



# Riverine Sediment Response to Deforestation in the Amazon Basin

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Abstract. The Amazon experiences thousands of square kilometres of deforestation annually with recent rates increasing to levels unseen since the late 2000s. These increased rates of deforestation within the basin have led to changes in sediment concentration within its river systems, with potential impacts on ecological functioning, freshwater availability, and fluvial and coastal geomorphic processes. The relationship between deforestation and fluvial sediment dynamics at large scales has not been extensively studied, in the Amazon or elsewhere, primarily due to lack of data. In this study, we utilize a novel remote sensing-derived sediment concentration dataset to analyze the impact of deforestation from 2001 to 2020 on suspended sediment in large rivers (> 50 m wide) across the Amazon River Basin. These impacts are studied using a lag-based approach to quantify the spatiotemporal relationships between observed suspended sediment and changes in landcover over time. The results show that large scale deforestation of the Amazon during the 2001-2020 period are associated with significant changes in sediment concentration in the eastern portion of the basin. In the heavily deforested eastern regions, the hydrogeomorphic response to deforestation occurs relatively rapidly (within a year), whereas the less disturbed western areas exhibit delays of one to two years before responses are observable. Moreover, we observe that deforestation must be substantial enough to overcome the collective influences of human activities and natural sediment variations to result in a discernible impact on sediment concentration in large rivers. In 69% of Amazonian major tributary basins with an immediate response, more than 5% of the basin was deforested during the 2001-2020 period, while in 85% of basins with lagged responses, less than 5% of the land was cleared. These findings suggest severe implications for future sediment dynamics across the Amazon if deforestation is to further expand into the basin.

#### 1 Introduction

The Amazon River Basin is the largest river system in the world, accounting for roughly one-fifth of global freshwater discharge (Callède et al., 2010) and supplying 40% of the Atlantic Ocean's sediment flux (Milliman and Farnsworth, 2011). Though the Amazon is most often recognized for its rich biological diversity, the basin also performs a suite of ecosystem functions such as local climate modulation and carbon sequestration (Foley et al., 2007). Despite its ecological importance, the Amazon experiences thousands of square kilometers of deforestation annually with 2020 rates increasing to levels unseen since 2008 (Silva Junior et al., 2020). From 1975 to 2018, the Amazon experienced an accelerated rate of deforestation with roughly 20% (788,353 km²) of the Amazon deforested during this 43-year span (da Cruz et al., 2020). Deforestation alters the





geomorphological, biochemical, and hydrological states of streams by decreasing land surface evapotranspiration and increasing surface runoff and river discharge, erosion rates (Horton et al., 2017), and sediment fluxes from land surfaces (Coe et al., 2011). For example, a 2003 study conducted within the Tocantins sub-basin (of the Amazon), noted a 24% increase in mean annual water discharge and a 28% increase in high-flow season discharge not attributed to changes in precipitation, but rather by changes in land cover (Costa et al., 2003). A 2009 model simulation study using the same watershed determined that the increase in water discharge could not be solely attributed to climate variation (Coe et al., 2009) but rather, two-thirds of the observed 25% increase in discharge was attributed to deforestation that occurred during that period (Coe et al., 2011).

An intact forest cover is known to reduce runoff through various mechanisms such as canopy interception (Dykes, 1997), increased evapotranspiration (Ellison et al., 2011, Breil et al., 2021), and enhanced infiltration and soil moisture retention (Ellison et al., 2017, Ilstedt et al., 2007) and soil erosion control (Reubens et al., 2007, Flores et al., 2019, Veldkamp et al., 2020). Deforestation, however, reduces these capabilities by removing the protective canopy cover and vegetation roots that help to slow down surface water flow, increase infiltration, and stabilize the soil, leading to increased erosion rates (Veldkamp et al., 2020). Because of these impacts, it is suspected that the quantity of deforestation plays a significant role in the sediment response to land clearance. Likely, in areas with greater deforestation, the sediment response is generally more pronounced compared to areas with less deforestation. From a land-atmosphere approach, it is suggested that the impact of deforestation on the water cycle in the Amazon depends on various factors, such as the size and distribution of the deforested areas (D'Almeida et al., 2006). These factors can either increase or decrease the intensity of the water cycle in the region, depending on the specific deforestation scenarios. From a land-surface hydrology perspective, this relationship may also apply; a larger 50 cleared area exposes a greater amount of bare soil, which is more susceptible to erosion and sedimentation. In these cleared areas, rainfall, wind, and surface runoff can swiftly mobilize and transport the exposed soil into nearby water bodies, leading to rapid sedimentation. Additionally, the increased fragmentation of forests into smaller patches in the Amazon (Broadbent et al., 2008) can further contribute to increased rates of soil erosion due to increased edge effects<sup>1</sup> (Cardelús et al., 2020). However, the presence of remaining vegetation and intact forests (in areas less deforested; Kroese et al., 2020, Wei et al., 2014) as well as reforestation can mitigate erosion and sedimentation processes (Ouyang et al., 2013, Wei et al., 2009), thus slowing the sediment response in areas with less deforestation or smaller cleared patches.

Previous studies have observed significant increases in sediment yield and concentration attributed to deforestation. However, these studies have been limited in scale, focusing on smaller basins or study areas (Bringhurst and Jordan, 2015; Latrubesse et al., 2009; Ochiai et al., 2015; Maina et al., 2013; Maeda et al., 2008). Studies conducted within the sub-basins of the Amazon have observed significant increases in sediment due to deforestation. Within the Suiá-Miçu River Basin (located in the

<sup>&</sup>lt;sup>1</sup> The edges of forest patches are more exposed to environmental factors such as wind, rainfall, and sunlight. This exposure increases the vulnerability of the soil to erosion, as it is more susceptible to being dislodged and transported by wind and runoff.



