

The ~~importance~~ influence of plant-water stress on vegetation-atmosphere exchanges: implications for ~~predictions of~~ ground-level ozone in a warm world modelling

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Abstract. Evapotranspiration is important for Earth's water and energy cycles as it strongly affects air temperature, cloud cover and precipitation. Leaf stomata are the conduit of transpiration. Thus, their opening is sensitive to weather and climate conditions. This feedback can exacerbate heat waves and can play a role in their spatio-temporal propagation. ~~Hence, the plant response to available water is a key element mediating vegetation-atmosphere interactions.~~

5 Sustained high temperatures strongly favor high ozone levels with significant negative ~~effects~~ impacts on air quality and thus human health. Our study ~~assesses~~ evaluates the process representation of evapotranspiration in the atmospheric chemistry model ECHAM/MESSy. ~~Diverse water stress parametrizations~~ Different water stress parametrisations are implemented in a stomatal model based on CO₂ assimilation. The stress factors depend on either soil moisture or leaf water potential ~~acting on~~ and action photosynthetic activity, mesophyll and stomatal conductance. The new functionalities reduce the initial overestimation of evapotranspiration in the model globally by more than ~~one order~~ an order of magnitude which is most important in the Southern Hemisphere. The intensity of simulated warm spells over continents is significantly ~~enhanced~~ improved. For ozone, we find that a realistic model representation of plant-water stress ~~depresses~~ suppresses uptake by vegetation and enhances its photochemical production in the troposphere. These effects lead to ~~a general increase~~ an overall increase in simulated ground-level ozone which is most pronounced in the Southern Hemisphere over the continents. More sophisticated land surface models with multi-layer soil schemes could address the uncertainties ~~for~~ in representing plant dynamics representation due to too shallow roots. In regions with low evaporative loss but the representation of precipitation remains the largest uncertainty.

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1 Introduction

The response of plants to water availability is crucial for climate models ~~since~~ because it determines the plant activity ~~driving~~ which drives photosynthesis and transpiration over vegetated land surface. Besides evaporation from open water and soil

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surfaces, ~~transpiration by plants is with 60-75%~~ plant transpiration is the main contributor to evaporation and transpiration (ET : water returned ~~from land~~ to the atmosphere) (Seneviratne et al., 2010). ~~Its strength from the land~~ (Seneviratne et al., 2010) with 60-75 %. ~~Its magnitude~~ depends on vegetation ~~coverage~~ cover, surface wetness, and the availability of soil water for ~~vegetation root uptake~~ root uptake by vegetation roots for transpiration. Evapotranspiration (often ~~also termed~~ referred to as terrestrial evaporation, ET) in turn has multiple impacts on the hydrological, energy and biogeochemical cycles (Sellers et al., 1997; Seneviratne et al., 2010; Vicente-Serrano et al., 2022; Wang and Dickinson, 2012). A decrease ~~of in~~ ET in response to land drying reduces the flux of latent heat to the atmosphere. This leads to ~~increased~~ an increase in air temperature and ~~decreases~~ reduces the likelihood of ~~rainfall~~ precipitation (e.g., Seneviratne et al., 2010).

A ~~scarcity~~ shortage of soil water (water ~~lower than~~ below a critical threshold) ~~strengthens the physical plant water stress~~ increases the physical water stress on the plant, limiting the transpiration ~~mediated by~~ through the stomata (plants' plant pores). The resulting change in latent heat flux (of ~~vaporization~~ evaporation, λ) ~~decreases~~ reduces the likelihood of rainfall (Miralles et al., 2019). These conditions, which are predicted to increase due to climate change, could potentially ~~amplify droughts and heatwaves~~ (Kala et al., 2016). ~~Thus, the water availability of plants is~~ increase droughts and heat waves (Kala et al., 2016). Plant water availability is therefore a key to ~~represent~~ the representation of such weather extremes in the Earth system models (e.g. review by Miralles et al. (2019)). In particular, ~~heatwaves~~ heat waves are projected to increase under climate change. Thus, ~~the~~ land-atmosphere coupling ~~gains in importance~~ becomes more important (Domeisen et al., 2022). Furthermore, terrestrial energy fluxes have become even more sensitive to vegetation ~~over the last in recent~~ decades as Forzieri et al. (2020) found in an observational data set from 1980 to 2016.

Most models use an empirical reduction factor dependent on soil moisture to represent ~~the~~ plant response to ~~dryness~~ drought (see review by Rogers et al. (2017)). However, this factor does not ~~simulate the plant response to dryness realistically~~ realistically simulate this. Instead, ~~parametrizations~~ parametrisations based on the independent leaf water potential (ψ) perform better (Verhoef and Egea, 2014). Leaf water potential is ~~a vital~~ an important variable to describe the plant's dependence on water, the chemical potential gradient from the root zone to the leaves (Klein, 2014; Sellers et al., 1997) and e.g. Paço et al. (2013) define it as one of the most reliable plant-water plant water stress indicators. The inclusion of ψ in stomatal models is consistent with the hypothesis that stomata regulate transpiration rates in order to avoid cavitation in the xylem. The water potential strongly modulates ~~the~~ stomatal conductance at the evaporating sites ~~within~~ in the leaf. This is a well established theoretical assumption for modelling transpiration (Tuzet et al., 2003, and references therein).

~~Yet, studies do not determine whether the plant water stress acts on~~ However, studies have not determined whether the plant water stress affects photosynthesis or directly ~~modifies~~ alters the stomatal conductance, which depends on ~~the opening of the stomata~~ stomatal aperture (see reviews by De Kauwe et al. (2013); Rogers et al. (2017)). Thus, models differ ~~largely in this regard~~ widely in this respect. Keenan et al. (2010) have shown that neglecting the water stress acting only on photosynthesis significantly ~~overestimate the stomatal opening~~ overestimates the stomatal aperture. Applying the stress factor only to the stomatal conductance could not explain the observed reduction ~~of in~~ the assimilation rate in the plant. ~~Further~~ Furthermore, measurement studies (Drake et al., 2018; Zhou et al., 2013; Egea et al., 2011; Keenan et al., 2010) agree that ~~the water stress acts on the stomata as well as~~ water stress affects both stomata and on non-stomatal processes in plants. ~~Thus, the sole~~

~~application of the water stress to the photosynthesis as done in e.g.~~ Therefore, applying water stress only to photosynthesis, as in the Community Land Model (CLM, Kennedy et al. (2019)), is not sufficient. Egea et al. (2011) has found that drought stress also has a detrimental effect on the mesophyll conductance, which regulates the diffusion between the ~~sub-stomatal-internal cavities-internal stomata~~ to the chloroplasts.

60 Tropospheric ozone is a major air pollutant that is harmful to both humans and plants. Its spatial and temporal evolution depends not only on emissions, but also crucially on meteorological variables such as temperature. In fact, the radical reactions that dominate the formation of O₃ are enhanced at high temperatures. Plant emissions of isoprene, an important ozone precursor, also respond strongly to increasing temperature, rising exponentially up to a temperature of 42°C (Guenther et al., 2006).
65 Both higher temperatures and drought inhibit dry deposition, an important sink for ozone and its precursors. Much of the dry deposition occurs at stomata during plant water/CO₂ exchange (transpiration/respiration). As plants close their stomata to limit the water loss (Katul et al., 2009), ozone uptake is greatly reduced.

We use the global atmospheric chemistry model ECHAM/MESSy ~~Atmospheric Chemistry (EMAC)~~ (Modular Earth Submodel System), EMAC for short, (Jöckel et al., 2016) to investigate the multiple ~~feedbacks involved and interactions involved and to~~ assess the uncertainty related to the evapotranspiration representation from land associated with the representation of land
70 evapotranspiration. This model is widely ~~applied to address the simulation and prediction of~~ used to simulate and predict atmospheric chemistry and ~~tackle to address~~ global air quality issues. As part of the Chemistry–Climate Model Initiative (CCMI) (Jöckel et al., 2016), the ~~model community also contributes~~ modelling community is also contributing to climate research. Here, we ~~explore multiple plant-water stress formulations regarding~~ investigate the uncertainties and variability ~~, firstly of several~~ plant water stress formulations, initially implemented in EMAC. We ~~assess-evaluate~~ the performance of the different sensitiv-
75 ity studies at global scale against on a global scale using plant transpiration and evaporation data provided by the GLEAM model and the EUMETSAT satellite, respectively. ~~The consequences of changing the plant-water stress factor for ground-level air pollution are investigated in the next section~~ To assess the impact of the different plant water stresses on ozone, we use a comprehensive chemistry with 310 reactions and 155 species in the gas phase. Anthropogenic emissions are prescribed from reanalysis and CCMI data. Natural emissions of ozone precursors (from lightning, soil and plants) are interactively simulated
80 with corresponding measurements and parametrisations (Guenther et al., 2006; Tost et al., 2006; Kerkweg et al., 2006). We also assess the impact of a ~~changed-plant-water-modified plant water~~ response on evapotranspiration in a condition with 2xCO₂ state to account for the global warming. ~~This paper closes~~ The paper concludes with a general discussion of the approach and the model and a comprehensive summary of the results.

2 Methods

85 2.1 Model description

2.1.1 Atmospheric model

We use the ECHAM/MESSy atmospheric chemistry model where MESSy (v2.55; Jöckel et al., 2010) provides a flexible infrastructure for coupling processes to build comprehensive Earth ~~system models~~ System Models (ESMs). This is ~~utilised~~ used here with the ~~fifth-generation~~ fifth generation European Centre Hamburg general circulation model (ECHAM5, version 90 5.3.02; Roeckner et al., 2003) as the atmospheric general circulation model.

2.1.1 Soil and ~~Land-land~~ land representation

~~The soil~~ Soil water dynamics are represented by a ~~first-generation bucket model including one layer of water storage (Delworth and Manabe, 1988)~~ first generation bucket model with a water storage layer (Delworth and Manabe, 1988; Seneviratne et al., 2010). ~~The soil wetness results~~ Soil moisture is derived from the amount of precipitation, snowmelt, evapotranspiration, runoff, and drainage calculated by 95 ECHAM5. ~~The interception of precipitation~~ Precipitation interception is calculated for ~~one a~~ a canopy ('big leaf') layer. Surface runoff ~~originates is derived~~ is derived from the overflow of the soil water reservoir (Delworth and Manabe, 1988; Roeckner et al., 2003). The initial state is prescribed by the geographically varying field capacity which significantly determines the model performance (Hagemann, 2002; Robock et al., 1998). The data used here were compiled from the most recent global distribution of major ecosystem types ~~made available~~ provided by the U.S. Geological Survey (Hagemann, 2002). The vegetation density 100 (leaf area index, LAI in [~~m²~~ ~~m⁻²~~ ~~m²~~ ~~m⁻²~~]), used to scale the leaf stomatal conductance to the canopy level, is prescribed with a ~~10-daily time-series~~ 10-day time series observed by the Ocean and Land Colour Instrument (OLCI, visible imaging push-broom radiometer) onboard the Sentinel-3 platform ~~at of~~ at the Copernicus Land ~~service at~~ Service on an original grid of 1 km (Thépaut et al., 2018). This ~~represents is~~ is a realistic product according to the reported LAI range of 0-6 (~~Xiao et al., 2017~~) ~~This data set replaces the climatology used in EMAC as standard~~ m² m⁻²] (Xiao et al., 2017) and replaces the standard 105 climatology. EMAC does not include a dynamic land surface model.

