Circumarctic landcover diversity considering wetness gradients

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Abstract. Landcover heterogeneity information considering soil wetness across the entire Arctic tundra is of interest for a wide range of applications targeting climate change impacts and ecological research questions. Patterns potentially link to permafrost degradation and affect carbon fluxes. First a landcover unit retrieval scheme which provides unprecedented detail by fusion of satellite data using Sentinel-1 (synthetic aperture radar) and Sentinel-2 (multispectral) was adapted. Patterns of lakes, wetlands, general soil moisture conditions and vegetation physiognomy are interpreted at 10 m nominal resolution. Units with similar patterns were identified with a k-means approach and documented through statistics derived from comprehensive in situ records for soils and vegetation (more than 3500 samples). The result goes beyond the capability of existing landcover maps which have deficiencies in spatial resolution, thematic content and accuracy although landscape heterogeneity related to moisture gradients cannot be fully resolved at 10 m. Wetness gradients were assessed and measures for landscape heterogeneity were derived north of the treeline. About 40 % of the area north of the treeline falls into three units of dry types with limited shrub growth. Wetter regions have higher landcover diversity than drier regions. 66 % of the analysed Arctic landscape is highly heterogeneous with respect to wetness at a 1-km scale (representative scale of frequently used regional landcover and permafrost modelling products). Wetland areas cover on average 9 % and moist tundra types 32 %, which is of relevance for methane flux upscaling.

1   Introduction

Landsurface hydrology, moisture gradients, wetting and drying processes play a major role in the context of Arctic biodiversity studies, carbon flux upscaling, carbon pools quantification, permafrost mapping and human impact assessment. In order to address such processes both open water fraction and soil moisture ~~related~~ information is essential. In the Arctic, the landscape heterogeneity and especially the occurrence of lakes, has so far been a major limiting factor for retrieval of near surface soil moisture time series using microwave satellite data as commonly applied on regional to global scale due to spatial resolution (Hogstrom and Bartsch, 2017; Högström et al., 2018; Wrona et al., 2017). Landcover properties are therefore often used as proxy for subground conditions. Multi-spectral satellite data, especially from Landsat (30 m) were regionally employed for

characterizing typical tundra landscape types reflecting moisture regimes and vegetation physiognomy previously (Bartsch et al., 2016b). Soil characteristics are~~for example~~, for example, required for parameterization of heat transfer modelling for

25   permafrost studies (Westermann et al., 2017). Global landcover maps are currently used although deficiencies for the Arctic are known. This relates to thematic content as well as high landcover heterogeneity not reflected by the comparably coarse spatial resolution. For example, the accuracy of the Landcover CCI dataset (300 m) for high latitude wetlands was determined to be only 19 % (Palmtag et al., 2022). Nevertheless it was used for ~~example for~~ permafrost modelling (Westermann et al., 2017) and wetland delineation (Olefeldt et al., 2021; Albuhaisi et al., 2023) accepting the uncertainties in the absence of a better

30   alternative.

The issue of spatial resolution was extensively discussed for water bodies (Liljedahl et al., 2016; Muster et al., 2019) and also for further landcover features on regional scale (e.g. fluxes (Virtanen and Ek, 2014), soils (Siewert et al., 2015), carbon balance and landscape heterogeneity (Treat et al., 2018)). All studies call for very high resolution data, in the order of few meters or sub-meter scale, for which availability and access is limited (Bartsch et al., 2023). A scheme with high thematic content

35   (with respect to tundra) was previously implemented based on 1 km x 1 km data using multispectral data (AVHRR - Advanced Very High Resolution Radiometer; Walker et al. (2005); Raynolds et al. (2019)). This widely used Circumpolar Vegetation Map (CAVM) provides vegetation community information but does not provide a measure of the high spatial heterogeneity of Arctic landscapes.

Recently, data from the multispectral Sentinel-2 mission which provides 10-20 m detail came into focus. This provides an

40   advance compared to ~~for example~~ Landsat (30 m) although still lacking some detail. Such data were also shown of added value in combination with C-band radar information from Sentinel-1 with similar resolution to obtain landcover related information (Bartsch et al., 2019a, 2020; Scheer et al., 2023). For example, the approach by Bartsch et al. (2019a, b) is based on a combination of Sentinel-1 and Sentinel-2 using a k-means unsupervised classification. The application potential of the obtained landcover units (21 classes) was shown in regional studies (Bartsch et al., 2019a; Bergstedt et al., 2020; Kraev et al.,

45   2022; Spiegel et al., 2023). The approach targets use of landcover information as proxy for soil conditions, specifically wetness gradients. This is achieved through focus on the use of selected bands of Sentinel-2 and the choice of frozen state acquisitions of Sentinel-1.

Wetness patterns are known to drive the occurrence of certain vegetation communities in tundra environment (e.g. Silvertown et al. (2014); Dvornikov et al. (2016); Ackerman et al. (2017)). A commonly used multispectral index is the Tasseled Cap

50   Wetness Index. This index was demonstrated of value for longterm change studies targeting permafrost degradation in tundra (Nitze and Grosse, 2016). Whereas the commonly used Normalized Vegetation Index NDVI utilizes the red and near infrared information only, the Tasseled Cap Wetness index also considers green and short-wavelength infrared information (Crist, 1985). Considering Sentinel-2, bands available at 10 m as well as 20 m nominal resolution are of interest.

The use of Sentinel-1 is usually confined to unfrozen conditions in order to use the moisture information reflected in the

55   backscatter measurements (e.g. for the Arctic, Reschke et al. (2012); Ou et al. (2016)). High backscatter is associated with high soil moisture. Other scattering mechanisms, such as surface roughness, however, also contribute to backscatter increase. It was shown that relevant information can be also derived from C-band SAR data acquired under frozen conditions (Bartsch et al.,

2016b; Widhalm et al., 2015). It was for example demonstrated in Bartsch et al. (2016b) that C-band frozen backscatter at HH polarization (horizontally sent and horizontally received) can be used as proxy for estimation of near surface soil organic carbon in tundra regions. For tundra environments, this also coincides with specific landsurface wetness gradients ~~what~~ as was initially shown for ENVISAT ASAR (Advanced Synthtic Aperure Radar) Global monitoring mode (1 km) by Widhalm et al. (2015). The derived CAWASAR (CircumArctic Wetlands based on Advanced Aperture Radar) map was previously applied for a permafrost equilibrium model soil parameterization (Obu et al., 2019) as well as for a recent estimation of the global methane budget (wetlands as input for landsurface modelling (Saunois et al., 2016), global wetland map compilation (Zhang et al., 2021)) and climate change vulnerability assessment (Kåresdotter et al., 2021).

Bartsch et al. (2019a) demonstrated that the different landscape units derived based on selected Sentinel-1/2 data reflect differences in soil wetness as can be determined by seasonal subsidence patterns derived through SAR Interferometry. Ice in the soil pores melts and commonly leads to subsidence throughout the summer. This effect is less pronounced for dryer soils. The initial land-cover map covered ~~Western~~ West Siberia with 20 m nominal resolution (Bartsch et al., 2019b). On regional scale, classes were also matched to vegetation community descriptions. The classification accuracy ranged between 70 and 83.3 % for central Yamal (Bartsch et al., 2019b). The approach does however consider bands of Sentinel-2 which have 10 m as well as 20 m resolution. Adapted super-resolution processing can be used for transformation to 10 m nominal resolution. This was shown applicable in case for Sentinel-2 for Arctic environments (Bartsch et al., 2021b). Bartsch et al. (2021b) also demonstrate the feasibility of Sentinel-1/2 for circumpolar processing, but with focus on artificial objects. Circumpolar implementation of a landcover unit retrieval with high detail is, however, still lacking. A map for tundra regions based on Sentinel-1/2 and digital elevation information was previously published, but with lower thematic content (ten classes, CALC-2020, (Liu et al., 2023)). Topographic information was used in addition and shown to be the dominating factor in the random forest method based retrieval. In addition, shrub growth patterns which are a key characteristic of tundra landscapes (Raynolds et al., 2019) are not distinguished (Liu et al., 2023).

The purpose of this study was to provide an account of tundra land cover heterogeneity, considering wetness gradients and diversity. (1) Landcover units at comparably high resolution (10 m) and thematic content needed to be derived for the entire Arctic north of the treeline (Circumarctic Landcover Units - CALU). (2) Heterogeneity was assessed with respect to the scale of current global permafrost modelling (1 km). (3) The landcover units also were documented with in situ data regarding their vegetation and soil properties to facilitate further use.

This requires an approach feasible to be implemented for the entire Arctic. A strategy is needed to extent the prototype landcover units as suggested in Bartsch et al. (2019a) which were distinguished by combining the multispectral and C-band synthetic aperture radar data for representative regions (climatic gradients). The original approach considered only top of atmosphere radiance, 20 m resampled data and flat to moderate terrain. The latter allowed the use of σ^0 for Sentinel-1 backscatter with a simplified normalization approach (Widhalm et al., 2018). Mountain regions are present on circumpolar scale, ~~what~~

which requires the use of γ^0 instead (Small, 2011). Overall, a retraining of the classifier is needed considering the enhanced pre-processing techniques.

2 Data

2.1 Satellite data

Data acquired from the Sentinel-1 (synthetic aperture radar - SAR) as well as Sentinel-2 (mutli-spectral) mission were used for the retrieval. These missions are part of ESA's Copernicus program. Data from both Sentinel-1 satellites were used. Both have a near-polar, sun-synchronous orbit and they are 180 degrees apart from each other. Sentinel-1A (launched in April 2014) and Sentinel-1B (launched in April 2016, operation stopped in December 2020) have an identical C-band SAR sensor on board (Schubert et al., 2017). Several modes are available. The Interferometric Wide Swath (IW) mode is of main interest for this study. It combines a swath width of 250 km with a relatively good ground resolution of 5×20 m. The Ground Range Detected (GRD) products as distributed by Copernicus are supplied with 10 m nominal resolution. GRD products are detected, multi-looked, and projected to ground range using an Earth ellipsoid model (ESA, 2012). The SAR sensors can capture information in dual polarization (HH+HV or VV+VH; H - horizontal, V - vertical). Mostly VV+VH is available for the Arctic land area for this mode and resolution. Greenland and several high Arctic islands are an exception. They are covered in HH+HV mode due to requirements of glacial monitoring.

Only data acquired during mid-winter (December and/or January; frozen soil conditions) is used for cross-Arctic consistency and comparability as temporal variations of backscatter can occur with changes in liquid water content (Bergstedt et al., 2018; Bartsch et al., 2023).

The Sentinel-2 constellation has also two satellites. Sentinel-2A (launched June 2015) and -2B (launched March 2017) are in a sun-synchronous orbit, 180° apart from each other. Data from 13 spectral bands ~~is~~ are available. The spatial resolution depends on the band: four bands have a spatial resolution of 10 m, six bands of 20 m, and three bands of 60 m (ESA, 2015). Bands 3 (green, 10 m), 4 (red, 10 m), 8 (near infrared, 10 m), 11 (SWIR, 20 m), 12 (SWIR, 20 m) were used for the classification following the prototype scheme (Bartsch et al., 2019a, b).

2.2 Landcover prototype

The original prototype covered a transect reaching from the Yamal peninsula into the northern part of the West Siberian Lowlands (Figure 1). Four bioclimatic zones ~~are~~ were covered. The processing ~~is~~ was based on Sentinel-1 and Sentinel-2 images with bands sampled to 20 m. Top of atmosphere radiance was originally used for Sentinel-2 and normalized σ^0 for Sentinel-1. 25 classes were considered, including three water classes, but excluding permanent snow/ice and shadows as the analysis region did not include steep mountain or high Arctic areas. The classes were determined with a k-means approach and labeled based on field data and expert knowledge (Bartsch et al., 2019a).
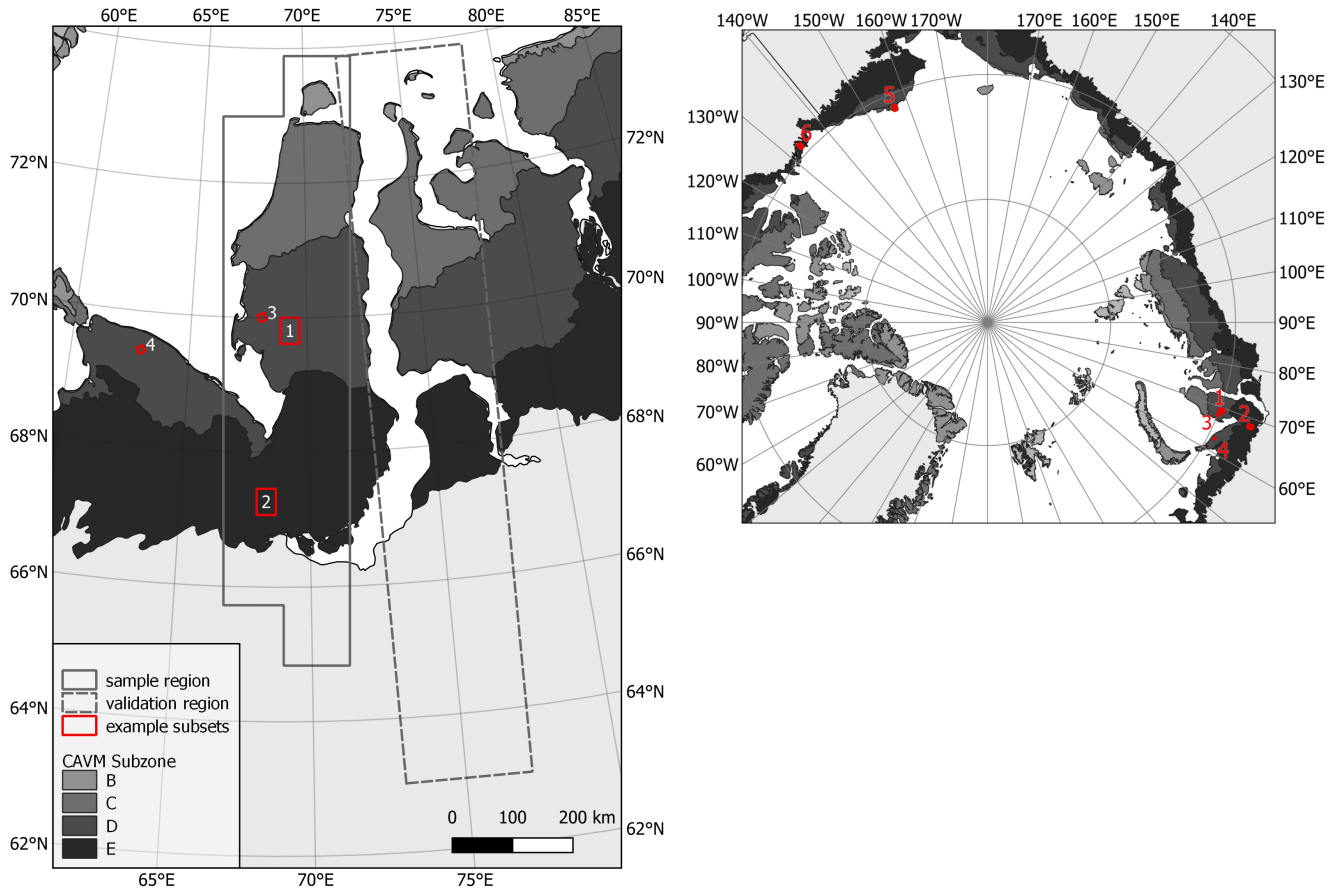
Figure 1. Location of subsets discussed in the study. Left: the training region for the Maximum Likelihood approach used for transfer to the circumpolar domain based on the prototype (K-Means analyses; 'sample region') and the evaluation region ('validation region'). Right: sites of examples of the results presented in Figure 14 (subset 1), Figure 15 (subset 2), Figure 16 (subset 5), Figure 17 (subset 6) and Figure 19 (subsets 3 and 4). Example locations are shown in both maps. CAVM - Circumpolar Vegetation Map (Raynolds et al., 2019) with subzones B) Arctic tundra: northern variant, C) Arctic tundra southern variant, D) Northern hypo-Arctic tundra, E) Southern hypo-Arctic tundra.

2.3 In situ data

Three types of in situ records ~~are~~ were available: (1) full pedon descriptions for key regions, (2) field data of soil surface organic horizon depth available from multiple sites across the northern hemisphere, (3) vegetation coverage records from the Russian Arctic Vegetation Archive for ~~Western~~ West Siberia (over view map in Figure A1). More than 3500 in situ data records were used.

The field soil sampling took place between 2006 and 2019, and ~~produces~~ produced 355 pedons available for this analysis. Protocols for field sampling, laboratory analyses and data ~~is~~ are detailed in Palmtag et al. (2022). Surface organic horizon depth

was available for 788 non forest samples, extracted from several different sources, including (Hugelius et al., 2013, 2014, 2020) and (Palmtag et al., 2022). Soil horizons were defined as organic when their organic carbon content was $\geq$17 % (equivalent to ca. 30 % organic matter content) (Hugelius et al., 2020).

We used the information from the Russian Arctic Vegetation Archive (AVA standardized protocol, Zemlianskii et al. (2023)), which contains relevés (geobotanical plots) made in accordance with the Braun-Blanquet tradition (available for download at the AVA website, https://avarus.space). The relevés include species lists and species' cover estimations, as well as vegetation and habitat characteristics. They cover square plots with an area of 1-400 m^2 (74 % cover 100 m^2, 12 % cover 4 m^2, other variants comprise less than 10 %). These plots ~~are~~ were typically distributed across a 10x10 km site to ensure the representation of various plant communities with a statistically significant number of plots. The AVA data used in this study were obtained during fieldwork conducted from 2007 to 2020. 2705 relevé locations overlapped the analysis extent (area within CAVM boundary).

The following in situ measurements are used for the assessment and assignment of unit descriptions:

1. sites with full pedon descriptions (Palmtag et al., 2022)

    – Volumetric Water content (%)

    – Organic volumetric content (%)

    – Mineral volumetric content (%)

    – Wet and dry bulk density

    – Soil organic carbon and total nitrogen density

2. sites with soil surface organic horizon depth (cm) (partial overlap with (1))

3. vegetation coverage (%) (from AVA https://avarus.space/profile/about/ , Zemlianskii et al. (2023))

    – Trees

    – Tall shrubs

    – Low shrubs

    – Erect dwarf shrubs

    – Prostrate dwarf shrub

    – Graminoids

    – Tussok graminoids

    – Forbs

    – Seedless vascular plants

    – Mosses and liverworts

- Lichen

  - Crust

  - Algae

  - Bare soil

  - Bare rock

  - Litter

The AVA dataset (3) also includes a general wetness description (dry, moist, wet and aquatic) and open water fraction.

2.4 Auxiliary data

The analysis extent also includes disturbances, specifically wildfire-affected areas within the tundra-taiga transition zone, which need to be considered in the assessment. The Alaska Large Fire Database (https://www.frames.gov/catalog/10465) contains current and historical reported fire locations and fire perimeters. It builds on Kasischke et al. (2002). The database covers all of Alaska and contains about 4600 polygons for fire extent dating back to breakouts in 1942. Polygons which overlap with the analyses extent date back to 2002. The latest fires represented are from October 2021.

Digital elevation data are required for pre- and post-processing of the satellite data. The Copernicus DEM GLO 90 was used. It represents the surface of the Earth including buildings, infrastructure and vegetation at 90 m spatial resolution. It covers the full global landmass of the time frame of data acquisition (2011-2015). The Copernicus DEM is based on the SAR-derived WorldDEM dataset provided by Airbus and acquired by the TanDEM-X mission. According to official statistics published by the Copernicus in the DEM Product Handbook, overall absolute vertical accuracy of the dataset is 90 %, confidence level is > 4 m. For Svalbard the 20 m spatial resolution DEM of the Norwegian Polar Institute was used Melvaer (2014). This DEM is based on stereo models of aerial photos.

Air temperature was derived from ERA5 reanalysis data which combines model data with observations to provide a globally consistent dataset (Hersbach et al., 2023). The data were available from the European Centre for Medium-Range Weather Forecasts (ECMWF) and accessed via the Climate Data Store (CDS). We used air temperature at 2 m above the surface in a temporal resolution of 2 hours at 0.25° spatial resolution for selection of Sentinel-1 observations. Precipitation was used in addition in order to clarify anomalous weather conditions (drought and flooding conditions) impacting the results in the final maps.

2.5 Existing land cover information for comparison

Two circumpolar and one global landcover dataset were compared to the landcover units (Table 1). The spatial resolution ranges from 10 m to 1000 m. The raster version of the CAVM (Circumpolar Arctic Vegetation Map, Raynolds et al. (2019)) is considered a key benchmark dataset as it was developed specifically for the Arctic by vegetation experts. It provides a similar level of detail as anticipated in this study regarding shrub types and soil wetness.

Table 1. Landcover datasets considered for cross-comparison. CCI - ESA land cover Climate Change Initiative (CCI) (2017) project (http://maps.elie.ucl.ac.be/CCI/viewer/index.php, last access: 1 September 2023)., CAVM - Circumpolar Arctic Vegetation Map, CALC-2020 - Circumarctic Landcover, LCP - Land Cover Prototype, CALU - Circumarctic Landcover Units.

Dataset	C3S/Landcover CCI	CAVM	CALC-2020	LCP	CALU
Reference	ESA CCI (2017)	Raynolds et al. (2019)	Liu et al. (2023)	Bartsch et al. (2019a)	this study
Spatial resolution	300 m	1000 m	10 m	20 m	10 m
Primary source	Sentinel-3 OLCI 2019	AVHRR 2000	Sentinel-1/2, DEM	Sentinel-1/2	Sentinel-1/2
Extent	Global	North of treeline	As defined in CAVM	~~Western~~ West Siberia	As defined in CAVM
Classes/Units	38	16	10	21	23

190   The CAVM also includes information on bioclimatic subzones. In total five subzones are distinguished for the Arctic, of which four can be found along the validation and calibration region (Figure 1).

3   Methods

In a first step, a landcover unit retrieval scheme was adapted in order to achieve 10 m nominal resolution, consider atmospheric correction, address issues in mountainous regions and allow additional classes such as recent fire scars, snow and shadow.

195   Results were cross-compared to results of the original processing scheme and to external datasets including classical landcover maps and soil and vegetation in situ records. The latter ~~was~~ were used to provide an example for description of the units.

Statistics were derived for 1 km x 1 km areas in a second step in order to quantify landcover diversity in general and specifically for wetland areas.

3.1   Landcover units retrieval scheme adaption

200   The retrieval scheme expands the prototype (Bartsch et al., 2019b) from ~~Western~~ West Siberia to the entire Arctic, incorporating advanced pre-processing and a postprocessing step was introduced. The final classes are referred to as landcover units, the entire map as CALU - Circumarctic Landcover Units. The prototype is based on an unsupervised k-means classification. The resulting classes are units of similar reflectance of the shortwave incoming radiation (Sentinel-2) and radar backscatter at C-VV (Sentinel-1). Names for the prototype units were assigned by regional experts to the 23 resulting classes.

205   The processing was based on Sentinel-2 granules as defined by the data provider. Granules have an extent of 100 km by 100 km. They partially overlap as they are aligned with respect to UTM projection zones. Only granules including ice free land areas were considered. All Sentinel-1 images were subset to the granules.

Sentinel-2 data are available in UTM projection and largely at Level 2A (orthorectified, top of atmosphere). Atmospheric correction was required in order to account for related differences between the dates. We therefore applied atmospheric cor-

210   rection using sen2cor on the Sentinel-2 data (Main-Knorn et al., 2017), which also generated a cloud mask during the process. Sentinel-2 provides spatial resolution of 10 m for some bands but not for all. Enhancement of spatial resolution of the coarser band therefore needed to be considered to exploit the multi-spectral capabilities offered by Sentinel-2. We therefore performed

super-resolution based on the tool Dsen2 (Lanaras et al., 2018), which used a convolutional neural network. Lanaras et al. (2018) showed that their approach clearly outperformed simpler upsampling methods and better preserved spectral characteristics. The original model was trained on Level-1 data, which were not atmospherically corrected, and global sampling. Bartsch et al. (2021a) retrained and tested the model on Level-2 data (output of sen2cor) using the same published training and testing routines for selected granules from our study sites. After the super-resolution step, clouds were masked using the cloud mask output from sen2cor. In case of frequent fractional cloud cover also subsets of scenes were used. Mostly mid-growing season acquisitions were considered for Sentinel-2, this means mid July to mid August. This time frame was slightly extended in some cases with limited cloud-free acquisitions. Up to eight Sentinel-2 acquisition dates were selected for individual granule locations. A minimum of three ~~has been~~ were collected if available. In a next step the median of ~~theacquisition~~ the acquisition dates was calculated which further mitigated errors due to undetected clouds.

Acquisitions needed to represent frozen conditions in case of Sentinel-1, but at maximum -10 °C to minimize the effect of temperature on backscatter at C-band (Bergstedt et al., 2018; Bartsch et al., 2023). This required the use of spatially consistent temperature data across all analysed areas. Reanalyses data (ERA5) was therefore used for scene selection. Processing steps of Sentinel-1 included border noise removal, based on the bidirectional all-samples method of Ali et al. (2018), calibration, thermal noise removal and orthorectification using the Copernicus 90 m resolution DEM. These steps were carried out with the SNAP toolbox provided by ESA and γ^0 was derived. After normalization, data ~~was~~ were reprojected and subset to match the Sentinel-2 granules and temporal averaging was performed.

A training area spanning nine degrees in latitude and representing different landscape gradients and thus all units (Figure 1) was selected from the prototype landcover unit map in order to transfer the retrieval (re-training of the maximum likelihood classifier) to the entire Arctic as well as to the output of the enhanced preprocessing (super-resolution processing, atmosphere correction and use of γ^0 instead of σ^0). The units remained largely the same. 18 of the 21 original classes were assigned to the new units. The remaining three classes were modified and split up. First, the original mixed and coniferous forest units were both split regionally (bioclimatic zone E versus B to D) to address misclassification of tundra as forest. Pixels in zones B and D were joined and assigned to a new unit. The disturbance unit originally combined different disturbance types which lead to vegetation removal, geomorphological processes as well as fires. A k-means classification was applied targeting two units. Results were ~~preliminary~~ preliminarily assessed with data from recently burned areas within the prototype extent in Western Siberia. The new 'recently burned' unit was then validated over Alaska. The originally three water units were merged into one water unit. The modified prototype classification was then used to train the maximum likelihood classifier. Further on, a snow and a shadow unit were introduced based on training data from Svalbard resulting in 23 units.

Illumination conditions impact the reflectance in the Sentinel-2 bands in mountainous areas. Not all shadow areas could be identified, due to similarities in reflectance with water bodies and wetlands. Therefore a second post-processing step based on the slope derived from the Copernicus DEM data was introduced. 'Water', 'Permanent wetlands' or 'shallow water' on slopes larger than 7° were set to 'other'. Shadow in regions with low slope (less than 3°) was set to 'water'.

3.2 Evaluation and documentation of landcover units

Unit specific validation was carried out for the new disturbance unit which targets burned areas using the Alaska Fire Database.

The description of the units was based on the comprehensive soil and a vegetation in situ dataset. The first was previously used similarly in conjunction with a global landcover map (Palmtag et al., 2022). The second data set is part of a tundra specific international standardization and archive effort (Zemlianskii et al., 2023). Common statistics (mean, standard deviation) were derived and are supplied with the dataset documentation.

Unit descriptions consider abundance of vegetation types including shrub growth form/height as well as moisture conditions. The following shrub types were considered (following the differentiation of the CAVM, Raynolds et al. (2019) and Walker et al. (2018)):

- Prostrate dwarf shrub – approximately 5 cm, also referred to as prostrate shrub

- Erect dwarf shrub – up to 40 cm, also referred to as dwarf shrub

- Low shrub – up to 2 m

- Tall shrubs – tundra biome species taller than 2 m

The comparison to Landcover CCI and the CAVM (see table 4) required resampling. The coarser resolution maps were resampled to 10 m (the same resolution as CALU). Classes were grouped for comparison due to the large differences in thematic content. A simplified set of nine units was developed that allowed cross-comparison between the CALU and the three external maps. Table 2 shows the grouping for the CALU, and Appendix C shows the groupings for the external maps. In addition, the new classification was compared with the original prototype (20 m, 21 classes). A different training area was used for the evaluation than for the re-training of the transfer samples (Figure 1).

A direct comparison could only be made for common or merged classes which included water, snow/ice, other, wetland, graminoids, lichen/moss, dwarf to low shrub tundra, forest and barren (without graminoids). The grouping of CALU for the comparison was primarily guided by the presence of shrubs. If more than 20 % of erect dwarf to low shrubs were found on average the unit was assigned to shrub tundra. The assignment is referred to as grouping A (Table 2).

3.3 Heterogeneity assessment

Landscape heterogeneity was addressed through assessment of (1) richness with respect to the CAVM classification specifications and (2) wetness diversity, both for ~~1km~~ 1 km grid cells. The chosen cell size matches the grid of the permafrost model CryoGRID (Obu et al., 2021) as well as the raster version of the CAVM (Raynolds et al., 2019). The number of identified units (minimum 1 % fraction) within 1 km x 1 km cells was counted for the CAVM classes. Permanent snow and shadow were excluded from the richness assessment. In addition, units were grouped with respect to wetness (wet, moist, dry) for wetland and tundra classes, also excluding permanent snow and shadow as well as water, forest and recently burned areas. Groups (referred to as B, Table 2) were summed up resulting in values of one (homogeneous) to three (heterogeneous).

4 Results

4.1 Coverage

1266 Sentinel-2 granules which overlap with the Arctic as identified in the CAVM (Raynolds et al., 2019) were identified
(Figure 2). The use of three Sentinel-2 acquisitions was targeted to account for anomalies due to undetected clouds and hydro-
logical extremes (droughts, flooding) which was achieved in 75 % of the cases (Figure 3). Only two images were available for
4 % of all granules. More than 3600 Sentinel-2 images at granule extent were included in the processing.
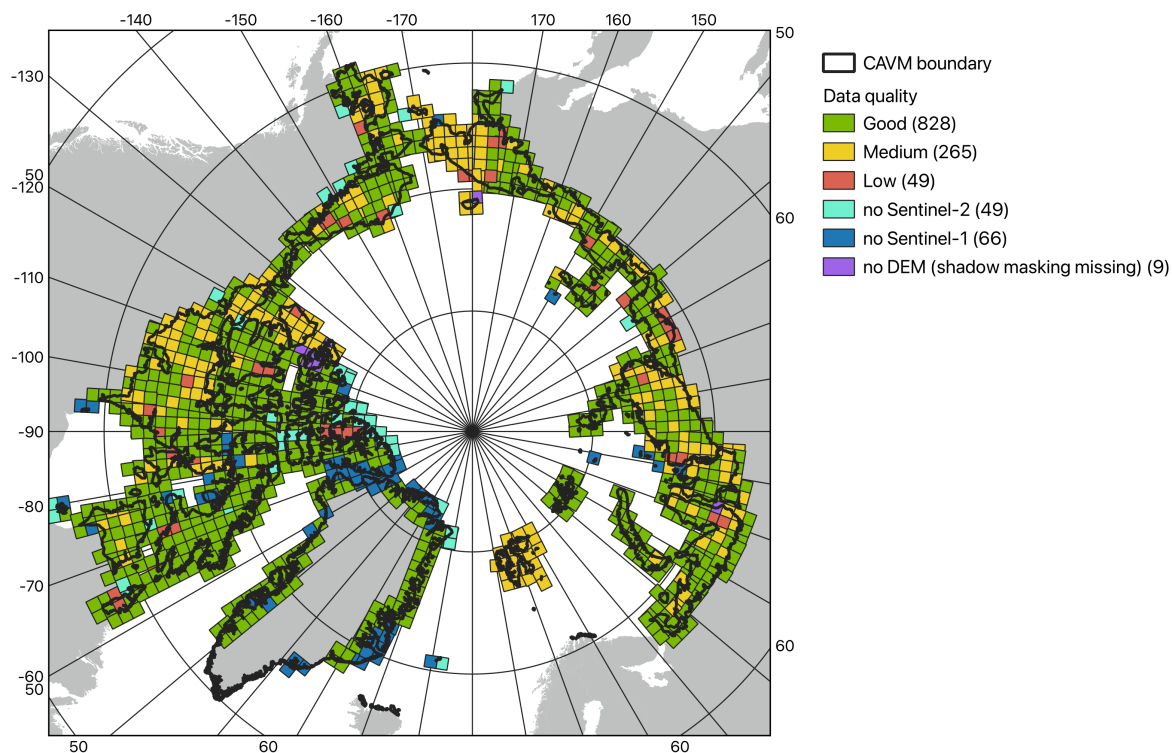
Figure 2. Data quality by granules within ~~within~~ the Circumpolar Arctic Vegetation map (Raynolds et al., 2019) boundary and number of
granules in parenthesis.

