

1 Salt intrusion dynamics in a well-mixed sub-estuary connected to a
2 partially to well-mixed main estuary

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13
14 **Abstract**

15
16 Salt intrusion in estuaries has been exacerbated by climate change and human
17 activities. Previous studies have primarily focused on salt intrusion in the mainstem of
18 estuaries, whereas those in sub-estuaries (those branch off their main estuaries) have
19 received less attention. During an extended La Niña event from 2021 to 2022, a sub-
20 estuary (the East River estuary) alongside the Pearl River Estuary, China, experienced
21 severe salt intrusion, posing a threat to the freshwater supply in the surrounding area.
22 Observations revealed that maximum salinities in the main estuary typically preceded
23 spring tides, exhibiting significant asymmetry in salinity rise and fall over a fortnightly
24 timescale. In contrast, in the upstream region of the sub-estuary, the variation of salinity
25 was in phase with that of the tidal range, and the rise and fall of the salinity were more
26 symmetrical.

27 Inspired by these observations, we employed idealized numerical models and
28 analytical solutions to investigate the underlying physics behind these behaviors. It was

29 discovered that under normal dry condition (with a river discharge of $1500 \text{ m}^3 \text{ s}^{-1}$ at the
30 head of the main estuary), the river-tide interaction and change in horizontal dispersion
31 accounted for the in-phase relationship between the salinity and tidal range in the
32 upstream region of the sub-estuary. Under extremely dry conditions (i.e., a river
33 discharge of $500 \text{ m}^3 \text{ s}^{-1}$ at the head of the main estuary), salinity variations were in-
34 phase with those of the tidal range in the middle as well as the upstream region of the
35 sub-estuary. The variation of salinity in the main estuary, along with those of salt
36 dispersion and freshwater influx inside the sub-estuary collectively influenced salinity
37 variation in the well-mixed sub-estuary. These findings have important implications for
38 water resource management and salt intrusion prevention in the catchment area.

39 **Keywords:** Sub-estuaries; River-tide interaction; Partially to well-mixed estuary.

40

41 **1. Introduction**

42

43 Salt intrusion in estuaries has emerged as an increasingly significant
44 environmental issue, as it contaminates water quality, restricts freshwater supply, and
45 affects the biota's habitat in estuaries (Payo-Payo et al., 2022). The severity of salt
46 intrusion in estuaries has been further exacerbated by both climate change and
47 anthropogenic activities. Climate change has led to more severe droughts in various
48 regions worldwide (Spinoni et al., 2014), resulting in reduced freshwater flow from
49 upstream watershed basin into estuaries. In turn, this has intensified salt intrusion in
50 these areas. Additionally, sea level rise has been identified as a contributing factor to

51 this phenomenon (e.g., Hong et al., 2020). Human activities, including dam
52 construction in the watershed, channel dredging, and land reclamation in estuaries, have
53 caused reductions in river inflow, channel deepening, and enhanced convergence of
54 estuarine geometry, all of which favor an increase in salt intrusion (e.g., Ralston and
55 Geyer, 2019).

56 Salt intrusion in estuaries is the result of landward salt transport, which consists of
57 steady shear and tidal oscillatory transport (MacCready and Geyer, 2010). The
58 combination of estuarine circulation and salinity stratification induces a steady shear
59 when averaged in a tidal cycle. Tidal oscillatory transport is generated by tidal pumping
60 such as the jet-sink flow for an inlet (Stommel and Farmer, 1952), tidal trapping with a
61 side embayment (Okubo, 1973), tidal shear dispersion by the vertical shears of current
62 and mixing (Bowden, 1965), tidal straining (Simpson et al., 1990), and chaotic stirring
63 (Zimmerman, 1986).

64 In general, for a partially mixed estuary in which the steady shear dominates the
65 landward salt transport, the salt intrusion is strongest during neap tides and weakest
66 during spring tides under the steady-state conditions, meaning that the change in salinity
67 is out-of-phase with that in the tidal range. However, for a well-mixed and/or a salt
68 wedge estuary, in which the tidal dispersion is the dominant contributor to landward
69 salt transport, the salt intrusion is strongest during spring tides and weakest during neap
70 tides, signifying that the salinity variation is in phase with the tidal range (Ralston et
71 al., 2010). These steady-state situations are altered by the unsteadiness of external
72 forcing and the adjustment of estuaries to the changing forcings (Chen 2015 and

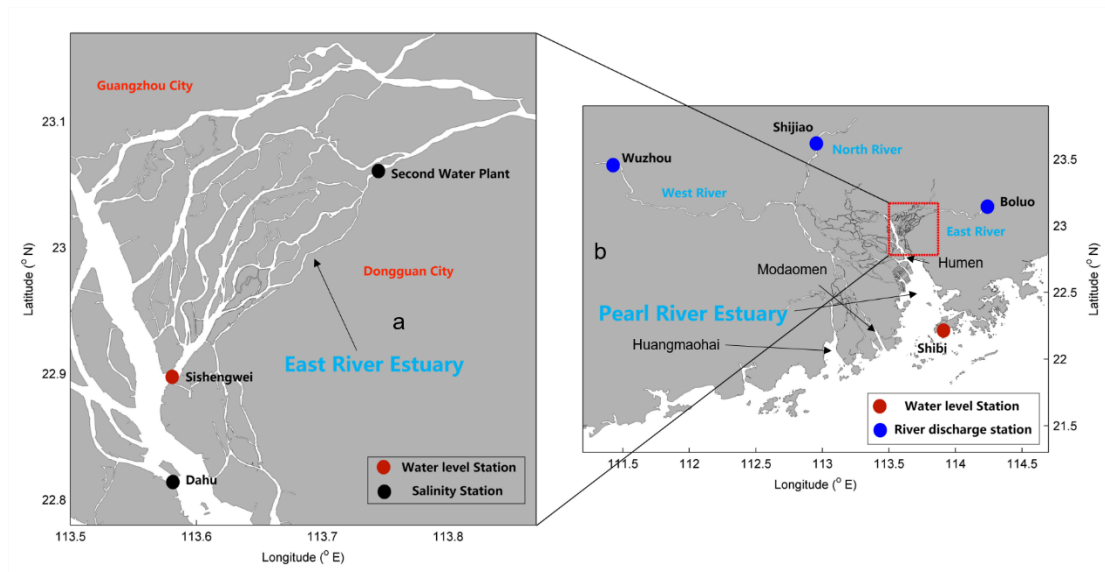
73 references therein). In general, when the internal timescale of an estuary, which is
74 defined as the time needed for a water parcel from the upstream to travel through the
75 estuary by the river-induced flow, is shorter than the external timescale, which is often
76 the spring-neap tidal cycle, the salinity variation in an estuary can keep pace with the
77 change in tidal forcing and reaches steady state. However, when the internal timescale
78 is longer than the external timescale, the salt intrusion can hardly reach the steady state,
79 and there exists a phase shift between the salt intrusion and tidal range, such as in the
80 Modaomen estuary (Gong and Shen, 2011) and Hudson River (Bowen and Geyer,
81 2003).

82 Previous studies on salt intrusion have primarily focused on main estuaries, where
83 freshwater discharge empties into the estuarine waterbody at the estuary head and is
84 profoundly diluted by the seawater from the ocean. However, there has been relatively
85 less research on salinity dynamics specifically in tidal creeks or sub-estuaries, i.e. those
86 that reside aside from their main estuary. It is worth noting that larger estuaries often
87 possess sub-estuaries or tidal creeks, as highlighted by Uncles and Stephens (2010).
88 Sub-estuaries branch off the stem of their main estuary and exhibit behavior that is
89 partially dependent on processes acting within the main estuary. Haywood et al. (1982)
90 described the importance of conditions at the confluence of the York River sub-estuary
91 and the Chesapeake Bay to salinity stratification within the sub-estuary. Uncles and
92 Stephens (2010) investigated the salinity dynamics in a sub-estuary (Tavy) connected
93 to the main estuary (Tamar, UK). They noted that the tidal range had a limited effect on
94 the salinity in the sub-estuary. Yellen et al. (2017) examined the sediment dynamics in

95 a side embayment of the main estuary of Connecticut, USA, and found that salinity
96 intrusion from the main estuary enhanced sediment trapping inside the sub-estuary.

97 The previous studies on sub-estuary salt dynamics have mainly focused on
98 examining salinity variabilities and water column stratification, as exemplified by the
99 work of Haywood et al. (1982). Some investigations have also explored the influence
100 of river discharge from the heads of the main estuary and sub-estuary, as well as the
101 impact of winds, as discussed by Uncles and Stephens (2010). However, there remains
102 a knowledge gap regarding how the salt dynamics in the main estuary affect those in
103 the sub-estuary, as well as how the interaction between river flow and tides influences
104 salinity variations in the sub-estuary. Regarding the river-tide interaction, here we focus
105 on how tides affect river flow through mechanisms such as nonlinear bottom friction
106 and advective terms in the momentum equation, as outlined by Buschman et al. (2009),
107 whereas the effect of river flow on tidal propagation will not be explored.

108 In 2021, under the influence of a La Nina event, the precipitation in the Pearl River
109 Delta (PRD) area (Fig. 1), China, was extremely low, and the salt intrusion was very
110 severe, which imposed a great threat to the freshwater supply in the region, especially
111 during winter months (December to February). Alongside the Pearl River Estuary
112 (PRE), a sub-estuary of the East River estuary (Fig. 1), also experienced strong salt
113 intrusion and heavily impacted the water supply to the city of Dongguan, home to a
114 population of 10 million people. This shortage of freshwater became a significant
115 concern for the surrounding people, especially during the Spring Festival, the Chinese
116 Lunar New Year.



117

118 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the locations of hydrological
 119 and water level stations.

120

121 The present work has two objectives: (a) to investigate the characteristics of salt
 122 intrusion in a well-mixed sub-estuary by analyzing observation data. The characteristics
 123 include spatial-temporal variations of salt intrusion and its relationship with river flow
 124 and tidal range; (b) to explore the underlying physics behind salt intrusion in the sub-
 125 estuary, such as the impacts of salt dynamics in the main estuary, and the river-tide
 126 interaction inside the sub-estuary. To achieve the above goals, we first collected and
 127 analyzed observational data of salt intrusion at the East River estuary. Then we utilized
 128 an idealized configuration for numerical model investigation. Two numerical model
 129 experiments with mean and extremely low river discharges in dry seasons in the main
 130 estuary, respectively, were conducted to identify the relevant mechanisms for the
 131 variability of salt intrusion in the sub-estuary. Furthermore, to clearly understand the
 132 phase relationship between salinity and tidal range, analytical solutions for the tidally-

133 averaged salinity in the well-mixed sub-estuary were utilized. In this study we set a
134 tidal period to be 25 hours. The remainder of this paper is structured as follows. The
135 study site is briefly introduced in Section 2. The methods of data analysis, numerical
136 model simulation, and analytical solution are presented in Section 3. In Section 4, the
137 results of the salt intrusion dynamics through the measurement data analysis, numerical
138 model, and analytical solution are demonstrated, followed by some discussions on the
139 impacts of river-tide interaction in the sub-estuary, the salt dynamics in the main estuary,
140 and the limitations of this study in Section 5. Finally, a summary and conclusion are
141 given in Section 6.

