Global application of a regional frequency analysis on extreme sea levels

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26 Abstract

27 Coastal regions face increasing threats from rising sea levels and extreme weather events, 28 highlighting the urgent need for accurate assessments of coastal flood risk. This study 29 presents a novel approach to estimating global Extreme Sea Level (ESL) exceedance 30 probabilities, using a Regional Frequency Analysis (RFA) approach. The research combines 31 observed and modelled hindcast data to produce a high-resolution (~1 km) dataset of ESL exceedance probabilities, including wave setup, along the entire global coastline, excluding 32 Antarctica. 33 34 The methodology presented in this paper is an extension of the regional framework from 35 36 Sweet et al. (2022), with innovations made to incorporate wave setup and apply the method globally. Water level records from tide gauges and a global reanalysis of tide and surge 37 38 levels are integrated with a global ocean wave reanalysis. Subsequently, these data are regionalised, normalised, and aggregated, and then fit with a Generalised Pareto 39 distribution. The regional distributions are downscaled to the local scale using the tidal 40 range at every location along the global coastline, obtained through a global tide model. The 41 42 results show 8cm of positive bias at the 1-in-10-year return level, when compared against 43 individual tide gauges. 44 45 The RFA approach offers several advantages over traditional methods, particularly in regions 46 with limited observational data. It overcomes the challenge of short and incomplete

observational records by substituting long historical records with a collection of shorter but
spatially distributed records. This spatially distributed data not only retains the volume of
information but also addresses the issue of sparse tide gauge coverage in less populated
areas and developing nations. The RFA process is illustrated using Cyclone Yasi (2011) as a
case study, demonstrating how the approach can significantly improve the characterisation
of ESLs in regions prone to tropical cyclone activity.

In conclusion, this study provides a valuable resource for quantifying global coastal flood
risk, offering an innovative <u>global</u> methodology that can contribute to preparing for, and
mitigating against, coastal flooding.

58 Plain language summary

- 59 Coastal areas are at risk of flooding from rising sea levels and extreme weather events. This study
- 60 uses a new way to figure out how likely coastal flooding is around the world. The method uses data
- 61 from observations and computer models to create a detailed map of where these floods might
- 62 happen at the coast. The approach can predict flooding in areas where there is little or no data. The
- 63 results can be used to help get ready for and prevent this type of flooding.

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57

66 1. Introduction

67 Flooding provides one of the greatest threats to coastal communities globally, causing devastating impacts to affected regions. Notable events which have caused significant 68 69 coastal flooding in recent years include: Cyclone Amphan (2020), which struck the Bay of Bengal producing a storm surge of up to 4.6m along the coast of Western Bengal, killing 84 70 71 people, and causing total losses over 13 billion USD (India Meteorological Department, 72 2020, Kumar et al., 2021); Hurricane Harvey (2017), the second most costly hurricane to hit 73 the US after Katrina (2005), which impacted 13 million people, hitting the state of Texas 74 with a maximum storm surge of 3.8m (Amadeo, 2019); and Typhoon Jebi (2018), driving 75 storm surges of over 3m in Osaka Bay, Japan, combined with wave action which led to flooding exceeding 5m above mean sea level (Mori et al., 2019). Approximately 10% of the 76 77 world's population (768 million people) live below 10m above mean sea level (Nicholls et 78 al., 2021). Coastal flooding is expected to increase dramatically into the future, 79 predominantly caused by sea-level rise (Taherkhani et al., 2020), and compounded by 80 continued growth and development in coastal populations (Neumann et al., 2015). 81 Therefore, continuing to improve the understanding of coastal flooding is vital. 82 Coastal floods are driven by extreme sea levels, which arise as combinations of: (1) astronomical tides; (2) storm surges (driven by tropical and extra-tropical cyclones) and 83 84 associated seiches; (3) waves, especially setup and runup; and (4) relative mean sea level changes (including sea-level rise and vertical land movement). Risk assessments of coastal 85 flooding require high-quality and high-resolution flood hazard data, typically in the form of 86 flood inundation maps. Inundation maps are usually derived from hydraulic models, which 87 use high resolution extreme sea level (ESL) exceedance probabilities as a key input (e.g., 88 89 Bates et al., 2021; Mitchell et al., 2022). The development of coastal inundation maps is 90 reliant on coastal boundary conditions points that vary in resolution depending on 91 application. Previous studies (e.g., Barnard et al., 2019) have used 100m resolution at local sales, while regional studies (e.g., Bates et al., 2021, Environment Agency, 2018) have 92 employed resolutions between 500m and 2km. 93 Traditional methods for computing ESL exceedance probabilities involve extreme value 94

- 95 analysis of measurements from individual tide gauges or wave buoys. However, long,
- 96 complete records spanning numerous decades are necessary to obtain robust estimates of

ESL return levels (Coles, 2001). The Global Extreme Sea Level Analysis (GESLA-3) database 97 98 provides sea level records for over 5,000 tide gauge stations (Haigh et al., 2021), but these 99 tide gauges still cover only a small fraction of the world's coastlines. Wave buoys are even 100 more sparse, largely restricted to the Northern Hemisphere and long historical records are marred by discontinuities (Timmermans et al., 2020). Even in areas with relatively high tide 101 102 gauge or wave buoy density, there are still large expanses of coastline which remain 103 ungauged. While rare extreme weather events (such as intense tropical cyclones (TCs)) are 104 often many hundreds of kilometres in size, the precise impact of the corresponding ESL can often be highly localised (Irish et al., 2008), meaning the peak surge occurs in an ungauged 105 location. The particular locale of peak surge for an event is determined by storm 106 characteristics, local bathymetry and coastal geography, amongst other factors (Shaji et al., 107 108 2014). Therefore, relying on past observation-based analyses of ESL exceedance 109 probabilities to characterise return levels across a region will likely lead to the under representation of rare extreme events. Finally, another limitation is that many previous 110 111 analyses of ESL exceedance probabilities consider the still water level component (i.e., tide 112 plus storm surge) separately from the wave set up and run up (Haigh et al., 2016, Muis et 113 al., 2016, Ramakrishnan et al., 2022). 114 One solution to overcome sparse datasets is to use ESL hindcasts created by state-of-the-art 115 models. These include regional (e.g., (Andrée et al., 2021, Siahsarani et al., 2021, Tanim & 116 Akter, 2019) or global tide-surge (such as Deltares' Global Tide Surge Model v3.0 (hereafter referred to as GTSM; Muis et al., 2020) or wave models (e.g., Liang et al., 2019). These are 117 118 used to fill the spatial and temporal gaps in the observation records via historical reanalysis

simulation. However, their ability to accurately capture extreme events is hampered by the
atmospheric forcing data that is used to drive the models, as reanalysis products like ERA5
(Hersbach *et al.*, 2020) commonly contain biases in representing meteorological extremes
such as tropical cycloneTCs (Slocum *et al.*, 2022), leading to an underestimation of event
intensity. Furthermore, the time period captured in reanalysis products is not adequate to
represent the characteristics (e.g., frequencies) of particularly rare events such as intense
tropical cycloneTCs. To overcome this limitation, some studies have used synthetic event

datasets representing tropical cyclone<u>TC</u> activity over many thousands of years (e.g., Haigh

127 *et al.*, 2014; Dullaart *et al.*, 2021), however this approach is computationally expensive.

An alternative and less computationally demanding solution that helps address some of the 128 129 problems inherent in estimating ESLs around the world's coastlines from the observational 130 record, is regional frequency analysis (RFA). The RFA methodology was originally developed 131 to estimate streamflow within a hydrological context (e.g., Hosking and Wallis, 1997), but has since been used in many applications requiring extreme value analysis of meteorological 132 133 parameters including coastal storm surge (e.g., Bardet et al., 2011; Weiss and Bernardara, 134 2013; Arns et al., 2015; Calafat et al. 2022) and extreme ocean waves (e.g., Campos et al., 135 2019, Lucas et al., 2017, Vanem, 2017). The principle of an RFA is founded on the basis that a homogenous region can be identified, throughout which similar meteorological forcings 136 and resultant storm surge or wave events could occur, even if the extreme events have not 137 been seen in part of that region in the historical record (Hosking and Wallis, 1997). RFA has 138 been used on a regional scale to produce coastal ESL exceedance probabilities including: 139 France (Andreevsky et al., 2020, Hamdi et al., 2016); the US coastline (Sweet et al., 2022); 140 Northern Europe (Frau et al., 2018); US coastal military sites (Hall et al., 2016); and the 141 142 Pacific Basin (Sweet et al., 2020). However, an RFA approach has not (to our knowledge) 143 been applied globally. 144 The overall aim of this paper is to, for the first time, apply an RFA approach to estimate ESL 145 exceedance probabilities, including wave setup, along the entire global coastline. These 146 exceedance probabilities aim to better characterise ESLs driven by rare, extreme events, 147 such as those from tropical cycloneTCs, which are poorly represented in the historical record. Uniquely, this study uses both measured and hindcast datasets; includes tides, 148 149 storm surges, and wave setup; and calculates exceedance probabilities at high resolution (1 km) globally. The specific objectives of this paper are to: 150 (1) develop and apply the RFA globally (excluding Antarctica), utilising both 151 152 observational tide gauge, and modelled hindcast sea level and wave records; (2) illustrate how the RFA methodology improves the representation of rare extreme 153

