

# Numerical modeling of stresses and deformation in Zagros-Iranian plateau region

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## Key Points

- We have computed stresses and deformation of Zagros-Iran region with finite element modeling.
- Lithospheric stresses play an important role in the east of Iran.
- The joint models of lithosphere and mantle convection are able to explain various deformation indicators in the study area.

## Abstract

Zagros orogeny System resulted due to collision of the Arabian plate with the Eurasian plate. The region has the ocean-continent subduction and continent-continent collision; and convergence velocity shows variation from east to west. Therefore, this region shows the complex tectonic stress and a wide range of diffuse or localized deformation between both plates. The in-situ stress and GPS data are very limited and sparsely distributed in this region, therefore, we performed a numerical simulation of the stresses causing deformation in the Zagros-Iran region. The deviatoric stresses resulting from the variations in lithospheric density and thickness; and those from shear tractions at the base of the lithosphere due to mantle convection were computed using thin-sheet approximation. Stresses associated with both sources can explain various surface observations of strain rates,  $S_{Hmax}$ , and plate velocities; thus, surface observations of strain rates,  $S_{Hmax}$ , plate velocities etc. are explained using the joint models of lithosphere and mantle, suggesting a good coupling between lithosphere and mantle in most parts of Zagros and Iran. As the magnitude of stresses due to shear tractions from density-driven mantle convection is higher than those from lithospheric density and topography variations in the Zagros-Iranian plateau region, mantle convection appears to be the dominant driver of deformation in this area. However, the deformation in the east of Iran is caused primarily by lithospheric stresses. The plate velocity of the Arabian plate is found to vary along the Zagros belt from north-northeast in the southeast of Zagros to the northwest in the northwestern Zagros, similar

to observed GPS velocity vectors. Plate motion of Arabian plate is found to vary along the Zagros belt from north-northeast in south-east of Zagros, north in central Zagros to slight northwest in the northwestern Zagros. The output of this study can be used in seismic hazards estimations.

**Keywords-** Stress field, Gravitational Potential Energy, Mantle convection, Zagros, collision

## Plain Language Summary

We used numerical models to study the stresses causing deformation in the Zagros-Iran region. The stresses are generated due to variations in the density and topography of the lithosphere, which were computed through Gravitational potential energy (GPE) difference. Mantle convection produces shear tractions that are also an important source of stresses causing deformation. Different models of crustal structure and density of lithosphere give varying GPE, thus leading to different interpretations of the type of deformation in the study area. On the other hand, all mantle convection models in our study predicted consistent deviatoric stresses and were able to explain most observations of  $S_{Hmax}$ , plate velocities, and strain rates. Despite this, the lithosphere plays an important role in driving deformation, especially in the east of Iran. Overall, the lithospheric stresses when combined with those from mantle convection gave the best fit to the observed data.

## 1 Introduction

Zagros mountains are a part of the Alpine-Himalayan belts that originated due to the Arabian plate colliding with southern boundary of the Eurasian plate. This collision resulted from the closing of the Neotethys Ocean and formed Zagros fold and thrust belt (Agard et al., 2005, 2011; Alavi, 1980; Mouthereau et al., 2012). The Zagros mountains extend from the eastern part of the Anatolia for over 1500 km in the NW-SE direction till the Makran subduction zone, showing large-scale diffuse deformation. Despite the first-order characteristics of Though there has been an increase in the influx of various studies trying to constrain the active deformation and present-day kinematics of Zagros orogen is relatively well understood (Allen et al., 2011; Le Dortz et al., 2009; Reilinger et al., 2010; Vernant et al., 2004; Walker, 2006), there are debates about various processes in this region, e.g. the timing of the collision is debated. Various authors (Jolivet & Faccenna, 2000; Agard et al., 2005, 2011; Vincent et al., 2005; Ballato et al., 2006) have suggested that collision onset time to be in Late Eocene to Oligocene; however, Timing of collision ranges from Cretaceous (Alavi, 1994; Mohajjel & Fergusson, 2000) to Miocene (Berberian & King, 1981) or Eocene (Allen & Armstrong, 2008; Jolivet & Faccenna, 2000). However, there has been an increasing consensus on Late Eocene to Oligocene for the onset of collision (Jolivet & Faccenna, 2000;

58 Agard et al., 2005, 2011; Vincent et al., 2005; Ballato et al., 2011; Mouthereau et al., 2012; Koshnaw  
59 et al., 2019). Ghalamghash et al. (2009); Mazhari et al. (2009) have argued Late Palaeocene or Early  
60 Eocene for the onset of collision. The Zagros and its foreland area have a great source of natural  
61 resources like petroleum. The study area consists of the ocean-continent subduction as well as the  
62 continental collisions. The convergence rate of the Arabian plate relative to the Eurasia varies from  
63 east to west (Figure 1). These complex structures and convergence velocity variation made the variable  
64 tectonic stress and deformation. The geophysical, geological and geodesy studies show that these areas  
65 are seismic active based on the earthquake data, fault slip rates and GPS velocities, which is related to  
66 the complex stress field in this region. The Arabia-Eurasia collision zone is a tectonically active region,  
67 where ongoing convergence is accommodated by distributed shortening across the Zagros Mountains  
68 and the northern and eastern margins of the Iranian Plateau and the southern Caspian Sea. The rate  
69 of convergence of Arabia relative to Eurasia also varies significantly, decreasing from 36 mm/yr in the  
70 east to 16 mm/yr in the west (Figure 1). The diverse structures, tectonic history, and convergence ve-  
71 locity variations in the Zagros-Iran plateau region lead to variable tectonic stresses and deformations,  
72 thus making it the focus of various geophysical, geological, and geodesy studies (Engdahl et al., 2006;  
73 Hatzfeld et al., 2010; Khorrami et al., 2019; Masson et al., 2006; Tunini et al., 2016, 2017). Based on  
74 earthquake focal mechanisms, fault slip, and GPS velocities, the Zagros-Iran region has been categor-  
75 ized as a highly seismic region; thus a better constraint on stresses and deformation in this region may  
76 be helpful in disaster mitigation studies.

77 Generally, tectonic stress refers to the forces acting on the Earth's crust that cause it to deform or un-  
78 dergo changes and it's classified by the first, second and third order on the spatial scale (Heidbach et al.,  
79 2007; Zoback, 1992). The first-order stresses originate due to the plate boundaries force like ridge push,  
80 slab pull and continental collisional; and second-order stresses by the rifting, isostasy and deglaciation.  
81 Moreover, third-order stresses are caused by local sources like interaction faults systems, topography and  
82 density heterogeneity. Therefore, to understand the origin of these stresses, in-situ stress measurements  
83 are done using the focal mechanism inversion, wellbore breakouts, hydraulic fracturing and overcoring,  
84 and compiled under the word stress map project. However, in-situ stress data are sparsely distributed and  
85 limited, so numerical modeling plays an important role in understanding the kinematics and dynamics of  
86 the Zagros-Iran region. Numerical modeling of tectonic stresses and deformation is generally conducted  
87 in two approaches (1) using 2D and 3D geometrical structure, plate boundary forces like ridge push, slab  
88 pull and continents collision forces and rheological properties like Young's modulus, Poisson's ratio, vis-  
89 cosity, density etc. (Coblentz & Sandiford, 1994; Dyksterhuis & Müller, 2008; Koptev & Ershov, 2010;  
90 Richardson et al., 1976; Yadav & Tiwari, 2018), and, (2) considering Gravitational Potential energy and  
91 shear tractions from mantle convection with thin sheet approximation (Bird, 1998; Flesch et al., 2001;

92 Ghosh & Holt, 2012; Lithgow-Bertelloni & Guynn, 2004; Singh & Ghosh, 2020). Therefore, the world  
93 stress map (WSM) provides in-situ stress measurement and the compilation from the focal mechanism,  
94 hydrofracturing, and borehole breakout. However, the in-situ stress (WSM) and GPS velocity data  
95 (ArRajehi et al., 2010; Bayer et al., 2006; Frohling & Szeliga, 2016; Khorrami et al., 2019; Masson et al., 2006, 2007)  
96 are very limited and sparsely distributed in this region; therefore, there is need for a numerical simulation  
97 study to comprehend the knowledge.

98 Although numerical modeling of tectonic stress and deformation was conducted in two approaches  
99 (1) using 2D and 3D geometrical structure, plate boundary forces like ridge push, slab pull and continents  
100 collision forces and rheological properties like Young's modulus, Poisson's ratio, viscosity, density  
101 (Dyksterhuis & Müller, 2008; Coblenz et al., 1994; Koptev & Ershov, 2010; Richardson et al., 1976; Yadav & Tripathi, 2017)  
102 and, (2) considering Gravitational Potential energy and shear tractions from mantle convection with thin  
103 sheet approximation (Bird, 1998; Lithgow-Bertelloni & Guynn, 2004; Flesch et al., 2001; Ghosh & Holt, 2012; Sibson, 1988).  
104 Stress studies showed that it's classified by the first, second and third order on the spatial scale (Zoback, 1992; Heidreichs et al., 2007).  
105 The first-order stresses are originated due to the plate boundaries force like ridge push, slab pull and  
106 continental collisional; and second order stress by the rifting, isostasy and deglaciation. Moreover,  
107 third-order stress are caused by local sources like interaction faults systems, topography and density  
108 heterogeneity.

109 There are various studies that have tried to investigate present-day stresses and deformations of the  
110 Zagros-Iranian plateau region using focal mechanism inversions, GPS data and numerical modeling.  
111 The stresses were computed through the inversion of focal mechanisms in areas like the Zagros fold-  
112 and-thrust belt (Nouri et al., 2023; Sarkarinejad et al., 2018; Yaghoubi et al., 2021), Zagros-Makran  
113 transition zone (Ghorbani Rostam et al., 2018), western Zagros (Navabpour et al., 2008), NW Iran-  
114 SE Turkey (Mokhoori et al., 2021), NE Lut Block, Eastern Iran (Rashidi et al., 2022; Raeesi et al.,  
115 2017), and the south Caspian (Jackson et al., 2002). The GPS studies also provide constraints on  
116 the present-day deformation in Zagros-Makran transition zone (Bayer et al., 2006), Makran subduc-  
117 tion zone (Frohling & Szeliga, 2016), Iran (Khorrami et al., 2019; Masson et al., 2006, 2007; Ver-  
118 nant et al., 2004; Walpersdorf et al., 2014), Nubia–Arabia–Eurasia plate system (Reilinger & Mc-  
119 Clusky, 2011). numerical studies conducted for tectonic stresses and deformation in Zagros-Iranian region  
120 (Austermann & Iaffaldano, 2013; Md & Ryuichi, 2010; Francoois et al., 2014; Khodaverdian et al., 2015; Vernant et al., 2004).  
121 Sobouti & Arkani-Hamed (1996) studied the large scale tectonic processes of the region and repro-  
122 duced observed faulting patterns by considering highly rigid central Iran and the South Caspian Sea  
123 using a viscous thin-sheet approximation. On the other hand, Md & Ryuichi (2010) used finite ele-  
124 ment modeling (FEM) to analyse the neotectonic stress field of Zagros and adjoining area modelled  
125 the maximum horizontal compressive stress ( $S_{Hmax}$ ) orientations and showed N-S/NNE-SSW oriented



126  $S_{Hmax}$  in Lurestan and eastern Zagros Simple Folded Belt, whereas they were aligned in NW-SE directions  
127 around Main Recent fault (MRF) and in the northern High Zagros Faults (HZF). Sobouti & Arkani-Hamed (1996)  
128 reproduced observed faulting patterns by considering highly rigid central Iran and the South Caspian  
129 Sea using a viscous thin-sheet approximation. Further, the kinematic model by Khodaverdian et al.  
130 (2015) provided constraints on fault slip rates, plate velocities and seismicity of the Iranian  
131 Plateau. Most of the deformation studies done in this region focus on different tectonic fragments  
132 of the Arabia-Eurasia collision zone. Moreover, the previous studies do not include the role of shear  
133 tractions associated with mantle convections in affecting the deformation and stresses in the Zagros-  
134 Iran regions. The previous models did not include the mantle convections derived shear tractions for  
135 computation of deformation and stress in the Zagros-Iran regions.

136 In this study, we investigate the stress and deformation in Zagros-Iranian Plateau region to constrain  
137 the forces acting in this region with gravitational potential energy (GPE) and shear traction of mantle  
138 tractions. We will use a thin viscous sheet model based on Flesch et al. (2001) to compute various de-  
139 formation parameters such as deviatoric stresses, strain rates, most compressive principal stress ( $S_{Hmax}$ ),  
140 and plate velocities within the Zagros-Iran region.

## 141 2 Tectonic and Geology

142 The evolution of the Zagros mountain belt is a direct consequence of continental collision between  
143 the Arabian and Eurasian plates. Zagros are located at the northeastern margin of the Arabian plate,  
144 trending in the southwest direction (Figure 1). It is bounded by the Main Zagros thrust (MZT) in the  
145 northeast, while it joins the Taurus mountains in southern Turkey in the northwest. In the southeast, N-S  
146 trending Minab-Zandan fault zone separates Zagros from the Makran range. Outer Zagros are the young  
147 folded mountains in the southwest parts of the orogeny (Falcon, 1974; Sattarzadeh et al., 2002). High  
148 Zagros fault (HZF) separates highly deformed metamorphic rocks of inner Zagros from Simply folded  
149 mountains of outer Zagros (Hatzfeld & Molnar, 2010; Hatzfeld et al., 2010). Inner Zagros are bounded  
150 by MZT in the northeast and are dominated by thrust faulting, possibly due to compression during the  
151 Late Cretaceous (Alavi, 1980). The northwestern Zagros is separated from central Zagros by a north-  
152 south trending strike-slip zone of deformation, known as Kazerun Fault System (KFS) (Authemayou  
153 et al., 2005).

154 Zagros mountains were formed between  $\sim 35$  and  $\sim 23$  Ma due to the convergence of the Arabian  
155 platform beneath the central Iranian crust (Agard et al., 2005; Ballato et al., 2011; Mouthereau et al.,  
156 2012). The Arabian plate moves towards Eurasia with a plate velocity of 22-35 mm/yr (DeMets et al.,  
157 1990; McClusky et al., 2000; Jackson et al., 2002; McQuarrie et al., 2003; Reilinger et al., 2006) in

158 N-S to NNE direction. ~~Zagros mountain belt is also accompanied by~~ The convergence of two rigid  
159 plates of Arabia and Eurasia leads to a zone of widespread deformation in the form of the high plateaus  
160 of Iran. Iranian plateau extends from the Caspian Sea and the Kopeh Dagh range in the north to the  
161 Zagros Mountains in the west. Iranian plateau is bounded by the Persian Gulf and Hormuz Strait in  
162 the south and the political borders of the country on the eastern side. Several tectonic processes such  
163 as intracontinental collisions, subduction along the Makran and the transition from Zagros fold-thrust  
164 belt to the Makran subduction zone contribute to the complex tectonics of the Iranian plateau. Numerous  
165 earthquakes occur in these high terrains due to sustained tectonic activities; hence, these areas are prone  
166 to large seismic hazards.

