

1                   **Future reduction of cold extremes over East Asia due to**  
2                   **thermodynamic and dynamic warming**

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## Abstract

Cold extremes have large impacts on human society. Understanding the physical processes dominating the changes of cold extremes is crucial for a reliable projection of future climate change. The observed cold extremes have decreased during the last several decades and this trend will continue under future global warming. Here, we quantitatively identify the contributions of dynamic (changes in large-scale atmospheric circulation) and thermodynamic (rising temperatures resulting from global warming) effects to East Asian cold extremes in the past several decades and in a future warm climate by using two sets of large ensemble simulations of climate models. We show that the dynamic component accounts for over 80% of the cold-month (coldest 5% boreal winter months) surface air temperature (SAT) anomaly in the past five decades. However, in a future warm climate, the thermodynamic change is the main contributor to the decreases in the intensity and occurrence probability of East Asian cold extremes, while the dynamic change is also contributive. The intensity of East Asian cold extremes will decrease by around 5°C at the end of the 21st century, in which the thermodynamic (dynamic) change contributes approximately 75% (25%). The present-day (1986-2005) East Asian cold extremes will almost never occur after around 2035, and this will happen eight years later due solely to thermodynamic change. The upward trend of a positive Arctic Oscillation-like sea level pressure pattern dominates the changes in the dynamic component. The finding provides a useful reference for policymakers in climate change adaptation activities.

**Keywords:** East Asian cold extreme, dynamic adjustment, global warming

## 45 **1 Introduction**

46 Extreme events are widely concerning because of their high destructive power and  
47 great social impacts. Cold extremes have great impacts on agriculture, transportation,  
48 and people's health, and can even cripple power supplies and lead to rolling blackouts  
49 (Steponkus, 1979; Andreescu and Frost, 1998; Sheridan et al., 2015; Thornton et al.,  
50 2016). The global mean surface air temperature (SAT) has been increasing in the past  
51 century due to the increase of anthropogenic greenhouse gas concentration in the  
52 atmosphere (Jones et al., 2008; IPCC, 2021). While warm extremes continue to attract  
53 considerable attention from the scientific community and ordinary people (e.g.  
54 Alexander et al., 2006; Rahimzadeh et al., 2009; Donat et al., 2012; Sun et al., 2014;  
55 Ma et al., 2017b), the cold extremes have also gained wide attention (e.g. Overland et  
56 al., 2011; Mori et al., 2014; Li et al., 2015; McCusker et al., 2016; Sun et al., 2016;  
57 Trenary et al., 2016; Ma et al., 2018; Qian et al., 2018) due to the mid-latitude cold  
58 extremes happened in recent several years.

59 A strong cold surge related to the negative phase of the Arctic Oscillation (AO)  
60 and intensified Siberian High attacked North China during 6-8 January 2021 (Wang et  
61 al., 2021). The temperatures reached or broke the records in more than 50 cities and  
62 counties. Beijing experienced the third coldest day since 1951 on 7 January, with a daily  
63 minimum temperature of  $-19.6^{\circ}\text{C}$ . (Wang et al., 2021; Zhou et al., 2022). The regional  
64 mean temperature in North China during 6-8 January 2021 was about  $9^{\circ}\text{C}$  lower than  
65 the average for the same period between the years 2001 and 2020. North America  
66 evidenced a widespread cold extreme in February 2021, which was caused by the

67 distorted and weakened polar vortex (Lee, 2021; Lu et al., 2021). The temperatures  
68 were 15°C to 25°C lower than normal in large areas and caused huge impacts on the  
69 energy supplies and transportation (Zhou et al., 2022). Cold extremes have occurred  
70 from time to time under global warming in recent years. Will it continue to occur while  
71 global warming continues in the future?

72 The model simulations indicate that the anthropogenic influences have reduced  
73 the occurrence probability of cold extremes over eastern China with intensity stronger  
74 than the record-breaking cold extreme (since modern meteorological observations  
75 started in 1960) on 21-25 January 2016 (Qian et al., 2018). The wintertime East Asian  
76 SAT is projected to increase significantly as a response to future global warming (IPCC,  
77 2021). Cold months defined based on the 20<sup>th</sup> century will be rarer under future global  
78 warming (Räisänen and Ylhäisi, 2011) and the future warming will continuously reduce  
79 the intensity and occurrence probability of the cold extreme events (annual minimum  
80 daily minimum temperature) over East Asia (Kharin et al., 2013; 2018).

81 Previous studies demonstrated that the SAT is influenced by both the dynamic  
82 (changes in large-scale atmospheric circulation) and thermodynamic effects  
83 (Thompson et al., 2009; Cattiaux et al., 2010; Wallace et al., 2012; Smoliak et al., 2015;  
84 Deser et al., 2016). The dynamic effect, for example, the Arctic amplification, which  
85 reduces the polar-to-equator temperature gradient, can further modify the atmospheric  
86 circulation. There is a positive AO-like SLP (sea level pressure) changing pattern under  
87 global warming (Fyfe et al., 1999; Yamaguchi and Noda, 2006; Kitoh, 2017) and the  
88 East Asian winter monsoon will be weakened in the warmer future conditions according

89 to the multi-model simulations of the CMIP3 and CMIP5 models (Jiang and Tian, 2013;  
90 Xu et al., 2016). However, there is a lack of quantitative research on the contributions  
91 of the dynamic and thermodynamic effects to the future changes of the East Asian cold  
92 extremes if the global mean SAT continues to increase.

93 The “dynamic adjustment” approach (Wallace et al., 2012; Smoliak et al., 2015;  
94 Deser et al., 2016) has been proposed to divide the SAT anomaly into dynamic  
95 component (solely associated with circulation changes) and thermodynamic component  
96 (associated with thermodynamic processes). The dynamic adjustment of the North  
97 Hemisphere SAT field based on SLP can be used to investigate both the short-term  
98 climate fluctuations and long-term trends of SAT (Smoliak et al., 2015). Deser et al.  
99 (2016) indicates that the internal circulation trends account for over 30% of the North  
100 American wintertime warming trend in the past 50 years. The variability of circulation  
101 plays a critical role in the evolution of the East Asian winter temperature trends during  
102 1961-2018 and the internally induced dynamic component offsets the forced warming  
103 by over 70% in northern East Asia over the time period of 1979–2018 (Gong et al.,  
104 2019; 2021). The dynamic adjustment approach has also been used to investigate the  
105 wintertime precipitation changes and summertime SAT changes over East Asia (e.g.,  
106 Guo et al., 2019; Hu et al., 2019). However, these studies mainly focus on the mean  
107 temperature and mean precipitation changes in the past several decades, very few  
108 studies have quantified the contributions of the dynamic and thermodynamic effects to  
109 the future changes of the East Asian cold extremes associated with global warming.

110 By using two sets of grand ensemble simulations combined with observational

111 data and reanalysis data, we aim to answer the following questions: (1) What are the  
112 relative contributions of the dynamic and thermodynamic effects to the East Asian cold  
113 extremes in the past several decades? (2) How will the intensity and occurrence  
114 probability of East Asian cold extremes change in the warmer future and what are the  
115 quantitative contributions of the dynamic and thermodynamic effects to the changes of  
116 East Asian cold extremes in the warmer future? (3) How will the circulation change in  
117 the warmer future and how will this change affect cold extremes in East Asia?

