

Comments on “Simulation analysis of 3D stability of a landslide with a locking segment: A case study of Tizicao landslide in Maoxian County, Southwest China”

RC1: 'Comment on egusphere-2023-28', Hendy Setiawan, 15 Apr 2023

1. In conclusion, the simulation results indicate that the landslide is stable overall in current conditions, due to the existence of the locking segment, and is consistent with field deformation and monitoring data. But here we did not find any descriptions of what monitoring data and instruments were installed in the field. Please described.

Response 1: Thank you for your so careful reading. In fact, we conducted the monitoring work of the landslide for several years. In the landslide body, twenty-four fixed non-prism monitoring points (T1–T24) were deployed to mainly monitor the surface displacement from June 1, 2017, to October 2, 2017, as shown in Fig. 8 in reference Zhou et al. (2022). They almost covered the entire landslide body. These raw data of the surface displacement were processed using the measurement adjustment software DDM to obtain their deformation amount and deformation rate. The detailed descriptions of the monitoring data and instruments were presented in reference Zhou et al. (2022).

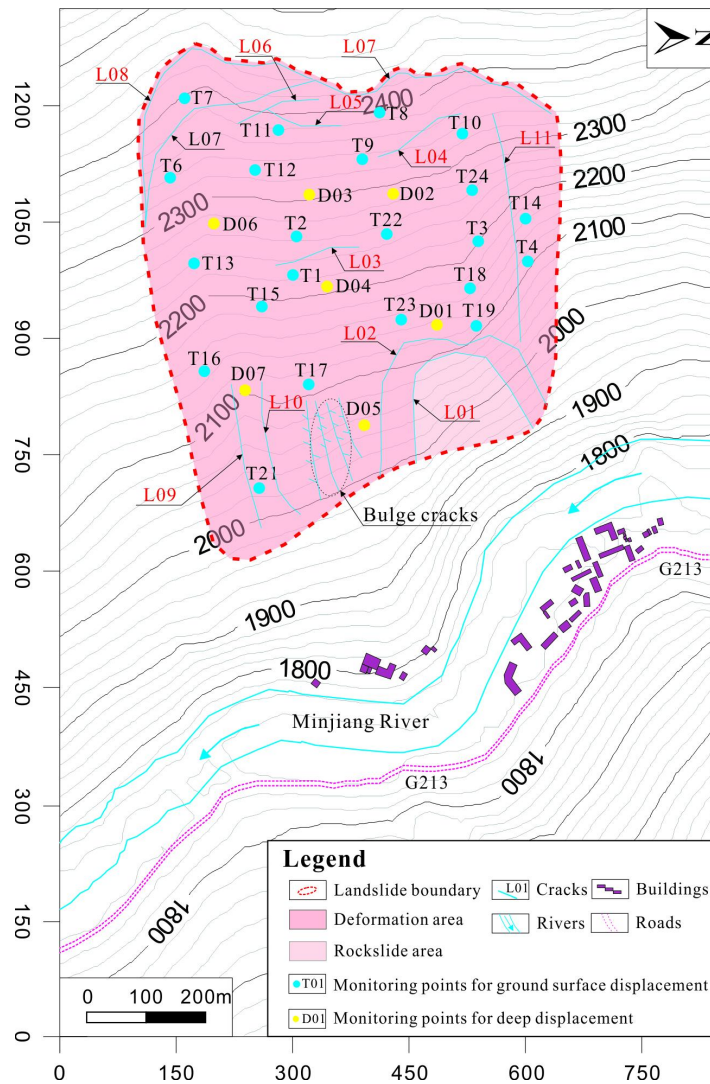


Fig. 8 Plan showing crack development and monitoring point layout in the Tizicao landslide. L01–L11 refer to the numbers of 11 cracks developing in the landslide; besides, there are great numbers of bulging-induced fissures in the middle part of the landslide front; T1–T24 represent 24 fixed non-prism monitoring points; D01–D07 represent seven boreholes used to monitor the deep displacement of the landslide (Zhou et al. 2022)

The reference is listed as follows,

Zhou, Y. T., Zhao, X. Y., Zhang, J. J., Meng, M. H.: Identification of a locking segment in a high-locality landslide in Shidaguan, Southwest China, *Nat. Hazards*, 111, 2909–2931, <https://doi.org/10.1007/s11069-021-05162-1>, 2022.

