

1 Salt intrusion dynamics in a well-mixed sub-estuary connected to a  
2 partially to well-mixed main estuary

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13  
14 **Abstract**  
15

16 Salt intrusion in estuaries has been exacerbated by climate change and human  
17 activities. Previous studies have primarily focused on salt intrusion in the mainstem of  
18 estuaries, whereas those in sub-estuaries (those branch off their main estuaries) have  
19 received less attention. During an extended La Niña event from 2021 to 2022, a sub-  
20 estuary (the East River estuary) alongside the Pearl River Estuary, China, experienced  
21 severe salt intrusion, posing a threat to the freshwater supply in the surrounding area.  
22 Observations revealed that maximum salinities in the main estuary typically preceded  
23 spring tides, exhibiting significant asymmetry in salinity rise and fall over a fortnightly  
24 timescale. In contrast, in the upstream region of the sub-estuary, the variation of salinity  
25 was in phase with that of the tidal range, and the rise and fall of the salinity were more  
26 symmetrical.

27 Inspired by these observations, we employed idealized numerical models and  
28 analytical solutions to investigate the underlying physics behind these behaviors. It was

29 discovered that under normal dry condition (with a river discharge of  $1500 \text{ m}^3 \text{ s}^{-1}$  at the  
30 head of the main estuary), the river-tide interaction and change in horizontal dispersion  
31 accounted for the in-phase relationship between the salinity and tidal range in the  
32 upstream region of the sub-estuary. Under extremely dry conditions (i.e., a river  
33 discharge of  $500 \text{ m}^3 \text{ s}^{-1}$  at the head of the main estuary), salinity variations were in-  
34 phase with those of the tidal range in the middle as well as the upstream region of the  
35 sub-estuary. The variation of salinity in the main estuary, along with those of salt  
36 dispersion and freshwater influx inside the sub-estuary collectively influenced salinity  
37 variation in the well-mixed sub-estuary. These findings have important implications for  
38 water resource management and salt intrusion prevention in the catchment area.

39 **Keywords:** Sub-estuaries; River-tide interaction; Partially to well-mixed estuary.

40

## 41 **1. Introduction**

42

43 Salt intrusion in estuaries has emerged as an increasingly significant  
44 environmental issue, as it contaminates water quality, restricts freshwater supply, and  
45 affects the biota's habitat in estuaries (Payo-Payo et al., 2022). The severity of salt  
46 intrusion in estuaries has been further exacerbated by both climate change and  
47 anthropogenic activities. Climate change has led to more severe droughts in various  
48 regions worldwide (Spinoni et al., 2014), resulting in reduced freshwater flow from  
49 upstream watershed basin into estuaries. In turn, this has intensified salt intrusion in  
50 these areas. Additionally, sea level rise has been identified as a contributing factor to

51 this phenomenon (e.g., Hong et al., 2020). Human activities, including dam  
52 construction in the watershed, channel dredging, and land reclamation in estuaries, have  
53 caused reductions in river inflow, channel deepening, and enhanced convergence of  
54 estuarine geometry, all of which favor an increase in salt intrusion (e.g., Ralston and  
55 Geyer, 2019).

56 Salt intrusion in estuaries is the result of landward salt transport, which consists of  
57 steady shear and tidal oscillatory transport (MacCready and Geyer, 2010). The  
58 combination of estuarine circulation and salinity stratification induces a steady shear  
59 when averaged in a tidal cycle. Tidal oscillatory transport is generated by tidal pumping  
60 such as the jet-sink flow for an inlet (Stommel and Farmer, 1952), tidal trapping with a  
61 side embayment (Okubo, 1973), tidal shear dispersion by the vertical shears of current  
62 and mixing (Bowden, 1965), tidal straining (Simpson et al., 1990), and chaotic stirring  
63 (Zimmerman, 1986).

64 In general, for a partially mixed estuary in which the steady shear dominates the  
65 landward salt transport, the salt intrusion is strongest during neap tides and weakest  
66 during spring tides under the steady-state conditions, meaning that the change in salinity  
67 is out-of-phase with that in the tidal range. However, for a well-mixed and/or a salt  
68 wedge estuary, in which the tidal dispersion is the dominant contributor to landward  
69 salt transport, the salt intrusion is strongest during spring tides and weakest during neap  
70 tides, signifying that the salinity variation is in phase with the tidal range (Ralston et  
71 al., 2010). These steady-state situations are altered by the unsteadiness of external  
72 forcing and the adjustment of estuaries to the changing forcings (Chen 2015 and

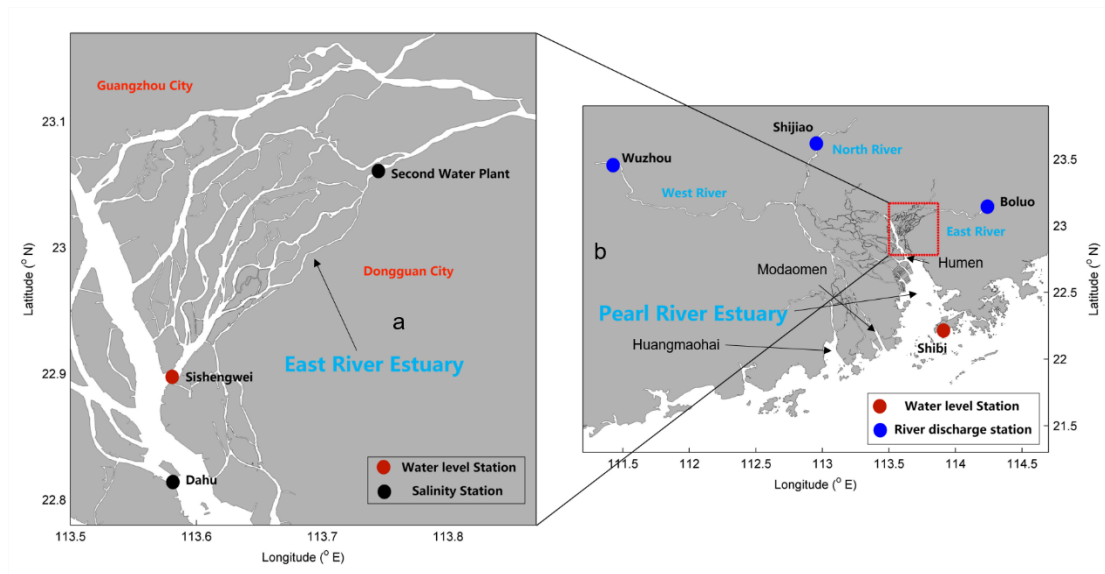
73 references therein). In general, when the internal timescale of an estuary, which is  
74 defined as the time needed for a water parcel from the upstream to travel through the  
75 estuary by the river-induced flow, is shorter than the external timescale, which is often  
76 the spring-neap tidal cycle, the salinity variation in an estuary can keep pace with the  
77 change in tidal forcing and reaches steady state. However, when the internal timescale  
78 is longer than the external timescale, the salt intrusion can hardly reach the steady state,  
79 and there exists a phase shift between the salt intrusion and tidal range, such as in the  
80 Modaomen estuary (Gong and Shen, 2011) and Hudson River (Bowen and Geyer,  
81 2003).

82 Previous studies on salt intrusion have primarily focused on main estuaries, where  
83 freshwater discharge empties into the estuarine waterbody at the estuary head and is  
84 profoundly diluted by the seawater from the ocean. However, there has been relatively  
85 less research on salinity dynamics specifically in tidal creeks or sub-estuaries, i.e. those  
86 that reside aside from their main estuary. It is worth noting that larger estuaries often  
87 possess sub-estuaries or tidal creeks, as highlighted by Uncles and Stephens (2010).  
88 Sub-estuaries branch off the stem of their main estuary and exhibit behavior that is  
89 partially dependent on processes acting within the main estuary. Haywood et al. (1982)  
90 described the importance of conditions at the confluence of the York River sub-estuary  
91 and the Chesapeake Bay to salinity stratification within the sub-estuary. Uncles and  
92 Stephens (2010) investigated the salinity dynamics in a sub-estuary (Tavy) connected  
93 to the main estuary (Tamar, UK). They noted that the tidal range had a limited effect on  
94 the salinity in the sub-estuary. Yellen et al. (2017) examined the sediment dynamics in

95 a side embayment of the main estuary of Connecticut, USA, and found that salinity  
96 intrusion from the main estuary enhanced sediment trapping inside the sub-estuary.

97 The previous studies on sub-estuary salt dynamics have mainly focused on  
98 examining salinity variabilities and water column stratification, as exemplified by the  
99 work of Haywood et al. (1982). Some investigations have also explored the influence  
100 of river discharge from the heads of the main estuary and sub-estuary, as well as the  
101 impact of winds, as discussed by Uncles and Stephens (2010). However, there remains  
102 a knowledge gap regarding how the salt dynamics in the main estuary affect those in  
103 the sub-estuary, as well as how the interaction between river flow and tides influences  
104 salinity variations in the sub-estuary. Regarding the river-tide interaction, here we focus  
105 on how tides affect river flow through mechanisms such as nonlinear bottom friction  
106 and advective terms in the momentum equation, as outlined by Buschman et al. (2009),  
107 whereas the effect of river flow on tidal propagation will not be explored.

108 In 2021, under the influence of a La Nina event, the precipitation in the Pearl River  
109 Delta (PRD) area (Fig. 1), China, was extremely low, and the salt intrusion was very  
110 severe, which imposed a great threat to the freshwater supply in the region, especially  
111 during winter months (December to February). Alongside the Pearl River Estuary  
112 (PRE), a sub-estuary of the East River estuary (Fig. 1), also experienced strong salt  
113 intrusion and heavily impacted the water supply to the city of Dongguan, home to a  
114 population of 10 million people. This shortage of freshwater became a significant  
115 concern for the surrounding people, especially during the Spring Festival, the Chinese  
116 Lunar New Year.



117

118 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the locations of hydrological  
 119 and water level stations.

120

121 The present work has two objectives: (a) to investigate the characteristics of salt  
 122 intrusion in a well-mixed sub-estuary by analyzing observation data. The characteristics  
 123 include spatial-temporal variations of salt intrusion and its relationship with river flow  
 124 and tidal range; (b) to explore the underlying physics behind salt intrusion in the sub-  
 125 estuary, such as the impacts of salt dynamics in the main estuary, and the river-tide  
 126 interaction inside the sub-estuary. To achieve the above goals, we first collected and  
 127 analyzed observational data of salt intrusion at the East River estuary. Then we utilized  
 128 an idealized configuration for numerical model investigation. Two numerical model  
 129 experiments with mean and extremely low river discharges in dry seasons in the main  
 130 estuary, respectively, were conducted to identify the relevant mechanisms for the  
 131 variability of salt intrusion in the sub-estuary. Furthermore, to clearly understand the  
 132 phase relationship between salinity and tidal range, analytical solutions for the subtidal

133 salinity in the well-mixed sub-estuary were utilized.