## 118 **2 Data and Methodology**

### 119 **2.1. Model Data**

120 The 100-member Grand Ensemble generated by the Max Planck Institute Earth  
121 System Model version 1.1 (MPI-GE; Maher et al., 2019) with horizontal resolution of  
122  $1.8^{\circ} \times 1.8^{\circ}$  and the 40-member Community Earth System Model Large Ensemble  
123 (CESM-LE; Kay et al., 2015) with horizontal resolution of  $1^{\circ} \times 1^{\circ}$  are applied in this  
124 study to investigate the contributions of dynamic and thermodynamic components to  
125 the East Asian cold extremes in recent decades and the future warm climate. The  
126 historical simulations integrated from 1850 to 2005 in the MPI-GE and from 1920 to  
127 2005 in the CESM-LE were driven by the observed forcings. The Representative  
128 Concentration Pathway 8.5 (RCP8.5) scenario simulations were performed from 2006  
129 to 2099 in the MPI-GE and from 2006 to 2100 in the CESM-LE. In addition, the 2000-  
130 yr MPI pre-industrial (PiCTL) simulation and 1800-yr CESM PiCTL simulation are  
131 also used in this study. For more detailed information on the MPI-GE and the CESM-

132 LE, please refer to Maher et al. (2019) and Kay et al. (2015), respectively.

## 133 **2.2 Observation Data**

134 The following datasets are used in this study: (1) monthly mean SAT from the  
135 Climatic Research Unit (CRU) version 4 with a horizontal resolution of  $0.5^\circ \times 0.5^\circ$   
136 (Harris et al., 2014). (2) Monthly mean three-dimensional circulation fields derived  
137 from the 20<sup>th</sup> Century Reanalysis (20CR) version 2 with a horizontal resolution of  $2^\circ \times 2^\circ$   
138 (Compo et al., 2011). The time period for these two datasets used in this study is from  
139 1920 to 2012.

## 140 **2.3 Dynamic Adjustment Approach**

141 The dynamic adjustment method presented by Deser et al. (2016) is based on the  
142 constructed circulation analogue using SLP. This method empirically divides SAT  
143 variability into a dynamic component (associated with atmospheric circulation changes)  
144 and a thermodynamic component (the residual part). The dynamic adjustment method  
145 is summarized below and please refer to Deser et al. (2016) for more details.

### 146 **2.3.1 Application to the MPI-GE and CESM-LE**

147 For a given “target” month and year (e.g. December 1990) in each ensemble  
148 member, we rank the 2000 (1800) December SLP fields in the PiCTL simulation by  
149 their similarity with the target SLP pattern according to Euclidean distance. From the  
150 150 SLP fields with the smallest Euclidean distances, we randomly subsample 100 SLP  
151 fields to construct the best estimation of the target SLP pattern by linear combination.  
152 The same set of linear coefficients is applied to the accompanying SAT fields to obtain

153 the associated linear combination of SAT. We repeat the subsampling procedure 100  
154 times and average the 100 linear combinations to derive the dynamically induced SAT  
155 field in the target month. Deser et al. (2016) illustrate the importance of this iterative  
156 random selection process and the reason for the repeated subsampling procedure is to  
157 take into account the uncertainty related to internal thermodynamic variability and to  
158 ensure the robustness of the results. We use the domain 15°~90°N, 30°~180°E for the  
159 SLP analogues. The sensitivity to the precise region used is small (Figures not shown;  
160 e.g. within  $\pm 5^\circ$  of latitude and  $\pm 10^\circ$  of longitude). To test whether 150 selected SLP  
161 fields are sufficient to estimate the target SLP, a sensitivity analysis is conducted on the  
162 sample size of the selected closest fields. The findings suggest that there is no  
163 significant difference when the number of selected fields exceeds 100.

164 The multi-member mean of the dynamic component is regarded as the forced  
165 dynamic component and the internal dynamic component is obtained by subtracting the  
166 forced part from the total dynamic component for each ensemble member.  
167 Thermodynamic components are obtained as residuals (total minus dynamic) for both  
168 forced and internal components.

### 169 **2.3.2 Application to the observation**

170 There is no PiCTL simulation in the observation. Therefore, before computing the  
171 dynamic component of SAT, the quadratic trend of the SAT during 1920-2012 is first  
172 subtracted to obtain SAT series without anthropogenic forcing. Similar to the  
173 application to the model ensembles, for each month and year in the observation, 40 SLP



174 fields subsampled from 60 closest SLP fields are first selected (excluding the target  
175 month). Then, dynamic adjustment procedure described in section 3.2.1 is applied to  
176 derive the dynamically-induced SAT fields in the observation.

177 Different from model simulations, there is only one member in the observation,  
178 we cannot separate the forced and internal parts by calculating the ensemble mean or  
179 subtracting the ensemble mean. To obtain the internal dynamic contribution to the  
180 observed SAT anomaly, a separate dynamic adjustment based on the internal component  
181 of the observed SLP anomalies is performed. It is worth noting that, the internal  
182 component of the observed SLP anomalies is obtained by subtracting the model  
183 ensemble-mean SLP anomaly from the observed SLP anomaly at each time step.

184 After we get the internal dynamic component of SAT anomaly, the forced dynamic  
185 component is calculated by subtracting the internal dynamic component from the total  
186 dynamic component. Thermodynamic components are obtained as residuals (total  
187 minus dynamic) for both forced and internal components.

## 188 **2.4 Baseline period and the study region**

189 The baseline period of 1986-2005 boreal winter is referred to as the historical  
190 (present-day) climatology to investigate the SAT anomalies and contributions of  
191 dynamic and thermodynamic effects to future changes of East Asian cold extremes. The  
192 certain region (the black box in Figure 2) from 20°N to 55°N and from 105°E to 130°E  
193 is regarded as East Asia in this study.

## 194 **2.5 The definitions of cold extreme and cold month**

195 The definition of East Asian cold extreme is as follows: in the models, the regional  
196 averaged monthly SAT anomaly of East Asia is firstly calculated. For a specific period,  
197 cold extremes are defined as the months in which the regional mean SAT is lower than  
198 the statistical 5<sup>th</sup> percentile of the climatological monthly SAT series during DJF in this  
199 time period. A month when a cold extreme happens is defined as a cold month. Similarly,  
200 the cold months in the observation are the 8 coldest months (5% of 150 winter months)  
201 during the 1962-2011 boreal winter (Table 1).

202 All the anomalies shown in this study are calculated relative to the climatological  
203 values of the 1986-2005 boreal winter unless mentioned otherwise. Student's t-test is  
204 applied to indicate the 5% significance level.

## 205 **2.6 The intensity and occurrence probability ratio of cold extreme**

206 The intensity of a cold extreme is the SAT deviation relative to the present-day  
207 boreal winter SAT climatology.

208 The occurrence probability ratio of the present-day cold extremes is calculated as  
209 follows (Ma et al., 2017a):

$$210 \quad PR = \frac{P1}{P0} \quad (1)$$

211 where PR is the occurrence probability ratio. The value of  $P0$  is 5%, and  $P1$  is the  
212 probability of monthly SAT lower than the present-day cold extreme threshold in other  
213 time periods. For example, if the value of  $P1$  is 2% during a future period, then the  
214 value of PR is 0.4. For the calculation of the occurrence probability ratio, we pull all  
215 the members together rather than calculate it for each member.

## 216 **3 Results**

### 217 **3.1 Dynamic and thermodynamic processes to East Asian cold extremes in recent** 218 **decades**

219 The observed winter temperature in East Asia shows obvious variability during the  
220 1962-2011 boreal winter (Figure 1a). According to the correlation coefficients  
221 calculated between each component of the SAT anomaly and the original SAT anomaly,  
222 the SAT variability is mainly caused by the dynamically induced internal component  
223 (Figure 1b-g). The fluctuations of forced dynamic and thermodynamic components are  
224 much smaller than those of internal dynamic and thermodynamic ones (Figure 1c, d, f  
225 and g). Internal variability is the main cause of cold extremes over East Asia in the past  
226 five decades (Figure 1).

