



# Quantification of regional terrestrial biosphere CO<sub>2</sub> flux errors in v10 OCO-2 MIP models using airborne measurements

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Abstract. Multi-inverse modeling inter-comparison projects (MIPs) provide a chance to assess the uncertainties in inversion estimates arising from various sources such as atmospheric  $CO_2$  observations, transport models, and prior fluxes. However, accurately quantifying ensemble  $CO_2$  flux errors remains challenging, often relying on the ensemble spread as a surrogate.

- 15 This study proposes a method to quantify the errors of regional terrestrial biosphere CO<sub>2</sub> flux estimates from 10 inverse models within the Orbiting Carbon Observatory-2 (OCO-2) MIP by using independent airborne CO<sub>2</sub> measurements for the period 2015–2017. We first calculate the root-mean-square error (RMSE) between the ensemble mean of posterior CO<sub>2</sub> concentration estimates and airborne observations and then isolate the CO<sub>2</sub> concentration error caused solely by the ensemble mean of posterior terrestrial biosphere CO<sub>2</sub> flux estimates by subtracting the errors of observation and transport in
- 20 seven regions. Our analysis reveals significant regional variations in the average monthly RMSE over three years, ranging from 0.90 to 2.04 ppm. The ensemble flux error projected into  $CO_2$  space is a major component that accounts for 58-84% of the mean RMSE. We further show that in five regions, the observation-based error estimates exceed the atmospheric  $CO_2$ errors computed from the ensemble spread of posterior  $CO_2$  flux estimates by 1.37-1.89 times, implying an underestimation of the actual ensemble flux error, while their magnitudes are comparable in two regions. By identifying the most sensitive
- 25 areas to airborne measurements through adjoint sensitivity analysis, we find that the underestimation of flux errors is prominent in eastern parts of Australia and East Asia, western parts of Europe and Southeast Asia, and midlatitude North America, suggesting the presence of systematic biases related to anthropogenic CO<sub>2</sub> emissions in inversion estimates. The regions with no underestimation were southeastern Alaska and northeastern South America. Our study emphasizes the value of independent airborne measurements not only for the overall evaluation of inversion performance but also for quantifying
- 30 regional errors in ensemble terrestrial biosphere flux estimates.



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#### **1** Introduction

Understanding the sources and sinks of atmospheric  $CO_2$  is essential for developing efficient strategies for climate change mitigation and accurate climate predictions. Terrestrial ecosystems have acted as major carbon sinks by absorbing around 30% of anthropogenic fossil and land-use  $CO_2$  emissions over the past few decades (Friedlingstein et al., 2023). However, the absorbed amount is highly variable both spatially and temporally as carbon exchange processes are sensitive to environment and climate change (Liu et al., 2017; Bastos et al., 2019; Piao et al., 2020). To track changes in the terrestrial carbon sinks, accurate estimates of the regional terrestrial carbon fluxes and their uncertainties are crucial.

Atmospheric CO<sub>2</sub> inverse modeling is one of the widely employed approaches to estimate terrestrial and air-sea
CO<sub>2</sub> fluxes from observed atmospheric CO<sub>2</sub> concentrations by using a transport model and data assimilation techniques. Most inverse modeling approaches are based on the Bayesian theory, wherein posterior flux estimates are estimated from prior knowledge and atmospheric CO<sub>2</sub> observations weighted by their uncertainties. This approach estimates a posterior probability distribution that can be represented as a maximum a posterori solution (referred to as *x̂*), following the notation of Rodgers (2000). Theoretically, since atmospheric CO<sub>2</sub> observations generally have lower uncertainty than prior terrestrial
flux estimates, greater observation data leads to posterior fluxes approaching true values (Liu et al., 2014).

However, concerns have been raised that the inverse modeling results are sensitive to systematic errors in transport models and inversion setups that are not accounted for in the Bayesian framework (Basu et al., 2018; Philip et al., 2019; Schuh et al., 2019). In order to obtain more robust terrestrial flux estimates and assess their uncertainties resulting from

- 50 various sources (e.g., atmospheric transport and assimilation techniques), inverse modeling intercomparison projects (MIPs) have been conducted. These projects include the TransCom project (Gurney et al., 2004; Houweling et al., 2015), which was first initiated in 1998, as well as subsequent projects such as the Global Carbon Project (GCP; Friedlingstein et al., 2023), the REgional Carbon Cycle Assessment and Processes (RECCAP; Ciais et al., 2022), and the Orbiting Carbon Observatory-2 (OCO-2) MIP (Crowell et al., 2019; Peiro et al., 2022; Byrne et al., 2023). These MIPs involve different inverse modeling
- 55 groups using state-of-the-art transport modeling and assimilation techniques that assimilate in situ and satellite CO<sub>2</sub> data. Through these MIPs, researchers have analyzed differences in the maximum posteriori solution across models. OCO-2 MIP revealed a general agreement on global flux estimates among ensemble models, but significant discrepancies in regional fluxes, regardless of whether in-situ and/or satellite data are assimilated (Crowell et al., 2019; Peiro et al., 2022).
- 60 Realistic error quantification of posterior fluxes from atmospheric flux inversions is essential to understand how well the regional fluxes are constrained by current CO<sub>2</sub> observing network and identify regions with high uncertainty, allowing us to prioritize efforts to mitigate the error. The Bayesian formulation provides a method for calculating uncertainties on posterior fluxes based on prior uncertainties and uncertainties in the assimilated data. This can be calculated





analytically or approximated using a Monte Carlo method for variational methods (Chevallier et al., 2007; Feng et al., 2009;
Liu et al., 2014), however, this is often computationally prohibitive for many inversion systems. This Bayesian posterior uncertainty accounts for random errors in the prior fluxes and observations but does not incorporate systematic errors, thus providing a potential underestimate of the total posterior error.

- Errors in posterior fluxes are also commonly characterized through comparisons between independent atmospheric 70 CO<sub>2</sub> measurements and posterior atmospheric CO<sub>2</sub> (Houweling et al., 2015; Crowell et al., 2019; Byrne et al., 2023). This approach can provide insights into the biases of current inverse modeling at the global, latitudinal, or site-specific scales. However, as atmospheric CO<sub>2</sub> concentrations are influenced by both local and remote sources, it is difficult to identify regions where the observation-model comparison results are representative. Furthermore, these comparisons do not indicate only posterior flux errors, as the differences between simulated and observed atmospheric CO<sub>2</sub> can arise from errors of not
- 75 only posterior fluxes, but also transport, representation, and measurement. Because of these limitations, regional posterior flux errors of the ensemble mean have been generally defined as the ensemble spread among ensemble posterior fluxes, but this method lacks an observational and theoretical basis and may not reflect actual errors (Byrne et al., 2023).
- Our study aims to develop a framework to quantify the error in regional terrestrial biosphere CO<sub>2</sub> fluxes (from now on referred to as terrestrial CO<sub>2</sub> fluxes) estimated from an ensemble of inverse models by using airborne CO<sub>2</sub> measurements, transport modeling, and adjoint sensitivity analysis. Our target ensemble results are derived from 10 ensemble members in v10 OCO-2 MIP for the period 2015–2017, which provide both posterior CO<sub>2</sub> fluxes and posterior CO<sub>2</sub> concentrations sampled at observation sites and times. The ensemble assimilates OCO-2 column-averaged dry-air mole fraction (XCO<sub>2</sub>) retrievals (ACOS v10; O'Dell et al., 2018) and in situ CO<sub>2</sub> measurements (Tohjima et al., 2005; Nara et al., 2017; Schuldt et
- 85 al., 2022). We first analyze the spatiotemporal variations and relative magnitudes of various error components that necessitate consideration when comparing airborne  $CO_2$  observations with the ensemble estimates of posterior atmospheric  $CO_2$ . Next, we calculate the  $CO_2$  concentration errors caused only by posterior  $CO_2$  fluxes by subtracting the errors of observations and transport from the observation-model differences with long-term observations in seven regions. Then, we identify the most sensitive areas represented by our evaluation results and quantify errors of the ensemble mean annual
- 90 terrestrial CO<sub>2</sub> flux estimates in these areas.