2.1.2 Evapotranspiration and terrestrial photosynthesis

~~The process of evapotranspiration partially~~ Transpiration depends on the opening behaviour of the stomata (Katul et al., 2012). ~~Thus, the calculation of evapotranspiration incorporates the~~ Therefore, the stomatal conductance (g_s) is included in the calculation of evapotranspiration. As already described by Schulz et al. (2001), ~~in ECHAM~~ the model formulation in 110 ECHAM is based on the Monin-Obukov stability theory:

$$ET = -L_v \rho C_h |\mathbf{v}| \beta (q_a - h_{q_{sat}}(T_s, p_s)) \quad \beta = [1 + C_h |\mathbf{v}| \cdot 1/g_s]^{-1} \quad (1)$$

where L_v is the latent heat of vaporisation, ρ is the density of air, $|\mathbf{v}|$ is the absolute value of the horizontal wind speed ~~and the~~ and C_h is the transfer coefficient of heat. ~~The later two variables translate to which is related by the equation:~~ $r_a = 1/(C_h |\mathbf{v}|)$.

q_{sat} and q_a are the ~~saturation-specific~~ saturation specific and the atmospheric specific humidity, ~~respectively. The relative~~
 115 ~~humidity. h is the relative humidity at the surface limits by which~~ the evapotranspiration from bare soil ~~; β determines the ratio~~
~~of transpiration between~~ is limited. At $\beta = 1$ only bare soil evaporation occurs while $\beta < 1$ is used for water-stressed plants
~~($\beta < 1$) and well-watered plants ($\beta = 1$)~~ (Giorgetta et al., 2013; Schulz et al., 2001). The weighted sum of the evapotranspira-
 tion over land, water and ice ~~yields gives~~ the final value per grid cell. Transpiration is ~~accounted by only a part of equation~~
~~1, namely where~~ represented by ET ~~is weighted by taking~~ weighted by the vegetation fraction ~~in each grid box. The stomatal~~
 120 ~~(per grid box, see Eq. 1). Stomatal~~ conductance is calculated by using a photosynthesis scheme (A_{net-g_s}), which is based on
 Calvet (2000) and is ~~implemented used~~ in the IFS model (ECMWF, 2021). This approach describes the photosynthesis pro-
 cess and its dependence on CO_2 , temperature and soil moisture (Jacobs, 1994) treating the plants as mixed crops. Currently,
 ECHAM/MESSy does not distinguish between different land cover types. The photosynthesis model is based on the net as-
 125 ~~similation rate of CO_2 (A_n)~~ in the plant ~~varying with environmental. Environmental~~ conditions (Env) and the CO_2 - CO_2
~~concentration outside the leaves (C_s , $kg\ m^{-3}$)~~ $C_{s,i}$ [$kg\ CO_2\ m^{-3}$] and inside the ~~cavities (C_i , $kg\ m^{-3}$)~~ $C_{i,s}$ [$kg\ CO_2\ m^{-3}$]
~~to yield~~ modify this process to give the stomatal conductance (g_s):

$$g_s = \frac{A_n(Env)}{C_s - C_i(Env)} \quad (2)$$

~~The radiation and CO_2 -limited scheme are considered for the calculation of net assimilation rate (A_n). The saturation of~~
~~photosynthetic capacity A_m at high light intensities is calculated as follows:-~~

$$130 \quad A_m = A_{m,max} [1 - \exp(-g_m(C_i - \Gamma)/A_{m,max})]$$

~~with $A_{m,max}$ being the maximum photosynthetic capacity, g_m the mesophyll conductance, the compensation point at $25^\circ C$~~
 ~~$\Gamma = 42$ ppm (for mixed crops). The two schemes are combined afterwards to yield a smooth function for A_n , which is further~~
~~described in ECMWF (2021). g_m is a function of temperature and the mesophyll conductance at $25^\circ C$ where the latter involves~~
~~two different factors for the water state of the atmosphere and the plant-water stress factor (for low and high vegetation) based~~
 135 ~~on a non-linear, empirical expression by Calvet et al. (2004)~~ Further details of the calculation are given in the supplement S1.

2.1.3 Water Stress Functions

We have investigated several water stress functions and implemented them in the stomatal conductance scheme. The depen-
 dence is ~~commonly usually~~ parameterised by a fraction of the actual soil water status limited to the availability and the plant
 wilting (Rogers et al., 2017). Based on the bucket model used in EMAC, the default function (REF) and the multiple applica-
 140 ~~tion (described later, DEF_{multi})~~ employs uses the actual soil wetness (W_s , [m]) ~~, the critical available water (W_{crit} , m) and the~~
~~wetness at the~~ and two thresholds according to Schulz et al. (2001):

$$f(W_s) = \begin{cases} 1 & W_s(t) \geq W_{crit}(= 75\%F_c) \\ \frac{W_s(t) - W_{pwp}}{W_{crit} - W_{pwp}} & W_{pwp} < W_s(t) < W_{crit} \\ 0 & W_s(t) \leq W_{pwp}(= 35\%F_c) \end{cases} \quad (3)$$

At the critical soil water level ($W_{crit}, [m]$) drought begins to reduce transpiration. The plant wilting point of plants (W_{pwp}, m) that the plant cannot extract water below this level according to Schulz et al. (2001):-

$$145 \quad f(W_s) = \begin{cases} 1 & W_s(t) \geq W_{crit} (= 75\%F_c) \\ \frac{W_s(t) - W_{pwp}}{W_{crit} - W_{pwp}} & W_{pwp} < W_s(t) < W_{crit} \\ 0 & W_s(t) \leq W_{pwp} (= 35\%F_c) \end{cases}$$

The wilting point $W_{pwp}, [m]$ is the level at which plants can no go longer to extract water. It depends on soil and vegetation properties such as the soil texture and plant functional type, which is however only considered indirectly by initialising but is only indirectly considered by initialisation of field capacity (F_c) data and therefore introduces a certain amount degree of uncertainty. This motivates the usage of the original plant water stress formulation (*noWP*) by of Delworth and Manabe (1988), which considers the critical soil wetness as the sole restriction for plants sole constraint for plants, is explored here:

$$150 \quad f(W_s) = \begin{cases} 1 & W_s(t) \geq W_{crit} (= 75\%F_c) \\ \frac{W_s(t)}{W_{crit}} & W_s(t) < W_{crit} \end{cases} \quad (4)$$

For both parametrizations

For both parametrisations (*REF* and *noWP*), the water stress function $f(W_s)$ is considered included in the calculation of the mesophyll conductance and the maximum atmospheric water deficit (in a non-linear way) (Calvet et al., 1998, 2004) which are given in section S1. Instead of using a soil moisture dependent function further, we apply the plant water stress on the continuing to use a function dependent on soil moisture, we use plant water stress functions dependent on leaf water potential (ψ) according to the findings results of Verhoef and Egea (2014). This ψ is calculated according to Millar et al. (1971), similarly similar to the formulation employed used in Zhang et al. (2003):

$$160 \quad \psi = -0.395 - 0.043 \cdot Temp_a \quad (5)$$

where $Temp_a$ is the air temperature (in [$^{\circ}C$]). The stress factor ($LWPfrac$) is calculated (similarly to Eq. 3) according to Zhang et al. (2003):

$$165 \quad f(\psi) = \begin{cases} 1 & \psi \geq \psi_{io} \\ \frac{\psi - \psi_{crit}}{\psi_{io} - \psi_{crit}} & \psi_{io} > \psi > \psi_{crit} \\ 0 & \psi \leq \psi_{crit} \end{cases} \quad (6)$$

where $\psi_{io} = -0.74$ MPa is the leaf water potential at initial reduction, and $\psi_{crit} = -2.75$ MPa the leaf water potential at final stomatal closure (Verhoef and Egea, 2014).

However, by evaluating the ~~several-different~~ stomatal models, Sabot et al. (2022) shows that an exponential ~~dependency~~ dependence of ψ is more ~~suitable-appropriate~~ (*LWPexp*):

$$f(\psi) = \begin{cases} 1 & \psi \geq 0 \\ e^{s_{Med} \cdot \psi} & \psi < 0 \end{cases} \quad (7)$$

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where $s_{Med} = 2 \text{ MPa}^{-1}$ is a sensitivity parameter. We ~~further-have-also~~ implemented the more sophisticated stress factor used in the common Community Land Model (CLM5, (Kennedy et al., 2019)) as reference (*CLM5*):

$$f(\psi) = \begin{cases} 1 & \psi \geq 0 \\ 2^{-\left(\frac{\psi}{p50}\right)^{c_k}} & \psi < 0 \end{cases} \quad (8)$$

175 where the water potential at 50 ~~%-%~~ loss of stomatal conductance ($p50 = -1.75$, in [MPa]) and a vulnerability parameter ($c_k = 2.95$) are ~~used-Please-note-included~~. Note that in CLM5 this function uses the soil matric potential ~~is-usedinstead~~. However, the leaf water potential can be ~~used-considered~~ as a proxy (Kozlowski et al., 1991; Verhoef and Egea, 2014).

A quantitative ~~limitation-constraint~~ analysis by Egea et al. (2011) found that for a realistic model representation water stress should ~~act-at-least-on-at-least-affect~~ the biochemical capacity and stomatal conductance and alternatively also on the mesophyll
180 conductance. ~~In-However,~~ most ecosystem models, ~~however-only-only-include~~ biochemical or stomatal limitations ~~are-included~~. ~~Therefore,-we-apply-the-plant-water-stress-in-case-~~ For *DEFmulti*, *LWPfrac*, *LWPexp* and *CLM5*, we apply plant water stress linearly to the stomatal and the mesophyll conductance ~~as-well-as-and~~ to the photosynthetic activity of plants.

An overview of all ~~parametrizations~~ parametrisations used as plant-water stress factor in the calculation of stomatal conductance is given in Table 1.

185 2.2 Observational data

2.1.1 ~~EUMETSATThe-observational-data-for-evapotranspiration-was-generated~~ Experimental design

We perform dynamical simulations with 3-hourly instantaneous and average output for each plant water stress parametrisation at mesoscale (T106: 1.12 ° or $\approx 60\text{km}$, middle atmosphere) for the period 2017/2018. The dynamical simulations apply a set of submodules (AEROPT, CLOUD, CLOUDOPT, CONVECT, GWAVE, MSBM, OROGW, ORBIT, QBO, RAD, SURFACE, TROPOP, VERTEX), similar to the set up used in Jöckel et al. (2016). The land-atmosphere exchange and vertical diffusion in EMAC is described here by the submodel VERTEX (Emmerichs et al., 2021). The main functionalities of VERTEX are explained in section 2.1.2. The warm spell metric is calculated from a dynamical simulation at T42 (2.79 ° or $\approx 300\text{km}$) covering the period 1979-2008. To assess the impact on air pollution (see Sect. 3.5), we perform two chemical simulations (T106, 2017/2018). These simulations additionally use submodules describing emissions of atmospheric species (OFFEMIS, ONEMIS, BIOBURN, LNOX), gas exchange submodels (DDEP, AIRSEA) and chemistry submodules (MECCA, JVAL).

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Case	Plant-water stress factor	current study (original study)
<i>noWP</i>	$f(W_s) = \begin{cases} 1 & W_s(t) \geq W_{crit}(= 75\%F_c) \\ \frac{W_s(t)}{W_{crit}} & W_s(t) < W_{crit} \end{cases} \quad (1)$	applied in g_m calculation (to final g_s)
<i>REF</i>	$f(W_s) = \begin{cases} 1 & W_s(t) \geq W_{crit}(= 75\%F_c) \\ \frac{W_s - W_{pwp}}{W_{crit} - W_{pwp}} & W_{pwp} < W_s < W_{crit} \\ 0 & W_s(t) \leq W_{pwp}(= 35\%F_c) \end{cases} \quad (2)$	applied in g_m calculation (to final g_s)
<i>DEFmulti</i>	as <i>REF</i> (1,3)	multiplicative factor to g_m, g_s, A_{max}
<i>LWPfrac</i>	$f(\psi) = \begin{cases} 1 & \psi \geq \psi_{io} \\ \frac{\psi - \psi_{crit}}{\psi_{io} - \psi_{crit}} & \psi_{io} > \psi > \psi_{crit} \\ 0 & \psi \leq \psi_{crit} \end{cases} \quad (4)$	multiplicative factor to g_m, g_s, A_{max} (to g_s)
<i>LWPexp</i>	$f(\psi) = \begin{cases} 1 & \psi \geq 0 \\ e^{s_{Med} \cdot \psi} & \psi < 0 \end{cases} \quad (5)$	multiplicative factor to g_m, g_s, A_{max} (to the slope of the sensitivity of g_s to A_n)
<i>CLM5</i>	$f(\psi) = \begin{cases} 1 & \psi \geq 0 \\ 2^{(-\frac{\psi}{p50})^{c_k}} & \psi < 0 \end{cases} \quad (6)$	multiplicative factor to g_m, g_s, A_{max}

Table 1. Parametrisations for plant-water stress used here, originally by Schulz et al. (2001) (1), Delworth and Manabe (1988) (2), Verhoef and Egea (2014) (3), Zhang et al. (2003) (4), Sabot et al. (2022) (5), CLM5, Kennedy et al. (2019) (6) with g_m, g_s, A_{max} being the mesophyll conductance, and stomatal conductance, the maximum photosynthetic capacity. W_s, W_{crit}, W_{pwp} are the actual soil wetness, critical soil wetness and soil wetness at wilting point, respectively. F_c is the field capacity (maximum holding capacity of soil moisture). ψ, ψ_{crit} and ψ_{io} are the actual leaf water potential, the critical value, the value at final stomatal closure, respectively. $c_k, p50$ and s_{med} are a vulnerability parameter, water loss at 50 % stomatal closure and sensitivity parameter, respectively.

