

Reviewer 1		
Line	Reviewer comment	Response
		<p>We thank the reviewer for their comments. We emphasise that our paper proposes a way to estimate risk to life in the absence of any information apart from regional-scale morphometric analysis such as the Melton ratio. Many of the reviewer's comments regarding our assumptions are correct—but note that our aim is to demonstrate the possibility of risk to life, where no risk is perceived by communities or their decision-makers. To do this easily and cheaply on a regional scale, we need to make “precautionary but realistic” assumptions. These assumptions therefore will err on the side of caution. If our analysis gains the attention of communities and their decision-makers, we are able to investigate in more detail.</p>
	<p>However, this key finding is currently vague, partly because it is difficult to estimate risk parameters and partly because the current manuscript has limited/unclear presentation of the specific return intervals that result in unacceptable risk. Are they intended to be global/generalizable estimates, or regionally specific? Fully characterizing hazard and risk for decision-making would require additional process-based investigation or empirical approaches that use local/regional data. I encourage the authors to describe how their model results could be used to support actionable strategies for prioritizing further risk-reduction efforts.</p>	<p>Our purpose is simply to demonstrate the need for risk reduction—prioritisation would be the next step. At least in NZ, but we suspect elsewhere, the risk to life and property from debris flows is often ignored by communities and their decision-makers. So even to get acknowledgement of the potential for a problem is an achievement—it is not a trivial task.</p> <p><u>We bring this point to the fore in our revised text, adding the following text:</u></p> <p><i>There is a large and growing literature on debris-flow hazard assessments (Jakob, 2021), but these assessments typically required funding in order for them to be made. Thus, the debris flow literature has an inherent bias towards relatively complex studies involving a range of site assessment and modelling techniques. There is a lack of studies that describe how to overcome the problem described by Jakob (2021): “Most districts, states, provinces, or even nations have limited funds for geohazard mitigation. This necessitates the allocation of existing funds to those sites with the highest risk potential. Funds for studies and mitigation often get allocated because of particularly damaging events that result in focused public, media, and political attention. Those sites, however, may not necessarily be the ones with highest risk.”</i></p>

	<p>Significant re-framing to better reflect the conclusions. This could involve a more specific paper title, updates to the abstract, introduction, and conclusions that better reflect the contributions of their work. Specifically, I would suggest that the authors re-align the work with the primary contribution as described in the first paragraph of this review.</p>	<p>Agreed—see our comments above. Note that we have revised the title to better reflect the purpose of the paper</p>
	<p>Deeper grounding in real-world processes. This could be accomplished through improved basis in the literature and in consideration of both physical and social processes. Most importantly, the authors mention field evidence in the conclusions, but do not introduce study areas, present field observation, or describe data collection methodologies. These are critical for understanding the scientific contribution as well as its ability to be generalized or extrapolated to other study regions</p>	<p>Our paper is a "methods" paper, and describes a straightforward method based on well-accepted principles. If one accepts the underlying principles (which are widely accepted in landside risk management) and understands that the assumed variable values are based on best available published data, it has the potential to be a valuable tool to create awareness of debris flow risks where that awareness is lacking. We do not see it as a novel contribution in scientific terms—far from it. But we believe it is a novel way to communicate debris flow risks when so many communities are oblivious or complacent.</p>
	<p>Expanded/restructured introduction and methods section that present existing knowledge and the research question (introduction) and the author's novel approach (methods).</p>	<p>We have substantially revised the text in line with the reviewer's suggestions.</p>

	<p>Finally, the authors describe a single, universal “window of non-recognition,” which reflects the generic or best estimate parameter values for risk. However, considering that risk estimates and the window of observation relies on settlement periods, risk tolerance, and physical processes (probability of avulsion), I am not convinced that this value would apply to broad areas. Instead, I suggest that the authors present their window with a methodology framework, investigate a specific study area, and/or evaluate a wider range of parameter values for each risk parameter.</p>	<p>Thank you for this suggestion. However, we do ask the reviewer to reconsider this point. Our methodology can be applied to any situation where there are potential risks from debris flow hazards. However, the nature of the risks and their assessments will vary widely, depending on the factors mentioned by the reviewer (settlement periods, risk tolerance, and physical processes such as probability of avulsion).</p> <p>We do show how these can be included in the indicative assessment of risk to life. We have used a case study, using ARIs from four well-known life-threatening debris flow events in NZ.</p>
	<p>A few specific recommendations are described in the line comments, but in general I recommend separating background contextual information in the introduction, with specific methodology descriptions for the author’s work in the methods. Currently, the methods section describes the research problem, which would be better suited in an introduction section.</p>	<p>Agreed. We have reorganised the text so that the research problem is stated in a separate section from the Methods.</p>
1	<p>Consider a more descriptive title which highlights specific analysis or finding of your work.</p>	<p>Agreed, we could change the title to "Identifying unrecognised risk to life from debris flows"</p>

