

1   **Evaluation of CMIP6 model simulations of PM<sub>2.5</sub> and its  
2   components over China**

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31   **Abstract.** Earth system models (ESMs) participating in the latest Coupled Model Intercomparison  
32   Project Phase 6 (CMIP6) simulate various components of fine particulate matter (PM<sub>2.5</sub>) as major climate  
33   forcers. Yet the model performance for PM<sub>2.5</sub> components remains little evaluated due in part to lack of  
34   observational data. Here, we evaluate near-surface concentrations of PM<sub>2.5</sub> and its five main components  
35   over China as simulated by fourteen CMIP6 models, including organic carbon (OC, available in 14  
36   models), black carbon (BC, 14 models), sulfate (14 models), nitrate (4 models), and ammonium (5  
37   models). For this purpose, we collect observational data between 2000 and 2014 from a satellite-based

38 dataset for total PM<sub>2.5</sub> and from 2469 measurement records in the literature for PM<sub>2.5</sub> components. Seven  
39 models output total PM<sub>2.5</sub> concentrations, and they all underestimate the observed total PM<sub>2.5</sub> over eastern  
40 China, with GFDL-ESM4 ( $-1.5\%$ ) and MPI-ESM-1-2-HAM ( $-1.1\%$ ) exhibiting the smallest biases  
41 averaged over the whole country. The other seven models, for which we recalculate total PM<sub>2.5</sub> from the  
42 available components output, underestimate the total PM<sub>2.5</sub> concentrations, partly because of the missing  
43 model representations of nitrate and ammonium. Concentrations of the five individual components are  
44 underestimated in almost all models, except that sulfate is overestimated in MPI-ESM-1-2-HAM by 12.6%  
45 and in MRI-ESM2-0 by 24.5%. The underestimation is the largest for OC (by  $-71.2\%$  to  $-37.8\%$  across  
46 the 14 models) and the smallest for BC ( $-47.9\%$  to  $-12.1\%$ ). The multi-model mean (MMM) reproduces  
47 fairly well the observed spatial pattern for OC ( $R = 0.51$ ), sulfate ( $R = 0.57$ ), nitrate ( $R = 0.70$ ) and  
48 ammonium ( $R = 0.7574$ ), yet the agreement is poorer for BC ( $R = 0.39$ ). The varying performances of  
49 ESMs on total PM<sub>2.5</sub> and its components have important implications for the modeled magnitude and  
50 spatial pattern of aerosol radiative forcing.

51 **1 Introduction**

52 Fine particulate matter (PM<sub>2.5</sub>) influences air quality, human health and climate change. Exposure to near-  
53 surface PM<sub>2.5</sub> is associated with millions of global premature deaths each year (Zhang et al., 2017; World  
54 Health Organization, 2021). PM<sub>2.5</sub> affects the radiative budget of the climate system directly through  
55 scattering and absorption and indirectly via clouds. The effects of atmospheric aerosols on cloud droplet  
56 concentrations, cloud distributions and radiative properties pose large uncertainties in the estimating  
57 radiative forcing (Carslaw et al., 2013; Seinfeld et al., 2016). Earth system models (ESMs) are essential  
58 tools for studying global climate change. The accuracy of PM<sub>2.5</sub> simulations in ESMs exhibits a crucial  
59 constraint on the reliability of these models in climate change simulation and projection. The Coupled  
60 Model Intercomparison Project Phase 6 (CMIP6) provides an opportunity to evaluate simulated PM<sub>2.5</sub>  
61 and its components by the current-generation ESMs, which implement interactive aerosol and  
62 atmospheric chemistry (Turnock et al., 2020). A total of 21 ESMs participating in CMIP6 provide total  
63 PM<sub>2.5</sub> and/or several component simulations, although the aerosol component species vary across these  
64 models. Fourteen models include organic aerosol (OA, converted to organic carbon (OC) in this study  
65 by assuming OA / OC = 1.6), black carbon (BC), sulfate, dust (DST), and sea salt (SSLT). Four of these

66 14 models also include nitrate and five include ammonium (Table S1).

67 Aerosol optical depth (AOD) during 2000–2014 simulated in CMIP5 and CMIP6 are in broad agreement  
68 with satellite retrievals over most parts of Europe, North America, and India (Zhang et al., 2022; Cherian and Quaas, 2020). CMIP6 models better capture satellite-based AOD trends in western North  
70 America and eastern China, whereas CMIP5 models failed to reproduce the trends in AOD (Mortier et  
71 al., 2020; Cherian and Quaas, 2020). Studies have emerged over recent years to assess the CMIP model  
72 performance of individual aerosol components. An assessment of CMIP5 dust aerosol simulations using  
73 independent data from 1851 to 2011 over North Africa shows a common underestimate (Evan et al.,  
74 2016). Another analysis of CMIP3 and CMIP5 models suggests sea salt aerosols over the tropical Pacific  
75 to be significantly underestimated (Chen et al., 2020). Evaluation of the vertical  
76 distribution of BC in CMIP5 models based on aircraft measurements shows an overestimate in the upper  
77 troposphere especially over the Central Pacific (Allen and Landuyt, 2014). Several CMIP5 models  
78 produce high sulfate burdens over eastern China, the Indian Peninsula and the northern Indo-Chinese  
79 Peninsula, although the transport difference among these models results in distinctive spatial distributions  
80 (Li et al., 2020). Overall, global climate models struggle to accurately reproduce observed aerosol  
81 component concentrations over different world regions.

82 China is a major region with heavy aerosol pollution, dense population and complex climate, and thus it  
83 is critical to understand the performance of ESMs for aerosol simulations over this country. Several  
84 studies have evaluated total PM<sub>2.5</sub> simulations of CMIP models over China, using AOD data from  
85 satellite retrievals and ground-based aerosol networks (Mortier et al., 2020; Sockol and Small Griswold,  
86 2017; Michou et al., 2020) and ground-based aerosol networks (Mortier et al., 2020). They find that  
87 CMIP5 models reproduce the spatial pattern of AOD reasonably well over eastern China, but with a  
88 tendency to underestimate AOD magnitudes (Liu and Liao, 2017; Park et al., 2014; Allen et al., 2013).  
89 GFDL-CM3 performs best among CMIP5 models in simulating AOD over eastern China, partly because  
90 it includes nitrate and ammonium that most models lack (Li et al., 2020). Other studies suggest that  
91 CMIP6 models simulate the magnitude of annual mean AOD better than CMIP5 over eastern China, in  
92 part due to the notable increase in sulfate (Cherian and Quaas, 2020; Fan et al., 2018a). Nonetheless, the  
93 CMIP6 models fail to capture the seasonal north-south shift of AOD maximum center (Li et al., 2021;

94 Wang et al., 2021) Nonetheless, the CMIP6 models fail to capture the seasonal north-south shift of AOD  
95 maximum center over China during 2000–2014 (Li et al., 2021) and the observed dipole pattern of AOD  
96 trends between China and India during 2006–2014 (Wang et al., 2021b).

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97 Different PM<sub>2.5</sub> components exhibit distinctive radiative effects, thus understanding the performance of  
98 ESMs in simulating individual PM<sub>2.5</sub> components is important. Due to the absence of publicly available  
99 observational component data over China, only a few studies target single aerosol components (such as  
100 sulfate and dust) over a large region of the country, or different PM<sub>2.5</sub> components over a short period or  
101 a small region (Pu and Ginoux, 2018; Zhao et al., 2022). For example, model evaluation based on the  
102 Acid Deposition Monitoring Network in East Asia (EANET) suggests that sulfate concentrations  
103 simulated by CMIP5 and CMIP6 show a rising trend similar to observations (Mulcahy et al., 2020), but  
104 the simulations are still lower than observed concentrations (Fan et al., 2018b; Mortier et al., 2020). A  
105 recent study compares PM<sub>2.5</sub> components (dust, sea salt, BC, OC and sulfate) in CMIP6 models with the  
106 Modern Era Retrospective analysis for Research and Applications Aerosol Reanalysis (MERRAero) in  
107 Asia from 2005 to 2020 (Su et al., 2022; Buchard et al., 2016). The study shows that CMIP6 model  
108 uncertainties of total PM<sub>2.5</sub> over East Asia are mainly attributable to sulfate and mineral dust simulations.  
109 However, the model biases may in part come from other components (nitrate and ammonium) that are  
110 not analyzed in their study; and the MERRAero data might contain errors as well (Ma et al., 2021;  
111 Mahesh et al., 2019).

112 In this study, we evaluate near-surface concentrations of PM<sub>2.5</sub> and its five main components (OC, BC,  
113 sulfate, nitrate, and ammonium) from 2000 to 2014 over China simulated by fourteen CMIP6 models  
114 driven by historical emissions. For this purpose, we employ a satellite-based dataset for total PM<sub>2.5</sub>  
115 concentrations and a self-compiled PM<sub>2.5</sub> component dataset from 221 ground stations during 2000–2014  
116 collected from the literature. Section 2 introduces CMIP6 model simulations, satellite-based total PM<sub>2.5</sub>  
117 concentration data, and literature-based PM<sub>2.5</sub> component data. Section 3 assesses the performance of  
118 CMIP6 models for total PM<sub>2.5</sub>. Section 4 evaluates the simulated PM<sub>2.5</sub> components. Section 5 discusses  
119 the climate implications of the inadequacies in total PM<sub>2.5</sub> and its components in CMIP6 models. Section  
120 6 concludes the study.