167 During the last few decades, various geophysical ~~studies~~ surveys (receiver functions, deep seismic,  
168 GPS and tomographic) studies) have been carried out in the Zagros-Iran region to investigate the ~~struc-~~  
169 ~~ture and~~ deformation in this region. The southeastern Zagros accommodate the convergence between  
170 Arabia and Eurasia by pure shortening occurring through high-angle ( $30^\circ - 60^\circ$ ) reverse faults that are  
171 perpendicular to the belt (Hessami et al., 2006; Irandoust et al., 2022; Walpersdorf et al., 2006). On  
172 the other hand, oblique convergence in central and northern Zagros is partitioned into a strike-slip com-  
173 ponent that is accommodated on MRF and shortening occurring across the belt (Jackson et al., 2002;  
174 Talebian & Jackson, 2002). Zagros is separated from Makran subduction zone (MSZ) by Minab-Zendan-  
175 Palami (MZP) fault ( $54^\circ - 58^\circ E$ ), which is a right-lateral strike-slip fault (Bayer et al., 2006). East of  
176 MZP shows significant shortening that is accommodated through the subduction in MSZ. Due to the  
177 difference between convergence rates, a shearing occurs in eastern Iran which is accommodated by the  
178 N-S trending faults bounding the Lut block. In northern Iran, fold and thrust belt of Alborz accommo-  
179 dates a quarter of the Arabia-Eurasia convergence Irandoust et al. (2022). The oblique convergence in  
180 eastern Alborz is also partitioned into shortening at the southern boundary and a left-lateral component  
181 across the mountain belt (Irandoust et al., 2022; Khorrami et al., 2019; Tatar & Hatzfeld, 2009). Alborz  
182 mountains extend into Talesh in the west which shows thrust faulting on nearly flat faults. Kopeh-Dagh  
183 range in northeast accommodates the Arabia-Eurasia convergence through N-S shortening on major  
184 thrust faults in the south.

## 185 **3 Modeling**

### 186 **3.1 Equations**

187 To model the ~~present-day~~ stresses causing deformation in the Zagros-Iranian plateau due to the Arabia-  
188 Eurasia collision, we solve ~~three-dimensional (3D)~~ the force balance equations, considering the thin

189 sheet approximation.

$$190 \quad \frac{\partial \sigma_{ij}}{\partial x_j} + \rho g_i = 0 \quad (1)$$

191 Here  $\sigma_{ij}$ ,  $x_j$ ,  $\rho$ , and  $g_i$  indicate the  $ij^{th}$  component of the total stress tensor,  $j^{th}$  coordinate axis, density  
192 and acceleration due to gravity respectively (England & Molnar, 1997; Ghosh et al., 2013b).

193 In the above equation, total stress,  $\sigma_{ij}$  is substituted by deviatoric stress using the following relation:

$$194 \quad \tau_{ij} = \sigma_{ij} - \frac{1}{3} \sigma_{kk} \delta_{ij} \quad (2)$$

195 In the above equation, the Kronecker delta and mean stress are denoted by  $\delta_{ij}$  and  $\frac{1}{3} \sigma_{kk}$  respectively. The  
196 force balance equation (1) is integrated up to the base of lithospheric sheet (L), resulting in following  
197 full horizontal force balance equations:

$$198 \quad \frac{\partial \bar{\tau}_{xx}}{\partial x} - \frac{\partial \bar{\tau}_{zz}}{\partial x} + \frac{\partial \bar{\tau}_{xy}}{\partial y} = -\frac{\partial \bar{\sigma}_{zz}}{\partial x} + \tau_{xz}(L) \quad (3)$$

$$199 \quad \frac{\partial \bar{\tau}_{yx}}{\partial x} + \frac{\partial \bar{\tau}_{yy}}{\partial y} - \frac{\partial \bar{\tau}_{zz}}{\partial y} = -\frac{\partial \bar{\sigma}_{zz}}{\partial y} + \tau_{yz}(L) \quad (4)$$

200 In equation (3) and (4), the over bars indicate integration over depth. Both equations (3 and 4) contain  
201 the first term representing horizontal gradients of GPE per unit area ~~in~~ on the right hand side. On the  
202 other hand, the shear tractions at the lithosphere base (L) arising due to mantle convection are denoted  
203 by  $\tau_{xz}(L)$  and  $\tau_{yz}(L)$  (Ghosh et al., 2009).

204 Both of the force balance equations (3 & 4) were solved using the finite element technique (Flesch  
205 et al., 2001; Ghosh et al., 2009, 2013b; Singh & Ghosh, 2019, 2020) for a 100 km thick lithosphere of  
206 varying strength (Figure S1a). The laterally varying viscosities for the lithosphere were assigned from  
207 Singh & Ghosh (2020). After solving these equations, we obtained the horizontal deviatoric stresses,  
208  $S_{Hmax}$ , strain rates as well as plate velocities and compared them with observations.

209 The quantitative comparison between predicted and observed  $S_{Hmax}$  axes (Figure 3a) was performed  
210 by computing the misfit given by  $\sin\theta(1+R)$  (Ghosh et al., 2013a; Singh & Ghosh, 2019, 2020), where  
211 R represents the quantitative difference between stress regimes of observed and predicted  $S_{Hmax}$ , while  
212  $\theta$  denotes the angular difference between both. Hence, this misfit accounts for both the angular and  
213 regime misfits with values lying between 0 and 3.

214 The correlation between predicted deviatoric stresses and GSRM strain rates (Figure 3b) (Flesch  
215 et al., 2007; Ghosh et al., 2013b; Singh & Ghosh, 2019, 2020) is given by following equation:

$$216 \quad -1 \leq \sum_{areas} (\epsilon \cdot \tau) \Delta S / \left( \sqrt{\sum_{areas} (E^2) \Delta S} * \sqrt{\sum_{areas} (T^2) \Delta S} \right) \leq 1 \quad (5)$$

217 where  $E = \sqrt{\dot{\epsilon}_{\phi\phi}^2 + \dot{\epsilon}_{\theta\theta}^2 + \dot{\epsilon}_{rr}^2 + \dot{\epsilon}_{\phi\theta}^2 + \dot{\epsilon}_{\theta\phi}^2} = \sqrt{2\dot{\epsilon}_{\phi\phi}^2 + 2\dot{\epsilon}_{\phi\phi}\dot{\epsilon}_{\theta\theta} + 2\dot{\epsilon}_{\theta\theta}^2 + 2\dot{\epsilon}_{\phi\theta}^2}$ ,  $T = \sqrt{\tau_{\phi\phi}^2 + \tau_{\theta\theta}^2 + \tau_{rr}^2 + \tau_{\phi\theta}^2 + \tau_{\theta\phi}^2}$   
 218  $= \sqrt{2\tau_{\phi\phi}^2 + 2\tau_{\phi\phi}\tau_{\theta\theta} + 2\tau_{\theta\theta}^2 + 2\tau_{\phi\theta}^2}$ , and  $\epsilon \cdot \tau = 2\dot{\epsilon}_{\phi\phi}\tau_{\phi\phi} + \dot{\epsilon}_{\phi\phi}\tau_{\theta\theta} + \dot{\epsilon}_{\theta\theta}\tau_{\phi\phi} + 2\dot{\epsilon}_{\theta\theta}\tau_{\theta\theta} + 2\dot{\epsilon}_{\phi\theta}\tau_{\phi\theta}$ . In the above  
 219 equation, the second invariants of the strain rate and stress tensors are denoted by  $E$  and  $T$ . GSRM strain  
 220 rates, area and predicted deviatoric stresses are represented by  $\dot{\epsilon}_{ij}$ ,  $\Delta S$ , and  $\tau_{ij}$  respectively. To constrain  
 221 the plate velocities, we compute RMS as well as angular misfit between observed and predicted plate  
 222 velocities. We also get the relative plate velocities and strain rates as output from models. However, to  
 223 calculate the absolute plate velocities and strain rates, we require absolute viscosity values. We compute  
 224 the scaling factor for relative viscosities by placing the predicted velocities in a no-net-rotation (NNR)  
 225 frame, such that  $\int (v \times r) dS = 0$  and minimizing the misfit between the predicted dynamic velocities and  
 226 those from Kreemer et al. (2014). Here  $v$  denotes the horizontal surface velocity at position  $r$  and  $S$  is  
 227 the area over the Earth's surface (see Ghosh et al. (2013b) for details).

## 228 3.2 Crustal Models

229 In the right hand side of equations (3 & 4), the first term represents the vertically integrated vertical  
 230 stress. It is computed and integrated from the top of variable topography up to depth  $L$  (100 km)  
 231 (England & Molnar, 1997; Flesch et al., 2001; Ghosh et al., 2013b; Singh & Ghosh, 2019, 2020) using  
 232 the following relation:

$$233 \quad \bar{\sigma}_{zz} = - \int_{-h}^L \left[ \int_{-h}^z \rho(z') g dz' \right] dz = - \int_{-h}^L (L-z) \rho(z) g dz \quad (6)$$

234 where  $\rho(z)$ ,  $L$  and  $h$  denote density, the depth to the lithosphere base (100 km) and topographic elevation  
 235 respectively.  $z$  &  $z'$  are variables of integration and  $g$  represents the acceleration due to gravity. We also  
 236 calculated the stresses for thicker lithosphere ( $L=150$  km and  $L=200$  km) as studies have shown a much  
 237 thicker lithosphere in the region (Robert et al., 2017; Tunini et al., 2017) (Figure S2).

238 The right hand side of equation 6 is given by the negative of GPE per unit area. To calculate GPE  
 239 and the stresses associated with it, we used three global crustal models, CRUST2.0 (Bassin et al., 2000),  
 240 CRUST1.0 (Laske et al., 2013), and LITHO1.0 (Pasyanos et al., 2014). The upper crust thickness lies  
 241 within 15-20 km in the Zagros-Iran region for CRUST2 model (Figure 2a). However, the thickness of the  
 242 upper crust in the Zagros-Iranian region is much higher for CRUST1 and LITHO1 ( $> 25$  km) (Figures  
 243 2b & c). The Zagros-Iran region has a thicker middle crust ( $> 20$  km) in the case of both CRUST2 and  
 244 LITHO1 models (Figures 2d & f), while CRUST1 shows a much thinner middle crust ( $< 12$  km) in this  
 245 region (Figure 2e). The lower crust in the Zagros-Iran region is found to be very thin ( $< 10$  km) for all  
 246 three models (Figure 2g-i).

247 The density variations in the study area are minimal for CRUST2 model. CRUST2 also shows

248 the highest average density in all three layers ( $>2.7 \text{ g/cm}^3$ ) (Figure 2j,m,p). CRUST1 also indicates  
249 an average density of  $\sim 2.72 \text{ g/cm}^3$  in the Zagros-Iran region for the upper crust (Figure 2k). The  
250 middle and lower crustal layers of CRUST1 show average densities of  $2.80 \text{ g/cm}^3$  and  $\sim 2.85 \text{ g/cm}^3$ ,  
251 respectively (Figure 2n,q). LITHO1 model shows the lowest average density in the study area for all  
252 three layers (Figure 2l,o,r). The upper crust of LITHO models shows an average density of  $\sim 2.65$   
253  $\text{g/cm}^3$ . Central Iran block has relatively denser upper crust ( $\sim 2.75 \text{ g/cm}^3$ ), while the density decreases  
254 to  $\sim 2.62\text{-}2.64 \text{ g/cm}^3$  near the Zagros region. Similar patterns of density variations are observed in the  
255 middle and lower crust of LITHO1 model ((Figure 2o,r). Such differences in thickness and density data  
256 lead to varying GPE values, and hence subsequently, different stresses.

### 257 3.3 Mantle Convection

258 We ran mantle convection models using HC (Hager & O'Connell, 1981). HC is a semi-analytical mantle  
259 convection code that uses density anomalies derived from seismic tomography models and radial vis-  
260 cosity as inputs. Here, we considered four global mantle convection models, S40RTS (Ritsema et al.,  
261 2011), SAW642AN (Mégnin & Romanowicz, 2000), 3D2018\_S40RTS and S2.9\_S362 to infer the man-  
262 tle density anomalies. 3D2018\_S40RTS is a merged model of SV wave upper mantle tomography model,  
263 3D2018\_Sv given by Debayle et al. (2016), and S40RTS. S2.9 is a global tomography model of the up-  
264 per mantle with higher resolution which is given by Kustowski et al. (2008b). We merged this model  
265 with the global shear wave velocity model, S362ANI (Kustowski et al., 2008a) to obtain the merged  
266 tomography model of S2.9\_S362. We used two different radial viscosity structures, namely GHW13  
267 which is the best viscosity model from Ghosh et al. (2013b), and SH08 given by Steinberger & Holme  
268 (2008). GHW13 is a four layered viscosity structure, with a highly viscous lithosphere ( $\sim 10^{23} \text{ Pa-s}$ ).  
269 The viscosity drops to  $\sim 10^{20} \text{ Pa-s}$  in the asthenosphere, which again increases to  $\sim 10^{21} \text{ Pa-s}$  in upper  
270 mantle and  $\sim 10^{22} \text{ Pa-s}$  in the lower mantle (Figure S1b). On the other hand, the viscosity in SH08  
271 model increases gradually with depth and it has a slightly weaker lithosphere as compared to GHW13.  
272 It has the highest viscosity value of  $10^{23} \text{ Pa-s}$  around 2000-2300 km depth, and significantly lower vis-  
273 cosity for D" layer (Figure S1b). GHW13 viscosity model performed slightly better than SH08 in fitting  
274 the observed parameter, thus we have shown results from the same throughout this paper. However, we  
275 have also included the the predicted results and their fit to the observables in the supplementary section  
276 (Table S1). The radial viscosity model from Ghosh et al. (2013b), was used in our study to run mantle  
277 convection models.

## 278 3.4 Data

279 To have better constraints on ~~our~~ **this study's** models, we also estimated  $S_{Hmax}$  (most compressive hor-  
280 izontal principal axes) orientations as well as plate velocities. Various deformation indicators such as  
281  $S_{Hmax}$  orientations from the World Stress Map (WSM) (Heidbach et al., 2016), strain rates and plate  
282 velocities from Global Strain Rate Model (Kreemer et al., 2014) were used to perform a quantitative  
283 comparison with ~~our~~ the predicted results **of this study** (Figure 3).

284 WSM data is a global database of the crustal stress field obtained from various sources such as focal  
285 mechanisms; geophysical logs of borehole breakouts and drilled induced fractures; engineering methods  
286 such as hydraulic fractures and overcoring; and geological indicators that are obtained from fault slip  
287 analysis and volcanic alignments. These data have been assigned quality ranks from A to E based on  
288 the accuracy range. A-type data suggests that the standard deviations of  $S_{Hmax}$  orientations are within  
289  $\pm 15^\circ$  range,  $\pm 20^\circ$  for B-type,  $\pm 25^\circ$  for C-type and  $\pm 40^\circ$  for D-type. However, E-type indicates the  
290 data records are either incomplete or from non-reliable sources or the accuracy is  $> \pm 40^\circ$ . ~~Our~~ **This**  
291 study uses A-C quality stress data records (Figure 3a). Observed  $S_{Hmax}$  axes are aligned in NNE-SSW  
292 directions in Zagros with dominant thrust faulting. NW and Central Iran show some strike-slip mode of  
293 deformation with NE-SW compressional directions.

294 The strain rates and plate velocities are taken from GSRM v2.1 model (Kreemer et al., 2014) (Figure  
295 3b). GSRM v2.1 provides a global data set of strain rates and plate motions that are determined using  
296  $\sim 22,500$  geodetic plate velocities. Higher strain rates are observed along the simply folded mountains  
297 ( $\sim 40 - 100 \times 10^{-9}/yr$ ). Most of Iran shows strain rates ~~in~~ between  $4 - 10 \times 10^{-9}/yr$ . The plate motions  
298 used in our study for comparing with predicted velocities are given in a no-net-rotation (NNR) frame  
299 interpolated on a  $1^\circ \times 1^\circ$  grid. The velocity vectors show an eastward motion in the study area, which  
300 becomes nearly E-W in Afghan Block (Figure 3b).

