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Overcoming barriers to enable convergence research by integrating ecological

- 3 and climate sciences: The NCAR-NEON system Version 1
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21 Abstract

22	Global change research demands a convergence among academic disciplines to understand
23	complex changes in Earth system function. Limitations related to data usability and computing
24	infrastructure, however, present barriers to effective use of the research tools needed for this cross-
25	disciplinary collaboration. To address these barriers, we created a computational platform that pairs
26	meteorological data and site-level ecosystem characterizations from the National Ecological Observatory
27	Network (NEON) with the Community Terrestrial System Model (CTSM) that is developed with university
28	partners at the National Center for Atmospheric Research (NCAR). This NCAR-NEON system features a
29	simplified user interface that facilitates access to and use of NEON observations and NCAR models. We
30	present preliminary results that compare observed NEON fluxes with CTSM simulations and describe
31	how the collaboration between NCAR and NEON that can be used by the global change research
32	community improves both the data and model. Beyond datasets and computing, the NCAR-NEON
33	system includes tutorials and visualization tools that facilitate interaction with observational and model
34	datasets and further enable opportunities for teaching and research. By expanding access to data,
35	models, and computing, cyberinfrastructure tools like the NCAR-NEON system will accelerate integration
36	across ecology and climate science disciplines to advance understanding in Earth system science and
37	global change.

38 Short Summary

We present a novel cyberinfrastructure system that uses National Ecological Observatory Network
 measurements to run Community Terrestrial System Model point simulations in a containerized system.
 The simple interface and tutorials expand access to data and models used in Earth system research by
 removing technical barriers and facilitating research, educational opportunities, and community

43 engagement. The NCAR-NEON system enables convergence of climate and ecological sciences.

44 **1. Introduction**

45 Earth system science aims to deepen understanding of interactions between natural and social systems and their responses to global change. As such, the collective understanding of changes in Earth 46 47 system function in response to global change drivers requires a convergence among scientific disciplines, 48 including physical and natural sciences (Kyker-Snowman et al. 2022). This research combines a variety 49 of complex observational data with ever more sophisticated computational models. Notably, Earth System 50 Models (ESMs) are essential tools for assessing and predicting our changing environment (Bonan and 51 Doney 2018), but limitations related to data usability and access to computing infrastructure present 52 barriers to effective use of these research tools (Fer et al. 2021). Addressing these barriers is critical to 53 engage the broad, cross-disciplinary communities that are required for Earth system science research,





education, and training (NASEM, 2022). We feel that tractable progress can be made to reduce these
data and technical barriers to better understand and project changes in Earth system function under
global change.

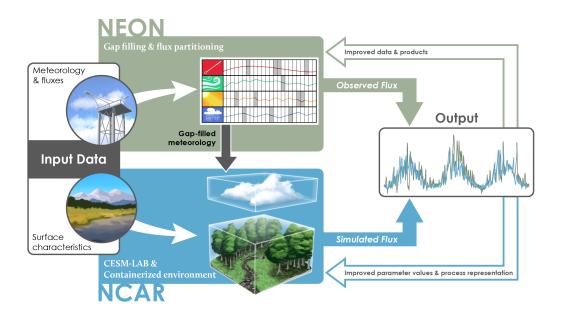
57 The availability, discoverability, and usability of observational data are essential to running, 58 calibrating, and validating models. For example, the scientific advancements made in measuring eddy 59 covariance (EC) fluxes have been critical to the development, evaluation, and improvement of the 60 representation of terrestrial ecosystems in ESMs. Initially, model-data comparisons were limited to short, 61 intensive field campaigns extending over a few weeks (Bonan et al. 1997), but this grew to comparison 62 with flux network datasets extending over several years at multiple sites (Stöckli et al. 2008), and 63 comparison with globally gridded flux products (Bonan et al. 2011; Jung et al. 2020). Flux tower data sets 64 continue to provide essential information for land model development and evaluation (Best et al. 2015; Lawrence et al. 2019). Notably, single-point simulations can use EC measurements to facilitate more 65 66 rapid model development and testing of ecological hypotheses (Bonan et al. 2012; Burns et al 2018; 67 Swenson et al. 2019; Wieder et al. 2017). An explosion of EC measurements and strong network coordination make these data easier to find (Durden et al. 2020; Pastorello et al. 2020), but the need to 68 69 perform additional data processing prior to use presents barriers to integrating ecological observations 70 into land model development and evaluation. These barriers include gap filling associated meteorological 71 data, assessing EC flux data quality, and persistent challenges in discovering and harmonizing 72 complementary data - including information about vegetation and soils at EC tower sites. Our work seeks 73 to provide a framework to address these data challenges to facilitate the integration of local meteorology, 74 EC flux measurements, and ecosystem characterizations in the development and evaluation of land 75 models that are used for Earth system prediction and global change research. 76 Beyond these data challenges, barriers to accessing and using computing infrastructure also 77 impede broader community engagement with tools that are central to global change research. This limits 78 the participation of scientists from environmental science and ecology, which are fundamental 79 components of the Earth system, in the development and use of ESMs. The Community Earth System Model (CESM; Hurrell et al. 2013; Danabasoglu et al. 2020) has a long history of being freely and openly 80 81 available to users, yet several barriers related to training, cyberinfrastructure, and data integration have 82 hampered broader adoption and use of this model by a wide range of researchers. Thus, model code 83 may be publicly available, but access to computing resources and the associated technical expertise 84 needed to use them presents barriers to engaging a diverse, cross-disciplinary community of model users 85 who can harness these powerful tools for research and teaching. We contend that broader engagement across scientific disciplines is critical to improving the representation of Earth system processes and their 86 87 likely responses to global change.

This work overcomes some of the barriers to the use of ESMs in ecology by creating an
 integrated 'NCAR-NEON system'. This system combines meteorological data and site-level ecosystem
 characterizations from the National Ecological Observatory Network (NEON) with the Community





- 91 Terrestrial System Model (CTSM), an extension of the Community Land Model (CLM5; Lawrence et al.
- 92 2019). CTSM is the terrestrial component of CESM, which is developed with university partners at the
- 93 National Center for Atmospheric Research (NCAR; Fig. 1). The NCAR-NEON system also features a
- 94 simplified user interface that facilitates access to and use of NEON observations and NCAR models. By
- 95 developing this NCAR-NEON system, we aim to enable the convergence of climate and ecological
- 96 sciences by providing accessible cyberinfrastructure, quality-controlled datasets from NEON, and tutorials
- 97 for analyzing and visualizing observed and simulated data. We describe development of the NCAR-
- 98 NEON system, present results comparing observed NEON fluxes with simulations from CTSM, and
- 99 outline opportunities that the system enables for research and education across scientific disciplines.



100

101 Figure 1. A conceptual diagram illustrating the integration of NEON data and NCAR modeling enabled through the 102 NCAR-NEON system. NEON meteorological measurements are gap-filled using redundant streams and used as inputs 103 for single point simulations with the Community Terrestrial Systems Model (CTSM). Additional NEON observations are used as input data to the model, including surface characteristics of vegetation (e.g., mapping to simulated plant 104 105 functional types, PFTs) and the soil properties (soil texture, organic matter content, and depth to bedrock, if < 2m). 106 Simulations with CTSM are conducted in CESM-Lab, a computing environment that runs in a container or with cloud computing resources, which includes model code and analysis tools. Simulated data is compared with observed fluxes 107 108 using visualization scripts that are provided within CESM-Lab to improve both observed data products, model 109 parameterization, and model processes representation.