134 The remainder of this paper is structured as follows. The study site is briefly  
135 introduced in Section 2. The methods of data analysis, numerical model simulation, and  
136 analytical solution are presented in Section 3. In Section 4, the results of the salt  
137 intrusion dynamics through the measurement data analysis, numerical model, and  
138 analytical solution are demonstrated, followed by some discussions on the impacts of  
139 river-tide interaction in the sub-estuary, the salt dynamics in the main estuary, and the  
140 limitations of this study in Section 5. Finally, a summary and conclusion are given in  
141 Section 6.

142

## 143 **2. Study site**

144

145 The Pearl River, China's second largest river in terms of annual freshwater  
146 discharge, has three main branches: West River, North River, and East River (Hu et al.,  
147 2011), as displayed in Fig. 1b. The Pearl River forms a complex delta, known as the  
148 Pearl River Delta (PRD), which consists of the downstream river network and three  
149 estuaries, from west to east: the Huangmaohai Estuary, the Modaomen Estuary, and the  
150 PRE (Fig. 1b). The PRE, the largest of the three estuaries, is funnel-shaped and has a  
151 mean depth of 4.6 m (Wu et al., 2016). Its width decreases from 50 km at its mouth  
152 between Hong Kong and Macau to 6 km at Humen Outlet. The axial length of the  
153 estuary from the mouth to Humen is approximately 70 km. Above the Humen, the  
154 estuary becomes relatively straight and further extends almost 90 km landward to its  
155 head. Upstream of the Humen, there exists a waterway known as Shizhiyang. Along the

156 waterway, there are several river tributaries, among which the East River sub-estuary,  
157 are distributed on the east side.

158 The river discharge into the PRE is about 1/4 of the total river flow from the Pearl  
159 River. The total annual river discharge of the Pearl River is  $3260 \times 10^8 \text{ m}^3$ , in which the  
160 river discharge experiences distinct seasonal variations. During the dry season (from  
161 November to March), the river discharge at the head of the Pearl River takes up only  
162 about 30% of the annual discharge, so the total river discharge of the Pearl River is  
163 about  $6000 \text{ m}^3/\text{s}$  in the dry season, and the upstream river discharge of the PRE is  $1500$   
164  $\text{m}^3/\text{s}$  (1/4 of the total). Under extremely dry conditions, the river discharge at the head  
165 of the PRE can be less than  $1000 \text{ m}^3/\text{s}$ .

166 The PRE has a microtidal and mixed semi-diurnal regime (Mao et al., 2004). The  
167 annual mean tidal range is 1.45 m near Lantau Island (at the mouth of the PRE) and  
168 1.77 m near the Humen outlet (Gong et al., 2018). The amplitudes of  $M_2$ ,  $S_2$ ,  $K_1$ , and  $O_1$   
169 constituents near the Lantau Island are 35.5, 14, 33.5, and 27.9 cm, respectively (Mao  
170 et al., 2004), showing the dominance of the  $M_2$  constituent. The alternation of neap and  
171 spring tides causes the tidal range near Lantau Island to vary from approximately 0.7 m  
172 during neap tides to approximately 2 m during spring tides. Apart from the fortnightly  
173 variation of the tidal range, there also exists a monthly variation, which is referred to as  
174 the apogee/perigee cycle (Payo-Payo et al., 2022).

175 The PRE exhibits strong seasonal variation and is highly stratified during the wet  
176 summer season (July to September), with the bottom isohaline of 10 psu protruding into  
177 the upper estuary (50 to 70 km from the estuary mouth) and the surface isohaline of 10



178 psu extending outside of the estuary. The subtidal bottom-surface salinity difference is  
179 mostly greater than 10 psu inside the estuary (Dong et al., 2004). During the dry season,  
180 the PRE is generally in a partially mixed state, with the bottom isohaline of 10 psu  
181 reaching the Humen Outlet, and the surface isohaline of 10 psu lying in the upper  
182 estuary (Wong et al., 2003; Gong et al., 2018). In the dry season, the horizontal  
183 difference of depth-mean salinity varies by between 20 and 25 psu across a distance of  
184 70 km from the estuary mouth to Humen Outlet, and the vertical salinity difference  
185 between the surface and bottom varies from 1 to 12 psu along the channels in the estuary.

186 The East River is a branch of the Pearl River, with a length of 562 km and a  
187 drainage area of 27,040 km<sup>2</sup>. It forms a sub-delta, known as the East River Delta, which  
188 is located on the east side of the PRE and above the Humen Outlet (Fig. 1a). The upper  
189 reach of the East River is essentially composed of a single channel, while in its lower  
190 reach, downstream of Dongguan City, a complex river network is formed, including  
191 several tributaries (Fig. 1a). Here we focus on the southernmost tributary, which merges  
192 into the main estuary at the confluence of Sishengwei, where a hydrological station  
193 resides. This tributary has a length of approximately 75 km from the confluence  
194 (Sishengwei) to the upstream hydrological station of Boluo (Fig. 1b), and a mean water  
195 depth of less than 5 m.

196 The average annual freshwater load of the East River is  $240 \times 10^8$  m<sup>3</sup>, or a mean  
197 river discharge of 728 m<sup>3</sup> s<sup>-1</sup>, accounting for 7.1% of the total river flow of the Pearl  
198 River. During dry seasons, the river discharge is approximately 400 m<sup>3</sup> s<sup>-1</sup>. However,  
199 the annual mean river discharge in 2021 was only 262 m<sup>3</sup> s<sup>-1</sup>. During the winter of 2021,

200 the salinity at several water plants exceeded the drinking water criteria of 0.5 psu for a  
201 lasting duration of 3 months and impaired the freshwater supply in the region.

202 Similar to the main estuary, the tidal regime in the East River sub-estuary is a  
203 mixed semi-diurnal one, with the tidal range decreasing when propagating upstream  
204 due to the predominance of the bottom friction over the estuarine convergence. In recent  
205 decades, the tidal strength has been seen to increase by human activities, such as sand  
206 mining in the estuary (Jia et al., 2006).

207

### 208 **3. Methods**

209

#### 210 **3.1 Observation data and analysis**

211

212 The observation data here consist of the daily discharge of the West, North, and  
213 East Rivers, hourly water level data at the confluence (Sishengwei) between the East  
214 River sub-estuary and the main estuary (PRE), daily sea level at the mouth of the PRE  
215 (Shibi), and hourly surface salinity data at the Dahu station, which is located  
216 downstream of the Sishengwei, and at the Second Water Plant of Dongguan City. These  
217 two stations span a distance of approximately 30 km. The river discharge data at three  
218 river branches of the Pearl River, hourly water level data at Sishengwei, and hourly  
219 surface salinity data at Dahu are from the Pearl River Water Resources Commission,  
220 whereas the salinity data at the Second Water Plant is from the Water Authority of  
221 Dongguan City. The sea level data at the estuary mouth is from the Hong Kong  
222 Observatory (<http://gb.weather.gov.hk/contentc.htm>). All the salinity data are the

223 surface salinities.

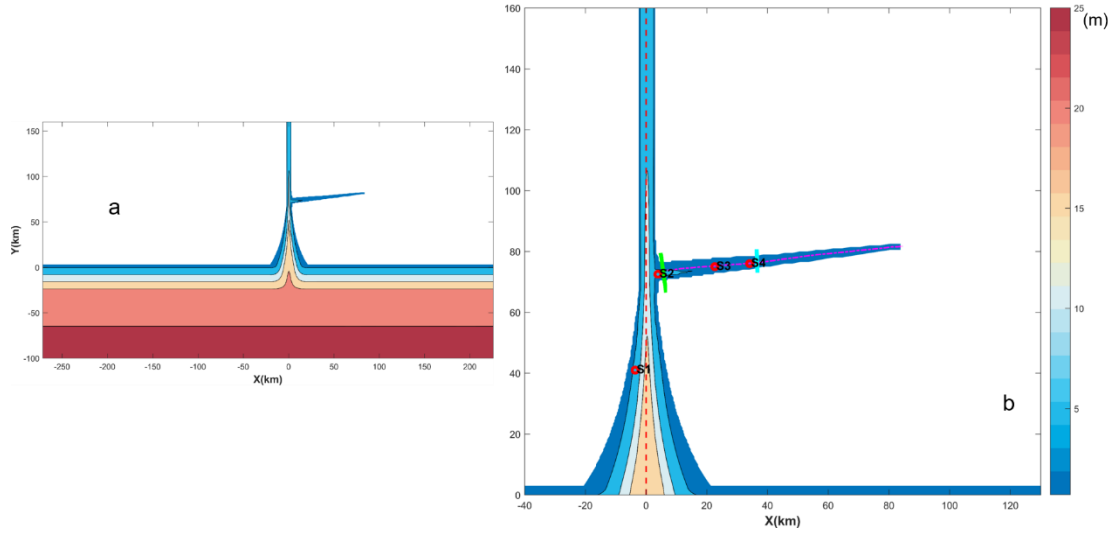
224 The salinity data at the Second Water Plant was subject to wavelet analysis, a  
225 method that has been widely used to analyze geophysical data, like in salt intrusion  
226 studies in estuaries (Liu et al., 2014; Gong et al., 2022). This method can identify  
227 localized periodicities (or bands) that are linked to specific processes, such as tidal and  
228 spring-neap variations. In this study, the continuous wavelet transform (CWT) method  
229 was used to identify the multi-scale characteristics of salinity, and cross wavelet was  
230 employed to examine the nonlinear correlations among variables, such as between the  
231 salinity of the Second Water Plant and the water level at Sishengwei, between the  
232 salinity of the Second Water Plant and the salinity of Dahu, and between the salinity of  
233 the Second Water Plant and the river discharge at the Boluo Station.

234

### 235 **3.2 Numerical model configuration and experiments**

236

237 The Regional Ocean Modeling System (ROMS) was used in this modeling study.  
238 ROMS is a free-surface, hydrostatic, primitive-equations ocean model that uses  
239 stretched, terrain-following vertical coordinates and orthogonal curvilinear horizontal  
240 coordinates on an Arakawa C-grid (Haidvogel et al. 2000). The model domain was  
241 designed as an estuary-shelf system (Fig. 2). In the coordinate system,  $x$  is in the



242

243 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the whole domain;

244 b)zoom in for the area of concern. The origin of the coordinates is in the middle of the main

245 estuary mouth. The longitudinal sections in the main and sub-estuary are shown as dashed lines,

246 and the cross-sections inside the sub-estuary are shown as color solid lines. The locations of

247 several stations are indicated.

