1 2	Comparing observed and modelled components of the Atlantic Meridional Overturning Circulation at 26°N.	
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12	<u>2 February 2024</u>	Deleted: 15 November 2023
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14	Abstract	
15	The Court 1 Model Internet in Decide (CMID) all and the file of the second state	
10	of the Atlantic Maridianal Overturning Circulation (AMOC) in alignets models. While CMIP	
10	Phase 6 models display a large spread in AMOC strength by a factor of three, the multi-model	
10	mean strength agrees reasonably well with observed estimates from RAPID ¹ but this does not	
20	hold for its various components. In CMIP6 the present-day AMOC is characterised by a lack	
21	of lower North Atlantic Deen Water (INADW), due to the small-scale of Greenland-Iceland-	
22	Scotland Ridge overflow and too much mixing. This is compensated by increased	
23	recirculation in the subtropical gyre and more Antarctic Bottom Water (AABW). Deep-water	
24	circulation is dominated by a distinct deep western boundary current (DWBC) with minor	
25	interior recirculation compared to observations. The future decline in the AMOC to 2100 of	
26	7Sv under a SSP5-8.5 scenario is associated with decreased northward western boundary	
27	current transport in combination with reduced southward flow of upper North Atlantic Deep	
28	Water (uNADW). In CMIP6, wind stress curl decreases with time by 14% so that the wind-	
29	driven thermocline recirculation in the subtropical gyre is reduced by 4 Sv (17%) by 2100.	
30	The reduction in western boundary current transport of 11Sv is more than the decrease in the	
31	wind-driven gyre transport indicating a decrease over time in the component of the Gulf	Deleted: sugges
32	Stream originating in the South Atlantic.	
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34	1. Introduction	
35	The Adaptic Marilianal Orientemine Circulation (AMOC) is the Adaptic and of the electron	
36	The Atlantic Meridional Overturning Circulation (AMOC) is the Atlantic part of the global	
3/	overturning circulation. I ne global overturning circulation, in which deep waters formed at	
38 20	night latitudes in the northern Atlantic and wedden Sea now equatorward, upwell, circulate	
10	freshwater, nutrients and CO2 throughout the global ocean. The AMOC includes North	
40	Atlantic Deep Water (NADW) formation in the subpolar and polar regions of the porthern	
42	Atlantic, southward flow of NADW in deep western boundary currents, wind-driven	
43	circulation in the subtropical and subpolar gyres and northward flow of upper waters notably	
44	in the Gulf Stream. Upwelling of NADW occurs principally outside of the North Atlantic.	
45	Our understanding of the strength, variability and structure of the AMOC has improved since	
46	the deployment of the RAPID ¹ array, which monitors the volume transport at 26°N since	

¹ RAPID is used here as shorthand for the RAPID-Meridional Overturning Circulation and Heatflux Array-Western Boundary Time Series at 26°N (Moat et al., 2022).

