



- The impact multi-decadal of changes in VOCs speciation on urban
- 2 ozone chemistry: A case study in Birmingham, United Kingdom.
- 3 Jianghao Li<sup>1,2</sup>, Alastair C. Lewis<sup>1,3</sup>, Jim R. Hopkins<sup>1,3</sup>, Stephen J. Andrews<sup>1,3</sup>, Tim Murrells<sup>4</sup>, Neil
- 4 Passant<sup>4</sup>, Ben Richmond<sup>4</sup>, Siqi Hou<sup>5</sup>, William Bloss<sup>5</sup>, Roy Harrison<sup>5,6</sup>, Zongbo Shi<sup>5</sup>.
- 5 Wolfson Atmospheric Chemistry Laboratories, University of York, York YO10 5DD, UK
- 6 <sup>2</sup>School of Water and Environment, Chang'an University, Xi'an 710064, China
- 7 National Centre for Atmospheric Science, University of York, Heslington, York YO10 5DD, UK
- 8 4Ricardo Energy and Environment Gemini Building, Fermi Avenue, Harwell, Oxon OX11 0QR, UK
- 9 5School of Geography, Earth and Environmental Sciences, University of Birmingham, Edgbaston,
- 10 Birmingham B15 2TT, UK

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- 11 6Department of Environmental Sciences, Faculty of Meteorology, Environment and Arid Land
- 12 Agriculture, King Abdulaziz University, P.O. Box 80208, Jeddah 21589, Saudi Arabia
- 13 Correspondence to: Jianghao Li (<u>cfm531@york.ac.uk</u>)

Abstract Anthropogenic non-methane volatile organic compounds (VOCs) in the United Kingdom have been substantial reduced since 1990, partly attributed to controls on evaporative and vehicle tailpipe emissions. Over time other sources with a different speciation, for example alcohols from solvent use and industry processes, have grown in both relative importance and in some cases in absolute terms. The impact of this change in speciation and the resulting photochemical reactivities of VOCs are evaluated using a photochemical box model constrained by observational data during a summertime ozone event (Birmingham, UK), and speciation and apportionment of sources based on the UK national atmospheric emission inventory (NAEI) data over the period 1990-2019. Despite road transport sources representing only 3.3% of UK VOC emissions in 2019, it continued as the sector with the largest influence on local O<sub>3</sub> production rate (P(O<sub>3</sub>)). Under case study conditions, the 96% reduction in road transport VOC emissions that has been achieved between 1990 – 2019 has likely reduced daytime P(O<sub>3</sub>) by ~1.67 ppbv h<sup>-1</sup>. Further abatement of fuel fugitive emissions was modeled to have had less impact on P(O<sub>3</sub>) reduction than abatement of VOCs from industrial processes and solvent emissions. The long-term trend of increased emissions of ethanol and

methanol have somewhat weakened the benefits of reducing road transport emissions, increasing





30  $P(O_3)$  by  $\sim 0.19$  ppbv  $h^{-1}$  in the case study. Abatement of VOC emissions from multiple sources has

31 been a notable technical and policy success in the UK, but some future benefits (from an ozone

32 perspective) of the phase out of internal combustion engine passenger cars may be offset if domestic

Elevated tropospheric ozone (O3) has been a long-standing pollutant of concern in the rural

and sub-urban environment and is now becoming more prevalent in urban centers as primary NO

and commercial solvent emissions of VOCs were to continue to increase.

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#### 1. Introduction

traffic emissions reduce (Sicard, 2021). As an important tropospheric oxidant and greenhouse gas 38 39 (Kumar et al., 2021), exposure to O<sub>3</sub> also increases risks of mortality from respiratory diseases and 40 adversely impacts on crop productivity (Lefohn et al., 2018). O<sub>3</sub> is mainly formed through 41 photochemical reactions involving the oxidation of volatile organic compounds (VOCs) in the presence of nitrogen oxides (NOx, NOx=NO+NO2) (Calvert et al., 2015). The release of VOCs arises 42 43 from a wide range of activities, including unburned fuel or partially combusted products in exhaust, 44 from solvents used in industry and numerous other diffuse domestic and commercial sources (He et 45 al., 2019). Effective policies to mitigate ozone pollution rely on an accurate estimate of both emissions and speciation of O<sub>3</sub> precursors. 46 47 The challenge in reducing O<sub>3</sub> lies in its non-linear relationship with its precursors and that 48 individual VOCs have unique capacities for forming ozone. Decades of modelling studies have 49 established regimes where reductions in NOx or VOCs emissions would be preferentially beneficial 50 to mitigate O<sub>3</sub> - so-called NO<sub>x</sub>-limited or VOC-limited regimes (Ivatt et al., 2022; Seinfeld and 51 Pandis, 2016). Abatement of VOCs sources is important in VOCs-limited areas, since decreasing 52 the emissions can effectively reduce the local O<sub>3</sub> production rate, and help limit O<sub>3</sub> peak 53 concentrations (Gaudel et al., 2020). The wide range of sources, including many that are diffuse and 54 occur indoors, and differing photochemical reactivities further complicates O<sub>3</sub> reduction strategies. 55 Different mixes of sources and speciation can lead to need for localized policies. For example, short-56 chain alkanes and alkenes with high reactivity with hydroxyl radical from on-road transportation in 57 China, have been reported as responsible for 26% of national O<sub>3</sub> formation (Wu and Xie, 2017). 58 Recent research in Los Angeles, the United States showed that release of oxygenated VOCs