248

249 cross-estuary direction, with rightward being positive,  $y$  is in the along-channel

250 direction, with landward being positive, and  $z$  directs upward. The origin of the

251 system is in the middle of the estuary mouth. The estuary is composed of a convergent

252 part and a straight part. The geometry and bathymetry of the estuary roughly resemble

253 those of the PRE, with the convergent part extending from the estuary mouth to the

254 Humen Outlet (70 km in length), and the straight part from the Humen Outlet to the

255 head of the estuary (90 km long). For the convergent part, the estuarine width  $B$  is

256 assumed to decrease exponentially in the landward direction, as follows:

257 
$$B = B_0 \exp\left(-\frac{y}{L_b}\right) \quad (1)$$

258 where  $B_0$  is the estuarine width at the estuary mouth (here taken as 46 km) and  $L_b$  is

259 the width convergence length (taken as 31 km, as estimated by Zhang et al., 2021). The  
 260 bathymetry of the PRE is characterized by deep channels and side shallow shoals.  
 261 Following Wei et al. (2017), we roughly mimicked this feature by setting the  
 262 bathymetry of the convergent part as:

$$263 \quad H(x, y) = H_{min} + (H_m - H_{min})\frac{y}{L} + (H_{max} - H_{min}) \times \left(1 - \frac{y}{L}\right) \left(1 - \frac{4x^2}{B^2}\right) e^{-C_f \left(\frac{4x^2}{B^2}\right)} \quad (2)$$

264 where  $L$  is the length of the convergent part (70 km);  $H_{max}$  (20 m) and  $H_{min}$  (3.0 m)  
 265 are the maximum and minimum water depths at the estuary mouth, the width-averaged  
 266 water depth  $H_m$  is constant ( $H_m = 8$  m) along the estuary, and the parameter  $C_f$  is set  
 267 as 4, based on the bathymetry data. In the straight part of the estuary, the bathymetry  
 268 was kept the same as that of the uppermost cross-section of the convergent part.

269 At a distance of 75 km from the mouth of the main estuary, we added a sub-estuary  
 270 on the east side, resembling the East River sub-estuary. The sub-estuary extends in a  
 271 southwest-northeast direction for a distance of approximately 75 km. The width of the  
 272 sub-estuary is mildly convergent, with a width of 10 km at the confluence and  
 273 decreasing to 600 m at the head, with an e-folding decrease scale ( $L_b$ ) of 90 km. The  
 274 water depth decreases landward from 6 m at the confluence to 3.5 m at the head of the  
 275 sub-estuary.

276 As the boundary conditions at an estuary mouth are generally unknown, we added  
 277 a continental shelf to the model domain. The shelf is 100 km wide and approximately  
 278 500 km long, with the downstream part (representing the Kelvin wave propagation  
 279 direction) being slightly longer than the upstream part. The water depth of the shelf is  
 280 uniform in the alongshore direction and increases linearly from the coast to the offshore  
 281 direction, with a slope of  $1 \times 10^{-4}$ . The model grid has  $313 \times 506$  cells, with a cross-  
 282 channel spatial resolution of 300 m and an along-channel resolution of 500 m in the

283 estuary. The horizontal resolution decreases on the shelf and becomes 2 km at the open  
284 ocean boundaries. Fifteen vertical s-grid layers were specified with higher resolutions  
285 near the surface and bottom, and the coefficients of  $\theta_s$ ,  $\theta_b$ , and  $h_c$  were set as 2.5,  
286 3.0, and 5.0, respectively. In ROMS Model, coefficients larger than unity for  $\theta_s$ ,  $\theta_b$   
287 can generate higher resolutions near the surface and bottom, respectively. For details of  
288 these coefficients, the reference of Shchepetkin and McWilliams (2005) can be referred  
289 to.

290 We used the  $k - \varepsilon$  submodel of the Generic Length Scale (*GLS*) turbulence  
291 closure scheme to calculate the vertical mixing (Umlauf and Burchard, 2003; Warner  
292 et al., 2005). The horizontal eddy viscosity and diffusivity were calculated using the  
293 Smagorinsky scheme (Smagorinsky, 1963). The bottom friction was calculated based  
294 on the log-layer assumption near the bottom, with a bottom roughness length of 1 mm.  
295 This setting results in a mean bottom drag coefficient of 0.005. The open ocean  
296 boundary condition for the barotropic component consists of a Flather/Chapman  
297 boundary condition for the depth-averaged flow and sea surface elevation (Chapman,  
298 1985; Flather, 1976). The open boundary conditions for the temperature, salinity, and  
299 baroclinic current are the Orlanski-type radiation conditions (Orlanski, 1976).

300 To investigate the impact of salt dynamics in the main estuary on salt intrusion in  
301 the sub-estuary, two numerical experiments were implemented. In both cases, the river  
302 discharge at the head of the sub-estuary was set as 200 m<sup>3</sup>/s, which is approximately  
303 the value during the dry season in 2021 in the East River estuary. A time series of water  
304 levels produced by a combination of 12 tidal constituents was specified at the offshore  
305 boundary. These 12 tidal constituents are  $M_2$ ,  $S_2$ ,  $N_2$ ,  $K_2$ ,  $K_1$ ,  
306  $O_1$ ,  $P_1$ ,  $Q_1$ ,  $M_4$ ,  $MS_4$ ,  $M_m$ ,  $M_f$ , respectively. The tidal constants of these 12

307 constituents were obtained from the Oregon Tidal Database (OPTS). As the tidal  
308 amplitudes are almost doubled at the mouth of the main estuary due to the  
309 superimposition of propagating and reflected tidal waves, the amplitudes of these tidal  
310 constituents at the offshore boundary were reduced by half. Case 1 was set with a river  
311 discharge of  $1,500 \text{ m}^3 \text{ s}^{-1}$  at the main estuary's head. The river discharge of  $1500 \text{ m}^3 / \text{s}$   
312 is representative of the total amount that empties into the PRE from different outlets in  
313 dry seasons (Gong et al., 2020), being lumped as input at the head of the PRE. The  
314 inflowing river water was prescribed to have zero salinity and a temperature of  $22^\circ\text{C}$ ,  
315 identical to the background temperature setting throughout the entire domain. The  
316 incoming salinity at the offshore boundary was specified to be 34 psu. In Case 2, we  
317 set an extremely low river discharge ( $500 \text{ m}^3 \text{ s}^{-1}$ ) at the head of the main estuary, which  
318 is realistic under the La Nina event. In this scenario, we aimed to check how the salt  
319 dynamics in the more mixed main estuary affect the salinity variation in the sub-estuary.

320

### 321 **3.3 Analytical solutions for the salinity variation in the well-mixed sub-estuary**

322

323 For the subtidal (here is that averaged over 25 hours) salinity variation along the  
324 well-mixed sub-estuary, the advection-diffusion equation can be written as:

$$325 \quad \frac{\partial(A\bar{S})}{\partial t} = -\frac{\partial}{\partial x}(A\bar{u}\bar{S}) + \frac{\partial}{\partial x}(AK_x \frac{\partial \bar{S}}{\partial x}) \quad (3)$$

326 where  $A$  is the cross-sectional area,  $\bar{S}$  is the subtidal salinity in the cross-section,  
327  $t$  is time,  $\bar{u}$  is subtidal longitudinal velocity,  $x$  is the distance along the sub-estuary,  
328  $K_x$  is the longitudinal dispersion coefficient. The left term in Eq. 3 indicates the local  
329 acceleration and the unsteadiness of salinity variation. The unsteadiness is controlled

330 by the contrast between the internal and external timescales. The internal timescale of  
 331 the sub-estuary for a river discharge of 200 m<sup>3</sup>/s was estimated to be longer than 30  
 332 days. This timescale is longer than the fortnightly timescale, and the salinity in the sub-  
 333 estuary can hardly reach a steady state under the varying tides, thus the time tendency  
 334 term should not be ignored. Savenije (2012) suggested another timescale to quantify  
 335 the estuary's response timescale ( $T_S$ ), which is expressed as:

$$336 \quad T_S = -\frac{1}{Q_f \bar{S}(X)} \int_X^L A \bar{S} dx \quad (4)$$

337 Based on the numerical model results, by selecting  $X$  at the sub-estuary's mouth,  
 338 we calculated the response timescale to be 16.22 day, which is comparable to the spring-  
 339 neap tidal cycle. This indicates that the salinity variation in the sub-estuary can  
 340 approximately keep pace with the changing tidal forcing. We thus ignored the  
 341 unsteadiness term and assumed that the horizontal dispersion is constant in a subtidal  
 342 period and scales with the tidal current at the sub-estuary's mouth. Meanwhile, the  
 343 boundary condition of subtidal salinity at the sub-estuary's mouth was updated at each  
 344 subtidal period. In this way, the calculation of subtidal salinity in the sub-estuary can  
 345 be proceeded. As such, Eq. 3 becomes (Cai et al., 2015):

$$346 \quad \frac{Q}{A} \bar{S} = K_x \frac{\partial \bar{S}}{\partial x} \quad (5)$$

347 in which  $Q$  is the river discharge. We assume that the cross-sectional area decreases  
 348 exponentially in the landward,  $A = A_0 \exp(-x/a)$ , where  $a$  is the convergence  
 349 length scale of the cross-sectional area. When the longitudinal dispersion coefficient  
 350  $K_x$  is assumed to be a constant along the sub-estuary, the subtidal salinity along the  
 351 sub-estuary can be obtained as:



352 
$$\frac{\bar{S}}{S_0} = \exp\left\{-\frac{Qa}{A_0K_x}\left[\exp\left(\frac{x}{a}\right) - 1\right]\right\} \quad (6)$$

353 For each subtidal period, we obtained the subtidal salinity ( $S_0$ ) and the tidal current  
 354 at the mouth of the sub-estuary from the numerical model results, and related the  
 355 horizontal dispersion ( $K_x$ ) to the tidal strength at the mouth. When these data were  
 356 available, the subtidal salinity at each subtidal period was calculated for our numerical  
 357 simulation period.

358 When the  $K_x$  is assumed to vary along the estuary, the salinity variation along the  
 359 sub-estuary is in another form and not presented here (Savenije, 2012), as that form of  
 360  $K_x$  is not related to the tidal strength and is unsuitable for our situation here, so this  
 361 scenario is not pursued further.

362

### 363 **3.4 Calculation of the salt and freshwater fluxes**

364

365 The salt flux at a cross-section is calculated as follows:

366 
$$F_s = \int uSdA \quad (7)$$

367 where  $u$  is the instantaneous longitudinal velocity, and  $S$  is the instantaneous  
 368 salinity. The instantaneous flux was integrated and then averaged over a subtidal period  
 369 (25 hours).

370 As the changes in freshwater transport by the river-tide interaction are concerned,  
 371 we also calculated the freshwater flux, which is:

372 
$$F_f = \int u\left(1 - \frac{S}{S_0}\right)dA \quad (8)$$

373 where  $S_0$  is the ocean salinity, here is taken to be 34 psu. The freshwater flux was  
 374 also integrated and averaged over a subtidal timescale.