The chemical mechanism includes the basic gas phase chemistry of ozone, methane, and odd nitrogen with in total 310 reactions and 155 species as in Jöckel et al. (2016). The dry deposition of trace gases on vegetation is calculated according to the multiple resistance scheme, which uses the stomatal resistance calculated in VERTEX. The scheme is used here with six generalised land types. The vegetation canopy is represented as a single system; i.e. the detailed structure and plant characteristics are neglected (one big leaf approach). The leaves are oriented horizontally and the leaf density is uniformly distributed vertically (Kerkweg et al., 2006; Emmerichs et al., 2021). Further information regarding the submodules can be found in Jöckel et al. (2010, 2016). Two additional chemistry simulations comprise the CO₂-doubling experiments.

To reproduce the large-scale model dynamics, (i.e the jet stream) the horizontal winds (divergence, vorticity) are nudged towards reanalysis data of the ERA5 reanalysis data by Newtonian relaxation as it is applied as selective nudging to perform storyline simulations (Shepherd et al., 2018). This allows the model thermodynamics to respond freely to the process modifications implemented in this study.

2.2 Observational data

2.2.1 EUMETSAT

Evapotranspiration observations have been provided by the European Organisation for the Exploitation of Meteorological
210 Satellite Satellites (EUMETSAT) with using the second generation of geostationary Meteosat satellites ~~which cover the domain~~
. This covers the area of Europe, Africa and most of South America ~~at with a spatial resolution of 3 km spatial resolution~~
km. The Spinning Enhanced Visible and Infrared Imager (SEVIRI) radiometer operating (among others) on board ~~obtains~~
~~the radiation components at the surface. This data together with further~~ provides the surface radiation component. These
data, other biophysical parameters and soil moisture data from remote sensing, recent ~~land cover land cover~~ information from
215 the ECOCLIMAP land cover database and meteorological fields from numerical weather prediction drive a physical model
of the energy exchange between the soil-vegetation-atmosphere ~~systems~~ system. By this, the flux [in ~~mm h⁻¹ mm h⁻¹~~] of
water evaporated at the ~~Earth-atmosphere earth-atmosphere~~ interface (soil, vegetation, water bodies) and transpired by ve-
getation through stomata (as a consequence of photosynthetic processes) is calculated within a ~~Soil-Vegetation-Atmosphere~~
soil-vegetation-atmosphere Transport model (SVAT) (saf, 2018):

$$220 \quad ET = 3600 \frac{LH_T}{L_v}, \quad LH_T = \frac{L_v \rho}{(r_a + r_s)} [q_{sat}(Temp_s) - q_a(Temp_a)] \quad (9)$$

where LH_T is the latent heat flux of transpiration in [W/m^2], L_v the latent heat of water ~~vapor vapour~~ in [$J kg^{-1} J kg^{-1}$], ρ the
air density [$kg m^{-3} kg m^{-3}$], r_a and r_s are the aerodynamic and stomatal resistances (inverse of the ~~econductanees~~ conductance),
 q the specific humidity and $q_{sat}(T_s) - q_a(T_a)$ the atmospheric saturation deficit in [$kg/kg kg/kg$]. ~~This product These products~~
have been downloaded from the website of the EUMETSAT ~~land surface analysis Land Surface Analysis~~ (LSA SAF) ~~consortium~~
225 Consortium website (<https://landsaf.ipma.pt/ChangeSystemProdLong.do?system=LandSAF+MSG&algo=DMET>, last ~~aeess~~ accessed:
29.06.2023) at with a time interval of 3 hours (original frequency: 30 min). For comparison with the model results, the down-
loaded dataset was regridded to the ~~spatial grid of EMACEMAC~~ spatial grid. The product validation report found a general
accuracy of 20-25 ~~%-%~~, which is equivalent to the accuracy of measurements. ~~Main uncertainties may stem from The main~~
uncertainties may be due to the physical formalism of the algorithm, the errors of the input data errors, surface heterogeneity
230 and sensor performance among others (saf, 2018).

2.2.2 GLEAM

The Global Land surface Evaporation: the Amsterdam Methodology (GLEAM) model estimates the evaporative flux over
land by assimilating satellite observations. ~~The land Land~~ evapotranspiration is the sum of the bare soil, short vegetation, and
tall vegetation in each grid box. The soil water content of ~~multiple several~~ layers (depending of the land type) is calculated
235 by a water balance between the input snowmelt and rainfall (minus interception). ~~Thereby, surface Surface~~ soil moisture
observations from satellites are assimilated (with using the Kalman filter approach) at a daily time step based on ~~its their~~

uncertainty. The Priestly-Taylor equation calculates the potential latent heat flux λE_p [$M J m^{-2}$]:

$$\lambda E_p = \alpha \frac{\Delta}{\Delta + \gamma} (R_n - G) \quad (10)$$

240 as a function of the net radiation (R_n , daily ~~observational data~~observations) and the ~~ground-heat~~ground heat flux (G). Δ is the slope of the temperature/saturated ~~vapor~~vapour pressure curve (in [$k Pa K^{-1}$]). ~~The division~~Division by the latent heat of vaporisation λ ~~yields~~gives the potential evaporation (E_p in [mm]). For optimal environmental conditions, $\alpha = 0.8$ and $\alpha = 1.26$ ~~at are used for~~ tall and short vegetation (or bare soil)~~are used~~, respectively. An evaporative stress (S) is used to convert E_p to actual transpiration (T in [$mm day^{-1}$][$mm day^{-1}$], over vegetation):

$$T = S E_p \quad (11)$$

245 S is parameterised separately for tall and short ~~canopies as well as~~canopy and for bare soil (then eq. 11 yields bare soil evaporation) based on the observed soil moisture conditions and ~~vegetation optical depth~~The canopy optical depth of vegetation. Canopy interception loss (I) is estimated in a separate module based on observations of daily rainfall~~precipitation~~, snow depth, tall canopy fraction and lightning climatology and parameters for canopy cover, canopy storage, mean rainfall~~precipitation~~ and evaporation rate ~~during under~~ saturated canopy conditions. ~~To account for conditions with wet canopy where water is~~
250 ~~evaporated (and not intercepted) the factor $\beta = 0.07$ is introduced. An extra~~The use of an interception loss fraction ($\beta = 0.007$) ensures that wet canopy evaporation is only considered once in the calculation. An additional module estimates the snow and ice sublimation for the snow-covered pixels (no stress) where $\alpha = 0.95$. ~~The evaporation~~Evaporation from lakes and rivers is not included. ~~Further~~More details can be found in Miralles et al. (2011). The data ~~was~~have been downloaded from the ftp server after registration <https://www.gleam.eu/#downloads>, last access: 24.07.2023).

255 2.2.3 TROPOSIF

~~Solar-induced~~Solar induced chlorophyll fluorescence (SIF) ~~can be observed using remote sensing. This is~~ an electromagnetic signal emitted by the chlorophyll of assimilating plants ~~and that is~~ not used for photosynthesis, ~~can be observed with~~
~~remote sensing~~. This can be a proxy for photosynthetic activity ~~because~~, ~~as~~ the SIF signal ~~responds to perturbations is~~
~~sensitive to perturbations caused~~ by environmental stress (Maes et al., 2020). However, the estimation requires high spec-
260 tral resolution and advanced retrieval schemes since the emissions contribute only a small fraction ~~to of~~ the radiance. The TROPOMI (TROPOspheric Monitoring Instrument) instrument ~~aboard on board~~ the Copernicus Sentinel-5 Precursor mission, launched in October 2017, measures ~~Top-of-the-Atmosphere radiances. By inversion of a linear forward model these~~
~~top-of-the-Atmosphere radiances. These~~ are fitted in the far-red spectral region ~~by inverting a linear forward model~~. SIF estimates from the 743-758 nm window are the most robust ~~against atmospheric effects like to atmospheric effects such as~~
265 cloud contamination. The L2B product used here (SIF dataset from TROPOMI: TROPOSIF) combines all observations at the ~~single orbits within one~~individual orbits into an ungridded netCDF4 file (NOVELTI et al., 2021). The evaluation with other SIF products showed a general consistency in terms of level and amplitude of the retrieved SIF, and seasonality, for vegetated surfaces. The indicative error threshold for the definition of spatio-temporal bins is $0.2 mW m^{-2} steradian^{-1} nm^{-1}$

270 $mW m^{-2} steradian^{-1} nm^{-1}$ value (about 10 % of the % of the globally observed peak *SIF* values observed globally) (Guanter et al., 2015). This translate corresponds to $0.064 mm day^{-1} mm day^{-1}$ of transpiration. In addition, the data product includes a quality flag which is used here for individual quality assurance. The data can be downloaded at from <http://ftp.sron.nl/open-access-data-2/TROPOMI/tropomi/sif/v2.1/12b/> (NOVELTI et al., 2021; Guanter et al., 2015). According to Maes et al. (2020) the *SIF* data can be converted to the latent heat flux of transpiration (LH_T in [W/m^2]):

$$LH_T = 61.4 \cdot SIF \quad (12)$$

275 Using the latent heat of water vapor vapour ($L_v = 1.5 \cdot 10^6$ in [$J kg^{-1} J kg^{-1}$]) gives the transpiration [$mm day^{-1} mm day^{-1}$]:

$$T = LH_T / L_v \cdot 3600 \quad (13)$$

To compare this dataset to the EMAC model we sample the instantaneous output along the satellite orbit at 13:30 UTC.

Estimation method	Plant transpiration	Evapotranspiration
EMAC	considers β only for the vegetation fraction	$ET = -L_v \rho C_h \mathbf{v} \beta (q_a - h q_s(Temp_s, p_s))$ $\beta = [1 + C_h \mathbf{v} R_{stom}]^{-1}$
Satellite observations by EUMETSAT	not provided	$ET = 3600 \frac{LE}{L_v}$ $LE = \frac{L_v \rho}{(r_a + r_s)} [q_{sat}(Temp_s) - q_a(Temp_a)]$
GLEAM model driven by satellite observations	$T = SE_p$	$ET = T + I - \beta I$
Estimate from solar-induced fluorescence by TROPOMI	$LH_T = 61.4 \cdot SIF$ $T = LH_T / L_v \cdot 3600$	not provided

Table 2. Formulae for plant transpiration and evapotranspiration from EMAC and the used observational datasets.

