

1 **Spatial Disparities of Ozone Pollution in the**
2 **Sichuan Basin Spurred by Extreme Hot Weather**

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19 Basin, ozone formation mechanism

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Abstract

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Under the influence of climate change, the increasing occurrence of extreme weather events, such as heatwaves, has led to an enhanced frequency of ozone (O₃) pollution issues. In August 2022, the Sichuan Basin (SCB), a typical large-scale geographical terrain located in southwestern China, experienced the most severe heatwave over the last 20 years. The heatwave led to substantial disparities in O₃ levels across the region. Here, by integrating observations, machine learnings and numerical simulations, we aim to understand the diverse O₃ formation mechanisms in two mega cities, Chengdu (western location) and Chongqing (eastern location). Observational data showed that Chengdu experienced a consecutive 17-day period of O₃ exceedance, in contrast to Chongqing, where O₃ concentrations remained below the standard. Meteorological and precursor factors were assessed, spotlighting high temperatures, intense solar radiation, and overnight accumulative pollutants as key contributors to O₃ concentrations. The interplay of isoprene, temperature, and O₃, alongside the observation-based box model and MEGAN simulations, underscored the significant role of intensified biogenic VOCs (BVOCs) on O₃ formations. Interestingly, Chongqing exhibited nearly double the BVOCs emissions of Chengdu, yet contributed less to O₃ concentrations. This discrepancy was addressed through CMAQ-DDM simulations and satellite diagnosis by investigating the O₃-NO_x-VOCs sensitivity. Notably, Chengdu displayed a VOCs-driven sensitivity, while Chongqing showed a transitional regime. Moreover, the regional transport also played a pivotal role in the spatial divergence of O₃ pollution. Cross-regional transport predominantly influenced Chongqing (contributing ~80%), whereas Chengdu was mainly affected by the emissions within the basin. The local accumulated pollutants gave rise to the atmospheric oxidizing capacity, resulting in a substantial photochemical contribution to O₃ levels (49.9 ppbv/hour) in Chengdu. This comparison of the difference provides the insights into the complex interplay of meteorology, natural emissions, and anthropogenic sources during heatwaves, guiding the necessity of targeted pollution control measures in regional scales.

50 **1 Introduction**

51 Ground-level ozone (O₃), formed through intricate photochemical
52 reactions involving precursors like volatile organic compounds (VOCs)
53 and nitrogen oxides (NO_x) under sunlight, is a prominent constituent of
54 smog and a major contributor to poor air quality. Different from the
55 protective role in the stratosphere, O₃ in the troposphere has garnered great
56 attention due to its potential damage to human well-being and ecological
57 systems (Krupa and Kickert, 1989; Schwela, 2000; Emberson et al., 2001;
58 Xiao et al., 2021). The hazardous effects span across multiple domains,
59 such as detrimental impact on human health, vegetation growth, and the
60 climate. Addressing O₃ pollution is a complex endeavor, which mainly
61 arises from the nonlinear relationship between O₃ and its precursors.
62 Besides, the substantial influence of meteorological conditions adds
63 another layer of intricacy to the challenge of managing O₃ pollution. Under
64 global warming, the interplay of factors such as extreme weather events
65 and elevated anthropogenic emissions have led to the frequent emergence
66 of O₃ pollution, worsening air quality in urban areas worldwide.

67 Net O₃ production arises when the equilibrium between O₃ and nitrogen
68 oxides (NO_x), i.e., $\text{NO} + \text{O}_3 \rightarrow \text{NO}_2 + \text{O}_2$, is disrupted through the
69 involvement of alkylperoxyl (RO₂) and hydroperoxyl (HO₂) radicals
70 originating from oxidation of VOCs and carbon monoxide (CO). This
71 intervention triggers the oxidation of NO to NO₂, ultimately resulting in
72 the accumulation of O₃ through NO₂ photolysis (Jacob, 2000; Lelieveld
73 and Dentener, 2000). Functioning as a pivotal role in photochemical
74 reactions, VOCs have been identified as a crucial focal point for advancing
75 efforts in the prevention and management of O₃ pollution (Jenkin and
76 Clemitshaw, 2000). However, influenced by the diversity, abundance and
77 reactivity of VOCs species, the spatial and temporal of VOCs
78 characteristics depict regional disparities, adding difficulty in developing
79 an effective strategy to reduce photochemical smog. Moreover, due to the
80 dual roles of NO_x in O₃ formation, where they enhance O₃ formation in low
81 NO_x environments and titrate O₃ in high NO_x environments, reductions in
82 VOCs must be examined along with the patterns of NO_x. Given the diverse
83 energy structures in different regions, comprehending the regional

84 responsiveness of O₃-NO_x-VOCs sensitivity is essential. This is
85 particularly vital for elucidating non-linear relationship discrepancies
86 within regional contexts, which helps to advance the formulation of
87 effective emission reduction strategies.

88 O₃ pollution episodes are also closely related to meteorology. High
89 temperature, intensive solar radiation and light winds are found to be the
90 unfavorable weather conditions inducing photochemical pollutions (Ding
91 et al., 2017; Wang et al., 2017; Wang et al., 2022b). Generally, the impact
92 of meteorological conditions on O₃ is manifested through factors such as
93 changes in chemical reaction rates, dry/wet deposition, and atmospheric
94 transport. By objectively classifying pollution weather types, numerous
95 studies have summarized the typical weather conditions that lead to O₃
96 pollution. For example, high-pressure ridge, continental anticyclone and
97 the periphery of typhoons are the typical weather system conducting O₃
98 pollutions in east Asia (Mcelroy et al., 1986; Daum et al., 2003; Wang et
99 al., 2015). Besides, meteorology can also indirectly affect O₃ by
100 modulating natural emissions, such as BVOCs (biogenic VOCs) emissions
101 from vegetation and reactive nitrogen emissions from soil (Hall et al., 1996;
102 Saunier et al., 2017; Huang et al., 2018). For instance, a rise in temperature
103 can result in elevated emissions of BVOCs, thereby contributing to the
104 formation of O₃ (Wang et al., 2022b). With the influence of climate change,
105 there is an increasing frequency of extreme weather events, further
106 perturbing the natural emissions and finally exacerbating O₃ pollutions
107 (Lu et al., 2019).

108 The Sichuan Basin (SCB), encircled by the Qinghai-Tibet Plateau, Yungui
109 Plateau, and surrounding mountain ranges, stands as a notable hotspot for
110 atmospheric pollution within China. Two mega cities, Chengdu and
111 Chongqing, are situated in the SCB with populations exceeding 50 million.
112 In fact, a considerable amount of research on the pollution characteristics
113 of O₃ has been conducted in the SCB. For example, the characteristics of
114 O₃ and the precursors have been widely measured and analyzed (Zhao et
115 al., 2018; Qiao et al., 2019; Zhou et al., 2020; Chen et al., 2022). The
116 complicated coupling effect between the plateau-deep basin topography
117 and the unique meteorological conditions on atmospheric pollution have

118 been studied (Hu et al., 2022; Shu et al., 2022; Lei et al., 2023). The impact
119 of aerosol feedbacks on O₃ was also explored (Wang et al., 2020).

120 However, a limited focus has been placed on contrasting the varied
121 responses among different sites or cities within the basin. Exploring and
122 contrasting diverse mechanisms across multiple sites enriches our
123 comprehension and facilitates collaborative air pollution mitigation efforts
124 in a regional scale. In August 2022, the SCB experienced an exceptionally
125 rare heatwave, with monthly mean temperature ranking the highest over
126 the last two decades. As a result, the Chengdu Plain suffered from 17-day
127 consecutive O₃ pollution, whereas Chongqing remained good air quality.
128 Here, we combined field measurements, machine learning and numerical
129 simulations to elucidate the spatial disparities of O₃ pollution mechanism
130 within the SCB. This information has implication for better understanding
131 the meteorological contributions, discrepancy in O₃-NO_x-VOCs sensitivity,
132 and regional transport disparities between large urban areas, and provides
133 insights for regional joint control of O₃ pollution.