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northeast region of Mato Grosso) deforestation was observed to increase annual average sediment yields by 7 ton/ha (Maeda et al., 2008). This was assessed by examining land cover changes during three periods in time (1973, 1984, and 2005) and using the Universal Soil Loss Equation (USLE) to identify changes in sediment yield. Although this study concluded that deforestation had resulted in significant increases in the sediment load, examining shifts between only three points in time introduces some uncertainty about these results. Further, the use of the USLE may not be the best choice in tropical climates as more than three quarters of all studies (conducted between 1977 and 2017) utilizing the USLE are focused on North America, Europe, or Asia; only eight percent of all studies during this period had been conducted in South America (Alewell et al., 2019). As the usability of the USLE is not well documented in the tropics, it may be inappropriate to apply these types of equations to complex tropical regions like the Amazon.

In the Magdalena River Basin, located to the north of the Amazon River Basin, deforestation in the Colombian Andes was observed to increase the basin's sediment load, with an estimated 9% contribution from deforestation (Restrepo et al., 2015). In this study, the total area of deforestation was assessed for each of the Magdalena's sub-basins during the 1980-2010 period; this data was used to modify the anthropogenic induced erosion factor (*Eh*) of the BQART sediment modeling equation. By altering the *Eh* factor, Restrepo et al (2015) observed an 11% increase in model accuracy. Though this method allows for comparison of sediment load with and without anthropogenic input, it is based on a simple empirical model. Observations at the river basin scale are needed to quantify the sediment response to deforestation.

The number of readily available observational datasets within the Amazon has increased significantly in recent years (Crochemore et al., 2019) however, it is likely that large scale deforestation-hydrologic studies within the Amazon River Basin remain limited due to a lack of high-quality water quality datasets. For example, Brazil's national hydrologic dataset, ANA Hidroweb (Water Resources National Agency, 2020), contains data on hundreds of river gauging stations. These stations collect water discharge and sediment concentration data throughout the country. However, sediment concentration measurements are spatially sparse and limited in long-term records for trend analysis. More than half of the stations lack data prior to 2007 and only a handful of stations contain observations for each year from 2001-2015. Other datasets, such as SO-HYBAM (Institut national des sciences de l'Univers, 2021) contain consistent, long-term observations and are updated frequently but only fourteen stations across the Amazon River Basin limiting the scale to smaller catchments.

Depending on their research goals, many studies in the Amazon use sediment modeling equations in place of in-situ data (Maeda et al., 2008, Restrepo et al., 2015). As the Amazon River Basin falls within the boundaries of eight different countries, it is difficult to compile the various national datasets available for a basin wide analysis due to variations in data collection methods and the temporal availability of data. Despite improvements to hydrologic models in recent years, using traditionally modeled data introduces some sources of error due to uncertainties in parameters, model structure, calibration, and input data (Moges et al., 2020). In this paper, we explore deforestation-sediment concentration dynamics across the 34 major tributary





basins of the Amazon River Basin. Using a suite of statistical testing and comparative mapping, we explore the strength of the hydrogeomorphic response to deforestation, as well as response lags associated with deforestation magnitude. To overcome uncertainties associated with modeled data, sediment data in this study is derived from new remote sensing observations.

#### 100 2 Methods

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#### 2.1 Study Area

Despite its size (6,300,000 km²), the Amazon River Basin has a relatively homogenous climate due to its large tropical rainforest and its location situated along the equator between 10°N and 20° S (Figure 1). The basin is characterized as a tropical rainforest (Af by the Köppen-Geiger system) with average temperatures ranging between 24-26 °C throughout the year (Barthem et al., 2005). Typical of the Af climate type, the Amazon experiences large amounts precipitation annually. However, the spatial distribution of its receiving precipitation varies largely (1,000-3,600 mm) with annual rainfall exceeding 7,000 mm along the southern Amazon/Andean transition line (Espinoza et al., 2015) and ranging from 1,500 to 1,700 mm in the drier regions of Roraima, Brazil through the Middle Amazon to the state of Goiás (Barthem et al., 2005).

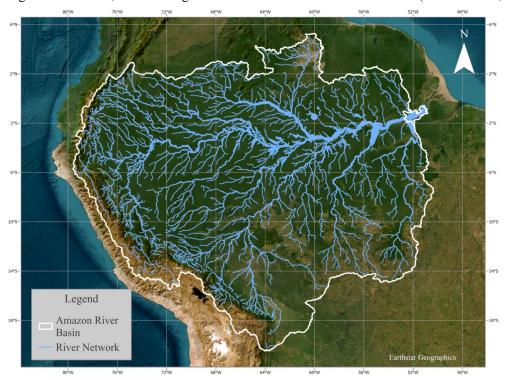


Figure 1: The Amazon River Basin with major streams and rivers. Line width symbolizes river's width. Data used to produce river reach delineations are from the Surface Water Ocean Topography (SWOT) River Database (SWORD) centerlines (Altenau et al., 2021)



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Topographic characteristics, such as hillslope steepness, can significantly influence soil erosion rates (Zhang et al., 2015), however the majority of Amazon River Basin is characterized by vast lowland areas. These lowland areas are relatively flat or gently sloping, with gradients that are generally not considered steep. Excluding the Andean Region, the basin wide median slope is 2.78 degrees and carries an average slope of 5.32 degrees. As a result, steep slopes and their associated effects, such as increased erosion and sedimentation, are less prevalent in much of the Amazon. Though hillslope steepness is recognized as a significant factor influencing sediment dynamics and is commonly used in modeling sediment transport, this study focuses on trends and relationships at the major tributary scale in the Amazon basin, and therefore we do not consider hillslope steepness in our analysis. The coarse analysis resolution used in this study, along with the predominance of lowland areas in the basin, limits the ability to capture fine-scale variations in hillslope steepness that may be present on a river reach-by-reach scale analysis.