No suitable Sentinel-2 acquisitions were available in 52 (4 %) cases and in addition 66 (5 %) cases with no Sentinel-1 data
(Figure 2). The latter is related to the general Sentinel-1 acquisition strategy for IW mode. Data were missing specifically for
Greenland and the Canadian High Arctic islands. Nevertheless, 97 % of the target area (CAVM extent) could be processed.
In 13 % of the area the availability of data was very limited and led to lower quality results or gaps (Figure 2 and 3). This
results from acquisition date issues or inconsistencies in the Copernicus DEM (no data: 23 times in case of some inland water
bodies and 2 times general gaps). 19 % were flagged as medium and 3 % as low quality. Medium quality usually resulted from
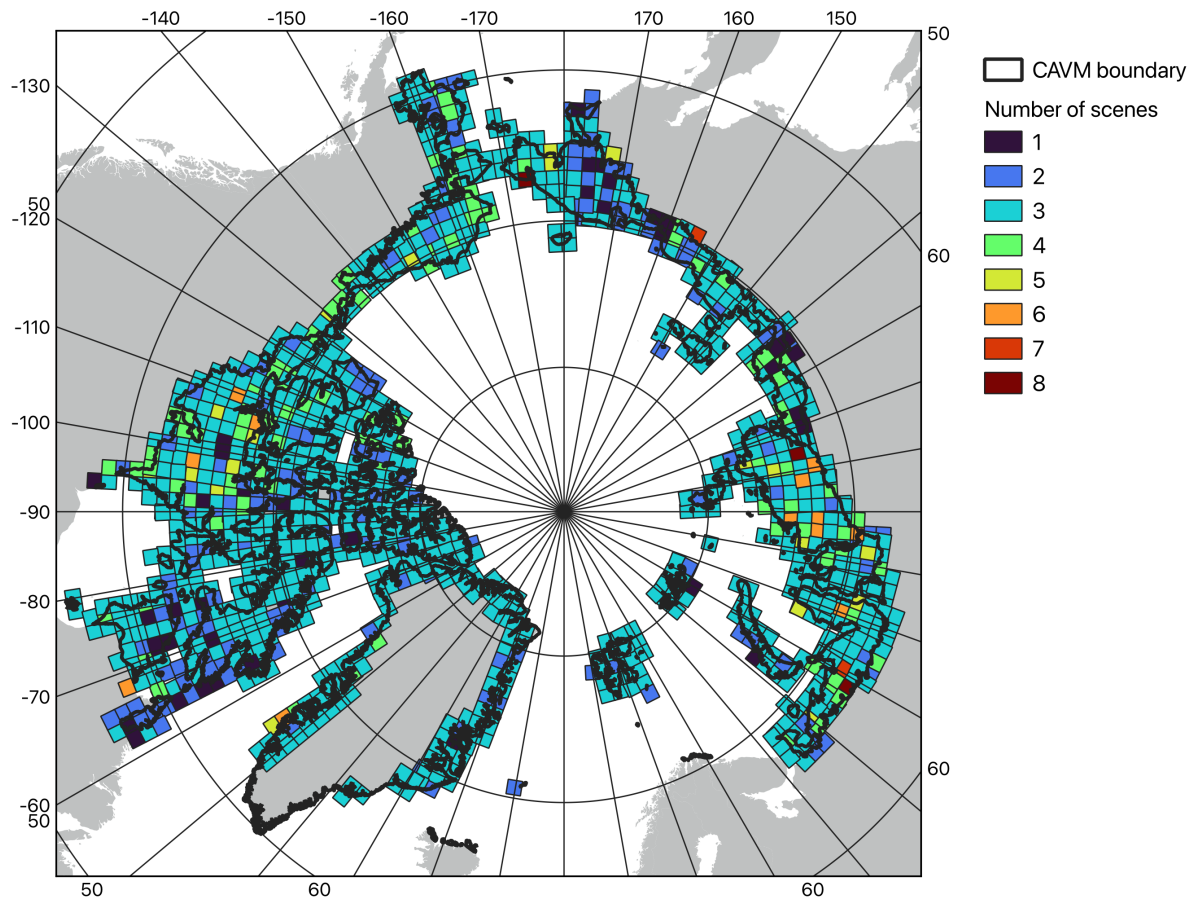
Figure 3. Number of Sentinel-2 scenes used, by Sentinel granule, within the Circumpolar Arctic Vegetation map (Raynolds et al., 2019) boundary.

anomalous meteorological/hydrological conditions in certain years (evaluated with re-analyses), including flooding or droughts
290   which impact landcover and vegetation optical properties. Low quality was usually caused by acquisitions close to mid-July and mid-August in years with deviations in phenology (early or late spring).

4.2   Identified landcover units

23 unit types were derived for the CALU (Circumarctic Landcover Units) map (Table 2). Descriptions were assigned summarizing the statistics of the in situ data, referring to wetness, vegetation physiognomy and abundance. The most common unit
295   within the extent of the CAVM was unit #6 'dry to moist tundra, partially barren, prostrate shrubs' with overall 22.5 % (original 10x10m) and 28.5 % in the majority (1x1km) respectively. This unit could be specifically found in the Canadian High Arctic and on the Taimyr peninsula (Russia). Units with shrubs of dwarf and higher growth form summed up to about 32 % and

Table 2. Example of representation of the Circumarctic Landcover Units (CALU) based on in situ records (see Figure A1) and grouping (A) for comparison with other landcover maps and (B) for wetness heterogeneity assessment. In addition, the proportion within the CAVM (Circumpolar Arctic Vegetation map; Raynolds et al. (2019)) extent is provided in % (P) and the majority proportion (1km grid cells) in % (M). * sparse tree cover along treeline. ~~Full~~ A color version and full documentation of classes 1 to 21 is available in ~~the Appendices~~ Appendix B.

ID	Description example	Gr. A	Gr. B	P	M
1	water	~~Water~~	-	5.9	7
2	shallow water / abundant macrophytes	Wetland	wet	2.9	0
3	wetland, permanent	Wetland	wet	2.6	1
4	wet to aquatic tundra (seasonal), abundant moss	Wetland	wet	3.5	3
5	moist to wet tundra, abundant moss, prostrate shrubs	Graminoids	moist	1.3	0
6	dry to moist tundra, partially barren, prostrate shrubs	Lichen/Moss	dry	22.5	28
7	dry tundra, abundant lichen, prostrate shrubs	Lichen/Moss	dry	3.5	2
8	dry to aquatic tundra, dwarf shrubs*	Dwarf to low shrub ~~tundraa~~ tundra	moist	0.9	0
9	dry to moist tundra, prostrate to low shrubs	Dwarf to low shrub tundra	moist	7.5	9
10	moist tundra, abundant moss, prostrate to low shrubs	Dwarf to low shrub tundra	moist	6.0	7
11	moist tundra, abundant moss, dwarf and low shrubs	Dwarf to low shrub tundra	moist	8.5	11
12	moist tundra, dense dwarf and low shrubs*	Dwarf to low shrub tundra	moist	1.3	0
13	moist to wet tundra, dense dwarf and low shrubs*	Dwarf to low shrub tundra	moist	0.2	0.0
14	moist tundra, low shrubs	Dwarf to low shrub tundra	moist	2.9	2
15	dry to moist tundra, partially barren	Dwarf to low shrub tundra	moist	2.6	1
16	moist tundra, abundant forbs, dwarf to tall shrubs	Dwarf to low shrub tundra	moist	1.6	1
17	recently burned or flooded, partially barren	Dwarf to low shrub tundra	-	0.6	0
18	forest (deciduous) with dwarf to tall shrubs	Forest	-	0.3	0
19	forest (mixed) with dwarf to tall shrubs	Forest	-	0.5	0
20	forest (needle leave) with dwarf and low shrubs	Forest	-	0.1	0
21	partially barren	Barren	dry	14.5	16
22	snow/ice	Snow/ice	-	2.1	3
23	other (incl. shadow)	Other	-	2.3	1
nd	-	-	-	6.1	

wetlands to about 9 %. A higher occurrence in the majority retrieval indicated relatively homogeneous patterns. This applied specifically to unit #6 as well as #21 (partially barren). The contrary was the case for wetland units #2 and #3 reflecting patchy occurrence at a scale of < 1 km^2. Regarding wetness, 40 % of the circumarctic area were assigned to the dry and 32 % to the moist group.

4.3 Characterization through in situ records

All units could be assigned ~~distinct~~ vegetation compositions and water/mineral volumetric content (Table 3, Figures 4, 5 and 6). There were differences in in situ data availability between the landcover units which needed to be considered. Soil data

305 were largely unavailable for forest and disturbed sites (Tables A2, A1). The dry tundra unit #7 which had the most abundant lichen coverage had little pedon data but good vegetation description. Unit #13 lacked both pedon and vegetation description as it represents vegetation along incised creek channels (moist tundra, dense dwarf and low shrubs, Figure B42) which were rarely sampled. Soil samples for inundated areas (unit #2) were unavailable. Vegetation samples for this unit are expected to be located at the boundary of shallow water bodies with macrophytes, but in the same pixel. They were nevertheless included

310 in the tables and figures. The lack or very low samples of soil volumetric water content data for some units (#2 and #7) could be partially compensated by general wetness information contained in the vegetation records (Figure 6).
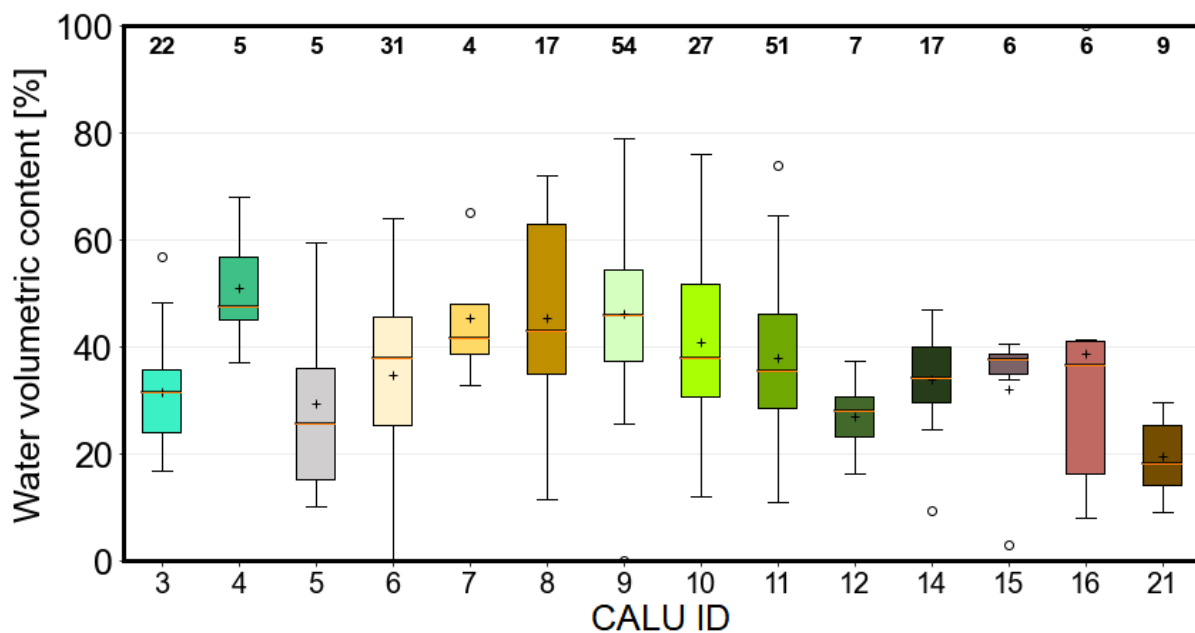
Figure 4. Volumetric water content statistics (box plot representing quartiles, median, mean as '+' and outliers as '°') for Circumarctic Landcover Units (CALU) based on in situ data from Palmtag et al. (2022). Number of samples per unit are shown on top. For unit details and colors see Table 2.

4.3.1 Vegetation

Mosses and graminoids were common across all units with moss being most dominant (48 % overall average coverage) and graminoids second (20 % overall average coverage) (Table 3). Lichens had on average only 12 % cover, and had over 20 %

315 cover only for units with comparably dry parts. Forbs were less abundant except for one case, unit #16 for which the average cover was 41 %. Mosses also showed the highest standard deviation within the landcover units (Table 3).

The 'Barren' unit #21 had the highest bare ground fraction with on average 32 % for soil and 2 % for bare rock. Bare rock was most common in unit #6 with 11 % cover.
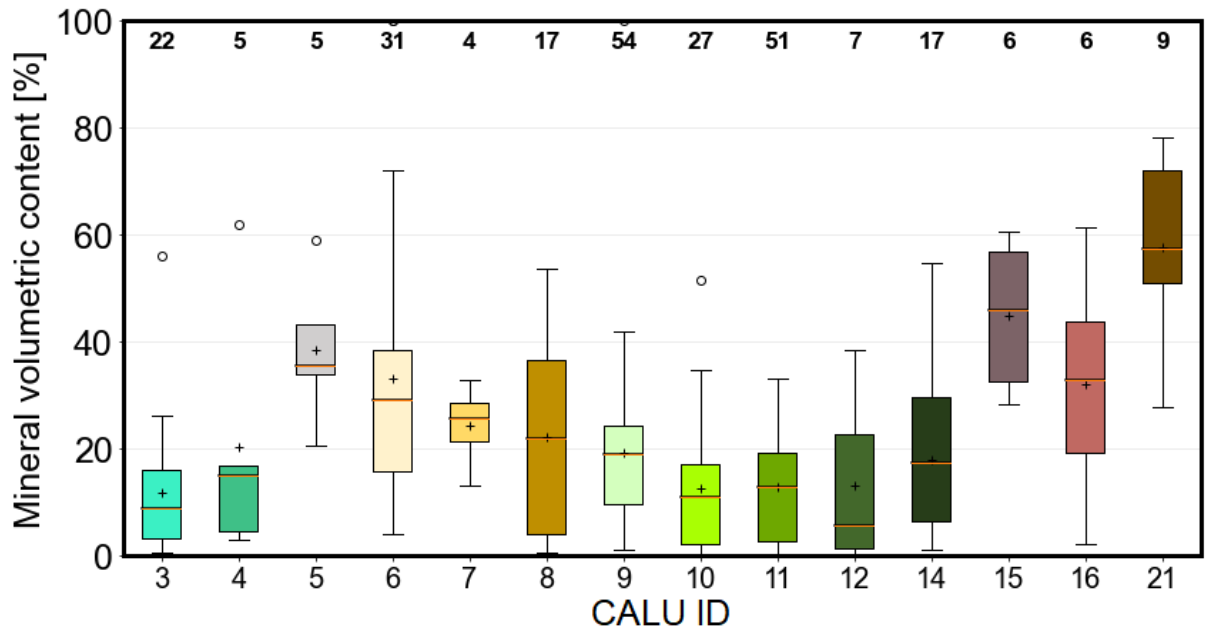
Figure 5. Mineral volumetric content statistics (box plot representing quartiles, median, mean as '+' and outliers as '°') for Circumarctic Landcover Units (CALU) based on in situ data from Palmtag et al. (2022). Number of samples per unit are shown on top. For unit details and colors see Table 2.

Unit #2 represents shallow water along lakes and seashores which are not well represented in the AVA or soil records (see example in Appendix Figure B3). Vegetation and soil records (in case of organic layer thickness) are taken on land along the lake margins. Presence of open water in the proximity is reported in the AVA records in some cases (Figure B2). Macrophytes are abundant, emerging through the course of the summer season as observed during alternative field surveys (see photograph B4). The average open water fraction in the AVA records is mostly close to zero (Appendix B) except for #2 (7.5%), #3 (permanent wetland; 12%), #8 (dry to aquatic tundra; 7%) and #17 (recently burned area; 21%).

Several units with tall shrubs in proximity to the treeline also can include needle-leave trees (#8, #12 and #13, see Table 3). The latter are of limited height and also diameter due to the harsh environment and commonly occur in the North American treeline zone (Pictures B25 and B43). These areas correspond to the 'woodlands' and 'open stands' definition level III according to Viereck et al. (1992) (10 to 24 % crown canopy cover, and 24 to 60 % respectively).

4.3.2 Soils

The units with a certain barren fraction (#6, #15 and #21, see Table 3) showed all comparably high mineral volumetric content (Table 3 and Figure 5). Higher wetness (>40 % volumetric content on average) could be found in permanent wetlands (#4) and several moist tundra units with differing types of shrub physiognomy. The 'barren' unit was most dry. Unit #16 showed the

Table 3. Average coverage of different lifeforms for each Circumarctic Landcover Unit (CALU) based on Russian Arctic Vegetation Archive plots, ~~Western~~ West Siberia (Zemlianskii et al., 2023).

Unit	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21
Number of Samples	51	56	47	68	412	142	85	354	348	293	78	20	193	95	181	7	29	18	45	95
Tree layer	0	1	0	0	0	0	8	0	0	0	2	4	1	2	1	4	28	7	43	0
Tall shrubs	3	3	0	0	0	0	2	1	0	1	2	5	1	0	10	0	11	26	2	0
Low shrubs	4	2	1	1	5	3	8	11	7	19	36	23	21	4	17	6	13	26	10	3
Erect dwarf shrubs	5	2	7	1	5	5	19	6	6	12	20	11	7	11	9	15	18	18	49	1
Prostr. dwarf shrubs	11	6	9	14	11	13	6	13	15	10	5	8	6	8	4	0	1	1	1	10
Graminoids	18	30	30	27	20	14	22	20	24	18	9	24	18	19	17	30	19	19	5	9
Tussok graminioids	3	1	2	1	3	2	1	4	6	6	2	2	2	4	2	0	2	2	0	1
Forbs	12	7	9	4	11	2	10	6	9	9	9	19	12	12	41	12	29	12	9	9
Seedless vasc. pl.	3	3	0	1	1	1	3	1	2	1	1	3	2	1	4	0	11	5	1	5
Mosses and liverw.	33	37	74	65	41	33	40	54	71	63	54	43	58	38	43	43	37	54	62	17
Lichen	8	15	5	11	16	27	23	14	9	10	13	11	12	26	3	0	3	5	17	7
Crust	3	9	2	0	2	6	1	2	1	1	1	1	1	1	0	0	1	1	0	2
Algae	0	2	0	0	1	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
Bare soil	9	4	2	1	3	11	3	3	1	1	4	1	2	4	2	21	0	3	0	32
Bare rock	3	1	0	0	11	1	2	2	1	1	2	3	3	6	2	0	0	0	0	2
Litter	9	22	14	24	8	10	22	13	18	11	14	15	11	9	17	25	43	15	22	3

highest total nitrogen density values (Table A2) with at the same time comparably high mean organic layer thickness (Figure 7, Table A1) but only 6 pedon samples were available.

Soil organic carbon (SOC) and total nitrogen (TN) were lowest for the 'Barren' unit (CALU #21, Table A2). Unit #10 and 11 (moist tundra, abundant moss and shrubs) showed the highest SOC values with more than 35 ~~$kgCm^2$~~ $kgCm^{-2}$.

AVA records~~which include wetness descriptionsshowed in sum~~, which provide wetness descriptions, included the categories wet and aquatic in more than 50 % ~~in the categories wet and aquatic~~ of the samples in units #3, 4 and 8 (Figure 6). Unit 15 (dry to moist tundra, partially barren) also had a comparably high fraction of moist and aquatic samples. The AVA records complemented the soil description for specifically unit #6 (only four pedon records). The AVA records confirmed that it is a relatively dry landcover type.

4.4 Fire disturbance assessment

The majority of input data for the landcover classification was acquired between 2017 and 2020. The assignment to the disturbance unit #17 occured up to four years after a burn event (Figure 8). A specific year could not be determined in most cases due to averaging over up to three years. Burned areas from events before 2014 were represented through other units (vegetation recovery).
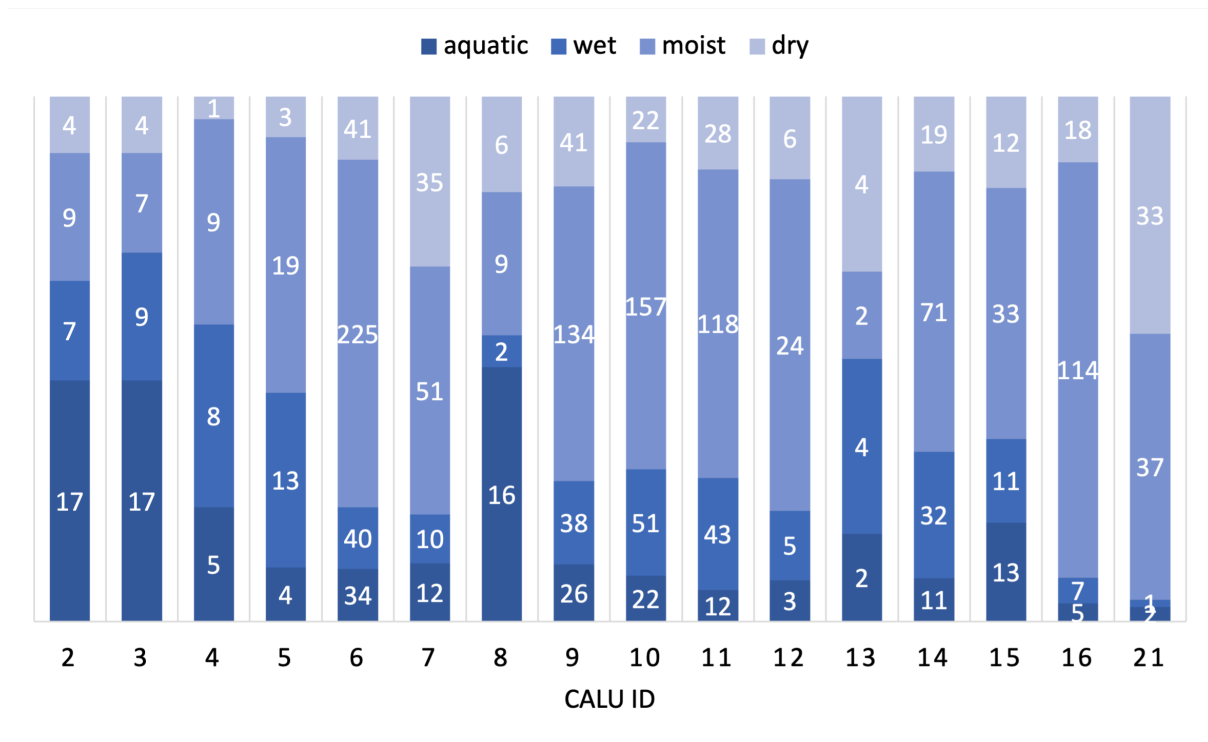
Figure 6. Wetness categories for Circumarctic Landcover Units (CALU) based on Arctic Vegetation Archive plots, ~~Western~~ West Siberia (Zemlianskii et al. (2023); units with at least 10 data points).

The disturbance unit #17 occured within recently burned areas in approximately 72 % of all cases documented for Alaska. The majority of remaining unit #17 areas occured along shorelines of lakes with varying extent. This was specifically the case on the Alaskan North Slope (Figure 9).

4.5 Heterogeneity

Landcover diversity (richness based on fractions - at least 1 percent - within 1x1 km areas) was lowest in the higher Arctic and higher towards south (Figure 10). The average number of landcover units ranged from 4 to 9, depending on the majority unit type. The barren unit dominated regions had the lowest richness (Figure 11). 81 % of the CAVM extent had at least 1 % wetland within the 1x1 km cells (considering the sum of all types - units # 2, 3, and 4), but only 0.7 % were cells with pure wetlands. The average wetland fraction across the entire CAVM region was 8.8 %.

The CAVM class W3 (Sedge, moss, low-shrub wetland complex) showed the highest diversity with almost 9 units on average (Figure 12). In general, wetter regions had a higher diversity than drier ones. This could also be confirmed through the wetness group assessment. In 59 % of cases when wet types were present, dry and moist could be found at the same time. 66 % of the CAVM extent was heterogeneous regarding wetness (group sum three, areas with no data excluded) and only 12 %
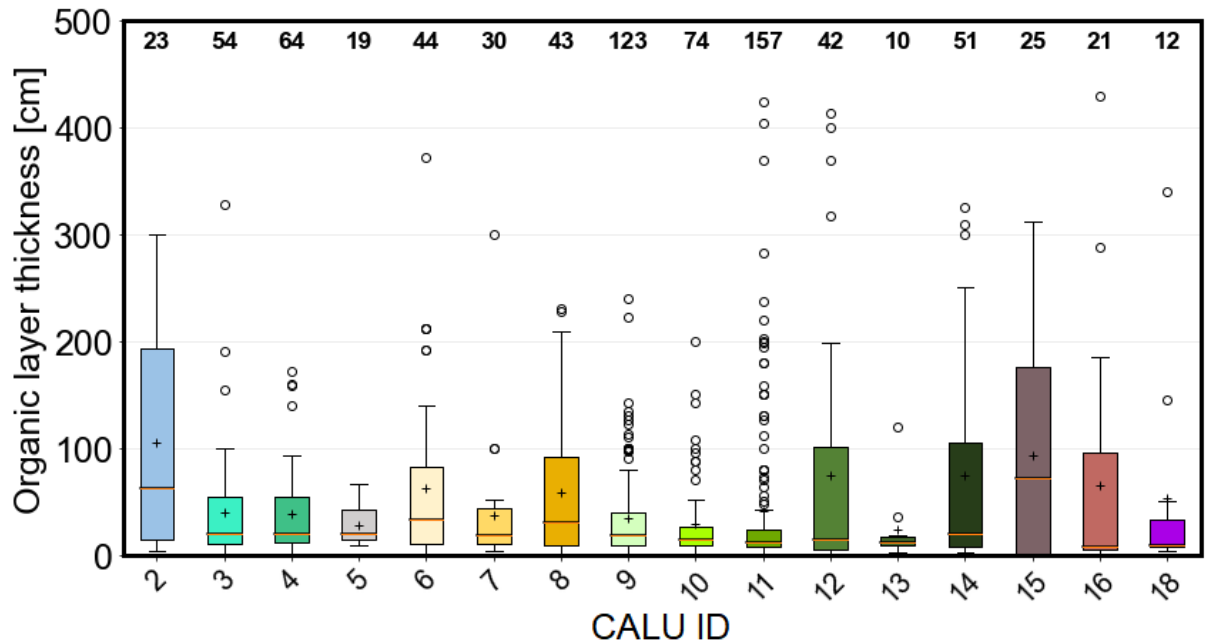
Figure 7. Soil surface organic horizon depth statistics for units with at least 10 data points. Box plot representing quartiles, median, mean as '+' and outliers as '°' for Circumarctic Landcover Units (CALU) based on in situ data. Number of samples per unit are shown on top. For unit details and colors see Table 2.

homogeneous (group sum one) (Figure 13 left). The fraction of areas with a sum of three reduced when the heterogeneous unit #6 was assigned to 'moist' instead of 'dry' but remained comparably high with 48 %.

4.6 Comparison with existing datasets

Subsets of selected regions in ~~Western~~ West Siberia, Alaska and Canada were investigated. This included regions with dwarf to low shrubs with patches of wetlands (Figures 14 and 15) within the original prototype extent (see Figure 1). The prototype (LCP, 20 m), CCI landcover (300 m), the CAVM (1km) and CALC-2020 (10 m) were compared with CALU (10 m). Distinct differences could be found between CALU and other landcover maps which are not only related to the spatial resolution difference. Wetland areas are considerably more extensive in the CALC-2020 (which is the only classification largely driven by terrain information) than in all other maps, including CALU. Wetlands are more extensive in CALU than in CCI landcover and CALC-2020 in the example from the Alaskan Coastal plain (Figure 16). Patterns are mostly similar for the example from the Tuktoyaktuk region (Figure 17). Only CALC-2020 differs, where graminoids dominate instead of dwarf to low shrubs.
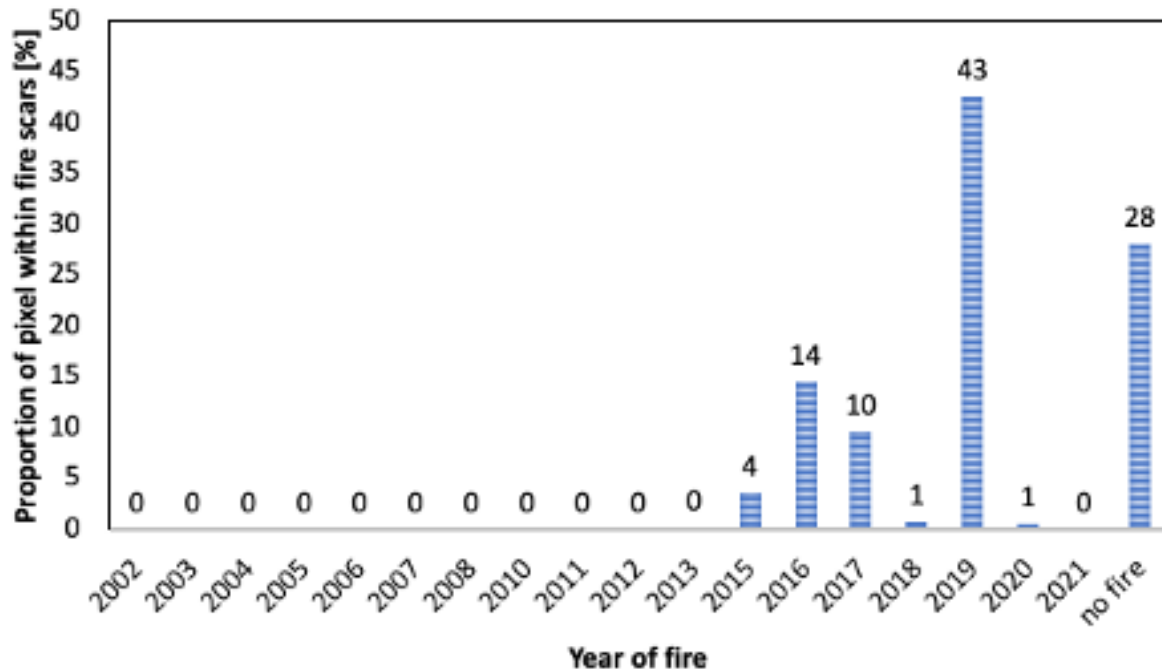
Figure 8. Year of fire for pixels in unit 17 (recently burned or flooded, partially barren) for Alaska (source: Alaska Fire database)

4.6.1 Prototype

Results well demonstrated the resolution difference between the prototype with 20 m and the CALU with 10 m (Figure 18). The patchiness of shrub tundra lead to a reassignment to other vegetation units. About 15 % of the dwarf to low shrub tundra group were reassigned to other groups, mostly to wetlands (5 %) and graminoids/lichen/moss (5 %). The new disturbance

375   units resulted in a shift of area from lichen/moss to the group barren (which was largely only partially barren according to description of units (CALU)). Pixels originally classified as disturbed were assigned to vegetation units units, specifically #8 (dry to aquatic tundra, with 42 %; Figure D1). The maximum fraction of a prototype class within a new unit ranged from 17 % (disturbed) to 96 % (water) and was on average 50 %.