2. According to Figure 3, there are T1, T2 and T3 for the surface displacement monitoring points, but only T1 have been installed nearby the crack. Then why the contour or isoline map of surface displacement is concentrated on the northeast part of the landslide where there are no monitoring points (Figure 3b)? Please clarify and give

further detailed and concise descriptions of these matters.

Response 2: Thank you for your so careful reading. In the manuscript, we did not describe all the monitoring points in Fig. 3a or the detailed monitoring data in Fig. 3c. As described in “Response 1”, twenty-four fixed non-prism monitoring points (T1–T24) were deployed to monitor the surface displacement of the landslide, which was shown in Fig. 8 in reference Zhou et al. (2022). Then the 24 monitoring curves were obtained to plot the isoline map of surface displacement (Fig. 3b), and the Figure 3b was also quoted from reference Zhou et al. (2022). In Figure 3a and Figure 3c, three monitoring points (T1, T2 and T3) were just used to show the deformation tendency of the landslide, not to plot the isoline map of surface displacement.

3. Methods section only presents numerical 2D and 3D simulations but not yet describing monitoring instruments and laboratory tests (if you use parameters from the tests for the numerical simulation). Please confirm.

Response 3: Thanks for your suggestion. The monitoring instruments were not described in the manuscript because the monitoring instruments and the monitoring data were given in the reference Zhou et al. (2022), and we referenced this literature. We took the rock samples from the sliding body, sliding bed, and sliding surface of the landslide to conduct the geotechnical tests. Rock density was obtained by using wax-sealing method. Young’s modulus, poisson’s ratio, internal friction angle, and cohesion of rocks were collected from the triaxial test. Tensile strength were obtained from the Brazilian test. The obtained rock parameters (Table 1) were used for the numerical simulation. We have added the descriptions of the laboratory tests in the section of “3.2 3D stability simulations” in the revised manuscript. Plesase see the text marked in red for details. Thanks.

4. Page 5 Lines 34-35, “The simulation parameters of the sliding body, sliding bed, and sliding surface in the model were obtained through indoor geotechnical tests, shown in Table 1”. What kind of geotechnical tests? Please describe.

Response 4: Thanks for your suggestion. In detail, Rock density was obtained by using wax-sealing method. Young’s modulus, poisson’s ratio, internal friction angle, and cohesion of rocks were collected from the triaxial test. Tensile strength were obtained from the

Brazilian test. The obtained rock parameters (Table 1) were used for the numerical simulation. We have added the descriptions of the laboratory tests in the section of “3.2 3D stability simulations” in the revised manuscript. Thank you.

5. Page 6 Lines 4-5, the parameters of tensile strength, shear stiffness, and normal stiffness of the sliding surface for the JM model are assumed or based on the laboratory experiment. Please describe what kind of experiment.

Response 5: As for the JM model, the landslide was simulated by assigning equivalent shear strength parameters to the contact surface model (S3), as shown in Fig. 9b. Then rock bridge and sliding surface were assumed as the homogeneous contact surface, so the parameters of the assumed contact surface were not set as the same parameters of the rock bridge or sliding surface. Then we took the average value of the tensile strength of the rock bridge and sliding surface, and the real tensile strength of the rock bridge and sliding surface were obtained from the Brazilian test. As for the shear stiffness and normal stiffness of the sliding surface in the JM model, there was no direct reference yet, so we referenced the “FLAC3D6.0 Theory and Background”. A good rule-of-thumb is that and be set to ten times the equivalent stiffness of the stiffest neighboring zone. The apparent stiffness of a zone in the normal direction is,

$$\max\left[\frac{K + \frac{4}{3}G}{\Delta z_{\min}}\right]$$

Where, K & G are the bulk and shear moduli, respectively.

The [] notation indicates that the maximum value over all zones adjacent to the interface is to be used.

6. Page 6 Lines 8-10, the strength parameters and stiffness coefficients of the sliding surface in the CSM-HSP and the IRMM were set the same. Could you please explain why?

