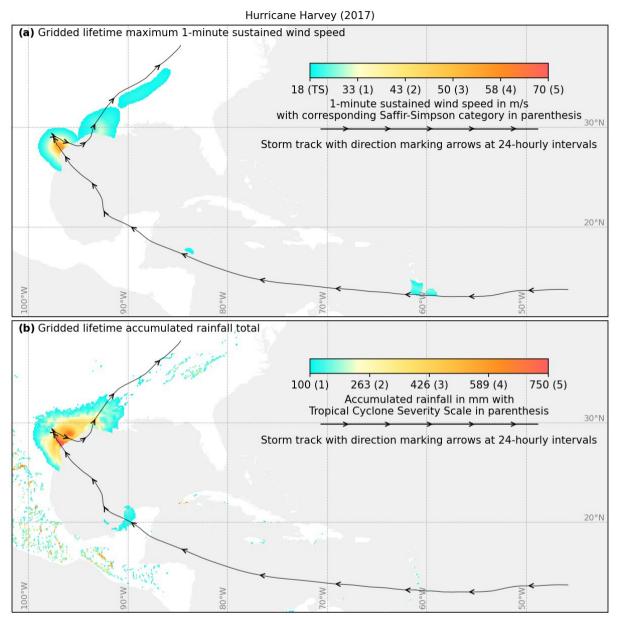
As soon as the CHELSA approach is extended to also cover the missing variable we plan to also provide these data and test for the sensitivity of the impact simulations to these two alternative downscaling methods. ¶

822 👖

823 <u>3.1.3 Default counterfactual data.</u> ¶

824 To simulate the baseline 'no climate change' state of a human or natural system that is required for 825 impact attribution, we provide a detrended version of the observational factual forcing data using the 826 ATTRICI approach (ATTRIbuting Climate Impacts, Mengel et al., 2021). The method identifies the long-827 term shifts in the factual daily climate variables that are correlated to global mean temperature change 828 assuming a smooth annual cycle of the associated scaling coefficients for each day of the year. The 829 observed trends since 1901 are then removed from the observational data by projecting the observed 830 data onto the estimated distributions assuming a fixed 1901 level of global warming. The projection is 831 done through quantile mapping, a method borrowed from the bias adjustment literature. In this way we 832 preserve the internal variability of the observed data in the sense that factual and counterfactual data 833 for a given day have the same rank in their respective statistical distributions. The impact model 834 simulations forced by the counterfactual climate inputs therefore allow for quantifying the contribution 835 of the observed climate change (no matter from where the trends originate) to observed long-term 836 changes in impact indicators but also for quantifying the contribution of the observed trend in climate to 837 the magnitude of individual impact events. ¶ 838

- 840 3.2 Tropical cyclone (TC) data (factual)
- 841



850

Figure 2: Tropical cyclone storm track (a and b, line with arrows), and derived maximum wind speeds (a, coloured shades) and accumulated rainfall totals (b, coloured shades), according to the Holland wind profile, 845 (Holland, 1980, 2008) of Hurrican Harveymajor hurricane Laura that made landfall in TexasLouisiana (USA) in 846 August 201720. The wind speeds are according to the Holland wind profile (Holland, 1980, 2008), and the rainfall 847 is according to the TCR model (Zhu et al., 2013). The colouring in (b) follows the "Tropical Cyclone Severity Scale" 848 (Bloemendaal et al., 2021). 849

Table 6: Tropical cyclone information provided as part of the ISIMIP3a climate-related forcing

Variable	Variable specifier	Unit	Resolution	Datasets
Time associated with a given location of the storm centre	time	hours since 1950-01-01 00:00	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)

Latitudinal/longitudinal coordinate of storm centre (as defined by the reporting agencies)	lat¶ Ion	degrees north / east	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Longitudinal coordinate of storm centre (as defined by the reporting agencies)¤	lon¤	<u>degrees east¤</u>	<u>along-track, at</u> least 3-hourly¤	IBTrACS (1950-2021, postprocessed)¤
Ocean basin: NA/SA (North/South Atlantic), EP/WP/SP (East/West/South Pacific), NI/SI (North/South Indian Ocean)	basin	two-letter abbreviation	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Central pressure	pres	hPa	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Environmental pressure (pressure of the outermost closed isobar)	penv	mbar	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Maximum 1-minute sustained wind speed	wind <u>spatial</u> <u>max</u>	knots	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Radius of maximum wind speeds	rmw	nautical miles	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Radius of the outermost closed isobar	roci	nautical miles	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Wind speed on the 850 hPa pressure level	u850 v850	ms^-1	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)
Temperature on the 600 hPa pressure level	<u>∓t</u> 600	К	along-track, at least 3-hourly	IBTrACS (1950-2021, postprocessed)

1-minute sustained wind speed	wind	ms^-1	along-track, at least 3-hourly on a 300 arc- seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Gridded lifetime Mmaximum 1-minute sustained wind speed during the whole storm duration	max_windlif etimemax	ms^-1	per storm ^{for} each TC on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
National territory exposed to wind speeds of at least 34, 48, 64, 96 knots	34kn_area 48kn_area 64kn_area 96kn_area	km^2	per stormfor each TC and country	according to the Holland- wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Number of people exposed to wind speeds of at least 34, 48, 64, 96 knots	34kn_pop 48kn_pop 64kn_pop 96kn_pop	count	per stormfor each TC and country	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011) and assuming temporally varying (histsoc) or fixed 2015 (2015soc) population distributions (see section 4.1).
Economic assets exposed to wind speeds of at least 34, 48, 64, 96 knots	34kn_asset s 48kn_asset s	Int\$ PPP 2005	<u>per storm</u> for each TC and country	Windfields according to the Holland wind profile (Holland, 1980, 2008) and Emanuel-Rotunno

	64kn_asset s 96kn_asset s			wind profile (Emanuel and Rotunno, 2011) and assuming temporally varying (histsoc) or fixed 2015 (2015soc) asset distributions (see section 4.2).
Total rainfall	rain	mm	along-track, at least 3-hourly on a 300 arc- seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)
Maximum 24-hourly rainfall <u>total</u> during the whole storm duration	max_rain	mm	per storm for each TC on a 300 arc-seconds (~10 km) grid	according to the Holland wind profile (Holland, 1980, 2008) and to the Emanuel- Rotunno wind profile (Emanuel and Rotunno, 2011)

851 As additional CRF, we provide historical TC tracks (information about the observed location of minimal 852 pressure), with associated gridded wind and rain fields (see variable names and units in Table 6 and 853 the maps of maximum wind speed and accumulated rainfall totals for the example of hurricane Harvey 854 in Figure 2). In addition to this purely CRF, we also provide wind exposure in terms of (i) shares of 855 national territory affected by extreme winds speeds, (ii) national shares of people exposed to extreme 856 winds speeds, and (iii) national shares of economic assets affected by extreme winds speeds as derived 857 from the estimated wind fields and historical population and GDP distributions (see below). Table 6 858 provides a comprehensive list of all variables, their meaning and resolution as well as their source.

859

TC Tracks (position of storm centre, central pressure, environmental pressure, radius of maximum wind speed and the outermost closed isobar). We provide processed track information of historical TCs from 1950 to 2021. The information is derived from IBTrACS, the most comprehensive global dataset of historical TC activity (Knapp et al., 2010) that provides information about the location of the storm centre, the pressure at the centre and at the outermost closed isobar as well as the maximum 1-minute sustained wind speed as reported by the WMO Regional Specialised Meteorological 866 Centers (RSMCs) and by agencies in Shanghai and Hong Kong. For recent events and most reporting 867 agencies. IBTrACS also contains observational information about the radius from the centre where 868 maximum wind speed is attained and the radius of the outermost closed isobar. Information is provided 869 in at least 6-hourly time steps. Usually temporal resolution reaches three hours or even less. The latest 870 version (v04r00) of IBTrACS is continuously updated with near real time data taken from regional 871 meteorological agencies. The data is marked as provisional before it is replaced by so-called best track 872 data one-up to two years after the events. IBTrACS contains data from 1842 to present, but coverage 873 by the WMO RSMCs starts much later for some of the basins (around 1850 for the North Atlantic and 874 South Indian, in 1905 for the South Pacific, in 1950 for the North Pacific, and in 1990 for the Northern 875 Indian basin). Data quality is globally consistent starting from the mid 1970s when satellite observations 876 became available.

877 The data set we provide uses best track data from 1950 to 2021. For each TC in IBTrACS, we merge 878 the data of different reporting agencies into a single track data set with information about the following 879 variables: time, location of the storm centre, ocean basin, central pressure, maximum 1-minute 880 sustained wind speed, environmental pressure, radius of maximum wind speeds, and radius of the 881 outermost closed isobar (see Table 8). Several processing steps are applied to ensure consistency and 882 completeness of the data: For each storm, the variables that are not reported by the officially responsible 883 WMO RSMC for this storm are taken from the next agency in the following list that did report this variable 884 for this storm: the US agencies (NHC, JTWC, CPHC), Japanese Meteorological Agency, Indian 885 Meteorological Department, MeteoFrance (La Reunion), Bureau of Meteorology (Australia), Fiji 886 Meteorological Service, New Zealand MetService, Chinese Meteorological Administration, Hong Kong 887 Observatory. Thus, for different storms, the same variable might be taken from different agencies. As 888 sustained wind speeds are reported at different averaging intervals by different agencies, we use 889 multiplicative factors to rescale all wind speeds to 1-minute sustained winds (Knapp and Kruk, 2010). 890 All variables are extracted at the highest temporal resolution where time and location information is 891 available in IBTrACS. Temporal reporting gaps within a variable are linearly interpolated so that the 892 temporal resolution is at least 3-hourly. After interpolation, time steps where neither central pressure 893 nor maximum wind speeds are available, are discarded. Tracks with less than two valid time steps are 894 discarded. If at least one of central pressure or maximum wind speed is available, one variable is 895 estimated from the other using statistical wind-pressure relationships. Missing RMW and ROCI values 896 are estimated from the central pressure using statistical relationships. Finally, missing environmental 897 pressure values are filled with basin-specific defaults (1010 hPa for the Atlantic and Eastern Pacific, 898 1005 hPa for the Indian Ocean and Western Pacific, and 1004 hPa for the South Pacific).

