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**Overcoming barriers to enable convergence research by integrating ecological and climate sciences: The NCAR-NEON system Version 1**

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## 21 **Abstract**

22           Global change research demands a convergence among academic disciplines to understand  
23 complex changes in Earth system function. Limitations related to data usability and computing  
24 infrastructure, however, present barriers to effective use of the research tools needed for this cross-  
25 disciplinary collaboration. To address these barriers, we created a computational platform that pairs  
26 meteorological data and site-level ecosystem characterizations from the National Ecological Observatory  
27 Network (NEON) with the Community Terrestrial System Model (CTSM) that is developed with university  
28 partners at the National Center for Atmospheric Research (NCAR). This NCAR-NEON system features a  
29 simplified user interface that facilitates access to and use of NEON observations and NCAR models. We  
30 present preliminary results that compare observed NEON fluxes with CTSM simulations and describe  
31 how the collaboration between NCAR and NEON that can be used by the global change research  
32 community improves both the data and model. Beyond datasets and computing, the NCAR-NEON  
33 system includes tutorials and visualization tools that facilitate interaction with observational and model  
34 datasets and further enable opportunities for teaching and research. By expanding access to data,  
35 models, and computing, cyberinfrastructure tools like the NCAR-NEON system will accelerate integration  
36 across ecology and climate science disciplines to advance understanding in Earth system science and  
37 global change.

## 38 **Short Summary**

39 We present a novel cyberinfrastructure system that uses National Ecological Observatory Network  
40 measurements to run Community Terrestrial System Model point simulations in a containerized system.  
41 The simple interface and tutorials expand access to data and models used in Earth system research by  
42 removing technical barriers and facilitating research, educational opportunities, and community  
43 engagement. The NCAR-NEON system enables convergence of climate and ecological sciences.

## 44 **1. Introduction**

45           Earth system science aims to deepen understanding of interactions between natural and social  
46 systems and their responses to global change. As such, the collective understanding of changes in Earth  
47 system function in response to global change drivers requires a convergence among scientific disciplines,  
48 including physical and natural sciences (Kyker-Snowman et al. 2022). This research combines a variety  
49 of complex observational data with ever more sophisticated computational models. Notably, Earth System  
50 Models (ESMs) are essential tools for assessing and predicting our changing environment (Bonan and  
51 Doney 2018), but limitations related to data usability and access to computing infrastructure present  
52 barriers to effective use of these research tools (Fer et al. 2021). Addressing these barriers is critical to  
53 engage the broad, cross-disciplinary communities that are required for Earth system science research,

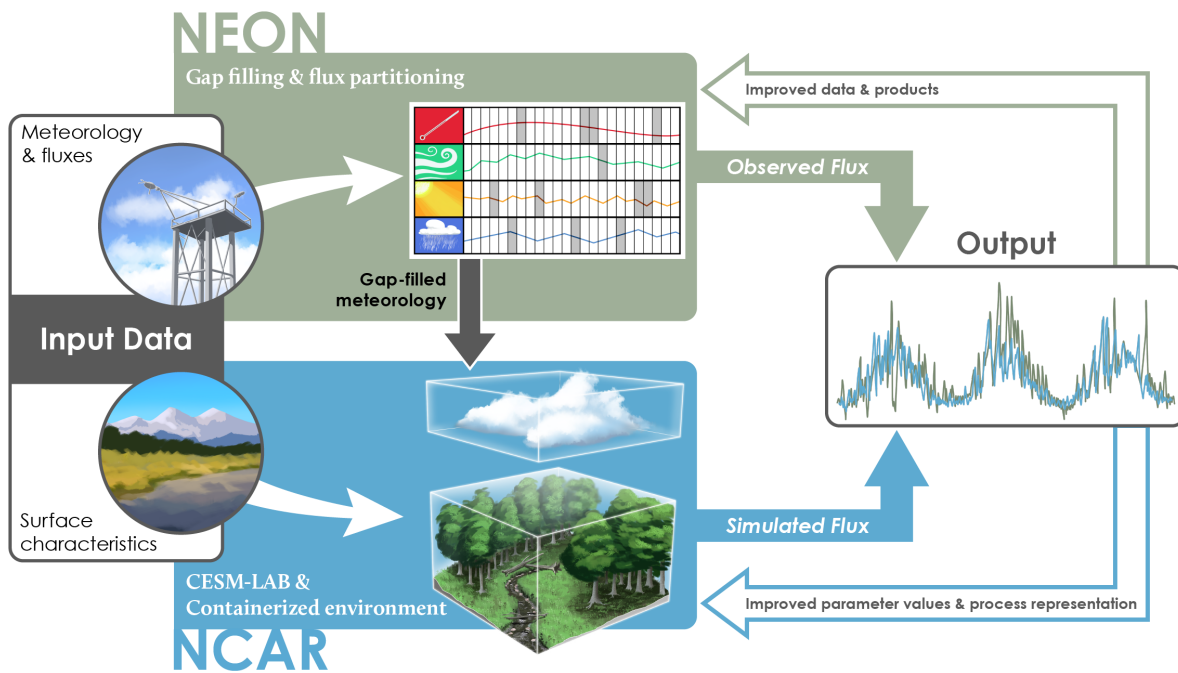
54 education, and training (NASEM, 2022). We feel that tractable progress can be made to reduce these  
55 data and technical barriers to better understand and project changes in Earth system function under  
56 global change.

57         The availability, discoverability, and usability of observational data are essential to running,  
58 calibrating, and validating models. For example, the scientific advancements made in measuring eddy  
59 covariance (EC) fluxes have been critical to the development, evaluation, and improvement of the  
60 representation of terrestrial ecosystems in ESMs. Initially, model-data comparisons were limited to short,  
61 intensive field campaigns extending over a few weeks (Bonan et al. 1997), but this grew to comparison  
62 with flux network datasets extending over several years at multiple sites (Stöckli et al. 2008), and  
63 comparison with globally gridded flux products (Bonan et al. 2011; Jung et al. 2020). Flux tower data sets  
64 continue to provide essential information for land model development and evaluation (Best et al. 2015;  
65 Lawrence et al. 2019). Notably, single-point simulations can use EC measurements to facilitate more  
66 rapid model development and testing of ecological hypotheses (Bonan et al. 2012; Burns et al 2018;  
67 [Collier et al. 2018](#); Swenson et al. 2019; Wieder et al. 2017). An explosion of EC measurements and  
68 strong network coordination make these data easier to find ([Beringer et al. 2022](#), Durden et al. 2020;  
69 Pastorello et al. 2020, [Novick et al. 2018](#)), but the need to perform additional data processing prior to use  
70 presents barriers to integrating ecological observations into land model development and evaluation.  
71 These barriers include gap filling associated meteorological data, assessing EC flux data quality, and  
72 persistent challenges in discovering and harmonizing complementary data – including information about  
73 vegetation and soils at EC tower sites. Our work seeks to provide a framework to address these data  
74 challenges to facilitate the integration of local meteorology, EC flux measurements, and ecosystem  
75 characterizations in the development and evaluation of land models that are used for Earth system  
76 prediction and global change research.

77         Beyond these data challenges, barriers to accessing and using computing infrastructure also  
78 impede broader community engagement with tools that are central to global change research. This limits  
79 the participation of scientists from environmental science, [and ecology](#), [and agroecology](#), which are  
80 fundamental components of the Earth system, in the development and use of ESMs. The Community  
81 Earth System Model (CESM; Hurrell et al. 2013; Danabasoglu et al. 2020) has a long history of being  
82 freely and openly available to users, yet several barriers related to training, cyberinfrastructure, and data  
83 integration have hampered broader adoption and use of this model by a wide range of researchers. Thus,  
84 model code may be publicly available, but access to computing resources and the associated technical  
85 expertise needed to use them presents barriers to engaging a diverse, cross-disciplinary community of  
86 model users who can harness these powerful tools for research and teaching. We contend that broader  
87 engagement across scientific disciplines is critical to improving the representation of Earth system  
88 processes and their likely responses to global change.

89         This work overcomes some of the barriers to the use of ESMs in ecology by creating an  
90 integrated 'NCAR-NEON system'. This system combines meteorological data and site-level ecosystem

91 characterizations from the National Ecological Observatory Network (NEON) with the Community  
 92 Terrestrial System Model (CTSM), an extension of the Community Land Model (CLM5; Lawrence et al.  
 93 2019). CTSM is the terrestrial component of CESM, which is developed with university partners at the  
 94 National Center for Atmospheric Research (NCAR; Fig. 1). The NCAR-NEON system also features a  
 95 simplified user interface that facilitates access to and use of NEON observations and NCAR models. By  
 96 developing this NCAR-NEON system, we aim to enable the convergence of climate and ecological  
 97 sciences by providing accessible cyberinfrastructure, quality-controlled datasets from NEON, and tutorials  
 98 for analyzing and visualizing observed and simulated data. We describe development of the NCAR-  
 99 NEON system, present results comparing observed NEON fluxes with simulations from CTSM, and  
 100 outline opportunities that the system enables for research and education across [research networks and](#)  
 101 scientific disciplines.



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103 **Figure 1.** A conceptual diagram illustrating the integration of NEON data and NCAR modeling enabled through the  
 104 NCAR-NEON system. NEON meteorological measurements are gap-filled using redundant streams and used as inputs  
 105 for single point simulations with the Community Terrestrial Systems Model (CTSM). Additional NEON observations are  
 106 used as input data to the model, including surface characteristics of vegetation (e.g., mapping to simulated plant  
 107 functional types, PFTs) and the soil properties (soil texture, organic matter content, and depth to bedrock, if < 2m).  
 108 Simulations with CTSM are conducted in CESM-Lab, a computing environment that runs in a container or with cloud  
 109 computing resources, which includes model code and analysis tools. Simulated data is compared with observed fluxes  
 110 using visualization scripts that are provided within CESM-Lab to improve both observed data products, model  
 111 parameterization, and model processes representation.

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113 **2. Methods**

114 **2.1 NEON Data**

115 NEON is a research network comprising 81 monitoring sites (47 terrestrial, 34 aquatic) that are  
 116 collecting standardized, open data across the major ecosystems of the United States (Table S1). NEON's  
 117 data products are highly complementary to land models, providing high quality and standardized data for  
 118 soil, vegetation, and atmosphere states and fluxes across vast spatiotemporal scales with high  
 119 throughput instrumented systems data and spatially expansive remote sensing data (Hinckley et al. 2016;  
 120 Balch et al. 2020; Durden et al. 2020). Each of the 47 NEON terrestrial sites includes an EC tower to  
 121 determine the surface-atmosphere exchange of momentum, heat, water, and CO<sub>2</sub>, alongside meteorology  
 122 (precipitation, wind speed, humidity, temperature), atmospheric composition (water vapor and CO<sub>2</sub>  
 123 concentrations and isotopic ratios), and soil sensor assemblies measuring depth-resolved soil  
 124 temperature and moisture at several locations in the EC tower footprint (Metzger et al. 2019). In this  
 125 preliminary effort to bring NEON measurements and NCAR modeling together we use NEON data for: 1)  
 126 Meteorological inputs that are gap filled and provide local atmospheric boundary condition inputs to  
 127 CTSM; 2) ~~Surface-Vegetation and characteristics of~~ soil properties ~~and vegetation~~; and 3) Eddy  
 128 covariance fluxes to compare observed and simulated results (Fig. 1, Table 1), with prototype data  
 129 available through the NEON data portal (NEON 2023).

131 **Table 1.** NEON data product name, data product use in CTSM, NEON data product ID, and Digital Object  
 132 Identifier (DOI). Data products were used for meteorological inputs and surface characterization, which are  
 133 inputs needed to run CTSM, and for model evaluation.

