1 The impact of multi-decadal changes in VOCs speciation on urban

2 ozone chemistry: A case study in Birmingham, United Kingdom.

Jianghao Li^{1,2}, Alastair C. Lewis^{1,3}, Jim R. Hopkins^{1,3}, Stephen J. Andrews^{1,3}, Tim Murrells⁴, Neil

4 Passant⁴, Ben Richmond⁴, Siqi Hou⁵, William J. Bloss⁵, Roy M. Harrison^{5,6}, Zongbo Shi⁵.

5 ¹Wolfson Atmospheric Chemistry Laboratories, University of York, York YO10 5DD, UK

6 ²School of Water and Environment, Chang'an University, Xi'an 710064, China

⁷ ³National Centre for Atmospheric Science, University of York, Heslington, York YO10 5DD, UK

⁴Ricardo Energy and Environment Gemini Building, Fermi Avenue, Harwell, Oxon OX11 0QR, UK

9 ⁵School of Geography, Earth and Environmental Sciences, University of Birmingham, Edgbaston,

10 Birmingham B15 2TT, UK

¹¹ ⁶Department of Environmental Sciences, Faculty of Meteorology, Environment and Arid Land

12 Agriculture, King Abdulaziz University, P.O. Box 80208, Jeddah 21589, Saudi Arabia

13 Correspondence to: Jianghao Li (cfm531@york.ac.uk)

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15 Abstract Anthropogenic non-methane volatile organic compounds (VOCs) in the United Kingdom 16 have been substantially reduced since 1990, in part attributed to controls on evaporative and vehicle tailpipe emissions. Over time other sources with a different speciation, for example alcohols from 17 18 solvent use and industry processes, have grown in both relative importance and in some cases in 19 absolute terms. The impact of this change in speciation and the resulting photochemical reactivities 20 of VOCs are evaluated using a photochemical box model constrained by observational data during a summertime ozone event (Birmingham, UK), and apportionment of sources based on the UK 21 22 National Atmospheric Emission Inventory (NAEI) data over the period 1990-2019. Despite road 23 transport sources representing only 3.3% of UK VOC emissions in 2019, it continued as the sector 24 with the largest influence on local O_3 production rate ($P(O_3)$). Under case study conditions, the 96% 25 reduction in road transport VOC emissions that has been achieved between 1990 - 2019 has likely reduced daytime $P(O_3)$ by ~1.67 ppbv h⁻¹. Further abatement of fuel fugitive emissions was modeled 26 27 to have had less impact on P(O₃) reduction than abatement of VOCs from industrial processes and 28 solvent use. The long-term trend of increased emissions of ethanol and methanol have somewhat 29 weakened the benefits of reducing road transport emissions, increasing $P(O_3)$ by ~0.19 ppbv h⁻¹ in the case study. Abatement of VOC emissions from multiple sources has been a notable technical and policy success in the UK but some future benefits (from an ozone perspective) of the phase out of internal combustion engine passenger cars may be offset if domestic and commercial solvent use of VOCs were to continue to increase.

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35 **1. Introduction**

36 Elevated tropospheric ozone (O_3) has been a long-standing pollutant of concern in the rural 37 and sub-urban environment and is now becoming more prevalent in urban centers as primary NO 38 traffic emissions reduce (Sicard, 2021). As an important tropospheric oxidant and greenhouse gas 39 (Kumar et al., 2021), exposure to O_3 also increases risks of mortality from respiratory diseases and 40 adversely impacts on crop productivity (Lefohn et al., 2018). O₃ is mainly formed through 41 photochemical reactions involving the oxidation of volatile organic compounds (VOCs) in the 42 presence of nitrogen oxides $(NO_x, NO_x=NO+NO_2)$ (Calvert et al., 2015). The release of VOCs arises 43 from a wide range of activities, including unburned fuel or partially combusted products in exhaust, 44 from solvents used in industry and numerous other diffuse domestic and commercial sources (He et 45 al., 2019). Effective policies to mitigate ozone pollution rely on an accurate estimate of both 46 emissions and speciation of O₃ precursors.

47 The challenge in reducing O_3 lies in its non-linear relationship with its precursors since 48 individual VOCs have unique capacities for forming ozone. Decades of modelling studies have 49 established regimes where reductions in NO_x or VOCs emissions would be preferentially beneficial 50 to mitigate O_3 – so-called NO_x-limited or VOC-limited regimes (Seinfeld and Pandis, 2016). 51 Abatement of VOCs sources is important in VOCs-limited areas since decreasing the emissions can 52 effectively reduce the local O₃ production rate and help limit O₃ peak concentrations (Gaudel et al., 53 2020). The wide range of sources, including many that are diffuse and occur indoors, and differing 54 photochemical reactivities further complicates O₃ reduction strategies. Different mixes of sources 55 and speciation can lead to a need for localized policies. For example, short-chain alkanes and alkenes with high hydroxyl radical reactivity emitted from on-road transportation in China, have 56 57 been reported as being responsible for 26% of national O₃ formation (Wu and Xie, 2017). A field 58 observation study in Delhi, India reported that the O₃ production in that city was most sensitive to

59 monoaromatics, followed by monoterpenes and alkenes during a post-monsoon period in 2018 60 (Nelson et al., 2021). Another study at urban sites in Seoul, South Korea concluded that the O₃ 61 production was controlled by C>6 aromatics and isoprene during a summer O₃ episode in 2016 62 (Schroeder et al., 2020). There is therefore no 'one size fits-all' policy in terms for which sources 63 and sectors to target for optimal O₃ abatement efforts.

