

# 1 Interactions between trade-wind clouds and local forcings 2 over the Great Barrier Reef: A case study using convection- 3 permitting simulations

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12 **Abstract.** Trade-wind clouds are ubiquitous across the subtropical oceans, including the Great Barrier Reef (GBR),  
13 playing an important role in modulating the regional energy budget. These shallow clouds, however, are by their  
14 nature sensitive to perturbations in both their thermodynamic environment and microphysical background. In this  
15 study, we employ the Weather Research and Forecasting (WRF) model with a convection-permitting  
16 configuration at 1 km resolution to examine the sensitivity of the trade-wind clouds to different local forcings  
17 over the GBR. A range of local forcings including coastal topography, sea surface temperature (SST), and local  
18 aerosol loading is examined.

19 This study shows a strong response of cloud fraction and accumulated precipitation to orographic forcing both  
20 over the mountains and upwind over the GBR. Orographic lifting, low-level convergence and lower troposphere  
21 stability are found to be crucial in explaining the cloud and precipitation features over the coastal mountains  
22 downwind of the GBR. However, clouds over the upwind ocean are more strongly constrained by the trade wind  
23 inversion, whose properties are, in part, regulated by the coastal topography. On the scales considered in this study,  
24 the warm cloud fraction and the ensuing precipitation over the GBR show only a small response to the local SST  
25 forcing, with this response being tied to the surface flux and lower troposphere stability. Cloud microphysical  
26 properties, including cloud droplet number concentration, liquid water path, and precipitation are sensitive to the  
27 changes in atmospheric aerosol population over the GBR. While cloud fraction shows little responses, a slight  
28 deepening of the simulated clouds is evident over the upwind region in correspondence to the increased aerosol  
29 number concentration. A downwind effect of aerosol loading on simulated cloud and precipitation properties is  
30 further noted.

## 31 **1 Introduction**

32 Trade wind cumuli are ubiquitous across the subtropical oceans (Warren et al., 1988; Norris, 1998; Eastman et al.,  
33 2011; Boucher et al., 2013; Rauber et al., 2007), including the Great Barrier Reef (GBR) (Zhao et al., 2022).  
34 Despite their limited vertical and horizontal extent, these clouds play a fundamental role in maintaining the  
35 thermodynamic budget of the lower troposphere (Chen et al., 2000). These clouds reflect a significant fraction of  
36 incoming solar radiation and emit long-wave radiation at relatively high temperature, and thus exert a net cooling  
37 effect on the earth atmosphere system (Mumby et al., 2001; Jones et al., 2017). Globally, these clouds help govern  
38 earth's energy budget, but are known to be a leading source of uncertainty in future climate projections (Boucher  
39 et al., 2013).

40 The GBR has become increasingly threatened by thermal coral bleaching events (CBEs) over the past decade  
41 (Hughes et al., 2017; Stuart-Smith et al., 2018). Recent research has also found that local-scale cloud cover helps  
42 regulate the ocean temperature along the GBR with anomalies in the cloud fraction having been directly linked to  
43 thermal CBEs (Zhao et al., 2021; Leahy et al., 2013). However, while the large-scale circulation has a fundamental  
44 influence on shallow cloud formation, these cloud systems by their nature are sensitive to perturbations in both  
45 their thermodynamic environment and microphysical background (Stevens and Brenguier, 2009; Rauber et al.,  
46 2007). It is, therefore, important to understand the sensitivity of these low clouds in response to different local  
47 forcings.

48 The GBR contains the world's largest complex collection of coral reefs. It has been hypothesised that the coral  
49 reef emissions of dimethyl sulfide (DMS) may be an important contributor to the regional atmospheric aerosol  
50 loading (Cropp et al., 2018). Any perturbation to the aerosol population could potentially affect the cloud  
51 properties and thus the radiative forcing (Lohmann and Feichter, 2005), for instance through a Twomey effect  
52 (Twomey, 1997). It has further been hypothesized that the aerosol loading can also affect the precipitation  
53 efficiency of these clouds, and thus their lifetime (Cropp et al., 2018; Fischer and Jones, 2012; Deschaseaux et al.,  
54 2016; Jones, 2015) through an Albrecht effect (Albrecht, 1989).

55 Over the GBR, a recent climatology study by Zhao et al. (2022) revealed little to no difference in low-level cloud  
56 properties between the open ocean (reef-free region) and the coral reef region, using long-term satellite datasets.  
57 These results suggest that low clouds over the GBR do not show a measurable response to the reef-related  
58 microphysical perturbations, at least using spaceborne observations. However, subtle signals may be obscured or  
59 diminished when averaged over extensive periods in long-term climatological analyses. While a very small natural  
60 contribution to the cloud condensation nuclei (CCN) population from coral derived DMS was noted over the GBR  
61 by either global (Fiddes et al., 2021) or regional scale (Fiddes et al., 2022; Jackson et al., 2022) simulation studies,  
62 broader impacts of aerosol on cloud and precipitation processes over the GBR remain unquantified. For example,  
63 anthropogenic emissions are found to be important over the GBR in regard to modulating the influence from coral-  
64 reef-derived aerosol on local aerosol burdens. In addition, a higher temporal resolution analysis including the  
65 diurnal cycle of these low-level clouds may be critical in understanding their effect on the radiation budget (Fiddes  
66 et al., 2022, Fiddes, 2020). It is, therefore, appropriate to employ high-resolution convection-permitting modelling  
67 as an investigation tool to elucidate the full life cycle of these clouds, the development of the precipitation and  
68 their response to any perturbations in the aerosol loading (Colle et al., 2005; Smith et al., 2015).

69 Variations in Sea Surface Temperature (SST), from the shallow water area off the coast to the deeper open ocean  
70 and from low to high latitudes over the GBR, could also lead to differences in cloud properties, especially for  
71 boundary layer clouds (Crook 1996). SST directly contributes to the thermodynamic conditions, which modulate  
72 the sensible and latent heat fluxes, and, consequently, cloud properties such as cloud cover, cloud top height and  
73 cloud base (Bony et al., 2004). Significant positive SST anomalies are known to be a key driver of severe thermal  
74 coral bleaching periods across the GBR (Berkelmans et al., 2004; Hughes et al., 2017). These large SST anomalies,  
75 which could be prevalent for a few months and may spike during periods of weak winds (Filipiak et al., 2012;  
76 Gentemann et al., 2003; Zhang et al., 2016), are hence expected to produce a strong local forcing that could change  
77 the local thermodynamic conditions.

78 In addition to the aerosol and SST variations, clouds over the GBR can experience other local forcing mechanisms  
79 unique to this region. For example, orographic forcing could potentially be important when the south easterly  
80 trade winds blow clouds across the Queensland coast and encounter the Great Dividing Range (Houze, 2012).  
81 The Wet Tropics of Queensland stretches along the northeast coast of Australia for around 450 km roughly 15-19  
82 °S (between the towns of Cairns and Townsville), where significant topography of ~1000m with a peak height of  
83 1612 m at Mount Bartle Frere (Sumner and Bonell, 1986) is present. Pronounced precipitation enhancement over  
84 the windward slopes of the mountain barrier (Roe, 2005) is commonly observed. The mean annual accumulated  
85 rainfall over this large area is among the greatest in Australia (e.g. Bonell and Gilmour, 1980), with the Bellenden  
86 Ker Top station receiving over 8000 mm of annual precipitation (Herwitz, 1986) on average. Zhao et al. (2022)  
87 found significant orographic enhancement of low-level clouds not only over the Wet Tropics, but also over the  
88 upwind ocean extending partially over the GBR. It is therefore of interest to examine any upwind effect of  
89 orographic enhancement on shallow cloud and precipitation through high-resolution numerical simulations, where  
90 interactions of local variability associated with topography and coastal processes are better resolved.

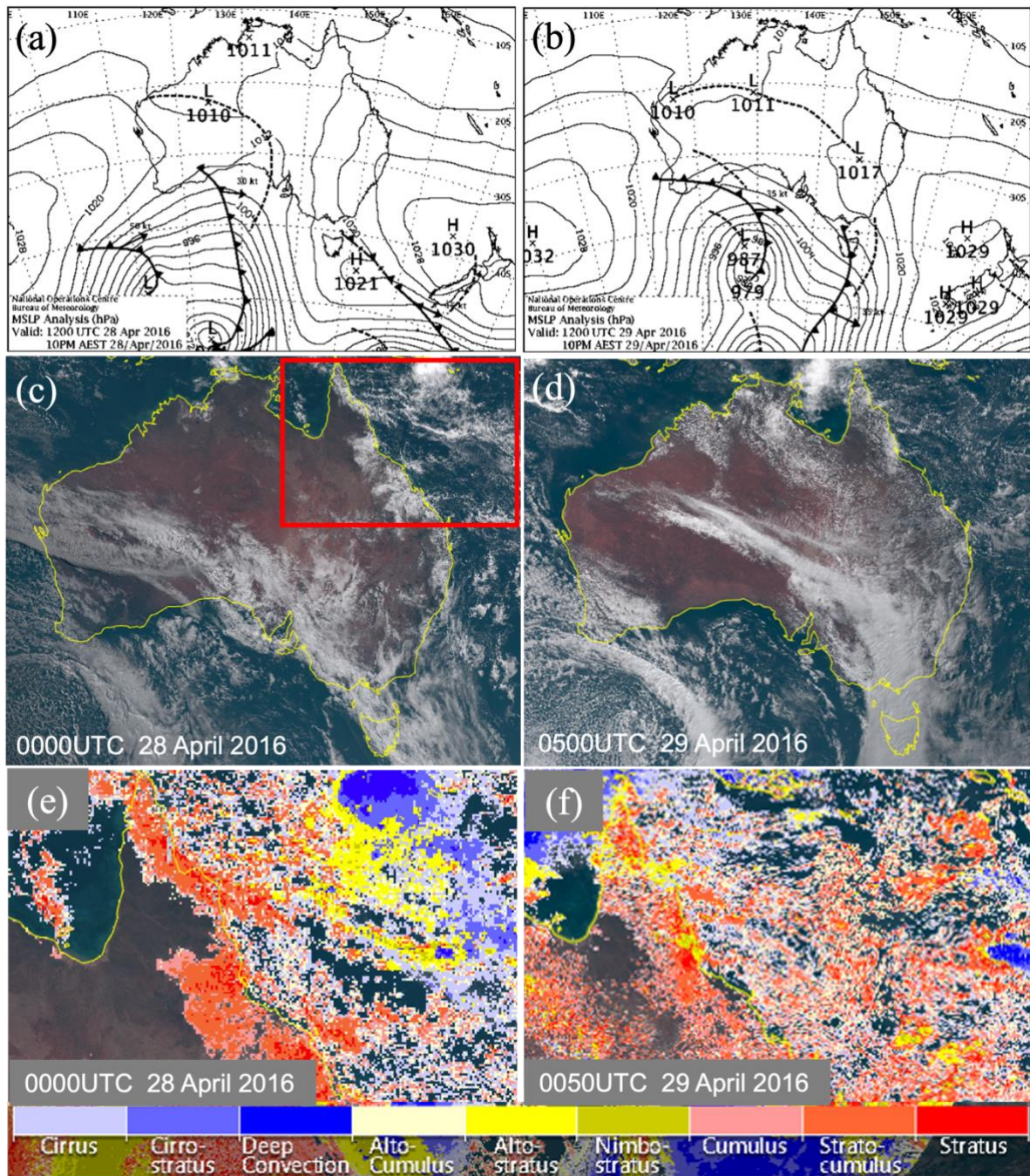
91 In this paper, we undertake a case study using a series of simulations to explore the sensitivity of trade wind  
92 cumulus over the GBR to these different local forcings. In particular, we seek to address three scientific questions:  
93 (a) How does the topography of the Great Dividing Range affect the shallow clouds and precipitation over the  
94 Wet Tropics including the GBR? (b) Is there any evidence of changes in cloud and precipitation properties in  
95 response to SST variations across the GBR? (c) How do the shallow cloud and precipitation properties respond to  
96 enhanced local aerosol loading, both over the GBR and downwind over the coast of Queensland? Unlike the study  
97 of Fiddes et al. (2021) and Fiddes et al. (2022), this study does not aim to test the effects of DMS directly. Rather,  
98 it focuses on understanding how strongly, if at all, cloud and precipitation properties respond to changes in the  
99 atmospheric aerosol number concentration related to surface emissions. This analysis is also relevant to  
100 understanding the integrated effects of potential weather and climate interventions, such as marine cloud  
101 brightening, in the heat-sensitive environment of the GBR and its adjacent communities.

102 To address these questions, a range of sensitivity experiments is conducted using convection-permitting numerical  
103 simulations. This paper is divided into five sections as follows: Section 2 gives a brief description of the  
104 background climate and the meteorological conditions of the region, Section 3 details the data and methods used  
105 in this study. The main results and discussion are presented in Section 4 and Section 5. Section 6, finally,  
106 summarizes the results and provides prospects for future research.