#### 2 Data and methodology

The aim of this study is to quantify the true errors of the ensemble terrestrial  $CO_2$  fluxes generated by v10 OCO-2 MIP with airborne observations. Here, "error" refers to the magnitude of the differences between the observed and estimated values, without considering the sign. To achieve this, we employ three steps of analysis as described in Figure 1. First, we define

95 two quantities: 1) the root mean square errors (RMSE) between the mean of ensemble posterior CO<sub>2</sub> concentrations and





observed  $CO_2$  concentration, and 2) an approximation of RMSE (ERR<sub>TOT</sub>) defined as the sum of observation errors and errors in both flux and transport computed from an ensemble spread of posterior  $CO_2$  concentrations (Section 2.2). The ratio between RMSE and ERR<sub>TOT</sub> is then used to evaluate whether the spread of ensemble posterior fluxes overestimates or underestimates the true errors in the ensemble mean fluxes. Next, we calculate  $CO_2$  uncertainties due to only the spread of

- 100 OCO-2 MIP ensemble posterior fluxes (Section 2.3). With the estimated flux error projected onto atmospheric CO<sub>2</sub>, ERR<sub>TOT</sub>, and RMSE, we derive the true errors of ensemble posterior fluxes in CO<sub>2</sub> space over seven regions. Then, we identify the areas that these airborne observations are most sensitive to using an adjoint sensitivity analysis and calculate the estimated posterior flux errors over the area in flux space. Assuming a linear observation operator, the study finally computes the true errors of the ensemble mean posterior fluxes over the identified sensitive areas by applying the ratio between the true
- 105 ensemble posterior errors and the estimated ensemble posterior errors in CO<sub>2</sub> space to the spread of ensemble posterior fluxes.

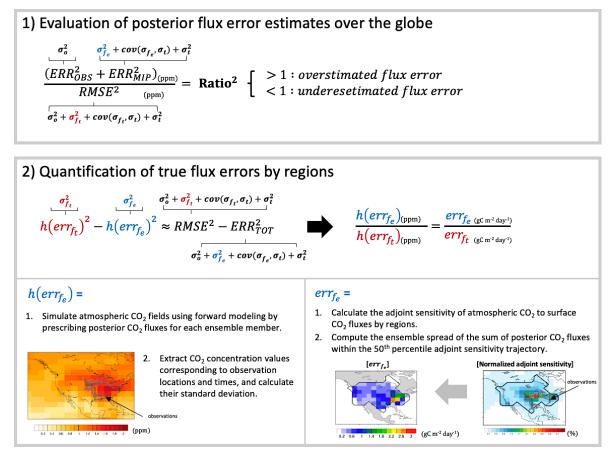


Figure 1: Flow chart summarizing the process of evaluating and quantifying errors in regional ensemble posterior fluxes.





### 110 2.1 v10 OCO-2 MIP datasets

The v10 OCO-2 MIP provides multiple results from inverse models that assimilate different combinations of atmospheric CO<sub>2</sub> measurements for 2015–2020. Our study focused on the results from "LNLGIS" experiment, which assimilates the most observations except OCO-2 ocean glint XCO<sub>2</sub> retrievals that cause significant biases on inversion results (Byrne et al., 2023). The "LNLGIS" experiment incorporates v10 OCO-2 land nadir (LN) and glint (LG) XCO<sub>2</sub> retrievals, along with

- 115 global in situ (IS) data (including surface, ship-based, and airborne measurements) included in the obspack\_co2\_1\_OCO2MIP\_v3.2.1\_2021-09-14. Ten different inverse modeling groups provided monthly posterior flux estimates interpolated to 1°x1° horizontal resolution and co-sampled posterior atmospheric CO<sub>2</sub> data at the time and location of all types of observations. All of the inversion groups used the same fossil fuel emission dataset, but they independently chose their transport models, assimilation techniques, and prior flux estimates. These details are provided in Table S1, and
- 120 more detailed explanations for each inverse modeling approach can be found in Byrne et al. (2023). Although the OCO-2 MIP provides data for the period 2015–2020, we used data for the first three years due to the limited number of airborne measurements available during the later years. To minimize the influence of local sources and maximize the influence of regional fluxes, we excluded surface measurements and only considered airborne measurements made between 1 and 5 km altitude.

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Figure 2a shows the spatial distribution of the total number of airborne observations used in this study on a  $1^{\circ}x1^{\circ}$  grid. The dataset includes two airborne measurement campaigns (Atmospheric Tomography Mission; ATom and O<sub>2</sub>/N<sub>2</sub> Ratio and CO<sub>2</sub> Airborne Southern Ocean Study; ORCAS) over the ocean, as well as 19 campaigns over land. Specific airborne campaigns and their references are elaborated in Table 1. The majority of the datasets used in the study were from

- 130 North America, accounting for 37%, followed by East Asia with 35% and Alaska with 7% for the period of 2015–2017. The duration and extent of the airborne observations vary across different regions. Figure 2b illustrates the number of grid points in each of the seven regions where more than 10 observations per month were available. For Alaska, observations were concentrated during the Arctic-Boreal Vulnerability Experiment (ABoVE) campaign in 2017. North America had observations for most of the analysis period, but observation data was collected over a wider area during the Atmospheric
- 135 Carbon and Transport America (ACT–America) campaign. In Europe, sparse observation data was made after intensive observations through the Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container (IAGOS-CARIBIC) campaign in 2015. The Long-term Comprehensive Observation Network for TRace gases by AIrLiner (CONTRAIL) project provides continuous observation data in East and Southeast Asia from 2015 to 2017, as well as for Australia during 2015–2016. In South America, the largest area of information was obtained when measurements were
- 140 conducted at six different sites in 2017.





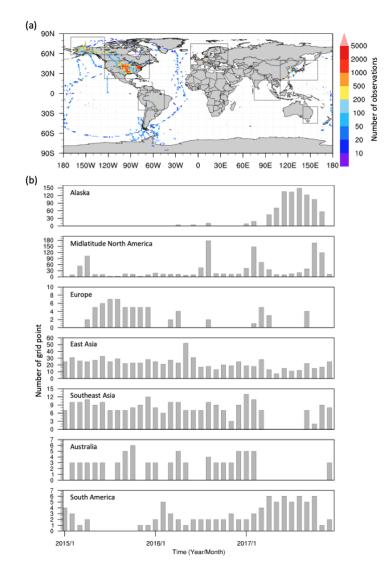


Figure 2: Total number of airborne measurements used in this study and monthly variations in the number of  $1^{\circ}x1^{\circ}$  grid-points where observations were made for each region.

## 145 Table 1. Data description for each airborne measurement campaign.

Site code	Site name	Measurement campaign name	Measurement type	Data provider	Dataset identifier	Reference
ACG	Alaska Coast Guard	NOAA/GML Aircraft Program	In situ	National Oceanic and Atmospheric Administration (NOAA) Global Monitoring Laboratory (GML)		





ACT	Atmospheric Carbon and Transport – America (ACT- America)	ACT-America	In situ and flask	NASA Langley Research Center (NASA-LaRC), NOAA/GML	https://doi.org/10.333 4/ORNLDAAC/1593	Baier et al. (2020) Wei et al. (2021)
AirCore NOAA	NOAA AirCore	NOAA AirCore Program	Balloon	NOAA/GML	https://doi.org/10.151 38/6AV0-MY81	Karion et al. (2010)
ALF	Alta Floresta		Flask	National Institute for Space Research (INPE)	https://doi.org/10.159 4/PANGAEA.926834 https://doi.org/10.159 4/PANGAEA.949643	Gatti et al. (2023)
AOA	Aircraft Observation of Atmospheric trace gases by JMA		Flask	Japan Meteorological Agency (JMA)		Tsuboi et al. (2013)
CON	Comprehensive Observation Network for TRace gases by AIrLiner (CONTRAIL)		In situ and flask	National Institute for Environmental Studies (NIES), Meteorological Research Institute (MRI)	https://doi.org/10.175 95/20190828.001 https://doi.org/10.175 95/20190828.002 https://doi.org/10.175 95/20180208.001	Machida et al. (2008) https://www. cger.nies.go.j p/contrail/ind ex.html
CRV	Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)	Arctic-Boreal Vulnerability Experiment (ABoVE)	In situ	NOAA/GML	https://doi.org/10.333 4/ORNLDAAC/1582	
DND	Dahlen, North Dakota		Flask	NOAA/GML		Sweeney et al. (2015)
GSFC	NASA Goddard Space Flight Center Aircraft Campaign		In situ	NASA Goddard Space Flight Center (NASA-GSFC)		Kawa et al. (2018)
IAGOS	In-service Aircraft for a Global Observing System	Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container (IAGOS-CARIBIC)	In situ and flask	Karlsruhe Institute of Technology (IMK-ASF), Institute for Atmospheric and Environmental Sciences (IAU), Max Planck Institute for Biogeochemistry (MPI-BGC)		Filges et al. (2015) https://www. caribic- atmospheric. com/
LARC	LARC - NASA Langley Research Center Aircraft Campaign	Korea-United States Air Quality Study	In situ	NASA-LaRC		
MAN	Manaus		In situ	NOAA/GML		
MRC	Marcellus Pennsylvania		Flask	NOAA/GML		Barkley et al. (2017)
ORC	O2/N2 Ratio and CO2 Airborne Southern Ocean Study (ORCAS)		In situ	National Center for Atmospheric Research (NCAR)	https://doi.org/10.506 5/D6SB445X	Stephens et al. (2018)
PAN	Pantanal, Mato Grosso do Sul		Flask	INPE		
RBA-B	Rio Branco		Flask	INPE	https://doi.org/10.159 4/PANGAEA.926834 https://doi.org/10.159 4/PANGAEA.949643	Gatti et al. (2023)
SAN	Santarem		Flask	INPE	https://doi.org/10.159 4/PANGAEA.926834 https://doi.org/10.159 4/PANGAEA.949643	Gatti et al. (2023)
SONGN EX2015	Shale Oil and Natural Gas Nexus 2015 (air campaign)		In situ	NOAA Chemical Sciences Laboratory (CSL)		