59 (OVOCs) from volatile chemical product usage contributed as much as 9 ppb to daytime O<sub>3</sub> (Qin et al., 2021). A field observation study in Delhi, India revealed that the O<sub>3</sub> production in that city was 60 most sensitive to monoaromatics, followed by monoterpenes and alkenes (Nelson et al., 2021). 61 62 There is therefore no one-size-fits all in terms of which VOCs to target for optimal O<sub>3</sub> abatement 63 64 Policy and regulation aimed at improving air quality in many countries including the United States, the United Kingdom and Europe have led to decades of falling VOCs emissions (Lewis et 65 al., 2020; Coggon et al., 2021). This reduction can be substantially attributed to the successful 66 67 technical implementation of tailpipe exhaust after-treatment technology for gasoline vehicles controls on evaporative emissions from vehicles including during re-fueling and a more widespread 68 69 set of efforts to control industrial emissions (Winkler et al., 2018). Despite these successes, O<sub>3</sub> 70 remains a pollutant of concern; whilst the peak concentrations during O<sub>3</sub> events have reduced in the 71 UK, increases in the long-term urban background O3 concentrations have been observed since the 72 1990s (Department for Environment, Food & Rural Affairs, 2023). A variety of explanations have 73 been given to account for the increase, including a rising northern hemisphere background O<sub>3</sub>, 74 increasing methane which contributes to both global radiative forcing and enhances O<sub>3</sub> production 75 (Tarasick et al., 2019; Abernethy et al., 2021), the increases in non-vehicular sources of VOCs 76 emissions (Mcdonald et al., 2018; Yeoman and Lewis, 2021), and the reduction of NO<sub>x</sub> in VOCs-77 limited urban areas leading to greater O<sub>3</sub> production efficiency (Diaz et al., 2020). 78 The UK National Atmospheric Emissions Inventory (NAEI) for VOCs has shown increases in 79 relative contribution of solvent usage and the food & wine industry to total national VOCs emission 80 over 1990-2019, and steady growth in the relative importance of OVOCs within the overall 81 speciation (Lewis et al., 2020). Substantial OVOCs emissions can come from unexpected places. 82 For example, alcohols emitted from use of windshield fluid are now estimated to be a larger VOC source from road transport than VOCs from the tailpipe in the UK (Cliff et al., 2023). What effect 83 this shift in speciation is having on ozone chemistry is less well studied. One challenge has been the 84 lack of routine measurement of OVOCs in the national air quality monitoring networks (Air Quality 85 86 Expert Group, 2020). 87 In this study we evaluate the effects of changing VOCs speciation on urban ozone chemistry, 88 using recent field measurements of O<sub>3</sub> and its key precursors such as NO<sub>x</sub>, CO, speciated VOCs and





89 OVOCs in Birmingham, UK during August 2022, and changing speciation and relative amounts of 90 VOCs based on long-trends in the NAEI. The sensitivity of in- situ production and OH reactivities 91 of the measured O<sub>3</sub> precursors are investigated by constraining the observational data sets to a zero-92 dimensional chemical box model. By incorporating the detailed NAEI VOCs emission inventories 93 over the period of 1990-2019 into the model, O<sub>3</sub> formation in Birmingham is used as a case study to quantify the impacts of the real-world changes in VOCs sources on urban O3 production rate. The 94 95 relative importance of different VOCs functional group classes on the O3 production are also 96 evaluated.

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#### 2. Materials and Methods

#### 2.1 Field observations

100 The observations are taken from the Birmingham NERC Air Quality Supersite during August 2022. This is located on the University of Birmingham (52°27'20.2"N 1°55'44.3"W) campus. The site has been in operation for many years, and represents an urban background environment; it is influenced by transport emissions from nearby arterial roads and residential emissions from surrounding area. There are no significant industrial activities within a 4km radius of the site. Continuous measurements of NO, NO2, CO, CH4, VOCs, O3, along with meteorological 106 parameters including air temperature and pressure, relative humidity, wind speed and direction were made. Briefly, NO and NO2 were measured by a chemiluminescence-based T200 analyzer (Teledyne API., U.S.A.) and the T500U Cavity Attenuated Phase Shift (CAPS) analyzer (Teledyne API., U.S.A.). The concentration of NO<sub>x</sub> was then the statistical sum of NO and NO<sub>2</sub>. The mixing ratio of 110 CO were measured by a laser absorption spectroscopy Multi-species Continuous Emissions Monitoring instrument (Enviro Technology Service Ltd., UK) (Li et al., 2020). Manual calibration 112 and span checks for the above instruments were performed every 3 days, and automatic zero calibration was set on daily bases. O<sub>3</sub> was measured by an O<sub>3</sub> analyzer (Model 49i, Thermo Fisher 114 Scientific Inc., U.S.A.) with a minimum detection limit (MDL) of 1.0 ppbv. Meteorological 115 parameters including air temperature and pressure, and relative humidity were obtained from a 116 weather station WS300-UMB weather station (Luff GmbH, Germany). Additionally, Wind speed