227 The decomposition of the observed East Asian cold-month SAT anomalies during  
228 the 1962-2011 boreal winter is shown in Figure 2a-c. The SAT is significantly lower  
229 than the present-day winter SAT climatology (more than 3°C) across the East Asian  
230 landmass (Figure 2a). The decomposition of the SAT anomaly indicates that the cold  
231 extremes in recent decades are mainly caused by the dynamic component (Figure 2b  
232 and c). The dynamic component accounts for approximately 55% of the total East Asian  
233 cold-month SAT anomaly during the 1962-2011 boreal winter. Compared to cold  
234 extremes in the 1960s and 1970s, the percentage contribution of dynamic components  
235 to the cold extreme in January 2011 is higher (Table 1). The East Asian regional mean  
236 SAT anomaly in January 2011 is -3.47°C, in which, the dynamic component is -3.81°C,

237 accounting for up to 110% of the total SAT anomaly (Table 1). It is worth noting that  
238 these cold extremes are mainly caused by the internally generated components, and the  
239 forced dynamic component has shown little trend in the past five decades and has little  
240 contribution to the observed cold extremes (Figure 1c and d).

241 The two sets of large ensemble model simulations can generally capture the spatial  
242 distributions of total SAT anomaly and the dynamic component of cold extremes during  
243 1962-2011 boreal winter (Figure 2d, e, g and h), with pattern correlation coefficients  
244 higher than 0.7 in both model ensembles. However, the thermodynamic component is  
245 much weaker in the model simulations than in the observation, especially in the  
246 northern parts of East Asia (Figure 2f and i). The dynamic component is the main  
247 contributor to the cold extremes, accounting for up to 85% and 82% of the total East  
248 Asian cold-month SAT anomaly during the 1962-2011 boreal winter in the MPI-GE  
249 and the CESM-LE, respectively. Compared with the observation, the contribution of  
250 the dynamic component to the cold extremes is larger in the two model ensembles  
251 (Figure 2). One possible reason is that there are only 8 cold extreme samples in the  
252 observation, and the relative contributions of dynamic and thermodynamic components  
253 cannot be fully reflected by these samples. Another possible reason may be the  
254 uncertainty of local thermodynamic processes (Röthlisberger and Papritz, 2023).

255 The results shown above indicate that the observed cold extremes in the past  
256 decades are mainly caused by the internal variability of atmospheric circulations. The  
257 cold extremes are often associated with strong East Asian winter monsoon flows, which  
258 are often accompanied by the blockings in the Urals and the intensified Siberian high

259 (Francis and Vavrus, 2012; Ma et al., 2018). The composite circulation anomalies in the  
260 cold months during the 1962-2011 boreal winter are further investigated (Figure 3). A  
261 ridge-trough pattern is seen over the Eurasian continent in the upper troposphere and  
262 there is southeastward propagation of wave activity flux (Figure 3a). The westerlies are  
263 weakened in the whole troposphere around 45°N -75°N, and there is an enhanced  
264 meandering flow pattern (Figure 3b; Walsh, 2014; Simmonds, 2015; Ma et al., 2018).  
265 The weakened westerlies may favor the blocking events, which have a strong  
266 relationship with the cold extremes over East Asia (Luo et al., 2017). The surface  
267 Siberian High is intensified, and low-level northerly winds lead cold Arctic air to spread  
268 southward to East Asia (Figure 3c).

269 This typical type of circulation anomalies in cold months mentioned above are  
270 also well captured in the MPI-GE and the CESM-LE (Figure 3d-f). Namely, there is a  
271 ridge-trough pattern in the upper troposphere over the Eurasian continent and the  
272 surface Siberian High is enhanced. The westerlies are weakened in the whole  
273 troposphere and the cold air from the Arctic regions causes cold extremes over East  
274 Asia.

### 275 **3.2 Dynamic and thermodynamic contributions to the projected changes in East** 276 **Asian cold extremes**

277 In the observations, the dynamic component is the main contributor to the East  
278 Asian cold extremes in the past five decades. The human-induced global warming had  
279 little effect on the changes in dynamically-induced SAT anomalies during 1962-2011

280 (Figure 1). How will the dynamic and thermodynamic effects contribute to the future  
281 changes in cold extremes over East Asia?

282 We first examine the changes in the intensity of East Asian cold extremes (Figure  
283 4a and c). The SAT anomaly will continuously increase along with global warming  
284 under the RCP8.5 scenario. Compared with the present day, the East Asian regional  
285 mean cold-month SAT will increase by approximately 4.8°C at the end of the 21<sup>st</sup>  
286 century according to the best estimation of MPI-GE (Figure 4a). The large center is  
287 located in Northeast China, over 6°C (Figure 5a). The dynamic and thermodynamic  
288 components will also continually increase under the RCP8.5 scenario. It is worth noting  
289 that, the dynamic component explains a larger part of the total SAT anomaly in cold  
290 months before approximately 2040. Thereafter, the thermodynamic component is the  
291 main driver in both model ensembles (Figure 4a and c). The increases in the dynamic  
292 and thermodynamic components are approximately 1.3°C and 3.5°C at the end of the  
293 21<sup>st</sup> century, respectively, in the MPI-GE (Figure 4a). Therefore, the contribution of the  
294 increase in dynamic component to the total SAT increase is 27%. The dynamic and  
295 thermodynamic components also increase faster in northern parts of East Asia than in  
296 other regions (Figure 5b and c). The faster increase of thermodynamic component in  
297 northern East Asia may be caused by the snow-albedo feedback (Fischer et al., 2011),  
298 while the reason for the faster increase in dynamic component in this region is that the  
299 influence of East Asian Winter Monsoon on northern East Asia is more evident than on  
300 other subregions (He et al., 2017). The results in the CESM-LE are generally consistent  
301 with those in the MPI-GE. The East Asian regional mean SAT anomalies in cold months

302 will increase by approximately  $5.2^{\circ}\text{C}$  at the end of the 21<sup>st</sup> century (Figure 4c). The  
303 corresponding increases in the dynamic and thermodynamic components are  $1.3^{\circ}\text{C}$  and  
304  $3.9^{\circ}\text{C}$ , respectively. Statistically, the contribution of the increase in dynamic component  
305 to the total SAT increase is about 25%. From the perspective of spatial distribution, total  
306 SAT and its dynamic and thermodynamic components show similar changing patterns  
307 in the two sets of large ensemble model simulations, with large increases occurring in  
308 northern parts of East Asia (Figure 5). However, there are some local differences  
309 between the two models. Compared with MPI-GE, the end-of-the 21<sup>st</sup>-century increase  
310 in cold-month regional mean SAT is approximately  $0.4^{\circ}\text{C}$  higher in CESM-LE,  
311 primarily due to the thermodynamic component. The larger increase of thermodynamic  
312 component in Northeast and Southeast China in CESM-LE than in MPI-GE may be  
313 attributed to differences in thermal feedback processes, such as the snow-albedo  
314 feedback and land-surface fluxes (Seneviratne et al., 2010; Fischer et al., 2011;  
315 Röthlisberger and Papritz, 2023).