3 Results and Discussion

280 3.1 Plant-water stress and transpiration

The stress functions summarized summarised in Table 1 yield result in a variety of different plant-water stress plant water stresses and thus transpiration. Figure 1 provides gives a first overview of how the response functions vary with proxies of for water stress (soil moisture and leaf water potential). Lowering Decreasing 'volumetric' soil moisture (soil wetness divided by the field capacity) linearly increases the plant-water plant water stress for the cases-REF and DEFmulti cases (black line) until the wilting point (35 % of the field capacity) is reached. With By using the noWP function (gray grey line), contrarily, plants experience a weaker stress with drying soil, which, however, can increase up lower level of stress as the soil dries, but

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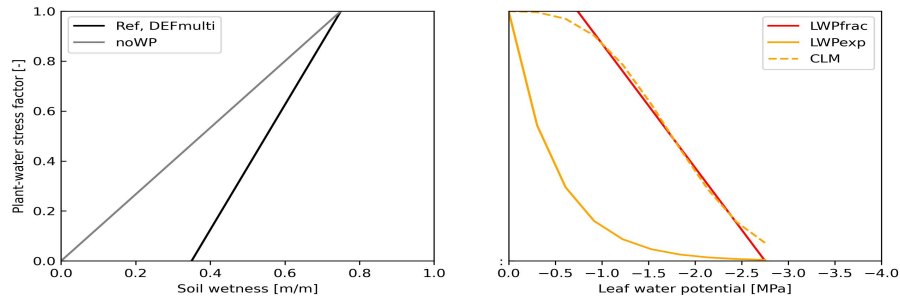


Figure 1. Plant-water stress factor vs. (volumetric) soil wetness (left) and leaf water potential (right) of described parametrizations.

this can increase to the point of stomatal closure (stress factor= 0). The functions *LWPfrac* and *CLM5* show mostly functions mostly show a linear increase of in the stress with increasing water demand (more negative ψ). The *CLM5* function covers also covers the ψ range between 0 and -1 [MPa] where the response is much weaker. *LWPexp* is a simple exponential function with a steep increase of in the stress response for ψ from between 0 and -1 [MPa]. In comparison, for most plant species Verhoef and Egea (2014) observed a sigmoidal dependency of dependence for most plant water stress on soil water (their Figure 1). The recent modelling study by Harper et al. (2021) applied used a function with a simple quotient depending on soil moisture similar to the functions *REF* and *DEFmulti*. Model improvements were obtained by replacing the They obtained model improvements by replacing soil moisture with the soil matric potential (Harper et al., 2021), for which ψ applied (used in *LWPfrac*) can be used as a proxy (Kozłowski et al., 1991; Verhoef and Egea, 2014). Early observations of increasing stomatal conductance with a increase of increasing ψ (to lower negative values, see Figure 2B in Sellers et al. (1997)) are in general agreement generally consistent with these results.

We explore the changes on global and regional scales using spatial (weighted) means for different regions: Europe (oceanic), South America Monsoon (tropical monsoon), Arabian Peninsula (hot arid), African Savanna, boreal forest (continental), East Asia (warm temperate moist). The sensitivity analysis of *noWP* and *DEFmulti* simulations shows only small local changes in transpiration (within the monthly range of variance), impacting the annual estimate only by $\pm 10-15\%$. This is because neglecting the wilting point decreases the plant-water stress (f_{w_s}) by only 10% in all dry vegetated regions (dry climate: $W_s < 0.35 * F_c$, see Seneviratne et al. (2010)) and thus transpiration is only marginally affected.

Figure 2 shows the simulated annual mean maximum photosynthetic capacity ($A_{m,max}$) and transpiration (T) and the respective their changes. The global distribution (simulated by *REF*) follows the spatial distribution of air temperature and CO_2 concentration in the leaf cavities stomata. $A_{m,max}$ is strongly driven by leaf (2m) temperature, as shown in Fig. 2a. Until the up-scaling upscaling of stomatal conductance to the canopy level (see ECMWF (2021), eq. 8.123) the intermediate calculations, e.g. for $A_{m,max}$, are at the leaf level. Thus, the distributions over non-vegetated areas like the Saharian such as the Sahara desert are masked out here (vegetation fraction > 1%) which depends on the model vegetation mask. Transpiration (Figure 2b) additionally also depends on atmospheric moisture, which explains its maxima in the tropical rainforests. The multiple

application of the default stress factor (to g_m , $A_{m,max}$, g_s : *DEFmulti*) leads to small decreases of $A_{m,max}$ (Figure 2c) in dry areas ($SM < W_{pp}$, soil-moisture-soil moisture limited). Thus, transpiration is not significantly changed-altered (Figure 2d, $\max=0.5$).

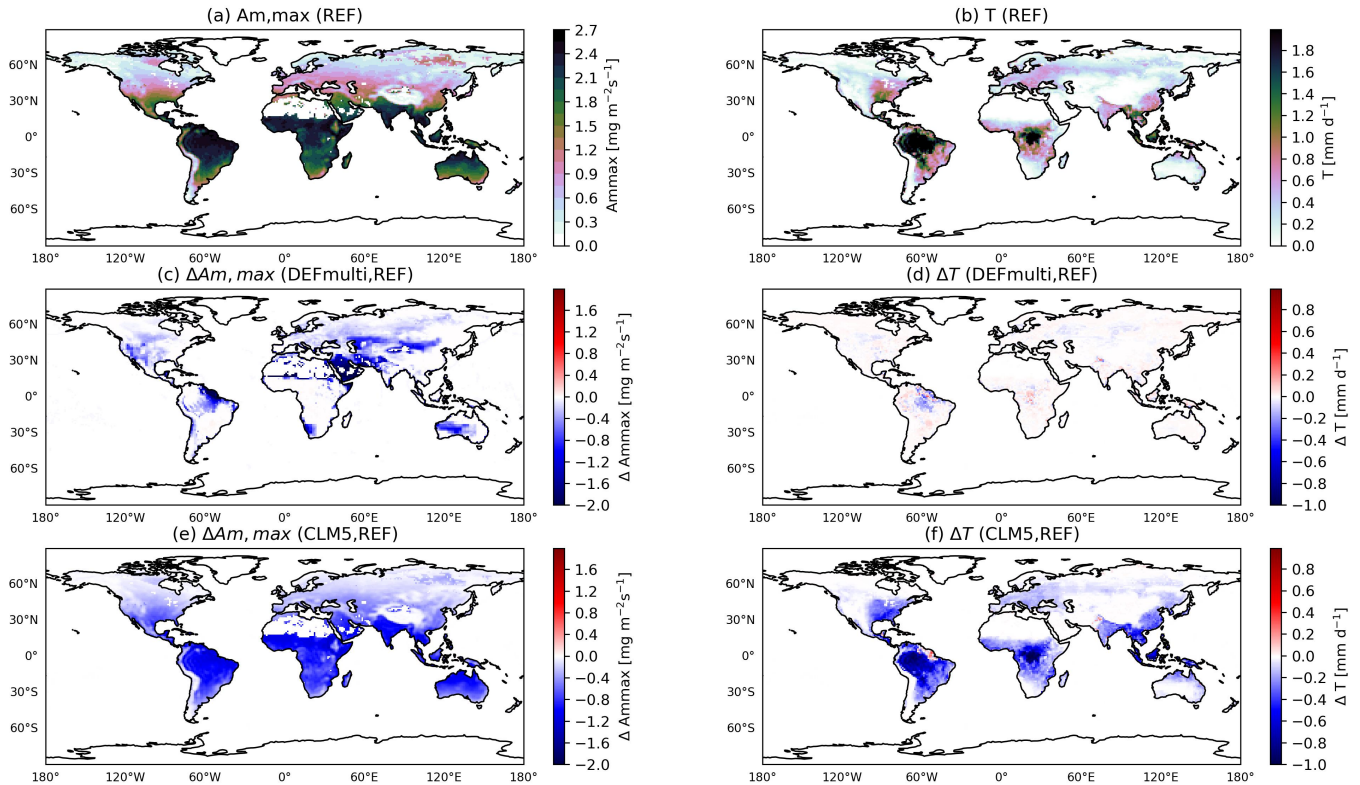


Figure 2. Annual mean maximum CO₂ assimilation rate ($A_{m,max}$) (a), transpiration (T) (b) and the respective changes to *DEFmulti* (c,d) and *CLM5* (e,f), mask for vegetated region (vegetation fraction > 1%).

The impact-of-the-plant-water-effects-of-the-plant-water stress functions based on leaf-water-leaf water potential (e.g. LW -
 315 $Pfrac$) is-are more widespread in vegetated areas since-the-parametrization-as-the-parametrisation is temperature driven. $A_{m,max}$ (equation S2) and also the daily transpiration decreases significantly by 1-2 mm-day⁻¹-mm day⁻¹ which is highest in the tropical rainforest (Figure 2f). This can be reasoned-explained by the radiation maximum in the inner tropics which-leads-to-a-higher-influence-of. Therefore, the 30 % increase-of-the-plant-water-stress-and-subsequent-decrease-of-the-% increase in plant water stress, the subsequent decrease in maximum photosynthetic capacity (Figure 2e) and mesophyll conductance (not shown
 320 here) has-a-greater-influence in the tropics compared to SH-continentsother regions on the SH continents (Figure 2e). With the start-onset of the boreal summer in May/June the-impact-, the-influence spreads out to Europe and the US-USA, while it's limited to the evergreen tropical forests on the SH. Note, that also the final stomatal conductance is lowered again by the stress factor. The-changes-of-The-changes-in the sensitivity simulations *LWPexp* and *CLM5* (not shown here) have the same spatial

distribution ~~only a minor different change of the plant water stress and subsequent variables among each other which means~~
 325 ~~that the linear fraction and the exponential formulation.~~ In the regional plots (Fig. 3), there is only a small difference between
~~the changes in plant water stress and the subsequent variables.~~ Thus, the linear and exponential formulations can be inter-
 preted ~~similarly in a similar way.~~ All three stress functions ~~based on leaf water potential $LWPfrac$, $LWPexp$, $CLM5$~~ introduce
 an additional dependence of the modelled transpiration ~~to~~ on air temperature (except in the arid climate). In fact, this slows
 down the increase ~~of transpiration with rising in transpiration with increasing~~ temperature. Accordingly, the amplitude of the
 330 diurnal cycles decreases (Figure 3 ~~when introducing the multiple stress factor application ($LWPfrac$, $LWPexp$, $CLM5$)).~~ On the
 other hand, the ~~cycle of plant water stress show firstly variations during day diurnal cycle of plant water stress initially shows~~
~~variations,~~ which is an observed ~~phenomena phenomenon~~ according to Xiao et al. (2021). In contrast to $LWPfrac$ and $CLM5$,
 which predict not only the same ψ but also the same $f(\psi)$, $LWPexp$ estimates a higher (negative) ψ in most regions (shown
 in Figure 3). This can be explained ~~via by~~ the temperature-transpiration feedback expected in ~~dry arid~~ climates (ARP and
 335 African ~~savanna savannah~~). In addition, the simple exponential function in $LWPexp$ ~~yields gives~~ a stress factor close to zero and
 thus unrealistically shuts down the mesophyll conductance and the photosynthetic activity ~~in contrast to,~~ ~~unlike~~ $LWPfrac$ and
 $CLM5$. ~~Analysis of the $noWP$ and $DEFmulti$ simulations shows only small local changes in transpiration (within the monthly~~
~~variance range) affecting the annual estimate by only $\pm 10-15\%$.~~ This is because neglecting the wilting point reduces plant
~~water stress (f_{W_s}) by only 10% in all dry vegetation regions (dry climate: $W_s < 0.35F_{c2}$, see Seneviratne et al. (2010)) and~~
 340 ~~thus transpiration is only marginally affected.~~

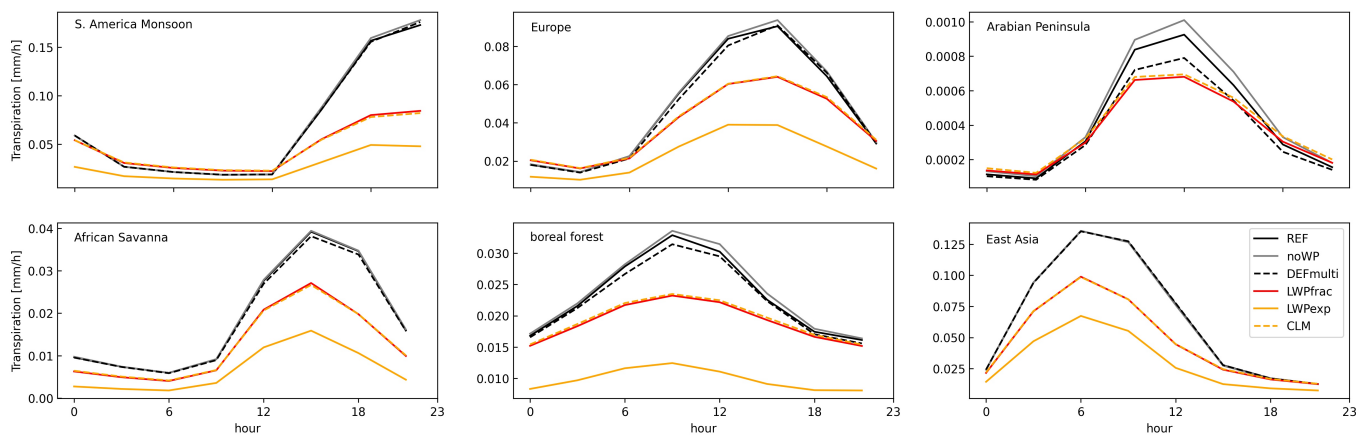


Figure 3. Regional mean diurnal cycle of transpiration in South America Monsoon region, Europe, Arabian peninsula, African Savanna, boreal forest and East Asia in boreal summer. The regions are defined in the respective order with the following scientific regions: 12; 16-18; 36, 21; 18,29,30,31,2,1; 35) according to the IPCC reference definitions (Iturbide et al., 2020).