142

143 **2. Study site**

144

145 The Pearl River, China's second largest river in terms of annual freshwater
146 discharge, has three main branches: West River, North River, and East River (Hu et al.,
147 2011), as displayed in Fig. 1b. The Pearl River forms a complex delta, known as the
148 Pearl River Delta (PRD), which consists of the downstream river network and three
149 estuaries, from west to east: the Huangmaohai Estuary, the Modaomen Estuary, and the
150 PRE (Fig. 1b). The PRE, the largest of the three estuaries, is funnel-shaped and has a
151 mean depth of 4.6 m (Wu et al., 2016). Its width decreases from 50 km at its mouth
152 between Hong Kong and Macau to 6 km at Humen Outlet. The axial length of the
153 estuary from the mouth to Humen is approximately 70 km. Above the Humen, the
154 estuary becomes relatively straight and further extends almost 90 km landward to its
155 head. Upstream of the Humen, there exists a waterway known as Shizhiyang. Along the

156 waterway, there are several river tributaries, among which the East River sub-estuary,
157 are distributed on the east side.

158 The river discharge dumping into the PRE is about 1/4 of the total river flow from
159 the Pearl River. The total annual river flow of the Pearl River is $3260 \times 10^8 \text{ m}^3$, in which
160 the river flow experiences distinct seasonal variations. During the dry season (from
161 November to March), the river flow takes up only about 30% of the total annual flow,
162 which is about $6000 \text{ m}^3/\text{s}$, and the river discharge into the PRE is $1500 \text{ m}^3/\text{s}$ (1/4 of the
163 total). Under extremely dry conditions, the river discharge into the PRE can be less than
164 $1000 \text{ m}^3/\text{s}$.

165 The PRE has a microtidal and mixed semi-diurnal regime (Mao et al., 2004). The
166 annual mean tidal range is 1.45 m near Lantau Island (at the mouth of the PRE) and
167 1.77 m near the Humen outlet (Gong et al., 2018). The amplitudes of M_2 , S_2 , K_1 , and O_1
168 constituents near the Lantau Island are 35.5, 14, 33.5, and 27.9 cm, respectively (Mao
169 et al., 2004), showing the dominance of the M_2 constituent. The alternation of neap and
170 spring tides causes the tidal range near Lantau Island to vary from approximately 0.7 m
171 during neap tides to approximately 2 m during spring tides. Apart from the fortnightly
172 variation of the tidal range, there also exists a monthly variation, which is referred to as
173 the apogee/perigee cycle (Payo-Payo et al., 2022).

174 The PRE exhibits strong seasonal variation and is highly stratified during the wet
175 summer season (July to September), with the bottom isohaline of 10 g/kg protruding
176 into the upper estuary (50 to 70 km from the estuary mouth) and the surface isohaline
177 of 10 g/kg extending outside of the estuary. The tidally-averaged bottom-surface

178 salinity difference is mostly greater than 10 g/kg inside the estuary (Dong et al., 2004).
179 During the dry season, the PRE is generally in a partially mixed state, with the bottom
180 isohaline of 10 g/kg reaching the Humen Outlet, and the surface isohaline of 10 g/kg
181 lying in the upper estuary (Wong et al., 2003; Gong et al., 2018). In the dry season, the
182 horizontal difference of depth-mean salinity varies by between 20 and 25 g/kg across a
183 distance of 70 km from the estuary mouth to Humen Outlet, and the vertical salinity
184 difference between the surface and bottom varies from 1 to 12 g/kg along the channels
185 in the estuary.

186 The East River is a branch of the Pearl River, with a length of 562 km and a
187 drainage area of 27,040 km². It forms a sub-delta, known as the East River Delta, which
188 is located on the east side of the PRE and above the Humen Outlet (Fig. 1a). The upper
189 reach of the East River is essentially composed of a single channel, while in its lower
190 reach, downstream of Dongguan City, a complex river network is formed, including
191 several tributaries (Fig. 1a). Here we focus on the southernmost tributary, which merges
192 into the main estuary at the confluence of Sishengwei, where a hydrological station
193 resides. This tributary has a length of approximately 75 km from the confluence
194 (Sishengwei) to the upstream hydrological station of Boluo (Fig. 1b), and a mean water
195 depth of less than 5 m.

196 The average annual freshwater load of the East River is 240×10^8 m³, or a mean
197 river discharge of 728 m³ s⁻¹, accounting for 7.1% of the total river flow of the Pearl
198 River. During dry seasons, the river discharge is approximately 400 m³ s⁻¹. However,
199 the annual mean river discharge in 2021 was only 262 m³ s⁻¹. During the winter of 2021,

200 the salinity at several water plants exceeded the drinking water criteria of 0.5 g/kg for
201 a lasting duration of 3 months and impaired the freshwater supply in the region.

202 Similar to the main estuary, the tidal regime in the East River sub-estuary is a
203 mixed semi-diurnal one, with the tidal range decreasing when propagating upstream
204 due to the predominance of the bottom friction over the estuarine convergence. In recent
205 decades, the tidal strength has been seen to increase by human activities, such as sand
206 mining in the estuary (Jia et al., 2006).

207

208 **3. Methods**

209

210 **3.1 Observation data and analysis**

211

212 The observation data here consist of the daily discharge of the West, North, and
213 East Rivers, hourly water level data at the confluence (Sishengwei) between the East
214 River sub-estuary and the main estuary (PRE), daily sea level at the mouth of the PRE
215 (Shibi), and hourly surface salinity data at the Dahu station, which is located
216 downstream of the Sishengwei, and at the Second Water Plant of Dongguan City. These
217 two stations span a distance of approximately 30 km. The river discharge data at three
218 river branches of the Pearl River, hourly water level data at Sishengwei, and hourly
219 surface salinity data at Dahu are from the Pearl River Water Resources Commission,
220 whereas the salinity data at the Second Water Plant is from the Water Authority of
221 Dongguan City. The sea level data at the estuary mouth is from the Hong Kong
222 Observatory (<http://gb.weather.gov.hk/contentc.htm>). All the salinity data are the

223 surface salinities.

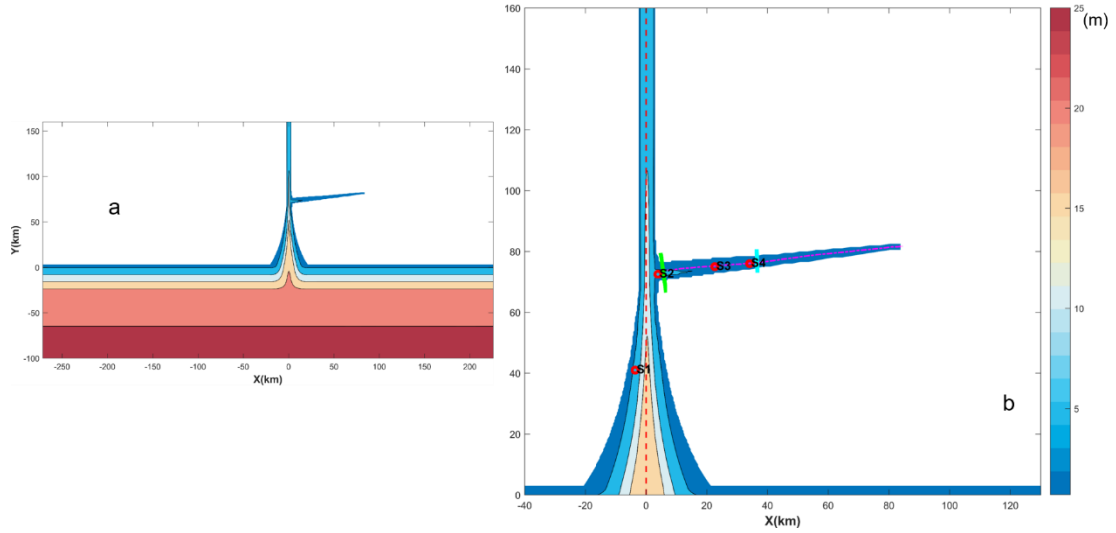
224 The salinity data at the Second Water Plant was subject to wavelet analysis, a
225 method that has been widely used to analyze geophysical data, like in salt intrusion
226 studies in estuaries (Liu et al., 2014; Gong et al., 2022). This method can identify
227 localized periodicities (or bands) that are linked to specific processes, such as tidal and
228 spring-neap variations. In this study, the continuous wavelet transform (CWT) method
229 was used to identify the multi-scale characteristics of salinity, and cross wavelet was
230 employed to examine the nonlinear correlations among variables, such as between the
231 salinity of the Second Water Plant and the water level at Sishengwei, between the
232 salinity of the Second Water Plant and the salinity of Dahu, and between the salinity of
233 the Second Water Plant and the river discharge at the Boluo Station.

234

235 **3.2 Numerical model configuration and experiments**

236

237 The Regional Ocean Modeling System (ROMS) was used in this modeling study.
238 ROMS is a free-surface, hydrostatic, primitive-equations ocean model that uses
239 stretched, terrain-following vertical coordinates and orthogonal curvilinear horizontal
240 coordinates on an Arakawa C-grid (Haidvogel et al. 2000). The model domain was
241 designed as an estuary-shelf system (Fig. 2). In the coordinate system, x is in the



242

243 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the whole domain;

244 b)zoom in for the area of concern. The origin of the coordinates is in the middle of the main

245 estuary mouth. The longitudinal sections in the main and sub-estuary are shown as dashed lines,

246 and the cross-sections inside the sub-estuary are shown as color solid lines. The locations of

247 several stations are indicated.

248

249 cross-estuary direction, with rightward being positive, y is in the along-channel

250 direction, with landward being positive, and z directs upward. The origin of the

251 system is in the middle of the estuary mouth. The estuary is composed of a convergent

252 part and a straight part. The geometry and bathymetry of the estuary roughly resemble

253 those of the PRE, with the convergent part extending from the estuary mouth to the

254 Humen Outlet (70 km in length), and the straight part from the Humen Outlet to the

255 head of the estuary (90 km long). For the convergent part, the estuarine width B is

256 assumed to decrease exponentially in the landward direction, as follows:

257
$$B = B_0 \exp\left(-\frac{y}{L_b}\right) \quad (1)$$

258 where B_0 is the estuarine width at the estuary mouth (here taken as 46 km) and L_b is

259 the width convergence length (taken as 31 km, as estimated by Zhang et al., 2021). The
 260 bathymetry of the PRE is characterized by deep channels and side shallow shoals.
 261 Following Wei et al. (2017), we roughly mimicked this feature by setting the
 262 bathymetry of the convergent part as:

$$263 \quad H(x, y) = H_{min} + (H_m - H_{min})\frac{y}{L} + (H_{max} - H_{min}) \times \left(1 - \frac{y}{L}\right) \left(1 - \frac{4x^2}{B^2}\right) e^{-C_f \left(\frac{4x^2}{B^2}\right)} \quad (2)$$

264 where L is the length of the convergent part (70 km); H_{max} (20 m) and H_{min} (3.0 m)
 265 are the maximum and minimum water depths at the estuary mouth, the width-averaged
 266 water depth H_m is constant ($H_m = 8$ m) along the estuary, and the parameter C_f is set
 267 as 4, based on the bathymetry data. In the straight part of the estuary, the bathymetry
 268 was kept the same as that of the uppermost cross-section of the convergent part.