4.6.2 Landcover CCI

380   The barren group of CCI Landcover was predominant in all tundra vegetation groups of CALU (Figure 18). In ~~case of~~ the lichen/moss group of CALU, less than 30 % were in the same group in CCI Landcover. Also the shrub and forest groups were most abundant in shrub tundra and forest respectively. More than 88 % percent of the CCI Landcover group barren were actually characterized by vegetation (example maps in Figures 14 and 15). 60 % were dwarf to low shrub tundra and about 9 % graminoids and 16 % lichen/moss (Table 4).
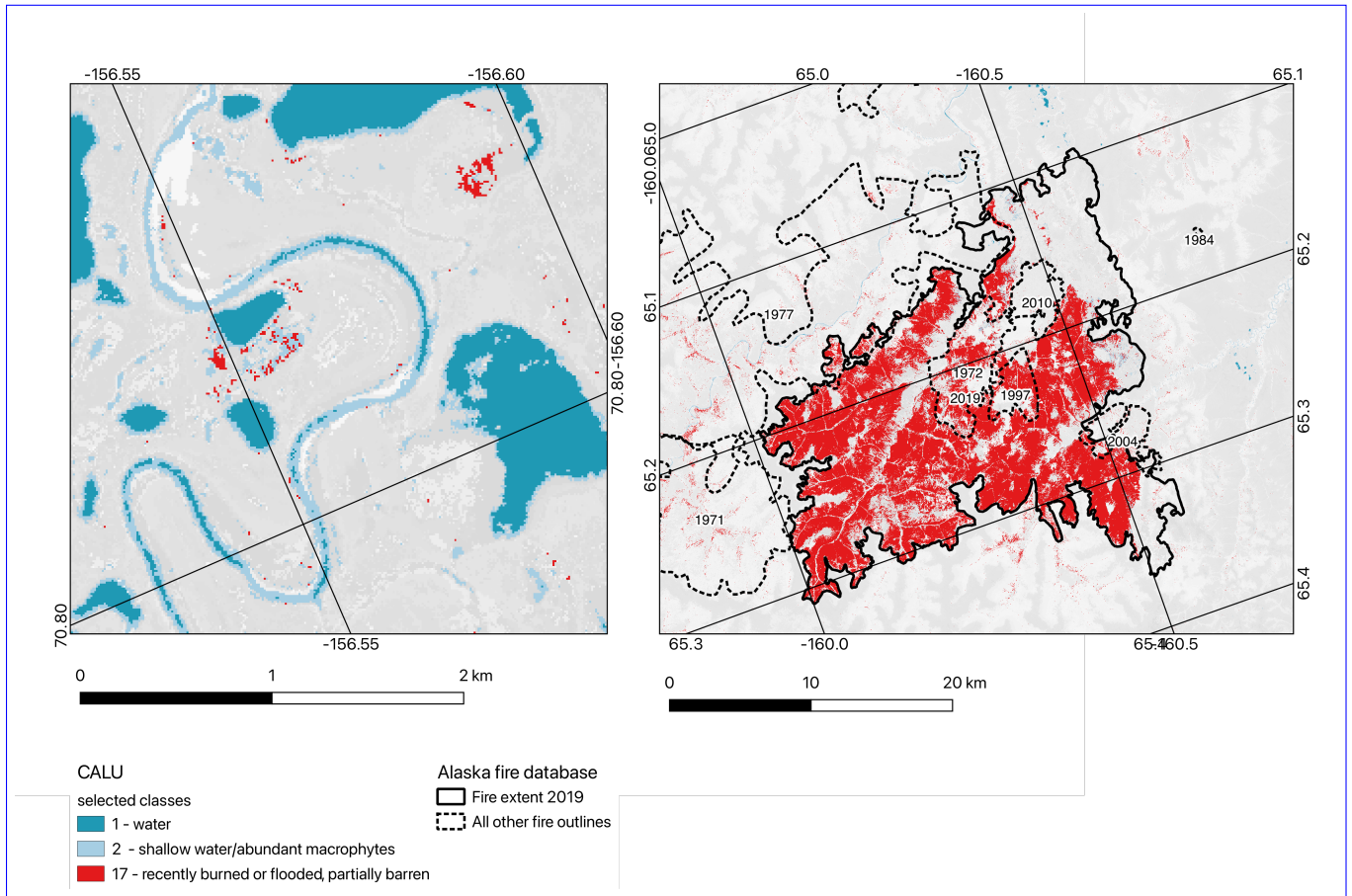
Figure 9. Examples for CALU #17 'recently burned or flooded' (shown in red). Left: Lakes within a river floodplain on the Alaskan North Slope; right: Burned areas in southwestern Alaska (outlines and years from Alaska Fire Database). ~~Dashed lines – boundaries of fires before 2019~~Grey shades represent units other than #1, ~~bold line - fire extent 2019. For detailed legend see Table 2.~~2, and 17.

385   About 80 % of the CCI Landcover class forest were in the shrub tundra group of CALU. The overlap applied specifically to unit 12 'dry to moist tundra, dense dwarf and low shrubs'. Low shrubs were abundant and very dense in the proximity to the treeline (see picture in B40).

4.6.3   CAVM

The shrub tundra group of the CAVM was present with more than 40 % in all CALU groups (Figure 18). This can be attributed
390   largely to the spatial resolution difference. The spatial patterns were, however, similar (Figures 14 and 15)). Overlapping areas with the group forest corresponded mostly to shrub tundra as the CAVM does not have a forest class. Less than 1 % of CALU within the CAVM boundary was identified as mixed or needle leaf forest.
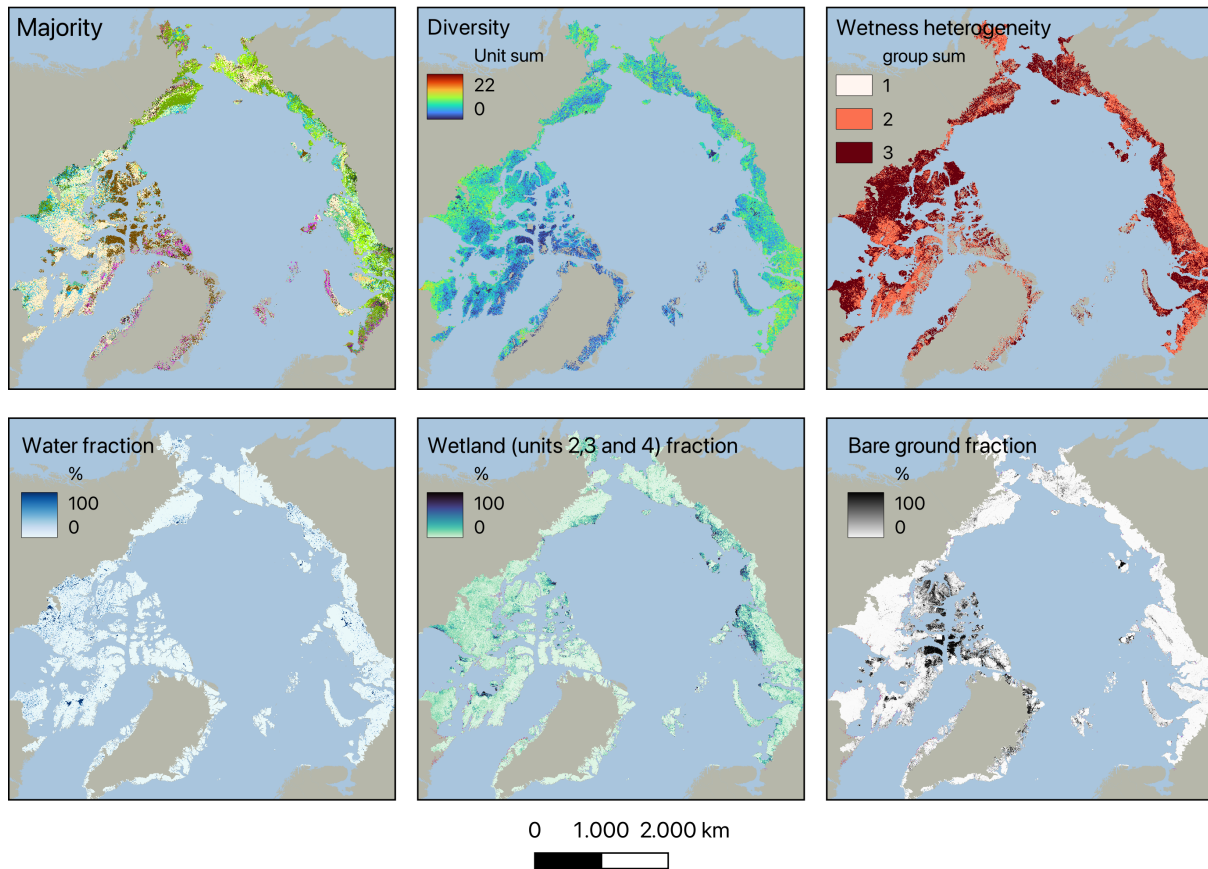
Figure 10. Statistics for CALU (10 m original resolution) for 1x1 km areas. For legend of majority, wetness groups and unit IDs see Table 2 and Figure B1.

4.6.4 CALC-2020

There was a substantial mismatch between the two datasets for the group 'dwarf to low shrub tundra' and 'wetland' (Figure 18). About 80 % of this group was labeled as graminoids and most of the remaining part to wetland in the CALC-2020. Large proportions of wetlands were also found in the CALU groups lichen/moss as well as forest as a larger proportion of the landscape.
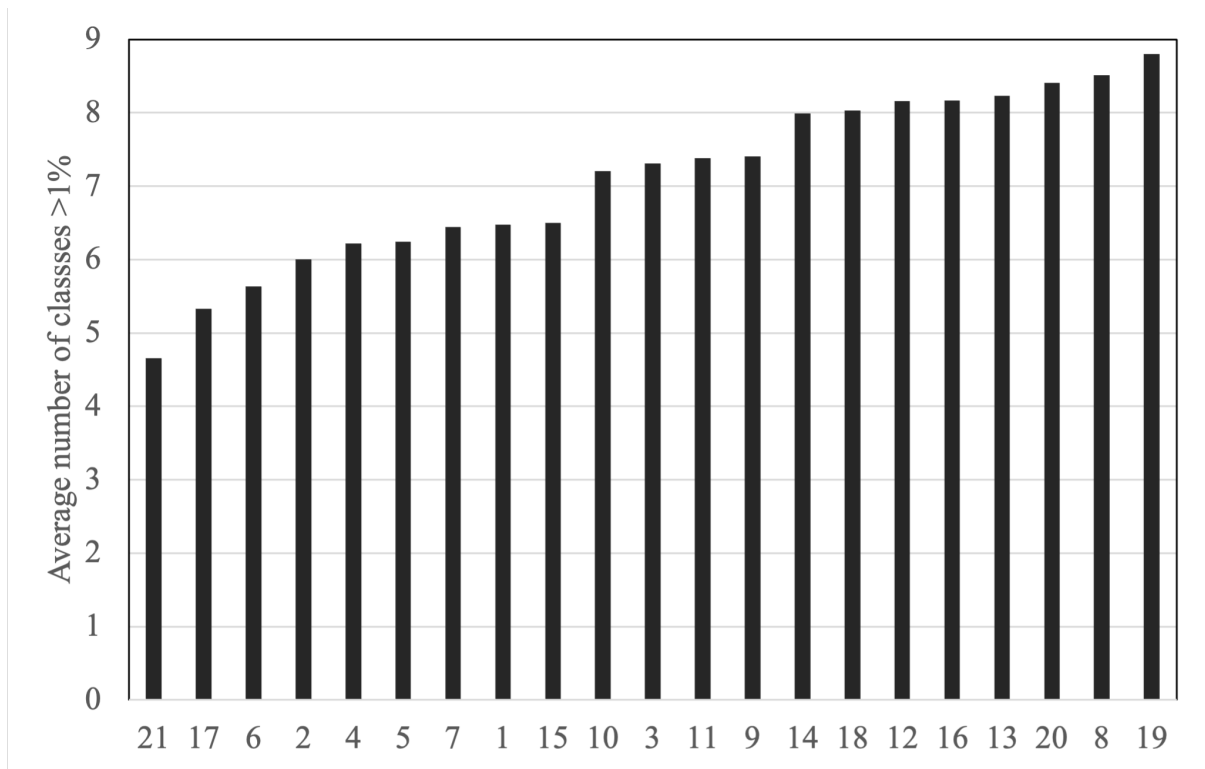
Figure 11. Diversity (average number of units (CALU) within 1 km x 1 km cells) versus majority, for unit IDs see Table 2. Sorted by diversity.

5 Discussion

5.1 Adjustment of the prototype retrieval scheme

400 The high ~~richness~~ level of heterogeneity at the 1 km scale for shrub tundra and wetland complexes (as defined in the CAVM; figure 12) underlines the importance of spatial resolution and need for thematic content. The prototype was developed at 20m nominal spatial resolution as ~~also the 20m-bands~~ the 20-m bands of Sentinel-2 ~~have been~~ were used to better exploit the spectral capabilities. In this study a super-resolution approach (convolutional neural networks) adjusted to Arctic environments was ~~now~~ applied to bring these bands to 10 m. Nevertheless, the ~~higher original~~ original 20 m resolution might contribute to

405 issues in unit representation at 10 m due to the high heterogeneity.

The re-assignment of the units between the prototype and CALU reflects the high heterogeneity. The largest differences in unit assignment between the prototype with 20 m and the new version with 10 m occurred for the shrub tundra groups (Figure D1). The splitting of the 'disturbed' unit into two resulted in re-assignments across more types than initially foreseen (Figure D1). Pixels were also re-assigned to wetlands, the heterogeneous unit #8 (dry to aquatic tundra) and unit #6 (dry to

410 moist prostrate shrub tundra, partially barren) in addition to the new units #15 (dry to moist tundra, partially barren) and #17
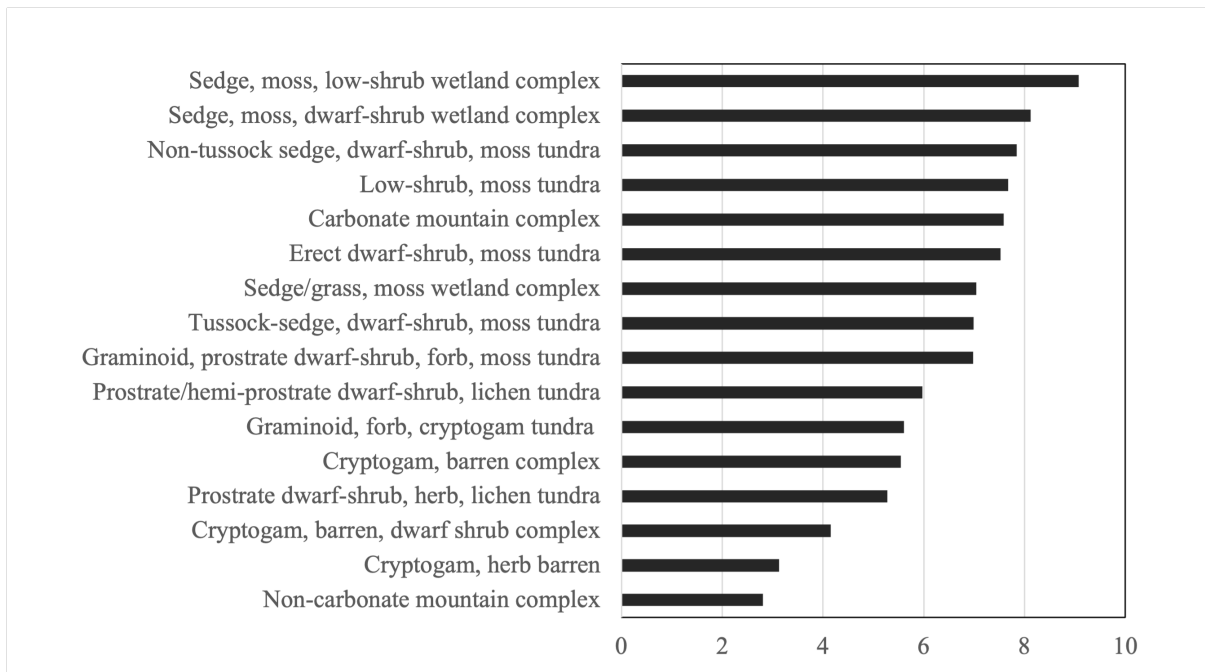
Figure 12. Landcover diversity for CAVM (Circum Arctic vegetation map (Raynolds et al., 2019)) classes: average number of units (CALU) within 1 km x 1 km cells.
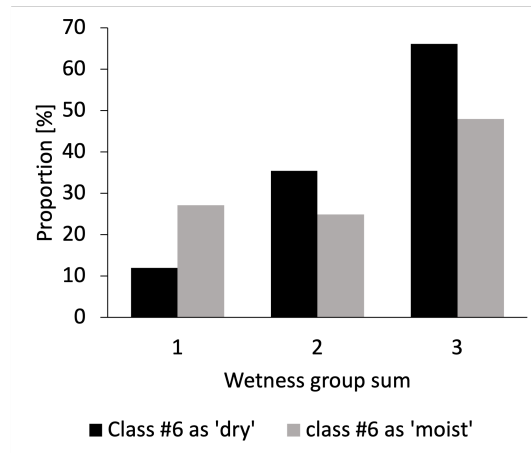
Figure 13. Wetness diversity within 1 km x 1 km areas (sum of groups B: dry, moist, wet; see Table 2; minimum 1% fraction for each type), CAVM (Circumpolar Arctic Vegetation Map (Raynolds et al., 2019)) region excluding areas with 'no data'. Values for wetness group sum '1' are the proportion of 1 x 1 km areas that had only one wetness category, group sum '2' had two wetness categories, and group sum '3' had all three.
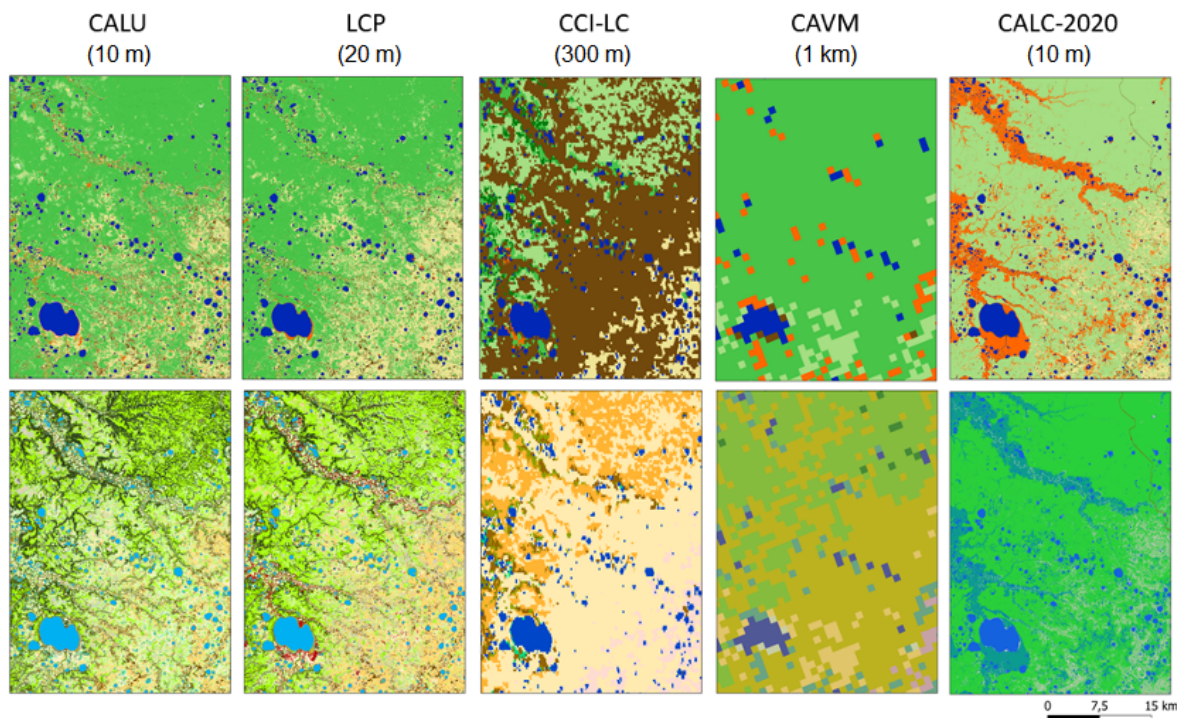
Figure 14. Comparison across all evaluated products for a region dominated by shrub tundra with patches of wetland (CALU - Circumarctic Landcover Units, LCP - landcover prototype, CCI-LC - Climate Change Initiative - Landcover, CAVM - Circumpolar vegetation map, CALC - Circumarctic landcover 2020). Upper row- grouped classes (with name of source and spatial resolution), lower row – original classes (for detailed legend see Tables 1, 2 and Figures B1, C1, C2 and C3). For location see Figure 1 (number 1).

(disturbed, including burned areas). #15 consists now also of areas previously assigned to #6. All partially barren units might potentially include disturbed areas, e.g. wind blown sands and landslide scars as common across the Yamal peninsula.

5.2 Expansion of the approach across the Arctic

Environmental conditions distinct from ~~Western~~ West Siberia occur specifically where coastal plains and river deltas can be
415   found. ~~Example~~ Nevertheless, example comparisons with other circumpolar/global maps for the Alaskan North Slope (coastal plain) and the Canadian Tuktoyaktuk peninsula document ~~nevertheless~~ similar results as for ~~Western~~ West Siberia.

Regional maps which offer comparably high thematic content are available ~~specifically~~ in other parts of the Arctic, especially for North America. These are, however, based on Landsat. The lower spatial resolution (30 m) does therefor limit the applicability for cross-validation on their basis. Several units are expected to be assigned to the classes due to landcover heterogeneity.
420   Examples are provided in supplement Figures 2 and 3.

The high number of units requires cloud free Sentinel-2 at the peak vegetation season. Several years are therefore needed to assemble the circumpolar mosaic. Ingested images span a wide range of acquisition years which may limit application
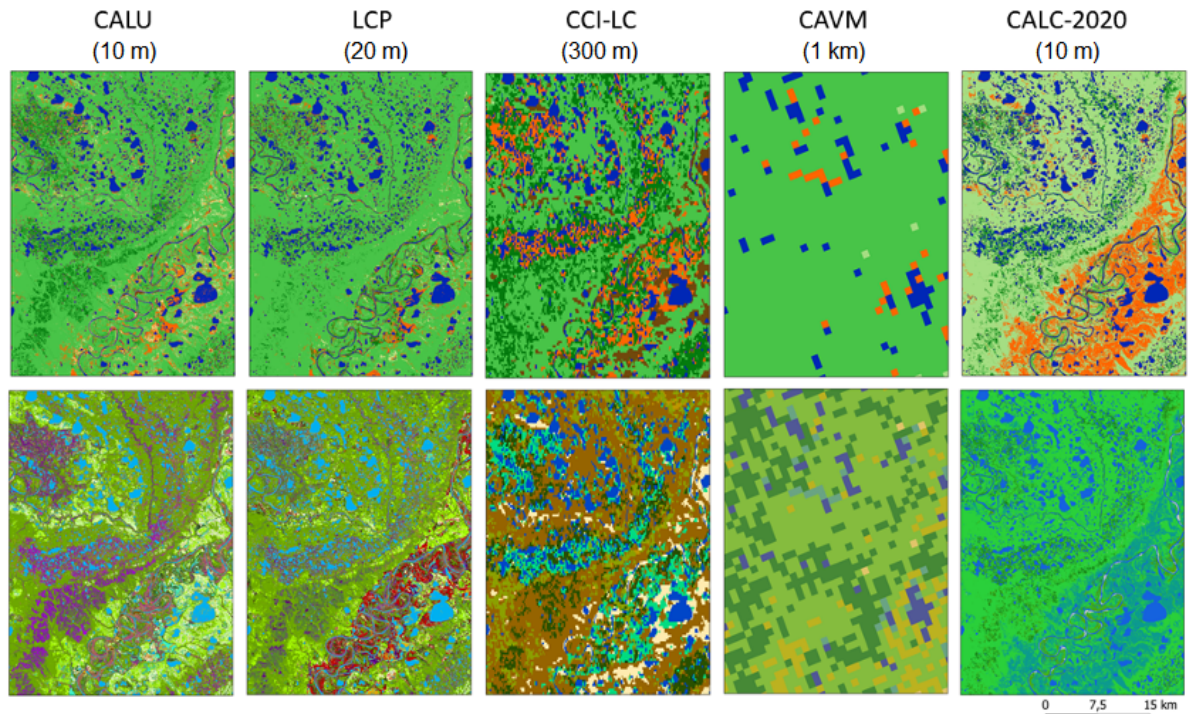
Figure 15. Comparison across all evaluated products for a region dominated by shrub tundra with patches of forest and wetland (CALU - Circumarctic Landcover Units, LCP - landcover prototype, CCI-LC - Climate Change Initiative - Landcover, CAVM - Circumpolar vegetation map, CALC - Circumarctic landcover 2020). Upper row- grouped classes (with name of source and spatial resolution), lower row – original classes (for detailed legend see Tables 1, 2 and Figures B1, C1,C2 and C3). For location see Figure 1 (number 2).

of landcover change studies. Such analyses should be carried out only locally and the documentation of acquisition dates consulted. In addition, years can differ regarding landsurface hydrology and vegetation state. Wet years with flooding and dry
425 years leading to drought are expected to impact the detectability of ~~specifically~~ especially the wetland units. Haze caused by forest fires in general cannot be corrected with the tools used. Affected scenes can not be used. This reduces the number of usable scenes considerably, as fires are abundant in the boreal forest and smoke is transported to ~~the tundra area~~adjacent tundra areas.

Issues with wrong assignment are common for lakes in the northern parts. Late floating ice fragments are identified as
430 ice/snow instead of open water. The snow/ice class can be, however, re-labeled as water in regions without glaciers (excluding high Arctic islands, Greenland and mountain ranges). Lakes may also be missing in regions close to the coastline where the Copernicus DEM contained zero or no data. Tree species typical for forest occur with reduced size, crown diameter and sparse distribution in the transition zone. Several units which include low shrubs may therefore also include trees.

The current CALU version and presented analyses do not distinguish between natural and artificial barren areas, but a
435 dataset which can be used for separation is available Bartsch et al. (2021a, b). It was derived from Sentinel-1/2 at 10 m nominal
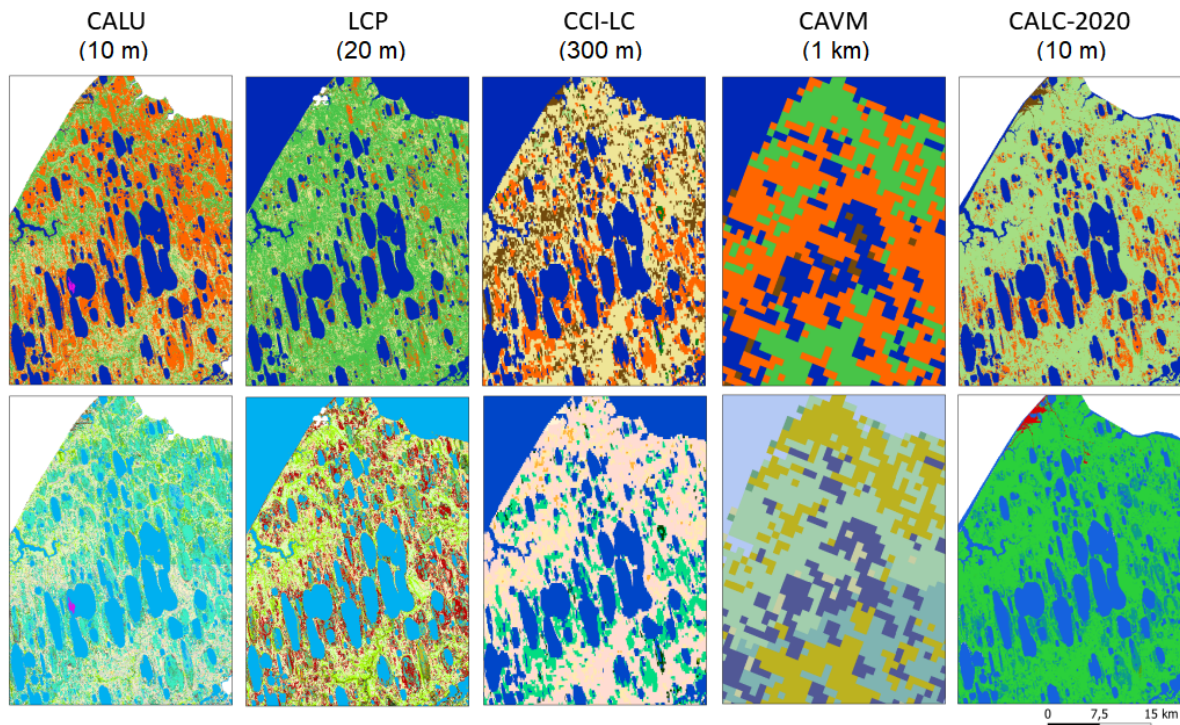
Figure 16. Comparison across all evaluated products for a region dominated by wetlands and lakes (CALU - Circumarctic Landcover Units, LCP - landcover prototype, CCI-LC - Climate Change Initiative - Landcover, CAVM - Circumpolar vegetation map, CALC - Circumarctic landcover 2020). Upper row- grouped classes (with name of source and spatial resolution), lower row – original classes (for detailed legend see Tables 1, 2 and Figures B1, C1,C2 and C3). For location see Figure 1 (number 5)

resolution. The fraction of artificial areas over the analyses region is, however, comparably small, < 0.02 % versus an overall barren fraction of 14.5 % (Table 2).

5.3 Separation of wetlands

Wetlands (in addition to lakes (Matthews et al., 2020)) are of high relevance for permafrost studies as moisture gradients
440 determine carbon fluxes, specifically potential release of methane. The ~~TN~~ total nitrogen density of #3 (permanent) is lower than for #4 (seasonal) (Table A2). Unit #2 represents permanent inundation. In addition, landcover unit composition and spatial patterns may allow for separation of different wetland types. Especially unit #8 is expected to be very heterogeneous and to include inundated parts (see AVA records in Figure B22). Figure 19 provides examples for different patterns of wetland units. Drained lake basin
445 composition is distinct from wet sites in areas dominated by peat bogs. The occurrence of wetland units #3 (permanent) and #4
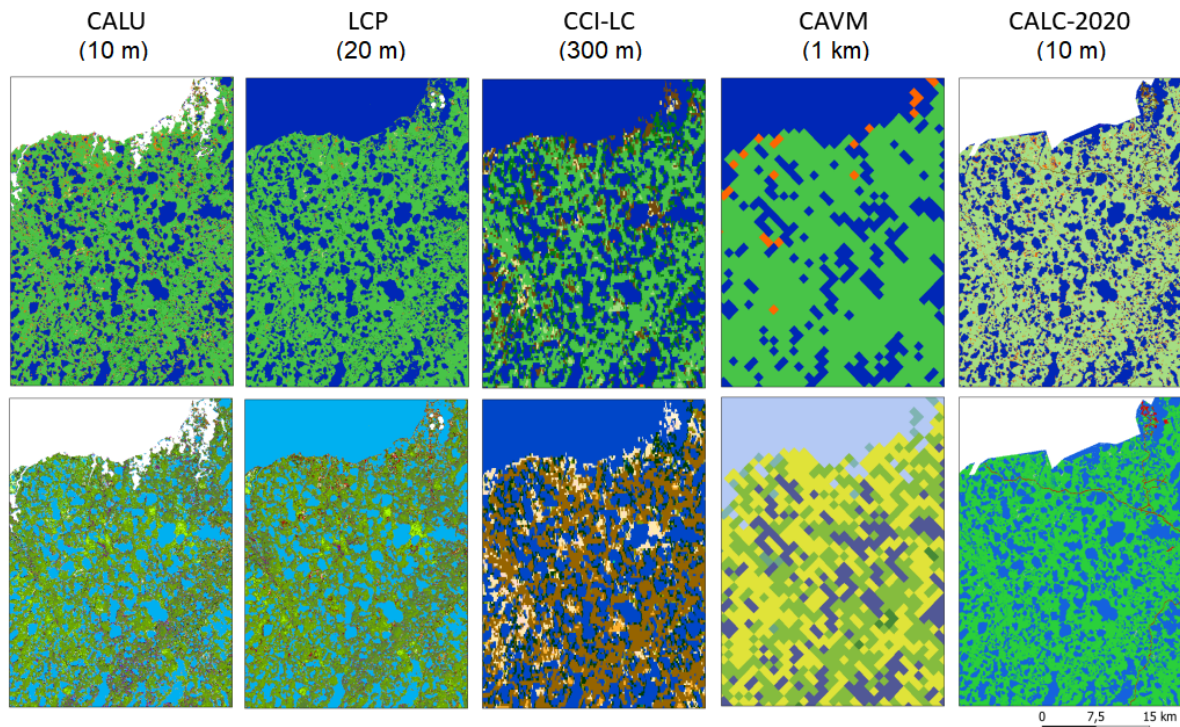
Figure 17. Comparison across all evaluated products for a region dominated by shrub tundra and lakes (CALU - Circumarctic Landcover Units, LCP - landcover prototype, CCI-LC - Climate Change Initiative - Landcover, CAVM - Circumpolar vegetation map, CALC - Circumarctic landcover 2020). Upper row- grouped classes (with name of source and spatial resolution), lower row – original classes (for detailed legend see Tables 1, 2 and Figures B1, C1,C2 and C3). For location see Figure 1 (number 6).