We provide two additional along-track variables that are taken from the European Reanalysis (ERA5; (Hersbach et al., 2020), and that are needed for the computation of precipitation (see below): The temperature at the storm centre on the 600 hPa pressure level, and the wind speed on the 850 hPa pressure level, averaged over the 200-500 km annulus around the storm centre.

Gridded maps of (maximum) wind speeds. We derive two different gridded wind field products from
 an extrapolation of the observed TC track information to gridded estimates of surface wind speeds (1-

905 minute sustained winds at 10 metres above ground), at a spatial resolution of 300 arc-seconds 906 (approximately 10 km). The two products are based on circular wind fields from different radial wind 907 profiles. The first is a semiempirical model that estimates the full wind profile from the central pressure 908 variable based on the gradient wind balance assumption (Holland, 1980, 2008). The second, more 909 physics-based model uses the less-reliable maximum wind speed variable to derive the wind profile 910 from the boundary layer angular momentum balance (Emanuel and Rotunno, 2011). This wind profile 911 represents the storm's inner core very well, but tails off too sharply in the outer region (Chavas and Lin. 912 2016). However, for high-impact events, the core is the most relevant storm region, and outer wind 913 profiles are not analytically solvable, incurring considerable computational expense when applied to a 914 large track set.

915 In both cases, the circular wind fields are combined with translational wind vectors that arise from the 916 TC movement, assuming that the influence of translational wind decreases with distance from the TC 917 centre (Cyclone Database Manager, 2023). We use the highest available temporal resolution (up to 3-918 hourly) provided in IBTrACS and interpolate it to 1-hourly resolution before applying the parametric 919 wind field models. In a postprocessing step, we also calculate the maximum value of wind speeds over 920 the duration of the TC event ('max_wind').

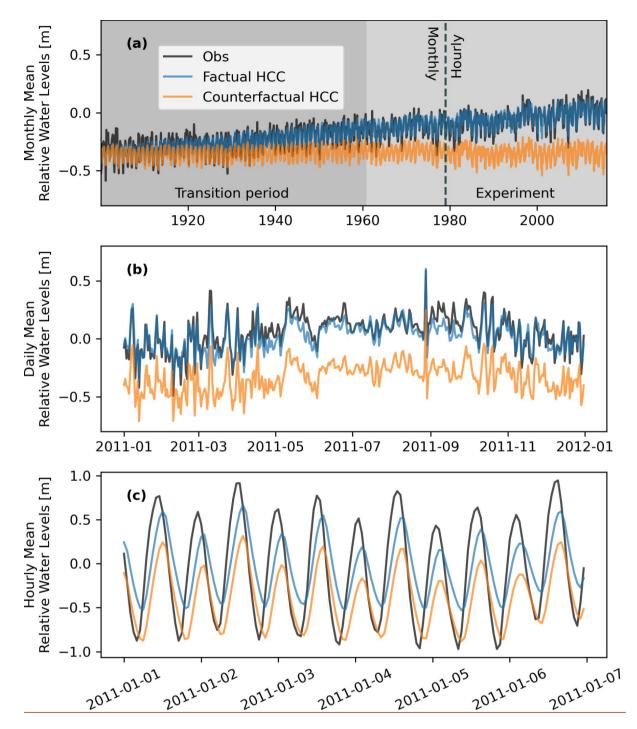
The approach by Holland has been successfully applied in socioeconomic risk and impact analyses (Peduzzi et al., 2012; Geiger et al., 2018; Eberenz et al., 2021). The Emanuel-Rotunno approach has been used for storm surge simulations (Krien et al., 2017; Marsooli et al., 2019; Gori et al., 2020; Yang et al., 2021), and as the basis for the rain field model that we describe below (Feldmann et al., 2019).

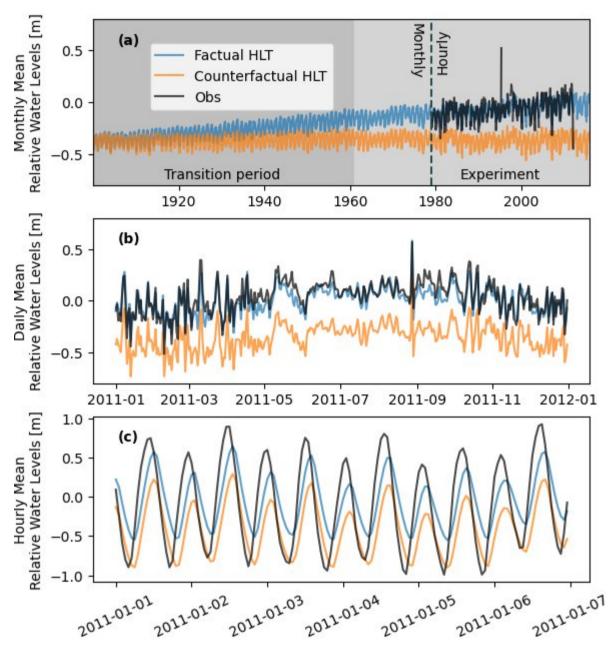
925 Wind Exposure. As an extension of the tropical cyclone exposure data set TCE-DAT (Geiger et al., 926 2018), we provide national shares of people and economic assets exposed to 1-minute sustained winds 927 above 34, 48, 64, and 96 knots for each storm. In addition to that, shares of national territory affected 928 by 1-minute sustained winds above 34, 48, 64, and 96 knots are provided. To estimate the exposed 929 population and assets we use the 'histsoc' population and GDP distributions described in section 4.1 930 and section 4.2, respectively. The GDP values are converted to assets by applying the decadal (2010-931 2019) mean of national capital stock to GDP ratios from the Penn World Table version 10.0 (Feenstra 932 et al., 2015). We also provide exposed population and assets assuming fixed 2015 population and 933 asset distributions.

934 **Precipitation.** We are also planning to provide rainfall fields, following a physics-based model that 935 simulates convective TC rainfall by relating the precipitation rate to the total upward velocity within the 936 TC vortex (Zhu et al., 2013). The approach has been successfully applied in rainfall risk assessments 937 in the US (Feldmann et al., 2019; Gori et al., 2022). The rain rate will be simulated for all events in the 938 IBTrACS database at 0.5-hourly temporal and 300 arc-seconds (approximately 10 km) spatial resolution 939 within a 1500 km radius around the storm centrecenter the storm extent defined by the ROCI storm 940 track variable. We provide the derived rainfall totals at hourly resolution as well as We will also 941 aggregate the result to the maximum 24-hourly rainfall total during the entire storm duration since this 942 variable is frequently used for rainfall risk assessment studies (Fagnant et al., 2020).

- 943 Different TC wind profiles can be used as an input for the rain field model (Lu et al., 2018; Xi et al.,
- 944 2020). We will provide the rainfall fields for the two wind profile models by Holland and Emanuel-
- 945 Rotunno that we also use for the wind fields described above.

3.3 Coastal water levels (factual + counterfactual)





952 953 954 955 956 957 958 Figure 3: Observed and reconstructed coastal relative water levels at New York, USA. The counterfactual baseline represents water levels without long-term trend since 1900. Water levels are aggregated to monthly means in panel (a) and daily means in the year 2011 in panel (b) while panel c shows part of the data in hourly resolution. The reconstructed water levels are available as monthly mean values from 19010 to 19789 and as hourly mean values from 1979 to 2015.

Table 7: Information about coastal wate	er levels provided as	s ISIMIP3a climate-related forcing.

Variable	Variable specifier	Unit	Resolution	Datasets
Coastal water	cwl	m	custom coastal grid; monthly	HCCHLT obsclim and
levels			from 1901 to 1978 and hourly	counterclim (Treu et
			from 1979 to 2015	al. , submitted 2023)

960 To enable the quantification of impacts of historical relative sea level rise on coastal systems we provide 961 observation-based coastal water levels building on the HCCHLT dataset (Hourly Coastal water levels 962 with Counterfactual Hourly sea level change with long term trends for impact attribution; (Treu et al., 963 submitted-2023). In contrast to absolute sea levels, relative sea levels are measured against a land-964 based reference frame (tide gauge measurements). This means that they are not only determined by 965 thermal expansion, loss of land ice, or dynamical processes influenced by climate change, but also by 966 vertical land movements (Wöppelmann and Marcos, 2016) induced by, e.g., glacial isostatic 967 adjustments (Caron et al., 2018; Whitehouse, 2018) or human interventions such as ground water 968 abstraction (Wada et al., 2016a), HCCHLT encompasses factual and counterfactual coastal water 969 levels along global coastlines from 1901 to 1978 on monthly resolution and from 1979 to 2015 on hourly 970 resolution (see Figure 3). The counterfactual coastal water levels areis derived from the factual dataset 971 by removing the trend in relative sea level since 1900. The detrending preserves the timing of historical 972 extreme sea-level events similar to the counterfactual atmospheric climate forcing described in section 973 **3.1** (see Figure 3, panel B). Hence, the data can be used for an event-based attribution of, e.g., 974 observed flooding to observed relative sea-level rise with pairstuples of impact simulations driven with 975 the factual and counterfactual datasets. It is important to highlight that 'attribution to observed changes 976 in relative water levels' does not imply attribution to anthropogenic climate forcing because such 977 observed changes may include trends that are not driven by human greenhouse gas emissions. 978 Important sources for such trends are the ongoing adjustments of ice sheets, glaciers and the earth 979 crust to climate conditions before industrialization (Slangen et al. 2016) and the land subsidence due 980 to water, gas and oil extraction (Nicholls et al. 2021). In the following the derivation of the data is 981 described in more detail.