## 301 4 Results

### 302 4.1 Stress and deformation due to GPE

303 Three crustal models (CRUST1.0, CRUST2.0 and LITHO1.0) were used to compute GPE within the  
304 study region. The second invariant of stress computed using GPE lies within  $\sim 10-12$  MPa along the  
305 Zagros for CRUST2 and CRUST1 models (Figure 4a,c). LITHO1 model predicts larger stress magni-  
306 tudes along Zagros (Figure 4e). NE-SW compressional stresses are observed along the frontal faults of  
307 Zagros (MFF) (Figure 1a,c). The central part of Zagros thrust faults (MZT) shows the strike-slip mode  
308 of faulting for nearly all three models (Figures 4 & 4b,d & f). The strike-slip regime further extends



309 into Sanandaj-Sirjan Zone (SSZ) while lies north of MZT for CRUST2 and LITHO1 model (Figure  
310 4b,f), while it transitions to thrust type of deformation in the north of MZT for CRUST1 (Figure 4d).  
311 The Urmia-Dokhtar Magmatic Arc (UDMA) and central Iran also show the strike-slip mode of fault-  
312 ing for CRUST2 and LITHO1. The north of MRF shows tension for CRUST2 model, while CRUST1  
313 predicts this area to be predominantly strike-slip. On the other hand, the entire region shows significant  
314 compression for LITHO1 model.

315 We compared predicted  $S_{Hmax}$  from our three GPE only models to observed  $S_{Hmax}$  orientations and  
316 type obtained from WSM (Heidbach et al., 2016) by computing Regime misfit (Figure 5, left panel).  
317 The average misfit is lowest for LITHO1 model with a value of 0.59 (Figure 5g), while CRUST2 model  
318 shows the highest average misfit of 0.77 (Figure 5a). High misfits (2 – 3) are observed North of MRF  
319 and Tehran for CRUST2, while lowest ( $< 1$ ) in case of LITHO1, suggesting that the dominant mode  
320 of faulting in this area is possibly thrust as opposed to normal deformation predicted by CRUST2. In  
321 central Iran,  $S_{Hmax}$  misfit is low ( $< 1$ ) when the dominant mode of deformation is strike-slip as predicted  
322 LITHO1 model.

323 On calculating the correlation between the predicted deviatoric stresses and GSRM strain rates, the  
324 LITHO1 model shows the highest average correlation (0.92) (Figure 5, middle panel). The correlation  
325 is found to be extremely poor ( $\sim -1$ ) for CRUST2 model in the north of MRF (Figure 5b). Such  
326 poor correlation suggests that the predicted stresses differ entirely from those causing deformation. For  
327 example, anti-correlation in north of MRF suggests that the dominant mode of deformation in this area  
328 might be thrust rather than normal faulting. Again, the correlation coefficient is less than 0.2 in the  
329 central Iranian Block for CRUST2 and CRUST1 models (Figure 5b,e), while LITHO1 model shows a  
330 better correlation suggesting the strike-slip type of deformation to be more prominent in central Iran  
331 (Figure 5h).

332 We predicted the plate velocities for all three models in the NNR frame and compared them with  
333 observed plate velocities obtained from Kreemer et al. (2014) (Figure 5 right panel). CRUST2 gives  
334 the least RMS error (7.32 mm/yr) and the lowest angular misfit ( $5.5^\circ$ ) (Figure 5c). LITHO1 model  
335 shows high misfits ( $> 20^\circ$ ) between observed and predicted velocities in the east of the central Iran (i.e.  
336 Afghan Block)(Figure 5i). Both CRUST2 and LITHO1 models predict the plate velocities very close to  
337 observed ones in the Zagros mountains, as shown by nearly zero angular misfits along Zagros (Figures  
338 5c & i). CRUST1 performs average in predicting the plate velocities in the study area (Figure 5f).

339 Interestingly, the use of thicker lithosphere to calculate GPE leads to the introduction of more com-  
340 pressional stresses in the region (Figure S2a-f). The average misfit between predicted and observed  
341  $S_{Hmax}$  is found to be lowest for the 200 km thick lithosphere (Table S2). Similarly, the correlation be-  
342 tween strain rate tensor and predicted stresses,; and rms error between observed and predicted NNR



343 velocities show significant improvement for thicker lithosphere. However, the improvement in fit is bet-  
344 ter for CRUST2 as opposed to the other two models, CRUST1 and LITHO1, where the misfit between  
345 observed and predicted velocities show an increase. Thus, we can say that while considering lithospheric  
346 contributions only, the thicker lithosphere does a better job of explaining the observed deformation in-  
347 dicators (Table S2).

## 348 4.2 Stress and deformation due to Mantle Convection

349 The deviatoric stresses predicted using all four mantle convection models are found to be mostly com-  
350 pressional along MFF (Figure 6). All models, except for SAW642AN, predict the strike-slip mode of  
351 faulting in NW parts of Zagros with nearly E-W oriented extensional axes and N-S compressional axes  
352 (Figures 6a,e & g). On the other hand, SAW642AN shows predominant compression within this area  
353 (Figure 6e). S40RTS, 3D2018\_Sv, and S2.9\_S362 show strike-slip deformation in NW parts of SSZ,  
354 UDMA and NW Iran. Central Iran is predicted to have mostly compressional stresses by all models  
355 except for S40RTS. Thrust type of deformation is predicted in Afghan Block by all models with some  
356 intermittent strike-slip deformation. SINGH.SAW model predicts the whole Afghan Block in the strike-  
357 slip regime (Figure 6g-h). S40RTS and S2.9\_S362 predict higher stress magnitude in NW parts of the  
358 Zagros Orogeny system and Central Iran compared to other models.

359 The misfit between observed and predicted  $S_{Hmax}$  is found to be much lower for mantle convection  
360 models (0.54-0.57) (Figure 7 left panel), than those of GPE only models (Figure 5 left panel), evidently  
361 showing the importance of mantle flow. The lowest average misfit is observed for SAW642AN (0.54)  
362 (Figure 7d). Though the misfit increases in the east, Lut block, and near MSZ. The correlation of  
363 predicted deviatoric stresses with GSRM strain rates improves over GPE only models (Figure 7 middle  
364 panel), with SAW642AN yielding the highest correlation coefficient (0.91) (Figure 7e). Correlation  
365 drops below 0.4 parts of central Iran. S40RTS performs predicts the plate velocities closest to the  
366 observed one, out of all models, with the least RMS error ( 6.20 mm/yr) between predicted and observed  
367 plate velocities (Figure 7c). On the other hand, SAW642AN and 3D2018\_S40RTS models show high  
368 misfits (rms error  $\sim 10mm/yr$ ), as they are unable to match observed plate velocities in Zagros-Iran  
369 plateau, both in orientations and magnitude (Figures 7f & i).

370 As discussed above, mantle convection models perform better in predicting deviatoric stresses in the  
371 study area which is evident by high correlation between predicted stresses and observed strain rates; and  
372 low misfits between observed and predicted  $S_{Hmax}$ . However, the error in predicting plate velocities is  
373 higher for mantle convection models than in GPE only models. GPE-only models perform slightly better  
374 in predicting the orientation and magnitude of velocity vectors. Thus, As there are still significant misfits  
375 in fitting the observables, we added the deviatoric stresses predicted from GPE differences and Mantle

376 convection models to constrain the **total** stress field in the Zagros-Iranian plateau that may account for  
377 **both forces**.

378 We also ran S40RTS model with LAB (Lithosphere-Asthenosphere boundary) at 150 and 200 km  
379 (Figure S2g-h). Similar to GPE models, the fit to observed data shows an improvement when LAB is at  
380 200 km, though the stress patterns do not change significantly (Table S2).

### 381 **4.3 Stress and deformation by GPE and Mantle convection**

382 Adding mantle contributions to GPE only models led to significant changes in total deviatoric stresses  
383 for all models (Figure 8,9,10). There is a significant increase in total stress magnitude of the entire study  
384 area; except for north of MRF and SE of central Iran, which show slightly lower stresses ( $< 16$  MPa)  
385 for combined models of CRUST2 and mantle convection (Figure 8). These models show predominant  
386 compression in most of Zagros, SSZ, UDMA, NW and central Iran, except for the strike-slip type of  
387 deformation in NW parts. The joint models of CRUST1 and mantle convection predict higher stresses  
388 ( $> 25$  MPa) in NW Iran and at MFF (Figure 9). Interestingly, the stresses drop below 20 MPa towards  
389 the north of HZF, MRF till the south Caspian. The combined models of CRUST1 and mantle convection  
390 show compressional stresses are dominant in the study area, with occasional strike-slip faulting in the  
391 north-west (Figure 9 right panel). The stresses predicted by combined models of LITHO1 and mantle  
392 convection models are higher in magnitude than other models in the study area ( $> 25$  MPa) (Figure 10).  
393 S40RTS+litho and S2.9\_S362+litho models show high stresses in Zagros ( $>50$  MPa)(Figure 10a,g).

394 The combined models show a lower misfit between observed and predicted  $S_{Hmax}$  (Figure 11), espe-  
395 cially when compared to GPE only models (Figure 5 left panel). SAW642AN+litho showed the lowest  
396 average misfit of 0.47 (Figure 11f). Interestingly, SAW642ANcr2 and 3D2018\_S40RTScr2 show low  
397 misfits in the Zagros-Iranian plateau region, despite not having the lowest average misfit (Figures 11d  
398 & g). The higher misfits in NW Iran and SE of the central Iran block observed for GPE only models  
399 get reduced significantly due to the addition of mantle derived stresses, referring to the importance of  
400 mantle convection in these areas.

401 As we look at the correlation between predicted stress tensors and GSRM strain rate tensors, the over-  
402 all correlation is better for combined models (Figure 12), especially for combined models of LITHO1  
403 and mantle convection (Figure 12 right panel). A high average correlation coefficient of 0.94 is ob-  
404 served for SAW642AN+litho, 3D2018\_S40RTS+litho as well as S2.9\_S362+LITHO1 (Figures 12f, i &  
405 l). Despite an overall improvement in correlation between observed strain tensors and predicted devia-  
406 toric stresses, the correlation is found to be much poor in areas such as NW parts of Zagros and east of  
407 central Iranian block, for combined models of mantle convection and GPE only models of CRUST2 &  
408 CRUST1 (Figure 12 left and middle panels). In NW Zagros, mantle only models are found to perform

409 much better, as they show better correlation (Figure 7 middle panel), thus suggesting mantle derived  
410 stresses are needed to be much higher than those from GPE to explain the observed deformation in these  
411 areas.

412 Again the combined models of GPE and mantle tractions give lower rms errors, when predicted  
413 plate velocities are compared to the observed ones. S40RTScr2 shows the least rms error (3.28 mm/yr)  
414 and the least average angular misfit ( $3.0^\circ$ ) between predicted and observed plate velocities (Figure 13a).  
415 Relatively the combined models of S40RTS/S2.9\_S362 and GPE perform much better than other models  
416 in predicting the orientation and magnitudes of plate velocities. Significant misfits are observed for  
417 SAW642ANcr1 and 3D2018\_S40RTScr1 models. **The joint models of S40RTS and GPE for thicker**  
418 **lithosphere do not offer any significant changes in stresses and their fit to observed data (Table S2)**  
419 **(Ghosh et al., 2009; Jay et al., 2018; Hirschberg et al., 2018). Thus, considering the lithosphere base at**  
420 **100 km appears to be a satisfactory approach.**

## 421 5 Discussion

422 The Zagros-Iranian plateau region is formed due to the convergence of Arabian plate towards **the** Eura-  
423 **sian plate**. Zagros mountain belt demarcates the southwestern boundary of the deformation zone,  
424 whereas, it is bounded by the Makran subduction zone in the southeast and by Afghan Block in the  
425 east. Kopet-Dagh and Arborz act as this region's northeastern and northern boundaries (Irandoost et al.,  
426 2022). We modeled the stresses and deformation parameters in the study area by solving the force bal-  
427 ance equation using the finite element method for a global grid of  $1^\circ \times 1^\circ$  resolutions, considering two  
428 primary sources of stresses; GPE and mantle tractions. GPE was calculated using the thickness and den-  
429 sity variation from the different global models like CRUST1.0, CRUST2.0 and LITHO1.0. The shear  
430 tractions were computed from density derived mantle convection model.

431 The magnitude of stresses due to GPE variations was below 15 MPa in the Iranian plateau for  
432 CRUST2 and CRUST1 models (Figures 4a & c). However, LITHO1 model predicted higher stresses  
433 ( $> 30$  MPa) with predominant compression in parts of the Zagros-Iran region and Afghan block. Most of  
434 the convergence of Arabian and Eurasian plates has been accommodated through shortening across Za-  
435 gros (Irandoost et al., 2022; Khodaverdian et al., 2015). Walpersdorf et al. (2006); Hessami et al. (2006)  
436 suggested nearly pure N-S shortening of  $8 \pm 2$  mm/yr in southeastern Zagros. The convergence occurs  
437 perpendicular to the simply folded mountains and is restricted to the shore of Persian Gulf. Earthquake  
438 focal mechanisms also show reverse faulting within this area (Berberian, 1995; Hatzfeld et al., 2010;  
439 Hatzfeld & Molnar, 2010; Irandoost et al., 2022). In our study, LITHO1 model predicted thrust mode  
440 of faulting within Zagros, which is consistent with these results. In NW Zagros, Hatzfeld et al. (2010);

441 Hatzfeld & Molnar (2010); Jackson & McKenzie (1984); Khorrami et al. (2019); Talebian & Jackson  
442 (2002) and various others have suggested partitioning of deformation. The oblique shortening is par-  
443 titioned into strike-slip faulting that is accommodated by MRF, while shortening occurs perpendicular  
444 to the the mountain belt (Hatzfeld et al., 2010; Hatzfeld & Molnar, 2010; Jackson & McKenzie, 1984;  
445 Khorrami et al., 2019; Talebian & Jackson, 2002). On considering lithospheric models only, we pre-  
446 dicted the normal mode of faulting to be dominant in this area for CRUST2. On the other hand, CRUST1  
447 model predicted strike-slip components in the northern segment of MRF, while LITHO1 showed thrust  
448 type of deformation in this area. Interestingly, the misfits of predicted parameters with various observa-  
449 tions of  $S_{Hmax}$ , strain rates and plate velocities were found to be lowest for LITHO1 model, thus arguing  
450 for thrust type of deformation in this area. SSZ in north of MZT consists of various thrust systems  
451 (Alavi, 1994). CRUST1 predicted thrust mode of faulting in this region, while CRUST2 and LITHO1  
452 models showed intermittent strike-slip type of faulting. Alborz as well as Kopeh Dagh in the north  
453 has also been subjected to reverse faulting (Allen et al., 2003; Hatzfeld & Molnar, 2010; Hollingsworth  
454 et al., 2010; Irandoust et al., 2022; Khodaverdian et al., 2015), which has also been shown by CRUST1  
455 and LITHO1 models. Models predicting thrust in Talesh mountains show low misfits to observation  
456 suggesting thrusting of the mountain range over the basin with slip vectors directed towards the South  
457 Caspian Sea (Irandoust et al., 2022). The N-S convergence in Kopeh-Dagh range ~~is~~ was predicted by  
458 LITHO1 model considering the contribution from lithospheric density and topographic variations only.  
459 The shearing between Central Iran and Afghan Block caused due to varying rates of shortening across  
460 the Zagros, Alborz and Caucasus, is accommodated by strike-slip faults near Lut block boundaries  
461 (Khorrami et al., 2019; Vernant et al., 2004; Walpersdorf et al., 2014). Again, LITHO1 model predicted  
462 similar strike-slip deformation in these areas; however, CRUST2 and CRUST1 failed to do so.