111 2. Methods

112 2.1 NEON Data

NEON is a research network comprising 81 monitoring sites (47 terrestrial, 34 aquatic) that are collecting standardized, open data across the major ecosystems of the United States (Table S1). NEON's data products are highly complementary to land models, providing high quality and standardized data for soil, vegetation, and atmosphere states and fluxes across vast spatiotemporal scales with high

- 117 throughput instrumented systems data and spatially expansive remote sensing data (Hinckley et al. 2016;
- Balch et al. 2020; Durden et al. 2020). Each of the 47 NEON terrestrial sites includes an EC tower to
- 119 determine the surface-atmosphere exchange of momentum, heat, water, and CO₂, alongside meteorology
- 120 (precipitation, wind speed, humidity, temperature), atmospheric composition (water vapor and CO₂
- 121 concentrations and isotopic ratios), and soil sensor assemblies (Metzger et al. 2019). In this preliminary
- 122 effort to bring NEON measurements and NCAR modeling together we use NEON data for: 1)
- 123 Meteorological inputs that are gap filled and provide local atmospheric boundary condition inputs to
- 124 CTSM; 2) Surface characteristics of soil properties and vegetation; and 3) Eddy covariance fluxes to
- 125 compare observed and simulated results (Fig. 1, Table 1), with prototype data available through the
- 126 NEON data portal (NEON 2023).
- 127

Table 1. NEON data product name, data product use in CTSM, NEON data product ID, and Digital Object Identifier (DOI). Data products were used for meteorological inputs and surface characterization, which are inputs needed to run CTSM, and for model evaluation.

Data Product Name	Data Product Use	Data Product ID	DOI
Precipitation	Meteorological input	DP1.00006.001	https://doi.org/10.48443/6wkc-1p05
Relative humidity	Meteorological input	DP1.00098.001	https://doi.org/10.48443/w9nf-k476
Shortwave and longwave radiation (net radiometer)	Meteorological input	DP1.00023.001 *DP1.00024.001 *DP1.00014.001	https://doi.org/10.48443/stbf-bh38 https://doi.org/10.48443/8a01-0677 https://doi.org/10.48443/hv8e-5696
Barometric pressure	Meteorological input	DP1.00004.001 *DP4.00200.001	https://doi.org/10.48443/zr37-0238 https://doi.org/10.48443/7cqp-3j73
Wind speed	Meteorological input	DP4.00200.001 *DP1.00001.001	https://doi.org/10.48443/7cqp-3j73 https://doi.org/10.48443/77n6-eh42
Air temperature	Meteorological input	DP4.00200.001 *DP1.00003.001	https://doi.org/10.48443/7cqp-3j73 https://doi.org/10.48443/q16j-sn13
Forcing height	Meteorological input	DP4.00200.001	https://doi.org/10.48443/7cqp-3j73
Soil physical and chemical properties, Megapit	Surface characterization	DP1.00096.001	<u>https://doi.org/10.48443/10dn-8031</u>
Dominant vegetation type	Surface characterization	Manually Assigned	
Bundled data pro ducts - eddy covariance	Model Evaluation	DP4.00200.001 *DP1.00023.001	<u>https://doi.org/10.48443/7cqp-3j73</u>





Net radiation	Model Evaluation	DP1.00023.001 *DP1.00014.001	https://doi.org/10.48443/stbf-bh38 https://doi.org/10.48443/hv8e-5696
Photosynthetically Active Radiation (PAR)	Model Evaluation	DP1.00024.001 *DP1.00023.001 *DP1.00014.001	https://doi.org/10.48443/8a01-0677 https://doi.org/10.48443/stbf-bh38 https://doi.org/10.48443/hv8e-5696
Direct and Diffuse Radiation	Model Evaluation	DP1.00014.001	https://doi.org/10.48443/hv8e-5696
Soil water content and water salinity	Model Evaluation	DP1.00094.001	https://doi.org/10.48443/ghry-qw46

131 *Indicates the data product was used in the redundant stream gap-filling to fill primary data product

132 2.1.1 Meteorological inputs

133 Generating the gap-filled meteorological data that are required for single-point simulations with 134 land models can be time consuming and requires expertise in micro-meteorology that land model users 135 and developers may not have. Thus, the modeling community historically relied on external efforts like 136 FLUXNET synthesis databases to provide gap-fill meteorological measurements at eddy-flux sites (e.g., 137 La Thuile or FLUXNET2015; Pastorello et al 2020). Downloading and processing these datasets into a format that is usable by the model is also time consuming, and often the flux measurements are not 138 paired with information about local vegetation or soil properties that are easy to discover or digest. 139 140 Collectively, these factors create barriers for use and latencies in updating the EC observational data that 141 are used in single point simulations. The NCAR-NEON system aims to remove some of these barriers.

142 NEON meteorological input data used to run CTSM are summarized in Table 1, and gap-filled 143 using publicly available code (Table 2). While NEON is highly standardized, a few differences in 144 instrumentation exist between NEON Core (representative of the predominant natural ecosystem of each 145 respective Domain) and gradient sites (representing other endmember conditions in each respective Domain). For example, core NEON sites measure precipitation with Double-fenced Intercomparison 146 147 Reference gauges, while gradient sites all have tipping buckets (Metzger et al. 2019). Accounting for 148 these site-specific sensor configurations and variation in their associated data streams is the first step in 149 providing usable meteorological inputs to CTSM. The meteorological inputs to CTSM must be continuous. 150 therefore, additional gap filling of missing data is required. Additionally, the EC system collects data 151 necessary to calculate fluxes of energy, water vapor, and CO2. The NEON site design builds in some 152 redundancy in observations with profiles of incoming radiation, wind, temperature, water vapor, and CO2 153 concentrations measured at different heights on each NEON tower (Metzger et al. 2019). These data 154 redundancies allow for a robust initial gap-filling using linear regressions among the primary and 155 redundant data streams to correct for instrument or location differences. For example, if wind speed or air 156 pressure measurements from the tower top are missing, we gap-fill with the value from the redundant 157 data stream (typically measured at a lower tower height) corrected by the linear relationship with the 158 primary sensor data. If multiple redundant data streams are available, the best fit regression with data 159 available is used to determine the gap-filled value for each missing data point.





160	After gap-filling using related data stream regression, some range thresholds and proper unit
161	conversions are applied to prepare the meteorological data for processing through the ReddyProc R
162	package following the gap-filling workflow outlined in Wutzler et al. (2018). After using related data stream
163	regressions, the meteorological data are checked for additional gaps, and gap-filling is performed using
164	one of three additional gap-filling methodologies that include look-up table (Falge et al. 2001), mean
165	diurnal course, and marginal distribution sampling (Moffat et al. 2007; Reichstein et al. 2005). The gap-
166	filling method is tracked and provided as a flag with the data to allow users to assess data with various
167	methodology restrictions. The meteorological data streams are then converted to units required by CTSM
168	and output to cloud storage in netCDF format with associated metadata to fully describe data provenance
169	and formatting. At most sites data coverage spans January 1, 2018, through December 31, 2021, but as
170	more NEON data are collected these files will also be updated in near-real time, thus removing barriers
171	associated with processing flux tower data and reducing latencies in using new data as they are
172	collected. Tables S1 and S2 provides a list of all the sites where input data have been successfully gap-
173	filled and notes any potential data quality issues.
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- **Table 2** List of helpful websites created for the NCAR-NEON system, their contents and a url address for each. All sites were accessed Feb 13, 2023. *Note we intend to provide permanent urls for these sites in the final published
 175
- 176 177 manuscript.

Name	contents	url		
Project home page Main landing page for users interested in learning more about the project		https://ncar.github.io/NEON- visualization/		
Tutorial	Tutorial that introduces running CTSM at NEON tower sites in the CESM-Lab container.	https://ncar.github.io/ncar-neon- books/notebooks/NEON Simulation 		
Interactive visualizations	Interactive plots that allow users to explore data produced by the NCAR- NEON system without running the model or downloading data.	https://neon.herokuapp.com/neon_d ashboard		
Processing NEON data	Docker image with scripts used for gap filling meteorological data, flux partitioning, and formatting NEON datasets.	https://quay.io/repository/ddurden/n car-neon		
DiscussCESM Forum	Discussion forum bulletin boards for questions related to CESM including CESM-Lab and CTSM.	https://bb.cgd.ucar.edu/cesm/		
CTSM repository	Code base, technical documentation and information related to CTSM	https://github.com/ESCOMP/CTSM		
NEON Prototype Data	NEON prototype datasets, which include the gap filled meteorological data for flux partitioned data used for model input and evaluations	https://data.neonscience.org/prototy pe-datasets/0a56e076-401e-2e0b- 97d2-f986e9264a30		

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180 2.1.2. Surface characteristics of soil properties and vegetation

181 Basic information on edaphic properties is needed in the pedotransfer functions that describe soil thermal and hydraulic properties in CTSM. Although NEON has several soil sampling datasets, we used 182 183 information from the Megapit characterization of soil physical and chemical properties in CTSM because it 184 contains more information about deep soil horizons (> 1 m depth; Table 1) from a single soil pit at each 185 site. Megapit samples were collected by pedogenic soil horizon down to 2 m or restrictive feature and 186 analyzed for several properties including total soil carbon concentration, calcium carbonate concentration, 187 bulk density, coarse fragments, soil pH, and texture. Soil organic carbon stocks used in CTSM were 188 estimated for each soil horizon by calculating organic carbon concentrations (after subtracting carbonates 189 from total carbon measurements) and multiplying by bulk density.