3.2 Global estimates of transpiration

All EMAC simulations show a realistic spatial variation of annual transpiration (Figure 2b). However, the low global VR values globally (Table 3) indicate that the simulated variability is lower ($VR < 1$) compared to the GLEAM dataset. This cannot be attributed to an oversimplification of the modelled process ~~because~~. GLEAM is based on the Priestley-Taylor equation, an empirical equation dependent on solar radiation and temperature, compared to the ~~physical-based~~ physically based Penman-Monteith approach used in EMAC (Table 2. ~~The reference simulation of EMAC~~). The EMAC reference simulation with the standard ~~plant-water~~ plant water stress overestimates the global average-mean transpiration calculated with GLEAM by 46 mm yr^{-1} mm yr⁻¹ (16 ~~%~~%, Table 3), which is well within the uncertainty range of the GLEAM product ($\pm 136 \text{ mm yr}^{-1}$ mm yr⁻¹). The *LWPfrac* and *CLM5* stress factors correct ~~for~~ this overestimation regionally. The ~~global-average, however, the new-new~~ global (mean) model estimate of $276/277 \text{ mm yr}^{-1}$ mm yr⁻¹ is lower than the GLEAM estimate. Compared to the GLEAM uncertainty, all model simulations show a higher 1σ (standard deviation) range, indicating a higher uncertainty ~~which e.g. could be attributed~~, which could, for example, be due to the representation of precipitation in the model. In GLEAM, ~~instead, precipitation stems~~ however, precipitation is derived from satellite observations (~~s-~~ see section 2.2.2). A lower 1σ in the sensitivity simulations based on the leaf water potential ~~indicate~~ indicates an improvement due to ~~neglecting the neglect of~~ the uncertain soil moisture data usually used in the model. Utilising The use of the transpiration estimate from the TROPOSIF data ~~yields~~ gives a good comparison with the (monthly mean) model predictions (only ~~low-small~~ underestimation) over areas with high transpiration (e.g. Europe, East Asia) in spring and late autumn. Under strong drought conditions, ~~solar-induced~~ solar-induced plant fluorescence by plants decouples from transpiration (Maes et al., 2020) and thus the linear relationship between *SIF* and *T* (applied here) is ~~not valid anymore~~ no longer valid, e.g. during ~~the~~ boreal summer (Martini et al., 2022). ~~Compared~~ However, compared to GLEAM (masked for the TROPOSIF region) ~~however~~, the TROPOSIF dataset predicts a lower daily transpiration ~~during in~~ spring and higher transpiration ~~during in~~ autumn. The seasonality of *SIF* strongly follows the growing season on the NH ~~which might induce some mismatches~~, which may cause some discrepancies.

Datasets	Transpiration (1σ) [<u>mm yr⁻¹mm yr⁻¹</u>]	NAE	VR
GLEAM	329.1 (± 68)	-	-
<i>REF</i>	375.7 (± 98)	5.00	0.08
<i>noWP</i>	379.6 (± 100)	5.59	0.07
<i>DEFmulti</i>	370.1 (± 97)	9.80	0.08
<i>LWPfrac</i>	277.2 (± 77)	4.85	0.11
<i>LWPexp</i>	166.9 (± 45)	10.57	0.22
<i>CLM</i>	276.2 (± 76)	4.89	0.11

Table 3. The global estimates of transpiration (1σ - standard deviation), normalised absolute error (NAE) and the variance ratio (VR: $\frac{var(mod)}{var(obs)}$), accounting for grid boxes with more than 1 ~~%~~ vegetation.

365 ~~The Taking into account the~~ multi-model *ET* estimate ~~of from~~ 18 CMIP6 models (1980-2014, ~~general increase of ET-ET~~
~~grows with time~~) and the observation-based T/ET ratio of 64 % ~~by Pan et al. (2020) yield a from Pan et al. (2020), an estimated~~
global transpiration of 384 ~~mm yr⁻¹. From this, it mm yr⁻¹ is obtained.~~ It can be concluded that all model estimates in
our study predicted annual transpiration reasonably well. The only exception is the sensitivity simulation *LWPexp* ~~showing an~~
~~unrealistic strong reduction, which shows an unrealistic large reduction and~~ thus a high normalised absolute bias (NAE) ~~which~~
~~is likely, probably~~ due to the choice of ~~parameters constraining the stress factor significantly (s. constraining parameters (see~~
7). For the further impact assessment in this study, we use the stress factor *LWPfrac* ~~since it overall shows the best performancee~~
370 ~~, as it performs best overall~~ (slightly better than the *CLM5* factor).

3.3 Contribution to ~~global evapotranspiration~~ Global Evapotranspiration

The contribution of transpiration to the total *ET* varies in time and space with vegetation and soil characteristics (Wang and
Dickinson, 2012; Cao et al., 2022; Lian et al., 2018). This spatial variability is reflected ~~by in~~ GLEAM and EMAC ~~whereas~~
~~especially the estimates, where the estimates are particularly inconsistent~~ in Europe and Africa ~~mismatch~~ (Figure 4). ~~The~~
375 ~~Lian et al. (2018) reports a~~ dominance of soil evaporation over transpiration in ~~dry arid~~ (non-vegetated) regions ~~as reported by~~
~~Lian et al. (2018).~~ This is here also shown ~~in the African here in the Sahara~~ desert by a low T/ET ratio (in GLEAM and EMAC)
and ~~in~~ non-vegetated parts of China (EMAC). ~~Also Similarly,~~ the low T/ET ratio in ~~northernmost areas the northernmost~~ (partly
snow-covered) ~~areas~~ of Canada and Siberia (~~see as shown in~~ Lian et al. (2018)) is only captured by EMAC (~~not by GLEAM~~). In
humid regions, especially ~~in~~ the tropics, evapotranspiration is driven by transpiration. The contribution can ~~reach be~~ up to 87 %
380 % over densely vegetated regions. ~~For comparison, observations in the Amazonian Observations in the Amazon~~ tropical forest
indicate an average T/ET ratio of 0.7 (Wang and Dickinson, 2012; Zhang et al., 2017). This can be consistently represented
by EMAC (Figure 4b) although the sensitivity simulations, e.g. *LWPfrac* and *CLM5*, partly reduce the T/ET ratio too much in
the ~~south of the South America continent southern Argentina~~ (Figure 4c,d). According to the simulated and ~~observation-based~~
~~observational~~ estimates of T/ET by Lian et al. (2018) (their Figure 1a), all EMAC simulations represent too low values in most
385 parts of U.S., suggesting a dry model bias. For the central U.S., Dong et al. (2022) indeed confirms that unbiased estimates of
summertime daily maximum temperature ~~could be achieved only can only be achieved~~ with a T/ET ratio of 0.7. ~~Contrarily In~~
~~contrast,~~ GLEAM shows higher values of the T/ET ratio for the east coast of the ~~U.SUSA~~, as well as for the SH continents,
Europe, and Asia. ~~The incorrect Incorrect~~ E-T partitioning ~~was identified as an error source of has been identified as a source~~
~~of error in~~ ET estimation in CMIP5 models (Lian et al., 2018).

390 To assess the model estimation of evapotranspiration we compare with *ET* estimates ~~by from~~ GLEAM and EUMET-
SAT ~~whereas GLEAM shows generally. GLEAM generally gives~~ higher estimates (Figure 5a, c). *ET* has its maximum in
the tropics while in the high northern latitudes and sparse-vegetated areas (e.g. ~~South African Sahara~~ desert) low values occur.
The GLEAM estimate ~~of (EUMETSAT-region) of ET~~ (512 mm yr⁻¹ yr⁻¹) differs by 30 ~~mm yr⁻¹ mm yr⁻¹~~ (6 %%) from the
EUMETSAT value (481 ~~mm yr⁻¹ mm yr⁻¹~~) which could be considered to be within the uncertainty range. However, region-
395 ally the difference can be large, as much as 50 %. This is most evident in the tropics and consistent with recent studies ~~reporting~~
~~a large spread and a high uncertainty in model estimates for ET at low latitudes due to the parametrization of the root water~~