- events in the ESL exceedance probabilities using cyclone Yasi, which impacted the
 Australian coastline in 2011, as a case study;
- (3) validate the RFA against exceedance probabilities estimated from the GESLA-3 global
 tide gauge database; and

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158	(4) Finally, quantify how much the RFA improves increases the estimation of ESL
159	exceedance probabilities in areas prone to TC activity -when compared to single site
160	analysis, using hindcast datasets (Muis et al., 2020 and Dullaart et al., 2021).
161	This paper is laid out as follows: The datasets used are described in Section 2. The
162	methodology is detailed in Section 3, addressing objective 1. Results and validation are
163	described in Section 4, addressing objectives 2, 3, and 4. A discussion of the key findings and

- 164 conclusions are then given in Sections 5 and 6, respectively.
- 165

166 **2.** Data

167 We use seven primary sources of data in this study, namely: (1) still sea-level observations

168 contained in the GESLA-3 tide gauge dataset; (2) global still sea-level simulations from the

169 GTSM hindcast based on the ERA5 climate reanalysis; (3) tidal predictions from the FES2014

170 finite element hydrodynamic model; (4) significant wave heights derived from the ERA5

171 climate reanalysis; (5) mean dynamic topography from HYBRID-CNES-CLS18-CMEMS2020;

172 (6) Copernicus DEM to create a global coastline dataset; and (7) the COAST-RP dataset from

Dullaart *et al.*, (2021) to validate the RFA methodology. These seven datasets are described in turn below.

175 Still sea level records are assembled from the GESLA-3 (Global Extreme Sea Level Analysis)

tide gauge dataset version 3 (Caldwell et al., 2015, Haigh et al., 2021). The GESLA-3 dataset

177 includes high-frequency water level time series from over 5,000 tide gauges around the

178 globe, collated from 36 international and national providers. Data providers have differing

179 methods of quality control, however each record was visually assessed by the authors of the

- 180 GESLA-3 dataset and graded as either: (i) no obvious issues; (ii) possible datum issues; (iii)
- 181 possible quality control issues; or (iv) possible datum and quality control issues. Only
- 182 records with no obvious issues were used in this study.
- 183 As discussed in Section 3, the hindcast, GTSM-ERA5 is used in all areas which are not
- 184 covered by tide gauge observations. GTSM is a depth-averaged hydrodynamic model built
- using the DELFT-3D hydrodynamic model, which makes use of an unstructured, global,
- 186 flexible mesh with no open boundaries (Muis *et al.*, 2020). The model has a coastal

resolution of 2.5km (1.25km in Europe), and a deep ocean resolution of 25km. The GTSM-187 188 ERA5 dataset spans the period 1979-2018, and is developed by forcing GTSM with hourly 189 fields of ERA5 10-metre wind speed and atmospheric pressure (Hersbach et al., 2020). 190 GTSM-ERA5 has a 10-minute temporal resolution and provides a timeseries at locations approximately every 50km along the coastline (10km in Europe). Validation carried out by 191 192 Muis et al. (2020) shows that the dataset performs well against observations of annual maximum water level, exhibiting a mean bias of -0.04 m and a mean absolute percentage 193 error of 14%. 194

We use the FES2014 tidal database to generate tidal timeseries at GTSM-ERA5 locations and 195 RFA output locations. The RFA output resolution is much higher than the output resolution 196 of GTSM-ERA5, which is why FES2014 is used instead. FES2014 is a finite element 197 hydrodynamic model which combines data assimilation from satellite altimetry and tide 198 gauges (Lyard et al., 2021). The model solves the barotropic tidal equations, as well as the 199 effects from self-attraction and loading. The gridded resolution of the output is 1/16°. The 200 201 model was extensively validated against tide gauges, satellite altimeter observations, and alternative global tide models by Lyard et al. (2021) and was found to have an improved 202 203 variance reduction in nearly all areas, especially in shallow water regions. The Python 204 package distributed with the FES2014 data (https://github.com/CNES/aviso-fes) was used to 205 simulate tidal timeseries.

To calculate wave set up we use significant wave heights (Hs) from the ERA5 reanalysis (Hersbach *et al.*, 2020), covering the period 1979 to 2020. The spatial resolution of the ERA5 wave model output is 0.5° x 0.5°, and the temporal resolution is hourly. Independent validation of hourly Hs performed by Wang & Wang (2022) finds little bias in the dataset (-0.058 m), however the authors go on to conclude that Hs of extreme waves tends to be underestimated (by 7.7% in the 95% percentile), a conclusion supported by Fanti *et al.* (2023).

We use mean dynamic topography (MDT) to convert water levels from mean sea level as measured by tide gauges to mean sea level as referenced by a geoid, for use in subsequent future studies involving inundation assessments using hydraulic modelling. MDT describes the change in sea surface height due to the effects of the winds and currents in the ocean. Digital elevation models (DEMs), a key input to hydraulic models, typically use a geoid as a

vertical datum. A geoid is an equipotential surface of mean sea level under the sole effect of 218 219 gravity, in the absence of land masses, currents and tides (Bingham & Haines, 2006). To 220 convert water levels from tide gauge mean sea level to the geoid mean sea level, the 221 HYBRID-CNES-CLS18-CMEMS2020 MDT dataset is used (Mulet et al., 2021). The spatial 222 resolution of this dataset is 0.125° x 0.125°. Errors associated with this dataset are largely 223 caused by the input satellite altimetry data and can be up to 10 cm in some areas. The MDT at the shoreline is illustrated in the Appendix Fig. A1. 224 225 The Copernicus 30m DEM (European Space Agency, 2021) is used to create a high-resolution global coastline. This is used to define the RFA output points at approximately 1 km intervals 226 along the global coastline (excluding Antarctica), resulting in over 3.4 million points. 227 Finally, in addition to GTSM-ERA5, we use the COAST-RP dataset from Dullaart et al. (2021) 228 to validate the RFA methodology. COAST-RP uses the same hydraulic modelling framework 229 as GTSM-ERA5 but simulates extra-tropical and tropical surge events separately using 230 231 different forcing data. In areas prone to tropical cycloneTC activity, synthetic tropical 232 cycloneTCs representing 103,000 years under current climate conditions are used from the 233 STORM dataset (Bloemendaal et al., 2020). These synthetic tropical cycloneTC model runs have been validated against observed IBTrACS-forced model runs, and found to show 234 235 differences in ESLs at the 1 in 25 year return level of less than 0.1 m at 67% of the output 236 locations in tropical cycloneTC prone areas (Dullaart et al., 2021). In extra-tropical regions 237 impacted only by extra-tropical storms, a 38-year timeseries of ERA5 data is used (Hersbach

et al., 2020). The surge levels from each set of simulations are probabilistically combined

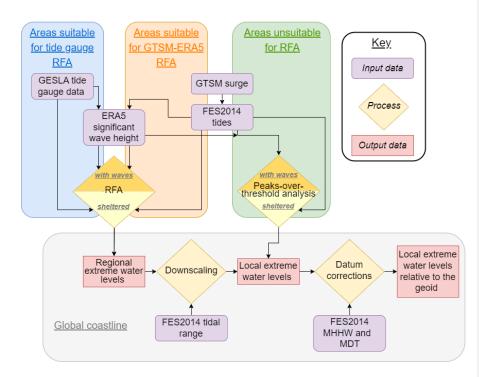
239 with tides to result in a global database of dynamically modelled storm-tides.

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241 3. Methods

The first objective of this study is to develop and apply an RFA approach globally, encompassing still water levels and wave set up. In Section 3.1 we describe the methods used to process the data used in this study. In Section 3.2 we layout the global application of the RFA approach using observational and modelled data. The methods used to validate the results are explained in Section 3.3.

247 An overview of our methodology is illustrated in Fig. 1. This study broadly follows the 248 methodology of Sweet et al. (2022) and applies an RFA to both tide gauge and GTSM-ERA5 records. As such, the terms 'water level record' and 'record location' are used to describe 249 250 both tide gauge records and GTSM-ERA5 data. The method can be summarised in five key 251 steps: (i) collation and pre-processing of tide gauge, GTSM-ERA5, FES2014, and ERA5 Hs 252 data; (ii) spatial discretisation of water level records into regions; (iii) application of the RFA 253 to regional water level records (in areas unsuitable for an RFA (because there are less than 3 254 gauges in a region, or the regional water levels records are heterogenous), a peaks-overthreshold analysis of individual GTSM-ERA5 water level records is used); (iv) conversion 255 256 (downscaling) of RFA exceedance levels to local exceedance levels at the output coastline 257 points, using FES2014 tidal range (in areas unsuitable for an RFA, nearest-neighbour 258 interpolation- is used to assign local exceedance levels); and (v) correction of bias and datums to convert water levels to geoid mean sea level, using FES2014 mean higher high 259 260 water and global MDT (HYBRID-CNES-CLS18-CMEMS2020). The final section of the methods (vi) describes the validation techniques. These steps are described in detail below. 261



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Figure 1: Schematic flow diagram detailing the data sources and processes involved in producing a global set of extreme
 water levels

