

1 Salt intrusion dynamics in a well-mixed sub-estuary connected to a
2 partially to well-mixed main estuary

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13
14 **Abstract**

15
16 Salt intrusion in estuaries has been exacerbated by climate change and human
17 activities. Previous studies have primarily focused on salt intrusion in the mainstem of
18 estuaries, whereas those in sub-estuaries (those branch off their main estuaries) have
19 received less attention. During an extended La Niña event from 2021 to 2022, a sub-
20 estuary (the East River estuary) alongside the Pearl River Estuary, China, experienced
21 severe salt intrusion, posing a threat to the freshwater supply in the surrounding area.
22 Observations revealed that maximum salinities in the main estuary typically preceded
23 spring tides, exhibiting significant asymmetry in salinity rise and fall over a fortnightly
24 timescale. In contrast, in the upstream region of the sub-estuary, the variation of salinity
25 was in phase with that of the tidal range, and the rise and fall of the salinity ~~rise and fall~~
26 ~~exhibited~~were more symmetrical.

27 Inspired by these observations, we employed idealized numerical models and
28 analytical solutions to investigate the underlying physics behind these behaviors. It was

29 discovered that under normal dry condition (with a river discharge of $1500 \text{ m}^3 \text{ s}^{-1}$ at the
30 head of the main estuary), the river-tide interaction and change in horizontal dispersion
31 accounted for the in-phase relationship between the salinity and tidal range in the
32 upstream region of the sub-estuary. Under extremely dry conditions (i.e., a river
33 discharge of $500 \text{ m}^3 \text{ s}^{-1}$ at the head of the main estuary), salinity variations were in-
34 phase with those of the tidal range in the middle as well as the upstream region of the
35 sub-estuary. The variation of salinity in the main estuary, along with those of salt
36 dispersion and freshwater influx inside the sub-estuary collectively influenced salinity
37 variation in the well-mixed sub-estuary. These findings have important implications for
38 water resource management and salt intrusion prevention in the catchment area.

39 **Keywords:** Sub-estuaries; River-tide interaction; Partially to well-mixed estuary.

40

41 **1. Introduction**

42

43 Salt intrusion in estuaries has emerged as an increasingly significant
44 environmental issue, as it contaminates water quality, restricts freshwater supply, and
45 affects the biota's habitat in estuaries (Payo-Payo et al., 2022). The severity of salt
46 intrusion in estuaries has been further exacerbated by both climate change and
47 anthropogenic activities. Climate change has led to more severe droughts in various
48 regions worldwide (Spinoni et al., 2014), resulting in reduced freshwater flow from
49 upstream watershed basin into estuaries. In turn, this has intensified salt intrusion in
50 these areas. Additionally, sea level rise has been identified as a contributing factor to

51 this phenomenon (e.g., Hong et al., 2020). Human activities, including dam
52 construction in the watershed, channel dredging, and land reclamation in estuaries, have
53 caused reductions in river inflow, channel deepening, and enhanced convergence of
54 estuarine geometry, all of which favor an increase in salt intrusion (e.g., Ralston and
55 Geyer, 2019).

56 Salt intrusion in estuaries is the result of landward salt transport, which consists of
57 steady shear and tidal oscillatory transport (MacCready and Geyer, 2010). The
58 combination of estuarine circulation and salinity stratification induces a steady shear
59 when averaged in a tidal cycle. Tidal oscillatory transport is generated by tidal pumping
60 such as the jet-sink flow for an inlet (Stommel and Farmer, 1952), tidal trapping with a
61 side embayment (Okubo, 1973), tidal shear dispersion by the vertical shears of current
62 and mixing (Bowden, 1965), tidal straining (Simpson et al., 1990), and chaotic stirring
63 (Zimmerman, 1986).

64 In general, for a partially mixed estuary in which the steady shear dominates the
65 landward salt transport, the salt intrusion is strongest during neap tides and weakest
66 during spring tides under the steady-state conditions, meaning that the change in salinity
67 is out-of-phase with that in the tidal range. However, for a well-mixed and/or a salt
68 wedge estuary, in which the tidal dispersion is the dominant contributor to landward
69 salt transport, the salt intrusion is strongest during spring tides and weakest during neap
70 tides, signifying that the salinity variation is in phase with the tidal range (Ralston et
71 al., 2010). These steady-state situations are altered by the unsteadiness of external
72 forcing and the adjustment of estuaries to the changing forcings (Chen 2015 and

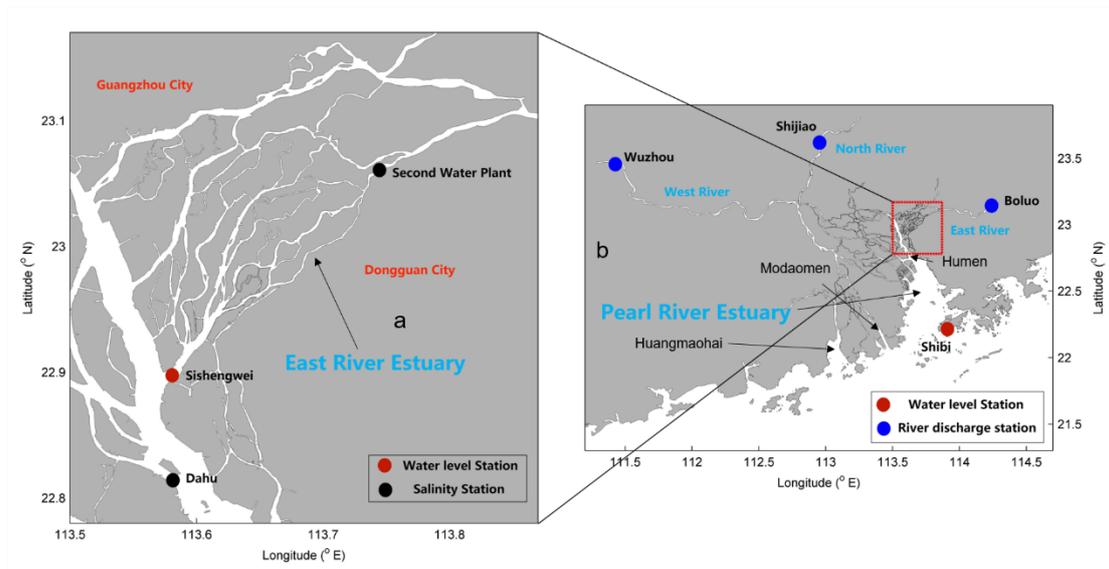
73 references therein). In general, when the internal timescale of an estuary, which is
74 defined as the time needed for a water parcel from the upstream to travel through the
75 estuary by the river-induced flow, is shorter than the external timescale, which is often
76 the spring-neap tidal cycle, the salinity variation in an estuary can keep pace with the
77 change in tidal forcing and reaches steady state. However, when the internal timescale
78 is longer than the external timescale, the salt intrusion can hardly reach the steady state,
79 and there exists a phase shift between the salt intrusion and tidal range, such as in the
80 Modaomen estuary (Gong and Shen, 2011) and Hudson River (Bowen and Geyer,
81 2003).

82 Previous studies on salt intrusion have primarily focused on main estuaries, where
83 freshwater discharge empties into the estuarine waterbody at the estuary head and is
84 profoundly diluted by the seawater from the ocean. However, there has been relatively
85 less research on salinity dynamics specifically in tidal creeks or sub-estuaries, i.e. those
86 that reside aside from their main estuary. It is worth noting that larger estuaries often
87 possess sub-estuaries or tidal creeks, as highlighted by Uncles and Stephens (2010).
88 Sub-estuaries branch off the stem of their main estuary and exhibit behavior that is
89 partially dependent on processes acting within the main estuary. Haywood et al. (1982)
90 described the importance of conditions at the confluence of the York River sub-estuary
91 and the Chesapeake Bay to salinity stratification within the sub-estuary. Uncles and
92 Stephens (2010) investigated the salinity dynamics in a sub-estuary (Tavy) connected
93 to the main estuary (Tamar, UK). They noted that the tidal range had a limited effect on
94 the salinity in the sub-estuary. Yellen et al. (2017) examined the sediment dynamics in

95 a side embayment of the main estuary of Connecticut, USA, and found that salinity
96 intrusion from the main estuary enhanced sediment trapping inside the sub-estuary.

97 The previous studies on sub-estuary salt dynamics have mainly focused on
98 examining salinity variabilities and water column stratification, as exemplified by the
99 work of Haywood et al. (1982). Some investigations have also explored the influence
100 of river discharge from the heads of the main estuary and sub-estuary, as well as the
101 impact of winds, as discussed by Uncles and Stephens (2010). However, there remains
102 a knowledge gap regarding how the salt dynamics in the main estuary affect those in
103 the sub-estuary, as well as how the interaction between river flow and tides influences
104 salinity variations in the sub-estuary. Regarding the river-tide interaction, here we focus
105 on how tides affect river flow through mechanisms such as nonlinear bottom friction
106 and advective terms in the momentum equation, as outlined by Buschman et al. (2009),
107 whereas the effect of river flow on tidal propagation will not be explored.

108 In 2021, under the influence of a La Nina event, the precipitation in the Pearl River
109 Delta (PRD) area (Fig. 1), China, was extremely low, and the salt intrusion was very
110 severe, which imposed a great threat to the freshwater supply in the region, especially
111 during winter months (December to February). Alongside the Pearl River Estuary
112 (PRE), a sub-estuary of the East River estuary (Fig. 1), also experienced strong salt
113 intrusion and heavily impacted the water supply to the city of Dongguan, home to a
114 population of 10 million people. This shortage of freshwater became a significant
115 concern for the surrounding people, especially during the Spring Festival, the Chinese
116 Lunar New Year.



117

118 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the locations of hydrological
 119 and water level stations.

120

121 The present work has two objectives: (a) to investigate the characteristics of salt
 122 intrusion in a well-mixed sub-estuary by analyzing observation data. The characteristics
 123 include spatial-temporal variations of salt intrusion and its relationship with river flow
 124 and tidal range; (b) to explore the underlying physics behind salt intrusion in the sub-
 125 estuary, such as the impacts of salt dynamics in the main estuary, and the river-tide
 126 interaction inside the sub-estuary. To achieve the above goals, we first collected and
 127 analyzed observational data of salt intrusion at the East River estuary. Then we utilized
 128 an idealized configuration for numerical model investigation. Two numerical model
 129 experiments with mean and extremely low river discharges in dry seasons in the main
 130 estuary, respectively, were conducted to identify the relevant mechanisms for the
 131 variability of salt intrusion in the sub-estuary. Furthermore, to clearly understand the
 132 phase relationship between salinity and tidal range, analytical solutions for the subtidal

133 salinity in the well-mixed sub-estuary were utilized.

134 The remainder of this paper is structured as follows. The study site is briefly
135 introduced in Section 2. The methods of data analysis, numerical model simulation, and
136 analytical solution are presented in Section 3. In Section 4, the results of the salt
137 intrusion dynamics through the measurement data analysis, numerical model, and
138 analytical solution are demonstrated, followed by some discussions on the impacts of
139 river-tide interaction in the sub-estuary, the salt dynamics in the main estuary, and the
140 limitations of this study in Section 5. Finally, a summary and conclusion are given in
141 Section 6.

