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Technical Note:

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Multi-year Changes in the Brewer-Dobson Circulation from HALOE Methane

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11 **Abstract.** This study makes use of Halogen Occultation Experiment (HALOE) methane (CH₄) in
12 a search for multi-year changes in the Brewer-Dobson Circulation (BDC). Changes in CH₄ are
13 determined for three, successive 5-yr time spans from 1992 to 2005, and there are significant
14 differences in them. There is a clear separation for the changes in the northern hemisphere near
15 30 hPa or at the transition of the shallow and deep branches of the BDC. The CH₄ changes were
16 positive and large in the shallow branch following the eruption of Pinatubo, but they then
17 decreased and agreed with tropospheric trends in the late 1990s and early 2000s. CH₄ decreased
18 in the upper part of the deep branch from 1992 to 1997 or following the eruption of Pinatubo.
19 CH₄ continued to decrease in the deep branch in the late 1990s but then increased in the early
20 2000s, although the changes were small compared with the seasonal and interannual variations of
21 CH₄. Those multi-year changes were due, in part, to wave forcings during El Nino Southern
22 Oscillation (ENSO) of 1997-1998 and beyond and to episodic, sudden stratospheric warming
23 (SSW) events during both time spans. It is concluded that time series of HALOE CH₄ provide
24 effective tracer diagnostics for studies of the nature of the BDC from 1992 to 2005.

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28 **1. Introduction**

29 Global-scale stratospheric transport is characterized in each hemisphere by a seasonal Brewer-
30 Dobson circulation (BDC), consisting of upward transport in the tropics, poleward transport to
31 higher latitudes, and descent in the polar vortex region (e.g., Butchart, 2014). Model studies
32 indicate that there are also multi-year changes in the BDC in response to increases in the
33 greenhouse gases (GHG) and to dynamical forcings during El Nino/Southern Oscillation
34 (ENSO) events, but where the effects of those forcings may differ within the shallow (lower) and
35 deep (upper) regions of the BDC. Remsberg (2015) reported that the distributions of methane
36 (CH_4) from the Halogen Occultation Experiment (HALOE) provide tracer diagnostics for
37 changes in the BDC. The present study is a refinement of his initial analysis and gives some
38 insight on mechanisms for changes in the BDC. Section 2 is a brief description of the methane
39 data and the analysis approach for them. Section 3 presents the results of the analyses in terms
40 of changes in the distribution of CH_4 for three successive 5-yr time spans. Qualitative
41 attributions are also provided for those changes. Section 4 summarizes the findings from this
42 exploratory study.

43

44 **2. Data and Analysis Method**

45 HALOE obtained sunrise (SR) and (SS) occultation measurements across latitude zones
46 throughout its mission of October 1991 to November 2005. The present study considers zonal
47 averages of CH_4 for nine latitude zones and at twelve pressure levels (0.4 to 50 hPa), for a total
48 of 108 separate time series. Figure 1 shows example time series from zonal averages of the SR
49 and SS measurements at specific pressure levels and in three different latitude zones. Figure 1(a)
50 is the time series for the 10 hPa level at 30°N latitude, and there is a clear QBO-signal in the
51 data. Figure 1(b) is for 10 hPa at 30°S , where there is a combination of annual (AO), semi-
52 annual (SAO), and QBO signals. One can also see that seasonal and interannual variations are
53 much larger than the longer-term changes. Figure 1(c) is for 2 hPa at 45°N , where CH_4
54 decreases gradually in the early to middle 1990s and where it has larger amplitudes in early 2002
55 and 2004.



56

57 The analysis of CH₄ for this study is in the manner of Remsberg (2015) with the following
58 modifications. The nine latitude zones are from 60°S to 60°N with a spacing of 15° and no
59 overlap. The latitude bins are a bit wider (15°) than before to provide more representative
60 sampling, especially at ±45° from 2000 to 2005 when the samples from HALOE were more
61 limited. To look for secular trends in the BDC, multiple linear regression (MLR) analysis was
62 applied to the CH₄ time series, as separated into three, 5-yr time spans that overlap by one year
63 (July 1992 to June 1997; July 1996 to June 2001; and July 2000 to June 2005). The beginning
64 and end months of July and June, respectively, were selected to avoid large excursions in CH₄ at
65 the end points of time series for the northern hemisphere during the dynamically active winter
66 season. Data prior to July 1992 were not used, to avoid issues related to variable solar lock-
67 down procedures for the HALOE sun sensor and because of extinction from interfering aerosols
68 following the Pinatubo eruption. The analyses also do not include the period after June 2005,
69 when HALOE operations were limited.

70

71 An initial MLR analysis was applied to the 13-yr time span of the HALOE measurements for a
72 range of pressures and latitudes but using only AO and SAO terms. Time series residuals from
73 those runs were analyzed for interannual cycles, yielding significant terms with periods of 882
74 days (~29-month or QBO-like) and of 690 days (22.6-month or sub-biennial (SB)). Those two
75 terms were highly significant for many of the latitude/pressure time series, so they were included
76 along with the seasonal terms for the MLR model. The 5-yr (or 60 month) time span is
77 equivalent to two complete QBO cycles and avoids biases in the MLR trends due to that periodic
78 term. A biennial (718-dy) term was also indicated for the subtropics, but it was not uniformly
79 present elsewhere and was not retained for the model. A linear term completes the final MLR
80 model; the analyses also correct for lag-1 autoregressive effects. The MLR model fit to the data
81 points is shown by the oscillating solid curve for July 1996 to June 2001 in each panel of Fig. 1.
82 The combination of the constant and linear terms is the dashed line. One can see that the
83 seasonal and interannual variations have large amplitudes compared with the overall 5-yr trend
84 line, such that even minor changes from year to year can affect the linear changes. Figure 1(c) is
85 for 2 hPa and 45°N and indicates that CH₄ was decreasing from 1992 to 1997 or following the



86 eruption of Pinatubo. The 5-yr changes from 1996 to 2001 are less negative and they become
87 positive during 2000 to 2005, punctuated by two winter maximums in early 2002 and 2004.