316 We extend the analysis from the changes in the intensity of cold extremes to the  
317 changes in the occurrence probability ratio of the present-day cold extremes in the  
318 future warm climate (Figure 4b). The 20-year running occurrence probability ratio of  
319 the present-day cold extremes will rapidly decrease under the RCP8.5 scenario in both  
320 sets of the large ensemble model simulations. In the MPI-GE, the occurrence  
321 probability ratio of the present-day cold extremes will decrease to 0.05 in the period  
322 from 2034 to 2053 (Figure 4b), which means the present-day cold extremes will almost  
323 never occur after 2034. We isolate the dynamic and thermodynamic contributions to the

324 changes in the occurrence probability ratio of the present-day cold extremes. If we hold  
325 the dynamic component constant at the present-day level, and allow the thermodynamic  
326 component to evolve according to the model projection, the year when the occurrence  
327 probability ratio of the present-day cold extremes will decrease to 0.05 is 2042, eight  
328 years later than the time mentioned above (Figure 4b). Correspondingly, if we hold the  
329 thermodynamic component constant at the present-day level, and allow the dynamic  
330 component to evolve according to the model projection, the occurrence probability ratio  
331 of the present-day cold extremes will decrease to 0.2 at the end of the 21st century.  
332 From the perspective of spatial distribution, the occurrence probability ratio of the  
333 present-day cold extremes will be decreased to 0.05 before 2040 in parts of southeastern  
334 China, northeastern China and the Korean Peninsula (Figure 6a). The results in the  
335 CESM-LE are generally consistent with those in the MPI-GE (Figures 4d and 6b). The  
336 occurrence probability ratio of the present-day cold extremes will decrease to 0.05 in  
337 2035-2054 (Figure 4d) and the occurrence probability ratio also decreases faster in  
338 southeastern China, parts of northeastern China and the southern Korean Peninsula  
339 (Figure 6b). Different from the MPI-GE, the occurrence probability ratio of the present-  
340 day cold extremes will decrease to 0.05 after 2060 in parts of North China in the CESM-  
341 LE (Figure 6b).

342         The thermodynamic component dominates the future decrease in the intensity and  
343 occurrence probability of East Asian cold extremes, while the dynamic component is  
344 also contributive. Dynamic change accounts for approximately one-quarter of the total  
345 change in the intensity of cold extremes by the end of the 21st century. We further



346 examine the changes in SLP anomalies associated with East Asian cold extremes  
347 (Figures 7 and 8).

348 Similar to the previous studies (Fyfe et al., 1999; Cai et al., 2017; Kitoh, 2017),  
349 the projected changes in SLP exhibit a positive AO-like pattern, especially in MPI-GE  
350 (Figure 7). The pattern correlation coefficients between the SLP changing patterns and  
351 the positive phase of AO in MPI-GE and CESM-LE are approximately 0.7 and 0.4,  
352 respectively (Figure 7a and c). The winter-mean SLP will be reduced in the Arctic  
353 regions and enhanced in the mid-latitude regions. The AO shows a highly positive  
354 correlation with the winter SAT anomaly over East Asia, especially the northern part,  
355 and the positive phase of AO is favorable for warm winter over East Asia (Gong et al.,  
356 2019; Wang et al., 2019). The SLP changing patterns in cold months (Figure 7b and d)  
357 are similar to those in winter mean (Figure 7a and c), and this is possibly the reason for  
358 the positive contribution of dynamic effects to the increase in SAT anomaly in cold  
359 months. We also construct the changes in the dynamic component of SLP (Figure 8).  
360 Changes in the dynamic component of SLP also corroborate that the circulation changes  
361 are not favorable for the occurrence of East Asian cold extremes. There are some  
362 differences in the SLP changing patterns between the two model ensembles, particularly  
363 during cold extremes over the Eurasian region. This could be one of the possible reasons  
364 for the differences in local dynamic changes in the two model ensembles.

## 365 **4 Summary and Discussion**

### 366 **4.1 Summary**

367 Based on the dynamic adjustment approach, we utilized two sets of large ensemble  
368 model simulations in the MPI-GE and CESM-LE to investigate the contributions of the  
369 background warming (thermodynamic effect) and circulation changes (dynamic effect)  
370 to the East Asian cold extremes. The contributions of the two components to the East  
371 Asian cold extremes are quantitatively evaluated in the recent decades and under future  
372 warming. The main conclusions are summarized as follows.

373 (1) The observed cold extremes in the past decades are mainly caused by the internal  
374 variability of atmospheric circulations. Compared to cold extremes in the 1960s and  
375 1970s, the percentage contribution of dynamic component to the cold extreme in  
376 recent years is higher. Both MPI-GE and the CESM-LE are consistent in revealing  
377 the typical circulation anomalies associated with the East Asian cold extremes.  
378 Compared with the observation, the contribution of the dynamic component to the  
379 cold extremes is more evident in the two model ensembles, and the dynamic  
380 component accounts for more than 80% of the total cold-month SAT anomalies in  
381 the past five decades.

382 (2) In the future warm climate, the decreases in the intensity and occurrence probability  
383 of East Asian cold extremes are dominated by thermodynamic component, while  
384 the dynamic component is also contributive. According to MPI-GE and CESM-LE,  
385 compared with the present day, the mean intensity of the East Asian cold extremes  
386 will decrease by approximately 5°C at the end of the 21<sup>st</sup> century under the RCP8.5  
387 scenario and the dynamic component contributes to a quarter of this decrease. The  
388 occurrence probability ratio of the present-day cold extremes will almost never

389 occur after around 2035, and if we hold the dynamic component constant at the  
390 present-day level, this will happen approximately 8 years later.

391 (3) Positive AO-like sea level pressure pattern upward trend is projected in both of the  
392 model ensembles, which is unfavorable to the occurrence of East Asian cold  
393 extremes. There are a few differences between the two ensemble projections,  
394 particularly in the Eurasian region during cold extremes, and this could be one of  
395 the possible reasons for the local differences of dynamic components in the two  
396 model ensembles.

## 397 **4.2 Discussion**

398 Substantial efforts have been devoted so far to understanding the response of  
399 climate extremes to global warming (e.g. Alexander et al., 2006; Sanderson et al., 2017;  
400 Zhang et al., 2018; AghaKouchak et al., 2020; Li et al., 2021), as well as their physical  
401 mechanisms (Cattiaux et al., 2010; Peing and Magnusdottir, 2014; Westra et al., 2014;  
402 Bosch et al., 2015; Horton et al., 2015; Qian et al., 2018). In particular, the  
403 thermodynamic processes (i.e., direct results of global warming) of changes in climate  
404 extremes have been well demonstrated. However, it remains ambiguous regarding how  
405 the dynamic processes will change under global warming, which is an important source  
406 of projection uncertainty for climate extremes (Shepherd, 2014; Norris et al., 2019).  
407 Hence, it is of vital importance to understand the dynamic changes of climate extremes,  
408 in order to improve the reliability of extreme climate projections.

409 In this study, we used two sets of large ensemble simulation data and a dynamic

410 adjust method to investigate the future change of cold extremes in East Asia, with a  
411 focus on understanding the thermodynamic and dynamic processes. Our results  
412 consistently show that the thermodynamic process is the dominant factor of future  
413 changes in East Asian cold extreme, with the contribution of dynamic process  
414 accounting for approximately one-quarter of the total change. In addition, the change  
415 in the dynamic component is attributed to the upward trend of a positive AO-like sea  
416 level pressure pattern, and this has been supported by previous studies (Fyfe et al., 1999;  
417 Cai et al, 2017; Kitoh, 2017).

418 AO is the main circulation mode in the non-tropical regions of the Northern  
419 Hemisphere in winter (Thompson and Wallace, 1998), and has a significant impact on  
420 the winter climate in East Asia (Gong et al., 2001). However, it is worth noting that  
421 future winter temperature changes in East Asia may also be impacted by other large-  
422 scale circulation factors (Zhou et al., 2007; Cheung et al., 2012; He and Wang, 2013).  
423 The quantitative impacts of potential future changes of different circulation factors on  
424 cold extremes in East Asia remain unclear and require further investigation in future  
425 research.

426 **Data availability**

427 The MPI-GE experiment products can be downloaded from [https://esgf-](https://esgf-data.dkrz.de/search/mpi-ge/)  
428 [data.dkrz.de/search/mpi-ge/](https://esgf-data.dkrz.de/search/mpi-ge/). The specific experiments or variables can be selected  
429 through the navigation bar on the left-hand side. The monthly sea level pressure (psl),  
430 surface air temperature (tas), three-dimensional wind field (ua, va, wap), and  
431 geopotential height (zg) from the piControl, historical and rcp85 experiments are used  
432 in this work.