134 **2 Method**

135 **2.1 Data Source**

136 Data of atmospheric compositions, including O₃, NO_x (NO and NO₂), CO,
137 SO₂, VOCs components and meteorological parameters were collected
138 from two in-situ observational sites. The Chengdu sampling site was
139 located on the rooftop super monitoring station of the Chengdu
140 Environmental Science Academy in Qingyang District, Chengdu (30.65°N,
141 106.49°E), while the Chongqing sampling site was situated on the rooftop
142 research observation station of Longshan Primary School in Yubei District,
143 Chongqing (29.75°N, 106.46°E). Both sites were situated in mixed-use
144 areas encompassing traffic arteries, commercial, and residential zones,
145 serving as representative locations for assessing urban air quality. Detailed
146 information of the measurements, such as monitoring instruments, data
147 coverage, and resolution were summarized in Table S1. Briefly, the
148 ambient concentrations of O₃, NO_x, CO and SO₂ were detected by
149 instruments produced by Thermo Scientific (Model 49i, 42i, 48i and 43i,
150 respectively). The species of VOCs were sampled by the GC955-611/811

151 Ozone Precursor Analyser produced by Synspec. The instrument targeted
152 the VOCs species designated as photochemical precursors by the US
153 Environmental Protection Agency (EPA). The gas standards used were
154 identical to those employed by the US EPA Photochemical Assessment
155 Monitoring Stations (PAMS). The photolysis rate of NO₂ (JNO₂ value)
156 were measured by Ultra-fast CCD-Detector Spectrometer (UF-CCD,
157 MetCon, Germany). Meteorological parameters including temperature,
158 relative humidity, wind speed and wind direction at the same sites were
159 concurrently measured by the mini-weather stations (WS600-UMB in
160 Chengdu and WS502-WTB100 in Chongqing). All instruments were
161 meticulously maintained and regularly calibrated. Moreover, the air quality
162 monitoring network established by the Ministry of Ecology and
163 Environment of China was employed to assess O₃ pollution events in the
164 SCB.

165 In addition, for regional analysis in this study, we acquired the monthly
166 averaged 90th percentile of MDA8 O₃ (MDA8-90) product. This gridded
167 distributed O₃ product (10×10 km) was reconstructed by integrating
168 surface monitoring, satellite observation, emission inventory, numerical
169 modeling and big data analysis. The data was sourced from TAP (Tracking
170 Air Pollution in China, <http://tapdata.org.cn>, last accessed on Feb 3, 2024).

171 **2.2 Stepwise Regression Analysis**

172 We employed the stepwise regression analysis to assess the impact of
173 various meteorological factors on O₃ formation. This approach involves the
174 introduction of numerous input variables, with the method iteratively
175 selecting significant factors while eliminating non-significant ones,
176 ultimately resulting in the identification of a final set of critical factors.
177 Following this, we constructed a multivariate linear regression equation to
178 model O₃ concentration. In detail, meteorological parameters were
179 obtained from the fifth generation of the European Centre for Medium-
180 Range Weather Forecasts atmospheric reanalysis (ERA5). The selected
181 parameters included 10m u-component of wind (U10), 10m v-component
182 of wind (V10), vertical wind (w), boundary layer height (BLH), 2m
183 temperature (T2) and surface solar radiation (SSR). Given the high

184 correlation ($R=0.85$) between the diurnal variations of T2 and SSR during
185 the heatwave, it was challenging to distinguish the individual impacts of
186 T2 and SSR. As a pragmatic approach, we chose to combine them by
187 multiplying T2 with SSR, thereby examining the collective influence of
188 elevated temperatures and high solar radiation. Additionally, we also
189 incorporated previous night accumulative air pollutants, such as O₃
190 (ACCO₃) and NO₂ (ACCNO₂), as input parameters to investigate the
191 impact of pollutants being overnight accumulated on O₃ levels. The
192 machine learning-simulated O₃ concentrations were then validated against
193 observations, revealing a robust correlation (Pearson correlation
194 coefficient (R) > 0.91, p-Value (from two-tailed t-test) < 0.01) between
195 them (Fig S1). This result demonstrates the effectiveness of meteorological
196 and overnight accumulative factors in explaining a substantial portion of
197 O₃ concentrations.

198 **2.3 Observation-based model (OBM)**

199 In this study, an observation-based box model (OBM) configured with the
200 master chemical mechanisms (MCM v3.3.1) was employed to identify the
201 key VOCs species influencing O₃ (Jenkin et al., 2015; Bloss et al., 2005;
202 Saunders et al., 2003; Jenkin et al., 2003; Jenkin et al., 1997). The model
203 considered VOCs concentrations, trace gases (O₃, NO_x, CO, SO₂),
204 meteorological parameters, as well as the photolysis rates of NO₂ (J_{NO_2})
205 from the in-situ sites in Chengdu and Chongqing. Observations were used
206 as constraints in the model and were averaged to represent the diurnal cycle
207 with a time resolution of 1 hour. The photolysis rates generated by the
208 model were adjusted based on the measured J_{NO_2} values in order to
209 accurately simulate the photochemical reactions. The mean mixing ratios
210 of 46 VOCs species, including 20 alkanes, 11 alkenes, 1 alkyne (ethyne)
211 and 14 aromatics were listed in Table S2. The model started at 00:00 local
212 time (LT) and ran for a period of 24 hours. Prior to the formal calculation,
213 we conducted a spin-up run for 4 days with constraints representing the
214 diurnal cycle, allowing the unconstrained compounds (e.g., radicals and
215 HCHO) to reach steady states. Using the OBM simulation, the relative
216 incremental reactivity (RIR) method was applied to assess the sensitivity
217 of O₃ formation to individual precursor species (Cardelino and Chameides,

218 1995; Meng et al., 2023; Zhang et al., 2019; Xue et al., 2014; Zhu et al.,
219 2020). The calculation process can be expressed in Eq. (1).

$$220 \quad \text{RIR}(X) = \frac{(\text{P}_{\text{O}_3}(X) - \text{P}_{\text{O}_3}(\Delta X)) / \text{P}_{\text{O}_3}(X)}{\Delta C(X) / C(X)} \quad (1)$$

221 Here, X represents a specific precursor of O_3 . $\text{P}_{\text{O}_3}(X)$ and $\text{P}_{\text{O}_3}(\Delta X)$
222 represent the maximum simulated O_3 concentration based on measured
223 concentration and the concentration when the precursor levels change by
224 ΔX . $\Delta C(X) / C(X)$ indicates the relative change of precursor X . In this study,
225 a reduction of 20% in precursor X was selected to perform the RIR analysis.

226 **2.4 Lagrangian Particulate Dispersion Modeling**

227 We conducted backward Lagrangian particulate dispersion modeling
228 (LPDM) to ascertain the potential source regions for the air masses
229 observed at the monitoring stations. This approach involved employing the
230 hybrid single-particulate Lagrangian-integrated trajectory model
231 (HYSPLIT) driven by the ARL format Global Data Assimilation System
232 (GDAS) data. The LPDM was executed with a temporal resolution of 1
233 hour, releasing 3000 particulates at 100 meters above sea level from the
234 site and then tracking their backward movement for 72 hours. The
235 particulates' positions were calculated in both vertical and horizontal
236 dimensions, considering the impact of atmospheric advection and diffusion.
237 By analyzing the resulting data, we derived the "retroplume", which
238 indicates the spatial residence time of particulates and reflects the
239 distribution of surface probability or simulated air mass residence time.
240 This technique enabled us to diagnose whether the in-situ observation was
241 predominantly influenced by local emissions or regional transport.

242 **2.5 Chemical transport modeling**

243 A chemical transport model, WRF-MEGAN-CMAQ (Weather Research
244 Forecast–Model of Emissions of Gases and Aerosols from Nature –
245 Community Multiscale Air Quality), was employed to study the O_3
246 formation mechanism in the SCB. We adopted a two-nested domain, with
247 the outer domain covering most parts of east Asia (grid resolution of $36 \times$

248 36 km) and the inner domain covering the southwestern China with the
249 SCB being focused (grid resolution of 12×12 km) (see Fig S2). The
250 European Center for Medium-Range Weather Forecasts (ECMWF)
251 reanalysis data was used as the initial and lateral boundary conditions of
252 the WRF (version 3.9.1). Carbon Bond Mechanism Version 6 and Aerosol
253 Scheme 6 were used for gas-phase and aerosol chemical simulations within
254 the CMAQ model (version 5.4), respectively. With regard to anthropogenic
255 emissions, the recently updated 2020-based MEIC emissions (Multi-
256 resolution Emission Inventory for China, developed by Tsinghua
257 University) were used for areas within China and the 2010-based MIX
258 emissions (Li et al 2017) were used for regions outside China. Both sets of
259 the emissions have a horizontal resolution of $0.25 \times 0.25^\circ$, incorporating
260 sectors such as transportation, industry, power plant, residential and
261 agriculture. Besides, natural emissions were calculated using MEGAN
262 model (version 2.1) driven by the WRF simulated meteorology. The static
263 input vegetation-related data of MEGAN were updated by using the 2020-
264 based the plant function type (PFT) and leaf area index (LAI) retrieved
265 from the MODIS (Moderate-Resolution Imaging Spectroradiometer)
266 products. After a spin-up of 5 days, the WRF-CMAQ model was performed
267 to simulate O_3 concentrations in the SCB. More details of the modeling
268 configuration were summarized in Table S3.