#### 2.2 Deforestation Dynamics

The Global Forest Change (GFC) dataset is a remotely sensed, forest loss detection dataset developed by Hansen et al. (2013) in GEE. Using growing season imagery collected from the Landsat satellite series, the GFC dataset identifies changes in forest cover from the year 2000 to 2020 (v1.8) at a 30-meter resolution. Forest loss is defined as a stand-replacement disturbance, or a change from forest to non-forest (Hansen et al., 2013). In this context, the term "forest loss" does not equate loss caused exclusively by deforestation as forest loss induced by natural disasters such as tornadoes, wildfires, and hurricanes are also included. Though the purpose of this study is to investigate the effects of deforestation on suspended sediment load, the GFC dataset is used to identify areas affected non-natural forest loss (deforestation). In the Brazilian Amazon, eighty-five percent of forest loss during the 2001-2013 period occurred as a direct result of deforestation (Deforestation in the Amazon, 2021). Other datasets such as the Program to Calculate Deforestation in the Amazon (PRODES; Instituto Nacional de Pesquisas Espaciais, 2020) and the Palsar Global Forest/Non-Forest Maps from ALOS PALSAR (Shimada et al., 2014) were considered; however, these datasets did not have the spatial coverage or the temporal range desired for this analysis. The large spatial scale, temporal continuity, and high resolution of the GFC dataset remains unmatched to other forest clearing datasets available, making it the most suitable choice for this study. Forest loss across the 2001-2020 study period, identified by the GFCC dataset, is shown in Figure 2.





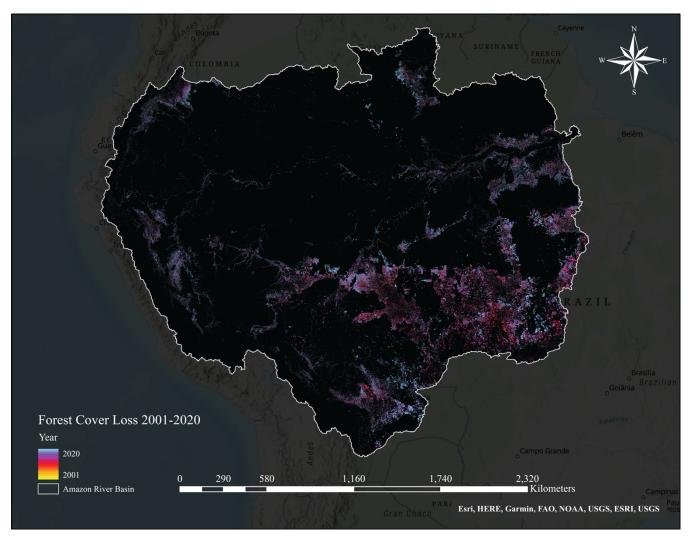


Figure 2. Forest Cover Loss in the Amazon River Basin 2001-2020. Forest loss data was acquired from the Global Forest Change Dataset (Hansen et al., 2013).

# 2.3 Sediment Remote Sensing Dataset

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Suspended Solid Concentration (SSC) concentration (mg/L) data was acquired using Landsat Collection 1 and machine learning using the methods described in Gardner et al. (2023) and (2021) as Collection 1 was the best available product at the time. To summarize here, Landsat surface reflectance values were extracted over 18,401 river reaches (median length = 10 km) from the SWOT River Database or SWORD (Altenau et al., 2021) from Landsat 5 Thematic Mapper I, Landsat 7 Enhanced Thematic Mapper Plus (ETM+), and Landsat 8 Operational Land Imager (OLI) using Google Earth Engine (GEE). Satellite imagery was captured mostly during the dry season due to cloud coverage, coinciding with the same period deforestation data is collected. The SSC model was applied to this river surface reflectance database that was processed and cross-calibrated across Landsat sensors to enable time series analysis (Roy et al., 2016; Gardner et al., 2021).





Using 1200 matchups, or coincident satellite and SSC field observations, from gauging stations (Water Resources National 150 Agency, 2020) and grab samples (Institut national des sciences de l'Univers, 2021) located throughout the basin (Figure S1), an xgboost model was trained including forward feature selection, leave-time-out-leave-space-out spatial-temporal cross validation, and hyperparameter tuning (Meyer et al., 2018) to reduce overfitting and spatial-temporal bias and validated on hold-out test data. The model performs well and can predict over an SSC range from 0.01 to 2414 mg/L. Our model has 155 comparable error metrics to published models over large areas (Gardner et al., 2023; Dethier et al., 2020) and previous work in the Amazon (Yepez et al., 2018; Montanher et al., 2014). Specifically, our model exhibits a mean absolute error (MAE) of 32 mg/L, a symmetric mean absolute percentage error (SMAPE) of 30%, a percent bias (Pbias) of 11% (as shown in Figure 3A), and a very low relative error of 0.21. In comparison, similar studies by Gardner et al. (2023) reported a relative error of 0.59 for rivers in the USA, while Dethier et al. (2020) reported a relative error of 0.73 for rivers on a global scale. However, 160 we focus on MAE and relative error as suggested by Seegers et al., (2018). It should be noted that our SSC database focuses on surface concentration and may not capture high SSC values due to factors such as cloud cover and the lack of high SSC field measurements for model training. However, it is important to emphasize that our primary goal is to assess relative changes in SSC over time and space. As such, the limitations inherent in remote sensing do not impact the validity of our results. Remote sensing remains the sole approach capable of generating consistent, spatially explicit, long-term (1984-2020) 165 SSC observations across the Amazon Basin (see supplemental information for methods details). Of the 17,182 river reaches in the Amazon, 10,932 reaches had at least one year<sup>2</sup> of SSC data during the twenty-year period (2001-2020). Roughly 60% of reaches with SSC data had at least 80% of complete data (at least 18 years). Reaches ranged in length from 115 meters to 20 km, with 58% of reaches falling between 10 and 15 km in length (Figure 3B).