121 **2 Data and method**

122 **2.1 CMIP6 simulations**

123 Near-surface concentrations of total PM<sub>2.5</sub> and its components can be converted from dry aerosol mass  
124 mixing ratios (MMRs) in CMIP6 models. Monthly mean near-surface MMRs (in the lowest model layer)  
125 of PM<sub>2.5</sub> and its main components are taken from fourteen CMIP6 models to assess the performance of  
126 ESMs over China (Table S1). Data are obtained from the “Historical” experiments covering 1850–2014,  
127 which serve as the entry cards for participating in CMIP6 (Eyring et al., 2016). They are coupled  
128 atmosphere-ocean simulations that include all CMIP6 historical forcings, and are well suited for  
129 quantifying and understanding model characteristics. The ensemble mean is taken for each model by  
130 averaging all available ensemble members. For GISS models, the ensemble members use two physics  
131 configurations with drastically different aerosol parameterizations. We average the ensemble members  
132 using the same physics configurations in GISS models, named GISS-E2-1-OMA (physics-version = 3)  
133 and GISS-E2-1-MATRIX (physics-version = 5) respectively (Bauer et al., 2020). Simulation results over  
134 2000–2014 are selected and re-gridded to 1° × 1° for comparison with available satellite- and ground-  
135 based data.

136 The anthropogenic emission data (ver. 2016-07-26) to drive “Historical” CMIP6 simulations is produced  
137 by the Community Emissions Data System (CEDS) (Hoesly et al., 2018). An updated version of CEDS  
138 (ver. 2017-05-18) corrected several errors in the spatial distribution within each country, but does not  
139 change total emissions by country and sector (Feng et al., 2020). The CEDS emissions (ver. 2016-07-26  
140 and ver. 2017-05-18) of OC, BC, CO, NO<sub>x</sub> and SO<sub>2</sub> in China after 2000 are higher than those in the  
141 [Multi-resolution Emission Inventory for China \(i.e., MEIC\)](#) (Paulot et al., 2018; Zheng et al., 2018)  
142 [inventory](#) and the Peking University (PKU) inventory (Wang et al., 2014; Huang et al., 2015; Tao et al.,  
143 2018) which use more detailed Chinese data. This difference in China has been reduced when CEDS was  
144 used to derive future SSP scenarios in CMIP6 simulations (published on ESGF on 28 June 2018 on  
145 <https://esgf-node.llnl.gov/search/cmip6>), and has been included in a post-CMIP6 version of CEDS  
146 ([McDuffie et al., 2020](#))[\(McDuffie et al., 2020\)](#).

147 Of the fourteen models, all output the MMRs of OA, BC, sulfate, dust and sea salt, five output ammonium,  
148 and four output nitrate (Table S1). Seven models output the MMRs of total PM<sub>2.5</sub>, as the sum over all

149 components with suitable particle sizes. The MMRs are converted to mass concentrations ( $\mu\text{g m}^{-3}$ ) based  
150 on air density in each model. In evaluating PM<sub>2.5</sub> components (Sect. 2.3), modeled4, the evaluation of  
151 dust and sea salt concentrations is excluded due to the lack of available ground-based observations. We  
152 compare OC, BC, sulfate, nitrate, and ammonium simulations with the observed data available for these  
153 components. Modeled OA is converted to organic carbon (OC) to be comparable with the observational  
154 dataset. Modeled OA refers to total organic aerosol, including primary organic aerosol (POA) and  
155 secondary organic aerosol (SOA). For the GFDL-ESM4 model, the “mmroa” variable for OA only  
156 includes POA; thus we calculate the total OA of GFDL-ESM4 as mmroa plus mmrsoa. The OA/OC  
157 ratios in the literature range from 1.4 to 2.1 (Bürki et al., 2020; Lin et al., 2016). We choose an OA/OC  
158 ratio of 1.6, which is the same as the ratio used in converting near-surface OA observations to OC. This  
159 ratio is slightly higher than the value of 1.4 recommended by CMIP6 for POA, but it does not affect the  
160 relative (percentage) model bias found in this study because the same ratio is used for models and  
161 observations.

162 For the seven models that do not output total PM<sub>2.5</sub>, we follow the previous work to estimate total PM<sub>2.5</sub>  
163 concentrations (Eq. 1) (Turnock et al., 2020). Here, OA, BC, sulfate and certain portions of sea salt (SSLT,  
164 a<sub>1</sub>) and dust (DST, a<sub>2</sub>) are assumed to be present in fine particles (diameter < 2.5  $\mu\text{m}$ ).

$$165 \quad \text{PM}_{2.5} = \text{OA} + \text{BC} + \text{SO}_4^{2-} + a_1 \times \text{SSLT} + a_2 \times \text{DST} \quad (1)$$

166 For most models, specific values of a<sub>1</sub> and a<sub>2</sub> are provided by model developers (Table S2). BCC-ESM1  
167 does not provide the coefficients. Instead, the model outputs concentrations in four size bins for each of  
168 dust (DST01: 0.1–1.0  $\mu\text{m}$ , DST02: 1.0–2.5  $\mu\text{m}$ , DST03: 2.5–5.0  $\mu\text{m}$ , and DST04: 5.0–10  $\mu\text{m}$ ) and sea  
169 salt (SSLT01: 0.2–1.0  $\mu\text{m}$ , SSLT02: 1.0–3.0  $\mu\text{m}$ , SSLT03: 3.0–10  $\mu\text{m}$ , and SSLT04: 10–20  $\mu\text{m}$ ) (Su et  
170 al., 2022; Wu et al., 2019). Thus, the first two bins are assumed to belong to PM<sub>2.5</sub>. Ammonium and  
171 nitrate are not available in most of these six models (except GISS-E2-1-MATRIX) and are thus not  
172 included in Eq.1.

## 173 2.2 Satellite-based total PM<sub>2.5</sub>

174 We take satellite-based near-surface total PM<sub>2.5</sub> concentrations from the V4.CH.03 product of the  
175 Washington University Atmospheric Composition Analysis Group (Hammer et al., 2020)(Hammer et al.,

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176 [2020](#)). The dataset is constructed by combining multiple satellite products of AOD with simulations from  
177 a chemical transport model (GEOS-Chem) to predict PM<sub>2.5</sub>, and then constraining these estimates by  
178 ground-level PM<sub>2.5</sub> monitoring. It provides the annual average PM<sub>2.5</sub>-concentrations during 2000–2014  
179 with a high spatial resolution of 0.01° × 0.01° (~1 × 1 km<sup>2</sup>). The GEOS-Chem aerosol simulations include  
180 primary and secondary carbonaceous aerosols, sulfate, nitrate, ammonium, mineral dust, and sea salt.  
181 The dataset provides the annual average PM<sub>2.5</sub> concentrations during the period 2000–2014 with a high  
182 spatial resolution of 0.01° × 0.01° (~1 × 1 km<sup>2</sup>). The adjusted satellite-derived PM<sub>2.5</sub> concentrations over  
183 Asia are compared with surface PM<sub>2.5</sub> observations collected from the Global Burden of Disease (GBD)  
184 collaborators during the period 2008–2013 (Mean<sub>satellite</sub> = 61.5 µg m<sup>-3</sup> versus Mean<sub>obs</sub> = 59.1 µg m<sup>-3</sup>) (van  
185 Donkelaar et al., 2016) and from the China National Environmental Monitoring Center (CNEMC) during  
186 the period 2015–2019 (Mean<sub>satellite</sub> = 45.9 µg m<sup>-3</sup> versus Mean<sub>obs</sub> = 43.4 µg m<sup>-3</sup>) (van Donkelaar et al.,  
187 2021). Detailed data descriptions are provided elsewhere (van Donkelaar et al., 2019; van Donkelaar et  
188 al., 2016). Here the satellite-based total PM<sub>2.5</sub> data are re-gridded to 1° × 1° for model evaluation purposes.

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### 189 2.3 Ground-based PM<sub>2.5</sub> components data

190 Since national-scale continuous measurements of near-surface PM<sub>2.5</sub> components are unavailable in  
191 China, we collect observational PM<sub>2.5</sub> component data from the literature. Our collected dataset includes  
192 2469 component records of OC, BC, sulfate, nitrate, and ammonium nationwide (627, 66, 645, and 1131  
193 records in western regions, Northeast China, North China, and Central and South China, respectively),  
194 as shown in Figure 1. Here a record represents one measured PM<sub>2.5</sub> component at the specific sample site  
195 and period. These records cover 30 provinces (including provinces and provincial-level municipalities)  
196 and multiple land use types (urban, rural, near the road, and industrial park, etc.). The dataset does not  
197 cover Ningxia, Guizhou, Heilongjiang, and Taiwan. A total of 472, 459, 518, 519, and 501 records are  
198 available for OC, BC, sulfate, nitrate, and ammonium over China, respectively. The site locations,  
199 sampling periods, data sources, and other information are summarized in the Supplement.

200 At a given site, the records are not continuous in time. These records cover varying sampling periods  
201 ranging from a few days to several years, although most are monthly data. We treat a record as seasonal  
202 if its data length is equal to or shorter than a season, or as annual when its data length is longer than 6  
203 months. The records are not evenly scattered across years and are more available in later years in general.

204 From 2000 to 2008, the numbers of records range from 50 to 150 per year, except for 2003 (207 records);  
205 while from 2009 to 2014, the numbers of records vary between 150 to 550 per year (Fig. S1). To compare  
206 with CMIP6 simulations, we calculate for each site the multi-year mean PM<sub>2.5</sub> component concentrations  
207 by averaging over the seasonal or annual observational records. If there are more than one sites in a given  
208 model grid cell, we average data from all sites in that grid cell. To consider the effect of interannual  
209 variability, (caused by incomplete temporal match in data availability between models and observations),  
210 we compute for each CMIP6 model the average and maximum of annual mean values ~~everduring~~ 2000–  
211 2014 from all grid cells with available observational data, and then compare with the multi-year averaged  
212 observations from these grid cells. As detailed in Section 5, the model biases are not caused by imperfect  
213 model-observation matching in time.