266 3.1 Data processing

267

268 The GESLA-3 dataset was filtered to sample appropriate input data by removing duplicates,

- 269 gauges located in rivers (away from the coast), and gauges that fail quality control checks
- 270 <u>carried out by the authors of the dataset</u> (such as suspected datum jumps). A total of 2,223
- 271 tide gauges with a mean record length of 21.4 years were used in the RFA. The surge
- 272 component of GTSM-ERA5 at each record location is isolated from the water level
- 273 timeseries using a tide only simulation and superimposed upon a tidal timeseries created
- 274 with FES2014, as the FES2014 tidal elevations performed better than those of GTSM in
- 275 <u>initial testing against in-situ observation. The decision to use tides from FES2014 is further</u>
- 276 <u>supported by the conclusion from Muis et al, (2020), in which they state "It appears that</u>
- 277 <u>biases increase in regions with a high tidal range, such as the North Sea, northern Australia,</u>
- 278 and the northwest of the United States and Canada, which could indicate that GTSM is

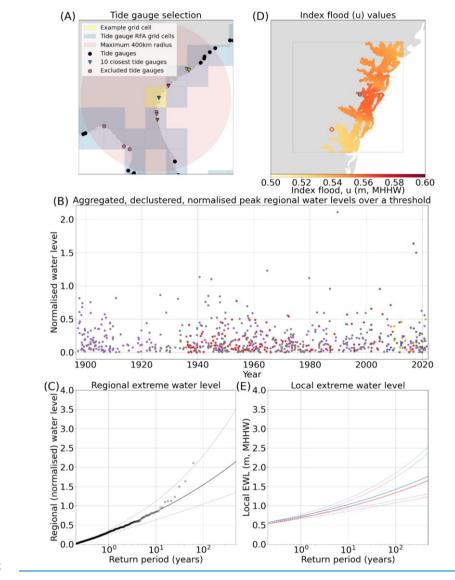
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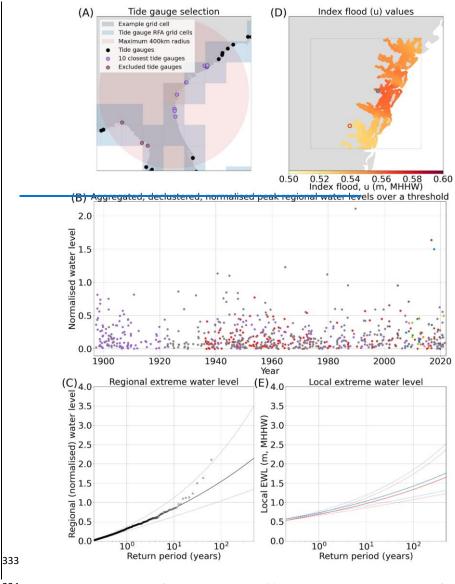
279 outperformed by the FES2012 model that was used to develop the GTSR dataset." Tidal 280 timeseries were also computed at each of the coastline output locations for use in 281 downscaling the regional outputs, and in the bias and datum corrections of the local ESL. 282 Wave setup is the static increase in water level attributed to residual energy remaining after 283 a wave breaks (Dean & Walton, 2010), and therefore is only observed in areas exposed to direct wave action. In this study, wave setup is approximated as 20% significant wave height 284 285 (Hs) from the ERA5 reanalysis, following the recommendation from the review of numerous laboratory and field experiments (Dean & Walton, 2010) and previous related studies (Bates 286 287 et al., 2021, Vousdoukas et al., 2016). Wave setup is interpolated assigned to the nearest 288 record location using a nearest-neighbour approach. Wave setup is assumed to be absent in sheltered areas (e.g., bays and estuaries). To account for-thisthe lack of wave setup in 289 290 sheltered areas (e.g., bays and estuaries), the global coastline is classified as either sheltered 291 or exposed, and the final extreme water levels are drawn from an RFA that is processed with 292 or without wave setup added in. To classify the coastline, each coastline point is evaluated 293 to determine if it is exposed from a minimum 22.5° angle over a fetch of 50km. A total of 16 equal angle transects are drawn, extending 50km from each coastline point. If two or more 294 295 adjacent transects do not intersect with land, the coastline point is considered exposed. 296 Applying wave setup using this approach is an obvious simplification that has been used for 297 the ease of global application. In reality wave setup is impacted by local bathymetry and 298 coastal geometry, as well as local wind and wave conditions. There are other more complex 299 methods for estimating wave setup that incorporate some aspects of bathymetry and 300 coastal geometry, such as Stockdon et al. (2006). To process the RFA with wave setup, daily maximum wave setup is added to the daily 301 highest water levels. Where tide gauge records fall outside of the temporal range of the 302 303 ERA5 data, a copula-based approach was used to fit a simple statistical model between daily 304 peak water levels and daily max Hs, providing a prediction of the daily max Hs. The RFA is then executed as described below. Tide gauges are assumed to be located in sheltered 305 regions, such as bays and estuaries, thus tide gauge records are not impacted by wave 306 307 setup.

308 <u>3.2 RFASpatial discretisation of water level records into regions</u>

310 Water level records are spatially clustered to form a potential pool from which regional 311 exceedance levels can be characterised. To do this, the global coastline is divided into 1° by 312 1° grid cells, which are used as the regions to apply the outputs for each RFA. All record 313 locations within a 400km radius (same as Hall et al. (2016) and Sweet et al. (2022)) of the 314 grid cell centroid that have at least 10 consecutive years of good (>90% completeness) data 315 are identified (minimum of 3 water level records, maximum of 10 (same as Sweet et al. 316 (2022)). This step is illustrated in Fig. 2A. Record locations which are geographically within 317 range, but are separated by a large expanse of land, and thus likely forced by different storm patterns are removed from the record location selection. To achieve this, a line is 318 drawn between the grid cell centroid and each record location. The land intersected by the 319 320 line is divided, and the areas of land on either side of the line are summed. A ratio of the 321 length of the line to the area of land segmented by the line is then calculated. A threshold of 100 was empirically evaluated using expert judgement based on a number of test cases, 322 above which records are removed from the grid cell analysis. This approach ensures that, for 323 324 example, record locations located on the east coast of Florida (e.g., Mayport) are not 325 grouped with those on the west coast (e.g., Cedar Key) when characterising regional growth curves, despite the relatively short straight-line distance between them. Fig. 2A exemplifies 326 327 three tide gauges which have been excluded from possible selection despite lying within a 400km radius to the grid cell centroid as the land that separates them is considerably large 328 when compared to the distance. This spatial discretisation of regions results in a total of 329 836 tide gauge records (with a mean record length of 17 years) and 18628 GTSM-ERA5 330 331 records for use in the application of the RFA.

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334 335 336 337 338 Figure 2: Illustrating a selection of the steps through the RFA. (A) The 1° by 1° grid cells along the East Coast of the US, along with the locations of the tide gauges, and the tide gauges selected for the RFA of the example grid cell. The tide gauges excluded from possible selection by the distance/land area ratio are also indicated. (B) The aggregated, declustered, normalised peak regional water levels over a threshold for each of the tide gauges used in the example grid cell. The colours indicate peak water levels from the individual tide gauges in the region. (C) The regional extreme water levels, ascertained 339 by fitting a Generalised Pareto distribution to the data displayed in panel (B). (D) The index flood values of the example grid cell, found by linearly interpolating the u value from the two closest tide gauges, and scaling by tidal range. The locations of 340 341 two coastline points used to produce local extreme water levels in panel E are also highlighted. (E) The local extreme water 342 level at two shoreline points inside the example grid cell, each with different index flood values as indicated in panel D.

343 The RFA is preferentially applied to tide gauges in areas where the gauge density is sufficient 344 (minimum 3 gauges within a 400km radius, same as Hall et al. (2016) and Sweet et al. (2022)). Outside of these areas, the RFA is implemented using data from GTSM-ERA5. In 345 346 some regions, the density of homogenous record locations from GTSM-ERA5 is also too low 347 for the RFA to function, in which case the ESL exceedance probabilities are interpolated 348 from a single site peaks-over-threshold analysis of the nearest GTSM-ERA5 record location. The geographical locations of these areas are shown in Fig. 3. From the 5,975 global coastal 349 grid cells, ESLs at 851 are computed using tide gauge data, 4,555 are calculated using an RFA 350 of GTSM-ERA5 data, and 569 are calculated using GTSM-ERA5 data from the nearest record 351 352 location.

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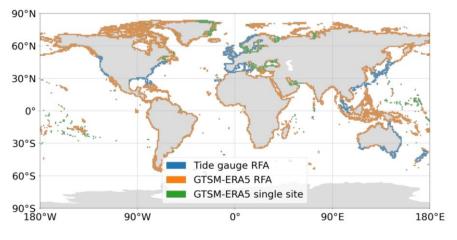


Figure 3: This map shows the global distribution the areas in which the tide gauge RFA is used, the GTSM-ERA5 RFA is used,
 and the areas which are interpolations of single site analysis from GTSM-ERA5.