Currently, the CTSM simulations are run with a single plant functional type (PFT) at each NEON site (Table S1). We acknowledge that this belies the diversity in vegetation that is present at NEON sites, but it provides a tractable starting point for further investigation into developing more sophisticated site- to regional-scale parameterizations and representations of biotic diversity with CTSM. The dominant PFT at each NEON site was assigned at the location of each EC tower using expert assessment that was informed by NEON vegetation surveys. Information on soil properties and dominant vegetation types are output as .csv files to public-access cloud storage buckets for use by CTSM (Figs. 1; Sect. 2.3).

197 2.1.3 Independent model evaluation

198 The EC flux data (energy, water vapor, and CO₂) are time regularized and guality assurance and 199 control (QA/QC) are applied. The QA/QC applied includes removing data when guality flags are raised. removing CO₂ data when the field calibration algorithm cannot be applied, applying range thresholds, and 200 201 applying a despiking routine to remove outliers (Brock, 1986; Starkenburg et al. 2016. The data are gap-202 filled using the ReddyProc methodology outlined in Sect. 2.1.1. The vapor pressure deficit (VPD) is 203 derived from the difference between actual and saturated vapor pressure, while gross primary production 204 (GPP) is calculated from net ecosystem exchange (NEE) using the nighttime flux partitioning method of 205 Reichstein et al. (2005). The data, guality flags, and metadata are formatted and provided at 30-minute 206 intervals as netCDF files for comparison with modeled fluxes. Finally, NEON continuous soil moisture 207 data were compared with model simulations for two sites. Since the soil moisture sensors were 208 reconfigured with different calibration coefficients during the 2018-2021 validation period, which 209 introduced step changes in NEON's soil moisture data product (Table 1), the raw sensor measurements 210 were back-calculated and consistent soil-specific calibration coefficients were subsequently applied over 211 the entire measurement period (Ayres et al. 2021) prior to comparison with CTSM data. Only values that 212 passed quality tests were used. In future work we aim to provide standardized soil moisture data for more 213 sites across the Observatory.





214 2.2. NCAR modeling

215 Numerical models of weather and climate have long been recognized as essential research tools 216 to advance atmospheric science. Land surface fluxes of energy, moisture, and momentum, required to 217 solve the equations of atmospheric physics and dynamics, are controlled by heat and water storage in 218 soil, as well as the physiology of plants and their organization into canopies of leaves. Consequently, 219 models of soil-plant-atmosphere processes are required to provide the necessary surface fluxes. Indeed, 220 the first numerical weather prediction model included mathematical equations for soil temperature, soil 221 moisture, the stomata on leaves, and envisioned canopies as a film of leaves covering the surface 222 (Richardson 1922). As science progressed from models of atmospheric general circulation to climate 223 models and now, Earth system models, the role of terrestrial ecosystems in climate processes has come 224 to the forefront. The terrestrial components of ESMs, such as CTSM, have improved ecological processes 225 representation and now include biogeochemical cycles, wildfires, and land use and land cover change 226 (Bonan 2015, 2019; Lawrence et al. 2019). This evolution in the Earth system sciences is evident in 40+ 227 years of scientific research linking weather, climate, and land modeling at NCAR, from pioneering initial 228 model implementations (Deardorff 1978; Dickinson et al. 1986, 1993; Bonan 1996) to community-based 229 model development (Oleson et al. 2004, 2010, 2013; Levis et al. 2004; Lawrence et al. 2019) that 230 continues to engage ecological and environmental sciences communities in CTSM development and application. As more ecology and biogeochemistry are added to the models (Fisher and Koven, 2020), 231 232 the notion of climate prediction is expanding to Earth system prediction, including terrestrial ecosystems 233 and biotic resources (Bonan and Doney 2018). These models have also become important tools for 234 scientific discovery by identifying the ecological processes that affect climate (e.g., photosynthetic 235 temperature acclimation; Lombardozzi et al. 2015) and to advance theory at the macroscale (e.g., 236 developing a theory of ecoclimatic teleconnections; Swann et al. 2018). With the new NCAR-NEON 237 system tools described here, we aim to expand engagement and accessibility with the ecological and 238 environmental sciences communities to continue testing, evaluating, and improving terrestrial process 239 representation within CTSM. This will improve our understand of how ecosystems function within the 240 Earth system, including the regulation of carbon, water, and energy fluxes that affect climate.

241 2.2.1 Containerized version of CESM-Lab

242 CESM has a long history of being freely and openly available to users (Hurrell et al. 2013; 243 Danabasoglu et al. 2020), yet several barriers related to training, cyberinfrastructure, and data integration 244 have hampered its adoption by a wide range of researchers. Even with open-source software, porting 245 CESM to a new computer also requires the new computing system can compile model source code and 246 has all the necessary input data and library dependencies. To address these computing challenges, 247 NCAR recently developed CESM-Lab, which is a pre-configured and standardized environment that 248 contains CESM and Jupyter-Lab. CESM-Lab is available via a Docker container and distributed via 249 DockerHub (Table 2). The containerized version of CESM-Lab, and containers in general, give





researchers the capability to package and distribute source code, libraries, dependencies, and system settings as one unit – thereby ensuring reproducibility. Using the containerized system, CESM-Lab can be used on any computing system, even a laptop or a cloud platform, to allow researchers to easily run CESM and its component models. The NCAR-NEON system uses CESM-Lab capabilities to run single point CTSM simulations at NEON sites.

255 2.2.2 Single point CTSM simulations

256 The workflow for running single-point CTSM simulations requires several steps that can be error-257 prone and time-consuming, particularly when using EC tower or other site-level data to drive simulations. 258 To facilitate using NEON data in CTSM simulations we made several modifications to simplify this 259 workflow. When users create a new simulation, the system queries NEON public-access cloud storage 260 buckets and downloads available data into a designated directory (Sect. 2.3). For each NEON site, this 261 includes a surface dataset that reflects soil properties and the dominant vegetation (Table 1), 262 meteorological data used to drive the atmospheric conditions, and an initial conditions file with 263 equilibrated carbon, water, energy, and nitrogen states and fluxes. Initial conditions at each NEON site 264 were generated by cycling over the meteorological data at each site for 200 years in accelerated 265 decomposition (AD) mode and another 100 years in normal, or post-AD mode, or until biogeochemical 266 states reached steady state (when ecosystem C pools change by < 1g C m⁻² y⁻¹; this is standard protocol 267 for equilibrating the model state, Lawrence et al. 2019). Colder sites, especially those in Alaska, took 268 longer to reach these steady state conditions.

269 The NCAR-NEON system uses a top-level Python code called 'run neon' that simplifies 270 downloading the preconfigured datasets and automatically creates, builds, and runs cases for individual 271 and multiple NEON sites. The Python script, which also resides in the CTSM repository (Table 2), 272 includes several command-line arguments and options for automatically running spin-up and transient 273 simulations. Collectively, these features dramatically improve CTSM site simulation accessibility, facilitate 274 the use of new NEON data, reduce potential errors in configuring the CTSM case at NEON tower sites, 275 and enable users to run simulations at multiple NEON sites. While users of the system can now easily 276 generate their own data, NCAR provides model simulation data at each of the tower sites that are 277 available on the NEON public-access cloud storage bucket (Sect. 2.3). Simulation data are generated at 278 a 30-minute time step and are aggregated into daily netCDF files.