Data Product Name	Data Product Use	Data Product ID	DOI
Precipitation	Meteorological input	DP1.00006.001	<a href="https://doi.org/10.48443/6wkc-1p05">https://doi.org/10.48443/6wkc-1p05</a>
Relative humidity	Meteorological input	DP1.00098.001	<a href="https://doi.org/10.48443/w9nf-k476">https://doi.org/10.48443/w9nf-k476</a>
Shortwave and longwave radiation (net radiometer)	Meteorological input	DP1.00023.001 *DP1.00024.001 *DP1.00014.001	<a href="https://doi.org/10.48443/stbf-bh38">https://doi.org/10.48443/stbf-bh38</a> <a href="https://doi.org/10.48443/8a01-0677">https://doi.org/10.48443/8a01-0677</a> <a href="https://doi.org/10.48443/hv8e-5696">https://doi.org/10.48443/hv8e-5696</a>
Barometric pressure	Meteorological input	DP1.00004.001 *DP4.00200.001	<a href="https://doi.org/10.48443/zr37-0238">https://doi.org/10.48443/zr37-0238</a> <a href="https://doi.org/10.48443/7cqp-3j73">https://doi.org/10.48443/7cqp-3j73</a>
Wind speed	Meteorological input	DP4.00200.001 *DP1.00001.001	<a href="https://doi.org/10.48443/7cqp-3j73">https://doi.org/10.48443/7cqp-3j73</a> <a href="https://doi.org/10.48443/77n6-eh42">https://doi.org/10.48443/77n6-eh42</a>
Air temperature	Meteorological input	DP4.00200.001 *DP1.00003.001	<a href="https://doi.org/10.48443/7cqp-3j73">https://doi.org/10.48443/7cqp-3j73</a> <a href="https://doi.org/10.48443/q16j-sn13">https://doi.org/10.48443/q16j-sn13</a>
Forcing height	Meteorological input	DP4.00200.001	<a href="https://doi.org/10.48443/7cqp-3j73">https://doi.org/10.48443/7cqp-3j73</a>
Soil physical and chemical properties, Megapit	<del>Surface-Soil</del> property characterization	DP1.00096.001	<a href="https://doi.org/10.48443/10dn-8031">https://doi.org/10.48443/10dn-8031</a>
Dominant vegetation type	Surface characterization	Manually Assigned	
Bundled data pro	Model Evaluation	DP4.00200.001	<a href="https://doi.org/10.48443/7cqp-3j73">https://doi.org/10.48443/7cqp-3j73</a>

ducts - eddy covariance		*DP1.00023.001	
Net radiation	Model Evaluation	DP1.00023.001 *DP1.00014.001	<a href="https://doi.org/10.48443/stbf-bh38">https://doi.org/10.48443/stbf-bh38</a> <a href="https://doi.org/10.48443/hv8e-5696">https://doi.org/10.48443/hv8e-5696</a>
Photosynthetically Active Radiation (PAR)	Model Evaluation	DP1.00024.001 *DP1.00023.001 *DP1.00014.001	<a href="https://doi.org/10.48443/8a01-0677">https://doi.org/10.48443/8a01-0677</a> <a href="https://doi.org/10.48443/stbf-bh38">https://doi.org/10.48443/stbf-bh38</a> <a href="https://doi.org/10.48443/hv8e-5696">https://doi.org/10.48443/hv8e-5696</a>
Direct and Diffuse Radiation	Model Evaluation	DP1.00014.001	<a href="https://doi.org/10.48443/hv8e-5696">https://doi.org/10.48443/hv8e-5696</a>
Soil water content and water salinity	Model Evaluation	DP1.00094.001	<a href="https://doi.org/10.48443/ghry-qw46">https://doi.org/10.48443/ghry-qw46</a>

134 \*Indicates the data product was used in the redundant stream gap-filling to fill primary data product

135 2.1.1 Meteorological inputs

136 Generating the gap-filled meteorological data that are required for single-point simulations with  
137 land models can be time consuming and requires expertise in micro-meteorology that land model users  
138 and developers may not have. Thus, the modeling community historically relied on external efforts like  
139 FLUXNET synthesis databases to provide gap-fill meteorological measurements at eddy-flux sites (e.g.,  
140 La Thuile or FLUXNET2015; Pastorello et al 2020). Downloading and processing these datasets into a  
141 format that is usable by the model is also time consuming, and often the flux measurements are not  
142 paired with information about local vegetation or soil properties that are easy to discover or digest.  
143 Collectively, these factors create barriers for use and latencies in updating the EC observational data that  
144 are used in single point simulations. The NCAR-NEON system aims to remove some of these barriers.

145 NEON meteorological input data used to run CTSM are summarized in Table 1, and gap-filled  
146 using publicly available code (Table 2). While NEON is highly standardized, a few differences in  
147 instrumentation exist between NEON Core (representative of the predominant natural ecosystem of each  
148 respective Domain) and gradient sites (representing other endmember conditions in each respective  
149 Domain). For example, core NEON sites measure precipitation with Double-fenced Intercomparison  
150 Reference gauges, while gradient sites all have tipping buckets (Metzger et al. 2019). Accounting for  
151 these site-specific sensor configurations and variation in their associated data streams is the first step in  
152 providing usable meteorological inputs to CTSM. The meteorological inputs to CTSM must be continuous,  
153 therefore, additional gap filling of missing data is required. Additionally, the EC system collects data  
154 necessary to calculate fluxes of energy, water vapor, and CO<sub>2</sub>. The NEON site design builds in some  
155 redundancy in observations with profiles of incoming radiation, wind, temperature, water vapor, and CO<sub>2</sub>  
156 concentrations measured at different heights on each NEON tower (Metzger et al. 2019). These data  
157 redundancies allow for a robust initial gap-filling using linear regressions among the primary and  
158 redundant data streams to correct for instrument or location differences. For example, if wind speed or air  
159 pressure measurements from the tower top are missing, we gap-fill with the value from the redundant  
160 data stream (typically measured at a lower tower height) corrected by the linear relationship with the

161 primary sensor data. If multiple redundant data streams are available, the best fit regression with data  
162 available is used to determine the gap-filled value for each missing data point.

163         After gap-filling using related data stream regression, some range thresholds and proper unit  
164 conversions are applied to prepare the meteorological data for processing through the ReddyProc R  
165 package following the gap-filling workflow outlined in Wutzler et al. (2018). After using related data stream  
166 regressions, the meteorological data are checked for additional gaps, and gap-filling is performed using  
167 one of three additional gap-filling methodologies that include look-up table (Falge et al. 2001), mean  
168 diurnal course, and marginal distribution sampling (Moffat et al. 2007; Reichstein et al. 2005). The gap-  
169 filling method is tracked and provided as a flag with the data to allow users to assess data with various  
170 methodology restrictions. The meteorological data streams are then converted to units required by CTSM  
171 and output to cloud storage in [Network Common Data Form \(netCDF\)](#) format with associated metadata to  
172 fully describe data provenance and formatting. At most sites data coverage spans January 1, 2018,  
173 through December 31, 2021, but as more NEON data are collected these files will also be updated in  
174 near-real time, thus removing barriers associated with processing flux tower data and reducing latencies  
175 in using new data as they are collected. Tables S1 and S2 provides a list of all the sites where input data  
176 have been successfully gap-filled and notes any potential data quality issues.

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**Table 2** List of helpful websites created for the NCAR-NEON system, their contents and a url address for each. All sites were accessed Feb 13, 2023. \*Note we intend to provide permanent urls for these sites in the final published manuscript.

<i>Name</i>	<i>contents</i>	<i>url</i>
Project home page	Main landing page for users interested in learning more about the project	<a href="https://ncar.github.io/NEON-visualization/">https://ncar.github.io/NEON-visualization/</a>
Tutorial	Tutorial that introduces running CTSM at NEON tower sites in the CESM-Lab container.	<a href="https://ncar.github.io/ncar-neon-books/notebooks/NEON_Simulation_Tutorial.html">https://ncar.github.io/ncar-neon-books/notebooks/NEON_Simulation_Tutorial.html</a>
Interactive visualizations	Interactive plots that allow users to explore data produced by the NCAR-NEON system without running the model or downloading data.	<a href="https://neon.herokuapp.com/neon-dashbaord">https://neon.herokuapp.com/neon-dashbaord</a>
Processing NEON data	Docker image with scripts used for gap filling meteorological data, flux partitioning, and formatting NEON datasets.	<a href="https://quay.io/repository/ddurden/ncar-neon">https://quay.io/repository/ddurden/ncar-neon</a>
DiscussCESM Forum	Discussion forum bulletin boards for questions related to CESM including CESM-Lab and CTSM.	<a href="https://bb.egd.ucar.edu/cesm/">https://bb.egd.ucar.edu/cesm/</a>
CTSM repository	Code base, technical documentation and information related to CTSM	<a href="https://github.com/ESCOMP/CTSM">https://github.com/ESCOMP/CTSM</a>
NEON Prototype Data	NEON prototype datasets, which include the gap filled meteorological data for flux partitioned data used for model input and evaluations	<a href="https://data.neonscience.org/prototype-datasets/0a56e076-401e-2e0b-97d2-f986e9264a30">https://data.neonscience.org/prototype-datasets/0a56e076-401e-2e0b-97d2-f986e9264a30</a>

**Table 2.** List of helpful websites created for the NCAR-NEON system, their contents and a url address for each.

<b>Name</b>	<b>Contents</b>
<u>Project Home Page</u>	<u>Main landing page for users interested in learning more about the project</u>
<u>URL: <a href="https://neoncollab.ucar.edu">https://neoncollab.ucar.edu</a></u>	
<u>Tutorial</u>	<u>Tutorial that introduces running CTSM at NEON tower sites in the CESM-Lab container</u>
<u>URL: <a href="https://ncar.github.io/ncar-neon-books/notebooks/NEON_Simulation_Tutorial.html">https://ncar.github.io/ncar-neon-books/notebooks/NEON_Simulation_Tutorial.html</a></u>	
<u>Interactive Visualizations</u>	<u>Interactive plots that allow users to explore data produced by the NCAR-NEON system without running the model or downloading data</u>
<u>URL: <a href="https://ncar.nationalsciencedatafabric.org/neon-demo/v1/">https://ncar.nationalsciencedatafabric.org/neon-demo/v1/</a></u>	



<a href="#"><u>Processing NEON data</u></a>	<a href="#"><u>Docker image with scripts used for gap filling meteorological data, flux partitioning, and formatting NEON datasets</u></a>
URL: <a href="https://quay.io/repository/ddurden/ncar-neon"><u>https://quay.io/repository/ddurden/ncar-neon</u></a>	
<a href="#"><u>DiscussCESM Forum</u></a>	<a href="#"><u>Discussion forum bulletin boards for questions related to CESM including CESM-Lab and CTSM</u></a>
URL: <a href="https://bb.cgd.ucar.edu/cesm/"><u>https://bb.cgd.ucar.edu/cesm/</u></a>	
<a href="#"><u>CTSM Repository</u></a>	<a href="#"><u>Code base, technical documentation and information related to CTSM</u></a>
URL: <a href="https://github.com/ESCOMP/CTSM"><u>https://github.com/ESCOMP/CTSM</u></a>	
<a href="#"><u>NEON Prototype Data</u></a>	<a href="#"><u>NEON prototype datasets, which include the gap filled meteorological data for flux partitioned data used for model input and evaluations</u></a>
URL: <a href="https://data.neonscience.org/prototype-datasets/0a56e076-401e-2e0b-97d2-f986e9264a30"><u>https://data.neonscience.org/prototype-datasets/0a56e076-401e-2e0b-97d2-f986e9264a30</u></a>	

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### 2.1.2. ~~Surface characteristics of s~~Soil properties and vegetation properties

Basic information on edaphic properties is needed in the pedotransfer functions that describe soil thermal and hydraulic properties in CTSM. Although NEON has several soil sampling datasets, we used information from the Megapit characterization of soil physical and chemical properties in CTSM because it contains more information about deep soil horizons (> 1 m depth; Table 1) from a single soil pit at each site. Megapit samples were collected by pedogenic soil horizon down to 2 m or restrictive feature and analyzed for several properties including total soil carbon concentration, calcium carbonate concentration, bulk density, coarse fragments, soil pH, and texture. Soil organic carbon stocks used in CTSM were estimated for each soil horizon by calculating organic carbon concentrations (after subtracting carbonates from total carbon measurements) and multiplying by bulk density.