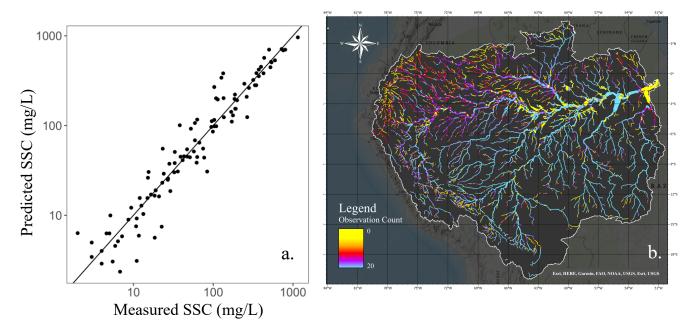
<sup>&</sup>lt;sup>2</sup> Defined as having valid SSC remote sensing measurements during the dry season. "Annual" values were computed by taking average SSC values of reaches with at least 6 samples during the dry season period.



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170 Figure 3. (a) Remote sensing derived (predicted) suspended sediment concentration (SSC; mg/L) vs. in situ SSC measurements (MAE = 32 mg/L; Relative Error = 0.21; Percent Bias = 11% and (b) Number of annually averaged SSC observations during the 2001-2020 period.

To prepare representative SSC data for trend and statistical analyses, SSC data was filtered based on data availability and reaches are aggregated by their major tributary basins. First, reaches with less than ten years of SSC data are removed from the dataset. The remaining reaches are then grouped by their respective major and minor tributary basins defined by the Amazon GIS-Based River Basin Framework (Venticinque et al., 2016). These basin delineations were chosen over other commonly used datasets, such as HydroBASINS (Lehner and Grill, 2013), due to its spatially uniform, multi-scale framework necessary for comparative statistical analyses.

While finer scale analyses often offer detailed insights on small scale variations and are useful in supporting local management goals there are significant advantages to using large spatial analysis like major tributary basins in hydrogeomorphic analyses. First, major tributary basins provide a larger spatial analysis scale allowing for a more comprehensive assessment of sediment dynamics across a wider area of the Amazon region. This broader perspective enables the identification of general trends and patterns in sediment concentration associated with deforestation. Second, major tributary basins tend to exhibit more consistent characteristics in terms of hydrological processes, land use patterns, and sediment transport. This consistency simplifies the analysis by reducing the variability introduced by smaller tributaries with unique geomorphological and hydrological characteristics.



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Though most water quality studies tend to sample the basin outlet (e.g., Restrepo et al., 2015, Wasson et al., 2008, Diringer et al., 2019, Sweeney et al., 2004) there are several merits to using the basin-average, rather than the basin-outlet sediment concentration measurement in a deforestation-sediment study. For instance, the median basin value provides a more comprehensive and spatially representative measure of sediment concentration compared to measurements at the basin outlet. Sediment concentration can vary significantly within a river system, with different tributaries and sub-basins contributing varying amounts of sediment. Relying solely on the downstream most value could introduce bias and may not reflect the sediment conditions throughout the entire basin. Confluences with other rivers, changes in channel morphology, or the presence of reservoirs or dams can alter sediment transport patterns and influence sediment concentrations at specific locations. Further, the use of the median SSC and not the mean or maximum values, provides resilience to extreme values. Extreme events such as floods or exceptionally dry periods can lead to transient spikes or depressions in sediment concentrations at specific points, however the use of median value reduces the influence of these extremes.

#### 2.4 Precipitation and SSC Trend Analysis

To identify trends in SSC and precipitation over the 2001-2020 period, Mann-Kendall trend tests are performed over the Amazon's 172 minor tributary basins. Precipitation plays a significant role in shaping sediment trends by influencing sediment mobilization and transport (Renard, 1997, Wei et al., 2014). On one hand, increasing trends in precipitation can result in more surface runoff, leading to increases in erosion and sediment mobilization (Armijos et al., 2020). Decreasing precipitation trends, however, can lead to reduced sediment transport due to limited surface runoff and decreased erosion rates (Ayes Rivera et al., 2021). Therefore, to limit the influence of precipitation, reaches within minor tributary basins bearing significant precipitation trends are excluded from the deforestation-sediment analysis.

To perform this analysis, daily rainfall data from the Climate Hazards Center at the University of California Santa Barbara is used (CHIRPS Daily: Climate Hazards Group InfraRed Precipitation; Funk et al., 2015). Daily precipitation values are summed for each month for each 0.05°x 0.05° pixel and the average summed value is calculated for each minor tributary basin. Precipitation trends are then calculated using these basin-averaged monthly precipitation totals. Reaches within minor tributary basins with significant trends are then removed from deforestation-sediment analysis (performed at the major tributary basin scale). Trends in SSC are then assessed at the minor tributary basin scale using a Mann Kendall test on the median annual SSC measurement for each basin. By removing reaches with significant precipitation trends, the focus is narrowed to basins where the sediment response is primarily driven by deforestation, enabling a more focused assessment of the deforestation-sediment relationship.

#### 2.5 Sediment Response Analysis

To assess the impact of deforestation on sediment concentration in the Amazon's 34 major tributary basins, we used a lagbased approach. It is suspected that the timing of sediment responses is closely linked to the intensity of deforestation.





Specifically, in basins with higher levels of deforestation, a relatively rapid hydrogeomorphic response is expected. Conversely, in less disturbed (more pristine) basins, a delayed response is anticipated. Therefore, a time lagged cross correlation (TLCC) analysis is used to identify lags in sediment response to deforestation. TLCC analyses are frequently used to identify lag responses in discharge, deposition, and water quality within watersheds (Yang et al., 2023, Kovacic and Nataša Ravbar, 2010, Durin et al., 2023, Chen et al., 2014). These types of correlation analyses are useful for determining the amount of time required to pass for a response to occur. For example, given two phenomena differing by an unknown amount of time, one can use a cross-correlation to determine how much one variable must be shifted along the x-axis to align with the other. Essentially, the shift is identified using the peak Pearson correlation (r). For each major tributary basin, the median sediment concentration is calculated for each year in the 2001-2020 period (n=20). This median value is then correlated with the percentage of deforestation that occurred in these corresponding years to quantify the co-variation in sediment and deforestation temporal trends. We use the annual deforestation percentages as a "stationary" predictor variable and test lag responses in the median annual SSC concentration. We confine the results presented here to a maximum of a two-year lag based on our preliminary analysis which found no significant co-variation when using three or more year shifts.