356 3.3 Application of the RFA

357 350

- 358 Water level<u>Tide gauge</u> records are referenced to different vertical datums, so to ensure
- consistency, the mean over the most recent 19-year epoch is subtract from the water level
- 360 record, and the timeseries is linearly detrended to the centre year of the most recent
- available epoch (2002-2020), resulting in 2011. <u>GTSM-ERA5 records are referenced to MSL</u>
- 362 over the period of 1986-2005, and so the timeseries are linearly detrended to reference the
- 363 <u>same tidal epoch as the tide gauge records, centred on 2011.</u> Within each cluster of gauge

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(or model) records, the water level time series are resampled to hourly resolution and
converted to mean higher high water, defined as the mean daily highest water level over a
19-year epoch, to account for differences in tidal range between record locations. In the
case of records with fewer than 19 years of data available the maximum continuous epoch

368 is used instead.

369 Daily highest water level is determined from the hourly time series of each measured or

370 modelled record. The time series are then declustered using a 4-day moving window of the

371 stormstorm window to ensure event independence. This window length- was used by Sweet

372 *et al.*, 2020 and Sweet *et al.*, 2022, and is a similar length to the storms that cause surge

373 events in the UK was selected as storms that cause surge events are known to last

 $\frac{374}{374}$ approximately 4 days (Haigh *et al.*, 2016). The index flood *u*, defined as the 98th percentile

375 of the declustered daily highest water levels (Sweet et al., 2022), is used as the exceedance

376 threshold at which to normalise the water level at each record location, as follows:

Normalised water level = (Observed exceedence water level -u) /u (eq. 1)

378 The normalised datasets are then aggregated and further declustered to ensure only one 379 peak water level is retained for each regional event. This is shown in Fig. 2B for an example 380 grid cell. Following Hosking and Wallis (1997), a statistical heterogeneity test (H) is undertaken to ensure the homogeneity of the region. If the H-score is less than 2, then the 381 region is considered sufficiently homogenous. If the H-score is greater than 2, then the 382 furthest water level record from the grid cell centroid is removed from the region, and the 383 test re-run. This process is repeated until the H-score is less than 2. In a minority of cases, 384 385 the heterogeneity test fails due to an anomalous record that lies within the closest 3 sampling locations to the grid cell centroid. In this instance the test is rerun, except after the 386 387 furthest record is removed, all the remaining records are sequentially removed and 388 replaced, until the H-score is less than 2. After the region is confirmed to be homogenous, a Generalised Pareto distribution is fitted 389

to the aggregated, declustered, normalised regional water levels using a penalised

391 maximum likelihood method to estimate regional extreme water levels (REWLs). This is

392 illustrated at an example in Fig. 2C. This is repeated for the aggregated regional water levels

for each 1° by 1° grid cell. While theoretically correct, applying distribution fits to real world

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394	data can sometimes give unrealistic results, particularly in the estimation of the lower	
395	frequency space. In these cases, growth curve optimisation is undertaken to ensure the	
396	output local extreme water levels are plausible in real world scenarios. To ensure	
397	consistency, an empirical threshold of 0.35 for the shape parameter is used to determine	
398	which curves will generate unrealistic extreme water levels. The empirical threshold of the	
399	shape parameter is determined based on expert judgement of plausible real world	
400	maximum surge heights in the low frequency events. To correct these curves, where this	
401	threshold is exceeded, we use the shape and scale parameters of the nearest grid cell which	
402	has a shape parameter less than 0.35. In total, 34 grid cells had their shape and scale	
403	parameters adjusted, mostly concentrated in the Gulf of Mexico and Japan.	
404	3.4 Downscaling to local extreme water levels	
405	+ Downsedning to local extreme water levels	
406	Local extreme water levels (LEWLs) are then estimated from the regional growth curves	
407	using the following relationship:	
408	LEWL = (REWL * u) + u (eq. 2)	
409	for each coastal point along the coastline contained within the grid cell represented by the	
410	REWL. The index <i>u</i> is estimated at the coastline points using an inverse distance weighting	
411	interpolation of the u values for the two closest record locations, scaled by tidal range. This	
411 412		
	interpolation of the u values for the two closest record locations, scaled by tidal range. This	
412	interpolation of the u values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend	
412 413	interpolation of the u values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing u values from a linear regression of u against tidal range values from record	
412 413 414	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at	
412 413 414 415	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence	
412 413 414 415 416	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence have modified the methodology. Fig. 2D exhibits an example of the index flood for every	
412 413 414 415 416 417	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence have modified the methodology. Fig. 2D exhibits an example of the index flood for every shoreline point in an example grid cell. Tidal ranges are calculated as the difference	
412 413 414 415 416 417 418	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence have modified the methodology. Fig. 2D exhibits an example of the index flood for every shoreline point in an example grid cell. Tidal ranges are calculated as the difference between mean higher high water and mean lower low water. Tidal harmonics from FES2014	
412 413 414 415 416 417 418 419	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence have modified the methodology. Fig. 2D exhibits an example of the index flood for every shoreline point in an example grid cell. Tidal ranges are calculated as the difference between mean higher high water and mean lower low water. Tidal harmonics from FES2014 are used to predict mean higher high water and mean lower low water at each coastline	
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412 413 414 415 416 417 418 419 420 421	interpolation of the <i>u</i> values for the two closest record locations, scaled by tidal range. This deviates from the methodology set out by Sweet <i>et al.</i> (2022), in which they recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from record locations across a region. We found this approach led to significant differences in LEWLs at record locations when compared to single site analysis of water level records, and hence have modified the methodology. Fig. 2D exhibits an example of the index flood for every shoreline point in an example grid cell. Tidal ranges are calculated as the difference between mean higher high water and mean lower low water. Tidal harmonics from FES2014 are used to predict mean higher high water and mean lower low water at each coastline point. The index flood, <i>u</i> , is used to downscale the REWLs, which represent the ESL characteristics of the entire grid cell. LEWLs are output in the format of return levels for a	

424 flood, *u*, is used to downscale the REWLs, which represent the ESL characteristics of the

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425	entire grid cell. LEWLs are output in the format of return levels for a range of exceedance
426	probabilities. The index u is then estimated at the coastline points using an inverse distance
427	weighting interpolation of the u values for the two closest record locations, scaled by tidal
428	range. This deviates from the methodology set out by Sweet et al. (2022), in which they
429	recommend drawing <i>u</i> values from a linear regression of <i>u</i> against tidal range values from
430	record locations across a region. We found this approach led to significant differences in
431	LEWLs at record locations when compared to single site analysis of water level records, and
432	hence have modified the methodology. Fig. 2D exhibits an example of the index flood for
433	every shoreline point in an example grid cell. Tidal ranges are calculated as the difference
434	between mean higher high water and mean lower low water. Tidal harmonics from FES2014
435	are used to predict mean higher high water and mean lower low water at each coastline
436	point.
437	3.5 Bias and datum corrections
438	
439	The last stage of the LEWL calculation involved characterisation and removal of bias in the
440	high frequency portion of the exceedance probability curves, relative to the <u>a single site</u>
441	analysis of water level records (within which we expect the high frequency water levels to
442	be accurately modelled). Other surge RFA studies also concluded that the approach
443	generally yields higher estimated surge heights when compared to single site analysis,
444	because during the regionalisation process an extreme event that occurred in one location is
445	assumed to have the same probability of occurring at another location within the
446	homogeneous region. (Bardet et al., 2011; Sweet et al., 2022). Bias is quantified based on
447	the divergence in the 1-in-1-year return period at each tide gauge/GTSM-ERA5 location and
448	the corresponding LEWL predictions. This bias is used as a correction term and is removed
449	from the LEWLs. As the density of the coastline points is much greater than the density of
450	the tide gauges/model output locations, the correction term is interpolated across all
451	coastal LEWL points based on correlation between Q99-monthly values of the 99th
452	percentile of tidal elevations produced over a 3-year period centred on 2011, tidal
453	elevations computed using FES2014 at the tide gauge/GTSM-ERA5 location and
454	neighbouring coastline points. The mean bias correction across all gauges is 8 cm.

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Datum corrections are applied to ensure the LEWLs are correctly referenced to a vertical 455 datum which can be used for hazard assessment applications, such as inundation modelling. 456 457 Inundation models utilise digital elevation models, which typically reference a geoid as the 458 vertical datum. The output water levels from the RFA are transformed from mean higher high water to Mean Sea Level (MSL) by adding the approximation of mean higher high water 459 460 (above MSL) from the FES2014 simulations to each of the boundary condition points. The corrected MDT dataset from (Mulet et al., 2021) is applied to convert water levels from MSL 461 from the FES2014 model to the 'MSL' of a commonly used geoid, EGM08. 462

463 3.63 Validation methods

464

In this section we define a range of validation techniques used to address objectives 3 and 4.
To validate the RFA ESLs against tide gauge records from GESLA (objective 3), a comparison
is made against ESL exceedance probabilities calculated at the individual tide gauges used to
inform the RFA. To quantify the degree to which the RFA approach improves the estimation
of ESL exceedance probabilities compared to single site analysis (objective 4), two
assessments are made.