279 2.2.3 Tutorials, analysis, and visualization

Three interactive tutorials are available to guide users through the new NCAR-NEON system (Table 2). The first tutorial helps system users to access CESM-Lab using Docker, which will ultimately allow the user to run CTSM simulations at NEON sites on their local computing system. The first step requires that users download Docker from the company website. This step is potentially challenging, as Docker is an externally controlled application and some recent Docker updates do not work with older





computing systems. We provide links to additional resources to help the user navigate these potential
problems and offer a resource for asking questions about containers through the CESM discussion forum
(Table 2). After downloading and installing Docker, users are guided through downloading, running, and
connecting to the CESM-Lab container and accessing the NEON tower simulation and visualization
tutorials.

290 The second tutorial is a Jupyter Notebook that guides users through running CTSM simulations 291 for NEON flux tower sites. The beginning of this tutorial provides a short description about CTSM and its 292 component models, as well as resources for finding additional information. The process of running a 293 simulation at NEON tower sites has been streamlined into the 'run neon' script (see Sect. 2.2.2) that can 294 be called with a single line of code after the user defines a NEON tower site. The simulation itself 295 downloads approximately 2.5 GB of input data and takes several minutes or more to complete, depending 296 on the speed of the internet connection and computing system being used. After the simulation 297 completes, the user is pointed to where the model data are stored and has the option to generate plots of 298 soil temperature and moisture profiles for one year of the simulation.

299 The third tutorial guides users through analyzing and evaluating model simulations against 300 observed NEON flux tower measurements. This tutorial requires a successfully completed NEON tower 301 simulation from the previous simulation tutorial. The user selects their site and the year of interest and is 302 guided through loading and opening the model data files, as well as downloading EC data for evaluation 303 from the NEON server and loading and opening the files. Next, the tutorial guides users through 304 formatting, processing, and plotting simulation and flux tower data. Users generate plots of mean annual 305 and diel cycles of latent heat flux. Additional plots illustrate how CTSM partitions latent heat flux into 306 ground evaporation, canopy evaporation, and transpiration, as component fluxes are not available from 307 the observed data. Scatter plots are also created using simulated fluxes to illustrate the relationship 308 between component evaporation and transpiration fluxes and total latent heat flux on seasonal and 309 annual timescales. The tutorial explains the python tools used to process and plot the data and asks 310 probing questions about the results that tutorial users are exploring to help guide the user in thinking 311 about patterns in the data and consider how to compare model and flux tower data. Users are 312 encouraged to use the code available in this tutorial to explore other sites, years, and variables.

313 2.3 Cyberinfrastructure to Facilitate Data Exchange and Interactive Visualizations

Cyberinfrastructure for scientific data provides data handling and management functionality including data storage, processing, transfer, security, and access. Cyberinfrastructure components developed for the NCAR-NEON system include access-managed cloud storage for project data, standards-based metadata generation enabling dataset search and discovery, and data exploration tools for the user community. Datasets for the NCAR-NEON system are hosted in cloud object storage providing secure web-enabled access to the data files (Fig. 2). Data files are grouped in the cloud storage system into logical storage containers called buckets. Buckets that are granted public access allow





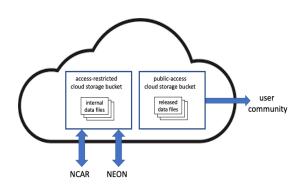


Figure 2. A schematic representation of the cloud-based data management for the NCAR-NEON system. Internal data may include preliminary results, data shared for review within the project, or data staged for release. Released data files are available for public access to the user community and anyone on the Internet and include NEON meteorological inputs, NEON surface characterization data, CTSM surface datasets and initial condition files, NEON measurements used for model evaluation, and data from CTSM simulations that are used for interactive visualizations. Access-restricted cloud buckets require authentication to access files stored in them. Publicaccess cloud storage buckets provide open access to the files stored in them. anyone on the Internet to download the data stored in them. Buckets protected with authentication mechanisms require users to have either individual account permissions on the bucket or an access key for the bucket and are meant for internal dataset sharing or staging data prior to public release.

Data exchange between NCAR and NEON within this system enables automated generation of datasets as well as collation of NCAR model outputs and NEON data. The initial data collation for NEON data products uses a container that sources all atmospheric forcing and model evaluation data from the NEON API, performs gap-filling, and formats the data for model ingestion with standardized metadata (Sect. 2.1). Simulation datasets from NCAR (Sect. 2.2) are

339 automatically synced to NEON object storage in the cloud at scheduled intervals (Fig. 2). To facilitate 340 automated transfer of datasets between NCAR and NEON, a staging bucket is configured that allows file 341 uploads from authenticated users. An automated process moves files from the staging bucket to the 342 publicly available target bucket at scheduled intervals. Metadata describing scientific datasets using 343 standard vocabularies and formatting can be used by Internet search engines to facilitate dataset 344 discovery. JavaScript Object Notation for Linked Data (JSON-LD; https://www.w3.org/TR/json-ld) is a 345 human- and machine-readable open metadata standard. Schema.org defines a vocabulary of standard HTML tags compatible with JSON-LD markup (Shepherd et al. 2022). A metadata generation component 346 for NCAR-NEON datasets is implemented in Python and uses the Binary Array Linked Data library 347 348 (binary-array-Id 2016) to generate JSON-LD metadata for NCAR-NEON netCDF files with the 349 Schema.org vocabulary. 350 Beyond these automated data exchanges, we also developed a Python-based interactive 351 visualization dashboard (Table 2) as a Graphical User Interface (GUI) that enables users to explore and 352 interact with model outputs and observations on-the-fly. This tool allows users to generate graphs and 353 statistical summaries comparing CTSM simulations and observational data for NEON sites without 354 downloading the observational data or running the model. This dashboard was developed using a 355 scientific Python stack, including Xarray, Bokeh, and Holoviews, which allows a developer to create a 356 user interface with widgets and visualization components inside a Jupyter Notebook. Users access a GUI 357 to select individual NEON sites, variables, and output frequencies to visualize. The tool offers different





types of interactive visualizations and statistical summaries based on users' selections. This interactive visualization dashboard does not require specialist knowledge to operate; therefore, it can be used for educational outreach activities and in classrooms. Moreover, users can interact with the dashboard using a browser, so it is possible to interact with the plots via tablet or smartphone.

362 Data I/O and manipulation, particularly at the 30-minute frequency available in the NCAR-NEON 363 system, are typically computationally resource-intensive aspects of data access. I/O and calculations can 364 both benefit from parallel computing, which can process multiple subsets of a dataset simultaneously and 365 thereby enable efficient dataset access and operations. The back end for the visualization dashboard uses dataset chunking for efficient access to netCDF file content. The Zarr format and library enable 366 367 generation of metadata providing chunked access to netCDF files (Miles et al. 2022). Zarr metadata for 368 daily files is combined into monthly files, reducing the number of files accessed for time intervals spanning multiple days and thereby improving access efficiency. The Python Xarray library, which is used 369 370 to read the datasets, integrates with the Python Dask library for parallel computing and thus enables 371 loading and processing netCDF data chunks in parallel as Dask arrays. The Dask components that 372 Xarray uses use a local thread pool by default, and local threads incur minimal task overhead associated 373 with the parallel processing. Operations on the Dask arrays use the Python NumPy library for array 374 operations, and the NumPy implementation takes advantage of thread pool parallelism, enabling 375 efficiency improvements in dataset operations even on small (~100-200 KB) files.

376 3. Results

We illustrate features of the NCAR-NEON system with comparisons of observed and simulated fluxes across diverse ecosystems that the Observatory spans. A subset of the sites highlighted in our analysis are described in Table 3. The comparisons are intended to summarize the status of the project, illustrate the data produced through this project, and highlight potential insights the data affords. We recognize that there are rich opportunities to expand on these analyses, integrate additional measurements, and improve modeled parameterization and representations of specific sites and processes. Indeed, such contributions are encouraged from the community.