142

143 **2. Study site**

144

145 The Pearl River, China's second largest river in terms of annual freshwater
146 discharge, has three main branches: West River, North River, and East River (Hu et al.,
147 2011), as displayed in Fig. 1b. The Pearl River forms a complex delta, known as the
148 Pearl River Delta (PRD), which consists of the downstream river network and three
149 estuaries, from west to east: the Huangmaohai Estuary, the Modaomen Estuary, and the
150 PRE (Fig. 1b). The PRE, the largest of the three estuaries, is funnel-shaped and has a
151 mean depth of 4.6 m (Wu et al., 2016). Its width decreases from 50 km at its mouth
152 between Hong Kong and Macau to 6 km at Humen Outlet. The axial length of the
153 estuary from the mouth to Humen is approximately 70 km. Above the Humen, the
154 estuary becomes relatively straight and further extends almost 90 km landward to its
155 head. Upstream of the Humen, there exists a waterway known as Shizhiyang. Along the

156 waterway, there are several river tributaries, among which the East River sub-estuary,
157 are distributed on the east side.

158 The river discharge into the PRE is about 1/4 of the total river flow from the Pearl
159 River. The total annual river discharge of the Pearl River is $3260 \times 10^8 \text{ m}^3$, in which the
160 river discharge experiences distinct seasonal variations. During the dry season (from
161 November to March), the river discharge at the head of the Pearl River takes up only
162 about 30% of the annual discharge, so the total river discharge of the Pearl River is
163 about $6000 \text{ m}^3/\text{s}$ in the dry season, and the upstream river discharge of the PRE is 1500
164 m^3/s (1/4 of the total). Under extremely dry conditions, the river discharge at the head
165 of the PRE can be less than $1000 \text{ m}^3/\text{s}$.

166 The PRE has a microtidal and mixed semi-diurnal regime (Mao et al., 2004). The
167 annual mean tidal range is 1.45 m near Lantau Island (at the mouth of the PRE) and
168 1.77 m near the Humen outlet (Gong et al., 2018). The amplitudes of M_2 , S_2 , K_1 , and O_1
169 constituents near the Lantau Island are 35.5, 14, 33.5, and 27.9 cm, respectively (Mao
170 et al., 2004), showing the dominance of the M_2 constituent. The alternation of neap and
171 spring tides causes the tidal range near Lantau Island to vary from approximately 0.7 m
172 during neap tides to approximately 2 m during spring tides. Apart from the fortnightly
173 variation of the tidal range, there also exists a monthly variation, which is referred to as
174 the apogee/perigee cycle (Payo-Payo et al., 2022).

175 The PRE exhibits strong seasonal variation and is highly stratified during the wet
176 summer season (July to September), with the bottom isohaline of 10 psu protruding
177 into the upper estuary (50 to 70 km from the estuary mouth) and the surface isohaline

178 of 10 psu extending outside of the estuary. The subtidal bottom-surface salinity
179 difference is mostly greater than 10 psu inside the estuary (Dong et al., 2004).
180 During the dry season, the PRE is generally in a partially mixed state, with the bottom
181 isohaline of 10 psu reaching the Humen Outlet, and the surface isohaline of 10
182 psu lying in the upper estuary (Wong et al., 2003; Gong et al., 2018). In the dry
183 season, the horizontal difference of depth-mean salinity varies by between 20 and 25
184 psu across a distance of 70 km from the estuary mouth to Humen Outlet, and the
185 vertical salinity difference between the surface and bottom varies from 1 to 12 psu
186 along the channels in the estuary.

187 The East River is a branch of the Pearl River, with a length of 562 km and a
188 drainage area of 27,040 km². It forms a sub-delta, known as the East River Delta, which
189 is located on the east side of the PRE and above the Humen Outlet (Fig. 1a). The upper
190 reach of the East River is essentially composed of a single channel, while in its lower
191 reach, downstream of Dongguan City, a complex river network is formed, including
192 several tributaries (Fig. 1a). Here we focus on the southernmost tributary, which merges
193 into the main estuary at the confluence of Sishengwei, where a hydrological station
194 resides. This tributary has a length of approximately 75 km from the confluence
195 (Sishengwei) to the upstream hydrological station of Boluo (Fig. 1b), and a mean water
196 depth of less than 5 m.

197 The average annual freshwater load of the East River is $240 \times 10^8 \text{ m}^3$, or a mean
198 river discharge of $728 \text{ m}^3 \text{ s}^{-1}$, accounting for 7.1% of the total river flow of the Pearl
199 River. During dry seasons, the river discharge is approximately $400 \text{ m}^3 \text{ s}^{-1}$. However,

200 the annual mean river discharge in 2021 was only $262 \text{ m}^3 \text{ s}^{-1}$. During the winter of 2021,
201 the salinity at several water plants exceeded the drinking water criteria of 0.5 ~~psu~~psu
202 for a lasting duration of 3 months and impaired the freshwater supply in the region.

203 Similar to the main estuary, the tidal regime in the East River sub-estuary is a
204 mixed semi-diurnal one, with the tidal range decreasing when propagating upstream
205 due to the predominance of the bottom friction over the estuarine convergence. In recent
206 decades, the tidal strength has been seen to increase by human activities, such as sand
207 mining in the estuary (Jia et al., 2006).

208

209 **3. Methods**

210

211 **3.1 Observation data and analysis**

212

213 The observation data here consist of the daily discharge of the West, North, and
214 East Rivers, hourly water level data at the confluence (Sishengwei) between the East
215 River sub-estuary and the main estuary (PRE), daily sea level at the mouth of the PRE
216 (Shibi), and hourly surface salinity data at the Dahu station, which is located
217 downstream of the Sishengwei, and at the Second Water Plant of Dongguan City. These
218 two stations span a distance of approximately 30 km. The river discharge data at three
219 river branches of the Pearl River, hourly water level data at Sishengwei, and hourly
220 surface salinity data at Dahu are from the Pearl River Water Resources Commission,
221 whereas the salinity data at the Second Water Plant is from the Water Authority of
222 Dongguan City. The sea level data at the estuary mouth is from the Hong Kong

223 Observatory (<http://gb.weather.gov.hk/contentc.htm>). All the salinity data are the
224 surface salinities.

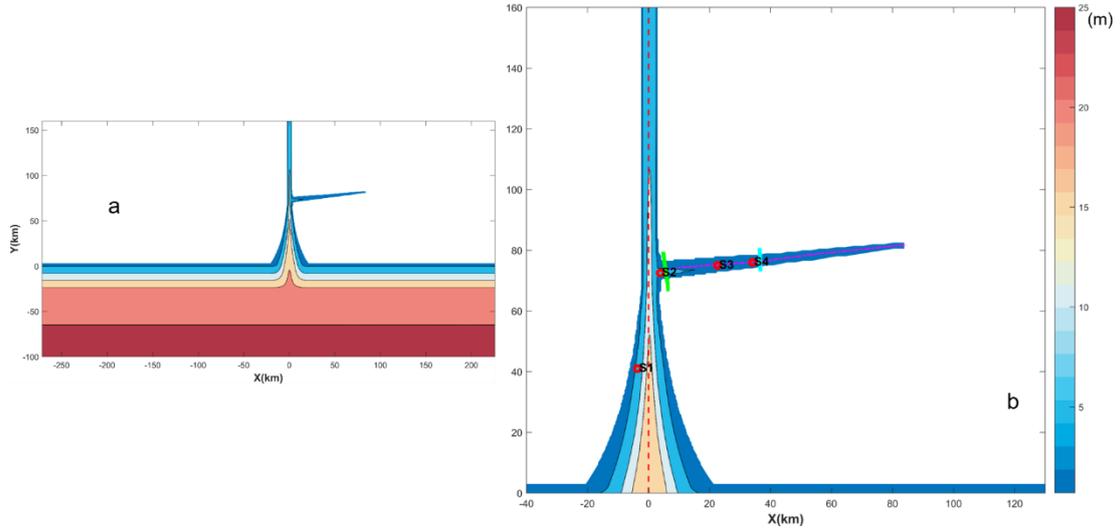
225 The salinity data at the Second Water Plant was subject to wavelet analysis, a
226 method that has been widely used to analyze geophysical data, like in salt intrusion
227 studies in estuaries (Liu et al., 2014; Gong et al., 2022). This method can identify
228 localized periodicities (or bands) that are linked to specific processes, such as tidal and
229 spring-neap variations. In this study, the continuous wavelet transform (CWT) method
230 was used to identify the multi-scale characteristics of salinity, and cross wavelet was
231 employed to examine the nonlinear correlations among variables, such as between the
232 salinity of the Second Water Plant and the water level at Sishengwei, between the
233 salinity of the Second Water Plant and the salinity of Dahu, and between the salinity of
234 the Second Water Plant and the river discharge at the Boluo Station.

235

236 **3.2 Numerical model configuration and experiments**

237

238 The Regional Ocean Modeling System (ROMS) was used in this modeling study.
239 ROMS is a free-surface, hydrostatic, primitive-equations ocean model that uses
240 stretched, terrain-following vertical coordinates and orthogonal curvilinear horizontal
241 coordinates on an Arakawa C-grid (Haidvogel et al. 2000). The model domain was
242 designed as an estuary-shelf system (Fig. 2). In the coordinate system, x is in the



243

244 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the whole domain;

245 b)zoom in for the area of concern. The origin of the coordinates is in the middle of the main

246 estuary mouth. The longitudinal sections in the main and sub-estuary are shown as dashed lines,

247 and the cross-sections inside the sub-estuary are shown as color solid lines. The locations of

248 several stations are indicated.

249

250 cross-estuary direction, with rightward being positive, y is in the along-channel

251 direction, with landward being positive, and z directs upward. The origin of the

252 system is in the middle of the estuary mouth. The estuary is composed of a convergent

253 part and a straight part. The geometry and bathymetry of the estuary roughly resemble

254 those of the PRE, with the convergent part extending from the estuary mouth to the

255 Humen Outlet (70 km in length), and the straight part from the Humen Outlet to the

256 head of the estuary (90 km long). For the convergent part, the estuarine width B is

257 assumed to decrease exponentially in the landward direction, as follows:

258
$$B = B_0 \exp\left(-\frac{y}{L_b}\right) \quad (1)$$

259 where B_0 is the estuarine width at the estuary mouth (here taken as 46 km) and L_b is

260 the width convergence length (taken as 31 km, as estimated by Zhang et al., 2021). The
 261 bathymetry of the PRE is characterized by deep channels and side shallow shoals.
 262 Following Wei et al. (2017), We-we roughly mimicked this feature by setting the
 263 bathymetry of the convergent part as:

$$264 \quad H(x, y) = H_{min} + (H_m - H_{min})\frac{y}{L} + (H_{max} - H_{min}) \times \left(1 - \frac{y}{L}\right) \left(1 - \frac{4x^2}{B^2}\right) e^{-C_f \left(\frac{4x^2}{B^2}\right)} \quad (2)$$

265 where L is the length of the convergent part (70 km); H_{max} (20 m) and H_{min} (3.0 m)
 266 are the maximum and minimum water depths at the estuary mouth, the width-averaged
 267 water depth H_m is constant ($H_m = 8$ m) along the estuary, and the parameter C_f is set
 268 as 4, based on the bathymetry data. In the straight part of the estuary, the bathymetry
 269 was kept the same as that of the uppermost cross-section of the convergent part.