Currently, the CTSM simulations are run with a single plant functional type (PFT) at each NEON site (Table S1). We acknowledge that this belies the diversity in vegetation that is present at NEON sites, but it provides a tractable starting point for further investigation into developing more sophisticated site- to regional-scale parameterizations and representations of biotic diversity with CTSM. CTSM represents mixed species communities as separate patches occupied single PFTs. CTSM can represent more than one PFT at each site, and users can update the provided CTSM surface dataset to include more than one PFT and future efforts may provide datasets with multiple PFTs corresponding to their proportion at NEON sites. The dominant PFT at each NEON site was assigned at the location of each EC tower using expert assessment that was informed by NEON vegetation surveys. Information on soil properties and dominant vegetation types are output as .csv files to public-access cloud storage buckets for use by CTSM (Figs. 1; Sect. 2.3).

### 2.1.3 Independent model evaluation

The EC flux data (energy, water vapor, and CO<sub>2</sub>) are time regularized and quality assurance and control (QA/QC) are applied. The QA/QC applied includes removing data when quality flags are raised, removing CO<sub>2</sub> data when the field calibration algorithm cannot be applied, applying range thresholds, and applying a despiking routine to remove outliers (Brock, 1986; Starkenburg et al. 2016). The data are gap-filled using the ReddyProc methodology outlined in Sect. 2.1.1. The vapor pressure deficit (VPD) is derived from the difference between actual and saturated vapor pressure, while gross primary production (GPP) is calculated from net ecosystem exchange (NEE), the sum of turbulent and storage fluxes, using the nighttime flux partitioning method of Reichstein et al. (2005). The nighttime approach is a community standard and was used at all sites in this work, and future work can explore whether other partitioning approaches may be more appropriate at some sites. The data, quality flags, and metadata are formatted and provided at 30-minute intervals as netCDF files for comparison with modeled fluxes. In future releases of the NCAR-NEON system we aim to use the ONEFlux data pipeline to enable additional methodologies for flux partitioning, which also includes storage fluxes (Pastorello et al. 2020). Finally, NEON continuous soil moisture data were compared with model simulations for two sites. Since the soil

219 moisture sensors were reconfigured with different calibration coefficients during the 2018-2021 validation  
220 period, which introduced step changes in NEON's soil moisture data product (Table 1), the raw sensor  
221 measurements were back-calculated and consistent soil-specific calibration coefficients were  
222 subsequently applied over the entire measurement period (Ayres et al. 2021) prior to comparison with  
223 CTSM data. Only values that passed quality tests were used. In future work we aim to provide  
224 standardized soil moisture data for more sites across the Observatory.

## 225 **2.2. NCAR modeling**

226 Numerical models of weather and climate have long been recognized as essential research tools  
227 to advance atmospheric science. Land surface fluxes of energy, moisture, and momentum, required to  
228 solve the equations of atmospheric physics and dynamics, are controlled by heat and water storage in  
229 soil, as well as the physiology of plants and their organization into canopies of leaves. Consequently,  
230 models of soil-plant-atmosphere processes are required to provide the necessary surface fluxes. Indeed,  
231 the first numerical weather prediction model included mathematical equations for soil temperature, soil  
232 moisture, the stomata on leaves, and envisioned canopies as a film of leaves covering the surface  
233 (Richardson 1922). As science progressed from models of atmospheric general circulation to climate  
234 models and now, Earth system models, the role of terrestrial ecosystems in climate processes has come  
235 to the forefront. The terrestrial components of ESMs, such as CTSM, have improved ecological processes  
236 representation and now include biogeochemical cycles, wildfires, and land use and land cover change  
237 (Bonan 2015, 2019; Lawrence et al. 2019). This evolution in the Earth system sciences is evident in 40+  
238 years of scientific research linking weather, climate, and land modeling at NCAR, from pioneering initial  
239 model implementations (Deardorff 1978; Dickinson et al. 1986, 1993; Bonan 1996) to community-based  
240 model development (Oleson et al. 2004, 2010, 2013; Levis et al. 2004; Lawrence et al. 2019) that  
241 continues to engage ecological and environmental sciences communities in CTSM development and  
242 application. As more ecology and biogeochemistry are added to the models (Fisher and Koven, 2020),  
243 the notion of climate prediction is expanding to Earth system prediction, including terrestrial ecosystems  
244 and biotic resources (Bonan and Doney 2018). These models have also become important tools for  
245 scientific discovery by identifying the ecological processes that affect climate (e.g., photosynthetic  
246 temperature acclimation; Lombardozzi et al. 2015) and to advance theory at the macroscale (e.g.,  
247 developing a theory of ecoclimatic teleconnections; Swann et al. 2018). With the new NCAR-NEON  
248 system tools described here, we aim to expand engagement and accessibility with the ecological and  
249 environmental sciences communities to continue testing, evaluating, and improving terrestrial process  
250 representation within CTSM. This will improve our understand of how ecosystems function within the  
251 Earth system, including the regulation of carbon, water, and energy fluxes that affect climate.

### 252 2.2.1 Containerized version of CESM-Lab

253 CESM has a long history of being freely and openly available to users (Hurrell et al. 2013;  
254 Danabasoglu et al. 2020), yet several barriers related to training, cyberinfrastructure, and data integration  
255 have hampered its adoption by a wide range of researchers. Even with open-source software, porting  
256 CESM to a new computer also requires the new computing system can compile model source code and  
257 has all the necessary input data and library dependencies. To address these computing challenges,  
258 NCAR recently developed CESM-Lab, which is a pre-configured and standardized environment that  
259 contains CESM and Jupyter-Lab. CESM-Lab is available via a Docker container and distributed via  
260 DockerHub (Table 2). The containerized version of CESM-Lab, and containers in general, give  
261 researchers the capability to package and distribute source code, libraries, dependencies, and system  
262 settings as one unit – thereby ensuring reproducibility. Using the containerized system, CESM-Lab can  
263 be used on any computing system, even a laptop or a cloud platform, to allow researchers to easily run  
264 CESM and its component models. The NCAR-NEON system uses CESM-Lab capabilities to run single  
265 point CTSM simulations at NEON sites.

### 266 2.2.2 Single point CTSM simulations

267 The workflow for running single-point CTSM simulations requires several steps that can be error-  
268 prone and time-consuming, particularly when using EC tower or other site-level data to drive simulations.  
269 To facilitate using NEON data in CTSM simulations we made several modifications to simplify this  
270 workflow. When users create a new simulation, the system queries NEON public-access cloud storage  
271 buckets and downloads available data into a designated directory (Sect. 2.3). For each NEON site, this  
272 includes a surface dataset that reflects soil properties and the dominant vegetation (Table 1),  
273 meteorological data that provide boundary conditions for the land model used to drive the atmospheric  
274 conditions, and an initial conditions file with equilibrated, or steady-state, carbon, water, energy, and  
275 nitrogen states and fluxes to initialize ecosystem pools simulated by CTSM. Initial conditions at each  
276 NEON site were generated by cycling over the meteorological data at each site for 200 years in  
277 accelerated decomposition (AD) mode and another 100 years in normal, or post-AD mode, or until  
278 biogeochemical states reached steady state (when ecosystem C pools change by  $< 1\text{g C m}^{-2} \text{y}^{-1}$ ; this is  
279 standard protocol for equilibrating the model state, Lawrence et al. 2019). Colder sites, especially those in  
280 Alaska, took longer to reach these steady state conditions.

281 The NCAR-NEON system uses a top-level Python code called 'run\_neon' that simplifies  
282 downloading the preconfigured datasets and automatically creates, builds, and runs cases for individual  
283 and multiple NEON sites. The Python script, which also resides in the CTSM repository (Table 2),  
284 includes several command-line arguments and options for automatically running spin-up and transient  
285 simulations. Collectively, these features dramatically improve CTSM site simulation accessibility, facilitate  
286 the use of new NEON data, reduce potential errors in configuring the CTSM case at NEON tower sites,  
287 and enable users to run simulations at multiple NEON sites. While users of the system can now easily

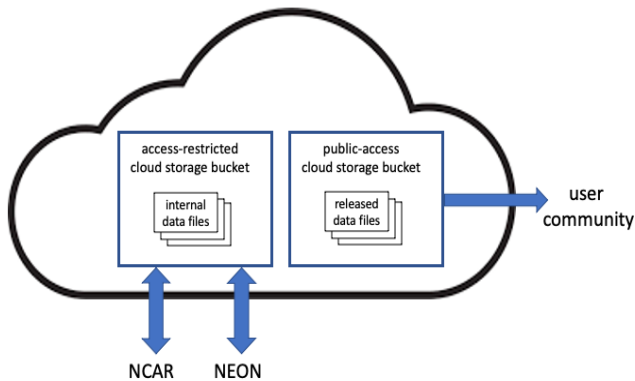
288 generate their own data, NCAR provides model simulation data at each of the tower sites that are  
289 available on the NEON public-access cloud storage bucket (Sect. 2.3). Simulation data are generated at  
290 a 30-minute time step and are aggregated into daily netCDF files.

### 291 *2.2.3 Tutorials, analysis, and visualization*

292 Three interactive tutorials are available to guide users through the new NCAR-NEON system  
293 (Table 2). The first tutorial helps system users to access CESM-Lab using Docker, which will ultimately  
294 allow the user to run CTSM simulations at NEON sites on their local computing system. The first step  
295 requires that users download Docker from the company website. This step is potentially challenging, as  
296 Docker is an externally controlled application and some recent Docker updates do not work with older  
297 computing systems. We provide links to additional resources to help the user navigate these potential  
298 problems and offer a resource for asking questions about containers through the CESM discussion forum  
299 (Table 2). After downloading and installing Docker, users are guided through downloading, running, and  
300 connecting to the CESM-Lab container and accessing the NEON tower simulation and visualization  
301 tutorials.

302 The second tutorial is a Jupyter Notebook that guides users through running CTSM simulations  
303 for NEON flux tower sites. The beginning of this tutorial provides a short description about CTSM and its  
304 component models, as well as resources for finding additional information. The process of running a  
305 simulation at NEON tower sites has been streamlined into the 'run\_neon' script (see Sect. 2.2.2) that can  
306 be called with a single line of code after the user defines a NEON tower site. The simulation itself  
307 downloads approximately 2.5 GB of input data and takes several minutes or more to complete, depending  
308 on the speed of the internet connection and computing system being used. After the simulation  
309 completes, the user is pointed to where the model data are stored and has the option to generate plots of  
310 soil temperature and moisture profiles for one year of the simulation.

311 The third tutorial guides users through analyzing and evaluating model simulations against  
312 observed NEON flux tower measurements. This tutorial requires a successfully completed NEON tower  
313 simulation from the previous simulation tutorial. The user selects their site and the year of interest and is  
314 guided through loading and opening the model data files, as well as downloading EC data for evaluation  
315 from the NEON server and loading and opening the files. Next, the tutorial guides users through  
316 formatting, processing, and plotting simulation and flux tower data. Users generate plots of mean annual  
317 and diel cycles of latent heat flux. Additional plots illustrate how CTSM partitions latent heat flux into  
318 ground evaporation, canopy evaporation, and transpiration, as component fluxes are not available from  
319 the observed data. Scatter plots are also created using simulated fluxes to illustrate the relationship  
320 between component evaporation and transpiration fluxes and total latent heat flux on seasonal and  
321 annual timescales. The tutorial explains the python tools used to process and plot the data and asks  
322 probing questions about the results that tutorial users are exploring to help guide the user in thinking



about patterns in the data and consider how to compare model and flux tower data. Users are encouraged to use the code available in this tutorial to explore other sites, years, and variables.