Response 6: In Fig. 9a, the sliding surface (S2) was replaced by the contact surface for the IRMM, also the sliding surface (S5) was simulated by the contact surface for the CSM-HSP in Fig. 9c. Then the sliding surface model and its simulation parameters were the same in the

FLAC3D program. For the CSM-HSP and the IRMM, only the rock bridge model was different.

7. Please consider the bar scale in the map of Sichuan Province in Figure 1.

Response 7: Thank you for your suggestion. We have added the bar scale in Figure 1.

8. Correct me if Figure 2b is an aerial/oblique view of the landslide? is it necessary to put a bar scale? I prefer to provide a bar scale for Figure 2a as it is an orthogonal image. Please confirm.

Response 8: Figure 2b is an oblique view of the landslide. It is necessary to put a bar scale and we added it in Figure 2b. Also we added a bar scale in Figure 2a.

9. In Figure 4a, section A-A' the L07 should be L04, while in Figure 4b L11 not indicated in section B-B'. Then Figure 4c the monitoring points of T1 and T2 nearby L03 are not present. Please revised.

Response 9: Thank you for your so careful reading. In fact, the L07 is not the L04 in section A-A' in Figure 4a, and we carelessly forgot to mark the L04, then we added the L04 in Figure 4a. The L11 was not indicated in section B-B' and we revised the Figure 4b. In Figure 4c, we added the monitoring points of T1 and T2 nearby L03. Please see the revised Figure 4 in detail. Thanks.

10. Location of borehole zk20 provided in Figure 5 is not shown on the map in Figure 3. Please add and clarify.

Response 10: Thank you for your so careful reading. We added the location of borehole zk20 in Figure 3.

11. Locations of zk08 in Figure 7a and exposed phyllites in Figures 7b and 7c are also not pointed on the map. Please add and clarify.

Response 11: Thank you for your so careful reading. We added the location of borehole zk08 in Figure 3a. The exposed phyllites in Figures 7b and 7c are also pointed in the Figure 3a.

12. Please provide a full description of each abbreviation in the caption of Figure 9.

Response 12: Thank you for your so careful reading. The full description of IRMM is intact rock mass model, and the full description of JM is Jennings model, and the full description of CSM-HSP is contact surface model with high strength parameters. We added the full description of each abbreviation in the caption of Figure 9.

13. It is not clear whether the red zone of the sliding surface in Figure 10 is shown in the mesh model. Please clarify.

Response 13: The red zone represented the sliding surface in the mesh mode in Figure 10. Because of the opacity of sliding body mesh, we can't see the full view of the red zone of the sliding surface. But we can see the full view in Figures 12b-d. Thanks.

14. Cohesion between A-A', B-B' and C-C', D-D' in Figure 11 for 2D simulation are very different significantly, why? Check also its relation with simulation parameters in Table 1. Please discuss.

Response 14: The differences of cohesion between A-A', B-B' and C-C', D-D' in Figure 11 for 2D simulation was because of the locking ratio k_L (the ratio of the surface area of the rock bridge to the total sliding surface area). For the section A-A' and B-B', the locking ratio $k_L = 0$, i. e., there was no rock bridge in these sections. Then the shear strength parameters of the sliding surface can be set as the same with those in Table 1. However, for the section C-C' and D-D', the locking ratios $k_L = 0.23$, and 0.26 , respectively. According to the JM, the slope stability is calculated by assigning the equivalent shear strength corresponding to different penetration rates to the potential sliding surface. The equivalent shear strength parameters can be calculated as follows:

$$c_{eq} = (1-k)c_r + kc_j \quad (1)$$

$$\tan \varphi_{eq} = (1-k) \tan \varphi_r + k \tan \varphi_j \quad (2)$$

where, c_{eq} and φ_{eq} are the equivalent cohesion and the equivalent friction angle, respectively; φ_r and φ_j represent the friction angle of intact rock and joints, respectively, and c_r and c_j are the cohesion of intact rock and joints, respectively.

Considering that co-planar joints are separated by the intact rock bridge, the relative quantity of intact rocks along the sliding surface can be expressed by the ratio k , which is defined as (Jennings, 1970):

$$k = \frac{\sum A_j}{\sum A_j + \sum A_r} = 1 - k_L \quad (3)$$

where, $\sum A_j$ denotes the surface area of joints, $\sum A_r$ is the surface area of the rock bridge, and k_L is the locking ratio (the ratio of the surface area of the rock bridge to the total sliding surface area).

