

**Bias ~~associated within~~ calculating ~~the~~ gross nitrification rates in forested catchments using the triple oxygen isotopic composition ( $\Delta^{17}\text{O}$ ) of stream nitrate**

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1 **Abstract**

2 A novel method ~~has been proposed and applied in recent studies to quantify~~  
3 ~~quantifying the~~ gross nitrification rate (GNR) in forested catchments using the triple  
4 oxygen isotopic composition ( $\Delta^{17}\text{O}$ ) of stream nitrate ~~leached from the catchments has~~  
5 ~~been proposed and applied in recent studies~~. However, the equations used in ~~the~~ these  
6 calculations ~~include the approximation~~ assume that the  $\Delta^{17}\text{O}$  value of nitrate consumed  
7 through assimilation or denitrification in ~~the forested soil~~ forest soils is equal to the  
8  $\Delta^{17}\text{O}$  value of stream nitrate. The GNR estimated from the  $\Delta^{17}\text{O}$  value of stream  
9 nitrate was ~~more than six times~~ significantly higher than the GNRs in our simulated  
10 calculations for a forested catchment where the soil nitrate had  $\Delta^{17}\text{O}$  values higher  
11 than those the stream nitrate. ~~The  $\Delta^{17}\text{O}$  values of the soil nitrate decreased with an~~  
12 ~~increase in depth to that of the stream nitrate at the bottom. Most~~ Because most of the  
13 reported soil nitrate in forested catchments showed  $\Delta^{17}\text{O}$  values higher than those of  
14 the stream nitrate ~~leached from the catchments. Thus~~, we concluded that the GNR  
15 estimated from the  $\Delta^{17}\text{O}$  value of stream nitrate ~~in the forested catchments~~ was, to an  
16 extent, an overestimate of the actual GNR.

17

18 **1 Introduction**

19 Nitrate ( $\text{NO}_3^-$ ) is an important nitrogen nutrient for primary production in ~~forested~~  
20 ~~ecosystems~~ soils. Nitrification is the microbial process that produces  $\text{NO}_3^-$  in forested  
21 ecosystems. Thus, quantifying the nitrification rate can assist in the evaluation of the  
22 present and future states of forested ecosystems. The net nitrification rate can be

23 estimated from an increase in  $\text{NO}_3^-$  concentration during a certain period. However,  
24 the gross nitrification rate (GNR), which includes the (~~net nitrification rate plus the~~  
25 ~~consumption rate of  $\text{NO}_3^-$  (e.g., that assimilated by plants or decomposed through~~  
26 plant assimilation or denitrification)), reflects the internal N cycling better than the net  
27 nitrification rate (Bengtsson et al., 2003), especially in forested ecosystems. Although  
28 the net nitrification rate is often negligible (Stark and Hart, 1997), the consumption  
29 rate is significant in forested ecosystems, such that the GNR often exceeds the net  
30 nitrification rate by several orders of magnitude (Verchot et al., 2001).

31 ~~Recently, several~~Recent studies have successfully estimated the GNR in ~~water~~  
32 aquatic environments, such as lakes, using the  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$ ; as a conservative  
33 tracer ~~of~~ to determine the mixing ratio between atmospheric nitrate ( $\text{NO}_3^-_{\text{atm}}$ ) and  
34 biologically produced nitrate ( $\text{NO}_3^-_{\text{bio}}$ ) (Tsunogai et al., 2011, 2018). The  $\text{NO}_3^-_{\text{atm}}$  is  
35 deposited in the water environment, ~~and the~~while  $\text{NO}_3^-_{\text{bio}}$  is produced through  
36 nitrification. The  $\text{NO}_3^-_{\text{bio}}$  always shows the  $\Delta^{17}\text{O}$  value close to 0 ‰ because its  
37 oxygen atoms are derived from either terrestrial  $\text{O}_2$  or  $\text{H}_2\text{O}$  through nitrification.  
38 Contrarily, the  $\text{NO}_3^-_{\text{atm}}$  always displays an anomalous enrichment in  $^{17}\text{O}$  with  $\Delta^{17}\text{O}$   
39 value being approximately  $+26 \pm 3$  ‰ in Japan (Tsunogai et al., 2010, 2016; Ding et  
40 al., 2022, 2023) because of oxygen transfers from atmospheric ozone (Michalski et  
41 al., 2003; Nelson et al., 2018). Additionally,  $\Delta^{17}\text{O}$  is almost stable during “mass-  
42 dependent” isotope fractionation processes (Michalski et al., 2004; Tsunogai et al.,  
43 2016). This is because possible variations in the  $\delta^{17}\text{O}$  and  $\delta^{18}\text{O}$  values during the