463 The stresses predicted using basal tractions were mostly compressional in southeastern Zagros owing  
464 to the convergence of Arabia-Eurasia (Figure 6). However, all models, except SAW642AN predicted  
465 strike-slip type of deformation in the northwestern Zagros (MRF), which concurs with the results from  
466 various studies (Hatzfeld et al., 2010; Hatzfeld & Molnar, 2010; Jackson & McKenzie, 1984; Khorrami  
467 et al., 2019; Talebian & Jackson, 2002). The mantle derived stress parameters showed a better fit to  
468 observables than those from GPE variations (Figures 7 left and middle panel), though the correlation  
469 dropped below 0.5 in Central Iran. Here, mantle convection models ~~found~~ predicted compressional type  
470 of deformation, while Baniadam et al. (2019); Khorrami et al. (2019) suggested that strike-slip faulting  
471 along the fault system bounding Lut Block. The velocity misfits were very high for all models except  
472 S40RTS (Figure 7 right panel). Although we used four tomography models to compute the mantle-  
473 derived stresses, the stress regimes for all models are found to be similar, with varying magnitudes. Such  
474 results suggest that nearly all four seismic tomography models are relatively consistent in predicting the

475 stresses in this region.

476 Adding the GPE derived stresses to those from the mantle to obtain the total lithospheric stress field  
477 showed a notable improvement in constraining the observed deformation parameters. The final stress  
478 regimes also varied significantly depending on particular combinations of GPE and mantle convection  
479 models. All joint models of CRUST2 and mantle tractions showed lower magnitudes of stresses ( $< 15$   
480 MPa) in the north of MRF, Tehran and southern Lut block. The stresses showed an obvious increase  
481 in these areas for other models. Significantly higher stresses ( $> 30$  MPa) were also observed near the  
482 collisional front (MFF) for all models. On comparing with observations, combined models of CRUST2  
483 and mantle tractions showed significant improvement in fit, except in areas north of MRF and Tehran.  
484 CRUST1 model when added with mantle contribution, predicted thrust faulting along the faults bound-  
485 ing Lut Block, leading to poor correlation ( $< 0.5$ ). On the other hand, combined LITHO1 and mantle  
486 convection models gave a much better fit in this area, as they predicted strike-slip faulting. The use of  
487 different mantle convection models is much less sensitive in the Iran-Zagros region, as most models can  
488 match various surface observables reasonably well.

489 On running various models and comparing the stresses in Zagros-Iran, we try to explain the relative  
490 roles of GPE and mantle tractions in causing observed deformation. The contributions from both sources  
491 vary significantly among different models. However, these variations arise mainly from GPE only mod-  
492 els, which may be due to uncertainties in crustal models of this area. Another interesting observation  
493 from this study is that the role of GPE in the study region may not be that significant, as mantle derived  
494 stresses were able to explain many of the deformation indicators. To get a quantitative constraint on the  
495 best model, we computed a total error as given below:

$$Total\ error = S_{Hmax}\ error + 1 - C_{strain} + V_{rms} \quad (7)$$

496  $S_{Hmax}$  error in the above equation is calculated as mentioned in section 3.4, while  $C_{strain}$  is the  
497 correlation computed using equation 6.  $V_{rms}$  is the rms error between predicted and observed velocities.  
498 The total errors calculated using equation 7 have been tabulated in Table 1. S40RTScr2 is found to have  
499 the lowest error.

500 We also calculated plate velocities with respect to the Eurasian plate (Figure 14) and compared them  
501 with observed GPS velocities relative to Eurasia. The GPS velocities were obtained from various studies  
502 conducted in the study **this** area (ArRajehi et al., 2010; Bayer et al., 2006; Frohling & Szeliga, 2016;  
503 Khorrami et al., 2019; Masson et al., 2006, 2007; Raesi et al., 2017; Reilinger & McClusky, 2011;  
504 Vernant et al., 2004). GPS measurements show a northward convergence rate of  $\sim 22\text{mm/yr}$  for Arabia  
505 relative to Eurasia (Reilinger et al., 2006; Vernant et al., 2004), however, it varies significantly along  
506 the Zagros. The southeastern Zagros show the highest convergence rates of  $\sim 25\text{ mm/yr}$  oriented in

**Table 1:** Summary of quantitative comparison of predicted results of various models with observed data.

Model	$S_{Hmax}$ misfit	Strain rate correlation	RMS error (mm/yr)	Angular misfit	Total error
CRUST2	0.77	0.69	7.32	5.5	3.07
CRUST1	0.64	0.87	7.44	8	2.78
LITHO1	0.59	0.92	8.51	9	2.81
S40RTS	0.57	0.88	6.2	4.6	2.51
SAW642AN	0.54	0.91	11.35	13	3.06
3D2018_S40RTS	0.56	0.88	9.44	9.7	2.92
S2.9_S362	0.57	0.88	8.29	9	2.81
S40RTScr2	0.48	0.92	3.28	3	1.75
SAW642ANcr2	0.49	0.92	4.77	5.5	2.13
3D2018_S40RTScr2	0.49	0.91	4.06	4.5	1.98
S2.9_S362cr2	0.48	0.92	4.24	5.1	2.00
S40RTScr1	0.51	0.92	4.29	5.5	2.05
SAW642ANcr1	0.5	0.92	7.39	9.6	2.58
3D2018_S40RTScr1	0.51	0.91	6.35	8.2	2.45
S2.9_S362cr1	0.51	0.92	4.78	6.6	2.15
S40RTS+litho1	0.49	0.93	4.52	6.1	2.07
SAW642AN+litho1	0.47	0.94	6.42	7.4	2.39
3D2018_S40RTS+litho1	0.48	0.94	5.62	7.2	2.27
S2.9_S362+litho1	0.48	0.94	5.8	8.3	2.30

507 north-northeast directions. GPS vectors are oriented northward in Central Zagros, which transitions  
508 north-northwest in NW parts of Zagros with the lowest convergence rates of  $\sim 18$  mm/yr (Hatzfeld &  
509 Molnar, 2010; Hatzfeld et al., 2010; Khorrami et al., 2019). Vernant et al. (2004) suggested that MSZ  
510 accommodates most of the shortening ( $19.5 \pm 2$  mm/yr) in the east of  $58^\circ E$ , while fold and thrust belts of  
511 Zagros, Alborz and Caucasus collectively accommodate the shortening in west of  $58^\circ E$ . GPS velocities  
512 in the east of Iran (Afghan Block) are very small in magnitude. To the west, velocities increase showing  
513 westward rotation of Antolia (Khorrami et al., 2019; Reilinger et al., 2006). The northern part of Iran  
514 shows that GPS vectors are aligned towards the northeast. We found that the combined model of S40RTS  
515 and CRUST2 can approximately match the GPS velocities (Figure 14a). Predicted plate velocities with  
516 respect to the fixed Eurasian plate show a northward movement of 2-3 cm/yr in southeastern Zagros.  
517 The plate moves in NNE direction east of central Zagros ( $53^\circ E$ ). On the other hand, west of  $53^\circ E$   
518 shows a movement in NNW direction, becoming much more prominent in the north. However, the  
519 convergence rates in the east of Iran i.e. Lut Block as well as Afghan Block, is predicted to be much  
520 higher ( $\sim 1 - 2$  cm/yr) than those suggested by various observations. Plate velocities predicted by joint  
521 models, S40RTScr1 and S40RTS+LITHO1 show nearly N-S contraction of of very high magnitudes  
522 (4-5 cm/yr) throughout the region (Figure S3), which suggests much higher rates of deformation than  
523 those suggested by above-mentioned studies.

524 We also used shear wave splitting measurements to further study the deformation in the Zagros-Iran



525 region by comparing them with  $S_{Hmax}$  (Figure 14b). The fast polarization directions (FPDs) are the in-  
526 dicators of seismic anisotropy. We consider two primary causes of seismic anisotropy; induced by stress  
527 and due to structure of the region (Yang et al., 2018). If the FPDs are parallel to  $S_{Hmax}$  orientations, it  
528 suggests that anisotropy is associated with stress. On the other hand, latter kind of anisotropy is related  
529 with the alignment of fault, fast axes of minerals that may cause polarization, and sedimentary bedding  
530 planes. The FPDs in our study were obtained from Sadeghi-Bagherabadi et al. (2018); Kaviani et al.  
531 (2009, 2021). The FPDs are subparallel to  $S_{Hmax}$  orientations in NW Zagros, Arabian plate, northern  
532 Iran and MSZ. Such a correlation between both indicates that anisotropy in this region may be stress  
533 induced. **Additionally, the correlation of  $S_{Hmax}$  orientations and FPDs argues for a good coupling be-**  
534 **tween lithosphere and mantle in those areas. In contrast, Sadeghi-Bagherabadi et al. (2018) showed**  
535 **FPDs parallel to the strike of the fault (sub-parallel to  $S_{Hmax}$  directions of CRUST2), In NW Zagros,**  
536 ~~Sadeghi-Bagherabadi et al. (2018) also showed FPDs parallel to the strike of the fault, suggesting seis-~~  
537 ~~mic anisotropy mainly reflects the deformation in the lithospheric mantle. Again, FPDs are subparallel~~  
538 ~~to the strike of range in northeastern Iran, eastern Kopeh Dagh and central Alborz indicating structure-~~  
539 ~~induced anisotropy caused by strong shearing along the strike-slip faults (Gao et al., 2022; Kaviani et al.,~~  
540 ~~2021).~~

541 To explore the relative roles of lithospheric and mantle derived stresses, we compared the deviatoric  
542 stresses from CRUST2 to those from S40RTS. We performed a correlation between both stresses by  
543 using equation 5 and found a high correlation ( $> 0.5$ ) near MSZ and central Zagros (Figure 14c). The  
544 correlation degrades north of the simply folded mountains and NW Iran. The stresses are anti-correlated  
545 in northwestern parts of higher Zagros, north of MRF and Tehran, as CRUST2 predicted NNE-SSW  
546 tension (Figure 4b) as opposed to the strike-slip faulting predicted by S40RTS (Figure 6b). Lut Block  
547 also shows a slight anticorrelation between stresses ( $\sim -0.5$ ), as the stresses predicted by CRUST2  
548 are very low. The log of the ratio of second invariants of deviatoric stresses from GPE variations ( $T_1$ )  
549 to that of mantle tractions ( $T_2$ ) is plotted in Figure 14d. Positive values of logarithmic ratio suggests  
550 the dominance of GPE derived stresses over mantle ones, as observed in the south of the collisional  
551 boundary (MFF). The ratio is negative in most parts of the Iranian plateau and Zagros, indicating that  
552 the magnitude of mantle derived stresses ~~is~~ are higher than ~~that of~~ those from GPE, especially in higher  
553 Zagros and central Iran (Figure 14d).

554 **The deformation in the Zagros-Iran plateau region has been found to exhibit various similarities to**  
555 **another similar complex collision zone, i.e. the Himalaya-Tibetan plateau region as both continental**  
556 **collisions went through many of the same processes. The high topography in both collisions reflects**  
557 **ongoing crustal deformation through crustal thickening and shortening. However, there are differences**  
558 **in convergence rates, total amounts of convergence and various stages of development of the Zagros-Iran**



559 and Himalaya-Tibet regions (Hatzfeld & Molnar, 2010). Singh & Ghosh (2020) studied the deformation  
560 in the Himalaya-Tibet region by joint modeling of lithosphere and mantle. They showed that GPE  
561 plays a crucial role in the ongoing deformation of the India-Eurasia collision zone, as it leads to the  
562 observed E-W extension in Tibetan plateau. In contrast, we found that GPE has a much lesser role in the  
563 Zagros-Iran plateau region (Figure 14d), and no normal mode of faulting is observed in this area. In the  
564 Zagros-Iran plateau region, mantle convection appears to be the primary driver of deformation in most  
565 parts as discussed above. Despite these differences, numerical models argue for a good coupling between  
566 the lithosphere and mantle in both collision zones, which is also supported by seismic anisotropy studies  
567 in both regions (Kaviani et al., 2021; Singh et al., 2016; Sol et al., 2007).

## 568 6 Conclusion

569 The Zagros-Iranian plateau region has large deformations along and across the collision zones. There-  
570 fore, we conducted numerical simulation studies for stress and deformations. The stresses predicted  
571 in this region were primarily compressional, with magnitudes lower than 30 MPa. The southeastern  
572 boundary of Zagros was found to be under high stress which is also reflected by higher convergence  
573 rates. Mantle convection models were able to constrain most observations in the Iranian plateau.  
574 However, the misfits with observations were much larger in the east of Iran, when only mantle con-  
575 tributions were considered. The combined models of lithospheric and mantle-derived stresses can  
576 explain give a better fit to surface observables in most of the area, suggesting a good lithosphere-mantle  
577 coupling, except for east of Iran. The fit between both predicted and observed data increases after  
578 considering mantle derived stresses. The shearing in those areas was predicted by lithospheric models,  
579 though variation in lithospheric and density structure given by these models lead to varying degree of  
580 misfits. Hence, there is a need for better constraint on lithospheric structure in this area.

581 The mantle derived stresses were found to be much higher than lithospheric stresses, thus the over-  
582 all stress regimes predicted by combined models were more biased towards the compressional type of  
583 stresses. This caused our combined models to predict thrust mode of faulting in most cases, especially  
584 when lithospheric derived stresses were computed from CRUST1 and LITHO1 models. CRUST2 model  
585 predicted more extensional stress in the Iranian plateau, which in turn balanced the effect of compres-  
586 sional stresses predicted by mantle convection models; hence leading to prominence of strike-slip mode  
587 of faulting in the northwestern parts of study region. The rate of convergence of Arabia relative to a  
588 fixed Eurasia was found to vary along the Zagros orogeny in a similar way to GPS measurements.

## 589 **Open Research Section**

590 We used three models, namely CRUST1.0, CRUST2.0, and LITHO1.0, for obtaining the data of crustal  
591 and lithospheric structure, which are required as inputs in finite element models. We downloaded these  
592 three models and the seismic tomography models used in mantle convection codes from the Incorporated  
593 Research Institutions for Seismology (IRIS) Earth Model Collaboration repository ([http://ds.iris.  
594 edu/ds/products/emc-earthmodels/](http://ds.iris.edu/ds/products/emc-earthmodels/)). The strain rate model, GSRMv2.1 was obtained from [http:  
595 //geodesy.unr.edu/GSRM/](http://geodesy.unr.edu/GSRM/). World Stress Map Website (<https://www.world-stress-map.org/>)  
596 provides the  $S_{Hmax}$  orientations and type of faulting, which were used to perform a quantitative com-  
597 parison with predicted results. GPS velocities relative to Eurasia were taken from ArRajehi et al.  
598 (2010); Bayer et al. (2006); Frohling & Szeliga (2016); Khorrami et al. (2019); Masson et al. (2006,  
599 2007); Raeesi et al. (2017); Reilinger & McClusky (2011); Vernant et al. (2004). We also used seismic  
600 anisotropy data from Sadeghi-Bagherabadi et al. (2018); Kaviani et al. (2009, 2021).