So when we calculated the 2D Fos, we used the equivalent cohesion c_{eq} and the equivalent friction angle φ_{eq} . The equivalent shear strength parameters were obtained from Eq. (1) and Eq. (2) by using shear strength parameters of the rock bridge and the sliding surface in Table 1 as well as the different locking ratios in Figures 11c-d. Then the equivalent shear strength parameters were shown in Figures 11c-d.

15. Contours of shear displacement and intense deformation zone in Figure 12 seems not in line with the isoline map of surface displacement in Figure 3b. Perhaps unclear explanation in the text that I could not catch. Could you emphasize the above results?

Response 15: For the unclear explanation of the deformation characteristics between the simulation results in Fig. 12 and the isoline map of surface displacement in Fig. 3b. We added the description of the characteristics of the surface displacement in Fig. 3b, which was described as follows:

As shown in the isoline map of the surface displacement (Fig. 3b), a sliding event occurred in a general northeast direction (closer to the north) from August 13, 2017 to January 25, 2018. The maximum surface displacement (1210 mm) occurred at the northern toe, which coincides with the location where the front collapsed (Fig. 2d). The surface displacement in the rear and middle parts was similar, which ranged from 150 to 300 mm, indicating that the rear and the middle parts slid as a whole. The minimum surface displacement occurred in the southern area of the slope toe throughout the whole landslide, which ranged between 30 and 150 mm. Therefore, the southern area serves as the anti-sliding area of the entire landslide.

As shown in Fig. 12a and Fig. 3a, the displacement exists difference, just because the monitoring data was obtained from August 13, 2017, to January 25, 2018 after the large deformation occurred (July 2017), which was not the whole deformation data for the landslide. However, the deformation tendency in Fig. 12a is the same as in Fig. 3a.

16. Font remark in Figure 13 is not visible, please change its color.

Response 16: Thanks for your suggestion. We changed the yellow color into black color in Figure 13.

17. The locking segment needs to be described further, is it generated due to the movement characteristic of a landslide? Or originally due to the lithological or geological conditions? Or are there any factors or settings that cause this feature? Please discuss.

Response 17: Thanks for your suggestion. In the section of “2. Study site”, we described the location, area, lithology, RQD values with different depth, and surface deformation characteristics of the locking segment. However, we did not describe the origin cause of the locking segment.

In fact, Zhou et al. (2022) preliminarily discussed the cause. The locking mass of the Tizicao landslide developed on the convex bank, while the non-locking mass developed on the concave bank, indicating that the locking mass was directly related to that of the S-shaped river valley. From a geomorphological point of view, landslides rarely occur on the convex banks, but occur more frequently on concave banks. From a topographical point of view, the convex slope is more stable than the concave slope under the same conditions. Noticeably, the concave and convex bank parts of the S-shaped valley under the Tizicao landslide are greatly different in slope and lithology. Therefore, the rock masses on the southern side of the landslide above the convex bank part reserve their integrity and constitute the potential locking segment of the landslide.

We added above describes in the section of “2. Study site” to explain the cause of the locking segment.

RC2: 'Comment on egosphere-2023-28', Anonymous Referee #2, 16 Apr 2023

English

1. Firstly, the English language requires revision as it includes numerous errors and repetitions, and the form requires adjustment as it can be unclear and confusing at times.

Response 1: Thank you for your valuable and thoughtful comments. We have asked a professional proofreading company to improve the writing of this manuscript, and a native English speaker has carefully checked and polished the language of this manuscript.

Geomechanical characterization

2. Another issue is the geomechanical characterization. How was it conducted, and what tests were performed to acquire the mechanical parameters? For instance, the sentence "the equivalent shear strength parameters were determined based on penetration rates" on page 6, line 15, needs explanation about the method used to determine these parameters.