433 The CESM-LE experiment products can be downloaded from  
434 <https://www.earthsystemgrid.org/dataset/ucar.cgd.cesm4.cesmLE.html>.

435

436 **Author contribution**

437 TJZ designed the study. DHL performed the data analysis, produced the figures  
438 and wrote the manuscript draft. YCQ and CL collected the datasets. LWZ, WXZ and  
439 XLC contributed to the analysis methods. All the authors contributed to the discussion,  
440 writing, and editing of the manuscript.

441

442 **Competing interests**

443 The authors declare that they have no conflict of interest.

444

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448

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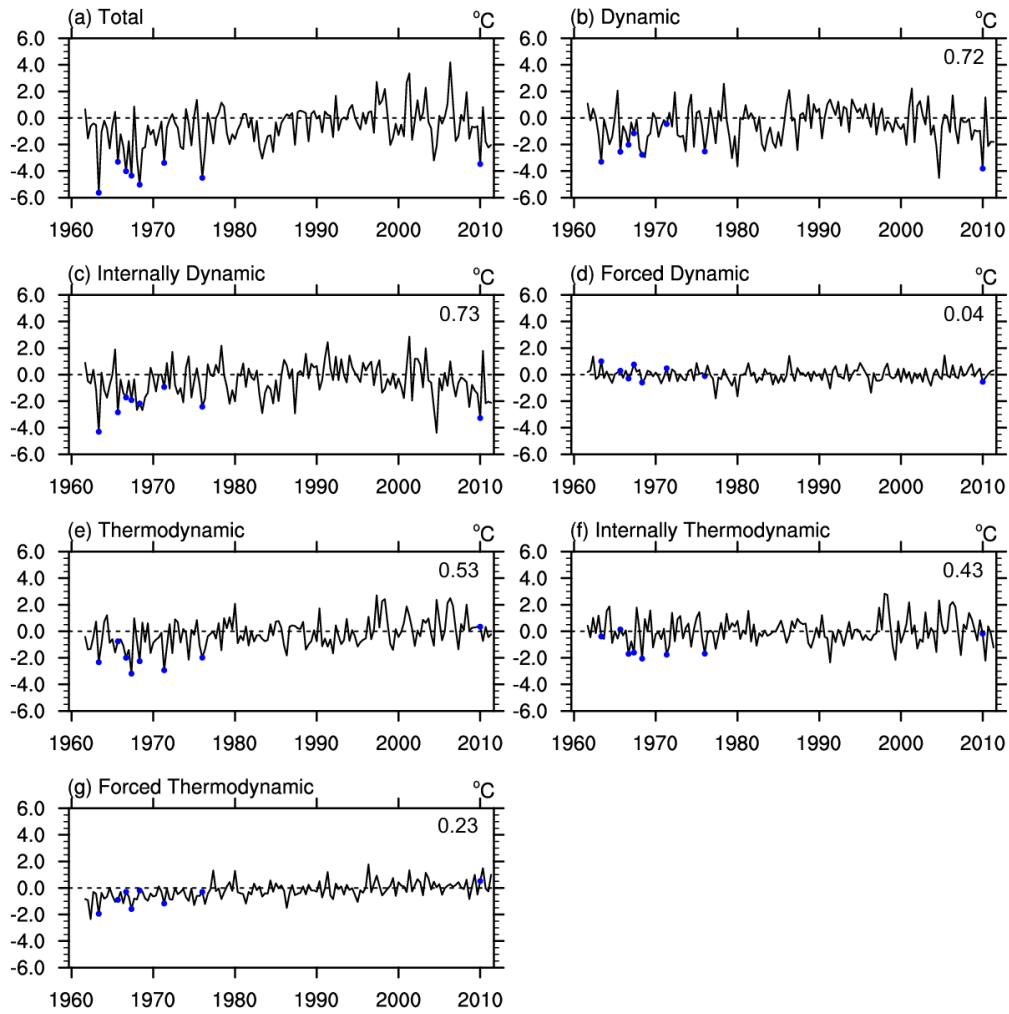
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695

696 **Table 1** The list of observed cold months in period of 1962-2011 boreal winter.

Time (Year Month)	Total(°C)	Dynamic(°C)	Thermodynamic(°C)	Dynamic ratio (%)
196402	-5.63	-3.30	-2.33	58.6
196902	-5.02	-2.77	-2.25	55.1
197701	-4.51	-2.52	-1.98	56.0
196802	-4.35	-1.16	-3.19	26.6
196712	-4.01	-2.02	-2.00	50.3
201101	-3.47	-3.81	0.34	109.9
197202	-3.39	-0.45	-2.93	13.4
196612	-3.30	-2.55	-0.75	77.1

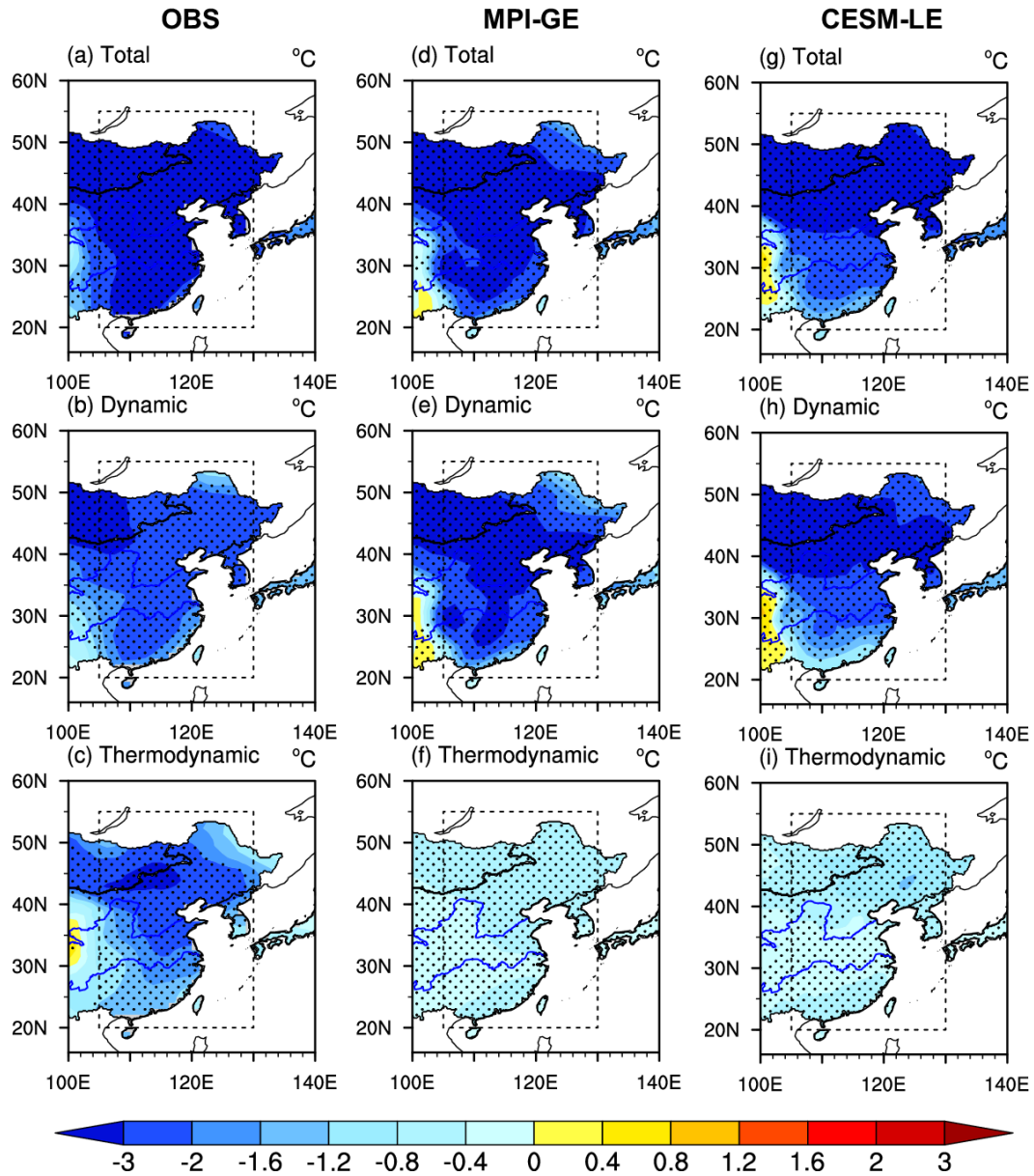
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698