### 214 **3 Evaluation of near-surface total PM<sub>2.5</sub>**

#### 215 **3.1 Spatial distribution**

216 The spatial distribution of satellite-based annual mean total PM<sub>2.5</sub> concentrations (Fig. 2 p) exhibits high  
217 values over populous and industrial North China (including Beijing, Tianjin, Hebei, Shandong, and  
218 Shanxi provinces, 52.6  $\mu\text{g m}^{-3}$ ) and eastern Sichuan (60.9  $\mu\text{g m}^{-3}$ ). Central and South China exhibits  
219 PM<sub>2.5</sub> concentrations (46.5  $\mu\text{g m}^{-3}$ ) lower than North China, due to lower emissions, higher vegetation  
220 coverage, better ventilation conditions and more precipitation. PM<sub>2.5</sub> concentrations are modest over  
221 dusty southern Xinjiang (33.6  $\mu\text{g m}^{-3}$ ). Low PM<sub>2.5</sub> concentrations (< 8  $\mu\text{g m}^{-3}$ ) are distributed over the  
222 plateaus or forested regions with small populations, such as Tibet and northern Heilongjiang. Overall,  
223 PM<sub>2.5</sub> concentrations in the south and coastal regions are lower than in the northern and inland regions.

224 Among the seven models that directly output total PM<sub>2.5</sub> concentrations (Fig. 2 a-g), GFDL-ESM4 and  
225 MPI-ESM-1-2-HAM show similar patterns and magnitudes to satellite data with small national average  
226 biases (-1.5% and -1.1%, respectively) because of better performance in BC, sulfate, and ammonium  
227 simulations (Fig. S4-S7), which are related to the aerosol-chemistry-climate schemes within CMIP6  
228 models (Turnock et al., 2020). Over the eastern regions (including Northeast China, North China, and  
229 Central and South China), all models exhibit spatially averaged negative biases ranging from -47.9%  
230 to -3.3% (Fig. S2). Nevertheless, the spatial pattern over the eastern regions is well simulated by four  
231 models (GFDL-ESM4, GISS-E2-1-OMA, MIROC-ES2L, and MPI-ESM-1-2-HAM) ( $R > 0.9$ , as shown

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232 [in Table S2](#)) with the maximum center over North China correctly reproduced. Over the western regions,  
233 four models (GFDL-ESM4, MRI-ESM2-0, NorESM2-LM, and NorESM2-MM) reproduce the  
234 maximum center over southern Xinjiang, although each of the seven models can underestimate or  
235 overestimate the peak values substantially.

236 For the seven models with total PM<sub>2.5</sub> derived from Eq.1, their simulated PM<sub>2.5</sub> concentrations  
237 underestimate the satellite-based data by –65.5% to –48.0% averaged over the country (Fig.2 h-n). The  
238 negative biases are in part because nitrate and ammonium are not included ([10.4–17.2, About 15.1–20.6%](#)  
239 and [10.1–11.14–14.6% of PM<sub>2.5</sub> are nitrate and ammonium in the models that do contain them](#)), as shown  
240 [in Table S3](#). Over the eastern regions, HadGEM3-GC31-LL and UKESM1-0-LL exhibit the least  
241 underestimation, and they also capture the observed maximum center over North China. Five of these  
242 seven models do not reproduce the PM<sub>2.5</sub> peaks over dusty regions in the west, pointing to model  
243 deficiencies in dust simulations (Zhao et al., 2022).

### 244 3.2 Trend and interannual variability

245 Over the eastern regions (Northeast China, North China, and Central and South China), data from satellite  
246 (0.72  $\mu\text{g m}^{-3} \text{ yr}^{-1}$ ) and all models (0.32–1.14  $\mu\text{g m}^{-3} \text{ yr}^{-1}$ ) exhibit significant increases ( $p$ -value < 0.05)  
247 in annual mean total PM<sub>2.5</sub> concentrations over 2000–2014, with temporal correlation between 0.63 and  
248 0.87 (Fig. 3 a and Table S2). [The positive trend of satellite data over the eastern regions is consistent](#)  
249 [with findings from previous studies \(de Leeuw et al., 2022; Geng et al., 2021\)](#), as caused mainly by  
250 [emission changes \(Hoesly et al., 2018; Wang et al., 2022\)](#). GFDL-ESM4 and MPI-ESM1-2-HAM exhibit  
251 annual average PM<sub>2.5</sub> concentrations and trends similar to the satellite data since 2004. Regionally, the  
252 fourteen models capture the interannual variations of satellite PM<sub>2.5</sub> over Northeast China ( $R > 0.9$ ) and  
253 North China ( $R > 0.8$ ) (Fig. 4). The temporal consistency reflects that the models capture the temporal  
254 changes in anthropogenic emissions over these polluted regions, although the models might not align  
255 with natural (meteorology-driven) variability.

256 Over the western regions where natural dust dominates the aerosol loadings, satellite-based PM<sub>2.5</sub>  
257 concentrations experience no significant trend over 2000–2014, whereas 11 models increase significantly  
258 ranging from 0.10–0.28  $\mu\text{g m}^{-3} \text{ yr}^{-1}$  (Fig. 3 b). [The notable decline over 2000–2005 in satellite data \(–](#)

259  $1.12 \mu\text{g m}^{-3} \text{yr}^{-1}$ ), which reaches 90% confidence level but no 95%, is not captured by any model. There  
260 is a notable decline over 2000–2005 in satellite data ( $-1.12 \mu\text{g m}^{-3} \text{yr}^{-1}$ , at the significance level of 0.1)  
261 consistent with the previous studies that use dust aerosol optical depth (DOD) and ground-based  
262 observations of dust storm (Wang et al., 2021a; Song et al., 2016). However, the dramatic drop is not  
263 captured by any model, reflecting large uncertainties and inter-model diversities in dust simulations  
264 stemming from many factors such as the driving mechanisms, dust particle size, and model structural  
265 differences (Zhao et al., 2022). Over 2000–2014, NorESM2-LM, NorESM2-MM, and MPI-ESM-1-2-  
266 HAM show large interannual variations whereas other models do not. The models do not align with the  
267 yearly changes found in the satellite data, with modestly positive, low or even negative correlation  
268 coefficients ( $-0.6$  to  $0.6$ , Fig. 4). The inaccuracy in aerosol trend and variability might exert erroneous  
269 forcing upon the climate system.

270 **4 Evaluation of near-surface PM<sub>2.5</sub> components**

271 **4.1 Organic carbon and black carbon**

272 Ground-based observations of carbonaceous aerosols (OC and BC) are mostly available in the eastern  
273 regions. The national average multi-year mean observed OC concentration reaches  $15.9 \mu\text{g m}^{-3}$ .  
274 Observed OC concentrations peak over North China ( $> 25 \mu\text{g m}^{-3}$ ) and are also high over Central and  
275 South China ( $5$ – $25 \mu\text{g m}^{-3}$ ) (Fig. 5 a). The national average of the 14-model mean ( $6.5 \mu\text{g m}^{-3}$ , normalized  
276 mean bias (NMB) =  $-59.0\%$ ), which are spatially coincidentally sampled with the ground-based  
277 observations, (i.e., model values are obtained from grid cells with available observations), severely  
278 underestimates the observations, especially over parts of North China with the bias reaching  $-40 \mu\text{g m}^{-3}$   
279 (Fig. 5 b). Nevertheless, the spatial pattern of OC observations is captured by the 14-model mean  
280 modestly well with a correlation coefficient of 0.51. Further, a negative bias exceeding  $-50\%$  occurs in  
281 11 models, even though they can simulate the spatial pattern moderately well (R ranges from 0.40 to  
282 0.58, Fig. S2). The discrepancy of OC between models peaks over North China and eastern Sichuan, as  
283 shown in Figure 5-e-S4).

284 The national average multi-year mean observed BC concentration is  $4.3 \mu\text{g m}^{-3}$ . Observed BC  
285 concentrations are high ( $> 10 \mu\text{g m}^{-3}$ ) over parts of North China with mining and other heavy industries,  
286 such as Hebei and Shanxi province (Fig. 5 d). However, the 14-model mean ( $3 \mu\text{g m}^{-3}$ ) does not capture

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287 the spatial pattern very well ( $R = 0.39$ ) and it underestimates the observations ( $NMB = -27.2\%$ ). The 14-  
288 model mean presents the largest negative bias over Shanxi ( $-15.2 \mu\text{g m}^{-3}$ ) and the greatest positive bias  
289 over Shandong ( $3.9 \mu\text{g m}^{-3}$ , Fig. 5 e); both provinces are in North China. Twelve of the 14 models  
290 underestimate the BC observations (by  $-47.9\%$  to  $-12.1\%$  for national average), whereas two models  
291 (HadGEM3-GC31-LL and UKESM1-0-LL) exhibit positive biases (by  $21.1\%$  and  $26.2\%$ , respectively)  
292 (Fig. 6 and Fig. S3S). Most models produce high concentrations of BC over the whole North China,  
293 including Beijing and Shandong that exhibit relatively low observational values. [The spatial distributions](#)  
294 [of carbonaceous aerosol concentrations are mainly influenced by CEDS emissions used in models, with](#)  
295 [higher spatial correlation coefficients greater than 0.85 \(Fig. S3\).](#)

296 The underestimation of carbonaceous aerosol concentrations might be associated with anthropogenic  
297 emissions, chemical mechanisms, and meteorological conditions. [The For China, the CEDS emission](#)  
298 data (ver. 2016-07-26) used in CMIP6 historical simulations are [everestimated about 3.8–31.3% higher](#)  
299 [than those in China-MEIC inventory except for NO<sub>x</sub> emissions \(Fan et al., 2022\)](#). However, the positive  
300 bias in emissions cannot explain the model underestimation of OC and BC concentrations. [Instead,](#)  
301 [the The model inadequacies in chemical processes \(e.g., using simplified aerosols and chemistry schemes,](#)  
302 which tends to underestimate aerosol formation (Turnock et al., 2020) might lead to underestimated  
303 secondary organic aerosols (SOA) concentrations, as a component of OC, especially over Central and  
304 South China (Chen et al., 2016)-(Chen et al., 2016). The inter-model discrepancies of OC and BC peak  
305 over North China and eastern Sichuan (Fig. 5 c). The large absolute discrepancies are in part due to the  
306 higher air pollutant concentrations in these regions. Furthermore, many differences exist among CMIP6  
307 models in PM<sub>2.5</sub> component simulations, including the representation of aerosol size distribution; the  
308 simplification of chemical processes with photolytic, kinetic and heterogeneous reactions (e.g., 33  
309 photolytic reactions in BCC-ESM1 but 43 in GFDL-ESM4) (Turnock et al., 2020; Wu et al., 2020b;  
310 Dunne et al., 2020); the treatment for transport of gaseous tracers and aerosols by advection and vertical  
311 convection; and the dry deposition and wet scavenging schemes (Su et al., 2022; Digby et al., 2024).