50 April 2004 (Moat et al., 2020). Additionally, these observations serve as invaluable reference data for the representation of the AMOC in coupled climate and Earth System models. The 51 most recent phase of the Coupled Model Intercomparison Project, CMIP Phase 6, allows us to 52 53 assess the representation of the AMOC in these models. The models project the AMOC strength will decline over the next century (Lee et al., 2021). Here we compare observed and 54 55 modeled components of the AMOC over the historical period 2004 to 2014 and then assess 56 how the ensemble-mean CMIP6 transport components change in a declining AMOC over the next century under SSP5-8.5 emission scenario. 57 58 The RAPID AMOC observations from 2004 to 2018 indicate that the AMOC has declined by 59 2.4 Sv, about 12%, from 18.3 Sv to 15.9 Sv (Bryden, 2021). The decline is primarily evident 60 in reduced southward transport of lower North Atlantic Deep Water (INADW) that is 61 balanced by slightly reduced Gulf Stream transport and more southward recirculation within 62 the subtropical gyre. In CMIP6 models, the AMOC declines by about 40% over the 21st 63 64 century (Weijer et al., 2020). Here we analyse 19 CMIP6 model projections in order to 65 identify which components lead to the AMOC decline, for clues as to how the AMOC may change within the continuing RAPID observational framework. 66 67 68 The Coupled Model Intercomparison Project (CMIP) is a comprehensive effort of modelling 69 centres around the world to improve our understanding about past, present and future changes 70 of the climate system (Eyring et al., 2016; O'Neill et al., 2016). Even though CMIP6 shows improvements compared to previous CMIP generations, model biases related to the AMOC 71 persist. These include a shallow bias to the deep cell, too much deep convection, and a too-72 73 small temperature difference between its upper and lower limbs. Additionally, CMIP6 74 models largely underestimate low-frequency variability of the AMOC and show large inter-75 model differences in their AMOC representation (Weijer et al., 2020). 76 77 The RAPID array monitors the AMOC volume transport at 26°N since April 2004 (Smeed et 78 al., 2018). The transport through the cross section is estimated by a decomposition of the 79 AMOC into 3 components: (1) transport through the Florida Straits, (2) Ekman surface 80 transport generated by zonal wind stress, and (3) density driven interior transport estimated from mooring measurements. The mid-ocean interior transport is further broken down into 81 thermocline recirculation (0-800m depth)), intermediate water transport (800-1100m), upper 82 83 North Atlantic Deep Water (1100-3000m), lower North Atlantic Deep Water (3000-5000m). The goal of this study is to gain insight into the cause of disagreement between CMIP6 84 85 models and RAPID data in terms of AMOC strength, structure and variability. We 86 decompose the modelled AMOC transport at 26°N from CMIP6 into the same transport components as measured by the RAPID array. We compare the CMIP6 transport components 87 88 with the observed RAPID components for the historical period 2004-2014. We then examine 89 the change of these components in CMIP6 under the SSP5-8.5 emission scenario from the historical period until 2100. 90 91

2. Data and Methods

Monthly averages of AMOC estimates from the RAPID array are compared to the historical simulations of 19 CMIP6 models. Note that only the overlapping period was investigated,
April 2004 – December 2014. Details of the 19 CMIP6 models are given in Table 1. The
SSP5-8.5 future projection from 2015 to 2100, is then used to investigate how the AMOC

98 may change in future projections. For each model, one ensemble member was used as

99 <u>defined in Table 1</u>.

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Model Modelling centre Horizontal resolution (*) Variant label Data reference historical Data reference SSP58S CAMS-CSMI-0 CAMS 1 x 1 r1i1p1f1 Rong (2019) Rong (2019) CAS-ESM2-0 CAS 1 x 1 r1i1p1f1 Chai (2020) Unknown (2018)
CAMS-CSMI-0 CAMS 1 x 1 r li1p1f1 Rong (2019) Rong (2019) CAS-ESM2-0 CAS 1 x 1 r li1p1f1 Chai (2020) Unknown (2018)
CAS-ESM2-0 CAS 1 x 1 r11p1f1 Chai (2020) Unknown (2018)
CE5M2-WACCM NCAR 1 x 1 r11p1f1 Danabasoglu (2019) Danabasoglu (2019)
CIESM THU 1 x 1 r1itp1ft Huang (2019) Huang (2020)
CMCC-CM2-5R5 QMCC 1 x 1 r1i1p1f1 Lovato and Peano (2020) Lovato and Peano (2020)
CMCC-ESM2 CMCC 1 x 1 r1(ip1f1 Lovato et al. (2021) Lovato et al. (2021)
CNRM-CM6-1 CNRM-CERFACS 1 x 1 r1itp12 Voldoire (2019) Voldoire (2019)
CNRM-ESM2-1 CNRM-CERFACS 1 x 1 r2l1p12 Seferian (2018) -
CanESM5 CCCma 1 x 1 r111p1f1 Swart et al. (2019) Swart et al. (2019)
EC-Earth 3 EC-Earth Consortium 1 x 1 r11p1f1 EC-Earth Consortium (2021) EC-Earth Consortium (2019)
FIO-ESM-2-0 FIO-QLNM 1 x 1 r111p1f1 Song et al. (2019) Song et al. (2019)
HadGEM3-GC31-LL MOHC 1 x 1 r111p1f3 Ridiey et al. (2019) Good (2020)
HadGEM3-GC31-MM MOHC 0.25 x 0.25 r111p1f3 Ridley et al. (2019) Ridley et al. (2019)
IPSL-CM6A-IR IPSL 1 x 1 r111p1f1 Boucher et al. (2021) Boucher et al. (2019)
MPI-ESM1-2+HR MPI 0.4 x 0.4 r111p1f1 Jungclaus et al. (2019) Schupfner et al. (2019)
MPI-ESM1-2-LR MPI 1.5 x 1.5 r111p1f1 Wieners et al. (2019) Wieners et al. (2019)
MRI-ESM2-0 MRI 1 x 0.5 r111p1f1 Yukimoto et al. (2019) Yukimoto et al. (2019)
NE5M3 NUIST 1 x 1 r1i1p1f1 Cao and Wang (2019) Cao (2019)
UKESM1-0-LL MOHC 1 x 1 r1i1p1f2 Tang et al. (2019) Good et al. (2019)