699 **Figure 1** Time series decomposition of winter monthly surface air temperature (SAT)  
 700 anomalies averaged over East Asia (20°N-55°N and from 105°E-130°E) from the  
 701 observation into internal, forced, dynamic and thermodynamic components: (a) total,  
 702 (b) dynamic, (c) internally dynamic, (d) forced dynamic and (e) thermodynamic  
 703 components. The blue dots represent the cold months in the period of 1962-2011 boreal  
 704 winter. The numbers in the upper right corner of subplots (b) to (g) represent the  
 705 correlation coefficient between each component of SAT anomaly and the original SAT  
 706 anomaly shows in subplot (a).

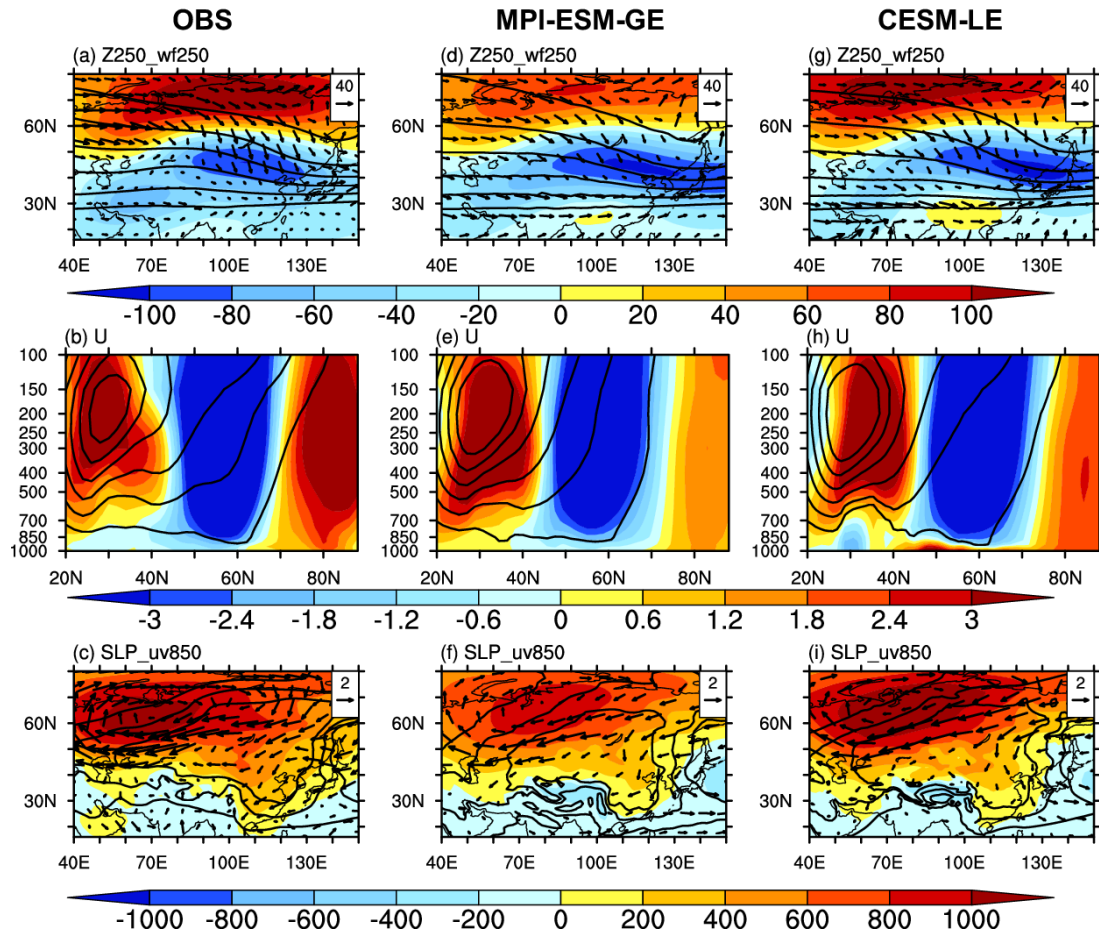
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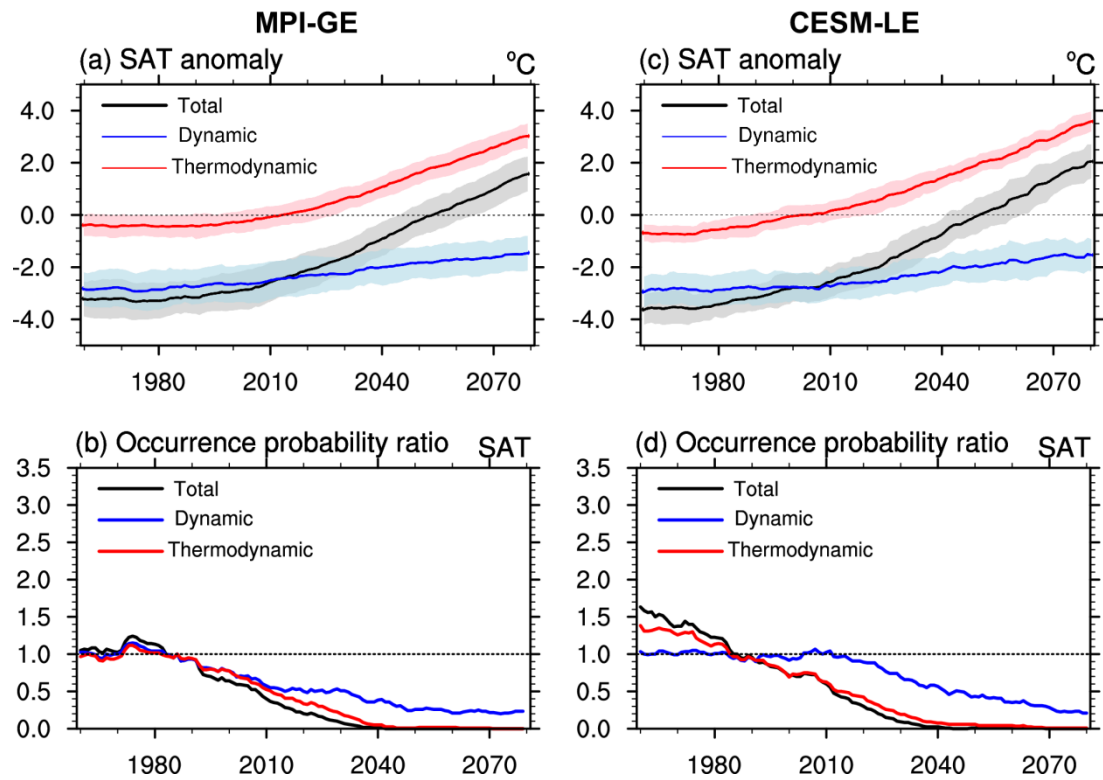
709 **Figure 2** The composites of the cold-month SAT anomaly (relative to the 1986-2005  
 710 boreal winter climatology) in the observation during the period of 1962-2011 boreal  
 711 winter: (a) total, (b) dynamically-induced and (c) thermodynamically-induced. The  
 712 subplots (d)-(f) and (g)-(i) correspond to subplots (a)-(c), but for the results in the MPI-  
 713 GE and the CESM-LE, respectively. The dotted areas are statistically significant at the  
 714 5% level according to Student's t test. The cold months are defined as months in which  
 715 SAT is lower than the statistical 5<sup>th</sup> percentile of all the monthly SAT samples during

716 1962-2011 boreal winter.