TEF	Tefe	Flask	INPE	https://doi.org/10.159 4/PANGAEA.926834 https://doi.org/10.159 4/PANGAEA.949643	Gatti et al. (2023)
том	Atmospheric Tomography Mission (ATom)	In situ	NOAA/GML, Harvard University	https://doi.org/10.333 4/ORNLDAAC/1581	

#### 2.2 Evaluation of ensemble posterior fluxes

We first employed the two matrixes defined in Eq. (1) and (2) to evaluate ensemble posterior flux errors proposed by Liu et al. (2021). One is RMSE between the ensemble mean of simulated atmospheric  $CO_2$  and the observed one from airborne, which can be written as:

$$RMSE^{2} = \frac{1}{N} \sum_{i=1}^{N} \left[ \overline{h_{i}(\hat{x})} - y_{o,i} \right] \left[ \overline{h_{i}(\hat{x})} - y_{o,i} \right]^{T}, \text{ where } \overline{h_{i}(\hat{x})} = \frac{1}{M} \sum_{j=1}^{M} h_{i,j}(\hat{x}_{j})$$
(1)

 $\overline{h_t(\hat{x})}$  is the ensemble mean of posterior atmospheric CO<sub>2</sub> sampled at the time and location of the *i*<sup>th</sup> airborne observation  $y_{o,i}$ , within each month. *N* is the number of airborne measurements within 1°x1° grid-cell and *M* is the number of ensemble members (i.e., 10). The RMSE indicates the magnitude of the actual CO<sub>2</sub> errors in the ensemble estimates, which is also a quantity broadly used to evaluate the accuracy of posterior fluxes (Crowell et al., 2019; Peiro et al., 2021; Byrne et al., 2023). However, as illustrated in Figure 1 and shown by Liu et al. (2021), RMSE<sup>2</sup> includes not only the projection of true flux errors on CO<sub>2</sub> concentration ( $\sigma_{f_t}^2$ ), but also transport errors ( $\sigma_t^2$ ), error covariances between the preceding two terms ( $cov(\sigma_{f_t}, \sigma_t)$ ), and airborne observation errors ( $\sigma_o^2$ ) including representation and measurement errors. Different from Liu et al. (2021),  $\overline{h_t(\hat{x})}$  is the ensemble mean generated by multiple types of transport models in OCO-2 MIP, accounting for transport transport errors to the ensemble is in OCO-2 MIP.

160 errors from meteorological forcing and dynamics. Note, in our analysis, outliers with more than 20 ppm differences between observation and model estimates were excluded from the analysis to obtain robust error estimates.

In practice, the true flux errors are often approximated by the spread of ensemble fluxes, so the projection of true flux errors to  $CO_2$  concentrations and transport errors are approximated by the ensemble spread of the simulated  $CO_2$ 

- 165 concentrations in OCO-2 MIP as shown in Appendix A. To evaluate whether this approximation represents the true errors in the ensemble mean fluxes and mean simulated CO<sub>2</sub> concentrations, we define another quantity  $ERR_{TOT}^2$  (Figure 1). Different from RMSE, the variance terms of flux error  $(\sigma_{f_e}^2)$  and transport error  $(\sigma_t^2)$  and covariance terms between them  $(cov(\sigma_{f_e}, \sigma_t))$ are replaced by the spread of ensemble (i.e., variance) posterior atmospheric CO<sub>2</sub> concentrations  $(ERR_{MIP}^2)$  defined as:  $ERR_{MIP}^2 = \frac{1}{N} \sum_{i=1}^{N} \frac{1}{M} \sum_{j=1}^{M} [h_{i,j}(\hat{x}_j) - \overline{h_i(\hat{x})}] [h_{i,j}(\hat{x}_j) - \overline{h_i(\hat{x})}]^T$  (2)
- 170





In addition, to obtain error information not captured by  $ERR_{MIP}^2$ , we additionally calculated  $ERR_{obs}^2$  by combining representation error and measurement error:

$$ERR_{obs}^2 = ERR_o^2 r + ERR_o^2 m$$

(3)

(4)

It corresponds to the  $\sigma_o^2$  included in RMSE.  $ERR_{o_r}^2$  indicates the representation error and is defined as within-grid cell variances of estimated atmospheric CO<sub>2</sub> in the models written as:

$$ERR_{o_{r}}^{2} = \frac{1}{N}\sum_{i=1}^{N} VAR_{CO_{2},i}$$

Using high-resolution (0.5°x0.625°) 3-hourly GEOS-Chem simulation results for 2018 from NASA Goddard Space Flight Center (Weir et al., 2021), we calculated the variances of atmospheric CO<sub>2</sub> within 2°x2.5° grid cell at a resolution of 0.5°x0.625° and sampled the values at the corresponding  $i^{th}$  airborne measurement times and locations (*VAR*<sub>CO<sub>2</sub>,*i*</sub>).

- 180 Subsequently, the monthly mean values of the *N* co-sampled variances are derived. It is assumed that the variances do not vary significantly across years. The reason for choosing the  $2^{\circ}x2.5^{\circ}$ , which is the finest resolution among the OCO-2 MIP members used, is that  $ERR_{MIP}$  contains information about the difference in representation errors within the ensemble members.  $ERR_{o_{-}m}^2$  is the observation measurement error. Unfortunately, this information is missing from many of the airborne measurement datasets included in the given OCO-2 MIP ObsPack format, even though uncertainties may be
- 185 included in the original datasets (e.g., 0.06 ppm for ACT-America; Baier et al., 2020). The World Meteorological Organization (WMO) community has established network compatibility objectives for the precision of atmospheric CO<sub>2</sub> measurements: 0.1 ppm in the Northern Hemisphere and 0.05 ppm in the Southern Hemisphere. Assuming an ideal situation without systematic bias, we set the measurement error ( $ERR_{o_m}$ ) for all airborne observations at 0.1 ppm. However, in reality, systematic errors could be present in airborne observation data stemming from instrument or setup biases, calibration
- 190 offsets, and other factors. The potential effects of these systematic errors on our findings will be addressed in Section 4. Therefore, ERR<sub>TOT</sub>, the approximation for RMSE, is defined as:  $ERR_{TOT}^2 = ERR_{OBS}^2 + ERR_{MIP}^2$ (5)

To evaluate whether the spread of ensemble  $CO_2$  fluxes from OCO-2 MIP represents the true flux errors in the 195 ensemble mean, we calculated the ratio between monthly RMSE and ERR<sub>TOT</sub>:

$$Ratio^2 = \frac{ERR_{TOT}^2}{RMSE^2},\tag{6}$$

If we could assume that the difference between  $RMSE^2$  and  $ERR_{TOT}^2$  mainly arises from the difference in the flux error variances ( $\sigma_{f_t}^2$  and  $\sigma_{f_e}^2$ ), the ratio can tell us whether the estimated posterior flux errors computed from ensemble spread is an overestimation or underestimation of true errors in the ensemble mean. A ratio close to 1 indicates that the estimated

200 posterior flux errors derived from the ensemble model spread are close to the true posterior flux error in the ensemble mean fluxes. A ratio greater than 1 means that the posterior flux errors are overestimated, and vice versa. Finally, by applying 1000





bootstrap resampling to the monthly grid-based matrices for each region, the regional average of error matrices is obtained, along with its corresponding 95% confidence intervals.