(seasonal) also differs across different wetland landscapes (Wetscapes as defined in Olefeldt et al. (2021), supplement Figure 4).

5.4   Implications for estimating soil organic carbon and wetland extent across the Arctic

Previous studies used Landcover CCI for estimation of wetland area and soil organic carbon content across the Arctic, but concerns were raised by Palmtag et al. (2022). The comparison between CALU and Landcover CCI confirms a previous assessment which used Landsat-derived regional landcover maps (Bartsch et al., 2016a). The thematic content does not match tundra specific landscape types. The barren fraction is in general too large. A large proportion is covered by low vegetation.

Palmtag et al. (2022) used Landcover CCI for upscaling of soil organic carbon. Soil probe data were linked with the landcover units. An average of more than 9 kg C m-2 over the top meter was calculated for the barren classes, which is much higher than in the CALU assessment (2 kg C m-2) due to occurrence of in situ sites representing organic soils in the Landcover CCI barren class.
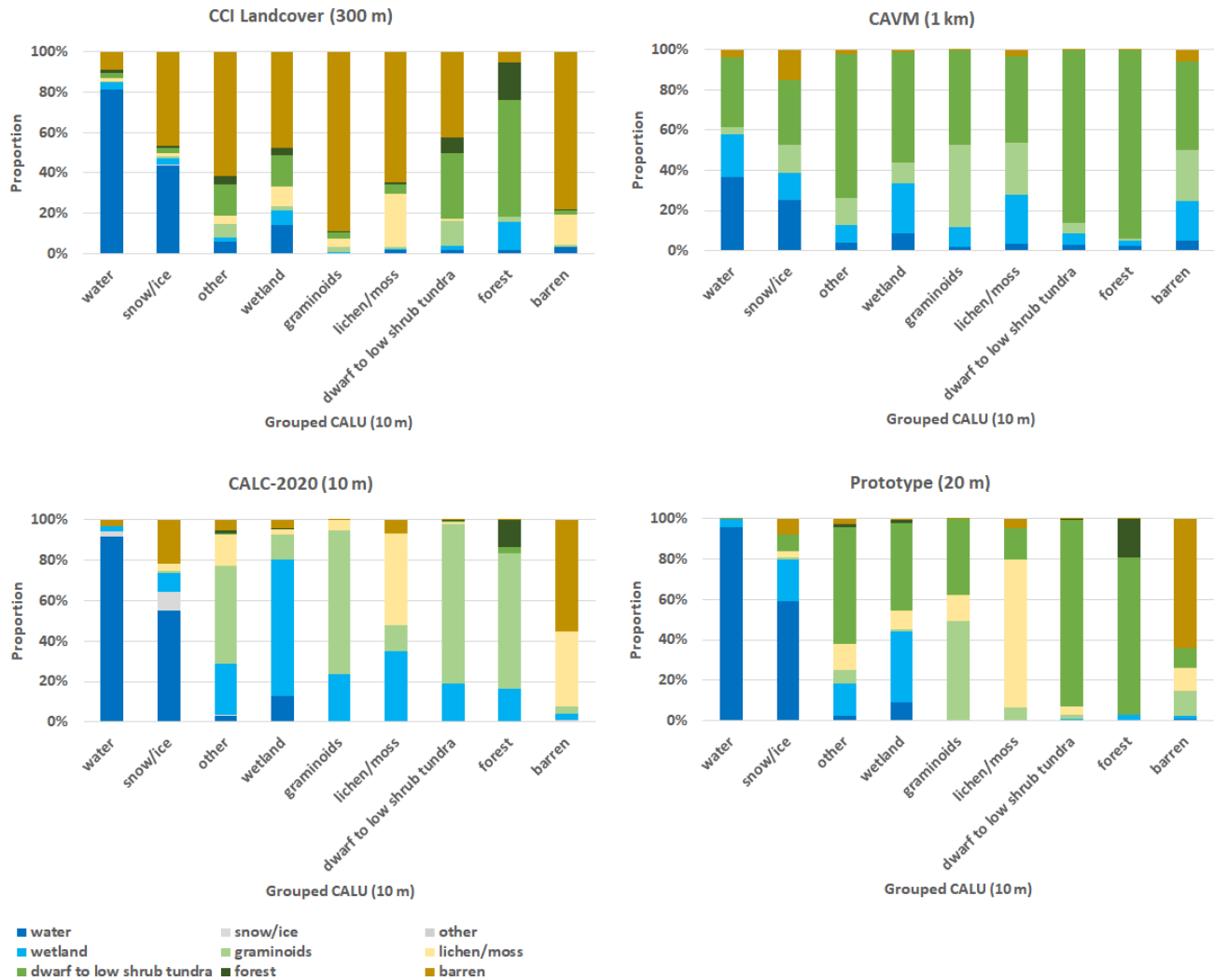
Figure 18. Proportion of grouped Landcover CCI, CAVM, CALC-2020 and prototype classes within grouped units (CALU). For grouping schemes see ~~Tables~~ Table 2 and Figure C3. Matrices are provided in Tables 4, C1, C2 and as Sankey diagram in case of the prototype (Figure D1).

The agreement between the wetland classes of Landcover CCI and CALU was very low ~~what~~ which confirms the assessment based on in situ observations by Palmtag et al. (2022) (20.65 % (Table 4) and 19 % respectively). This has implication for further use as for example in case of wetland map creation such as in Olefeldt et al. (2021). Palmtag et al. (2022), with reference to Hugelius et al. (2020), suggest a four times larger permafrost wetland area. The underestimation of wetland extent in Landcover CCI can be confirmed with CALU. Just within the extent of the CAVM, our estimate (1.16 Mio km^2) is larger than the Landcover CCI fraction for the entire high latitude permafrost domain (1.01 Mio km^2).

Table 4. Comparison matrix of grouped Climate Change Initiative - CCI Landcover classes and grouped units (Circumarctic Landcover Units - CALU). Values in percent. For grouping schemes see ~~Tables~~ Table 2 and Figure C1.

		CCI Landcover								
		water	snow/ice	other	wetland	graminoids	lichen/ moss	dwarf to low shrub tundra	forest	barren
CALU	**water**	65.50	0.45	28.74	7.65	0.18	2.07	0.68	1.35	1.24
	snow/ice	0.50	9.68	0.53	0.10	0.01	0.03	0.01	0.02	0.09
	other	0.65	13.69	0.24	0.65	0.64	0.67	0.51	0.59	1.14
	wetland	15.26	1.14	13.55	20.65	2.12	16.43	4.90	4.77	8.76
	graminoids	0.32	0.00	0.03	0.24	1.44	4.20	0.52	0.56	9.47
	lichen/moss	2.60	47.97	0.10	2.57	1.28	58.38	2.04	1.64	15.97
	dwarf to low shrub tundra	13.53	1.21	40.25	49.63	93.09	13.30	82.50	79.40	60.09
	forest	0.95	0.85	16.51	18.33	1.13	0.02	8.71	11.54	0.46
	barren	0.69	25.01	0.05	0.18	0.11	4.90	0.12	0.14	2.80

The use of terrain information in the retrieval as in the CALC-2020 dataset leads to overestimation of wetland areas in valleys (Figures 14 and 15) and drained lake basins (Figure 19). The heterogeneity in those areas is lost in this case. In total, more area is, however, characterized by wetland in CALU. This might be attributed to the inclusion of shallow water bodies with abundant macrophytes (unit #2).

5.5 Description of units with in situ records

Although a very high number of in situ data were available (almost 40 times more vegetation sites than used for the CALC-2020 assessment (Liu et al., 2023)), more is needed for full characterization of Arctic landscapes. The ~~used vegetation records~~ vegetation records used represent sites across ~~western~~ West Siberia only. The available soil data were collected from regions spread across the Arctic, but there is a lack of data (organic layer thickness) for barren areas, and unit #13 (dense shrubs) as it is less common and more difficult to access. The latter also applies to the shallow water wetland unit (#2) as it is aquatic and thus usually not part of terrestrial surveys. This unit might be, however, important for upscaling of methane fluxes. Soil data availability largely represents unit abundance. The most soil data are available for the second most common unit (#11), but much less for the most abundant unit (#6) what is most likely due to the comparably low organic carbon content and occurrence in high Arctic regions. Soil sampling usually targets soil carbon quantification. Shrub tundra types are in general well sampled (more than 50 % of records). Probes for organic layer thickness come largely from selected environments, often river floodplains (e.g. Lena Delta, Kytalyk) or drained lake basins (e.g. Alaskan North slope). Data for wet unit types (#3-5) come mostly from these type of sites. Paludification is therefore comparably low. ~~OLD~~ Organic layer depth was thus rather low for these units. Unit #15 had rather high ~~OLD~~ organic layer depth (Figure 7), but only 25 samples and with a high spread

and high mineral volumetric content. In addition to the use of more in situ data and region specific retrieval, a stratified random selection of soil probes representing a diversity of settings might be eventually required to allow upscaling of soil properties.

Several units represent ~~divers~~ diverse moisture gradients according to the in situ comparison. This applies specifically to #8. This demonstrates that the 10 m are insufficient to fully resolve Arctic landcover heterogeneity, confirming Virtanen and Ek (2014), Siewert et al. (2015) and Treat et al. (2018). There are also uncertainties in geolocation of both the satellite product and the in situ data. The representation of the in situ description for the 10x10 m is also an issue. This mismatch may play a role for the spread of properties within a unit and results in the fuzzy/broad naming of the units.

Group assignment was moist in most cases where dry to moist conditions ~~have been~~ were observed using both AVA and soil pedon records except for #6. This ~~has been~~ was justified based on the comparably high coverage with lichen and relatively low soil water content/high mineral content values in the pedon records. The previously published CAWASAR (CircumArctic Wetlands based on Advanced Aperture Radar, Widhalm et al. (2015)) dataset offers also classes for dry, wet and moist. The majority of #6 areas coincides with the class 'dry' (see Supplement Figure 1) ~~what~~ which confirms the validity of the group assignment. The comparison to the Alaska landcover map ~~(Supplement Figure~~ by Raynolds et al. (2017) and the Boreal-Arctic Lakes and Wetland Databset (BAWLD) by Olefeldt et al. (2021) (Supplement Figures 3 and 4) also shows that #6 ~~primarily~~ mostly occurs in upland and alpine landscapes.

5.6 Application potential

We ~~are providing description examples~~ have provided descriptions of the CALU units based on a limited set of in situ records. Use of CALU subsets, especially outside of the regions with data availability as shown in Figure A1 might require use of additional in situ records, specifically regarding soil conditions including organic layer thickness.

The high spatial and thematic detail of CALU potentially allows for space for time approaches of impact assessment of permafrost related landcover change in addition to classical vegetation index trend analyses, e.g. Nitze et al. (2018); Foster et al. (2022). Disturbances related to abrupt thaw features are widespread across the Arctic. For example vegetation is removed due to thaw slumping. Lakes drain and allow vegetation regrowth. Both results in specific vegetation succession patterns, not only regarding vegetation types but also wetlands (Wolter et al., 2024). This has implications for carbon cycling. CALU in combination with records of disturbance timing (representing different stages) may support the quantification of abrupt thaw impacts.

6 Conclusions

Tundra regions with wetlands are characterized by high landcover diversity, in addition to the high number of small lakes. Wet, moist and dry landscape types co-occur close to each other in most of the area north of the treeline. Pure wetland coverage over an extent of 1x1 km are rare. This is expected to be of relevance for any type of analyses related to carbon fluxes, including up-scaling, inversions or landsurface modelling.

Permafrost degradation features are known to lead to changes in landcover type and specifically wetness. For example drained lake basins and also fire scars represent fast changing environments in the years after events. The new circumpolar landcover unit map provides three types of wetland units in addition to 14 terrestrial tundra units covering barren area as well as different types of shrub physiognomy and soil moisture gradients at 10x10 m. This level of detail is unprecedented. Both, vegetation and soil type variations can be documented with extensive in situ datasets. Some landcover units with less extensive coverage, specifically disturbed sites (fires) are under-represented in available records.

The high thematic content requires good quality of input data. Although data availability from Sentinel-1 and -2 is good since 2016, the seven years of records were not sufficient for complete coverage. CALU covers only 97% of the Arctic (excluding ice sheets). Cloud coverage in case of Sentinel-2 and inconsistent acquisition strategies for Sentinel-1 lead to quality issues. Several years of Sentinel-2 were combined for robustness where sufficient acquisitions were available. This needs to be considered when combined with other records specifically with respect to assessment of cryosphere change impacts on landsurface hydrology.

Data availability. The CALU dataset will be made openly available under https://zenodo.org/doi/10.5281/zenodo.8399017.

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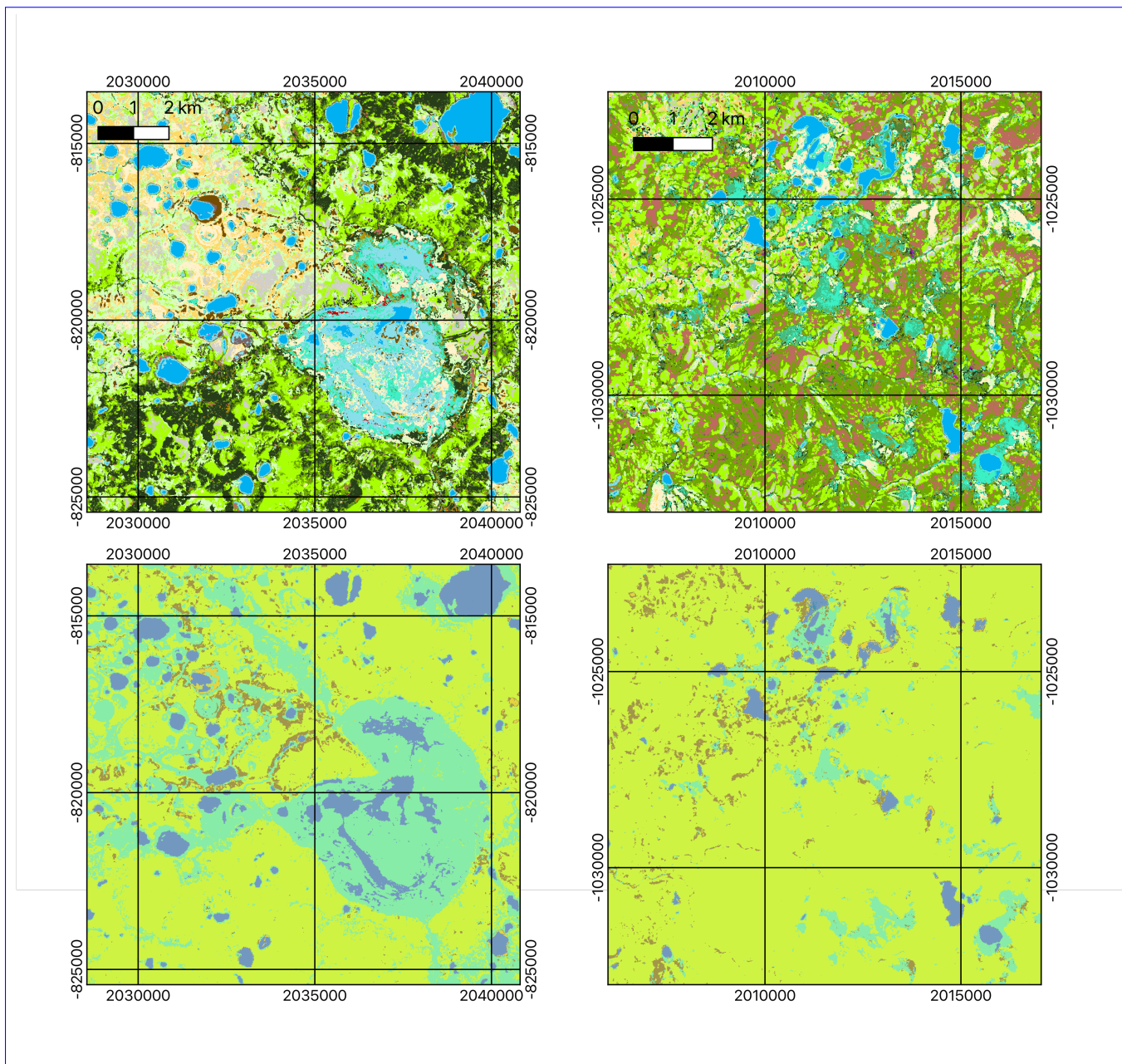
Figure 19. Examples for different wetland types: left - drained lake basins on central Yamal in the tundra zone (Wetscape type 'wetland and lake-rich tundra' according to Olefeldt et al. (2021), see also Supplement Figure 4), right - peat bogs in the hypo-Arctic tundra zone of ~~Western~~ West Siberia (Wetscape type 'upland tundra' according to Olefeldt et al. (2021), see also Supplement Figure 4). top: CALU - for legend see Table 2 and Figure B1, bottom: CALC-2020 ((Liu et al., 2023), blue - open water, turquoise - wetland, ~~grey - lichen/moss,~~ light green - graminoid tundra~~). For~~; for detailed legend see ~~Table 2~~Figure C3).

 Appendix A: Selected statistics from in situ measurements
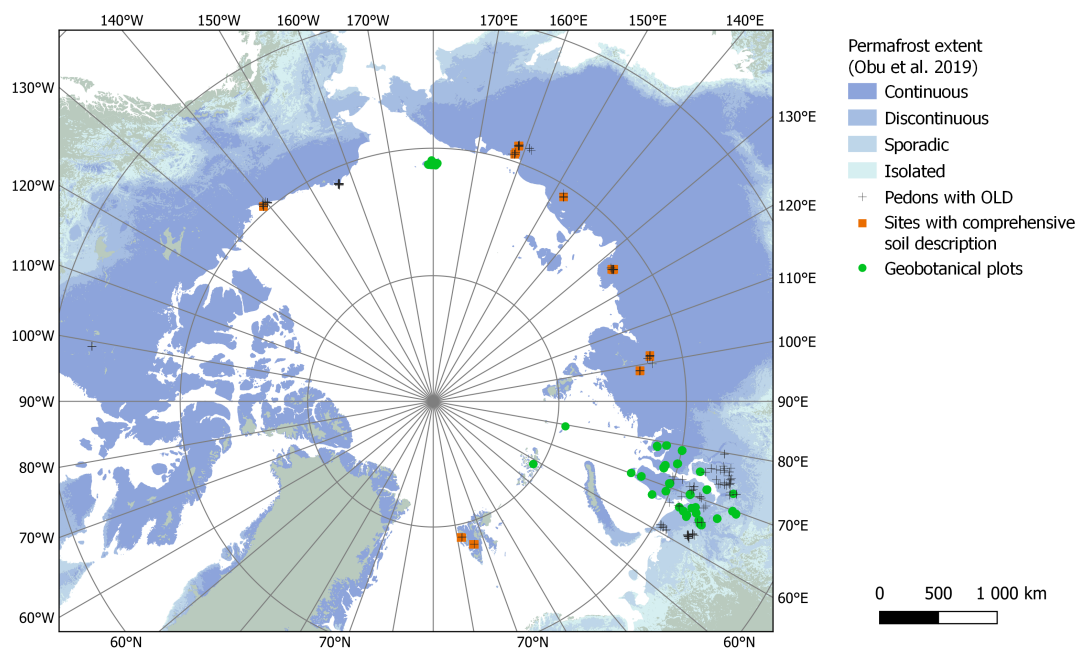
Figure A1. Location of in situ records (vegetation (Zemlianskii et al., 2023), soils (Hugelius et al., 2013, 2014, 2020; Palmtag et al., 2022)) and permafrost extent (source: Obu et al. (2019)). OLD - organic layer depth.

Table A1. Soil surface organic horizon depth statistics extracted from several different sources, including (Hugelius et al., 2013, 2014, 2020) and (Palmtag et al., 2022).

Unit	Samples	Mean	Median	Std
2	23	105	62	103
15	25	93	72	99
12	42	74	15	112
14	51	74	20	98
16	21	64	8	109
6	44	62	33	78
8	43	59	30	65
11	157	41	12	74
17	5	40	18	36
3	54	40	20	54
4	64	39	20	39
7	30	37	19	55
9	123	34	18	42
10	74	29	15	37
5	19	28	20	20
13	10	23	11	33
21	3	13	0	19

Table A2. Average soil characteristics based on Palmtag et al. (2022)

Type	Dry bulk density (g/cm3)	Wet bulk density (g/cm3)	Organic volumetric content	Water volumetric content	Mineral volumetric content	SOC density (kg C m-2)	TN density (kg N m-2)	Samples
3	0.61	1.11	4.53	50.38	20.61	29.41	1.47	22
4	0.92	1.48	3.97	46.87	27.34	30.97	2.07	6
5	1.27	1.62	3.36	33.03	46.42	22.19	1.61	5
6	1.18	1.53	3.03	34.64	44.02	21.19	1.04	31
7	0.86	1.24	4.04	40.51	30.42	26.64	1.16	4
8	0.86	1.38	3.72	44.70	27.35	26.77	1.49	20
9	0.85	1.35	4.60	50.25	29.48	30.79	1.43	56
10	0.74	1.27	5.90	52.82	24.60	38.20	1.63	27
11	0.75	1.28	5.54	50.55	25.06	36.90	1.63	52
12	0.63	1.06	3.56	43.48	22.82	23.05	1.28	8
13	0.74	1.13	3.69	38.62	26.08	23.83	1.58	1
14	0.86	1.34	4.69	47.33	30.43	30.31	1.56	16
15	1.27	1.62	2.26	35.84	47.31	15.14	1.03	7
16	1.30	1.73	3.50	39.72	44.99	22.77	1.91	6
19	1.47	1.90	2.13	38.01	58.59	14.69	0.65	3
20	0.76	1.17	4.12	41.00	27.35	26.89	1.55	2
21	1.83	2.03	0.30	16.77	68.82	2.98	0.39	11

Table A3. Average ~~characteristics~~ coverage percentages per unit for vegetation types of used sites of the Russian Arctic Vegetation Archive (AVA) (Zemlianskii et al., 2023).

heightAVA type	~~Samples~~ Mean	Median	Std
Tree layer	5.1	~~5.1~~ 3.6	5.8
Tall shrubs	3.4	~~3.4~~ 0.2	9.2
Low shrubs	11.1	~~11.1~~ 4.2	15.9
Erect dwarf shrubs	11.4	~~11.4~~ 4.9	14.9
Prostrate dwarf shrubs	7.6	~~7.6~~ 0.8	14.2
Graminoids	19.7	~~19.7~~ 11.0	22.5
~~Tussok~~ Tussock graminioids	2.2	~~2.2~~ 0.0	6.5
Forbs	12.1	~~12.1~~ 6.1	15.3
Seedless vascular plants	2.4	~~2.4~~ 0.1	6.3
Mosses and liverworts	48.0	~~48.0~~ 44.8	32.8
Lichen	11.8	~~11.8~~ 3.7	17.8
Crust	1.7	~~1.7~~ 0.0	5.5
Algae	0.3	~~0.3~~ 0.0	1.9
Bare soil	5.4	~~5.4~~ 1.3	10.2
Bare rock	1.9	~~1.9~~ 0.0	6.1
Litter	16.4	~~16.4~~ 0.0	21.7

Appendix B: ~~Example unit~~ Unit descriptions based on in situ samples

B1   CALU legend

ID	Description example	Gr. A
1	water	Water
2	shallow water / abundant macrophytes	Wetland
3	wetland, permanent	Wetland
4	wet to aquatic tundra (seasonal), abundant moss	Wetland
5	moist to wet tundra, abundant moss, prostrate shrubs	Graminoids
6	dry to moist tundra, partially barren, prostrate shrubs	Lichen/Moss
7	dry tundra, abundant lichen, prostrate shrubs	Lichen/Moss
8	dry to aquatic tundra, dwarf shrubs*	Dwarf to low shrub tundra
9	dry to moist tundra, prostrate to low shrubs	Dwarf to low shrub tundra
10	moist tundra, abundant moss, prostrate to low shrubs	Dwarf to low shrub tundra
11	moist tundra, abundant moss, dwarf and low shrubs	Dwarf to low shrub tundra
12	moist tundra, dense dwarf and low shrubs*	Dwarf to low shrub tundra
13	moist to wet tundra, dense dwarf and low shrubs*	Dwarf to low shrub tundra
14	moist tundra, low shrubs	Dwarf to low shrub tundra
15	dry to moist tundra, partially barren	Dwarf to low shrub tundra
16	moist tundra, abundant forbs, dwarf to tall shrubs	Dwarf to low shrub tundra
17	recently burned or flooded, partially barren	Dwarf to low shrub tundra
18	forest (deciduous) with dwarf to tall shrubs	Forest
19	forest (mixed) with dwarf to tall shrubs	Forest
20	forest (needle leave) with dwarf and low shrubs	Forest
21	partially barren	Barren
22	snow/ice	Snow/ice
23	other (incl. shadow)	Other
nd	-	-

Figure B1. CALU legend (color version of Table 2).

B2 CALU #1

Description: Open water

720 ## B3 CALU #2

Description: Shallow water/abundant macrophytes
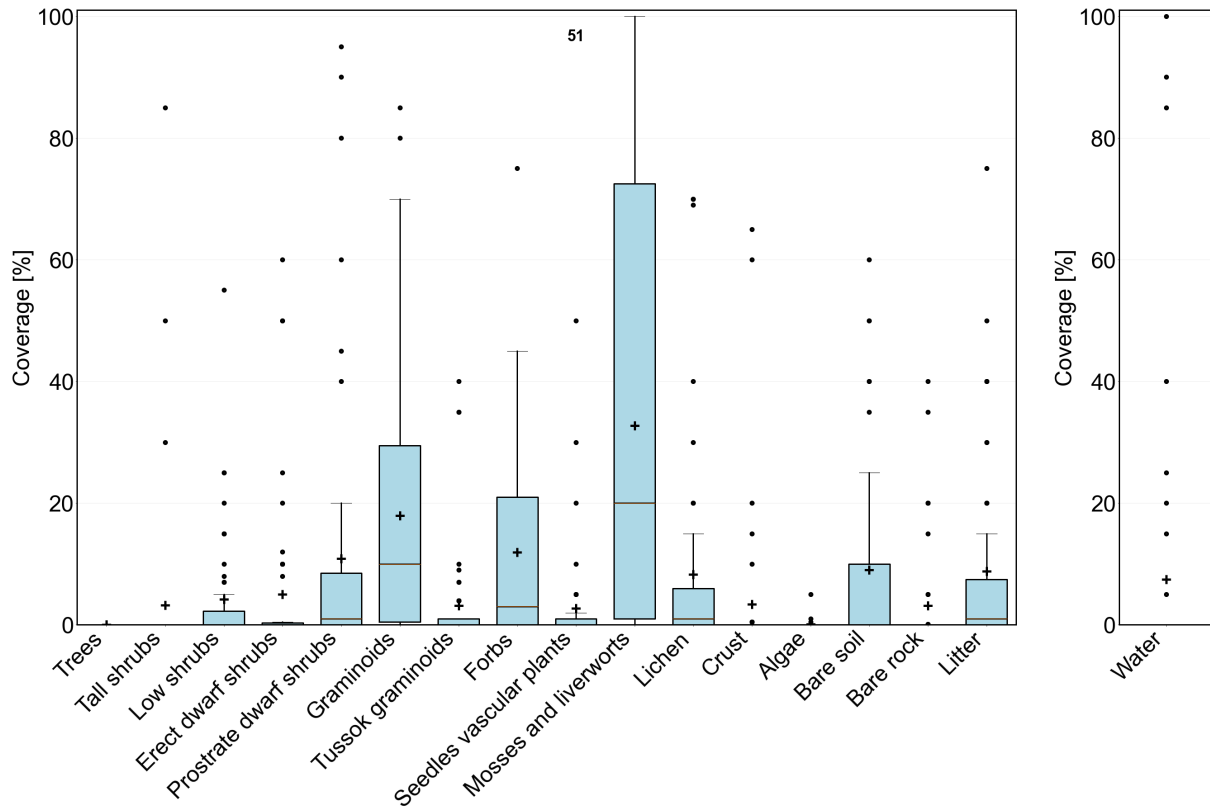
Figure B2. CALU #2 vegetation properties based on AVA (Zemlianskii et al., 2023).
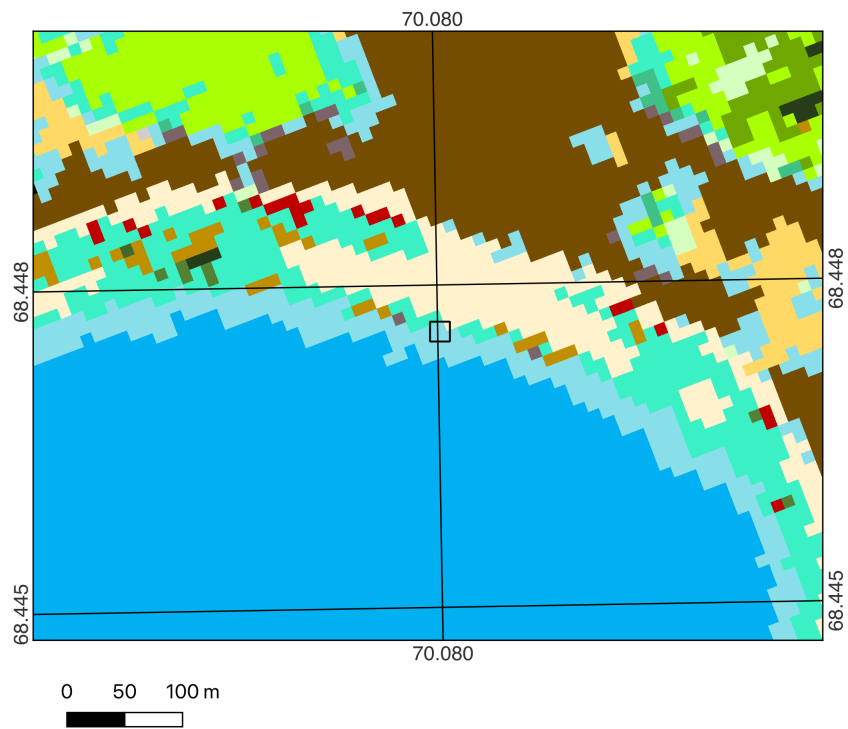
Figure B3. Example for a typical vegetation plot (Zemlianskii et al., 2023) located along a lake margin (square), falling into unit #2 (light blue; for full legend see Table 2) and Figure B1. In situ values: 15 % land covered by mostly graminoids, occurrence of 1 % forbs with height 50 cm, 85 % water, wetness category 'moist'.