Table 3 Summary of site name, location, mean annual temperature (MAT), mean annual precipitation (MAP), and gross
 primary production (GPP) at a subset of NEON sites. Due to gaps in the observational estimates, mean annual GPP is
 for the full time series simulated by CTSM at each NEON site. All results are for 2018-2021 unless noted otherwise.
 The full list of results is shown in Tables S1, S2.

NEON Site ID	Site Name	Lat	Lon	MAT (°C)	MAP (mm y ⁻¹)	GPP (gC m ⁻² y ⁻¹)
BART	Bartlett Experimental Forest	44.06516	-71.28834	7.7	1213	1127
HARV	Harvard Forest	42.53562	-72.17562	8.5	1405	1153
STEI	Steigerwaldt-Chequamegon	45.5076	-89.5888	5.7	660	1109
KONZ	Konza Prairie Biological Station	39.1007	-96.56227	12.9	617	1158
SRER	Santa Rita Experimental Range	31.91068	-110.83549	20.4	329	360
ABBY	Abby Road	45.762378	-122.329672	10.1	2043	1906

389 390

Annual climatologies of site level data provide comparisons of measured and simulated fluxes.

391 Site level simulations with CTSM received inputs of incoming shortwave and longwave radiation

392 measured at NEON EC towers (Table 1), but the model calculates reflected shortwave radiation and

393 outgoing longwave radiation based on albedo and surface temperature. Accordingly, net radiation is a

394 useful metric by which to compare observed and simulated fluxes. Since net radiation is a driver of

395 numerous ecosystem fluxes, identifying biases can help to explain biases in other fluxes. We look at a

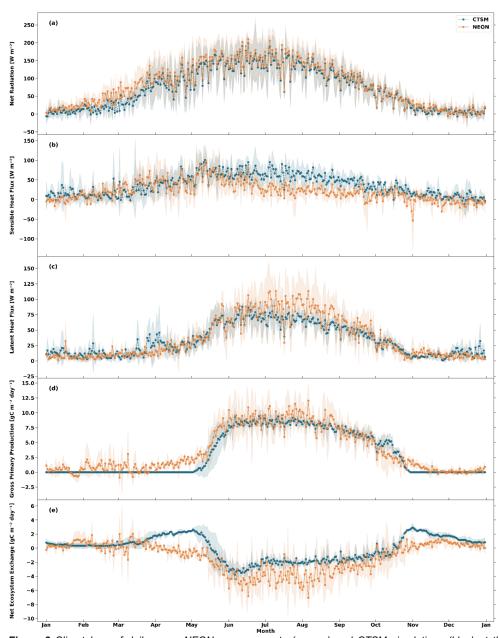
396 climatology of daily mean net radiation that is simulated over the NEON record. Results shown here for

397 Bartlett Experimental Forest (BART; Fig. 3a) suggest that the model adequately captures the seasonal

cycle of net radiation at this temperate deciduous forest site. (Fig. S1 shows a similar climatology for a
 boreal forest site at DEJU).







400JanFebMarAprMayJunJul
MonthAugSepOctNovDecJan401Figure 3Climatology of daily mean NEON measurements (orange) and CTSM simulations (blue) at the Bartlett402Experimental Forest in New Hampshire (BART). Points show the daily mean (a) net radiation; (b) sensible heat flux;403(c) latent heat flux; (d) gross primary production (GPP); and (e) net ecosystem exchange (NEE). Shading shows the404standard deviation of daily average data for 2018-2021.

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Users can also compare latent and sensible heat fluxes that are simulated by the model and
 observed at EC towers. At BART we see that CTSM tends to underestimate sensible heat fluxes, while





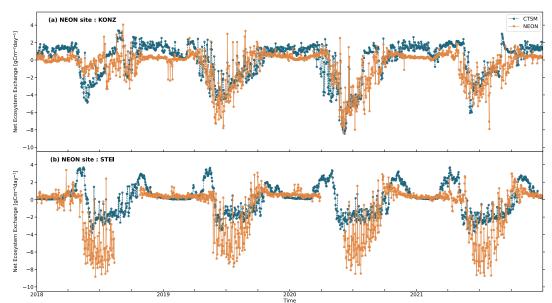
408 overestimating latent heat fluxes, especially during the summer months (Fig. 3b-c). Such biases in the 409 evaporative fraction (the ratio of latent heat flux to the sum of latent and sensible heat fluxes) of turbulent 410 fluxes are common in land models, including CTSM (Best et al. 2015; Wieder et al. 2017) and the NCAR-411 NEON system. The inconsistencies at BART could reflect model biases in stomatal conductance or leaf 412 area index (LAI) and deserves further investigation. Future work can leverage data from PhenoCam data 413 (Richardson et al. 2018) and stable isotope measurements at NEON towers (Finkenbiner et al. 2022; 414 Moon et al. 2022) to better understand LAI and stomatal conductance, respectively. 415 Comparing measured and simulated carbon fluxes provides insights into model parameterizations 416 and can be used to estimate missing observational data. Carbon fluxes from CTSM simulations can be 417 compared to data from NEON EC towers: Net ecosystem exchange (NEE) data are measured at the 418 NEON EC towers while GPP is a modeled product that is derived from statistical relationships, here using 419 the nighttime flux partitioning method of Reichstein et al. (2005). By contrast, models like CTSM first 420 simulate GPP based on leaf level photosynthetic rates that are scaled to the canopy with simulated LAI. 421 Subsequently, NEE is calculated after subtracting ecosystem respiration fluxes from GPP. Results at 422 BART suggest that CTSM generally captures the timing and magnitude of GPP fluxes at the site (Fig. 3d); 423 although attention to phenology, especially environmental controls and interannual variability of leaf out 424 and senescence are likely warranted (Birch et al. 2021; Li et al. 2022). The climatology of NEE fluxes 425 simulated by CTSM shows biases during the spring and autumn when the model simulated a land source 426 of CO₂ to the atmosphere (Fig. 3e) due to high ecosystem respiration fluxes. Moreover, the land sink of 427 CO₂ in the summer appears to be weaker in CTSM simulations than the NEON observations at the BART 428 tower (Fig. 3e). Since the magnitude of GPP is similar in the model and observations, the underestimated 429 summer NEE is possibly due related to high biases in simulated ecosystem respiration fluxes. Diagnosing 430 the source of this model biases is challenging, in part due to the interconnectivity of simulated processes and the limited capacity to measure such processes. Deeper insights may be afforded by taking a closer 431 432 look at results with higher temporal frequencies.

433 NEON tower data are simulated in near-real time within the NCAR-NEON system, with data 434 available to simulate most towers starting in 2018 through the most recent full year, here 2021. Figure 4 435 shows daily mean carbon fluxes, NEE, that are measured and simulated for the Konza Prairie Biological 436 Station (KONZ), where the NEON tower is in an unplowed tallorass prairie in Kansas, and Steigerwaldt 437 Land Services (STEI) site, where the NEON tower is located in an early successional aspen stand in 438 Wisconsin. Positive NEE fluxes show net carbon release from land to the atmosphere, while negative 439 fluxes indicate carbon gain into ecosystems. Looking at the full data record shows several notable features of NEON measurements and CTSM simulations. Data gaps in NEON measurements are most 440 441 common during the early operation of the observatory (Aug-Oct of 2018 at STEI) and in the early months 442 of the COVID-19 pandemic, when field crews could not travel to field sites to maintain equipment (Apr-443 June of 2020 at STEI). Across the observatory the NEON EC measurements have greater than 70% data 444 coverage, up from less than 40% data coverage at the start of observatory operations. The current NEON





- 445 EC data coverage aligns with that of the FLUXNET2015 dataset (van der Horst 2019). Second, although 446 EC is directly measuring NEE, mean daily NEON observations show high variability at both sites. Finally, 447 NEON EC towers measure both storage and turbulent fluxes, but results shown here omit the storage 448 component. Storage fluxes contribute to uncertainty in measured NEE fluxes, which may (or not) be large 449 for individual sites at different times of year.
- 450



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Figure 4 Full time series of daily mean net ecosystem exchange (NEE) from NEON measurements (orange) and CTSM simulations (blue) at the (a) Konza Prairie Biological Station in Kansas (KONZ) and (b) Steigerwaldt Land Services site 454 in Wisconsin (STEI). Positive NEE fluxes show net carbon release from land to the atmosphere, while negative fluxes 455 indicate carbon gain into ecosystems.