64 Policy and regulation aimed at improving air quality in many countries including the United 65 States, the United Kingdom and Europe have led to decades of falling VOCs emissions (Lewis et 66 al., 2020; Coggon et al., 2021). This reduction can be substantially attributed to the successful 67 technical implementation of tailpipe exhaust after-treatment technology for gasoline vehicles 68 controls on evaporative emissions from vehicles including during re-fueling and a more widespread 69 set of efforts to control industrial emissions (Winkler et al., 2018). Despite these successes, O₃ 70 remains a pollutant of concern; whilst peak concentrations during O₃ events have reduced in the UK, 71 increases in the long-term urban background O₃ concentrations have been observed since the 1990s 72 (Department for Environment, Food & Rural Affairs, 2023). A variety of explanations have been 73 given to account for the increase including a rising northern hemisphere background O₃, increasing 74 methane which contributes to both global radiative forcing and enhances O₃ production (Tarasick et 75 al., 2019; Abernethy et al., 2021), the increases in non-vehicular sources of VOCs emissions 76 (Mcdonald et al., 2018; Yeoman and Lewis, 2021), and the reduction of NO_x in VOCs-limited urban 77 areas leading to greater O₃ production efficiency (Sicard et al., 2020).

78 The UK National Atmospheric Emissions Inventory (NAEI) for VOCs has shown increases in 79 the relative contribution of solvent usage and the food & wine industry to total national VOCs 80 emission over 1990-2019, and steady growth in the relative importance of OVOCs within the overall 81 speciation (Lewis et al., 2020). In North America and Europe cities, OVOCs emitted from volatile 82 chemical products (VCP) can outweigh fossil fuel sources for urban VOCs. Modelling results 83 showed that the additional OVOCs from VCP emissions were the most important species for urban 84 O₃ production, increasing the daily maximum O₃ mixing ratio by as much as 10 ppbv in Los Angeles 85 (Qin et al., 2021). Substantial OVOCs emissions can come from unexpected places. For example, 86 alcohols emitted from use of windshield fluid are now estimated to be a larger VOC source from 87 road transport than VOC from the tailpipe in the UK (Cliff et al., 2023). From the perspective of O_3 88 pollution, the benefit of substantial reductions on vehicle emissions, whilst there has been a parallel increasing role for non-industrial solvent usage remains unclear. What effect this shift in speciation
is having on ozone chemistry is less well studied. One challenge has been the lack of routine
measurement of OVOCs in most national air quality monitoring networks (Air Quality Expert
Group, 2020).

93 Recent model analysis of decadal trends of ozone has centred on the association between 94 extreme weather and ozone events and projected changes in ozone concentration under chemical regime change scenarios. Significant decline in the UK experiencing ozone episodes and increase 95 96 in the background ozone concentration are found over the past three decades (Diaz et al., 2020). 97 Elevated ozone mostly occurs in the late spring and summer during anticyclonic conditions when 98 slow moving air masses from continental Europe contribute to accumulation of precursor emissions 99 and enhance photochemical production of ozone (Hertig et al., 2020; Lewis et al., 2021). Higher 100 temperatures in the late summer increase biogenic VOC emissions and reduce ozone deposition, 101 leading to summertime maximum concentrations (Finch and Palmer, 2020). Several studies pointed 102 out that increasingly hot summer due to climate change may offset gains made in the reduction of 103 ozone event over time (Gouldsbrough et al., 2022; Liu et al., 2022). In term of ozone production 104 sensitivity, reductions in NO emissions have led to decreasing trend in annual average concentration. 105 However, 20% or 40% NO_x emission reductions would lead to increases in average and maximum 106 ozone concentrations in the UK with respect to 2018 (Gouldsbrough et al., 2024). In addition to 107 results on NO_x and VOCs sensitivities, Ivatt et al. (2022) revealed that ozone concentrations in North 108 America and Europe were inhibited by aerosol in the 1970s, and this 'aerosol-inhibited regime' has 109 been shifted to Asia by 2014.

110 There have been several recent studies focussed on evaluating the impacts of reductions in 111 anthropogenic sources on ozone production. By integrating the U.S. fuel-based inventory of vehicle 112 emissions (FIVE) into air quality model, McDonald et al. (2018) assessed ozone sensitivity to 113 mobile source NO_x emissions over the Eastern U.S., and Coggon et al. (2021) employed FIVE with 114 volatile chemical products (VCPs) to evaluate contributions of VOC from fossil fuel and different 115 types of VCP emissions to ozone production at an urban background site in New York City. Nelson 116 et al. (2021) used emission inventories from Emission Database for Global Atmospheric Research 117 (EDGAR) to investigate in -situ ozone production sensitivity to five inventory source sectors at an 118 urban site in Delhi. Kang et al. (2022) applied two emission inventories in air quality model to

evaluate contributions of industry, road transport, power plant, and biogenic emissions to ozone production in Chinese cities. Although great efforts have been made toward identifying crucial VOC sources in regional ozone production, understandings of their roles in urban ozone chemistry in the context of historical changes is still lacking.