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After identifying response lags within the Amazon's major tributary basins, two statistical tests are used to explore the relationship between deforestation and identified lags. A Kruskal-Wallis (K-W) test is used to identify significant variations in deforestation intensity between all three lag groups, while a Fisher's exact test is used to test for significant association between the deforestation intensity (categorized as high or low) and the presence of response lags. These tests serve distinct but complementary purposes in understanding the relationship between deforestation and response lags. While the K-W test provides a broad view of deforestation intensity patterns across various lag groups, the Fisher's exact test focuses on the specific linkages between deforestation intensity and response lags. To conduct the K-W test, basins are first separated by their respective lag groups (based on the TLCC analysis). Subsequently, the total percentage of deforestation within each basin during the 2001-2020 period is calculated, forming the basis for the K-W test. For the Fisher's exact test, basins are categorized into two primary groups based on deforestation intensity: high deforestation, consisting of basins with over 5% of their area deforested over the 2001-2020 period, and low deforestation, consisting of basins with less than 5% deforested. Likewise, basins are grouped into two categories based on their lag response: those with a lagged response and those with an immediate response. The Fisher's exact test is then applied to these groupings to examine potential associations.

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To quantify the influence of deforestation on sediment concentration annually, we compare annual SSC and deforestation using a regression analysis. Because sediment concentration can vary significantly across different basins, concentration values are normalized by measuring their deviation from the mean (i.e., standard anomaly) using Equation 1 below. While normalized values are commonly employed in climate studies to compare diverse phenomena like temperature and precipitation (American Academy of Actuaries, 2016), in this context, we use normalizations to investigate how deforestation affects concentration changes on an annual basis between different lag groups. For the analysis, annual normalized SSC values ( $SSC_n$ ) are computed



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for each basin. The basins are then categorized into their respective lag groups, and  $SSC_n$  values are synchronized with their expected deforestation year before conducting a regression analysis. This approach, based on lag groups, acknowledges the potential variation in sediment response dynamics between basins exhibiting rapid responses (within a year) and those showing lagged responses (with one to two years). Further, it allows us to determine if the impact of deforestation on SSC remains consistent between different lag groups.

$$SSCn, \mu = \frac{\overline{SSC} - SSC_{\mu}}{SSC_{\sigma}}$$
 (1)

where  $SSC_{n,\mu}$  is the normalized SSC for year  $\mu$ , the 2001-2020 average  $\overline{SSC}$  sediment concentration,  $SSC_{\mu}$  is the concentration value for year  $\mu$ , and  $SSC_{\sigma}$  is the 2001-2020 standard deviation. Positive and negative  $SSC_n$  indicate above and below 2001-2020 average SSC respectively.

#### 2.6 Data Scaling

Within this study, deforestation and sediment concentration patterns are examined at the major tributary basin analysis scale while precipitation and SSC trends are isolated at the minor tributary basin scale. Initial trend and correlation assessments were conducted at the river reach level for precipitation, SSC, and deforestation. However, these analyses often resulted in inconsistent findings that lacked spatial uniformity. For example, high levels of variability in SSC trends were often noted between river reaches of the same river (Figure S3). Similarly, an assessment on lags performed at the minor tributary basin aggregation scale yielded similar, non-uniform results (Figure S4). Though precipitation and SSC trends were observable at this scale and demonstrated significant spatial consistency (meaning basins with significant trends tended to be close to each other), relationships between SSC and deforestation remained inconsistent. This contrasts examinations at the larger major tributary basin scale, which revealed clearer spatial patterns of SSC-deforestation relationships. These outcomes suggest that at finer scales, local variations and fluctuations likely carry a significant influence on sediment concentration leading to a high sensitivity to small-scale factors.

#### 3 Results

## 280 3.1 Temporal Trends in Precipitation and Sediment

Significant trends in precipitation between 2001 and 2020 (p <0.05) were observed in the western portion of the Amazon near the Andes (Figure 4A). Twelve minor tributary basins were noted as having increasing precipitation trends while one basin had a decreasing trend. Notably, significant SSC trends and patterns were identified across the Amazon basin. In the eastern portion of the Amazon, several sub-basins showed significant increases in SSC trends (Figure 4B), coinciding with relatively





high deforestation rates (Figure 2). In the north, a separate cluster of basins with increasing SSC trends is also observed. While deforestation does occur in this region, its rate is not nearly as high as in the east of the Amazon Basin (Figure 2).

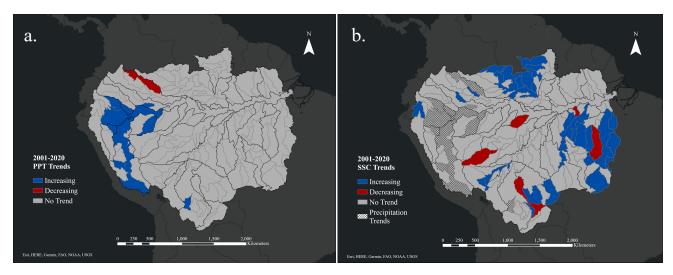


Figure 4. Precipitation (a) and Sediment (b) Trends across the Amazon River Basin, 2001-2020.

#### 3.2 Time Lagged Cross Correlation

The time lagged cross correlation analyses revealed significant patterns in lags throughout the Amazon. Prior to applying the lags, the Pearson's Correlation (between median annual SSC and annual percentage of the basin deforested) appeared somewhat weak in many of the Amazon's sub-basins, particularly in the west where deforestation is limited (Figure 5A). In fact, *negative* correlations were observed in many of these basins, meaning that increases in deforestation were associated with decreases in sediment concentration. However, after adjusting for lags, the correlation between deforestation and SSC increased throughout much of the basin (Figure 5B). This indicates that the observed negative correlations were likely a result of a misalignment in the hydrogeomorphic response to deforestation. Although this adjustment improved the temporal alignment for many of these basins, five basins continued to have a negative correlation following the lag adjustment.