456

457 The NEE fluxes that are simulated by CTSM are calculated as the differences in GPP and 458 ecosystem respiration fluxes, which includes both autotrophic and heterotrophic respiration. These 459 component fluxes are much larger, depend on simulated ecosystem states (LAI, vegetation biomass, and 460 soil organic carbon stocks) and have associated environmental sensitivities (e.g., temperature, 461 precipitation, etc.). Thus, biases in these component fluxes can potentially transmit biases to simulated 462 NEE fluxes (Figs. 3-4). For example, CTSM simulations show periods of positive NEE during the spring 463 and fall that are not evident in NEON observations. The seasonal biases in NEE could result from an 464 underestimation of GPP during the shoulder season caused by phenological mismatches in simulated 465 and observed LAI, or result from only simulating a single plant functional type in CTSM. Alternatively, 466 NEE biases could result from higher than observed soil respiration rates in the model that reflect potential 467 biases in total soil C stocks or the temperature sensitivity of heterotrophic respiration. Finally, the CTSM 468 simulations were equilibrated to steady state conditions, meaning that annual NEE averaged over the 469 simulation period will be zero. The real ecosystems being measured at NEON sites, however, have





470 historical legacies - KONZ is burned periodically and STEI is an aggrading forest site - and do not 471 necessarily meet these same steady state assumptions. Collectively, this points to rich opportunities to learn about the ecosystems being measured by NEON observations and the processes that are important 472 473 to represent in models like CTSM. 474 We calculated summary statistics of CTSM simulated bias (Fig. 5) and root mean square error 475 (RMSE; Fig. S2) in ecosystem fluxes, compared to NEON observations. Biases in GPP and NEE are 476 relatively low in the Great Plains and Intermountain West but are larger in the Eastern US. Specifically, 477 NEE is biased high east of the Mississippi, while GPP biases are largest in the Southeastern US. CTSM 478 typically has high biases in sensible heat fluxes and concurrent low biases in latent heat flux. Some sites, 479 particularly grasslands (e.g., CPER, OAES, and SJER), do not follow this general pattern. We therefore 480 probed precipitation data from NEON, which appear to have significant biases at some grassland sites 481 (discussed in Sect. 4.1) and contribute to artificially high biases in CTSM simulations at these sites. 482

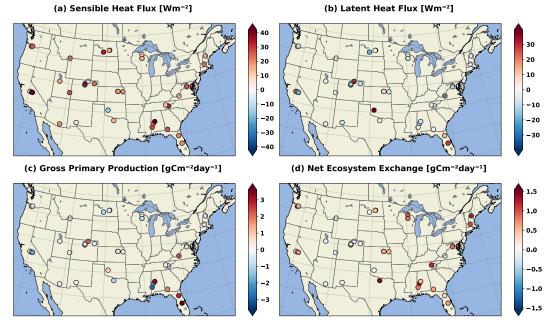




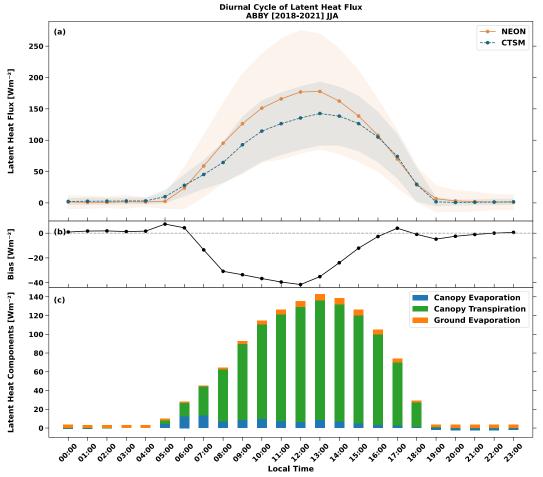
Figure 5 Maps showing location of NEON site in the conterminous United States and annual biases in fluxes that are 485 simulated by CTSM for: (a) sensible heat flux (W m²); (b) latent heat flux (W m²); (c) gross primary production (GPP, 486 gC m² day¹); and net ecosystem exchange (NEE, gC m² day¹) over the observational record (2018-2021), unless 487 otherwise noted in Table S2.

488

489 Additional insights into potential sources of biases in data-model comparisons can be provided by 490 looking deeper into component fluxes of latent heat at higher temporal frequencies. The NEON EC towers 491 provide 30-minute measurements of total latent heat fluxes, but latent heat fluxes in CTSM can be 492 partitioned into contributions from canopy transpiration, canopy evaporation, and soil evaporation. For







493
 494
 Figure 6 Diel cycle of summertime (June, July, and August, or JJA) latent heat flux at the Abby Road site in Washington (ABBY). Panels show: (a) mean half hourly fluxes (2018-2021 mean ± 1 a) for NEON measurements and CTSM simulations (orange and blue lines, respectively); (b) CTSM model bias relative to the observations (W m⁻²); and (c) partitioning of latent heat into fluxes that are simulated by CTSM, which includes canopy evaporation, canopy transpiration, and ground evaporation (blue, green, and orange bars, respectively). Additional visualizations showing all sites and seasons are available on the interactive visualizations web site (Table 2).

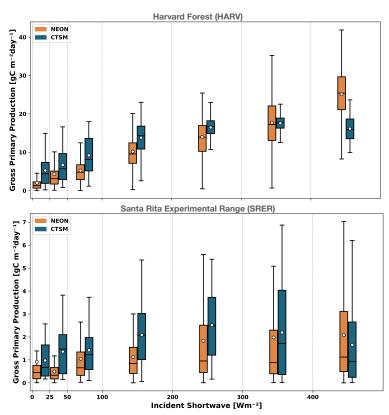
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501 example, the CTSM simulations show temporal biases in both the timing and magnitude of mean diel 502 cycle of summertime (June, July, and August, or JJA) latent heat fluxes at the NEON Abby Road site 503 (ABBY; Fig. 6). The bulk of daytime latent heat fluxes simulated by the model are coming from canopy 504 transpiration fluxes, suggesting that the representation of stomatal conductance does not respond 505 correctly to atmospheric conditions or plant water availability. We also note that this site experienced two 506 very strong heatwaves in the summers of 2020 and 2021. Additional measurements of soil moisture, LAI, 507 or sap flux could help test, evaluate, and improve various model parameter values and parameterizations 508 to produce results that are most consistent with observed fluxes.





509 Light response curves (Fig. 7) illustrate how canopy photosynthesis responds to changes in the 510 radiation environment. At forested sites, CTSM tends to overestimate GPP at low light levels, underestimate GPP under full irradiance and simulate lower variance in GPP across a range of high 511 512 incident radiation; this pattern is illustrated in Fig. 7a for Harvard Forest. At the Santa Rita grassland site, GPP is biased high in most irradiance bins, although is comparable to observed estimates of GPP at full 513 514 irradiance (Fig. 7b). As GPP is the driver for carbon fluxes and plant-mediated water fluxes in CTSM, 515 inaccurate responses to light environment affects several processes, including NEE and transpiration, 516 which is a primary driver of mid-day (Fig. 6c) and summertime latent heat flux. 517



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Figure 7 Box-whisker plots showing light response curves, the relationship between gross primary production (GPP) and incident shortwave radiation, that are derived from NEON measurements and CTSM simulations (orange and blue, respectively) at (a) Harvard Forest (HARV) and the (b) Santa Rita Experimental Range (SRER). Data represent 30-minute measurements that are binned by incident shortwave radiation levels observed at NEON sites over the observational record in July (2018-2021). Boxes show the mean (dots), median (line), interquartile range (Q3-Q1). Note differences in the scale of the y-axis.