269 At a distance of 75 km from the mouth of the main estuary, we added a sub-estuary
 270 on the east side, resembling the East River sub-estuary. The sub-estuary extends in a
 271 southwest-northeast direction for a distance of approximately 75 km. The width of the
 272 sub-estuary is convergent, with a width of 10 km at the confluence and decreasing to
 273 600 m at the head, with an e-folding decrease scale (L_b) of 26.7 km. The water depth
 274 decreases landward from 6 m at the confluence to 3.5 m at the head of the sub-estuary.

275 As the boundary conditions at an estuary mouth are generally unknown, we added
 276 a continental shelf to the model domain. The shelf is 100 km wide and approximately
 277 500 km long, with the downstream part (representing the Kelvin wave propagation
 278 direction) being slightly longer than the upstream part. The water depth of the shelf is
 279 uniform in the alongshore direction and increases linearly from the coast to the offshore
 280 direction, with a slope of 1×10^{-4} . The model grid has 313×506 cells, with a cross-
 281 channel spatial resolution of 300 m and an along-channel resolution of 500 m in the
 282 estuary. The horizontal resolution decreases on the shelf and becomes 2 km at the open

283 ocean boundaries. Fifteen vertical s-grid layers were specified with higher resolutions
284 near the surface and bottom, and the coefficients of θ_s , θ_b , and h_c were set as 2.5,
285 3.0, and 5.0, respectively. In ROMS Model, coefficients larger than unity for θ_s , θ_b
286 can generate higher resolutions near the surface and bottom, respectively. For details of
287 these coefficients, Shchepetkin and McWilliams (2005) can be referred to.

288 We used the $k - \varepsilon$ submodel of the Generic Length Scale (*GLS*) turbulence
289 closure scheme to calculate the vertical mixing (Umlauf and Burchard, 2003; Warner
290 et al., 2005). The horizontal eddy viscosity and diffusivity were calculated using the
291 Smagorinsky scheme (Smagorinsky, 1963). The bottom friction was calculated based
292 on the log-layer assumption near the bottom, with a bottom roughness length of 1 mm.
293 This setting results in a mean bottom drag coefficient of 0.005. The open ocean
294 boundary condition for the barotropic component consists of a Flather/Chapman
295 boundary condition for the depth-averaged flow and sea surface elevation (Chapman,
296 1985; Flather, 1976). The open boundary conditions for the temperature, salinity, and
297 baroclinic current are the Orlanski-type radiation conditions (Orlanski, 1976).

298 To investigate the impact of salt dynamics in the main estuary on salt intrusion in
299 the sub-estuary, two numerical experiments were implemented. In both cases, the river
300 discharge at the head of the sub-estuary was set as 200 m³/s, which is approximately
301 the value during the dry season in 2021 in the East River estuary. A time series of water
302 levels produced by a combination of 12 tidal constituents was specified at the offshore
303 boundary. These 12 tidal constituents are M_2 , S_2 , N_2 , K_2 , K_1 ,
304 O_1 , P_1 , Q_1 , M_4 , MS_4 , M_m , M_f , respectively. The tidal constants of these 12
305 constituents were obtained from the Oregon Tidal Database (OPTS). As the tidal

306 amplitudes are almost doubled at the mouth of the main estuary due to the
 307 superimposition of propagating and reflected tidal waves, the amplitudes of these tidal
 308 constituents at the offshore boundary were reduced by half. Case 1 was set with a river
 309 discharge of $1,500 \text{ m}^3 \text{ s}^{-1}$ at the main estuary's head. The river discharge of $1500 \text{ m}^3 / \text{s}$
 310 is representative of the total amount that empties into the PRE from different outlets in
 311 dry seasons (Gong et al., 2020), being lumped as input at the head of the PRE. The
 312 inflowing river water was prescribed to have zero salinity and a temperature of 22°C ,
 313 identical to the background temperature setting throughout the entire domain. The
 314 incoming salinity at the offshore boundary was specified to be 34 g/kg . In Case 2, we
 315 set an extremely low river discharge ($500 \text{ m}^3 \text{ s}^{-1}$) at the head of the main estuary, which
 316 is realistic under the La Nina event. In this scenario, we aimed to check how the salt
 317 dynamics in the more mixed main estuary affect the salinity variation in the sub-estuary.

318

319 **3.3 Analytical solutions for the salinity variation in the well-mixed sub-estuary**

320

321 For the tidally-averaged salinity variation along the well-mixed sub-estuary, the
 322 advection-diffusion equation can be written as:

$$323 \quad \frac{\partial(A\bar{S})}{\partial t} = -\frac{\partial}{\partial x}(A\bar{u}\bar{S}) + \frac{\partial}{\partial x}(AK_x \frac{\partial \bar{S}}{\partial x}) \quad (3)$$

324 where A is the cross-sectional area, \bar{S} is the tidally-averaged salinity in the cross-
 325 section, t is time, \bar{u} is tidally-averaged longitudinal velocity, x is the distance along
 326 the sub-estuary, K_x is the longitudinal dispersion coefficient. The left term in Eq. 3
 327 indicates the local acceleration and the unsteadiness of salinity variation. The
 328 unsteadiness is controlled by the contrast between the internal and external timescales.

329 Savenije (2012) suggested an internal timescale to quantify the sub-estuary's response
 330 timescale (T_S), which is expressed as:

$$331 \quad T_S = -\frac{1}{Q_f \bar{S}(X)} \int_X^L A \bar{S} dx \quad (4)$$

332 Based on the numerical model results, by selecting X at the sub-estuary's mouth,
 333 we calculated the response timescale to be 16.22 day, which is comparable to the spring-
 334 neap tidal cycle. This indicates that the salinity in the sub-estuary can vary along with
 335 the changing tidal forcing. We thus ignored the unsteadiness term and assumed that the
 336 horizontal dispersion is constant in a tidal period and scales with the tidal current at the
 337 sub-estuary's mouth. Meanwhile, the boundary condition of tidally-averaged salinity at
 338 the sub-estuary's mouth was updated at each tidal period. In this way, the calculation
 339 of tidally-averaged salinity in the sub-estuary can proceed. As such, Eq. 3 becomes (Cai
 340 et al., 2015):

$$341 \quad \frac{Q}{A} \bar{S} = K_x \frac{\partial \bar{S}}{\partial x} \quad (5)$$

342 in which Q is the river discharge. We assume that the cross-sectional area decreases
 343 exponentially in the landward, $A = A_0 \exp(-x/a)$, where a is the convergence
 344 length scale of the cross-sectional area. When the longitudinal dispersion coefficient
 345 K_x is assumed to be a constant along the sub-estuary, the tidally-averaged salinity along
 346 the sub-estuary can be obtained as:

$$347 \quad \frac{\bar{S}}{\bar{S}_0} = \exp\left\{-\frac{Qa}{A_0 K_x} \left[\exp\left(\frac{x}{a}\right) - 1\right]\right\} \quad (6)$$

348 For each tidal period, we obtained the tidally-averaged salinity (S_0) and the tidal
 349 current at the mouth of the sub-estuary from the numerical model results, and related
 350 the horizontal dispersion (K_x) to the tidal strength at the mouth. When these data were
 351 available, the tidally-averaged salinity at each tidal period was calculated for our

352 numerical simulation period.

353 When the K_x is assumed to vary along the estuary, the salinity variation along the
354 sub-estuary is in another form and not presented here (Savenije, 2012), as that form of
355 K_x is not related to the tidal strength and is unsuitable for our situation here, so this
356 scenario is not pursued further.

357

358 **3.4 Calculation of the salt and freshwater fluxes**

359

360 The salt flux at a cross-section is calculated as follows:

$$361 \quad F_s = \int uSdA \quad (7)$$

362 where u is the instantaneous longitudinal velocity, and S is the instantaneous
363 salinity. The instantaneous flux was integrated and then averaged over a tidal period.

364 As the changes in freshwater transport by the river-tide interaction are concerned,
365 we also calculated the freshwater flux, which is:

$$366 \quad F_f = \int u\left(1 - \frac{S}{S_0}\right)dA \quad (8)$$

367 where S_0 is the ocean salinity, here is taken to be 34 g/kg. The freshwater flux was
368 also integrated and averaged over a tidal period.