107 **2 29 April 2016 Case Study**

108 The GBR is characterized by a warmer than average monthly sea surface temperature during April 2016 when a  
109 thermal coral bleaching event was reported (Zhao et al., 2021). An SST anomaly of over 1°C is identified across  
110 much of the GBR (Figure S1), with this SST anomaly being likely to affect the cloud properties, especially low-  
111 level clouds through marine atmospheric boundary layer (MABL) processes (Qu et al., 2015; Takahashi et al.,  
112 2021). This selected case is characterized by local trade wind cumulus over the Wet Tropics and associated with  
113 orographic precipitation on 29<sup>th</sup> April 2016. This event is also chosen due to the absence of high cloud cover  
114 across the Wet Tropics, which are often linked to deeper convection governed by large-scale climate modes such  
115 as El Niño-Southern Oscillation (ENSO) and Madden-Julian Oscillation (MJO), as well as the Australian  
116 monsoon or tropical cyclones (Tian et al., 2006; Yuan et al., 2013; Eleftheratos et al., 2011; Wu et al., 2012). Note  
117 that there is a weak El Niño phase of ENSO during the case study period. Further, the MJO is weak, with the  
118 Real-time Multivariate MJO index (Wheeler and Hendon, 2004) less than 1, and no tropical cyclones are recorded  
119 across the Northeast Queensland and the GBR.

120 The mean sea level pressure (MSLP) analysis at 1200 UTC of 28<sup>th</sup> and 29<sup>th</sup> April 2016 (Figure 1a-b) reveals a  
121 Tasman High maintaining the south-easterly trade winds along the northeast coast of Queensland during the case  
122 period. Originating in southwest Australia on 22 April, this high-pressure system gradually progressed eastward  
123 (not shown). By 26 April, it was positioned over southeast Australia, consequentially producing a high ridge along  
124 the northeast coast of Queensland during the following four days. As shown in the Himawari-8 true color images  
125 (Figure 1c) at 0000 UTC 28<sup>th</sup> April 2016, northeast Queensland has mostly a clear sky with patches of low-level  
126 clouds (stratocumulus, cumulus and stratus, as shown in Figure 1e) extending southwest from the coast in the Wet  
127 Tropics. Satellite observations at 0500 UTC 29<sup>th</sup> April 2016 (Figure 1f) reveal a well-developed cloud system  
128 consisting of stratocumulus, altocumulus and altostratus. Orographic precipitation associated with trade wind  
129 cumuli is captured by land-based rain gauges at several weather stations (Figure 2) on 29<sup>th</sup> April. The heaviest  
130 precipitation was recorded over the eastern slopes and mountain peaks, which is expected under the influence of  
131 upslope lifting under the prevailing southeasterly wind regime. From 0600 UTC 30<sup>th</sup> April, the cloud system over  
132 the Wet Tropics started dissipating, as observed by satellite images (not shown).



133

134 Figure 1: Mean Sea-Level Pressure (MSLP) analyses for 2016 April case at (a) 1200UTC 28<sup>th</sup> and (b) 1200UTC 29<sup>th</sup>.

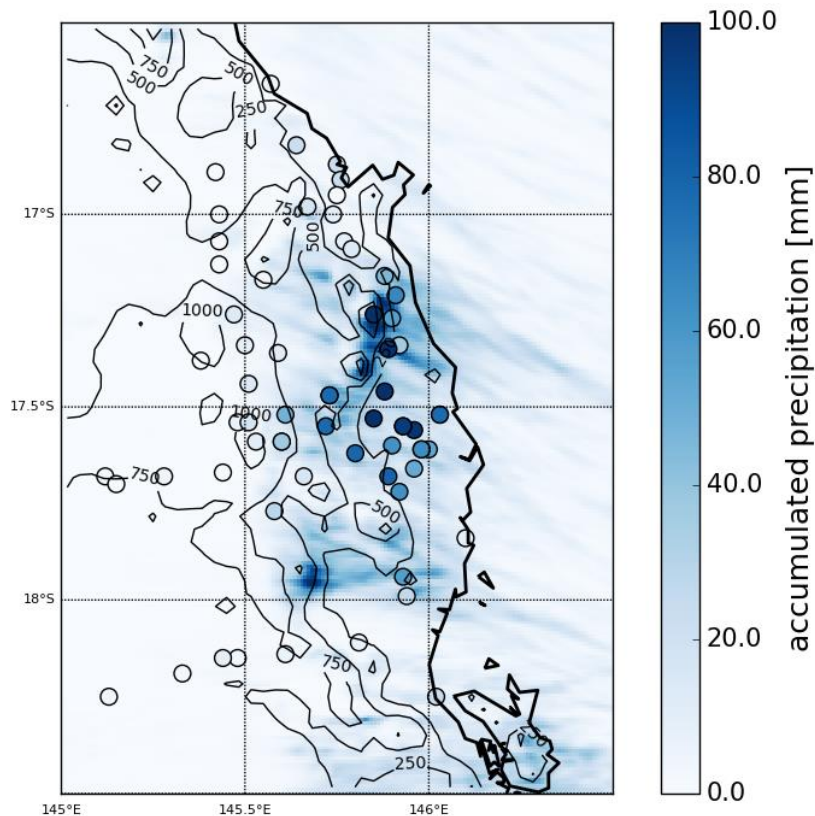
135 Himawari-8 true color imagery for (c) 0000UTC on 28<sup>th</sup> April and (d) 0500UTC on 29<sup>th</sup> April 2016. Himawari-8 cloud

136 type classification for (e) 0000UTC on 28<sup>th</sup> April and (f) 0500UTC on 29<sup>th</sup> April 2016 for the domain shown by red

137 rectangle in (c). Cloud types as listed on the colorbar for (e-f) are Cirrus, Cirrostratus, Deep Convection, Alto-

138 cumulus, Altostratus, Nimbostratus, Cumulus, Strato-

139 cumulus, and Stratus. Images (a-b) are provided by the Australian Bureau of Meteorology. Images (c-f) are supplied by the P-Tree System, Japan Aerospace Exploration Agency (JAXA).



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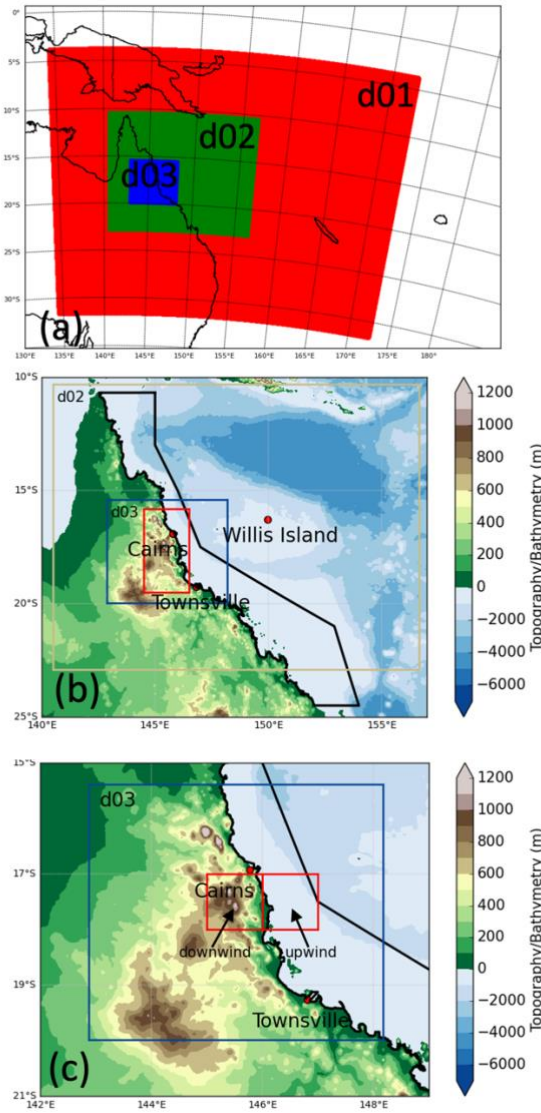
141 **Figure 2: The 48-h accumulated simulated precipitation amounts from 1km resolution with CTRL from 2016-04-27**  
 142 **23:00UTC to 2016-04-29 23:00 UTC overlaid with the precipitation observed by rain gauges shown as filled circles**  
 143 **using the same color scale. Black contours indicate the topography map from 250 to 1500 m by 250 m-interval.**

144 **3 Data and Methodology**

145 **3.1 Model configuration**

146 The Weather Research and Forecasting (WRF, version 4.2; Skamarock et al., 2019) model is used to simulate the  
 147 interactions between trade wind cumulus and local forcings. In this study, the model is configured with unevenly  
 148 distributed 65 levels in the vertical (Figure S2), allowing for 30 levels in the lowest 3 km where most of the trade  
 149 cumulus clouds reside. Three nested domains with horizontal grid spacing of 9 km, 3 km, and 1 km are utilized  
 150 (Figure 3a). The innermost domain (d03) is set up with  $562 \times 457$  grid points covering most of the significant  
 151 topography over the Wet Tropics (Figure 3b), extending over the GBR. The model uses the fifth-generation  
 152 atmospheric reanalysis (hourly,  $0.25^\circ \times 0.25^\circ$  grid, 37 set pressure levels and surface level) from the European  
 153 Centre for Medium Range Weather Forecast (ERA5 reanalysis, Hersbach et al., 2018; 2020), for initial and lateral  
 154 boundary conditions, as part of the standard WRF pre-processing system (WPS). Following initialization, the  
 155 model is allowed to run freely with no nudging applied, which enables the meteorology to fully develop  
 156 throughout the simulation. The control (CTRL) simulation is initialized at 1200 UTC 27 April 2016 and run for  
 157 three days (i.e. 72 hours) with the first 12 hours being used as the spin-up time. It is worth noting that sensitivity  
 158 to different spin-up times (e.g. 12h, 18h, and 24h) has been tested as the optimal spin-up time configuration may

159 vary across different case studies, reflecting the unique atmospheric conditions and dynamics inherent to each  
 160 scenario. The results indicate that the simulation with a shorter spin-up time (12h) produces a better agreement  
 161 with observations for this case (not shown).



162  
 163 **Figure 3: (a) The WRF three nested domains shown by different color boxes used in this study. (b) Zoomed-in**  
 164 **topographic-bathymetric map of the two inner domains (shown by colored rectangles) with the locations of two**  
 165 **sounding stations (Townsville and Willis Island). The solid red rectangle points out the location of the Wet Tropics.**  
 166 **Black lines indicate the GBR general reference map. (c) 1° × 1° downwind and upwind sub-domains shown by red**  
 167 **rectangles.**

168 The history intervals of prediction outputs are set to be 6 hours for d01, 3 hours for d02, and 1 hour for d03.  
 169 Simulations are performed with the Yonsei University (YSU, Hong et al., 2006, first-order nonlocal) planetary  
 170 boundary layer (PBL) scheme, “Noah” land surface model (Chen and Dudhia, 2001), and the RRTMG (Mlawer  
 171 et al., 1997) scheme for shortwave and longwave radiation, respectively. The same schemes are used for each

172 domain, with the exception of the cumulus scheme. The Kain-Fritsch (Janjić 2000) cumulus parameterization is  
173 used only for the coarsest domain (d01) to represent sub-grid convection. For the microphysical parameterization,  
174 the Thompson Aerosol Aware microphysics scheme (Thompson and Eidhammer, 2014), a bulk scheme that treats  
175 five separate water species: cloud water, cloud ice, rain, snow, and a hybrid graupel-hail category, is used. This  
176 scheme utilizes double-moment prediction (mass and concentration) of cloud water, cloud ice, and rain mixed  
177 with single-moment prediction (mass only) of snow and graupel. Updated from the previous version (Thompson,  
178 2008), this version of microphysics scheme incorporates the activation of aerosols as cloud condensation (CCN)  
179 and ice nuclei (IN), and therefore, explicitly predicts the number concentration of two aerosol variables. Rather  
180 than assuming all model horizontal grid points have the same vertical profiles of CCN and IN aerosols, this study  
181 uses an auxiliary aerosol climatology as the aerosol background condition placed into WRF model for every grid  
182 points, regardless of cloudiness. The aerosol input data are derived from multiyear (2001-2007) global model  
183 simulations (Calarco et al. 2010) in which particles and their precursors are emitted by natural and anthropogenic  
184 sources. Multiple species of aerosols, including sulfates, sea salts, organic carbon, dust, and black carbon, are  
185 explicitly modelled with multiple size bins by the Goddard Chemistry Aerosol Radiation and Transport model  
186 with 0.5° longitude by 1.25° latitude spacing. The microphysical scheme then transforms these data into simplified  
187 aerosol treatment by accumulating dust mass larger than 0.5  $\mu\text{m}$  into the IN (ice-friendly) mode and combining  
188 all other species besides black carbon as an internally mixed CCN (water-friendly) mode. To get the final number  
189 concentrations from mass mixing ratio data, it is assumed that lognormal distributions are used, with characteristic  
190 diameters and geometric standard deviations taken from Chin et al. (2002). Samples of the climatological aerosol  
191 dataset can be found in Thompson and Eidhammer (2014, Fig 1). Note that black carbon is ignored for this  
192 version but might be incorporated into future versions (Thompson and Eidhammer, 2014). However, it is  
193 not expected that the absence of black carbon aerosol will have a significant effect for pristine maritime trade  
194 cumulus clouds. Rather than considering multiple aerosol categories, the Thompson Aerosol Aware microphysics  
195 scheme simply refers to the hygroscopic aerosol (a combination of sulfates, sea salts, and organic carbon) as a  
196 “water friendly” aerosol and the nonhygroscopic ice-nucleating aerosol (primarily considered to be dust) as “ice  
197 friendly”. The activation of aerosols as CCN and IN is determined by a lookup table that employs the simulated  
198 temperature, vertical velocity, number of available aerosols, and hygroscopicity parameter applied in Köhler  
199 activation theory. The activation of aerosols as droplets is performed at cloud base as well as anywhere inside a  
200 cloud where the lookup table value is greater than the existing droplet number concentration (Thompson and  
201 Eidhammer, 2014). Note that the aerosols used by the microphysics scheme to activate water droplets and ice  
202 crystals do not scatter or absorb radiation directly. The aerosol’s scattering–absorption–emission of direct  
203 radiation is only considered within the RRTMG radiation scheme by the typical background amounts of gases and  
204 aerosols in this study (Thompson and Eidhammer, 2014). The Thompson Aerosol Aware scheme has been shown  
205 in previous studies to have promising skill in representing both supercooled and warm liquid conditions of grid-  
206 scale clouds (Weston et al., 2022; Wilkinson et al., 2013).