270 At a distance of 75 km from the mouth of the main estuary, we added a sub-estuary
 271 on the east side, resembling the East River sub-estuary. The sub-estuary extends in a
 272 southwest-northeast direction for a distance of approximately 75 km. The width of the
 273 sub-estuary is mildly convergent, with a width of 10 km at the confluence and
 274 decreasing to 600 m at the head, with an e-folding decrease scale (L_b) of 90 km. The
 275 water depth decreases landward from 6 m at the confluence to 3.5 m at the head of the
 276 sub-estuary.

277 As the boundary conditions at an estuary mouth are generally unknown, we added
 278 a continental shelf to the model domain. The shelf is 100 km wide and approximately
 279 500 km long, with the downstream part (representing the Kelvin wave propagation
 280 direction) being slightly longer than the upstream part. The water depth of the shelf is
 281 uniform in the alongshore direction and increases linearly from the coast to the offshore
 282 direction, with a slope of 1×10^{-4} . The model grid has 313×506 cells, with a cross-
 283 channel spatial resolution of 300 m and an along-channel resolution of 500 m in the

284 estuary. The horizontal resolution decreases on the shelf and becomes 2 km at the open
285 ocean boundaries. Fifteen vertical s-grid layers were specified with higher resolutions
286 near the surface and bottom, and the coefficients of θ_s , θ_b , and h_c were set as 2.5,
287 3.0, and 5.0, respectively. In ROMS Model, coefficients larger than unity for θ_s , θ_b
288 can generate higher resolutions near the surface and bottom, respectively. For details of
289 these coefficients, ~~the ROMS User manual~~[the reference of Shchepetkin and](#)
290 [McWilliams \(2005\)](#) can be referred to.

291 We used the $k - \varepsilon$ submodel of the Generic Length Scale (*GLS*) turbulence
292 closure scheme to calculate the vertical mixing (Umlauf and Burchard, 2003; Warner
293 et al., 2005). The horizontal eddy viscosity and diffusivity were calculated using the
294 Smagorinsky scheme (Smagorinsky, 1963). The bottom friction was calculated based
295 on the log-layer assumption near the bottom, with a bottom roughness length of 1 mm.
296 This setting results in a mean bottom drag coefficient of 0.005. The open ocean
297 boundary condition for the barotropic component consists of a Flather/Chapman
298 boundary condition for the depth-averaged flow and sea surface elevation (Chapman,
299 1985; Flather, 1976). The open boundary conditions for the temperature, salinity, and
300 baroclinic current are the Orlanski-type radiation conditions (Orlanski, 1976).

301 To investigate the impact of salt dynamics in the main estuary on salt intrusion in
302 the sub-estuary, two numerical experiments were implemented. In both cases, the river
303 discharge at the head of the sub-estuary was set as 200 m³/s, which is approximately
304 the value during the dry season in 2021 in the East River estuary. A time series of water
305 levels produced by a combination of 12 tidal constituents was specified at the offshore
306 boundary. These 12 tidal constituents are M_2 , S_2 , N_2 , K_2 , K_1 ,
307 O_1 , P_1 , Q_1 , M_4 , MS_4 , M_m , M_f , respectively. The tidal constants of these 12

308 constituents were obtained from the Oregon Tidal Database (OPTS). As the tidal
309 amplitudes are almost doubled at the mouth of the main estuary due to the
310 superimposition of propagating and reflected tidal waves, the amplitudes of these tidal
311 constituents at the offshore boundary were reduced by half. Case 1 was set with a river
312 discharge of $1,500 \text{ m}^3 \text{ s}^{-1}$ at the main estuary's head. The river discharge of $1500 \text{ m}^3 / \text{s}$
313 is representative of the total amount that empties into the PRE from different outlets in
314 dry seasons (Gong et al., 2020), being lumped as input at the head of the PRE. The
315 inflowing river water was prescribed to have zero salinity and a temperature of 22°C ,
316 identical to the background temperature setting throughout the entire domain. The
317 incoming salinity at the offshore boundary was specified to be 34 psu . In Case 2,
318 we set an extremely low river discharge ($500 \text{ m}^3 \text{ s}^{-1}$) at the head of the main estuary,
319 which is realistic under the La Nina event. In this scenario, we aimed to check how the
320 salt dynamics in the more mixed main estuary affect the salinity variation in the sub-
321 estuary.

323 **3.3 Analytical solutions for the salinity variation in the well-mixed sub-estuary**

325 For the subtidal (here is that averaged over 25 hours) salinity variation along the
326 well-mixed sub-estuary, the advection-diffusion equation can be written as:

$$327 \quad \frac{\partial(A\bar{S})}{\partial t} = -\frac{\partial}{\partial x}(A\bar{u}\bar{S}) + \frac{\partial}{\partial x}(AK_x \frac{\partial \bar{S}}{\partial x}) \quad (3)$$

328 where A is the cross-sectional area, \bar{S} is the subtidal salinity in the cross-section,
329 t is time, \bar{u} is subtidal longitudinal velocity, x is the distance along the sub-estuary,
330 K_x is the longitudinal dispersion coefficient. The left term in Eq. 3 indicates the local

331 acceleration and the unsteadiness of salinity variation. The unsteadiness is controlled
 332 by the contrast between the internal and external timescales. The internal timescale of
 333 the sub-estuary for a river discharge of 200 m³/s was estimated to be longer than 30
 334 days. This timescale is longer than the fortnightly timescale, and the salinity in the sub-
 335 estuary can hardly reach a steady state under the varying tides, thus the time tendency
 336 term should not be ignored. Savenije (2012) suggested another timescale to quantify
 337 the estuary's response timescale (T_S), which is expressed as:

$$T_S = -\frac{1}{Q_f \bar{S}(X)} \int_X^L A \bar{S} dx \quad (4)$$

339 Based on the numerical model results, by selecting X at the sub-estuary's mouth,
 340 we calculated the response timescale to be 16.22 day, which is comparable to the spring-
 341 neap tidal cycle. However, when this term is included in the model, the analytical
 342 solution of Eq. (3) becomes a little difficult to obtain as the horizontal dispersion is
 343 time dependent and varies with the tidal strength. This indicates that the salinity
 344 variation in the sub-estuary can approximately keep pace with the changing tidal
 345 forcing. We simplified this problem by thus ignoring the unsteadiness term and
 346 assuming-assumed that the horizontal dispersion is constant in a subtidal period and
 347 scales with the tidal current at the sub-estuary's mouth. Meanwhile, the boundary
 348 condition of subtidal salinity at the sub-estuary's mouth was updated at each subtidal
 349 period. In this way, the calculation of subtidal salinity in the sub-estuary can be
 350 proceeded. As such, Eq. 3 becomes (Cai et al., 2015):

$$\frac{Q}{A} \bar{S} = K_x \frac{\partial \bar{S}}{\partial x} \quad (45)$$

352 in which Q is the river discharge. We assume that the cross-sectional area decreases

353 exponentially in the landward, $A = A_0 \exp(-x/a)$, where a is the convergence
 354 length scale of the cross-sectional area. When the longitudinal dispersion coefficient
 355 K_x is assumed to be a constant along the sub-estuary, the subtidal salinity along the
 356 sub-estuary can be obtained as:

$$357 \quad \left. \frac{\bar{S}}{S_0} = \exp\left\{-\frac{Qa}{A_0 K_x} \left[\exp\left(\frac{x}{a}\right) - 1\right]\right\} \right\} \quad (56)$$

358 For each subtidal period, we obtained the subtidal salinity (S_0) and the tidal current
 359 at the mouth of the sub-estuary from the numerical model results, and related the
 360 horizontal dispersion (K_x) to the tidal strength at the mouth. When these data were
 361 available, the subtidal salinity at each subtidal period was calculated for our numerical
 362 simulation period.

363 When the K_x is assumed to vary along the estuary, the salinity variation along the
 364 sub-estuary is in another form and not presented here (Savenije, 2012), as that form of
 365 K_x is not related to the tidal strength and is unsuitable for our situation here, so this
 366 scenario is not pursued further.

367

368 **3.4 Calculation of the salt and freshwater fluxes**

369

370 The salt flux at a cross-section is calculated as follows:

$$371 \quad \left. F_s = \int u S dA \right\} \quad (67)$$

372 where u is the instantaneous longitudinal velocity, and S is the instantaneous
 373 salinity. The instantaneous flux was integrated and then averaged over a subtidal period
 374 (25 hours).

375 As the changes in freshwater transport by the river-tide interaction are concerned,
 376 we also calculated the freshwater flux, which is:

$$377 \quad \left. F_f = \int u \left(1 - \frac{S}{S_0}\right) dA \right\} \quad (78)$$

378 where S_0 is the ocean salinity, here is taken to be 34 psu. The freshwater flux was
379 also integrated and averaged over a subtidal timescale.

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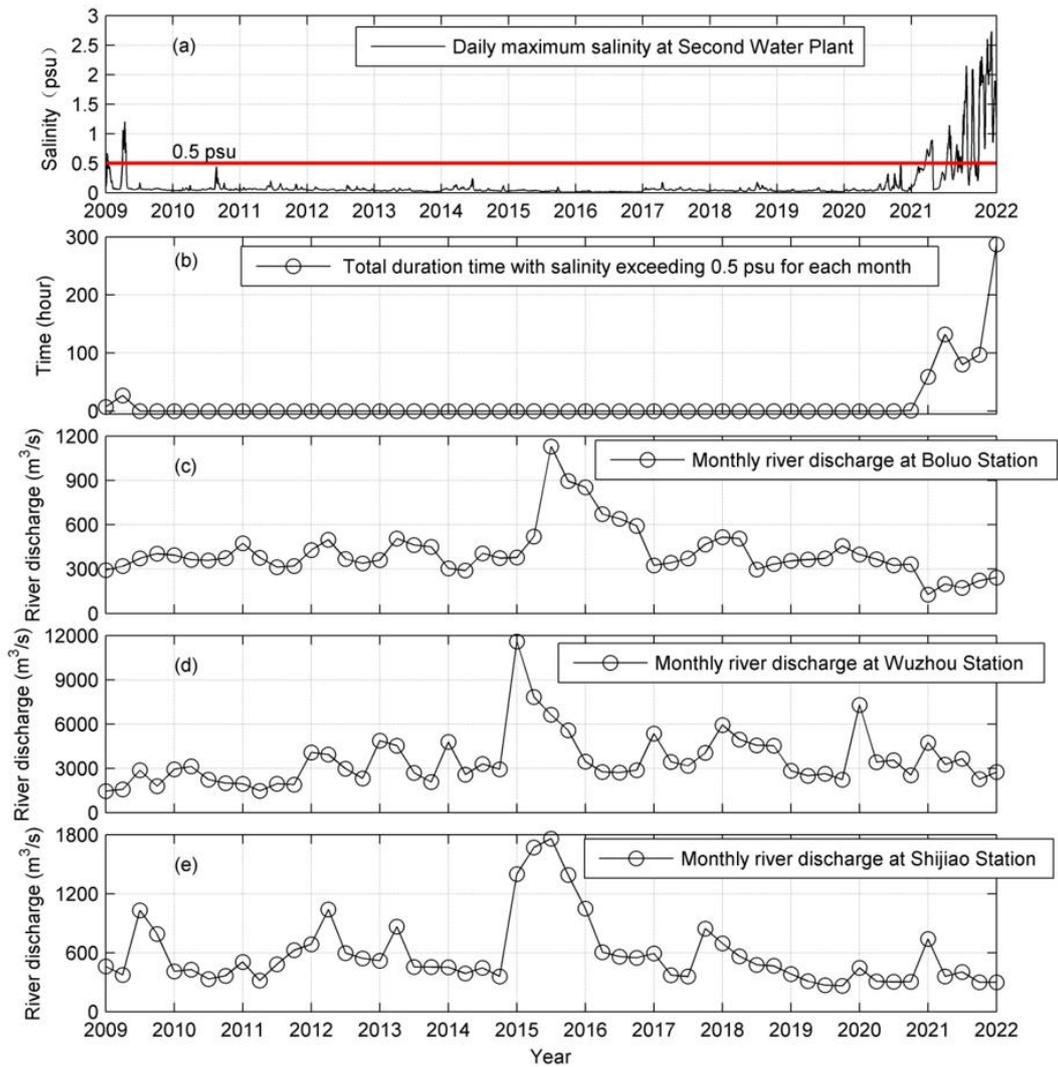
381 **4. Results**

382

383 **4.1 The characteristics of salt dynamics in the sub-estuary: based on observation** 384 **data**

385

386 Here we take the Second Water Plant as a representative station in the upstream
387 region of the sub-estuary. The salinity variation at this station was checked from 2009
388 to 2022, as shown in Fig. 3. It indicates (Fig. 3a) that before 2021, the surface salinity
389 was generally lower than 0.5 psu and suitable for extraction. During the winter
390 season of 2021-2022, the salinity exceeded the drinking water criterion for a prolonged
391 period of 280 hours in January 2022 (Fig. 3b). These elevated salinities coincided with
392 the decreased river discharge from the upstream in the PRD, shown by the data at the
393 hydrological stations of Boluo, Wuzhou and Shijiao (Figs. 3c, 3d and 3e).