369

370 **4. Results**

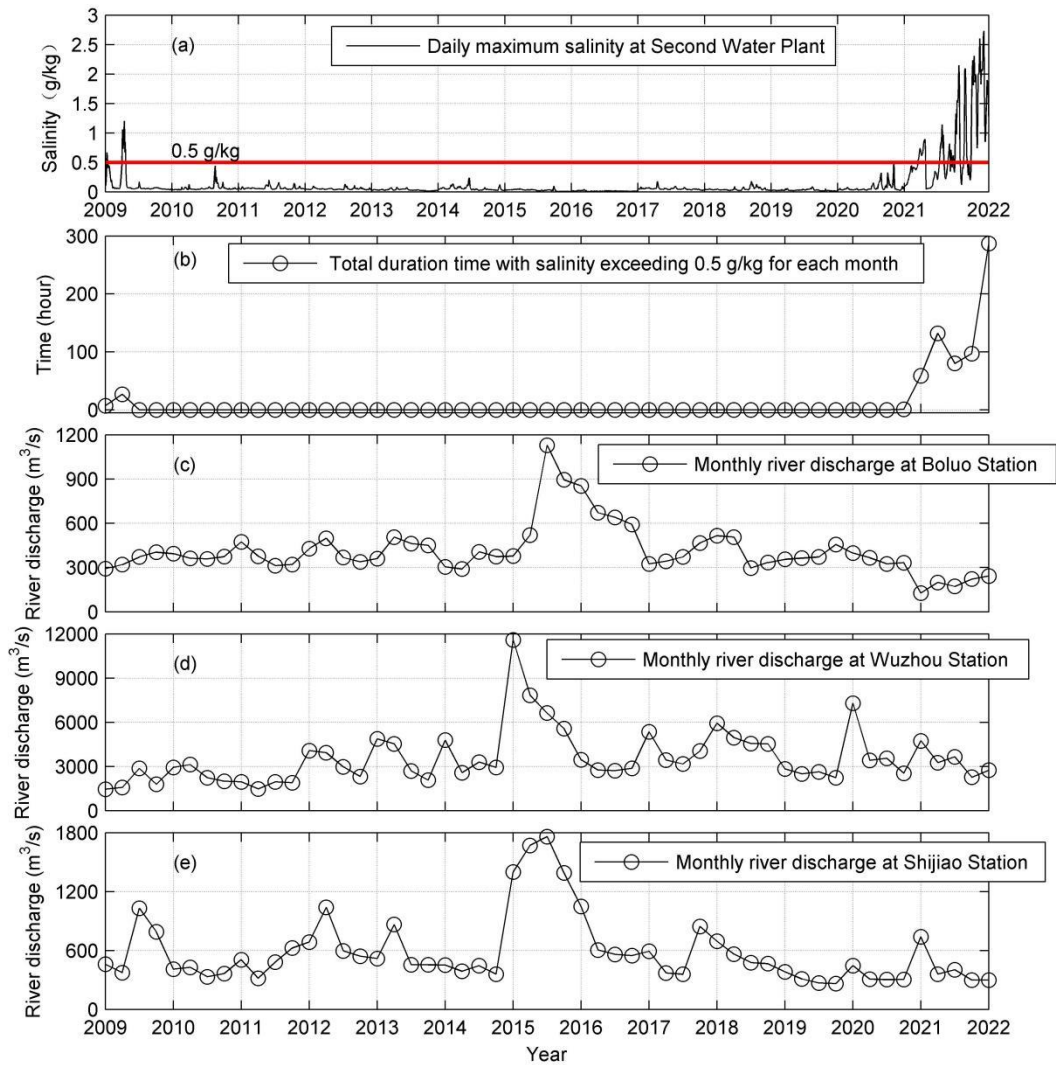
371

372 **4.1 The characteristics of salt dynamics in the sub-estuary: based on observation** 373 **data**

374

375 Here we take the Second Water Plant as a representative station in the upstream
376 region of the sub-estuary. The salinity variation at this station was checked from 2009
377 to 2022, as shown in Fig. 3. It indicates (Fig. 3a) that before 2021, the surface salinity

378 was generally lower than 0.5 g/kg and suitable for extraction. During the winter season
379 of 2021-2022, the salinity exceeded the drinking water criterion for a prolonged period
380 of 280 hours in January 2022 (Fig. 3b). These elevated salinities coincided with the
381 decreased river discharge from the upstream in the PRD, shown by the data at the
382 hydrological stations of Boluo, Wuzhou and Shijiao (Figs. 3c, 3d and 3e). Note that the
383 river discharges in 2022 are comparable to those of 2009, but the effect on salinities are
384 dramatically higher. The reasons behind such a difference is not clear right now, but
385 could be due to the increased water depth along the sub-estuary in 2022 by sand mining,
386 and/or the elevated water level outside the sub-estuary due to wind effects.



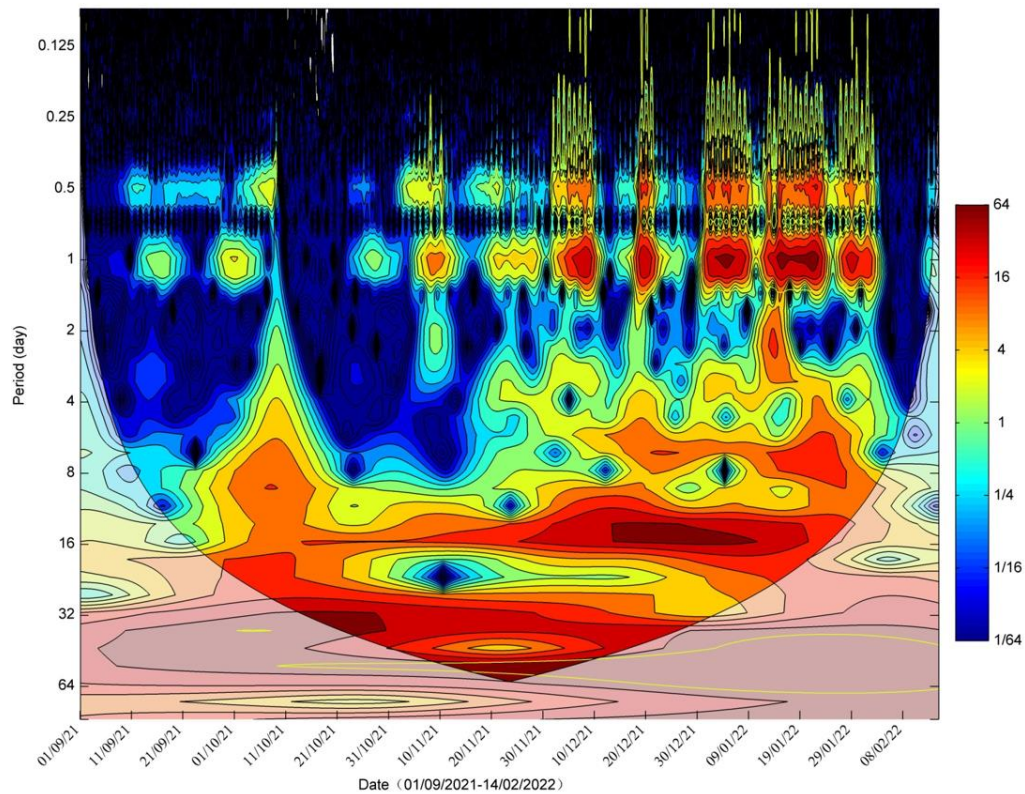
387

388 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Total duration period
 389 with salinity exceeding 0.5 g/kg for each month; c) Monthly river discharge at Boluo station
 390 (upstream of the East River); d) Monthly river discharge at Wuzhou station (upstream of the West
 391 River); e) Monthly river discharge at Shijiao station (upstream of the North River).

392

393 We conducted wavelet analysis for the salinity data of the Second Water Plant
 394 Station from September 2021 to February 2022, when the salt intrusion was severe. The
 395 result is shown in Fig. 4. It indicates that the power of salinity variations is concentrated
 396 in several periods: one is in the range of 0.5 to 1 day, which is caused by tidal fluctuation;

397 the second period lies in the range of 5-9 days, which is presumably induced by wind
398 forcing; the third one is in the range of 14-16 days, obviously by the fortnightly
399 variation of spring-neap tidal cycle. The last one is within the range of 28 days, near
400 the monthly timescale. This periodicity should be caused by the tidal beating among
401 tidal constituents of M_2 , S_2 , N_2 , K_1 , O_1 , as indicated by Payo-Payo et al. (2022).



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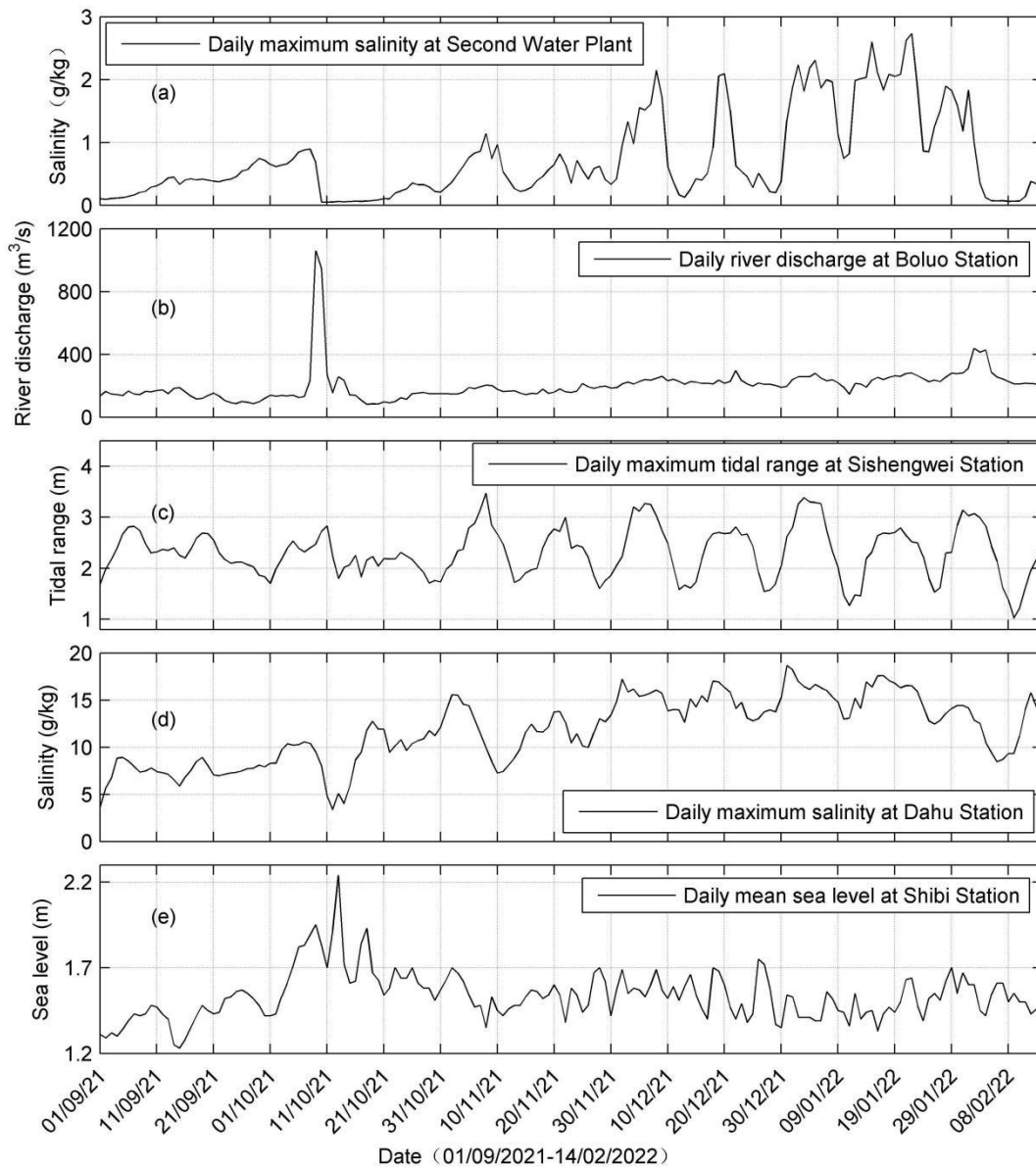
Fig. 4 Wavelet analysis of the salinity at the Second Water Plant

404

405 To identify the possible factors influencing the salinity variations in the sub-
406 estuary, we present the time series data of salinity at the Second Water Plant, river
407 discharge at Boluo station, tidal range at Sishengwei station, salinity at Dahu station
408 (located in the main estuary), and daily sea level at Shibi station (located at the mouth
409 of the main estuary) in Fig. 5. Firstly, it is evident that the variation of salinity at Dahu