207 An overview of the parameterization schemes used in the CTRL simulation is provided in Table 1. It is worth  
208 noting that other configurations with different microphysics, boundary layer, and cumulus schemes have also been  
209 tested, and the simulation with the configuration listed in Table 1 is found to be most skilful when evaluated



210 against observations. This configuration is therefore used as CTRL run and the same configuration settings are  
 211 applied to all sensitivity experiments.

212 **Table 1: A list of configuration settings for numerical study**

Parameterisation	Option No. (d01, d02, d03)	Comments
Microphysics	mp_physics = 28	Thompson Aerosol Aware (2014) scheme
PBL	bl_pbl_physics = 1	YSU PBL scheme
Cumulus	cu_physics = 1 (d01 only)	Kain-Fritsch scheme (d01 only)
Land/Sea surface	sf_surface_physics = 2	Noah Land Surface Model
Short wave radiation	ra_sw_physics = 4	RRTMG shortwave
Long wave radiation	ra_lw_physics = 4	RRTMG scheme

213

### 214 3.2 Sensitivity experiments

215 Five sensitivity experiments are undertaken to examine the impacts of various local forcings, as detailed below:

216 In the topography experiment, the orography above 300 m is reduced by 75% (Figure S3), named “Topo300”, as  
 217 similarly done in Flesh and Reuter (2012) and Sarmadi et al. (2019). A threshold of 300 m is chosen because it is  
 218 approximately the mean altitude of the Wet Tropics region, hence representing the background geography. A 75%  
 219 reduction is used in order to preserve some of the topographic features, and to avoid drastic changes of topography  
 220 that may induce dramatic changes in the larger-scale circulations. We note that a 500 m threshold is also tested  
 221 and yielded similar results (not shown).

222 For the local SST forcing, two sensitivity simulations have been conducted in which the monthly mean  
 223 climatological SST condition for April (namely “SST-climatology”, Figure S1) and spatially uniform 1°C  
 224 cooler than real SST condition (namely “SST-cooler”) are used to initialize the simulation. The monthly SST  
 225 climatology applied in the control simulation is derived from ERA5 for the period of 1998-2018. This climatology  
 226 integrates SST data from HadISST2 (before September 2007) and OSTIA (September 2007 onwards) datasets. It  
 227 is important to note that, unlike the SST alteration in the SST-cooler experiment, part of the ocean area in the  
 228 SST-climatology is warmer than the actual SST (Figure S1). Nevertheless, the sea surface temperature over the  
 229 majority of the GBR is reduced in the SST-climatology experiment. The selection of a 1 °C perturbation is based  
 230 on the findings presented in Zhao et al. (2021), where a typical 1 °C positive SST anomaly is noted during  
 231 the coral bleaching season over the GBR. SST modifications are applied to the whole ocean area for all three  
 232 domains. It should be noted that, as with CTRL, the SST conditions are fixed through the 3-day simulations.

233 Finally, the climatological surface water-friendly aerosol (WFA) emissions ( $\text{kg}^{-1} \text{s}^{-1}$ ) over the GBR (see general  
 234 reference map in Figure 3b) is increased by a factor of 2 and 5, respectively, to test the sensitivity of warm cloud  
 235 and precipitation to the aerosol loading to emulate a scenario of enhanced aerosol population associated with coral  
 236 reef emissions (named “Aerosol2” and “Aerosol5”, respectively, Figure S4).

237 Table 2 summarizes the details of these sensitivity experiments.

238 **Table 2: Detailed information of numerical experiments conducted in this study.**

		Modification description
CTRL-run		N/A
Sensitivity experiments	Topo300	Decreases elevation by 75% for elevations above 300m
	Aerosol2	Climatological surface WFA emissions increased by 200%, GBR only
	Aerosol5	Climatological surface WFA emissions increased by 500%, GBR only
	SST-cooler	SST reduced by 1°C, whole ocean domain
	SST-climatology	SST replaced by 21-yr (from 1998 to 2018) April climatology, whole ocean domain

239

### 240 3.3 Observational Data

241 Several observational datasets and reanalysis are used to evaluate the simulation across a range of spatial-temporal  
242 scales. In this study, sounding data at 0000 UTC and 1200 UTC during the simulation period are obtained from  
243 the University of Wyoming upper-air sounding database for two selected radiosonde stations (Townsville, code:  
244 94294, and Willis Island, code: 94299). To evaluate the large-scale meteorological background conditions in the  
245 simulation, hourly mean sea level pressure (MSLP) and wind field at 10 m are also obtained from ERA5 reanalysis  
246 with a resolution of 0.25° for the case period (Hersbach et al., 2020). Channel 13 brightness temperatures from  
247 the Himawari-8 satellite dataset (Bureau of Meteorology, 2021) and daily rainfall datasets from continuous  
248 weather stations are obtained from the Australian Bureau of Meteorology for the case study period. A total of 60  
249 stations are selected, and their spatial distribution is shown in Figure 6. It should be noted that some of  
250 thermodynamic observations (e.g. radiosonde soundings and wind observations) are being assimilated into the  
251 reanalysis, however, observations of cloud and precipitation are not. It is expected that the initial hours will exhibit  
252 strong agreement of thermodynamic variables with the ERA5 dataset, but over the course of the 36 hours, the  
253 simulations will be sensitive to the parameterisations and settings selected. Therefore, the evaluation of model  
254 output is designed to examine the middle and last few hours of the simulation (Figures 4 and 5) against both ERA5  
255 thermodynamics and independent cloud and precipitation observations (e.g. Himawari and weather station,  
256 Figures 2 and 6).

### 257 3.4 Identifying the trade wind inversion

258 The trade wind inversion (TWI), which results from the interaction of large-scale subsiding air from the upper  
259 troposphere and rising air from lower levels that is driven by convection, plays an important role in defining cloud  
260 structure and vertical development (Riehl et al., 1979; Albrecht, 1984). Under a trade-wind regime, the top of a  
261 cloud layer typically marks the base of the inversion.

262 In this study, we use the same criteria identified in Murphy et al. (2017) to examine the TWI characteristics and  
263 its interaction with clouds. Four variables are used: (1) pressure, (2) height, (3) dry bulb temperature, and (4)  
264 relative humidity. The criteria used are as follows:

- 265 a) The TWI is restricted to the 850-600 hPa layer and environmental temperatures greater than 273 K.
- 266 b) The base of the TWI is defined where the temperature begins to increase, and relative humidity decreases  
267 with height.
- 268 c) The top of the TWI is defined by a vertical temperature decrease with height.
- 269 d) When multiple inversions are detected, the layer with the greatest relative humidity decrease is selected  
270 as the TWI.

271 Two properties, in addition to the inversion base height, describing the TWI are defined also following the method  
272 described in Murphy et al. (2017). The inversion thickness (km) is the difference in height between the base and  
273 top of the inversion, while the inversion strength or magnitude (K) is the temperature increase across the inversion.  
274 It should be noted that grid points with no TWI identified (around 19% of total samples) are excluded from the  
275 TWI analysis.

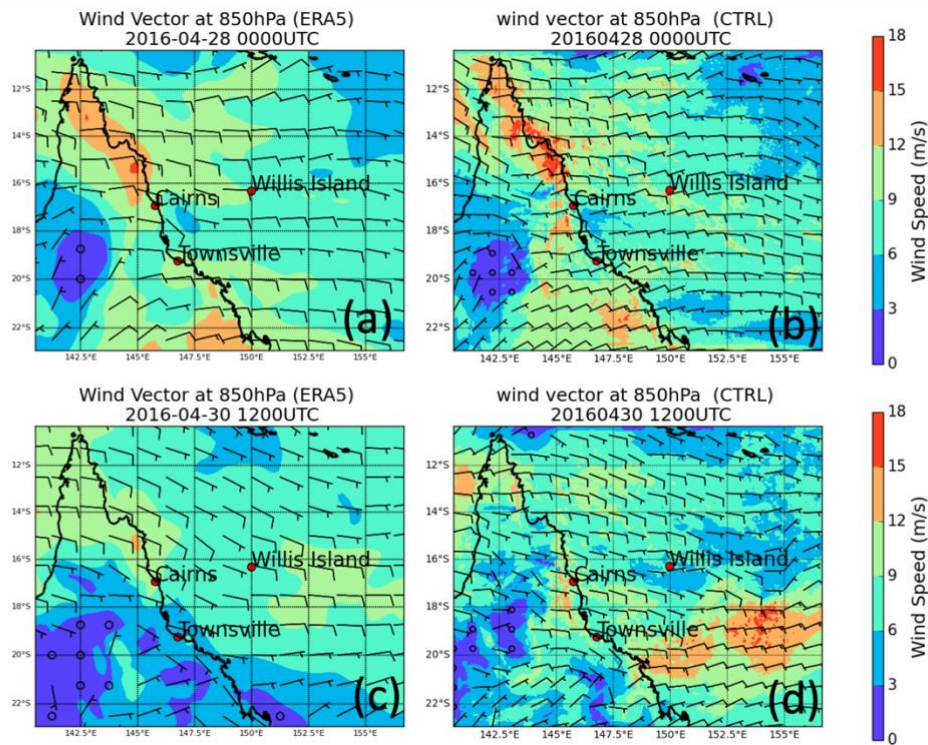
#### 276 **4 Control simulation**

277 In this section, the simulated synoptic and the surface features are first evaluated by comparing the results of the  
278 control simulation with observations. It is found that the control simulation skillfully simulated the evolution of  
279 the large-scale synoptic patterns of the MSLP in terms of both the progression and the magnitude of the surface  
280 pressure system (not shown). Simulated wind conditions at 850 hPa at 0000 UTC 28 April (the first hour after  
281 spin-up time) are compared with ERA5 reanalysis, and the 3 km WRF simulated pattern and magnitude of the  
282 wind field are found to be generally in good agreement with the reanalysis (Figures 4a and 4b). It should be noted  
283 that this is largely expected as the simulations are initialized with ERA5 reanalysis in this study. However, a good  
284 agreement is also found towards the end of the simulation (1200 UTC 30 April), suggesting that the simulation in  
285 this study is doing a good job regarding representation of the synoptic condition. As shown in Figures 4c and 4d,  
286 both wind speed and direction agree reasonably well with the reanalysis, though a disagreement in the wind speed  
287 is noted in the southern part of the ocean. Figure 5 shows the observed soundings (black) from the two available  
288 sounding stations (Willis Island and Townsville) within the domain and the simulated atmospheric profile (red)  
289 at the nearest grid point in the 3 km domain at 0000 UTC on 28 and 30 April. The simulated soundings at the two  
290 sites both have good agreement with observations at the beginning and the last day of the simulation, with both  
291 wind speed and direction well captured throughout the profile. The simulation accurately predicts the cloud base  
292 height (around 850 m) and surface temperature at the two sites, and it is worth noting that the wind inversion at  
293 800 hPa at Townsville station is well captured throughout the simulation (Figure 5).