394

395 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Total duration period

396 with salinity exceeding 0.5 ~~psu~~psu for each month; c) Monthly river discharge at Boluo station

397 (upstream of the East River); d) Monthly river discharge at Wuzhou station (upstream of the West

398 River); e) Monthly river discharge at Shijiao station (upstream of the North River). Note that the

399 river discharges in 2022 are comparable to those of 2009 but the effect on salinities are dramatically

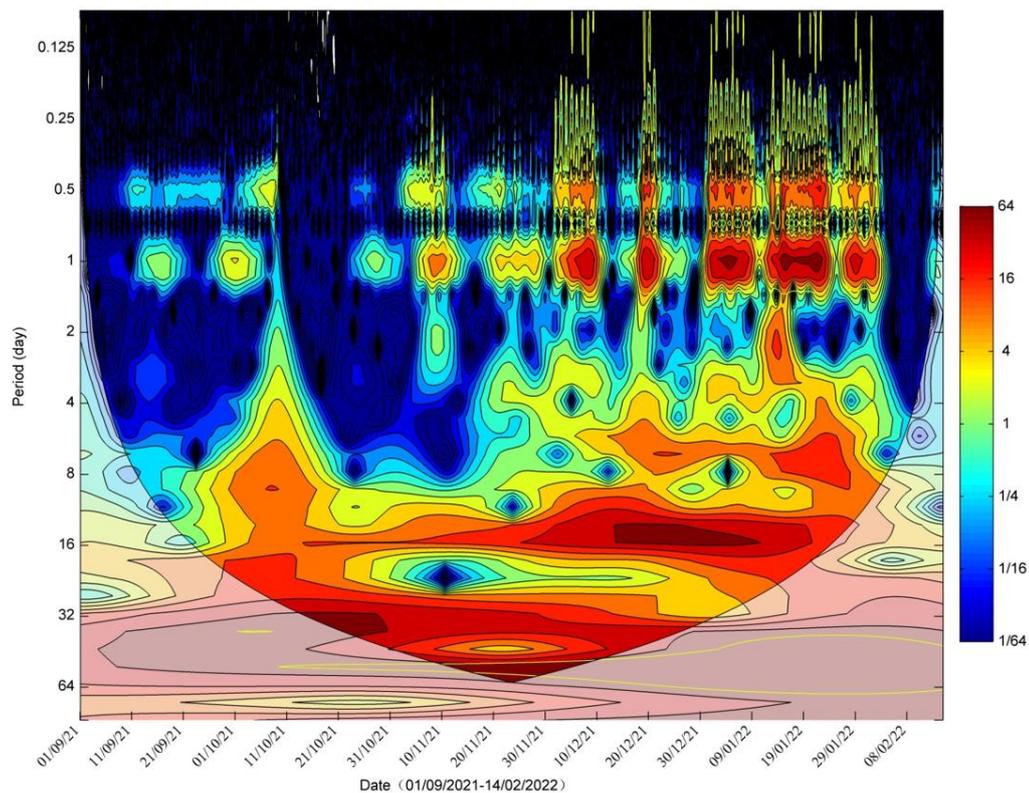
400 higher.

401

402 We conducted wavelet analysis for the salinity data of the Second Water Plant

403 Station from September 2021 to February 2022, when the salt intrusion was severe. The

404 result is shown in Fig. 4. It indicates that the power of salinity variations is concentrated
405 in several periods: one is in the range of 0.5 to 1 day, which is caused by tidal fluctuation;
406 the second period lies in the range of 5-9 days, which is presumably induced by wind
407 forcing; the third one is in the range of 14-16 days, obviously by the fortnightly
408 variation of spring-neap tidal cycle. The last one is within the range of 28 days, near
409 the monthly timescale. This periodicity should be caused by the tidal beating among
410 tidal constituents of M_2 , S_2 , N_2 , K_1 , O_1 , as indicated by Payo-Payo et al. (2022).



411

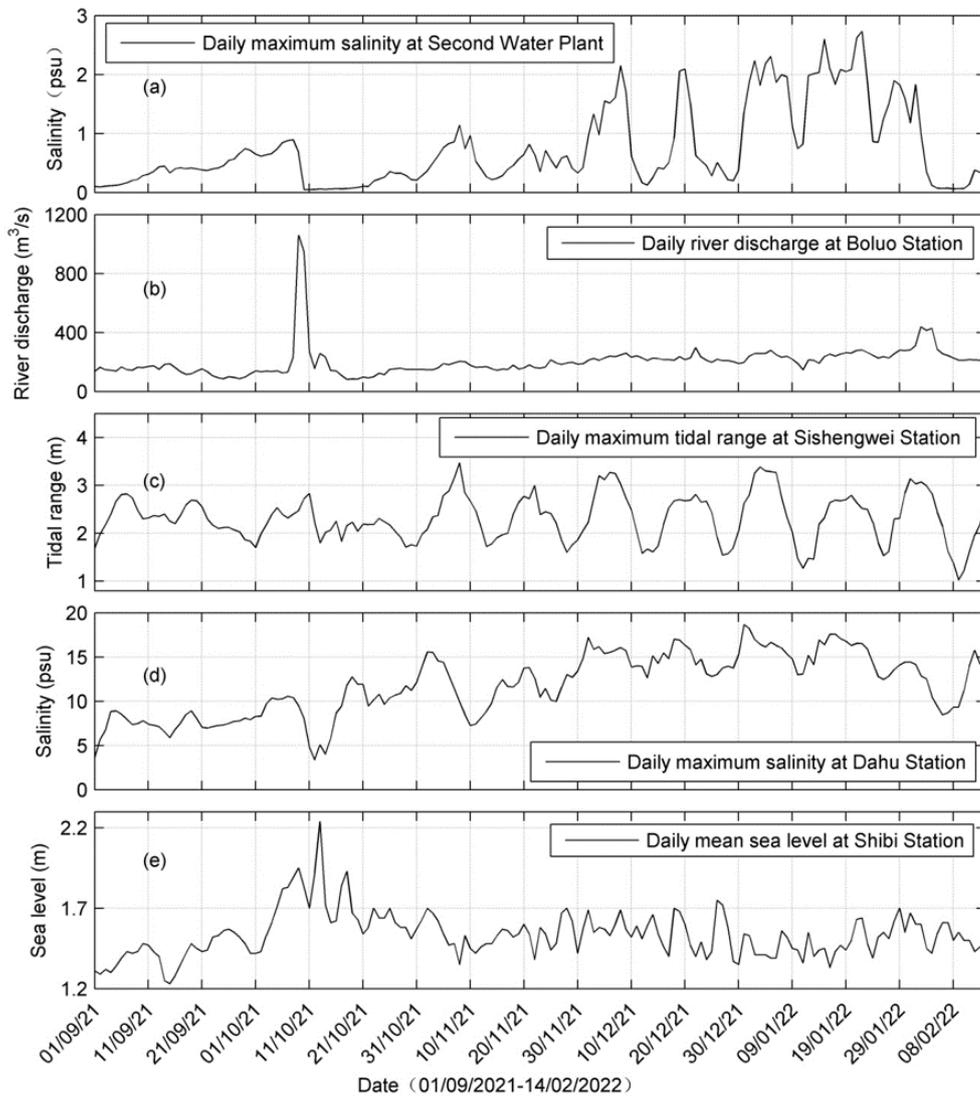
412

Fig. 4 Wavelet analysis of the salinity at the Second Water Plant

413

414 To identify the possible factors influencing the salinity variations in the sub-
415 estuary, we present the time series data of salinity at the Second Water Plant, river
416 discharge at Boluo station, tidal range at Sishengwei station, salinity at Dahu station

417 (located in the main estuary), and daily sea level at Shibi station (located at the mouth
418 of the main estuary) in Fig. 5. Firstly, it is evident that the variation of salinity at Dahu
419 (Fig. 5d) shows a consistent pattern with the changes in tidal range at Sishengwei (Fig.
420 5c), when the river discharge is relatively low after a flash flood event, which occurred
421 around October 21, 2021 (Fig. 5b). The highest salinity happened 2-3 days after neap
422 tides in the transition from neap to spring tides, whereas the lowest salinity occurred in
423 the transition from spring to neap tides, and generally occurred just before the neap
424 tides. This result indicates that the salinity and tidal range in the main estuary were
425 almost out of phase, and there existed a time lead of the salinity to the tidal range. This
426 pattern agrees well with what occurred in the Hudson River (Bowen and Geyer, 2003)
427 and the Modaomen Estuary (Gong and Shen, 2011), suggesting that the PRE remained
428 in a state of partially mixed. On the other hand, the salinity of the Second Water Plant
429 was almost in phase with the tidal range at the confluence (Fig. 5a vs. 5c). High
430 salinities coincided with spring tides, and low salinities occurred during neap tides. It
431 should be noted that the sea level at the PRE mouth showed a significant setup near
432 October 11, 2021, when a large increase in river discharge was observed in the PRD
433 due to a tropical storm (enumerated as the 17th typhoon in 2021, see the peak in Fig.
434 5b). This event caused a sharp decline in salinities at both Dahu and the Second Water
435 Plant, followed by a rebound approximately 10 days later.