## 2.3 Quantification of the uncertainties of ensemble posterior fluxes

205 In addition to the qualitative evaluations of posterior flux errors using the ratios between RMSE and ERR<sub>TOT</sub>, we propose a method to quantitatively assess the ensemble posterior flux errors (i.e., variance of flux errors) in both CO<sub>2</sub> space and flux space. To do this, we first need to calculate the variance of atmospheric CO<sub>2</sub> errors due to only the ensemble spread of posterior fluxes from OCO-2 MIP ( $h(err_{f_e})^2$ ). As shown in the Appendix A, this term can be written as:

$$h(err_{f_e})^2 = \frac{1}{N} \sum_{i=1}^{N} \frac{1}{M} \sum_{k=1}^{M} \frac{1}{M} \sum_{j=1}^{M} \left[ h_k(\hat{x}_{k,i}) - h_k(\hat{x}_{j,i}) \right] \left[ h_k(\hat{x}_{k,i}) - h_k(\hat{x}_{j,i}) \right]^T$$
(7)

210 Using all transport models engaged in the OCO-2 MIP would be ideal to derive  $h(err_{f_e})^2$ , but, in this study, we approximated this error term using the GEOS-Chem model as depicted:

$$h(err_{f_e})^2 \approx h_{GC}(err_{f_e})^2 = \frac{1}{N} \sum_{i=1}^N \frac{1}{M} \sum_{j=1}^M \left[ \overline{h_{GC}(\hat{x}_i)} - h_{GC}(\hat{x}_{j,i}) \right] \left[ \overline{h_{GC}(\hat{x}_i)} - h_{GC}(\hat{x}_{j,i}) \right]^l, \tag{8}$$
  
where  $\overline{h_{GC}(\hat{x}_i)} = \frac{1}{M} \sum_{j=1}^M h_{GC}(\hat{x}_{j,i})$ 

215 To get  $h_{GC} (err_{f_e})^2$ , we conducted a set of forward simulations using the GEOS-Chem Adjoint model (v8.2j; Henze et al., 2007). In all ten experiments, consistent meteorology and emission forcing data were used from the Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2; Gelaro et al., 2017), Open-source Data Inventory for Anthropogenic CO<sub>2</sub> (ODIAC; Oda and Maksyutov, 2015), and Global Fire Emissions Database version 4 (GFEDv4; Randerson et al., 2015); identical annually balanced 3-hourly terrestrial fluxes from SiB3 (Baker et al., 2010) 220 were also employed. However, in each experiment, the prescribed monthly fluxes of terrestrial ecosystems and oceans were based on the posterior fluxes from the respective ten OCO-2 MIP ensemble members. All experiments were performed at  $2^{\circ}x2.5^{\circ}$  horizontal resolution and 47 vertical levels for the period 2015–2017. By calculating the mean of variances of simulated CO<sub>2</sub> concentrations among the ten experiments at *i*<sup>th</sup> airborne observations within each  $1^{\circ}x1^{\circ}$  grid-cell, we derived the  $h_{GC} (err_{f_e})^2$ .

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Because we assume that the difference between monthly RMSE<sup>2</sup> and  $ERR_{ToT}^2$  mainly arises from the difference in the flux error variances  $(\sigma_{f_t}^2 \text{ and } \sigma_{f_e}^2)$ , the difference between monthly true flux errors  $(h(err_{f_t})^2)$  and estimated flux errors  $(h(err_{f_e})^2 \approx h_{GC}(err_{f_e})^2)$  projected onto CO<sub>2</sub> space can be derived from the difference between RMSE<sup>2</sup> and  $ERR_{ToT}^2$  as shown:

$$230 \quad h(err_{f_t})^2 - h(err_{f_e})^2 = RMSE^2 - ERR_{TOT}^2$$
(9)



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From Eq. (9), we can derive the true errors of the ensemble mean fluxes in CO<sub>2</sub> space,  $h(err_{f_t})^2$ . In order to link this term with flux errors in flux space, we first identify the areas that these CO<sub>2</sub> concentrations are sensitive to by conducting sensitivity experiments using the GEOS-Chem Adjoint model. Seven sets of adjoint sensitivity experiments are

235 conducted to examine the sensitivity of airborne measurements in each region (defined in Figure 2a) to terrestrial and ocean  $CO_2$  fluxes for the month of observation. The sensitivity experiments used the same meteorology and  $CO_2$  emission datasets as the forward simulations, along with the ensemble mean of posterior terrestrial and air-sea flux values. The following explanation of the sensitivity analysis uses the same notation as Liu et al. (2015). The cost function (*J*) is defined as the sum of simulated  $CO_2$  concentrations where airborne observations were made within each region and month:

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$$J = \sum_{i=1}^{N} h_i(\hat{x}),$$
 (10)

The sensitivity of observations to surface fluxes at  $l^{th}$  grid-cell and  $t^{th}$  time is derived from the partial derivative of J with respect to surface fluxes ( $\hat{x}_{l,k}$ ) written as:

$$\gamma_{l,t} = \frac{\partial J}{\partial \hat{x}_{l,t}},\tag{11}$$

Monthly cumulative sensitivity ( $\beta$ ) with respect to surface fluxes is determined by integrating  $\gamma_{l,t}$  from the measurement time (t<sub>0</sub>) to the initial time (t<sub>-T</sub>) for each month:

$$\beta_l = \sum_{t=t_0}^{t-T} \gamma_{l,t}, \tag{12}$$

In order to find the most sensitive areas to the observation, we selected the areas accounting for 50% of the global total values of  $\beta$ . We then calculated the estimated posterior flux errors in flux space ( $err_{f_e}^2 = \sigma_{f_e}^2$ ) by calculating the ensemble spread of the average (and sum) of posterior flux values from OCO-2 MIP within these areas. Regions with sensitivity values lower than 0.1% (0.15% for Alaska, Australia, and Southeast Asia) of the total value of  $\beta$  were excluded due to occasional cases where observations were influenced uniformly across too wide regions as a result of active atmospheric mixing. Additionally, to avoid excessive consideration of localized effects due to a large number of observations occurring in a single location, regions with sensitivity values greater than 1% were included in the effective area.

The observation operator, which converts surface fluxes to atmospheric CO<sub>2</sub>, is generally assumed linear, therefore, by multiplying the ratio between  $h(err_{f_t})$  and  $h(err_{f_e})$  with  $err_{f_e}$ , we obtain the true errors in the ensemble mean fluxes,  $err_{f_t}$  (=  $\sigma_{f_t}$ ). The equation can be written as:

260 
$$err_{f_t} = \frac{h(err_{f_t})}{h(err_{f_e})} \times err_{f_e}$$
 (13)





#### **3 Results**

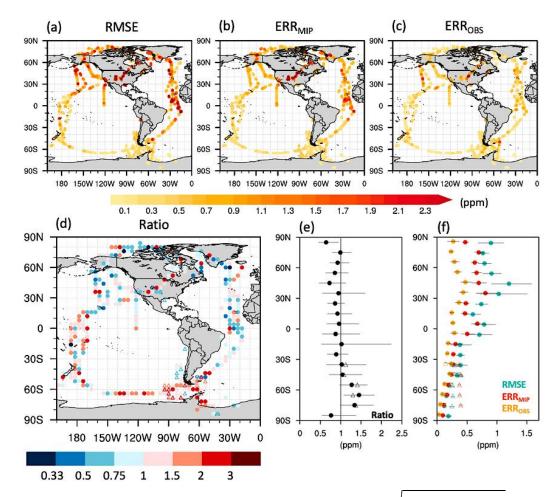
#### 3.1 Spatiotemporal variations in ensemble posterior CO2 concentration errors and major error components

Because the magnitude of terrestrial CO<sub>2</sub> fluxes is generally over 10 times greater than air-sea fluxes, the observed

- 265 atmospheric CO<sub>2</sub> over the oceans carries signals from nearby land fluxes. The four ATom campaigns spanning four seasons and the ORCAS campaign during austral summer spanned wide latitudinal ranges, primarily over the oceans, providing a unique opportunity to analyze the latitudinal distributions of inverse modeling errors and contributions of main error sources. We compare the ensemble posterior CO<sub>2</sub> to airborne CO<sub>2</sub> measurements taken between 1-5 km and then calculate the mean error statistics for the entire campaign period. Comparisons to observations from ATom and ORCAS campaigns reveal a
- 270 general increase in RMSE values towards the northern high latitudes, reaching 1.2 ppm at 40°N (Figure 3a, f). The latitudinal gradient becomes particularly evident during the summer season, with RMSE values exceeding 2 ppm over North America (Fig. S1), suggesting significant contributions of errors in posterior terrestrial fluxes to the differences between observed and simulated atmospheric CO<sub>2</sub>. Additionally, consistently elevated RMSE values (>2 ppm) commonly appeared over the west coast of Africa throughout the seasons.