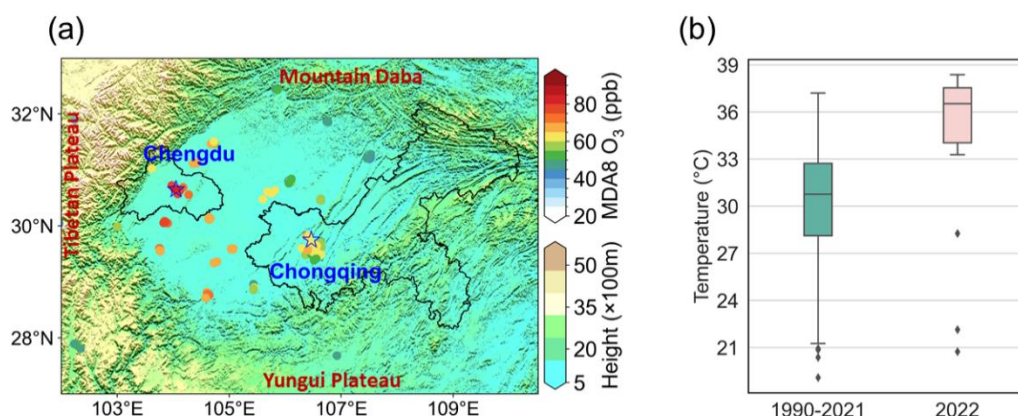
269 In this study, we introduced the CMAQ-DDM (Decoupled Direct Method)
270 module to investigate the non-linear relationship between O_3 and its
271 precursors. Unlike the traditional brute force method (BFM) that involves
272 cutting or eliminating emissions from source regions (or sectors), which is
273 not only computationally intensive but also prone to uncertainties (due to
274 the intricate non-linear nature of O_3 chemistry), the DDM method offers a
275 more refined alternative. It enables accurate and computationally efficient
276 calculations of the sensitivity coefficients required for evaluating the
277 impact of parameter variations on output chemical concentrations
278 (Napelenok et al., 2008). Furthermore, the DDM method has been reported
279 to exhibit more accurate calculations when addressing uncertainties arising
280 from the nonlinear relationship between secondary pollutants and their
281 emissions, in comparison to the BFM (Itahashi et al., 2015). Herein, both

282 first-order and higher order sensitivities were calculated to obtain the O₃–
283 NO_x–VOCs sensitivities in Chengdu and Chongqing. In addition, we also
284 utilized the CMAQ-ISAM (Integrated Source Apportion Method)
285 technique, an innovative approach for source tracing. This method enables
286 us to trace and quantify the distinct impacts on O₃ concentrations
287 originating from specific source sectors, emissions confined within
288 designated geographical regions, as well as effects arising from
289 stratospheric and lateral boundary conditions (Kwok et al., 2013). Through
290 this approach, we calculated the separate influences of anthropogenic and
291 biogenic emissions on O₃ levels. We also assessed the contributions of
292 source regions to O₃ levels in Chengdu and Chongqing, encompassing both
293 local and regional influences. A map of source region’s classification in
294 this study was provided in Fig S3.

295 We validated the performance of the WRF-MEGAN-CMAQ model using
296 surface network monitoring data. The time series and statistical outcomes
297 of the simulated and observed O₃ within the SCB are consolidated in Fig
298 S4 and Table S4. In general, the favorable alignment between observations
299 and simulations underscores the model's proficiency in accurately
300 replicating the magnitude and temporal variations of air pollutants.

301 3 Results and discussion

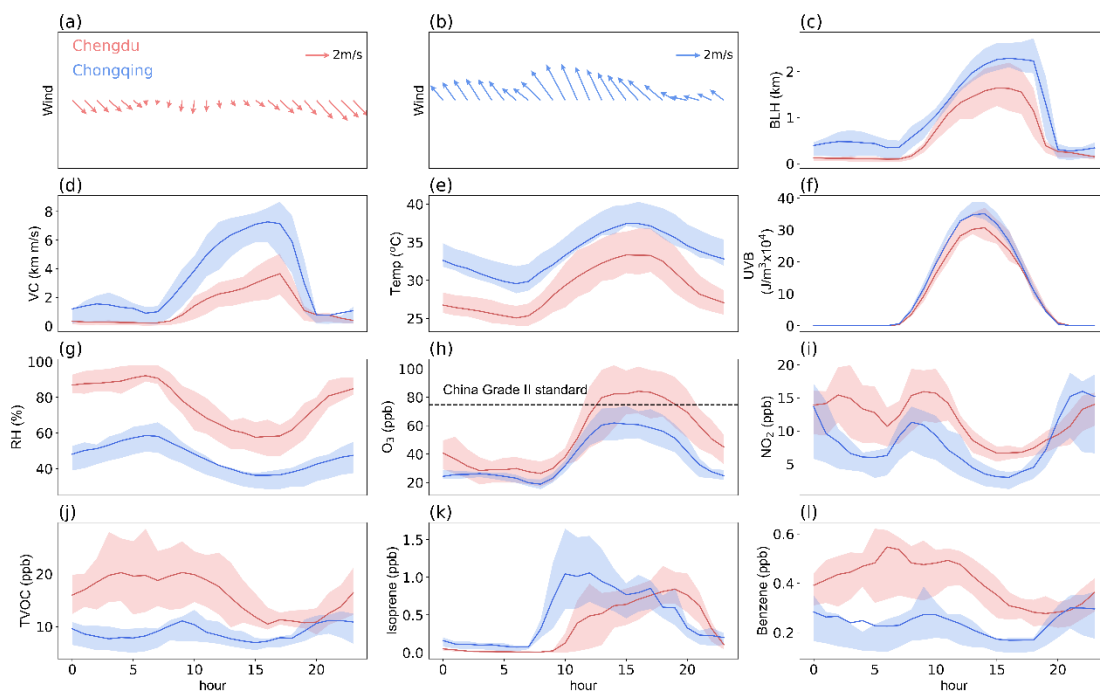
302 3.1 Regional disparity of O₃ between Chengdu and Chongqing



303
304 Fig 1 (a) Geographical distribution of Sichuan Basin with scattered averaged monthly MDA8
305 O₃ concentrations (data obtained from Ministry of Ecology and Environment of China). The
306 contoured shows the 3D terrain height in SCB. The black lines highlight the administrative

307 border of Chengdu and Chongqing, respectively. The blue stars indicate the supersite in
 308 Chengdu and Chongqing, respectively. (b) Historical monthly averaged daily-maximum air
 309 temperature (August) comparison between 2022 and climate average (1990-2021) of the SCB
 310 region.

311 August 2022 witnessed the SCB experiencing its hottest August in the last
 312 20 years, with Chengdu and Chongqing reporting monthly mean
 313 temperatures soaring to 36.8°C and 40.3°C, respectively (Fig 1). Typically,
 314 the atmospheric conditions in the SCB are relatively stable due to the
 315 topography of the basin. This stability, in conjunction with elevated
 316 temperatures, tended to foster the occurrence of photochemical pollution
 317 (Zhao et al., 2018; Chen et al., 2022). However, during this historically
 318 unprecedented heatwave, O₃ levels exhibited substantial variations across
 319 the SCB. Observations revealed that O₃ concentrations surpassed China's
 320 Grade II standard (75 ppbv) in the western part of the SCB, notably in
 321 Chengdu. Conversely, significantly lower concentrations, well below the
 322 standard, were observed in the eastern region of the basin, particularly in
 323 Chongqing (Fig 1a). According to the network monitoring data, the average
 324 maximum daily 8-hour (MDA8h) O₃ concentration in Chengdu was
 325 measured at 75.1 ppbv. In contrast, the MDA8h O₃ concentration in
 326 Chongqing was recorded at 55.1 ppbv.