982

983 Default factual data. To capture the impacts of extreme water levels we provide hourly observation-984 based coastal water levels as forcing data. To this end we combine the Coastal Dataset for the 985 Evaluation of Climate Impact (CoDEC) dataset (Muis et al., 2020) that describes high frequency 986 variation of sea level along global coastlines with a recent reconstruction of observed long-term sea-987 level rise (Dangendorf et al., 2019). The CoDEC hourly data builds on a shallow-water model with fixed 988 ocean density driven by ERA5 wind and atmospheric pressure fields. The CoDEC data thus starts only 989 in the year 1979 and does not include variations due to ocean density changes and multi-year trends 990 from observed sea-level rise or vertical land movement. In contrast, the hybrid reconstructions (HR) 991 dataset from (Dangendorf et al., 2019) represents sea-level change since 1900 on a monthly timescale, 992 including density variations and multi-year trends. Long term sea-level change in HR is based on fitting 993 theoretically known and modelled spatial-temporal fields of individual contributing factors of sea level 994 change to a set of observations of sea level change from tide gauges. The individual contributing factors 995 are theoretically known cryospheric fingerprints from two ice sheets, 18 major glacier regions, glacial 996 isostatic adjustment from 161 Earth rheological models and dynamic changes of sea surface height 997 modelled by six global climate models. Short term sea-level variations are represented in HR by 998 extending the spatio-temporal patterns from satellite altimetry back to the year 1900 using tide gauge 999 records. We create the HCCHLT dataset by low-pass filtering the HR dataset and high-pass filtering the CoDEC dataset before summing them. <u>Vertical land motion is subsequently added to yield relative</u>
changes of water levels along global coastlines. <u>HCCHLT</u> shows improved agreement with tide gauge
records on hourly to monthly time scales when compared to CoDEC due to the inclusion of density
variations. This is most apparent for lower latitudes. The performance on interannual time scales is
equal to (Dangendorf et al., 2019).

1006 Default counterfactual data. To estimate the effects of historical sea-level rise on coastal systems, 1007 we provide a counterfactual sea-level dataset as forcing for coastal impact models (Treu et al.___ 1008 submitted 2023). To this end the long term trend in the HCCHLT data (1900-2015) was identified by a 1009 simple guadratic model in time and subtracted from the factual HCCHLT data. The guadratic model 1010 assumes a constant acceleration of sea-level rise over time. Analysis of sea level rise acceleration 1011 shows variation throughout the last century with an acceleration phase in the early century followed by 1012 a deceleration and then again acceleration until today (Dangendorf et al., 2019). By design, this 1013 variation is not included in our guadratic trend estimate. In general, we expect our trend estimation to 1014 largely exclude natural variability from the trend due to the low dimensionality of the trend model and 1015 the long data period. This is a desired outcome and preserves the natural variability in the 1016 counterfactual. Extreme sea-level events have the same timing in the counterfactual and the factual 1017 dataset, facilitating event-based impact attribution.

1018

1005

1019 3.4 Ocean data (factual)

1020

1021 Default factual data. For the fisheries and marine ecosystem models, we provide a number of physical 1022 and biogeochemical variables for the period 1961 to 2010 at different depth levels in the ocean (see 1023 Table 810). Since direct measurements of these variables are very scarce (Sarmiento and Gruber, 1024 2006, WOCE Atlas, 2023), the only way to obtain a globally (or even regionally) complete and consistent 1025 forcing dataset is to use numerical models. Global ocean models, which also serve as oceanic 1026 components of Earth System models, often simulate many or all of the required variables. To let 1027 observations at least indirectly enter the oceanic forcing data for ISIMIP3a, we provide outputs from an 1028 ocean model run that is forced by an observation-based reanalysis product of atmospheric forcing (Liu 1029 et al., 2021). Compared to the oceanic forcing (Stock et al., 2014) provided to generate the ISIMIP2a 1030 simulations for the marine ecosystems and fisheries sector (Tittensor et al., 2018), this new dataset is 1031 based on the latest GFDL-MOM6 and COBALTv2 physical and biogeochemical ocean models running 1032 on a tripolar 0.25° grid and using the JRA-55 reanalysis (Tsujino et al., 2018) as the surface forcing, in 1033 contrast to the inter-annual forcing dataset of (Large and Yeager, 2009), which was previously used to 1034 drive GFDL-MOM4. The simulations also account for dynamic, time-varying river freshwater and 1035 nitrogen inputs that were simulated based on GFDL's land-watershed model LM3-TAN (Land Model 1036 version 3 with Terrestrial and Aquatic Nitrogen; (Lee et al., 2019), adjusted using observations from the 1037 Global Nutrient Export from WaterSheds (NEWS) database (Seitzinger et al., 2006). To create the 1038 default 'obsclim' climate-related forcings for the fisheries and marine ecosystem models these ocean 1039 model simulation data have been interpolated to a regular 0.25° grid while vertical resolution is

preserved. In contrast to the atmospheric data, oceanic CRF are provided at monthly temporalresolution.

1042

1043 **Low resolution factual data.** To test to what degree a lower spatial resolution of the climate-related 1044 forcings affects the impact model simulations, the oceanic climate-related forcings have also been 1045 aggregated to one degree resolution as input for the 'obsclim + histsoc, 60arcmin' sensitivity 1046 experiment.

1047

1048 **CRF for the '1955-riverine-input' sensitivity experiment.** The '1955-riverine-inputs' sensitivity 1049 experiment builds on 0.25 degree GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, but 1050 without time-varying riverine inputs. Instead the influx of freshwater and nutrients are fixed at mean 1051 to 1958 levels as described in the "control run" introduced by (Liu et al., 2021). The data is 1052 interpolated to a regular 0.25 degree grid in the same way as the default 'obsclim' CRFs.

1053

1054 We currently do not provide counterfactual versions of the ocean data forcing, though options are being1055 explored.

 1057
 Table 8: ISIMIP3a oceanic climate-related forcing. Variables with suffixes -bot, -surf, and -vint were obtained from the seafloor, the top layer of the ocean, and vertical integration, respectively.

Variable	Variable	Unit	Resolution	Datasets
	specifier			
Mass concentration of total phytoplankton expressed as chlorophyll	chl	kg m-3	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 applied when converting from mass to volumetric unit, i.e. µg kg-1 to kg m-3
Downward flux of organic particles expressed as organic carbon at ocean bottom	expc-bot	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived from nitrogen

				detritus flux at ocean bottom (fndet_btm) by multiplying with fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for regional models (see Table 1).
Particulate organic carbon content in the upper 100 m	intpoc	kg m- 2	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived by aggregating bacterial, detritus, diazotroph, large+small phytoplankton, large+medium+small zooplankton nitrogen biomass and multiplying by a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for
Net primary organic carbon production by all types of phytoplankton in grid cell column	intpp	mol m-2 s-1	0.25° and 1° grid, monthly	regional models (see Table 1). GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived by aggregating net primary productions by diatoms, diazotrophs and pico- phytoplankton and under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for

				regional models (see Table 1).
Net primary organic carbon production by diatoms in grid cell column	intppdiat	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for
				regional models (see Table 1).
Net primary organic carbon production of carbon by diazotrophs in grid cell column	intppdiaz	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the assumption of a fixed N-C ratio of 6.625. Extractions for individual grid cells available in ASCII format for regional models (see Table 1).
Net Primary Mole Productivity of Carbon by Picophytoplankton in grid cell column	intpppico	mol m-2 s-1	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Derived under the

				assumption of a fixed N-C ratio of 6.625.
Mixed Layer Ocean Thickness defined by a Sigma Theta difference (= density difference) of 0.125 kg m-3 compared to the surface	mlotst-0125	m	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Dissolved oxygen concentration; vertically resolved, at the bottom or at the surface, respectively	o2, o2-bot, o2-surf	mol m-3	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells of the bottom and surface layer available in ASCII format for regional models (see Table 1).
pH; vertically resolved, at the bottom or at the surface, respectively	ph, ph-bot, ph-surf	1	0.25° and 1° grid, 35 levels (m from the surface), ocean bottom and surface fields, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input') where pH is derived from ion concentrations H+ as pH = - $log_{10}(H+)$. Extractions for individual grid cells of the bottom and surface layer available in ASCII format for regional models (see Table 1).

	·	·	r	·
Total phytoplankton	phyc, phyc-	mol	0.25° and 1°	GFDL-COBALT2 simulation forced
carbon concentration;	vint	m-3	grid, 35 levels (m	by the JRA-55 reanalysis, both
vertically resolved or			from the surface)	accounting for climate-driven
integrated over the			and vertically	changes in riverine inputs
grid cell column,			integrated,	('default') or assuming fixed levels
respectively			monthly	of riverine inputs ('1955-riverine-
				input'). Aggregated from diatom,
				diazotroph and pico-phytoplankton.
				Standard salt water density of
				1035 kg m-3 and fixed N-C ratio of
				6.625 applied when converting
				from mass to volumetric unit, i.e.
				mol kg-1 to mol m-3.
				Extractions for individual grid cells
				of the vertically integrated data set
				are available in ASCII format for
				regional models (see Table 1).
Concentration of	phydiat,	mol	0.25° and 1°	GFDL-COBALT2 simulation forced
diatoms expressed as		m-3	grid, 35 levels (m	by the JRA-55 reanalysis, both
carbon in sea water;	phydiat-vint	111-5	from the surface)	accounting for climate-driven
vertically resolved or			and vertically	changes in riverine inputs
integrated over the			integrated,	('default') or assuming fixed levels
grid cell column,			monthly	of riverine inputs ('1955-riverine-
respectively			monuny	input'). Standard salt water density
respectively				of 1035 kg m-3 and fixed N-C ratio
				of 6.625 applied when converting
				from mass to volumetric unit, i.e.
				mol kg-1 to mol m-3.
				Extractions for individual grid cells
				of the vertically integrated data set
				are available in ASCII format for
				regional models (see Table 1).

Concentration of diazotrophs expressed as carbon in sea water; vertically resolved or integrated over the grid cell column, respectively	phydiaz, phydiaz-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.
Mole concentration of picophytoplankton expressed as carbon in sea water; vertically resolved or integrated over the grid cell column, respectively	phypico, phypico-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.
Net downward shortwave radiation at sea water surface	rsntds	W m- 2	0.25° and 1° grid, monthly	From JRA-55 reanalysis
Sea ice area fraction	siconc	%	0.25° and 1° grid, monthly	From JRA-55 reanalysis
Sea water salinity; vertically resolved, at the bottom, or at the surface, respectively	so, so-bot, so-surf	0.001	0.25° and 1° grid, 35 levels (m from the surface), ocean bottom and surface fields, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells of the surface and bottom layer are

				available in ASCII format for regional models (see Table 1).
Sea water potential temperature	thetao	°C	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Ocean model cell thickness	thkcello	m	0.25° and 1° grid, 35 levels (m from the surface), constant	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Sea water potential temperature at sea floor (bottom)	tob	°C	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extractions for individual grid cells are available in ASCII format for regional models (see Table 1).
Sea surface temperature	tos	°C	0.25° and 1° grid, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Extracted from uppermost ocean layers potential

				temperatures. Extractions for individual grid cells are available in ASCII format for regional models (see Table 1).
Sea water zonal velocity	uo	m s-1	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Sea water meridional velocity	vo	m s-1	0.25° and 1° grid, 35 levels (m from the surface), monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input')
Concentration of zooplankton of meso size expressed as carbon in seawater; vertically resolved or integrated over the grid cell column, respectively	zmeso, zmeso-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Aggregated from large and medium zooplankton. Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see Table 1).