717

718 **Figure 3** Observed composite circulation anomalies (relative to the 1986-2005 boreal  
 719 winter climatology) for East Asian cold extremes during the period of 1962-2011 boreal  
 720 winter: (a) Geopotential height (shading; unit: m) and horizontal components of the  
 721 wave activity flux ( $\text{m}^2 \text{s}^{-2}$ ) at 250-hPa. (b) Zonal mean zonal wind over the vertical cross  
 722 section (zonally averaged over 70-120°E; unit:  $\text{m s}^{-1}$ ). (c) Sea level pressure (shading;  
 723 unit: Pa) and horizontal wind at 850-hPa ( $\text{m s}^{-1}$ ). The contours in subplots (a)-(c)  
 724 represent the 1986-2005 boreal winter climatology of geopotential height at 250-hPa,  
 725 zonal mean zonal wind over the vertical cross section, and sea level pressure,  
 726 respectively. Subplots (d)-(f) and (g)-(i) correspond to subplots (a)-(c), but for the  
 727 results in the MPI-GE and the CESM-LE, respectively.



728

729 **Figure 4** (a) Time series of the 20-yr running averaged cold-month SAT anomaly (black)

730 and its dynamically-induced (blue) and thermodynamically-induced (red) components

731 over East Asia relative to the 1986-2005 boreal winter climatology in the MPI-GE. The

732 shading shows the range of two standard deviations among the model members. (b)

733 Time series of the occurrence probability ratio of the present-day East Asian cold

734 extremes in the MPI-GE: both dynamic and thermodynamic components change

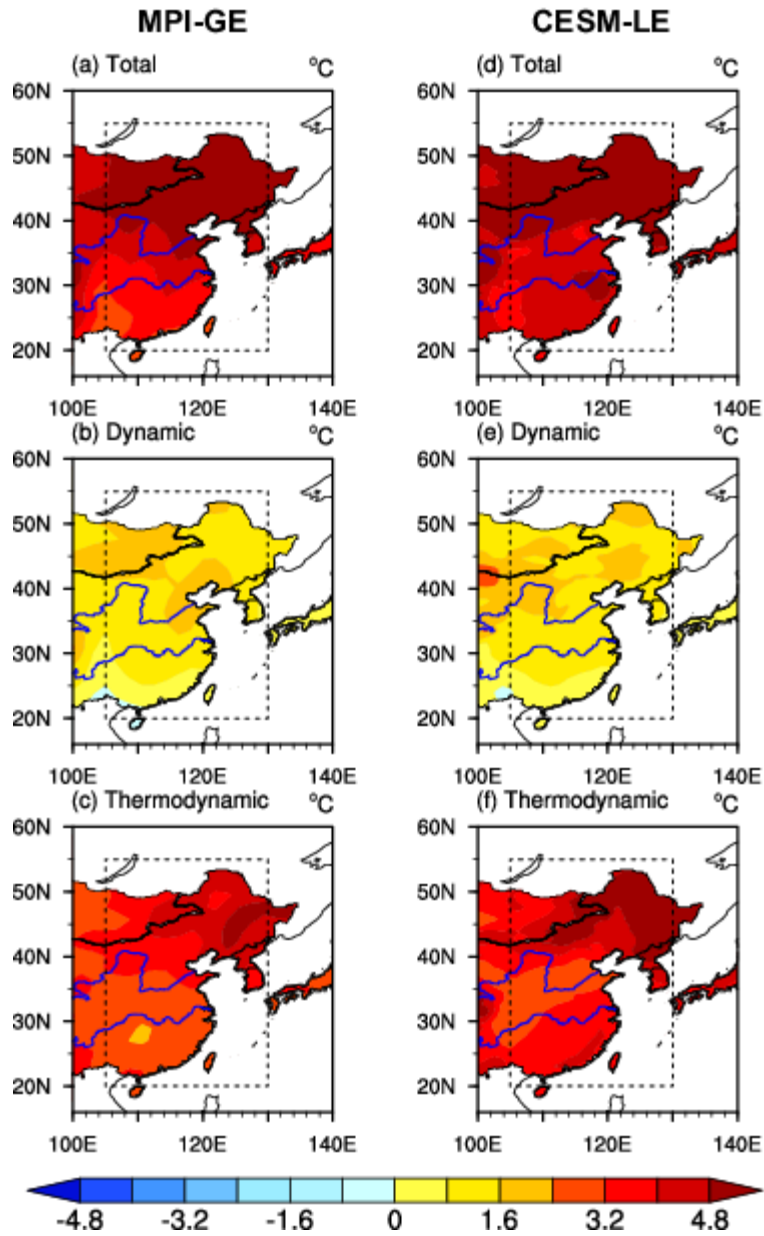
735 (black), only dynamic component changes (blue) and only thermodynamic component

736 change (red). Subplots (c) and (d) correspond to subplots (a) and (b), but for the results

737 in the CESM-LE. cold months are defined as the months in which the regional mean

738 SAT is lower than the statistical 5<sup>th</sup> percentile of the climatological monthly SAT series

739 during DJF in a certain time slice.

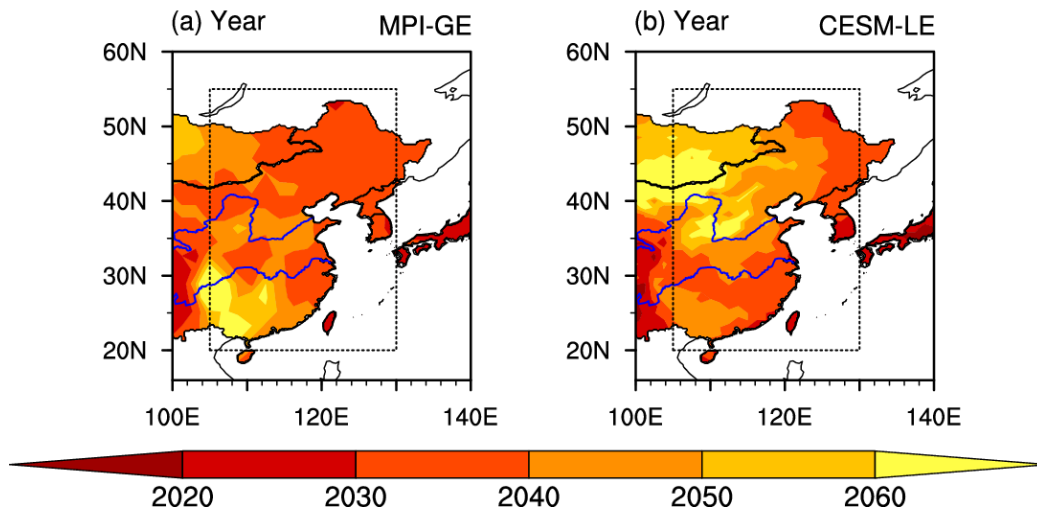


740

741 **Figure 5** Changes in East Asian cold-month SAT in the MPI-GE in 2079-2098 boreal  
 742 winter relative to 1986-2005 boreal winter: (a) Total, (b) dynamic component and (c)  
 743 thermodynamic component. Subplots (d)-(f) correspond to subplots (a)-(c), but for the  
 744 results in the CESM-LE.