327
 328 Fig 2 Diurnal variation of meteorological parameters (including winds, boundary layer height

329 (BLH), ventilation coefficient (VC), temperature (temp), ultraviolet radiation (UVB) and
330 relative humidity (RH)) and air pollutants (O_3 , NO_2 , total volatile organic carbons (TVOC),
331 isoprene and benzene) in Chengdu and Chongqing, respectively.

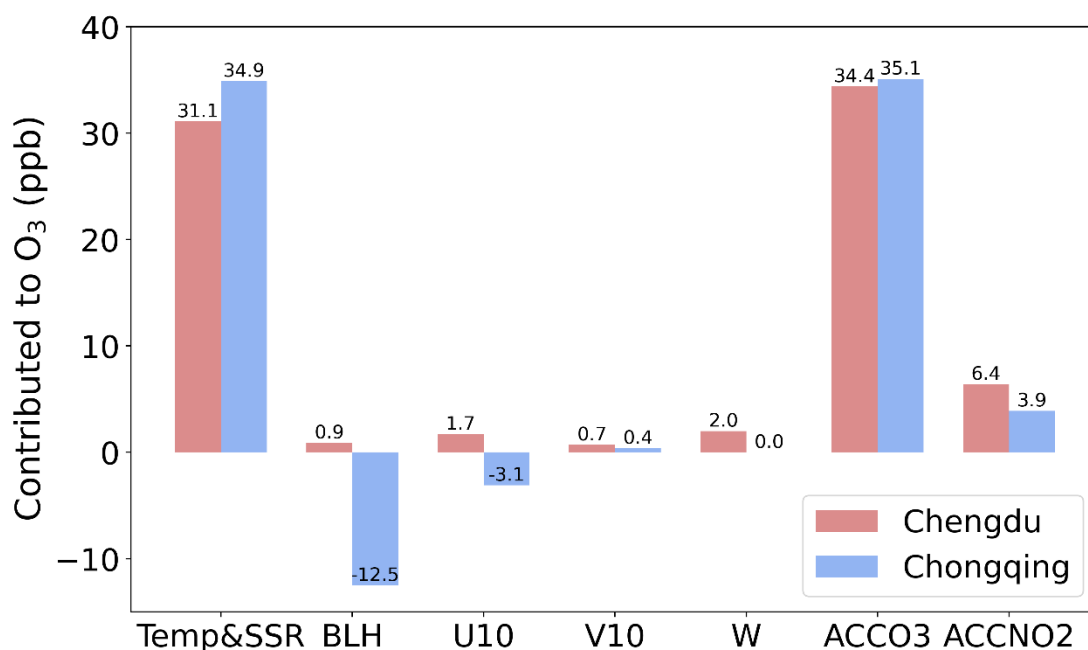
332 We compared the averaged diurnal variations of the in-situ measured
333 meteorological parameters and air pollutants (Fig 2). Consistent with the
334 analysis of weather patterns, Chongqing was influenced by the southeast
335 winds (3.1 m/s), while Chengdu was more stagnant with lighter wind speed
336 (1.4 m/s) (Fig 2a-b). In addition, the boundary layer height (BLH) was also
337 significantly higher in Chongqing (Fig 2c). A simple calculation of the
338 ventilation coefficient (VC) with wind speed and BLH indicated that
339 Chongqing ($VC=3.34 \text{ km}\cdot\text{m/s}$) had better ventilation conditions compared
340 to Chengdu ($VC=1.24 \text{ km}\cdot\text{m/s}$, Fig 2d). It could be inferred that, influenced
341 by lighter winds and lower BLH, air pollutants in Chengdu were more
342 easily trapped and accumulated. Both cities displayed typical
343 meteorological features of a heatwave conducive to photochemical
344 pollution, characterized by elevated temperatures, intense solar radiation,
345 and low relative humidity (Fig 2e-2g). Among these factors, temperature
346 and solar radiation in were higher compared to those in Chengdu,
347 suggesting that the conditions in Chongqing were more conducive to
348 photochemical O_3 reactions. Additionally, significantly lower relative
349 humidity was observed in Chongqing, suggesting a potential reduction in
350 O_3 removal by water vapor, for instance, through HO_x reactions. However,
351 the degree of O_3 pollution was quite the opposite as previously mentioned
352 (Fig 1a and Fig 2h). We conducted further investigation into the diurnal
353 variation of the precursors. Two distinct peaks in NO_2 levels were
354 identifiable, with one occurring in the morning and the other appearing
355 during night (Fig 2i). The morning peaks were likely influenced by
356 vehicular emissions during rush hours. The night peaks were possibly
357 caused by the NO_x titration effect. Moreover, the levels of total VOCs
358 (TVOC) were much higher in Chengdu than those in Chongqing (Fig 2j).
359 Considering the different degrees of NO_2 and TVOC concentrations in
360 Chengdu and Chongqing, it could be inferred that there might be
361 differences in the O_3 formation mechanism between the two cities. Indeed,
362 the diurnal variation of isoprene, a highly active VOCs compound, showed
363 distinct differences (Fig 2k). The observed data in Chongqing showed a

364 notable afternoon peak, whereas in Chengdu, the peak appeared
365 exclusively between 17:00 and 20:00. Usually, isoprene, mainly emitted by
366 vegetation, is sensitive to ambient temperature and solar radiation and
367 peaks at noon time. There might be some potential explanations. Firstly,
368 the isoprene peak between 17:00 and 20:00 in Chengdu could be attributed
369 to other sources, such as vehicular emissions. However, this possibility was
370 ruled out after examining the diurnal variation of benzene (Fig 21). As a
371 marker of anthropogenic vehicular emissions, benzene did not exhibit any
372 peaks between 17:00 and 20:00. The second possibility was that the
373 atmospheric oxidizing capacity in Chengdu was more robust than in
374 Chongqing, leading to the rapid photochemical consumption of isoprene
375 emitted by vegetation. This hypothesis was supported by the diurnal
376 variations in O₃ levels, which were notably elevated in the afternoon,
377 implying of a stronger atmospheric oxidizing capacity. The instrument-
378 detected of isoprene was indicative of its "aged" state, implying the rapid
379 photochemical consumption due to both the atmospheric oxidizing
380 capacity and the inherent reactivity of isoprene itself. Furthermore, a
381 distinct decrease of BLH between 17:00 and 20:00 was also a possible
382 reason causing the isoprene peak of Chengdu in the late afternoon.

383 Subsequently, we employed a machine learning method, the Stepwise
384 Regression Analysis, to quantify the impact of diverse meteorological
385 parameters and precursor concentrations on O₃ levels. In both cities, the
386 significance of T2 and SSR, along with ACCO₃ and ACCNO₂, took
387 precedence. This indicates that meteorological conditions characterized by
388 high temperatures, intense solar radiation, and the presence of overnight
389 accumulative pollutants played a pivotal role in O₃ concentration,
390 especially during heatwaves. The distinction between the two cities lied in
391 the significance of atmospheric dispersion capacities represented by the
392 variations in winds and BLH. The study revealed that winds, including both
393 horizontal winds (U10 and V10) and vertical wind (W), along with BLH,
394 had positive effects in elevating O₃ levels in Chengdu. Conversely, they
395 predominantly had negative effects, resulting in a decrease in O₃ levels, in
396 Chongqing. These findings align with the diurnal analysis, which indicated
397 that Chengdu experienced lighter winds and lower BLH. The poor

398 ventilation conditions facilitated the accumulation of air pollutants,
 399 contributing to the increase in O₃ levels. In contrast, the ventilation
 400 condition in Chongqing was conducive to reduce O₃ concentrations.
 401 Combined with the aforementioned analysis of diurnal patterns, it could be
 402 inferred that Chengdu was more constrained by local emissions, while
 403 Chongqing was more susceptible to regional transport influences (further
 404 discussed in Section 3.3).