312 Meteorological conditions, including temperature, precipitation and surface wind simulations, have  
313 critical impacts on local aerosol concentrations. Temperature simulations over the eastern regions of  
314 China by CMIP6 models are very close to the observed data (Yang et al., 2021)-(Yang et al., 2021). Over

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315 the western regions, a notable warm bias over Xinjiang in most CMIP6 models (Zhang et al., 2022b)  
316 may contribute to higher planetary boundary layer height (Yue et al., 2021) and stronger vertical mixing,  
317 partly explaining the underestimation of OC and BC concentrations near the surface (Fig. 5); whereas  
318 the pronounced cold bias over the Tibetan Plateau (Zhu and Yang, 2020) might contribute to  
319 overestimated near-surface aerosol concentrations over there. Precipitation affects aerosol concentrations  
320 through wet scavenging; and it is overestimated (wet bias) in CMIP6 models over North China and  
321 Northeast China but close to observations over Central and South China (Yang et al., 2021)(Yang et al.,  
322 2021). The model performance in precipitation may partly explain the more severe underestimation of  
323 OC concentrations over North China than over Central and South China. But the overestimation of BC  
324 over North China suggests that other factors offset the influence of local wet bias. Over the western  
325 regions, most models exhibit wet bias, except over northern Xinjiang where local temperature (warm  
326 bias) and precipitation (dry bias) have opposite effects on near-surface aerosol concentrations.  
327 Furthermore, the overall underestimation of surface wind speed over China in CMIP6 (Wu et al.,  
328 20202020a) is conducive to the accumulation of near-surface aerosol concentrations around the  
329 anthropogenic emission source regions, which may induce a negative contribution to the underestimation  
330 of OC and BC concentrations.

Field Code Changed

### 331 4.2 Sulfate, nitrate and ammonium

332 This section evaluates the model performance of secondary inorganic aerosols (sulfate, nitrate, and  
333 ammonium; SIOA). Sulfate aerosol in CMIP6 models is dependent on SO<sub>2</sub> emissions (the main sulfuric  
334 acid precursor), chemical conversion of SO<sub>2</sub> to sulfate, and loss through wet scavenging (Wu et al., 2020b;  
335 Tegen et al., 2019). Some models also explicitly simulate nitrate and ammonium aerosols using the  
336 sulfate-nitrate-ammonia thermodynamic equilibrium. For instance, EC-Earth3-AerChem, GISS-E2-1-  
337 MATTRAX and GISS-E2-1-OMA use the Equilibrium Simplified Aerosol Model (EQSAM) (Metzger et  
338 al., 2002; Bauer et al., 2020; van Noije et al., 2021), while GFDL-ESM4 treats ammonium and nitrate  
339 aerosols with ISORROPIA (Fountoukis and Nenes, 2007; Paulot et al., 2016; Dunne et al., 2020).

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340 The national average multi-year mean of observed sulfate concentrations reaches 14.6  $\mu\text{g m}^{-3}$ , the second  
341 largest value among the five PM<sub>2.5</sub> components (following OC). The observed sulfate concentrations  
342 exceed 15  $\mu\text{g m}^{-3}$  over most of North China and eastern Sichuan, as well as cities over Xinjiang with

343 large population and petroleum industry (Fig. 5 g). The 14-model mean, whose national average is 9.3  
344  $\mu\text{g m}^{-3}$ , has the greatest underestimation over North China and Xinjiang (Fig. 5 h). The 14-model mean  
345 agrees modestly well with the observations in spatial pattern ( $R = 0.57$ ). Among the 14 models, the  
346 national average model biases range from -66.1% (GISS-E2-1-OMA) to 24.5% (MRI-ESM2-0); and  
347 five models better capture the observed spatial pattern with correlation coefficients exceeding 0.6 (Fig.  
348 6). The cross-model discrepancy in sulfate ( $2 \mu\text{g m}^{-3}$  in national average) is larger than those for the other  
349 four components ( $0.4\text{--}0.9 \mu\text{g m}^{-3}$ ), particularly over Central and South China (Fig. 5 i).

350 The national average multi-year mean of observational nitrate concentrations is  $8.7 \mu\text{g m}^{-3}$ . The observed  
351 spatial pattern of nitrate is similar to sulfate, with high values over North China, eastern Sichuan and  
352 populous cities of Xinjiang (Fig. 5 j). Only four models (GFDL-ESM4, GISS-E2-1-OMA, GISS-E2-1-  
353 MATRIX, and EC-Earth3-AerChem) include nitrate simulations. The 4-model mean has a national  
354 average of  $5.5 \mu\text{g m}^{-3}$ , with a NMB of -36.5%; but it captures the observed spatial pattern very well with  
355 a correlation coefficient reaching 0.7. All the four models exhibit negative NMBs ranging from -41.4%  
356 to -25.4%; they reproduce high values over the eastern regions but have underestimation over Xinjiang  
357 (Fig. [S5S7](#)).

358 The observed multi-year mean ammonium concentrations have a national average value of  $6.7 \mu\text{g m}^{-3}$ .  
359 The observational values peak over North China ( $> 10 \mu\text{g m}^{-3}$ ), particularly over the agricultural regions  
360 from which ammonia emissions are the greatest (Fig. 5 m). Five models perform ammonium simulations.  
361 The 5-model mean, with a national average of  $3.4 \mu\text{g m}^{-3}$ , has negative and positive biases between -  
362  $12.2$  and  $1.5 \mu\text{g m}^{-3}$  at different locations (Fig. 5 n). The 5-model mean captures the observed spatial  
363 pattern of ammonium ( $R = 0.74$ ) better than for other components ( $R = 0.39\text{--}0.70$ ). The five models  
364 exhibit varying performances in magnitude and spatial pattern. The NMBs range from -89.0% to -13.6%  
365 across these models. Four models simulate the spatial patterns of ammonium well with high correlation  
366 coefficients between 0.67 to 0.76, although the spatial agreement is poor for CESM2-WACCM ( $R =$   
367 0.21).

368 Emissions, meteorological conditions and chemical processes affect the formation and loss of secondary  
369 inorganic aerosols. As explained in Sect. 4.1, the potentially overestimated CEDS emissions over China,  
370 the cold bias over the Tibetan Plateau, and the dry bias over northern Xinjiang tend to overestimate

371 aerosol concentrations, which are in contrast with the negative model biases over the respective regions.  
372 On the other hand, the warm bias over northern Xinjiang and the wet bias over North China and Northeast  
373 China are in line with the underestimation of aerosol concentrations. Furthermore, the formation of  
374 nitrate from nitric acid depends on the amount of residual ammonia left from the formation of ammonium  
375 sulfate. Over the regions where ammonia is not sufficient to neutralize both nitric acid and sulfuric acid  
376 (such as Shanxi and Shandong), decreased sulfate formation might promote nitrate formation with the  
377 released ammonium (Zhai et al., 2019; Zhai et al., 2021). This partly explains why the underestimation  
378 of nitrate simulations is less than sulfate over these regions.

379 **5 Discussion**

380 Over the eastern regions, the concentrations of total PM<sub>2.5</sub> and its five components are underestimated  
381 by the 14 models in general. The slight underestimation of three models (GFDL-ESM4, MPI-ESM-1-2-  
382 HAM, and MRI-ESM2-0) can be traced to positive biases in sulfate simulations partly offsetting the  
383 negative biases in OC and BC. Over the western regions, most models underestimate the total PM<sub>2.5</sub>  
384 concentrations dominated by dust aerosols, whereas three models (GFDL-ESM4, NorESM2-LM, and  
385 NorESM2-MM) produce overly high values over Xinjiang due to overestimated dust concentrations.  
386 Meanwhile, all models underestimate the five PM<sub>2.5</sub> components over the west.

387 Figure 7 shows little difference between the maximum and average annual concentrations over 2000–  
388 2014 for national mean PM<sub>2.5</sub> components simulated by individual models. Furthermore, we average  
389 over all seasonal and annual observational records to compare with annual mean model results. A test  
390 using the seasonal (annual) model results to match seasonal (annual) observational records shows very  
391 similar comparison results (Fig. S6S8). These tests suggest that the model underestimation cannot be  
392 attributed to imperfect temporal matching between models and observations or the potential mis-phase  
393 (or variability) in models.

394 Among the five PM<sub>2.5</sub> components evaluated, absorbing aerosol (BC) and four scattering aerosols (OC,  
395 sulfate, nitrate, and ammonium) have opposite direct radiative forcing at the top of atmosphere (TOA).  
396 The underestimation of BC is less than for the other four scattering aerosols. If this difference persists in  
397 the troposphere, the underestimated PM<sub>2.5</sub> components might cause an underestimation of negative

398 radiative forcing at TOA. The underestimation of BC and scatter aerosols might result in more solar  
399 radiation reaching the ground (Chen et al., 2022; Tang et al., 2022). This is consistent with the  
400 overestimation of maximum daily maximum temperature over the eastern regions ([Zhu et al., 2020](#))  
401 ([Zhu et al., 2020](#)), likely serving as a positive feedback between negative aerosol biases and overestimated  
402 surface temperature.

403 The spatial biases in aerosols might also serve as an important limiting factor for the performance of  
404 meteorological/climate simulations. The observed PM<sub>2.5</sub> and its five components are characterized by  
405 high concentrations over the east and low values over the west (except northern Xinjiang). In a few  
406 models, the large overestimation of PM<sub>2.5</sub> over Xinjiang of the west (dominated by dust) with  
407 underestimated PM<sub>2.5</sub> (dominated by anthropogenic aerosols) over the east might exert an incorrect west-  
408 east asymmetric climate forcing. The spatial pattern of resulting climate response might include cold-  
409 warm biases of surface temperature (cold bias over the west and warm bias over the east). The difference  
410 in the spatial pattern of model bias between BC and scattering aerosols might have additional impacts on  
411 the climate. Future work is needed to examine how the model errors in PM<sub>2.5</sub> and its components might  
412 affect climate simulations through aerosol-climate feedback.