44 processes of biogeochemical isotope fractionation follow the relation of  $\delta^{17}\text{O} \approx 0.5$   
45  $\delta^{18}\text{O}$ , which cancels out the variations in the  $\Delta^{17}\text{O}$  value. Thus, regardless of the  
46 partial consumption through denitrification or assimilation after deposition in a water  
47 column, the  $\Delta^{17}\text{O}$  can be used as a conservative tracer of  $\text{NO}_3^-_{\text{atm}}$  to calculate the  
48 mixing ratio of  $\text{NO}_3^-_{\text{atm}}$  to total  $\text{NO}_3^-$  ( $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$ ) in a water column using the  
49 following equation:

$$50 \quad [\text{NO}_3^-_{\text{atm}}]/[\text{NO}_3^-_{\text{total}}] = [\text{NO}_3^-_{\text{atm}}]/([\text{NO}_3^-_{\text{bio}}] + [\text{NO}_3^-_{\text{atm}}]) = \Delta^{17}\text{O}/\Delta^{17}\text{O}_{\text{atm}} \quad (1)$$

51 where the  $\Delta^{17}\text{O}_{\text{atm}}$  and  $\Delta^{17}\text{O}$  denote the  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-_{\text{atm}}$  and  $\text{NO}_3^-$  dissolved in  
52 the water environment, respectively. Using the  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratio estimated from  
53 the  $\Delta^{17}\text{O}$  value of  $\text{NO}_3^-$  in a lake water column and the deposition rate of  $\text{NO}_3^-_{\text{atm}}$  into  
54 the lake, the GNR (i.e., production rate of  $\text{NO}_3^-_{\text{bio}}$ ) ~~was can be~~ successfully estimated.  
55 This ~~is~~ approach works because the  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratios are homogeneous in the  
56 water column due to the active vertical mixing; thus, we can constrain the  
57  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratios of  $\text{NO}_3^-$  consumed in ~~the partial consumption of  $\text{NO}_3^-$  has~~  
58 ~~little influence on the  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratio in~~ the lake water column (Tsunogai et al.,  
59 2011, 2018).

60 In addition to applications in water environments, the  $\Delta^{17}\text{O}$  method has been  
61 applied to forested catchments to determine ~~their~~ GNR (Fang et al., 2015; Hattori et  
62 al., 2019; Huang et al., 2020). Using the deposition flux of  $\text{NO}_3^-_{\text{atm}}$  into the catchment  
63 and the leaching flux of unprocessed  $\text{NO}_3^-_{\text{atm}}$  and  $\text{NO}_3^-_{\text{bio}}$  from via streams, the GNR  
64 in a forested catchment was estimated similarly to the estimation for water

65 environments (Fang et al., 2015). ~~Contrary to~~ However, unlike in water environments,  
66 where the  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratio of nitrate consumed in the water column ~~the  $\Delta^{17}\text{O}$~~   
67 ~~values of  $\text{NO}_3^-$  in the water layers are homogeneous in the water column due to the~~  
68 ~~active vertical mixing of water and~~ can be easily measured ~~easily~~, it is often difficult  
69 to determine the  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratio  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  consumed in soil layers.  
70 Consequently, past studies have approximated ~~the these~~ values ~~to be as~~ equal to ~~the~~  
71  ~~$\Delta^{17}\text{O}$  value~~ those of stream  $\text{NO}_3^-$  leached from forested catchments without actual  
72 observation (Fang et al., 2015, Hattori et al., 2019, Huang et al., 2020). ~~However,~~  
73 ~~such~~ Such an approximation should be ~~conducted~~ used with extreme caution, as the  
74  $\text{NO}_3^-_{\text{atm}}/\text{NO}_3^-_{\text{total}}$  ratio ( $-\Delta^{17}\text{O}$  values) of soil  $\text{NO}_3^-$  are not always equal to those of  
75 streams  $\text{NO}_3^-$  (Hattori et al., 2019, Rose, 2014, Nakagawa et al., 2018). To clarify the  
76 details of the approximation and its impact on the final estimated GNR, we present an  
77 accurate relationship between the  $\Delta^{17}\text{O}$  of soil  $\text{NO}_3^-$  and the GNR, using basic isotope  
78 mass balance equations. Thereafter, we present possible range of variation in the  
79 ~~estimated~~ GNRs estimated for a forested catchment ~~whose~~, using parameters such as  
80  $\Delta^{17}\text{O}$  values of soil stream  $\text{NO}_3^-$  ~~were reported in a past study measured~~. Finally, we  
81 compared the GNRs estimated in this study with ~~the GNR estimated~~ those obtained  
82 from the  $\Delta^{17}\text{O}$  values of stream  $\text{NO}_3^-$ .