24	<p>“No history of debris flows,” is too vague. Do you mean geologic history? Oral history? Written history? Consider describing your study area, as settlement history is also highly variable around the world. Expanding urban areas and limited records absolutely result in low public awareness of debris flow hazards, but consider acknowledging that human settlement in New Zealand is relatively short (hence the challenge the authors’ research question) densely inhabited areas in Eurasia may have hundreds of years of detailed written records, and oral histories for many indigenous peoples describe landslides or other debris flows over millennia.</p>	<p>A fair point. “No history of debris flows,” could be rewritten as “No recorded history of debris flows”. This recorded knowledge could be obtained from many different sources, the key thing is whether the community and its decision makers are currently unaware of any hazard.</p> <p>Agreed, awareness of debris flows is variable around the world, but in many places (not just NZ) it is low. Sure, a long history of settlement may mean that communities have written or oral records dating back centuries or more. But we still see landslide disasters in long-settled regions such as China or South America. In many cases, rapid population increases and/or poverty have forced people to settle in areas that were previously not occupied. We do recognise NZs short human settlement history in the text.</p>
28-34	<p>Please provide citations to the literature so that readers can seek additional context on debris flow processes, sediment pathways, and debris flow hazards in New Zealand.</p>	<p>We cite the following:</p> <p>Beca Ltd: Natural hazards affecting Gorge Road, Queenstown. Prepared for Queenstown Lakes District Council, Beca Ltd, Christchurch, New Zealand, 2020.</p> <p>Bloomberg, M. and Palmer, D.J.: Estimation of catchment susceptibility to debris flows and debris floods–Marlborough Sounds, Pelorus Catchment and Wairau Northbank. Draft Report to Marlborough District Council, https://www.marlborough.govt.nz/repository/libraries/id:2ifzri1o01cxbymxkvwz/hierarchy/documents/your-council/meetings/2022/environment-2022/Item_5-17032022-Estimation_of_catchment_susceptibility_to_debris_flows.pdf, 2022.</p> <p>Farrell J. and Davies T.: Debris flow risk management in practice: a New Zealand case study, Association of Environmental and Engineering Geologists; Special Publication 28. 2019.</p> <p>Massey, C.I., Thomas, K-L., King A.B., Singeisen, C., Horspool, N.A. and Taig, T. SLIDE (Wellington): vulnerability of dwellings to landslides (Project No. 16/SP740), GNS Science report; 2018/27), GNS Science, Lower Hutt, New Zealand, 2018.</p>

		<p>McSaveney, M., Beetham, R., and Leonard, G.: The 18 May 2005 debris flow disaster at Matata: Causes and mitigation suggestions, GNS Science Client Report, 2005/71. GNS Science, Wellington, New Zealand, 2005.</p> <p>McSaveney, M. and Beetham, R.: The potential for debris flows from Karaka Stream, Thames, Coromandel, GNS Science Consultancy Report, 2006/014, GNS Science, Wellington, New Zealand, 2006.</p> <p>Page, M., Langridge, R., Stevens, G., and Jones, K.: The December 2011 debris flows in the Pohara-Ligar Bay area, Golden Bay: causes, distribution, future risks and mitigation options, GNS Science Consultancy Report 2012/305, GNS Science, Wellington, New Zealand, 2012.</p> <p>Welsh, A. and Davies, T.: Identification of alluvial fans susceptible to debris-flow hazards, <i>Landslides</i>, 8, 183–194, 2011.</p>
Section 2.1	Section 2.1. Much of this section would be better suited to the introduction.	Agreed. We have rearranged the text accordingly.
58	Table 1 does not summarize ARIs for different catchments. Please add the summary table and revise your in-text citation.	<p>We ask the reviewer to reconsider this point. For most catchments, we do not have ARIs. We have a few reports which estimate ARIs for several well-known life-threatening debris flow catchments in NZ, that is all. Instead, we invert the problem and say that if we assume a plausible ARI (100-500 years) does this result in an unacceptable risk to life? We use a threshold of 0.001 for annual RTL, but discussion in the NZ Geotechnical Society (2023) describes annual individual fatality rates of 0.0001--our analysis can easily accommodate different choices of threshold for RTL.</p> <p>Note that we do list the data for the four NZ catchments where we have expert assessment of ARIs.</p>
62	It is true that field evidence & topographic analysis can be costly to collect and process. However, I would argue that these are the best tools for developing specific hazards understanding & precise risk estimates. Your approach for risk assessment should not replace process-based assessment, but may be useful in prioritizing communities/residences for improved outreach & risk awareness.	<p>We certainly have no intention of supplanting the need for field work, modelling etc. Our purpose is simply to demonstrate the need for risk reduction—prioritisation would be the next step. At least in NZ, but we suspect elsewhere, the risk to life and property from debris flows is ignored by communities and their decision-makers. So even to get acknowledgement of the potential for a problem is an achievement—it is not a trivial task. We refer again to the quote from Jakob (2021) in the text from the paper.</p>