Response 2: Thank you for your suggestions. As for the geomechanical characterization, we took the rock samples from the sliding body, sliding bed, and sliding surface of the landslide to conduct the geotechnical tests. Rock density was obtained by using wax-sealing method. Young's modulus, poisson's ratio, internal friction angle, and cohesion of rocks were collected from the triaxial test. Tensile strength were obtained from the Brazilian test. The obtained rock parameters (Table 1) were used for the numerical simulation. We have added the descriptions of the laboratory tests in the section of "3.2 3D stability simulations" in the revised manuscript. Plesase see the text marked in red for details. Thanks.

For the sentence "the equivalent shear strength parameters were determined based on penetration rates" on page 6, line 15. According to the JM, the slope stability is calculated by assigning the equivalent shear strength corresponding to different penetration rates to the potential sliding surface. The equivalent shear strength parameters can be calculated as follows:

$$c_{eq} = (1-k)c_r + kc_j \quad (1)$$

$$\tan \varphi_{eq} = (1 - k) \tan \varphi_r + k \tan \varphi_j \quad (2)$$

where, c_{eq} and φ_{eq} are the equivalent cohesion and the equivalent friction angle, respectively; φ_r and φ_j represent the friction angle of intact rock and joints, respectively, and c_r and c_j are the cohesion of intact rock and joints, respectively.

Considering that co-planar joints are separated by the intact rock bridge, the relative quantity of intact rocks along the sliding surface can be expressed by the ratio k , which is defined as (Jennings, 1970):

$$k = \frac{\sum A_j}{\sum A_j + \sum A_r} = 1 - k_L \quad (3)$$

where, $\sum A_j$ denotes the surface area of joints, $\sum A_r$ is the surface area of the rock bridge, and k_L is the locking ratio (the ratio of the surface area of the rock bridge to the total sliding surface area).

So the equivalent shear strength parameters were obtained from Eq. (1) and Eq. (2) by using shear strength parameters of the rock bridge and the sliding surface in Table 1 as well as the different locking ratios in Figures 11c-d. Then the equivalent shear strength parameters were shown in Figures 11c-d.

Numerical Modeling

3. The authors don't explain how the numerical analyses were set up in Flac3D. The paper should clarify how the IRMM, JM, and CSP-HSP constitutive models were implemented in the Flac3D code. The reviewer is wondering if Perfectly Plastic Mohr-Coulomb model was modified.

Response 3: We are sorry for the lack of clarify how these model were implemented in the FLAC3D code. For the IRMM, JM, and CSP-HSP models, they are the simplified models of landslides with rock bridges. We use different elements (tetrahedral elements, contact surface elements) in FLAC3D code to replace rock bridges and sliding surface. The details are described as follows,

As shown in Fig. 9a, in the simulation of a landslide with a locking segment, a rock bridge (S1) is an intact rock mass, and it is simulated by tetrahedral elements in FLAC3D program. The contact surface model in FLAC3D program is used to simulate the sliding surface (S2). The sliding body (Block A) and the sliding bed (Block B) are linked with a continuous rock bridge (S1).

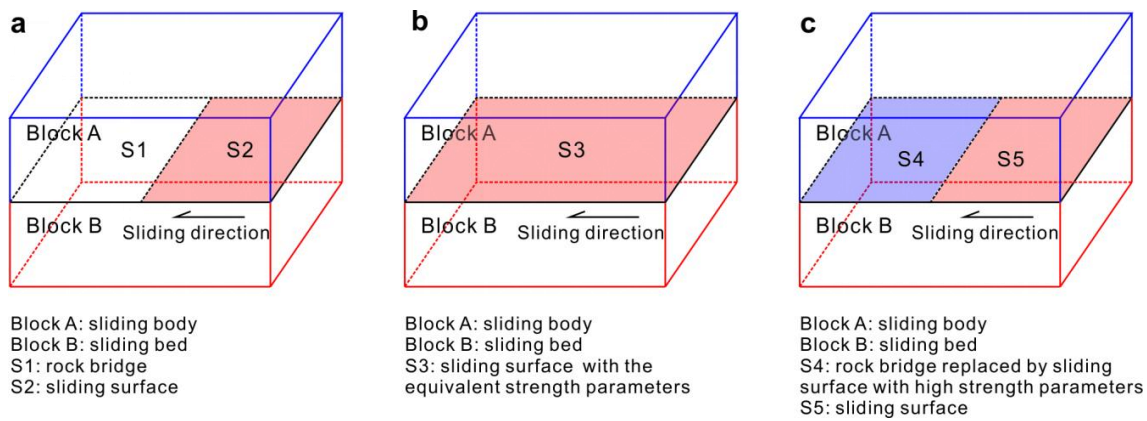


Figure 9: Three rock bridge models used in the FLAC3D program. a Intact rock mass model (IRMM). b Jennings model (JM). c contact surface model with high strength parameters (CSM-HSP).