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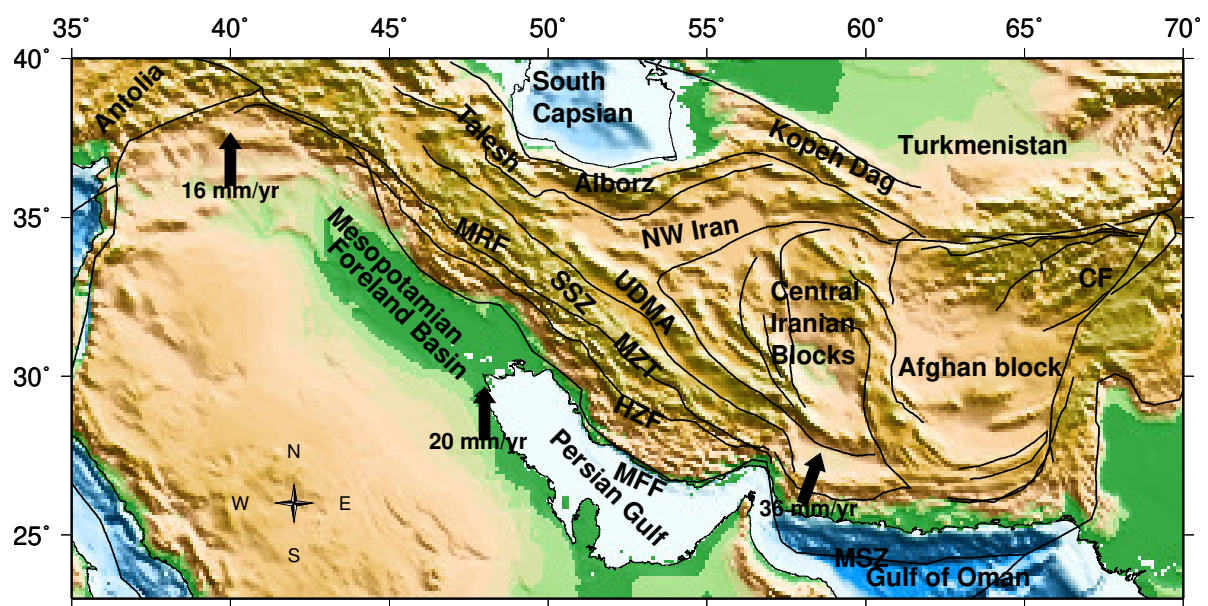
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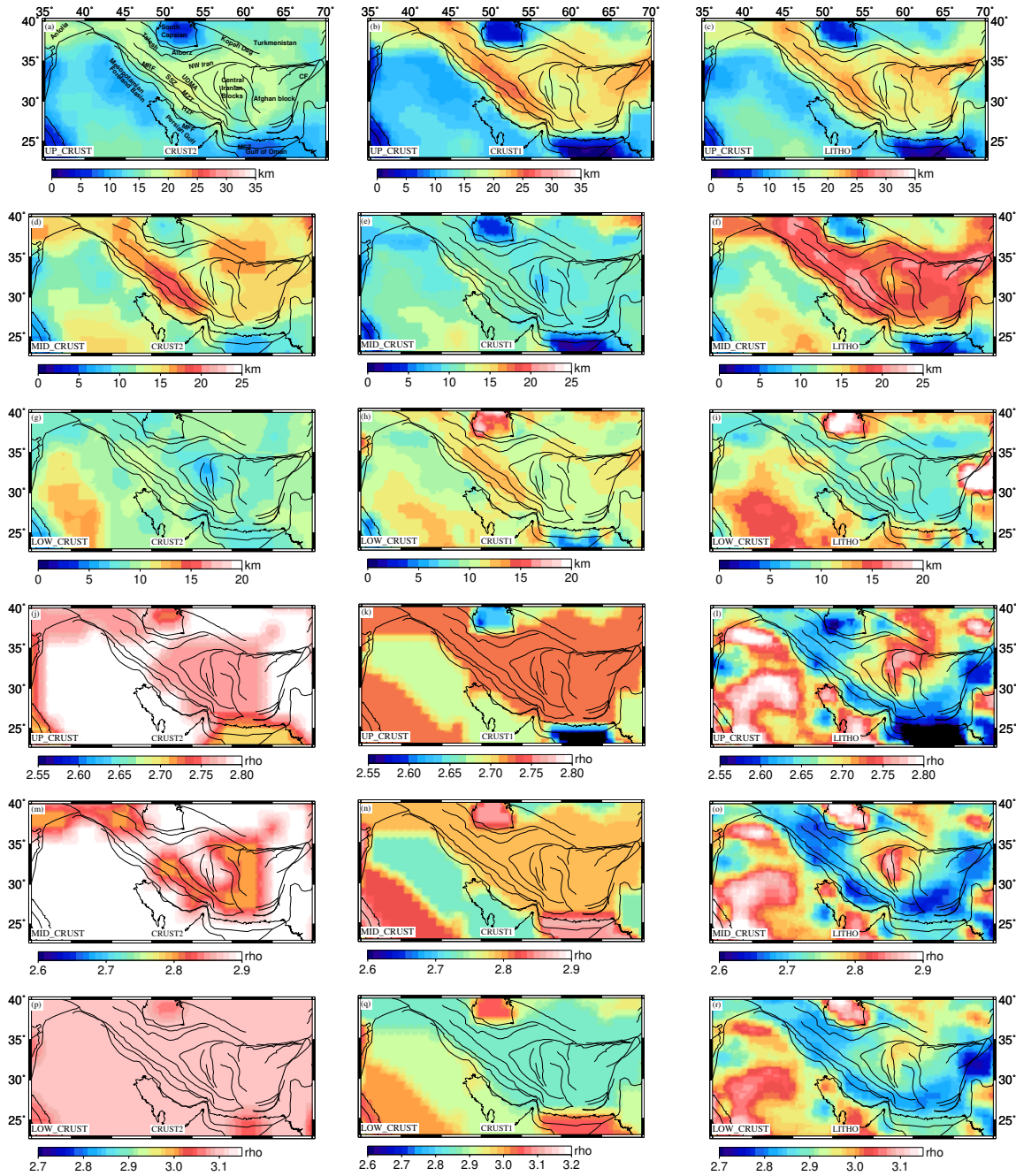
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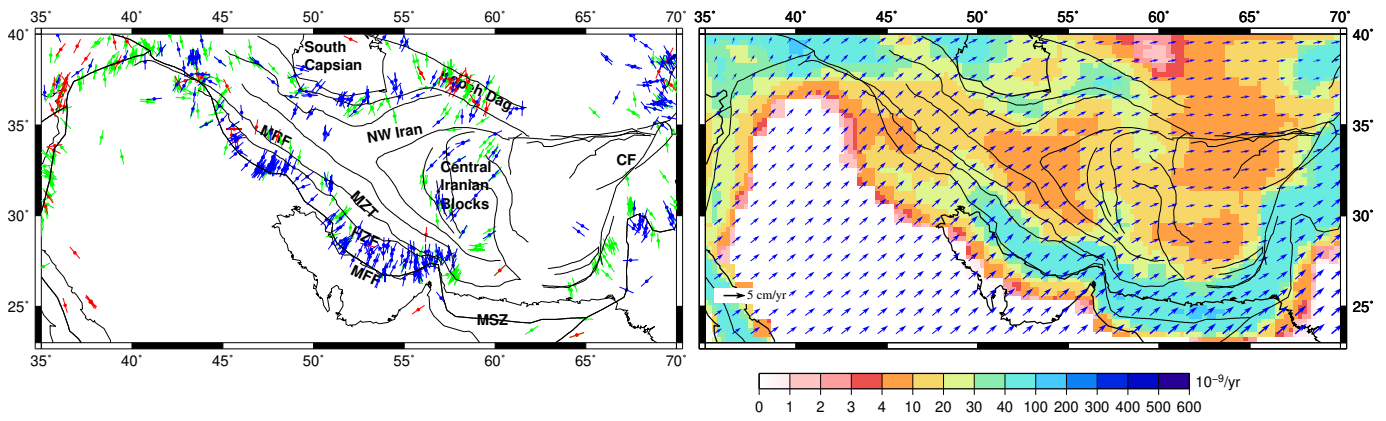


**Figure 1:** Tectonic overview of Central Eurasia. Abbreviations: CF: Chaman Fault; MSZ: Makran Subduction Zone; MZT: Main Zagros Thrust; HZF: High Zagros Fault; MFF: Mountain Front Fault; SSZ: Sanandaj Sirjan Zone; UDMA: Urumieh-Dokhtar Arc; MRF: Main Recent Fault.

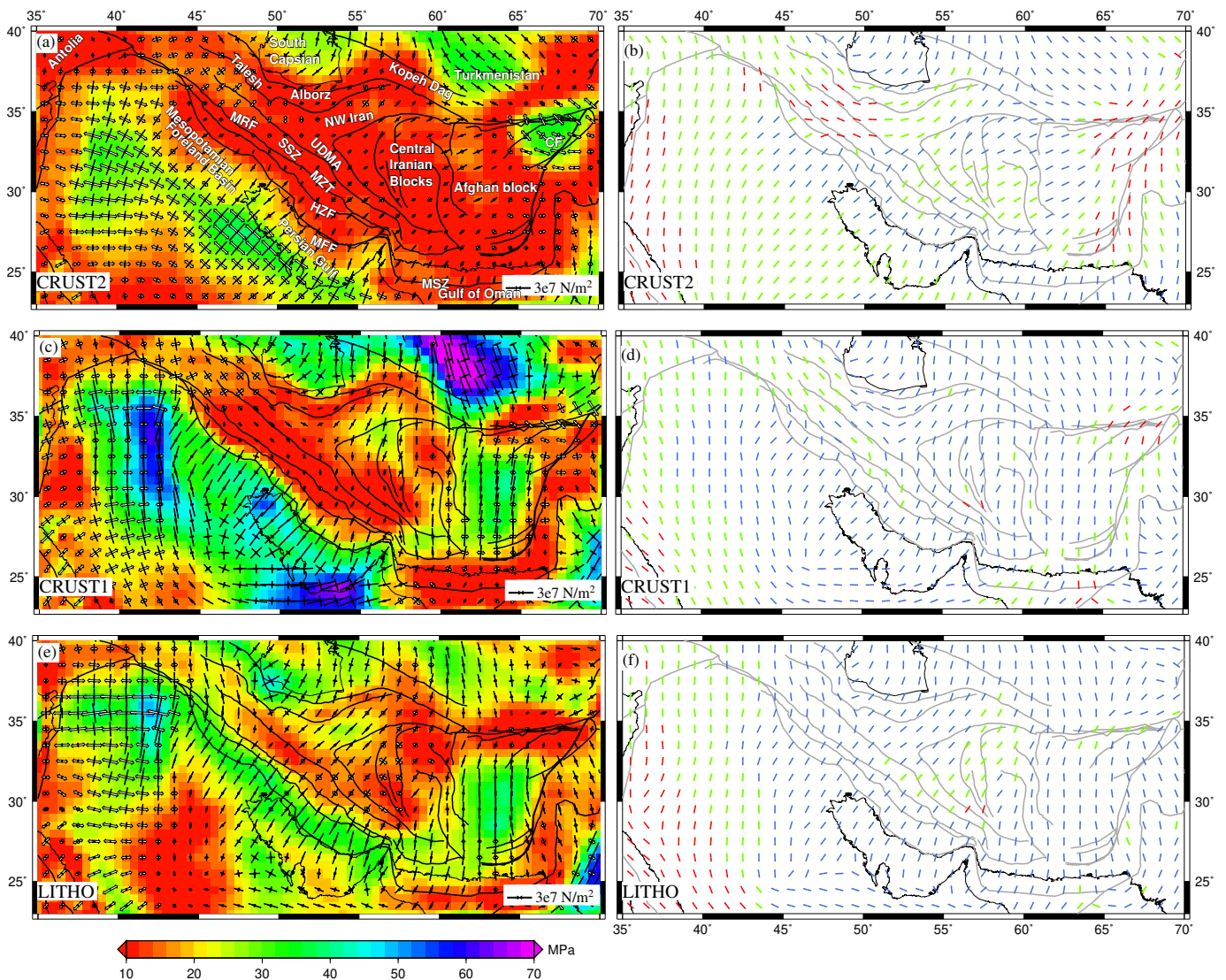


**Figure 2:** Thickness and density variations of different layers in all three crustal and lithospheric models: CRUST2(Left panel), CRUST1(Middle Panel) and LITHO1(Right panel)