Concentration of zooplankton of micro scale expressed as carbon in seawater; vertically resolved or integrated over the grid cell column, respectively.	zmicro, zmicro-vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'). Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e.
			0.05%	mol kg-1 to mol m-3. Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see Table 1).
Total Zooplankton Carbon Concentration; vertically resolved or integrated over the grid cell column, respectively	zooc, zooc- vint	mol m-3	0.25° and 1° grid, 35 levels (m from the surface) and vertically integrated, monthly	GFDL-COBALT2 simulation forced by the JRA-55 reanalysis, both accounting for climate-driven changes in riverine inputs ('default') or assuming fixed levels of riverine inputs ('1955-riverine- input'), aggregated from large, medium and micro zooplankton. Standard salt water density of 1035 kg m-3 and fixed N-C ratio of 6.625 applied when converting from mass to volumetric unit, i.e. mol kg-1 to mol m-3.
				Extractions for individual grid cells of the vertically integrated data set are available in ASCII format for regional models (see Table 1).

4 Direct human forcings

4.1 Population data

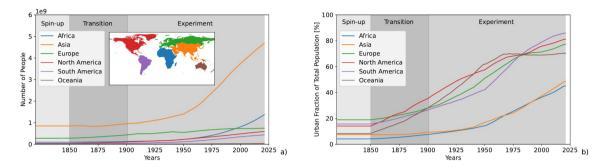


Figure 4: Historical evaluation of population for different continents.	Total number of people living in the
region (panel a) and urban population as a fraction of the total population pe	r region (panel b).

Variable	Variable specifier	Unit	Resolution	Datasets
National population	рор	Number of people in millions	annual	UN 2019 WPP database (2023): census-based from 1950 to 2020 + "medium-variant" forecast provided for 2021
Gridded total population	total-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and adjusted to match the national UN numbers described above (see text below)
Gridded rural population	rural-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and rescaled by the same national scaling factors as the total population
Gridded urban population	urban-population	Number of people	0.5°x 0.5°, annual	HYDE3.3 data for 1950-2020 constantly extended to 2021 and rescaled by the same national scaling factors as the total population

Table 9: Population data provided as part of the ISIMIP3a direct human forcing.

For ISIMIP3a we provide consistent gridded and national population data (see Table 9) by rescaling
 the gridded data to match the national aggregates. Figure 4 shows the temporal evolution of total and
 urban population for different continents.

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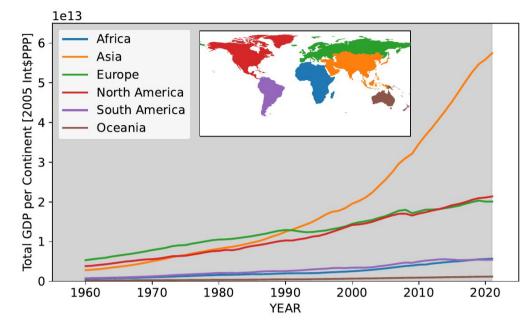
1075 National data. Annual national population data are taken from the 2019 UN World Population Prospects 1076 (WPP) database for the period from 1950 – 2021 (United Nations, 2019). The 2019 revision of the WPP 1077 provides census-based population numbers from 1950 through 2020. For the year 2021, we use the 1078 "medium-variant" of the probabilistic forecast also provided by the WPP. The forecast accounts the 1079 past experience of each country, while reflecting uncertainty about future changes based on the past 1080 experience of other countries under similar conditions (see United Nations, 2019 for details). For 1081 countries not covered in the database, estimates are taken from the MissingIslands dataset (Arujo et 1082 al., 2021) to finally provide population data for 249 countries.

1083

1084 Gridded data. We provide gridded population data that is based on HYDE v3.3 (Klein Goldewijk, 2022). 1085 Just like the original dataset we provide total, rural and urban population per orid cell. The original HYDE 1086 3.3 data was on a 1/12°×1/12° grid and has been interpolated to ISIMIP's 0.5°×0.5° grid. Furthermore, 1087 the land-sea distinction was modified to comply with the ISIMIP country mask (see Table 1). Before the 1088 year <u>1950</u>2000 HYDE provides data every ten years, the intermediate years have been filled by linear 1089 interpolation. Also, the original HYDE data ends in 2020. So to cover the whole ISIMIP3a time frame 1090 the final year 2020 has been duplicated as 2021. In this way annual coverage of 1850 to 2021 has been 1091 achieved.

1092 Data for Aall grid cells of a country, as defined by the ISIMIP 0.5°×0.5° fractional country map (see 1093 **Table 1**), have been rescaled such that the country's total population matches the numbers provided in 1094 the national population data. Since the national data only starts in 1950, all years prior to 1950 have 1095 been rescaled by the national scaling factors of 1950. The urban and rural populations have been 1096 rescaled by the same national scaling factors as the total population.

1097



1101 1102

Figure 5: Aggregated GDP (Int\$ PPP 2005) for different continents. 1103 1104

Table 10: GDP data provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resolution	Datasets
National Gross Domestic Product	gdp	Int\$ PPP 2005	annual	World Bank's World Development Indicator database (Anon, 2008)
Gridded Gross Domestic Product	gridded-gdp	Int\$ PPP 2005	annual	National GDP data downscaled to the 0.5° grid according to (Wang and Sun, 2022)

- 1106 Similar to the population data we also provide gridded and national GDP data (see Table 10). The
- 1107 downscaling of the national numbers is based on population and nightlight data (see below). In contrast
- 1108 to ISIMIP2a the gridded GDP and population data are now consistent such that previous artefacts in
- 1109 the derived GDP per capita could be eliminated (see below). Figure 5 shows the historical increase in
- 1110 GDP for different continents.¶
- 1111 l
- 1112 National GDP data. Time series of per-capita GDP for the time period 1960-2021 are taken from the
- 1113 World Bank's World Development Indicator database (WDI) (Anon, 2008) and converted into constant
- 1114 2005 Int\$PPP, using deflators and PPP conversion factors from WDI. For countries not covered in the

WDI database, data from the MissingIslands dataset (Arujo et al., 2021) is used to allow covering 249
countries. Following a method developed by (Koch and Leimbach, 2023); the values for the year 2021
are derived from the IMF's World Economic Outlook short-term estimates of GDP per capita growth
(International Monetary Fund, 2021) that comprise estimates of the growth impacts of the Covid-19
shock.

1120

1121 Gridded GDP data. Gridded GDP data at 0.5 degree resolution are derived from the national GDP time 1122 series by applying the LitPop method (Zhao et al., 2017; Eberenz et al., 2019), which uses the ISIMIP3a 1123 aridded population based on HYDE v.3.3 and nighttime light (NTL) data to downscale national GDP 1124 data for the period 1960-2021 to the ISIMIP 0.5°×0.5° grid. For the GDP data provided here (Wang 1125 and Sun, 2022), Here, the LitPop approach was applied using a combination of Hyde3.3 based gridded 1126 population data and NTL images from both the NOAA's DMSP-OLS stale light database, version 4 1127 (Earth observation group defense meteorological satellite progam, boulder) and the Suomi-NPP-1128 VIIRS Day/Night Band (DNB, (Elvidge et al., 2017). The Suomi-NPP-VIIRS data set is a newer product 1129 that has a higher resolution of 15 arcseconds and features a wider radiometric detection range but it 1130 was launched only in 2012. Using relations from the overlapping years allowed for improvements of the 1131 longer running DMSP-OLS data. This way NTL data covering the years 2000-2020 was obtained. For 1132 the earlier years from 1960 to 1999 the NTL data from 2000 was used, and in the same vein 2021 NTL 1133 data was assumed to be identical to the 2020 values. Together with the Hyde3.3-based gridded 1134 population data provided within ISIMIP3a, the annual time series of national GDP over 1960 - 2021 1135 were disaggregated to the ISIMIP 0.5°×0.5° grid using the LitPop approach. 1136 1137 As the disaggregation of GDP is not only based on population but also uses the NTL GDP per capita, 1138 it is not constant within different countries. Deriving the gridded GDP data from the gridded population 1139 data provided within ISIMIP3a ensures that the both data sets can be combined such that the associated

1140 GDP per capita does no longer show the artefacts that have been found in the ISIMIP2a GDP per capita

1141 (ISIMIP2a: suspicious gridded GDP per capita data; new functions in the isimip data repository; Forum

and

Societal

Futures.

2023).

Climate

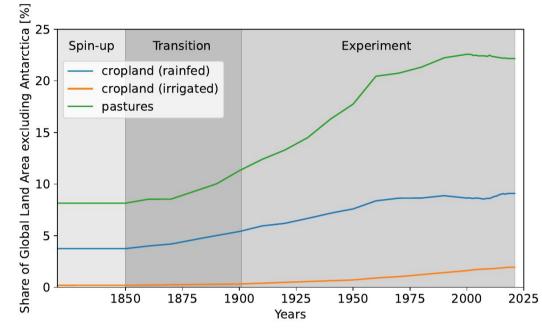
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Scenarios

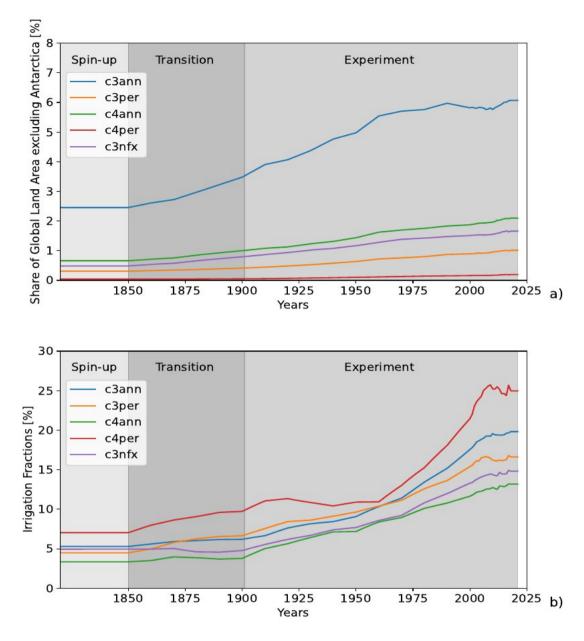
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4.3 Land use and irrigation patterns



1149 1150 Figure 6: Share of Global Land Area excluding Antarctica covered by rainfed cropland (green), irrigated cropland (blue), and pasture (orange) [%]. The information is from the LUH \underline{v} 2 data set provided as direct human

forcing for ISIMIP3a (see details below).