For the JM model (Jennings, 1970), the limit equilibrium method is initially employed to calculate the 2D stability of rock slopes with discontinuous joints. In detail, the slope stability is calculated by assigning the equivalent shear strength corresponding to different penetration rates to the potential sliding surface. Herein we introduced the Jennings model into the FLAC3D program. Then we simulated the 3D stability of the whole landslide (rock bridge, sliding surface) by assigning equivalent shear strength parameters to the contact surface model (S3), as shown in Fig. 9b.

As shown in Fig. 9c, two contact surface models with high and low strength parameters each were used to simulate the rock bridge (S4) and sliding surface (S5), respectively. The strength parameters of an intact rock mass are adopted for the rock bridge. In addition, shear stiffness and normal stiffness higher than those of the sliding surface are required in the CSM-HSP model to simulate the real resistance characteristics of the rock bridge.

According to above descriptions, we did not establish new constitutive models implemented in the Flac3D code. We just simplified the landslide models, and provided different methods to simulate the landslide with rock bridges in FLAC3D program using different mesh elements. In the simulation of the landslide with IRMM, JM, and CSP-HSP models, all the mesh elements yields Plastic Mohr-Coulomb model, so we the Perfectly Plastic Mohr-Coulomb model was not modified in this manuscript.

4. The authors mention Geostudio as a software utilized for 2D calculations, however this sentence doesn't explain the 2D analysis method because Geostudio is a software suite which includes different products so the review is wondering if the numerical simulations were carried out with Limit Equilibrium Method (LEM) of the Slope/W

software. The paper should explain how the JM model was introduced into the Bishop algorithm in LEM modelling.

Response 4: Thank you for your comments. We used the SLOPE/W module of the program GeoStudio 2012 to conduct the 2D stability analysis of the Tizicao landslide. In the SLOPE/W module of the program GeoStudio 2012, there are many Limit Equilibrium Methods to calculate the 2D Fos. We chose one Limit Equilibrium Method, i. e., Bishop's algorithm method for 2D calculations. Meanwhile, the JM model was introduced into Bishop's algorithm of the GeoStudio program. In the JM model, we only input the equivalent shear strength determined based on penetration rates by using Eq. (1) and Eq. (2) for the sliding surface, and the slope stability can be calculated. For the sections A-A', B-B', C-C', and D-D', according to the site survey, the locking ratios k_L are 0, 0, 0.23, and 0.26, respectively. The equivalent shear strength were calculated in Fig. 11, and the calculated 2D stability factors are shown in Table 2 and Fig. 11.