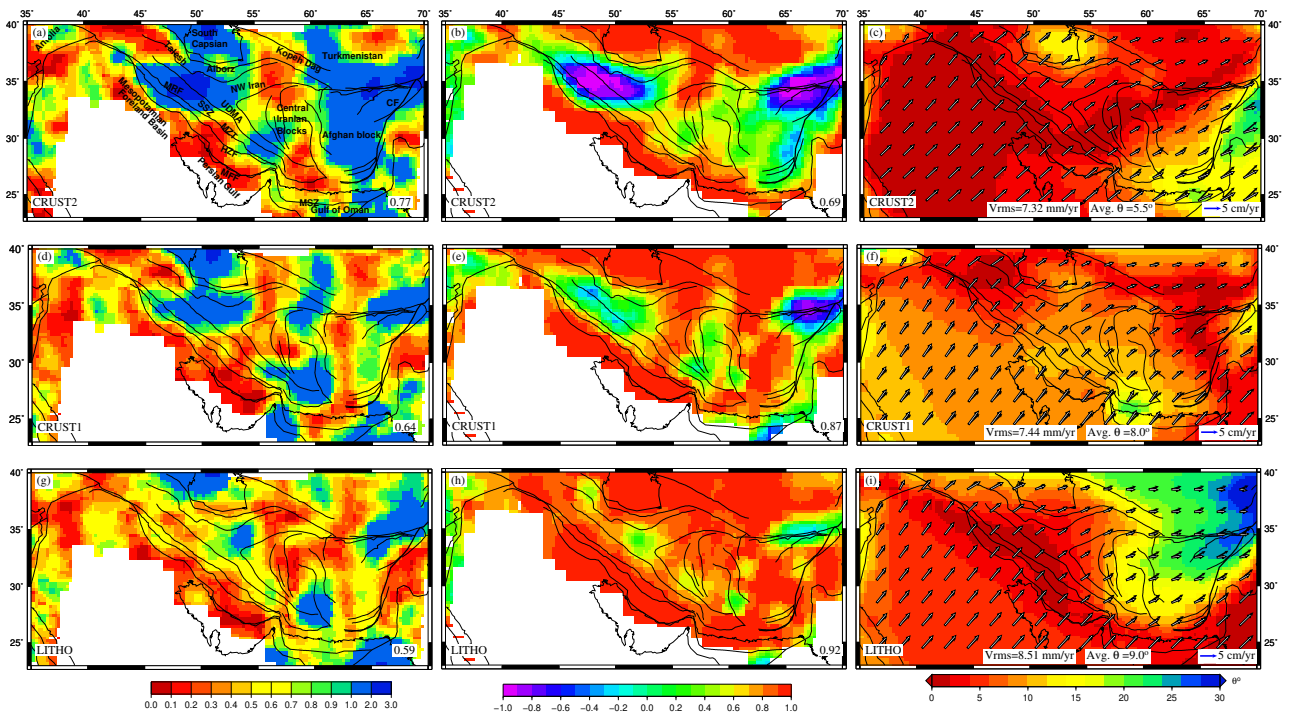




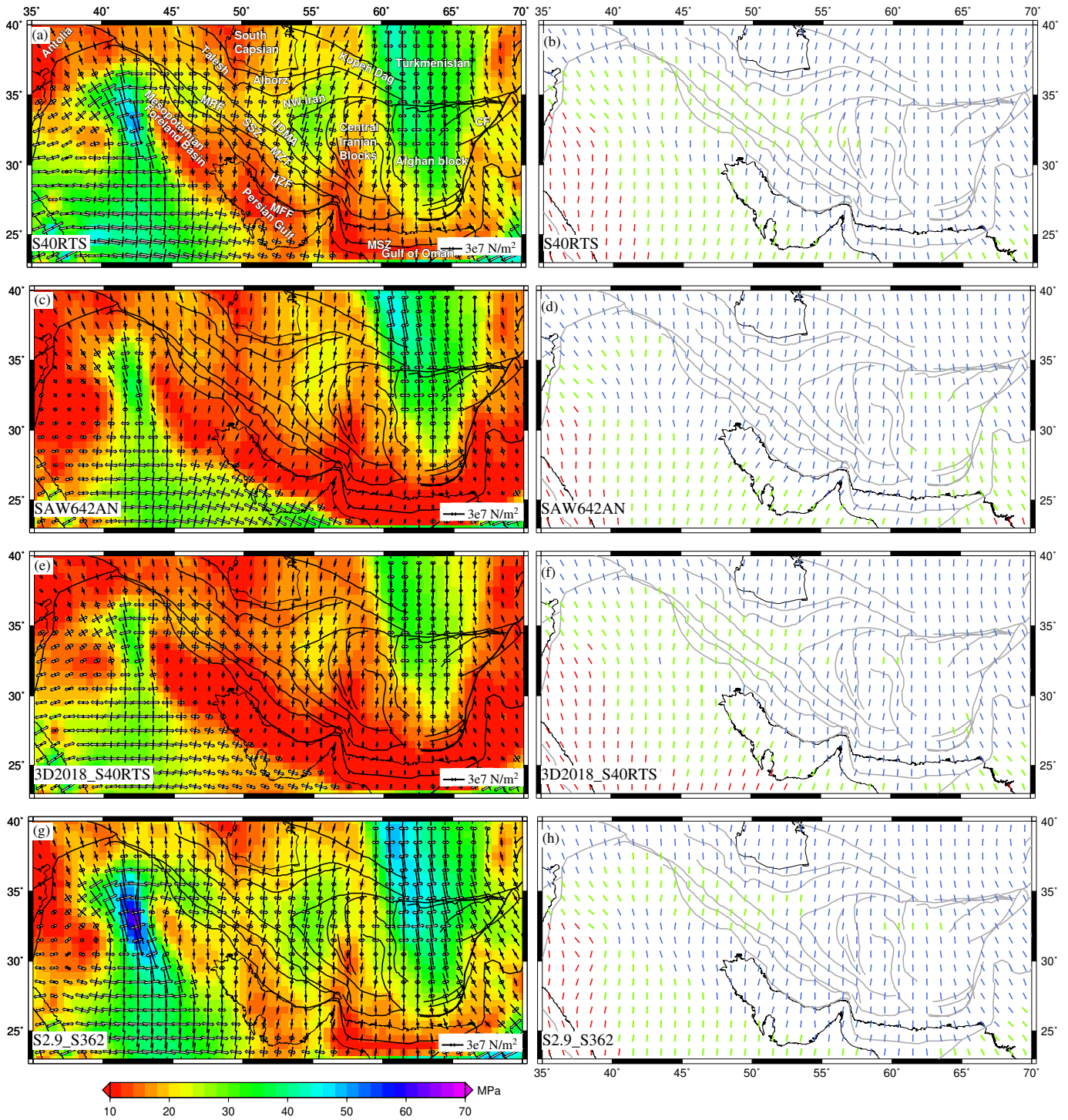
**Figure 3:** (a) Most compressive horizontal principal axes ( $S_{Hmax}$ ) from WSM (Heidbach et al., 2016). Red indicates normal fault regime, blue indicates thrust regime, whereas green denotes strike-slip regime, (b) Observed plate velocities in a no-net-rotation frame of reference from Kreemer et al. (2014) plotted on top of second invariant of strain rate tensors obtained from Kreemer et al. (2014) plotted on  $1^\circ \times 1^\circ$  grid.



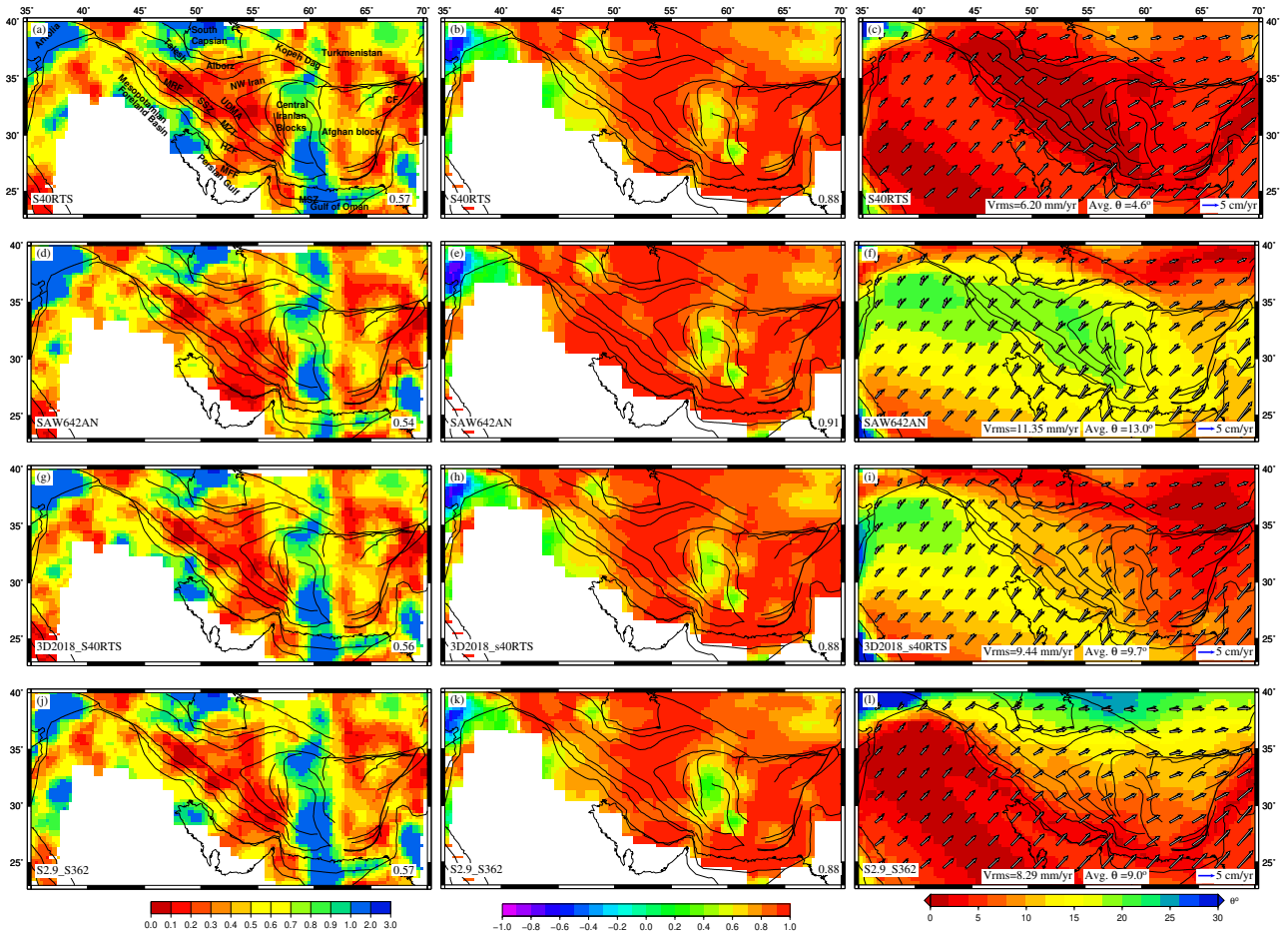
**Figure 4:** (Left Panel) Deviatoric stresses predicted from GPE variations, plotted on top of their second invariants. The compressional stresses are denoted by solid black arrows, while white arrows show tensional stresses.  $S_{Hmax}$  axes predicted from GPE variations are plotted in right panel. Red denotes tensional regime, blue is for thrust and green for strike-slip regime.



**Figure 5:** (Left Panel) Total misfit between observed and predicted  $S_{Hmax}$  from GPE variations. Correlation coefficients between strain rate tensors obtained from Kreemer et al. (2014) and deviatoric stresses predicted using GPE variations are shown in middle panel, with average regional correlation coefficients given on bottom right of each figure. (Right panel) Observed velocities (black) and predicted plate velocities(white) from GPE variations in NNR frame, plotted on the top of angular misfit between both.

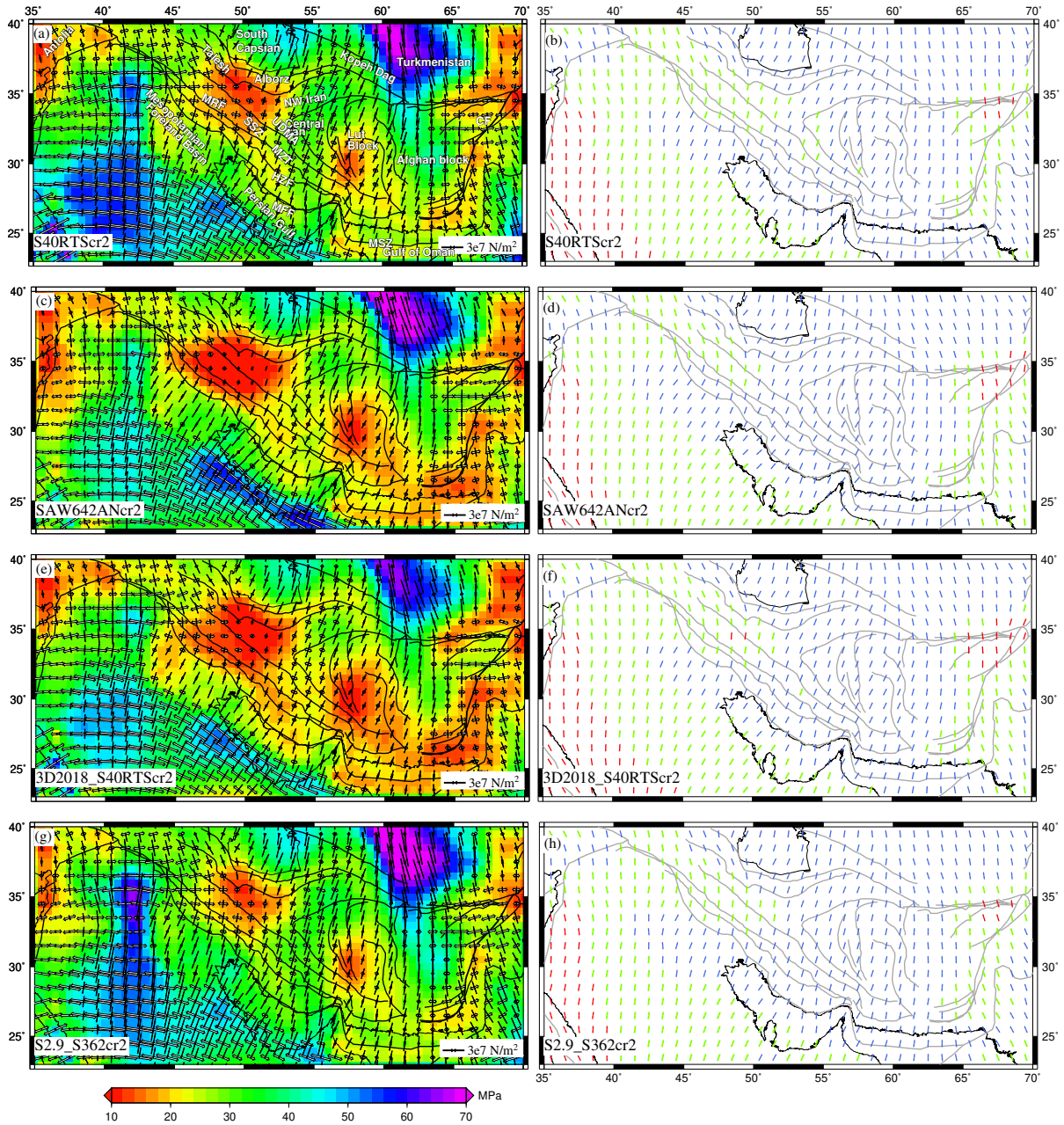


**Figure 6:** (Left Panel) Deviatoric stresses predicted using mantle tractions derived from various tomography models for GHW13 viscosity structure, plotted on second invariant of deviatoric stresses. The white arrows denote tensional stresses, and black arrows indicate compressional stresses.  $S_{Hmax}$  predicted from mantle tractions are shown in right panel. Red denotes tensional regime, blue is for thrust and green is for strike-slip regime.

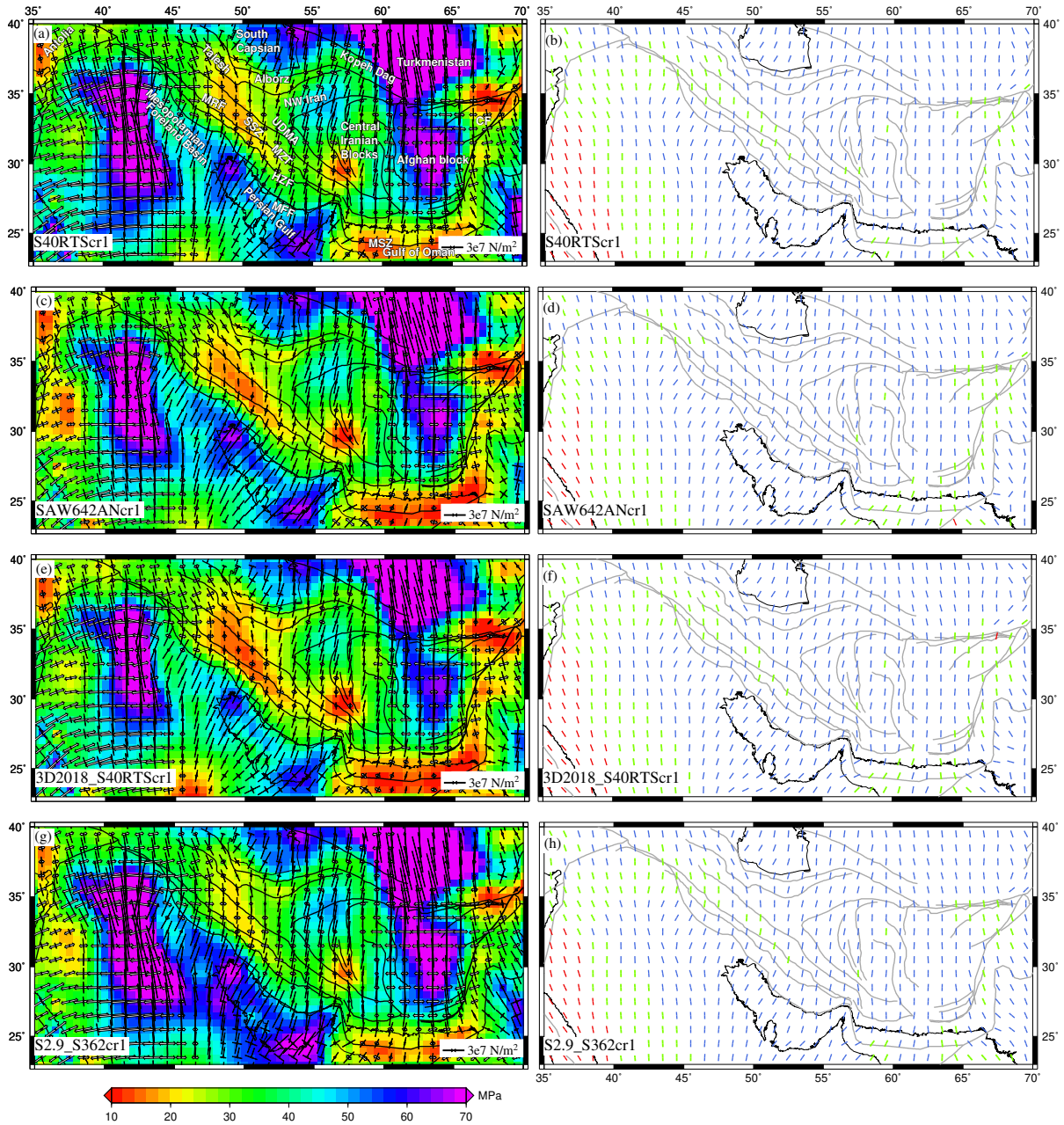


**Figure 7:** Parameters predicted from mantle tractions and their comparisons with observables. (Left panel) Total misfit between  $S_{Hmax}$  obtained from WSM (Heidbach et al., 2016) and those predicted using mantle tractions derived from various tomography models using GHW13 viscosity structure. Correlation coefficients between strain rate tensors obtained from Kreemer et al. (2014) and deviatoric stresses predicted using basal tractions are shown in middle panel, with average regional correlation coefficients given on bottom right of each figure. (Right panel) Observed velocities (black) and plate velocities predicted using mantle tractions (white) in NNR frame plotted on the top of angular deviation between both.