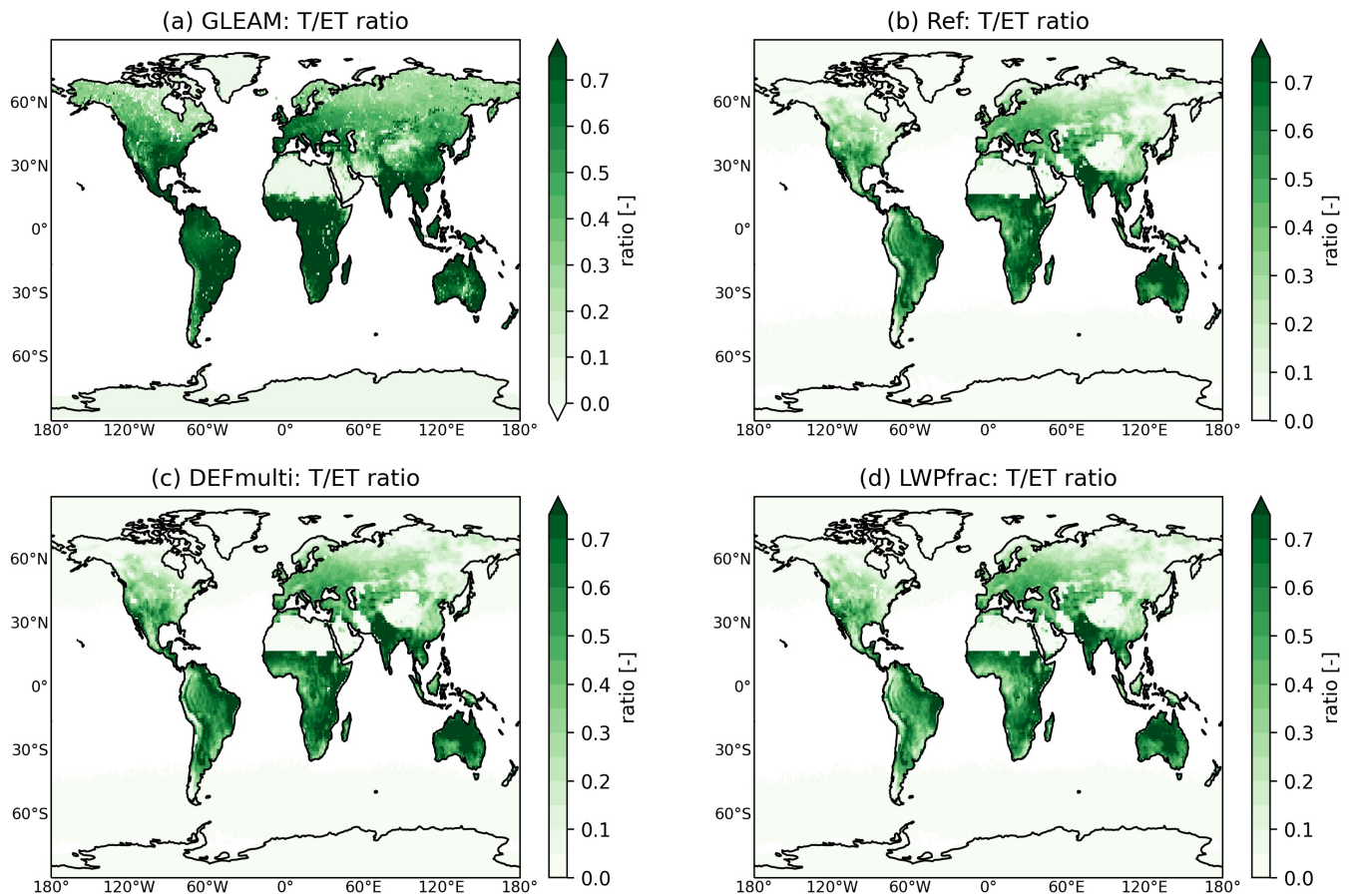


Figure 4. Annual mean ratio of transpiration to evapotranspiration by (a) GLEAM, (b) *REF*, (c) *DEFmulti*, and (d) *LWPfrac* in 2018.

uptake (Pan et al., 2020). According to literature values by (e.g., Elnashar et al., 2021) (Elnashar et al., 2021), who calculated an annual ET of 540 mm yr^{-1} (for 2018), the GLEAM estimate is the most consistent with literature values. Thereby, the models usually differ by 200 mm yr^{-1} which is about twice the spread of estimates by single models (minima and maxima) (Wang et al., 2021). In a model intercomparison Pan et al. (2020) report a large spread and a high uncertainty in model estimates for ET at low latitudes due to the parametrization of the root water uptake.

The global average of annual ET predicted by EMAC with the different plant-water-stress parametrizations is about $425\text{--}480 \text{ mm yr}^{-1}$. The ET predicted by the *CLM5* sensitivity simulation, which reproduces transpiration the best (see Sec. 3.2, together with *LWPfrac*), best reproduces transpiration (see section 3.2) compares well with the GLEAM annual values. Mainly Especially, in some coastal areas like East, such as the eastern U.S., NE Amazon considerable differences occur and the northeastern Amazon, there are significant differences, which could be reasoned by due to neglected sub-scale hydrology at the coasts coastal hydrology (Figure 5b). Compared to EUMETSAT, EMAC (as

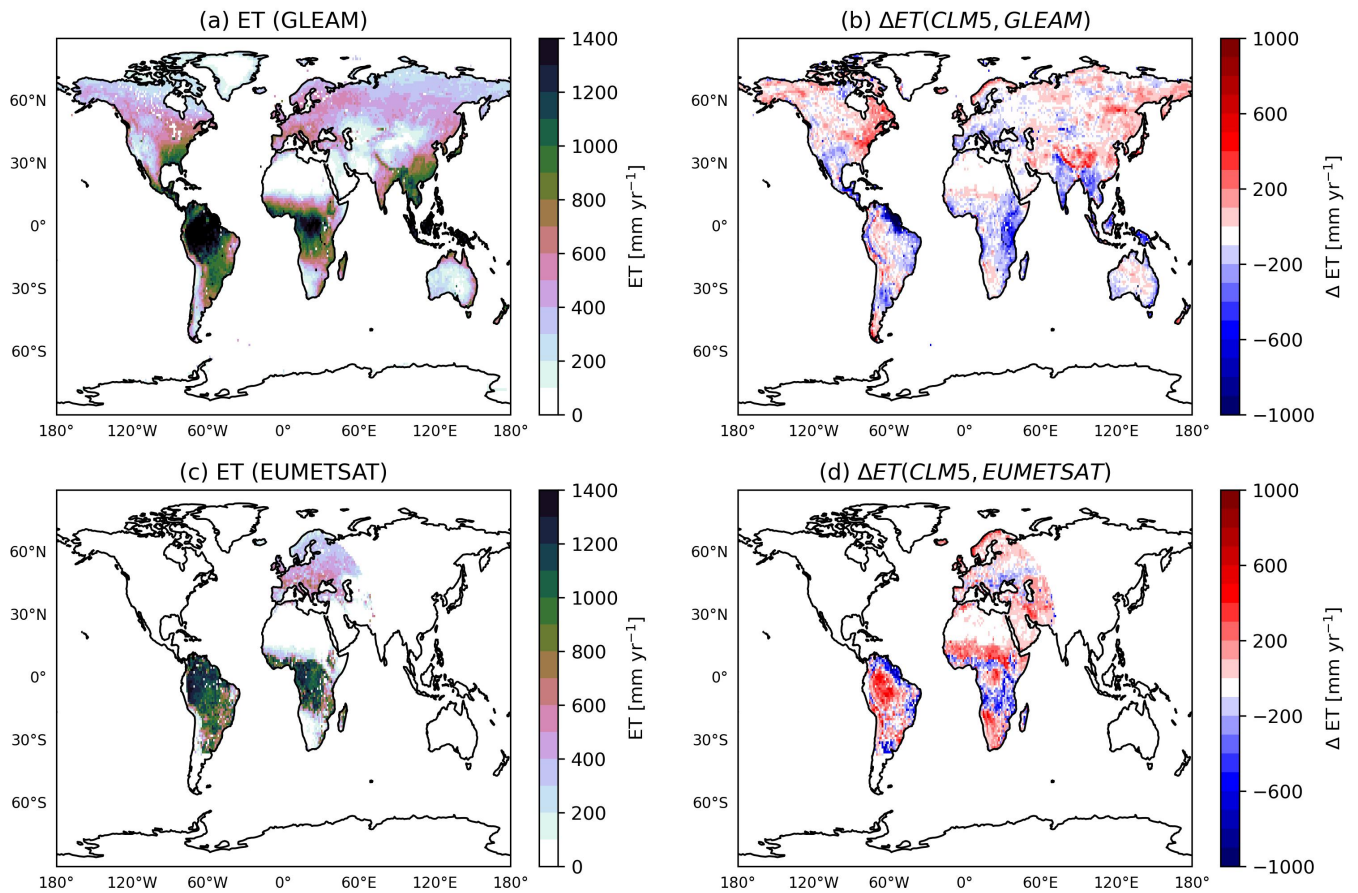


Figure 5. Annual mean evapotranspiration (ET) of (a) GLEAM, and its difference to (b) the $CLM5$ sensitivity simulation ($CLM5$ -GLEAM), (c) annual evapotranspiration (ET) of EUMETSAT and (d) the difference to the ~~the~~ $CLM5$ sensitivity simulation.

well as GLEAM) estimates a higher annual mean ET in tropical ~~rain-forests-whereas-rainforests,~~ while in tropical monsoon climate ~~region-regions it simulates~~ too low values are simulated compared to EUMETSAT (Figure 5d). This pattern of differences ~~suggests precipitation as a reason~~ points to precipitation as the cause, since these two climate types differ ~~essentially~~ by mainly in the amount of precipitation. This result is consistent with the known precipitation bias of the ECHAM5 climate model (see Figure 7 in Stevens et al. (2013)). Both, EMAC and EUMETSAT ~~underestimates the GLEAM global~~ underestimate the global GLEAM ET where, however, with more than 50 ~~% of the mismatch occurs~~ % of the discrepancy occurring outside the EUMETSAT region. The difference cannot always be considered to be within the model variability of 20 ~~% due to~~ %. As possible reason for the large variability we propose the model net radiation ~~depending~~ which depends on the choice of forcing data (Badgley et al., 2015). One reason for the underestimation is ~~likely probably~~ the neglect of diffuse radiation impact the effect of diffuse radiation in big-leaf models, as used here, ~~enhancing~~ Including diffuse radiation would increase photosynthesis and evapotranspiration (Wang et al., 2022; Knohl and Baldocchi, 2008). Furthermore, ~~representing also the representation~~

of deep plant roots would ensure a more realistic ~~water-holding-water-holding~~ capacity and avoid ~~a drying-out-of-the-soil-in-the~~
420 ~~soil desiccation in~~ tropical rainforests (Hagemann and Stacke, 2015).

3.4 Impact on air temperature

The changes in *ET* have ~~significant impacts on a significant effect on the~~ air temperature. Here, we compare the temperature predicted by *REF* to ~~the one that predicted~~ by *LWPfrac*. As expected, ~~from a decrease of a decrease in~~ *ET*, i.e. less cooling, ~~leads to an increase in~~ high daily maximum air temperature values ~~increase~~, shown in Figure 6 for warm spells in 2018. We
425 define warm ~~spell-conditions-spells~~ as a period of at least 3 consecutive days when ~~the~~ daily mean temperature exceeds the 95 %~~-%~~ percentile of the daily mean temperature ~~of for~~ the reference period (1979-2008) (Nairn and Fawcett, 2014). In fact, the difference ~~of between~~ the actual temperature ~~to to and~~ the climatological percentile (~~termed-called the~~ 'excess heat factor' in Nairn and Fawcett (2014)), which is a measure of intensity of warm ~~spell-conditions-spells~~, increases by 1.5K in Europe and 4K in South Africa, in the ~~East-U.S.-eastern US~~ and the Amazon forest due to the changed ~~plant-water-plant water~~ stress function of
430 *LWPfrac*. The global mean air temperature in the lowest model layer ($\approx 60\text{m}$) increases by 2K. ~~Our-These~~ results are consistent with recent studies ~~, (e.g., Kala et al., 2016), highlighting (e.g., Seneviratne et al., 2010; Kala et al., 2016), which highlight~~ the role of stomatal stress in the amplification of ~~heatwaves-especially affecting the intensity of warm spells and heat waves~~~~heat waves, especially with respect to their intensity~~ (Barriopedro et al., 2023).

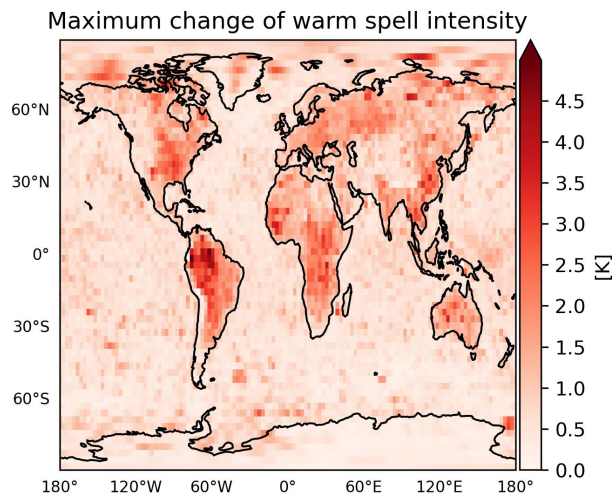


Figure 6. The maximum annual ~~difference-change~~ of warm spell intensity (~~difference of the actual temperature to the climatological percentile~~) in 2018 due to the plant water stress function.