375

## 376 **4. Results**

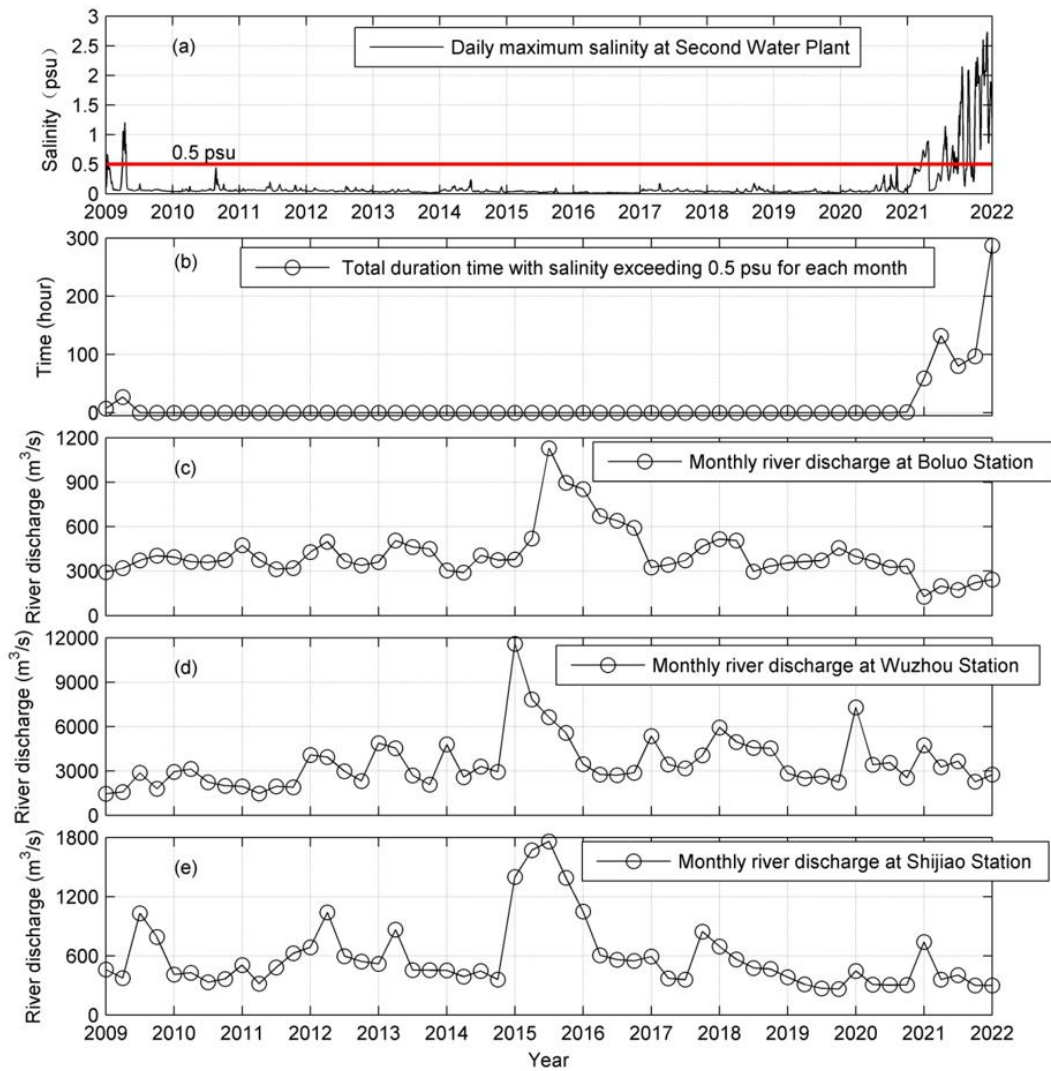
377

### 378 **4.1 The characteristics of salt dynamics in the sub-estuary: based on observation**

379 **data**

380

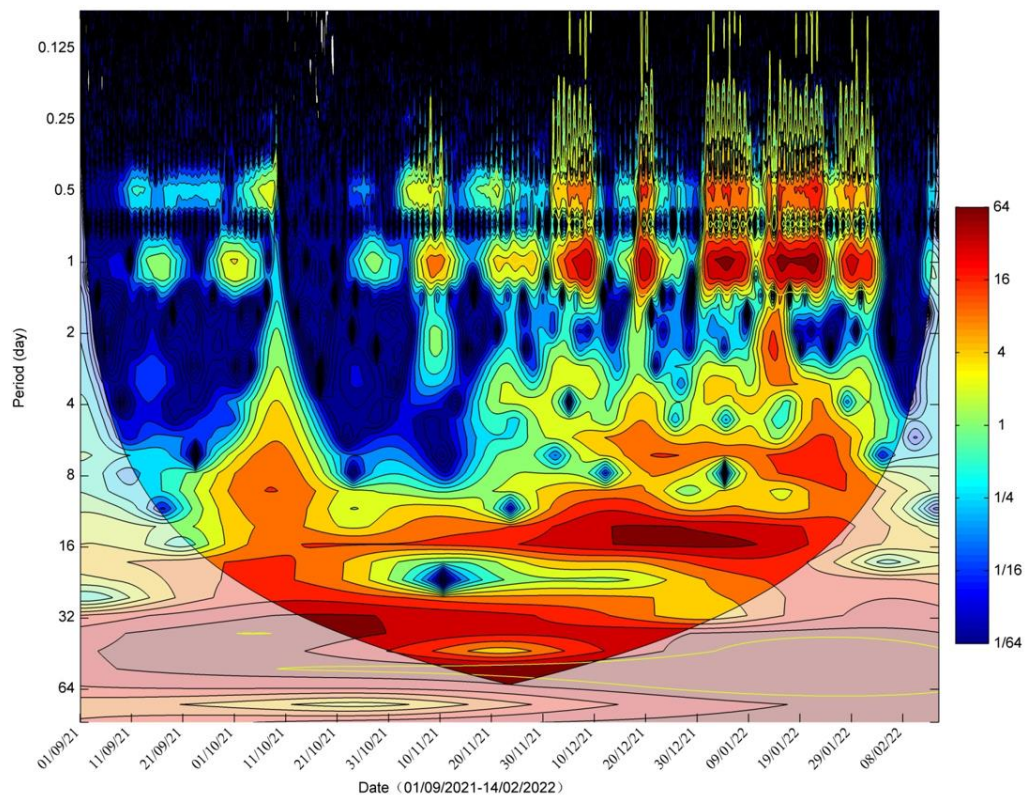
381 Here we take the Second Water Plant as a representative station in the upstream  
382 region of the sub-estuary. The salinity variation at this station was checked from 2009  
383 to 2022, as shown in Fig. 3. It indicates (Fig. 3a) that before 2021, the surface salinity  
384 was generally lower than 0.5 psu and suitable for extraction. During the winter season  
385 of 2021-2022, the salinity exceeded the drinking water criterion for a prolonged period  
386 of 280 hours in January 2022 (Fig. 3b). These elevated salinities coincided with the  
387 decreased river discharge from the upstream in the PRD, shown by the data at the  
388 hydrological stations of Boluo, Wuzhou and Shijiao (Figs. 3c, 3d and 3e).



389  
 390 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Total duration period  
 391 with salinity exceeding 0.5 psu for each month; c) Monthly river discharge at Boluo station  
 392 (upstream of the East River); d) Monthly river discharge at Wuzhou station (upstream of the West  
 393 River); e) Monthly river discharge at Shijiao station (upstream of the North River). Note that the  
 394 river discharges in 2022 are comparable to those of 2009 but the effect on salinities are dramatically  
 395 higher.

396  
 397 We conducted wavelet analysis for the salinity data of the Second Water Plant  
 398 Station from September 2021 to February 2022, when the salt intrusion was severe. The

399 result is shown in Fig. 4. It indicates that the power of salinity variations is concentrated  
 400 in several periods: one is in the range of 0.5 to 1 day, which is caused by tidal fluctuation;  
 401 the second period lies in the range of 5-9 days, which is presumably induced by wind  
 402 forcing; the third one is in the range of 14-16 days, obviously by the fortnightly  
 403 variation of spring-neap tidal cycle. The last one is within the range of 28 days, near  
 404 the monthly timescale. This periodicity should be caused by the tidal beating among  
 405 tidal constituents of  $M_2$ ,  $S_2$ ,  $N_2$ ,  $K_1$ ,  $O_1$ , as indicated by Payo-Payo et al. (2022).



406

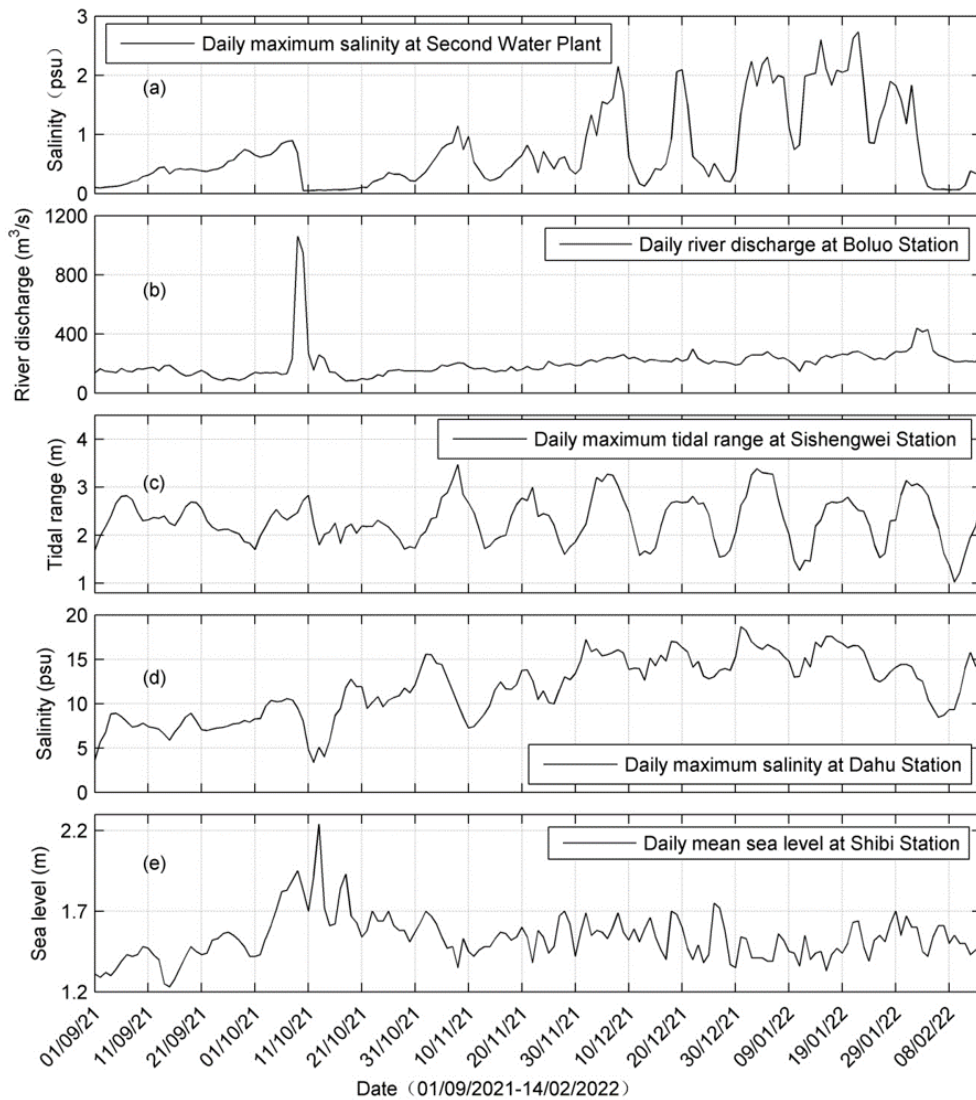
407

Fig. 4 Wavelet analysis of the salinity at the Second Water Plant

408

409 To identify the possible factors influencing the salinity variations in the sub-  
 410 estuary, we present the time series data of salinity at the Second Water Plant, river  
 411 discharge at Boluo station, tidal range at Sishengwei station, salinity at Dahu station