and direction were measured by a 3-axis ultrasonic anemometer (Gill Instruments Ltd., UK) over





the campaign.

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A gas chromatography-flame ionization detection (GC-FID) analysis system (7890A, Agilent Technologies, U.S.A.) was used to quantify 38 individual VOCs species. Details on instrument settings and quality assurance/quality control methods can be found in (Warburton et al., 2023). Briefly, the GC-FID system utilizes dual detectors for C2-C9 compounds. Ambient samples were dried at -40 °C using a water trap and then VOCs preconcentrated on a carbon adsorbent. Once a 0.5L sample had been collected, a pre-concentration trap was warmed slightly from -120 °C to -80 °C to purge trapped atmospheric CO₂. The trap was then heated to 190 °C for 3 minutes with a counter flow of helium thermally desorbing the concentrated VOCs onto focusing micro-trap held at -120 °C. The analytes were flash heated and passed onto a VF-WAX column. The unresolved analytes were then transferred into a Na<sub>2</sub>SO<sub>4</sub>-deactivated Al<sub>2</sub>O<sub>3</sub> porous-layer open tubular (PLOT) column via a Dean switch, for separation and detection by the first FID. The Dean switch then diverted the analytes onto a fused silica internal diameter to balance column flows and subsequently split VOCs and oxygenated VOCs into the second FID. Quantification of C2-C6 hydrocarbons was completed by the first FID. Quantification of C7-C9 hydrocarbons and oxygenated VOCs was completed by the second FID. Most of the measured species were directly calibrated using 4 ppbv gas standard cylinders (the National Physical Laboratory, Teddington, UK). The calibration for acetone, acetaldehyde, ethanol, and methanol were processed by using effective carbons with reference to toluene. In this study, the concentration of total VOC (TVOC) was defined as the statistical sum of concentrations of measured individual species, but this is not meant to infer that this represents the total reactive carbon in air, which would always be greater than this value due to unmeasured species. Later in this study we broadly group species according to their chemical function groups, summing into alcohols, ketones, alkanes, alkenes, aromatics, aldehydes, and alkynes.

#### 2.2 National emission inventory for VOCs

Estimates of UK anthropogenic VOC emissions are taken from the NAEI. The NAEI uses a combination of UK-specific methods and default methods as recommended in the European Monitoring and Evaluation Programme (EMEP)/European Environment Agency (EEA) Emission Inventory Guidebook (European Environment Agency, 2016). Further details can be found in (NAEI, 2021). The VOC inventory is also disaggregated into inventories for each individual VOC species





149 al., 2020). 150 Methods to estimate emissions can be divided into two groups: those using emission factors, 151 and those using 'point source' emissions data reported to regulators by the operators of individual 152 industrial sites. The emission factor methods require UK activity data, for example consumption of 153 paint, consumption of a fuel, production of steel or vehicle kilometers travelled. The activity data is 154 then combined with an emission factor which expresses the total VOC emission that is expected per unit of a given activity. Most total VOC emission factors are taken from the internationally applied 155 156 EMEP/EEA Emission Inventory Guidebook and so are not necessarily UK-specific. The factors for road transport are directly calculated for the UK and a particularly detailed approach is used to 157 158 estimate emissions using emission factors from the Guidebook for many different vehicle types and 159 emission standards, fuels and road types combined with detailed transport activity from the UK 160 Department for Transport. Government statistics cannot always provide the necessary activity data 161 for other sectors, so industry data are used instead. For instance, NAEI data on consumption of 162 products containing organic solvents are from industry sources. The alternative point source method 163 can be used for source categories where emissions data can be obtained for all sites within the sector, 164 and this limits the method to source categories such as crude oil refining, steel production and 165 chemicals production. The emissions data reported by the operators of these sites can be based on 166 emissions monitoring, although this is not always the case and emissions might instead be estimated, 167 for example, using emission factors. 168 The NAEI produces updates to the inventory for total VOC mass emissions by source sector 169 each year to achieve a consistent historic time-series reflecting trends in UK emissions. Emissions 170 of individual VOC species are estimated using source-specific speciation profiles which show the 171 mass fraction of each species, or in some cases groups of species, emitted by the source (NAEI, 2021; Passant, 2002). Over 600 individual VOC species or species groups are included in the 172 173 speciation, based on sources in industry, regulators and in some cases literature sources and 174 databases such as the USEPA SPECIATE database. The speciated inventory tends to be more 175 uncertain than the estimation of total mass of VOC emissions. The inventory for total VOC mass is 176 updated annually, whereas the speciation profiles are only periodically updated when new 177 information becomes available. Thus, trends in a particular species for a sector are a reflection of

and details of the speciation process and assumptions can be found in (Passant, 2002) and (Lewis et