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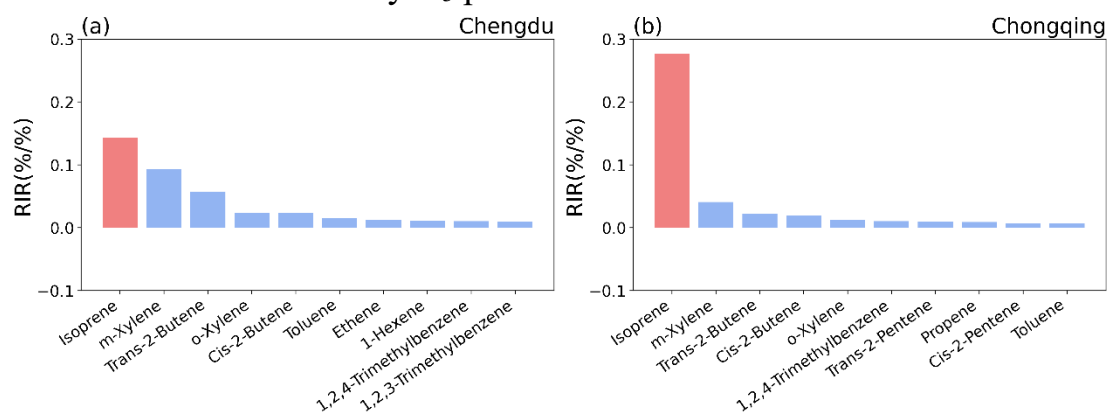
406

407 Fig 3 Contribution of multi-factors influencing O₃ concentrations in Chengdu and Chongqing,
 408 respectively. (Temp, SSR, BLH, U10, V10, W, ACCO₃ and ACCNO₂ stand for temperature,
 409 surface solar radiation, boundary layer height, 10 m u-component of wind, 10 m u-component
 410 of wind, vertical wind, previous night accumulative O₃ and previous night accumulative NO₂,
 411 respectively)

412 3.2 Difference in heatwave-intensified BVOCs emissions and 413 their impact on O₃ formation

414 In addition to the influence of meteorological factors under heatwave
 415 conditions, the precursors also play important roles in contributing O₃
 416 concentrations. Therefore, we utilized the OBM model to compute and
 417 identify the primary VOCs components that exerted a substantial influence
 418 on O₃ levels. Here, we introduced the RIR values that could reflect the
 419 importance of a given species to O₃ concentrations. As Fig 4 shows,
 420 Alkenes and aromatic hydrocarbons were the principal VOCs components

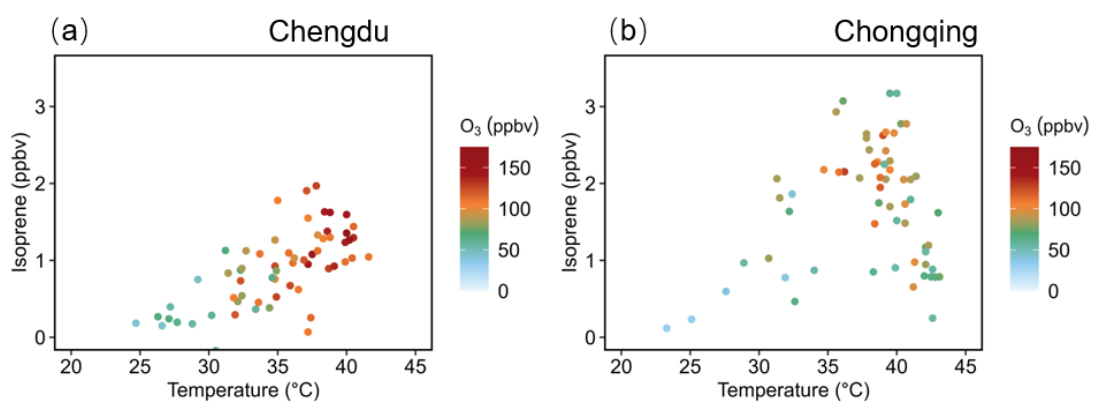
421 influencing O₃ levels in both cities. In Chengdu, the most influential VOCs
 422 species on O₃ concentrations included isoprene, m-xylene, trans-2-butene,
 423 o-xylene, cis-2-butene, toluene, ethene, 1-hexene, 1,2,4-trimethylbenzene,
 424 and 1,2,3-trimethylbenzene. Similarly, in Chongqing, the primary VOCs
 425 contributors to O₃ levels were isoprene, m-xylene, trans-2-butene, cis-2-
 426 butene, o-xylene, 1,2,4-trimethylbenzene, trans-2-pentene, propane, cis-2-
 427 pentene, and toluene. According to the results, both Chengdu and
 428 Chongqing should prioritize the regulation of alkenes and aromatic
 429 hydrocarbons from sources like vehicular emissions and solvent usage.
 430 Besides, the results clearly highlight isoprene as the dominant VOCs
 431 species impacting O₃ levels. The characteristics of the heatwave were high
 432 temperature, intense solar radiation and dry air condition. These
 433 meteorological factors significantly enhanced the emission of BVOCs
 434 from vegetation, indicating the notable role of heatwave-triggered natural
 435 emissions in the secondary O₃ pollution.



436
 437 Fig 4 OBM calculated the top 10 VOCs species with the highest RIR values in (a) Chengdu
 438 and (b) Chongqing, respectively.

439 We further examined the relationship between isoprene, temperature, and
 440 O₃ using observational data. In order to expand the sample size, we
 441 gathered a dataset corresponding to the daily maxima O₃ values recorded
 442 during the months of July and August in 2022. In Chengdu, the variations
 443 of isoprene and temperature basically showed an increasing trend,
 444 indicating that higher isoprene concentrations were associated with higher
 445 temperatures, which in turn coincided with elevated O₃ levels (Fig 5a). In
 446 Chongqing, the concentration of isoprene initially increased with rising
 447 temperatures. However, when the temperature surpassed approximately
 448 40°C, the isoprene concentration started to decrease with further

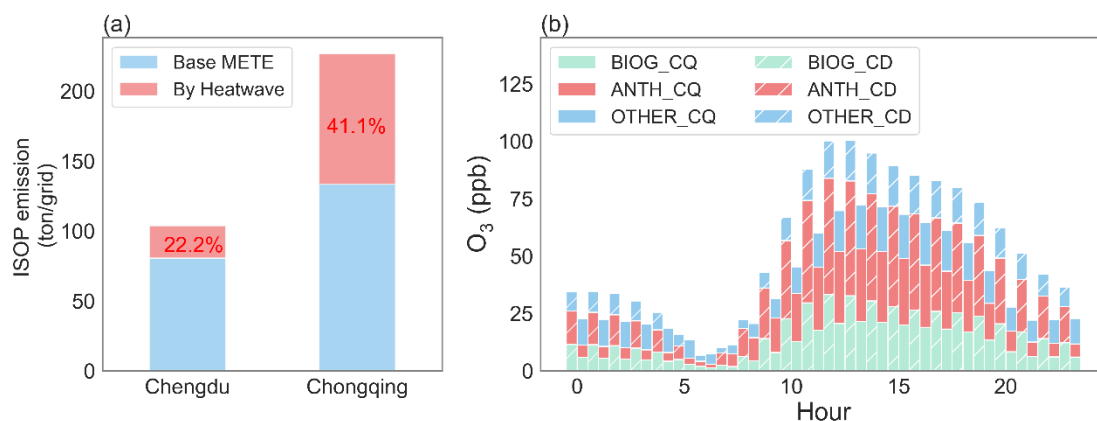
449 temperature elevation (Fig 5b). Notably, the peak values of O₃
450 corresponded closely to the high values of isoprene, occurring at
451 temperatures ~ 38°C to ~ 42°C. According to recent studies, isoprene
452 emissions increase with rising temperatures, and even under high-
453 temperature conditions when vegetation closes stomata, due to the indirect
454 impact of elevated leaf temperature, it decreases only under extreme high-
455 temperature drought conditions because of the inhibition of substrate
456 supply (Potosnak et al., 2014; Wang et al., 2022a). Here, the variation of
457 isoprene with temperature in Chengdu and Chongqing illustrates these two
458 distinctions though the isoprene concentration being observed was “aged”.



459
460 Fig 5 Scatter plots of observed isoprene, temperature and O₃ in (a) Chengdu and (b)
461 Chongqing. The data were collected corresponded to daily maxima O₃ concentrations from
462 July 2022 to August 2022.