412 (located in the main estuary), and daily sea level at Shibi station (located at the mouth  
413 of the main estuary) in Fig. 5. Firstly, it is evident that the variation of salinity at Dahu  
414 (Fig. 5d) shows a consistent pattern with the changes in tidal range at Sishengwei (Fig.  
415 5c), when the river discharge is relatively low after a flash flood event, which occurred  
416 around October 21, 2021 (Fig. 5b). The highest salinity happened 2-3 days after neap  
417 tides in the transition from neap to spring tides, whereas the lowest salinity occurred in  
418 the transition from spring to neap tides, and generally occurred just before the neap  
419 tides. This result indicates that the salinity and tidal range in the main estuary were  
420 almost out of phase, and there existed a time lead of the salinity to the tidal range. This  
421 pattern agrees well with what occurred in the Hudson River (Bowen and Geyer, 2003)  
422 and the Modaomen Estuary (Gong and Shen, 2011), suggesting that the PRE remained  
423 in a state of partially mixed. On the other hand, the salinity of the Second Water Plant  
424 was almost in phase with the tidal range at the confluence (Fig. 5a vs. 5c). High  
425 salinities coincided with spring tides, and low salinities occurred during neap tides. It  
426 should be noted that the sea level at the PRE mouth showed a significant setup near  
427 October 11, 2021, when a large increase in river discharge was observed in the PRD  
428 due to a tropical storm (enumerated as the 17<sup>th</sup> typhoon in 2021, see the peak in Fig.  
429 5b). This event caused a sharp decline in salinities at both Dahu and the Second Water  
430 Plant, followed by a rebound approximately 10 days later.



431

432 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Daily river

433 discharge at Boluo station; c) Daily maximum tidal range at Sishengwei Station; d) Daily

434 maximum salinity at Dahu Station; e) Daily mean sea level at Shibi Station. Note that it takes

435 about 7-8 days after the storm for the salinity to recover to its pre-storm levels in the main estuary

436 and almost a month in the sub-estuary

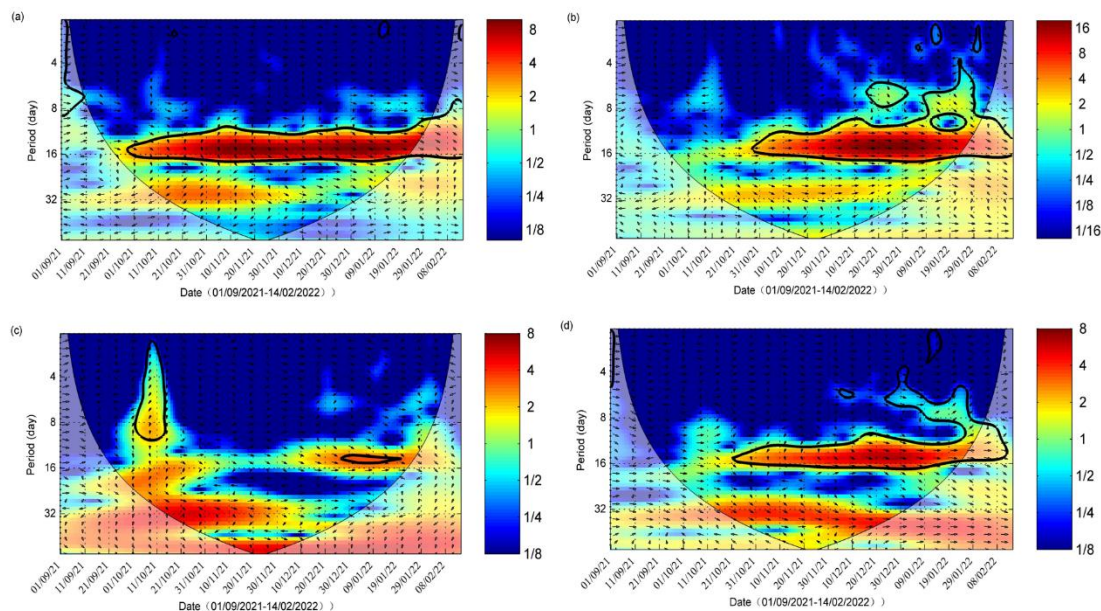
437

438 The cross-wavelet analysis between salinity at Dahu and tidal range at Sishengwei

439 (Figs. 6a) shows that the two variables are highly correlated in the periods of 14-16

440 days, indicating the effect of fortnightly spring-neap tidal variation. The arrow pointing

441 down and right in this time band demonstrates that the change in tidal range lagged the  
442 variation of salinity.



443

444 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal range at  
445 Sishengwei; (b) between the salinity at the Second Water Plant and the tidal range at Sishengwei;  
446 (c) between the salinity at the Second Water plant and the river discharge at the Boluo Station; (d)  
447 between the salinity at the Second Water plant and that at the Dahu Station.

448

449 The cross-wavelet analysis between the salinity at the Second Water Plant and the  
450 tidal range at Sishengwei station (Figs. 6b) shows that there existed a high common  
451 power band of 14-16 days after October 21, 2021, and the phase relationship between  
452 them was in phase, indicating that high salinities occurred during spring tides and low  
453 salinities during neap tides, confirming the above results. It is also noted that before the  
454 flood event on October 11, 2021, there was no high common power between these two  
455 variables, even though the river discharge at the head of East River (Boluo Station) was

456 lower. This lack of high common power in the time band of 14-16 days before the  
457 tropical storm event can also be noted in the cross-wavelet analysis between the salinity  
458 at Dahu and the tidal range at Sishengwei. We also noted that before the storm event,  
459 the water level at Sishengwei did not show distinct fortnightly spring-neap variations  
460 (Fig. 5c). This lack of fortnightly cycle could be induced by the wind-induced  
461 setup/setdown and/or the river-tide interaction, in which the river flow suppress the  
462 tidal propagation. This phenomenon is peculiar and warrants a future study but beyond  
463 the scope of this study.

464 The cross-wavelet analysis between the salinity at the Second Water Plant and the  
465 river discharge at Boluo Station is presented in Fig. 6c. The high correlation during the  
466 storm event was obvious, whereas, after that, the common power between the salinity  
467 and river discharge was relatively low during the rebound period of the salinity at the  
468 Second Water Plant. This low correlation could be due to the fact that the river discharge  
469 did not change much and had no periodicity of 14-16 days then.

470 To examine the relationship between the salinities in the main estuary and at the  
471 sub-estuary, we conducted a cross-wavelet analysis between the salinity at the Second  
472 Water Plant and that at Dahu (Fig. 6d). There existed high common power between  
473 these two variables in the time band of 14-16 days, the fortnightly tidal cycle. It also  
474 shows that before October 21, 2021, the phase relationship between these two variables  
475 was approximately in quadrature, indicating that the variation of the salinity at the  
476 Second Water Plant lagged that at Dahu by 3.5-4 days. After October 21, 2021, the  
477 phase relationship between them changed to in-phase when the river discharges in the