1153 1154 1155 1156 1157 1158 Figure 7: Panel A: Share of Global Land Area excluding Antarctica covered by different groups of crops (C3 annual (blue), C3 perennial (orange), C4 annual (green), C4 perennial (red), C3 nitrogen fixing (purple)). Panel B: Fraction of irrigated land for the different groups of crops. The information is from the LUH v2 data set-provided as direct human forcing for ISIMIP3a (see details on further disaggregation of the LUH v2 groups below).

1159

Table 11: Historical land use and irrigation patterns provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resoluti	Datasets
			on	

Total crop land, rainfed cropland ₁₇ irrigated cropland , pastures	cropland_total, cropland_rainfed, cropland_irrigated , ¶ pastures	unitless (share of area in a grid cell)	0.5°×0.5°, annual	LUH2 <u>v2</u> (Hurtt et al., 2020, Land use harmonization, 2023)
<u>pastures¤</u>	pastures¤	unitless (share of area in a grid cell)¤	<u>0.5°×0.5°,</u> <u>annual¤</u>	sum of 'managed_pastures ' and 'rangeland' from HYDE 3.2 (see below)¤
<u>Managed</u> pastures¤	<u>managed_pastures¤</u>	<u>1</u> (share of area in a grid cell)¤	0.5°×0.5°, annual¤	first subcategory of 'pastures' from HYDE 3.2 (see above)¤
<u>rangeland</u> ¤	rangeland¶ ≖	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	second subcategory of 'pastures' from HYDE 3.2, more extensive management than 'managed pastures' (see above) ¤
C3 annual rainfed cropland, C3 annual irrigated cropland ,	c3ann_irrigated, c3ann_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	LUH <u>v</u> 2, for the disaggregation we consider C3 annual to be: rapeseed, rice, temperate cereals, temperate roots, tropical roots, sunflower, others C3 annual (see below)

C3 perennial	<u>c3per_irrigated,</u> ¶	1	<u>0.5°×0.5°,</u>	LUH v2 (this
cropland ¤	<u>c3per_rainfed¤</u>	<u>(share</u>	<u>annual¤</u>	variable appears in
		of area		the file only
		<u>in a</u>		distinguishing 5
		<u>grid</u>		land use types and
		<u>cell)¤</u>		in the file with the
				downscaled 15 land
				use types. The
				provided values are
				<u>identical)¤</u>
C3 nitrogen-	<u>c3nfx_irrigated,</u> ¶	1	<u>0.5°×0.5°,</u>	LUH v2 for the
fixing rainfed	<u>c3nfx_rainfed</u> <u>¤</u>	<u>(share</u>	<u>annual¤</u>	disaggregation we
cropland, C3		of area		consider 'C3
nitrogen-fixing		<u>in a</u>		nitrogen-fixing' to
irrigated cropland¤		<u>grid</u>		be: groundnut,
		<u>cell)¤</u>		<u>pulses, soybean,</u>
				others C3 nitrogen-
				fixing (see below)¤
C4 annual	c4ann_irrigated, c4ann_rainfed ¤	1	<u>0.5°×0.5°,</u>	LUH v2, for the
rainfed cropland,		<u>(share</u>	<u>annual¤</u>	disaggregation we
C4 annual		<u>of area</u>		consider 'C4
irrigated cropland¤		<u>in a</u>		annual' to be:
		<u>grid</u>		maize, tropical
		<u>cell)¤</u>		<u>cereals (see below)¤</u>
C4 perennial	<u>c4per_irrigated,</u> ¶	<u>1</u>	<u>0.5°×0.5°,</u>	LUH v2 (this
rainfed cropland,	<u>c4per_rainfed¶</u>	<u>(share</u>	<u>annual¤</u>	variable appears in
C4 perennial	<u>¤</u>	of area		the file only
irrigated cropland		<u>in a</u>		distinguishing 5
		<u>grid</u>		land use types and
		<u>cell)¤</u>		in the file with the
				downscaled 15 land
				<u>use types. The</u>
				provided values are
				identical), in the file
				with the 15 crops
				<u>'C4 perennial' is</u>
				considered to be

				sugarcane¤
Fraction of grid cell where maize is grown (rainfed and irrigated) Cropland downscaled to 15 crops, for both rainfed and irrigated land	maize_irrigated, maize_rainfed	1 (share of area in a grid cell)	0.5°×0.5° annual	downscal <u>ed from</u> LUH <u>v</u> 2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where groundnut is grown (rainfed and irrigated) ¤	oil_crops_groundnut_irrigated, oil_crops_groundnut_rainfed, ¤	1 (share of area in a grid cell)¤	0.5°×0.5° annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤

Fraction of grid cell where rapeseed is grown (rainfed and irrigated) ¤	oil_crops_rapeseed_irrigated, oil_crops_rapeseed_rainfed ¤	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where soybean is grown (rainfed and irrigated) ¤	oil_crops_soybean_irrigated, oil_crops_soybean_rainfed¤	1 (share of area in a grid cell)¤	<u>0.5°×0.5°,</u> <u>annual¤</u>	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where sunflower is grown (rainfed and irrigated) ¤	oil_crops_sunflower_irrigated, oil_crops_sunflower_rainfed ¤	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where pulses are grown (rainfed and irrigated) ¤	pulses_irrigated, ¶ pulses_rainfed ¤	<u>1</u> (share of area in a grid cell)¤	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where rice is grown (rainfed and irrigated) ¤	<u>rice_irrigated,</u> ¶ <u>rice_rainfed</u> ¤	<u>1</u> (share of area in a	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from

Fraction of grid cell where temperate cereals are grown (rainfed and irrigated) ¤	temperate_cereals_irrigated, temperate_cereals_rainfed ¤	grid cell)¤ 1 (share of area in a grid cell)¤	<u>0.5°×0.5°,</u> annual≖	(Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤ downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where temperate roots are grown (rainfed and irrigated) ¤	temperate_roots_irrigated, temperate_roots_rainfed ¤	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where tropical cereals are grown (rainfed and irrigated) ¤	tropical_cereals_irrigated, tropical_cereals_rainfed ¤	1 (share of area in a grid cell)¤	<u>0.5°×0.5°,</u> annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤
Fraction of grid cell where tropical roots are grown (rainfed and irrigated) ¤	tropical_roots_irrigated, tropical_roots_rainfed¶ ≞	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)¤

Fraction of grid cell where C3 annual crops other than rapeseed, rice, temperate cereals, temperate roots, tropical roots, and sunflower are grown (rainfed and irrigated)	others_c3ann_irrigated, others_c3ann_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Fraction of grid cell where nitrogen fixing C3 crops other than groundnut, pulses, and soybean are grown (rainfed and irrigated)	others_c3nfx_irrigated, others_c3nfx_rainfed	1 (share of area in a grid cell)	0.5°×0.5°, annual	downscaled from LUH v2 data based on the crop distribution from (Monfreda et al., 2008). The method is described in (Frieler et al., 2017)
Urban areas¤	urbanareas¤	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	LUH v2 ≖
<u>Urban areas¤</u>	<u>urbanareas¤</u>	1 (share of area in a grid cell)¤	0.5°×0.5°, annual¤	<u>LUH v2¤</u>

1161

1162 Historical land use and irrigation patterns for ISIMIP3a and ISIMIP3b, group I and group II simulations

are taken from LUH_v2 (Hurtt et al., 2020, Land use harmonization, 2023). The data set is, up to 2018,

1164 identical to the data provided with ISIMIP2b. The data are based on the HYDE 3.2 land use data set

(Klein Goldewijk et al., 2017) and have been constantly extended up to 2021, i.e., by copying the 2018patterns into 2019, 2020, and 2021.

- 1167 The original HYDE 3.2 data distinguishes four categories of land use: rainfed and irrigated cropland, 1168 managed pastures, and more extensively managed rangelands (see Table 11). The latter two 1169 categories are combined to grazing lands (ISIMIP variable 'pastures', see Figure 6). In LUH v2 the crop 1170 land information is further downscaled to five crop types: C3 annual plants, C3 perennial plants, C3 1171 nitrogen fixing plants, C4 annual plants and C4 perennial plants (see global aggregates in Figure 7). In 1172 the same vein as the HYDE case, the LUH v2 data set distinguishes between rainfed and irrigated 1173 croplands. For the purpose of driving the ISIMIP impact models, the LUH v2 data was interpolated from 1174 the original 0.25° × 0.25° to the standard ISIMIP 0.5° × 0.5° global grid. In a further downscaling step the 5 crops land use data has been downscaled even further to 15 crop types (see global aggregates 1175 1176 in Figure 7). For this purpose the Monfreda land use dataset (Monfreda et al., 2008) has been used. It 1177 describes the crop land areas of 175 crops in the year 2000, and we use this to downscale the 5 crops 1178 categories into land use areas of 15 more specific crop types (maize, groundnut, rapeseed, soybeans, 1179 sunflower, rice, sugarcane, pulses, temperate cereals (including wheat), temperate roots, tropical 1180 cereals, tropical roots, others annual, others perennial, and others N-fixing). The ratios determined from 1181 the year 2000 numbers have then been applied to all years. For further details please refer to (Frieler 1182 et al., 2017).¶ 1183 P 1184 The areas outside of the specified agricultural and urban land is considered 'natural vegetation' and not 1185 prescribed further to not constrain the dynamical vegetation models. 1186 1 1187 1188
- 1189

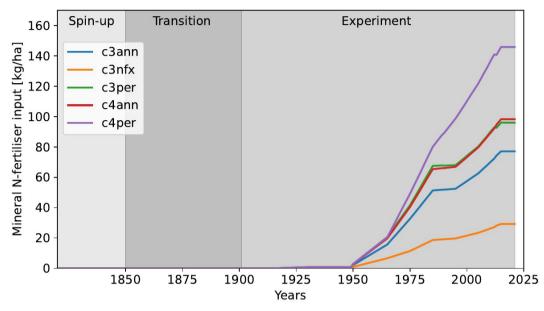


Figure 8: Mean mineral N-fertiliser input averaged across the land areas where the considered crop groups are grown.