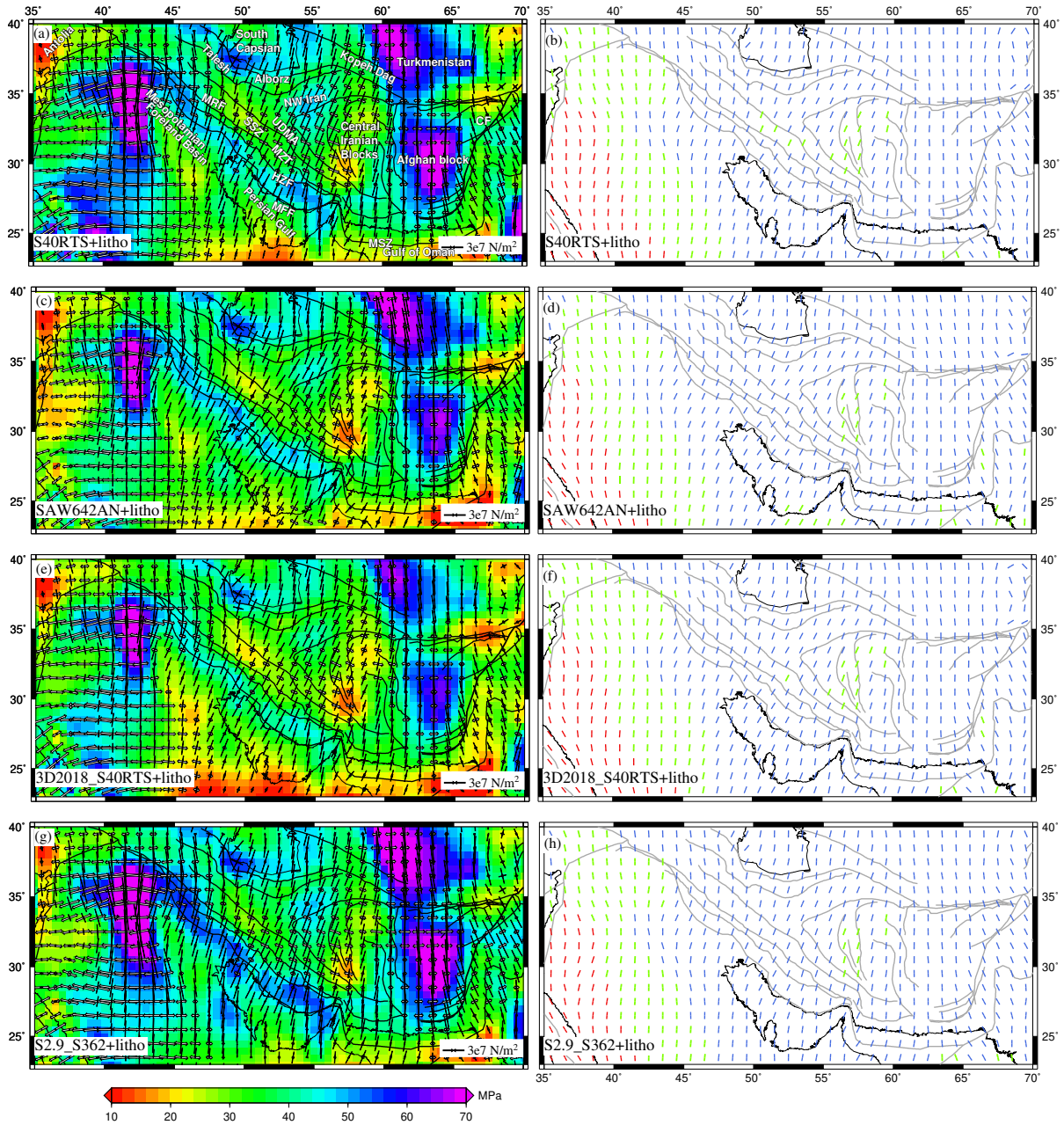




**Figure 8:** (Left panel) Deviatoric stresses predicted using combined effects of GPE computed from CRUST2 and mantle tractions derived from various tomography models plotted on top of their second invariants. The white arrows denote tensional stresses, and black arrows indicate compressional stresses. The right panel shows  $S_{Hmax}$  predicted from these models. The red lines denote tensional regime, blue is for thrust and green is for strike-slip regime.

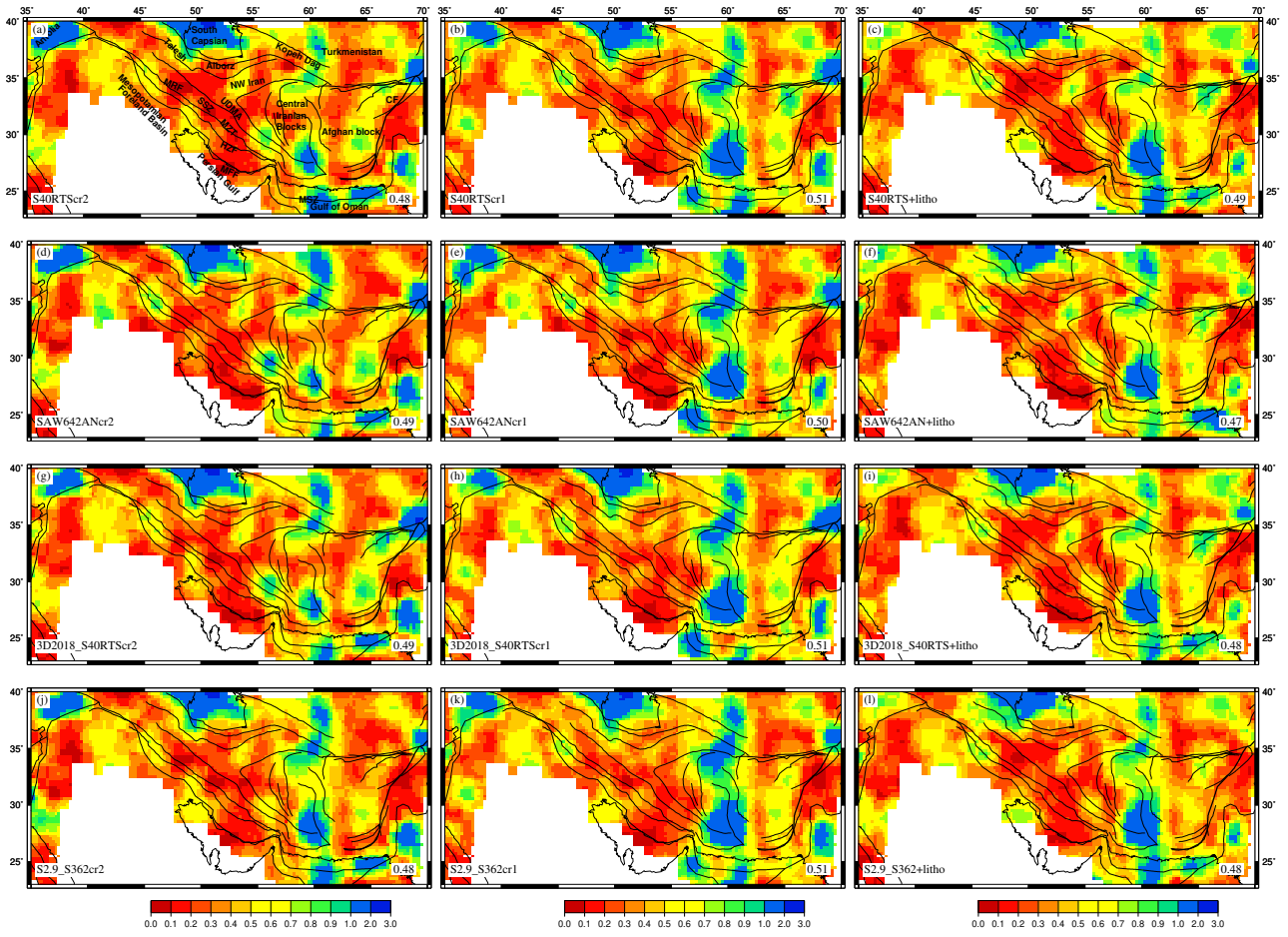


**Figure 9:** (Left panel) Deviatoric stresses (a-d) predicted using combined effects of GPE computed from CRUST1 and mantle tractions derived from various tomography models plotted on top of their second invariants. The white arrows denote tensional stresses, and black arrows indicate compressional stresses. The right panel shows  $S_{Hmax}$  predicted from these models. The red lines denote tensional regime, blue is for thrust and green is for strike-slip regime.

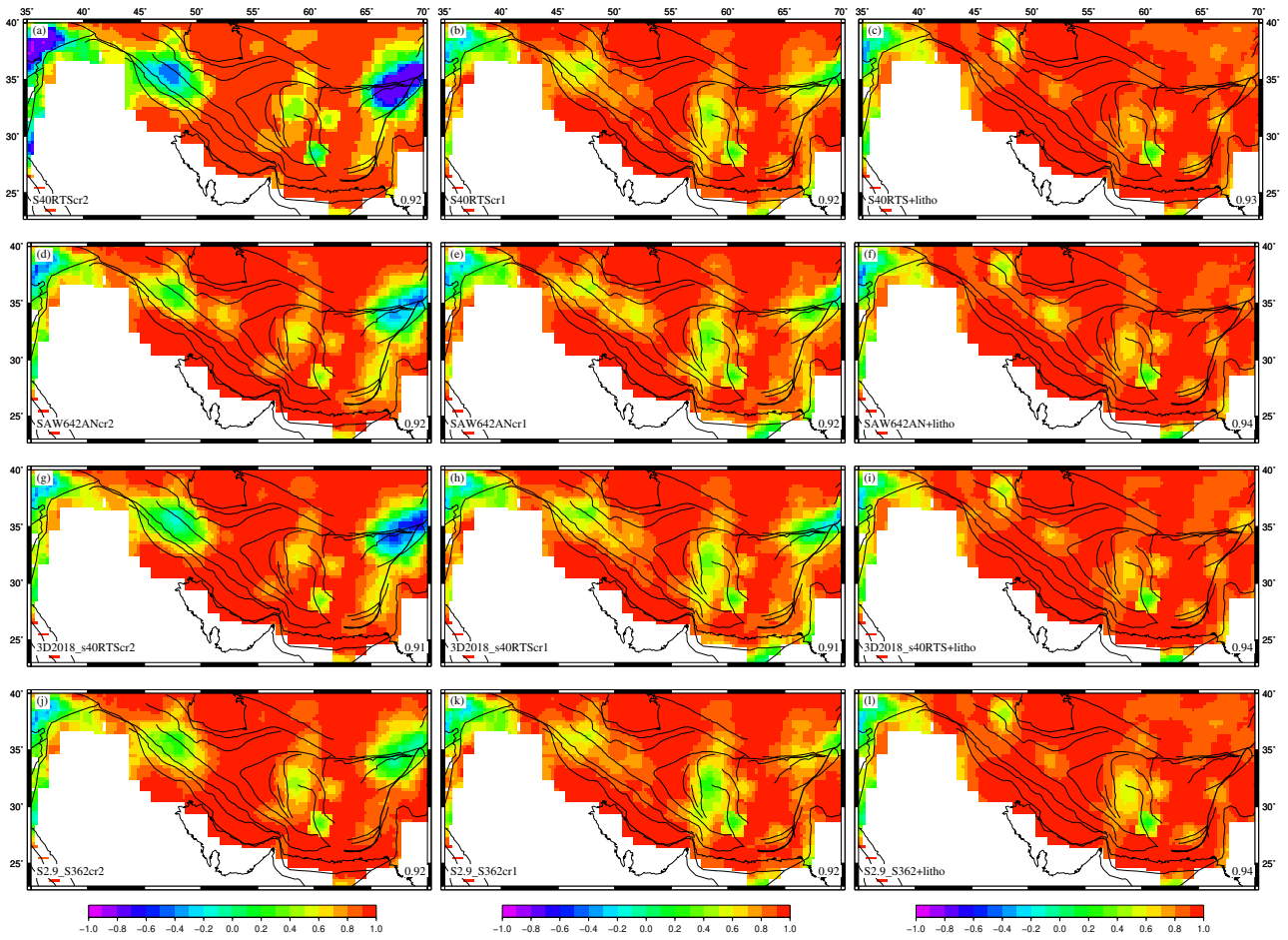


**Figure 10:** (Left panel) Deviatoric stresses (a-d) predicted using combined effects of GPE computed from LITHO and mantle tractions derived from various tomography models plotted on top of their second invariants. The white arrows denote tensional stresses, and black arrows indicate compressional stresses. The right panel shows  $S_{Hmax}$  predicted from these models. The red lines denote tensional regime, blue is for thrust and green is for strike-slip regime.