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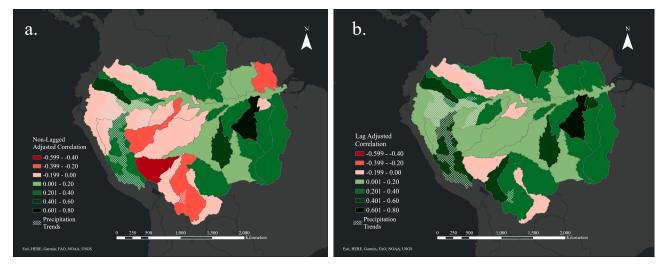


Figure 5. Correlation Coefficients prior to applying lags (a) and Correlation Coefficients after applying lags (b)

Mapping the optimal lag for each sub-basin reveals a unique pattern across the Amazon (Figure 6). Most basins with zero lags are clustered in the eastern portion of the basin. This is an important observation, as the majority of deforestation and human settlement over the past 20 years (and beyond) has been concentrated in the east. Similarly, in basins requiring a lag of one or two years, there is a marked decrease in the amounts of observed deforestation. To further explore these differences, a contingency table is provided displaying the frequency (in terms of percent) of high/low deforestation <sup>3</sup> with basins with/without a lag response (Table 1). From the table, strong differences are observed between basins that are heavily deforested and basins that are not. Roughly 61% of basins examined in this study have a lagged response of one or two years. Of that 61%, nearly 85% have little deforestation (<5% of basin deforested). Conversely, about 69% of basins without a lagged response have high deforestation rates (>5% of basin deforested).

<sup>&</sup>lt;sup>3</sup> Basins with more than 5% of their total area deforested are placed in the "high" deforestation group and while basins with less than 5% are placed in the "low" group.





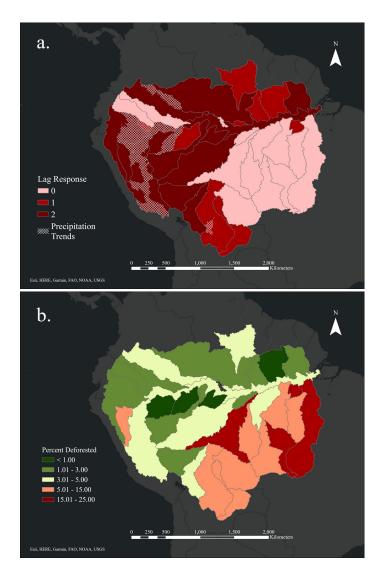


Figure 6. Identified lag response (in years) present in each major tributary basin (a) and total percentage of each major tributary basin deforested in the Amazon from 2001-2020 (b). River reaches falling within the precipitation zone (sig. precipitation trends) were excluded from the basin lag analysis.

315 Table 1. 2 x 2 Contingency Table showing Response Lag Presence vs. Deforestation. Data is reported in terms of percent.

Deforestation	Lag	No Lag	Total
Low	52.94	11.76	64.71
High	8.82	26.47	35.29
Total	61.76	38.24	100



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The Fisher's exact test revealed significant associations between deforestation (high vs. low) and lag presence (Table 2). Basins with large amounts of deforestation (> 5%) were less likely to exhibit lags in SSC response. Similarly, basins with less deforestation (<5%) were more likely to exhibit lags. Further, significant results were observed when performing a one-tailed (right) Fisher's exact test, indicating a strong association between the absence of lags and high deforestation rates.

Table 2. Results of the association tests between High/Low Deforestation and Lag/Non-Lagged Basins

Statistical Test	Tails	p-value	Significant (0.05)
Fisher's exact test	2	0.002	Yes
One tailed Fisher's exact test (right)	1	0.002	Yes

Prior to performing the Kruskal-Wallis Test, a modified box plot and Grubb's test were used to identify any basins with unusual levels of deforestation (outliers). The Curuá-una sub-basin stood out as having an unusually high amount of deforestation despite its small size. Curuá-una, approximately 83% smaller than the average basin, had 23% of its area deforested from 2001-2020. Due to its small size and the significant impact of even a small amount of deforestation, Curuá-una was excluded from the analysis. Upon categorizing the data into different lag groups, another outlier was identified: the Huallaga River Basin. While the Huallaga, like many other Amazon basins, has experienced substantial deforestation over the past two decades, the nature of land use following clearance sets it apart from other basins. Unlike the predominant cattle ranching and soy cultivation which drives deforestation in most Amazon basins, deforestation in the Huallaga is primarily driven by coca cultivation for cocaine production (Van Dun, 2009, Pruett, 2014). The land in the region is promptly replanted after deforestation instead of being converted to pasture. This distinction suggests that the hydrologic response to deforestation in the Huallaga differs from that of other basins. As a result, the Huallaga basin was also excluded from the sample to account for these dissimilarities.

The Kruskal-Wallis Test demonstrated significant variations in the total deforestation percentages (2001-2020) among the different lag groups (p-value of 0.0209, H-statistic of 7.734). Sub-basins with zero years of lag (L0), indicating a more immediate response, exhibited a higher average percentage of deforestation compared to sub-basins with lags of one (L1) or two (L2) years (Figure 7). Similarly, sub-basins with a lagged response of one year displayed a greater average percentage of deforestation than sub-basins with two lag years. Table 3 provides a summary of these observations. To ensure that these differences are attributed to deforestation rather than inherent basin characteristics, a similar analysis was conducted considering basin size and the number of river reaches falling within the basin. However, no significant differences in deforestation were found through these analyses, suggesting that the temporal response to deforestation is strongly contingent on the extent of deforestation taking place.