Table 12: Fertiliser inputs provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resolution	Datasets
Mineral N-fertiliser input for <u>annual 5 crop types</u> (C3 <u>crops annual, C3</u> perennial, C4annual, C4 perennial, C3 nitrogen fixing)	fertl_c3ann, fertl_c3per, fertl_c4ann, fertl_c4per, fertl_c3nfx	kg ha-1 yr-1 (crop season)	0.5°×0.5°, annual	LUH <u>v</u> 2 <u>(Hurtt et al.,</u> 2020)¶
Mineral N-fertiliser input for perennial C3 crops	<u>fertl_c3per</u>	<u>kg ha-1</u> yr-1 (crop <u>season)</u>	<u>0.5°×0.5°,</u> annual	<u>LUH v2 (Hurtt et al</u> 2020)¶

Mineral N-fertiliser input for annual C4 crops	fertl_c4ann	<u>kg ha-1</u> yr-1 (crop <u>season)</u>	<u>0.5°×0.5°,</u> <u>annual</u>	LUH v2 (Hurtt et al., 2020)¶
Mineral N-fertiliser input for perennial C4 crops	<u>fertl_c4per</u>	<u>kg ha-1</u> <u>yr-1 (crop</u> <u>season)</u>	<u>0.5°×0.5°,</u> annual	<u>LUH v2 (Hurtt et al.,</u> 2020)¶
Mineral N-fertiliser input for nitrogen-fixing C3 crops	<u>fertl_c3nfx</u>	<u>kg ha-1</u> yr-1 (crop <u>season)</u>	0.5°×0.5°, annual	<u>LUH v2 (Hurtt et al.,</u> 2020)¶

1198 The LUHv2 data set also includes national application rates of industrial nitrogen fertiliser (Hurtt et al., 1199 2020). This does not include manure. The fertiliser data is not based on HYDE but was derived from 1200 other sources. The data for the years 1915–1960 are based on (Smil, 2001), 1961–2011 are based on 1201 a compilation by (Zhang et al., 2015) which in turn is based on FAOSTAT (FAO, 2016), and 2012–2015 1202 are based on a projection by the International Fertilizer Association (IFASTAT, 2015). For the pure crop 1203 runs within ISIMIP, where the considered crops are assumed to to-be grown everywhere without a land 1204 use specification, the LUH v2 national fertiliser inputs are assumed to be applied everywhere within the 1205 country. To calculate crop production, the LUH2 v2 land use patterns are applied in post-processing, 1206 i.e. by multiplying the crop yields from the pure crop run with the land use patterns (fraction of the grid 1207 cell where the crop has been grown).

1208

1209 **4.5 Land transformation**

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1211 <u>Table 13: Land transformation and wood harvest provided as part of the ISIMIP3a direct human forcing.</u>

Variable	Variable specifier	Unit	Resolu tion	Datasets
Wood harvest area from primary forest land	primf-harv	Fraction of the national land area, kg in case	Annual , nationa I sum	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022, Land use

		of biomass		harmonization, 2023)
Wood harvest area from primary non- forest land	primn-harv¶	Fraction of the national land area, kg in case of biomass	<u>Annual</u> <u>∗</u> <u>nationa</u> <u>I sum</u>	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)
Wood harvest area from secondary mature forest land¤	<u>secmf-harv¤</u>	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> <u>•</u> <u>nationa</u> <u>I sum¤</u>	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤
Wood harvest area from secondary young forest land¤	<u>secyf-harv</u> ¤	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> <u>∗</u> <u>nationa</u> <u>I sum¤</u>	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤
Wood harvest area from secondary non- forest land¤	<u>secnf-harv</u> ¶ ≖	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> <u>∗</u> <u>nationa</u> <u>I sum¤</u>	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤
<u>Wood harvest</u> <u>biomass carbon from</u> <u>primary forest land¤</u>	<u>primf-bioh</u> ¶ ≖	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> <u>∗</u> <u>nationa</u> <u>I sum¤</u>	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤

Wood harvest biomass carbon from primary non-forest land¤ Wood harvest biomass carbon from	primn-bioh ¶ ¤ secmf-bioh ¤	Fraction of the national land area, kg in case of biomass¤ Fraction of the	Annual [⊥] <u>I sum</u> ¤ Annual	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤ Based on LUH v2 v2h (Hurtt et al.,
secondary mature forest land¶ ¤		national land area, kg in case of biomass¤	<u>nationa</u> <u>I sum¤</u>	<u>2011, 2020; del</u> <u>Valle et al., 2022)¤</u>
Wood harvest biomass carbon from secondary young forest land¶ ¤	<u>secyf-bioh</u> ¤	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> ₊ <u>nationa</u> I sum¤	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤
Wood harvest biomass carbon from secondary non-forest land¶ ¤	<u>secnf-bioh</u> ¤	Fraction of the national land area, kg in case of biomass¤	<u>Annual</u> ₊ <u>nationa</u> I sum¤	Based on LUH v2 v2h (Hurtt et al., 2011, 2020; del Valle et al., 2022)¤
Not forest-related land transformations All transitions from one type of land use to another	<type 1="">_to_<type 2=""> With type 1 and type 2 from the following list: secdf (potentially forested secondary land), secdn (potentially non- forested secondary land), urban (urban land), c3ann (C3 annual crops), c4ann (C4 annual crops), c3per (C3 perennial crops),</type></type>	Fraction of the grid cell	Annual	Based on LUH2 v2h (Hurtt et al., 2011, 2020, Land use harmonization, 2023); Land is considered to be 'potentially forested' if the above ground biomass density (kg C m-2) of the potential vegetation

c4per (C4 perennial crops),		as estimated by the
c3nfx (C3 nitrogen-fixing		Miami-LU model
crops),		accounting for
pastr (managed pasture)		changes in cropland
range (rangeland)		and grazing land
		<u>is > 2 kg C m-2</u>
		<u>(Hurtt et al., 2020)</u>

1213 These datasets are based on the LUH v2h Harmonization Data Set covering 850 to 2015 (Hurtt et al., 1214 2020, Land use harmonization, 2023). The wood harvest data were obtained by aggregating from the 1215 original LUH<u>v</u>2 grid to the ISIMIP $0.5^{\circ} \times 0.5^{\circ}$ grid (first-order conservative remapping) and then 1216 aggregating to the national sums. Wood harvesting data are used in the vegetation models to mimic 1217 wood removal as part of forest management and clearing, and has a strong influence on the carbon 1218 balance. National data are provided so that models can use their internal routines to distribute the 1219 harvesting within a country's forest area. The gridded land transformation data were obtained by 1220 aggregating from the original LUH_v2 grid to the ISIMIP $0.5^{\circ} \times 0.5^{\circ}$ grid; these data always end a year 1221 earlier than all other land use data, because a year in these data sets actually describes the changes 1222 from the current to the next year. The data have been extended up to 2021 by copying the 2015 data 1223 into the following years (files end in 2020).

1224

1226 4.6 Nitrogen Deposition

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1228 Table 14: Nitrogen deposition provided as part of the ISIMIP3a direct human forcing.

Variable	Variable specifier	Unit	Resolution	Datasets
Reduced nitrogen deposition	nhx	g N m-2 mon- 1	monthly	based on simulations from (Tian et al., 2018)
Oxidised nitrogen deposition	noy	g N m-2 mon- 1	monthly	based on simulations from (Tian et al., 2018)

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1230

Reduced and oxidised nitrogen deposition (NHx, NOy) are based on simulations by the NCAR
Chemistry-Climate Model Initiative during 1850-2014 (Tian et al., 2018). Nitrogen deposition data was
interpolated to 0.5° by 0.5° using the nearest grid point method. Data in 2015-2021 are assumed to be
the same as that in 2014.

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1236 4.7 Crop calendar

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1238 Table 15: Crop calendar provided as optional representation of agricultural management. The information is given for 18 crop types.

Variable	Variable specifier	Unit	Resolution	Datasets
Planting day, separated for rainfed and irrigated crops where applicable	planting_day	day of year	0.5°, time average, no variation in time	(Jägermeyr et al., 2021b)

Maturity day,	maturity_day	day of year	0.5°, time	(Jägermeyr et al.,
separated for			average, no	2021b)
rainfed and			variation in	
irrigated crops			time	
where				
applicable				

1241 Unfortunately, there is no global data set describing changes in growing seasons across the historical 1242 period. Instead we provide a static crop calendar that has been developed within the AgMIP Global 1243 Gridded Crop Model Intercomparison GGCMI and merges information from various observational data 1244 sources (Jägermeyr et al., 2021b). It provides planting and maturity days for 18 different crops at the 1245 ISIMIP standard 0.5° grid. Grid cells outside of currently cultivated areas are spatially extrapolated 1246 (details below). For wheat and rice two growing seasons are provided while for all other crops the 1247 calendar only specifies one main growing season. The reported growing seasons should not be considered the growing seasons for one specific year but as 'representative growing season' across 1248 1249 the recent years. Within the crop models different crop varieties are represented by different the heat 1250 units required to reach physiological maturity. The crop calendar should be implemented by adjusting 1251 the required heat units to the average of the annual sums of heat units between the specified planting 1252 and maturity date over all growing seasons between 1979 and 2010.