478 PRD became very low. This is quite interesting and will be explored in the following.

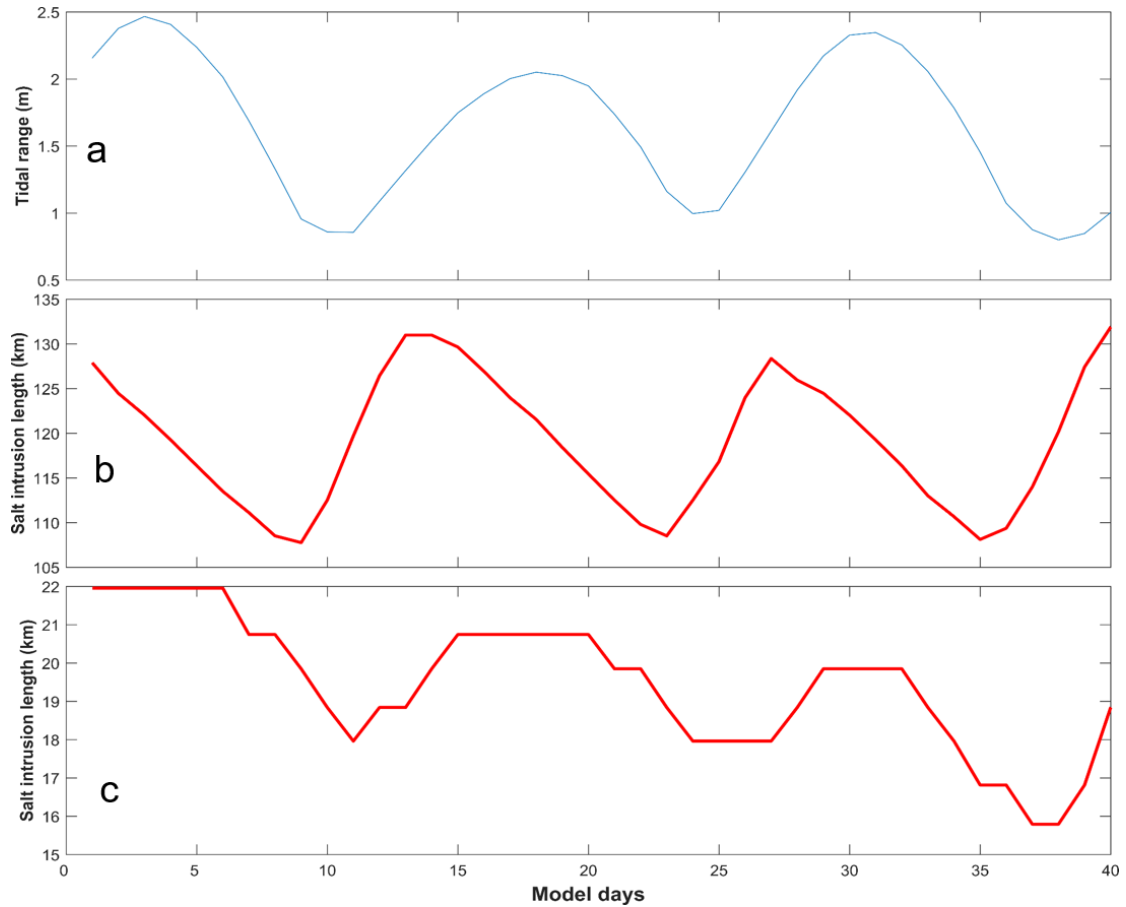
479

## 480 **4.2 The salt dynamics obtained through numerical simulations**

481

482 For Case 1 (base run), we intended to investigate the salt dynamics when the main  
483 estuary stays in a state of partially mixed. Firstly we examine the variation of salt  
484 intrusion length along the estuary's deep channel (Fig. 2b). Here the salt intrusion  
485 length is defined as the distance of the bottom salinity isohaline of 5 psu from the  
486 estuary mouth. It shows that the tidal range at the main estuary's mouth fluctuates at  
487 fortnightly and monthly timescales. There occur two spring tides and neap tides in a  
488 month (Fig. 7a), with one spring (neap) tide being stronger than the other one, as the  
489 perigee/apogee cycle. The salt intrusion in the main estuary fluctuates with the tidal  
490 range (Fig. 7b). The maximum salt intrusions occur just after neap tides, and the  
491 minimum salt intrusions occur at the late of the transition from spring to neap tides,  
492 consistent with the salinity change at the Dahu station shown above (Fig. 5d), and the  
493 results we have demonstrated before (Gong et al., 2018). The relationship between the  
494 salt intrusion and tidal range indicates an almost anti-phase one, suggesting that the  
495 estuary is basically in a state of partially-mixed. This is because, for a partially-mixed  
496 estuary, the landward salt transport is maximum during neap tides by the steady shear  
497 and results in a maximum salt intrusion then. We present the tidally averaged  
498 longitudinal profile of current and salinity for representative neap and spring tides in  
499 Fig. S1 in the Supplement. The results confirm that during the neap tide, the estuary is  
500 partially mixed, whereas, during the spring tide, the estuary becomes more mixed but

501 still in the state of partially mixed.



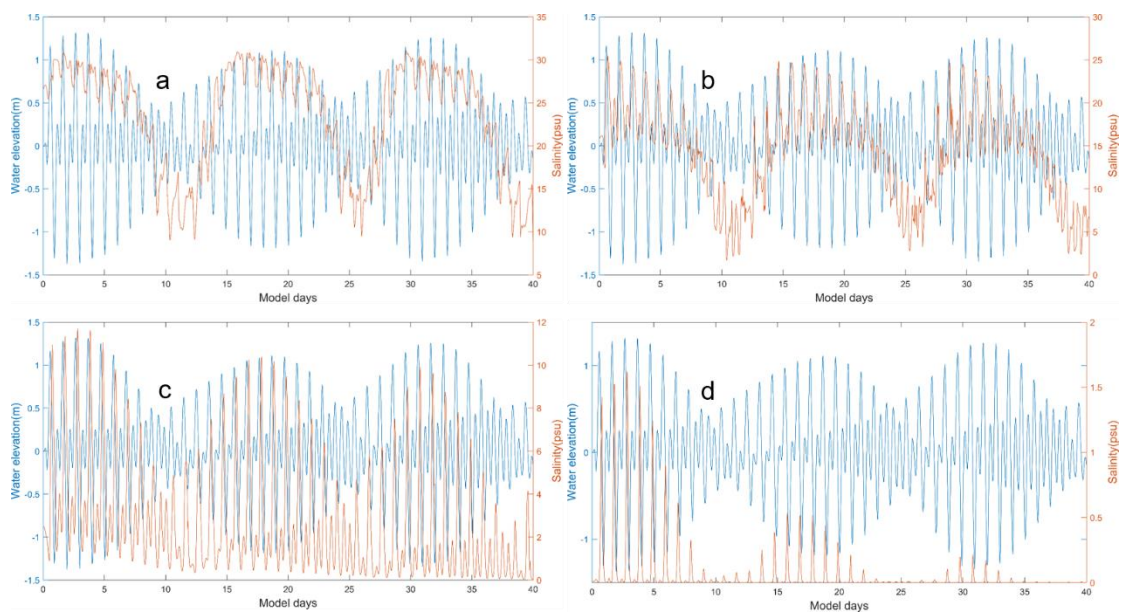
502

503 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt intrusion length along  
504 the longitudinal section of the main estuary; c) salt intrusion length along the longitudinal section  
505 of the sub-estuary.

506

507 We also checked the time series data of surface salinity and water level at a station  
508 (S1, Fig. 2b) in the main estuary, roughly corresponding to the Dahu Station (Fig. 8a).  
509 It shows that the surface salinity increases from neap to spring tides, and reaches  
510 maxima before spring tides. It declines from the maxima to minima from spring to neap  
511 tides, reaching the minima almost at neap tides. This shows that the salinity increases  
512 faster from neap to spring than decreases from spring to neap. This asymmetry is also

513 noted in the variation of salt intrusion length, which increases sharply after the neap  
 514 tides but decreases more gradually from the maximum to the minimum. This  
 515 phenomenon has been discussed by Chen (2015); when the salt intrusion length is  
 516 shorter just before the neap tide, the acceleration by the net landward salt flux is stronger,  
 517 whereas when the salt intrusion length is longer, the deceleration of salt intrusion length  
 518 by net seaward salt flux is relatively weaker. The change in salinity leads that in tidal  
 519 range during spring tides but lags the tidal range during neap tides.



520  
 521 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1 Station in the main  
 522 estuary; b) at S2 station (the confluence); c) at S3 station in the middle of the sub-estuary; d) at S4  
 523 station in the upstream region of the sub-estuary.

524  
 525 Similar to the analysis of observation data, we then investigate the salt intrusion  
 526 in the sub-estuary (Fig. 7c). Though the accuracy is not high, as our model resolution  
 527 in the sub-estuary is not fine enough, it clearly shows that the maximum salt intrusions  
 528 occur nearly in spring tides and the minimum salt intrusions in neap tides. This means

529 that the salt intrusion is in phase with the tidal range in the sub-estuary. We show the  
530 tidally averaged profiles of current and salinity at the sub-estuary in Fig. S2 in the  
531 Supplement. It indicates that the sub-estuary is mostly in a state of well-mixed during  
532 both the neap and spring tides, though there appears some stratification near the mouth  
533 of the sub-estuary during the neap tide. The 1 psu isohaline intrudes more in spring  
534 tides than in neap tides. It should be noted that at the lower reach of the sub-estuary, the  
535 surface salinity has a local high salinity zone (Fig. S2), consistent with the finding of  
536 Haywood et al. (1982) at the lower York River in the Chesapeake Bay, USA.

537 To examine the salinity variations along the sub-estuary, we selected three stations  
538 in the sub-estuary: one at the mouth (S2), one in the middle reach (S3), and the last one  
539 in the upper reach (S4). The time series of water level at the confluence and salinities  
540 at these three stations are shown in Figs. 8b, 8c and 8d. The salinity at the mouth of the  
541 sub-estuary (Fig. 8b) fluctuates similarly to that in the main estuary: maximum salinities  
542 occur right after neap tides and minimum salinities just before neap tides. In the middle  
543 of the sub-estuary (Fig. 8c), the salinity variation almost keeps pace with that of the  
544 tidal range: maximum salinities occur at spring tides and minimum salinities at neap  
545 tides. At the upstream station, the salinity variation shows a similar pattern to that in  
546 the middle of the sub-estuary. This indicates that when saline water propagates  
547 upstream, it advances more landward and experiences less impedance during spring  
548 tides and vice versa. We explore this phenomenon in the discussion part.

549

### 550 **4.3 The subtidal salt dynamics in the sub-estuary by the analytical solution**

551

552 We used the analytical solutions in Section 3.3 to explore the salt dynamics in the  
 553 sub-estuary. In the sub-estuary, the exponential decaying constant of the cross-sectional  
 554 area was calculated to be 50 km; and the river discharge was specified to be  $200 \text{ m}^3 \text{ s}^{-1}$ .  
 555 1.

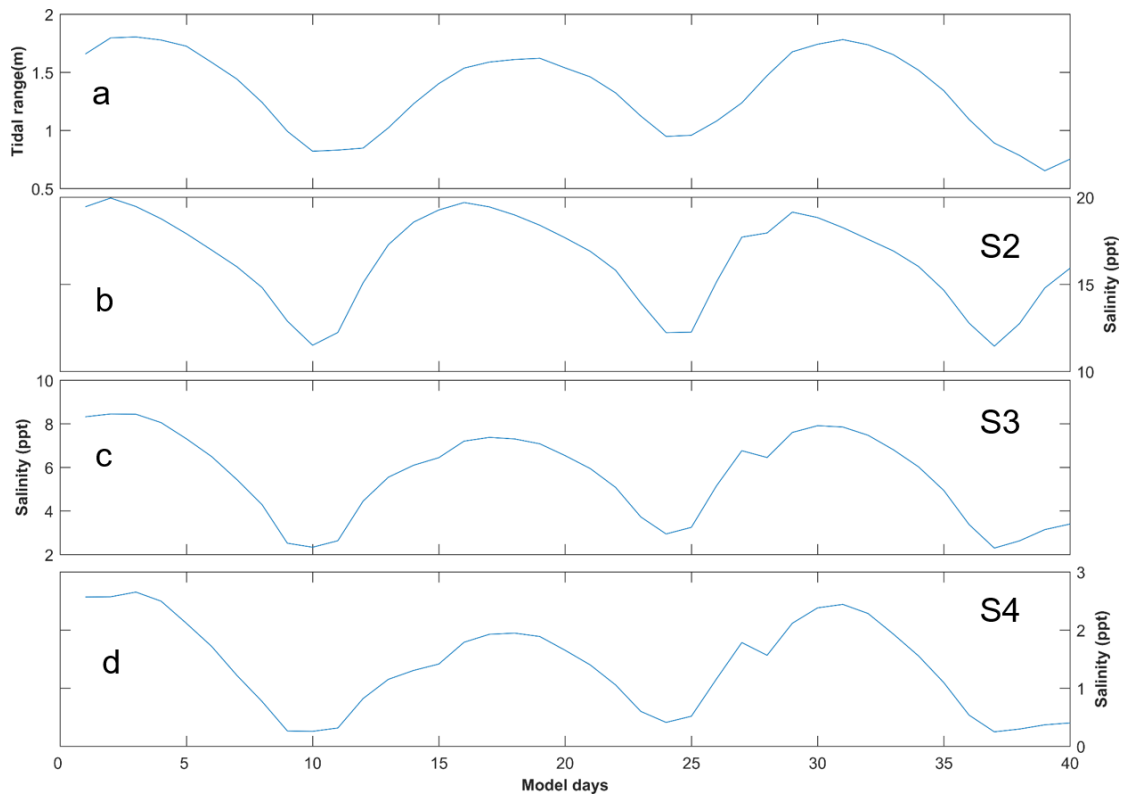
556 We used the scheme of constant dispersion along the sub-estuary, and the  $K_x$  was  
 557 estimated as (Ralston et al., 2008):

$$558 \quad K_x = c_h \left( \frac{T_{tide}}{4} U_T \right) U_T \quad (9)$$

559 where  $c_h$  is an empirical constant of 0.0224,  $T_{tide}$  is the tidal period, here is set as  
 560 12.42 hours;  $U_T$  is the tidal current amplitude at the sub-estuary's mouth.