Figure B4. Example photograph CALU #2, lake margin with patches of macrophytes, water depth approximately 22 cm (Annett Bartsch, 21.07.2023, Inuvik region)

Figure B5. Example photograph CALU #2, lake with patches of macrophytes in the centre (Annett Bartsch, 2023, Inuvik region)

B4   CALU #3

Description: Permanent wetland, aquatic, low to medium organic layer thickness, medium mineral volumetric content.
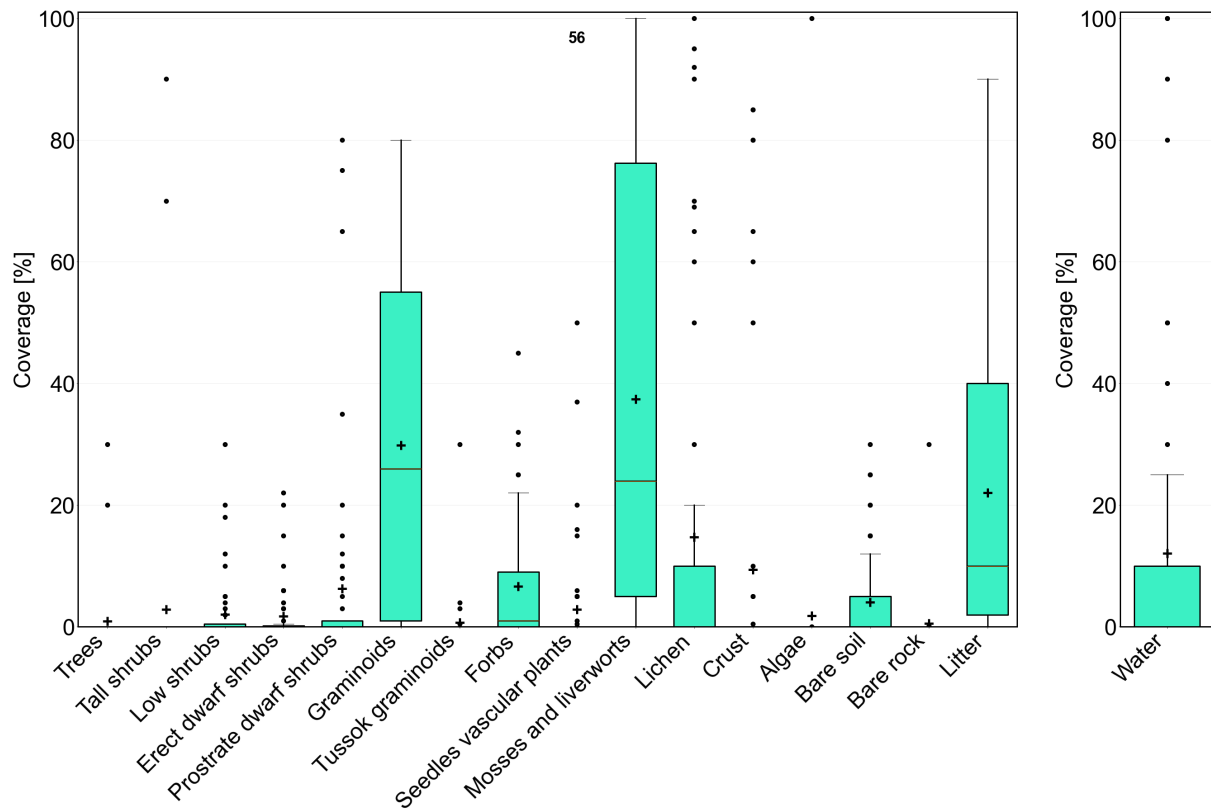
Figure B6. CALU #3 vegetation properties based on AVA (Zemlianskii et al., 2023).
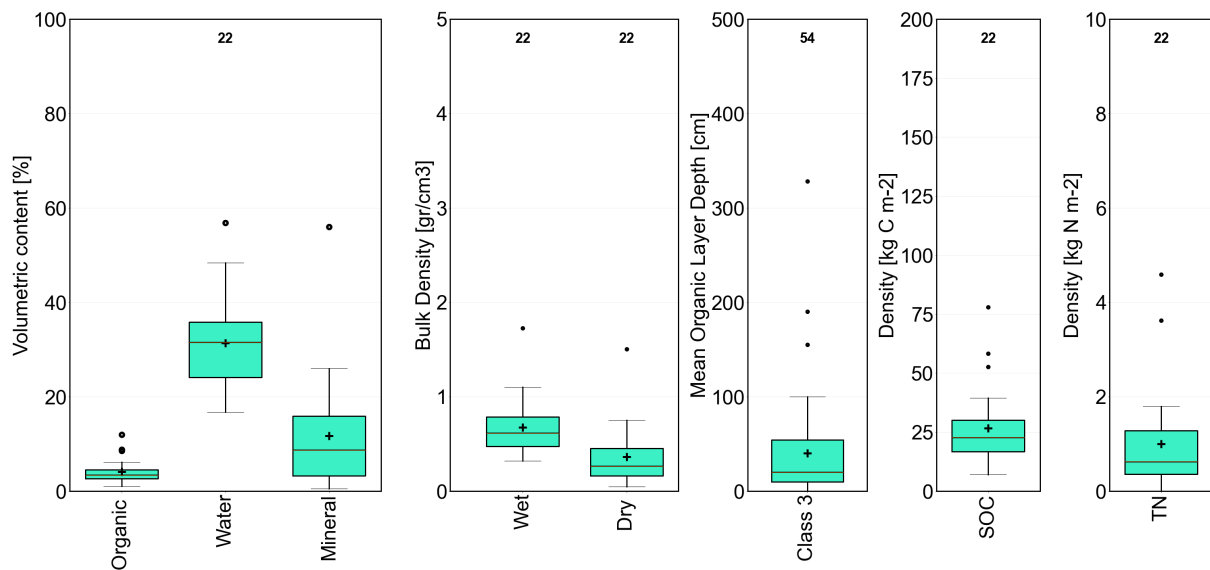
Figure B7. CALU #3 soil properties based on Palmtag et al. (2022).

Figure B8. Example photograph CALU #3 (Birgit Heim, Lena Delta, Samoylov polygonal tundra)

Figure B9. Example photograph CALU #3 (Annett Bartsch, 2023, Inuvik region)

B5   CALU #4

Description: Wet to aquatic (seasonal wetland), abundant moss, low to medium organic layer thickness, low mineral volumetric content.
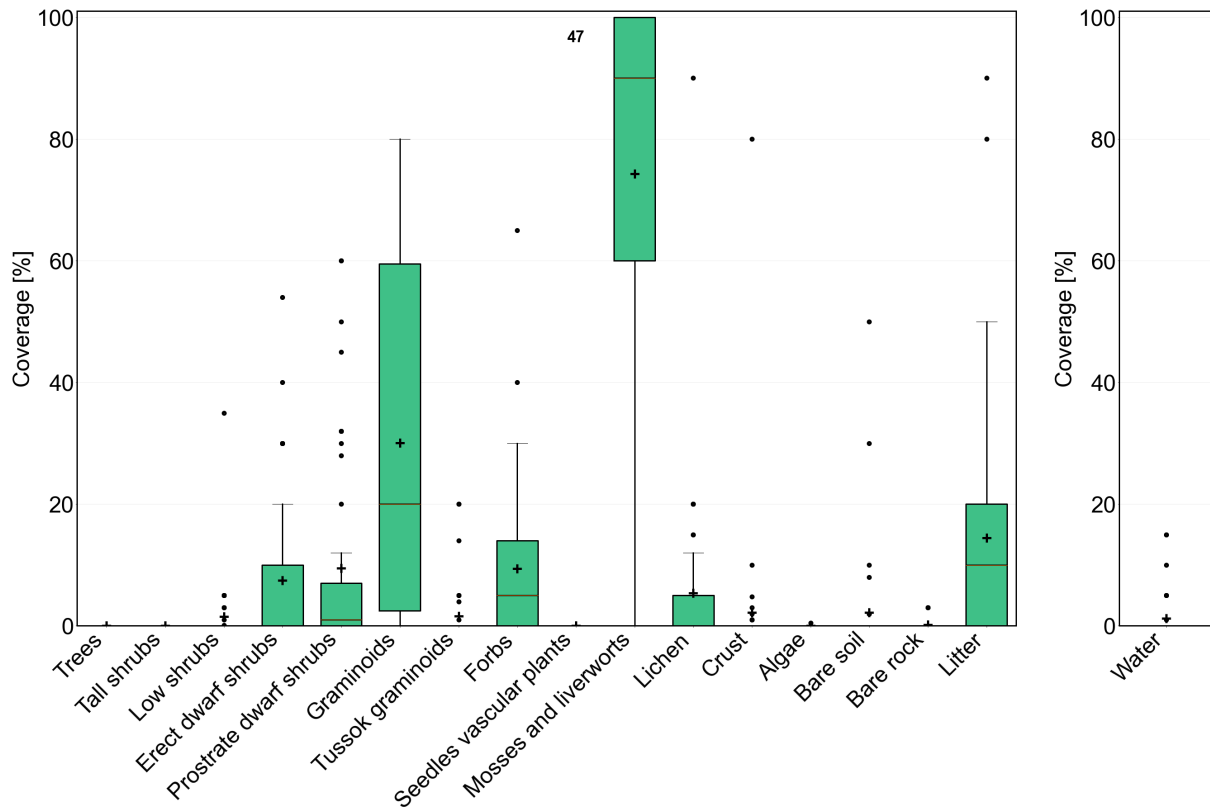
Figure B10. CALU #4 vegetation properties based on AVA (Zemlianskii et al., 2023).
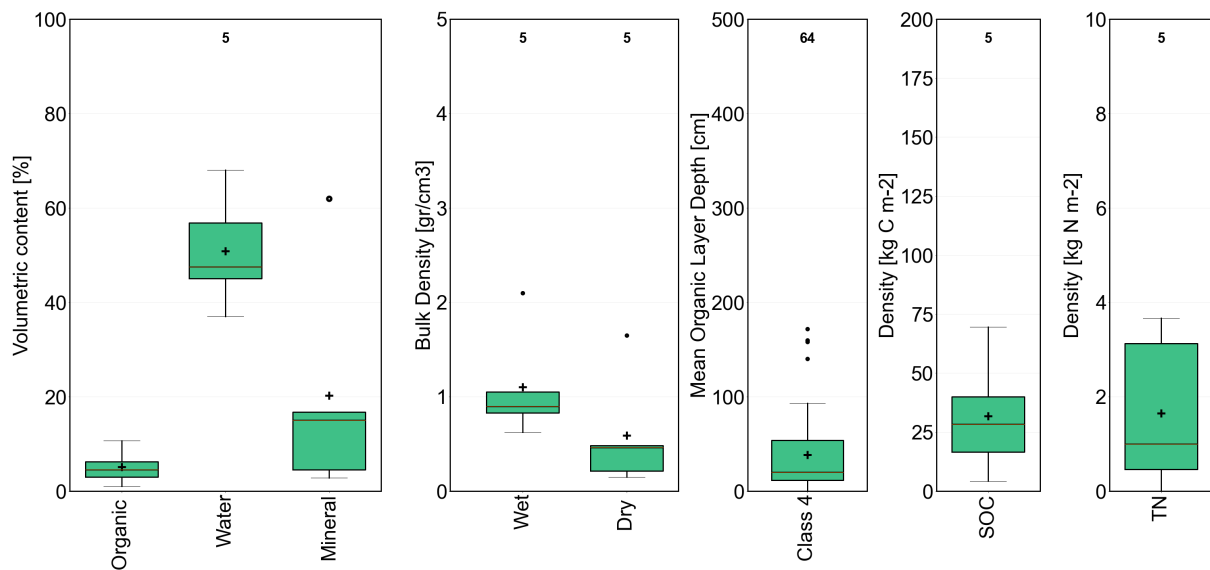
Figure B11. CALU #4 soil properties based on Palmtag et al. (2022).

B6 CALU #5

Description: Moist to wet tundra, abundant moss, prostrate shrubs; low to medium organic layer thickness, medium mineral volumetric content.
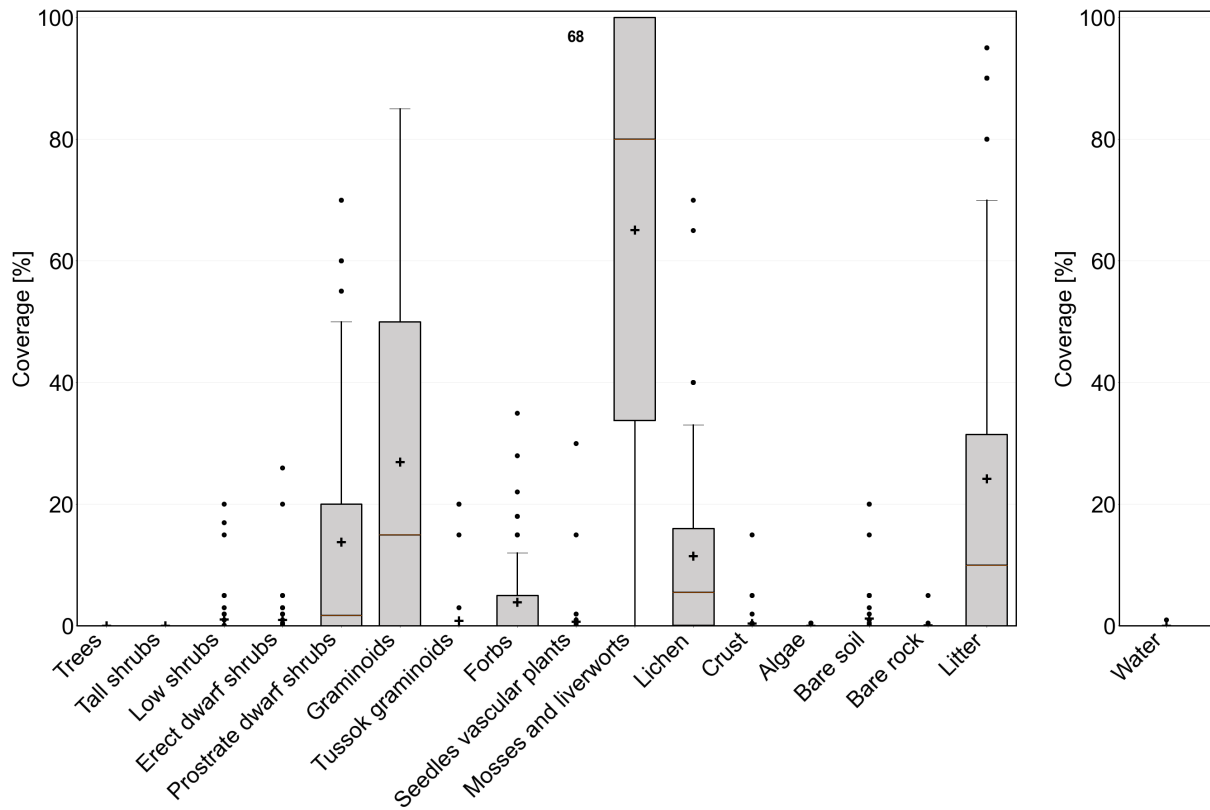
Figure B12. CALU #5 vegetation properties based on AVA (Zemlianskii et al., 2023).
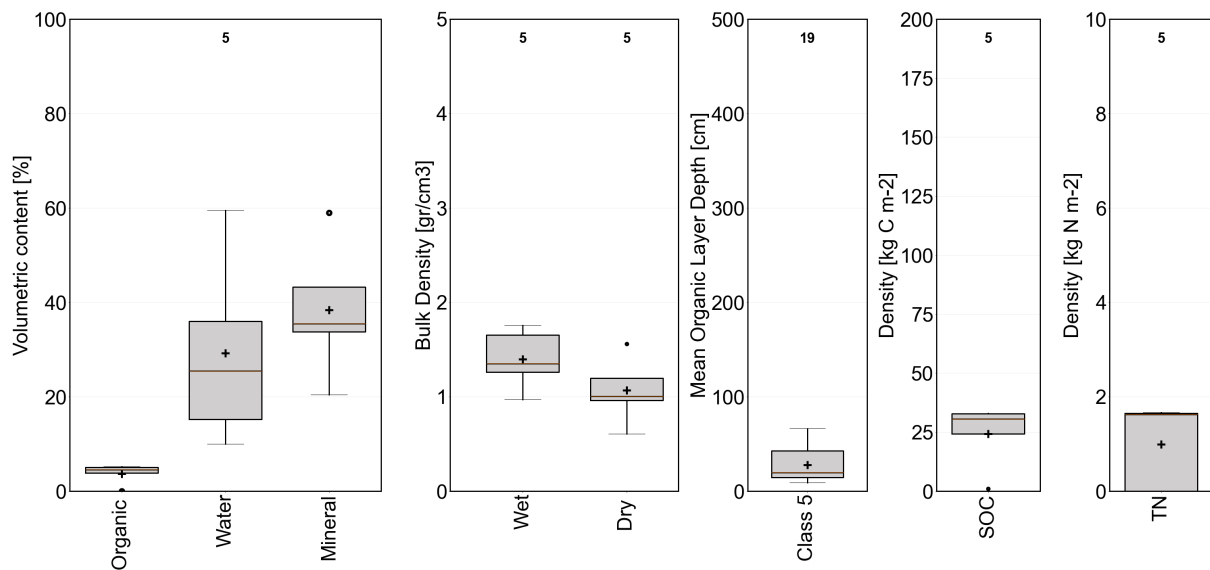
Figure B13. CALU #5 soil properties based on Palmtag et al. (2022).
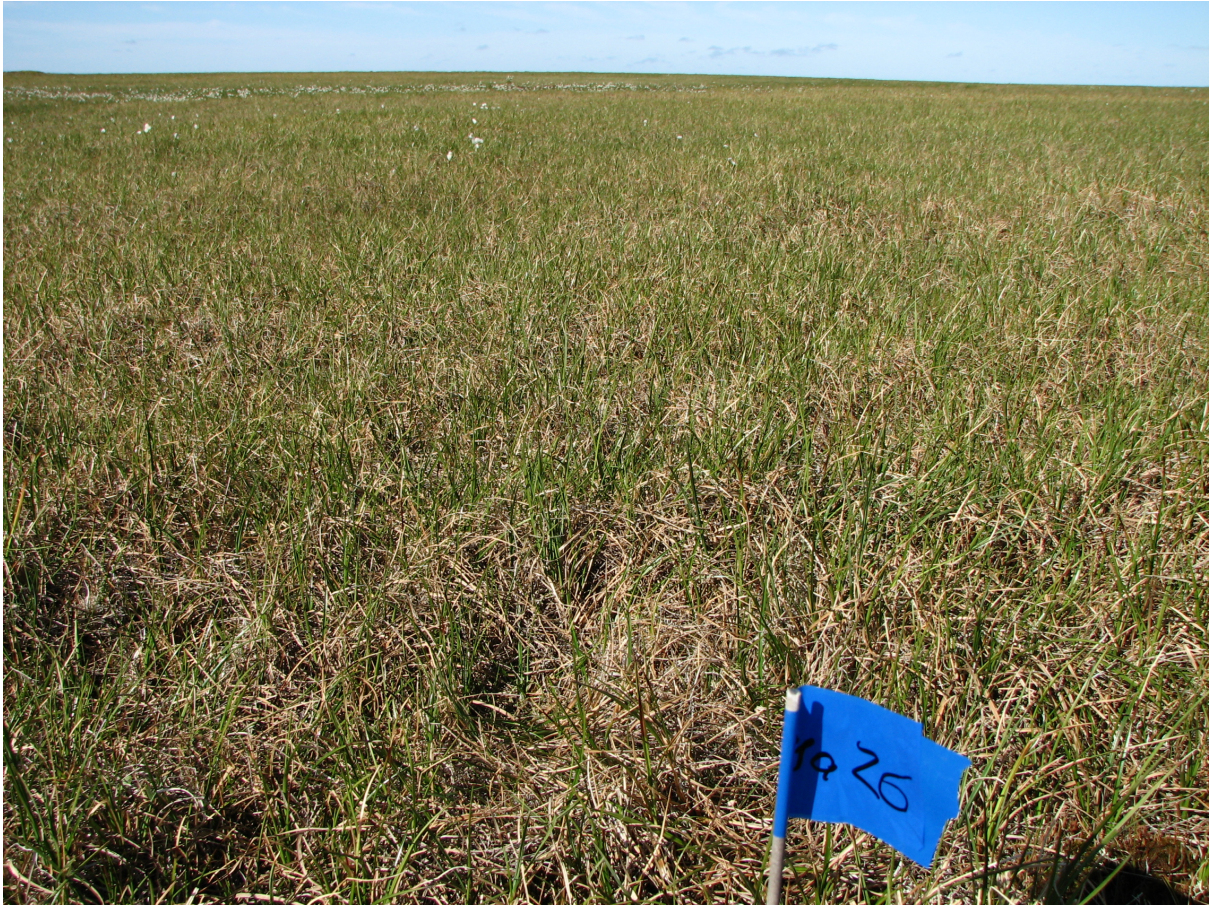
Figure B14. Example photograph CALU #5 (Elena Troeva, 2017, Northern Yamal)

Description: Dry to moist tundra, partially barren, prostrate shrubs; medium organic layer thickness, high mineral volumetric content.
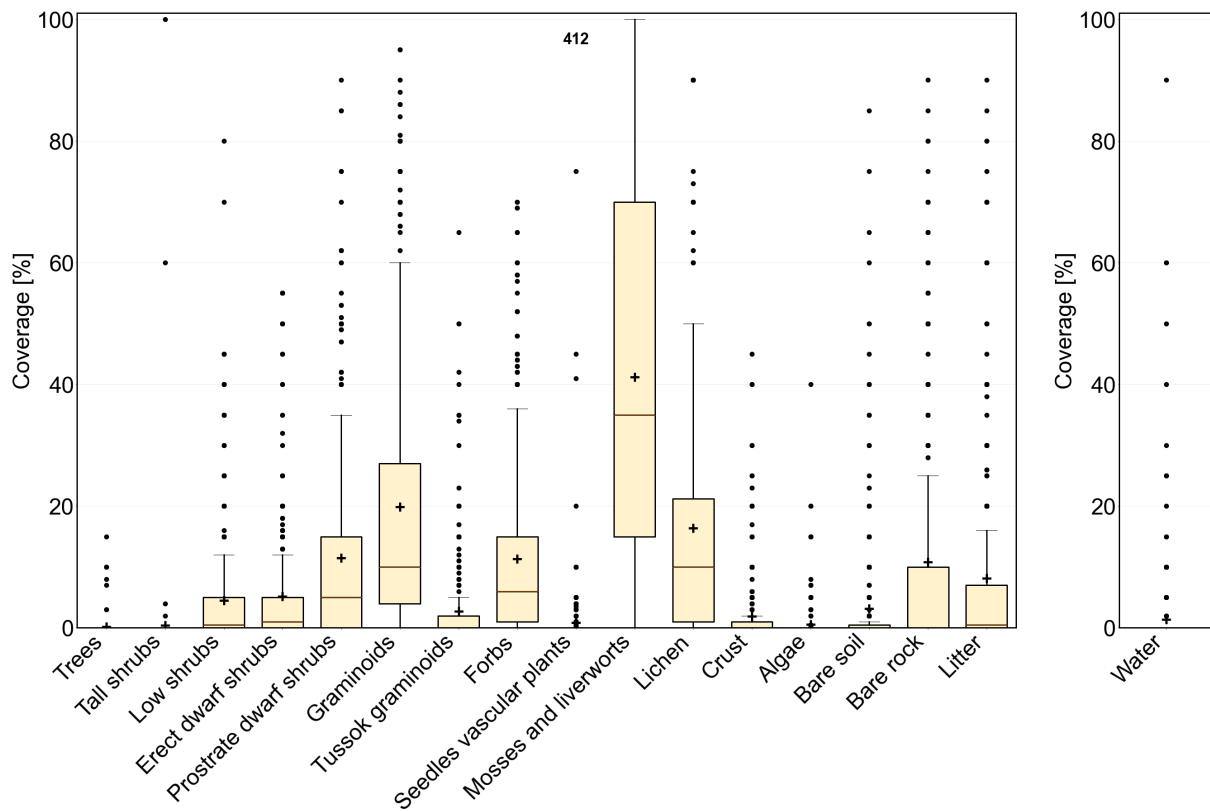
Figure B15. CALU #6 vegetation properties based on AVA (Zemlianskii et al., 2023).
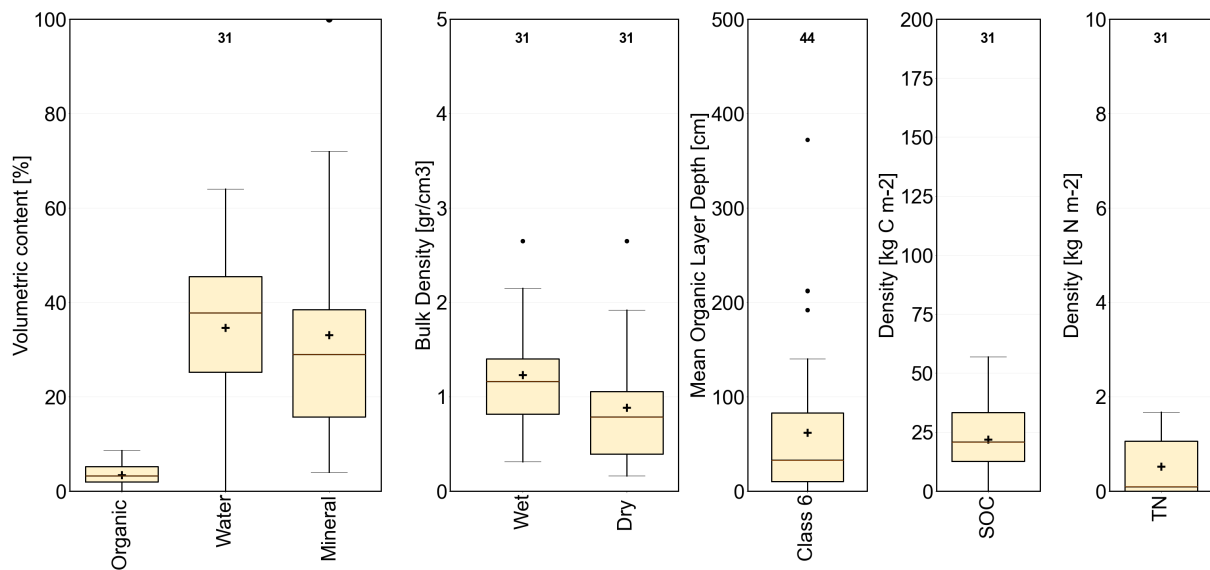
Figure B16. CALU #6 soil properties based on Palmtag et al. (2022).
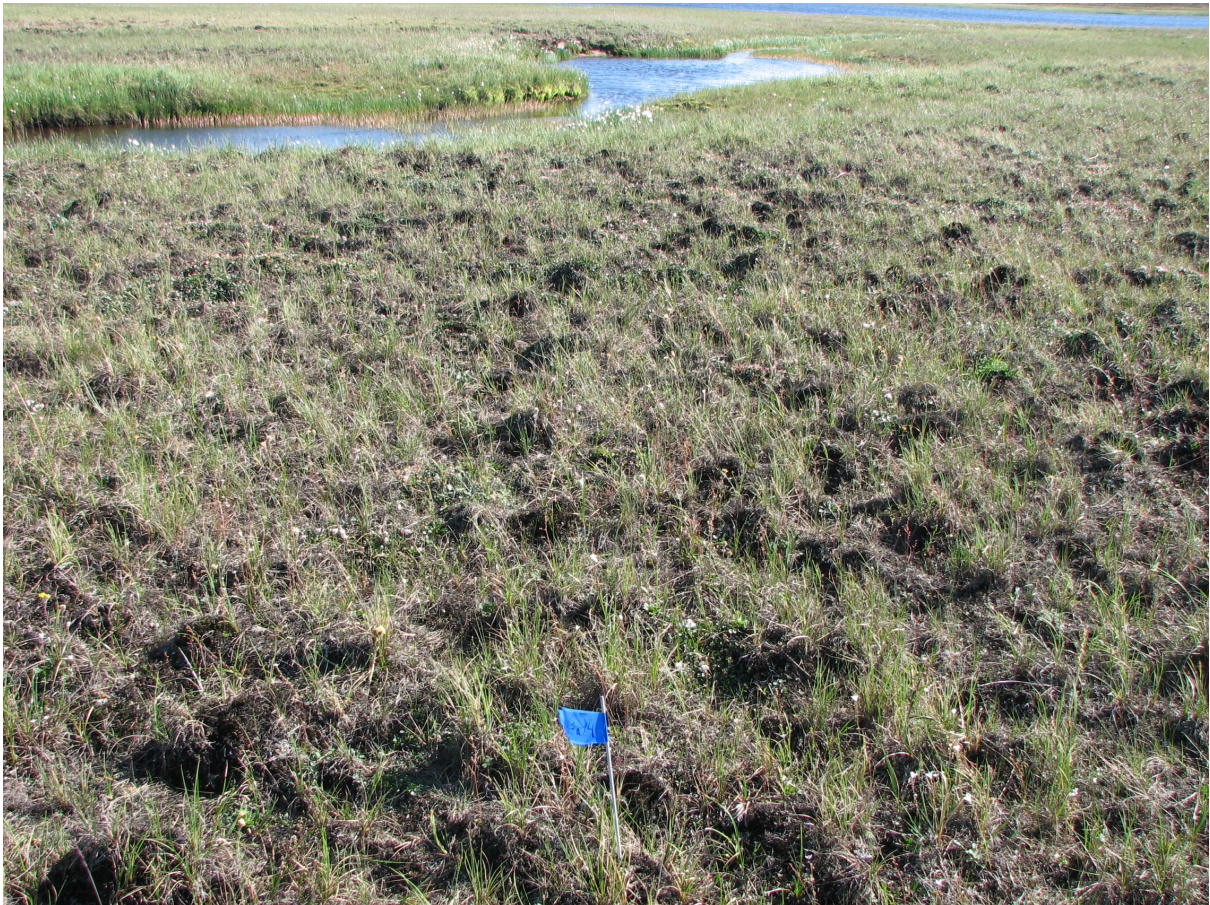
Figure B17. Example photograph CALU #6, foreground (Elena Troeva, 2017, Northern Yamal)

B8   CALU #7

Description: Dry tundra, abundant lichen, prostrate shrubs; low to medium organic layer thickness, high mineral volumetric content.
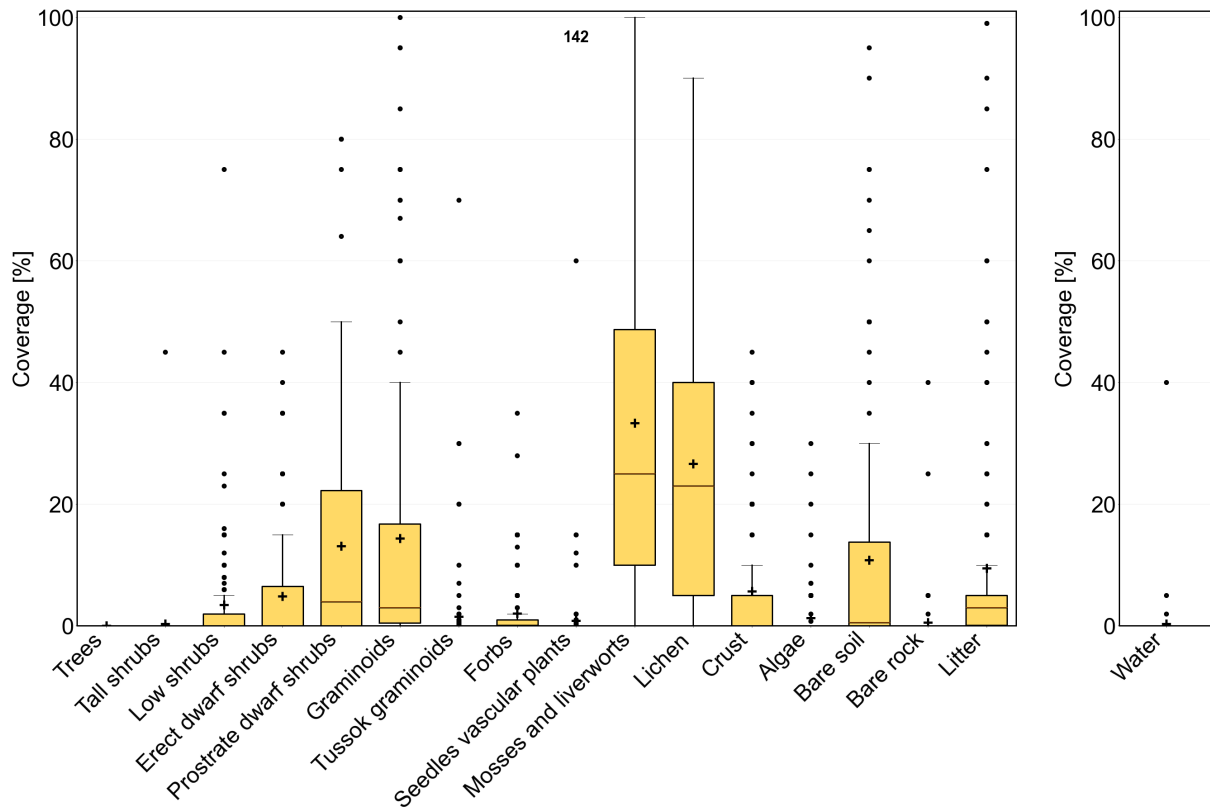
Figure B18. CALU #7 vegetation properties based on AVA (Zemlianskii et al., 2023).
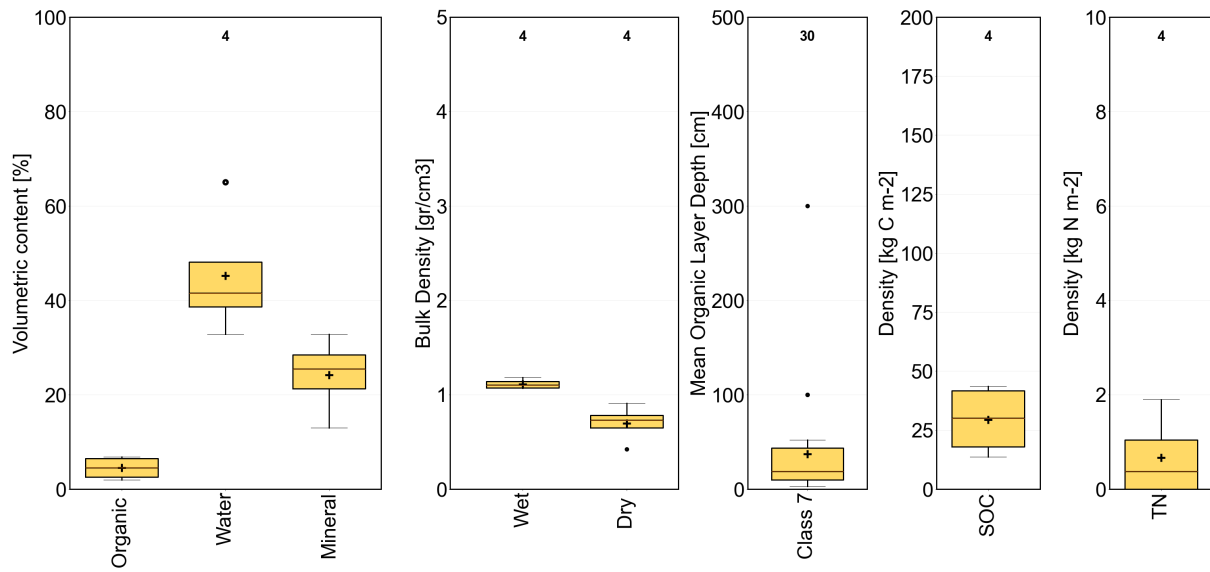
Figure B19. CALU #7 soil properties based on Palmtag et al. (2022).