410 (Fig. 5d) shows a consistent pattern with the changes in tidal range at Sishengwei (Fig.
411 5c), when the river discharge is relatively low after a flash flood event, which occurred
412 around October 21, 2021 (Fig. 5b). The highest salinity happened 2-3 days after neap
413 tides in the transition from neap to spring tides, whereas the lowest salinity occurred in
414 the transition from spring to neap tides, and generally occurred just before the neap
415 tides. This result indicates that the salinity and tidal range in the main estuary were
416 almost out of phase, and there existed a time lead of the salinity to the tidal range. This
417 pattern agrees well with what occurred in the Hudson River (Bowen and Geyer, 2003)
418 and the Modaomen Estuary (Gong and Shen, 2011), suggesting that the PRE remained
419 in a state of partially mixed. On the other hand, the salinity of the Second Water Plant
420 was almost in phase with the tidal range at the confluence (Fig. 5a vs. 5c). High
421 salinities coincided with spring tides, and low salinities occurred during neap tides. It
422 should be noted that the sea level at the PRE mouth showed a significant setup near
423 October 11, 2021, when a large increase in river discharge was observed in the PRD
424 due to a tropical storm (enumerated as the 17th typhoon in 2021, see the peak in Fig.
425 5b). This event caused a sharp decline in salinities at both Dahu and the Second Water
426 Plant, followed by a rebound approximately 10 days later. Note that it takes about 7-8
427 days after the storm for the salinity to recover to its pre-storm levels in the main estuary
428 and almost a month in the sub-estuary. The recovery time is mostly determined by the
429 landward salt flux, as pointed out by Du and Park (2019). The landward salt flux is larger
430 in the main estuary as it is more stratified and the estuarine circulation is more developed,
431 which generate a larger steady shear transport. Meanwhile the width and the cross-sectional

432 area of the main estuary are larger, favorable for the salt import from the ocean. Moreover,
433 the station at the main estuary is located downstream of the confluence between the main
434 estuary and the sub-estuary. After the salinity recovery at the station in the main estuary,
435 the elevated salinity then propagates from the confluence to the upstream of the sub-estuary,
436 where the station at the sub-estuary is located. As the cross-section at the confluence is
437 small, the landward salt flux is limited, further increasing the recovery time for the station
438 at the sub-estuary.



439

440 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Daily river

441 discharge at Boluo station; c) Daily maximum tidal range at Sishengwei Station; d) Daily

442 maximum salinity at Dahu Station; e) Daily mean sea level at Shibi Station.

443

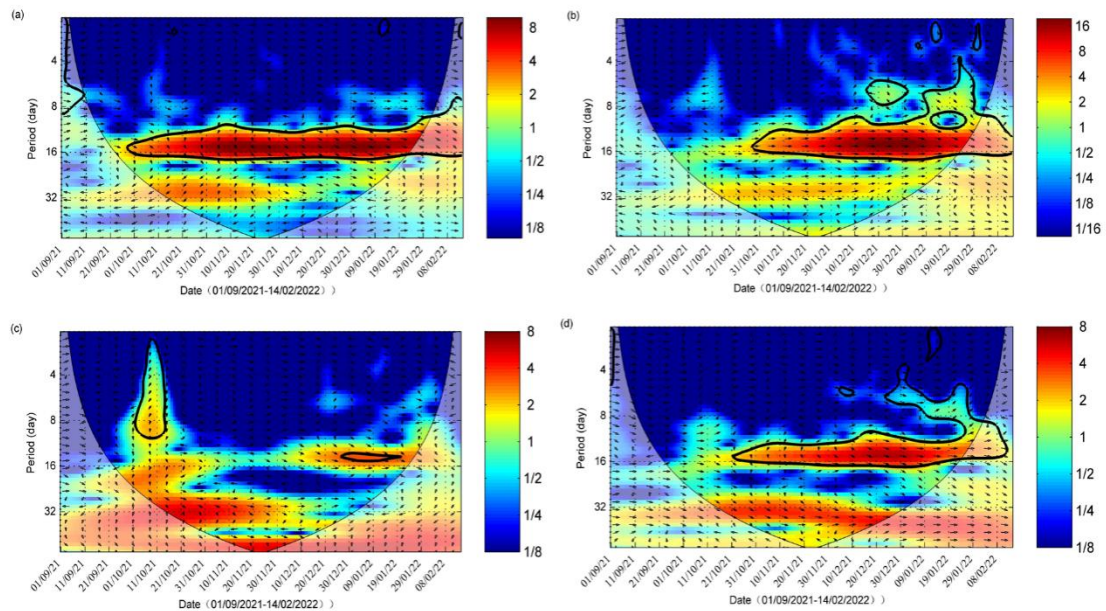
444 The cross-wavelet analysis between salinity at Dahu and tidal range at Sishengwei

445 (Figs. 6a) shows that the two variables are highly correlated in the periods of 14-16

446 days, indicating the effect of fortnightly spring-neap tidal variation. The arrow pointing

447 down and right in this time band demonstrates that the change in tidal range lagged the

448 variation of salinity.



449

450 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal range at

451 Sishengwei; (b) between the salinity at the Second Water Plant and the tidal range at Sishengwei;

452 (c) between the salinity at the Second Water plant and the river discharge at the Boluo Station; (d)

453 between the salinity at the Second Water plant and that at the Dahu Station.

454

455 The cross-wavelet analysis between the salinity at the Second Water Plant and the

456 tidal range at Sishengwei station (Figs. 6b) shows that there existed a high common
457 power band of 14-16 days after October 21, 2021, and the phase relationship between
458 them was in phase, indicating that high salinities occurred during spring tides and low
459 salinities during neap tides, confirming the above results. It is also noted that before the
460 flood event on October 11, 2021, there was no high common power between these two
461 variables, even though the river discharge at the head of East River (Boluo Station) was
462 lower. This lack of high common power in the time band of 14-16 days before the
463 tropical storm event can also be noted in the cross-wavelet analysis between the salinity
464 at Dahu and the tidal range at Sishengwei. We also noted that before the storm event,
465 the water level at Sishengwei did not show distinct fortnightly spring-neap variations
466 (Fig. 5c). This lack of fortnightly cycle could be induced by the wind-induced
467 setup/setdown and/or the river-tide interaction, in which the river flow suppress the
468 tidal propagation. This phenomenon is peculiar and warrants a future study but beyond
469 the scope of this study.

470 The cross-wavelet analysis between the salinity at the Second Water Plant and the
471 river discharge at Boluo Station is presented in Fig. 6c. The high correlation during the
472 storm event was obvious, whereas, after that, the common power between the salinity
473 and river discharge was relatively low during the rebound period of the salinity at the
474 Second Water Plant. This low correlation could be due to the fact that the river discharge
475 did not change much and had no periodicity of 14-16 days then.

476 To examine the relationship between the salinities in the main estuary and at the
477 sub-estuary, we conducted a cross-wavelet analysis between the salinity at the Second

478 Water Plant and that at Dahu (Fig. 6d). There existed high common power between
479 these two variables in the time band of 14-16 days, the fortnightly tidal cycle. It also
480 shows that before October 21, 2021, the phase relationship between these two variables
481 was approximately in quadrature, indicating that the variation of the salinity at the
482 Second Water Plant lagged that at Dahu by 3.5-4 days. After October 21, 2021, the
483 phase relationship between them changed to in-phase when the river discharges in the
484 PRD became very low. This is quite interesting and will be explored in the following.

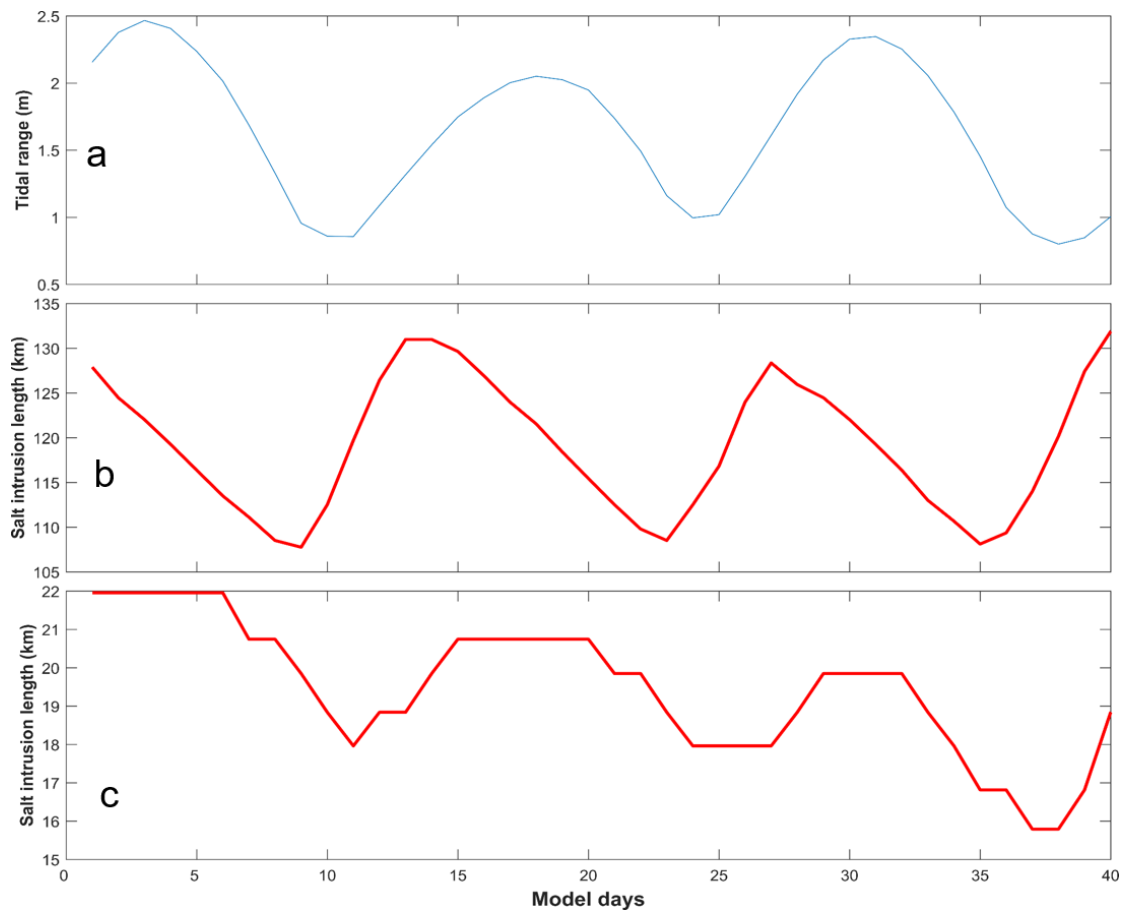
485

486 **4.2 The salt dynamics obtained through numerical simulations**

487

488 For Case 1 (base run), we intended to investigate the salt dynamics when the main
489 estuary stays in a state of partially mixed. Firstly we examine the variation of salt
490 intrusion length along the estuary's deep channel (Fig. 2b). Here the salt intrusion
491 length is defined as the distance of the bottom salinity isohaline of 5 g/kg from the
492 estuary mouth. It shows that the tidal range at the main estuary's mouth fluctuates at
493 fortnightly and monthly timescales. There occur two spring tides and neap tides in a
494 month (Fig. 7a), with one spring (neap) tide being stronger than the other one, as the
495 perigee/apogee cycle. The salt intrusion in the main estuary fluctuates with the tidal
496 range (Fig. 7b). The maximum salt intrusions occur just after neap tides, and the
497 minimum salt intrusions occur at the late of the transition from spring to neap tides,
498 consistent with the salinity change at the Dahu station shown above (Fig. 5d), and the
499 results we have demonstrated before (Gong et al., 2018). The relationship between the
500 salt intrusion and tidal range indicates an almost anti-phase one, suggesting that the