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#### Table 3. Deforestation statistics by lag years

Lag Group	Mean Percent Basin Deforested	Median Percent Basin Deforested	Range of Values
L0	9.07	9.57	15.55
L1	4.02	2.70	12.86
L2	3.20	2.88	4.26

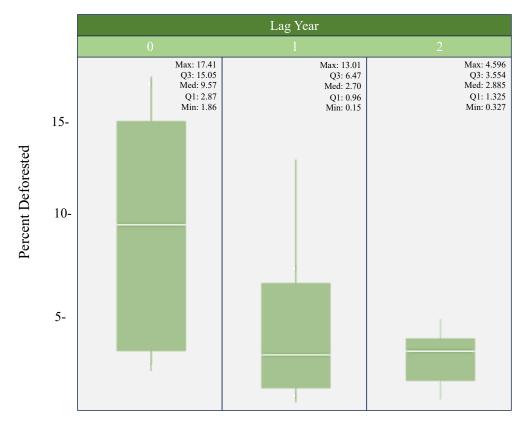


Figure 7. Percent of each basin deforested by lag year (with outliers removed).

# 3.3 Regression Analysis

The regression between the normalized SSC for each year ( $SSC_{n,\mu}$ ; Eq. 1) and annual deforestation percentages provided interesting insights into deforestation-SSC relationships across different lag groups (Table 4). As expected, the L0 group showed the highest overall correlation ( $R^2 = 0.13$ ) compared to the L1 (0.001) and L2 basins (0.0007). These findings suggest that the geomorphic response to deforestation is highly specific to each sub-basin (i.e., no strong general association) except for regions with a relatively high intensity of deforestation (L0).





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**Table 4. Results of the Regression Analysis** 

Lag Group	Coefficient of Determination (R <sup>2</sup> )	n
L0	0.13	240
L1	0.001	180
L2	0.0007	260

To further explore these relationships, a Mann-Whitney U test is used to identify differences in deforestation rates between years characterized by positive and negative  $SSC_{n,\mu}$  values. This test was performed separately within each lag group to examine how response lags might influence the impact of deforestation on sediment dynamics.  $SSC_{n,\mu}$  were grouped into a positive and negative years (above and below mean respectively). A Mann-Whitney U test was then performed within each lag group to assess the differences in deforestation between positive and negative  $SSC_{n,\mu}$ . Not surprisingly, significant differences in deforestation patterns were observed in the L0 group (p = 0.002; Table 5). In these sub-basins, which exhibit an immediate response to deforestation, years with higher-than-normal sediment concentration (positive  $SSC_{n,u}$ ) were strongly associated with elevated deforestation rates. Similarly, years with lower sediment concentration (negative  $SSC_{n,u}$ ) were strongly associated with lower deforestation rates. In contrast, no significant differences in deforestation were observed in the L1 and L2 groups (p = 0.344 and 0.155 respectively). These results suggest that the impacts of deforestation on SSC are most pronounced in basins without a lagged response, while the relationship becomes less significant or more complex in basins with lagged responses. Despite its unusual nature, this finding is not surprising. In basins with high deforestation rates, deforestation is expected to have a more significant impact on sediment concentration compared to basins with low deforestation rates. In the latter case, sediment dynamics are likely to be more influenced by other factors such as damming, mining, agricultural practices, and urbanization. Likewise, these factors may cause the discernable signal of deforestation induced sediment to be "washed out" over time. These results further suggest a non-linear or perhaps a threshold-dominated relationship.

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Table 5. Mann Whitney U test results for lag groups L0, L1, and L2.

Lag Group	Z-score	P-value	Average Percent Basin Deforested for years with negative $SSC_{n,\mu}$	Average Percent Basin Deforested for years with positive $SSC_{n,\mu}$
L0	3.0509	0.0022	0.206	0.294
L1	-0.4012	0.3445	0.424	0.344
L2	-1.4159	0.1556	0.221	0.263





# 4 Discussion

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In a basin as large as the Amazon, it is difficult to make definitive, basin wide statements on deforestation-sediment relationships. In some Amazonian sub-basins, these relationships appear very clear, evident by the results of the time lagged cross correlation (TLCC) analysis (Figure 5B). In other sub-basins, however, these relationships are unclear with weak or negative correlations present. From the regression analysis, it is suggested that the *strength* of SSC-deforestation relationships is tied directly to deforestation intensity (Table 4 and 5). The stronger correlation between SSC anomalies and deforestation found in basins with no lags (L0) can be attributed to the stronger presence of deforestation. In contrast, basins with lag responses (L1 and L2) display a diminished correlation. This decline in correlation strength likely arises from the lower occurrence of deforestation in lagged basins (Figure 7), allowing external factors such as anthropogenic activities and natural variations to exert a more dominant influence on SSC dynamics. Moreover, as time elapses between deforestation and response, the signal tends to be "washed out," diminishing its clarity and detectability.

395 Similarly, the results of the Fisher's exact test suggest that the *presence* of SSC response lags is strongly tied to deforestation intensity. In basins characterized by significant deforestation (> 5% deforested), there is a decreased tendency for lags to be present; conversely, in basins with relatively little deforestation (<5% deforested), there is an increased tendency for a lag response to exist. These patterns align with the findings from the K-W test, which suggest that deforestation intensity may influence the number of lag years. These findings suggest two important insights regarding the impact of deforestation on 400 sediment concentration. First, on a broad scale, a significant level of deforestation is required to generate an immediate impact on sediment concentration. As forest and vegetation landscapes experience degradation and fragmentation, their ability to buffer and mitigate soil erosion weakens. Simultaneously, intense deforestation practices lead to increased soil erosion, resulting in a greater amount of sediment available to the river system. This creates a compounding "snowball" effect, where sediment delivery and deposition become amplified. Second, the impact of deforestation on sediment concentration is not 405 solely determined by the extent of deforestation. Other factors, such as damming, mining activities, and basin characteristics, can attenuate the relationship between deforestation and SSC. These factors may make the relationship less apparent or even non-existent.