Figure B20. Example photograph CALU #7 (Annett Bartsch 2018, Polar ~~Ural~~Urals)

Figure B21. Example photograph CALU #7 (Olga Khitun, 2017, Central Gydan)

B9   CALU #8

Description: Dry to aquatic tundra, dwarf shrubs (sparse tree cover along treeline, woodlands and open stands); medium organic layer thickness, medium mineral volumetric content.
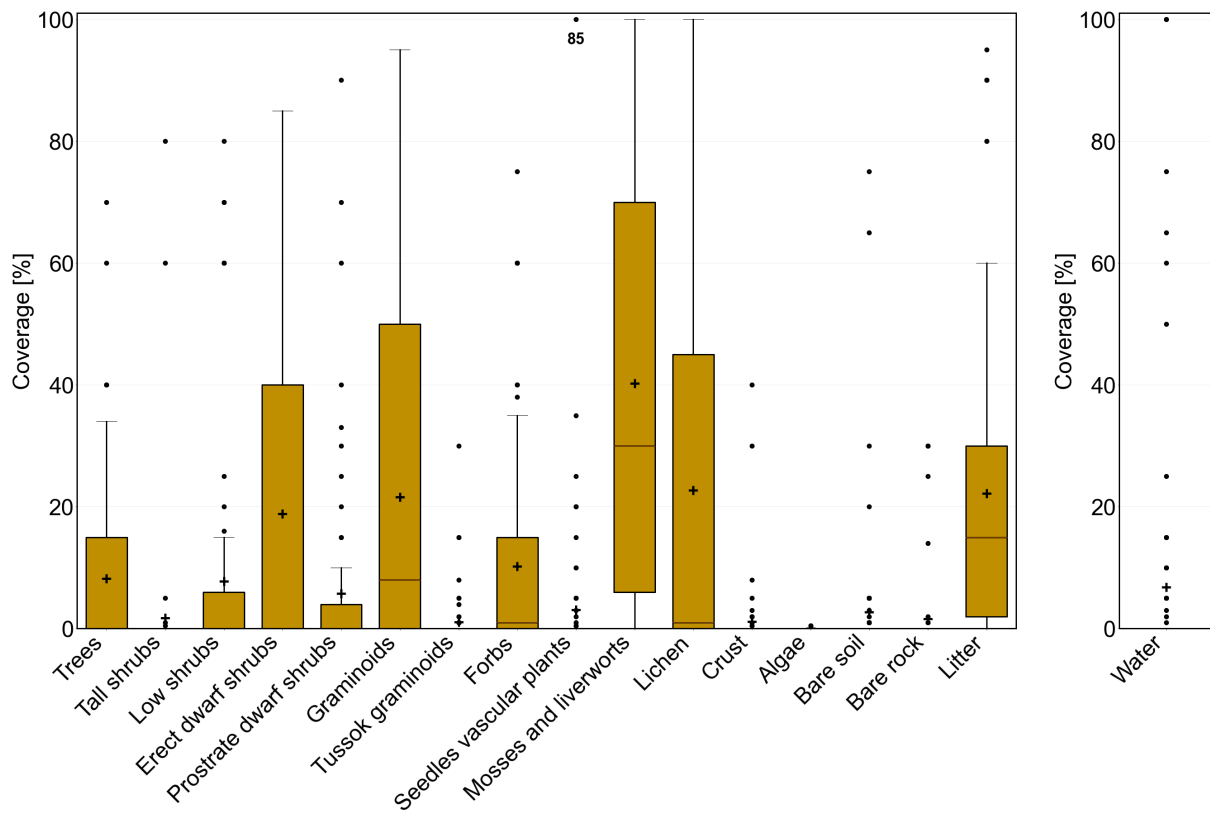
Figure B22. CALU #8 vegetation properties based on AVA (Zemlianskii et al., 2023).
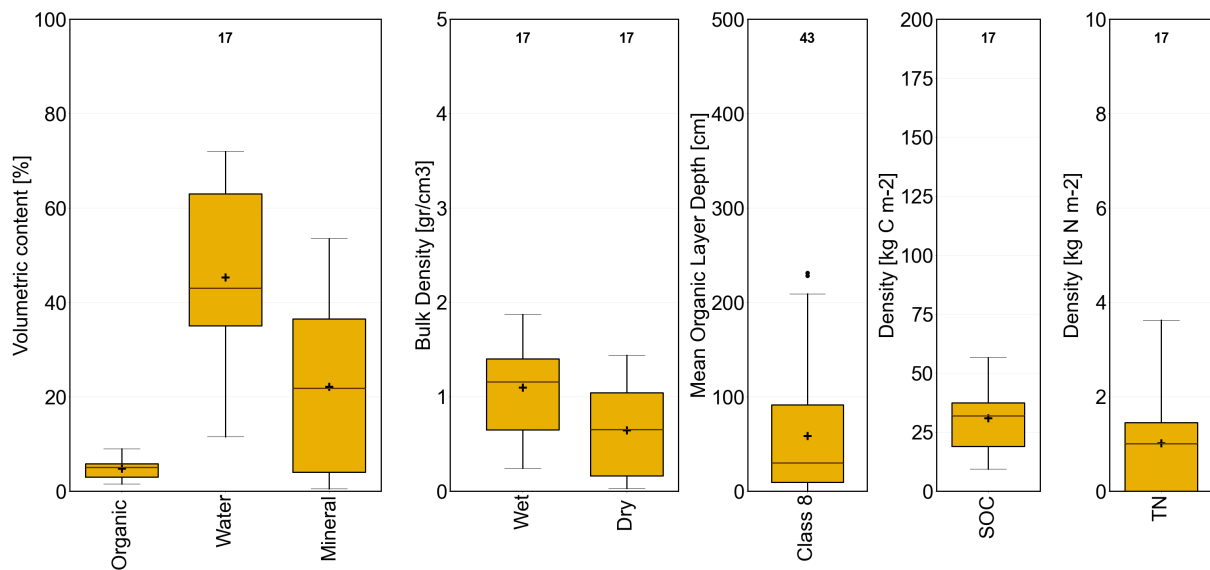
Figure B23. CALU #8 soil properties based on Palmtag et al. (2022).
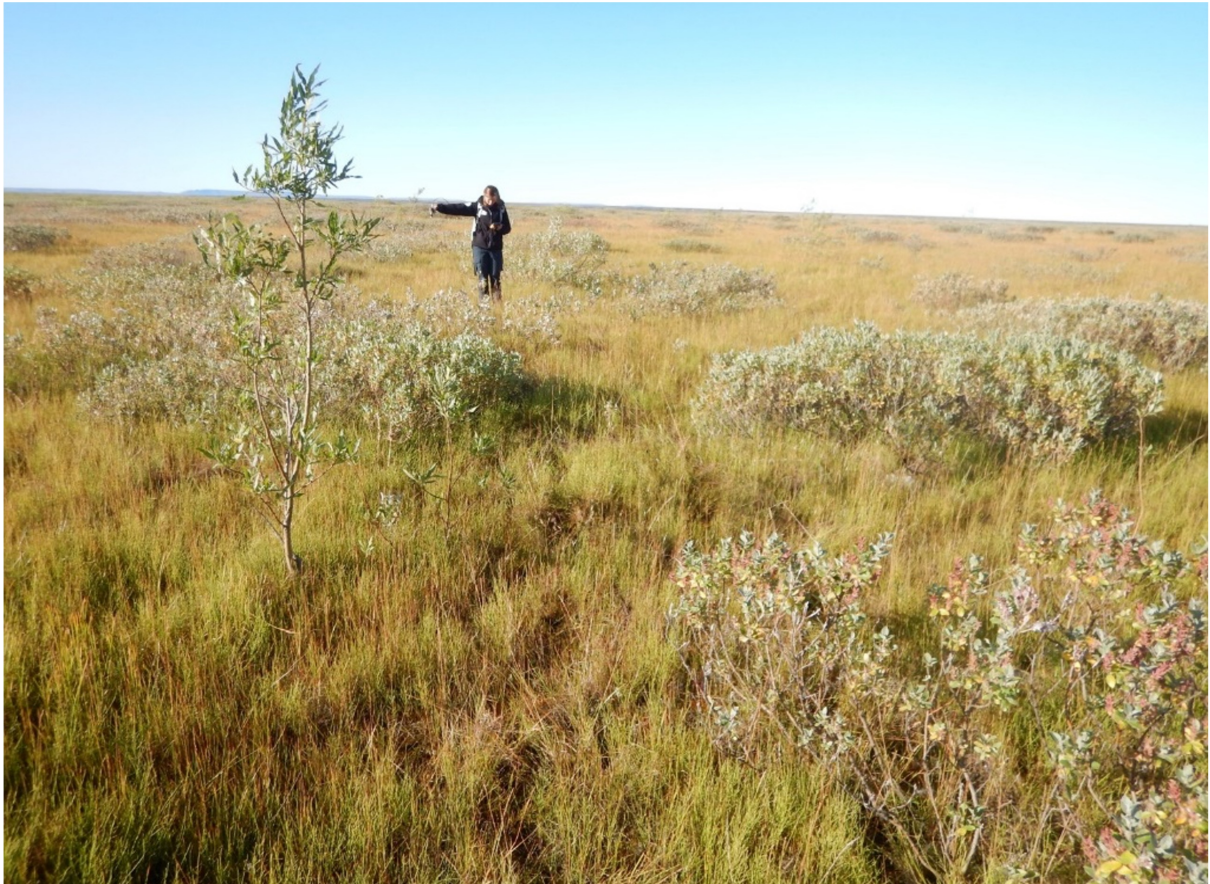
Figure B24. Example photograph CALU #8 (Birgit Heim, Lena delta)

Figure B25. Example photograph CALU #8 (Annett Bartsch, 2023, Inuvik region)

B10 CALU #9

740 **Description:** Dry to moist tundra, prostrate to low shrubs, tussocks; low to medium organic layer thickness, medium mineral volumetric content.
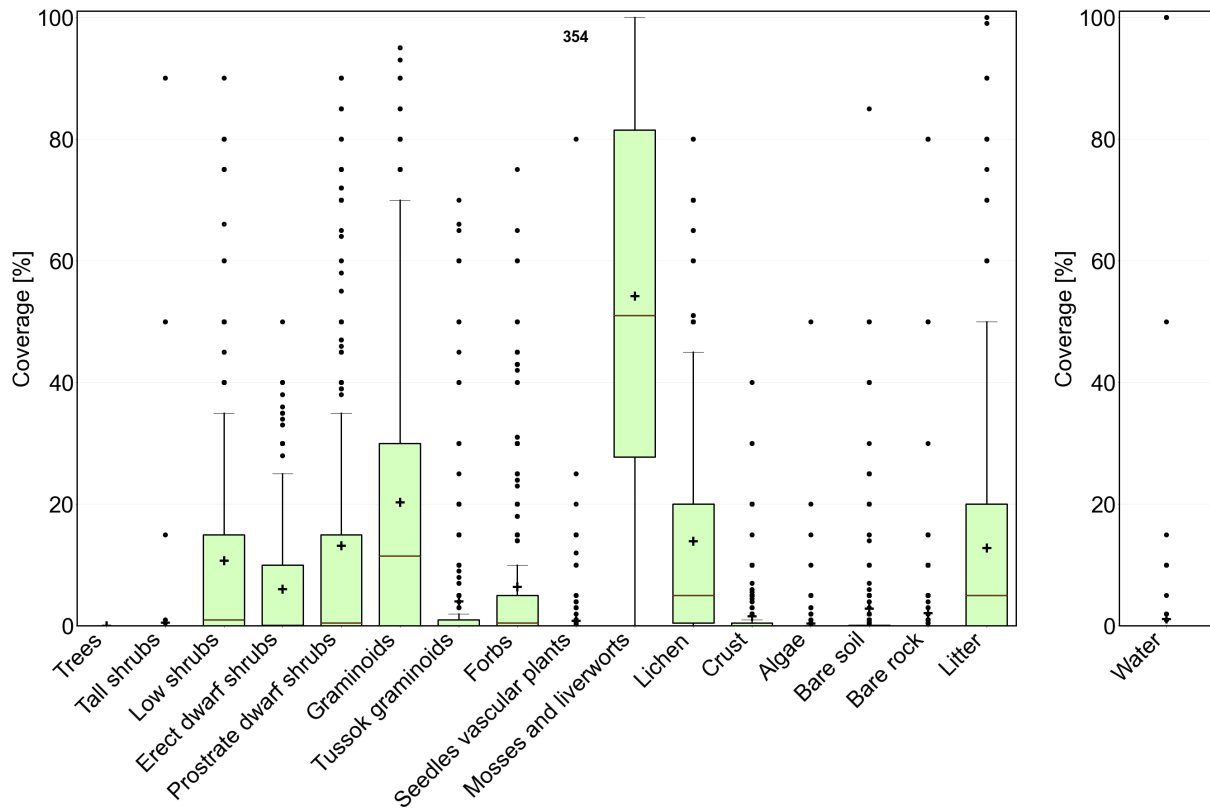
Figure B26. CALU #9 vegetation properties based on AVA (Zemlianskii et al., 2023).
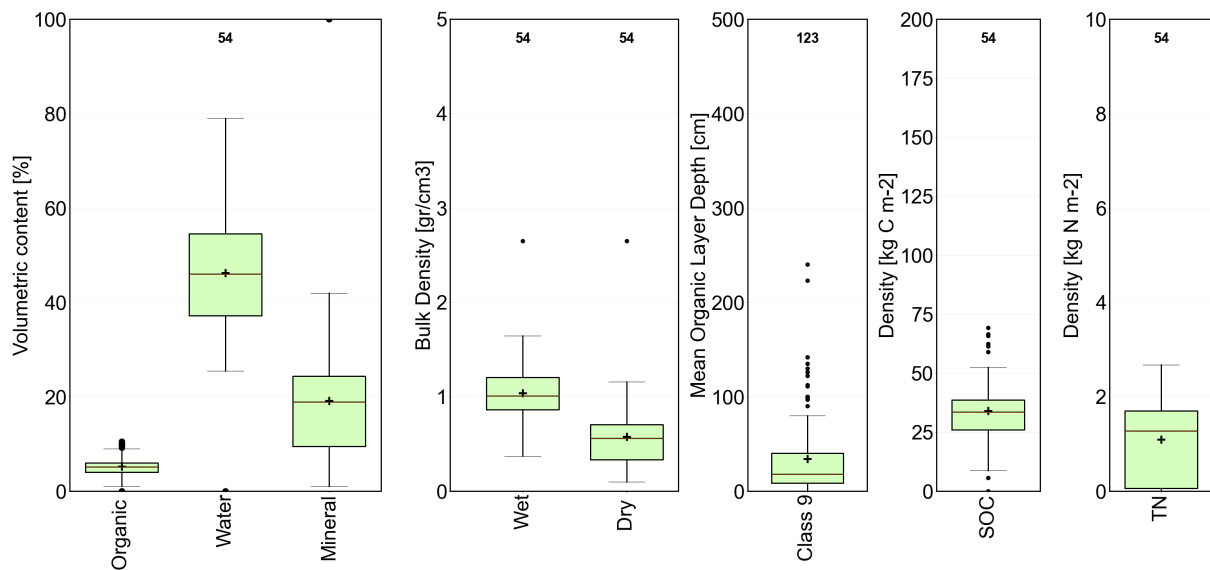
Figure B27. CALU #9 soil properties based on Palmtag et al. (2022).
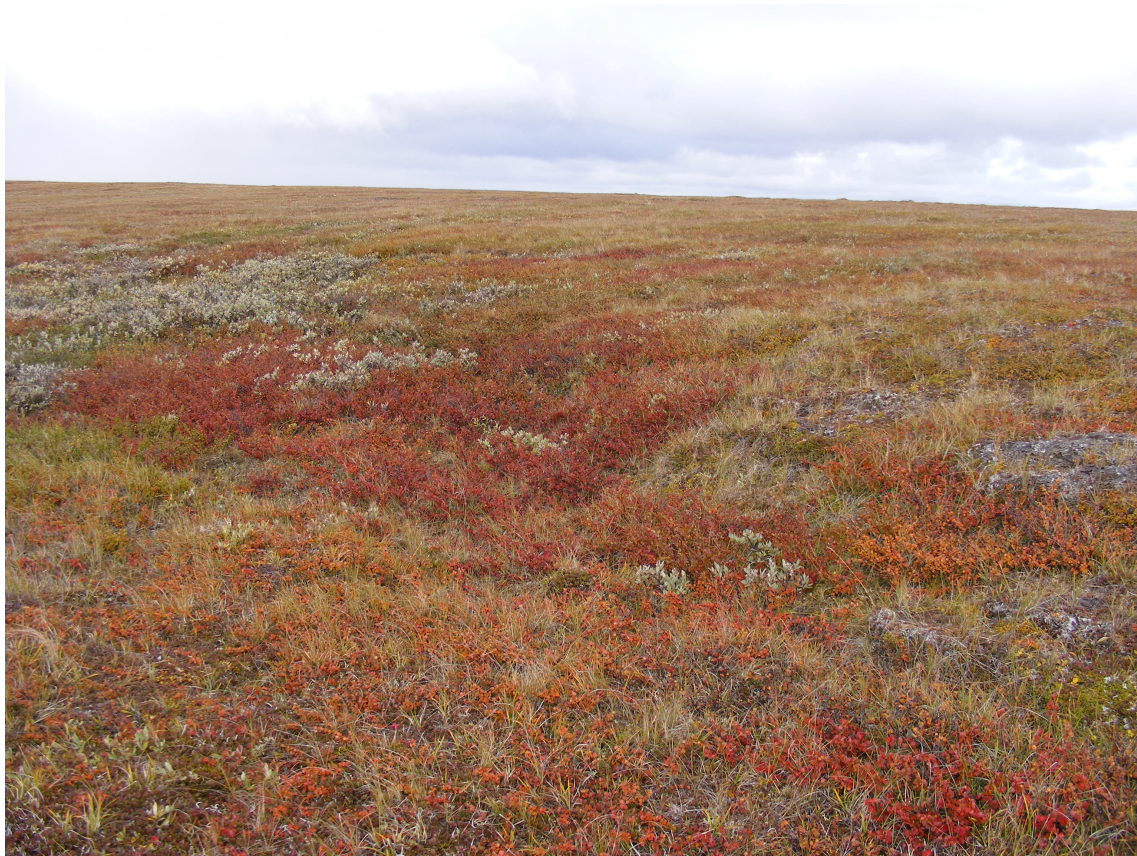
Figure B28. Example photograph CALU #9 (Marina Leibman, 29.08.2014, central Yamal)

Figure B29. Example photograph CALU #9 (Annett Bartsch, 2023, Inuvik region)

Figure B30. Example photograph CALU #9 (Birgit Heim, 2018, Lena Delta 3rd terrace)

B11 CALU #10

Description: Moist tundra, abundant moss, prostrate to low shrubs, tussocks; low organic layer thickness, medium mineral volumetric content.
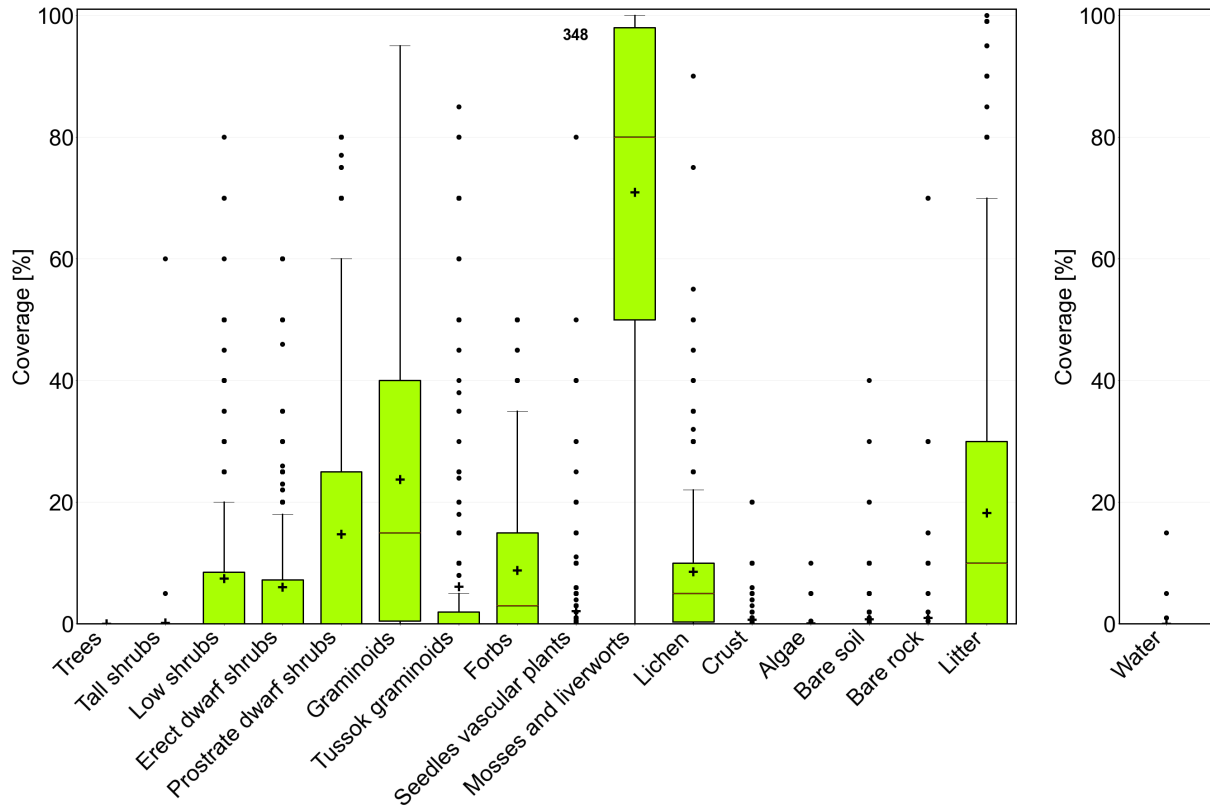
Figure B31. CALU #10 vegetation properties based on AVA (Zemlianskii et al., 2023).
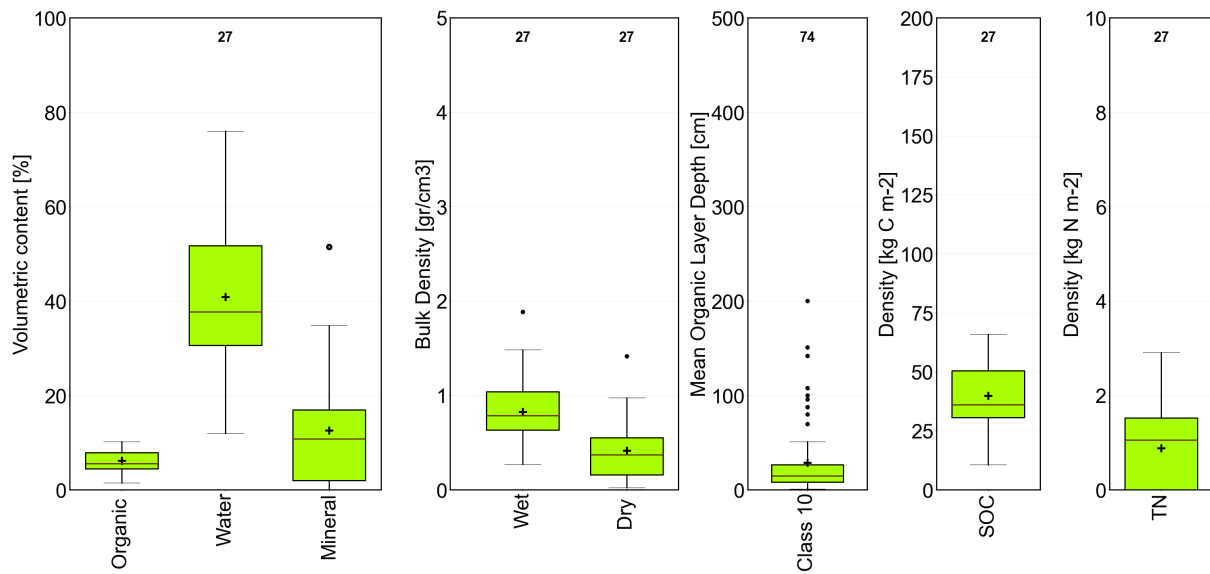
Figure B32. CALU #10 soil properties based on Palmtag et al. (2022).

Figure B33. Example photograph CALU #10 (Marina ~~Leibmann~~Leibman, central Yamal)

 B12   CALU #11

Description: Moist tundra, abundant moss, dwarf and low shrubs, tussocks; low organic layer thickness, medium mineral volumetric content.
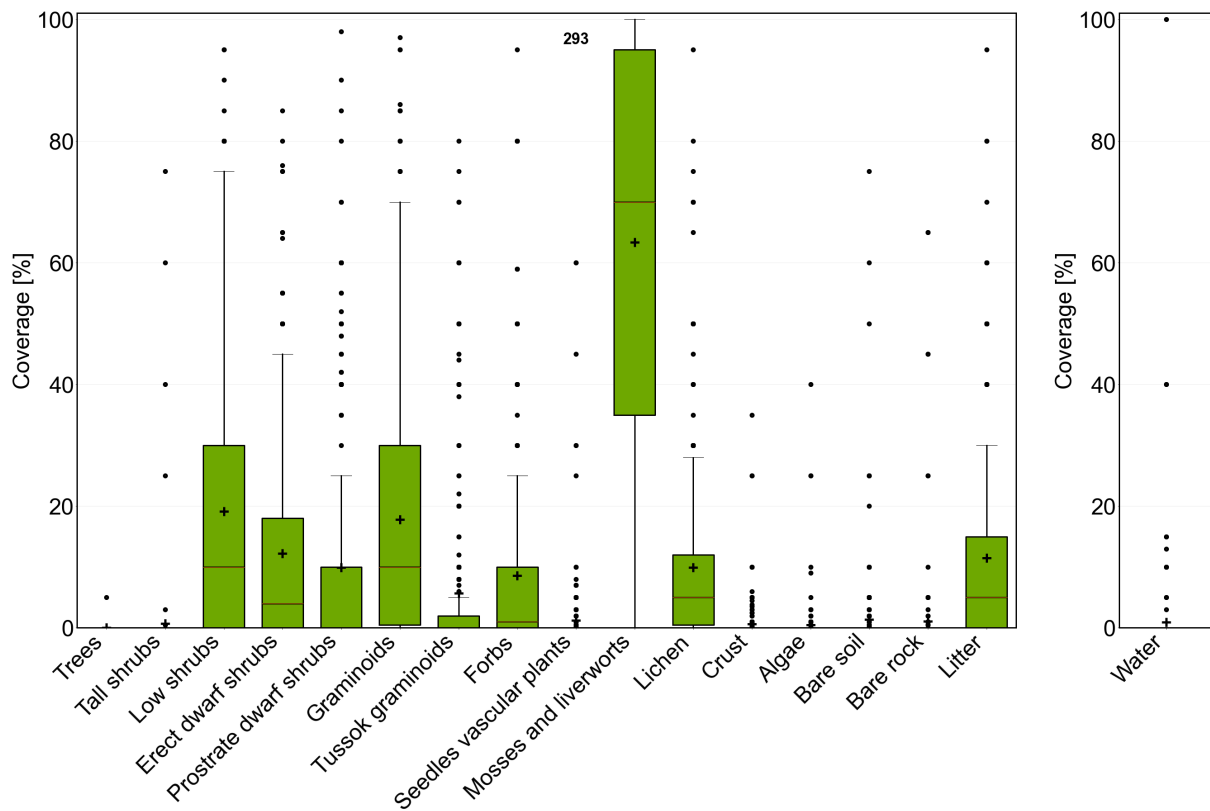
Figure B34. CALU #11 vegetation properties based on AVA (Zemlianskii et al., 2023).
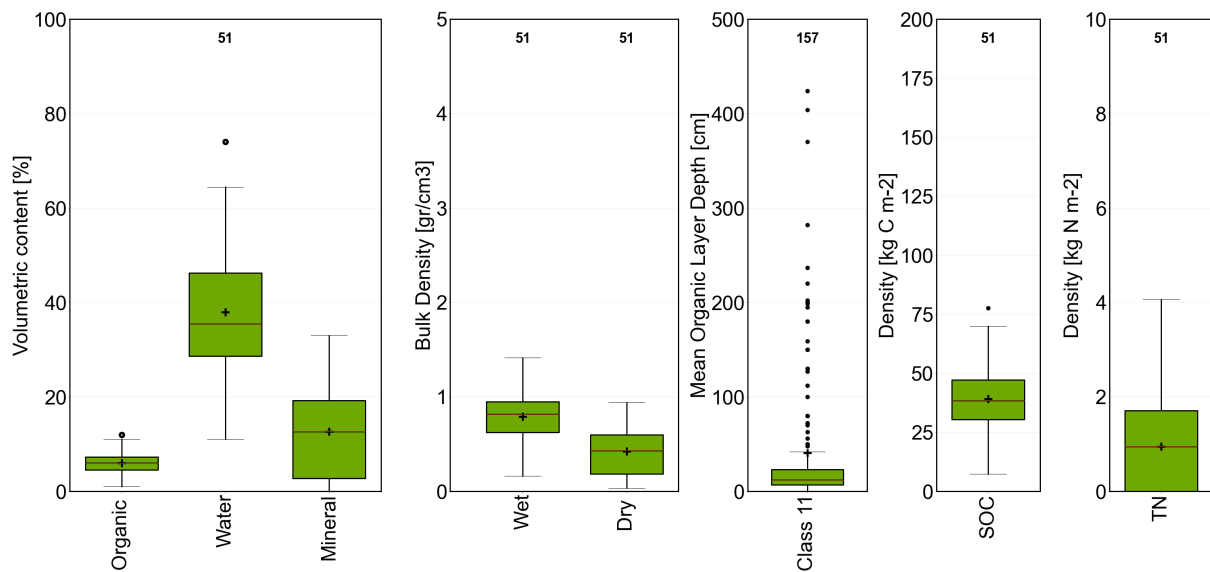
Figure B35. CALU #11 soil properties based on Palmtag et al. (2022).