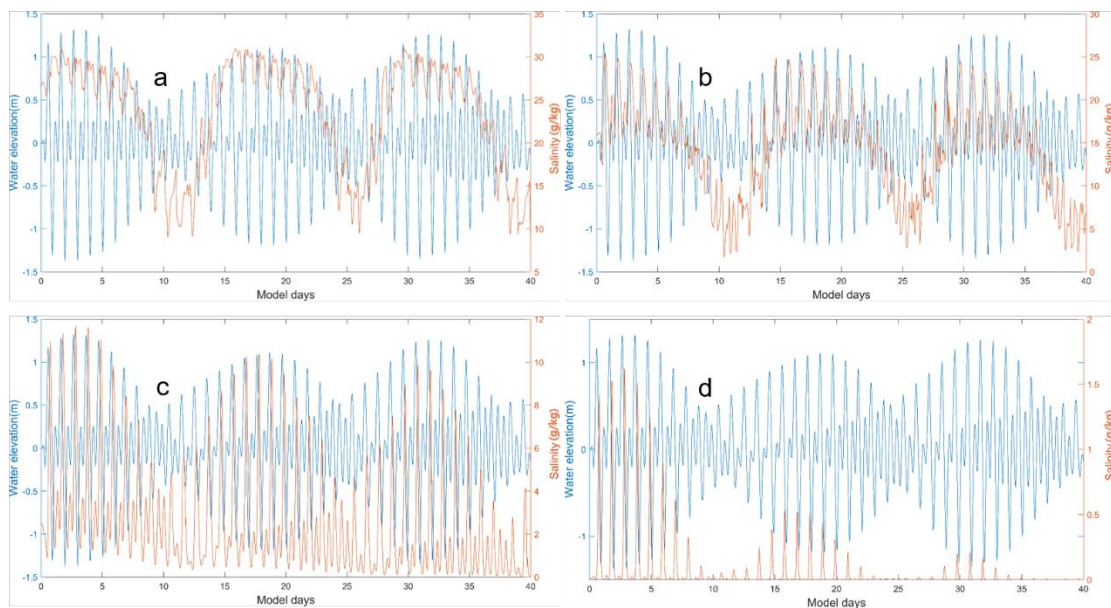
501 estuary is basically in a state of partially-mixed. This is because, for a partially-mixed
502 estuary, the landward salt transport is maximum during neap tides by the steady shear
503 and results in a maximum salt intrusion then. We present the tidally averaged
504 longitudinal profile of current and salinity for representative neap and spring tides in
505 Fig. S1 in the Supplement. The results confirm that during the neap tide, the estuary is
506 partially mixed, whereas, during the spring tide, the estuary becomes more mixed but
507 still in the state of partially mixed.



508
509 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt intrusion length along
510 the longitudinal section of the main estuary; c) salt intrusion length along the longitudinal section
511 of the sub-estuary.

512

513 We also checked the time series data of surface salinity and water level at a station
 514 (S1, Fig. 2b) in the main estuary, roughly corresponding to the Dahu Station (Fig. 8a).
 515 It shows that the surface salinity increases from neap to spring tides, and reaches
 516 maxima before spring tides. It declines from the maxima to minima from spring to neap
 517 tides, reaching the minima almost at neap tides. This shows that the salinity increases
 518 faster from neap to spring than decreases from spring to neap. This asymmetry is also
 519 noted in the variation of salt intrusion length, which increases sharply after the neap
 520 tides but decreases more gradually from the maximum to the minimum. This
 521 phenomenon has been discussed by Chen (2015); when the salt intrusion length is
 522 shorter just before the neap tide, the acceleration by the net landward salt flux is stronger,
 523 whereas when the salt intrusion length is longer, the deceleration of salt intrusion length
 524 by net seaward salt flux is relatively weaker. The change in salinity leads that in tidal
 525 range during spring tides but lags the tidal range during neap tides.



526
 527 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1 Station in the main
 528 estuary; b) at S2 station (the confluence); c) at S3 station in the middle of the sub-estuary; d) at S4

529 station in the upstream region of the sub-estuary.

530

531 Similar to the analysis of observation data, we then investigate the salt intrusion
532 in the sub-estuary (Fig. 7c). Though the accuracy is not high, as our model resolution
533 in the sub-estuary is not fine enough, it clearly shows that the maximum salt intrusions
534 occur nearly in spring tides and the minimum salt intrusions in neap tides. This means
535 that the salt intrusion is in phase with the tidal range in the sub-estuary. We show the
536 tidally averaged profiles of current and salinity at the sub-estuary in Fig. S2 in the
537 Supplement. It indicates that the sub-estuary is mostly in a state of well-mixed during
538 both the neap and spring tides, though there appears some stratification near the mouth
539 of the sub-estuary during the neap tide. The 1 g/kg isohaline intrudes more in spring
540 tides than in neap tides. It should be noted that at the lower reach of the sub-estuary, the
541 surface salinity has a local high salinity zone (Fig. S2), consistent with the finding of
542 Haywood et al. (1982) at the lower York River in the Chesapeake Bay, USA.

543 To examine the salinity variations along the sub-estuary, we selected three stations
544 in the sub-estuary: one at the mouth (S2), one in the middle reach (S3), and the last one
545 in the upper reach (S4). The time series of water level at the confluence and salinities
546 at these three stations are shown in Figs. 8b, 8c and 8d. The salinity at the mouth of the
547 sub-estuary (Fig. 8b) fluctuates similarly to that in the main estuary: maximum salinities
548 occur right after neap tides and minimum salinities just before neap tides. In the middle
549 of the sub-estuary (Fig. 8c), the salinity variation almost keeps pace with that of the
550 tidal range: maximum salinities occur at spring tides and minimum salinities at neap

551 tides. At the upstream station, the salinity variation shows a similar pattern to that in
552 the middle of the sub-estuary. This indicates that when saline water propagates
553 upstream, it advances more landward and experiences less impedance during spring
554 tides and vice versa. We explore this phenomenon in the discussion part.

555

556 **4.3 The tidally-averaged salt dynamics in the sub-estuary by the analytical** 557 **solution**

558

559 We used the analytical solutions in Section 3.3 to explore the salt dynamics in the
560 sub-estuary. In the sub-estuary, the exponential decaying constant of the cross-sectional
561 area was calculated to be 50 km; and the river discharge was specified to be $200 \text{ m}^3 \text{ s}^{-1}$.
562 ¹.

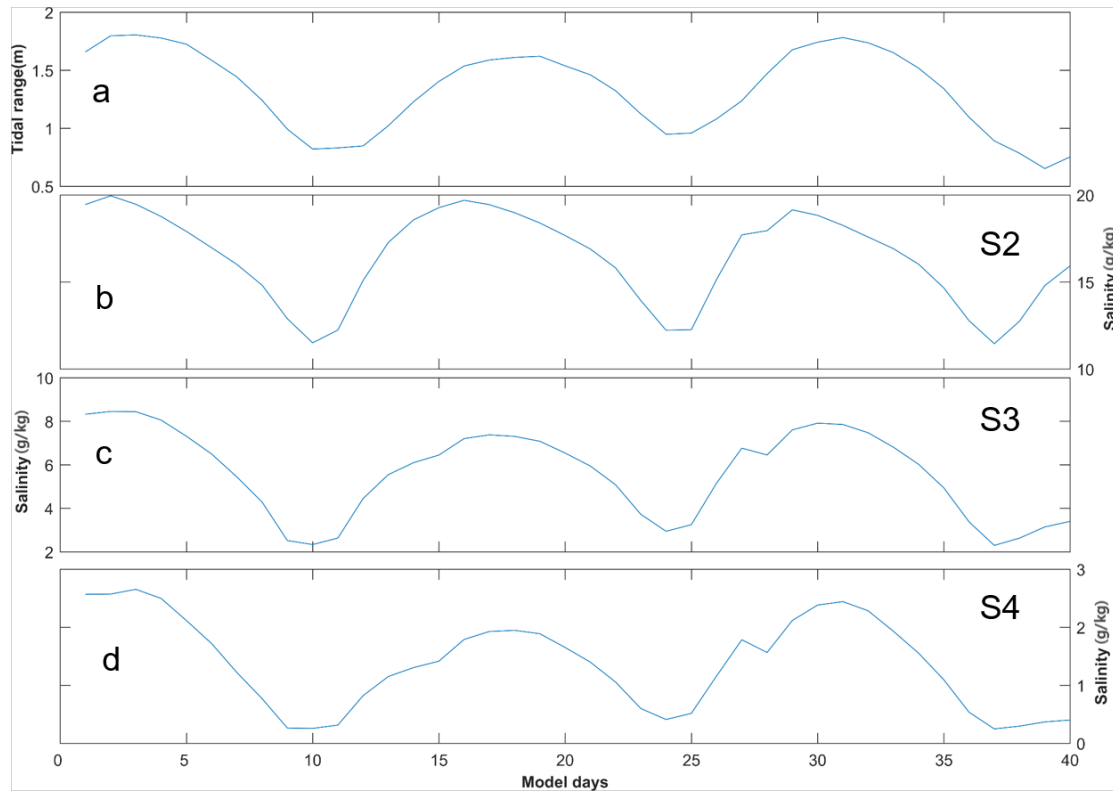
563 We used the scheme of constant dispersion along the sub-estuary, and the K_x was
564 estimated as (Ralston et al., 2008):

$$565 \quad K_x = c_h \left(\frac{T_{tide}}{4} U_T \right) U_T \quad (9)$$

566 where c_h is an empirical constant of 0.0224, T_{tide} is the tidal period, here is set as
567 12.42 hours; U_T is the tidal current amplitude at the sub-estuary's mouth.

568 We solved Eq. (6) for the model experiment Case 1. The results are shown in Fig.

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Fig. 9. The results of the analytical solution of salinity variations along the sub-estuary. a) tidal range at the mouth of the sub-estuary; b), c), and d) are tidally-averaged salinity variations at S2, S3, and S4 stations.