275

Figure 3: Spatial distributions of (a) RMSE, (b) ERR<sub>MIP</sub>, (c) ERR<sub>OBS</sub>, and (d) Ratio (=  $\sqrt{ERR_{OBS}^2 + ERR_{MIP}^2 / RMSE}$ ) where ATom (circle) and ORCAS (triangle) airborne measurements were taken and (e and f) their latitudinal distributions smoothed by 10° moving average with 95% confidence intervals derived from 1000 bootstrap samples of datasets (error bar).

- Both ERR<sub>MIP</sub> and ERR<sub>OBS</sub> exhibit similar spatial distributions as RMSE (Figure 3a-c, f). However, ERR<sub>MIP</sub> has a stronger positive correlation with RMSE (r = 0.60 and 0.81 for ATom and ORCAS, respectively) compared to ERR<sub>OBS</sub> (r = 0.35 and 0.46), with an average greater magnitude (0.51 and 0.33 ppm) than ERR<sub>OBS</sub> (0.37 and 0.32 ppm) globally for the whole campaign periods. Particularly, ERR<sub>MIP</sub> and ERR<sub>OBS</sub> account for 67% and 33% of the anomalous high RMSE values (2.2 ppm) in Northern America (32-50N and 85-124W), and 84% and 38% of the RMSE values (1.2 ppm) along the east
- 285 coast of Africa. These findings indicate that ERR<sub>MIP</sub> which represents errors in posterior fluxes and transport is the most significant factor in explaining RMSE.





Next, in order to assess the proximity of the estimated posterior flux errors, based on the spread of OCO-2 MIP ensemble fluxes, to the true posterior flux errors of the ensemble mean, we compared the root mean square error (RMSE)
with the sum of ERR<sub>MIP</sub> and ERR<sub>OBS</sub> (referred to as ERR<sub>TOT</sub>). The ratio of ERR<sub>TOT</sub> to RMSE exceeds one over the tropical Pacific and the Southern Ocean (Figure 3d, e), indicating that the ensemble spread of posterior fluxes overestimates true flux errors over the regions sensitive to these observations. This overestimation pattern consistently appears for both the ATom and ORCAS campaigns across all seasons (Fig. S2). Airborne CO<sub>2</sub> measurements in this area are predominantly influenced by air-sea fluxes due to the limited land extent and the significant distance from land (Yun et al., 2022), suggesting the true
posterior air-sea flux errors may be smaller than the spread of the ensemble posterior flux estimates. In contrast, a ratio of ERR<sub>TOT</sub> to RMSE less than one was observed along the African coast during the ATom campaigns, with the exception of the

- 2018 spring campaign conducted in a relatively distant region from Africa. Considering that these airborne observations are known to be sensitive to terrestrial fluxes in tropical Africa (Liu et al., 2022), our results imply that true errors of the ensemble mean terrestrial fluxes in this region may be larger than the estimated errors based on the OCO-2 MIP ensemble
- 300 spread. These findings agree with Liu et al. (2022), which demonstrated an underestimation of posterior flux errors in CMS-Flux inverse model, indicating most inverse models have common significant errors for this region.

In the northern mid-to-high latitudes, characterized by significant terrestrial CO<sub>2</sub> flux impacts on atmospheric CO<sub>2</sub> variations (Yun et al., 2022), the ratio of ERR<sub>TOT</sub> to RMSE exhibits substantial variation across space and time. The ratio between ERR<sub>TOT</sub> to RMSE is greater than one within the North American continent during summer and autumn. However, in other areas, there is a mixed pattern with ratios both below and above one, although the majority of the areas exhibit ratios less than one during winter. These findings highlight that the degree of underestimation or overestimation of true terrestrial flux errors based on ensemble spread can differ depending on regions and seasons, emphasizing the need for a more detailed evaluation of terrestrial flux errors at a regional level based on long-term independent observation data.

#### 310 3.2 Evaluation of v10 OCO-2 MIP ensemble posterior CO<sub>2</sub> flux errors by regions

In this section, we calculated the regionally averaged monthly error statistics by comparing the ensemble posterior  $CO_2$  to airborne measurements over seven regions for 2015–2017. RMSE values in all these regions exhibit significant monthly variations with a range of 1-3 ppm, with no clear seasonality possibly due to variations in observation routes (Figure 4). Consistent with the results shown in Section 3.1, ERR<sub>MIP</sub> is the most significant factor explaining the variations of RMSE.

315 Among the seven regions, significant positive correlations (p<0.05) between monthly RMSE and ERR<sub>MIP</sub> exist in Alaska (r=0.53), mid-latitude North America (r=0.45), Europe (r=0.68) and East Asia (r=0.61). Furthermore, the correlation coefficient is greater than or comparable to that with ERR<sub>OBS</sub>. This suggests that in these regions, temporal variations of the errors in posterior fluxes and transport are the major contributors to the temporal variations of RMSE. On the other hand, RMSE did not exhibit a significant correlation with either ERR<sub>MIP</sub> or ERR<sub>OBS</sub> in Southeast Asia, Australia, and South





320 America. This implies that the estimated posterior flux errors based on ensemble spread may not represent the temporal variations in true flux errors in those regions.

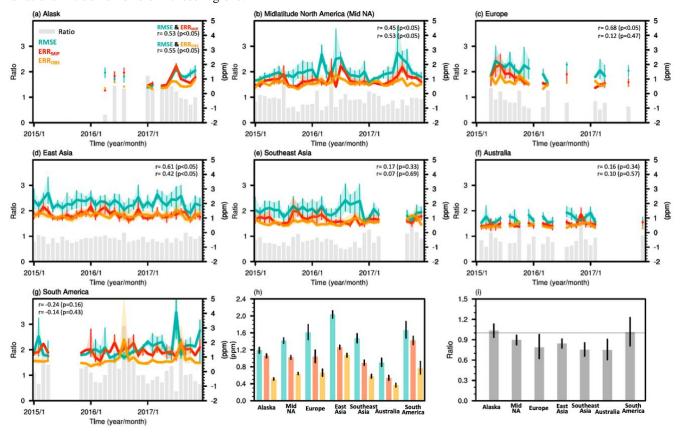


Figure 4: (a-g) Monthly variations of RMSE, ERR<sub>MIP</sub>, ERR<sub>OBS</sub>, and Ratio for each region and (h, i) their mean values for the period 2015–2017. The upper right number in (a-g) indicates the correlation coefficient between RMSE and ERR<sub>MIP</sub> and ERR<sub>OBS</sub>. The shaded areas and error bars represent the 95% confidence intervals derived from 1000 bootstrap samples of datasets.

RMSE values exhibit significant variability not only over time but also across regions. The average monthly RMSE is the largest in East Asia (2.04 [1.95, 2.13] ppm: mean [95% confidence intervals]), followed by South America (1.66 [1.46, 1.88] ppm) and the lowest in Australia (0.90 [0.81, 0.99] ppm), followed by Alaska (1.19 [1.12, 1.26] ppm). ERR<sub>MIP</sub> is the primary error component for RMSE, accounting for 59-89% of the RMSE, surpassing the ERR<sub>OBS</sub> in all the regions by 1.2-2.1 times. In East Asia, the difference between ERR<sub>MIP</sub> and ERR<sub>OBS</sub> is relatively small compared to other regions. This could be attributed to the presence of numerous significant carbon sources, particularly along the coastal areas, resulting in increased spatial variability of CO<sub>2</sub> within the coarse grid cell of OCO-2 MIP inverse modeling.





The ratio between ERR<sub>TOT</sub> and RMSE serves as an indicator of how closely the estimated errors based on the ensemble spread of OCO-2 MIP fluxes align with true errors in the ensemble mean fluxes in each region. Our results show that, on average, Alaska and South America exhibited slight overestimation of true flux error with ratios of 1.04 [0.93, 1.14] and 1.01 [0.81, 1.25], respectively, while mid-latitude North America, Europe, East Asia, Southeast Asia, and Australia showed underestimation with ratios of 0.90 [0.83, 0.97], 0.79 [0.62, 0.98], 0.84 [0.78, 0.91], 0.75 [0.66, 0.85], and 0.76 [0.61, 0.90], respectively, throughout the analysis period. Moreover, we observed significant monthly variability in the ratios of ERR<sub>TOT</sub> to RMSE in regions with diverse campaign durations and routes, such as South America. In contrast, East Asia, characterized by a consistent three-year observation campaign along the same paths, exhibits relatively lower variability. This suggests that the spatial variability in the degree of flux error underestimation or overestimation may be greater than the

345 temporal variability.