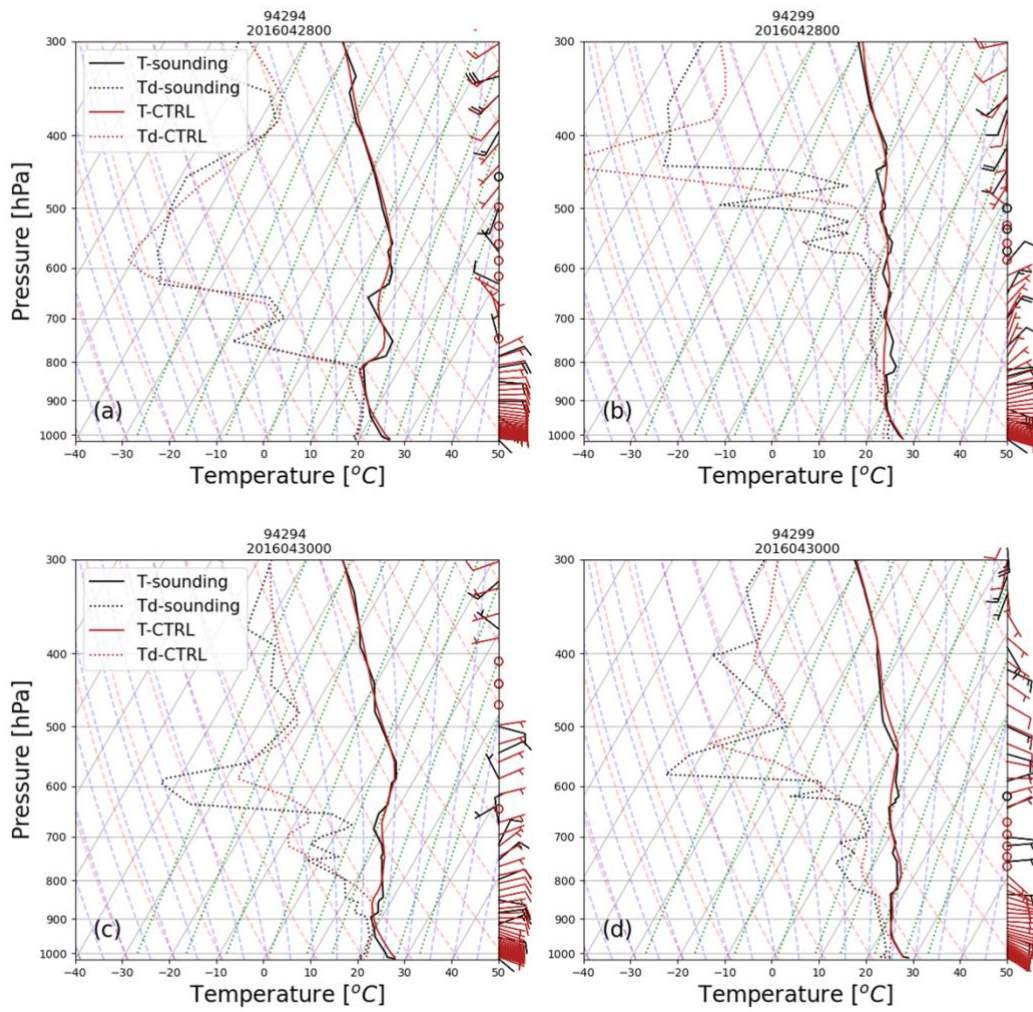
294 Figure 5 compares the observed and simulated brightness temperature throughout the simulation period. Note that  
295 the simulated brightness temperature is simply calculated assuming an emissivity of one for all surfaces and an  
296 effective cloud optical depth of one. It is used for a qualitative evaluation of the simulated cloud field only. Overall,  
297 the cloud field is reasonably well simulated in terms of the location and timing when compared against the  
298 Himawari-8 observation. Although the chaotic nature of shallow cumulus cloud means that details are not fully

299 aligned, the major cumulus cloud features over the Wet Tropics throughout the three-day simulation period have  
300 been well captured.

301 Figure 2 shows the 48 h accumulated precipitation from the 1 km WRF simulation, overlaid with the observed  
302 rainfall shown as filled circles using the same color scale. The orographic signature (see Figure 3b and black  
303 contours in Figure 6), as shown in the correlation between the accumulated precipitation amounts and topography,  
304 is evident in both the observed and simulated precipitation. The overall distribution of the simulated accumulated  
305 precipitation shows a good agreement with the rain gauge observations, with the majority of the precipitation  
306 produced over the windward side of the topography. Although a bias is noted in the location of the peak  
307 precipitation, with the simulated precipitation indicating a northward shift relative to the observation, the  
308 simulated accumulated precipitation amounts agree reasonably well with the rain gauge observations. This shows  
309 the model has acceptable skill in predicting precipitation patterns and magnitude, despite some spatial  
310 discrepancies.

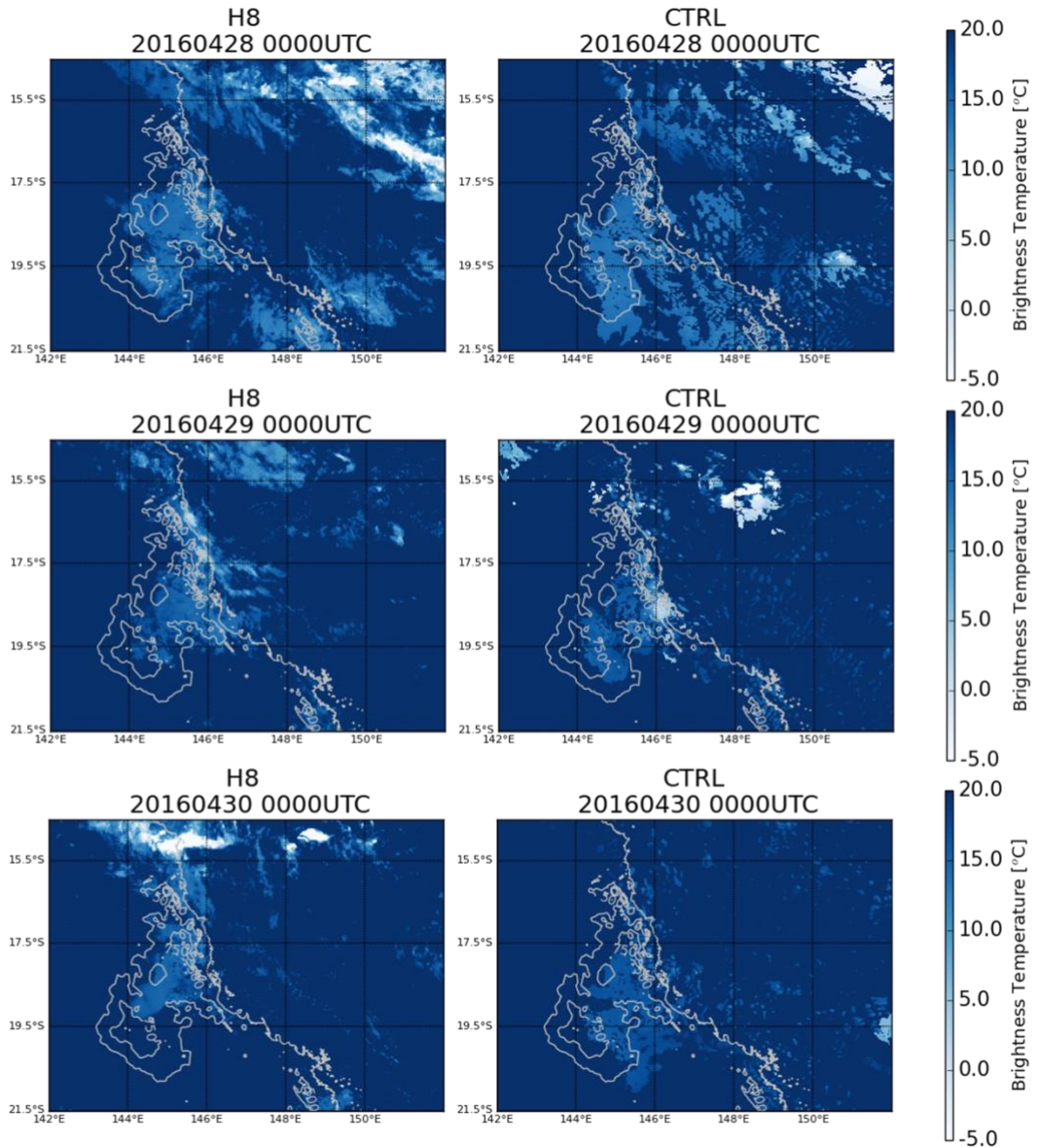


311  
312 **Figure 4: (a) Wind vector distribution at 850 hPa from ERA5 and (b) WRF simulations from CTRL-run at 0000UTC**  
313 **28<sup>th</sup> April 2016. (c-d) same as (a-b), but for 1200UTC 30<sup>th</sup> April 2016. Note that same color scales are applied in all**  
314 **panels.**



315

316 **Figure 5: Comparison of the observed upper air soundings (black lines) from (a) Townsville and (b) Willis Island**  
 317 **alongside 3 km WRF simulations (red lines) from the nearest grid point to two stations on 28 of April 2016 at 0000**  
 318 **UTC. (c-d) same as (a-b), but for the time of 0000UTC on 30 April 2016. Solid lines are for temperatures and dotted**  
 319 **lines represent dew point temperature.**



320

321 **Figure 6: (left) Brightness temperatures of band 13 (10.4  $\mu\text{m}$ ) derived from Himawari-8 satellite observations at**  
 322 **0000UTC on 28, 29 and 30 April 2016. (right) Simulated brightness temperatures from CTRL at 3 km resolution at**  
 323 **0000UTC on 28, 29 and 30 April 2016. Note that same color scales applied in all panels. Grey lines denote the coastline**  
 324 **and topography map from 500 to 1500 m with 250 m interval.**

325

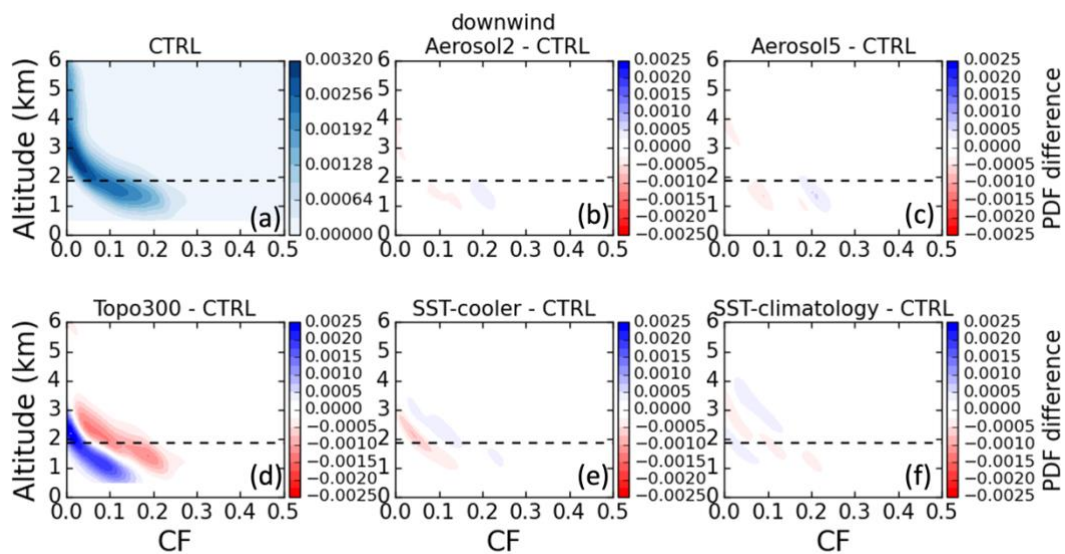
## 326 5 Sensitivity analysis

### 327 5.1 Orographic effects

328 To explore any upwind effect of the orography over the Wet Tropics, two  $1^\circ \times 1^\circ$  sub-domains are selected with  
 329 one covering the primary orographic area (hereafter downwind sub-domain, Figure 3c) where the major  
 330 precipitation occurs (see Figures 2 and 9a), and another over the upwind water area (hereafter upwind sub-domain,  
 331 Figure 3d). The simulated cloud fraction (CF) has been analysed over these two sub-domains, respectively. Note

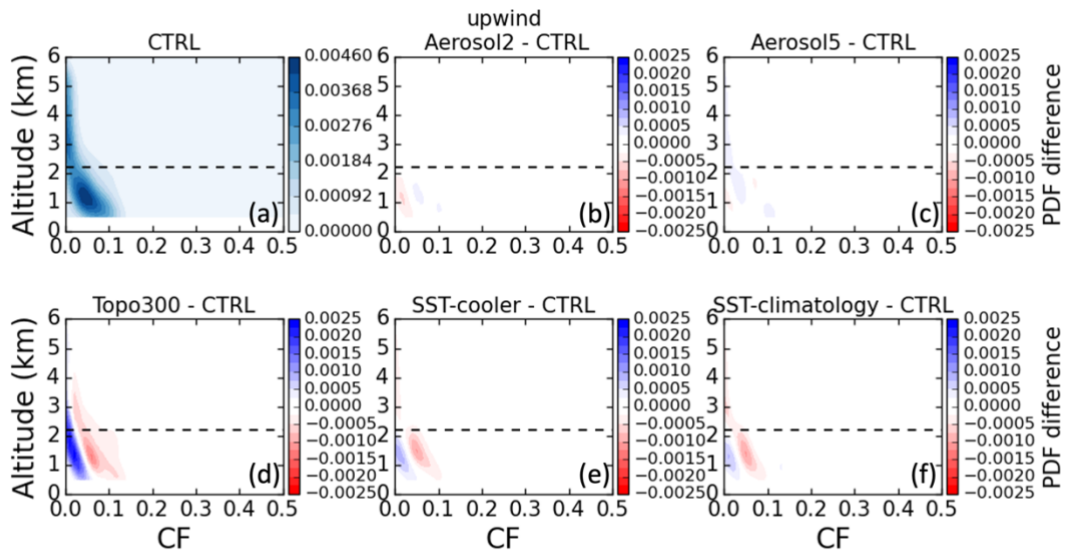
332 that, in the WRF output, CF for each grid point is given by either binary number 1 or 0 to indicate either cloudy  
 333 or cloud free pixel. In this study, CF of the target domain (e.g.  $1^\circ \times 1^\circ$  upwind and downwind sub-domains) is  
 334 defined as the proportion of total grid points in the domain that are classified as cloudy grids for each model level.  
 335 For each model level from 0.5 to 6 km, the CF is calculated for each hour. Figures 7 and 8 show the 2-dimensional  
 336 Probability Density Function (PDF) distribution of the CF across the domains for the 60 hours of simulation. To  
 337 generate the PDF, 100 bins are applied to both variables CF and altitude. Then the probability density for each  
 338 grid point is calculated based on CF samples at model levels from 60 simulations hours. The uppermost level of  
 339 this analysis is set to 6 km as most of the clouds are observed to be at low to mid-level during the simulation  
 340 period. As shown in Figures 7a and 8a, the simulated CF over these sub-domains from CTRL is between 0.5 and  
 341 2.5 km (i.e. boundary layer clouds and trade wind cumuli). Note that the boundary layer height over the Wet  
 342 Tropics is around 950 m in the CTRL run (not shown) and the trade wind inversion base is at around 2 km (see  
 343 Figure 10a).