### 2.3 Cyberinfrastructure to Facilitate Data Exchange and Interactive Visualizations

Cyberinfrastructure for scientific data provides data handling and management functionality including data storage, processing, transfer, security, and access. Cyberinfrastructure components developed for the NCAR-NEON system include access-managed cloud storage for project data, standards-based metadata generation enabling dataset search and discovery, and data exploration tools for the user community. Datasets for the NCAR-NEON system are hosted in cloud object storage providing secure web-enabled access to the data files (Fig. 2). Data files are grouped in the cloud storage system into logical storage containers called buckets. Buckets that are granted public access allow anyone on the Internet to download the data stored in them. Buckets protected with authentication mechanisms require users to have either individual account permissions on the bucket or an access key for the bucket and are meant for internal dataset sharing or staging data prior to public release.

Data exchange between NCAR and NEON within this system enables automated generation of datasets as well as collation of NCAR model outputs and NEON data. The initial data collation for NEON data products uses a container that sources all atmospheric

331 provides data handling and management functionality including data storage, processing, transfer,  
 332 security, and access. Cyberinfrastructure components developed for the NCAR-NEON system include  
 333 access-managed cloud storage for project data, standards-based metadata generation enabling dataset  
 334 search and discovery, and data exploration tools for the user community. Datasets for the NCAR-NEON  
 335 system are hosted in cloud object storage providing secure web-enabled access to the data files (Fig. 2).  
 336 Data files are grouped in the cloud storage system into logical storage containers called buckets. Buckets  
 337 that are granted public access allow anyone on the Internet to download the data stored in them. Buckets  
 338 protected with authentication mechanisms require users to have either individual account permissions on

*Figure 2. A schematic representation of the cloud-based data management for the NCAR-NEON system. Internal data may include preliminary results, data shared for review within the project, or data staged for release. Released data files are available for public access to the user community and anyone on the Internet and include NEON meteorological inputs, NEON surface characterization data, CTSM surface datasets and initial condition files, NEON measurements used for model evaluation, and data from CTSM simulations that are used for interactive visualizations. Access-restricted cloud buckets require authentication to access files stored in them. Public-access cloud storage buckets provide open access to the files stored in them.*

348 forcing and model evaluation data from the NEON API, performs gap-filling, and formats the data for  
 349 model ingestion with standardized metadata (Sect. 2.1). Simulation datasets from NCAR (Sect. 2.2) are  
 350 automatically synced to NEON object storage in the cloud at scheduled intervals (Fig. 2). To facilitate  
 351 automated transfer of datasets between NCAR and NEON, a staging bucket is configured that allows file  
 352 uploads from authenticated users. An automated process moves files from the staging bucket to the  
 353 publicly available target bucket at scheduled intervals. Metadata describing scientific datasets using  
 354 standard vocabularies and formatting can be used by Internet search engines to facilitate dataset  
 355 discovery. JavaScript Object Notation for Linked Data (JSON-LD; <https://www.w3.org/TR/json-ld>) is a  
 356 human- and machine-readable open metadata standard. Schema.org defines a vocabulary of standard  
 357 HTML tags compatible with JSON-LD markup (Shepherd et al. 2022). A metadata generation component  
 358 for NCAR-NEON datasets is implemented in Python and uses the Binary Array Linked Data library

359 (binary-array-ld 2016) to generate JSON-LD metadata for NCAR-NEON netCDF files with the  
360 Schema.org vocabulary.

361 Beyond these automated data exchanges, we also developed a Python-based interactive  
362 visualization dashboard (Table 2) as a Graphical User Interface (GUI) that enables users to explore and  
363 interact with model outputs and observations on-the-fly. This tool allows users to generate graphs and  
364 statistical summaries comparing CTSM simulations and observational data for NEON sites without  
365 downloading the observational data or running the model. This dashboard was developed using a  
366 scientific Python stack, including Xarray, Bokeh, and Holoviews, which allows a developer to create a  
367 user interface with widgets and visualization components inside a Jupyter Notebook. Users access a GUI  
368 to select individual NEON sites, variables, and output frequencies to visualize. The tool offers different  
369 types of interactive visualizations and statistical summaries based on users' selections. This interactive  
370 visualization dashboard does not require specialist knowledge to operate; therefore, it can be used for  
371 educational outreach activities and in classrooms. Moreover, users can interact with the dashboard using  
372 a browser, so it is possible to interact with the plots via tablet or smartphone.

373 Data [input-output](#) and manipulation, particularly at the 30-minute frequency available in the  
374 NCAR-NEON system, are typically computationally resource-intensive aspects of data access. [Input-](#)  
375 [output](#) and calculations can both benefit from parallel computing, which can process multiple subsets of a  
376 dataset simultaneously and thereby enable efficient dataset access and operations. The back end for the  
377 visualization dashboard uses dataset chunking for efficient access to netCDF file content. The Zarr format  
378 and library enable generation of metadata providing chunked access to netCDF files (Miles et al. 2022).  
379 Zarr metadata for daily files is combined into monthly files, reducing the number of files accessed for time  
380 intervals spanning multiple days and thereby improving access efficiency. The Python Xarray library,  
381 which is used to read the datasets, integrates with the Python Dask library for parallel computing and thus  
382 enables loading and processing netCDF data chunks in parallel as Dask arrays. The Dask components  
383 that Xarray uses use a local thread pool by default, and local threads incur minimal task overhead  
384 associated with the parallel processing. Operations on the Dask arrays use the Python NumPy library for  
385 array operations, and the NumPy implementation takes advantage of thread pool parallelism, enabling  
386 efficiency improvements in dataset operations even on small (~100-200 KB) files.

### 387 **3. Results**

388 We illustrate features of the NCAR-NEON system with comparisons of observed and simulated  
389 fluxes across diverse ecosystems that the Observatory spans. A subset of the sites highlighted in our  
390 analysis are described in Table 3. The comparisons are intended to summarize the status of the project,  
391 illustrate the data produced through this project, and highlight potential insights the data affords. We  
392 recognize that there are rich opportunities to expand on these analyses, integrate additional  
393 measurements, and improve modeled parameterization and representations of specific sites and  
394 processes. Indeed, such contributions are encouraged from the community.



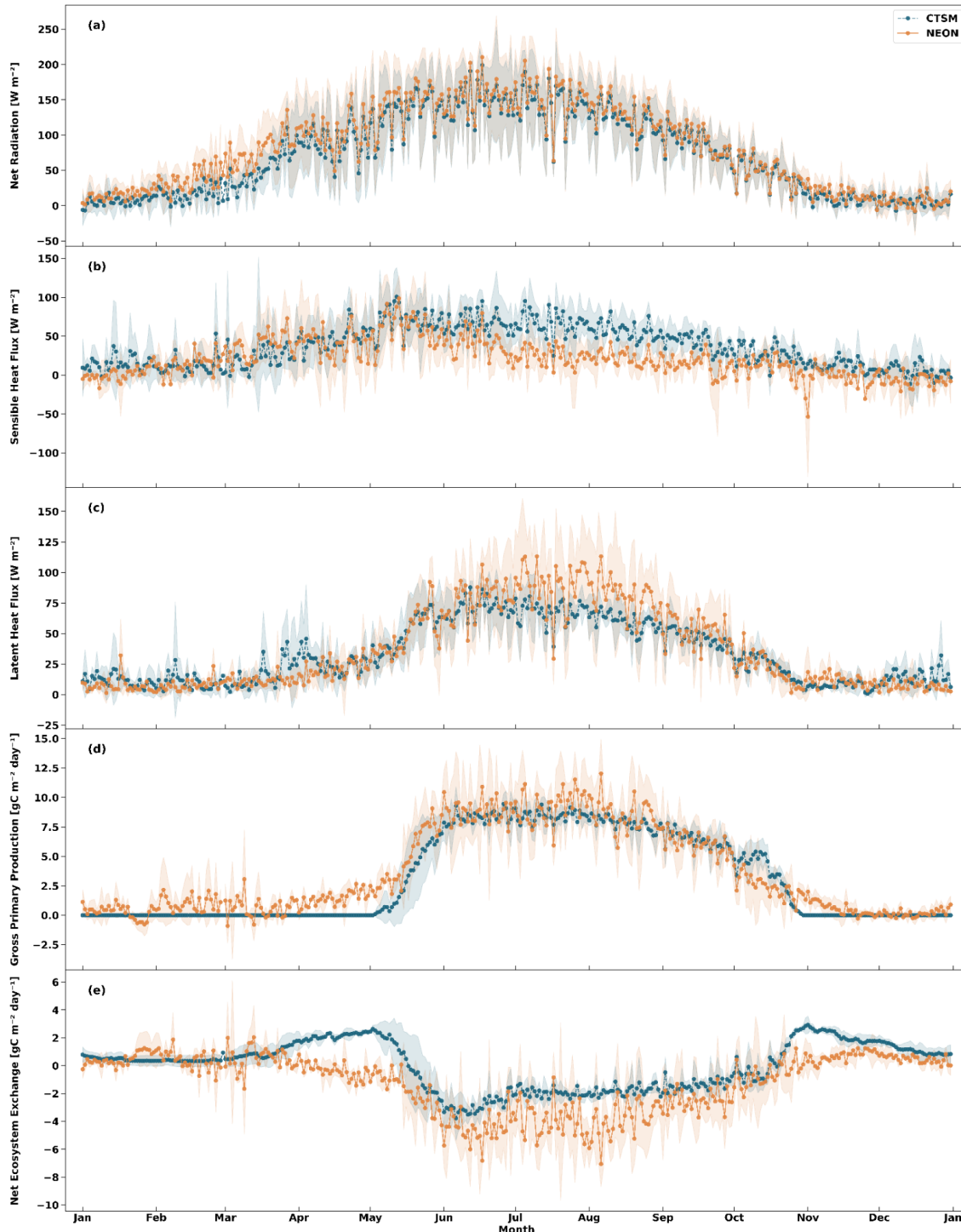


396 **Table 3** Summary of site name, location, mean annual temperature (MAT), mean annual precipitation (MAP), ~~and~~ gross  
 397 primary production (GPP) and latent heat flux at a subset of NEON sites. Values show annual means and standard  
 398 deviations in parentheses. Due to gaps in the NEON observational estimates, mean annual GPP and latent heat fluxes  
 399 are is for the full time series simulated by CTSM at each NEON-site. All results are for 2018-2021 unless noted  
 400 otherwise. The full list of results is shown in Tables S1, S2.