## 3.3 Error quantification of v10 OCO-2 MIP ensemble posterior terrestrial CO<sub>2</sub> fluxes by regions

Next, to quantitatively calculate the true regional posterior CO<sub>2</sub> flux errors, we computed monthly mean atmospheric CO<sub>2</sub> errors due to the estimated posterior flux errors (i.e.,  $h(err_{f_e})$ ) by conducting forward transport model simulations. The average contributions of monthly  $h(err_{f_e})^2$  to  $ERR_{MIP}^2$  are the highest in Australia (76%), followed by Alaska (65%), and lowest in Europe (34%), followed by East Asia (40%), indicating notable contributions of transport error and/or their covariances with flux error to the  $ERR_{MIP}^2$  in the latter regions (Fig. S3). By incorporating the monthly RMSE, ERR<sub>TOT</sub>, and  $h(err_{f_e})$ , we calculated monthly true posterior flux error in CO<sub>2</sub> space (i.e.,  $h(err_{f_t})$ ) for each region during the period 2015–2017 (Figure 5). The  $h(err_{f_t})$  calculation was applicable in the majority of cases (159 out of 183, 87% of the total), with exceptions for a few instances where the ERR<sub>TOT</sub> or  $h(err_{f_e})$  values fell outside the applicable range, especially in

355 South America.





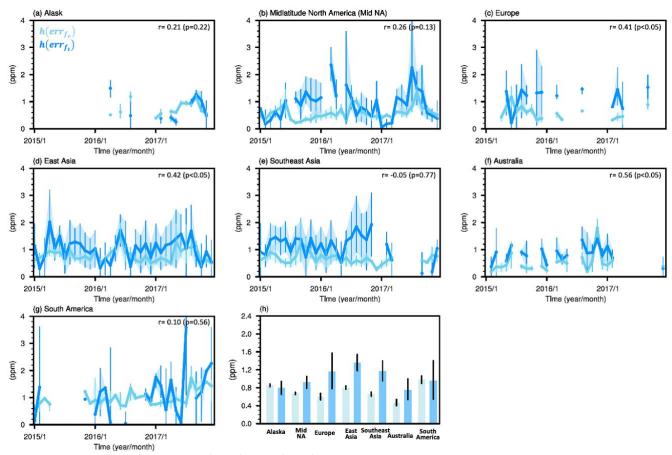


Figure 5: (a-g) Monthly variations of  $h(err_{f_e})$  and  $h(err_{f_t})$  for each region and (h) their mean values for the period 2015–2017. The upper right number indicates the correlation coefficient between them. The shaded areas and error bars represent the 95% confidence intervals derived from 1000 bootstrap samples of datasets.

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A significant positive correlation (p<0.05) between the regionally averaged monthly true flux errors in CO<sub>2</sub> space and the estimated CO<sub>2</sub> errors is observed in three of seven regions (Figure 5a-g). However, the true flux errors in CO<sub>2</sub> space  $h(err_{f_t})$  exhibit distinct patterns of variability compared to the estimated CO<sub>2</sub> errors,  $h(err_{f_e})$ . In the northern mid-latitude regions,  $h(err_{f_e})$  shows clear seasonal cycles for the entire analysis period, despite different observation routes in each

- 365 month. The seasonal variations are also observed in  $h(err_{f_t})$  in Alaska and partially in mid-latitude North America for 2017, but they are not discernible in Europe and East Asia. For example, in East Asia, the growing season (May to October; 1.1 ppm) experiences higher  $h(err_{f_e})$  than the non-growing season (November to April; 0.8 ppm), but the difference in  $h(err_{f_t})$ between these seasons is only 0.1 ppm. Moreover,  $h(err_{f_t})$  displays greater monthly variability than  $h(err_{f_e})$ . These findings suggest that while the ensemble model spread is capable of capturing general temporal variations of true posterior
- 370 flux errors in some regions, it may not fully manifest its specific characteristics of seasonal and monthly variability.





The regional average values of the ratios between  $h(err_{f_e})$  and  $h(err_{f_t})$  throughout the analysis period show underestimations of true posterior flux errors in mid-latitude North America, Europe, East Asia, Southeast Asia, and Australia by a factor of 0.73 [0.59, 0.86], 0.52 [0.29, 0.77], 0.59 [0.48, 0.70], 0.56 [0.42, 0.71], and 0.61 [0.35, 0.91], respectively (Figure 5h). In contrast, Alaska and South America exhibit a slight overestimation of true flux errors by factors of 1.07 [0.84, 1.31] and 1.03 [0.49, 1.59], respectively, with a particularly large uncertainty range in South America. The regions with underestimation and overestimation align with those identified in the previous analysis based on ratios between ERR<sub>TOT</sub> and RMSE, but the  $h(err_{f_e})$  to  $h(err_{f_t})$  ratios are smaller for underestimation and larger for overestimation. The regional average of  $h(err_{f_t})$  has a tendency to be larger in regions with higher RMSE values with a maximum in East Asia

- 380 (1.36 ppm) and a minimum in Australia (0.75 ppm). The  $h(err_{f_t})$  account for up to 84% of the RMSE in Australia and a minimum of 58% of the RMSE in South America and exceed the observation errors in all regions. The results highlight the substantial contributions of posterior flux errors to the differences between airborne observations and simulated atmospheric CO<sub>2</sub> from OCO-2 MIP ensemble models.
- Finally, to find the specific areas represented by our evaluation results, we determined the most influential areas for observed atmospheric CO<sub>2</sub> within the seven regions for each month (details on Section 2). The ensemble spread of mean posterior fluxes over the selected areas in each month ( $err_{f_e}$ ), exhibits a significant correlation (p<0.05) with the monthly  $h(err_{f_e})$  in all regions, except for Australia where the observational campaign was conducted in specific months (Fig. S4). While the observed atmospheric CO<sub>2</sub> concentration is influenced by both terrestrial and oceanic sources, a comparison of the
- 390 magnitudes of  $err_{f_e}$  between ocean and land within the effective areas reveals that, on average, the terrestrial flux error contributes to more than 95% of the total posterior flux errors in all regions (Fig. S5). This result indicates that our evaluation results based on atmospheric CO<sub>2</sub> can be applied to deriving the actual errors of posterior terrestrial flux within the selected area in flux space. Figure 6a illustrates the selected number of months as effective areas for airborne CO<sub>2</sub> measurements from 2015 to 2017. A higher number of months indicates a greater amount of information from that region
- 395 was utilized in calculating the regional mean error statistics for the entire analysis period, indicating higher representativeness. To identify primary areas that contribute to the computed mean error statistics, we considered regions that were selected as effective areas for at least eight months or more, accounting for a minimum of 20% of the total analysis period. We calculated the ensemble mean annual terrestrial fluxes for the analysis period within these regions and computed their errors by multiplying the ratio between  $h(err_{f_e})$  and  $h(err_{f_t})$  with ensemble spread of regional terrestrial flux
- 400 estimates (Figure 6b).



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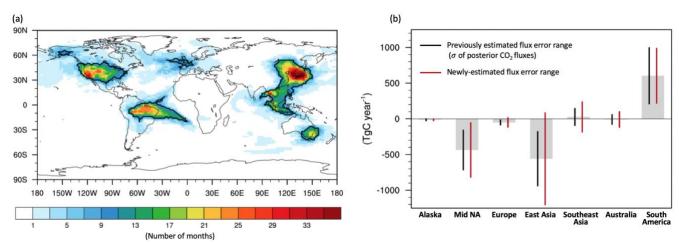


Figure 6: (a) Number of months selected as the effective area for airborne measurements. The outlined area represents selected areas for more than eight months or equal. (b) Annual total terrestrial CO<sub>2</sub> flux obtained from the ensemble mean of ten inversion estimates for each outlined area averaged over the period 2015–2017. The error bars in black and red indicate the one standard deviation of the inversion estimates and the newly estimated error range from this study, respectively.