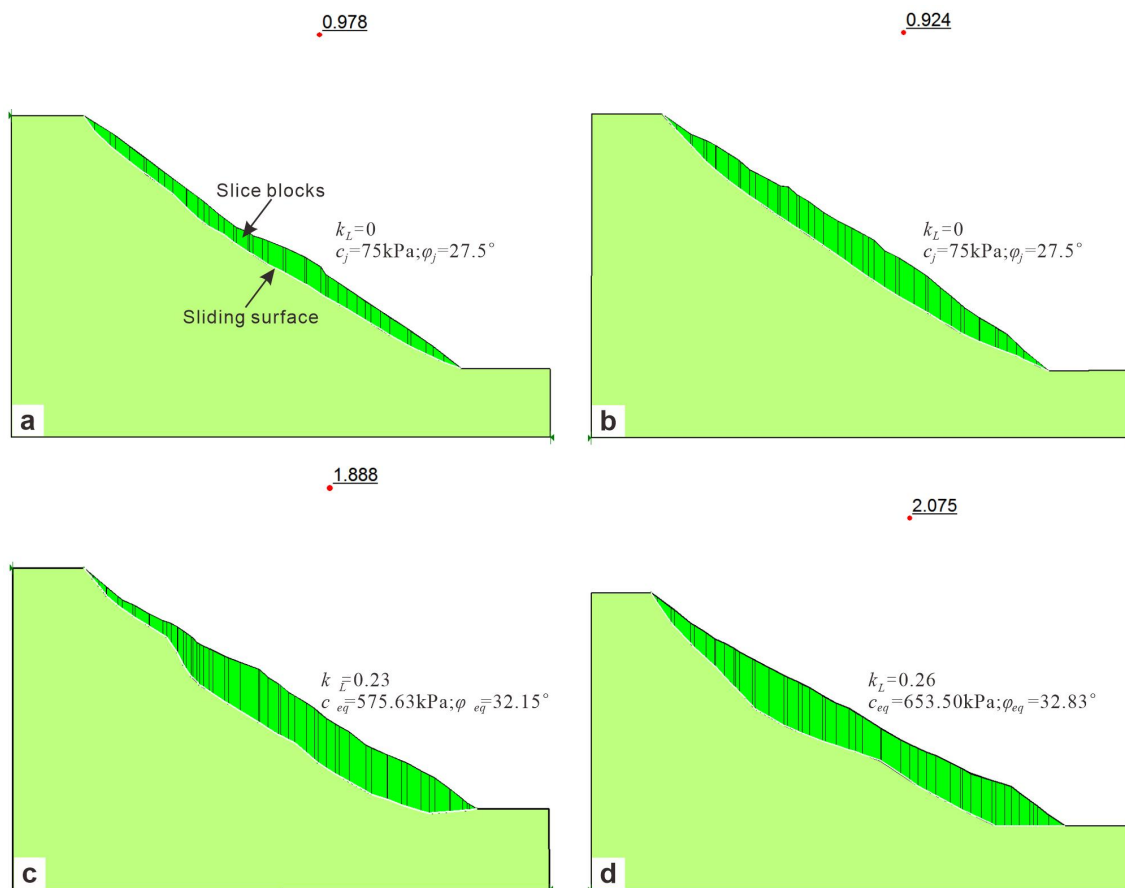


Figure 11: 2D Fos for different sections. a Section A-A'. b Section B-B'. c Section C-C'. d Section D-D'.

5. The paper should provide better specification of the comparisons between the four

2D surfaces and the hypothesized 3D surface.

Response 5: Thank you for your suggestions. For the 2D sliding surfaces, they were deduced according to the depth of the sliding zone soil obtained by drilling, as shown in Fig. 4. Meanwhile, the geometric size and shape of the 3D sliding surface were deduced according to the four 2D sliding surfaces and the outline of the landslide. So the 3D sliding surface was the deduction result of the four 2D sliding surfaces.

As for the comparisons between the 2D and 3D stability of the landslide, we added the detailed comparisons between the four 2D stability and the 3D stability, which was described as follows,

For the landslide sections (sections A-A', B-B') with severe deformation, 2D Fos was lower than 3D Fos. However, for the landslide sections (sections C-C', D-D') with slight deformation, 2D Fos were greater than 3D Fos significantly, especially for the landslide sections with the locking segment. 2D stability analysis is relatively conservative (Li et al., 2010; Park et al., 2017), i. e., 2D Fos is usually lower than the 3D Fos. Nonetheless, for the landslide sections with rock bridges, 2D Fos may exceed the 3D Fos (Table 2). Then the overall stability of the landslide with rock bridges should be assessed by the 3D Fos, as the 2D Fos only represent the local stability.

Monitoring

6. Lastly, while the paper often discusses monitoring of deformations, it fails to explain how the monitoring was conducted and the tools used to obtain the data.

Response 6: Thank you for your so careful reading. In fact, we conducted the monitoring work of the landslide for several years. In the landslide body, twenty-four fixed non-prism monitoring points (T1–T24) were deployed to mainly monitor the surface displacement from June 1, 2017, to October 2, 2017, as shown in Figure 8 in reference Zhou et al. (2022). They almost covered the entire landslide body. These raw data of the surface displacement were processed using the measurement adjustment software DDM to obtain their deformation amount and deformation rate. The detailed descriptions of the monitoring data and instruments were presented in reference Zhou et al. (2022).