413 **6 Summary**

414 In this study, we evaluate the performance of 14 CMIP6 ESMs in simulating total near-surface PM<sub>2.5</sub> and  
415 its five components over China during 2000–2014, and discuss the likely causes for model errors, and  
416 their climate implications. Our assessment helps to understand the capability of the current-generation  
417 models in the simulation of aerosols and aerosol-climate interactions, towards further improvement of  
418 climate predictions and projections. Our findings are summarized as follows:

419 (1) Twelve of the 14 CMIP6 models tend to underestimate the total PM<sub>2.5</sub> concentrations over China  
420 (NMB = -65.5% to -1.1%) and the other two models overestimate them (NMB = 17.0%–39.2%), as  
421 compared to a satellite-based dataset. The seven models that output total PM<sub>2.5</sub> concentrations exhibit  
422 underestimation between -47.9% and -3.3% over the eastern regions, although four of them capture the  
423 observed spatial pattern ( $R > 0.9$ ). Over the western regions, four of these seven models reproduce the  
424 maximum center over southern Xinjiang. The seven models, for which we calculate the total PM<sub>2.5</sub>

425 concentrations from outputted components, underestimate the observed PM<sub>2.5</sub> by -65.5% to -48.0%  
426 averaged over the country, in part due to missing nitrate and ammonium in the models.

427 (2) Over the eastern regions, all models simulate significant increasing trends of total PM<sub>2.5</sub> (0.32–1.14  
428 µg m<sup>-3</sup> yr<sup>-1</sup>) over 2000–2014 that are close to satellite-based data (0.72 µg m<sup>-3</sup> yr<sup>-1</sup>). The models also  
429 capture the interannual variability of satellite PM<sub>2.5</sub> over Northeast China and North China. Over the  
430 western regions, 11 models simulate growing PM<sub>2.5</sub> concentrations at rates of 0.10–0.28 µg m<sup>-3</sup> yr<sup>-1</sup>, in  
431 contrast to no significant trends in satellite data.

432 (3) The 14-model mean captures the spatial pattern of observed OC modestly well ( $R = 0.51$ ), but it  
433 exhibits severe underestimation nationwide ( $NMB = -59.0\%$ ), with negative biases exceeding -50% in  
434 11 models. The 14-model mean shows a poor capability in capturing the BC spatial pattern ( $R = 0.39$ ),  
435 and it also underestimates the BC observations ( $NMB = -27.2\%$ ). Two models exhibit positive biases in  
436 BC, while the other 12 models exhibit negative biases.

437 (4) Fourteen, four and five models output the sulfate, nitrate, and ammonium, respectively. The 14-  
438 model mean of sulfate exhibits modest spatial correlation and bias ( $R = 0.57$ ,  $NMB = -36.5\%$ ); and there  
439 exist large discrepancies among these models, with biases ranging from -66.1% to 24.5%. The 4-model  
440 mean of nitrate captures the spatial pattern well ( $R = 0.7$ ), although it still underestimates concentrations  
441 nationwide ( $NMB = -36.5\%$ ). The 5-model mean of ammonium has the best performance in reproducing  
442 the spatial pattern ( $R = 0.74$ ) but with a negative bias in magnitudes ( $NMB = -46.5\%$ ).

443 (5) The overall underestimation of PM<sub>2.5</sub> and its components are associated with imperfection in  
444 emissions as input, modeled meteorology and chemistry. The underestimated PM<sub>2.5</sub> and its components  
445 might cause an overall underestimated cooling effect at TOA and stronger warming at the surface in the  
446 models. The model performance in spatial pattern differs between BC and scattering aerosols; and a few  
447 models also exhibit strong positive biases over the west (associated with dust) but negative biases over  
448 the east. Together, the errors in spatial pattern might have additional consequences for the modeled  
449 climate. Further studies are warranted to quantify how model errors in the magnitude and spatial pattern  
450 of aerosols affect the regional and global climate, for example, through the Regional Aerosol Model  
451 Intercomparison Project (RAMIP) (Wilcox et al., 2022).

452 As a final note, [those causes for aerosol underestimation may also affect ozone, and](#) the underestimated  
453 aerosol concentrations might also [further](#) affect the ozone simulation through radiative or heterogeneous  
454 chemical processes (Jacob, 2000; Lin et al., 2012; Li et al., 2019). In addition, as CMIP6 models are also  
455 used to study the health impacts of aerosols (Xu et al., 2022; Shim et al., 2021), the aerosol  
456 underestimation needs to be corrected to allow a more reliable estimate of health consequences.

457 **Data availability**

458 CMIP6 data are available on the Earth System Grid Federation (ESGF) and can be freely downloaded  
459 via the website interface <https://esgf-data.dkrz.de/search/cmip6-dkrz/> (last access: 8 September 2020,  
460 WCRP, 2020). Satellite-derived surface PM<sub>2.5</sub> concentration products can be accessed from the  
461 Washington University Atmospheric Composition Analysis Group website as version V4.CH.03 at  
462 <https://sites.wustl.edu/acag/datasets/surface-pm2-5/>. Observational data used in this paper are provided  
463 in the SI, with raw data available upon request to the corresponding author Jintai Lin (linjt@pku.edu.cn).

464 **Author contributions**

465 JL led the study. FR and JL designed the study, analyzed the results, and wrote the paper. CX provided  
466 the map data of four regions in China. JA collected observation data of PM<sub>2.5</sub> components from the  
467 literature. JW helped to analyze the evaluation results. RM, AD and MH provided satellite-derived data  
468 of total PM<sub>2.5</sub>. ST performed UKESM1-0-LL and HadGEM3-GC31-LL simulations. NO performed  
469 MRI-ESM2-0 simulations. JZ performed BCC-ESM1 simulations. SB and KT performed GISS-E2-1-  
470 OMA and GISS-E2-1-MATRIX simulations. ØS performed NorESM2-LM and NorESM2-MM  
471 simulations. PN performed CNRM-ESM2-1 simulations. DN performed MPI-ESM1-2-HAM  
472 simulations. GS performed CESM2-WACCM simulations. TN and PS performed EC-Earth3-AerChem  
473 simulations. LH performed GFDL-ESM4 simulations. TT performed MIROC-ES2L simulations. All  
474 authors commented on the manuscript.

475 **Competing interests**

476 The authors declare that they have no conflict of interests.

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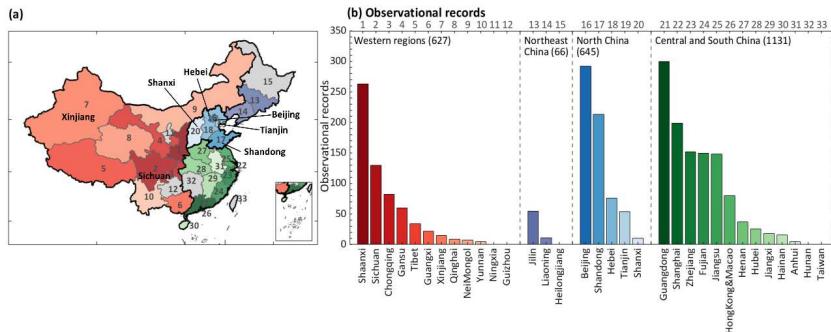
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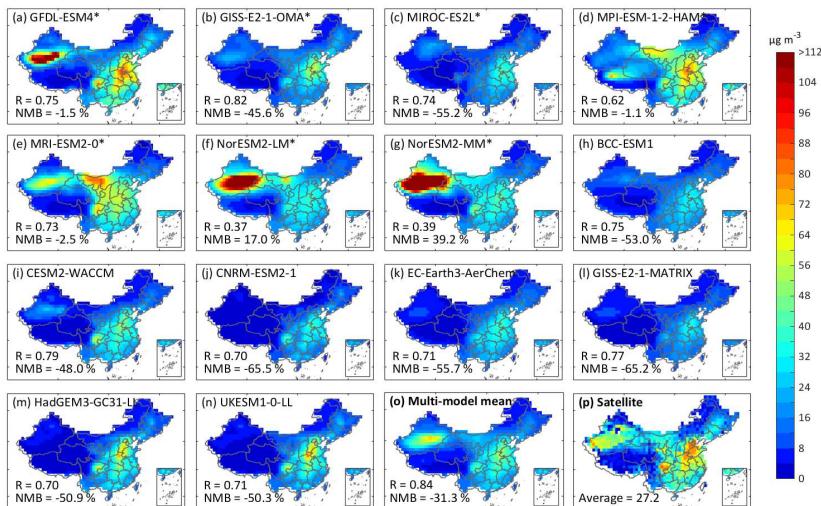
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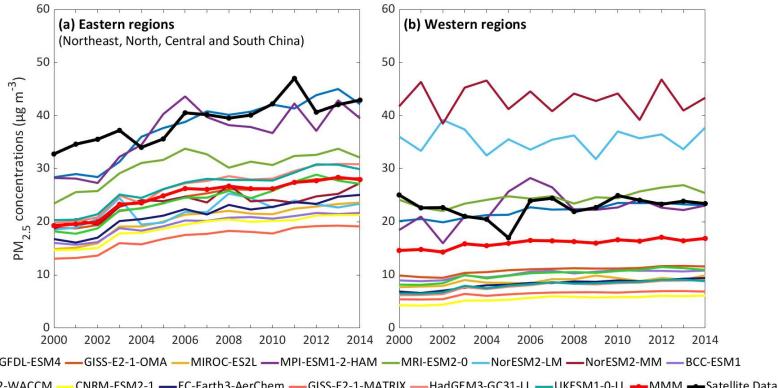
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767  
768 **Figure 1.** Observational records of PM<sub>2.5</sub> components during 2000–2014 collected from the literature. (a) The map  
769 depicts individual provinces in four regions, including the western regions in red colors, Northeast China in purple,  
770 North China in blue, and Central and South China in green. The provinces without observational records are in gray.  
771 The number denotes each province. (b) Provincial observation records in China. The number in the upper x-axis and  
772 the color in each bar match the province in (a).