Figure B36. Example photograph CALU #11 (Annett Bartsch 2018, Polar ~~Ural~~Urals)

Figure B37. Example photograph CALU #11 (Annett Bartsch 2023, Inuvik region)

B13   CALU #12

Description: Moist tundra, dense dwarf and low shrubs (sparse tree cover along treeline, woodlands with open stands); medium organic layer thickness, low mineral volumetric content.
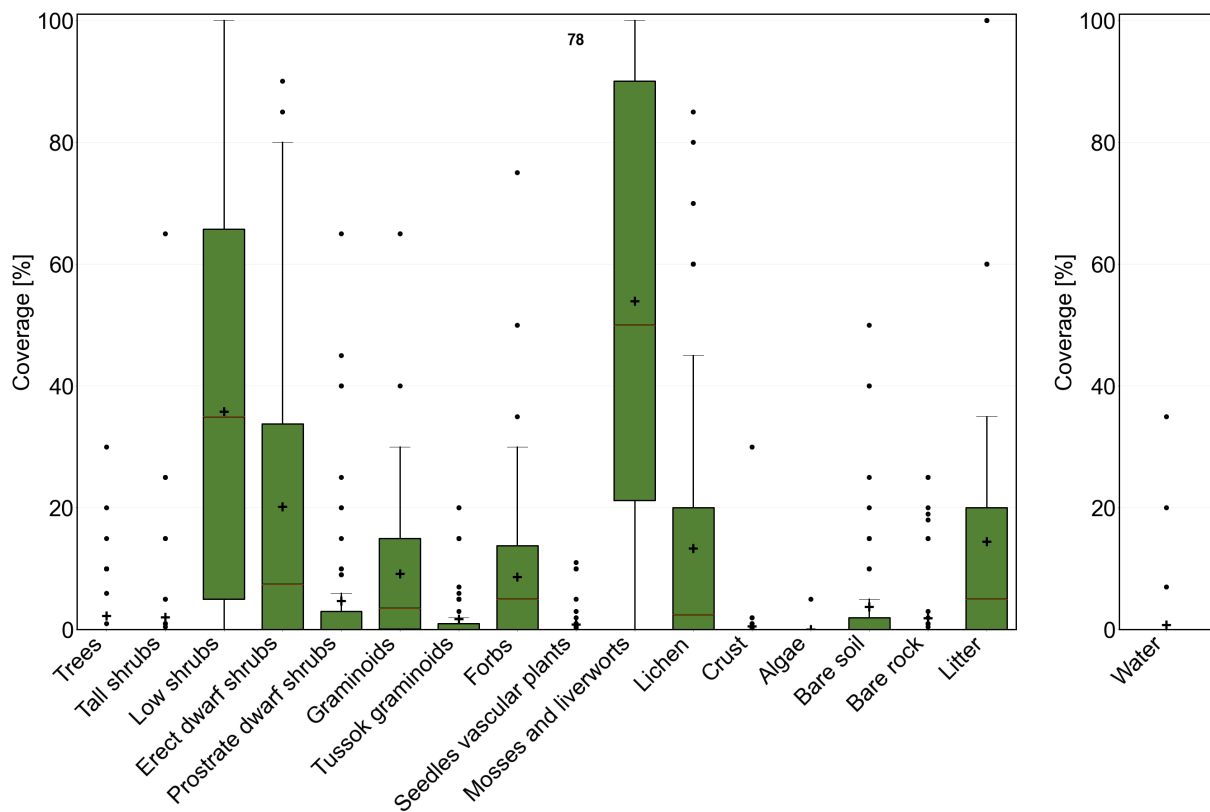
Figure B38. CALU #12 vegetation properties based on AVA (Zemlianskii et al., 2023).
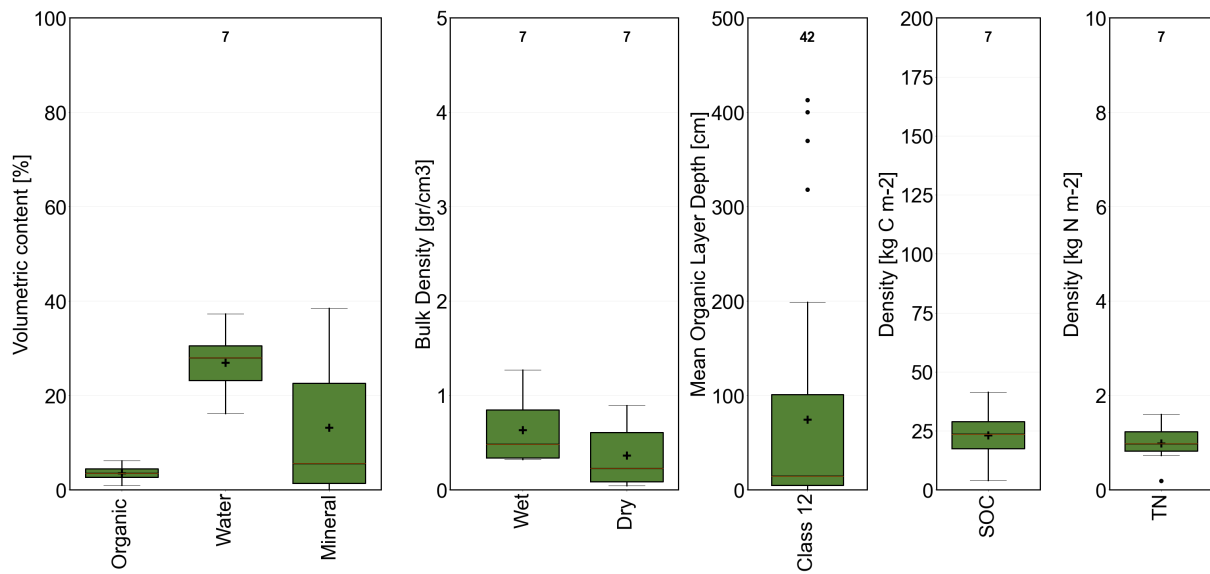
Figure B39. CALU #12 soil properties based on Palmtag et al. (2022).

Figure B40. Example photograph CALU #12, foreground (Ksenia Ermokhina , 01.08. 2011, Polar Urals; mostly *Betula nana*, scattered *Salix* ssp.).

Figure B41. Example photograph CALU #12 (Mareike Wieczorek, 11.08.2012, Kolyma region; mostly *Betula nana* in proximity to patches of CALU ##3.

B14   CALU #13

Description: Moist to wet tundra, dense dwarf and low shrubs (sparse tree cover along treeline, woodlands with open stands).
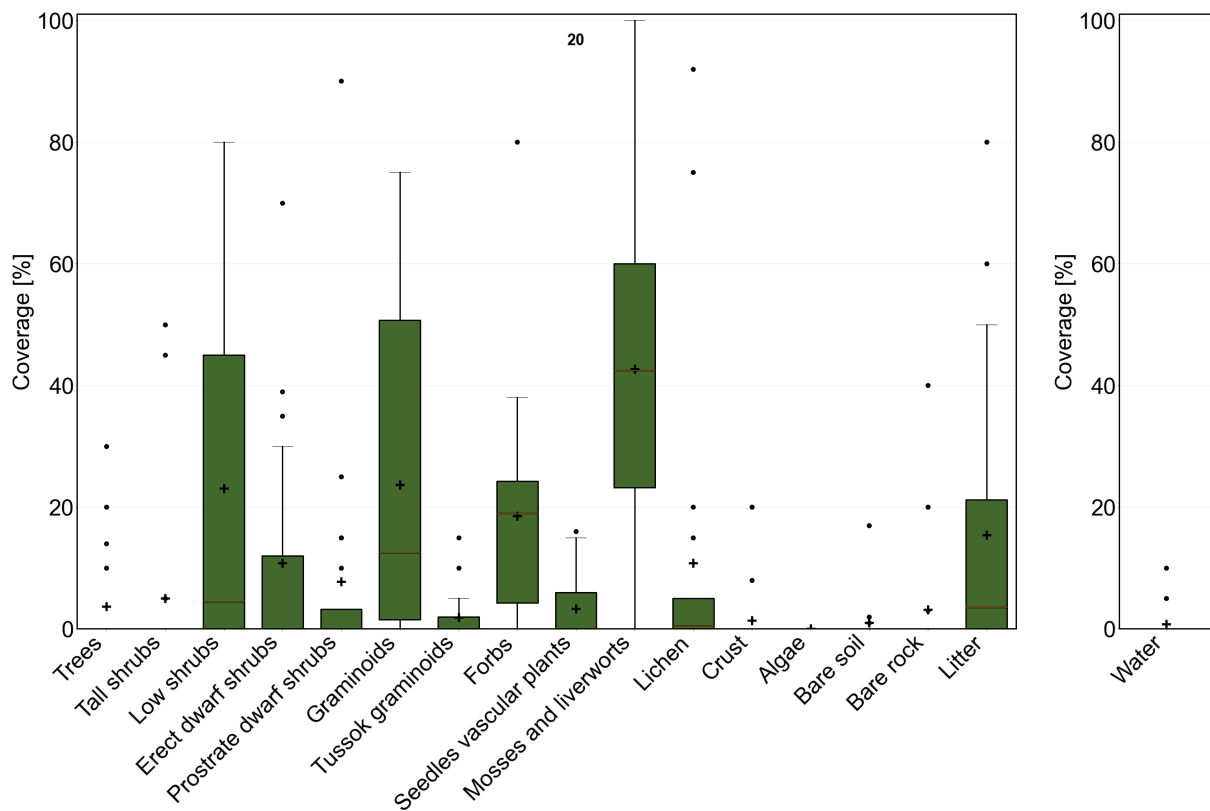
Figure B42. CALU #13 vegetation properties based on AVA (Zemlianskii et al., 2023).

Figure B43. Example photograph CALU #13 (Clemens von Baeckmann, 25.07.2023, Inuvik region).

B15 CALU #14

Description: Moist tundra, low shrubs; medium organic layer thickness, medium mineral volumetric content.
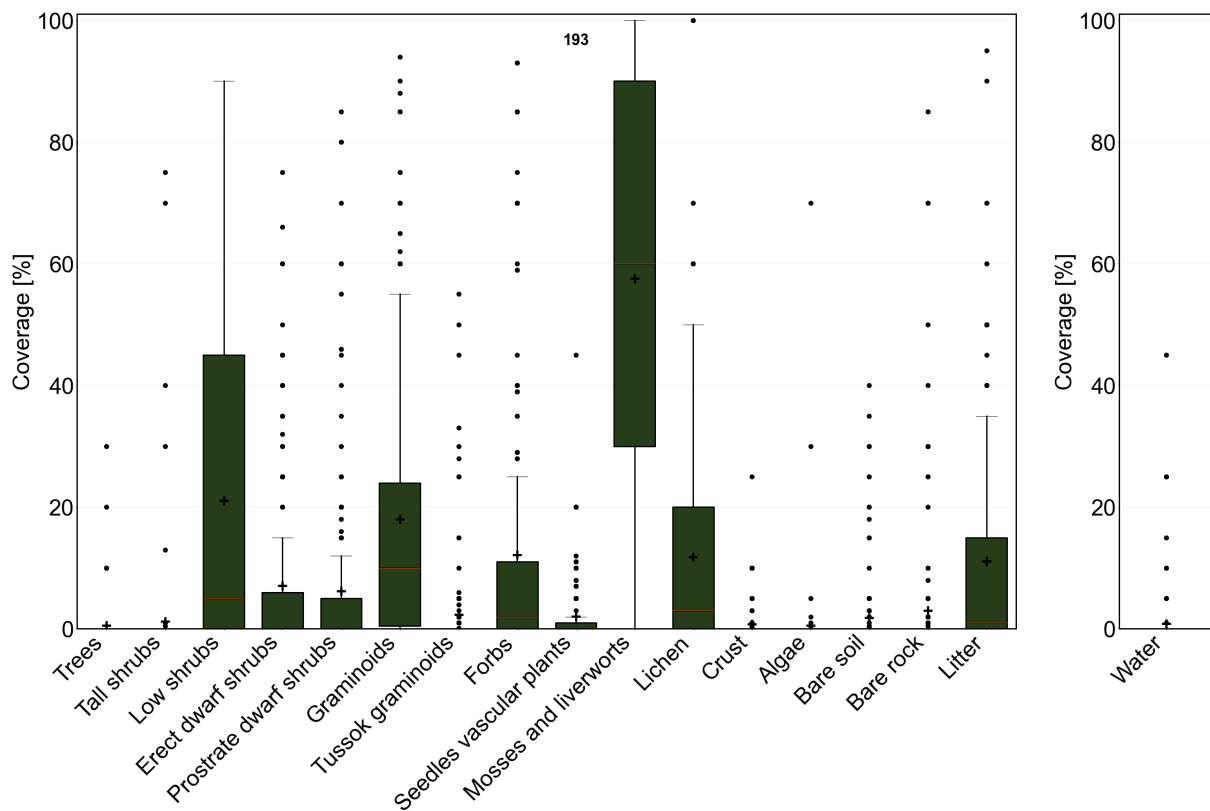
Figure B44. CALU #14 vegetation properties based on AVA (Zemlianskii et al., 2023).
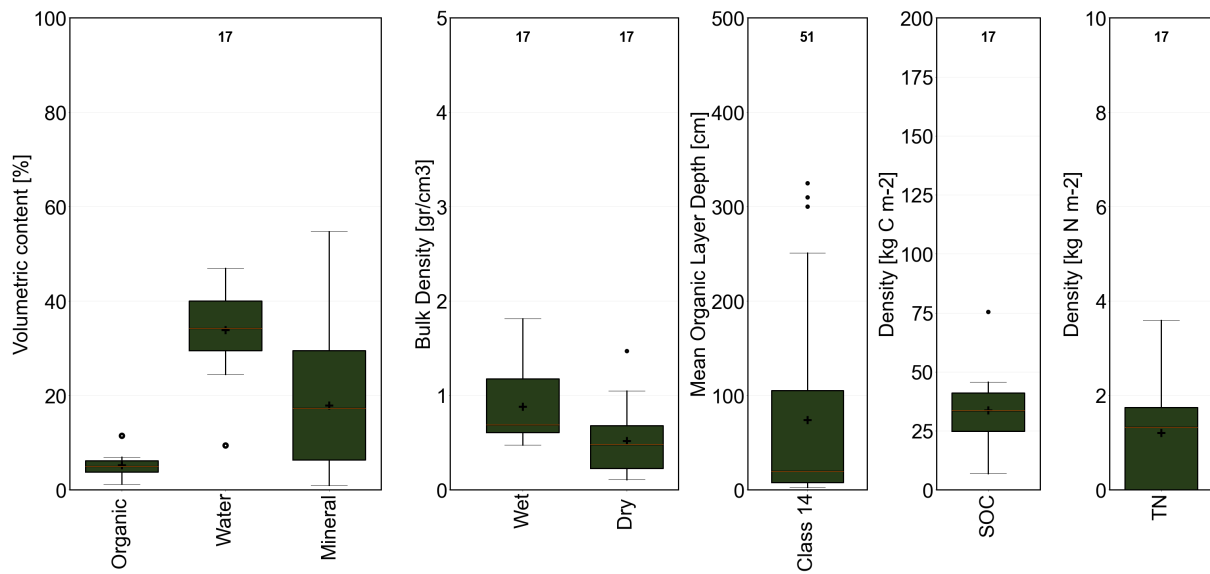
Figure B45. CALU #14 soil properties based on Palmtag et al. (2022).

Figure B46. Example photograph CALU #14 (Marina ~~Leibmann~~Leibman, 29.08.2014, central Yamal; mostly *Salix* ssp.)

Figure B47. Example photograph CALU #14 (Annett Bartsch 24.07.2023, Inuvik region, backround *Salix* ssp., left and right *Alnus* ssp.)

Description: Moist to wet tundra, abundant lichen, in some cases partially barren (disturbed).
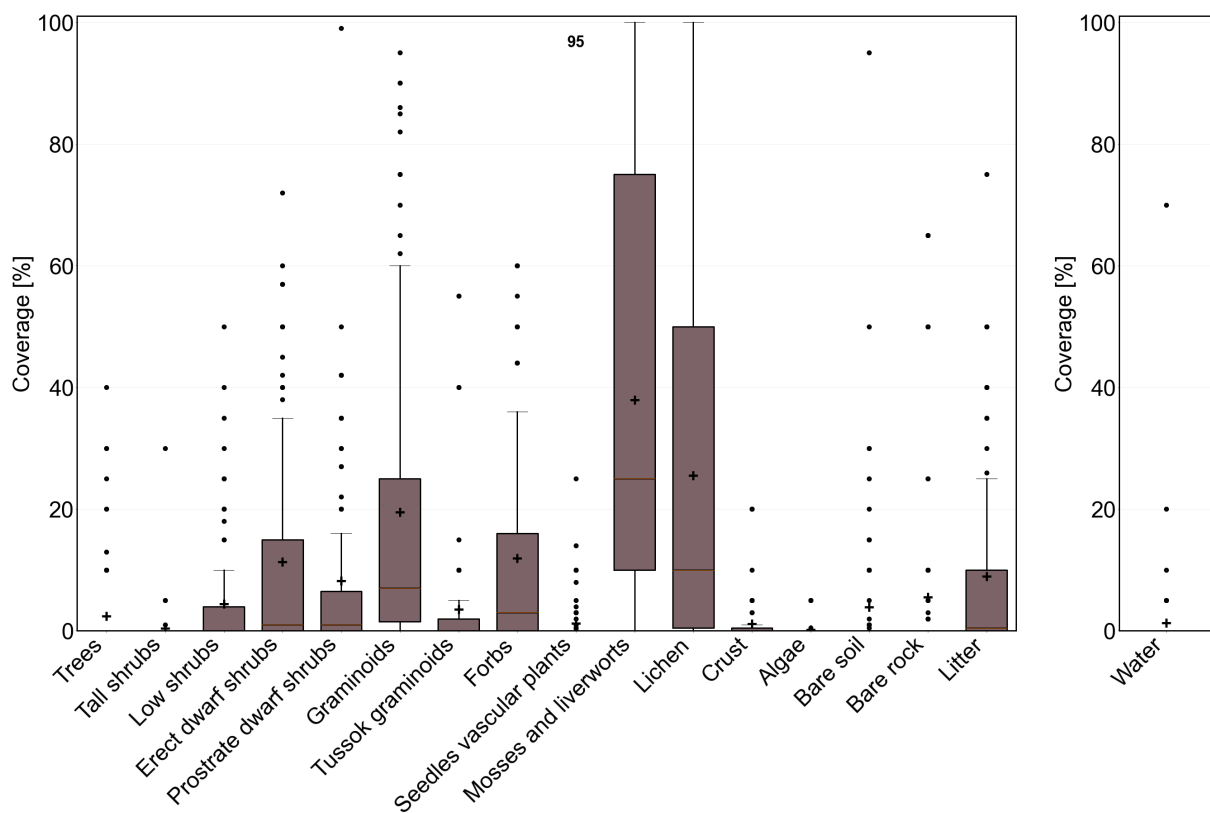
Figure B48. CALU #15 vegetation properties based on AVA (Zemlianskii et al., 2023).
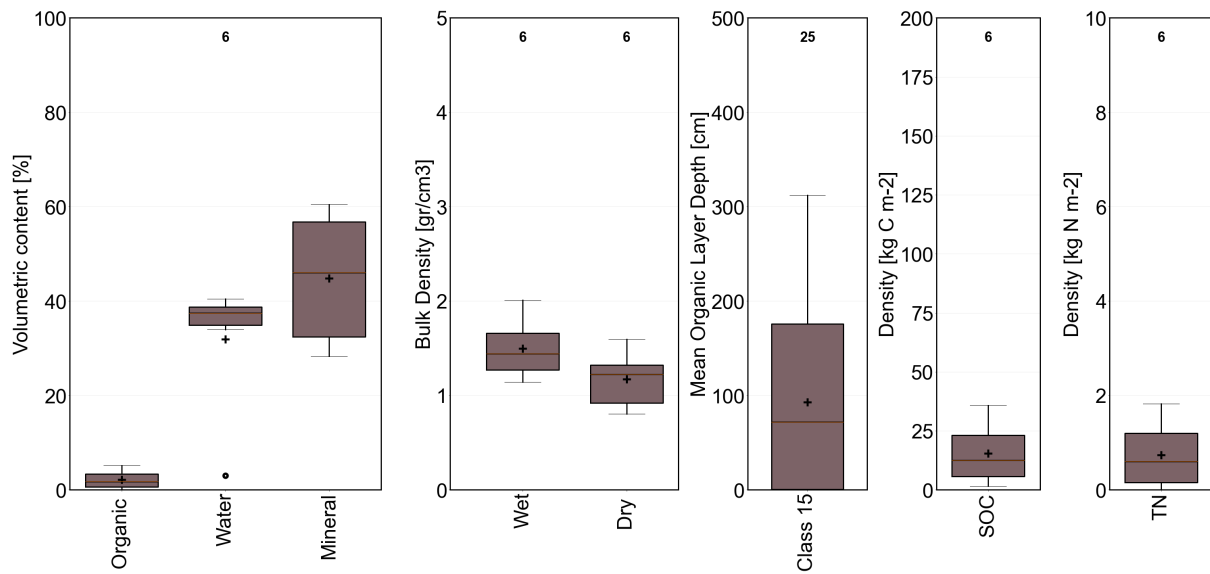
Figure B49. CALU #15 soil properties based on Palmtag et al. (2022).

Figure B50. Example photograph CALU #15 (Veronika Döpfer, 17.07.2023, Inuvik region)

B17   CALU #16

Description: Moist tundra, abundant forbs, dwarf to tall shrubs; medium organic layer thickness.
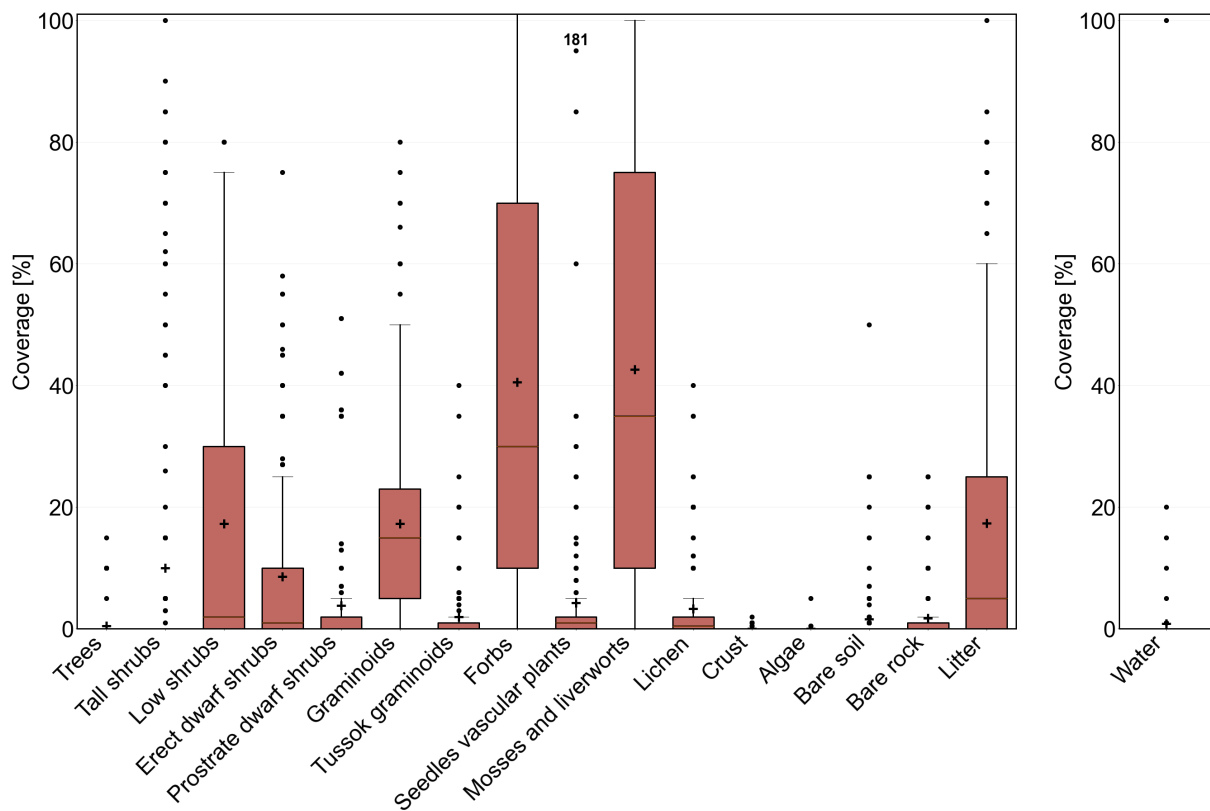
Figure B51. CALU #16 vegetation properties based on AVA (Zemlianskii et al., 2023).
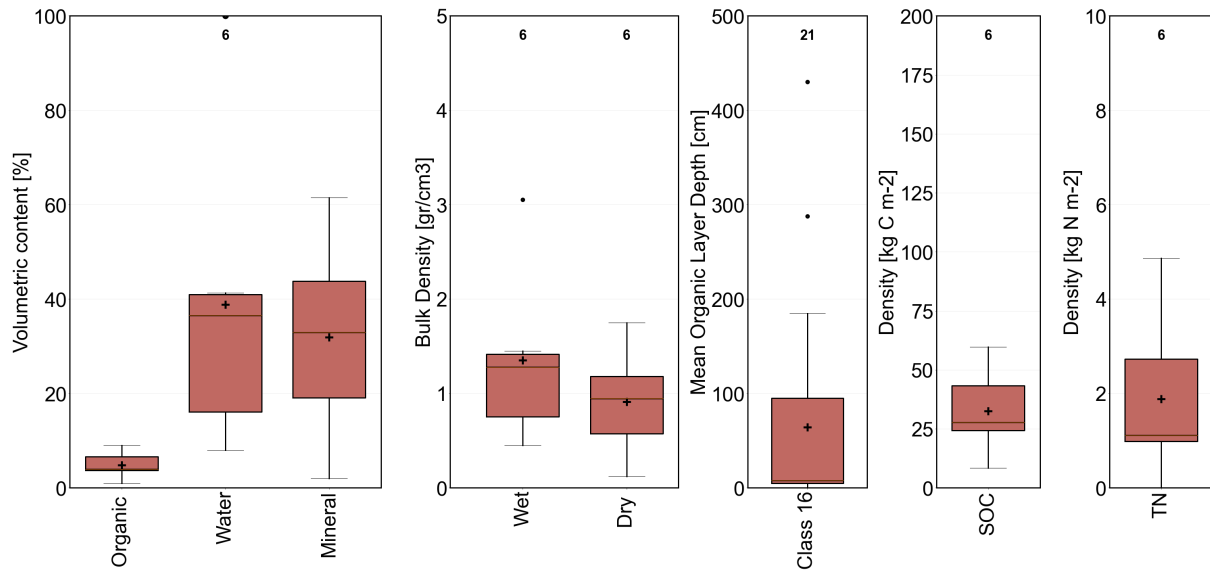
Figure B52. CALU #16 soil properties based on Palmtag et al. (2022).

Figure B53. Example photograph CALU #16 (Annett Bartsch 2018, Polar ~~Ural~~Urals)

B18   CALU #17

760   **Description:** Recently burned or flooded, partially barren.
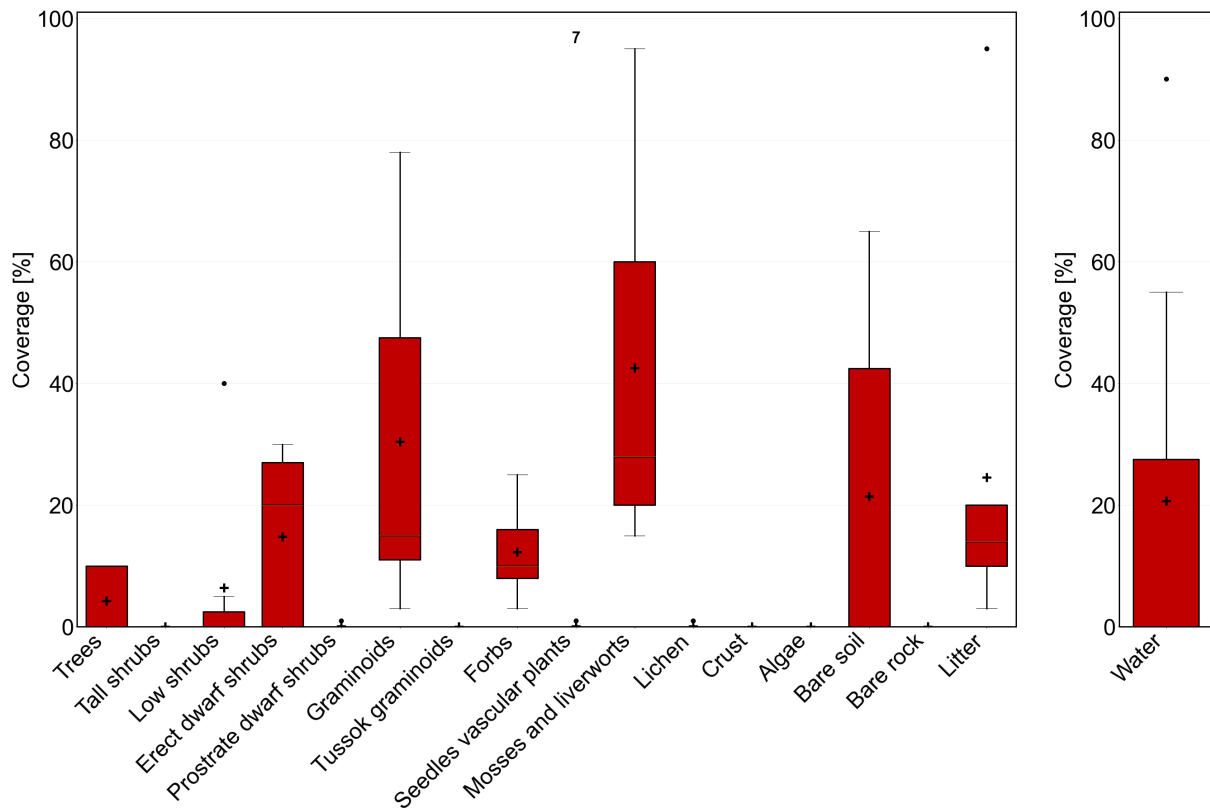
Figure B54. CALU #17 vegetation properties based on AVA (Zemlianskii et al., 2023).