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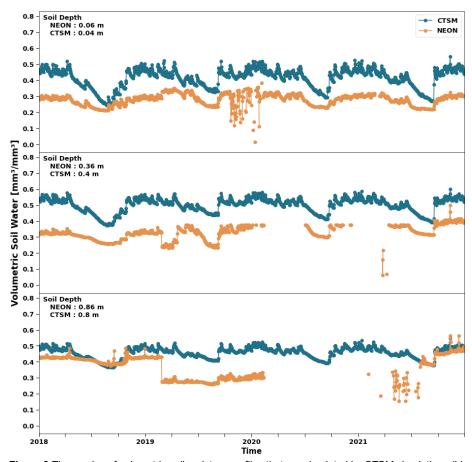
Finally, there are opportunities to use data from CTSM simulations to augment NEON

528 measurements. For example, measurements of soil moisture are important for calculating soil CO₂ fluxes





529 from NEON sites, but the soil moisture probes currently deployed at NEON sites do not always provide 530 reliable measurements. For example, at the Abby Road site soil moisture observations have phases of erratic measurements, are missing at depth throughout much of 2020 and 2021, and have large offsets 531 532 when instruments were calibrated (Fig. 8, Fig. S3). By contrast, CTSM provides continuous datasets that 533 could be used to gap fill or augment ongoing NEON soil moisture measurements, although simulated 534 data may need to be bias corrected. Similarly, soil moisture controls aspects of plant phenology in CLM, 535 meaning that soil moisture measurements could help constrain or explain potential biases in simulated LAI and ecosystem fluxes. At ABBY, both CTSM simulations and NEON observations show similar 536 temporal patterns - a dry-down of soil moisture during the dry summer months and followed by wetter fall 537 538 winter and spring months (Fig. 8; Fig. S3), although CTSM simulates wetter soils than observed at the 539 NEON site. 540



541 542 543

Figure 8 Time series of volumetric soil moisture profiles that are simulated by CTSM simulations (blue) and measured by NEON (orange) at different depths in soil plot 3 at the Abby Road site in Washington (ABBY) from 544 2018-2021.





545 4. Discussion

546 The NCAR-NEON system links models and measurements to provide a powerful suite of tools to 547 understand ecosystem properties and processes through space and time. In addition to facilitating the 548 integration of measurements and modeling, a major focus of this work is to enable new opportunities for 549 research and education by expanding access to and interaction with NCAR models and NEON data. The 550 user community can access guality-controlled and gap-filled NEON meteorological and EC flux data as 551 prototype datasets through the public-access cloud storage buckets that supports the NCAR-NEON system or the Prototype Data section of the NEON Data Portal (Table 2). Additionally, the NCAR-NEON 552 553 system streamlines running NCAR's CTSM model and simplifies access through the containerized 554 CESM-Lab platform, bypassing the logistical challenges of porting CTSM to different computing systems. 555 It also creates customized model input data that include local site characterizations of soil and vegetation 556 using NEON data products. These capabilities allow researchers to focus their time on customizing CTSM and integrating additional NEON datasets to address research questions. Combined with the visualization 557 558 software provided in the tutorials, the NCAR-NEON system also facilitates opportunities for teaching 559 about land-atmosphere interactions, ecology, and land modeling. Below we discuss some of the 560 synergistic enhancements this collaboration makes for NEON measurements and NCAR models as well 561 as opportunities that the NCAR-NEON system enables for research and teaching.

562 4.1 Synergistic enhancements of NEON measurements and NCAR models

563 The NCAR-NEON system is a collaborative partnership between observationalists and modelers 564 that enhances both NEON's measurements and NCAR's models. One typically thinks of observations as 565 improving models, but the reverse can also happen in which models inform and augment the collection of 566 measurements. For example, models require continuous meteorological input data, so gap filling the 567 missing meteorological data required to run CTSM was paramount to the success of the project. A new 568 prototype data product provided by the project is a continuous time series of meteorological data at each 569 NEON location. Comparison of modeled and measured EC fluxes identified QA/QC improvements to the 570 meteorological data needed for the model simulations, and similarly improvements to the processing of 571 the raw EC fluxes to compare with model results.

572 One issue raised in the simulations is the estimation of precipitation at grassland sites. NEON has 573 experienced issues where small amounts of noise in the raw data cause spurious trace precipitation to be 574 recorded at all primary precipitation sensors. Because secondary and throughfall precipitation buckets are 575 unaffected, there is a redundant data stream at forested sites, but these are unavailable for grassland 576 sites. An updated algorithm is expected to resolve the spurious trace precipitation issue in late 2022 with 577 back processed data available in the NEON 2024 data release. In the meantime, we manually evaluated 578 the mean annual precipitation recorded at each NEON site against other observational data networks and 579 noted locations where this issue is generating unexpectedly high or low precipitation values (Table S2).





580 Another example of how NCAR modeling improved NEON data quality comes from unusual soil 581 moisture profiles that were initially generated in preliminary simulations at the ABBY site (data not shown). Upon closer inspection these patterns were found to be caused by an unusual relationship 582 583 between soil organic carbon content and depth at this site, which did not match related data gathered during sample collection or subsequent analyses. Further investigation confirmed that the labels for the 584 585 soil carbon analysis subsamples had been switched for two ABBY soil horizons. The NEON soil data 586 have since been corrected and the modeled soil moisture profiles for ABBY now follow a more typical 587 pattern with surface soils drying out during the summer and less variation in soil moisture in deeper soil horizons (Figs. 8, S3). There are also important differences in vertical profiles of simulated and measured 588 589 soil moisture, with soil moisture simulated by CTSM typically decreasing with depth while NEON soil 590 moisture observations generally increase with depth. Additional investigation is needed to determine if these discrepancies extend to other sites and indicate issues with CTSM simulations or NEON data 591 592 products, but it does underscore a synergy in NCAR modeling and NEON measurements that deserves 593 more attention moving forward.

594 We see clear opportunities for NEON observations to help guide future model improvements, 595 especially related to potential biases in phenology (discussed above), photosynthesis (Fig. 7), and other 596 processes. Some biases in modeled processes are already documented; for example, Wozniak (2020) 597 found that CTSM underestimates maximum rates of simulated GPP compared to EC observations in 598 deciduous forest sites. This suggests that implementation of the photosynthesis scheme in CTSM has 599 parametric or structural issues that prevent high rates of GPP from occurring in the model. Auxiliary data 600 from NEON that are not always available from other EC flux towers, for example foliar chemistry, can be 601 used to update parameter values and to evaluate correlated model variables and processes. The 602 opportunities afforded by NEON's EC and auxiliary data to improve the representation of ecological 603 processes in CTSM will improve modeled carbon fluxes at NEON towers and may also ameliorate biases 604 in global simulations.

Finally, the NCAR-NEON system can also facilitate model-informed prioritization of future data collection efforts. Models can quantify the dominant drivers of uncertainty in model parameters as well as in response to environmental drivers using ensemble-based methods of parameter uncertainty propagation and variance decomposition (LeBauer et al. 2013). Site-level CTSM simulations could therefore help future NEON data collection campaigns to target variables that contribute the most to uncertainty in modeled ecosystem fluxes and ecosystem responses to global change.

611 4.2 Opportunities enabled for research

The NCAR-NEON system enables research opportunities in the ecology, global change, and
Earth system science communities by: (1) Democratizing access to NCAR models that can be
customized to meet researchers' needs; (2) Providing a platform that leverages NEON observational
datasets for site-level model configuration and evaluation across the diverse range of ecosystems





616 captured in the NEON design; (3) Facilitating reproducible research workflows; and (4) Providing gap-617 filled meteorological data and partitioned EC flux data products. 618 Through CESM-Lab, the NCAR-NEON system provides access to the full model code and 619 datasets used to run CTSM on any computing system. This means that researchers are not limited to 620 NEON locations or to the default configuration of CTSM, nor do they need access to large-scale 621 computing resources. The CTSM code can be modified and compiled within the container, so researchers 622 who wish to run simulations with new model parameterizations or with additional model features may now 623 do so from any computer. Most personal laptop computers are more than sufficient for running site level 624 simulations, even when using more computationally complex versions of the land model that include, for 625 example, ecological dynamics (using the Functionally Assembled Terrestrial Ecosystem Simulator, 626 FATES; Koven et al. 2020) or representative hillslope hydrology (Swenson et al. 2019). Advanced users 627 can run CTSM at any single point site by making their own input files. Additionally, researchers can 628 quantify the impact of adjusting model parameters and processes on terrestrial ecosystems under 629 historical and future climate scenarios. This flexibility is useful for calibrating the model to improve model 630 performance at a given site, as well as for gaining mechanistic insights into how different processes and 631 uncertainties affect ecosystem functioning. Broadening access to CTSM also allows researchers to 632 rapidly compare model output to their own observational datasets, or to existing NEON observational 633 datasets that are not yet integrated into the NCAR-NEON system.