3.5 Impacts on air pollution

435 The different representations of plant-water stress affect air pollution mainly by influencing 1) dry deposition fluxes of ozone and 2) meteorological controls on ~~photo-chemistry~~photochemistry. Figure 8 shows the ~~respective changes for effects on~~tropospheric ozone (O_3) ~~which is a major air pollutant threatening human health as well as the productivity of plants when using the LWPfrac plant-water stress~~. Figure 8a shows that the dry deposition of O_3 in *LWPfrac* is ~~decreased~~reduced by up to 25 %~~%~~, compared to *REF*, in the tropics and subtropics where dry deposition exerts a strong control on air composition due to high vegetation density. Similar changes apply to precursors with similar characteristics as O_3 ~~which then~~. This contributes to the increase ~~of in the~~ O_3 mixing ratio (Emmerichs et al., 2021). Furthermore, the reduced *ET* in most vegetated regions exacerbates the atmospheric moisture deficit~~by which the stomata are additionally stressed. The~~, which places additional stress on the stomata. The increased plant water stress leads to a significant temperature increase throughout the tropical regions (see previous section), which is known to favour O_3 production (Pusede et al., 2015). However, the annual mean chemical

445 production and loss terms (Figure 8b,c) are ~~only enhanced~~ increased only in the SW of South America (by up to 10 %~~) although the increased plant-water stress leads to a significant temperature increase in the entire tropical regions (see previous section) which is known to favour production (Pusede et al., 2015)~~%). The increase ~~of in~~ O_3 production, shown, here follows the increase of OH and HO_2 (HO_x) production~~but it is limited to western Amazon. That is because,~~. Increasing isoprene emissions lead to a linear increase in O_3 since O_3 increases by 0.34 ppb for every 1 ppb increase in formaldehyde (HCHO).

450 HCHO is a direct product of isoprene oxidation with a lifetime of a few hours and is therefore often used as a proxy for isoprene emissions (Palmer et al., 2003). Rapid oxidation reduces the C_5H_8 and increases OH surface concentration in the inner ~~tropical rainforest~~ tropics (Amazon, Congo)~~the isoprene mixing ratio, Basin~~ (Fig S1). In the outer tropics, O_3 additionally increases with increasing soil emissions of nitrogen oxides (NO), which is an important O_3 precursor ~~, decreases (Figure S1b) due to increased loss by hydroxyl radical (·) although isoprene emissions are enhanced by higher temperatures (Guenther et al., 2006)~~

455 source in remote regions (far from anthropogenic emissions). The change ~~of the in~~ O_3 loss ~~has is of~~ the same magnitude but is more widespread than the change ~~of the in~~ O_3 production, driven by a relative acceleration of NO_x and HO_x chemistry. These effects then lead to an increase ~~of the in~~ net O_3 loss in the Amazon ~~basin~~ Basin which is overcompensated by ~~the decreased a decrease in~~ O_3 uptake by vegetation. Thus, the annual mean surface O_3 ~~is increased~~ in the tropics and subtropics is increased by up to 10 %~~%~~ (Figure 8d). This ~~enhances the increases the global~~ tropospheric O_3 burden by 5 Tg per year.

460 The changes discussed here do not include the O_3 damage to plants, i.e. the biosphere-atmosphere exchange. However, from experiments by e.g. Sadiq et al. (2017) we can learn that an implementation of this response amplifies the O_3 -vegetation feedback. Because the caused O_3 -increase damages increasingly the plant cells and limits the activity. This further reduces the transpiration and dry deposition which in turn increases O_3 levels. No clear feedback was found for isoprene emissions. Reduced ecosystem production makes only a small contribution to the overall feedback.

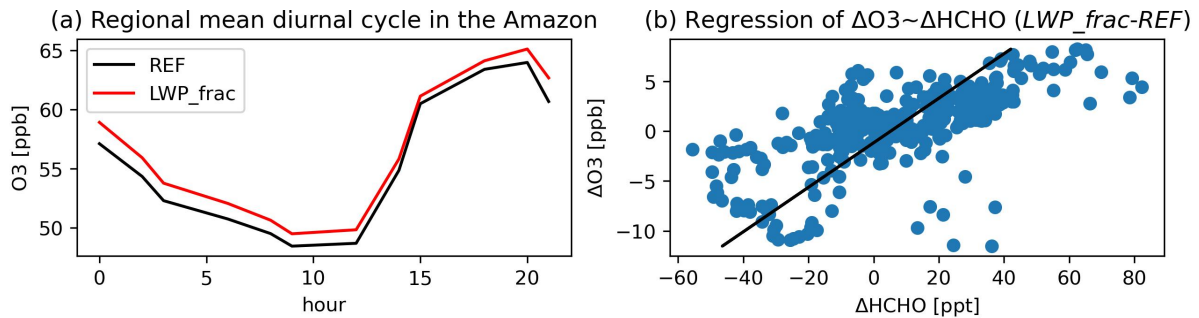


Figure 7. (a) Regional mean diurnal cycle of O_3 in the Amazon (Monsoon region, definition in Fig. 3) and (b) linear regression of the absolute difference ($LWP_{frac}-REF$) formaldehyde (HCHO) with O_3 surface levels at the ATTO (Amazon Tall Tower Observatory) site in November 2018 (dry season).

465 3.6 Future scenario

A simulation with the double CO_2 concentration (*futureLWPfrac*) was performed to investigate the role of the new **plant-water** **plant water** stress factor in future climate conditions. Besides-In addition to perturbing the energy balance at the top of the atmosphere, CO_2 affects the **plant-sensitivity-sensitivity of plants** to water stress in our simulations. Increasing-An increase in CO_2 has a **two-fold impact on the plants two effects on plant** behaviour. While it leads to an increased photosynthetic activity, the stomatal conductance is reduced by an average of 40 %-% (g_s , Figure 9a). Vicente-Serrano et al. (2022) reports a decrease of 22 %-% in stomatal conductance (on average) in-stomatal-conductance from multiple experiments by doubling only CO_2 . We also-can-can also confirm these findings for equatorial and tropical forests in our simulation. The-Due to the dominant decrease in g_s as also reported by Vicente-Serrano et al. (2022), plant transpiration of plants decreases in response to increasing CO_2 in these regions due to the dominant decrease of g_s as reported by Vicente-Serrano et al. (2022). In our simulations, however, the impact of the future conditions on g_s is more widespread since the changed climatic conditions reduce the-, as the increased CO_2 also reduces relative humidity almost world-wide-and thus stress the worldwide, thus stressing plants. The decrease-of-30 % decrease in g_s by-30 %-linked to the new-plant-water-associated with the new plant water stress function is strengthened-amplified by the enhanced CO_2 . However, this dominates the ET only on a daily basis, while the annual sum increases by 30-100 $mm\ yr^{-1}$ $mm\ yr^{-1}$ in response to an increased evaporative demand. As a consequence, the 2m temperature is-almost-doubled-almost doubles (Figure 9b) and the relative humidity drops-decreases (not shown). These changes are linked-to-associated with the 20-50 %-increase-of-% increase in solar irradiation (correlation) due to less-low-level fewer low level clouds. Pollard and Thompson (1995) also reports on-conducting-from a doubling CO_2 scenario leading-which leads to an increase in stomatal conductance, temperature and specific humidity-which-reduces-, and thus to a decrease in relative humidity and cloudiness. ECHAM/MESSy does not simulate an interactive carbon cycle, namely the photosynthesis i.e. the net assimilation of CO_2 is calculated to simulate the stomatal conductance with a first-order dependence scaled by the CO_2 deficit between plant cavity and the atmosphere. Several studies have reported that an increase of atmospheric CO_2

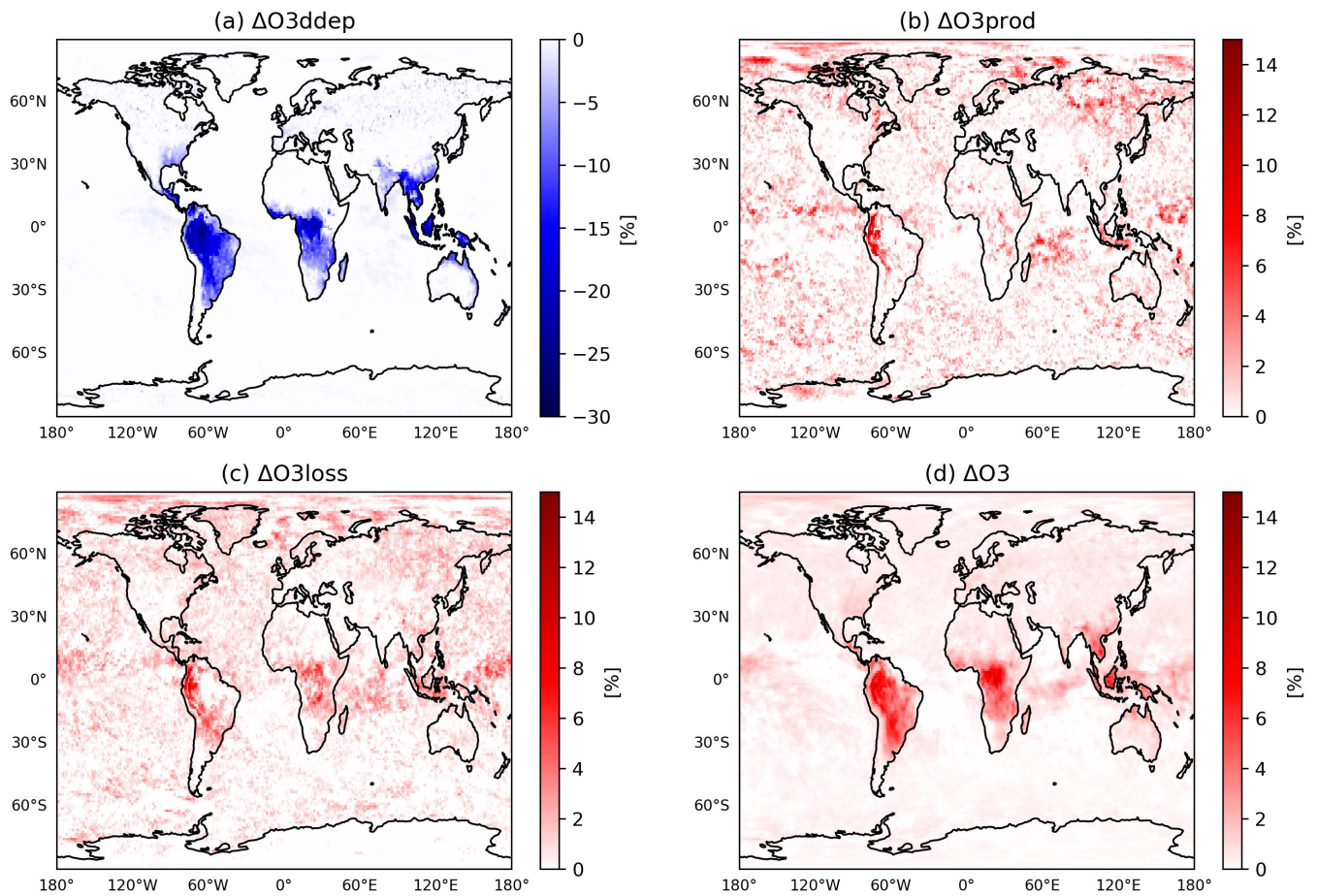


Figure 8. The relative change between *LWPfrac* and *REF* of the annual mean **of** (a) O_3 dry deposition, (b) chemical O_3 production, (c) chemical loss and (d) surface O_3 mixing ratio.

reduces the leaf stomatal conductance varying by 50 % in dense meadows, by 15 % in deciduous forests, and by less than 10 % in coniferous forests. This response is non-linear because the CO_2 stimulation of photosynthesis saturates at high atmospheric CO_2 . (Vicente-Serrano et al. (2022) and references therein). Nevertheless, to assess the overall climatic impact of the multiple
 490 interactions between terrestrial vegetation and CO_2 ~~also~~, the changing vegetation would ~~also~~ have to be ~~considered~~ taken in account. However, such an assessment is far more complex and highly uncertain (Vicente-Serrano et al., 2022).