83

84 **2 Calculation**

85 The total mass balance equation of  $\text{NO}_3^-$  including the GNR in catchments can be  
86 expressed as follows:

87 
$$\text{NO}_3^-_{\text{deposition}} + \text{GNR} = \text{NO}_3^-_{\text{leaching}} + \text{NO}_3^-_{\text{uptake}} + \text{GDR} \quad (2)$$

88 where  $\text{NO}_3^-_{\text{deposition}}$ , GNR,  $\text{NO}_3^-_{\text{leaching}}$ ,  $\text{NO}_3^-_{\text{uptake}}$ , and GDR denote the deposition flux  
89 of  $\text{NO}_3^-$  into the catchment, GNR in the catchment, leaching flux of  $\text{NO}_3^-$  from the  
90 catchment, uptake rate of  $\text{NO}_3^-$  in the catchment, and gross denitrification rate in the  
91 catchment, respectively.

92 The isotope mass balance for each  $\Delta^{17}\text{O}$  value of  $\text{NO}_3^-$  in the catchment can be  
93 expressed using a similar equation:

94 
$$\text{NO}_3^-_{\text{deposition}} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}} + \text{GNR} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{nitrification}} = \text{NO}_3^-_{\text{leaching}} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}} + \text{NO}_3^-_{\text{uptake}} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{uptake}} + \text{GDR} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{denitrification}} \quad (3)$$

96 where  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}}$ ,  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{nitrification}}$ ,  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}}$ ,  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{uptake}}$ , and  
97  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{denitrification}}$  denote the  $\Delta^{17}\text{O}$  value of  $\text{NO}_3^-_{\text{atm}}$  deposited into the catchment,  
98 that of the  $\text{NO}_3^-_{\text{bio}}$  produced through nitrification, that of the  $\text{NO}_3^-$  leached from the  
99 catchment, that of the  $\text{NO}_3^-$  assimilated by plants and other organisms in the  
100 catchment, and that of the  $\text{NO}_3^-$  decomposed through denitrification in the catchment,  
101 respectively.

102 If the  $\Delta^{17}\text{O}$  values of the  $\text{NO}_3^-$  in the forested soil layers, where the  $\text{NO}_3^-$  was  
103 consumed through assimilation or denitrification, are equal to the  $\Delta^{17}\text{O}$  values of  
104  $\text{NO}_3^-$  in the stream, we could obtain Eq. 4:

105 
$$\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{uptake}} = \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{denitrification}} = \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}} \quad (4)$$

106 Consequently, by combining Eqs. 3 and 4, we could obtain Eq. 5:

$$\begin{aligned} 107 \quad & \text{NO}_3^- \text{ deposition} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}} + \text{GNR} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{nitrification}} = (\text{NO}_3^- \text{ leaching} + \text{NO}_3^- \text{ uptake} \\ 108 \quad & \text{e} + \text{GDR}) \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}} \end{aligned} \quad (5)$$

109 We could estimate the GNR using Eq. 6 obtained from Eqs. 2 and 5 because we can

110 approximate the  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^- \text{ bio}$  produced through nitrification

111 ( $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{nitrification}}$ ) to 0 (Michalski et al., 2003; Tsunogai et al., 2010):

$$112 \quad \text{GNR} = \text{NO}_3^- \text{ deposition} \times (\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}} - \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}}) / \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}} \quad (6)$$

113 Eq. 6 corresponds to the equations used in previous studies to quantify the GNR in

114 the forested catchments (Eq. 4 in Fang et al., 2015; Eq. 8 in Hattori et al., 2019; Eq. 4

115 in Huang et al., 2020).

116

### 117 **3 Results and Discussion**

118 The  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  in forested soil layers should be equal to those of stream

119  $\text{NO}_3^-$  in Eq. 6, as presented in Eq. 4 to obtain Eq. 6. While the number of

120 simultaneous observations of the oxygen isotopes of  $\text{NO}_3^-$  in ~~the~~ soil and stream in a

121 given forested catchment is limited (Hattori et al., 2019, Osaka et al., 2010, Rose,

122 2014, Nakagawa et al., 2018), the observations showed that the oxygen isotopic ratios

123 of soil  $\text{NO}_3^-$  are often heterogeneous. In addition, the oxygen isotopic ratios of soil

124  $\text{NO}_3^-$  mostly exceeded those of stream  $\text{NO}_3^-$ . ~~mostly exceed those of stream  $\text{NO}_3^-$ .~~

125 ~~Differ from water environments, vertical mixing of water/soil is difficult in forested~~

126 ~~soil, so the  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$  are often heterogeneous.~~ For example, Hattori et