344 Comparing the simulated CF distribution between Topo300 and CTRL runs over the orographic area (Figure 7d),  
 345 there appears to be a noticeable decrease in cloud cover in the Topo300. The most pronounced reduction ( $\sim 78\%$ )  
 346 is evident at lower altitudes, specifically below 2 km. Cloud top height is generally reduced in Topo300, with a  
 347 greater number of simulation hours in Topo300 indicating a CF near zero above 2km. This same feature is also  
 348 seen over the upwind coral reef area (Figure 8d), though the magnitude of the CF is relatively small ( $\sim 40\%$ )  
 349 compared to the mountain area. Larger differences in CF are, once again, found at lower altitudes (below 2 km).  
 350 It is interesting to note that the orographic enhancement of the low-level cloud fraction, extending to the eastern  
 351 water area, potentially provides a sheltered area for the coral reefs, protecting them from severe bleaching through  
 352 reducing the solar radiation heating (examples of cloud fields are shown in Figure S5). This result is consistent  
 353 with the observational analysis presented by Zhao et al. (2022), which highlighted a notable increase in the  
 354 frequency of low-level clouds associated with orographic enhancement in the Wet Tropics.

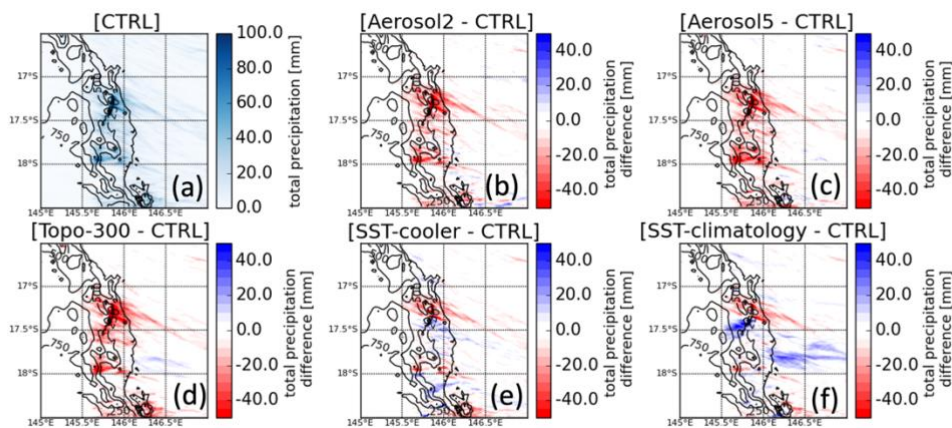


355  
 356 **Figure 7: (a) Vertical PDF distribution of 1 km resolution simulated cloud fraction over the downwind mountain area**  
 357 **(shown by red square in Figure 3c) from CTRL-run. (b-f) Difference plots between CTRL-run and Aerosol2, Aerosol5,**  
 358 **Topo300, SST-cooler, SST-climatology. The analysis is for 60h simulation time after the spin-up from 2016-04-28**

359 0000UTC to 2016-04-30 1200UTC. Note that dashed lines indicate the average base height of TWI over the downwind  
 360 subdomain.



361  
 362 **Figure 8:** Same as Figure 7, but for the upwind water area (shown by red square in Figure 3d).



363  
 364 **Figure 9:** (a) Accumulated precipitation for the time period of 2016-04-28 0000UTC to 2016-04-30 1200UTC from 1  
 365 km resolution with CTRL-run. (b-f) Difference plots of accumulated precipitation between CTRL-run and Aerosol2,  
 366 Aerosol-5, Topo300, SST-cooler, SST-climatology, separately. Black contours indicate the topography map from 250  
 367 to 1500 m by 250 m-interval.

368  
 369 Figure 9 shows the map of accumulated precipitation over the inner-most domain from 1 km WRF simulations  
 370 from the CTRL-run, and the difference between CTRL-run and sensitivity studies, respectively. The accumulation  
 371 period is the 60-h simulation time after the spin-up (i.e. from 0000UTC 28 to 1200UTC 30 April 2016). As shown  
 372 in Figure 9d, a strong reduction in precipitation (~44%) over the topography is evident when the topography is  
 373 modified, particularly over the windward slopes. Over 40 mm difference in the accumulated precipitation is noted  
 374 at the points of highest precipitation grids between the Topo300 and CTRL simulations, highlighting the major

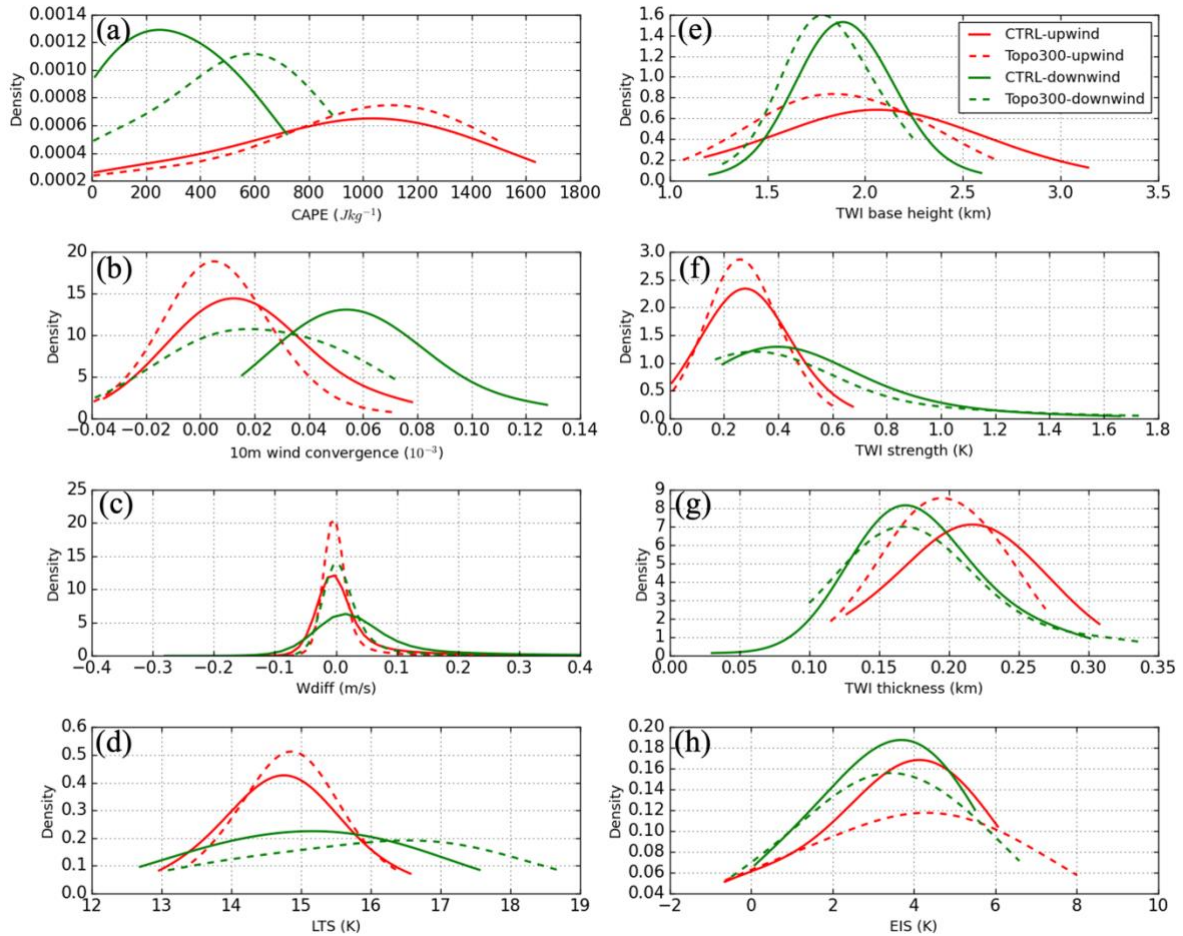


375 role of terrain in the development of precipitation. In addition to the mountain area, this precipitation reduction  
376 has also been seen over the upwind region, extending to the GBR. A slight increase in the accumulated  
377 precipitation is noted in the southern part of the upwind domain, indicating the chaotic nature of cloud and  
378 precipitation in weakly-forced locations.

379 The local cloud and precipitation differences shown in Figure 7d, 8d and 9d can be elucidated through the  
380 examination of convection-related variables, including convective available potential energy (CAPE), 10 m wind  
381 convergence (calculated as  $(-1) \times (\partial u/\partial x + \partial v/\partial y)$ , Schneider et al., 2018) and velocity difference ( $w_{diff}$ ) between  
382 the maximum vertical velocity below the level of free convection (LFC) and the required updraft velocity to  
383 overcome convective inhibition (CIN), calculated as  $\sqrt{2 \times CIN}$  (Trier 2003). Positive values of  $w_{diff}$  indicate that  
384 air parcels can reach their respective LFC, release CAPE and initiate convection. Also, simulated trade wind  
385 inversion (TWI) properties have been analysed, including its base height, thickness, and strength. This analysis is  
386 important because trade-wind cumuli are constrained by the TWI, particularly over the oceanic regions. It is also  
387 perhaps more difficult to directly link changes in cloud and precipitation process over the upwind domain to the  
388 direct mountain-induced lifting and low-level wind convergence. Additionally, the lower troposphere stability  
389 (LTS) and estimated inversion strength (EIS) have been examined, which are good indicators of the changes of  
390 low-level cloud (Wood and Bretherton, 2006). LTS is defined as the potential temperature difference between a  
391 nominal location in the free troposphere (typically 700 hPa) and the surface. EIS is further developed to obtain a  
392 more precise estimate of the strength of the boundary layer inversion by removing the variability of the free  
393 tropospheric thermodynamic structure (Wood and Bretherton, 2006), which is defined as  $LTS - \Gamma_m^{850} (z_{700} -$   
394  $LCL)$ , where  $\Gamma_m^{850}$  is the moist adiabatic potential temperature lapse rate,  $z_{700}$  is the altitude of the 700hPa pressure  
395 level, and LCL is the lifting condensation level computed using the expression  $LCL = 125 (T - T_d)$ , which is  
396 based on the temperature (T) and dew point temperature (T<sub>d</sub>) at the surface (Lawrence, 2005). Note that all these  
397 variables are calculated for each grid point at each hour.

398 As seen from Figure 10a, a notable increase in CAPE is seen in the Topo300 over the downwind area, whereas  
399 only small increases are found at the upwind. This change in CAPE is clearly explained by the change in orography.  
400 The atmosphere deepens when the mountains are reduced, leading to higher temperatures at the surface (Figure  
401 S6). Because the relative humidity is essentially unchanged, the LFC is lower, which results in higher CAPE  
402 values over the topography in the Topo300 experiment. However, fewer grid points are simulated with positive  
403  $w_{diff}$  in the Topo300 run over the downwind area (Figure 10c), making the CAPE more challenging to release.  
404 This therefore results in a reduced cloud fraction and precipitation in the Topo300, despite the presence of higher  
405 CAPE values at the downwind area. Low-level wind convergence over the downwind area is much stronger in  
406 the CTRL run, as the lack of strong orographic lifting in the Topo300 run produces only weak low-level  
407 convergence. However, this is not as significant over the upwind area, where little to no difference in  $w_{diff}$  and  
408 low-level convergence is seen between CTRL and Topo300 (Figure 10b-c). There is a notable increase in the  
409 stability of the lower troposphere over mountainous regions (Figure 10d), which is largely attributed to the reduced  
410 elevation in these areas. A less stable lower troposphere suggested in the CTRL is conducive to the enhanced  
411 development of trade cumulus clouds. The comparison of LTS between the CTRL and Topo300 scenarios over  
412 the upwind, however, reveals minimal differences, suggesting that the impact of orography on atmospheric  
413 stability is predominantly localized.