- 178 changes in total VOC emissions for the sector and do not normally reflect any changes over time in
- the speciation profile of the sector which may have occurred.
- 180 2.3 Photochemical box model
- 181 The framework for evaluating effects of changing VOCs speciation is a 0-D Atmospheric
- Modelling chemistry box model (Wolfe et al., 2016), driven by the Version 3.3.1 of the Master
- 183 Chemical Mechanism (MCM v3.3.1) (Saunders et al., 2003; Jenkin et al., 2003). The model can be
- effective in identifying the instantaneous *in-situ* O<sub>3</sub> sensitivity to changes in individual VOCs. The
- measured concentrations of 38 VOCs species, NO<sub>x</sub>, and CO, along with air temperature and pressure,
- and relative humidity were averaged to a time resolution of 1-hour to constrain the model. A 3-day
- model spin-up, with each 24-hour model run constrained by the observational data, was performed
- 188 in order to initialize the unmeasured compounds and transient radicals. The modelled outputs on the
- 4th day were taken as representing steady state of the photochemistry.
- 190 Photolysis rates were calculated as a function of solar zenith angle (Saunders et al., 2003):

$$J = l(\cos \chi)^m \exp(-n \sec \chi) \tag{1}$$

- Where J is the photolysis rate in  $s^{-1}$ ; l, m, n are constants derived from radiative transfer model
- 193 runs for clear sky condition at an altitude of 0.5 km and literature cross sections/quantum yields; χ
- is the solar zenith angle in radians.
- The net production rate of  $O_3$  (P( $O_3$ )) is calculated by the difference of the production rate of
- $O_3$  and the destruction rate of  $O_3$ , as in Equation (2):

$$P(O_{3}) = (k_{\text{HO}_{2}+\text{NO}}[\text{HO}_{2}][\text{NO}] + \sum_{i} k_{\text{RO}_{2}_{i}+\text{NO}}[\text{RO}_{2}][\text{NO}]) -$$

$$(k_{\text{O}^{1}\text{D}+\text{H}_{2}\text{O}}[\text{O}^{1}\text{D}][\text{H}_{2}\text{O}] + k_{\text{O}_{3}+\text{OH}}[\text{O}_{3}][\text{OH}] + k_{\text{O}_{3}+\text{HO}_{2}}[\text{O}_{3}][\text{HO}_{2}]$$

$$(2)$$

$$k_{\text{NO}_{2}+\text{OH}}[\text{NO}_{2}][\text{OH}] + \sum_{i} k_{\text{RO}_{2}_{i}+\text{NO}_{2}}[\text{RO}_{2}][\text{NO}_{2}])$$

- Where the former part is the rate of O<sub>3</sub> production, representing by rate of NO oxidation by
- 199 HO<sub>2</sub> and RO<sub>2</sub> radicals; the latter part is the destruction rate of O<sub>3</sub>, calculating by the sum of the rate
- 200 of O<sub>3</sub> photolysis, the rates of the reactions with OH and HO<sub>2</sub> radicals, and the rates of NO<sub>2</sub> loss
- through reactions with OH and RO<sub>2</sub> radicals.
- The sensitivity of O<sub>3</sub> to its precursors is quantified by the index of relative incremental
- 203 reactivity (RIR) (Liu et al., 2022b), as in Equation (3):

$$RIR = \frac{\Delta P(O_3)}{P(O_3)} \times a^{-1}$$
 (3)





Where RIR is the Relative Incremental Reactivity in %/%,  $\Delta P(O_3)/P(O_3)$  is the ratio of the change in  $O_3$  production rate to the base  $O_3$  production rate; a is the reduction percentage in the input concentration of  $O_3$  precursors – a factor that allows for the effects of changing absolute amounts of VOCs to be evaluated. Here a value of 30% was adopted for a.