127 al. (2019) found a decreasing  $\Delta^{17}\text{O}$  trend in soil  $\text{NO}_3^-$  with depth, ranging from over  
128 +20 ‰ at the surface to less than +3 ‰ at depths of 25–90 cm. Hattori et al. (2019)  
129 reported that over Additionally, more than 60 % of the soil samples exhibited  $\Delta^{17}\text{O}$   
130 values significantly higher than those of stream  $\text{NO}_3^-$  determined simultaneously  
131 ( $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}} = +1$  to  $+3$  ‰). ~~In addition, they found a decreasing  $\Delta^{17}\text{O}$  trend in~~  
132 ~~soil  $\text{NO}_3^-$  with depth, declining from greater than +20 ‰ at the surface to less than~~  
133 ~~+3 ‰ at depths of 25–90 cm from the surface.~~ A similar trend in the vertical  
134 distribution was observed in the  $\delta^{18}\text{O}$  values of  $\text{NO}_3^-$  in ~~another~~ another forested  
135 catchment, from above +35 ‰ at the surface soil to less than +10 ‰ at depths of 30–  
136 50 cm from the soil surface (Osaka et al., 2010). In addition, most of the soil  $\text{NO}_3^-$   
137 also exhibited  $\delta^{18}\text{O}$  values higher than those of the stream  $\text{NO}_3^-$  (Osaka et al., 2010).  
138 Rose (2014) monitored the horizontal distribution of the  $\Delta^{17}\text{O}$  of soil  $\text{NO}_3^-$  by  
139 randomly setting 15 tension-free lysimeters at depths of 0–10 cm in a 39-ha forested  
140 catchment. They reported significantly higher  $\Delta^{17}\text{O}$  values in soil  $\text{NO}_3^-$  ( $+9.1 \pm 5.8$  ‰  
141 on average) than those of stream  $\text{NO}_3^-$  ( $+0.5$  ‰ on average) leached from the forested  
142 catchment. As most fine roots and root biomass are concentrated in the top 10 cm of  
143 the soil in forested catchments (Jackson et al., 1996; Li et al., 2020), most assimilation  
144 (uptake reactions) of  $\text{NO}_3^-$  should occur in that top 10 cm of soil. Consequently, the  
145 significant difference in the  $\Delta^{17}\text{O}$  values between soil  $\text{NO}_3^-$  and stream  $\text{NO}_3^-$ ,  
146 particularly in surface soil layers, implies that the estimated GNR<sub>s</sub> in forested  
147 catchments obtained from Eq. 6 were inaccurate.



148 To demonstrate the impact of this approximation on GNR estimation, we simulated  
149 GNR for two different forest soils within the same catchment. In the first scenario,  
150 soil NO<sub>3</sub><sup>-</sup> exhibited a Δ<sup>17</sup>O value close to that of Δ<sup>17</sup>O(NO<sub>3</sub><sup>-</sup>)<sub>atm</sub> at the surface, which  
151 decreased to the Δ<sup>17</sup>O of stream NO<sub>3</sub><sup>-</sup> at depth (heterogeneous soil) (Figs. 1a and 1b).  
152 In the second scenario, soil NO<sub>3</sub><sup>-</sup> had Δ<sup>17</sup>O values equal to those of stream NO<sub>3</sub><sup>-</sup>  
153 throughout the soil profile (homogeneous soil) (Figs. 2a and 2b).

154 To simulate the forested catchment studied by Hattori et al. (2019), we used the  
155 same parameters values for the current calculation, including 7.0 kg N ha<sup>-1</sup> y<sup>-1</sup> for  
156 NO<sub>3</sub><sup>-</sup><sub>deposition</sub>, 2.6 kg N ha<sup>-1</sup> y<sup>-1</sup> for NO<sub>3</sub><sup>-</sup><sub>leaching</sub>, +28.0 ‰ for Δ<sup>17</sup>O(NO<sub>3</sub><sup>-</sup>)<sub>atm</sub>, and  
157 +2.2 ‰ for Δ<sup>17</sup>O(NO<sub>3</sub><sup>-</sup>)<sub>stream</sub>. All symbols (e.g., GNR) are consistent with those used  
158 by Hattori et al. (2019).

159 To estimate GNR in each forest soil type, we divided the soils into 10 vertical  
160 layers (i.e., 10 steps). In the heterogeneous soil, the Δ<sup>17</sup>O values of NO<sub>3</sub><sup>-</sup> gradually  
161 decreased with depth, from +28.0‰ to +2.2‰, at a rate of -2.58‰ per step (Fig. 1b).  
162 In the homogeneous soil, Δ<sup>17</sup>O values of NO<sub>3</sub><sup>-</sup> were constant at +2.2‰ across all  
163 layers (Fig. 2b). Note that the y-axes in the models were layers, not depths (Tables S1,  
164 S2, and S3). While the Δ<sup>17</sup>O values of soil NO<sub>3</sub><sup>-</sup> always showed decreasing trends  
165 with depths irrespective to the seasons, Δ<sup>17</sup>O values of soil NO<sub>3</sub><sup>-</sup> showed significant  
166 temporal variation at each depth (Hattori et al., 2019). This was the reason why the  
167 layers were adopted for the y-axes in our models, instead of depths. As a result, the  
168 specific depth of each layer varies over time. In addition, the relation between depth

169 and layer is not always linear. The temporal variation found in the vertical  
170 distributions of  $\Delta^{17}\text{O}$  values in the forested catchment (Hattori et al., 2019) can be  
171 explained by our model as well without contradiction because the  $\Delta^{17}\text{O}$  values of soil  
172  $\text{NO}_3^-$ , while showing large temporal variation at each depth, always showed  
173 decreasing trend with depth throughout their observation (Hattori et al., 2019).