1253 If modellers use a temporal adjustment of cultivars by varying required heat units in response to socio-1254 economic development or historical climate change this is certainly allowed within the 'histsoc' set-up. 1255 If cultivars are fixed according to the method described above this simulation will be considered a 1256 '2015soc' simulation as long as other direct human drivers are also held constant at 2015 levels. 1257 However, if, e.g., fertiliser inputs are varied over time according to provided forcing data (see section 1258 4.4), the run will be considered a 'histsoc' run.

GGCMI is currently working on a temporally resolved global crop calendar at the same spatial resolution
based on various new data sources including agricultural ministries, census reports, phenological data
bases, experimental sites, etc. This data set will be published separately and could then be used to
inform 'histsoc' simulations.

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1264 **4.8 Dams and reservoirs**

1265

1266 Table 16: Information about dams and reservoirs

Variable	Variable specifier	Unit	Resolution	Datasets
Unique ID for each point representing a dam and its associated	ID	unitless numbers: 1- 7320 from	per dam	Global Reservoir and Dam Database

reservoir.		GRanD and J3-J26 from GeoDAR v1.2		(GRanDv1.3, data up to 2016; (Lehner et al., 2011a, b) and GeoDAR v1.2 (Wang et al., 2022) covering the period 2016- 2020
Name of the dam structure	DAM_NAME	unitless	per dam	GRanDv1.3, GeoDARv1.2
Original longitudinal location of the dam	LON_ORIG	degree (°)	per dam	GRanDv1.3, GeoDARv1.2
Original longitudinal location of the dam	LAT_ORIG	degree (°)	per dam	GRanDv1.3, GeoDARv1.2
Longitude, adjusted to the ISIMIPddm30 0.5° grid cell centres	LON_DDM30	degree (°)	per dam	Adjustment of original GRanDv1.3, GeoDARv1.2 data
Latitude, adjusted to the ISIMIPddm30 0.5° grid cell centres	LAT_DDM30	degree (°)	per dam	Adjustment of original GRanDv1.3, GeoDARv1.2 data
Upstream area draining into the reservoir using ISIMIPddm30	CATCH_SKM_DDM30	km²	per dam	Derived from dam location and the ISIMIPddm30 drainage map.
Upstream area draining into the reservoir acc. to GRanD [km²]	CATCH_SKM_GRanD	km²	per dam	GRanDv1.3
Representative	CAP_MCM	10 ⁶ m³	per dam	GRanDv1.3,

maximum storage capacity of reservoir				GeoDARv1.2
Year of construction, completion, commissioning, etc. (not specified)	YEAR	year	per dam	GRanDv1.3, GeoDARv1.2 + complemented by internet research
Alternative year (may indicate multi-year construction, secondary dam, etc.)	ALT_YEAR	year	per dam	GRanD
Original, rounded location has been shifted with automatic mapping (FLAG_CORR=1) If visual check or manual re-location has been applied (FLAG_CORR=2)	FLAG_CORR	Unitless labels: 1 or 2	per dam	Introduced when adjusting the locations to the ISIMIPddm30 0.5° grid
Name of the river which the dam impounds	RIVER	unitless	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Country where the dam is located	COUNTRY	unitless	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Height of the dam. If multiple heights are available, the	D_Hght_m	m	per dam	GeoDARv1.2. For GRanD records, it can be found in the

foundation height was used.				GRanD database
Maximum inundation area of the reservoir	R_Area_km2	km²	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Maximum inundation length of the reservoir	R_Lgth_km	km	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Main purpose(s) of the dam	PURPOSE	no units	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database
Sources used to collect this dam's information	SOURCE	no units	per dam	GeoDARv1.2. For GRanD records, it can be found in the GRanD database. If filled out for GeoDAR records, it corresponds to the source for the year of construction/

				commissioning
Other notes related to the mapping or re- location of dams to ISIMIPddm30	COMMENTS	no units	per dam	

1268 In order to offer a consistent and common source of information about reservoirs and associated dams 1269 for climate impact modellers (see Table 16), we joined the Global Reservoir and Dam Database of the 1270 Global Water System Project (GRanD v1.3; (Lehner et al., 2011a, b) with a subset of the Georeferenced 1271 global Dams And Reservoirs (GeoDAR v1.2) database (Wang et al., 2022), developed at Kansas State 1272 University (KSU), and provided by Jida Wang ahead of publication, so that it could be provided when 1273 launching ISIMIP3 in 2020. These additional dams have construction or projected finalisation dates 1274 between 2016 and 2025, while GRanD v1.3 includes dams constructed up until 2017. In total, the 1275 combined database now includes 7331 dams whose construction will bewas finished by 2025. It 1276 includes dams that were constructed before the simulation period, but still in existence during, the 1277 simulation period (the first reported dam was finished in the year 286). In total the reported dams have 1278 a global cumulative storage capacity of approximately 6932 km³ (Figure <u>92</u>). For the simulations 1279 described here, dams with (projected) construction dates after 2020 are not considered; these will 1280 become relevant in the ISIMIP3b-group-III simulations, with exception of the Grand Ethiopian 1281 Renaissance Dam, which we decided to include since its reservoir reached a first stage of filling of 4.9 1282 km³ in July 2020 (BBC news: Nile dam row, 2020; Tractebel: Filling of the reservoir of the Grand 1283 Renaissance Dam, 2020). 1284

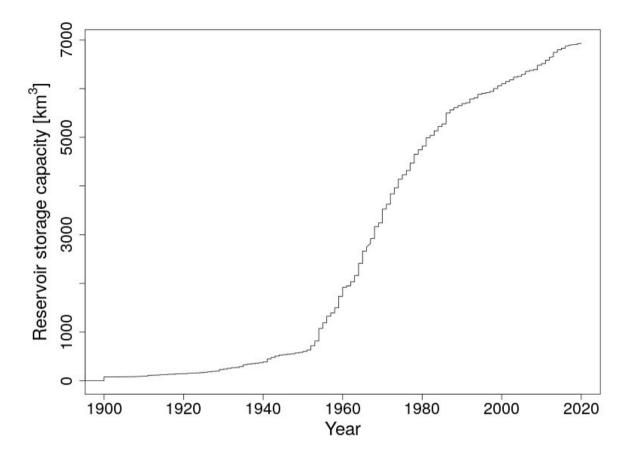


Figure 9: Cumulative reservoir storage capacity between 1900 and 2020. Reservoirs that are active before the year 1901 have been assigned to the year 1900. Horizontal axis shows year of construction, completion, or commissioning, reflecting ambiguity in available data.

1290 The original GRanDv1.3 dam locations were mapped to the global 30-min drainage direction map 1291 (ISIMIPddm30, (Müller Schmied, 2022) based on DDM30 (Döll and Lehner, 2002), by applying the 1292 following algorithm:

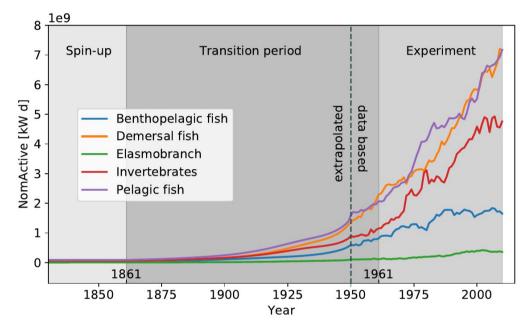
Firstly, the locations have been rounded to the closest 0.5° grid cell centre. Then, the area of the upstream catchment draining into the GRanD reservoirs (previous version of GRanDv1.3) in the ISIMIPddm30 map have been calculated and compared against the ones reported in GRanD. All dams with an upstream area bigger than 10000 km² in GRanD and more than 50% deviation from the GRanD upstream area have been shifted to the 8 possible neighbouring cells. If any of these shifts resulted in a smaller deviation from the GRanD upstream areas, the dam was moved to the grid cell resulting in the smallest deviation in the upstream area.

- Additionally, a visual validation and, where appropriate, manual relocation were applied with the aim to
 find the best fitting grid cell from a hydrological perspective. <u>Due to the low resolution of the model grid</u>,
 reservoirs might get wrongly assigned to e.g. the main stream (either before or after the confluence of
- 1303 two rivers), even though the dam is located in a particular tributary according to the database.
- 1304 In those cases, and based on visual GIS inspection, the best location was searched, e.g. by moving the
- dam location one cell upstream to preserve the routing order and to avoid a different or much deviating
- 1306 river basin in the ISIMIPddm30 stream network. In case a dam is not assigned to any river basin in the
- 1307 ISIMIPddm30 (which can happen due to the difference in spatial resolution), the most suited location

according to the observed upstream area was selected. Because of limited capacity, this visual validation procedure was applied only for dams present in the earlier GranDv1.1 version that have a maximum storage capacity greater than 0.5 km³ (1108 dams), as well as for all the 458 additional dams in GRanDv1.3 and the 11 dams (excluding post-2020 dams) added from GeoDAR v1.2, and not for several thousand smaller dams present in GranDv1.1. In total the reported dams have a global cumulative storage capacity of approximately 6932 km3 (Figure 9).

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1315 **4.9 Fishing intensities**



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Figure 10: Evolution of historical nominal active fishing effort (NomActive) as provided for the spin-up, transition period, and 'obsclim + histoc, default' ISIMIP3a experiment, separated by target functional group. The groups represent an aggregation of 29 even finer categories covered by the data set (see Table 17).

Table 17: Information about historical fishing intensities provided as DHF within ISIMIP3a. For the spin-up + transition period required by models within the *marine ecosystems and fisheries* sector the forcing is provided for 1841-2010 although the 'obsclim + histoc, default' experiment only starts in 1961.

Variable	Variable specifier	Unit	Resolution	Datasets
Total nominal active fishing effort (i.e., accounting for total power of the fleet but not including changes in the efficiency of fishing technology) separated by fishing sector, fleet, and target	NomActiv e	kW d (kilowatts of fleet power times days at sea)	annual data spatially grouped by Exclusive Economic Zones (EEZ), (Sea Around Us Area Parameters and Definitions) and nested within Large Marine Ecosystems. Masks for the latter	Reconstruction based on historical yearbook and FAO compilations ((Rousseau et al., 2022) based on (Rousseau et al., submitted 2023). The reconstructions have

functional groups.		are provided as static	been extended
		geographic	backwards to 1841 by
		information (see Table	constant 1861 values
		1).	to cover the 120 years
			of spin-up required for
			the marine
			ecosystems and
			fisheries models

The data set of reconstructed historical fishing efforts (Rousseau et al., 2022) serves as the DHF for the *marine ecosystems and fisheries* sector. The efforts are quantified for 'artisanal' and 'industrial' fishing (sector), 66 Large Marine Ecosystems (LME), 187 national Exclusive Economic Zones (EEZ) and 'high seas', 244 country identifiers from the Sea Around Us Project (SAUP), 16 different categories of applied gears (e.g. bottom trawls, longlines and purse seines), 29 target functional groups (see nominal active fishing effort for 5 aggregated categories in Figure 10), separately.