123 In this study we evaluate the effects of changing speciation on urban ozone chemistry, using 124 recent field measurements of O₃ and its key precursors such as NO_x, CO, speciated VOCs and 125 OVOCs in Birmingham, UK during August 2022. We combine this with changing speciation and 126 relative amounts of VOCs based on long-trends in the NAEI. The sensitivity of *in- situ* production 127 and OH reactivities of the measured O₃ precursors are investigated by constraining the observational 128 data sets to a zero-dimensional chemical box model. By incorporating the detailed NAEI VOCs emission inventories over the period of 1990-2019 into the model, O3 formation in Birmingham is 129 130 used as a case study to quantify the impacts of the real-world changes in VOCs sources on urban O₃ 131 production rate. The relative importance of different VOCs functional group classes to O₃ 132 production are also evaluated. The results help understand impacts of decades of abating different 133 VOCs-emitting sectors on urban O_3 production, and outline the implications for future O_3 control 134 strategies.

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136 2. Materials and Methods

137 2.1 Field observations

The observations are taken from the Birmingham NERC Air Quality Supersite during August 2022. This is located on the University of Birmingham (52°27'20.2"N 1°55'44.3"W) campus. The site has been in operation for many years, and represents an urban background environment. It is influenced by transport emissions from nearby arterial roads and residential emissions from surrounding area. There are no significant industrial activities within a 4km radius of the site.

143 Continuous measurements of NO, NO₂, CO, CH₄, VOCs, O₃, along with meteorological
144 parameters including air temperature and pressure, relative humidity, wind speed and direction were
145 made. Briefly, NO and NO₂ were measured by a chemiluminescence-based T200 analyzer (Teledyne
146 API., U.S.A.) and the T500U Cavity Attenuated Phase Shift (CAPS) analyzer (Teledyne API.,
147 U.S.A.). The concentration of NO_x was then the statistical sum of NO and NO₂. The mixing ratio of

148 CO were measured by a laser absorption spectroscopy Multi-species Continuous Emissions 149 Monitoring instrument (Enviro Technology Service Ltd., UK) (Li et al., 2020). Manual calibration 150 and span checks for the above instruments were performed every 3 days, and automatic zero 151 calibration was set on daily bases. O₃ was measured by an O₃ analyzer (Model 49i, Thermo Fisher Scientific Inc., U.S.A.) with a minimum detection limit (MDL) of 1.0 ppbv. Meteorological 152 153 parameters including air temperature and pressure, and relative humidity were obtained from a 154 weather station WS300-UMB weather station (Luff GmbH, Germany). Additionally, Wind speed 155 and direction were measured by a 3-axis ultrasonic anemometer (Gill Instruments Ltd., UK) over 156 the campaign.

157 A gas chromatography-flame ionization detection (GC-FID) analysis system (7890A, Agilent Technologies, U.S.A.) was used to quantify 38 individual VOCs species. Details on instrument 158 159 settings and quality assurance/quality control methods can be found in (Warburton et al., 2023). Briefly, the GC-FID system utilizes dual detectors: one detector for C_2 – C_6 non-methane 160 hydrocarbons (NMHCs); the other detector for remaining $C \ge 7$ hydrocarbons, and polar species 161 162 such as ethers, ketones, and alcohols. Ambient samples were dried at -40 °C using a water trap and 163 then preconcentrated on a carbon adsorbent at the lowest temperature the unit could achieve, always 164 lower than -115 °C. Once a 0.5L sample had been collected, a pre-concentration trap was warmed slightly from -80 °C to purge trapped atmospheric CO₂. The trap was then heated to 190 °C for 3 165 166 minutes with a counter flow of helium thermally desorbing the concentrated VOCs onto focusing 167 micro-trap held at lower than -115 °C. The analytes were flash heated and passed onto a VF-WAX 168 column. The unresolved analytes $(C_2 - C_6 \text{ NMHCs})$ were then transferred into a Na₂SO₄-deactivated 169 Al₂O₃ porous-layer open tubular (PLOT) column via a Deans switch, for separation and detection 170 by the first FID. The Dean switch then diverted the analytes onto a fused silica internal diameter to 171 balance column flows and subsequently transfer the VF-WAX column- resolved species into the 172 second FID. Generally, quantification of C_2 - C_6 hydrocarbons was completed by the first FID using 173 4 ppbv gas standard cylinders (the National Physical Laboratory, Teddington, UK). Quantification 174 of $C \ge 7$ hydrocarbons and OVOCs was completed by the second FID using effective carbon number 175 (ECN) with reference to toluene. In this study, the concentration of total VOC (TVOC) was defined 176 as the statistical sum of concentrations of measured individual species, but this is not meant to infer 177 that this represents the total reactive carbon in air, which would always be greater than this value 178 due to unmeasured species. Later in this study we broadly group species according to their chemical 179 function groups, summing into alcohols, ketones, alkanes, alkenes, aromatics, aldehydes, and 180 alkynes.

The GC-FID system responses were regularly checked by running direct calibration sequences using the 4 ppbv gas standard cylinders. It was verified there was no FID-response drift over the analyzing period for this study. Additionally, carbon-wise FID responses for all reported species were calculated to verify the use of ECN as a quantification method. Table S1 lists which species were directly calibrated, and which used equivalent carbon numbers for quantification. Table S2 shows effective carbon numbers of species which used carbon-wise responses.

187 2.2 National emission inventory for VOCs

Estimates of UK anthropogenic VOC emissions are taken from the NAEI. The NAEI uses a combination of UK-specific methods and default methods as recommended in the European Monitoring and Evaluation Programme (EMEP)/European Environment Agency (EEA) Emission Inventory Guidebook (European Environment Agency, 2016). Further details can be found in (NAEI, 2021). The VOC inventory is also disaggregated into inventories for each individual VOC species and details of the speciation process and assumptions can be found in (Passant, 2002) and (Lewis et al., 2020).