Figure B55. Example photograph CALU #17 (Annett Bartsch 27.07.2023, Inuvik)

B19   CALU #18

Description: Forest (deciduous) with dwarf to tall shrubs.
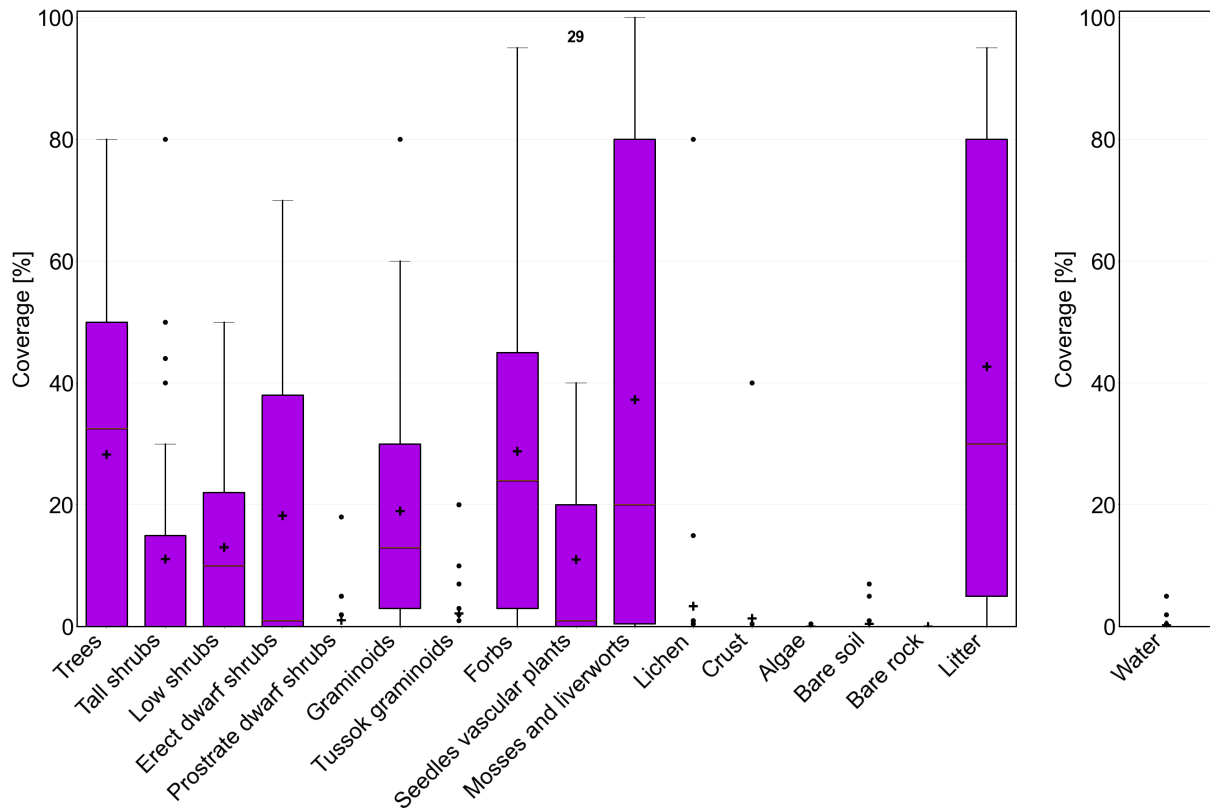
Figure B56. CALU #18 vegetation properties based on AVA (Zemlianskii et al., 2023).

B20   CALU #19

Description: Forest (mixed) with dwarf to tall shrubs.
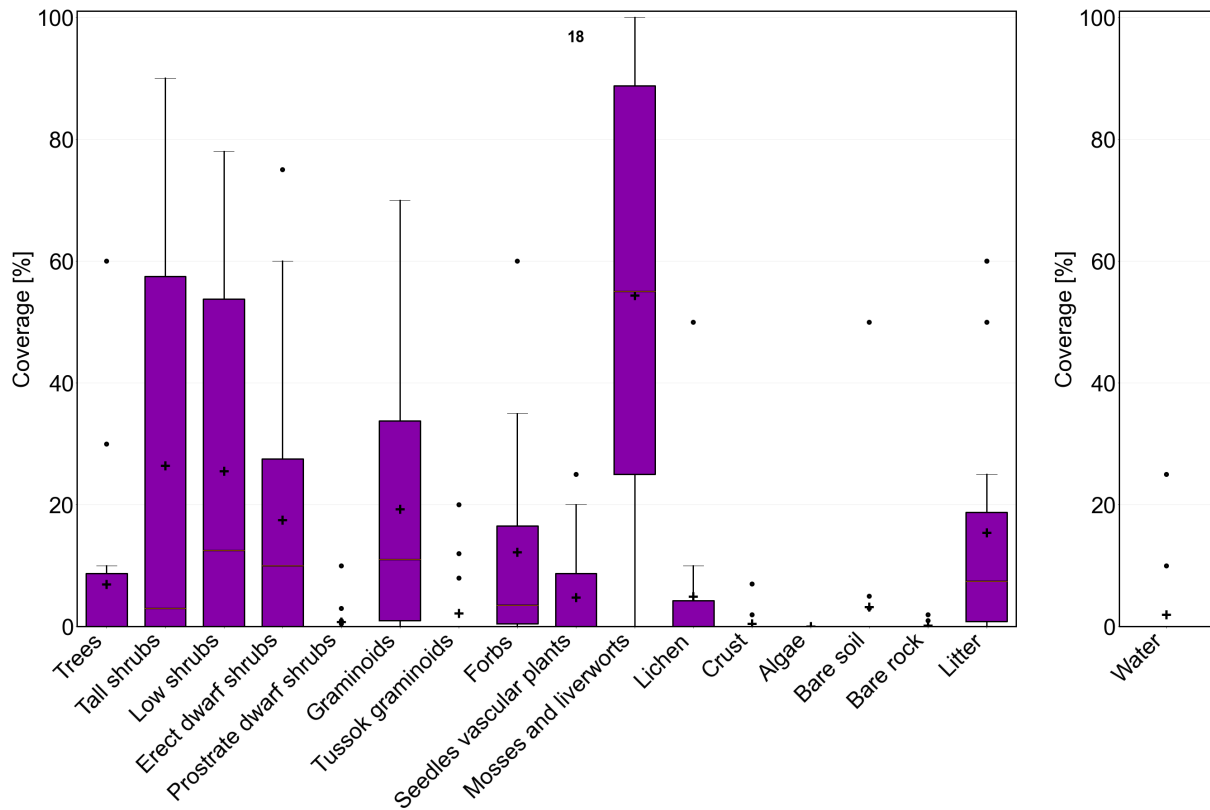
Figure B57. CALU #19 vegetation properties based on AVA (Zemlianskii et al., 2023).
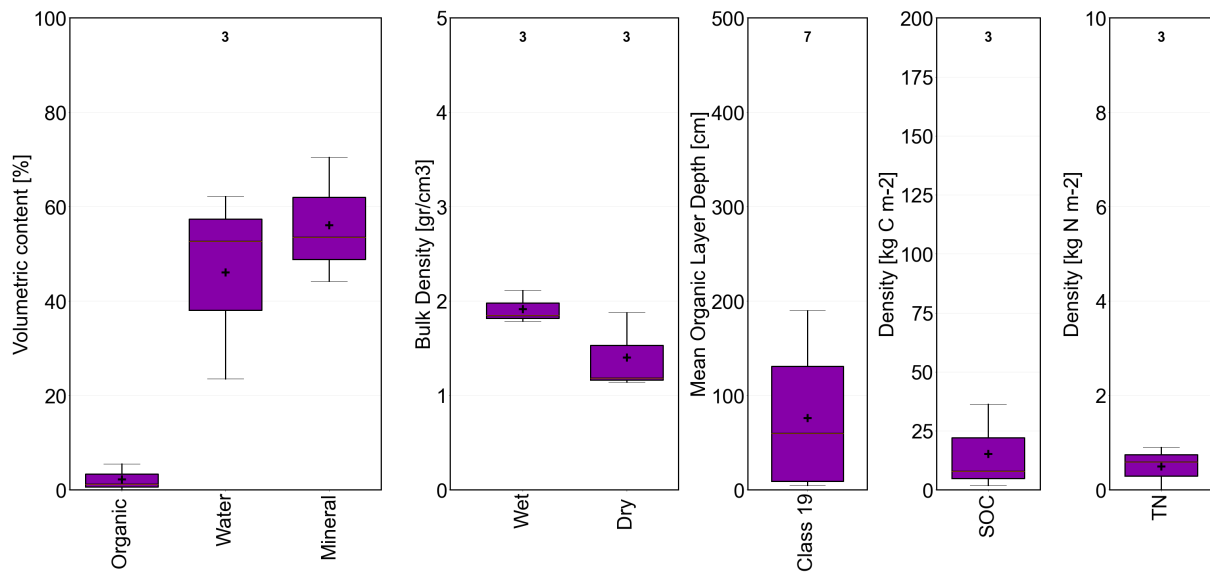
Figure B58. CALU #19 soil properties based on Palmtag et al. (2022).

Description: Forest (needle leave) with dwarf and low shrubs.
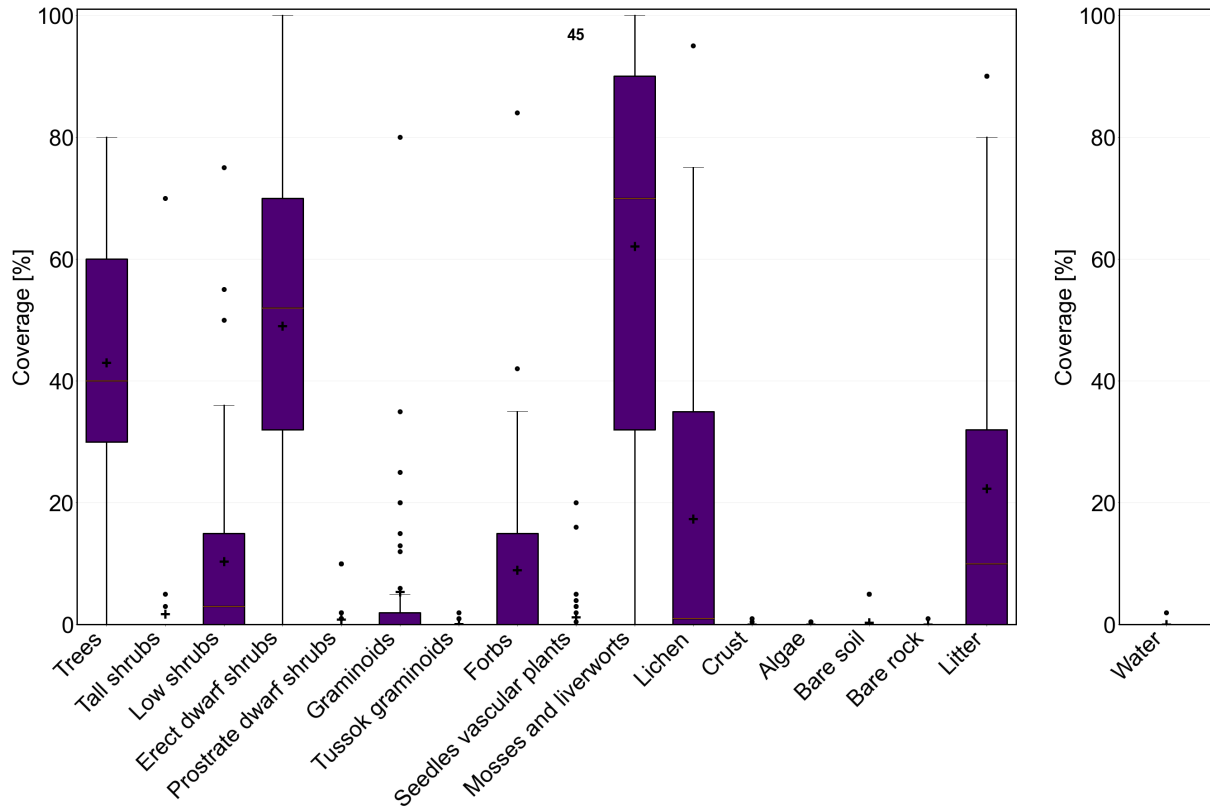
Figure B59. CALU #20 vegetation properties based on AVA (Zemlianskii et al., 2023).
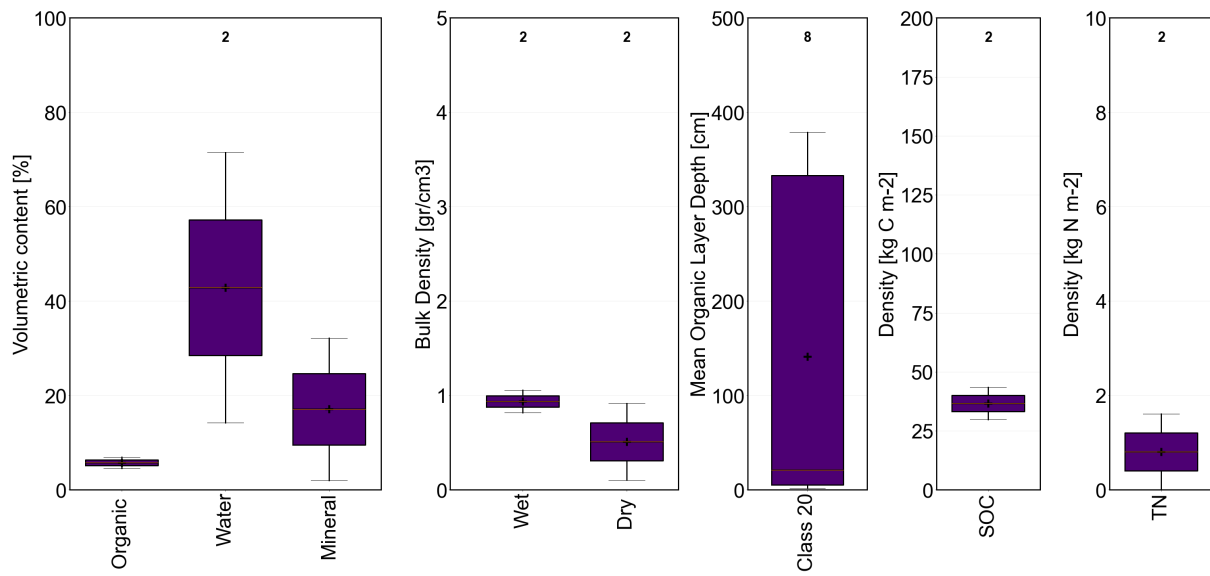
Figure B60. CALU #20 soil properties based on Palmtag et al. (2022).

B22   CALU #21

Description: Partially barren, dry.
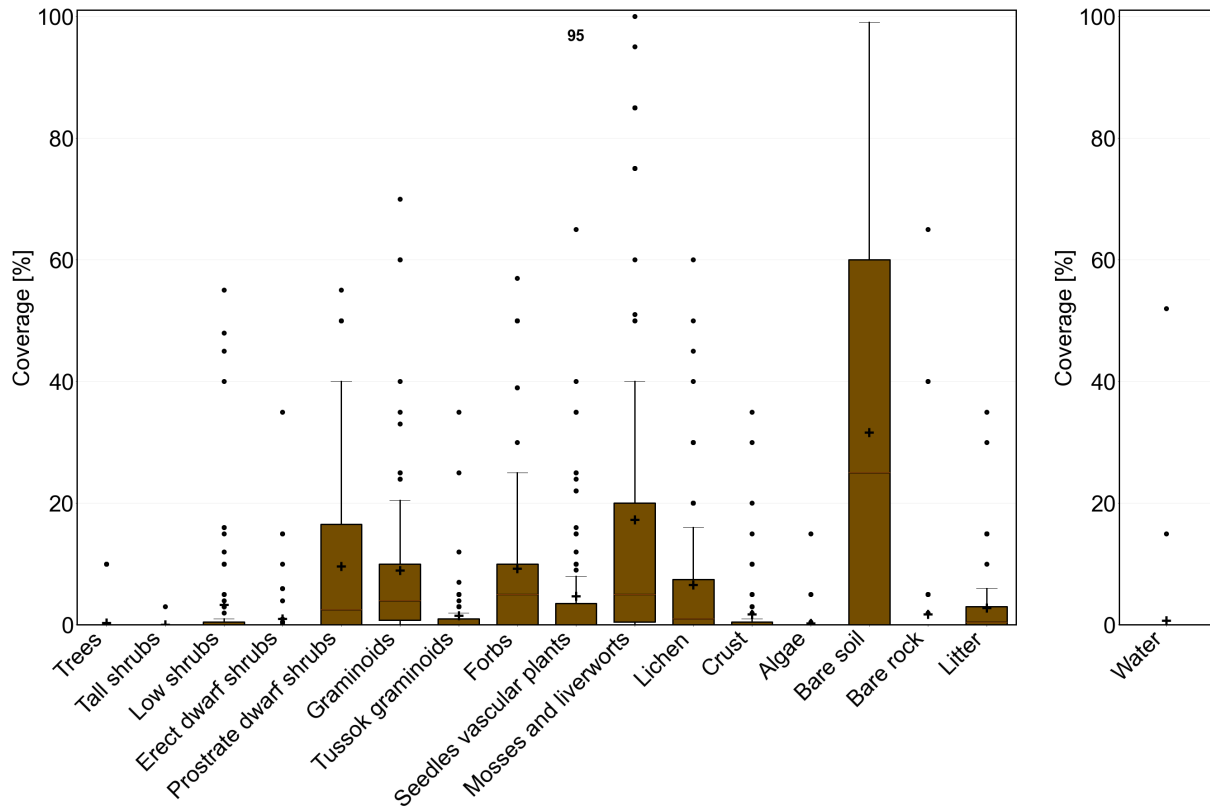
Figure B61. CALU #21 vegetation properties based on AVA (Zemlianskii et al., 2023).
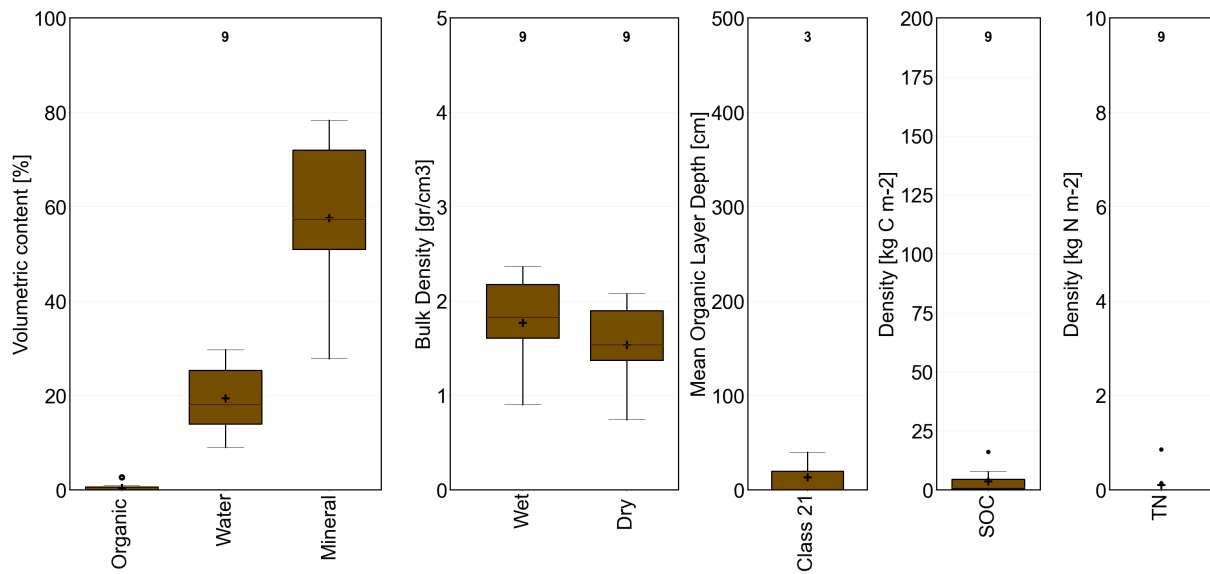
Figure B62. CALU #21 soil properties based on Palmtag et al. (2022).

Figure B63. Example photograph CALU #21 (Olga Khitun, 2017, Tazovskiy Peninsula)

Figure B64. Example photograph CALU #21 (Elena Troeva, 2017, Northern Yamal)

Appendix C: Comparison schemes and matrices for external landcover datasets

Group A	Description
other	0 No Data
other	10 Cropland, rainfed
other	11 Herbaceous cover
other	12 Tree or shrub cover
other	20 Cropland, irrigated or post-flooding
other	30 Mosaic cropland (>50 %) / natural vegetation (tree, shrub, herbaceous cover) (<50 %)
other	40 Mosaic natural vegetation (tree, shrub, herbaceous cover) (>50 %) / cropland (<50 %)
forest	50 Tree cover, broadleaved, evergreen, closed to open (>15 %)
forest	60 Tree cover, broadleaved, deciduous, closed to open (>15 %)
forest	61 Tree cover, broadleaved, deciduous, closed (>40 %)
forest	62 Tree cover, broadleaved, deciduous, open (15-40 %)
forest	70 Tree cover, needleleaved, evergreen, closed to open (>15 %)
forest	71 Tree cover, needleleaved, evergreen, closed (>40 %)
forest	72 Tree cover, needleleaved, evergreen, open (15-40 %)
forest	80 Tree cover, needleleaved, deciduous, closed to open (>15 %)
forest	81 Tree cover, needleleaved, deciduous, closed (>40 %)
forest	82 Tree cover, needleleaved, deciduous, open (15-40 %)
forest	90 Tree cover, mixed leaf type (broadleaved and needleleaved)
forest	100 Mosaic tree and shrub (>50 %) / herbaceous cover (<50 %)
dwarf to low shrub tundra	110 Mosaic herbaceous cover (>50 %) / tree and shrub (<50 %)
dwarf to low shrub tundra	120 Shrubland
dwarf to low shrub tundra	121 Evergreen shrubland
dwarf to low shrub tundra	122 Deciduous shrubland
graminoids	130 Grassland
lichen/moss	140 Lichens and mosses
barren	150 Sparse vegetation (tree, shrub, herbaceous cover) (<15 %)
barren	151 Sparse tree (<15 %)
barren	152 Sparse shrub (<15 %)
barren	153 Sparse herbaceous cover (<15 %)
wetland	160 Tree cover, flooded, fresh or brakish water
wetland	170 Tree cover, flooded, saline water
wetland	180 Shrub or herbaceous cover, flooded, fresh/saline/brakish water
barren	190 Urban areas
barren	200 Bare areas
barren	201 Consolidated bare areas
barren	202 Unconsolidated bare areas
water	210 Water bodies
snow/ice	220 Permanent snow and ice

Figure C1. Classes of ESA CCI Landcover (http://maps.elie.ucl.ac.be/CCI/viewer/index.php, last access: 1 September 2023) and grouping for comparison (see Table 2).

Group A	CAVM Code	Unit
barren	B1	Cryptogam, herb barren
graminoids	B2a	Cryptogam, barren complex
dwarf to low shrub tundra	B2b	Cryptogam, barren, dwarf-shrub complex
barren	B3	Non-carbonate mountain complex
barren	B4	Carbonate mountain complex
graminoids	G1	Graminoid, forb, cryptogam tundra
graminoids	G2	Graminoid, prostrate dwarf-shrub, forb, moss tundra
dwarf to low shrub tundra	G3	Non-tussock sedge, dwarf-shrub, moss tundra
dwarf to low shrub tundra	G4	Tussock-sedge, dwarf-shrub, moss tundra
graminoids	P1	Prostrate dwarf-shrub, herb, lichen tundra
dwarf to low shrub tundra	P2	Prostrate/hemi-prostrate dwarf-shrub, lichen tundra
dwarf to low shrub tundra	S1	Erect dwarf-shrub, moss tundra
dwarf to low shrub tundra	S2	Low-shrub, moss tundra
wetland	W1	Sedge/grass, moss wetland complex
wetland	W2	Sedge, moss, dwarf-shrub wetland complex
wetland	W3	Sedge, moss, low-shrub wetland complex
water	FW	Fresh water
water	SW	Saline water

Figure C2. Classes of the CAVM (Raynolds et al., 2019) and grouping for comparison (see Table 2).

Group A CAVM Code Unit barren B1 Cryptogam, herb barren graminoids B2a Cryptogam, barren complex dwarf to low shrub tundra B2b Cryptogam, barren, dwarf-shrub complex barren B3 Non-carbonate mountain complex barren B4 Carbonate mountain complex graminoids G1 Graminoid, forb, cryptogam tundra graminoids G2 Graminoid, prostrate dwarf-shrub, forb, moss tundra dwarf to low shrub tundra G3 Non-tussock sedge, dwarf-shrub, moss tundra dwarf to low shrub tundra G4 Tussock-sedge, dwarf-shrub, moss tundra graminoids P1 Prostrate dwarf-shrub, herb, lichen tundra dwarf to low shrub tundra P2 Prostrate/hemi-prostrate dwarf-shrub, lichen tundra dwarf to low shrub tundra S1 Erect dwarf-shrub, moss tundra dwarf to low shrub tundra S2 Low-shrub, moss tundra wetland W1 Sedge/grass, moss wetland complex wetland W2 Sedge, moss, dwarf-shrub wetland complex wetland W3 Sedge, moss, low-shrub wetland complex water FW Fresh water water SW Saline water

Group A	Class	Description
other	cropland	Arable land that is sowed or planted at least once within a 12-month period
forest	forest	Land covered by trees, with canopy coverage greater than 30 %
graminoids	graminoid tundra	Land covered by herbaceous vegetation with plant height typically ranging 5–15 cm
dwarf to low shrub tundra	shrub tundra	Land covered by shrubs of any stature with plant height typically ranging 20–50 cm
wetland	wetland	Land featured by aquatic plants and periodically saturated with or covered by water
water	open water	Inland open water bodies
lichen/moss	lichen/moss	Bedrock covered by cryptogam communities
barren	barren man-made	Impermeable land surface paved by man-made structures
barren	barren	less than 10 % vegetation
ice/snow	ice/snow	Land covered with snow and ice all year round

Figure C3. Classes of the CALC-2020 (Liu et al., 2023) and grouping for comparison (see Table 2).

Group A Class Description other cropland Arable land that is sowed or planted at least once within a 12-month periodforest forest Land covered by trees, with canopy coverage greater than 30 %graminoids graminoid tundra Land covered by herbaceous vegetation with plant height typically ranging 5–15 cmdwarf to low shrub tundra shrub tundra Land covered by shrubs of any stature with plant height typically ranging 20–50 cmwetland wetland Land featured by aquatic plants and periodicallysaturated with or covered by waterwater open water Inland open water bodies lichen/moss lichen/moss Bedrock covered by cryptogam communities barren barren man-made Impermeable land surface paved by man-made structuresbarren barren less than 10 % vegetation ice/snow ice/snow Land covered with snow and ice all year round

Table C1. Comparison matrix of grouped Circumpolar Arctic Vegetation Map - CAVM classes and grouped units (Circumarctic Landcover Units - CALU). Values in percent. For grouping schemes see ~~Tables~~ Table 2 and Figure C2.

	CAVM					
		water	**wetland**	**graminoids**	**dwarf to low shrub tundra**	**barren**
	water	41.10	12.12	2.42	2.89	25.91
	snow/ice	0.39	0.11	0.12	0.04	1.46
	other	0.58	0.68	1.15	0.81	2.00
CALU	**wetland**	12.82	18.93	8.79	6.17	8.32
	graminoids	1.64	4.35	19.99	3.08	1.04
	lichen/moss	6.43	25.39	29.55	6.48	38.39
	dwarf to low shrub tundra	33.92	34.62	33.43	74.67	12.06
	forest	1.67	0.88	0.36	4.89	0.48
	barren	1.46	2.92	4.19	0.96	10.36

Table C2. Comparison matrix of grouped Circumarctic Landcover - CALC-2020 Landcover classes and grouped units (Circumarctic Landcover Units - CALU). Values in percent. For grouping schemes see ~~Tables~~ Table 2 and Figure C3.

	CALC-2020								
		water	**snow/ice**	**wetland**	**graminoids**	**lichen/ moss**	**dwarf to low shrub tundra**	**forest**	**barren**
	water	83.02	88.27	0.62	0.00	0.07	0.00	0.02	8.41
	snow/ice	0.70	4.41	0.03	0.00	0.05	0.00	0.00	0.82
	other	0.39	1.14	0.89	0.69	1.80	1.36	1.67	1.91
CALU	**wetland**	15.53	1.81	23.38	1.70	3.37	2.30	3.42	14.82
	graminoids	0.00	0.00	4.73	5.75	3.65	0.00	0.00	0.07
	lichen/moss	0.01	0.84	16.27	2.38	72.96	0.44	0.03	32.87
	dwarf to low shrub tundra	0.17	0.00	51.26	85.06	9.44	63.57	33.78	2.12
	forest	0.05	0.00	2.60	4.33	0.06	32.32	61.06	0.18
	barren	0.13	3.52	0.21	0.09	8.61	0.01	0.01	38.82
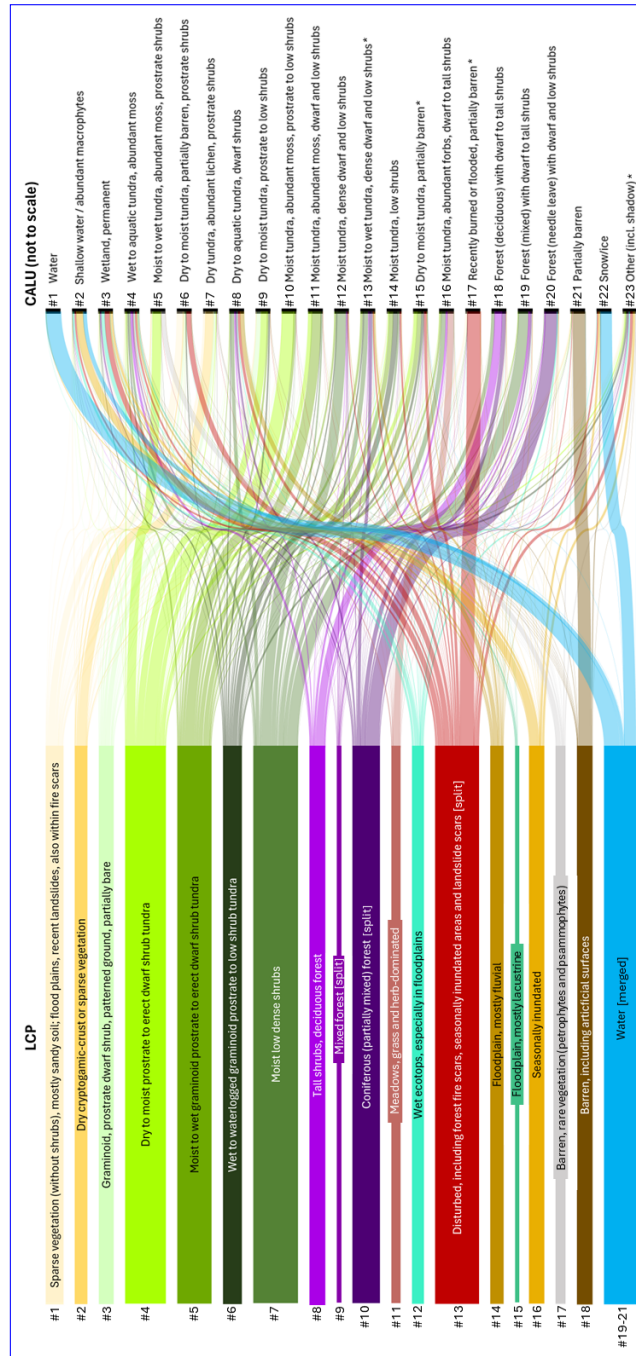
Figure D1. Visualisation of changed mapping units from the landcover prototype (LCP, 20m) to CALU (10m). The three water classes of the LCP are merged. New units are are marked with *.