436

437 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b) Daily river

438 discharge at Boluo station; c) Daily maximum tidal range at Sishengwei Station; d) Daily

439 maximum salinity at Dahu Station; e) Daily mean sea level at Shibi Station. Note that it takes

440 about 7-8 days after the storm for the salinity to recover to its pre-storm levels in the main estuary

441 and almost a month in the sub-estuary

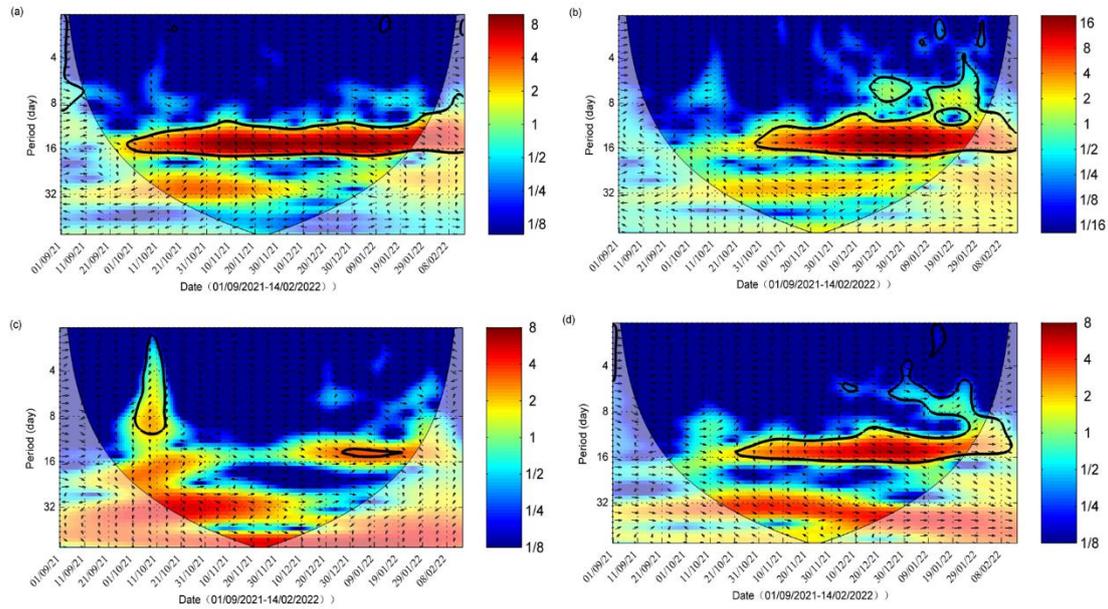
442

443 The cross-wavelet analysis between salinity at Dahu and tidal range at Sishengwei

444 (Figs. 6a) shows that the two variables are highly correlated in the periods of 14-16

445 days, indicating the effect of fortnightly spring-neap tidal variation. The arrow pointing

446 down and right in this time band demonstrates that the change in tidal range lagged the
447 variation of salinity.



448

449 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal range at
450 Sishengwei; (b) between the salinity at the Second Water Plant and the tidal range at Sishengwei;
451 (c) between the salinity at the Second Water plant and the river discharge at the Boluo Station; (d)
452 between the salinity at the Second Water plant and that at the Dahu Station.

453

454 The cross-wavelet analysis between the salinity at the Second Water Plant and the
455 tidal range at Sishengwei station (Figs. 6b) shows that there existed a high common
456 power band of 14-16 days after October 21, 2021, and the phase relationship between
457 them was in phase, indicating that high salinities occurred during spring tides and low
458 salinities during neap tides, confirming the above results. It is also noted that before the
459 flood event on October 11, 2021, there was no high common power between these two
460 variables, even though the river discharge at the head of East River (Boluo Station) was

461 lower. This lack of high common power in the time band of 14-16 days before the
462 tropical storm event can also be noted in the cross-wavelet analysis between the salinity
463 at Dahu and the tidal range at Sishengwei. We also noted that before the storm event,
464 the water level at Sishengwei did not show distinct fortnightly spring-neap variations
465 (Fig. 5c). This lack of fortnightly cycle could be induced by the wind-induced
466 setup/setdown and/or the river-tide interaction, in which the river flow suppress the
467 tidal propagation. This phenomenon is peculiar and warrants a future study but beyond
468 the scope of this study.

469 The cross-wavelet analysis between the salinity at the Second Water Plant and the
470 river discharge at Boluo Station is presented in Fig. 6c. The high correlation during the
471 storm event was obvious, whereas, after that, the common power between the salinity
472 and river discharge was relatively low during the rebound period of the salinity at the
473 Second Water Plant. This low correlation could be due to the fact that the river discharge
474 did not change much and had no periodicity of 14-16 days then.

475 To examine the relationship between the salinities in the main estuary and at the
476 sub-estuary, we conducted a cross-wavelet analysis between the salinity at the Second
477 Water Plant and that at Dahu (Fig. 6d). There existed high common power between
478 these two variables in the time band of 14-16 days, the fortnightly tidal cycle. It also
479 shows that before October 21, 2021, the phase relationship between these two variables
480 was approximately in quadrature, indicating that the variation of the salinity at the
481 Second Water Plant lagged that at Dahu by 3.5-4 days. After October 21, 2021, the
482 phase relationship between them changed to in-phase when the river discharges in the

483 PRD became very low. This is quite interesting and will be explored in the following.

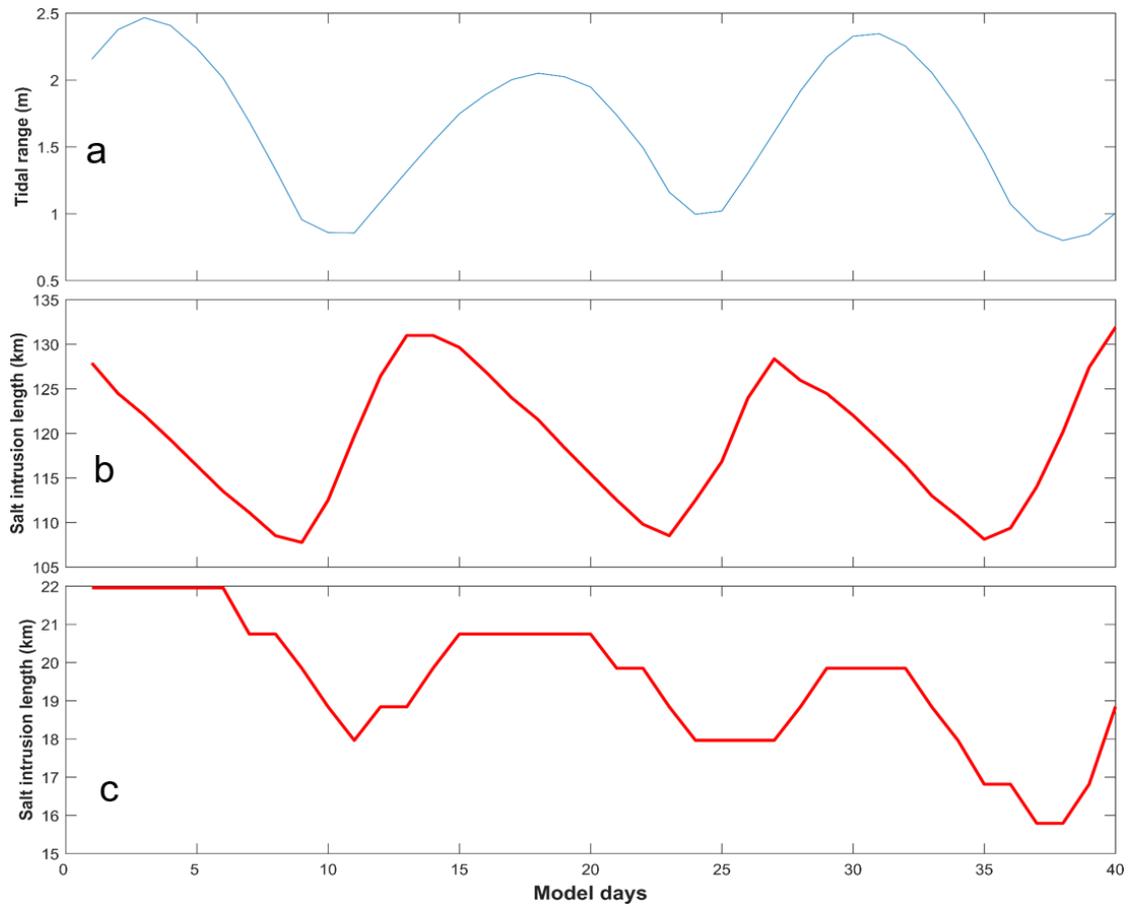
484

485 **4.2 The salt dynamics obtained through numerical simulations**

486

487 For Case 1 (base run), we intended to investigate the salt dynamics when the main
488 estuary stays in a state of partially mixed. Firstly we examine the variation of salt
489 intrusion length along the estuary's deep channel (Fig. 2b). Here the salt intrusion
490 length is defined as the distance of the bottom salinity isohaline of 5 ~~psu~~psu from the
491 estuary mouth. It shows that the tidal range at the main estuary's mouth fluctuates at
492 fortnightly and monthly timescales. There occur two spring –tides and neap tides in a
493 month (Fig. 7a), with one spring (neap) tide being stronger than the other one, as the
494 perigee/apogee cycle. The salt intrusion in the main estuary fluctuates with the tidal
495 range (Fig. 7b). The maximum salt intrusions occur just after neap tides, and the
496 minimum salt intrusions occur at the late of the transition from spring to neap tides,
497 consistent with the salinity change at the Dahu station shown above (Fig. 5d), and the
498 results we have demonstrated before (Gong et al., 2018). The relationship between the
499 salt intrusion and tidal range indicates an almost anti-phase one, suggesting that the
500 estuary is basically in a state of partially-mixed. This is because, for a partially-mixed
501 estuary, the landward salt transport is maximum during neap tides by the steady shear
502 and results in a maximum salt intrusion then. We present the tidally averaged
503 longitudinal profile of current and salinity for representative neap and spring tides in
504 Fig. S1 in the Supplement. The results confirm that during the neap tide, the estuary is
505 partially mixed, whereas, during the spring tide, the estuary becomes more mixed but

506 still in the state of partially mixed.



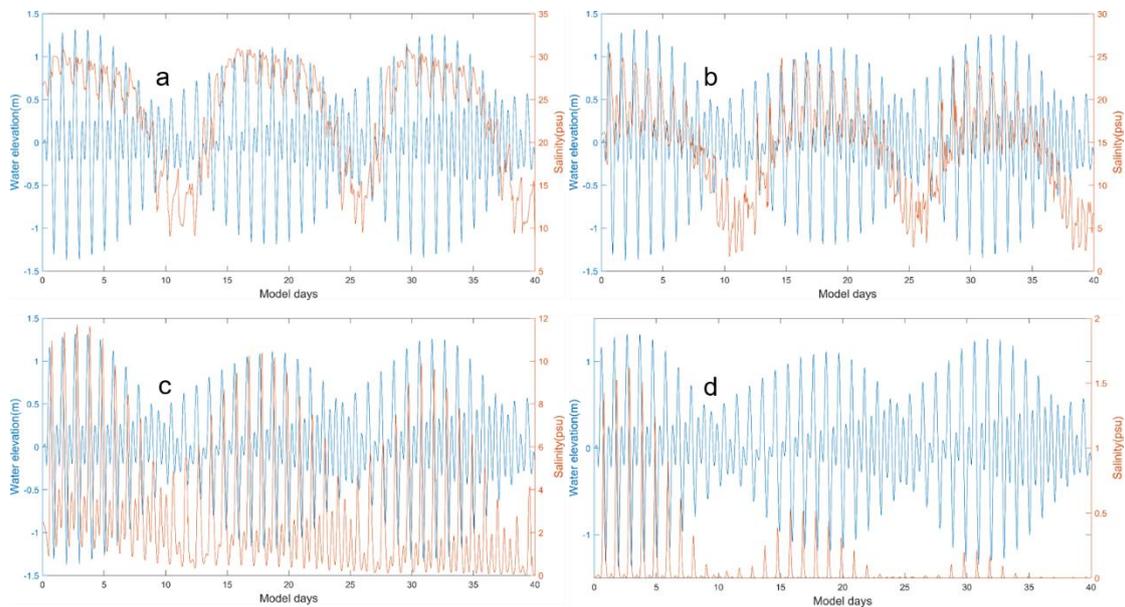
507

508 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt intrusion length along
509 the longitudinal section of the main estuary; c) salt intrusion length along the longitudinal section
510 of the sub-estuary.