The time series of O<sub>3</sub> and its precursors during August 2022 are shown in Figure 1, subdivided

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#### 3. Results and Discussion

# 3.1 Observation overview

into periods that will be referred to as 'initial period', 'O3 period', and 'clear-out'. The three periods covered 1st August-21th August 2022. Each period included one full week to avoid weekday/weekend differences in NOx and VOCs concentrations impacting differently when O3 production was compared between the three periods (de Foy et al., 2020). Ozone showed a generally increasing trend from 1st to 14th August and then returned to relatively low concentrations after 15th August 2022. The daily maximum 8 h average O<sub>3</sub> concentrations (MDA8h O<sub>3</sub>) during the O<sub>3</sub> period exceeded the WHO guideline value (100 µg m<sup>-3</sup>), ranging from 111 to 153 µg m<sup>-3</sup>. The elevated O<sub>3</sub> during the middle of the month corresponded to more intense photochemical formation under hot weather conditions (32.7 °C in maximum) and higher concentrations of O<sub>3</sub> precursors (Table S1). The diurnal profile of NO and NO<sub>2</sub> in the three periods generally showed a bimodal pattern, albeit less pronounced in the initial and clear-out periods (Figure 2). The two peaks likely arise as a consequence of increased traffic volumes at the start and end of the day, coupled to boundary layer height changes in the early morning and into the evening (Lee et al., 2020). The average concentrations of NO<sub>2</sub> during 05:00-10:00 were 10.8 ppbv in the O<sub>3</sub> period, which was considerably higher than the concentration of 3.9 ppbv in the initial period and 3.4 ppbv in the clear-out period. The low level of NO in the O<sub>3</sub> period highlights the rapid consumption of NO via photochemical processes. The oxidation of CO is an important source of HO<sub>2</sub> in the atmosphere (Chen et al., 2020), here in the range of 82.5 to 134.2 ppbv with little difference between periods. The diurnal profiles of O<sub>3</sub> peaked at 15:00, with maximum hourly concentrations of 31.6, 67.2, and 30.4 ppbv in the initial, O<sub>3</sub>, and clear-out periods, respectively. Slight decreases in O<sub>3</sub> were observed during nighttime (00:00-05:00), indicating enhanced NO titration effects.





The detailed VOCs composition in the three periods is presented in Figure S1. Concentration of TVOC were  $19.4 \pm 8.4$ ,  $48.0 \pm 18.8$ , and  $23.5 \pm 12.5 \,\mu g$  m<sup>-3</sup> in the three periods, respectively. Alcohols, represented mainly by methanol and ethanol, were the predominant group that contributed 40.3% - 47.4% of over measured VOCs mass. This was followed by alkanes (21.4%-24.6%) and ketones (16.3%-17.3%). Contributions of aldehyde (acetaldehyde), aromatics, alkenes, and acetylene were low, ranging from 1.0% to 9.4% of total mass. Ambient VOCs largely influenced by combustion-related sources (i.e., vehicle exhaust and coal combustion) generally show alkanedominated composition (Wu and Xie, 2017). Here, the composition and amount of VOCs observed were most likely influenced by non-combustion processes such as volatile chemical product usage and industrial processes (Gkatzelis et al., 2020). Methanol was the most abundant VOC with an average concentration of 4.1 ppbv, followed by acetone (2.0 ppbv), ethane (1.9 ppbv), ethanol (1.8 ppbv), and acetaldehyde (1.0 ppbv). The average ratio of ethene/ethane was  $0.2 \pm 0.1$  over the campaign, considerable lower than seen in polluted locations, e.g. Hong Kong (China) ( $0.7 \pm 0.1$ ) (Wang et al., 2018) and Seremban (Malaysia) (1.1) (Zulkifli et al., 2022).

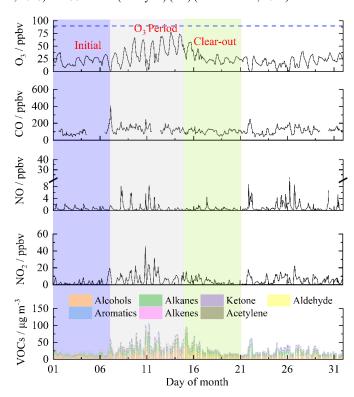






Figure 1. Time series of O<sub>3</sub>, CO, NO, NO<sub>2</sub>, and VOCs groups at the Birmingham Supersite. The blue dash line denotes the national standard (90 ppbv) for hourly O<sub>3</sub> concentration.

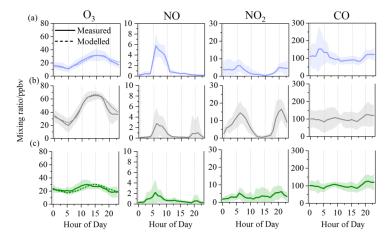


Figure 2. Diurnal variations of O<sub>3</sub>, NO, NO<sub>2</sub>, and CO during the initial (a), O<sub>3</sub> period (b), and clear—out period (c). The shaded areas represent standard variations.