195 Methods to estimate emissions can be divided into two groups: those using emission factors, 196 and those using 'point source' emissions data reported to regulators by the operators of individual 197 industrial sites. The emission factor methods require UK activity data, for example consumption of 198 paint, consumption of a fuel, production of steel or vehicle kilometers travelled. The activity data is 199 then combined with an emission factor which expresses the total VOC emission that is expected per 200 unit of a given activity. Most total VOC emission factors are taken from the internationally applied 201 EMEP/EEA Emission Inventory Guidebook and so are not necessarily UK-specific. The factors for 202 road transport are directly calculated for the UK and a particularly detailed approach is used to 203 estimate emissions using emission factors from the Guidebook for many different vehicle types and 204 emission standards, fuels and road types combined with detailed transport activity from the UK 205 Department for Transport. Government statistics cannot always provide the necessary activity data 206 for other sectors, so industry data are used instead. For instance, NAEI data on consumption of 207 products containing organic solvents are from industry sources. The alternative point source method

can be used for source categories where emissions data can be obtained for all sites within the sector, and this limits the method to source categories such as crude oil refining, steel production and chemicals production. The emissions data reported by the operators of these sites can be based on emissions monitoring, although this is not always the case and emissions might instead be estimated, for example, using emission factors.

213 The NAEI produces updates to the inventory for total VOC mass emissions by source sector 214 each year to achieve a consistent historic time-series reflecting trends in UK emissions. Emissions 215 of individual VOC species are estimated using source-specific speciation profiles which show the 216 mass fraction of each species, or in some cases groups of species, emitted by the source (NAEI, 217 2021; Passant, 2002). Over 600 individual VOC species or species groups are included in the 218 speciation, based on sources in industry, regulators and in some cases literature sources and 219 databases such as the USEPA SPECIATE database. The speciated inventory tends to be more 220 uncertain than the estimation of total mass of VOC emissions. The inventory for total VOC mass is 221 updated annually, whereas the speciation profiles are only periodically updated when new 222 information becomes available. Thus, trends in a particular species for a sector are a reflection of 223 changes in total VOC emissions for the sector and do not normally reflect any changes over time in 224 the speciation profile of the sector which may have occurred.

225 2.3 Photochemical box model

226 The framework for evaluating effects of changing VOCs speciation is a 0-D Atmospheric 227 Modelling chemistry box model (Wolfe et al., 2016), driven by the Version 3.3.1 of the Master 228 Chemical Mechanism (MCM v3.3.1) (Saunders et al., 2003; Jenkin et al., 2003). The model can be 229 effective in identifying the instantaneous *in- situ* O₃ sensitivity to changes in individual VOCs. The 230 measured concentrations of 38 VOCs species, NO_x, and CO, along with air temperature and pressure, 231 and relative humidity were averaged to a time resolution of 1-hour to constrain the model. A 3-day 232 model spin-up, with each 24-hour model run constrained by the observational data, was performed 233 in order to initialize the unmeasured compounds and transient radicals. The modelled outputs on the 4th day were taken as representing steady state of the photochemistry. 234

- 235 Photolysis rates were calculated as a function of solar zenith angle (Saunders et al., 2003):
- 236 $J = l(\cos \chi)^m \exp(-n \sec \chi)$ (1)
- 237

runs for clear sky condition at an altitude of 0.5 km and literature cross sections/quantum yields; χ

239 is the solar zenith angle in radians.

240 The net production rate of O_3 (P(O₃)) is calculated by the difference of the production rate of 241 O_3 and the destruction rate of O_3 , as in Equation (2):

$$P(O_{3}) = (k_{HO_{2}+NO}[HO_{2}][NO] + \sum_{i} k_{RO_{2i}+NO}[RO_{2}][NO]) - (k_{O^{1}D+H_{2}O}[O^{1}D][H_{2}O] + k_{O_{3}+OH}[O_{3}][OH] + k_{O_{3}+HO_{2}}[O_{3}][HO_{2}]$$
(2)
$$k_{NO_{2}+OH}[NO_{2}][OH] + \sum_{i} k_{RO_{2i}+NO_{2}}[RO_{2}][NO_{2}])$$

Where the former part is the rate of O_3 production, representing by rate of NO oxidation by HO₂ and RO₂ radicals; the latter part is the destruction rate of O₃, calculating by the sum of the rate of O₃ photolysis, the rates of the reactions with OH and HO₂ radicals, and the rates of NO₂ loss through reactions with OH and RO₂ radicals.

247 The sensitivity of O_3 to its precursors is quantified by the index of relative incremental 248 reactivity (RIR) (Liu et al., 2022b), as in Equation (3):

$$RIR = \frac{\Delta P(0_3)}{P(0_3)} \times a^{-1} \tag{3}$$

Where RIR is the Relative Incremental Reactivity in %/%, $\Delta P(O_3)/P(O_3)$ is the ratio of the change in O₃ production rate to the base O₃ production rate; *a* is the reduction percentage in the input concentration of O₃ precursors – a factor that allows for the effects of changing absolute amounts of VOCs to be evaluated. Here a value of 30% was adopted for *a*.