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Table 1. Metadata and references for the models analysed in this study. The choice of 103 models is motivated by the fact that historical and SSP85 date is available for all used 104 variables including meridional velocity, zonal wind stress, salinity and temperature. In 105 addition only models that use horizontal depth values are included. The choice of ensemble 106 member is indicated and the preferered ensemble member is realisation 1, initialisation 1, 107 physics 1 and forcing 1, indicated by r1i1p1f1. For some models forcing 1 was not available 108 so a different ensemble member was chosen making sure that the forcing version (v6.2.0) is 109 the same. References are from the Earth System Grid Federation. 110

111 A cross section between Florida and the African continent at the latitude closest to 26°N was selected for each model. The net transport through the section, approximately -1 Sv for each 112 model due to the Bering Strait throughflow, was removed before computing the AMOC 113 114 components from meridional velocities as follows:

Florida Straits Transport (FS): CMIP6 models do not resolve the Bahama Islands and as a 116 result the Florida Straits proper. For this reason the following definition is used. The 117 118 boundary between Florida Straits (FS) transport and mid-ocean transport is defined as the 119 longitude where the depth-averaged transport (from the surface down to the depth of 120 maximum overturning) changes from positive (northward) to negative (southward). This 121 definition thus identifies the FS transport as the western boundary current, thereby including the transport by the Antilles Current, which in CMIP6 models cannot be separated from the 122 123 Florida Current. 124 125

For each model, we have made the following choices to define Thermocline Recirculation, 126 Upper North Atlantic Deep Water, Lower North Atlantic Deep Water and Antarctic Bottom 127 Water. The decision was to use potential temperature to determine the boundaries between 128 upper and lower North Atlantic Deep Water in the CMIP6 models. This choice was 129 motivated by the indistinct upper boundary (in depth) of Lower North Atlantic Deep Water in 130 the models.

132 Thermocline Recirculation (tr): East of FS and from the surface to down to the depth of 133 horizontally averaged potential temperature of 8°C.