## 3.2 Observation-based O<sub>3</sub> formation sensitivity

The in -situ O<sub>3</sub> formation sensitivity was examined via reaction rates of ozone precursors and OH radical (OH reactivities, (k(OH))) and RIR scales of ozone precursors, along with the chemical budgets of O<sub>3</sub> formation and loss. In initial and clear-out periods. k(OH) exhibited consistent diurnal patterns, ranging from 2.4 to 5.9 s<sup>-1</sup> (Figure S2). In the O<sub>3</sub> period, k(OH) reached 9.0 and 8.7 s<sup>-1</sup> at approximately 07:00 and 20:00, respectively. A rapid increase in k(OH) was observed in the early morning (00:00-06:00). VOCs and model generated species represented 60.5%, 65.7%, and 56.7% of the total k(OH) in the three periods, respectively. NO<sub>x</sub> and CO only contributed 10.2% -27.9% to total k(OH). Among of the VOCs groups, alcohols exhibited the largest k(OH) in all periods, accounting for 5.0% - 6.9% of the total k(OH). The diurnal production and loss of O<sub>3</sub> are shown in Figure S3. The oxidation and photolysis of VOCs promoted the production of RO<sub>2</sub>, and NO+RO<sub>2</sub> contributed 47.7% of the O<sub>3</sub> production pathways in the O<sub>3</sub> period and 36.2% and 39.8% in initial and clear—out periods, respectively. Considering O<sub>3</sub> destruction, OH+NO<sub>2</sub> was the most important pathway during morning (08:00-12:00), accounting for 73.5%, 55.4% and 59.4% of the O<sub>3</sub> destruction pathways in the three periods. The dominant OH+NO<sub>2</sub> contribution to O<sub>3</sub> destruction suggested that the in -situ O<sub>3</sub> productions in all three periods was sensitive to VOCs emissions to





some extent.

The relative incremental reactivity of  $NO_x$ , CO, and anthropogenic VOCs (AVOCs, all measured VOCs except for isoprene) are shown in Figure 3. The *in -situ*  $O_3$  production was most sensitive to anthropogenic VOCs with the highest positive RIR values (0.44 - 0.49). This is as anticipated given earlier analyses demonstrating their role in determining k(OH) and  $O_3$  production. The low RIR (0.03 - 0.07) for CO in all three periods indicated a minor contribution of CO oxidation to  $O_3$  production. The high RIR (0.24) for  $NO_x$  was only observed in the  $O_3$  period. Acetaldehyde showed the highest positive RIR (0.17 - 0.19) among the AVOCs, suggesting that the photolysis and oxidation of acetaldehyde was a limiting factor for  $O_3$  formation. The important role of carbonyl compounds in atmospheric photochemistry has also been reported in previous studies, contributing up to 59.3% to the  $O_3$  formation in ambient environments in China, the United States, and Brazil (Qin et al., 2021; Liu et al., 2022a; Edwards et al., 2014). Alkanes and alcohols exhibited lower RIR values (0.02 - 0.04), despite their high mass concentrations.

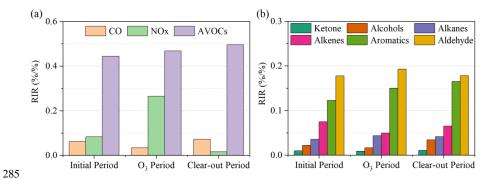


Figure 3. Modelled RIRs for (a) major O<sub>3</sub> precursors and (b) the AVOCs groups during photochemically active daytime (08:00-16:00) in the selected periods. (AVOCs: anthropogenic VOCs, all measured VOCs except for isoprene)

#### 3.3 Emission inventory-informed O<sub>3</sub> production sensitivity tests

The trends in anthropogenic VOCs emissions from 1990 to 2019 estimated by the NAEI are shown in Figure S4. Over the period, the annual national emissions decreased by ~69.0% from 2,941 kt in 1990 to 911kt in 2019. The reduction is partly attributed to more stringent controls for gasoline vehicle emissions, both tailpipe and evaporative/fugitive. In 2019, VOCs emissions from on-road transport and fuel fugitive losses accounted for only 3.3% and 13.7% of the total mass of VOCs emissions, compared to 29.1% and 26.9% in 1990. Efforts have also been directed towards





controlling industrial processes, commercial solvent usage, and combustion emissions, resulting in reductions of 66.8%, 48.9%, and 20.7%, respectively over the period. However, contributions from solvent usage to total VOCs emissions over 1990-2019 showed only modest reductions in the 1990s and 2000s and indeed small increases in the most recent years (Figure 4(b)). This slight growth in the solvent usage is due to increasing emissions from solvent use in consumer products such as decorative products, aerosols, personal-care products, and detergents (NAEI, 2021). Solvent usage had become the largest contributory sector (33.7%) to VOCs emissions by 2019, followed by industrial processes (16.0%).

As shown in Figure 4(a), the VOC speciation over the 1990-2019 period was dominated in mass terms by contributions from alkanes and alcohols, the former decreasing as gasoline sources declined, the other increasing as non-industrial solvent and food and drink industry processes emissions followed a different pattern. Alkane emissions fell from 46.6% to 30.6% over the period. Further reductions in alkane emissions are expected due to the policies for phasing-out sales of new internal combustion engine vehicles in the UK (and in many other places) by 2030 or 2035. Growth in the relative contributions of alcohols was primarily driven by increases in emissions of methanol and ethanol, and to a lesser extent in 1-butanol and 2-propanol (Figure 4(c)).