511

512 We also checked the time series data of surface salinity and water level at a station
513 (S1, Fig. 2b) in the main estuary, roughly corresponding to the Dahu Station (Fig. 8a).
514 It shows that the surface salinity increases from neap to spring tides, and reaches
515 maxima before spring tides. It declines from the maxima to minima from spring to neap
516 tides, reaching the minima almost at neap tides. This shows that the salinity increases
517 faster from neap to spring than decreases from spring to neap. This asymmetry is also

518 noted in the variation of salt intrusion length, which increases sharply after the neap
 519 tides but decreases more gradually from the maximum to the minimum. This
 520 phenomenon has been discussed by Chen (2015)-; when the salt intrusion length is
 521 shorter just before the neap tide, the acceleration by the net landward salt flux is stronger,
 522 whereas when the salt intrusion length is longer, the deceleration of salt intrusion length
 523 by net seaward salt flux is relatively weaker. The change in salinity leads that in tidal
 524 range during spring tides but lags the tidal range during neap tides.



525
 526 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1 Station in the main
 527 estuary; b) at S2 station (the confluence); c) at S3 station in the middle of the sub-estuary; d) at S4
 528 station in the upstream region of the sub-estuary.

529
 530 Similar to the analysis of observation data, we then investigate the salt intrusion
 531 in the sub-estuary (Fig. 7c). Though the accuracy is not high, as our model resolution
 532 in the sub-estuary is not fine enough, it clearly shows that the maximum salt intrusions
 533 occur nearly in spring tides and the minimum salt intrusions in neap tides. This means

534 that the salt intrusion is in phase with the tidal range in the sub-estuary. We show the
535 tidally averaged profiles of current and salinity at the sub-estuary in Fig. S2 in the
536 Supplement. It indicates that the sub-estuary is mostly in a state of well-mixed during
537 both the neap and spring tides, though there appears some stratification near the mouth
538 of the sub-estuary during the neap tide. The 1 ~~psu~~psu isohaline intrudes more in spring
539 tides than in neap tides. It should be noted that at the lower reach of the sub-estuary, the
540 surface salinity has a local high salinity zone (Fig. S2), consistent with the finding of
541 Haywood et al. (1982) at the lower York River in the Chesapeake Bay, USA.

542 To examine the salinity variations along the sub-estuary, we selected three stations
543 in the sub-estuary: one at the mouth (S2), one in the middle reach (S3), and the last one
544 in the upper reach (S4). The time series of water level at the confluence and salinities
545 at these three stations are shown in Figs. 8b, 8c and 8d. The salinity at the mouth of the
546 sub-estuary (Fig. 8b) fluctuates similarly to that in the main estuary: maximum salinities
547 occur right after neap tides and minimum salinities just before neap tides. In the middle
548 of the sub-estuary (Fig. 8c), the salinity variation almost keeps pace with that of the
549 tidal range: maximum salinities occur at spring tides and minimum salinities at neap
550 tides. At the upstream station, the salinity variation shows a similar pattern to that in
551 the middle of the sub-estuary. This indicates that when saline water propagates
552 upstream, it advances more landward and experiences less impedance during spring
553 tides and vice versa. We explore this phenomenon in the discussion part.

554

555 **4.3 The subtidal salt dynamics in the sub-estuary by the analytical solution**

556

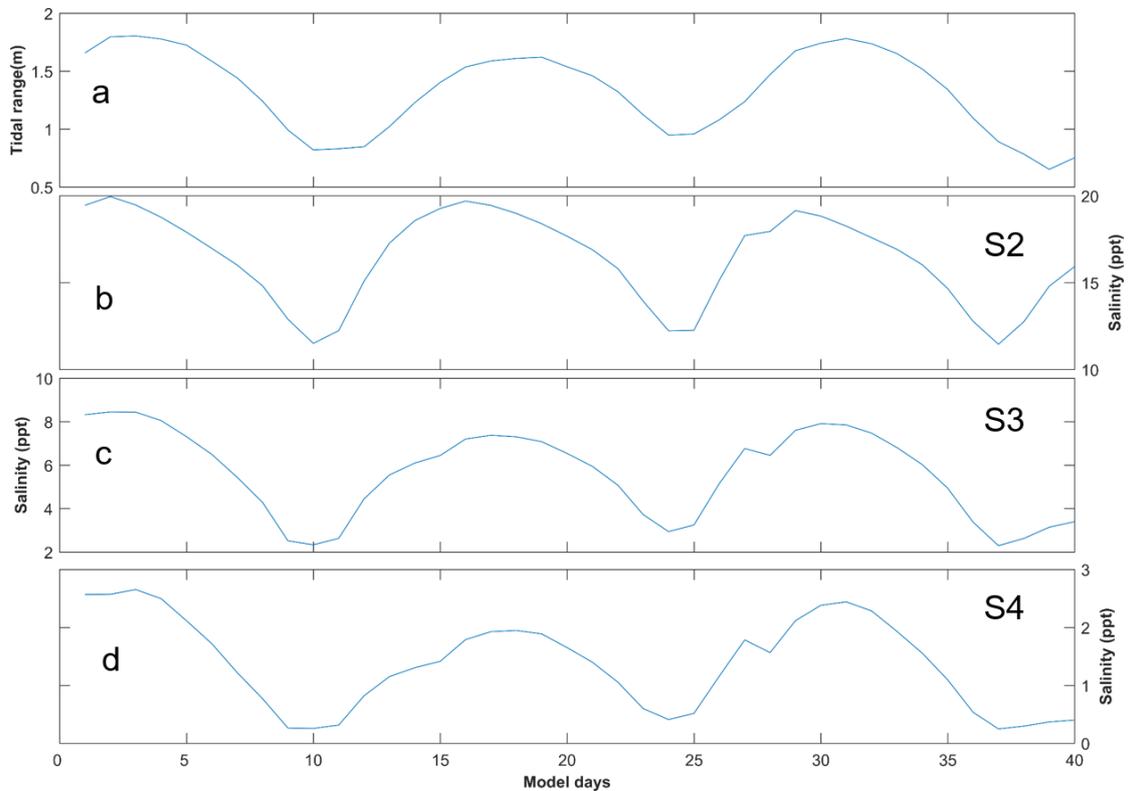
557 We used the analytical solutions in Section 3.3 to explore the salt dynamics in the
 558 sub-estuary. In the sub-estuary, the exponential decaying constant of the cross-sectional
 559 area was calculated to be 50 km; and the river discharge was specified to be $200 \text{ m}^3 \text{ s}^{-1}$.
 560 1.

561 We used the scheme of constant dispersion along the sub-estuary, and the K_x was
 562 estimated as (Ralston et al., 2008):

$$563 \quad K_x = c_h \left(\frac{T_{tide}}{4} U_T \right) U_T \quad (89)$$

564 where c_h is an empirical constant of 0.0224, T_{tide} is the tidal period, here is set as
 565 12.42 hours; U_T is the tidal current amplitude at the sub-estuary's mouth.

566 We solved Eq. (56) for the model experiment Case 1. The results are shown in Fig.
 567 9.



568 Fig. 9. The results of the analytical solution of salinity variations along the sub-estuary. a)
 569 tidal range at the mouth of the sub-estuary; b), c), and d) are subtidal salinity variations at S2,
 570

S3, and S4 stations.

571

572

573 Under the $1500 \text{ m}^3 \text{ s}^{-1}$ river discharge at the head of the main estuary, the tidal
574 range at the sub-estuary's mouth varies between spring and neap tides, with a greater
575 spring and a weaker spring in a month (Fig. 9a). The subtidal salinity at the confluence
576 (S2 station, Fig. 9b) varies between 10 and 20 psu , with the maximum salinities
577 occurring before the spring tides and the minimum salinities before the neap tides,
578 indicating a phase lead of salinity to the tidal range. In the middle of the sub-estuary
579 (S3 station, Fig. 9c), the salinity fluctuates between 2 and 10 psu , and there exists a
580 slight phase lead of salinity to that of the tidal range. In the upstream region of the sub-
581 estuary (S4 station, Fig. 9d), the salinity fluctuates between 0 and 3 psu , and the
582 salinity variation becomes almost in phase with that of the tidal range at the confluence.
583 Compared to the numerical simulation results, the analytical solution reproduces the
584 trend of the phase relationship between the salinity and tidal range along the sub-estuary:
585 the phase of the salinity variation leads that of the tidal range at the sub-estuary's mouth
586 and becomes more in phase with that of the tidal range in the middle and upstream
587 region of the sub-estuary. Meanwhile, the fluctuation magnitude in the middle of the
588 sub-estuary is well reproduced. However, the fluctuation range in the upstream region
589 of the sub-estuary is over-estimated, showing the weakness of assuming a uniform
590 horizontal dispersion along the sub-estuary.

591

592 **5. Discussion**

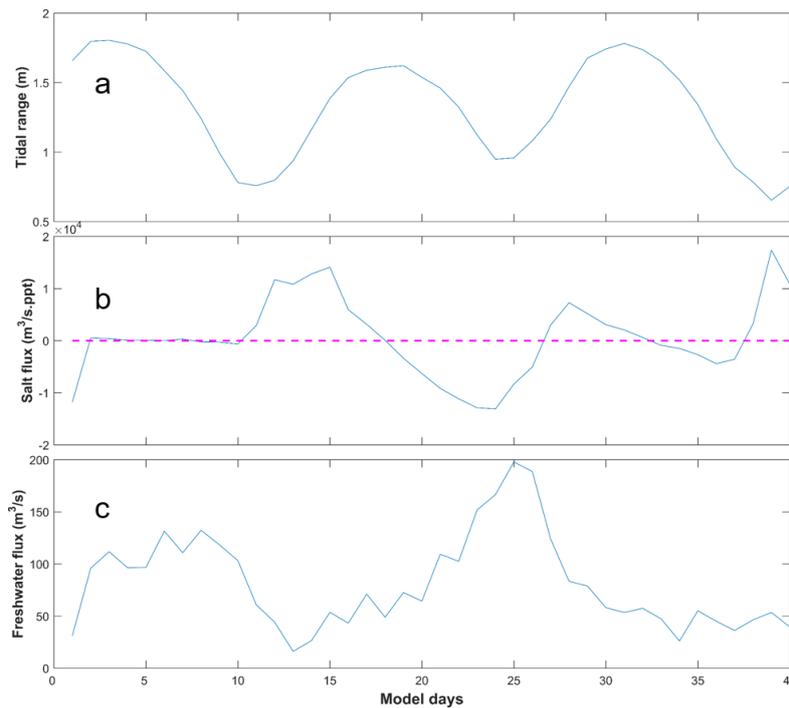
593

594 **5.1 The physics behind the change in phase relationship between the salinity and** 595 **tidal range along the sub-estuary**