471 Firstly, the divergence between GTSM-ERA5 RFA ESL and GTSM-ERA5 single site ESL for the entire global coastline are quantified. These are then contrasted against the differences 472 473 between return levels from GTSM-ERA5 (Muis et al., 2020) and COAST-RP (Dullaart et al. 474 2021). GTSM-ERA5 is forced with 39 years of ERA5 data, a relatively short period when 475 considering exceedance probabilities for rare extreme events (e.g., tropical cyclones). To overcome this data paucity, GTSM was subsequently run with STORM a database containing 476 10,000 years of synthetic storm tracks (Bloemendaal et al., 2020). resulting in COAST-RP, a 477 478 database containing 10,000 years of synthetic storm tracks (Bloemendaal et al., 2020). The 479 comparison can then identify regions in which the historical ESLs are poorly represented due 480 to the limited record lengths. 481 Secondly, a leave-one-out cross validation is undertaken using GTSM-ERA5 data. Leave-one

- out-cross validation aims to address the common issues involved with validating statistical
 models. One common method to validate models is split-sample validation, in which the
 data is split into two groups, a training set and a validation set, which are generally 70% and
- 485 30% of the data respectively. The model is then trained on the larger set and validated

against the smaller set. The drawbacks of this method include a highly variable validation 486 487 error, due to the selection of the training and validation sets, as well as a validation error bias caused by training the model on only 70% of the available data (James et al., 2013). 488 489 Instead of using a 70/30 split of the data, leave-one-out cross validation uses a larger 490 proportion of the data to train the model, while validating against a smaller sub-sample, but 491 repeats this process multiple times to generate a robust validation. In this study, To do this, 492 we identified 1000 grid cells which have-use 10 GTSM-ERA5 records -used for the RFA and 493 contain 3 GTSM-ERA5 record locations inside the grid cell-(and therefore the RFA can be used to directly estimate ESLs at the record locations) are identified. One of the GTSM-ERA5 494 records from inside the grid cell is removed from the RFA process, and the REWL is 495 calculated using the 9 remaining gauges. The LEWL is then predicted at the record location 496 which has been left out, using the index flood, u at the record location. These LEWLs are 497 then contrasted with a single site analysis of the water level record that was removed from 498 the RFA. The process is then repeated for the 2 other GTSM-ERA5 record locations which lie 499 within the grid cell. This means each of the 1000 models is being tested three times, against 500 90% of the available data, thus giving a more robust realisation of the model when trained 501 502 on 100% of the data.

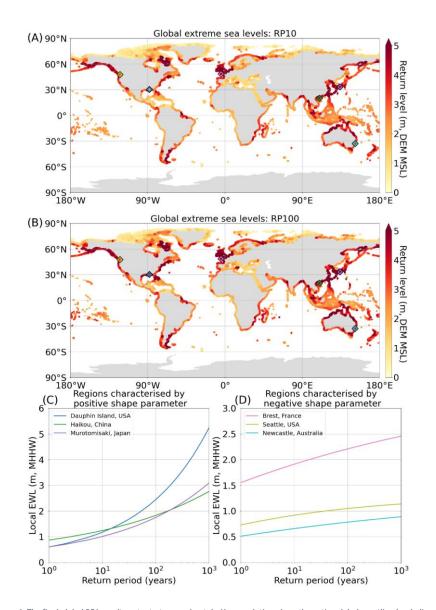
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504 4. Results

The results section is divided into four sub-sections. Section 4.1 presents the results of the global application of the RFA, showing both the global view of two return periods and the return levels for selected sites around the world. Section 4.2 illustrates how the RFA methodology improves the characterisation of rare extreme events using Cyclone Yasi (objective 2). In section 4.3 we validate the RFA against estimates of ESL from GESLA tide gauges (objective 3). Finally, in section 4.4 we quantify the improvements made by using an RFA approach when compared to a single site analysis of water levels (objective 4).

513 4.1 Global application of RFA

514 The final ESL exceedance probabilities (including wave setup) created at high resolution around the global coastline are displayed in Fig. 4, for the 1-in-10 and 1-in-100-year return 515 periods. Both the 1-in-10 year (Fig. 4A) and 1-in-100 year (Fig. 4B) return periods show 516 517 similar spatial patterns, with 1-in-100-year return periods exhibiting greater increases as 518 expected in areas prone to tropical cycloneTC activity (e.g., the Gulf of Mexico, Australia, Japan, and China). ESLs are higher in regions with large tidal ranges such as the Bay of 519 520 Fundy, the Patagonia Shelf, the Bristol Channel in UK, the northern coast of France, and the northwest coast of Australia. The return levels for 6 select tide gauge locations, 3 of which 521 522 are characterised by a positive and 3 of which are characterised by negative shape parameter from the Generalised Pareto distribution are shown in Fig. 4C and 4D 523 524 respectively, relative to mean higher high water. The locations of the 6 tide gauges are 525 indicated in both Fig. 4A and 4B. Regions exhibiting positive shape parameters are typically 526 prone to tropical cycloneTC activity and associated surge and wave events. As a result, these 527 regions experience more significant increases in return levels at higher return periods than 528 regions with negative shape parameters. Regions characterised by negative shape parameters have different drivers of ESL events, for instance extra-tropical storms surges or 529 tide dominated ESLs (Sweet et al., 2020). 530



532

533 534 535 536 537

Figure 4: The final global RFA results output at approximately 1km resolution along the entire global coastline (excluding Antarctica) for RP10 (A) and RP100 (B). Return levels are referenced to DEM MSL, and so represent surge, waves and tide. Return levels (relative to mean higher high water) for 6 tide gauges in regions characterised by either positive or negative shape parameter of the Generalised Pareto distribution are shown in panels (C) and (D) respectively. The locations of the 6 tide gauges are indicated by the diamonds plotted on both panels (A) and (B).

539 4.2 Tropical Cyclone Yasi

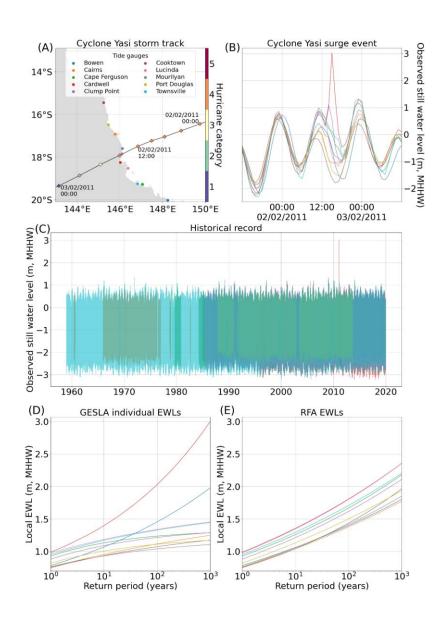
568 569

540 Our second study objective is to illustrate how the RFA methodology improves the 541 representation of rare extreme events previously described can draw on few, rare events, to provide more realistic representation of low frequency in the ESL exceedance probabilities 542 across a region, using the case study of cyclone Yasi which impacted the Australian coastline 543 544 in 2011. As demonstrated in this study, one major advantage the RFA approach benefits 545 from is its capacity to capture the extreme rare events that are typically under sampled in 546 historical records. Cyclone Yasi made landfall on the North-eastern coast of Australia, in the Queensland region, between 14:00 and 15:00 UTC on the 2nd of February 2011. It is the 547 548 strongest cyclone to have impacted the region since 1918, with possible windspeeds of 285km/h and minimum record pressure centre of 929 hPa (Australia Bureau of 549 550 Meteorology, 2011). When it made landfall, Yasi was a category 4 storm on the Saffir-551 Sampson scale. The path and strength of the storm are shown in Fig. 5A. 552 The total water levels, relative to mean higher high water, for all the tide gauges in the 553 region are shown in Fig. 54B. Cardwell had the highest surge, and highest total water level, 554 by a considerable margin compared to neighbouring tide gauges, receiving a surge of over 555 3m above mean higher high water. Clump Point also showed a definitive but less substantial 556 surge signal, whereas the other gauges showed much smaller surge effects or even no surge at all. The historical water level records of all the gauges in the regions are included in Fig. 557 5C. The tide gauges span different temporal ranges, and many have years which are 558 559 incomplete. The longest record is at Townsville, which started in the late 1950s. Despite this record, the largest event is cyclone Yasi by over 1.5m (at Cardwell). 560 Cardwell is not unique in location. The width of the continental shelf is reasonably constant 561 562 throughout this section of coastline, and while the position of the tide gauge is located 563 towards the back of a semi-enclosed bay, any local effects due to surge (from bathymetry or 564 coastline shape) will be accounted for by normalising the data using the index flood. Based 565 on this historical record, no other major surge event of this magnitude has impacted this section of coastline since the records began. There are, however, records of other historic 566 extreme events that predate tide gauges affecting the region. For example, Cyclone Mahina, 567

which made landfall in Princess Charlotte Bay (approximately 100km north of Cooktown) in

1899, reportedly had a surge height approaching 10m (Needham et al., 2015). The idea that

- 570 this stretch of coastline is at risk of tropical cyclone<u>TC</u> generated ESLs is further supported
- by STORM, a dataset of 10,000 years of synthetic hurricane tracks (Bloemendaal *et al.*,
- 572 2020). IBTrACS shows just eight category 4 and 5 hurricanes impacting this 700km stretch of
- 573 coastline between 1980 and 2022 (shown in the Appendix Fig. A2; Knapp *et al.*, 2010). In
- 574 contrast, the STORM dataset has 333 events affecting the area, producing a more
- 575 continuous spread of landfall locations along the coastline. In addition, large surges are
- 576 sometimes not captured in this region due to the lack of gauges in rural areas (Needham *et*
- 577 *al.*, 2015).