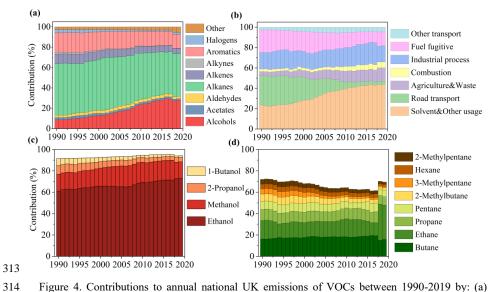


Figure 4. Contributions to annual national UK emissions of VOCs between 1990-2019 by: (a) functional group; (b) by major emissions reporting sector; (c) for four individual alcohols in the overall sub-class of alcohols; (d) for eight individual alkanes in the sub-class of all alkanes.

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The NO<sub>x</sub>, CO, and VOC speciation within the NAEI for each of the six major emission sectors was used to assign proportional sectoral contributions to the VOCs observed in Birmingham, and hence to ozone production in the case study. (Table S2). The six sectors are: road transport (both of on-road exhaust emission and evaporative losses of fuel vapor), industrial processes, combustion, solvent usage, fuel fugitive, and agriculture emissions). This makes a key assumption that the VOCs at the observation site are affected directly in the same proportion that VOCs are reported in national amounts in the NAEI. We make this assumption since it provides a reasonable starting point for understanding how each VOC sector may influence O<sub>3</sub> production during a case study event, however ozone formation might as well be sensitive to any differing regional distribution of emission speciation. Figure S5 shows the modelled RIRs for these sources in the initial, O<sub>3</sub>, and clear–out periods. All the sources generally showed higher RIR values in the O<sub>3</sub> period. Road transport exhibited the highest positive RIR values in all periods (0.30 - 0.36), followed by industrial process (0.06 - 0.09) and solvent usage (0.05 - 0.07). Despite being a relatively minor contributor to the mass of national VOCs emissions (only 3.3% of the total in 2019), road transport VOCs still played the most important role in local ozone photochemical chemistry, in this case study. Figure 5a shows the changes in P(O<sub>3</sub>) during the O<sub>3</sub> period from 08:00 to 16:00 which might arise as a result of reductions in the individual sectors described above. This is a 'thought experiment' where under 2019 general observed atmospheric conditions (e.g., for NO<sub>x</sub>, CO and so on), each of the VOC source sectors is then further reduced in isolation (from 2019 levels) and the effects on  $\Delta P(O_3)$  were evaluated. Based on these scenarios, reducing emissions from the individual sectors all resulted in decreased P(O<sub>3</sub>), as would be anticipated. Reducing ozone precursors arising from road transport would lead to a decreased P(O<sub>3</sub>) of ~1.71 ppbv h<sup>-1</sup> if that sector could be 100% abated in the case study. This is expected because road transport is a source of photochemically reactive VOCs, species including aromatics, aldehyde, and short-chain alkanes/alkenes. Other sectors showed more modest effects, with reductions in solvent-related VOCs the next most significant lever to control ozone. Fully abating emissions of all industrial and solvent process emissions only resulted in a decreased P(O<sub>3</sub>) of ~0.35 ppbv h<sup>-1</sup>, largely because they are dominated by ethanol and methanol with relatively low RIR values. Considering the real-world changes in VOC emissions over the period of 1990 to 2019, the very major reductions in road transport emissions have led to





the largest effects in reducing  $P(O_3)$  (Figure 5(b)). Whilst there have also been some very large reductions (94.6%) in fuel fugitive emissions, the impact on  $P(O_3)$  reduction is modelled to have been relatively modest, being similar to industrial processes and solvent usage.

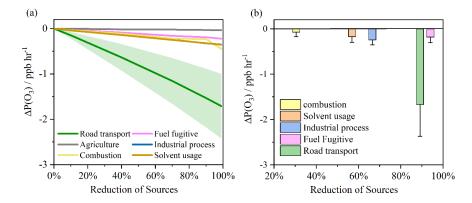
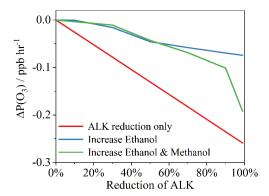


Figure 5. (a) Changes in  $P(O_3)$  in response to different reductions in VOCs,  $NO_x$ , and CO from different sectors for the Birmingham-case study condition. (b) Changes in  $P(O_3)$  based on the NAEI estimated reductions in VOCs from different sectors between 1990 and 2019. The standard deviations represent variability in  $\Delta P(O_3)$  during 08:00-16:00 LST in the  $O_3$  period.