<u>NEON Site ID</u>	<u>Site Name</u>	<u>Lat</u>	<u>Lon</u>	<u>MAT (°C)</u>	<u>MAP (mm y<sup>-1</sup>)</u>	<u>GPP (gC m<sup>-2</sup> y<sup>-1</sup>)</u>
<u>BART</u>	<u>Bartlett Experimental Forest</u>	<u>44.06516</u>	<u>-71.28834</u>	<u>7.7</u>	<u>1213</u>	<u>1127</u>
<u>HARV</u>	<u>Harvard Forest</u>	<u>42.53562</u>	<u>-72.17562</u>	<u>8.5</u>	<u>1405</u>	<u>1153</u>
<u>STEI</u>	<u>Steigerwaldt-Chequamegon</u>	<u>45.5076</u>	<u>-89.5888</u>	<u>5.7</u>	<u>660</u>	<u>1109</u>
<u>KONZ</u>	<u>Konza Prairie Biological Station</u>	<u>39.1007</u>	<u>-96.56227</u>	<u>12.9</u>	<u>617</u>	<u>1158</u>
<u>SREER</u>	<u>Santa Rita Experimental Range</u>	<u>31.91068</u>	<u>-110.83549</u>	<u>20.4</u>	<u>329</u>	<u>360</u>
<u>ABBY</u>	<u>Abby Road</u>	<u>45.762378</u>	<u>-122.329672</u>	<u>10.1</u>	<u>2043</u>	<u>1906</u>

<u>NEON Site ID</u>	<u>Site Name</u>	<u>Lat</u>	<u>Lon</u>	<u>MAT (°C)</u>	<u>MAP (mm y<sup>-1</sup>)</u>	<u>GPP (g C m<sup>-2</sup> y<sup>-1</sup>)</u>	<u>Latent Heat (W m<sup>-2</sup>)</u>
<u>BART</u>	<u>Bartlett Experimental Forest</u>	<u>44.065</u>	<u>-71.2883</u>	<u>7.7 (0.7)</u>	<u>1213 (146)</u>	<u>1126 (57)</u>	<u>33.6 (1.3)</u>
<u>HARV</u>	<u>Harvard Forest</u>	<u>42.536</u>	<u>-72.1756</u>	<u>8.5 (0.6)</u>	<u>1404 (502)</u>	<u>1153 (53)</u>	<u>32.3 (1.8)</u>
<u>STEI</u>	<u>Steigerwaldt-Chequamegon</u>	<u>45.508</u>	<u>-89.5888</u>	<u>5.7 (0.9)</u>	<u>659 (110)</u>	<u>1109 (88)</u>	<u>29.7 (0.8)</u>
<u>KONZ</u>	<u>Konza Prairie Biological Station</u>	<u>39.101</u>	<u>-96.5623</u>	<u>12.9 (0.7)</u>	<u>617 (168)</u>	<u>1158 (235)</u>	<u>49 (4.8)</u>
<u>SREER</u>	<u>Santa Rita Experimental Range</u>	<u>31.911</u>	<u>-110.835</u>	<u>20.4 (0.7)</u>	<u>328 (104)</u>	<u>360 (133)</u>	<u>26.1 (6.8)</u>
<u>ABBY</u>	<u>Abby Road</u>	<u>45.762</u>	<u>-122.33</u>	<u>10.1 (0.4)</u>	<u>2042 (409)</u>	<u>1906 (35)</u>	<u>29.5 (1.3)</u>

401  
 402 Annual climatologies of site level data provide comparisons of measured and simulated fluxes.  
 403 Site level simulations with CTSM received inputs of incoming shortwave and longwave radiation  
 404 measured at NEON EC towers (Table 1), but the model calculates reflected shortwave radiation and  
 405 outgoing longwave radiation based on albedo and surface temperature. Accordingly, net radiation is a  
 406 useful metric by which to compare observed and simulated fluxes. Since net radiation is a driver of  
 407 numerous ecosystem fluxes, identifying biases can help to explain biases in other fluxes. We look at a  
 408 climatology of daily mean net radiation that is simulated over the NEON record. Results shown here for  
 409 Bartlett Experimental Forest (BART; Fig. 3a) suggest that the model adequately captures the seasonal  
 410 cycle of net radiation at this temperate deciduous forest site. (Fig. S1 shows a similar climatology for a  
 411 boreal forest site at Delta Junction (DEJU) in central Alaska).



412 **Figure 3** Climatology of daily mean NEON measurements (orange) and CTSM simulations (blue) at the Bartlett  
 413 Experimental Forest in New Hampshire (BART). Points show the daily mean (a) net radiation; (b) sensible heat flux;  
 414 (c) latent heat flux; (d) gross primary production (GPP); and (e) net ecosystem exchange (NEE). Shading shows the  
 415 standard deviation of daily average data for 2018-2021.  
 416

417

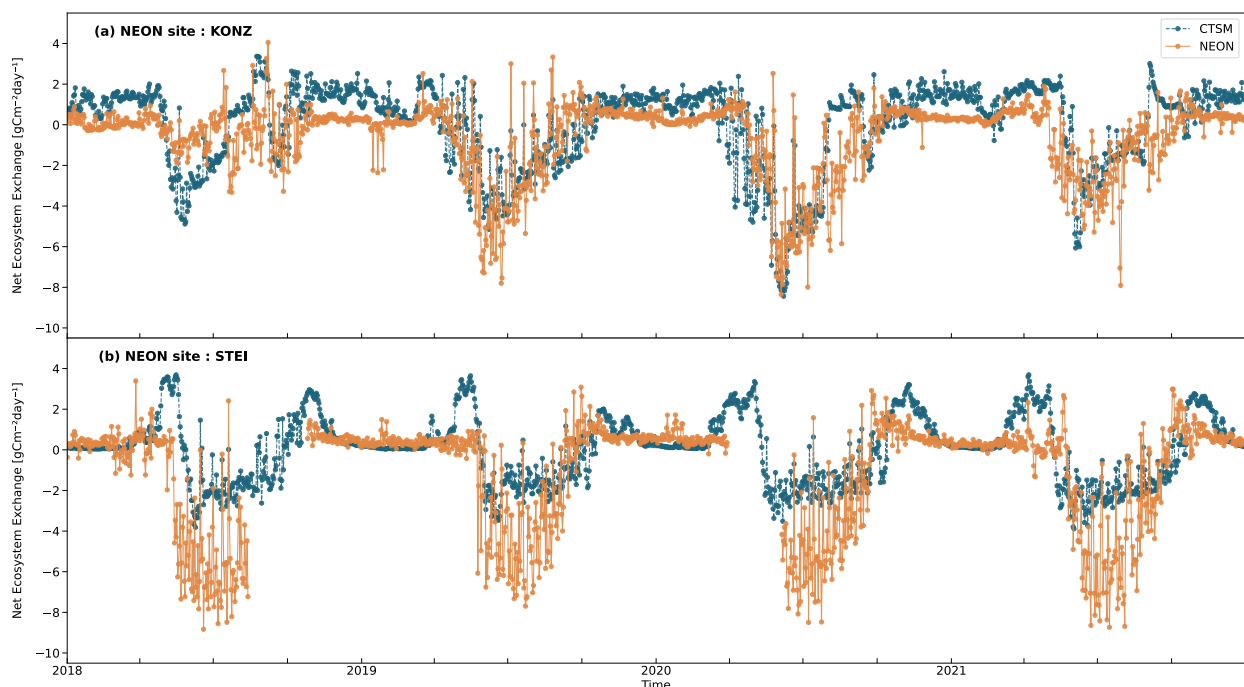
418 Users can also compare latent and sensible heat fluxes that are simulated by the model and  
 419 observed at EC towers. At BART we see that CTSM tends to underoverestimate sensible heat fluxes,

420 while ~~over~~underestimating latent heat fluxes, especially during the summer months (Fig. 3b-c). Such  
421 biases in the evaporative fraction (the ratio of latent heat flux to the sum of latent and sensible heat  
422 fluxes) of turbulent fluxes are common in land models, including CTSM (Best et al. 2015; Wieder et al.  
423 2017) and the NCAR-NEON system. The inconsistencies at BART could reflect model biases in stomatal  
424 conductance or leaf area index (LAI) and deserves further investigation. Future work can leverage data  
425 from PhenoCam data (Richardson et al. 2018) and stable isotope measurements at NEON towers  
426 (Finkenbiner et al. 2022; Moon et al. 2022) to better understand LAI and stomatal conductance,  
427 respectively.

428 Comparing measured and simulated carbon fluxes provides insights into model parameterizations  
429 and can be used to estimate missing observational data. Carbon fluxes from CTSM simulations can be  
430 compared to data from NEON EC towers: Net ecosystem exchange (NEE) data are measured at the  
431 NEON EC towers while GPP is a modeled product that is derived from statistical relationships, here using  
432 the nighttime flux partitioning method of Reichstein et al. (2005). By contrast, models like CTSM first  
433 simulate GPP based on leaf level photosynthetic rates that are scaled to the canopy with simulated LAI.  
434 Subsequently, NEE is calculated after subtracting ecosystem respiration fluxes from GPP. Results at  
435 BART suggest that CTSM generally captures the timing and magnitude of GPP fluxes at the site (Fig. 3d);  
436 although attention to phenology, especially environmental controls and interannual variability of leaf out  
437 and senescence are likely warranted (Birch et al. 2021; Li et al. 2022). The climatology of NEE fluxes  
438 simulated by CTSM shows biases during the spring and autumn when the model simulated a land source  
439 of CO<sub>2</sub> to the atmosphere (Fig. 3e) due to high ecosystem respiration fluxes. Moreover, the land sink of  
440 CO<sub>2</sub> in the summer appears to be weaker in CTSM simulations than the NEON observations at the BART  
441 tower (Fig. 3e). Since the magnitude of GPP is similar in the model and observations, the underestimated  
442 summer NEE is possibly due ~~related~~ to high biases in simulated ecosystem respiration fluxes. Diagnosing  
443 the source of this model biases is challenging, in part due to the interconnectivity of simulated processes  
444 and the limited capacity to measure such processes. Deeper insights may be afforded by taking a closer  
445 look at results with higher temporal frequencies.

446 NEON tower data are simulated in near-real time within the NCAR-NEON system, with data  
447 available to simulate most towers starting in 2018 through the most recent full year, here 2021. Figure 4  
448 shows daily mean carbon fluxes, NEE, that are measured and simulated for the Konza Prairie Biological  
449 Station (KONZ), where the NEON tower is in an unplowed tallgrass prairie in Kansas, and Steigerwaldt  
450 Land Services (STEI) site, where the NEON tower is located in an early successional aspen stand in  
451 Wisconsin. Positive NEE fluxes show net carbon release from land to the atmosphere, while negative  
452 fluxes indicate carbon gain into ecosystems. Looking at the full data record shows several notable  
453 features of NEON measurements and CTSM simulations. Data gaps in NEON measurements are most  
454 common during the early operation of the observatory (Aug-Oct of 2018 at STEI) and in the early months  
455 of the COVID-19 pandemic, when field crews could not travel to field sites to maintain equipment (Apr–  
456 June of 2020 at STEI). Across the observatory the NEON EC measurements have greater than 70% data

457 coverage, up from less than 40% data coverage at the start of observatory operations. The current NEON  
458 EC data coverage aligns with that of the FLUXNET2015 dataset (van der Horst 2019). Second, although  
459 EC is directly measuring NEE, mean daily NEON observations show high variability at both sites. Finally,  
460 NEON EC towers measure both storage and turbulent fluxes, but results shown here omit the storage  
461 component. Storage fluxes contribute to uncertainty in measured NEE fluxes, which may (or not) be large  
462 for individual sites at different times of year.  
463

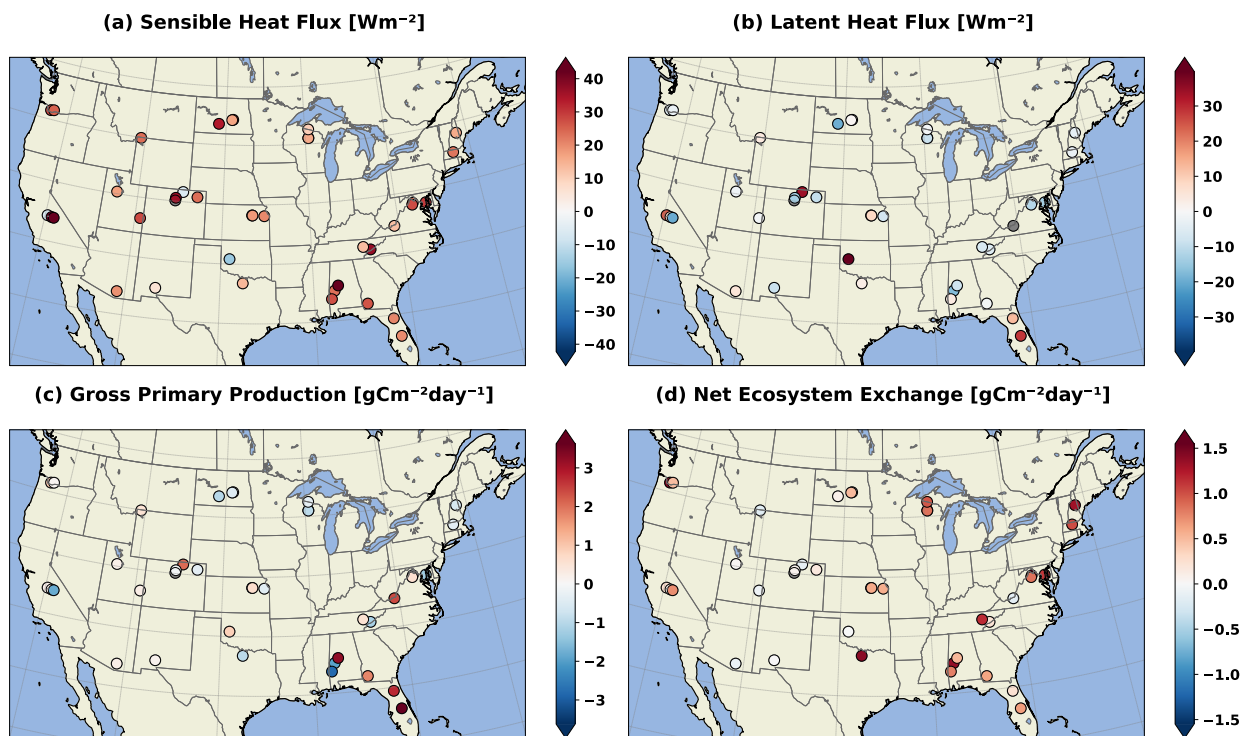


464  
465 **Figure 4** Full time series of daily mean net ecosystem exchange (NEE) from NEON measurements (orange) and CTSM  
466 simulations (blue) at the (a) Konza Prairie Biological Station in Kansas (KONZ) and (b) Steigerwaldt Land Services site  
467 in Wisconsin (STEI). Positive NEE fluxes show net carbon release from land to the atmosphere, while negative fluxes  
468 indicate carbon gain into ecosystems.