68-70	Consider “catchment gradient is associated with debris flow occurrence,” and reference other specific topographically based tools for landslide susceptibility (e.g., Montgomery et al., 1994; Dietrich et al., 2001).	Agreed, there are a broad range of methods for topographically based tools for assessing debris flow susceptibility, on both a regional and site-specific basis. A brief review of these has been included in the revised paper. However, we do not want to cover this point in depth. Our intention was to define the problem i.e. we can use geospatial methods to locate catchments with high debris flow susceptibility—but then, so what? Unless we can demonstrate unacceptable risk to life (or property) then communities and their governance organisations will not be motivated to investigate further. We believe we have made this point clear in the text of the paper.
70-71	That identifies catchments likely to produce debris flows, which is easily calculated for many catchments over large areas, even where topographic resolution is poor or computation is limited. Also it sounds like these values have already been calculated for large areas of NZ).	The authors have completed several regional-scale investigations using Melton’s R as a metric, as well as testing other methods e.g. Flow-R. However, these methods cover only limited areas of debris flow susceptible terrain in NZ see Bloomberg and D.J (2022), Welsh and Davies (2011).
96-97	I understand that you need to determine threshold risk values, but consider providing more context on how and why risk tolerance may vary, and why these values (10^{-3} - 10^{-4}) are appropriate according to Taig. et al. Here or in the discussion, you may want to acknowledge that “unacceptable” risk reflects the values & tolerances of individuals and communities.	We are reluctant to do this. Our objective was to describe simple methods for assessing debris flow risk to life according to a specific threshold. Our model can easily accommodate any change to this threshold—but discussion of an appropriate threshold is a big topic and is well covered by other published papers. We chose an individual threshold of 0.001 as this is commonly used in the literature.
Eqn 2	Are these standard abbreviations? I found them hard to follow (where does the “H” come from? Is PS:H a ratio? E stands for exposure?) Consider using simpler abbreviations or adding some	These variable names are the same or similar to the cited literature on risk to life e.g. Walker et al., 2007; Porter and Morgenstern, 2012; de Vilder et al., 2022. Since PH is the probability of a debris flow event, PS:H is the spatial (S) probability of an impact, given that the debris flow has occurred (H). We'd like to stick with this notation to ensure consistency with other published papers. We do explain these variables when they are introduced in the text, and in Table 2. We have adopted the specific version of this notation from Jakob

	description to help readers keep track of which parameter is which.	et al., 2012 to meet the editor's comments on notation. This may clarify that PS:H is a conditional probability, not a ratio.
132-134/147	I'm not sure I agree with this estimate. In my experience, very few debris flows impact the entire debris flow fan. While it is challenging to estimate the probability of avulsion, are there any estimates in the literature which might describe the distribution of areas, as a proportion of total fan area, that occur during a debris flow?	Agreed—however our analysis is for the individual or dwelling that is subject to the highest risk to life. In many cases, especially for smaller catchments (<500ha) associated with class 3 or 4 debris flows (Jakob, 2005) the dwelling is often located close to the apex of the fan—highest point, best view etc). Our aim is a simple analysis that can be applied to any debris flow catchment. Considering the effect of avulsion is beyond the scope of our paper.
165-167	It may be worth adding a section in the discussion on how further investigation could be used to refine generic estimates. Sediment volume calculations, for example, could be used to improve estimates of debris flow area and deposit depths for exposure calculations. Consider expanding on this statement and adding appropriate support from the literature.	OK, but for our level of analysis we would only seek to refine estimates of variable values to a limited extent. We believe the sequence is--demonstrate the possibility of a risk to life (and/or property). Then prioritise, using regional-scale mapping of debris flow susceptibility and potential assets at risk. Would not assessment of potential debris flow severity and exposure of assets would be best focussed on studies at the site or community level?
183-184	These values are lower than I would expect. Can you provide more detail about the data used to make these estimates? Considering that your estimates are intended to describe worst-case scenarios where whole debris flow fans are inundated, 0.1 seems far too low.	The data were published values, so we do not have access to the data. We do not explicitly aim to model "worst-case" scenarios with complete inundation of fans. These were just credible values from the literature, and we used them to demonstrate how our model works. As we note in the text, it would be desirable to come up with variable values that were estimated or calibrated against observational data.