174 To estimate GNR in each layer, both the  $\Delta^{17}\text{O}$  value and the  $\text{NO}_3^-$  leaching flux in  
175 soil are required. While Hattori et al. (2019) reported soil  $\text{NO}_3^-$  concentrations for  
176 each layer, indicating little vertical variation within the forested catchment, they did  
177 not measure the catchment water flux. Consequently, it is difficult to constrain the  
178  $\text{NO}_3^-$  leaching flux for each layer of forest soil. Nevertheless,  $\text{NO}_3^-$  deposition was  $7.0 \text{ kg}$   
179  $\text{N ha}^{-1} \text{ y}^{-1}$  and  $\text{NO}_3^-$  leaching was  $2.6 \text{ kg N ha}^{-1} \text{ y}^{-1}$  in the catchment (Hattori et al.,  
180 2019). Additionally, because water fluxes decrease gradually with depth in various  
181 forest settings (e.g., Christiansen et al., 2006), we assumed a gradual decrease in  
182  $\text{NO}_3^-$ , leaching flux from  $7.0$  to  $2.6 \text{ kg N ha}^{-1} \text{ y}^{-1}$  at a rate of  $-0.44 \text{ kg N ha}^{-1} \text{ y}^{-1}$  per  
183 layer (Figs. 1c and 2c). Similar trends in the  $\text{NO}_3^-$  leaching flux of soil have been  
184 observed in other forested catchments (Callesen et al., 1999; Inoue et al., 2021).

185 Applying the total mass balance and isotope mass balance equations (Eqs. 2 and 3)  
186 to each layer, we estimated GNR (Figs. 1e and 2e) and the total consumption rate of  
187  $\text{NO}_3^-$  (GDR + uptake) (Figs. 1d and 2d) in each layer. In this calculation, we assumed  
188 the following: (1)  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  were constant in each layer; (2) vertical flow  
189 of  $\text{NO}_3^-$  in soil layers proceeds downward from the surface to the final layer (No. 10);

190 and (3) GNR and the  $\text{NO}_3^-$  consumption rate (GDR + uptake) are 0 in layers beyond  
191 the final layer. By summing the GNR determined for each layer, we estimated the  
192 total GNR in the forested catchment.

193 ~~To demonstrate the impact of the differences in the  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$  and~~  
194 ~~stream  $\text{NO}_3^-$  on the GNR and present the problems associated with the approximation~~  
195 ~~to obtain Eq. 6, we estimated the GNR of two simulated forested soils. The  $\Delta^{17}\text{O}$  of~~  
196 ~~the first soil with  $\text{NO}_3^-$  decreased to the  $\Delta^{17}\text{O}$  of the stream  $\text{NO}_3^-$  (heterogeneous soil)~~  
197 ~~(Figs. 1a and 1b). The second soil with  $\text{NO}_3^-$  showing the same  $\Delta^{17}\text{O}$  values as those~~  
198 ~~of the stream  $\text{NO}_3^-$  (homogeneous soil) (Figs. 2a and 2b). Hattori et al. (2019)~~  
199 ~~reported the  $\text{NO}_3^-$  deposition as 7.0 kg of N  $\text{ha}^{-1} \text{y}^{-1}$ ,  $\text{NO}_3^-$  leaching as 2.6 kg of N  $\text{ha}^{-1} \text{y}^{-1}$ ,~~  
200  ~~$\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}}$  as +28.0 ‰, and  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}}$  as +2.2 ‰ in the forested catchment~~  
201 ~~they studied. We adopted the same values in the present calculation to simulate the~~  
202 ~~same forested soil. All the symbols (e.g., GNR) used here were consistent with those~~  
203 ~~of Hattori et al. (2019).~~

204 ~~To estimate the GNR, we divided the forest soils into 10 layers (i.e., 10 steps) in the~~  
205 ~~vertical direction. The  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  gradually decreased with an increase in~~  
206 ~~depth, varying from +28.0 to +2.2 ‰ with a rate of -2.58 ‰ for each step (Fig. 1b).~~  
207 ~~Similarly, we assumed a gradual decrease with an increase in depth in the leaching~~  
208 ~~flux of  $\text{NO}_3^-$ , (from 7 to 2.6 kg of N  $\text{ha}^{-1} \text{y}^{-1}$  at a rate of -0.44 kg of N  $\text{ha}^{-1} \text{y}^{-1}$  per~~  
209 ~~step) (Fig. 1c). This simulated the gradual net consumption of  $\text{NO}_3^-$  in accordance~~  
210 ~~with water flow in forested soils. The homogeneous soil was also divided into 10~~

211 layers in the vertical direction. The change in the leaching flux of  $\text{NO}_3^-$  with depth  
212 was the same as that in the heterogeneous soil (Fig. 2c), whereas the  $\Delta^{17}\text{O}$  values of  
213  $\text{NO}_3^-$  were constant at +2.2 ‰ in the layers (Fig. 2b).