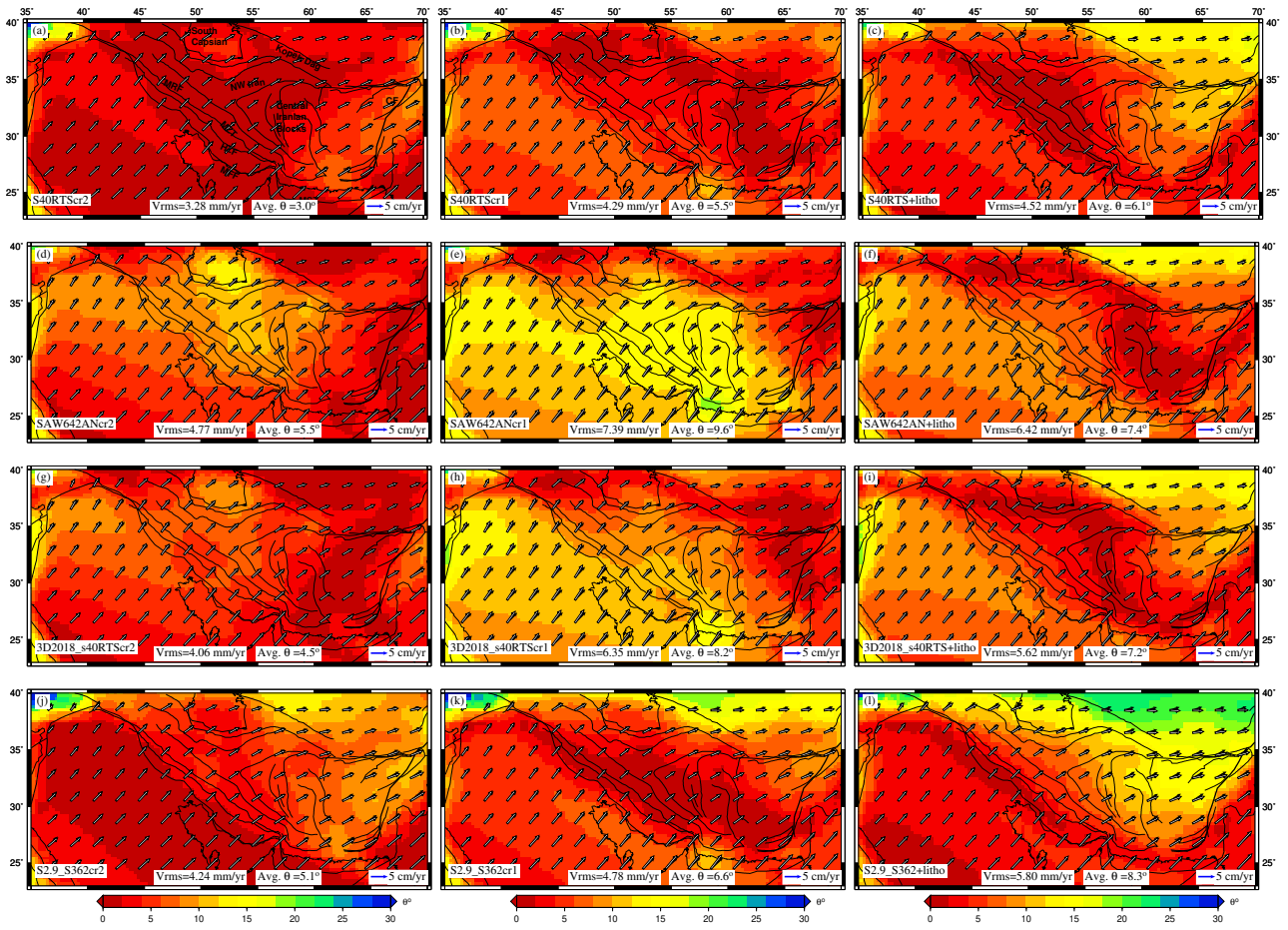




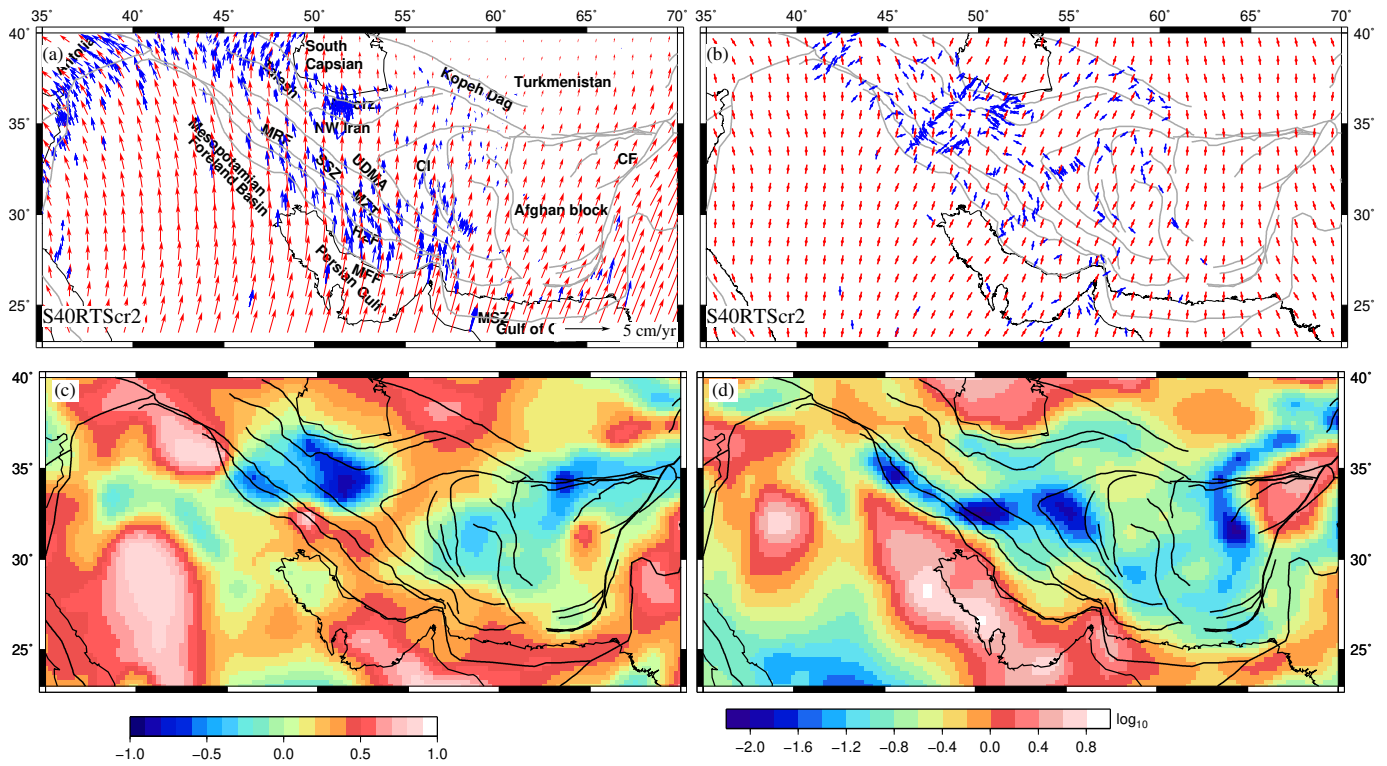
**Figure 11:** Total misfit between observed  $S_{Hmax}$  from WSM (Heidbach et al., 2016) and  $S_{Hmax}$  predicted using combined effects of GPE computed from different crustal models and mantle tractions derived from various topography models.



**Figure 12:** Correlation coefficients between strain rate tensors from Kreemer et al. (2014) and deviatoric stress tensors predicted using combined effects of GPE computed from different crustal models and mantle tractions derived from various tomography models. Average correlation coefficient is given in right lower corner of the figure.

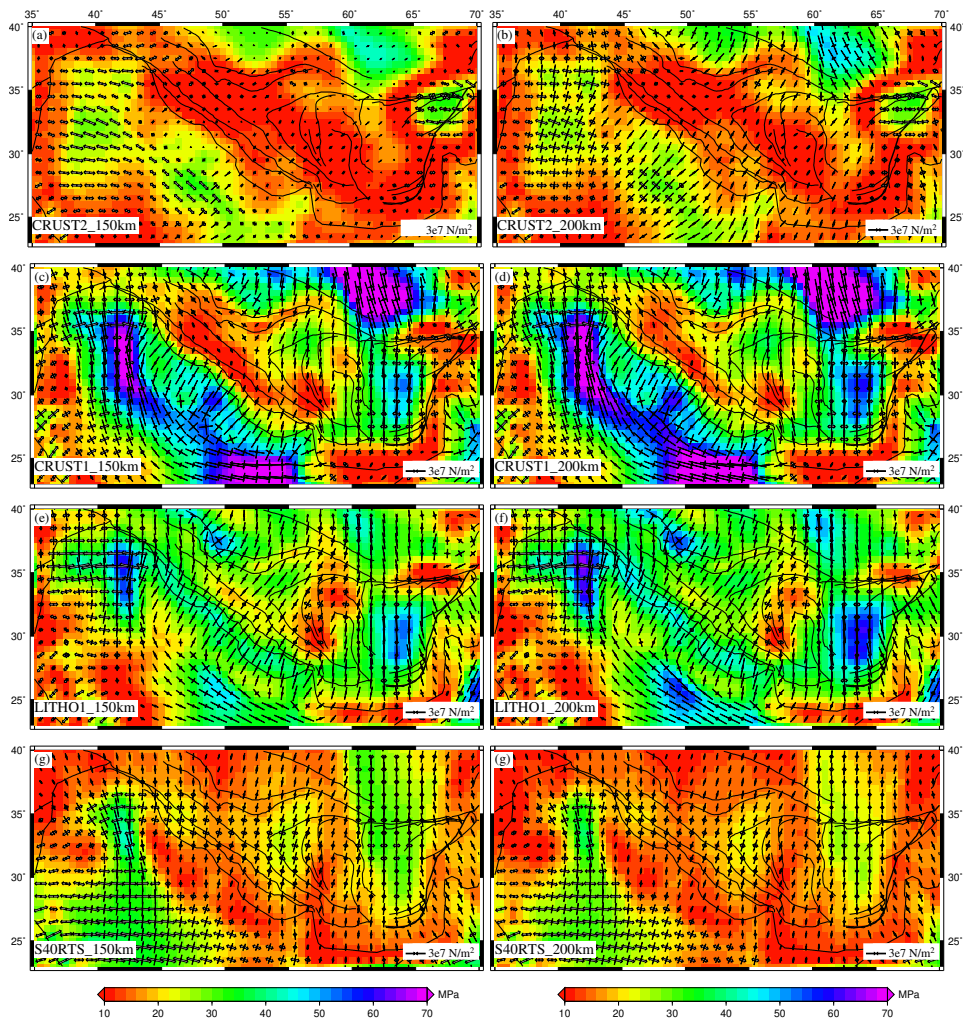


**Figure 13:** Plate velocities predicted using combined effects of GPE computed from different crustal models and mantle tractions derived from various tomography models plotted on top of angular misfit ( $\theta$ ). Black arrows represent observed NNR velocities (Kreemer et al., 2014) and white ones denote predicted velocities.

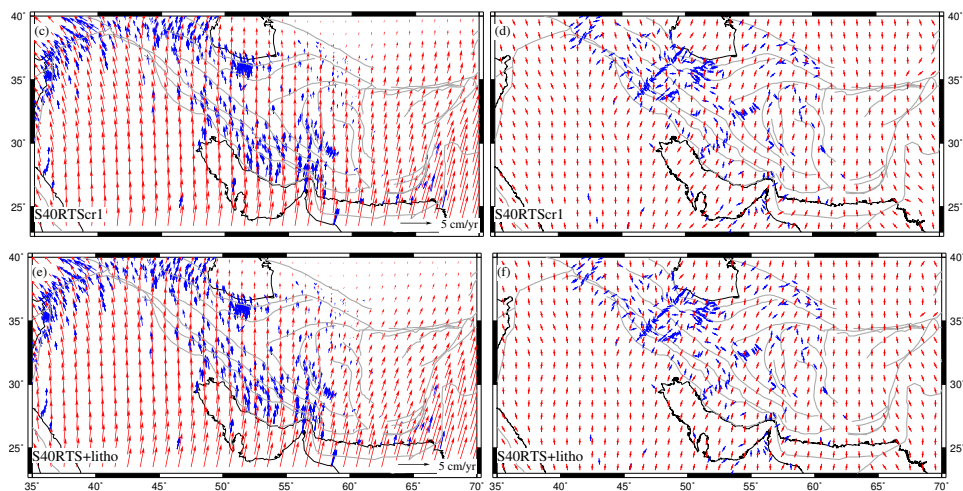


**Figure 14:** Predicted parameters of best fit model, S40RTScr2. (a) GPS (blue) and predicted (red) plate velocities with respect to a fixed Eurasian plate, (b) FPDs (blue) and  $S_{Hmax}$  (red) are plotted for the best fit model, (c) Correlation between deviatoric stresses predicted from GPE and mantle convection models, and (d) ratio ( $T_1/T_2$ ) of second invariant of deviatoric stresses from GPE ( $T_1$ ) to those from mantle tractions ( $T_2$ ).

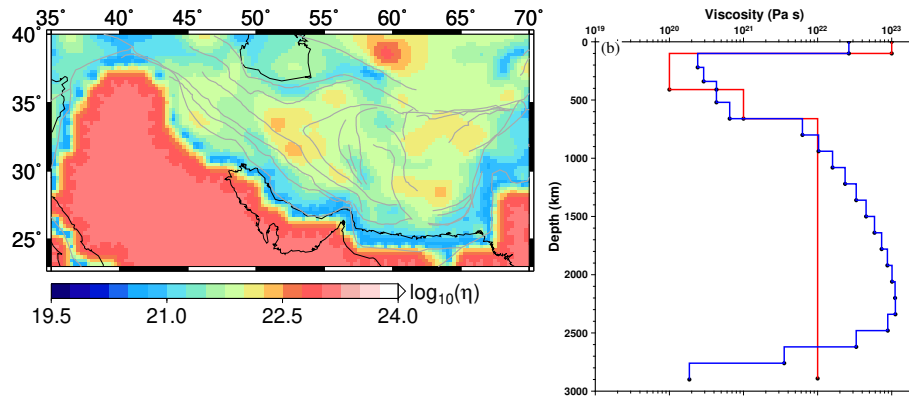
## Supplementary Materials



**Figure S1:** (Left) Plot of lithospheric viscosity in the study region that is used in finite element models. Right panel shows GHW13 (red) and SH08 (blue) viscosity structures used in mantle convection models.



**Figure S2:** (a-f) Deviatoric stresses predicted using GPE models for lithosphere base at 150 km (left) and 200 km (right). (g-h) Mantle derived stresses from S40RTS tomography model for GHW13 viscosity structures, when LAB is at 150 km (left) and 200 km (right). The background plot shows the second invariant of deviatoric stresses. The white arrows denote tensional stresses, and black arrows indicate compressional stresses.



**Figure S3:** (Left Panel) GPS (blue) and predicted (red) plate velocities with respect to a fixed Eurasian plate. Right Panel shows plot of FPDs in blue and  $S_{Hmax}$  in red.



**Table S1:** Summary of quantitative comparison of predicted results of various models with observed data for SH08 viscosity model (Steinberger & Holme, 2008).

Model	SHmax misfit	Strain rate correlation	RMS error (mm/yr)	Angular misfit	Total error
S40RTS+SH08	0.58	0.9	5.68	6	2.42
SAW642AN+SH08	0.58	0.88	17.41	22	3.56
3D2018_S40RTS+SH08	0.58	0.88	8.68	9.2	2.86
S2.9_S363+SH08	0.54	0.89	10.38	14	2.99
S40RTS+SH08cr2	0.52	0.91	3.81	3.3	1.95
SAW642AN+SH08cr2	0.56	0.89	5.38	5.1	2.35
3D2018_S40RTS+SH08cr2	0.54	0.89	4.15	3.3	2.07
S2.9_S362+SH08cr2	0.52	0.92	5.14	5.8	2.24
S40RTS+SH08cr1	0.54	0.92	4.8	6.1	2.19
SAW642AN+SH08cr1	0.54	0.91	8.61	10.7	2.78
3D2018_S40RTS+SH08cr1	0.56	0.91	6.3	7.9	2.49
S2.9_S362+SH08cr1	0.55	0.91	5.82	7.6	2.40
S40RTS+SH08+litho	0.52	0.94	5.79	7.4	2.34
SAW642AN+SH08+litho	0.51	0.94	8.05	8.7	2.66
3D2018_S40RTS+SH08+litho	0.53	0.94	6.61	8.2	2.48
S2.9_S362+SH08+litho	0.53	0.94	7.61	10.1	2.62

**Table S2:** Quantitative comparison of fit to the observed data for varying LAB depths.

Model/LAB Depth	$S_{Hmax}$ error			Strain Rates Correlation			Velocity rms error		
	100 km	150 km	200 km	100 km	150 km	200 km	100 km	150 km	200 km
CRUST2	0.77	0.64	0.6	0.69	0.83	0.87	7.32	5.85	5.69
CRUST1	0.64	0.61	0.6	0.87	0.9	0.9	7.44	8.59	9.28
LITHO1	0.59	0.56	0.56	0.92	0.93	0.93	8.51	9.03	9.45
S40RTS	0.57	0.56	0.54	0.88	0.89	0.9	6.2	5.9	6.06
S40RTScr2	0.48	0.48	0.49	0.92	0.92	0.93	3.28	5.24	3.82
S40RTScr1	0.51	0.52	0.53	0.92	0.92	0.92	4.29	9.6	9.28
S40RTS+litho1	0.49	0.5	0.51	0.93	0.93	0.94	4.52	9.03	9.45