The airborne observations carried out in mid-latitude North America and East Asia are influenced by a broad region encompassing the United States and eastern parts of East Asia, known for significant terrestrial carbon sinks with estimated fluxes of  $-436 \pm 277$  (ensemble mean  $\pm 1\sigma$ ) and  $-559 \pm 379$  TgC year<sup>-1</sup>. The recalculated terrestrial flux errors in these

- 410 regions exceed the ensemble spread with values of 380 and 642 TgC year<sup>-1</sup>. In Australia, the airborne observations are primarily sensitive to the southeastern part, characterized as a terrestrial carbon sink of  $-9 \pm 67$  TgC year<sup>-1</sup>. The recalculated flux error in this region is also larger than the ensemble spread, estimated at 108 TgC year<sup>-1</sup>. Observations in Alaska and Europe, conducted over limited periods and specific locations, mainly represent the southeastern region of Alaska and certain areas in the western Europe, with ecosystems serving as carbon sinks ( $-13 \pm 12$  and  $-51 \pm 34$  TgC year<sup>-1</sup>). The
- 415 estimated true flux error is larger than the ensemble spread in Europe with a value of 65 TgC year<sup>-1</sup>, while it is comparatively smaller in Alaska with a value of 11 TgC year<sup>-1</sup>. On the contrary, the most influential areas for the observation in Southeast Asia and South America, encompassing the western part of Southeast Asia and the northern part of Brazil, are identified as carbon sources ( $27 \pm 118$  and  $603 \pm 392$  TgC year<sup>-1</sup>, respectively). The true flux error is greater than the ensemble spread in Southeast Asia (210 TgC year<sup>-1</sup>), while it is slightly lower in South America (382 TgC year<sup>-1</sup>). The results indicate that the
- 420 actual errors of ensemble terrestrial flux from v10 OCO-2 MIP are underestimated, particularly in regions with high anthropogenic carbon emissions.





#### 4. Discussion and conclusions

OCO-2 MIP ensembles have given us an opportunity to understand uncertainties of inversion estimates from various error
 sources. As a vital evaluation tool, airborne measurements have been widely utilized in evaluating the top-down CO<sub>2</sub>
 inversion results (Houweling et al., 2015; Chevallier et al., 2019; Crowell et al., 2019; Byrne et al., 2023). Further from
 conventional qualitative evaluation, which compares airborne observations to posterior CO<sub>2</sub> concentrations, we
 quantitatively derive regional posterior flux errors that contribute to the observations and model differences (i.e., RMSE).
 Our results show that the true error of the ensemble mean of terrestrial posterior fluxes is a major factor explaining RMSE

- 430 for the period 2015–2017. Our findings reaffirm the feasibility of evaluating inversion performance on terrestrial flux estimates through a direct comparison between airborne observations and model data. However, when evaluating inversion estimates at regional scales, the significance of representation and transport errors become pronounced. Our results show that regional variations in observation errors, along with the sum of transport errors and their covariances with flux errors, exceed those in true flux errors projected into CO<sub>2</sub> space, indicating that regional differences in RMSE do not directly correspond to
- 435 differences in flux errors. For example, on average greater observation and transport errors of around 1.5 times in East Asia than those in Southeast Asia result in a significant divergence of over 0.8 ppm in RMSE between the two regions, despite a mere 0.2 ppm disparity in true posterior flux errors in CO<sub>2</sub> space. This result could be a natural consequence as observation and transport errors arise not only from terrestrial CO<sub>2</sub> fluxes but also from anthropogenic CO<sub>2</sub> sources and atmospheric circulation (Schuch et al., 2019; Stanevich et al., 2020). Therefore, when utilizing airborne CO<sub>2</sub> measurements (and
- 440 potentially other CO<sub>2</sub> observational data) to analyze the detailed characteristics of ensemble posterior flux estimates at a regional (or latitudinal) level, it is crucial to account for the contributions of observation and transport errors.

Our analysis revealed that the true errors of ensemble mean posterior fluxes is greater than the ensemble spread of flux estimates in five out of seven regions. This underestimation can be attributed to the errors from the common

- 445 assumptions and observations applied to all ensemble members, which are not captured by the ensemble spread. OCO-2 MIP models treat the fossil fuel emissions as true values and use the same dataset (i.e., ODIAC). The uncertainty of fossil fuel emissions is relatively small at national and annual scales (4-20%; Andres et al., 2014), while it becomes substantial when considering spatial distribution at model grid scale and temporal variability within a year (Zhang et al., 2016; Gurney et al., 2021). The underestimation of true flux errors in main source regions, along with the absence of underestimation in Alaska
- 450 and South America, suggests the potential presence of systematic biases originating from errors in fossil fuel emission estimates. Additionally, the regional and seasonal sampling biases of CO<sub>2</sub> measurements and satellite retrieval errors could contribute to these systematic biases (Kulawik et al., 2019). Eight prior flux datasets also may not adequately represent the errors of terrestrial fluxes, which exhibit significant variations among estimates (Feng et al., 2019). Therefore, further study to uncover the causes of underestimation in true flux errors is required in order to understand uncertainty sources overlooked
- 455 in current ensemble inverse modeling estimates.





Calculated error statistics display substantial monthly variability, particularly in regions where observations are limited to specific areas. Although our approach is generally effective in estimating monthly true errors of ensemble mean posterior flux, it faces challenges in approximately 15% of cases. This limitation may be attributed to the application of a common method for calculating observation errors across all data points, which might not adequately identify specific outliers. Caution is required when applying our approach to monthly-scale analysis, especially when using observations made in local areas. Extending the calculation period to several months or longer (e.g., Figure 6h) is a suitable strategy for mitigating the impact of outliers and obtaining more robust results. In addition, it should be noted that the number of airborne observations used to calculate the true errors of annual ensemble terrestrial flux varied across regions. Airborne 465 observations were concentrated in the United States and East Asia, covering a wide area with consistent monitoring. However, other regions, particularly Europe and Alaska, had sparse and intermittent data coverage. This implies that the

This study computes true flux errors for ensemble mean estimates by comparing RMSE and ERR<sub>TOT</sub>. In this 470 calculation, we made an assumption that the difference between  $RMSE^2$  and  $ERR_{TOT}^2$  comes from the difference between actual and estimated flux error variances. However, discrepancies between true and estimated values of not only observation and transport errors, as well as covariances between flux errors and transport errors, could contribute to variations in  $RMSE^2$ and  $ERR_{TOT}^2$ . First, due to a lack of information, we set observation measurement errors under ideal conditions. In reality, inadequate quality control can result in significant systematic bias for specific regions and time periods (Masarie et al.,

reliability of the error estimation results in the latter case could be comparatively lower.

- 475 2011), impacting our results. For instance, if this led to an average measurement error of 0.5 ppm during the analysis period, the calculated true flux error would decrease from 380 to 303 TgC year<sup>-1</sup> for mid-latitude North America and from 392 to 320 TgC year<sup>-1</sup> for South America. Second, we derived observation representation error and  $h(err_{f_e})$  using a GEOS-Chem model but these values depend on the transport model and meteorological field used. Employing our approach across all participating MIP models to compute these two error terms and subsequently averaging them would lead to a more realistic
- 480 flux error quantification in future studies. In addition, employing all transport models would facilitate the calculation of variances of flux errors and their covariance with transport errors included in ERR<sub>MIP</sub> as shown in Appendix A, and subsequently enable the determination of the total true flux error including both diagonal and off-diagonal terms. Lastly, previous studies show that 8-10 different ensemble members are required for robust transport error estimates (Feng et al., 2019; Lauvaux et al., 2019). However, out of the 10 ensemble members in OCO-2 MIP, three employed TM5 and five
- 485 utilized GEOS-Chem (Table S1). The ensemble size might not be enough to fully capture the range of true transport errors, therefore, the participation of inverse modeling groups using other transport models or meteorological forcing data might contribute to estimating transport errors closer to actual values.





In summary, our study provides an observation-based method for quantifying ensemble posterior errors of regional terrestrial biosphere CO<sub>2</sub> fluxes which can be widely applied in inverse modeling inter-comparison projects, including the OCO-2 MIP. The evaluation results of the OCO-2 MIP ensemble members reveal the true errors of ensemble posterior fluxes are larger compared to the ensemble spread in regions with high anthropogenic emissions. This finding offers important insights into understanding the sources of errors in current inverse modeling and guides future research aimed at resolving the errors in terrestrial CO<sub>2</sub> fluxes. Airborne observations provide a broader footprint compared to ground-based

- 495 observations. Leveraging this advantage, our study evaluated 19% of the total land (excluding Antarctica and Greenland) but data scarcity limited the evaluation of the remaining 81%. In addition to the ongoing airborne measurement programs including CONTRAIL, IAGOS-CARIBIC, and various airborne programs under National Oceanic and Atmospheric Administration (NOAA), airborne observations have been conducted in unexplored regions, including Siberia (e.g., Narbaud et al., 2023), Africa (e.g., Barker et al., 2020), and Northern Europe (e.g., Barker et al., 2021). The sustained efforts to
- 500 maintain and expand airborne observations along with a collaborative data-sharing and management system (e.g., ObsPack) will contribute to accurately estimating and reducing the uncertainties of regional terrestrial CO<sub>2</sub> fluxes.