578

Figure 5: Tropical Cyclone Yasi: (A) The storm track of cyclone Yasi, covering a 24-hour period over the landfall event. The
locations of the 10 closest tide gauges along the Queensland coast are also included. Times are in UTC. (B) The observed
water level timeseries for the same 24-hour period at each of the 10 tide gauges in the region. Times are in UTC. (C) The
entire historical record of all 10 gauges in the region. (D) The return period curves of individual gauges fit with Generalised
Pareto distribution. (E) The return period curves at the gauge locations from the RFA.

584 The return period curves, calculated by fitting a Generalised Pareto distribution to the

585 peaks-over-threshold water levels at each individual tide gauge, for each of the 10 gauges in

the region, are shown in Fig. 5D. As expected, Cardwell has the largest return levels and the 586 587 steepest curve. All the other gauges, except Bowen, exhibit negative shape parameters, 588 characterised by a decreasing gradient of the return period curves. In a region which is 589 prone to tropical cycloneTCs, this is a dangerous underestimation of the risk from cyclone induced surges. In some coastal ESL studies, ESLs are calculated at each gauge, and then 590 591 interpolated along the coastline, such as in the UK (Environment Agency, 2018). In this case, that approach would lead to a gross disparity from the actual risk of storm surges to coastal 592 communities in the area. 593

In contrast, Fig. 5E shows the return period curves estimated from the RFA at the tide gauge 594 locations. All of the curves now have positive shape parameters, characterised by increasing 595 gradients of the curves. The curves of Cardwell and Bowen have been reduced somewhat, 596 while all the other curves have been increased significantly. This demonstrates the 597 regionalisation process, by which the extreme event at Cardwell can be used to propagate 598 599 the risk along the coastline to areas which have not had an extreme event on record, or 600 have short, incomplete, or non-existent tide gauge records. This reinforces the key strengths of the RFA, namely: (1) the ability to spatially account for rare extreme events, (2) the use of 601 602 short and incomplete tide gauge records to produce robust parameter fits, and (3) the 603 ability to downscale the results into regions which aren't covered by tide gauges at all.

604 4.3 Comparisons with GESLA

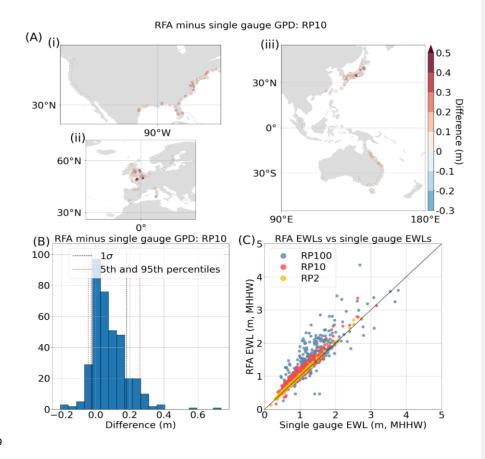
605 The third objective is to validate ESLs calculated using our RFA against those calculated 606 directly from the measured GESLA-3 global tide gauge database. Contrasting the RFA results 607 with ESL exceedance probabilities calculated through a Generalised Pareto distribution fit at individual tide gauges yields promising results. Fig. 6A shows the spatial distribution of the 608 609 difference at the 1-in-10-year return period for Europe, the United States, and the East 610 Pacific. In areas impacted by tropical cycloneTCs (e.g., the Gulf of Mexico, North-Eastern Coast of Australia, and Japan) we broadly see that the RFA has increasing return levels 611 612 across most gauges. Increases in the 1-in-10-year return level are also observed in areas 613 usually associated with extra-tropical storms (e.g., Europe), suggesting gauges in these 614 regions also suffer from under sampling of rare surge events. Extreme surge events can be 615 undersampled for two reasons. Firstly, by their very nature, they are rare and might never

616	have occurred at a specific location. Secondly, as a result of a scarcity of in-situ tide gauges,
617	surges can occur and remain unrecorded.
618	In all areas shown in Figure 6A, some gauges show decreases in the return levels. This could
619	be driven by either shape parameter limiting (to prevent unrealistically large water levels),

- an anomalously large number of events impacting the gauge, or due to a single anomalously
- 621 large event impacting the gauge, which is then smoothed out through the regionalisation
- 622 process, as was the case in Cardwell, Australia (Fig. 5E). Of the gauges shown in the Fig. 6A,

623 only 5 had limited shape parameters, which were located in the Gulf of Mexico. The

- distribution of the differences at RP10 is shown in Fig. 6B with a positive skew, detailing the
- ⁶²⁵ 5th and 95th percentiles as -8cm and 27cm respectively. The spread of the data increases
- across the three selected return periods (1-in-2, 1-in-10 and 1-in-100 year) presented in in
- Fig. 6C, as well as the mean bias, which increased from 2 cm in the 1-in-2 year return level,
- to 21cm in the 1-in-100 year return level.

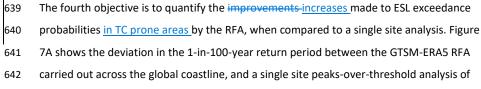


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Figure 6: Comparison of RFA water levels against extreme water levels calculated at individual gauges from GESLA by fitting a Generalised Pareto distribution to peaks-over-threshold water levels. (A) The spatial distribution of the difference at RP10 for (i) the contiguous US, (ii) Europe, (iii) Japan, Malaysia, Australia and New Zealand, (B) a histogram of the distributions of difference at RP10, including the locations of the 5th and 95th percentiles and 1 standard deviation from the mean, and (C) a scatter plot of EWLs (RP2, RP10, RP100) from the RFA and the EWLs calculated using a single site Generalised Pareto distribution fit. The black line indicates a 1:1 perfect fit.

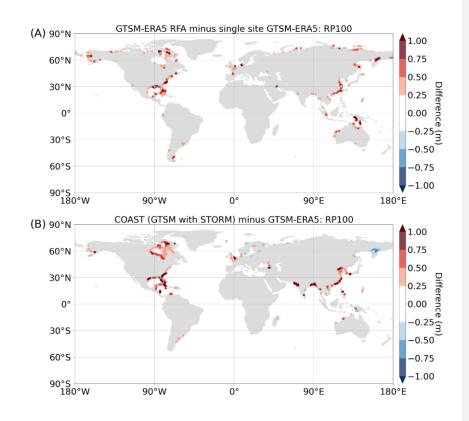
4.4 Quantifying the improvements increases made by the RFA when compared to single site analysis



643 GTSM-ERA5 water level records. Only differences greater or less than 0.25 m and -0.25 m

644	respectively, are plotted. There are evident increases to RFA ESLs in areas prone to tropical
645	cycloneTCs. The Gulf of Mexico, the East Coast of the US, Southern China, and the North-
646	East Coast of Australia show the largest increases. Sporadic negative differences are also
647	observed in Fig. 7A, which are driven by an over sampling of extreme events at these record
648	locations, and subsequent reduction in ESL exceedance probabilities by the RFAby a
649	smoothing of ESL exceedance probabilities at locations which have experienced
650	anomalously high ESL compared to the local region. From this we see that the RFA is capable
651	of incorporating the influence of tropical cycloneTCs that were not present in the historical

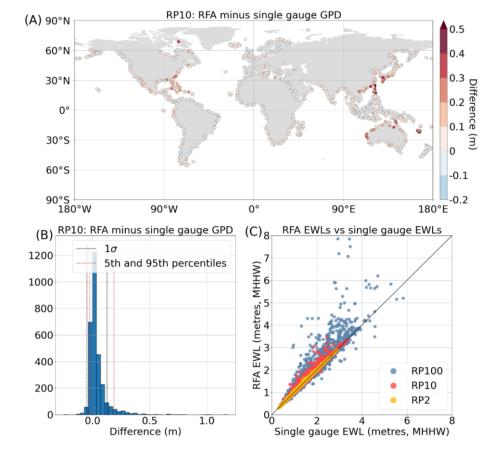
record, but statistically could occur as indicated by the regional characteristic.



654 Figure 7: The spatial distributions of: (A) the differences between the GTSM-ERA5 RFA 1-in-100-year return period (RP100)
 655 and the RP100 of single site GTSM-ERA5 data fit with a Generalised Pareto distribution to the peaks-over-threshold water

levels; and (B) the differences in RP100 published by the COAST-RP (GTSM forced with STORM) paper (Dullaart et al., 2021)
and RP100 published by the original GTSM paper (Muis et al., 2020). Only differences greater or less than 0.25 m and -0.25
m, respectively, are plotted.