561 We solved Eq. (6) for the model experiment Case 1. The results are shown in Fig.

562 9.



563

564 Fig. 9. The results of the analytical solution of salinity variations along the sub-estuary. a)

565 tidal range at the mouth of the sub-estuary; b), c), and d) are subtidal salinity variations at S2,

S3, and S4 stations.

566

567

568 Under the  $1500 \text{ m}^3 \text{ s}^{-1}$  river discharge at the head of the main estuary, the tidal  
569 range at the sub-estuary's mouth varies between spring and neap tides, with a greater  
570 spring and a weaker spring in a month (Fig. 9a). The subtidal salinity at the confluence  
571 (S2 station, Fig. 9b) varies between 10 and 20 psu, with the maximum salinities  
572 occurring before the spring tides and the minimum salinities before the neap tides,  
573 indicating a phase lead of salinity to the tidal range. In the middle of the sub-estuary  
574 (S3 station, Fig. 9c), the salinity fluctuates between 2 and 10 psu, and there exists a  
575 slight phase lead of salinity to that of the tidal range. In the upstream region of the sub-  
576 estuary (S4 station, Fig. 9d), the salinity fluctuates between 0 and 3 psu, and the salinity  
577 variation becomes almost in phase with that of the tidal range at the confluence.  
578 Compared to the numerical simulation results, the analytical solution reproduces the  
579 trend of the phase relationship between the salinity and tidal range along the sub-estuary:  
580 the phase of the salinity variation leads that of the tidal range at the sub-estuary's mouth  
581 and becomes more in phase with that of the tidal range in the middle and upstream  
582 region of the sub-estuary. Meanwhile, the fluctuation magnitude in the middle of the  
583 sub-estuary is well reproduced. However, the fluctuation range in the upstream region  
584 of the sub-estuary is over-estimated, showing the weakness of assuming a uniform  
585 horizontal dispersion along the sub-estuary.

586

## 587 **5. Discussion**

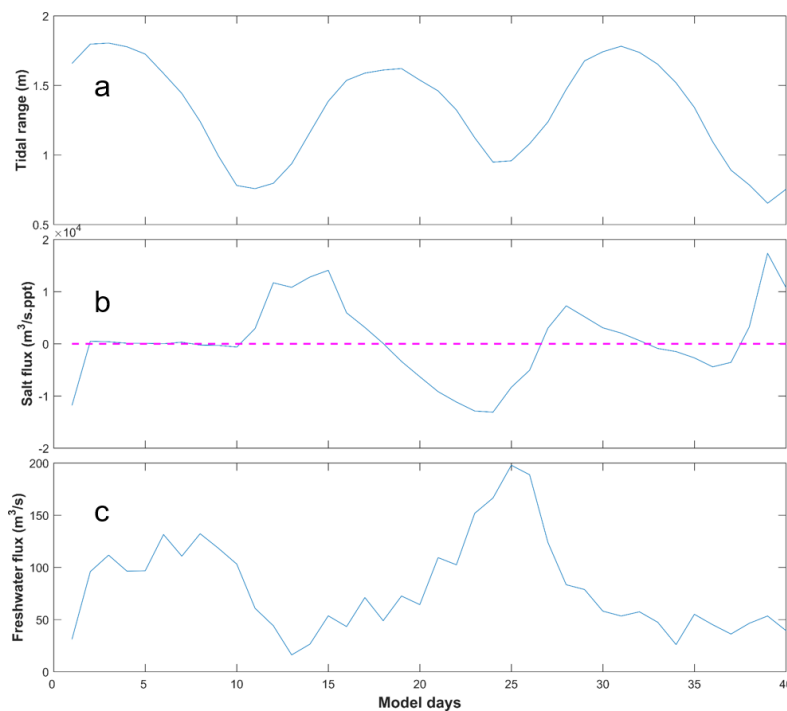
588

### 589 **5.1 The physics behind the change in phase relationship between the salinity and** 590 **tidal range along the sub-estuary**

591

592 The numerical results and analytical solutions both indicate that near the sub-  
593 estuary's mouth, the salinity fluctuation leads that of the tidal range, and in the middle

594 and upstream region of the sub-estuary, the salinity variation becomes more in phase  
595 with that of the tidal range. The analytical solution shows that the changes in the phase  
596 relationship between these two variables are mostly caused by the change in horizontal  
597 dispersion, that is, the larger dispersions during spring tides cause increased landward  
598 salt transport, resulting in elevated salinity in the middle and upstream regions of the  
599 sub-estuary. The results of numerical simulation are a combination of many  
600 interweaved processes and a little harder to interpret. To unravel the physics in the  
601 numerical simulation, we examine the salt transport in the lower reach at a cross-section  
602 near the sub-estuary mouth and freshwater transport in the upstream cross-section of  
603 the sub-estuary (shown in Fig. 2b).



604

605

606

607

608

Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux at the cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-section in the

609 upstream region of the sub-estuary. It should be noted that the freshwater flux is the magnitude  
610 and has a sign opposite to the salt flux.

611

612 The results are shown in Fig. 10. From Fig. 10b, the subtidal salt flux near the sub-  
613 estuary's mouth is generally landward during the periods from neap tides to spring tides  
614 and seaward from spring tides to neap tides. The change in salt flux leads that of the  
615 tidal range, consistent with the phase relationship between salinity and tidal range near  
616 the sub-estuary's mouth (Fig. 8b). As the sub-estuary is well-mixed during the  
617 simulation period, the landward salt transport is mostly induced by the tidal oscillatory  
618 transport. The subtidal freshwater flux in the upstream region of the sub-estuary is  
619 seaward, and shows a pattern that larger freshwater fluxes occur during neap tides and  
620 smaller freshwater fluxes during spring tides (Fig. 10c). This pattern has been well  
621 studied by Buschman et al. (2009) in the subtidal momentum dynamics. They showed  
622 that the primary subtidal momentum balance is between the water level gradient and  
623 bottom friction. During spring tides, the subtidal bottom friction is larger and the  
624 subtidal water slope is greater, meaning that more freshwater is being detained upstream  
625 to elevate the water level there. During neap tides, the detained freshwater in the  
626 upstream is released downstream and results in increased freshwater fluxes. In this way,  
627 the saline water from the sub-estuary's mouth experiences less impedance and dilution  
628 during spring tides and thus advances more landward, resulting in an enhanced salt  
629 intrusion during spring tides, and vice versa. The above results indicate that the more  
630 in-phase relationship between the salinity and tidal range in the middle and upstream



631 region of the sub-estuary is mostly generated by the fortnightly variation of the tidal  
632 strength and the associated variations of horizontal dispersion and freshwater flux by  
633 the river-tide interaction. The larger the dispersion, the more salt is pumped into the  
634 upstream. The stronger the tidal strength, the more freshwater is detained upstream and  
635 less impedance to the salt intrusion.

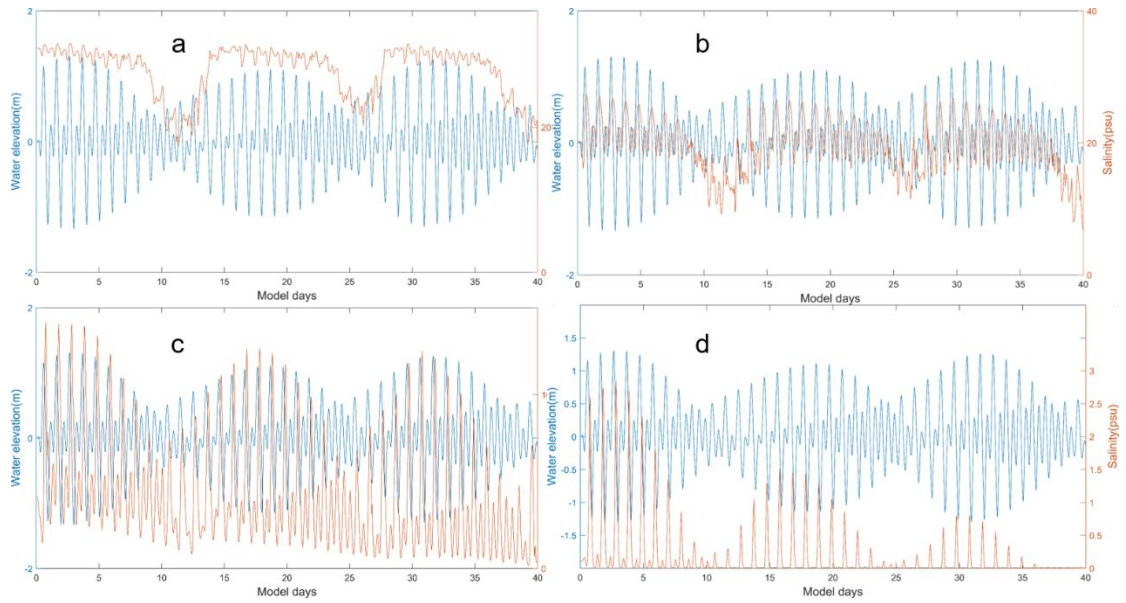
636 From the above results, it is seen that the salinity dynamics in the sub-estuary show  
637 a pattern that is more influenced by the main estuary in the lower reach and becomes  
638 more controlled by internal tidal processes in the middle and upstream regions of the  
639 sub-estuary.

640

## 641 **5.2 How do the salt dynamics in the main estuary affect that in the sub-estuary?**

642

643 To further study how the changes in salinity dynamics in the main estuary affect  
644 the salinity variation in the sub-estuary, we set up another experiment. In the model  
645 scenario of Case 2, we set an extremely low river discharge ( $500 \text{ m}^3 \text{ s}^{-1}$ ) at the head of  
646 the main estuary, and the results are shown in Fig. 11. Simultaneously, the analytical  
647 solutions for the scenario of Case 2 are presented in Fig. 12.