463 We utilized the theoretical calculation from MEGAN model to quantify the
464 disparities in isoprene emissions between the two cities. Considering the
465 varying administrative areas of Chengdu (14,378 km²) and Chongqing
466 (82,339 km²), comparing the total isoprene emissions might not be
467 appropriate. Instead, we quantified the emissions per unit grid area (9 × 9
468 km) for both locations (Fig 6). We used two sets of meteorological fields
469 to drive the MEGAN model. One set corresponded to the meteorological
470 fields simulated by WRF for the summer of 2021, while the other set
471 corresponded to the meteorological fields simulated by WRF for the
472 summer of 2022. Among these, the BVOC emissions obtained by driving
473 the MEGAN model with the meteorological fields from the summer of
474 2021 were considered as ISOP emission by base meteorology
475 (BaseMETE). The difference in BVOC emissions obtained by driving the

476 MEGAN model with the meteorological fields from the summer of 2022,
 477 compared to Base METE, was considered as ISOP emission induced by
 478 heatwave (ByHeatwave). It could be observed that the isoprene emissions
 479 in Chongqing were higher than those in Chengdu (nearly twice as much).
 480 In particular, under the influence of heatwaves, the isoprene emissions in
 481 Chongqing and Chengdu increased by 41.1% and 22.2%, respectively. The
 482 significant role of heatwave-intensified BVOCs emissions was expected to
 483 aggravate O₃ pollution in Chengdu and Chongqing. With the aid of
 484 CMAQ-ISAM simulation, we proceeded to quantify the distinct impacts of
 485 anthropogenic emissions and BVOCs emissions on O₃ concentrations. The
 486 findings indicated that at 13:00 (local time), when photochemical reactions
 487 were most intense, anthropogenic emissions contributed to 50.6 ppbv and
 488 BVOCs emissions contributed to 33.3 ppbv in Chengdu. In comparison,
 489 anthropogenic emissions and BVOCs emissions contributed to 31.3 ppbv
 490 and 20.6 ppbv in Chongqing, respectively. Interestingly, despite higher
 491 BVOCs emissions in Chongqing compared to Chengdu, the contribution
 492 of BVOCs to O₃ levels was actually smaller in Chongqing than in Chengdu.
 493 This implies that there were differences in the O₃-NO_x-VOCs response
 494 mechanisms between the two cities.

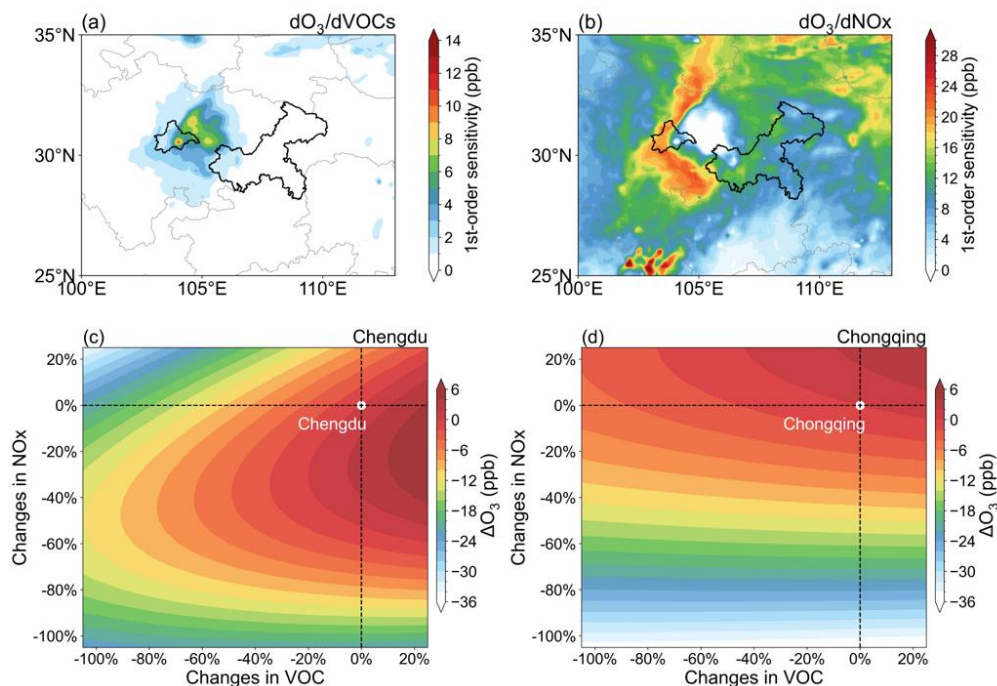


495
 496 Fig 6 (a) Meteorology driven ISOP emission between Chengdu and Chongqing, respectively;
 497 (b) Averaged source contributions (by emissions) to diurnal O₃ levels in Chengdu (CD) and
 498 Chongqing (CQ), respectively. BIOG, ANTH and OTHER refer to contributions from the
 499 biogenic, the anthropogenic and the others.

500 Herein, we conducted CMAQ-DDM simulations to investigate the
 501 nonlinear relationship between O₃ and its precursors. Indeed, the O₃-NO_x-

502 VOCs sensitivity response mechanisms in Chengdu and Chongqing were
503 of difference (Fig 7 a-b). On the one hand, the Chengdu region
504 demonstrated a greater sensitivity (first-order sensitivity coefficients) to
505 VOCs in comparison to Chongqing. Specifically, in certain urban grids
506 within Chengdu, the sensitivity coefficient exceeded 10 ppbv, while the
507 highest sensitivity in Chongqing was only ~ 3 ppbv. On the other hand,
508 Chongqing generally exhibited higher sensitivity to NO_x , except for quite
509 limited urban cores. In contrast, the eastern areas of Chengdu, particularly
510 its urban cores, displayed low sensitivity to NO_x . Furthermore, by taking
511 both the first-order sensitivity coefficient and the 2nd-order sensitivity
512 coefficient into account, we constructed the O_3 isopleth for both cities
513 during the month of August (Fig 7 c-d). It was evident that Chengdu was
514 situated in a VOCs-limited regime, while Chongqing was operating within
515 a mixed-limited regime. These simulated results agree with the satellite
516 diagnosed O_3 formation sensitivity (obtained through the ratio of HCHO
517 and NO_2), confirming again the good modeling performance (Fig S5). The
518 results implied that a temporary decrease in NO_x emissions in Chengdu
519 would result in an increase in O_3 concentrations, whereas reducing VOCs
520 emissions could potentially lower O_3 pollution. This finding could partially
521 explain the increasing trend of O_3 concentrations in Chengdu Plain during
522 the past as the previous emission control measures were mainly targeted to
523 NO_x emissions (driven by the need to control acid rain and $\text{PM}_{2.5}$ pollution,
524 successively). In Chongqing, differently, a reduction in either NO_x
525 emissions or VOCs emissions could contribute to alleviating O_3 pollution.
526 The disparity in O_3 - NO_x -VOCs sensitivity between the two cities could
527 also elucidate the reason why Chongqing, despite its higher BVOCs
528 emissions, exhibits a lower contribution to O_3 levels. Considering the
529 varying regional sensitivities in O_3 - NO_x -VOCs formation, it is advisable to
530 implement precise emission reduction strategies tailored to the unique
531 sensitivities of each city for effective pollution prevention and control. This
532 approach stands in contrast to a uniform solution that may not suit all
533 contexts. For example, in Chengdu, the previously nationally implemented
534 strategy, which prioritized NO_x -focused control, might ultimately lead to
535 O_3 reduction through substantial NO_x reductions. However, this approach

536 would initially enter into a phase characterized by relatively high O₃
 537 concentrations (positioned within the transitional regime based on the O₃
 538 isopleth), posing environmental risks. Instead, a strategy centered on VOCs
 539 control alongside simultaneous NO_x control could bypass the "high-O₃"
 540 phase and align with the need to address both O₃ and PM_{2.5} pollution.