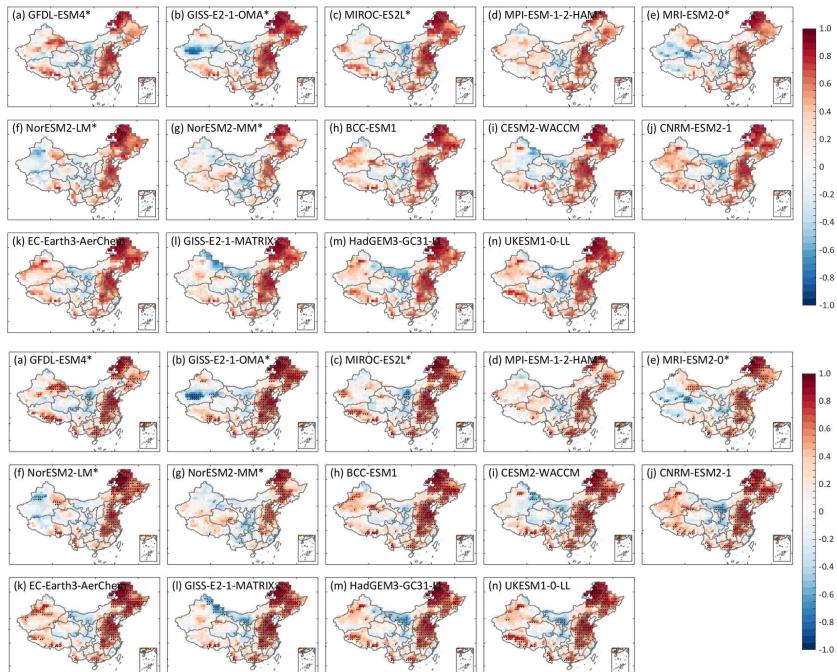
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773  
774 **Figure 2.** Multi-year mean annual average near-surface total PM<sub>2.5</sub> concentrations over China during 2000–2014.  
775 (a-g) Model outputted PM<sub>2.5</sub> concentrations in seven models. (h-n) Calculated PM<sub>2.5</sub> concentrations in the other  
776 seven models according to Eq. 1. (o) Multi-model mean. (p) Satellite-based total PM<sub>2.5</sub> dataset. R stands for spatial  
777 correlation, and NMB stands for normalized mean bias.



778  
 779 **Figure 3.** Time series of annual mean regional average total PM<sub>2.5</sub> concentrations. (a) Over the eastern regions  
 780 (including Northeast China, North China, and Central and South China). (b) Over the western regions. The bold  
 781 black lines denote satellite-based PM<sub>2.5</sub> concentrations, and the bold red lines denote multi-model mean (MMM)  
 782 concentrations.

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 784 **Figure 4.** Spatial distribution of correlation coefficients between modeled and satellite-based data for interannual  
 785 variations of annual mean total PM<sub>2.5</sub> concentrations overduring 2000–2014. Black dots indicate a significance level

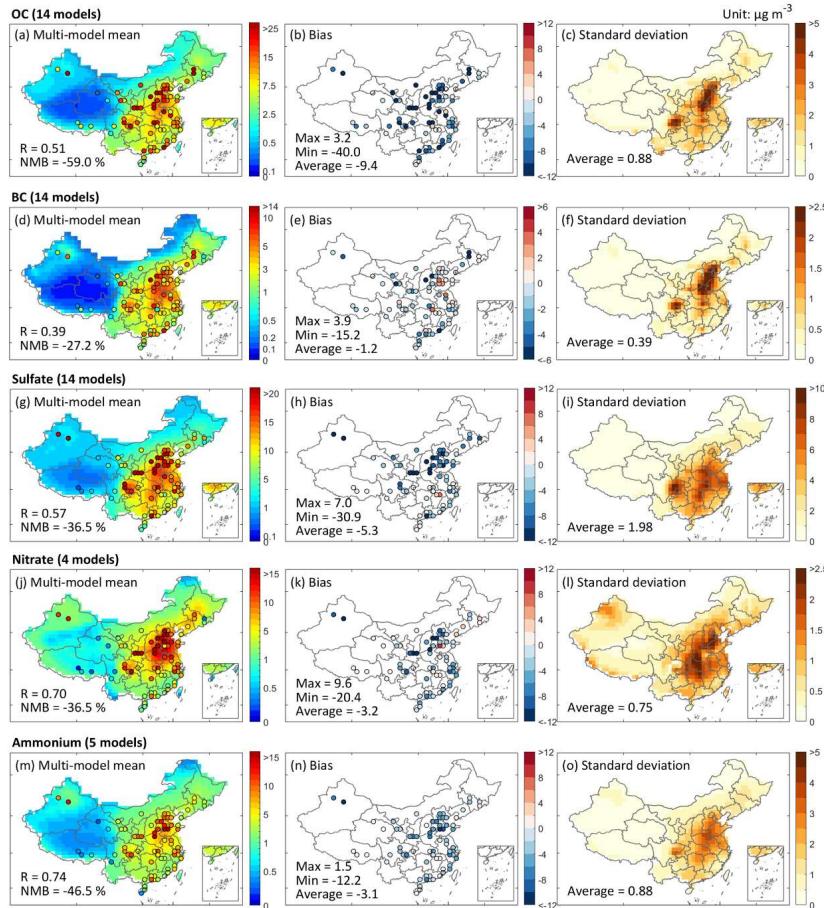
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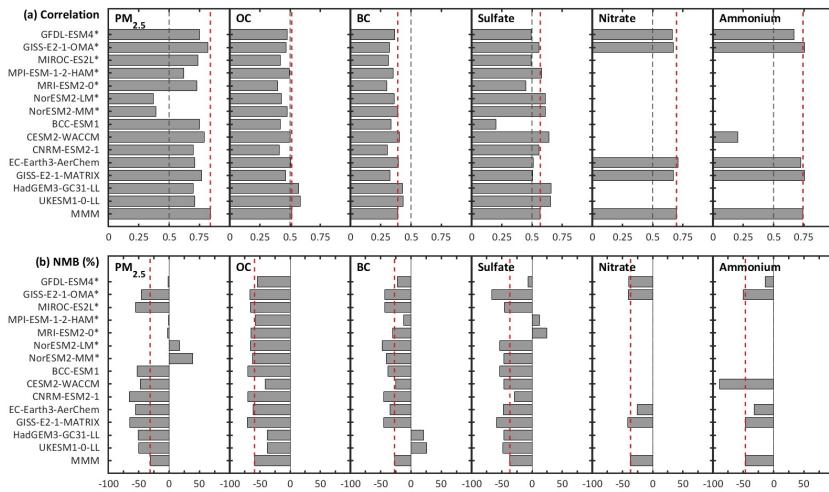


788

789 **Figure 5.** The spatial distribution of multi-year averages of modeled PM<sub>2.5</sub> components during 2000–2014.

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790 (First column) The multi-model mean PM<sub>2.5</sub> component concentrations, overlaid with average ground-based  
 791 observations in filled circles. (Second column) The bias of multi-model mean concentrations. (Third column) The  
 792 standard deviation of PM<sub>2.5</sub> component simulations among the CMIP6 models.



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**Figure 6.** Multi-year mean spatial correlation and bias for PM<sub>2.5</sub> components over 2000–2014 for individual models.

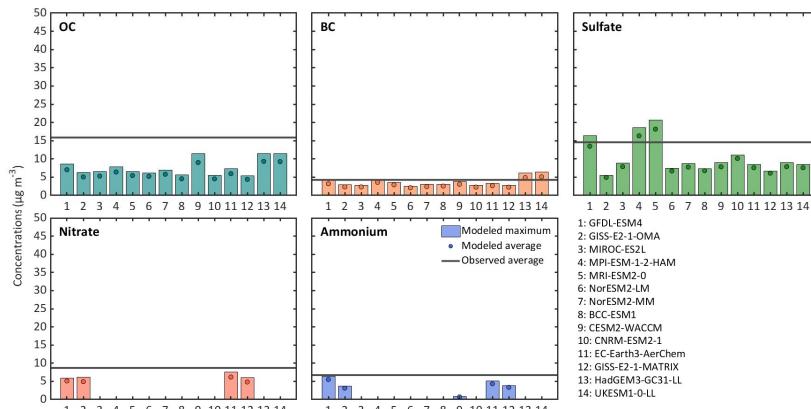
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Results for total PM<sub>2.5</sub> refer to the comparison against the satellite-based dataset, and those for components are relative to the observations compiled from the literature. The red dotted lines denote the multi-model mean (MMM).

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The black dotted lines denote the spatial correlation coefficient value of 0.5.



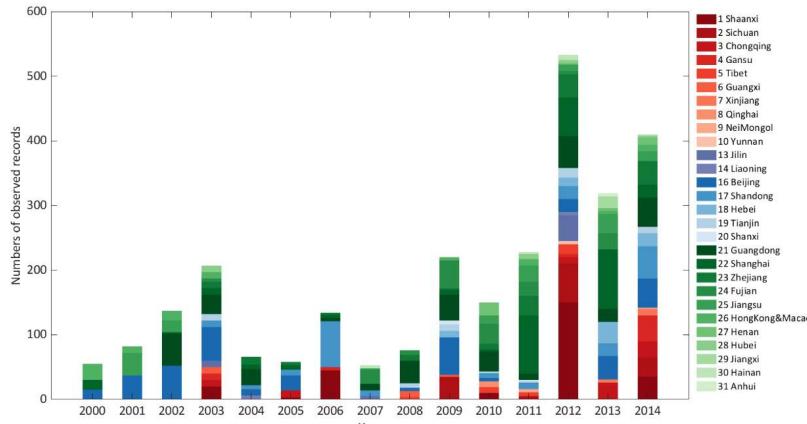
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Results for total PM<sub>2.5</sub> refer to the comparison against the satellite-based dataset, and those for components are relative to the observations compiled from the literature. The red dotted lines denote the multi-model mean (MMM).