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3. Results and Discussion

256 3.1 Observation overview

257 The time series of O₃ and its precursors during August 2022 are shown in Figure 1, subdivided into periods that will be referred to as 'initial period', 'O₃ period', and 'clear-out'. The three periods 258 covered 1st August-21th August 2022. Each period included one full week to avoid 259 weekday/weekend differences in NOx and VOCs concentrations impacting differently when O3 260 production was compared between the three periods (de Foy et al., 2020). Ozone showed a generally 261 increasing trend from 1st to 14th August and then returned to relatively low concentrations after 15th 262 263 August 2022. The daily maximum 8 h average O₃ concentrations (MDA8h O₃) during the O₃ period exceeded the WHO guideline value (100 μ g m⁻³), ranging from 111 to 153 μ g m⁻³. The elevated O₃ 264

during the middle of the month corresponded to more intense photochemical formation under hot weather conditions ($32.7 \,^{\circ}$ C in maximum) and higher concentrations of O₃ precursors (Table S3).

267 The diurnal profile of NO and NO_2 in the three periods generally showed a bimodal pattern, 268 albeit less pronounced in the initial and clear-out periods (Figure 2). The two peaks likely arise as 269 a consequence of increased traffic volumes at the start and end of the day, coupled to boundary layer 270 height changes in the early morning and into the evening (Lee et al., 2020). The average 271 concentrations of NO₂ during 05:00-10:00 were 10.8 ppbv in the O₃ period, which was considerably 272 higher than the concentration of 3.9 ppbv in the initial period and 3.4 ppbv in the clear-out period. The low level of NO in the O₃ period highlights the rapid consumption of NO via photochemical 273 274 processes. The oxidation of CO is an important source of HO_2 in the atmosphere (Chen et al., 2020), 275 here in the range of 82.5 to 134.2 ppbv with little difference between periods. The diurnal profiles 276 of O₃ peaked at 15:00, with maximum hourly concentrations of 31.6, 67.2, and 30.4 ppbv in the 277 initial, O₃, and clear-out periods, respectively. Slight decreases in O₃ were observed during 278 nighttime (00:00-05:00), indicating enhanced NO titration effects.

279 The detailed VOCs composition in the three periods is presented in Figure S1. Concentration 280 of TVOC were 19.4 ± 8.4 , 48.0 ± 18.8 , and $23.5 \pm 12.5 \ \mu g \ m^{-3}$ in the three periods, respectively. 281 Alcohols, represented mainly by methanol and ethanol, were the predominant group that contributed 40.3% - 47.4% of over measured VOCs mass. This was followed by alkanes (21.4%-24.6%) and 282 283 ketones (16.3%-17.3%). Contributions of aldehyde (acetaldehyde), aromatics, alkenes, and 284 acetylene were low, ranging from 1.0% to 9.4% of total mass. Average mixing ratio of the top 10 285 species in selected periods at Birmingham Supersite are listed in Table S4. The top 10 species were 286 represented by methanol, acetone, ethanol, acetaldehyde, and $C_2 - C_4$ alkanes across initial period, 287 O₃ period, and clear-out period. The top individual species contributing to the total VOCs were 288 methanol (10.3% - 33.6%) and acetone (15.5% - 17.1%), regardless of the subdivided periods. The 289 results highlight large emissions of ethane, propane, n-butane, and i-butane associated with Natural 290 Gas (NG), Liquefied Petroleum Gas (LPG), and propellant use, fuel combustion and evaporation. 291 Ambient VOCs largely influenced by combustion-related sources (i.e., vehicle exhaust and coal 292 combustion) generally show alkane-dominated composition (Wu and Xie, 2017). Here, the 293 composition and amount of VOCs observed were most likely influenced by non-combustion 294 processes such as volatile chemical product usage and industrial processes (Gkatzelis et al., 2021).

295 Methanol was the most abundant VOC with an average concentration of 4.1 ppbv, followed by 296 acetone (2.0 ppbv), ethane (1.9 ppbv), ethanol (1.8 ppbv), and acetaldehyde (1.0 ppbv). The average 297 ratio of ethene/ethane was 0.2 ± 0.1 over the campaign, considerably lower than seen in polluted 298 locations, e.g. Hong Kong (China) (0.7 ± 0.1) (Wang et al., 2018) and Seremban (Malaysia) (1.1) 299 (Zulkifli et al., 2022).





301 Figure 1. Time series of O₃, CO, NO, NO₂, and VOCs groups at the Birmingham Supersite. The



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Figure 2. Diurnal variations of O₃, NO, NO₂, and CO during the initial (a), O₃ period (b), and
 clear-out period (c). The shaded areas represent standard variations.

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308 The general diel profiles for all selected VOCs, except for ethane, showed bimodal pattern 309 (Figure S2). Concentrations were much higher during the night, and lower in the day, due to they 310 were subject to photochemical losses during the daytime. The bimodal pattern is less apparent for 311 methanol and acetone, as they are abundant species originating from many anthropogenic sources 312 in urban areas. For example, methanol was the most abundant species measured at a roadside in UK 313 using Thermal Desorption-Gas Chromatography coupled with Flame Ionization Detection (TD-GC-314 FID) (Cliff et al., 2023). A separate study on gasoline and diesel vehicle exhausts reported methanol 315 and acetone were the largest OVOCs emitted (Wang et al., 2022). Gkatzelis et al. (2021) conducted 316 Positive Matrix factorization (PMF) analysis based on observed VOCs dataset in New York City, 317 and concluded that acetone was the second most abundant species in measurements and was mostly 318 attributed to volatile consumer product emissions (90%). (See Section 3.3 for further discussions 319 for anthropogenic sources of OVOCs).