208	I don't agree with this assumption, and assuming the worst-case scenario conflicts with your goal of best-estimate risk calculation.	Extension of our model from individual risk to life to a "societal" risk of multiple deaths was a weakness in our model. We have attempted to solve this by revising our analysis and limiting it to the individual or dwelling that is subject to the highest risk to life.
Figure 1	Figure 1. What is the value shown on the y-axis?	This is a relative likelihood that that the value of the x-variable (PH) would be equal to that PH value of a random sample for the population. It is a relative likelihood, so the actual values on the axis are not important.
Figure 2	Figure 2. Can you provide a clearer description of the populations shown in this figure? Are these real-world catchments or monte carlo-type simulations?	<p>The frequency distributions in Figs 1 and 2 are not generated from Monte-Carlo simulations. In Bayesian analysis, the "prior" parameters are based on existing knowledge e.g. expert opinion. We use three studies of catchments in NZ to set the "priors" based on expert assessment of likely ARIs. We assume a commonly used type of frequency distribution, the beta distribution. This has two "prior" parameters, which were chosen to correspond to a population where 95% of the population occurred within 1/500 and 1/100 (or 1/200) for the parameter PH (annual probability of a debris flow occurrence). These parameters can be re-evaluated based on subsequent observation. These are called "posteriors". In our case, we assumed that no life-threatening debris flows would be observed for 100 years. This "observation" did not result in big differences between prior and posterior parameters i.e. our assumed ARIs were consistent with zero observed debris flows over a long period (100 years). We have added extra text to explain these points.</p>
390	No field evidence is presented here. Please add description and summary of field evidence that you use to draw conclusions.	Agreed—the way this statement is made implies that we analysed data. The comment was more of an exploratory nature—deaths from debris flows in NZ are low, therefore if we are showing that RTL may be unacceptable in debris flow catchments with settlement, we need to reconcile these two pieces of information. We have rewritten the text to show that our comment is intended to raise an issue, rather than resolve it.

Reviewer 2		
Line	Reviewer comment	Response
	Requires major modifications, including more evidence to underpin some of the parameter assumptions as well as greater clarity about the purpose and key message of the manuscript.	We thank the reviewer for their comments. We emphasise that our paper proposes a way to demonstrate the possibility of risk to life in the absence of any information apart from regional-scale morphometric analysis, such as the Melton ratio. Many of the reviewer's comments regarding our assumptions are correct--but note that we aim to demonstrate the possibility of risk to life, where communities or their decision-makers perceive no risk. To do this easily and cheaply on a regional scale, we need to make "precautionary but realistic" assumptions. These assumptions therefore will err on the side of caution. If our analysis gains the attention of communities and their decision-makers, we are in a position to investigate in more detail.
	Further sensitivity analysis of the other key risk calculation parameters is needed to back up bullet point 4 of the conclusion. This sensitivity analysis may add to the strength of the argument for identifying the "window of non-recognition"	Agreed--this conclusion is not sufficiently supported by the preceding text. It is not a critical part of our argument; the simplest option is to delete it, which we have done.
	Throughout the manuscript, there needs to be clearer links to the wider debris flow and risk literature. Re-structuring the introduction, discussion and conclusion may more clearly focus on the key message around the methodological framework and end purpose of the information.	Agreed. We have made major changes to the structure of the paper and added many extra references accordingly.