Further model runs were performed to better understand the impacts of the shift between alkanes and alcohol species on  $P(O_3)$ , given trends showing decreasing alkanes emissions and increasing alcohol emissions between the 1990-2019 period (Figure 4). The modelled alkane concentrations in the case study were reduced by 10%, 30%, 50%, 70%, 90%, and 99%. This represents a downward trajectory in alkane emissions that would be anticipated as gasoline vehicles are slowly retired. Two further scenarios were then developed to sit alongside these reductions in alkanes. Firstly, the concentration of ethanol was increased to keep the overall total VOCs concentration in the model under case study conditions unchanged. Second, the concentration of both ethanol and methanol scaled upwards to keep total VOCs concentration unchanged. As shown in Figure 6, reductions in alkanes alone resulted in decreased  $P(O_3)$  to a maximum of  $\sim$ 0.26 ppbv h<sup>-1</sup> if fully abated. If that alkane reduction was balanced with increased ethanol and methanol, then  $P(O_3)$  is reduced by a maximum of 0.19 ppbv h<sup>-1</sup>. If alkane reductions were balanced by increasing ethanol alone, then  $P(O_3)$  still decreases, but only up to 0.07 ppbv h<sup>-1</sup>.







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Figure 6. Reductions in  $\Delta P(O_3)$  based on reducing alkanes (ALK) in the model (under case study conditions), reducing alkanes but balancing overall VOCs amount with increased ethanol (blue line) and reducing alkanes but balancing overall VOCs amount with increased ethanol and methanol (green line).

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#### Conclusion

In this study, a typical high-O<sub>3</sub> event in Birmingham, United Kingdom during August 2022 was chosen as a case study to investigate the impacts of changes to VOCs emissions and speciation on urban O<sub>3</sub> production. The in- situ O<sub>3</sub> formation sensitivity was split into three periods: initial, high O<sub>3</sub>, and clear-out. Results from OH reactivity, O<sub>3</sub> budgets, and RIR index showed that O<sub>3</sub> formation in all three periods was impacted by both VOCs and NOx, but was more sensitive to anthropogenic VOCs. The oxidation of alcohols and photolysis of acetaldehyde substantially contributed to in -situ O3 formation, especially in the high O3 period. The roles of anthropogenic VOC sources in urban O3 chemistry were examined by integrating the national atmospheric emission inventory speciation over the period of 1990-2019 into photochemical box model scenarios. Despite road transport only contributing 3.3% of national VOCs emissions in 2019, it still played the most important VOC role in the case study ozone photochemistry, when inventory contributions were mapped onto observed VOCs. Sequentially the observed VOCs were reduced by the fractional contributions and speciation in the NAEI for six sectors to evaluate what impact abating different VOCs-emitting sectors would have on P(O<sub>3</sub>). Abating road transport VOCs in isolation would lead to a decreased P(O<sub>3</sub>) by up to 1.67 ppbv h<sup>-1</sup>, but abating other sectors such as solvent use and fugitive fuels had noticeably smaller effects. Despite emissions of VOCs from road





transport falling very dramatically between 1990 and 2019, it remains one of the most powerful means to further reduce ozone in this typical UK case study. The wider shift in speciation reported in the NAEI from alkane to alcohols was also examined using scenarios where emission reductions for alkane, were counterbalanced with increases in alcohols, all simulated for the Birmingham atmospheric case study conditions (e.g., for NO<sub>x</sub>, CO and etc). Further reducing alkanes from present day conditions to zero has a clear beneficial effect on reducing P(O<sub>3</sub>) by up to ~0.26 ppb hr<sup>1</sup>. However, this benefit could to a degree be offset should alcohol emissions (for example from non-industrial solvent use) increase and counterbalance those alkane reductions. Whilst simple alcohols are inherently less potent ozone-forming VOCs compared to the mixture of VOCs from road transport, avoiding future growth in emissions remains important, since they weaken the long-term benefits of road transport electrification and the phase out of internal combustion engine vehicles.

## **Data Availability**

Observational data including meteorological parameters and air pollutants used in this study are available at <a href="https://github.com/nervouslee/Birmingham\_CS.git">https://github.com/nervouslee/Birmingham\_CS.git</a>. UK national emission inventory is available at <a href="https://naei.beis.gov.uk/">https://naei.beis.gov.uk/</a>.

#### **Author Contribution**

Jianghao Li prepared the manuscript with contributions from all authors. Alastair C. Lewis helped with modelling scenarios and revised the manuscript. Jim R. Hopkins contributed to measurement of chemical species. Stephen J. Andrews contributed to scientific discussion on findings of this work. Tim Murrells, Neil Passant and Ben Richmond contributed to the data of national emission inventory data and revision on NAEI methodology. Siqi Hou, Roy Harrison, and Zongbo Shi provided measurements of atmospheric pollutants used in this study, along with critical discussion on revising the manuscript.

#### **Competing interests**

The authors declare that they have no conflict of interest.





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