414 As expected, the upwind area experiences weaker effects of mountain-induced lifting, and so the impacts of the  
415 alteration in mountain height are attenuated. However, changes in topography do lead to modifications in the  
416 upwind airstream (Chu et al., 2000; Zhang et al., 2022). Altered upwind temperature and wind profiles are likely  
417 to result in modifications of TWI and boundary layer inversion characteristics. It is possible that the height and  
418 thickness of trade wind inversion is being modified in the Topo300, which constrains the trade cumulus  
419 development over the upwind area. To explore this possibility, we compared the simulated TWI properties and  
420 EIS in the CTRL and Topo300 runs (Figure 10e-h). The results show that a higher inversion base height with a  
421 relatively larger inversion thickness is present in the CTRL run over both downwind and upwind areas, whereas  
422 a reduction in orography results in a lower TWI base height and a smaller inversion thickness over the upwind  
423 area. The lower TWI in the Topo300, as a result, constrains the vertical development of the clouds, resulting in a  
424 lower cloud top height and less developed cloud and precipitation systems. Interestingly, measures of inversion  
425 strength, specifically TWI strength and EIS, exhibit no substantial variation in response to the changes in  
426 topography, over both downwind and upwind domain (Figures 10f and h). It is considered that atmospheric  
427 inversions strength is often influenced by synoptic to larger-scale atmospheric processes (Milionis and Davies,  
428 2008) which can override the local topographic effects. In contrast, the height of inversion layer might be more  
429 influenced by factors such as local topography. To summarize, the vertical velocity and low-level wind  
430 convergence, coupled with the TWI, are crucial in explaining the cloud and precipitation features over the  
431 downwind area, which can be directly linked to the mountain induced lifting and flow deviation. On the other  
432 hand, the upwind effect of orographic enhancement is more closely associated with the alterations in TWI  
433 properties, especially the height and thickness of TWI.



434

435 **Figure 10: PDF distribution of the (a) CAPE, (b) low-level wind convergence, (c)  $W_{diff}$ , (d) LTS, (e) trade inversion base**  
 436 **height, (f) inversion strength, (g) inversion thickness, and (h) EIS over the upwind (red lines) and downwind (green**  
 437 **lines) area, separately. The analysis covers the whole 60 h simulation period after the spin-up time. Solid lines represent**  
 438 **results from CTRL, and dashed lines are for Topo300 sensitivity experiment.**

439

## 440 5.2 Local aerosol loading

441 We have also explored the hypothesis that regional aerosol loading may affect the cloud and precipitation  
 442 properties over the GBR. As shown in Figure 11a, doubling the surface WFA emission over the GBR (Aerosol2  
 443 experiment) results in an increase of  $\sim 700/\text{cm}^3$  ( $\sim 122\%$  increase) in the near-surface WFA number concentration  
 444 over the upwind sub-domain (from  $572/\text{cm}^3$  to  $1250/\text{cm}^3$ ), throughout the evolution of the simulation. The increase  
 445 in WFA emission at the surface also leads to an increase in the atmospheric WFA population to levels above 2  
 446 km (Figure 13a). Pertaining to the Aerosol5 experiment, the near-surface WFA number concentration increases  
 447 by approximately  $1400/\text{cm}^3$  ( $\sim 251\%$  increase), from  $572/\text{cm}^3$  to  $2241/\text{cm}^3$ , in comparison to the CTRL (Figure  
 448 11a) as well as an enhancement in the upper-level profile of the WFA concentration (Figure 13e). A slight time-  
 449 variation in WFA number concentration (WFANC) is seen for both Aerosol2 and Aerosol5, which primarily  
 450 results from the advection and diffusion of the aerosol during the model integration (Thompson and Eidhammer,  
 451 2014). The gradual increase of the WFA number concentration during the last day of the simulation is primarily

452 attributed to the strong inflow with an additional significant amount of aerosols from the southern portion of the  
453 GBR when the surface wind changes from easterly to southeasterly (Figure 4). It is worth noting that a fairly  
454 similar increase in the WFANC is also seen over the downwind subdomain in both Aerosol sensitivity experiments  
455 (Figures 12a, 13a, and 13e). Given the predominance of trade wind patterns in this region, this downwind impact  
456 is not unexpected.

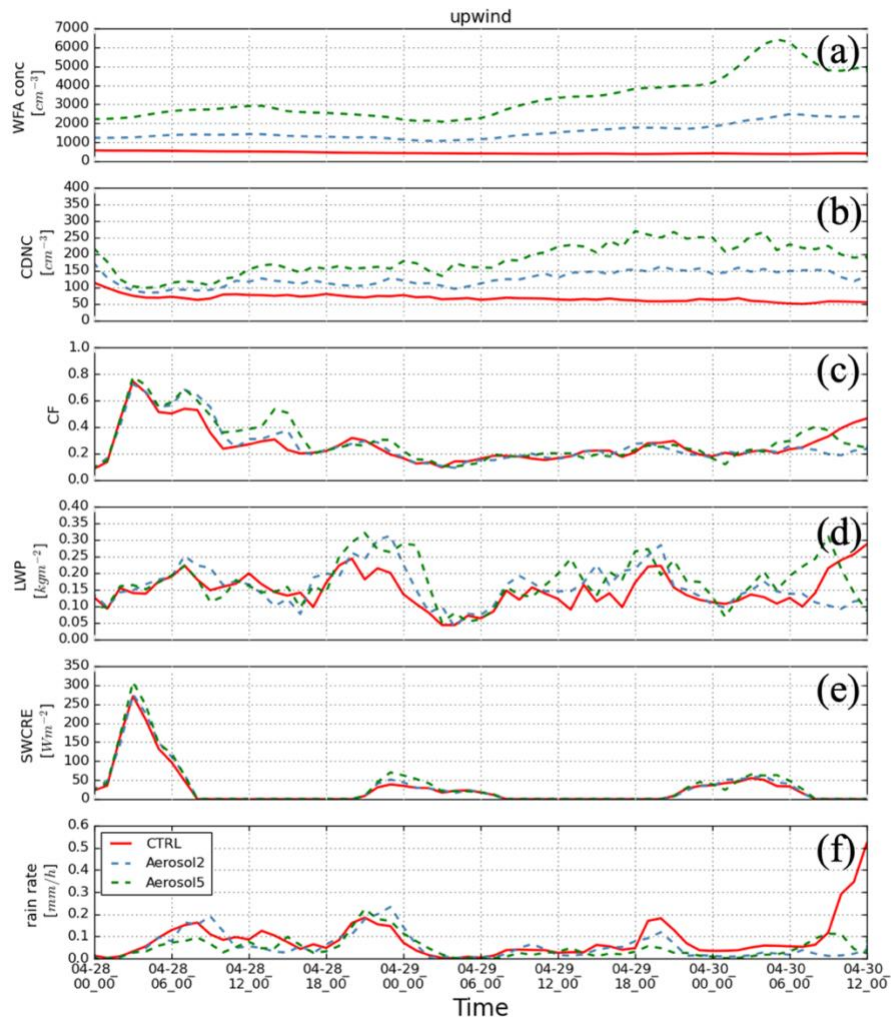
457 Cloud droplet number concentration (CDNC), considered both upwind and downwind, is sensitive to the changes  
458 in the atmospheric aerosol number concentration (Figures 11b and 12b). A higher CDNC corresponding to an  
459 increase in the WFA population is evident from the cloud base to the cloud top (Figures 13b and 13f), which is  
460 consistent with the findings presented in Fiddes et al. (2022). Total CF, however, is found to be essentially  
461 unaffected by the changes in the aerosol concentration over both sub-domains (Figures 11c and 12c). The vertical  
462 profile of CF over the upwind subdomain (Figure 13c) suggests a slight deepening of the trade cumulus with an  
463 increase in domain-averaged CF at cloud top, especially in Aerosol5 experiment (also shown in Figure 8c).  
464 Minimal response is seen at cloud base. This is consistent with an increase of the liquid water path (LWP), which  
465 is evident in both the Aerosol2 and Aerosol5 experiments (Figures 11d and 13d) over the upwind. Looking  
466 downwind, even though the CF remains largely unchanged across the altitudes (Figures 13g, 7b and 7c), a cloudy  
467 layer with higher CDNC could also result in a larger LWP, that is likely due to cloud lifetime effects (Albrecht  
468 1989; Zhang et al., 2016), as shown in Figure 12d. Additionally, the cloud radiative effect (CRE) has been  
469 considered through the CTRL run and the Aerosol sensitivity experiments. CRE is defined as the net radiation  
470 flux (downward flux minus upward flux) under all sky conditions minus the net radiation flux under clear sky  
471 conditions and can be applied to both the surface and top of atmosphere (Imre et al., 1996; Bao et al., 2020). Here,  
472 in this analysis, it focuses on the shortwave CRE (SWCRE) at the surface, as it represents the effective solar  
473 heating of the sea surface. As shown in Figures 11e and 12e, a rise in SWCRE, though small with a maximum of  
474  $50 \text{ W/m}^2$ , is evident with increase in aerosol population over both sub-domains. This is primarily attributed to the  
475 higher CDNC, as the cloud reflectance is enhanced with increased droplets number concentration.

476 As shown in Figures 9b and 9c, a reduction in total precipitation is seen in both Aerosol sensitivity experiments,  
477 primarily over the downwind mountain area, with a maximum 30mm difference (~30% reduction) noted around  
478 the peak precipitation points. This decreased precipitation is evident throughout the simulation hours between the  
479 CTRL and Aerosol sensitivity runs (Figure 12f). Relatively small changes (less than 20 mm) in accumulated  
480 precipitation are seen over the upwind area, where the decreased accumulated precipitation mainly originates from  
481 a few hours in the last day of simulation (Figure 11f). Although small in magnitude, the warm cloud precipitation  
482 over the GBR is found to show considerable responses to the changes in the local aerosol loading.

483 Increased CCN concentrations can be expected to produce smaller droplets for a given liquid water content  
484 (Twomey, 1997). The smaller cloud droplets can reduce the efficiency of collision and coalescence, which may  
485 inhibit precipitation development (Albrecht, 1989). Over the GBR, sensitivity experiment in this study indicates  
486 consistently that, cloud microphysical properties, including CDNC and LWP, and precipitation respond strongly  
487 to changes in the local WFANC. A significant rise in CDNC (~2.5X in Aerosol5) is correlated with an increase  
488 in the aerosol population is seen over the GBR, and predominantly leads to suppressed precipitation (up to a 40mm  
489 reduction in total precipitation) over both upwind and downwind areas. While the total CF is showing less

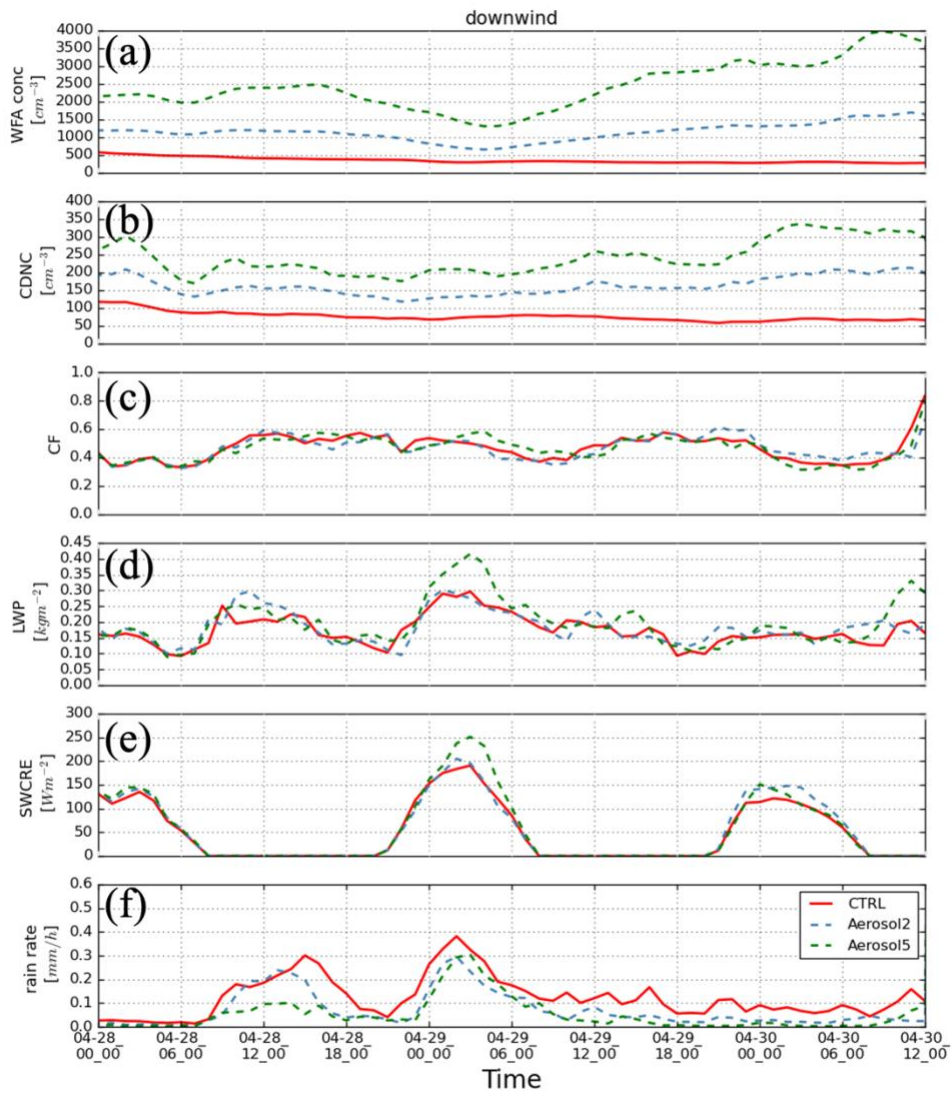
490 sensitivity to the aerosol perturbations. It's worth noting that the Aerosol sensitivity experiments also show  
491 evidence of deepened trade cumulus over the upwind region, which will increase the LWP and the SWCRE. Note  
492 that fluctuations in LWP response are observed throughout the simulation. Previous studies have shown that  
493 multiple processes (e.g. cloud formation processes, evaporation, and precipitation) play a role in determining the  
494 LWP response to aerosol perturbations (Han et al., 2002). Also, meteorological conditions (e.g. relative humidity)  
495 could strongly modulate the LWP-droplet number concentration relationship (Gryspeerdt et al., 2019). A notable  
496 downwind effect featured by a significant reduction of surface precipitation is simulated when changing the  
497 surface aerosol emissions over the GBR.