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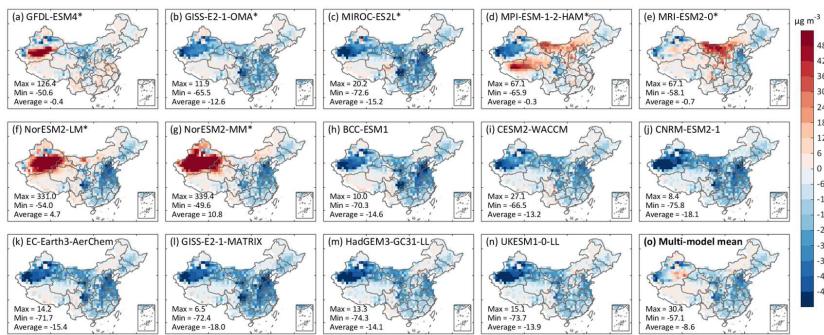
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801  
802 **Figure S1.** Provincial observed records over China during 2000–2014. The color and labeling of provinces are  
803 consistent with **figure****Figure 1.**

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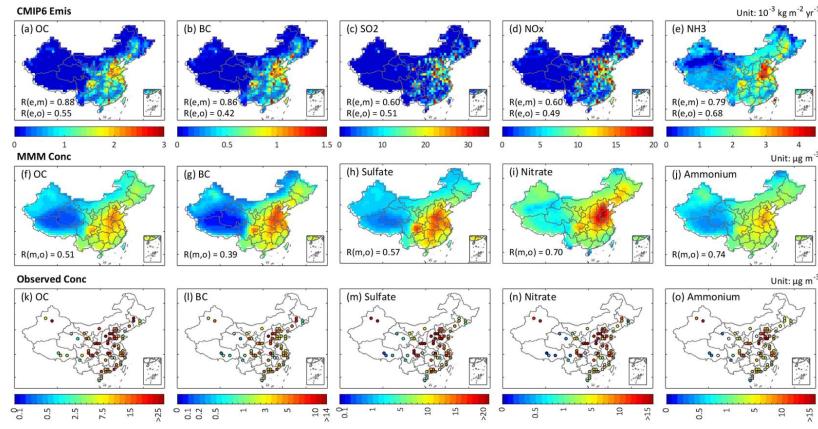
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804  
805 **Figure S2.** Spatial distribution of bias in the multi-year average of simulate-based PM<sub>2.5</sub> concentrations during 2000–  
806 2014 for each model.

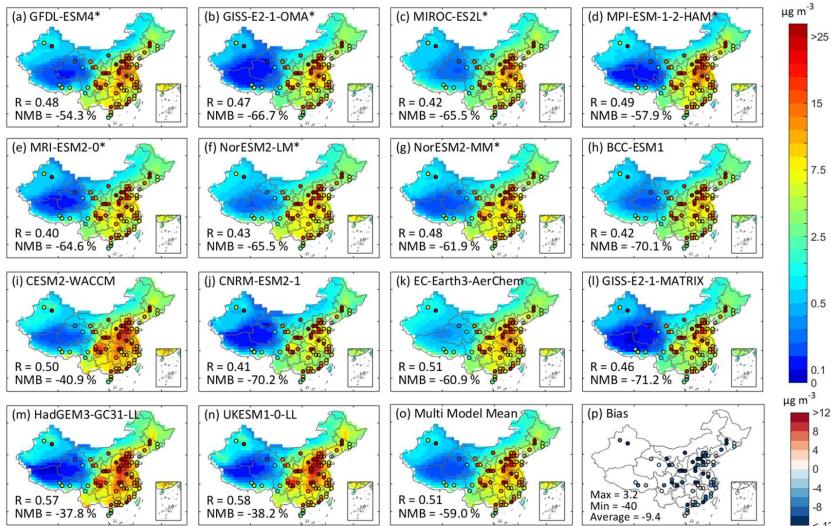
807

808 **Figure S3.** Multi-year average of CMIP6 emissions (a–e), multi-model mean concentrations (f–j), and observed  
 809 concentrations (k–o) of air pollutants over 2000–2014. R (e, m), R (e, o), and R (m, o) denote the spatial correlation  
 810 coefficients between CMIP6 emissions and multi-model mean concentrations, between CMIP6 emissions and  
 811 observed concentrations, and between multi-model mean concentrations and observed concentrations.



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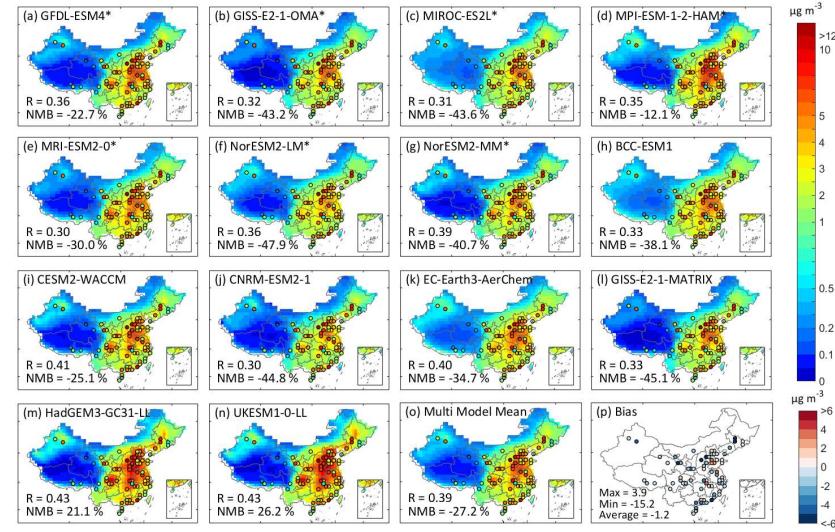
813 **Figure S4.** Multi-year mean annual average near-surface OC concentrations over China during 2000–2014. (a–n)  
 814 OC in individual models overlaid with ground-based observations. (o) Multi-model mean overlaid with ground-  
 815 based observations. (p) Bias in multi-model mean.



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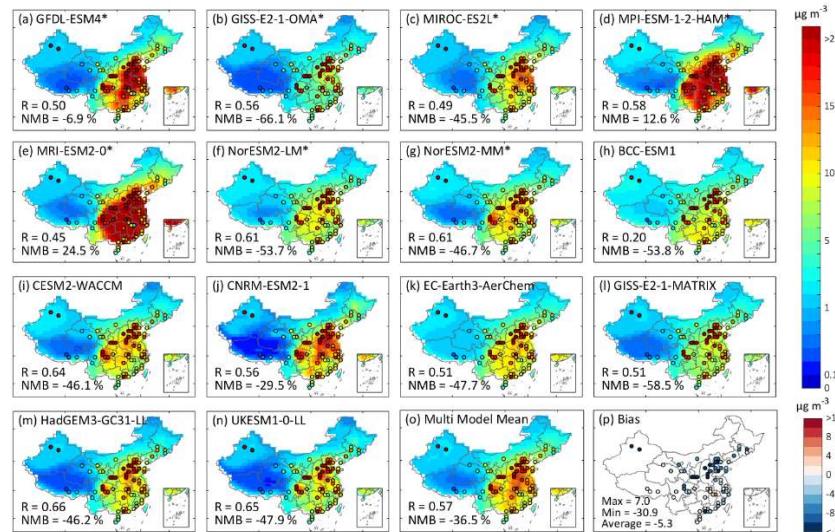
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817 **Figure S3S5.** Multi-year mean annual average near-surface BC concentrations over China during 2000–2014. (a-n)818 BC in individual models overlaid with ground-based observations. (o) Multi-model mean overlaid with ground-  
819 based observations. (p) Bias in multi-model mean.

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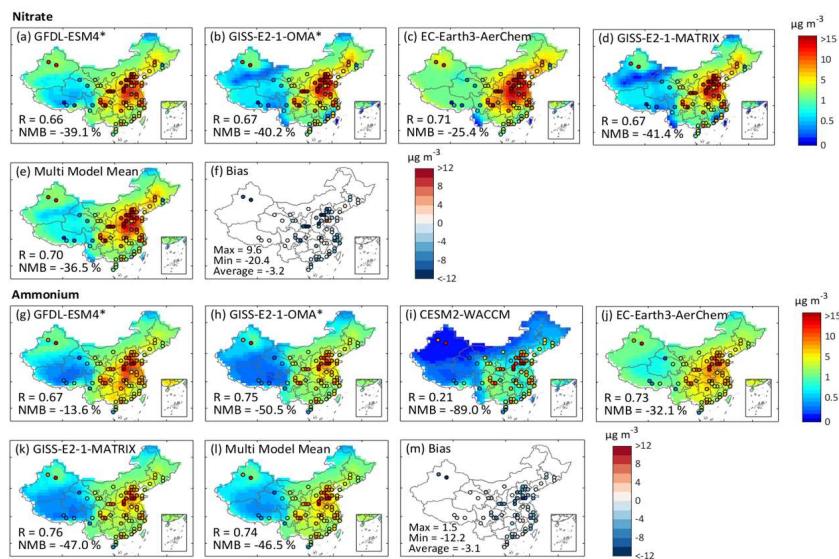


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821 **Figure S4S6.** Multi-year mean annual average near-surface sulfate concentrations over China during 2000–2014.

822 (a-n) Sulfate in individual models overlaid with ground-based observations. (o) Multi-model mean overlaid with

823 ground-based observations. (p) Bias in multi-model mean.