469  
470 The NEE fluxes that are simulated by CTSM are calculated as the differences in GPP and  
471 ecosystem respiration fluxes, which includes both autotrophic and heterotrophic respiration. These  
472 component fluxes are much larger, depend on simulated ecosystem states (LAI, vegetation biomass, and  
473 soil organic carbon stocks) and have associated environmental sensitivities (e.g., temperature,  
474 precipitation, etc.). Thus, biases in these component fluxes can potentially transmit biases to simulated  
475 NEE fluxes (Figs. 3-4). For example, CTSM simulations show periods of positive NEE during the spring  
476 and fall that are not evident in NEON observations. The seasonal biases in NEE could result from an  
477 underestimation of GPP during the shoulder season caused by phenological mismatches in simulated  
478 and observed LAI, or result from only simulating a single plant functional type in CTSM. Alternatively,  
479 NEE biases could result from higher than observed soil respiration rates in the model that reflect potential  
480 biases in total soil C stocks or the temperature sensitivity of heterotrophic respiration. Finally, the CTSM  
481 simulations were equilibrated to steady state conditions, meaning that annual NEE averaged over the

482 simulation period will be zero. The real ecosystems being measured at NEON sites, however, have  
 483 historical legacies – KONZ is burned periodically and STEI is an aggrading forest site – and do not  
 484 necessarily meet these same steady state assumptions. Collectively, this points to rich opportunities to  
 485 learn about the ecosystems being measured by NEON observations and the processes that are important  
 486 to represent in models like CTSM.

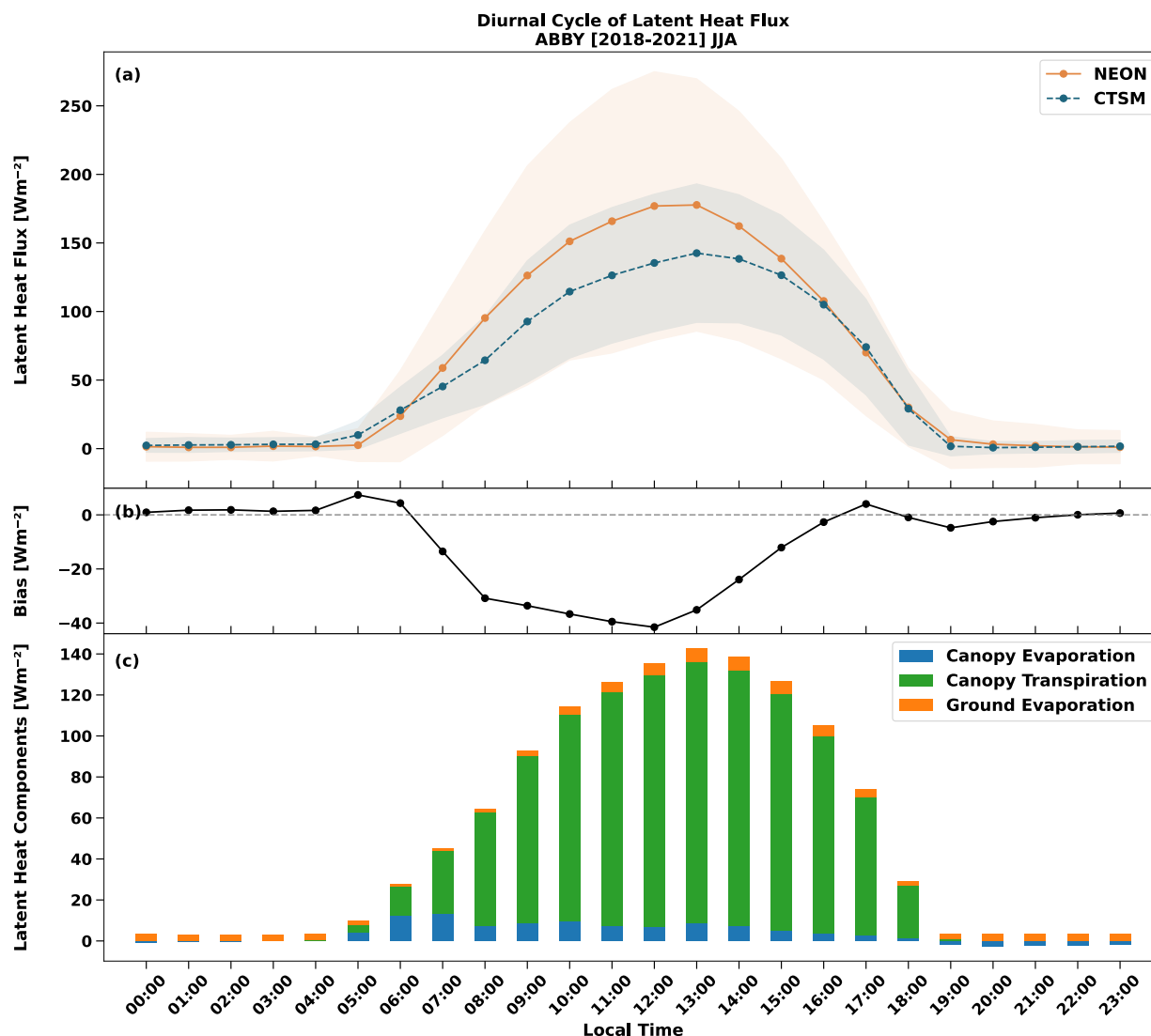
487 We calculated summary statistics of CTSM simulated bias (Fig. 5) and root mean square error  
 488 (RMSE; Fig. S2) in ecosystem fluxes, compared to NEON observations. Biases in GPP and NEE are  
 489 relatively low in the Great Plains and Intermountain West but are larger in the Eastern US. Specifically,  
 490 NEE is biased high east of the Mississippi, while GPP biases are largest in the Southeastern US. CTSM  
 491 typically has high biases in sensible heat fluxes and concurrent low biases in latent heat flux. Some sites,  
 492 particularly grasslands (e.g., CPER, OAES, and SJER), do not follow this general pattern. We therefore  
 493 probed precipitation data from NEON, which appear to have significant biases at some grassland sites  
 494 (discussed in Sect. 4.1) and contribute to artificially high biases in CTSM simulations at these sites.  
 495



496 **Figure 5** Maps showing location of NEON site in the conterminous United States and annual biases in fluxes that are  
 497 simulated by CTSM for: (a) sensible heat flux ( $W m^{-2}$ ); (b) latent heat flux ( $W m^{-2}$ ); (c) gross primary production (GPP,  
 498  $gC m^{-2} day^{-1}$ ); and net ecosystem exchange (NEE,  $gC m^{-2} day^{-1}$ ) over the observational record (2018-2021), unless  
 499 otherwise noted in Table S2.  
 500

501  
 502 Additional insights into potential sources of biases in data-model comparisons can be provided by  
 503 looking deeper into component fluxes of latent heat at higher temporal frequencies. The NEON EC towers

504 provide 30-minute measurements of total latent heat fluxes, but latent heat fluxes in CTSM can be  
 505 partitioned into contributions from canopy transpiration, canopy evaporation, and soil evaporation. For



506  
 507 **Figure 6** Diel cycle of summertime (June, July, and August, or JJA) latent heat flux at the Abby Road site in Washington  
 508 (ABBY). Panels show: (a) mean half hourly fluxes (2018-2021 mean  $\pm 1\sigma$ ) for NEON measurements and CTSM  
 509 simulations (orange and blue lines, respectively); (b) CTSM model bias relative to the observations ( $W m^{-2}$ ); and (c)  
 510 partitioning of latent heat into fluxes that are simulated by CTSM, which includes canopy evaporation, canopy  
 511 transpiration, and ground evaporation (blue, green, and orange bars, respectively). Additional visualizations showing  
 512 all sites and seasons are available on the interactive visualizations web site (Table 2).

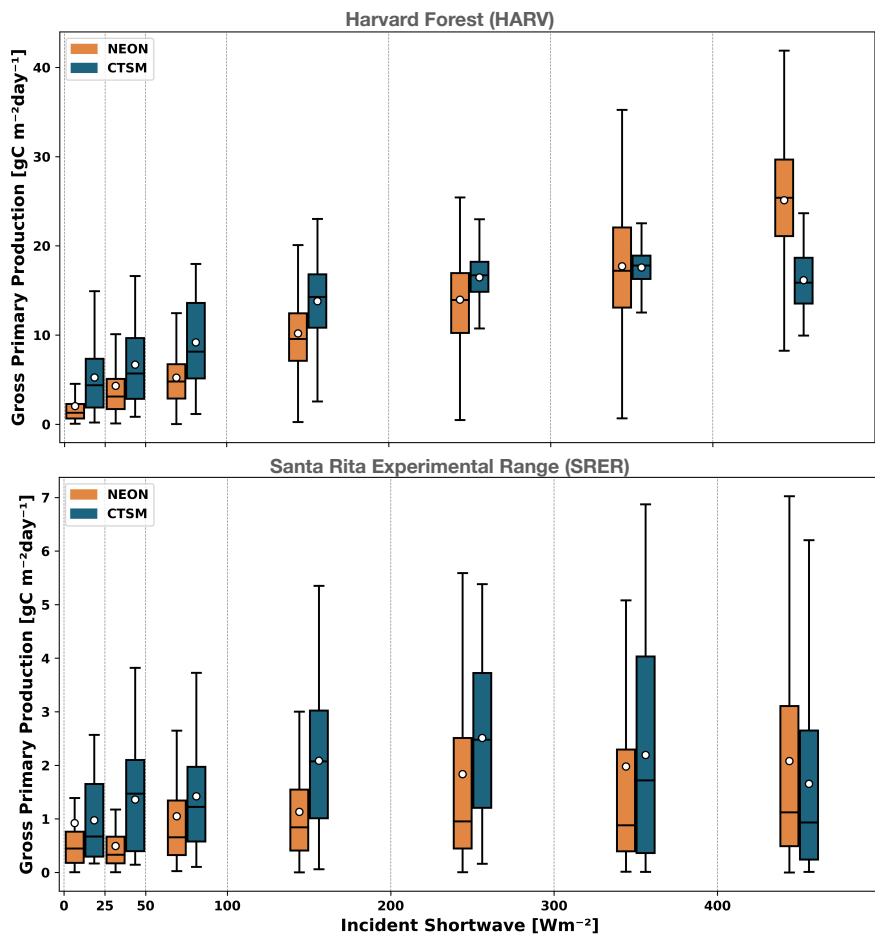
513  
 514 example, the CTSM simulations show temporal biases in both the timing and magnitude of mean diel  
 515 cycle of summertime (June, July, and August, or JJA) latent heat fluxes at the NEON Abby Road site  
 516 (ABBY; Fig. 6). The bulk of daytime latent heat fluxes simulated by the model are coming from canopy  
 517 transpiration fluxes, suggesting that the representation of stomatal conductance does not respond  
 518 correctly to atmospheric conditions or plant water availability. We also note that this site experienced two  
 519 very strong heatwaves in the summers of 2020 and 2021. Additional measurements of soil moisture, LAI,



520 or sap flux could help test, evaluate, and improve various model parameter values and parameterizations  
521 to produce results that are most consistent with observed fluxes.