498 It should be noted that how convection may interact with changes in aerosol is still a large source of uncertainty  
499 (Tao et al., 2012) and experiments in this study only focus on perturbing the water friendly aerosol loading over  
500 the GBR. Previous studies have shown that the thermodynamic environment is strongly modulated by the large-  
501 scale forcing, whose impact on the cloud field might surpass that of local aerosol perturbations (Dagan et al., 2018;  
502 Spill et al., 2021). Spill et al. (2021) show that the response of cumulus cloud and precipitation to the aerosol  
503 perturbation is much stronger in the idealised simulations without the large-scale forcing. This suggests a  
504 potentially limited effect of aerosols on cumulus cloud fields in the realistic condition due to the predominant  
505 influence of large-scale forcing. The nature variability of the marine shallow clouds and precipitation process  
506 could also explain some of the differences between the CTRL and Aerosol sensitivity runs.



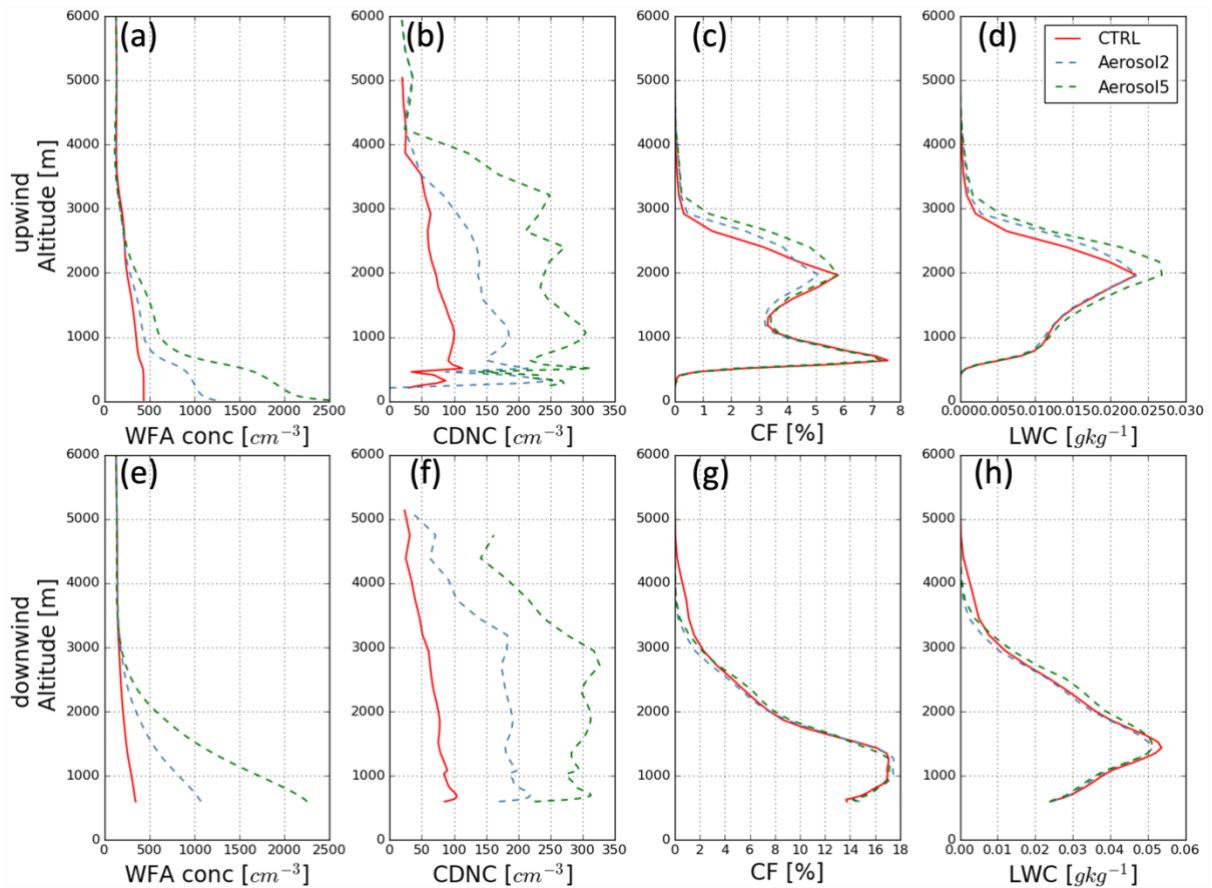
507

508 **Figure 11: Time series of domain-averaged (a) water-friendly aerosol number concentration, (b) cloud droplet number**  
 509 **concentration, (c) cloud fraction, (d) liquid water path, (e) shortwave cloud radiative effect, and (f) rain rate from 1 km**  
 510 **resolution with CTRL (red solid lines), Aerosol2 (blue dashed lines), and Aerosol5 (green dashed lines). The target area**  
 511 **is over upwind sub-domain.**



512

513 Figure 12: Same as Figure 11, but for downwind sub-domain.



514

515 **Figure 13: Vertical profiles of (a) domain-averaged water-friendly aerosol number concentration, (b) in-cloud averaged**  
 516 **cloud droplet number concentration, (c) domain-averaged cloud fraction, and (d) domain-averaged liquid water**  
 517 **content over the upwind sub-domain from CTRL (in red), Aerosol2 (in blue), and Aerosol5 (in green). The analysis is**  
 518 **for 60h simulation time after the spin-up from 2016-04-28 0000UTC to 2016-04-30 1200UTC. (e-h) same as (a-d), but**  
 519 **for downwind sub-domain.**

520

### 521 5.3 Local SST forcing

522 In this section, it has explored the sensitivity of cloud and precipitation properties over the GBR in response to  
 523 the local SST changes. A reduction in CF is noted over the upwind in the SST-cooler run, with the most notable  
 524 difference apparent at lower altitudes (Figure 8e). Over the downwind sub-domain, the CF has only a small  
 525 difference between the CTRL and SST-cooler experiments (Figure 7e). However, a decrease in the accumulated  
 526 precipitation is discernible over downwind points with the peak accumulated precipitation (Figure 9e). Similar  
 527 but more variable findings are evident from the SST-climatology experiment. Figure 7f shows small but more  
 528 complex changes in CF in the downwind area with associated positive and negative changes in accumulated  
 529 precipitation (Figure 9f). These variable impacts are likely due to the non-uniform (positive and negative) changes  
 530 in SST associated with the differences between the CTRL and SST-climatology runs. (Figure S1). The downwind  
 531 effect on precipitation is similarly discernible in the SST-climatology, however there are no noteworthy results  
 532 related to the cloud fraction (Figure 7f).



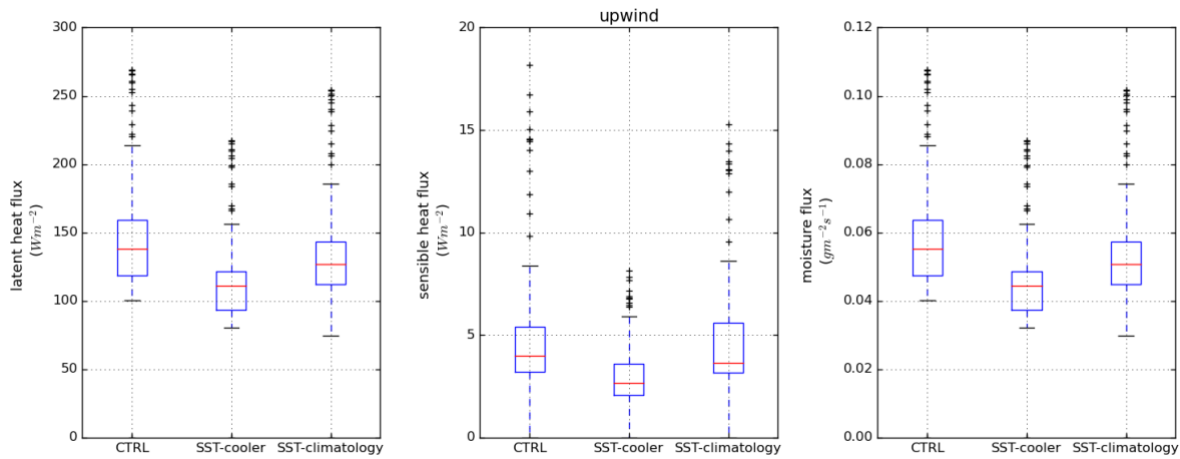
533 Theoretically, a warmer SST will likely provide more water vapor in the atmosphere through increased surface  
534 latent heat fluxes (Rieck et al., 2012; Vogel et al., 2016). The increased atmospheric water vapor, under such  
535 conditions, will consequently induce more rainfall at local and downstream regions. A decrease in surface heat  
536 flux and moisture flux is noted in the SST-cooler experiment (Figure 14), preventing the formation and  
537 development of the shallow cloud. There is a relative minor difference in averaged surface flux between the CTRL  
538 and the SST-climatology experiment (Figure 14), that is potentially associated with non-uniform modifications in  
539 SST (Figure S1). However, a notable wide distribution of surface flux is likely contributing to the complex  
540 response of cloud and precipitation in the SST-climatology (Figures 7f and 9f). It is also suggested that with a  
541 warmer SST, the boundary layer becomes more humid, which becomes destabilized by increased clear-sky  
542 radiative cooling, driving more cumulus convection (Narenpitak and Bretherton, 2019; Wyant et al., 2009;  
543 Narenpitak et al., 2017). In this study, the SST-cooler experiment reveals a more stable lower troposphere  
544 (Figure 15a) compared to CTRL, which inhibits the formation of trade wind clouds. A slight unstable  
545 condition is seen in the SST-climatology experiment, which is likely contributed to the warmer pool at the  
546 upwind ocean, driving a more variable changes in cloud and precipitation (Figures 7f and 9f). Another factor  
547 that controls the cloud amount is the free tropospheric humidity (Bretherton et al., 2013; Eastman & Wood,  
548 2018). It is suggested that drier air at the level of free troposphere causes more entrainment drying, depleting  
549 the boundary layer cloud water. As shown in Figure 15b, slightly drier condition is seen at the lower level  
550 below 1km in the SST-cooler, however, there is no significant difference near the free troposphere (2.5km).  
551 Large-scale circulation as well as local processes play important role in driving the thermodynamic profiles  
552 (Nygård et al., 2021). Considering the magnitude of adjustment in surface temperature, the changes may not  
553 be felt by the upper atmospheric levels. This limited impact could be further diminished by large-scale  
554 atmospheric dynamics. Nevertheless, drier condition at lower level is likely contributing to the stabilized  
555 boundary layer simulated in the SST-cooler experiment. Finally, two measures of the inversion strength,  
556 specifically TWI strength and EIS, have been examined with SST experiment. The results show that  
557 inversion strength is not a predominant factor impacting the interactions of trade clouds and local SST  
558 forcing. As discussed above, the inversion strength is more likely to be influenced by synoptic to larger-  
559 scale atmospheric processes (Milionis and Davies, 2008), which have the potential to eclipse the impact of  
560 local forcings.

561 Over the tropics, the SST has been noted in several studies to be a key factor controlling the location of rainfall  
562 over various timescales (Lu and Lu, 2014; Jo et al., 2019; Wu et al., 2009; Wu and Kirtman, 2007). Takahashi  
563 and Dado (2018) highlight the impact of local and/or nearby SST on local and regional climate. In particular, they  
564 found that warmer local SST results in greater rainfall over the downstream land region in the mid-latitudes based  
565 on observational dataset, which suggests strong air-sea coupling processes. Numerical sensitivity experiments in  
566 this study, to some extent, show consistent results, indicating that precipitation over the GBR shows response to  
567 the underlying SST conditions, though the effect is weak on the scales considered here. A downwind effect on the  
568 precipitation has been found over the Wet Tropics. Sensitivity of cloud fraction in response to SST variation is  
569 seen over the water area, though the magnitude is minor in comparison with the major orographic impacts. The  
570 relationship between cloud fraction and SST at the lower levels have been found to largely depend on the type of  
571 cloud and its height (Cesana et al., 2019). A stronger response of “lower-top” shallow cloud properties (i.e.

572 stratocumulus) to the changes in SST is noted in Cesana et al. (2019) in comparison with “higher-top” shallow  
573 clouds, for example, cumulus. As such, much minor responses to the changes in SST are expected when  
574 considering cloud fields with the higher cloud tops. In this study, instances of simulated cloud field with cloud  
575 tops over 3km could largely contribute to the variety of the responses to SST forcing.