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Table 1: Metadata and references of the models analysed in this stu Model Modelling centre Horizontal re CAMS-CSM1-0 CAMS 1 x CAS-ESM2-0 CAS 1 x CESM2-WACCM NCAR 1 x CIESM THU 1 x CMCC-CM2-SR5 смсс 1 x CMCC-ESM2 смсс 1 x CNRM-CM6-1 CNRM-CERFACS 1 x CNRM-ESM2-1 CNRM-CERFACS 1 x CanESM5 CCCma 1 x EC-Earth3 EC-Earth Consortiun 1 x FIO-ESM-2-0 FIO-QLNM 1 x HadGEM3-GC31-LL монс 1 x HadGEM3-GC31-MM монс 0.25 x IPSL-CM6A-LR IPSL 1 x MPI-ESM1-2-HR MPI 0.4 x MPI-ESM1-2-LR MPI 1.5 x MRI-ESM2-0 MRI 1 x 0 NESM3 NUIST 1 x UKESM1-0-LL монс 1 x

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138 Intermediate Waters (iw): East of FS and between the depth of horizontally averaged potential 139 temperature of 8°C and depth of maximum overturning. 140 Upper North Atlantic Deep Water (uNADW): Between the depth of maximum overturning 141 142 and the depth of horizontally averaged potential temperature of 3°C. 143 Lower North Atlantic Deep Water (INADW): Between the depth of horizontally averaged 144 145 potential temperature of 3°C and the depth where horizontally-averaged transport changes 146 from negative to positive. 147 148 Antarctic Bottom Water (AABW): Between the depth where horizontally-averaged transport 149 changes from negative to positive and the bottom. 150 151 Ekman (ek): Near surface ageostrophic transport estimated from the zonal wind stress. 152

Multi-model means (MMM) for each component over the 19 models are then made with their
standard deviation.

3. Results

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Figure 1 compares the RAPID observations of the AMOC transport components with the 158 CMIP6 components for the historical period 2004-2014. For the historical period (2004-159 2014) the MMM CMIP6 AMOC underestimates the observed AMOC transport by 2.2 Sv 160 161 (Table 2). The underestimation of AMOC strength in the CMIP6 models is likely related to 162 the reduced transport of lower NADW, due to the small scale of Greenland-Iceland-Scotland Ridge overflow compared to the resolution of models and excessive mixing at this location. In 163 164 a study of deep waters in CMIP6, Heuzé (2021) noted that the models did form water masses similar in properties to INADW in the Nordic Seas, but none of the deep waters made it over 165 the ridge and into the Iceland or Irminger basins. In the models, this lack of INADW is 166 167 partially compensated by increased southward flow of upper NADW so the total southward flow of deep water in CMIP6 is comparable to that observed by RAPID. The variability of 168 169 NADW is underestimated, most likely due to the inability of models to reproduce lower 170 NADW overflow. Deep-water circulation in models is dominated by a distinct DWBC with 171 minor interior recirculation compared with observations. CMIP6 MMM Florida Straits (FS) transport (37.4 Sv) is larger than observed Florida Straits transport (31.3 Sv). The relatively 172 173 coarse-resolution models do not resolve the narrow Florida Straits, and the model western boundary current includes the narrow Antilles Current east of the Bahamas as well as the Gulf 174 175 Stream flow through Florida Straits. The Antilles Current has maximum northward velocity at 176 360m depth and the core of the Current is within 50 km of the Bahama Islands. Recent estimates of Antilles Current transport are about 5 Sv (Meinen et al., 2019) and adding this 177 178 transport to the observed Florida Straits transport suggests that the observed (36.3 Sv) and 179 modeled (37.4 Sv) western boundary current transports are similar. The low-frequency 180 variability of Florida Straits transport is largely overestimated in CMIP6 models and we hypothesize that the inclusion of the Antilles Current in this component in models may be a 181 182 significant contributor to this variability as the observed Antilles Current transport exhibits rms variability of 7.5, Sv that is not correlated with Florida Straits transport variability. The 183 184 MMM thermocline recirculation (tr) in CMIP6 models (-26.2 Sv) is larger than observed by the RAPID array (-18.6 Sv) though again this may be due to issues on how the Antilles 185

186 Current transport is accounted in the observations and in the models. RAPID estimates

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thermocline recirculation to be the overall southward flow <u>above 800m depth</u> between the