522 Light response curves (Fig. 7) illustrate how canopy photosynthesis responds to changes in the  
523 radiation environment. At forested sites, CTSM tends to overestimate GPP at low light levels,  
524 underestimate GPP under full irradiance and simulate lower variance in GPP across a range of high  
525 incident radiation; this pattern is illustrated in Fig. 7a for Harvard Forest. At the Santa Rita grassland site,  
526 GPP is biased high in most irradiance bins, although is comparable to observed estimates of GPP at full  
527 irradiance (Fig. 7b). As GPP is the driver for carbon fluxes and plant-mediated water fluxes in CTSM,  
528 inaccurate responses to light environment affects several processes, including NEE and transpiration,  
529 which is a primary driver of mid-day (Fig. 6c) and summertime latent heat flux.

530



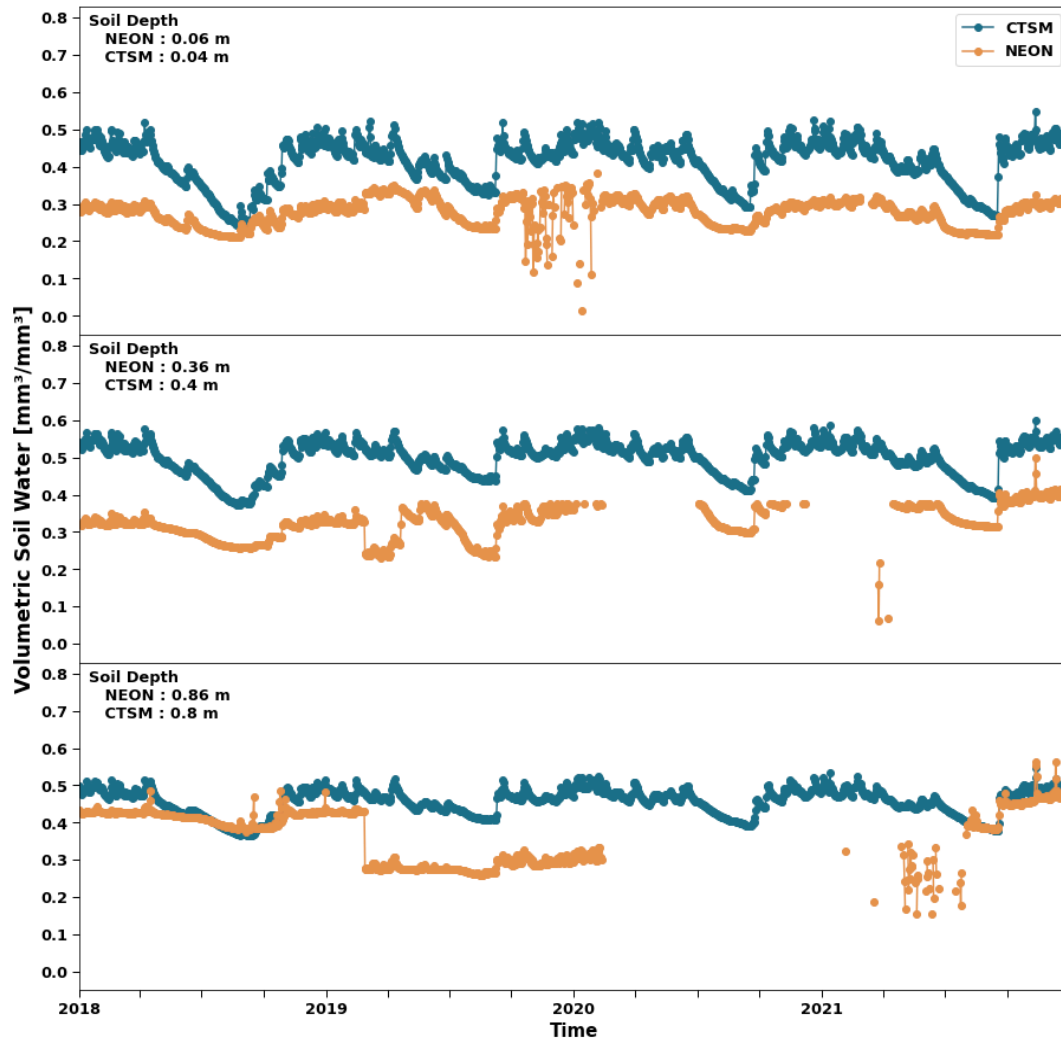
531

532 **Figure 7** Box-whisker plots showing light response curves, the relationship between gross primary production (GPP)  
533 and incident shortwave radiation, that are derived from NEON measurements and CTSM simulations (orange and blue,  
534 respectively) at (a) Harvard Forest (HARV) and the (b) Santa Rita Experimental Range (SRER). Data represent 30-  
535 minute measurements that are binned by incident shortwave radiation levels observed at NEON sites over the  
536 observational record in July (2018-2021). Boxes show the mean (dots), median (line), interquartile range (boxes). The  
537 whiskers extend from the boxes (showing first and third quartiles) by 1.5 times the interquartile range (Q3-Q1). Note  
538 differences in the scale of the y-axis.

539

540 Finally, there are opportunities to use data from CTSM simulations to augment NEON  
541 measurements. For example, measurements of soil moisture are important for calculating soil CO<sub>2</sub> fluxes  
542 from NEON sites, but the soil moisture probes currently deployed at NEON sites do not always provide  
543 reliable measurements. For example, at the Abby Road site soil moisture observations have phases of  
544 erratic measurements, are missing at depth throughout much of 2020 and 2021, and have large offsets  
545 when instruments were calibrated (Fig. 8, Fig. S3). By contrast, CTSM provides continuous datasets that  
546 could be used to gap fill or augment ongoing NEON soil moisture measurements, although simulated  
547 data may need to be bias corrected. Similarly, soil moisture controls aspects of plant phenology in CLM,  
548 meaning that soil moisture measurements could help constrain or explain potential biases in simulated  
549 LAI and ecosystem fluxes. At ABBY, both CTSM simulations and NEON observations show similar  
550 temporal patterns – a dry-down of soil moisture during the dry summer months and followed by wetter fall  
551 winter and spring months (Fig. 8; Fig. S3), although CTSM simulates wetter soils than observed at the  
552 NEON site.  
553





554  
 555 **Figure 8** Time series of volumetric soil moisture profiles that are simulated by CTSM simulations (blue) and  
 556 measured by NEON (orange) at different depths in soil plot 3 at the Abby Road site in Washington (ABBY) from  
 557 2018-2021.

558 **4. Discussion**

559 The NCAR-NEON system links models and measurements to provide a powerful suite of tools to  
 560 understand ecosystem properties and processes through space and time. In addition to facilitating the  
 561 integration of measurements and modeling, a major focus of this work is to enable new opportunities for  
 562 research and education by expanding access to and interaction with NCAR models and NEON data,  
 563 [contributing to a growing body of work that increases the accessibility and usability of large datasets and](#)  
 564 [computing resources for research \(e.g., Novick et al. 2018, Beringer et al 2020, Keetz et al. 2023\) and](#)  
 565 [education \(e.g., Carey et al. 2020, O'Reilly et al. 2017\).](#) The user community can access quality-  
 566 controlled and gap-filled NEON meteorological and EC flux data as prototype datasets through the public-  
 567 access cloud storage buckets that supports the NCAR-NEON system or the Prototype Data section of the  
 568 NEON Data Portal (Table 2). Additionally, the NCAR-NEON system streamlines running NCAR's CTSM

569 model and simplifies access through the containerized CESM-Lab platform, bypassing the logistical  
570 challenges of porting CTSM to different computing systems. It also creates customized model input data  
571 that include local site characterizations of soil and vegetation using NEON data products, [and allows](#)  
572 [users to add custom input data to simulate other locations](#). These capabilities allow researchers to focus  
573 their time on customizing CTSM and integrating additional NEON datasets to address research  
574 questions. Combined with the visualization software provided in the tutorials, the NCAR-NEON system  
575 also facilitates opportunities for teaching about land-atmosphere interactions, ecology, and land modeling  
576 [and can be incorporated into undergraduate and graduate courses alongside similar efforts \(e.g., Carey](#)  
577 [et al. 2015\)](#). Below we discuss some of the synergistic enhancements this collaboration makes for NEON  
578 measurements and NCAR models as well as opportunities that the NCAR-NEON system enables for  
579 research and teaching.

#### 580 **4.1 Synergistic enhancements of NEON measurements and NCAR models**

581 The NCAR-NEON system is a collaborative partnership between observationalists and modelers  
582 that enhances both NEON's measurements and NCAR's models. One typically thinks of observations as  
583 improving models, but the reverse can also happen in which models inform and augment the collection of  
584 measurements. For example, models require continuous meteorological input data, so gap filling the  
585 missing meteorological data required to run CTSM was paramount to the success of the project. A new  
586 prototype data product provided by the project is a continuous time series of meteorological data at each  
587 NEON location. Comparison of modeled and measured EC fluxes identified QA/QC improvements to the  
588 meteorological data needed for the model simulations, and similarly improvements to the processing of  
589 the raw EC fluxes to compare with model results.

590 One issue raised in the simulations is the estimation of precipitation at grassland sites. NEON has  
591 experienced issues where small amounts of noise in the raw data cause spurious trace precipitation to be  
592 recorded at all primary precipitation sensors. Because secondary and throughfall precipitation buckets are  
593 unaffected, there is a redundant data stream at forested sites, but these are unavailable for grassland  
594 sites. An updated algorithm is expected to resolve the spurious trace precipitation issue in late 2022 with  
595 back processed data available in the NEON 2024 data release. In the meantime, we manually evaluated  
596 the mean annual precipitation recorded at each NEON site against other observational data networks and  
597 noted locations where this issue is generating unexpectedly high or low precipitation values (Table S2).

598 Another example of how NCAR modeling improved NEON data quality comes from unusual soil  
599 moisture profiles that were initially generated in preliminary simulations at the ABBY site (data not  
600 shown). Upon closer inspection these patterns were found to be caused by an unusual relationship  
601 between soil organic carbon content and depth at this site, which did not match related data gathered  
602 during sample collection or subsequent analyses. Further investigation confirmed that the labels for the  
603 soil carbon analysis subsamples had been switched for two ABBY soil horizons. The NEON soil data  
604 have since been corrected and the modeled soil moisture profiles for ABBY now follow a more typical

605 pattern with surface soils drying out during the summer and less variation in soil moisture in deeper soil  
606 horizons (Figs. 8, S3). There are also important differences in vertical profiles of simulated and measured  
607 soil moisture, with soil moisture simulated by CTSM typically decreasing with depth while NEON soil  
608 moisture observations generally increase with depth. Additional investigation is needed to determine if  
609 these discrepancies extend to other sites and indicate issues with CTSM simulations or NEON data  
610 products, but it does underscore a synergy in NCAR modeling and NEON measurements that deserves  
611 more attention moving forward.

612 We see clear opportunities for NEON observations to help guide future model improvements,  
613 especially related to potential biases in phenology (discussed above), photosynthesis (Fig. 7), and other  
614 processes. Some biases in modeled processes are already documented; for example, Wozniak (2020)  
615 found that CTSM underestimates maximum rates of simulated GPP compared to EC observations in  
616 deciduous forest sites. This suggests that implementation of the photosynthesis scheme in CTSM has  
617 parametric or structural issues that prevent high rates of GPP from occurring in the model. Auxiliary data  
618 from NEON that are not always available from other EC flux towers, for example foliar chemistry, can be  
619 used to update parameter values and to evaluate correlated model variables and processes. The  
620 opportunities afforded by NEON's EC and auxiliary data to improve the representation of ecological  
621 processes in CTSM will improve modeled carbon fluxes at NEON towers and may also ameliorate biases  
622 in global simulations.