541
 542 Fig 7 Spatial distribution of daytime first-order sensitivity coefficients to (a) VOCs and (b)
 543 NO_x; O₃ isopleth plots in (c) Chengdu and (d) Chongqing

544 3.3 Regional divergence of source region contribution

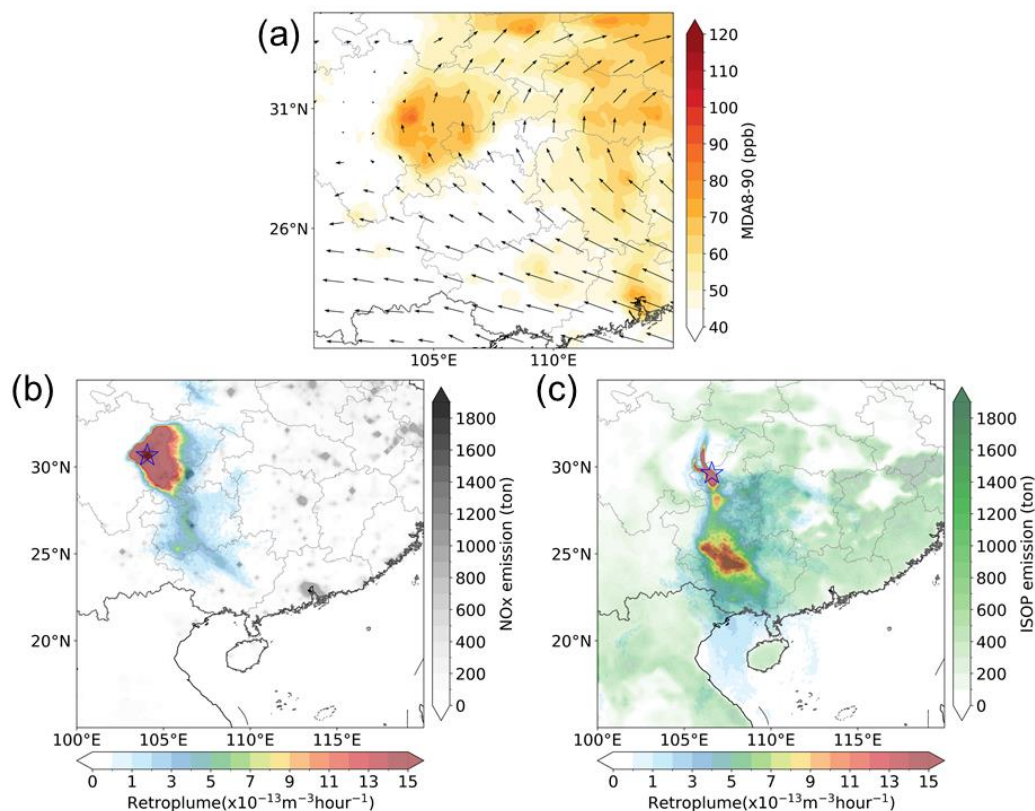
545 Surface-level O₃ concentrations are influenced not only by photochemical
 546 reactions but also by regional transport. In this section, we mainly focus on
 547 the disparities in the impact of regional transport on O₃ between Chengdu
 548 and Chongqing.

549 The distribution of O₃ concentration in China's southwestern region, as
 550 shown in Fig 8a, revealed that high O₃ concentrations were mainly
 551 concentrated in the SCB region. In contrast, the O₃ concentration in the
 552 adjacent Yunnan-Guizhou Plateau (southeast) was very low, indicating a
 553 poor-O₃ region. According to the synoptic flows, it could be seen the
 554 prevailing wind was southeastward, and the wind speed gradually
 555 decreased from east to west, implying that Chengdu was more stationary
 556 than Chongqing. Our LPDM-simulated 72h backward retroplumes (Fig 8b)

557 showed that, Chengdu was primarily influenced by local air masses
558 encompassing areas such as Chengdu city and the eastern parts of the SCB.
559 Relatively fewer air masses originated from cross-province transport in the
560 southeast direction. The distribution of NO_x emissions showed that
561 Chengdu was significantly influenced by the locally anthropogenic
562 emissions. Differently, Chongqing showed a situation to be more
563 susceptible to cross-regional transport influences. The dominant air masses
564 in Chongqing not only originated locally but also experienced cross-
565 province transport from the southeast, influenced by the regions such as
566 Yungui Plateau, a poor- O_3 region with relatively low anthropogenic
567 emissions but high BVOC emissions. Considering the strong reactivity and
568 limited lifetime of BVOCs, their role on downwind air quality is rather
569 limited. To support this, we adopted CMAQ-ISAM to identify the local and
570 non-local O_3 -precursors with their relative contribution to O_3
571 concentrations in both cities (see discussions below). The LPDM
572 simulation result was consistent with the synoptic analysis in Fig S6. By
573 examining the difference between 2022 Aug and climate average (1990-
574 2021), it was found that the anomalies of high air temperature and low
575 relative humidity were connected with the strong-southerly-driven cross-
576 regional transport of cool and relatively clean air masses from the Yungui
577 Plateau, which could suppress the photochemical O_3 production in
578 Chongqing. In contrast, Chengdu experienced a typical stationary
579 condition with light wind, high temperature and low relative humidity,
580 which were conducive to local photochemical pollution.

581 Besides, we also adopted the in-situ measured data by comparing the ratio
582 of m, p-xylene and ethylbenzene. Given that m, p-xylene is more reactive
583 than ethylbenzene, their ratios typically decrease due to photochemical
584 reactions that take place during the transport of air masses. As shown in
585 Fig S7, the ratio was much lower in Chongqing ($1.04 \text{ ppbv ppbv}^{-1}$),
586 indicating the presence of "aged" air masses being monitored. Conversely,
587 a higher ratio ($3.11 \text{ ppbv ppbv}^{-1}$) in Chengdu indicated the prevalence of
588 "fresh" air masses likely originating from local emissions. The discovery
589 reaffirmed that Chongqing exhibited superior ventilation conditions
590 compared to Chengdu. This inference suggests that Chongqing's enhanced

591 dispersion capacity played a pivotal role in significantly reducing its O₃
 592 concentrations during the severe heatwave period.

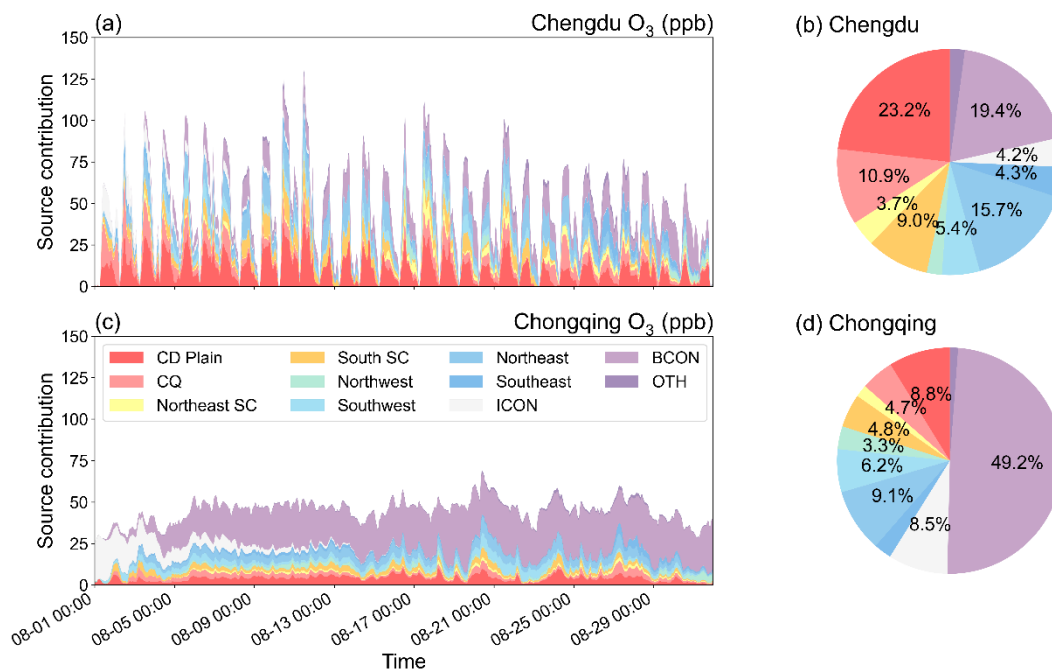


593

594 Fig 8 (a) Distribution of monthly averaged 90th percentile of MDA8 O₃ (MDA8-90, TAP
 595 dataset) concentrations and monthly averaged winds at 500hPa; (b) 72h retroplume (footprint
 596 residence time) showing transport pathways of air masses arriving at Chengdu, and
 597 distribution of anthropogenic NO_x emissions; (c) 72h retroplume (footprint residence time)
 598 showing transport pathways of air masses arriving at Chongqing, and distribution of biogenic
 599 isoprene (ISOP) emissions in August 2022