189 Bahamas and Africa and this overall flow includes both the Antilles Current transport and the

- 190 mid-ocean thermocline recirculation associated with the wind-driven subtropical gyre. If we
- 191 separate out the northward Antilles Current transport of 5 Sv, then the mid-ocean thermocline
- 192 recirculation for RAPID would be -23.6 Sv (Table 2) in more reasonable agreement with the
- CMIP6 MMM thermocline circulation of -26.2 Sv. Overall, the MMM circulation in CMIP6
 models for the historical period reasonably represents the observed circulation in RAPID
- except for the underestimated INADW transport associated with issues of model
- 196 representation of flows over ridges.
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Figure 1. Historical time series for RAPID data (left) and multi-model mean CMIP6 data

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)	(right). Shaded areas indicate one standard deviation of the ensemble spread.						
		CMIP6 Average					
	Rap	id (2004-14)	Historical (2004-14)	2090-2100	Decline		
	Upper Water						
	Florida Straits (FS)	31.3					
	Ekman	3.6	3.5	3.4	0.1 (1%)		
	Intermediate Water (IW)	0.4					
	Thermocline Recirculation (TR)	-18.6					
	AMOC=FS+Ekman+IW+TR	16.7					
	Antilles Current (AC)	5					
	Western Boundary Current (FS+AC)	36.3	37.4	26.4	11 (30%)		
	Thermocline Recirculation +AC	-23.6					
	Model Thermocline Recirculation		-26.2	-21.8	4.4 (17%)		
	Western Boundary Current+Ekman+M	Aodel TR	14.7	8.0	6.7 (45%)		
	Deep Water						
	uNADW	-11.9	-14.9	-9.9	5.0 (34%)		
	INADW	-5.9	-1.6	-0.2	1.4 (85%)		
	AABW	1.0	1.9	2.1	-0.2 (9%)		
	AMOC=uNADW+lNADW+AABW	-16.8	-14.6	-8.0	6.6 (45%)		

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- 202 <u>Table 2. Components of the Atlantic Meridional Overturning Circulation at 26°N. Model</u>
- 203 western boundary current includes both Florida Straits and Antilles Current transports. The
- 204 <u>observed Antilles Current (AC) transport of 5 Sv is a rounded value from Meinen et al.</u>
- 205 (2019)'s mean transport of 4.7±7.5 Sv. For standard RAPID analyses, thermocline
- 206 recirculation includes Antilles Current transport.

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Table 2. Components of the Atlantic Meridional O

	Rapid (2004-14)
Upper Water	
Florida Straits (FS)	31.3
Ekman	3.6
Intermediate Water (IW)	0.4
Thermocline Recirculation (TR)	-18.6
AMOC=FS+Ekman+IW+TR	16.7
Antilles Current (AC)	5
Western Boundary Current (FS+A	C) 36.3
Thermocline Recirculation +AC	-23.6
Model Thermocline Recirculation	
Western Boundary Current+Ekma	an+Model TR
Deep Water	
uNADW	-11.9
INADW	-5.9
AABW	1.0
AMOC=uNADW+lNADW+AABW	N -16.8





Figure 2. Multi-model mean timeseries of each component under SSP5-8.5, Shaded areas
illustrate one standard deviation of the inter-model spread. Percentages show the decline
relative to the historical period.