- 1331 The original annual time series spanning 1950-2015 were further extrapolated into the past to 1861 1332 using generalised additive models (Rousseau et al., submitted 2023; see Figure 10). To cover the 1333 'spin-up + transition' period from 1841-1960 the data set has been extended backwards by 1861 values. 1334 Forcing with this dataset allows for a comparison of simulated catches against the congruent (Watson, 1335 2019) reconstruction of historical fisheries catches (spanning the period 1869-2015; (Watson and Tidd, 1336 2018). To permit integration into marine ecosystem models that capture different fishing sectors, fleets, 1337 and functional groups these data include nominal active fishing effort disaggregated by location 1338 (Exclusive Economic Zone/High Seas and Large Marine Ecosystem), fishing country, fishing gear, 1339 targeted functional group, and fishing sector (coastal artisanal and industrial). Impact modellers are 1340 allowed to distribute this effort across space, time, and target organisms in any method compatible with 1341 their models' structure. The fishing effort data does not include any information about changes in the 1342 efficiency of fishing technology over time (technological creep). Assumptions about these efficiencies 1343 are left to the individual modellers and usually determined in model calibration.
- 1344

1345 4.10 Forest management for regional forest sector

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 Table 18: Information about historical forest management provided as DHF for the *regional forest* sector within

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 ISIMIP3a

Variable	Variable specifier	Unit	Resolution	Datasets
Silvicultural system	sysi	na	stand	(Reyer et al., 2023)
Tree species	species	na	stand	(Reyer et al.,

				2023)
Harvest type	harvtype	na	stand	(Reyer et al., 2023)
Thinning type	thintype	% of basal area	stand	(Reyer et al., 2023)
Rotation length	rotlength	year	stand	(Reyer et al., 2023)
Thinning frequency	thinfrequ	year	stand	(Reyer et al., 2023)
Year of Management intervention	manyear	year	stand	(Reyer et al., 2023)
Type of management intervention	mantype	na	stand	(Reyer et al., 2023)
Regeneration species	regen	na	stand	(Reyer et al., 2023)
Planting density	plantdens	na	stand	(Reyer et al., 2023)
Planting age	plantage	year	stand	(Reyer et al., 2023)
Planting seedling height	planthei	m	stand	(Reyer et al., 2023)
Planting diameter at breast height	plantdbh	cm	stand	(Reyer et al., 2023)
Age when diameter at breast height is reached	dbhage	year	stand	(Reyer et al., 2023)
Stem number	stemno	na	stand	(Reyer et al., 2020a) based on (Reyer et al., 2020b)

1350 For the regional forest sector, forest management is defined for nine forest sites in Europe, four in 1351 Germany (Peitz, KROOF, Solling-beech, Solling-spruce) as well in Czech Republic (Bily Kriz), Denmark 1352 (Sorø), France (Le Bray), Italy (Collelongo) and Finland (Hyytiälä) (Rever et al., 2020b). For the 1353 historical period, observed stem numbers and forest thinning types are provided in the same ways as 1354 in ISIMIP2b from the PROFOUND Database (Reyer et al., 2020b) so that modellers can mimic the 1355 exact management that has happened at the site and perform the histsoc runs as close to reality as 1356 possible (for further information about the ISIMIP2 simulation round see introduction). Additionally, a 1357 set of forest site-specific forest management rules and planting numbers based on historical standard 1358 management practices of the area where the forest sites are located are defined and spelled out in 1359 concrete management schedules to enable modellers to simulate '2015soc' conditions (Rever et al., 1360 2023). The regional forest management data has not been harmonised to the global gridded wood 1361 harvest data provided for the biomes sector, because the data is very site-specific and the variation not 1362 resolved in the global data set.

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1364 5 Conclusion Discussion

1365 The first part of the third simulation round of the Inter-sectoral Impact Model Intercomparison Project 1366 ISIMIP (ISIMIP3a) is intended to facilitate impact model evaluation and impact attribution experiments 1367 to significantly move forward our understanding of observed changes in natural and human systems 1368 and their respective drivers. Impact models as participating in ISIMIP encode our process knowledge 1369 on how several drivers (climate-related ones as well as direct human influences) come together to 1370 generate observed changes. As such, they are ideal tools for this task. The new ISIMIP3a simulation 1371 framework including the provision of the relevant forcing data is intended to unleash the power of a wide 1372 range of models from different sectors to quantify the contribution of observed changes in climate-1373 related systems to observed environmental or societal changes.

- 1374 As a first step towards impact attribution, tThe ISIMIP3a evaluation experiments will help to clarify how 1375 well the current generation of impact models can explain observed changes in impacted systems based 1376 on provided information about the different forcings. The performance of the models in reproducing 1377 observed variations and long-term changes in the impacted systems, certainly does not only depend 1378 on the models themselves but also on the availability and uncertainties associated with the climate-1379 related and direct human forcings (see Table 1). We capture part of this uncertainty by providing four 1380 different observational atmospheric climate forcing data and associated counterfactual forcings (see 1381 section 2.1) and TC windfields derived from two different modelling approaches (see section 3.2). 1382 Uncertainties in the direct human forcings are represented to the degree that the forcing data sets 1383 considered as 'optional' vary from model to model. In addition, the multi-model framework of ISIMIP 1384 allows for testing to what degree different process-representations may be better suitable to explain the 1385 observations than others. 1386 High explanatory power is then a prerequisite then allows for impact attribution through the ISIMIP3a 1387
 - attribution experiments based on counterfactual climate-related forcings following the IPCC definition
- 1388 (O'Neill et al., 2022), disentangling changes in climate-related forcings from other drivers of change. ¶

1389 P 1390 The setup is the first that allows to easily and broadly address impact attribution across many impact 1391 categories. This will fill an important gap as only few process-based impact models have been used in 1392 this field despite their general suitability. The presented work can thus lay the ground for urgently 1393 necessary works to inform climate litigation (Burger et al., 2020; Burger and Tigre, 2023), the loss and 1394 damage debate (Mechler et al., 2018; Wyns, 2023), and last but not least also decisions about short 1395 term adaptation measures. It will ultimately help to carve out the sensitivity of our ecosystems and 1396 human societies to historical climate change, which is a precondition for robustly projecting future 1397 climate impacts.

This paper aims to give an overview of the ISIMIP3a experiments and the provided climate-related and direct human forcing data sets. It is intended to work as a catalogue where modellers can find all relevant information about the data sets they need for the impact model simulations within ISIMIP3a. As a community-driven initiative across multiple disciplines the selection of the best available forcing data for ISIMIP builds on the expertise within the different sectoral communities.

1403 We would like to improve or complement these data sets in a continuous process wherever possible. 1404 So this paper can also be read as a call for contributing additional data that could i) be provided within 1405 the current round (ISIMIP3) as optional data (see explanation in the introduction) that is not harmonised 1406 within or across sectors or ii) as mandatory forcing for an upcoming simulation round. In particular, we 1407 aim for temporally resolved historical growing seasons that have been shown to be critical to reproduce 1408 observed crop yields (Jägermeyr and Frieler, 2018), counterfactual oceanic climate-related forcings, 1409 counterfactual TC-related precipitation (Risser and Wehner, 2017; van Oldenborgh et al., 2017; Wang 1410 et al., 2018; Patricola and Wehner, 2018), temporally resolved lightning data for the full set of considered 1411 climate model simulations, and temporally resolved human drainage and restoration activities in 1412 peatlands as one of the key controls over global peatland greenhouse gas emissions (Loisel et al., 1413 2020).

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1416 Author contribution: KF lead the project and developed the concept with contributions from JS, MM, 1417 CO, CPOR, JLB, CSH, CMP, TDE, KOC, CN, RH, DT, OM, JJ, GL, SC, EB, AGS, NS, JC, SH, CB, AG, 1418 FL, SNG, HMS, FH, TH, RM, DP, WT, DMB, MB. JV supported the data generation and harmonisation 1419 of the protocol across all sectors. SL provided atmospheric climate forcing data. MM provided coastal 1420 water level data and atmospheric forcing data. MdRRL, JW and FY provided dam data. CO and IJS 1421 provided GDP data. CPOR provided forest management data. DNK and JTM provided high resolution 1422 climate forcing data. ST provided coastal water levels and counterfactual climate forcing data. YR 1423 provided data on fishing efforts. CS and XL provided ocean forcing data. TV provided TC data. TW and 1424 FS provided gridded GDP data. IV provided lake data. JJ provided growing seasons. CM provided soil 1425 data. KF prepared the manuscript with contributions from all co-authors.

1426

1427 Code and data availability: All input data described is available for participating modelers with a 1428 respective account at the DKRZ server. Data will be made publicly available, and most data is already 1429 publicly available at https://data.isimip.org/. Availability is documented on www.isimip.org where the 1430 way of accessing the data is described, as well. Model output is already partly available at1431 https://data.isimip.org/.

1432 The ISIMIP Repository fulfills the Archive standards as stated in the "GMD code and data policy". The 1433 Repository is hosted and maintained by the Potsdam Institute for Climate Impact Research (PIK). Data 1434 can only be published or removed from the repository by the ISIMIP data team, that is monitored by the 1435 ISIMIP steering committee according to the organisational structure of ISIMIP (ISIMIP organigram, 1436 2020). DOI are used to refer to datasets in a persistent way. Whenever a dataset is replaced for any 1437 reason a copy is kept on tape, and a new DOI is issued, while the old DOI is kept online with information 1438 on how to retrieve the archived data. Detailed information can be found in the ISIMIP terms of use 1439 (ISIMIP terms of use, 2023).

1440

1441 **Competing interests:** At least one of the (co-)authors is a member of the editorial board of 1442 Geoscientific Model Development.

1443

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