For example, mining activities generate large volumes of exposed soil and sediment, not only through land clearance, but also through excavation, blasting, and ore processing. The loosened soil and tailings can then be easily transported by rainfall and runoff into nearby rivers and streams. Soil characteristics are another potential factor that can influence sediment dynamics. High cohesion is a basin's dominant soil groups, for example, may result in reduced transportability. Consequently, even with deforestation and the removal of vegetation cover, the cohesive nature of the soils can impede sediment erosion and transport, contributing to a negative response in sediment concentration. Alternatively, damming can have a significant impact on sediment dynamics by acting as a sediment trap, capturing and accumulating sediments upstream. This process effectively



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reduces the downstream transport of sediments, leading to a decrease in sediment concentration immediately downstream (Moragoda et al., 2023). To observe a noticeable and immediate impact of deforestation on sediment concentration, the magnitude of deforestation must be substantial enough to surpass the influence of these other factors. In other words, deforestation must be significant to overcome the combined effects of human activities and natural sediment variations to produce a discernible influence on river sediment concentration.

Despite the valuable insights gained from this study, it is important to acknowledge the limitations associated with data aggregation and resolution, both spatially and temporally. This research primarily focuses on the impact of deforestation on sediment concentration within the Amazon's *major* tributary basins. While this approach offers a broad, comprehensive view of sediment dynamics, it overlooks finer-scale variability and localized effects within each basin. Consequently, the findings may not fully encapsulate the complex and variable nature of deforestation-induced sediment dynamics at finer scales. Attempts to explore relationships using finer aggregation scales, such as river reach or minor tributary basin levels, did not yield easily discernible trends and patterns across the basin. This challenge may be linked to the use of coarse temporal measurements (annual), which might have obscured finer-scale dynamics operating on shorter timescales. Previous works have observed that the most substantial impact of deforestation on the sediment delivery ratio usually occurs immediately after the disturbance event (Lal, 1997, Ochiai et al., 2015). Therefore, the use of annually aggregated data may obscure fine-scale temporal patterns, such as seasonal fluctuations and the influence of specific disturbance events. Consequently, this temporal aggregation may hinder our capacity to establish direct cause-and-effect relationships between deforestation and sediment concentration at specific locations within the Amazon basin. This study underscores significant connections and relationships between deforestation rates and sediment concentration, however attributing the observed changes solely to deforestation requires more detailed data and comprehensive analyses.

Despite these limitations, this research provides valuable insights into the complex nature of deforestation-sediment relationships within the Amazon. Though associations between deforestation and suspended sediment concentration are not uniform across the Amazon basin, this work suggests the impact of deforestation is likely influenced by three main factors: (1) the extent of deforestation itself, (2) the presence of external sediment-altering factors, and (3) the specific environmental context of each sub-basin. As deforestation intensifies, the impact on sediment concentrations is likely to become more pronounced. However, delays in response within less deforested basins may indicate the presence of natural buffers that mitigate sediment impacts and a stronger influence of factors not directly related to deforestation on sediment dynamics.

#### 445 5 Conclusion

From this study, it is evident that deforestation plays a significant impact on sediment dynamics at the large basin scale across the Amazon, particularly in basins with intense deforestation. The hydrogeomorphic response to deforestation was observed





to be relatively rapid (within a year) in highly disturbed basins, while a 1- to 2-year lagged response was observed in less disturbed basins, potentially due to the influence of other factors such as natural sediment variations, human activities, and soil characteristics. We find that the impact of deforestation on sediment concentration is directly tied to the magnitude of deforestation. For deforestation to have a detectable influence on sediment concentration in large rivers, it needs to be substantial enough to surpass the combined effects of human activities and natural sediment variations. Further, increases in sediment concentration were found to be positively correlated with the magnitude of deforestation rates, emphasizing the importance of considering the extent of deforestation when assessing its impact on sediment concentration.

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These findings have potential implications for environmental management and policy development in the Amazon region. While this study does not directly attribute the observed increases in sediment concentration in eastern Amazonia to deforestation, based on our results, it is likely that if deforestation expands deeper into the Amazon, the fluvial response can rapidly intensify. This underscores the importance of implementing sustainable land use practices to mitigate soil erosion and maintain Amazonian River systems. Incorporating finer-scale spatial and temporal data to capture the localized variations and transient dynamics of sediment concentrations following deforestation events will potentially allow for better understanding of the specific drivers and processes involved. This expanded knowledge can help identify critical areas where interventions are needed to mitigate the negative impacts of deforestation on riverine sediment dynamics and associated ecological consequences. As anthropogenic activities continue to alter the earth system, understanding both the intended effects and unintentional consequences of these activities are vital to sustaining a future on Earth.

#### **Code Availability**

The code used to generate SSC data is available at https://github.com/johngardner87/tss amazon.

# **Data Availability**

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This study utilizes several publicly available precipitation and forest loss data and river reach and basin shapefiles. Precipitation data from the Climate Hazard Center UC Santa Barbara CHIRPS dataset, which can be accessed at https://chc.ucsb.edu/data/chirps and on Google Earth Engine through the following link: https://developers.google.com/earth-engine/datasets/catalog/UCSB-CHG\_CHIRPS\_DAILY. The forest loss data used in this research is obtained from the Hansen Global Forest Change dataset, which is accessible on Google Earth Engine at https://developers.google.com/earth-engine/datasets/catalog/UMD\_hansen\_global\_forest\_change\_2022\_v1\_10. The SWOT River reach data utilized in this study can be obtained from the following source: https://zenodo.org/record/4917236. Additionally, shapefiles for the Amazon Aquatic Ecosystem Spatial Framework are available for download through the SNAPP Western Amazon Group and can be accessed via the following link: https://knb.ecoinformatics.org/view/doi:10.5063/F1BG2KX8.





The sediment concentration(SSC) data, RivSed-Amazon, is available at https://zenodo.org/record/8377853 (but is currently embargoed and can be opened for reviewers).

#### 480 Author Contribution

A.N and S.C devised the project, the main conceptual ideas, and proof outline. J.R.G developed the code used to generate SSC data. All authors discussed the results and contributed to the final manuscript.

# **Competing Interests**

One author is a member of the editorial board of Earth Surface Dynamics.

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