320 3.2 Observation-based O₃ formation sensitivity

The *in -situ* O₃ formation sensitivity was examined via reaction rates of ozone precursors and OH radical (OH reactivities, (k(OH))) and RIR scales of ozone precursors, along with the chemical budgets of O₃ formation and loss. In initial and clear-out periods. k(OH) exhibited consistent diurnal patterns, ranging from 2.4 to 5.9 s⁻¹ (Figure S3). In the O₃ period, k(OH) reached 9.0 and 8.7 s⁻¹ at approximately 07:00 and 20:00, respectively. A rapid increase in k(OH) was observed in the early 326 morning (00:00-06:00). VOCs and model generated species represented 60.5%, 65.7%, and 56.7% 327 of the total k(OH) in the three periods, respectively. NO_x and CO only contributed 10.2% -27.9% to 328 total k(OH). Among of the VOCs groups, alcohols exhibited the largest k(OH) in all periods, accounting for 5.0% - 6.9% of the total k(OH). The diurnal production and loss of O₃ are shown in 329 330 Figure S4. The oxidation and photolysis of VOCs promoted the production of RO₂, and NO+RO₂ 331 contributed 47.7% of the O₃ production pathways in the O₃ period and 36.2% and 39.8% in initial and clear-out periods, respectively. Considering O3 destruction, OH+NO2 was the most important 332 333 pathway during morning (08:00-12:00), accounting for 73.5%, 55.4% and 59.4% of the O_3 334 destruction pathways in the three periods. The dominant OH+NO₂ contribution to O₃ destruction 335 suggested that the *in -situ* O₃ productions in all three periods was sensitive to VOCs emissions to 336 some extent.

337 In order to understand contributions of O₃ formation from direct emissions and secondary 338 formations of OVOCs, we developed two modelling scenarios: (1) all OVOCs species were 339 constrained to observed mixing ratio; (2) all OVOCs species were unconstrained. (2) allowed 340 secondary formations of OVOCs by oxidations of their precursor VOCs. As shown in Figure S5, 341 secondary formations of OVOCs had little impact on O₃ formation in all periods. The simulation of 342 O₃ production using the box model without constraining observed OVOCs slightly underestimated average daily maximum O₃ mixing ratio and P(O₃), compared to the scenario with all observed 343 344 OVOCs species constrained. The underestimation for average daily maximum mixing ratio of O_3 345 was 4.8%, 6.9%, and 5.1% in initial period, O_3 period, and clear-out period, respectively. In this 346 case, the underestimation of average daily maximum $P(O_3)$ was 5.1%, 6.0%, and 9.3% in the three 347 periods, respectively. The results demonstrated that in the Birmingham case study, primary 348 emissions of OVOCs played central role in the in -situ ozone production.

The relative incremental reactivity of NO_x, CO, and anthropogenic VOCs (AVOCs, all measured VOCs except for isoprene) are shown in Figure 3. The *in -situ* O₃ production was most sensitive to anthropogenic VOCs with the highest positive RIR values (0.44 - 0.49). This is as anticipated given earlier analyses demonstrating their role in determining k(OH) and O₃ production. The low RIR (0.03 - 0.07) for CO in all three periods indicated a minor contribution of CO oxidation to O₃ production. The high RIR (0.24) for NO_x was only observed in the O₃ period. Acetaldehyde showed the highest positive RIR (0.17 - 0.19) among the AVOCs, suggesting that the photolysis and 356 oxidation of acetaldehyde was a limiting factor for O_3 formation. The important role of carbonyl 357 compounds in atmospheric photochemistry has also been reported in previous studies, contributing 358 up to 59.3% to the O_3 formation in ambient environments in China, the United States, and Brazil 359 (Qin et al., 2021; Liu et al., 2022a; Edwards et al., 2014). Alkanes and alcohols exhibited lower RIR 360 values (0.02 - 0.04), despite their high mass concentrations.



Figure 3. Modelled RIRs for (a) major O₃ precursors and (b) the AVOCs groups during photochemically active daytime (08:00-16:00) in the selected periods. (AVOCs: anthropogenic VOCs, all measured VOCs except for isoprene)

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366 3.3 Emission inventory-informed O₃ production sensitivity tests

The trends in anthropogenic VOCs emissions from 1990 to 2019 estimated by the NAEI are 367 368 shown in Figure S6. Over the period, the annual national emissions decreased by ~69.0% from 2,941 369 kt in 1990 to 911kt in 2019. The reduction is partly attributed to more stringent controls for gasoline 370 vehicle emissions, both tailpipe and evaporative/fugitive. In 2019, VOCs emissions from on-road 371 transport and fuel fugitive losses accounted for only 3.3% and 13.7% of the total mass of VOCs 372 emissions, compared to 29.1% and 26.9% in 1990. Efforts have also been directed towards 373 controlling industrial processes, commercial solvent usage, and combustion emissions, resulting in 374 reductions of 66.8%, 48.9%, and 20.7%, respectively over the period. However, contributions from 375 solvent usage to total VOCs emissions over 1990-2019 showed only modest reductions in the 1990s 376 and 2000s and indeed small increases in the most recent years (Figure 4(b)). This slight growth in 377 solvent usage is due to increasing emissions from solvent use in consumer products such as 378 decorative products, aerosols, personal-care products, and detergents (NAEI, 2021). Solvent usage 379 had become the largest contributory sector (33.7%) to VOCs emissions by 2019, followed by industrial processes (16.0%).