623 Finally, the NCAR-NEON system can also facilitate model-informed prioritization of future data  
624 collection efforts. Models can quantify the dominant drivers of uncertainty in model parameters as well as  
625 in response to environmental drivers using ensemble-based methods of parameter uncertainty  
626 propagation and variance decomposition (LeBauer et al. 2013). Site-level CTSM simulations could  
627 therefore help future NEON data collection campaigns to target variables that contribute the most to  
628 uncertainty in modeled ecosystem fluxes and ecosystem responses to global change.

#### 629 **4.2 Opportunities enabled for research**

630 The NCAR-NEON system enables research opportunities in the ecology, global change, and  
631 Earth system science communities by: (1) Democratizing-Facilitating access to NCAR models that can be  
632 customized to meet researchers' needs; (2) Providing a computational platform that leverages NEON  
633 observational datasets for site-level model configuration and evaluation across the diverse range of  
634 ecosystems captured in the NEON design; (3) Facilitating reproducible research workflows; and (4)  
635 Providing gap-filled meteorological data and partitioned EC flux data products that create synergies with  
636 other flux networks and data pipelines (Novick et al. 2018, Beringer et al. 2020, Pastorello et al. 2020).

637 In building the NCAR-NEON system we improved the software infrastructure and workflows that  
638 are required to run single point simulations with CTSM, while developing derived, prototype datasets with  
639 NEON's EC measurements. Although the focus of this work is on connecting CTSM and NEON data,  
640 measurements from non-NEON sites can also be used with this system, facilitating the use of data from  
641 additional EC towers and the ONEFlux data pipeline in CTSM development and evaluation. Moving

642 forward, NEON is working with Ameriflux to incorporate the redundant data stream gap-filling from NCAR-  
643 NEON with ONEFlux standardized data processing as well as providing proper data formats and  
644 metadata for modeling framework ingestion.

645 Through CESM-Lab, the NCAR-NEON system provides access to the full model code and  
646 datasets used to run CTSM on any computing system. A particular strength of this system is the auxiliary  
647 data collected by the NEON network that is used to inform site-specific model inputs and model  
648 evaluation. With some effort, users can adapt this system to incorporate and simulate flux towers at other  
649 research sites using the 'Processing NEON data' tools linked in Table 2 to guide data formatting. Thus,  
650 future work could expand this system to include gap-filled flux data from other regional and global  
651 networks like AmeriFlux and FLUXNET, allowing for broader spatial coverage. This means that  
652 researchers are not limited to NEON locations or to the default configuration of CTSM, nor do they  
653 Additionally, researchers do not need access to large-scale computing resources and can use alternative  
654 model configurations; The CTSM code can be modified and compiled within the container, so  
655 researchers who wish to run simulations with new model parameterizations or with additional model  
656 features may now do so from any computer. Most personal laptop computers are more than sufficient for  
657 running site level simulations, even when using more computationally complex versions of the land model  
658 that include, for example, ecological dynamics (using the Functionally Assembled Terrestrial Ecosystem  
659 Simulator, FATES; Koven et al. 2020) or representative hillslope hydrology (Swenson et al. 2019).  
660 Advanced users can run CTSM at any single point site by making their own input files. Additionally,  
661 researchers can quantify the impact of adjusting model parameters and processes on terrestrial  
662 ecosystems under historical and future climate scenarios. This flexibility is useful for calibrating the model  
663 to improve model performance at a given site, as well as for gaining mechanistic insights into how  
664 different processes and uncertainties affect ecosystem functioning. Broadening access to CTSM also  
665 allows researchers to rapidly compare model output to their own observational datasets, or to existing  
666 NEON observational datasets that are not yet integrated into the NCAR-NEON system.

667 Moving forward, we see additional NEON data products as providing valuable insights to the  
668 NCAR-NEON system. These could include NEON measurements that are used both as model inputs  
669 (foliar chemistry, phenology and LAI, and historical land use legacies) and as model validation datasets  
670 (including snow depth, vertical profiles of canopy temperature, leaf water potential, litterfall rates, biomass  
671 and vegetation structure, and depth profiles of soil moisture, temperature, carbon and nitrogen). Although  
672 these data have not yet been integrated into the NCAR-NEON system, we are optimistic that existing  
673 tools can help facilitate their integration into research opportunities. We see powerful opportunities to  
674 expand on this approach to integrate information from NEON's Airborne Observation Platform (AOP) into  
675 workflows that extend model capabilities beyond the relatively small footprint of the EC towers. For  
676 example, the AOP light detection and ranging (LiDAR) data would provide information to initialize stand  
677 structure that would be helpful for calibrating reduced complexity configurations of the CTSM-FATES  
678 model (Fisher and Koven, 2020).

679 The NCAR-NEON system also promotes reproducibility of research in alignment with the FAIR  
680 data principles (Wilkinson et al. 2016), addressing an ongoing challenge facing both ecology and  
681 geosciences (Powers and Hampton 2019; Culina et al. 2020; Kinkade and Shepherd 2021). The NCAR-  
682 NEON system makes it easy for researchers to share their research workflow as part of their publications,  
683 including accompanying code and data. The containerized system also reduces the time required to  
684 configure and run other researchers' workflows, thereby facilitating the process of reproducing previous  
685 studies and expanding existing workflows to answer new research questions.

686 In addition to enabling opportunities for research with NCAR models, the NCAR-NEON system  
687 also facilitates access to NEON data which can be used for observationally based research or research  
688 using other models. For example, the gap-filled micrometeorological data and partitioned flux data  
689 products provided in the NCAR-NEON system could be used in other projects related to ecological  
690 forecasting and model evaluation that focuses on ecological processes and land model simulations (Best  
691 et al. 2015; Collier et al. 2018; Eyring et al. 2019; Lewis et al. 2022). As latencies in publishing NEON  
692 data are reduced, we intend to provide updated input and evaluation data to the NCAR-NEON system to  
693 enable near-real time hindcasts of ecosystem states and fluxes. In short, we see the information that is  
694 being generated through this activity as a resource to meet data-requirements of the broader Earth  
695 system science community.

#### 696 **4.3 Opportunities enabled for teaching**

697 The NCAR-NEON system makes it easy to run and visualize site-level simulations that can be  
698 integrated into classroom settings, and. ~~t~~The NEON Observatory design provides a unique opportunity for  
699 students to access data from world class field research sites and instrumentation in a variety of  
700 ecosystems. Here we highlight two capacities in which this tool can be integrated into classroom  
701 activities, complementing other learning modules that integrate ecological data with modeling tools, such  
702 as those from Project EDDIE (e.g., Carey et al. 2020, O'Reilly et al 2017) to broaden exposure to large  
703 datasets, ecological modeling, and systems thinking. The first is an interactive web-based visualization  
704 tool (Table 2). This tool does not require any software or data downloads, allowing students to access  
705 and explore NEON and CTSM data without running any simulations. Students can explore and compare  
706 observational and simulated data for numerous fluxes at different temporal scales from 45 terrestrial  
707 NEON sites (Table S1). Classroom modules can be developed to probe various ecological questions,  
708 including comparisons across sites, how fluxes change seasonally, and quantification of interannual  
709 variability. Instructors can also use this tool to highlight differences between models and observations,  
710 helping students to better understand how we measure, simulate, and predict ecosystem processes.

711 A second opportunity for classroom activities is to run simulations using the NCAR-NEON system  
712 within the CESM-Lab container. The flexible cyberinfrastructure, short simulation run times (typically less  
713 than 10 minutes), and simplified coding requirements facilitate running simulations for classroom  
714 applications. Technical challenges are minimal and can be reduced by using a computer lab with Docker

715 pre-installed and computers that have sufficient memory and space requirements for data downloads, or  
716 by using larger-scale computing resources like university clusters or cloud computing resources. Once  
717 access to the containerized computing environment is established, students can use the available  
718 tutorials to run NEON tower simulations at the site of their choice and evaluate simulated fluxes against  
719 observations (Table 2).

720 The NCAR-NEON system is flexible, allowing instructors to easily make additional customizations  
721 for their classes. As an example, this cyberinfrastructure tool was used in a graduate level Land-Climate  
722 Interactions Course at Auburn University in the 2021-2022 academic year. First, students performed  
723 CTSM simulations for the Talladega National Forest site (TALL), the NEON site closest to Auburn  
724 University, and compared latent heat flux simulated by CTSM with the NEON measurements using  
725 system tutorials. Next, students were divided into two project groups focusing on either TALL or Ordway-  
726 Swisher Biological Station (OSBS) sites to conduct parameter perturbation experiments using a tutorial  
727 developed by the instructor. Students collected the relevant parameter values from the literature, updated  
728 model parameter files, and performed ten CTSM simulations at each site, finding that GPP was more  
729 sensitive to the selected parameters than latent heat fluxes. These classroom exercises were paired with  
730 a visit to the TALL site to enrich student's experiences and motivate them to design their own  
731 investigation and experiments. Exposure to the NCAR-NEON system has motivated graduate students to  
732 contribute analyses, tutorials, and additional resources to the broader community. For example, one  
733 graduate student compared NEON precipitation measurements with nearby NOAA sites, helping to  
734 identify potentially problematic NEON sensors (Section 4.1), while another is developing a model for  
735 estimating aboveground biomass using ground-based NEON data and remote sensing measurements  
736 (Narine et al. 2020). These examples highlight how the NCAR-NEON system is inspiring the next  
737 generation of scientists.

## 738 **Conclusion**

739 Deeper engagement of diverse scientific communities, removing technical barriers, and  
740 increasing access to research data and tools is critical to advance Earth system science, prediction, and  
741 understanding of ecosystem responses to global change. By developing cyberinfrastructure tools that  
742 facilitate the easy and rapid use of measurements, models, and computing tools, the NCAR-NEON  
743 system aims to enable this convergence of climate and ecological sciences and facilitates the  
744 development and testing of data-driven and model-enabled scientific hypotheses. The system provides a  
745 computationally simplified platform for scientific discovery and for rigorous evaluation and improvement of  
746 model simulations and observational data at NEON tower sites. ~~A particular strength of this system is the  
747 auxiliary data collected by the NEON network that is used to inform site-specific model inputs and model  
748 evaluation. With some effort, users can adapt this system to incorporate and simulate flux towers at other  
749 research sites using the 'Processing NEON data' tools linked in Table 2 to guide data formatting. Thus,  
750 future work could expand this system to include gap-filled flux data from other regional and global~~



751 ~~networks like AmeriFlux and FLUXNET, allowing for broader spatial coverage.~~ By facilitating community  
752 engagement in modeling and observing terrestrial ecosystems, cyberinfrastructure tools like this are a key  
753 component for building a more intellectually diverse workforce for global change research and Earth  
754 system science.

## 755 **Code and Data availability**

756 Datasets created as part of this project are available as a NEON prototype dataset and archived at  
757 NCAR's Geoscience Data Exchange (GDEX) <https://doi.org/10.5065/tmmj-sj66>. CTSM code is available  
758 through the CTSM github page and archived at <https://doi.org/10.5281/zenodo.7342803>. Post processing  
759 scripts that used to make figures in this manuscript are available at:  
760 [https://github.com/NCAR/neon\\_scripts](https://github.com/NCAR/neon_scripts).

## 761 **Author Contributions**

762 All authors contributed to writing and review of the software and manuscript. GBB and MSC contributed to  
763 funding acquisition. DLL, WRW, NS, GBB, DD, DL, and MSC contributed to conceptualization and data  
764 curation. DLL, WRW, NS, and DD contributed to formal analysis, software development, validation, and  
765 visualization.

## 766 **Competing Interests**

767 The authors have no competing interests to declare.

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