	<p>How does your stated risk threshold (which is based on individual risk) relate to societal/group risk, as these risk thresholds are often developed separately? Can this be explored in more depth? Linked to this is providing justification for considering both individual and societal risk, rather than just one or the other. How may this influence risk managers decision-making?</p>	<p>Here is our understanding. From Strouth and McDougall(2020). "Individual risk is the probability that a specific individual will be killed by a landslide. This risk is often assessed for the individual most at risk within a landslide hazard zone or building and is expressed as the probability of death to an individual (PDI). Strouth and McDougall point out that societal/group risk is a more complex concept, but "in practice, at least for landslide risk management decisions in Western Canada, societal risk refers more narrowly to the relationship between the probability of, and number of, people killed." We used this definition of societal risk. On a specific fan impacted by a debris flow, the number of deaths will depend on the number of people who occupy that fan, which dwellings are impacted, whether individuals are present, and their vulnerabilities. The reviewers are correct; extending our analysis from individual risk to life to risk of multiple deaths requires knowledge of the variation amongst individuals in terms of these risk variables. We do not have this knowledge--the best we might be able to do is assume uniform values for all individuals (but see comment re PS:H below)</p> <p>Therefore we have amended our analysis to only apply for the individual or dwelling that is most at risk for a particular catchment.</p>
	<p>I was unconvinced by the assumption of the probability of spatial impact calculation. Is it possible to include within the sensitivity analysis an evaluation of this term, as it will likely have a big impact on the risk value. Can prior research such as Zubrycky et al 2021 provide distributions to evaluate within your Bayesian framework? This needs further links to the literature and explored more in the discussion. Is the Zubrycky et al., 2021 approach something that could be adopted in NZ?</p>	<p>To answer the easiest question—the research by Zubrycky et al. looks useful and relevant to NZ and likely many parts of the world. To answer the more difficult question--could the approach by Zubrycky et al be included in our framework?</p> <p>We argue that to do so negates the aim of our approach. Our revised analysis is for the individual or dwelling that is subject to the highest risk to life. In many cases, especially for smaller catchments (<500ha) associated with class 3 or 4 debris flows (Jakob, 2005) the dwelling is often located close to the apex of the fan—highest point, best view etc.</p> <p>Our aim is a simple analysis that can be applied to any debris flow catchment. Considering the effect of avulsion is beyond the scope of our paper. We think the research by Zubrycky et al. is a promising approach for more detailed investigations and modelling and we have referred to this and similar papers in our discussion.</p>

35	Reference for "Debris flows as an unrecognised and underappreciated hazard..." Why is that the case?	<p>This statement is from McSaveney et al., 2005. We suggest that this lack of recognition by the public is partly due to confusing terminology, with previous events referred to as "floods", "flash floods", or "slips" (McSaveney et al., 2005). However, awareness has grown in the NZ natural hazard community, and it is not fair or accurate to say that, currently, there is no awareness within that community. NZ natural hazard scientists and practitioners are increasingly aware of the hazards posed by debris flows. We have amended our text accordingly.</p> <p>The problem of public and political unawareness remains. Apart from the problem re terminology in media reporting, the other main reason is identified in the paper: "the long ARIs for these events create an illusory sense of security so that their risk to life is not recognised" and our paper addresses this.</p>
39	Line 39: Remove the colloquial term "landslips"	Agreed, in NZ, "shallow landslides" is the commonly used terminology and we will use this.
Section 2.1	Section 2.1: Move information about need for methodological framework to introduction.	Agreed. We have revised the text accordingly.
107	Change from "is necessary" to "may be necessary"	Agreed. We have revised the text accordingly.
165	Missing references to paragraph	We have added additional references in this section.
189	Feels like this belongs in the discussion?	Agreed. We have revised the text accordingly.
Table 1	Table 1: Doesn't match earlier description in text. Would be good to provide a separate overview table of published debris flow case-studies and associated ARI in NZ	<p>Apologies—but we have carefully reviewed the variables in Table 1 and they match the variables and their descriptions in Section 2.1. Can the reviewer give us a more specific idea of where Table 1 does not cross-reference to the earlier text? Secondly, we have added estimated ARIs for four case studies in NZ-- Matata, Thames, Queenstown and Ligar Bay. These are cited in the text (new Table 1) and used as the basis for our analysis. This reinforces the point about a lack of information and awareness, certainly in NZ.</p>
335	Is this because we can't always capture dynamic risk parameters (e.g., exposure and evacuation with heavy rainfall)? It would be good to highlight the need for dynamic risk models.	OK—but again, we want to focus on the initial demonstration of potential risk to life. The subsequent investigation will not get past first base unless we can demonstrate that there is a problem!