381 As shown in Figure 4(a), the VOC speciation over the 1990-2019 period was dominated in mass 382 terms by contributions from alkanes and alcohols, the former decreasing as gasoline sources 383 declined, the other increasing as non-industrial solvent and food and drink industry processes 384 emissions followed a different pattern. Alkane emissions fell from 46.6% to 30.6% over the period. 385 Further reductions in alkane emissions are expected from policies for that phase-out sales of new 386 internal combustion engine vehicles in the UK (and in many other places) by 2035. Growth in the 387 relative contributions of alcohols was primarily driven by increases in emissions of methanol and 388 ethanol, and to a lesser extent in 1-butanol and 2-propanol (Figure 4(c)).



Figure 4. Contributions to annual national UK emissions of VOCs between 1990-2019 by: (a)
functional group; (b) by major emissions reporting sector; (c) for four individual alcohols in the
overall sub-class of alcohols; (d) for eight individual alkanes in the sub-class of all alkanes.

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Figure S7 shows UK emission trends of individual species from different VOCs classes. These highlight a national trend since 1990 of decreasing emissions of ethane associated with natural gas leakage, toluene and propane associated with on-road petrol evaporation, as well as reductions of benzene, ethene, and acetylene associated with tailpipe exhaust. Meanwhile, the reduced emissions have been accompanied with increases in emissions of methanol and ethanol. The increase in methanol is largely attributed to increased emissions from car-care products (i.e., non-aerosol 400 products). The increase in ethanol is due to increased domestic and industrial solvent usage.

401 The NO_x , CO, and VOC speciation within the NAEI for each of the six major emission sectors 402 was used to assign proportional sectoral contributions to the VOCs observed in Birmingham, and 403 hence to ozone production in the case study. (Table S5). Isoprene was excluded from the analysis as 404 it is assumed to have an entirely biogenic source. The six sectors are: road transport (both of on-405 road exhaust emission and evaporative losses of fuel vapor), industrial processes, combustion, 406 solvent usage, fuel fugitive, and agriculture emissions). This makes a key assumption that the VOCs 407 at the observation site are affected directly in the same proportion that VOCs are reported in national 408 amounts in the NAEI. We make this assumption since it provides a reasonable starting point for 409 understanding how each VOC sector may influence O₃ production during a case study event, 410 however ozone formation might be sensitive to differing regional distribution in speciation. The 411 attribution of VOCs sources based on the NAEI data can be thought of as representative for this 412 case study as a typical urban environment, but it might not hold for cities near large industrial VOC 413 sources (i.e., oil refinery and industrial production sites), since they can significantly affect 414 composition and chemical reactivity of ambient VOCs.

Figure S8 shows the modelled RIRs for these sources in the initial, O_3 , and clear-out periods. All the sources generally showed higher RIR values in the O_3 period. Road transport exhibited the highest positive RIR values in all periods (0.30 - 0.36), followed by industrial process (0.06 - 0.09) and solvent usage (0.05 - 0.07). Despite being a relatively minor contributor to the mass of national VOCs emissions (only 3.3% of the total in 2019), road transport VOCs still played the most important role in local ozone photochemical chemistry, in this case study.

421 Figure 5a shows the changes in $P(O_3)$ during the O_3 period from 08:00 to 16:00 which might 422 arise as a result of reductions in the individual sectors described above. For this analysis, emission 423 changes in these sectors can be obtained by reducing model constrained concentrations of VOCs, 424 NO_x, and CO according to their contributions arising from individual emission sectors. This is a 425 'thought experiment' where under 2019 general observed atmospheric conditions (e.g., for NOx, CO 426 and so on), each of the VOC source sectors is then further reduced in isolation (from 2019 levels) 427 and the effects on $\Delta P(O_3)$ were evaluated. Based on these scenarios, reducing emissions from the 428 individual sectors all resulted in decreased P(O₃), as would be anticipated. Reducing ozone 429 precursors arising from road transport would lead to a decreased $P(O_3)$ of ~1.71 ppbv h⁻¹ if that 430 sector could be 100% abated in the case study. This is expected because road transport is a source 431 of photochemically reactive VOCs, including aromatics, aldehyde, and short-chain alkanes/alkenes. 432 Other sectors showed more modest effects, with reductions in solvent-related VOCs the next most 433 significant lever to control ozone. Fully abating emissions of all industrial and solvent process 434 emissions only resulted in a decreased $P(O_3)$ of ~0.35 ppbv h⁻¹, largely because they are dominated 435 by ethanol and methanol with relatively low RIR values. Considering the real-world changes in VOC emissions over the period of 1990 to 2019, the very major reductions in road transport 436 437 emissions have led to the largest effects in reducing $P(O_3)$ (Figure 5(b)). Whilst there have also been 438 some very large reductions (94.6%) in fuel fugitive emissions, the impact on P(O₃) reduction is 439 modelled to have been relatively modest, being similar to industrial processes and solvent usage. It 440 is important to acknowledge the limitation of this analysis. In the real world, reductions in ambient 441 VOCs from the NAEI sectors are affected by photochemical loss rate and advection processes, 442 potentially altering the proportion of VOCs that would be observed with each sector reduction at 443 the measurement site. This would potentially be an important consideration if instantaneously 444 radical budgets were being evaluated, but it is a less significant issue when integrated ozone 445 production effects.





Figure 5. (a) Changes in $P(O_3)$ in response to different reductions in VOCs, NO_x, and CO from different sectors for the Birmingham-case study condition. (b) Changes in $P(O_3)$ based on the NAEI estimated reductions in VOCs from different sectors between 1990 and 2019. The standard deviations represent variability in $\Delta P(O_3)$ during 08:00-16:00 LST in the O₃ period.