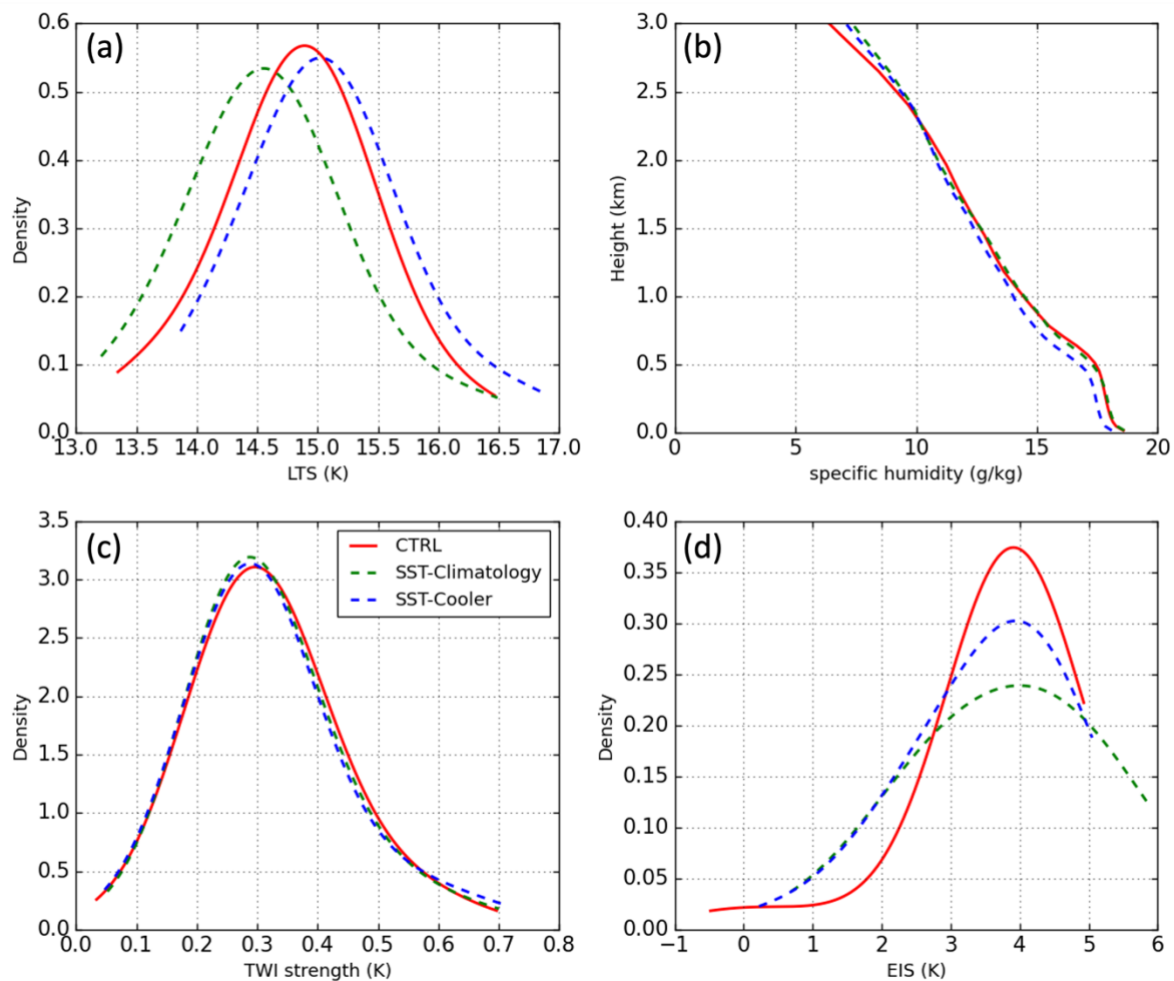
576 Overall, simulations in this study suggest that warm-cloud precipitation over the GBR is sensitive to the  
577 underlying local SST forcing, though the responses are weak on the scales considered in this study. The lower  
578 troposphere stability and surface heat and moisture flux primarily likely explain most of the responses of trade  
579 cumulus over the GBR to local SST forcing. This work supports the important role of local SST on the regional  
580 climate over the GBR, but further work on understanding the synoptic and thermodynamic background and air-  
581 sea coupling processes is necessary to help elucidate the mechanisms involved.

582



583

584 **Figure 14: Boxplots showing the comparison of latent heat flux, sensible heat flux, and moisture flux from 1 km**  
585 **resolution simulation with CTRL, SST-cooler, and SST-climatology. The analysis is for 60h simulation period, and**  
586 **over the upwind sub-domain.**



587

588 **Figure 15: PDF distribution of the (a) LTS, (b) vertical profile of humidity, (c) TWI strength, and (d) EIS over the**  
 589 **upwind area. The analysis covers the whole 60 h simulation period after the spin-up time. Red solid lines represent**  
 590 **results from CTRL, and dashed lines are for SST sensitivity experiments.**

591

## 592 **6 Summary and conclusions**

593 A primary aim of this research is to study the sensitivity of trade cumulus precipitation to different local forcings  
 594 over the GBR using a case study of an orographic precipitation event associated with low-level trade cumulus at  
 595 the end of April 2016. The selected days for the simulations are characterised by well-defined cumulus and  
 596 stratocumulus under the trade wind regime without any overlying high clouds. These trade cumuli are observed  
 597 to generate precipitation over the Wet Tropics around Townsville.

598 The large-scale meteorology is well captured in the CTRL simulation in terms of the location, duration and  
 599 magnitude of the surface pressure system and wind fields. Comparison of the upper air profiles from both  
 600 Townsville and Wills Island sounding stations showed good agreement in wind speed, wind direction and  
 601 temperature profiles. The CTRL simulation also demonstrated a considerable level of skill in simulating both the  
 602 spatial distribution and the intensity of precipitation over the Wet Tropics as compared to ground observations.

603 Sensitivity experiments are conducted to investigate the sensitivity of trade cumulus and precipitation in response  
604 to the local forcings. Major findings from the sensitivity analysis presented in this study are summarized as follows:

- 605 • Reducing the elevation above 300m by 75% decreases the cloud fraction and accumulated precipitation  
606 over the Wet Tropics, including both downwind and upwind areas. Weaker vertical velocity, low-level  
607 wind convergence and more stable lower troposphere are generated in the Topo300 run over the  
608 downwind sub-domain, suggesting their crucial role in rainfall production. The reduced TWI base height  
609 in the Topo300 run is found to limit the cloud-precipitation development over the upwind water area.
- 610 • Cloud microphysical properties, including CDNC, LWP, and precipitation are sensitive to the changes  
611 in atmospheric aerosol population over the GBR. Higher CDNC and LWP correlated to increased aerosol  
612 number concentration leads to a rise in SWCRE, though the magnitude is small, over both sub-domains.  
613 Although CF remains largely unchanged, a deepened cloud is evident over the upwind when WFANC is  
614 increased. A downwind effect on cloud and precipitation properties is further noted.
- 615 • Cloud fraction and total precipitation over the GBR show a small response to the underlying local SST  
616 forcing. A reduction in cloud fraction is noted over the upwind water area when the initial SST is reduced,  
617 but any difference is negligible over the downstream orographic region. There is a decrease in the  
618 accumulated precipitation in the SST sensitivity experiments over downwind grids where the peak  
619 accumulated precipitation generated. These small decreases in cloud fraction and accumulated  
620 precipitation are likely associated with the consistently decreased surface flux and stabilized lower  
621 troposphere in the SST experiments.

622 It should be noted that a limitation of this present study is the choice of model set-up in relation to the aerosol  
623 representation. In this work, the microphysics scheme simply treats aerosol categories into ‘water friendly’ and  
624 ‘ice friendly’. While using a more comprehensive representation of aerosol sources in the model is desirable for  
625 a more complete understanding of the complex interactions between aerosols and atmospheric processes (Ghan  
626 et al., 2012; Wang et al., 2013), these aerosol-resolving models commonly come at a significant computational  
627 cost and are simply unaffordable at a cloud-resolving resolution over a large domain. The primary aim of this  
628 study is to better understand the first-order impacts of local forcings on the clouds and precipitation over the GBR,  
629 which is the first step towards a more comprehensive investigation of aerosol-cloud-climate interactions. This  
630 research requires a large domain at reasonably high resolution to properly capture the complex interactions  
631 between the large-scale meteorology and local forcings, which are critical for trade-wind cloud formation (e.g.  
632 Vogel et al., 2020; Bretherton and Blossey, 2017). Although far from perfect, the use of the (simplified) aerosol-  
633 aware Thompson and Eidhammer (2014) scheme in a convection-permitting configuration is a reasonable middle  
634 ground to address these two critical needs. Note that a combination of sulfates, sea salts, and organic matter is  
635 found to represent a significant fraction of known CCN and are found in abundance in clouds worldwide  
636 (Thompson and Eidhammer, 2014). Therefore, while it would be an interesting (and important) topic for a  
637 different project, a precise understanding of aerosol sources, amounts and composition is beyond the scope of the  
638 present study.

639 It might be considered desirable to employ the higher-resolution modelling such as large-eddy simulation (LES)  
640 to better resolve the details of the complex cloud and precipitation processes. Although simulations in this study

641 are at a lower resolution than needed to resolve detailed cloud processes such as entrainment and convective  
642 aggregation, they are at a high enough resolution to explicitly represent convection while allowing for a  
643 considerably larger domain size at an affordable computational cost. The significance of a large domain size has  
644 been demonstrated in accurately representing the mesoscale organization of trade-wind cumulus (Vogel et al.,  
645 2020; Bretherton and Blossey, 2017). High-resolution LES is not currently possible at the larger domain sizes  
646 considered in this study, and therefore it is difficult to use it to study detailed interactions between orography,  
647 cloud organisation and variation, and large-scale forcings. In addition, it is acknowledged that presenting  
648 statistical significance values is inherently challenging in case studies due to the unique nature of each case and  
649 limited samples. However, it is believed that the careful consideration of the model's set-up, combined with a  
650 thorough comparative analysis, allows this study to present the findings with a reasoned level of confidence.

651 Although a range of responses of cloud and precipitation to the local forcings are produced across the GBR in  
652 simulations presented in this study, it is recognised that ensemble analysis is necessary in the future to better  
653 represent the natural variability of these trade clouds and precipitation properties. Subsequent research endeavours  
654 should also consider the influence of additional local forcings, such as wind shear (Yamaguchi et al., 2019), in  
655 the modulation of cloud and precipitation dynamics within trade wind regimes. Li et al. (2014) also suggests that  
656 downdrafts at the cold pool boundary play an important role in the development of trade wind cumuli. These  
657 studies highlight the complex nature of atmospheric dynamics in trade wind cumuli and emphasize the necessity  
658 of comprehensive investigations into these additional variables to enhance our understanding of cloud and  
659 precipitation in trade-wind cumuli in the GBR region. In situ observations will also be necessary to help  
660 investigate the detailed lifecycle of these low-level clouds and the development of the topographic precipitation.  
661 Furthermore, there is a need to carry out case studies during the time of intense SST increases that may induce  
662 thermal coral bleaching over the GBR. Nevertheless, this analysis sheds some light on understanding the  
663 interactions between trade wind cumuli and local forcings across the GBR and Wet Tropics, where the importance  
664 of the local low-level cloud in thermal coral bleaching has recently been identified. This study also holds  
665 significant relevance for assessing the comprehensive effects of proposed climate intervention techniques, such  
666 as marine cloud brightening, on the thermal balance of the GBR region. While this study provides specific insights  
667 into the sensitivity of trade cumulus precipitation over the GBR in a particular time frame, its methodologies and  
668 findings have broader applications. It offers valuable insights that can be extrapolated to other regions  
669 characterized by pristine trade wind and trade-cumulus conditions, contributing to a more comprehensive  
670 understanding of regional climate dynamics.

671 **Data availability statement**

672 All data sets used in this study are freely and publicly available online and may be accessed directly as follows.  
673 The University of Wyoming upper-air sounding is downloaded from  
674 <http://weather.uwyo.edu/upperair/sounding.html>. The ERA5 reanalysis data is available at the [website](https://cds.climate.copernicus.eu/cdsapp#!/search?-type=dataset):  
675 <https://cds.climate.copernicus.eu/cdsapp#!/search?-type=dataset>. The Australian Bureau of Meteorology Daily  
676 rainfall can be downloaded from the website: <http://www.bom.gov.au/climate/data/index.shtml>. The Himawari-8  
677 full disk observational products are available at NCI THREDDS Data Server:  
678 <https://dapds00.nci.org.au/thredds/catalogs/ra22/satellite-products/arc/obs/himawari-ahi/fldk/fldk.html>. The  
679 Himawari-8 true color imagery and cloud types classification are available at JAXA Himawari Monitor supplied  
680 by P-Tree System: <https://www.eorc.jaxa.jp/ptree/>.

681 **Author contribution:** W.Z., Y.H., S.S. and M.M. developed the ideas and designed the study. W.Z. collected the  
682 data, performed the analysis and prepared the draft manuscript. Y.H., S.S., M.M. and D.H. supervised and  
683 reviewed the manuscript. All authors made substantial contributions to this work and approved the final version  
684 of the manuscript.

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686 Physics. The peer-review process was guided by an independent editor, and the authors have also no other  
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