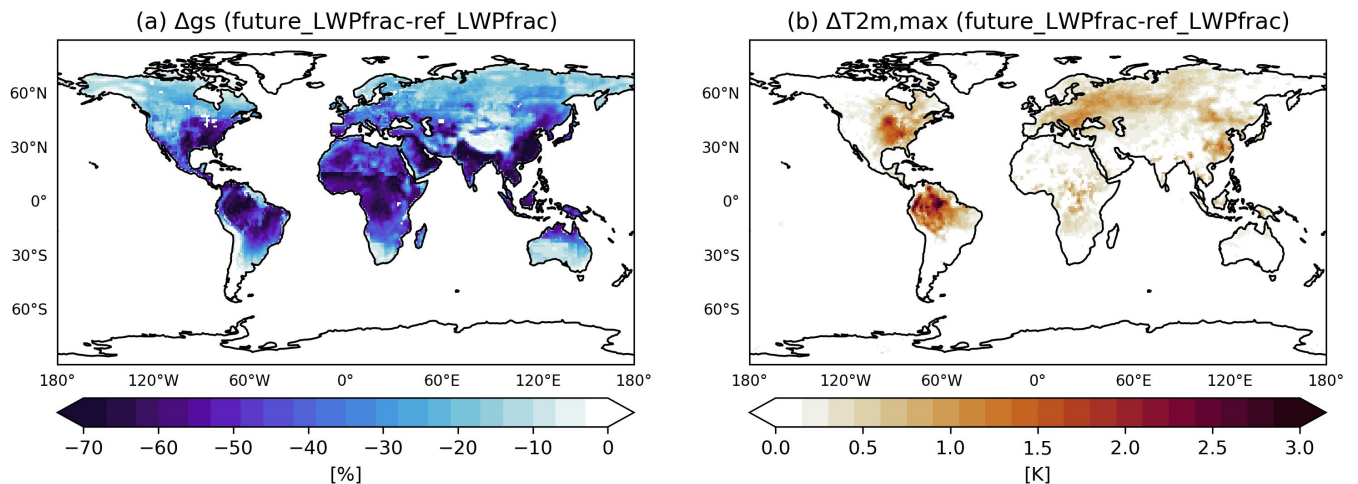


Figure 9. (Boreal) Summer mean change of stomatal conductance (a) and daily 2m maximum temperature (b) when comparing $LWPfrac$ for normal and future conditions ($2\times CO_2$).

4 General discussion

4.1 Default model parametrization

In models, ET is estimated either by the physically-based using either the physically based Penman-Monteith (PM) approach (state-of-the-art) or the empirical Priestley-Taylor (PT) equation. The latter one (used in GLEAM) assumes that ET only depends on solar radiation and temperature, neglecting wind speed, relative humidity and vapour pressure deficit. But, because of the link to air temperature, estimates by the PT approach show a high correlation with values estimated by the PM equation, which are expected in dry conditions and in areas with relatively high wind speed (Utset et al., 2004). The key variable for the common parametrization of the water stress in plants is the soil moisture, which is described in EMAC by the simplistic but conventional bucket model. A bucket model has been used e.g. long been used, for example, in the JSBACH (Jena Scheme for Biosphere Atmosphere Coupling in Hamburg version) land surface model for a long-time-long time (Boone et al., 2004). The inclusion of the surface resistance term in EMAC as the a so-called "second-generation model" yields allows a better comparison of estimated evapotranspiration rates with observations than utilizing 'pure' bucket models (Sellers et al., 1997). However, the lack of soil water holding capacity in the (shallow, one-layer) bucket model leads to an immediate removal of water and thus to an unrealistically low soil water in areas with deep roots e.g. tropical forests (Hagemann and Stacke, 2015), despite the thickness of the subsurface layers. Nevertheless, the multi-model evaluation by Robock et al. (1998) found no significant improvements of sophisticated soil models with multiple layers and even vegetation dynamics like such as the CLM or NOAA-LSM over the bucket scheme. More recently, Dong et al. (2022) concluded that most CMIP6 models simulate a

510 warm bias in mid-latitude summer ~~because of incorrect partitioning due to incorrect partitioning of~~ *ET* in canopy transpiration and soil evaporation due to a shallow soil. ~~Moreover~~In addition, even small differences ~~of in~~ the input field capacity data can have large effects on the simulated *ET* (Hagemann and Stacke, 2015).

4.2 More sophisticated models, remaining uncertainties and future recommendations

Boone et al. (2004) shows that sophisticated land surface models (LSMs) ~~agree with each other regarding~~ generally agree with
515 respect to latent heat flux and total runoff. ~~Nevertheless~~However, we note that ~~comparing different LSMs it~~ is very difficult ~~because of the different~~ to compare different LSMs because of differences in model components, ~~parameterizations~~ parameterisation, and choice of associated parameters. ~~Also~~In addition, many LSMs only represent shallow ~~soil with a depth down to maximum~~ soils with a maximum depth of 2m (Pan et al., 2020) and therefore cannot account for the storage capacity of ~~the soil~~ soils in the tropical forests as shown by Hagemann and Stacke (2015). ~~For the second-generation~~ The second generation LSMs Pitman
520 (2003), which calculate transpiration and soil moisture ~~across over~~ multiple layers, ~~the predicted soil moisture is somewhat better than with~~ predict soil moisture slightly better than the bucket model. However, ~~when compared to observations~~, LSMs show a ~~large spread in performance~~ wide range in performance compared to observations (Shao and Henderson-Sellers, 1996). This is certainly due to, but not limited to, the use of different schemes for simulating surface fluxes and soil moisture. ~~Generally, the needed~~ In general, the required spin-up time ~~by of~~ LSMs with deep soil schemes is often not affordable, es-
525 pecially for climate simulations. ~~Using in addition a groundwater model ((e.g., Kollet and Maxwell, 2008))~~ The use of an additional groundwater model (e.g., Jiang et al.; Kollet and Maxwell, 2008; Lam et al.; Larsen et al.) can improve the simulation of the water ~~budget and the groundwater-land surface balance and the groundwater-land surface~~ interactions (Rahman et al., 2014) but ~~strongly increase~~ greatly increases the required computational resources.

The ~~most recent~~ latest model intercomparison CMIP6 shows on average an ~~overestimated~~ overestimation of *ET* by the
530 models compared to an observational dataset. However, the CMIP6 ensemble mean underestimates *ET* in regions of high evapotranspiration, such as in the Amazon basin, central Africa, and southeast Asia ~~but overestimates ET in~~. In regions with low evapotranspiration, such as the Sahara desert, the Middle East, southwest Australia, and the Andes Mountains the models overestimate ET (Wang et al., 2021). A multi-model comparison ~~by of~~ *ET* estimates by Pan et al. (2020) shows that the uncertainty is ~~largest~~ greatest in the Amazon basin, ~~where~~. There, the standard deviation of the LSM estimates is more than
535 ~~2-times larger than twice~~ that of benchmark estimates. The potential source of uncertainty is the root water uptake. ~~Also, the model~~ Model representation of LAI dynamics or ~~water movement in the soil might cause soil water movement could also contribute to~~ this uncertainty (Pan et al., 2020). In arid and ~~semiarid~~ semi-arid areas, precipitation is a ~~key uncertainty factor for estimates of evapotranspiration~~ major source of uncertainty in evapotranspiration estimates (Pan et al., 2020).

5 Conclusions

540 We have investigated the ~~significance of plant water~~ importance of plant water stress for the predictions ~~of for the~~ ground-level ozone concentrations in a warm(er) world. This study has focused on ~~the improvement and assessment of improving and~~

evaluating the evapotranspiration simulated by the atmospheric chemistry model EMAC. We confirm that evapotranspiration is a key process driving the moisture eyeling cycle in the atmosphere affecting, which affects the global distribution of temperature and warm spell intensity. We also find that plant-water-plant water stress has a significant impact on the photo-chemistry and uptake of trace gases-photochemistry and trace gas uptake by vegetation. ~~For that~~To do this, we have applied multiple-several plant-water stress factors ~~,which that~~ strongly reduce stomatal activity ~~,and have assessed the impacts and assessed the effects~~ at local and global scales. Specifically, we find that:

- The EMAC model represents the spatial variability of transpiration reasonably well
- The global estimates of transpiration are within the literature range ~~whereas,~~ while a simple exponential dependence on leaf water dependence-potential (LWP_{exp}) ~~induces-leads~~ a too strong reduction
- The use of stress factors based on leaf water potential lowers-reduces the amplitude of the transpiration diurnal cycle but strengthens the model sensitivity-diurnal cycle of transpiration but increases the sensitivity of the model to temperature
- The E/T partitioning is generally well simulated by EMAC, ~~but~~ in regions like the East U.S.-such as the eastern USA the T/ET ratio is too low, probably due to the dry model bias

555 Close to pollution sources, tropospheric ozone is projected-predicted to increase in the future as consequence-result of the climate warming. This is often referred to as the 'ozone-climate penalty' (Rasmussen et al., 2013). However, a recent multi-model projection suggests a climate benefit on a global average (Zanis et al., 2022). ~~As many uncertainties remain, a recent analysis call,~~ i.e. a decrease in ozone as a consequence of global warming (Zanis et al., 2022). This calls for a re-examination of the link between extreme events and ground-level ozone as many uncertainties remain (Fu and Tian, 2019). Our results highlight the importance of evapotranspiration and plant-water stress for the predictions of plant water stress in predicting air pollution during heat waves and droughts. These extreme events ~~are projected to be~~ will become more frequent and intense (Domeisen et al., 2022). The magnitude of the effects assessed in this study ~~are-is~~ model-specific. Nevertheless, ~~they provide a~~ our results provide general guidance for assessment the evaluation and improvement of atmospheric chemistry models, ~~without~~ a state-of-the-art description of land surface processes and a dynamic vegetation model.

565 *Code and data availability.* The Modular Earth Submodel System (MESSy) is continuously further developed and applied by a consortium of institutions. The usage of MESSy and access to the source code is licensed to all affiliates of institutions which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Website <http://www.messy-interface.org>. The code used in this study is included in the current devel branch of the MESSy repository. The simulation results are archived at the Jülich Supercomputing Centre (JSC) and are available on request. The EUMETSAT ET data is available from the website of the EUMETSAT land surface analysis (LSA SAF) consortium (<https://landsaf.ipma.pt/ChangeSystemProdLong.do?system=LandSAF+MSG&algo=DMET>). The GLEAM data can be provided by a registered user via a ftp server (<https://www.gleam.eu/#downloads>, last access: 24.07.2023). The TROPISIF data can be downloaded at <http://ftp.sron.nl/open-access-data-2/TROPOMI/tropomi/sif/v2.1/l2b/> (NOVELTI et al., 2021; Guanter et al., 2015).

Author contributions. All authors designed and frequently discussed the concept of the study, TE implemented the code changes supported
575 by DT and Y-SL. The simulations, the data processing and the data analysis were done by TE. All authors wrote and reviewed the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. The authors gratefully acknowledge the Gauss Centre for Supercomputing e.V. (www.gauss-centre.eu) for funding this project by providing computing time on the GCS Supercomputer JUWELS (Jülich Supercomputing Centre, 2019) and by the John von Neumann Institute for Computing (NIC) and provided on the supercomputer JURECA (Jülich Supercomputing Centre, 2021) at [Jülich Supercomputing Centre \(JSC\)](#). The authors gratefully acknowledge the Earth System Modelling Project (ESM) for funding this work by providing computing time on the ESM partition of the supercomputer JUWELS at the Jülich Supercomputing Centre (JSC). The EUMETSAT product was provided by the EUMETSAT Satellite Application Facility on Land Surface Analysis (Trigo et al., 2011). The TROPOSIF products were generated by the TROPOSIF team conducted by NOVELTIS under the European Space Agency (ESA) Sentinel-5p+ Innovation activity Contract No 4000127461/19/I-NS (NOVELTI et al., 2021; Guanter et al., 2015). The regridding script was adapted from the
580 work of UWE Raschers group at Forschungszentrum Jülich. This work was supported by funding from the Federal Ministry of Education and Research (BMBF) and the Helmholtz Research Field Earth & Environment for the Innovation Pool Project SCENIC.
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