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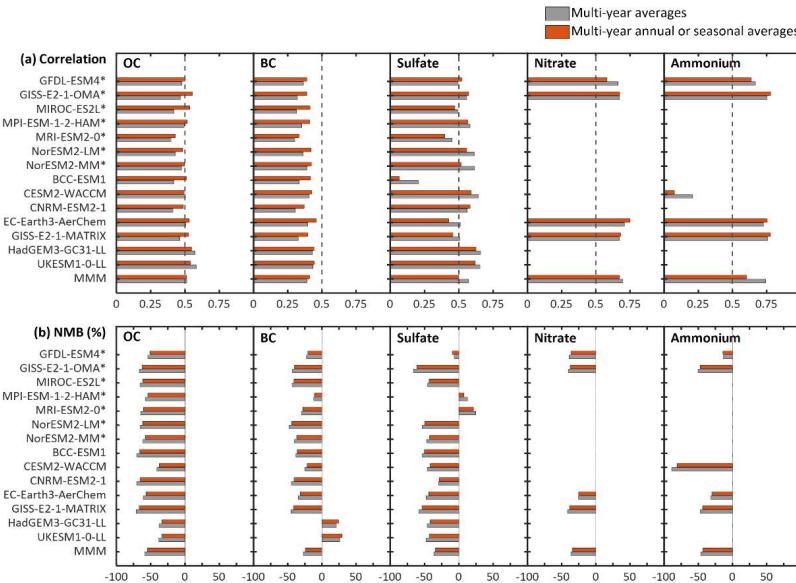
825 **Figure S5S7.** Multi-year mean annual average near-surface nitrate and ammonium concentrations over China during

826 2000–2014. (a-d) Nitrate and (g-k) ammonium in individual models overlaid with ground-based observations. (e, l)

827 Multi-model mean of overlaid with ground-based observations. (f, m) Bias in multi-model mean.

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829 **Figure S6S8.** Spatial correlation and bias of multi-year averages (gray bar) and multi-year annual or seasonal  
830 averages (red bar) of PM<sub>2.5</sub> components everduring 2000–2014 for individual models.

831 **Table S1.** CMIP6 models and PM<sub>2.5</sub> outputs.

Model	Resolution (Lat × Lon)	Number of members	OA, BC, SO <sub>4</sub> <sup>2-</sup> , SSLT, DST	NO <sub>3</sub> <sup>-</sup> , NH <sub>4</sub> <sup>+</sup>	PM <sub>2.5</sub>	Model references and data citation
BCC-ESM1	2.813° × 2.813°	3	Y			(Wu et al., 2019; Wu et al., 2020; Zhang et al., 2018)
CESM2- WACCM	0.9° × 1.25°	3	Y	Y (No NO <sub>3</sub> <sup>-</sup> )		(Danabasoglu, 2019; Gettelman et al., 2019; Tilmes et al., 2019; Emmons et al., 2020)
CNRM- ESM2-1	1.4° × 1.4°	3	Y			(Séférian, 2018; Séférian et al., 2019; Michou et al., 2020)
EC-Earth3- AerChem	2° × 3°	3	Y	Y		(Van Noije et al., 2021; Döscher et al., 2022; EC-Earth Ec-Earth- Consortium, 2020)
GFDL- ESM4	1° × 1.25°	1	Y	Y	Y	(Horowitz et al., 2020; Dunne et al., 2020; Krasting et al., 2018)

GISS-E2-1-OMA	$2^\circ \times 2.5^\circ$	15	Y	Y	Y	(Bauer et al., 2020; Miller et al., 2021; Nasa Goddard Institute for Space Studies, 2018)
GISS-E2-1-MATRIX	$2^\circ \times 2.5^\circ$	12	Y	Y		(Bauer et al., 2020; Miller et al., 2021; Nasa Goddard Institute for Space Studies, 2018)
HadGEM3-GC31-LL	$1.25^\circ \times 1.875^\circ$	4	Y			(Ridley et al., 2018; Kuhlbrodt et al., 2018)
MIROC-ES2L	$2.813^\circ \times 2.813^\circ$	10	Y		Y	(Hajima et al., 2020; Hajima et al., 2019)
MPI-ESM-1-2-HAM	$1.875^\circ \times 1.875^\circ$	3	Y		Y	(Tegen et al., 2019; Neubauer et al., 2019)
MRI-ESM2-0	$1.875^\circ \times 1.875^\circ$	5	Y		Y	(Yukimoto et al., 2019a; Yukimoto et al., 2019b; Oshima et al., 2020)
NorESM2-LM	$1.9^\circ \times 2.5^\circ$	3	Y		Y	(Karset et al., 2018; Seland et al., 2019; Seland et al., 2020; Kirkevåg et al., 2018)
NorESM2-MM	$0.9^\circ \times 1.25^\circ$	3	Y		Y	(Karset et al., 2018; Bentsen et al., 2019; Seland et al., 2020; Kirkevåg et al., 2018)
UKESM1-0-LL	$1.25^\circ \times 1.875^\circ$	4	Y			(Tang et al., 2019; Sellar et al., 2019)

832 **Table S2.** The specific values of  $a_1$  and  $a_2$  from Eq. 1. The average, trend, and spatial correlation coefficients

833 of PM<sub>2.5</sub> concentrations over the eastern regions and western regions during 2000–2014.

	Model	$a_1$	$a_2$	Eastern regions			Western regions		
				Average ( $\mu\text{g m}^{-3}$ )	Trend ( $\mu\text{g m}^{-3}$ $\text{yr}^{-1}$ ) <sup>a</sup>	Spatial Corr. <sup>b</sup>	Average ( $\mu\text{g m}^{-3}$ )	Trend ( $\mu\text{g m}^{-3}$ $\text{yr}^{-1}$ )	Spatial Corr.
Satellite-based				39.0	0.72	1	22.7	0.06*	1
Total PM <sub>2.5</sub> from Direct	GFDL-ESM4			37.7	1.14	0.92	22.1	0.28	0.66

<u>ESM</u> <u>output</u>	GISS-E2-1-OMA	24.4	0.69	<u>0.91</u>	10.9	0.13	<u>0.79</u>		
<u>Total</u> <u>PM<sub>2.5</sub></u> <u>from</u> <u>outputti</u> <u>ng</u>	MIROC-ES2L	20.3	0.49	<u>0.90</u>	8.9	0.13	<u>0.59</u>	← Formatted: Line spacing: single	
	MPI-ESM-1-2-HAM	36.6	0.93	<u>0.91</u>	22.5	0.20*	<u>0.36</u>	← Formatted: Line spacing: Multiple 1.15 li	
	MRI-ESM2-0	30.4	0.57	<u>0.83</u>	24.5	0.24	<u>0.71</u>	← Formatted: Line spacing: single	
	NorESM2-LM	22.1	0.32	<u>0.87</u>	35.5	0.03*	<u>0.49</u>	← Formatted: Line spacing: single	
	NorESM2-MM	23.6	0.40	<u>0.90</u>	43.1	-0.10*	<u>0.53</u>	← Formatted: Line spacing: single	
<u>Total</u> <u>PM<sub>2.5</sub></u> <u>from Eq.</u>	BCC-ESM1	19.5	0.40	<u>0.87</u>	10.2	0.15	<u>0.62</u>	← Formatted: Line spacing: single	
1	CESM2-WACCM	0.25	0.1	24.0	0.73	<u>0.92</u>	10.1	0.22	<u>0.67</u>
	CNRM-ESM2-1	0.02	0.25	18.9	0.42	<u>0.90</u>	5.5	0.11	<u>0.51</u>
<u>Total</u> <u>PM<sub>2.5</sub></u> <u>from Eq.</u>	EC-Earth3-AerChem	0.25	0.1	21.4	0.56	<u>0.91</u>	8.3	0.18	<u>0.53</u>
	GISS-E2-1-MATRIX	0.25	0.1	17.0	0.43	<u>0.92</u>	6.4	0.10	<u>0.67</u>
	HadGEM3-GC31-LL	0.27	0.35	26.5	0.80	<u>0.89</u>	7.9	0.18	<u>0.50</u>
	UKESM1-0-LL	0.27	0.35	26.5	0.71	<u>0.89</u>	8.1	0.18	<u>0.52</u>

834 <sup>a</sup>Trends are estimated using the Theil-Sen Median method (Theil, 1950; Sen, 1968). Significant changes are  
 835 identified using the non-parametric Mann-Kendall test (Kendall, 1938). \* represents non-significant monotonous  
 836 change at p = 0.05.<sup>b</sup> Spatial correlation coefficients between simulations and satellite-based data over the eastern  
 837 and western regions are calculated. The spatial correlation coefficients of 14 models are at the 0.05 significance level.

838 [Table S3](#). Multi-year averages of PM<sub>2.5</sub> concentrations including five aerosol species (Eq. 1) and all fine aerosol  
 839 species from 4 models providing nitrate and ammonium simulations.

Model	PM <sub>2.5</sub> according to	PM <sub>2.5</sub> including all	Nitrate	Ammonium
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	<u>Eq. 1 (<math>\mu\text{g m}^{-3}</math>)</u>	<u>fine aerosol species (<math>\mu\text{g m}^{-3}</math>)<sup>a</sup></u>	<u>proportion<sup>b</sup></u>	<u>proportion</u>
<u>EC-Earth3-AerChem</u>	<u>12.1</u>	<u>18.7</u>	<u>20.6%</u>	<u>14.3%</u>
<u>GFDL-ESM4</u>	<u>13.0</u>	<u>18.5</u>	<u>15.1%</u>	<u>14.6%</u>
<u>GISS-E2-1-OMA</u>	<u>10.0</u>	<u>14.1</u>	<u>17.6%</u>	<u>11.4%</u>
<u>GISS-E2-1-MATRIX</u>	<u>9.5</u>	<u>13.8</u>	<u>17.5%</u>	<u>13.2%</u>

<sup>a</sup>represents that  $\text{PM}_{2.5} = \text{OA} + \text{BC} + \text{SO}_4^{2-} + 0.25\text{SSLT} + 0.1\text{DST} + \text{NO}_3^- + \text{NH}_4^+$ . <sup>b</sup>represents that the proportion of nitrate to  $\text{PM}_{2.5}$  including all fine aerosol species.

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