Under the $1500 \text{ m}^3 \text{ s}^{-1}$ river discharge at the head of the main estuary, the tidal range at the sub-estuary's mouth varies between spring and neap tides, with a greater spring and a weaker spring in a month (Fig. 9a). The tidally-averaged salinity at the confluence (S2 station, Fig. 9b) varies between 10 and 20 g/kg, with the maximum salinities occurring before the spring tides and the minimum salinities before the neap tides, indicating a phase lead of salinity to the tidal range. In the middle of the sub-estuary (S3 station, Fig. 9c), the salinity fluctuates between 2 and 10 g/kg, and there exists a slight phase lead of salinity to that of the tidal range. In the upstream region of the sub-estuary (S4 station, Fig. 9d), the salinity fluctuates between 0 and 3 g/kg, and the salinity variation becomes almost in phase with that of the tidal range at the confluence. Compared to the numerical simulation results, the analytical solution

586 reproduces the trend of the phase relationship between the salinity and tidal range along
587 the sub-estuary: the phase of the salinity variation leads that of the tidal range at the
588 sub-estuary's mouth and becomes more in phase with that of the tidal range in the
589 middle and upstream region of the sub-estuary. Meanwhile, the fluctuation magnitude
590 in the middle of the sub-estuary is well reproduced. However, the fluctuation range in
591 the upstream region of the sub-estuary is over-estimated, showing the weakness of
592 assuming a uniform horizontal dispersion along the sub-estuary.

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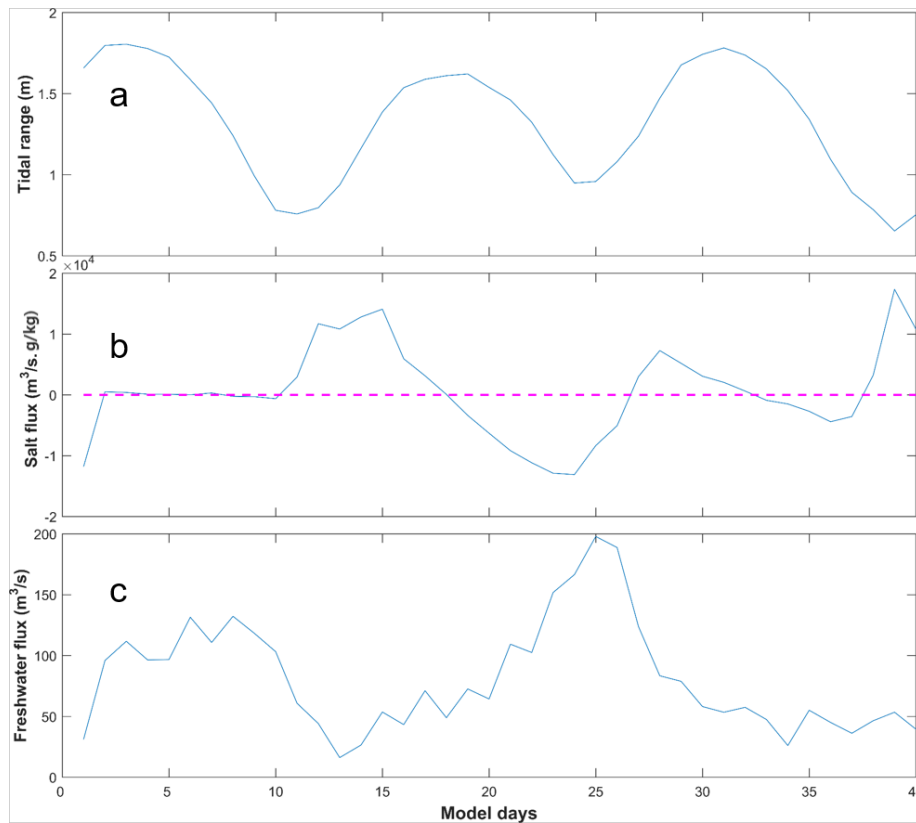
594 **5. Discussion**

595

596 **5.1 The physics behind the change in phase relationship between the salinity and** 597 **tidal range along the sub-estuary**

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599 The numerical results and analytical solutions both indicate that near the sub-
600 estuary's mouth, the salinity fluctuation leads that of the tidal range, and in the middle
601 and upstream region of the sub-estuary, the salinity variation becomes more in phase
602 with that of the tidal range. The analytical solution shows that the changes in the phase
603 relationship between these two variables are mostly caused by the change in horizontal
604 dispersion, that is, the larger dispersions during spring tides cause increased landward
605 salt transport, resulting in elevated salinity in the middle and upstream regions of the
606 sub-estuary. The results of numerical simulation are a combination of many
607 interweaved processes and a little harder to interpret. To unravel the physics in the
608 numerical simulation, we examine the salt transport in the lower reach at a cross-section
609 near the sub-estuary mouth and freshwater transport in the upstream cross-section of
610 the sub-estuary (shown in Fig. 2b).



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Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux at the cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-section in the upstream region of the sub-estuary. It should be noted that the freshwater flux is the magnitude and has a sign opposite to the salt flux.

The results are shown in Fig. 10. From Fig. 10b, the tidally-averaged salt flux near the sub-estuary's mouth is generally landward during the periods from neap tides to spring tides and seaward from spring tides to neap tides. The change in salt flux leads that of the tidal range, consistent with the phase relationship between salinity and tidal range near the sub-estuary's mouth (Fig. 8b). As the sub-estuary is well-mixed during the simulation period, the landward salt transport is mostly induced by the tidal oscillatory transport. The tidally-averaged freshwater flux in the upstream region of the

624 sub-estuary is seaward, and shows a pattern that larger freshwater fluxes occur during
625 neap tides and smaller freshwater fluxes during spring tides (Fig. 10c). This pattern has
626 been well studied by Buschman et al. (2009) in the tidally-averaged momentum
627 dynamics. They showed that the primary tidally-averaged momentum balance is
628 between the water level gradient and bottom friction. During spring tides, the tidally-
629 averaged bottom friction is larger and the tidally-averaged water slope is greater,
630 meaning that more freshwater is being detained upstream to elevate the water level
631 there. During neap tides, the detained freshwater in the upstream is released
632 downstream and results in increased freshwater fluxes. In this way, the saline water
633 from the sub-estuary's mouth experiences less impedance and dilution during spring
634 tides and thus advances more landward, resulting in an enhanced salt intrusion during
635 spring tides, and vice versa. The above results indicate that the more in-phase
636 relationship between the salinity and tidal range in the middle and upstream region of
637 the sub-estuary is mostly generated by the fortnightly variation of the tidal strength and
638 the associated variations of horizontal dispersion and freshwater flux by the river-tide
639 interaction. The larger the dispersion, the more salt is pumped into the upstream. The
640 stronger the tidal strength, the more freshwater is detained upstream and less impedance
641 to the salt intrusion.

642 From the above results, it is seen that the salinity dynamics in the sub-estuary show
643 a pattern that is more influenced by the main estuary in the lower reach and becomes
644 more controlled by internal tidal processes in the middle and upstream regions of the
645 sub-estuary.

646

647 **5.2 How do the salt dynamics in the main estuary affect that in the sub-estuary?**

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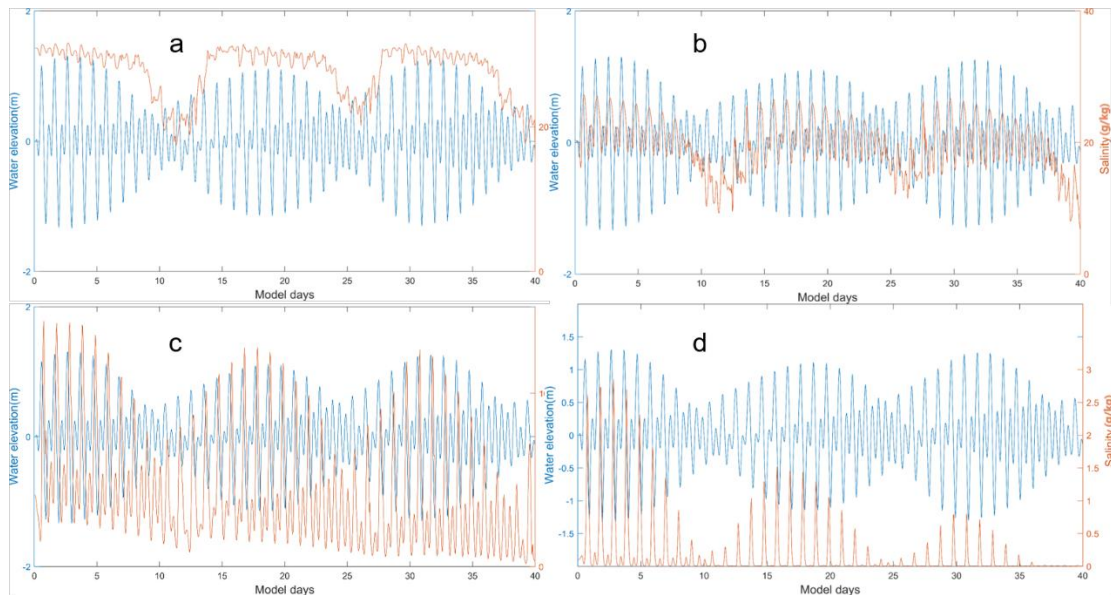
649 To further study how the changes in salinity dynamics in the main estuary affect

650 the salinity variation in the sub-estuary, we set up another experiment. In the model

651 scenario of Case 2, we set an extremely low river discharge ($500 \text{ m}^3 \text{ s}^{-1}$) at the head of

652 the main estuary, and the results are shown in Fig. 11. Simultaneously, the analytical

653 solutions for the scenario of Case 2 are presented in Fig. 12.

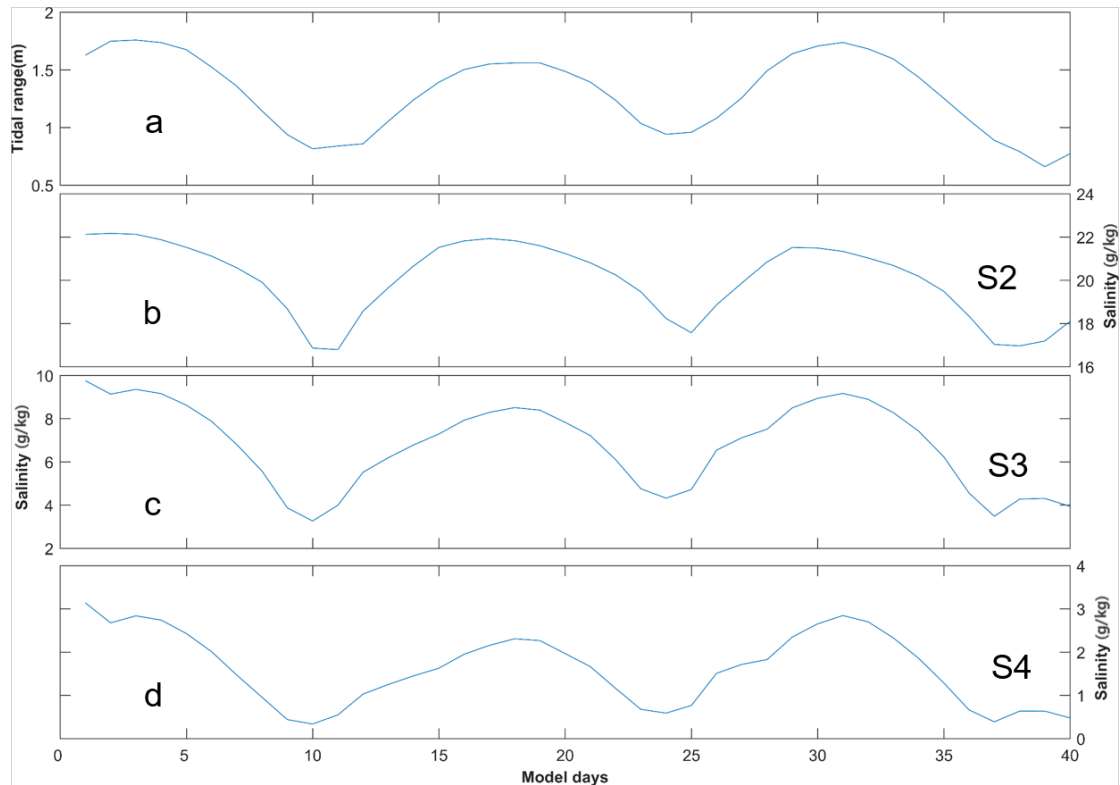


654

655 Fig. 11. Timeseries of water level at the confluence and surface salinity under the

656 extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c) S3; d) S4.