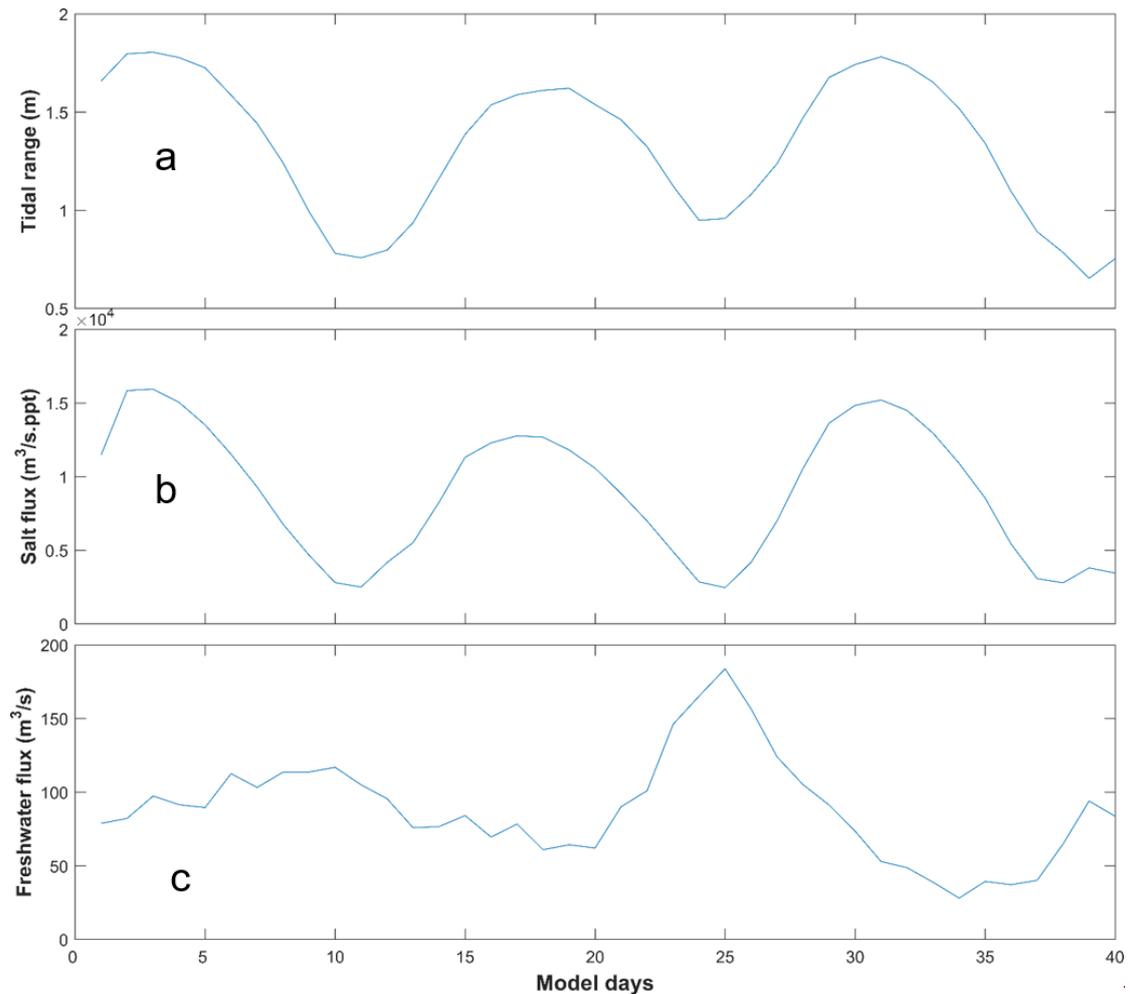
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597 The numerical results and analytical solutions both indicate that near the sub-
598 estuary's mouth, the salinity fluctuation leads that of the tidal range, and in the middle

599 and upstream region of the sub-estuary, the salinity variation becomes more in phase
600 with that of the tidal range. The analytical solution shows that the changes in the phase
601 relationship between these two variables are mostly caused by the change in horizontal
602 dispersion, that is, the larger dispersions during spring tides cause increased landward
603 salt transport, resulting in elevated salinity in the middle and upstream regions of the
604 sub-estuary. The results of numerical simulation are a combination of many
605 interweaved processes and a little harder to interpret. To unravel the physics in the
606 numerical simulation, we examine the salt transport in the lower reach at a cross-section
607 near the sub-estuary mouth and freshwater transport in the upstream cross-section of
608 the sub-estuary (shown in Fig. 2b).



609



610
611

612 Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux at the
613 cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-section in the
614 upstream region of the sub-estuary. It should be noted that the freshwater flux is the magnitude
615 and has a sign opposite to the salt flux.

616

617 The results are shown in Fig. 10. From Fig. 10b, the subtidal salt flux near the sub-
618 estuary's mouth is ~~always generally~~ landward during the periods from neap tides to
619 spring tides and seaward from spring tides to neap tides~~in the simulation period and is~~
620 ~~higher during spring tides and lower during neap tides~~. The change in salt flux leads

621 that of the tidal range, consistent with the phase relationship between salinity and tidal
622 range near the sub-estuary's mouth (Fig. 8b). As the sub-estuary is well-mixed during
623 the simulation period, the landward salt transport is mostly induced by the tidal
624 oscillatory transport ~~and justifies ignoring the steady shear part in Eq. (3)~~. The subtidal
625 freshwater flux in the upstream region of the sub-estuary is seaward, and shows a
626 pattern that larger freshwater fluxes occur during neap tides and smaller freshwater
627 fluxes during spring tides (Fig. 10c). This pattern has been well studied by Buschman
628 et al. (2009) in the subtidal momentum dynamics. They showed that the primary
629 subtidal momentum balance is between the water level gradient and bottom friction.
630 During spring tides, the subtidal bottom friction is larger and the subtidal water slope
631 is greater, meaning that more freshwater is being detained upstream to elevate the water
632 level there. During neap tides, the detained freshwater in the upstream is released
633 downstream and results in increased freshwater fluxes. In this way, the saline water
634 from the sub-estuary's mouth experiences less impedance and dilution during spring
635 tides and thus advances more landward, resulting in an enhanced salt intrusion during
636 spring tides, and vice versa. The above results indicate that the more in-phase
637 relationship between the salinity and tidal range in the middle and upstream region of
638 the sub-estuary is mostly generated by the fortnightly variation of the tidal strength and
639 the associated variations of horizontal dispersion and freshwater flux by the river-tide
640 interaction. The larger the dispersion, the more salt is pumped into the upstream. The
641 stronger the tidal strength, the more freshwater is detained upstream and less impedance
642 to the salt intrusion.

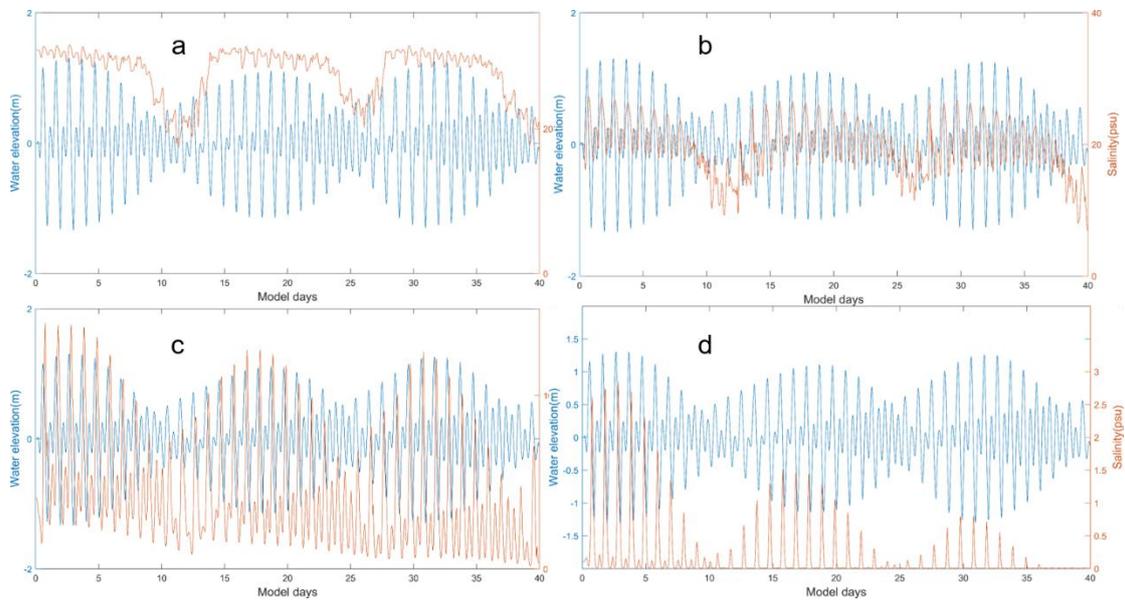
643 From the above results, it is seen that the salinity dynamics in the sub-estuary show
644 a pattern that is more influenced by the main estuary in the lower reach and becomes
645 more controlled by internal tidal processes in the middle and upstream regions of the
646 sub-estuary.

647

648 **5.2 How do the salt dynamics in the main estuary affect that in the sub-estuary?**

649

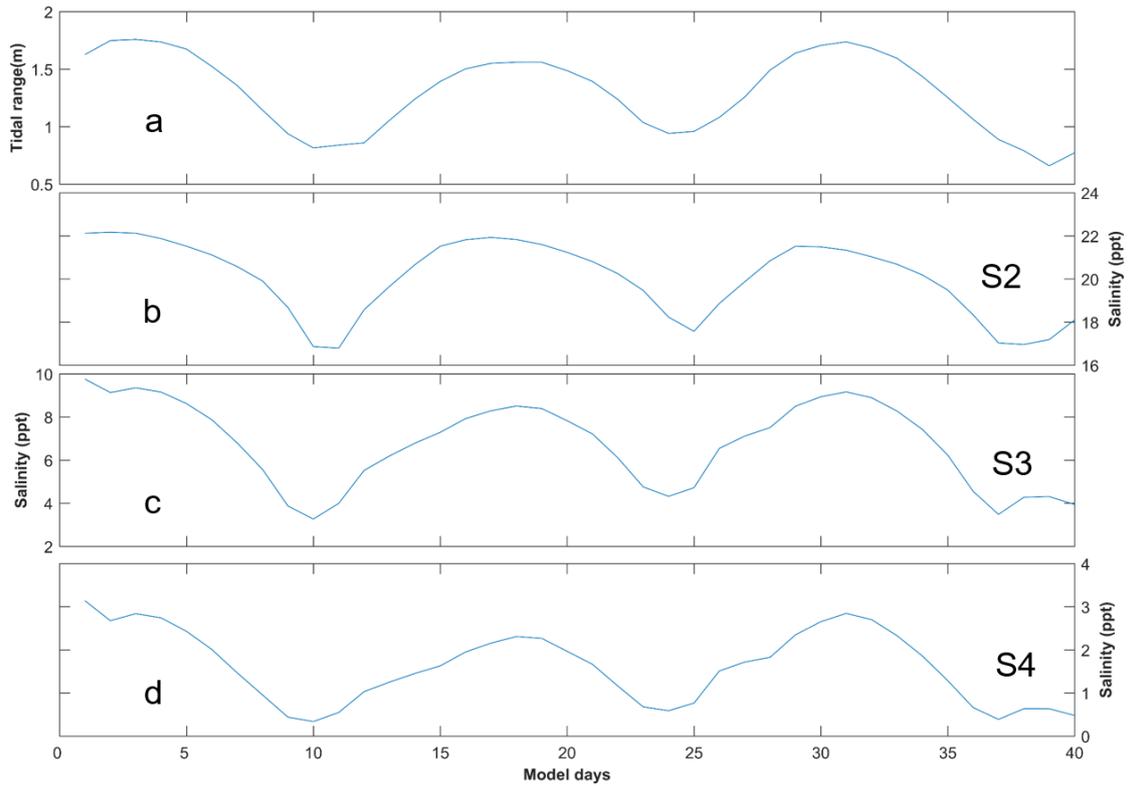
650 To further study how the changes in salinity dynamics in the main estuary affect
651 the salinity variation in the sub-estuary, we set up another experiment. In the model
652 scenario of Case 2, we set an extremely low river discharge ($500 \text{ m}^3 \text{ s}^{-1}$) at the head of
653 the main estuary, and the results are shown in Fig. 11. Simultaneously, the analytical
654 solutions for the scenario of Case 2 are presented in Fig. 12.