634 Moving forward, we see additional NEON data products as providing valuable insights to the 635 NCAR-NEON system. These could include NEON measurements that are used both as model inputs 636 (foliar chemistry, phenology and LAI, and historical land use legacies) and as model validation datasets 637 (including snow depth, vertical profiles of canopy temperature, leaf water potential, litterfall rates, biomass 638 and vegetation structure, and depth profiles of soil moisture, temperature, carbon and nitrogen). Although 639 these data have not yet been integrated into the NCAR-NEON system, we are optimistic that existing 640 tools can help facilitate their integration into research opportunities. We see powerful opportunities to 641 expand on this approach to integrate information from NEON's Airborne Observation Platform (AOP) into 642 workflows that extend model capabilities beyond the relatively small footprint of the EC towers. For 643 example, the AOP light detection and ranging (LiDAR) data would provide information to initialize stand 644 structure that would be helpful for calibrating reduced complexity configurations of the CTSM-FATES 645 model (Fisher and Koven, 2020).

The NCAR-NEON system also promotes reproducibility of research in alignment with the FAIR data principles (Wilkinson et al. 2016), addressing an ongoing challenge facing both ecology and geosciences (Powers and Hampton 2019; Culina et al. 2020; Kinkade and Shepherd 2021). The NCAR-NEON system makes it easy for researchers to share their research workflow as part of their publications, including accompanying code and data. The containerized system also reduces the time required to configure and run other researchers' workflows, thereby facilitating the process of reproducing previous studies and expanding existing workflows to answer new research questions.





653 In addition to enabling opportunities for research with NCAR models, the NCAR-NEON system 654 also facilitates access to NEON data which can be used for observationally based research or research 655 using other models. For example, the gap-filled micrometeorological data and partitioned flux data 656 products provided in the NCAR-NEON system could be used in other projects related to ecological 657 forecasting and model evaluation that focuses on ecological processes and land model simulations (Best 658 et al. 2015; Collier et al. 2018; Eyring et al. 2019; Lewis et al. 2022). As latencies in publishing NEON 659 data are reduced, we intend to provide updated input and evaluation data to the NCAR-NEON system to 660 enable near-real time hindcasts of ecosystem states and fluxes. In short, we see the information that is being generated through this activity as a resource to meet data-requirements of the broader Earth 661 662 system science community.

663 4.3 Opportunities enabled for teaching

664 The NCAR-NEON system makes it easy to run and visualize site-level simulations that can be 665 integrated into classroom settings. The NEON Observatory design provides a unique opportunity for students to access data from world class field research sites and instrumentation in a variety of 666 667 ecosystems. Here we highlight two capacities in which this tool can be integrated into classroom 668 activities. The first is an interactive web-based visualization tool (Table 2). This tool does not require any 669 software or data downloads, allowing students to access and explore NEON and CTSM data without 670 running any simulations. Students can explore and compare observational and simulated data for 671 numerous fluxes at different temporal scales from 45 terrestrial NEON sites (Table S1). Classroom 672 modules can be developed to probe various ecological questions, including comparisons across sites, 673 how fluxes change seasonally, and quantification of interannual variability. Instructors can also use this 674 tool to highlight differences between models and observations, helping students to better understand how 675 we measure, simulate, and predict ecosystem processes. 676

A second opportunity for classroom activities is to run simulations using the NCAR-NEON system 677 within the CESM-Lab container. The flexible cyberinfrastructure, short simulation run times (typically less 678 than 10 minutes), and simplified coding requirements facilitate running simulations for classroom 679 applications. Technical challenges are minimal and can be reduced by using a computer lab with Docker 680 pre-installed and computers that have sufficient memory and space requirements for data downloads, or 681 by using larger-scale computing resources like university clusters or cloud computing resources. Once 682 access to the containerized computing environment is established, students can use the available 683 tutorials to run NEON tower simulations at the site of their choice and evaluate simulated fluxes against 684 observations (Table 2).

The NCAR-NEON system is flexible, allowing instructors to easily make additional customizations
 for their classes. As an example, this cyberinfrastructure tool was used in a graduate level Land-Climate
 Interactions Course at Auburn University in the 2021-2022 academic year. First, students performed
 CTSM simulations for the Talladega National Forest site (TALL), the NEON site closest to Auburn





689 University, and compared latent heat flux simulated by CTSM with the NEON measurements using 690 system tutorials. Next, students were divided into two project groups focusing on either TALL or Ordway-691 Swisher Biological Station (OSBS) sites to conduct parameter perturbation experiments using a tutorial 692 developed by the instructor. Students collected the relevant parameter values from the literature, updated 693 model parameter files, and performed ten CTSM simulations at each site, finding that GPP was more 694 sensitive to the selected parameters than latent heat fluxes. These classroom exercises were paired with 695 a visit to the TALL site to enrich student's experiences and motivate them to design their own 696 investigation and experiments. Exposure to the NCAR-NEON system has motivated graduate students to 697 contribute analyses, tutorials, and additional resources to the broader community. For example, one 698 graduate student compared NEON precipitation measurements with nearby NOAA sites, helping to 699 identify potentially problematic NEON sensors (Section 4.1), while another is developing a model for 700 estimating aboveground biomass using ground-based NEON data and remote sensing measurements 701 (Narine et al. 2020). These examples highlight how the NCAR-NEON system is inspiring the next 702 generation of scientists.

703 Conclusion

704 Deeper engagement of diverse scientific communities, removing technical barriers, and 705 increasing access to research data and tools is critical to advance Earth system science, prediction, and 706 understanding of ecosystem responses to global change. By developing cyberinfrastructure tools that 707 facilitate the easy and rapid use of measurements, models, and computing tools, the NCAR-NEON 708 system aims to enable this convergence of climate and ecological sciences and facilitates the 709 development and testing of data-driven and model-enabled scientific hypotheses. The system provides a 710 computationally simplified platform for scientific discovery and for rigorous evaluation and improvement of 711 model simulations and observational data at NEON tower sites. A particular strength of this system is the 712 auxiliary data collected by the NEON network that is used to inform site-specific model inputs and model 713 evaluation. With some effort, users can adapt this system to incorporate and simulate flux towers at other 714 research sites using the 'Processing NEON data' tools linked in Table 2 to guide data formatting. Thus, 715 future work could expand this system to include gap-filled flux data from other regional and global 716 networks like AmeriFlux and FLUXNET, allowing for broader spatial coverage. By facilitating community 717 engagement in modeling and observing terrestrial ecosystems, cyberinfrastructure tools like this are a key 718 component for building a more intellectually diverse workforce for global change research and Earth 719 system science.

720 Code and Data availability

721 Datasets created as part of this project are available as a NEON prototype dataset and archived at

722 NCAR's Geoscience Data Exchange (GDEX) https://doi.org/10.5065/tmmj-sj66. CTSM code is available





- through the CTSM github page and archived at https://doi.org/10.5281/zenodo.7342803. Post processing
- 724 scripts that used to make figures in this manuscript are available at:
- 725 <u>https://github.com/NCAR/neon_scripts</u>.

726 Author Contributions

- 727 All authors contributed to writing and review of the software and manuscript. GBB and MSC contributed to
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- 729 curation. DLL, WRW, NS, and DD contributed to formal analysis, software development, validation, and
- 730 visualization.

731 Competing Interests

The authors have no competing interests to declare.

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