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Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary

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under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b), c), and d) are

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tidally-averaged salinity variations at S2, S3, and S4 stations.

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With decreased river discharge from the head of the main estuary, the salt intrusion

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front is shifted more landward. The S1 station is now located in the polyhaline region

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with a mean salinity of approximately 26 g/kg (Fig. 11a). The minimum salinities

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coincide more with neap tides but the maximum salinities occur around spring tides.

667

The asymmetry between salinity rise and fall is decreased, with salinities jumping

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quickly after neap tides, keeping elevated around spring tides, and dropping quickly

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just before neap tides. For the intratidal variation, it can be seen that during a tidal cycle,

670

the salinity fluctuation is reduced when compared to Case 1 (Figs. 11a vs 8a), which is

671

mostly due to the fact that with the reduced river discharge, the salinity gradient in the

672 polyhaline reach of the main estuary is decreased.

673 For the S2 Station (at the confluence, Figs. 11b and 12b), it is now located in the
674 mesohaline region, with the salinity ranging from 5 to 26 g/kg. The highest and lowest
675 salinities are both increased when compared to Case 1, with a reduced magnitude of
676 salinity change in a tidal cycle. The salinity variation pattern remains similar to that in
677 Case 1, with minimum salinities occurring just before neap tides, and maximum
678 salinities after neap tides, but occur closer to spring tides. The asymmetry of quick
679 increase from neap to spring but gradual decrease afterwards is still clear.

680 When entering into the sub-estuary, the salinity variation at S3 in the middle of the
681 sub-estuary shows a more in-phase relationship between salinity and tidal range (Figs.
682 11c and 12c). The maximum salinities occur closer to spring tides whereas the
683 minimum salinities still occur just before neap tides. In the upstream region of the sub-
684 estuary (Figs. 11d and 12d), the phase relationship between salinity and tidal range is
685 also an in-phase one. Combined with the situation at the S1 Station, it indicates that the
686 variations of salinity at stations S4 and S1 are more synchronous. This largely explains
687 the observed phenomenon that under more drought conditions, the salinity variations at
688 the Second Water Plant kept pace with those at the Dahu Station (Section 3.1).

689

690 **5.3 Limitations and implications of this study**

691

692 In this study, we focus on the phase relationship between the variations of salinity
693 and tidal range, both in a sub-estuary and the main estuary. The salinity variations along
694 the sub-estuary are revealed to be associated with the salinity dynamics in the main

695 estuary, linked by the salinity variations at the confluence between the main estuary and
696 the sub-estuary. In a spring-neap tidal cycle, even when the salinity at the confluence is
697 a little lower during the spring tide than that during the neap tide, the higher horizontal
698 dispersion and decreased freshwater release at the head of the sub-estuary during the
699 spring tide can pump more saline water from the confluence into the middle and
700 upstream of the sub-estuary, and cause the salinities there to be higher than during the
701 neap tide. In this way, the salinity variations at areas farther away from the confluence
702 become more synchronous with the tidal range.

703 However, this study did not consider the effect of winds and waves, as shown to
704 be important in previous studies such as Gong et al. (2018). The variations of salinity
705 in the period of 5-8 days should be related to the wind effects and await future
706 exploration. The effect of sea level change outside the main estuary was also not
707 examined in detail, though it can be intrinsically linked to the effect of winds and waves.
708 Finally, we did not explore a full parameter space of river discharge, tidal range, and
709 bathymetry situations, and thus can not give a synthesis of the sub-estuary salt intrusion
710 dynamics at this time.

711 Despite all these limitations, this study has implications for studying salt intrusion
712 dynamics in sub-estuaries, which are influenced by both the hydrodynamics inside the
713 sub-estuary and the salt dynamics in the main estuaries. It is also of importance for
714 providing a scientific basis for salt intrusion mitigation in the region. For example, salt
715 intrusion in the sub-estuary is not only impacted by the river discharge from the head
716 of the sub-estuary itself but also largely affected by the salt dynamics in the main estuary.

717 In this respect, apart from releasing more freshwater from the upstream in the sub-
718 estuary, measures to control the salinity variations at the confluence between the main
719 estuary and the sub-estuary also need to be taken into consideration. This may involve
720 implementing engineering solutions such as the construction of barriers or gates to
721 regulate the inflow of saltwater from the main estuary into the sub-estuary. Additionally,
722 the management of water withdrawals and releases in the sub-estuary and main estuary
723 needs to be optimized by taking the estuarine system as a whole. Overall, a
724 comprehensive and coordinated approach is necessary to effectively mitigate salt
725 intrusion in sub-estuaries.

726

727 **6. Summary and conclusions**

728

729 From 2021 to 2022, under the influence of an extended La Nina event, the Pearl
730 River Delta region in China experienced a prolonged extreme drought condition, and
731 the sub-estuary (East River estuary) also suffered greatly from the enhanced salt
732 intrusion. To identify the characteristics of the salt intrusion in the sub-estuary, and to
733 explore the underlying physics in controlling the spatio-temporal variations of the salt
734 intrusion, we collected observation data and conducted numerical simulations for
735 idealized estuarine bathymetry, and used analytical solutions for the tidally-averaged
736 salinity variations in the sub-estuary. The observation data showed that the salinity
737 variation in the main estuary usually led that of the tidal range, and the asymmetry
738 between salinity rise and fall in a fortnightly timescale was prominent. However, in the
739 upstream region of the sub-estuary, the salinity variation was in phase with that of the

740 tidal range, and the salinity rise and fall were more symmetrical. The idealized model
741 simulations and the analytical solution both reproduced these phenomena.

742 We note that under drought conditions, the river-tide interaction played a role in
743 the in-phase relationship between the salinity and tidal range upstream region of the
744 sub-estuary. The salinity variation in the middle and upstream regions of the sub-
745 estuary can keep pace with that of the tidal range. The analytical results show that the
746 horizontal dispersion scaling with tidal strength can largely reproduce the changes in
747 phase relationship between salinity and tidal range in the sub-estuary. We conclude that
748 both the changes in horizontal dispersion and the river-tide interaction in modulating
749 the freshwater release are responsible for the in-phase relationship between the salinity
750 and tidal range in the middle and upstream regions of the sub-estuary.

751 This study is of help in the investigation of salt dynamics in sub-estuaries
752 connected to main estuaries, and of implications for mitigating salt intrusion problems
753 in the regions suffered from enhanced salt intrusion by climate change and human
754 interventions.

755

756 **Data availability:** The observation data can be downloaded from the website
757 <http://www.pearlwater.gov.cn/>. The numerical data is available upon request to the
758 corresponding author.

759

760 **Declaration of competing interest**

761 The contact author has declared that none of the authors has any competing
762 interests.

763

764 **CRedit authorship contribution statement**

765 **Zhongyuan Lin:** Data collection, wavelet analysis, Writing - original draft, Writing -
766 review & editing. **Guang Zhang:** numerical modeling, Writing - review & editing.

767 **Huazhi Zou:** Writing-review & editing, funding acquisition. **Wenping Gong:**
768 Conceptualization, Methodology, Writing-review & editing, funding acquisition.

769

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771

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779

780 **Supplement:**

781

782 We present the longitudinal profiles of tidally-averaged current and salinity along the
783 channels in the main estuary and the sub-estuary during typical spring and neap tides.
784 Fig. S1 is for the dry condition with 1500 m³/s at the head of the main estuary, and Fig.
785 S2 for the extremely dry condition with 500 m³/s released at the head of the main estuary.

786

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922

923 **Figure Captions:**

924

925 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the
926 locations of hydrological and water level stations.

927 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the
928 whole domain; b)zoom in for the area of concern. The origin of the coordinates is in
929 the middle of the main estuary mouth. The longitudinal sections in the main and sub-
930 estuary are shown as dashed lines, and the cross-sections inside the sub-estuary are
931 shown as color solid lines. The locations of several stations are indicated.

932 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)
933 Total duration period with salinity exceeding 0.5 g/kg for each month; c) Monthly
934 river discharge at Boluo station (upstream of the East River); d) Monthly river
935 discharge at Wuzhou station (upstream of the West River); e) Monthly river discharge
936 at Shijiao station (upstream of the North River).

937 Fig. 4 Wavelet analysis of the salinity at the Second Water Plant.

938 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)
939 Daily river discharge at Boluo station; c) Daily maximum tidal range at Sishengwei
940 Station; d) Daily maximum salinity at Dahu Station; e) Daily mean sea level at Shibi
941 Station.

942 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal
943 range at Sishengwei; (b) between the salinity at the Second Water Plant and the tidal
944 range at Sishengwei; (c) between the salinity at the Second Water plant and the river

945 discharge at the Boluo Station; (d) between the salinity at the Second Water plant and
946 that at the Dahu Station.

947 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt
948 intrusion length along the longitudinal section of the main estuary; c) salt intrusion
949 length along the longitudinal section of the sub-estuary.

950 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1
951 Station in the main estuary; b) at S2 station (the confluence); c) at S3 station in the
952 middle of the sub-estuary; d) at S4 station in the upstream region of the sub-estuary.

953 Fig. 9. The results of the analytical solution of salinity variations along the sub-
954 estuary. a) tidal range at the mouth of the sub-estuary; b), c), and d) are tidally-
955 averaged salinity variations at S2, S3, and S4 stations.

956 Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux
957 at the cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-
958 section in the upstream region of the sub-estuary.

959 Fig. 11. Timeseries of water level at the confluence and surface salinity under
960 the extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c)
961 S3; d) S4.

962 Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary
963 under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b),
964 c), and d) are tidally-averaged salinity variations at S2, S3, and S4 stations.