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Model name	Historical mean (Sv)	2090-2100 mean (Sv)	Change (Sv)	Change (%)
CAMS-CSM1-0	12.4	8.9	-3.5	-28
CAS-ESM2-0	18.4	13.7	-4.7	-26
CESM2-WACCM	17.9	6.8	-11.1	-62
CIESM	11.4	4	-7.4	-65
CMCC-CM2-SR5	14.2	9.2	-5.0	-35
CMCC-ESM2	13.3	9.3	-4.0	-30
CNRM-CM6-1	15.7	6.9	-8.8	-56
CNRM-ESM2-1	15.3			
CanESM5	11.4	5.5	-5.9	-52
EC-Earth3	16.2	10.7	-5.5	-34
FIO-ESM-2-0	17.7	10.7	-7.0	-39
HadGEM3-GC31-LL	15.2	7.9	-7.3	-48
HadGEM3-GC31-MM	15.4	6.5	-8.9	-58
IPSL-CM6A-LR	11.6	6.5	-5.1	-44
MPI-ESM1-2-HR	14.8	8.6	-6.2	-42
MPI-ESM1-2-LR	16.6	11.4	-5.2	-31
MRI-ESM2-0	15.4	5	-10.4	-67
NESM3	9.0	5	-4.0	-45
UKESM1-0-LL	15.6	7.8	-7.8	-50

²⁴⁰ Table 3. Values of the total AMOC for every model. Shown are the historical mean values,

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244 To examine changes in wind-driven circulation over the 21st century in the subtropical North 245 Atlantic, we examined the mean wind-stress curl along the 26°N section for the historical and 246 SSP5-8.5 period. The values are negative (i.e. clockwise rotation), which results in 247 southward mid-ocean Sverdrup transport. Since the upper level gyre circulation is driven by 248 wind-stress curl (DiNezio et al., 2009; Zhao and Johns, 2014), we expect a decrease of this 249 driver to affect both Florida Straits transport and thermocline recirculation. Averaged over the model projections, wind stress curl decreases by 14% from about 6 x 10⁻⁸m s⁻². On the basis 250 251 of Sverdrup dynamics, we expect this change in wind stress curl will reduce the thermocline 252 recirculation at 26°N and indeed the thermocline recirculation does decrease by 4.4 Sv or 253 17% over the 21st century. We conclude that the reduction of thermocline recirculation is 254 almost entirely caused by a decline in wind-stress curl and the decline in the directly wind-255 driven component of the AMOC is exactly reflected in the 17% decline of the Thermocline 256 Recirculation (tr in Figure 2). On the basis of western intensification theory (Stommel, 1948), 257 the decrease in wind-stress curl should also lead to a decrease in western boundary current 258 transport by a similar amount. Thus we can explain a decrease in western boundary current 259 transport of 4.4 Sv over the 21st century as being due to changes in the wind forcing. 260 261 The change in the western boundary current transport of 11 Sv in the CMIP projections is due 262 to a reduction in the wind-driven component by 4.4 Sv and to a reduction in the component of 263 the Gulf Stream flow originating from the South Atlantic of 6.6 Sv. The overall 6.6 Sv 264 reduction in the northward flow in the upper waters is then compensated by a reduction in 265 southward flow of the deep waters. In CMIP6, the reduction in the southward flow of deep water is almost entirely due to a decreased DWBC transport of uNADW over the period 266 267 2015-2100. Hence the decline in the thermohaline component is reflected in the 34% decline 268 in uNADW transport (uNADW in Figure 2). Overall, the projected AMOC reduction over the 269 21st century in CMIP6 is due to a reduction in the thermohaline circulation where there is less

270 northward transport of upper waters principally in the western boundary current across 26°N

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^{241 2090-2100} mean values, absolute change and relative change. Changes are relative to thehistorical period.

and less southward deep water transport in the deep western boundary current.

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278 **4. Discussion** 279

280 There is much interest in whether the AMOC will decline over the 21st century. Recent 281 analyses of historical observations using Bayesian methods have concluded that the Gulf 282 Stream has weakened by about 1 Sv over the past 40 years (Piecuch and Beal, 2023) and that 283 the AMOC will decline markedly over the next 50 years (Ditlevsen and Ditlevsen, 2023). 284 These studies have generated great media interest. Here we use CMIP6 forward model 285 projections under expected climate forcing (SSP5-8.5) to assess what state-of-the-art coupled 286 climate models 'predict' for the AMOC over the 21st century. McCarthy and Caesar (2023) have argued that models like CMIP6 have not been able to simulate large AMOC variations 287 288 in the paleo record and hence should not be relied upon to generate accurate projections of 289 future AMOC. Comparisons between model projections and observed circulation variability 290 like those presented above do provide an assessment of the models ability to reliably project the future course of the AMOC. CMIP6 models project declines in both wind-driven and 291 292 thermohaline components of the AMOC out to 2100. Comparing these projections with 293 ongoing observations like RAPID then provides a reality check on the ability of present 294 models to define future climate change. 295