451

452 Further model runs were performed to better understand the impacts of the shift between

453 alkanes and alcohol species on $P(O_3)$, given trends showing decreasing alkanes emissions and 454 increasing alcohol emissions between the 1990-2019 period (Figure 4). The modelled alkane concentrations in the case study were reduced by 10%, 30%, 50%, 70%, 90%, and 99%. This 455 456 represents a downward trajectory in alkane emissions that would be anticipated as gasoline vehicles 457 are slowly retired. Two further scenarios were then developed to sit alongside these reductions in 458 alkanes. Firstly, the concentration of ethanol was increased to keep the overall total VOC 459 concentrations in the model under case study conditions unchanged. Second, the concentration of 460 both ethanol and methanol were scaled upwards to keep total VOCs concentration unchanged. As shown in Figure 6, reductions in alkanes alone resulted in decreased $P(O_3)$ to a maximum of ~0.26 461 ppbv h⁻¹ if fully abated. If that alkane reduction was balanced with increased ethanol and methanol, 462 then $\Delta P(O_3)$ is reduced by a maximum of 0.19 ppbv h⁻¹. If alkane reductions were balanced by 463 464 increasing ethanol alone, then P(O₃) still decreases, but only up to 0.07 ppbv h⁻¹.



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Figure 6. Reductions in $\Delta P(O_3)$ based on reducing alkanes (ALK) in the model (under case study conditions), reducing alkanes but balancing the overall VOC amount with increased ethanol (blue line) and reducing alkanes but balancing the overall VOC amount with increased ethanol and methanol (green line).

470

471 Conclusion

472 In this study a typical high- O_3 event in Birmingham, United Kingdom was chosen as a case 473 study to investigate the impacts of changes to VOCs emissions and speciation on urban O_3 474 production. The *in- situ* O_3 formation sensitivity was split into three periods: initial, high O_3 , and 475 clear-out. Results from OH reactivity, O₃ budgets, and RIR index showed that O₃ formation in all 476 three periods was impacted by both VOCs and NO_x , but was more sensitive to anthropogenic VOCs. 477 The oxidation of alcohols and photolysis of acetaldehyde substantially contributed to in- situ O₃ 478 formation, especially in the high O₃ period. The roles of anthropogenic VOC sources in urban O₃ 479 chemistry were examined by integrating the NAEI speciation over the period of 1990-2019 into 480 photochemical box model scenarios. Despite road transport only contributing 3.3% of national 481 VOCs emissions in 2019 it still played the most important VOC role in the case study ozone 482 photochemistry, when inventory contributions were mapped onto observed VOCs. Sequentially the 483 observed VOCs were reduced by the fractional contributions and speciation in the NAEI for six 484 sectors to evaluate what impact abating different VOCs-emitting sectors would have on P(O₃). Abating road transport VOCs in isolation would lead to a decreased $P(O_3)$ by up to 1.67 ppbv h⁻¹, 485 486 but abating other sectors such as solvent use and fugitive fuels had noticeably smaller effects. 487 Despite emissions of VOCs from road transport falling very dramatically between 1990 and 2019, 488 it remains one of the most powerful means to further reduce ozone in this typical UK case study. 489 The wider shift in speciation reported in the NAEI from alkanes to alcohols was also examined 490 using scenarios where emission reductions for alkanes, were counterbalanced with increases in 491 alcohols, all simulated for the Birmingham case study conditions (e.g., for NO_x, CO and etc). Further 492 reducing alkanes from present day conditions to zero has a clear beneficial effect on reducing $P(O_3)$ 493 by up to ~ 0.26 ppb hr⁻¹. However, this benefit would to a degree be offset should alcohol emissions 494 (for example from food and drink, and/or solvent use) increase to counterbalance those alkanes 495 reductions. Whilst simple alcohols are inherently less potent ozone-forming VOCs compared to the 496 mixture of VOCs from road transport, avoiding future growth in emissions remains important, since 497 they weaken the long-term benefits of road transport electrification and the phase out of internal 498 combustion engine vehicles.

499

500 Data Availability

501 Observational data including meteorological parameters and air pollutants used in this study are 502 available at <u>https://github.com/nervouslee/Birmingham_CS.git</u>. UK national emission inventory is 503 available at <u>https://naei.beis.gov.uk/</u>. 504

505 Author Contribution

506	Jianghao Li prepared the manuscript with contributions from all authors. Alastair C. Lewis helped
507	with modelling scenarios and revised the manuscript. Jim R. Hopkins contributed to measurement
508	of chemical species. Stephen J. Andrews contributed to scientific discussion on findings of this work
509	Tim Murrells, Neil Passant and Ben Richmond contributed to the data of national emission inventory
510	data and revision on NAEI methodology. Siqi Hou, William J. Bloss, Roy M. Harrison, and Zongbo
511	Shi provided measurements of atmospheric pollutants used in this study, along with critical
512	discussion on revising the manuscript.
513	
514	Competing interests
515	The authors declare that they have no conflict of interest.
516	
517	Acknowledgements
518	Establishment and operation of the Birmingham Air Quality Supersite operation (BAQS) is
519	supported by the NERC WM-Air project (NE/S003487/1) and UKRI Clean Air SPF project OSCA
520	(NE/T001976/1). This work forms part of the National Centre for Atmospheric Science National
521	Capability programme funded by NERC. Jianghao Li's study at the University of York is financially
522	supported by the China Scholarship Council (grant no. 202206560052).
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