648

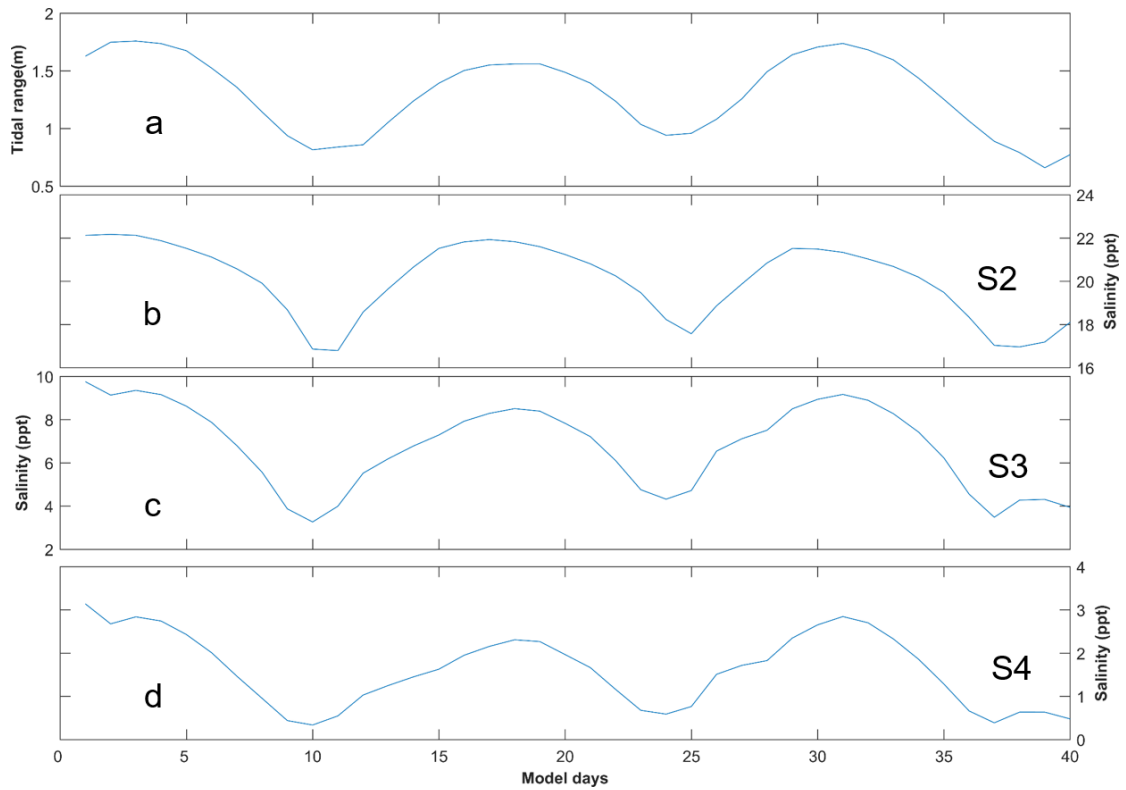
649

Fig. 11. Timeseries of water level at the confluence and surface salinity under the

650

extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c) S3; d) S4.

651



652

653

Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary

654

under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b), c), and d) are



677 minimum salinities still occur just before neap tides. In the upstream region of the sub-  
678 estuary (Figs. 11d and 12d), the phase relationship between salinity and tidal range is  
679 also an in-phase one. Combined with the situation at the S1 Station, it indicates that the  
680 variations of salinity at stations S4 and S1 are more synchronous. This largely explains  
681 the observed phenomenon that under more drought conditions, the salinity variations at  
682 the Second Water Plant kept pace with those at the Dahu Station (Section 3.1).

683

### 684 **5.3 Limitations and implications of this study**

685

686 In this study, we focus on the phase relationship between the variations of salinity  
687 and tidal range, both in a sub-estuary and the main estuary. The salinity variations along  
688 the sub-estuary are revealed to be associated with the salinity dynamics in the main  
689 estuary, linked by the salinity variations at the confluence between the main estuary and  
690 the sub-estuary. In a spring-neap tidal cycle, even when the salinity at the confluence is  
691 a little lower during the spring tide than that during the neap tide, the higher horizontal  
692 dispersion and decreased freshwater release at the head of the sub-estuary during the  
693 spring tide can pump more saline water from the confluence into the middle and  
694 upstream of the sub-estuary, and cause the salinities there to be higher than during the  
695 neap tide. In this way, the salinity variations at areas farther away from the confluence  
696 become more synchronous with the tidal range.

697 However, this study did not consider the effect of winds and waves, as shown to  
698 be important in previous studies such as Gong et al. (2018). The variations of salinity  
699 in the period of 5-8 days should be related to the wind effects and await future

700 exploration. The effect of sea level change outside the main estuary was also not  
701 examined in detail, though it can be intrinsically linked to the effect of winds and waves.  
702 Finally, we did not explore a full parameter space of river discharge, tidal range, and  
703 bathymetry situations, and thus can not give a synthesis of the sub-estuary salt intrusion  
704 dynamics at this time.

705         Despite all these limitations, this study has implications for studying salt intrusion  
706 dynamics in sub-estuaries, which are influenced by both the hydrodynamics inside the  
707 sub-estuary and the salt dynamics in the main estuaries. It is also of importance for  
708 providing a scientific basis for salt intrusion mitigation in the region. For example, salt  
709 intrusion in the sub-estuary is not only impacted by the river discharge from the head  
710 of the sub-estuary itself but also largely affected by the salt dynamics in the main estuary.  
711 In this respect, apart from releasing more freshwater from the upstream in the sub-  
712 estuary, measures to control the salinity variations at the confluence between the main  
713 estuary and the sub-estuary also need to be taken into consideration. This may involve  
714 implementing engineering solutions such as the construction of barriers or gates to  
715 regulate the inflow of saltwater from the main estuary into the sub-estuary. Additionally,  
716 the management of water withdrawals and releases in the sub-estuary and main estuary  
717 needs to be optimized by taking the estuarine system as a whole. Overall, a  
718 comprehensive and coordinated approach is necessary to effectively mitigate salt  
719 intrusion in sub-estuaries.

720

## 721 **6. Summary and conclusions**

722

723 From 2021 to 2022, under the influence of an extended La Nina event, the Pearl  
724 River Delta region in China experienced a prolonged extreme drought condition, and  
725 the sub-estuary (East River estuary) also suffered greatly from the enhanced salt  
726 intrusion. To identify the characteristics of the salt intrusion in the sub-estuary, and to  
727 explore the underlying physics in controlling the spatio-temporal variations of the salt  
728 intrusion, we collected observation data and conducted numerical simulations for  
729 idealized estuarine bathymetry, and used analytical solutions for the subtidal salinity  
730 variations in the sub-estuary. The observation data showed that the salinity variation in  
731 the main estuary usually led that of the tidal range, and the asymmetry between salinity  
732 rise and fall in a fortnightly timescale was prominent. However, in the upstream region  
733 of the sub-estuary, the salinity variation was in phase with that of the tidal range, and  
734 the salinity rise and fall were more symmetrical. The idealized model simulations and  
735 the analytical solution both reproduced these phenomena.

736 We note that under drought conditions, the river-tide interaction played a role in  
737 the in-phase relationship between the salinity and tidal range upstream region of the  
738 sub-estuary. The salinity variation in the middle and upstream regions of the sub-  
739 estuary can keep pace with that of the tidal range. The analytical results show that the  
740 horizontal dispersion scaling with tidal strength can largely reproduce the changes in  
741 phase relationship between salinity and tidal range in the sub-estuary. We conclude that  
742 both the changes in horizontal dispersion and the river-tide interaction in modulating  
743 the freshwater release are responsible for the in-phase relationship between the salinity  
744 and tidal range in the middle and upstream regions of the sub-estuary.

745 This study is of help in the investigation of salt dynamics in sub-estuaries  
746 connected to main estuaries, and of implications for mitigating salt intrusion problems  
747 in the regions suffered from enhanced salt intrusion by climate change and human  
748 interventions.

749

750 **Data availability:** The observation data can be downloaded from the website  
751 <http://www.pearlwater.gov.cn/>. The numerical data is available upon request to the  
752 corresponding author.

753

#### 754 **Declaration of competing interest**

755 The contact author has declared that none of the authors has any competing  
756 interests.

757

#### 758 **CRedit authorship contribution statement**

759 **Zhongyuan Lin:** Data collection, wavelet analysis, Writing - original draft, Writing -  
760 review & editing. **Guang Zhang:** numerical modeling, Writing - review & editing.

761 **Huazhi Zou:** Writing-review & editing, funding acquisition. **Wenping Gong:**

762 Conceptualization, Methodology, Writing-review & editing, funding acquisition.

763

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765

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769

770 **Supplement:**

771

772 We present the longitudinal profiles of subtidal current and salinity along the  
773 channels in the main estuary and the sub-estuary during typical spring and neap tides.  
774 Fig. S1 is for the dry condition with 1500 m<sup>3</sup>/s at the head of the main estuary, and Fig.  
775 S2 for the extremely dry condition with 500 m<sup>3</sup>/s released at the head of the main estuary.

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905

906 **Figure Captions:**

907

908 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the  
909 locations of hydrological and water level stations.

910 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the  
911 whole domain; b)zoom in for the area of concern. The origin of the coordinates is in  
912 the middle of the main estuary mouth. The longitudinal sections in the main and sub-  
913 estuary are shown as dashed lines, and the cross-sections inside the sub-estuary are  
914 shown as color solid lines. The locations of several stations are indicated.

915 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)  
916 Total duration period with salinity exceeding 0.5 psu for each month; c) Monthly  
917 river discharge at Boluo station (upstream of the East River); d) Monthly river  
918 discharge at Wuzhou station (upstream of the West River); e) Monthly river discharge  
919 at Shijiao station (upstream of the North River).

920 Fig. 4 Wavelet analysis of the salinity at the Second Water Plant.

921 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)  
922 Daily river discharge at Boluo station; c) Daily maximum tidal range at Sishengwei  
923 Station; d) Daily maximum salinity at Dahu Station; e) Daily mean sea level at Shibi  
924 Station.

925 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal  
926 range at Sishengwei; (b) between the salinity at the Second Water Plant and the tidal  
927 range at Sishengwei; (c) between the salinity at the Second Water plant and the river

928 discharge at the Boluo Station; (d) between the salinity at the Second Water plant and  
929 that at the Dahu Station.

930 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt  
931 intrusion length along the longitudinal section of the main estuary; c) salt intrusion  
932 length along the longitudinal section of the sub-estuary.

933 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1  
934 Station in the main estuary; b) at S2 station (the confluence); c) at S3 station in the  
935 middle of the sub-estuary; d) at S4 station in the upstream region of the sub-estuary.

936 Fig. 9. The results of the analytical solution of salinity variations along the sub-  
937 estuary. a) tidal range at the mouth of the sub-estuary; b), c), and d) are subtidal  
938 salinity variations at S2, S3, and S4 stations.

939 Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux  
940 at the cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-  
941 section in the upstream region of the sub-estuary.

942 Fig. 11. Timeseries of water level at the confluence and surface salinity under  
943 the extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c)  
944 S3; d) S4.

945 Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary  
946 under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b),  
947 c), and d) are subtidal salinity variations at S2, S3, and S4 stations.