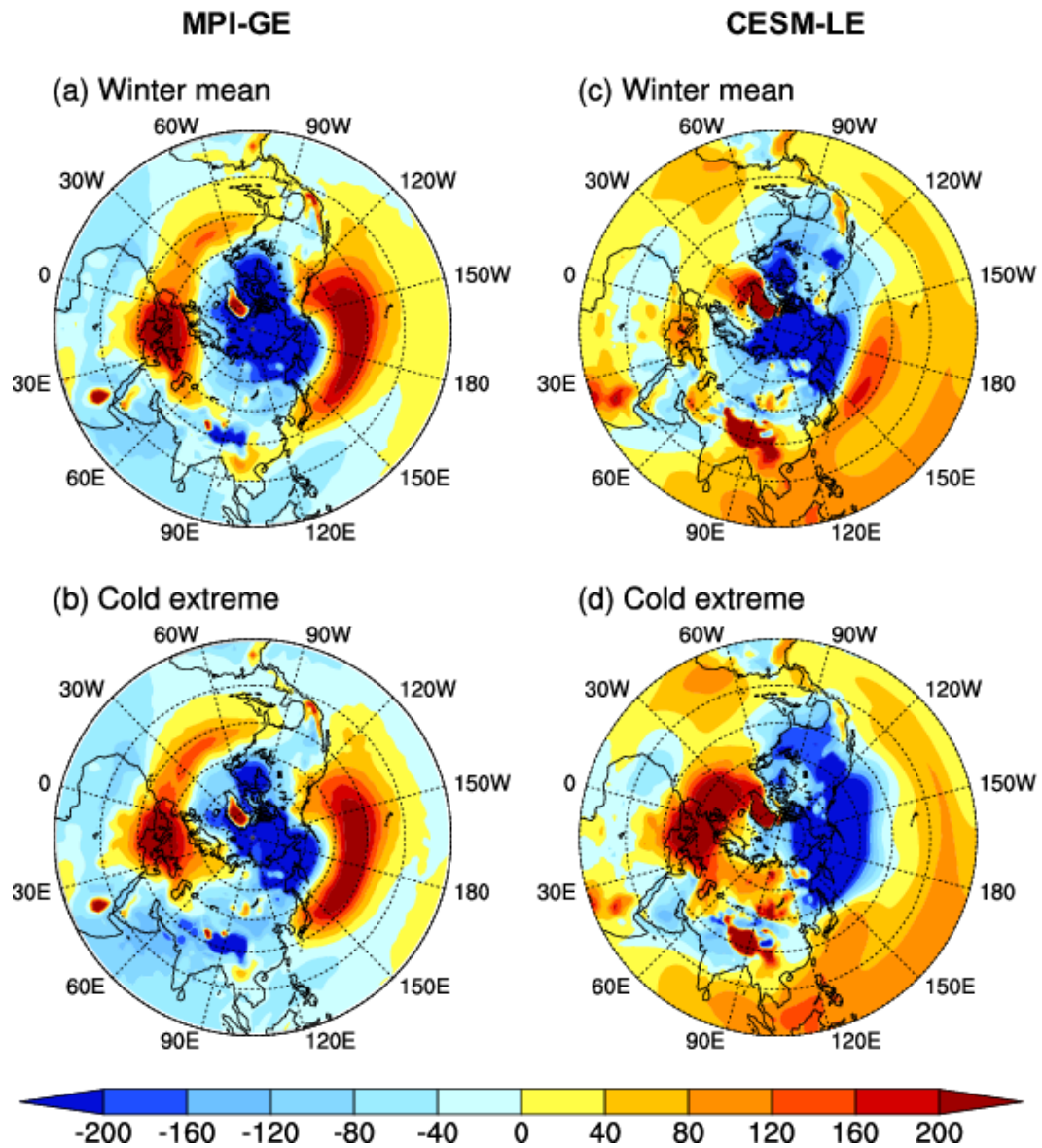
745





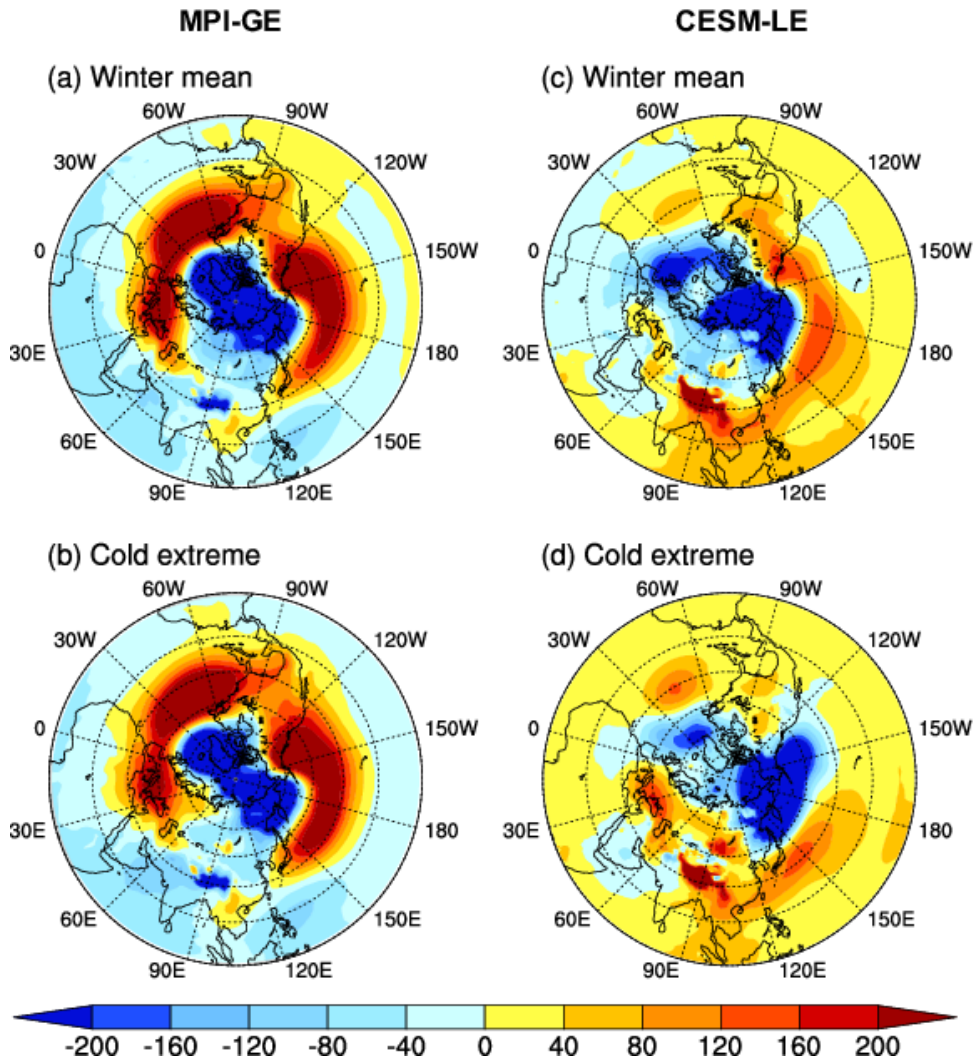
746

747 **Figure 6** The year when the occurrence probability ratio of the present-day (1986-2005  
 748 boreal winter) East Asian cold extremes decreases to 0.05 in (a) The MPI-GE and (b)  
 749 the CESM-LE.



750

751 **Figure 7** Changes in SLP for (a) total winter months and (b) cold months in 2079-2098  
 752 boreal winter relative to 1986-2005 boreal winter in the MPI-GE. Subplots (c) and (d)  
 753 correspond to subplots (a) and (b), but for the results in the CESM-LE.



754

755 **Figure 8** Changes in the dynamic component of SLP for (a) total winter months and (b)  
 756 cold months in 2079-2098 boreal winter relative to 1986-2005 boreal winter in the MPI-  
 757 GE. Subplots (c) and (d) correspond to subplots (a) and (b), but for the results in the  
 758 CESM-LE.

759