655

656 Fig. 11. Timeseries of water level at the confluence and surface salinity under the
657 extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c) S3; d) S4.

658



659

660

Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary

661

under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b), c), and d) are

662

subtidal salinity variations at S2, S3, and S4 stations.

663

664

With decreased river discharge from the head of the main estuary, the salt intrusion

665

front is shifted more landward. The S1 station is now located in the polyhaline region

666

with a mean salinity of approximately 26 ~~psu~~psu (Fig. 11a). The minimum salinities

667

coincide more with neap tides but the maximum salinities occur around spring tides.

668

The asymmetry between salinity rise and fall is decreased, with salinities jumping

669

quickly after neap tides, keeping elevated around spring tides, and dropping quickly

670

just before neap tides. For the intratidal variation, it can be seen that during a tidal cycle,

671

the salinity fluctuation is reduced when compared to Case 1 (Figs. 11a vs 8a), which is

672

mostly due to the fact that with the reduced river discharge, the salinity gradient in the

673 polyhaline reach of the main estuary is decreased.

674 For the S2 Station (at the confluence, Figs. 11b and 12b), it is now located in the
675 mesohaline region, with the salinity ranging from 5 to 26 ~~psu~~^{psu}. The highest and
676 lowest salinities are both increased when compared to Case 1, with a reduced magnitude
677 of salinity change in a tidal cycle. The salinity variation pattern remains similar to that
678 in Case 1, with minimum salinities occurring just before neap tides, and maximum
679 salinities after neap tides, but occur closer to spring tides. The asymmetry of quick
680 increase from neap to spring but gradual decrease afterwards is still clear.

681 When entering into the sub-estuary, the salinity variation at S3 in the middle of the
682 sub-estuary shows a more in-phase relationship between salinity and tidal range (Figs.
683 11c and 12c). The maximum salinities occur closer to spring tides whereas the
684 minimum salinities still occur just before neap tides. In the upstream region of the sub-
685 estuary (Figs. 11d and 12d), the phase relationship between salinity and tidal range is
686 also an in-phase one. Combined with the situation at the S1 Station, it indicates that the
687 variations of salinity at stations S4 and S1 are more synchronous. This largely explains
688 the observed phenomenon that under more drought conditions, the salinity variations at
689 the Second Water Plant kept pace with those at the Dahu Station (Section 3.1).

690

691 **5.3 Limitations and implications of this study**

692

693 In this study, we focus on the phase relationship between the variations of salinity
694 and tidal range, both in a sub-estuary and the main estuary. The salinity variations along
695 the sub-estuary are revealed to be associated with the salinity dynamics in the main

696 estuary, linked by the salinity variations at the confluence between the main estuary and
697 the sub-estuary. In a spring-neap tidal cycle, even when the salinity at the confluence is
698 a little lower during the spring tide than that during the neap tide, the higher horizontal
699 dispersion and decreased freshwater release at the head of the sub-estuary during the
700 spring tide can pump more saline water from the confluence into the middle and
701 upstream of the sub-estuary, and cause the salinities there to be higher than during the
702 neap tide. In this way, the salinity variations at areas farther away from the confluence
703 become more synchronous with the tidal range.

704 However, this study did not consider the effect of winds and waves, as shown to
705 be important in previous studies such as Gong et al. (2018). The variations of salinity
706 in the period of 5-8 days should be related to the wind effects and await future
707 exploration. The effect of sea level change outside the main estuary was also not
708 examined in detail, though it can be intrinsically linked to the effect of winds and waves.
709 Finally, we did not explore a full parameter space of river discharge, tidal range, and
710 bathymetry situations, and thus can not give a synthesis of the sub-estuary salt intrusion
711 dynamics at this time.

712 Despite all these limitations, this study has implications for studying salt intrusion
713 dynamics in sub-estuaries, which are influenced by both the hydrodynamics inside the
714 sub-estuary and the salt dynamics in the main estuaries. It is also of importance for
715 providing a scientific basis for salt intrusion mitigation in the region. For example, salt
716 intrusion in the sub-estuary is not only impacted by the river discharge from the head
717 of the sub-estuary itself but also largely affected by the salt dynamics in the main estuary.

718 In this respect, apart from releasing more freshwater from the upstream in the sub-
719 estuary, measures to control the salinity variations at the confluence between the main
720 estuary and the sub-estuary also need to be taken into consideration. This may involve
721 implementing engineering solutions such as the construction of barriers or gates to
722 regulate the inflow of saltwater from the main estuary into the sub-estuary. Additionally,
723 the management of water withdrawals and releases in the sub-estuary and main estuary
724 needs to be optimized by taking the estuarine system as a whole. Overall, a
725 comprehensive and coordinated approach is necessary to effectively mitigate salt
726 intrusion in sub-estuaries.

727

728 **6. Summary and conclusions**

729

730 From 2021 to 2022, under the influence of an extended La Nina event, the Pearl
731 River Delta region in China experienced a prolonged extreme drought condition, and
732 the sub-estuary (East River estuary) also suffered greatly from the enhanced salt
733 intrusion. To identify the characteristics of the salt intrusion in the sub-estuary, and to
734 explore the underlying physics in controlling the spatio-temporal variations of the salt
735 intrusion, we collected observation data and conducted numerical simulations for
736 idealized estuarine bathymetry, and used analytical solutions for the subtidal salinity
737 variations in the sub-estuary. The observation data showed that the salinity variation in
738 the main estuary usually led that of the tidal range, and the asymmetry between salinity
739 rise and fall in a fortnightly timescale was prominent. However, in the upstream region
740 of the sub-estuary, the salinity variation was in phase with that of the tidal range, and

741 the salinity rise and fall were more symmetrical. The idealized model simulations and
742 the analytical solution both reproduced these phenomena.

743 We note that under drought conditions, the river-tide interaction played a role in
744 the in-phase relationship between the salinity and tidal range upstream region of the
745 sub-estuary. The salinity variation in the middle and upstream regions of the sub-
746 estuary can keep pace with that of the tidal range. The analytical results show that the
747 horizontal dispersion scaling with tidal strength can largely reproduce the changes in
748 phase relationship between salinity and tidal range in the sub-estuary. We conclude that
749 both the changes in horizontal dispersion and the river-tide interaction in modulating
750 the freshwater release are responsible for the in-phase relationship between the salinity
751 and tidal range in the middle and upstream regions of the sub-estuary.

752 This study is of help in the investigation of salt dynamics in sub-estuaries
753 connected to main estuaries, and of implications for mitigating salt intrusion problems
754 in the regions suffered from enhanced salt intrusion by climate change and human
755 interventions.

756

757 **Data availability:** The observation data can be downloaded from the website
758 <http://www.pearlwater.gov.cn/>. The numerical data is available upon request to the
759 corresponding author.

760

761 **Declaration of competing interest**

762 The contact author has declared that none of the authors has any competing
763 interests.

764

765 **CRedit authorship contribution statement**

766 **Zhongyuan Lin:** Data collection, wavelet analysis, Writing - original draft, Writing -

767 review & editing. **Guang Zhang:** numerical modeling, Writing - review & editing.

768 **Huazhi Zou:** Writing-review & editing, funding acquisition. **Wenping Gong:**

769 Conceptualization, Methodology, Writing-review & editing, funding acquisition.

770

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772

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776

777 **Supplement:**

778

779 We present the longitudinal profiles of subtidal current and salinity along the
780 channels in the main estuary and the sub-estuary during typical spring and neap tides.

781 Fig. S1 is for the dry condition with 1500 m³/s at the head of the main estuary, and Fig.

782 S2 for the extremely dry condition with 500 m³/s released at the head of the main estuary.

783

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912

913 **Figure Captions:**

914

915 Fig.1. a) The East River estuary; b) Map of the Pearl River Delta and the
916 locations of hydrological and water level stations.

917 Fig. 2. Geometry and bathymetry of the idealized model domain: a)for the
918 whole domain; b)zoom in for the area of concern. The origin of the coordinates is in
919 the middle of the main estuary mouth. The longitudinal sections in the main and sub-
920 estuary are shown as dashed lines, and the cross-sections inside the sub-estuary are
921 shown as color solid lines. The locations of several stations are indicated.

922 Fig.3. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)
923 Total duration period with salinity exceeding 0.5 ~~psu~~psu for each month; c) Monthly
924 river discharge at Boluo station (upstream of the East River); d) Monthly river
925 discharge at Wuzhou station (upstream of the West River); e) Monthly river discharge
926 at Shijiao station (upstream of the North River).

927 Fig. 4 Wavelet analysis of the salinity at the Second Water Plant.

928 Fig. 5. Timeseries of: a) Daily maximum salinity at the Second Water Plant; b)
929 Daily river discharge at Boluo station; c) Daily maximum tidal range at Sishengwei
930 Station; d) Daily maximum salinity at Dahu Station; e) Daily mean sea level at Shibi
931 Station.

932 Fig. 6. Cross-wavelet analysis of (a) between the salinity at Dahu and the tidal
933 range at Sishengwei; (b) between the salinity at the Second Water Plant and the tidal
934 range at Sishengwei; (c) between the salinity at the Second Water plant and the river

935 discharge at the Boluo Station; (d) between the salinity at the Second Water plant and
936 that at the Dahu Station.

937 Fig. 7. Timeseries of: a) tidal range at the mouth of the main estuary; b) salt
938 intrusion length along the longitudinal section of the main estuary; c) salt intrusion
939 length along the longitudinal section of the sub-estuary.

940 Fig. 8. Timeseries of water level at the confluence and surface salinity a) at S1
941 Station in the main estuary; b) at S2 station (the confluence); c) at S3 station in the
942 middle of the sub-estuary; d) at S4 station in the upstream region of the sub-estuary.

943 Fig. 9. The results of the analytical solution of salinity variations along the sub-
944 estuary. a) tidal range at the mouth of the sub-estuary; b), c), and d) are subtidal
945 salinity variations at S2, S3, and S4 stations.

946 Fig. 10. Timeseries of: a) tidal range at the mouth of the sub-estuary; b) salt flux
947 at the cross-section near the mouth of the sub-estuary; c) freshwater flux at the cross-
948 section in the upstream region of the sub-estuary.

949 Fig. 11. Timeseries of water level at the confluence and surface salinity under
950 the extremely lower river discharge in the main estuary at stations of: a) S1; b) S2; c)
951 S3; d) S4.

952 Fig. 12. The results of the analytical solution of salinity variations along the sub-estuary
953 under extremely dry conditions. a) tidal range at the mouth of the sub-estuary; b),
954 c), and d) are subtidal salinity variations at S2, S3, and S4 stations.