Over the SSP5-8.5 period (2015-2100) in CMIP6 projections, we find declines in the western 296 297 boundary current transport, thermocline recirculation and NADW transport. Decreased thermocline recirculation is related to a decline in wind stress curl along the section and this 298 299 decline is also expected to contribute to the decline in Gulf Stream transport. But the decline in western boundary current transport in CMIP6 models is substantially greater than the 300 decline in wind stress curl and accompanying thermocline recirculation. Therefore, for the 301 302 upper water circulation the CMIP6 decline in the AMOC is mostly caused by a decrease in 303 the component of the western boundary current associated with the thermohaline circulation. 304 For the lower water circulation, the decline in southward transport over the SSP5-8.5 period is associated with reduced uNADW transport. The overall reduction in southward deep water 305 transport suggests a decline in NADW formation. 306 307

In a similar study, Asbjornsen and Arthun (2023) examined future changes in the AMOC
using 14 CMIP6 models and found a weakening AMOC by 8.5 Sv over the coming century.
For their ensemble, the Gulf Stream weakened by 33% or 11.2 Sv, 3.7 Sv of which was due to
change in wind stress, and the Deep Western Boundary Current transport weakened by 8.5
Sv. As noted above, the CMIP6 projections are consistent in projecting a decline in the
AMOC this century (Table 3), but the exact size of the AMOC reduction depends on which
models are used for the study.

Because the AMOC is responsible for most of the northward heat transport in the Atlantic
Ocean (Johns et al., 2011; Johns et al., 2023), CMIP6 model projections also exhibit a
decrease in northward heat transport at 26°N over the 2015-2100 time period (Mecking and
Drijfhout, 2023). The northward ocean heat transport across 26°N decreases by an average of
0.3 PW for the SSP5-8.5 scenario and this represents a 30% decline from the historical value
of 1.0 PW.

The decline in the thermohaline circulation at 26°N implies that the overturning circulation south of 26°N, that is in the global circulation outside the North Atlantic, has also changed. 325 The extra-Atlantic circulation converts deep water into upper and intermediate waters so that 326 the southward deep water flow across 26°N and out of the North Atlantic must ultimately be 327 converted within the global ocean into upper and intermediate waters that flow back into the North Atlantic and northward across 26°N. The decline in the North Atlantic thermohaline 328 circulation at 26°N suggests that this global-scale overturning circulation must also have 329 changed. Baker et al (2023) have explored how 2 mechanisms converting deep water into 330 331 upper water south of 26°N change within CMIP6 simulations. The 2 mechanisms considered 332 are Southern Ocean upwelling associated with eastward wind stress around Antarctica 333 (Toggweiler and Samuels, 1993) and Indo-Pacific diffusive upwelling associated with deep 334 interior mixing (Munk, 1966). Baker et al. found that the wind stress around Antarctica did 335 not decline enough to account for a reduced 6 Sv upwelling of deep water, in fact there 336 appeared to be a small increase in Southern Ocean wind stress and upwelling. Instead they found evidence in the CMIP6 projections that the interior Indo-Pacific upwelling declined 337 enough to account for reduced conversion of deep waters into thermocline waters. They 338 attributed such decline to the global warming that increases stratification (Li et al., 2020) and 339 340 inhibits vertical mixing and associated upwelling. 341