600 Further, we employed the CMAQ-ISMA modeling system to quantify the
 601 source region's contribution to Chengdu and Chongqing (Fig 9). In this
 602 study, we divided the study area into eight major regions, namely Chengdu
 603 Plain (CD Plain), Chongqing (CQ), South Sichuan (South SC), Northeast
 604 Sichuan (Northeast SC), Northwest Region (Northwest), Southwest
 605 Region (Southwest), Northeast Region (Northeast), and Southeast Region
 606 (Southeast) (Fig S3). Generally, the regions like CD Plain, CQ, Northeast
 607 SC, South SC were distributed within the SCB region, and could be
 608 regarded as the local regions. On the other hand, regions like Northwest,
 609 Southwest, Northeast, and Southeast were situated outside the SCB and air
 610 masses originating from these regions were considered to be a result of

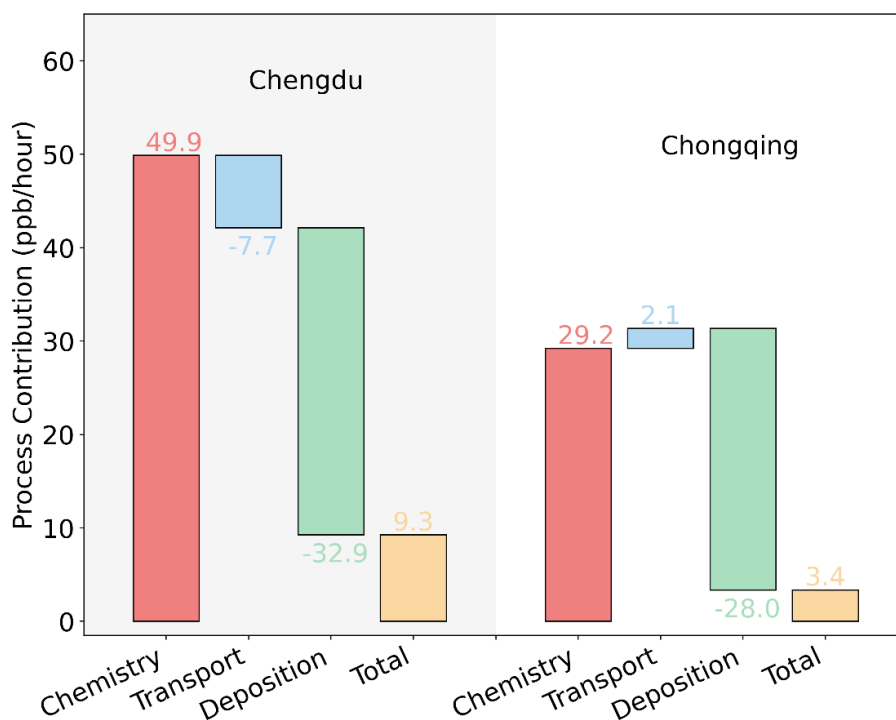
611 regional transport. As Fig 9 shows, Chengdu was mainly affected by local
 612 regions, contributed to 46.8%. This implied that local emissions within the
 613 SCB were a significant contributor to the excessive O₃ levels in Chengdu.
 614 In contrast, the influence of the local region on O₃ levels in Chongqing was
 615 only 18.3%. Instead, the contribution outside the basin almost reached 50%,
 616 indicating that Chongqing was more susceptible to the influence of cross-
 617 regional transport. In general, the spatial disparity of O₃ pollution between
 618 Chongqing and Chengdu was decided by the changes in regional transport
 619 of O₃ and its precursors respectively with high and low contribution of non-
 620 local O₃-precursors to the two urban areas. This difference demonstrates
 621 that even the two major core cities located within the SCB exhibit distinct
 622 source contribution characteristics.



623
 624 Fig 9 Source region's contribution to O₃ levels in Chengdu (a) Time series of O₃ contributions
 625 from each region. (b) Pie charts illustrating the percentage contributions of each region. (c)
 626 and (d) same as (a) and (b), but in Chongqing

627 Given that ambient O₃ concentrations are the integrated results of multiple
 628 processes, encompassing photochemical formation, deposition, and
 629 transport, we employed the Integrated Process Rate (IPR) tool within the
 630 CMAQ model to analyze the contributions of individual physical and
 631 chemical processes to O₃ levels. Here, we compared the contributions of
 632 different processes to O₃ during the peak period of heightened

633 photochemical reactions at 14:00 in the afternoon. As Fig. 10 shows, the
 634 process analysis results reveal distinct differences between the two cities.
 635 Specifically, in Chengdu, photochemical reactions took the lead in
 636 escalating O₃ levels (reaching 49.9 ppbv). This could be attributed to a
 637 combination of factors. On one hand, being limited to the local air masses,
 638 pollutants got accumulated and resulted in the increment of the
 639 atmospheric oxidizing capacity. On the other hand, under the influence of
 640 conducive meteorological conditions during heatwaves, the vigorous
 641 photochemical formation of O₃ was substantially enhanced, resulting in
 642 notable O₃ concentration increments. Compared to Chengdu, the
 643 contribution of photochemistry to O₃ in Chongqing was nearly half (29.2
 644 ppbv). While both photochemical reactions and regional transport
 645 positively affected O₃ levels in Chongqing, the overall net accumulation of
 646 O₃ was notably lower in this city.



647
 648 Fig 10 Averaged contributions of different process to O₃ concentrations at noon time (14:00)
 649 in Chengdu and Chongqing

650 4. Conclusion and implication

651 The unprecedented heatwave of August 2022 brought about significant
 652 divergence in O₃ levels between Chengdu and Chongqing, with exceeded

653 levels of O₃ appeared in the western SCB (Chengdu) but relatively lower
654 concentrations in the eastern basin (Chongqing). Meteorological and
655 precursor factors were assessed using a machine learning method,
656 spotlighting high temperatures, intensive solar radiation, and overnight
657 accumulative pollutants as key contributors to O₃ concentration. The
658 interplay of isoprene, temperature, and O₃, alongside MEGAN calculations,
659 underscored the intensified BVOCs emissions during heatwaves,
660 highlighting the important role of meteorology-induced natural emissions.
661 Interestingly, BVOCs emissions in Chongqing were nearly twice those in
662 Chengdu; however, their contributions to O₃ concentrations were subdued.
663 This discrepancy was attributed to the distinct responses of O₃-NO_x-VOCs
664 sensitivity mechanisms. Chengdu exhibited sensitivity to VOCs, while
665 Chongqing displayed a transitional sensitivity regime. Considering that
666 China's previous emission reduction strategies have primarily focused on
667 a nationwide NO_x reduction (driven by the need to control PM_{2.5} pollution),
668 it is important to recognize that a short-term reduction in NO_x can lead to
669 an O₃ rebound in regions like Chengdu Plain. To achieve more precise
670 pollution control, a strategy that combines VOCs as the primary focus with
671 concurrent NO_x reductions would be more appropriate. In addition, the
672 investigation into source region contributions revealed varying impacts of
673 regional transport, even within the same basin. Chongqing was
674 significantly influenced by cross-regional transport, whereas Chengdu was
675 predominantly affected by local emissions.

676 These findings illuminate the complex interplay of meteorology, natural
677 emissions, and anthropogenic sources during heatwaves, guiding the
678 necessity of targeted pollution control measures. It is imperative to adopt
679 emission control strategies that are customized according to regional or
680 even local conditions, rather than enforcing uniform measures for the entire
681 region. Given that O₃ pollution is not solely an in-situ problem but rather a
682 regional issue, this concept extends beyond the SCB and is applicable to
683 other urban clusters, such as the Beijing-Tianjin-Hebei region, the Yangtze
684 River Delta region, the Pearl River Delta region, and developed regions in
685 other countries. Future efforts are suggested to focus on regional
686 coordinated and balanced control measures.

687 **Author Contributions**

688 F.Y. and N.W. designed the research. N.W. wrote the manuscript. N.W.,
689 D.Y., C.D., and M.H. contributed to the interpretation of the results. All the
690 authors provided critical feedback and helped to improve the manuscript.

691 **Competing Interests**

692 The authors declare that they have no known competing financial interests
693 or personal relationships that could have appeared to influence the work.

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711 2022A1515011753), the Fundamental Research Funds for the Central
712 Universities (Grant No. YJ202313), and the Young Talent Support Project
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