These findings can be supported by the results shown in Fig. 7B, which shows the 659 660 differences between COAST-RP and GTSM-ERA5. COAST-RP is GTSM forced with STORM 661 (10,000 years of synthetic tropical cycloneTCs) in areas prone to tropical cycloneTC activity, 662 instead of ERA5 (Dullaart et al., 2021). The areas of positive difference highlight locations where COAST-RP is greater than GTSM-ERA5, and so give an indication of the areas in which 663 the synthetic hurricanes make landfall. These patterns are broadly similar to those of the 664 665 RFA, shown in Fig. 7A. However, there are two areas which stand out for being poorly characterised by the RFA, namely: the Bay of Bengal and the western Gujarat region of 666 India. Large differences are also observed in Hudson Bay, Canada, however we suspect 667 these discrepancies are the result of differences in the approach to modelling extra-tropical 668 669 regions, as tropical cycloneTCs do not make landfall here. Figure 8 shows the results of the leave-one-out cross validation of the global coastal LEWLs. 670 671 In general, the RFA tends to increase return levels due to the regionalisation process. These 672 findings match those of (Sweet et al., 2020, Sweet et al., 2022) upon which our approach is 673 based. This is evident throughout the world, with the majority of gauges exhibiting increases of less than 5 cm at the 1-in-10-year return period (Fig. 8A). The central 90th percentile 674 675 band of the data for the 1-in-10-year return period ranges from -3 to 18 cm, as shown in Fig. 8B. However, the spread of the data is more pronounced at the higher return periods, as 676 677 shown in Fig. 8C. Some regions of the world have greater increases, in the order of 30 - 40678 cm for the 1-in-10 year return period. These gauges are mostly concentrated in tropical 679 cycloneTC basins, namely the Caribbean, the Gulf of Mexico, Japan, China, the Philippines, 680 plus the East and West Coasts of Australia. This demonstrates the process by which the RFA 681 better represents extreme rare events that are typically under -sampled in the historical 682 record. By drawing on all the events captured by gauges across the region, the RFA reveals that there is greater risk of extreme events by considering their potential occurrence in 683 684 areas that, by chance, have not been previously impacted as observed in historical records. 685 Similarly, oversampling is clearly evident at 1-in-100-year return periods, for which nearly a 686 third of locations show decreases in ESL exceedance probabilities compared to the single



site analysis. The magnitude of these decreases tend to be much smaller than the increasesseen.

689

Figure 8: The results of the leave-one-out cross validation of the RFA on GTSM-ERA5 gauges. (A) The spatial distribution of
difference between the leave-one-out cross validation RFA RP10 (1 in 10-year return period) and the single site Generalised
Pareto distribution RP10, (B) a histogram of the distribution of the differences in RP10 including the locations of the 5th and
95th percentiles and 1 standard deviation from the mean, and (C) a scatter plot of EWLs (RP2, RP10, and RP100) predicted
using the leave-one-out cross validation RFA and the EWLs calculated using a single site Generalised Pareto distribution fit.
The black line indicates a 1:1 perfect fit.

697 5. Discussion

698 The ESL exceedance probabilities dataset that is presented in this paper is the first global dataset, to our knowledge, to be derived using an RFA approach, using a synthesis of 699 700 observed and modelled hindcast data. The resulting data is output at high resolution (~1 km) along the entire global coastline (excluding Antarctica), includes wave setup, and better 701 702 captures the coastal flood risk from tropical cycloneTCs. This approach is notable for being 703 computationally inexpensive compared to more traditional approaches for deriving ESL 704 exceedance probabilities via hydrodynamic modelling. 705 As previously discussed in the introduction section, relying solely on observational records to estimate ESL exceedance probabilities can significantly bias results. To fit robust 706 707 parameter estimates and obtain confident exceedance probabilities sufficient for informing 708 flood risk managers, long term and consistent high quality observational records are needed 709 (Coles, 2001). While some tide gauge and wave records span numerous decades, many 710 records only cover -a handful of recent decades (e.g., 10-30 years) or have significant gaps in their historical records. This often means quality data is excluded from analyses as their 711 712 records are too short to produce robust parameter estimates. Furthermore, gauges are 713 relatively sparse, especially in less populated areas and developing nations. While surges 714 and waves typically impact large regions, peak water levels are usually only observed over smaller areas (i.e., a single bay, estuary or beach). As a result, measured records can easily 715 716 miss the maximum of an extreme event, thus mischaracterising extreme water levels at the 717 gauge of the event. As such, rare extreme events that characterise the upmost tails of the 718 distributions of ESLs, such as tropical cycloneTCs, are repeatedly under_sampled in the historic record, in both frequency and magnitude. 719

By using an RFA approach, we demonstrate how we have <u>overcome_improved</u> these issues. The RFA can be viewed as a space-for-time approach, where long historical records (which give robust parameter estimates) are substituted for a collection of shorter records that cover a larger area. The volume of data (and subsequent extreme events) is retained, but the individual records can be much shorter. In this study, records as short as 10 years have been utilised. Furthermore, the regionalisation process works to overcome the issues with gauge density by disseminating the hazard presented by rare extreme events, as shown

727	using the Cyclone Yasi example. From the 10 gauges in the region, the only record to have
728	captured an historic extreme surge event of the magnitude observed during Cyclone Yasi
729	was Cardwell, despite this section of coastline being at known risk to tropical cycloneTC
730	activity. A single site analysis of tide gauge data in this region would woefully likely
731	underpredict the real risk of ESLs generated by $\frac{tropical cyclone TC}{TC}s$ in areas which haven't
732	had a direct impact in the observational record. On the other hand, the damping of the
733	return levels in the RFA output at Cardwell and Bowen could mean an underprediction of
734	the risk from surges in these locations.
735	Global hydrodynamic models that simulate tide and surge (e.g., GTSM) or waves have been
736	developed to substitute observational records, especially in regions not covered by tide
737	gauges. These models have been demonstrated to represent historic extreme events to a
738	high degree tof accuracy when forced using historical observational data pertaining to the
739	event (Yang et al., 2020). However, using these models for the characterisation of
740	exceedance probabilities is limited by the availability of long term high-quality global
741	reanalysis data, that captures the full extent of meteorological extremes that drive large
742	surge events. Once again, the RFA provides a solution to this problem The RFA is aims to
743	address this by using a space-for-time approach, however it is still limited by the bounds of
744	the GTSM-ERA5 data As demonstrated in Fig. 7, the distribution of increases to local
745	return levels made by the RFA broadly follows the same patterns globally as the differences
746	between COAST-RP and GTSM-ERA5. As TC hazard is typically underrepresented due to
747	short records, it can be inferred that the increases observed across these regions are an
748	improvement on a single site analysis. This highlights the ability of the RFA to characterise
749	tropical cyclone hazard which is typically underrepresented as a result of short records.
750	While the RFA is capable of identifying areas of increased risk from tropical cycloneTC
751	activity, it is still constrained by the training data available. This is demonstrated in Fig. 7.
752	Two distinct areas lack increased water levels in the RFA difference plot (Fig. 7A), namely:
753	the Bay of Bengal and Northwestern coasts of India and Pakistan. ERA5, the forcing data
754	used for GTSM-ERA5The model hindcast, GTSM-ERA5, only covers the relatively short period
755	of 1979-2018. has been found to consistently underestimate TC intensity in both minimum
756	sea level pressure and maximum windspeed (Dulac et al., 2023). Consequently, the intensity
757	of extreme events in GTSM-ERA5 in these regions does not accurately could under-represent

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758 the potential hazard from tropical cycloneTC activity. If the maximums of extremes are not 759 captured in the reanalysis data, then the full magnitude of the surge cannot be simulated by GTSM-ERA5. As such, the RFA has little basis upon will have smaller or fewer extremes with 760 761 which to draw data from when characterising rare extreme events, therefore leading to a 762 persistent underestimation of the return levels. Coastal flood hazard mapping is usually carried out using inundation models that simulate 763 the propagation of water over the coastal floodplain. To accurately capture the footprint of 764 765 the surge on the land, inundation models require high-resolution boundary conditions at 766 regular intervals along the coastline. The density of boundary condition points needs to be sufficient to capture local variability in ESLs along a coastline, which can be caused by 767 bathymetric and topographic features such as narrow channels, enclosed bays, barrier 768 769 island and estuaries. The spatial resolution of tide gauges, even in the areas of highest gauge 770 density, is insufficient for direct use in inundation modelling and therefore requires some form of interpolation and/or extrapolation. Similarly, while GTSM-ERA5, is run at a 771 reasonably high coastal resolution, publicly available data is only output at approximately 772 50km resolution outside of Europe, and therefore does not meet the standards necessary 773 for coastal floodplain inundation modelling. Using the RFA to downscale the regional 774 775 extreme water levels allows for the possibility of implementing tide gauge data and the 776 outputs from GTSM-ERA5 as boundary conditions for subsequent inundation models. In 777 addition, the downscaling process involves scaling the water levels by tidal range and thus 778 enables dynamic characteristics of the surge, such as amplification at the head of estuaries, 779 to be reproduced in the inundation models. This downscaling process is, however, limited

780 by the resolution of the tide model used to obtain the tidal range values. In the case of this

781 <u>study</u>, FES2014 is output at 1/16th of a degree (approximately 7km at the equator).