214 Applying the total mass balance and isotope mass balance of  $\text{NO}_3^-$  shown in Eqs. 2  
215 and 3 to each layer, we estimated the GNR (Figs. 1e and 2e) and total consumption  
216 rate of  $\text{NO}_3^-$  (GDR + uptake) (Figs. 1d and 2d) in each layer. We assumed the  
217 following: (1) The  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  were constant in each layer. (2) The vertical  
218 flow of  $\text{NO}_3^-$  in the soil layers proceeded downward from the surface to the final layer  
219 (No. 10) with a uniform residence time in each layer. Finally, (3) the GNR and  
220 consumption rate of  $\text{NO}_3^-$  (GDR + uptake) was 0 in the water layer. Thereafter, by  
221 integrating the GNR determined for each layer, we estimated the total GNR in the  
222 forested catchment.

223 The total GNR estimated for the catchment with the homogeneous  $\Delta^{17}\text{O}$  values in  
224 soil  $\text{NO}_3^-$  (homogeneous soil) was 83.6 kg of N  $\text{ha}^{-1} \text{y}^{-1}$  (Fig. 2e), ~~which was~~ exactly  
225 equal to that estimated by Hattori et al. (2019) using Eq. 6. This result allows us to  
226 further verify that past studies estimating GNR using Eq. 6 implicitly approximated  
227 that  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$  consumed in forested catchments were homogeneous  
228 and always equal to those of stream  $\text{NO}_3^-$ . However, the total GNR estimated for the  
229 catchment with ~~the~~ heterogeneous  $\Delta^{17}\text{O}$  values in soil  $\text{NO}_3^-$  (heterogeneous soil) was  
230 considerably lower (13.0 kg of N  $\text{ha}^{-1} \text{y}^{-1}$ ; Fig. 1e), while the same parameters ~~with~~

231 ~~the homogeneous  $\Delta^{17}\text{O}$  values in soil  $\text{NO}_3^-$  were used for  $\text{NO}_3^-$  deposition,  $\text{NO}_3^-$  leaching,~~  
232  ~~$\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}}$ , and  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}}$ .~~

233 As we increased the number of layers in the forest soils to 20, 30, 50, 100, and  
234 1000, the estimated GNR for the heterogeneous soil decreased to 11.4, 11.0, 10.5,  
235 10.3, and 10.1 kg N ha<sup>-1</sup> y<sup>-1</sup>, respectively. Moreover, when we changed the  
236 calculation method from stepwise summation to integration, the estimated GNR was  
237 11.2 kg N ha<sup>-1</sup> y<sup>-1</sup>. ~~Even if the number of layers in the forested soils was increased~~  
238 ~~to 20, 30, 50, 100, and 1000 to enhance the precision of the GNR simulated for the~~  
239 ~~catchment with the heterogeneous soil, the GNR was 11.4, 11.0, 10.5, 10.3, and 10.1~~  
240 ~~kg of N ha<sup>-1</sup> y<sup>-1</sup>, respectively. Consequently, we concluded the following. (1) Past~~  
241 ~~studies estimated the GNR using Eq. 6 approximated the  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$~~   
242 ~~consumed in the forested catchments were homogeneous and always equal to that of~~  
243 ~~stream  $\text{NO}_3^-$ . (2) The differences between the  $\Delta^{17}\text{O}$  values of the soil  $\text{NO}_3^-$  consumed~~  
244 ~~in a forested catchment and that of stream  $\text{NO}_3^-$  resulted in a significant deviation in~~  
245 ~~the GNR estimated using Eq. 6 from the actual GNR. Furthermore, even if we~~  
246 assumed non-linear variation for the leaching flux of soil  $\text{NO}_3^-$ , in which the leaching  
247 flux of soil  $\text{NO}_3^-$  increased with soil depth from layers 1 to 5 with an increasing rate  
248 of 0.44 kg of N ha<sup>-1</sup> y<sup>-1</sup> layer<sup>-1</sup>, while the leaching flux decreased with soil depth  
249 from layers 6 to 10 with a decreasing rate of 1.32 kg of N ha<sup>-1</sup> y<sup>-1</sup> layer<sup>-1</sup> (Table S3),  
250 the newly estimated total GNR (19.1 kg of N ha<sup>-1</sup> y<sup>-1</sup>) was still comparable with that  
251 estimated for the forested catchment with the heterogeneous soil shown by Figure 1