## Appendix A

Following Eq. (1) in the main text,

$$RMSE^{2} = \frac{1}{N} \sum_{i=1}^{N} [y_{o,i} - \overline{h(\hat{x}_{i})}] [y_{o,i} - \overline{h(\hat{x}_{i})}]^{T}, \quad \text{where } \overline{h(\hat{x}_{i})} = \frac{1}{M} \sum_{j=1}^{M} h_{j}(\hat{x}_{j,i})$$
(A1)

505 where  $\overline{h(\hat{x}_i)}$  denotes ensemble mean of posterior CO<sub>2</sub> concentrations in OCO-2 MIP models at *i*<sup>th</sup> airborne observation (*y*<sub>o,i</sub>). N is the number of airborne measurements in each 1°x1° grid cell and M is the ensemble size (i.e., 10 members). The Eq. (A1) can be rewritten as,

$$RMSE^{2} = \frac{1}{N} \sum_{i=1}^{N} \left[ \left( y_{o,i} - h_{t}(\hat{x}_{t,i}) \right) - \left( \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right) \right] \left[ \left( y_{o} - h_{t}(\hat{x}_{t,i}) \right) - \left( \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right) \right]^{T}$$
(A2)  
$$= \frac{1}{N} \sum_{i=1}^{N} \left[ y_{o,i} - h_{t}(\hat{x}_{t,i}) \right] \left[ y_{o,i} - h_{t}(\hat{x}_{t,i}) \right]^{T} - 2 \left( y_{o} - h_{t}(\hat{x}_{t,i}) \right) * \left( \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right)$$
$$+ \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right] \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right]^{T},$$
(A3)

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where  $h_t(\hat{x}_t)$  denotes the estimated CO<sub>2</sub> concentration obtained from an error-free atmospheric transport model ( $h_t$ ) and true CO<sub>2</sub> fluxes ( $\hat{x}_t$ ). The three terms on the right-hand side of Eq. (A3) indicate the (i) variances of observation error (ii) covariance between observation error and errors of flux and transport, and (iii) variances of flux and transport errors in the ensemble estimates, respectively. Assuming the independence of observation errors from transport and flux errors, Eq. (A3) can be simplified to:

$$RMSE^{2} = \frac{1}{N} \sum_{i=1}^{N} \left[ y_{o,i} - h_{t}(\hat{x}_{t,i}) \right] \left[ y_{o,i} - h_{t}(\hat{x}_{t,i}) \right]^{T} + \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right] \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right]^{T}$$
(A4)





Further, the second term on the right-hand side of Eq. (A4) can be rewritten by separating the flux error and transport error terms as follows:

$$520 \quad \frac{1}{N} \sum_{i=1}^{N} \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right] \left[ \overline{h(\hat{x}_{t,i})} - h_{t}(\hat{x}_{t,i}) \right]^{T} \\ = \frac{1}{N} \sum_{i=1}^{N} \left[ \left( \overline{h(\hat{x}_{t,i})} - \overline{h(\hat{x}_{t,i})} \right) - \left( h_{t}(\hat{x}_{t,i}) - \overline{h(\hat{x}_{t,i})} \right) \right] \left[ \left( \overline{h(\hat{x}_{t,i})} - \overline{h(\hat{x}_{t,i})} \right) - \left( h_{t}(\hat{x}_{t,i}) - \overline{h(\hat{x}_{t,i})} \right) \right]^{T}$$
(A5)  
$$= \frac{1}{N} \sum_{i=1}^{N} \left[ \overline{h(\hat{x}_{t,i})} - \overline{h(\hat{x}_{t,i})} \right] \left[ \overline{h(\hat{x}_{t,i})} - \overline{h(\hat{x}_{t,i})} \right]^{T} - 2 \left( \overline{h(\hat{x}_{t,i})} - \overline{h(\hat{x}_{t,i})} \right) \left( h_{t}(\hat{x}_{t,i}) - \overline{h(\hat{x}_{t,i})} \right) \right] \\ + \left[ h_{t}(\hat{x}_{t,i}) - \overline{h(\hat{x}_{t,i})} \right] \left[ h_{t}(\hat{x}_{t,i}) - \overline{h(\hat{x}_{t,i})} \right]^{T}$$
(A6)

The three terms on the right-hand side of Eq. (A6) indicate the (i) variances of flux error in concentration space (ii) 525 covariances between flux error and transport error, and (iii) variances of transport error, respectively.

In this study, the values of the first and second terms on the right-hand side of Eq. (A4) are estimated by the sum of observation representative and measurement errors  $(ERR_{OBS}^2)$ , and the ensemble spread of posterior CO<sub>2</sub> concentrations across OCO-2 MIP models  $(ERR_{MIP}^2)$ , respectively. When the ensemble spread represents the actual ensemble mean of transport and posterior flux errors in OCO-2 MIP models, Eq (A4) can be reformulated as follows:

$$RMSE^2 \approx ERR_{TOT}^2 = ERR_{OBS}^2 + ERR_{MIP}^2 \tag{A7}$$

 $ERR_{MIP}^{2} \text{ can be also rewritten by separating flux error and transport error terms as follows:}$   $ERR_{MIP}^{2} = \frac{1}{N} \sum_{i=1}^{N} \frac{1}{M} \sum_{j=1}^{M} \left[ \overline{h(\hat{x}_{i})} - h_{j}(\hat{x}_{j,i}) \right] \left[ \overline{h(\hat{x}_{i})} - h_{j}(\hat{x}_{j,i}) \right]^{T}$ (A8)  $= \frac{1}{N} \sum_{i=1}^{N} \frac{1}{M} \sum_{j=1}^{M} \frac{1}{M} \sum_{k=1}^{M} \left[ \left( h_{k}(\hat{x}_{k,i}) - h_{k}(\hat{x}_{j,i}) \right) - \left( h_{j}(\hat{x}_{j,i}) - h_{k}(\hat{x}_{j,i}) \right) \right]^{T}$ (A9)  $= \frac{1}{N} \sum_{i=1}^{N} \frac{1}{M} \sum_{k=1}^{M} \frac{1}{M} \sum_{j=1}^{M} \left[ h_{k}(\hat{x}_{k,i}) - h_{k}(\hat{x}_{j,i}) \right] \left[ h_{k}(\hat{x}_{k,i}) - h_{k}(\hat{x}_{j,i}) \right]^{T}$   $-2 \left( h_{k}(\hat{x}_{k,i}) - h_{k}(\hat{x}_{j,i}) \right) \left( h_{j}(\hat{x}_{j,i}) - h_{k}(\hat{x}_{j,i}) \right)$   $+ \left[ h_{j}(\hat{x}_{i,i}) - h_{k}(\hat{x}_{i,i}) \right] \left[ h_{i}(\hat{x}_{i,i}) - h_{k}(\hat{x}_{i,i}) \right]^{T}$ (A10)

Same as Eq. (A6), the three terms on the right-hand side of Eq. (A10) correspond to the approximated (i) variances of flux error, (ii) covariances between flux error and transport error, and (iii) variances of transport error, respectively. This study assumes that the difference between  $RMSE^2$  and  $ERR_{TOT}^2$  mainly arises from the difference in the first term, the variance of flux error. For the calculation of this term, utilizing all participating transport models in the OCO-2 MIP would be ideal but, in this study, we approximated it using the GEOS-Chem model.





#### 545 Code and Data availability

The inverse modelling results and airborne CO<sub>2</sub> measurement data involved in v10 OCO-2 MIP project are available at <a href="https://www.gml.noaa.gov/ccgg/OCO2\_v10mip/download.php">https://www.gml.noaa.gov/ccgg/OCO2\_v10mip/download.php</a>. The high-resolution global GEOS-Chem simulation results used to calculate representation error can be obtained from Brad Weir (brad.weir@nasa.gov) and Lesley Ott (lesley.e.ott@nasa.gov) upon request. The forward and adjoint sensitivity simulations for this work were conducted using the

550 publicly available GEOS-Chem Adjoint model. The model can be downloaded from http://wiki.seas.harvard.edu/geoschem/index.php/GEOS-Chem\_Adjoint (Henze et al., 2007; last accessed: 29 Jun 2023).

#### Author contributions

JY and JL designed this study and JY performed the analyses. JL, BB, BW, KM, and BB reviewed and provided input to the manuscript. BW and LEO provided high-resolution global GEOS-Chem simulation results. KM, BB, and LVG provided airborne CO<sub>2</sub> observations. JY led the writing with input from all coauthors.

#### **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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