- Ultimately, the future of delineating the flood hazard from tropical cyclone<u>TC</u>s lies in multiensemble models using 100's of 1,000's of years' worth of synthetically generated storms forcing high-resolution tide-surge-wave models. However, the computational cost of running such simulations is enormous when compared to the cost of running an RFA on a relatively short hindcast record. In the same way, dynamically modelled waves are usually
- 787 excluded from global simulations that consider exceedance probabilities due to the
- 788 computational expense. At the same time, failing to considering the joint dependence of

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surge and waves can lead to an underestimation of ESL exceedance levels by up to a factor 789 790 of two along 30% of the global coastline (Marcos et al., 2019). This reinforces the 791 significance of the RFA methodology in characterising global coastal flood risk. 792 Validating the RFA is nuanced, as assessing metrics compared with observed record is: (a) 793 validating against the data used to build the RFA in the first place; and (b) not recognising 794 the inadequacies of the tide gauge records that the RFA is attempting to mitigate. Leaveone-out cross validation highlights the strengths of the RFA, without succumbing to the 795 796 shortfalls inherent in the observational record. The increased LEWLs in the regions prone to 797 tropical cycloneTC activity once again demonstrates the RFA's ability to spatially disperse the hazard of low probability extreme events across a region. It is worth noting that the 798 leave-one-out cross validation is the best possible representation of the RFA as only grid 799 800 cells that use data from 10 record locations are used, so each model is trained on the 801 maximum amount of data possible. In some areas, the number of records used can be as low as three, and so the ability for the RFA to reproduce water levels in these regions could 802 803 be compromised. 804 Applying the RFA as done in this study does have its limitations. Firstly, changing our 805 definition of a homogeneous region would likely have a great impact on our results. In future iterations of this study, we recommend carrying out a sensitivity analysis to 806 807 understand how using different maximum radii to select water level records impacts upon 808 estimated extreme water levels within the region. Secondly, dDelineating the global 809 coastline into 1° by 1° tiles and evaluating a different RFA for each tile results in some 810 complex areas of coastline being summarised by a single regional growth function. Examples of this are seen in Japan, where exposed coastlines of the North Coast are contained in the 811 812 same tile as a sheltered bay that is open to the South Coast. -A solution to this would be to classify coastlines based on descriptors, as carried out by Sweet et al. (2020). These 813 814 descriptors could include characteristics such as dominant forcing type, geographic location, 815 and/or local coastal dynamics. The method used to incorporate wave setup is another 816 constraint, as it has been greatly simplified for ease of global application. Improving upon 817 this should also be a focus of future studies. -Lastly, another limitation of the approach used 818 in this study is the static shape parameter limiter. It is probable that the maximum shape parameter varies by location around the world, and that by implementing a fixed threshold 819

820	globally we are perhaps limiting some of the most extreme events in some regions.
821	Improving this section of the methodology is a high priority for future updates.
822	The outputs from the RFA should be supplemented with local knowledge wherever possible,
823	and the uncertainties in the results should be considered before the data is used. The RFA is
824	a powerful tool for estimating return levels in ungauged locations or in locations where the
825	historical records are short or incomplete, but there are risks associated with both
826	overpredicting and underpredicting surge heights. Underprediction can lead to complacency
827	among coastal managers and the potentially dangerous assumption that communities are
828	safe from surge risk. Conversely, overprediction can result in unnecessary cost for risk
829	mitigation measures and potential economic loss driven by a lack of investment in a region
830	deemed at risk. Disseminating the risk of TC generated surges over a region could lead to
831	overprediction in some locations, and so conducting sensitivity analyses to understand the
832	robustness of findings is recommended, especially in the context of coastal management
833	and safety assessments. The RFA has been developed in this study as a method for regional
834	to continental to global scale risk analyses from globally available data, and not local
835	studies. The results give a first order approximation of extreme water levels in ungauged
836	locations. It is not expected that they would be used in the design for local flood defences,
837	for example.
838	Going forward, the RFA framework developed in this study can be easily updated with the
839	availability of new data. Possible next steps could also include using GTSM simulations of
840	future climate scenarios, as well as measured wave data. To this end, a global wave dataset
841	similar to GESLA would be instrumental in collating wave data from the numerous buoys
842	globally. Future updates could also include an assessment of using different extreme value
843	distributions, perhaps following the mixed climate approach of O'Grady et al., (2022).
844	In the near future, we plan to use the global exceedance probabilities derived in this paper
845	as boundary conditions for inundation modelling of the coastal floodplain of the entire
846	globe, using the 2D hydraulic model LISFLOOD-FP (Bates et al., 2010). This presents an
847	exciting opportunity to provide an invaluable resource that will help to better quantify
848	global coastal flood risk.
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850 6. Conclusions

In this paper we have demonstrated an RFA approach utilising both measured and modelled 851 852 hindcast records to estimate ESL exceedance probabilities, including wave setup, at high resolution (~1 km) along the entire global coastline (with the exception of Antarctica). Our 853 methodology is computationally inexpensive and is more effective in accurately estimating 854 the low frequency exceedance probabilities that are associated with rare extreme events, 855 856 compared to approaches that consider data from single sites. We have demonstrated, using Cyclone Yasi (2011) which impacted the Australia coast, the ability of the RFA to better 857 858 characterise ESLs in regions prone to tropical cycloneTC activity. Furthermore, on the global 859 scale we have exemplified how the RFA, when trained on relatively short reanalysis data, 860 can reproduce patterns of increased water levels similar to those present in dynamic 861 simulations of 10,000 years of synthetic hurricane tracks. The RFA methodology shown provides a promising avenue for improving our understanding of coastal flooding and 862 enhancing our ability to prepare for and mitigate its devastating impacts. In the future, we 863 plan to use the exceedance probabilities from this study as boundary conditions for an 864 inundation model covering the global coastal floodplain. 865

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3	8. <u>Appendix</u>

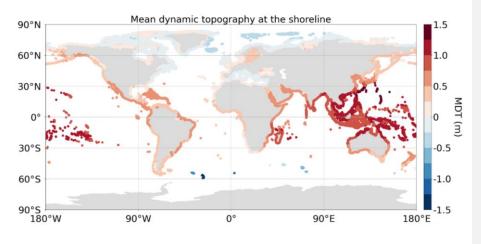
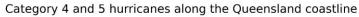
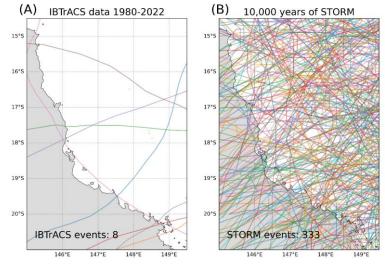


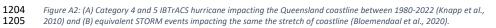


Figure A1: HYBRID-CNES-CLS18-CMEMS2020 MDT dataset from Mulet et al., (2021), extracted at the shoreline for use in correcting the output from the RFA for future uses such as inundation modelling.

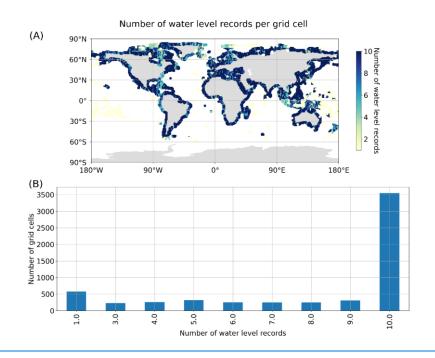








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1210 1211 Figure A3: The number of water level records used per grid cell (A) as a scatter plot showing the distribution globally, and (B) as a bar plot showing the number of water level records vs the number of grid cells.

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1212 9. Code Availability

- 1213 The Python scripts used for handling the GESLA dataset can be downloaded for:
- 1214 <u>https://github.com/philiprt/GeslaDataset</u>
- 1215The Conda package (Python) used for creating the FES2014 tidal timeseries can found at:1216https://anaconda.org/fbriol/pyfes
- 1217 10. Data availability
- 1218 GESLA tide gauge data is available at: <u>https://gesla787883612.wordpress.com/downloads/</u>
- 1219
 GTSM data is available at: https://cds.climate.copernicus.eu/cdsapp#!/dataset/sis-water-level-1220

 change-timeseries?tab=overview
- 1221 ERA5 wave hindcast data is available at:
- 1222 <u>https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview</u>
- 1223 FES2014 tidal heights can be downloaded from:
- 1224 <u>https://www.aviso.altimetry.fr/en/data/products/auxiliary-products/global-tide-fes.html</u>

1225 HYBRID-CNES-CLS18-CMEMS2020 is available at:

1226	https://www.aviso.altimetry.fr/en/data/products/auxiliary-products/mdt/mdt-global-hybrid-cnes-
1227	<u>cls-cmems.html</u>
1228 1229	Copernicus 30m DEM is found at: <u>https://spacedata.copernicus.eu/collections/copernicus-digital-</u> elevation-model
1230	COAST-RP dataset is downloaded from: <u>https://data.4tu.nl/articles/ /13392314</u>

1231 The data produced in this study is available for academic, non-commercial research only. Please1232 contact the corresponding author for access.

1233 <u>11. Author contributions</u>

- 1234 T.C. was responsible for coding up the pre-processing the tide gauge and GTSM data, coding up the
- 1235 RFA and validating the results. N.Q. pre-processed the wave data, including fitting the copula to
- 1236 predict wave conditions for tide gauge records that extended beyond the hindcast period. J.G.
- 1237 created the coastline output points using the Copernicus DEM. I.P. worked on the evaluating the
- 1238 empirical shape parameter limiter. H.W. assisted in validating the output results from the RFA. S.M.
- 1239 supplied the GTSM dataset and W.S. provided the RFA methodology which we applied globally. I.H.
- 1240 and P.B. provided guidance and assistance throughout. T.C. prepared the manuscript with
- 1241 contributions and editing from all co-authors.
- 1242 <u>12. Competing Interests</u>
- 1243 The authors declare that they have no conflict of interest.