252 (13.0 kg of N ha<sup>-1</sup> y<sup>-1</sup>). As a result, we concluded that the differences in the  $\Delta^{17}\text{O}$   
253 values of the soil  $\text{NO}_3^-$  consumed in a forested catchment from that of stream  $\text{NO}_3^-$   
254 resulted in a significant deviation in the GNR estimated using Eq. 6 from the actual  
255 GNR. In addition, the most important parameter to determine GNR was the  $\Delta^{17}\text{O}$   
256 values of  $\text{NO}_3^-$  consumed in soil layers. That is, the other parameters such as the  
257 number of layers and the vertical changes in the leaching flux of soil  $\text{NO}_3^-$  had little  
258 impact on total GNR.

259 By combining the total mass balance and isotope mass balance shown in Eqs. 2 and  
260 3, Eq. 7 was obtained to accurately estimate the total GNR:

$$261 \text{ GNR} = \text{NO}_3^-_{\text{leaching}} - \text{NO}_3^-_{\text{deposition}} + (\text{NO}_3^-_{\text{deposition}} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{atm}} -$$
$$262 \text{NO}_3^-_{\text{leaching}} \times \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{stream}}) / \Delta^{17}\text{O}(\text{NO}_3^-)_{\text{soil}} \quad (7)$$

263 where  $\Delta^{17}\text{O}(\text{NO}_3^-)_{\text{soil}}$  denotes the “average”  $\Delta^{17}\text{O}$  of  $\text{NO}_3^-$  consumed through  
264 assimilation or denitrification in the forested catchment. Most of the soil  $\text{NO}_3^-$   
265 measured to date exhibited  $\Delta^{17}\text{O}$  values higher than those of stream  $\text{NO}_3^-$  leached  
266 from the catchments (Hattori et al., 2019, Rose, 2014). Consequently, the total GNR  
267 estimated from stream  $\text{NO}_3^-$  using Eq. 6 exceeded the total GNR estimated from soil  
268  $\text{NO}_3^-$  using Eq. 7, to an extent. Therefore, the total GNR estimated from Eq. 6 was  
269 overestimated to an extent.

270 ~~The linear variation in the leaching flux and  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$  used in the~~  
271 ~~simulated calculations (Fig. 1) is just one of many possible variations in forested~~  
272 ~~catchments. It is impossible to determine whether the linear variation was realistic or~~

273 ~~not until the downward water flux, along with the concentration and  $\Delta^{17}\text{O}$  value of~~  
274  ~~$\text{NO}_3^-$ , was determined for each soil layer. However, the simultaneous observations of~~  
275 ~~the oxygen isotopes of soil  $\text{NO}_3^-$  and stream  $\text{NO}_3^-$  (Hattori et al., 2019; Osaka et al.,~~  
276 ~~2010; Nakagawa et al., 2018; Rose, 2014) implied that the approximation of the  $\Delta^{17}\text{O}$~~   
277 ~~values of soil  $\text{NO}_3^-$  to that of the stream  $\text{NO}_3^-$  (Fig. 2b) was unrealistic.~~

278 If we can estimate the downward water flux at each soil layer, along with the  $\text{NO}_3^-$   
279 concentration and  $\Delta^{17}\text{O}$  value of  $\text{NO}_3^-$  in each soil layer using, e.g., a tension-free  
280 lysimeter (Inoue et al., 2021), we could estimate the vertical change in the  $\text{NO}_3^-$   
281 leaching flux of  $\text{NO}_3^-$  for each soil layer, along with the  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$ .

282 Thereafter, applying Eq. (7) to each layer, we can more accurately estimate the total  
283 GNR for the forested catchment accurately, by integrating the GNR estimated for  
284 each soil layer, together with a more accurate the  $\text{NO}_3^-$  consumption rate in of the  
285 forested catchment. ~~Without such an observation of the distribution and leaching flux~~  
286 ~~of  $\text{NO}_3^-$ , with the  $\Delta^{17}\text{O}$  values in forest soil, the GNR estimated using Eq. (6),~~  
287 ~~assuming that the  $\Delta^{17}\text{O}$  values of soil  $\text{NO}_3^-$  are always equal to those of stream  $\text{NO}_3^-$ ,~~  
288 ~~should be reported with significant errors in which the possible variations in the  $\Delta^{17}\text{O}$~~   
289 ~~values of soil  $\text{NO}_3^-$  are considered.~~

290

## 291 **4 Conclusion**

292 Past studies have proposed the  $\Delta^{17}\text{O}$  method for determining the GNR in forested  
293 catchments. The equations used in the calculation presuppose implicitly assumed that

294 the  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  consumed in forested soils are homogeneous and equal to  
295 those of the stream  $\text{NO}_3^-$ . However, ~~in reality,~~ the values are often heterogeneous and  
296 do not always equal those of the stream in forested soils. It is essential to  
297 clarify/verify the  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  in forested soils and streams before applying  
298 the  $\Delta^{17}\text{O}$  values of stream  $\text{NO}_3^-$  to estimate the total GNR.