342 Overall, the Atlantic and global overturning circulations appear to have declined in CMIP6 343 projections from 2015 to 2100. The manifestation of these declines at 26°N include a 344 reduction in the southward transport of NADW and a compensating reduction in the 345 northward flow of upper and thermocline waters through Florida Straits. The reduction in southward deep water transport in CMIP6 is linked to a lack of INADW formed in the Nordic 346 347 Seas flowing out over the Greenland-Iceland-Scotland Ridge into the northern Atlantic (Heuzé, 2021); and the reduction in northward flow of upper waters is linked to a decrease in 348 349 diffusive upwelling in the Indo-Pacific related to increased stratification due to global warming (Li et al., 2020; Baker et al., 2023). The ability of coupled climate models to 350 351 realistically include these critical processes of deep water formation, mixing in ridge 352 overflows and mid-ocean diffusive upwelling for future projections of ocean circulation 353 should be carefully assessed. In particular, the representation of deep water formation 354 in coupled climate models could be examined in comparison with observed production of 355 deep water. Implementing mixing parameterisations for overflows (Holt et al., 2017) in coupled climate models could be assessed for their effectiveness in allowing the southward 356 transport of INADW into and through the North Atlantic. And coupled climate models could 357 358 be examined for their parameterisations of diffusive mixing and upwelling, testing how 359 different parameterisations affect the global ocean overturning circulation over century time 360 scales. 361

In terms of observations, our results suggest that the ongoing RAPID project should 362 363 separately measure the Antilles Current and add it to Florida Straits transport for a true 364 measure of western boundary current transport for comparison with modelled transport components. And the Antilles Current transport should be separated from the net mid-ocean 365 southward flow across 26N in the upper 800m that RAPID labels thermocline recirculation so 366 367 as to identify the actual mid-ocean thermocline recirculation associated with the wind stress 368 curl. By separately estimating the Antilles Current transport contribution, the RAPID project could then provide well-defined estimates for the wind-driven and thermohaline contributions 369 370 to the AMOC at 26°N. 371

372 Code Availability

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374 The code used to obtain the results of this study and a file containing metadata of the models

375 is freely available on GitHub: https://github.com/jordibeunk/MSc Thesis.git

377 **Data Availability**

376

- 378 RAPID data and notes are freely available at
- 379 https://rapid.ac.uk/rapidmoc/rapid_data/datadl.php
- 880 19 CMIP6 models are used. CMIP6 data was accessed and analysed using the super-data-
- B81 cluster JASMIN (Lawerence et al. 2013). The choice of these models is motivated by the fact
- B82 that both historical (2004-2015) data and future (2015-2100) projections under Shared
- 383 Socioeconomic Pathway 5-8.5 are available for all used variables. The model data has been accessed through the Centre for Environmental Data Analysis (CEDA) archive 384
- https://data.ceda.ac.uk 385

Author Contributions 386 387

- 388 This work is based on an MSc thesis by Jordi Beunk at Utrecht University. Jennifer Mecking,
- B89 Sybren Drijfhout and Harry Bryden designed the Masters project. Sybren Drijfhout and
- Wilco Hazeleger identified the student and supervised the project in Utrecht while Mecking 390
- 391 and Bryden provided advice during the project and write-up of the thesis. After finishing the
- thesis, Jordi Beunk initially indicated that he did not wish to write up the results for 892
- 893 publication. Harry Bryden prepared a draft for this paper based on Beunk's thesis. Drijfhout, 894 Hazeleger and Mecking then edited the draft and all authors added elements of discussion
- 895 related to recent papers based on CMIP6 results. Beunk at a late stage indicated he would like
- 896 to participate in publishing this work and all authors contributed to revising the work in
- 897 response to Referee comments. 398

399 **Competing interests**

400 401

The contact author declares that none of the authors has any competing interests 402

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- 412 NER/T/S/2002/00481 and he has continued to carry out analyses involving the ongoing Rapid
- 413 observations following formal retirement in 2011. Drijfhout and Mecking have been funded
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- ocean and atmosphere (WISHBONE) grant NE/T0133478/1. 415
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