299

300 *Data availability.* All data are presented in the Supplement.

301

302 *Author contributions.* WD, UT, and FN designed the study. WD and UT performed  
303 data analysis and wrote the paper.

304

305 *Competing interests.* The authors declare that they have no conflict of interest.

306

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320

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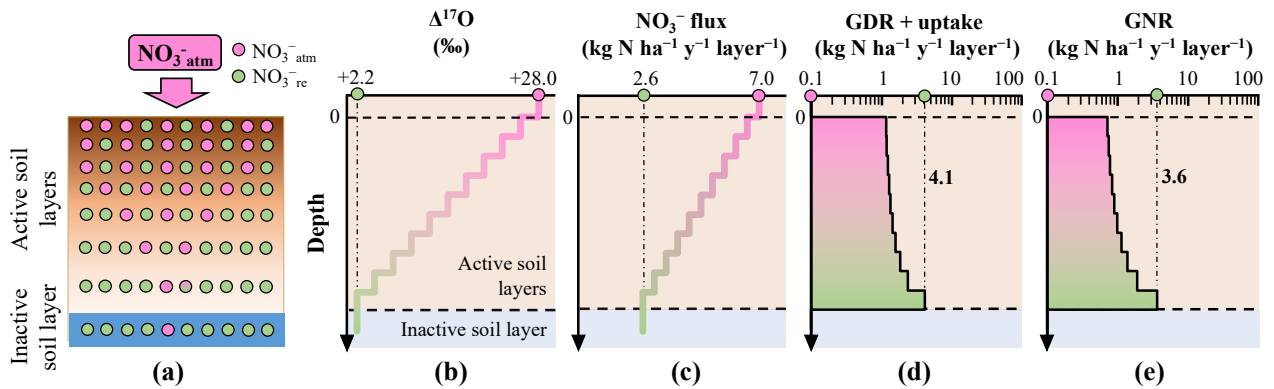
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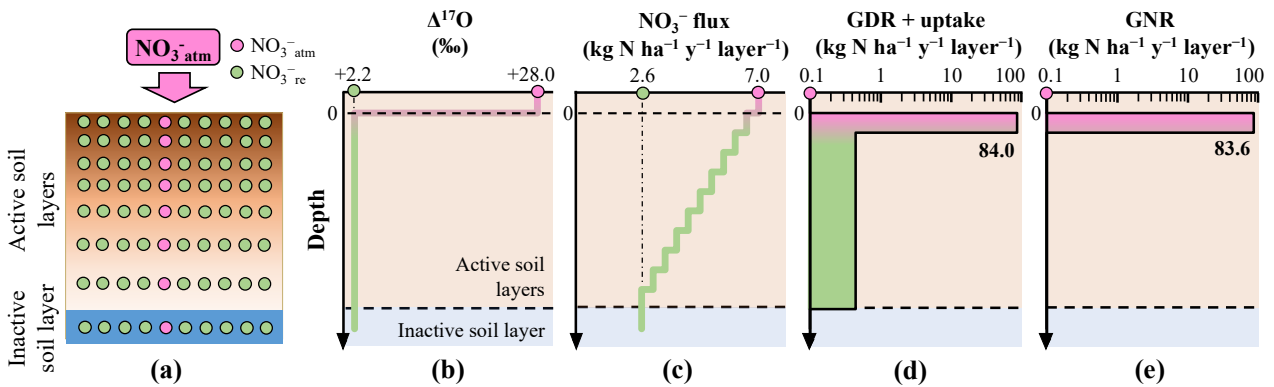
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405 **Figure. 1.** Distribution of  $\text{NO}_3^-_{\text{atm}}$  in the simulated forested soil with heterogeneous  
 406 distribution of  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  (a). Vertical distribution of the following  
 407 parameters in the forested soil: ~~simulated~~ assumed  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  (b), ~~simulated~~  
 408 assumed leaching flux of  $\text{NO}_3^-$  (c), estimated  $\text{NO}_3^-$  consumption rate (GDR + uptake)  
 409 (d), and estimated GNR (e).

410



411 **Figure. 2.** Distribution of  $\text{NO}_3^-_{\text{atm}}$  in the simulated forested soil with homogeneous  
 412 distribution of  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  (a). Vertical distribution of the following  
 413 parameters in the forested soil: ~~simulated~~ assumed  $\Delta^{17}\text{O}$  values of  $\text{NO}_3^-$  (b), ~~simulated~~  
 414 assumed leaching flux of  $\text{NO}_3^-$  (c), estimated  $\text{NO}_3^-$  consumption rate (GDR + uptake)  
 415 (d), and estimated GNR (e).