88

89 The distribution of the average CH_4 (its constant term) is shown in Figure 2 for the time span of
90 July 1996 to June 2001. Tropical entry-level values extend upward and are transported poleward
91 in each hemisphere. CH_4 decreases with altitude and latitude, due to the relatively slow chemical
92 conversion of CH_4 to water vapor (H_2O) and molecular hydrogen (H_2) in the upper stratosphere
93 (Brasseur and Solomon, 2005). That decay of CH_4 is nearly symmetric between the two
94 hemispheres. The primary purpose of Fig. 2 is to show the vertical and meridional gradients of
95 CH_4 that are acted upon by the BDC. Although the CH_4 distributions for the other two 5-yr time
96 spans are like that of Fig. 2, there are distinct differences in the 5-yr changes in CH_4 for the three
97 successive time spans.

98

99 Figures 3-5 show the distributions of linear terms (% change / 5-yr) from the zonally averaged
100 CH_4 data for July 1992 to June 1997, July 1996 to June 2001, and July 2000 to June 2005,
101 respectively. Notably, there is good continuity for those changes with pressure and latitude and
102 for each time span, indicating that each distribution is meaningful and related physically to
103 multi-year changes for the large-scale BDC. Results for the three 5-yr spans are discussed in
104 turn in the next section.

105

106 **3. Multi-year changes in CH_4**

107 *(a) July 1992 to June 1997*

108 Figure 3 shows that CH_4 decreased in the upper stratosphere from July 1992 to June 1997 or
109 following the Pinatubo eruption of June 1991. The changes were larger at middle latitudes in the
110 northern than the southern hemisphere, indicating that ascent within the deep branch of the BDC
111 occurred mainly in the northern subtropics immediately following the eruption. Separate, zonal
112 mean cross sections of HALOE CH_4 also show that the 0.8 ppmv contour of CH_4 occurred at ~ 4
113 hPa in November 1991 but rose to ~ 2 hPa by February 1992 in response to the BDC of that



114 winter (e.g., Russell et al. 1999). SSW events accelerate the deep branch of the BDC and lead to
115 a mixing of middle latitude and polar air. That mixing tends to flatten contours of zonal average
116 CH₄ mixing ratio. However, there were no sudden stratospheric warming (SSW) events in the
117 northern hemisphere during 1992 to 1997 (Choi et al., 2019).

118

119 Methane is not a perfect tracer, as it has a chemical lifetime as short as only a few months at 45
120 km (~1.5 hPa) but lengthening to 6 months and longer at 55 km and above and at 40 km and
121 below (Brasseur and Solomon, 2005). The relatively short lifetime of CH₄ at 1.5 hPa means that
122 even the seasonal variations of CH₄ are dampened at that level. Thus, the near-zero, linear
123 change for CH₄ near 15°S and 2 hPa in Fig. 3 indicates that there was a slow, steady transport of
124 CH₄ to that region from the tropics after July 1992. There was also an accumulation of CH₄ at
125 middle latitudes at ~20 to 30 hPa in both hemispheres during this period, in reasonable accord
126 with a net poleward transport of tropical CH₄ at the top of the shallow branch of the BDC. In
127 addition, the tropical trend of 4 % at 20 hPa is half that at middle latitudes, although it is still
128 larger than the tropospheric trends for CH₄ of ~0.3 to 0.4 % / yr (or 1.5 to 2.0 % for the 5-yr
129 period) (Dlugokencky et al., 2009).

130

131 Positive changes in CH₄ at low and middle latitudes indicate an acceleration of the BDC, and
132 negative changes imply deceleration of the BDC. However, the more traditional indicator of
133 changes in the BDC is stratospheric age-of-air (AOA), where negative AOA indicates
134 acceleration and positive AOA implies a deceleration of the BDC. Diallo et al. (2017) reported
135 that AOA decreased during the first six months due to tropical upwelling following the eruption
136 of Pinatubo. But then they found that AOA increased from early 1992 to spring 1993 between
137 20°S and 30°N and from 20 to 27 km (~50 hPa to 15 hPa), implying a deceleration of the
138 shallow branch of the BDC during 1992. However, they also reported a substantial increase in
139 AOA in northern latitudes that they related to both an increase in meridional mixing tendency
140 and a slowdown of the residual circulation. The 5-yr changes in Fig. 3 for the lower stratosphere
141 also indicate a reduced tropical upwelling and an accumulation of CH₄ at middle latitudes, due to
142 mixing and a slowdown of the BDC. The negative changes in CH₄ in upper regions of Fig. 3
143 imply that there was an overall slowdown of the deep branch of the BDC. CH₄ values that had



144 been lofted to higher altitudes by the force of the eruption underwent a gradual decline over time,
145 and meridional mixing was not so effective due to the lack of SSW events during the mid-1990s.

146

147 *(b) July 1996 to June 2001*

148 Figure 4 shows the 5-yr CH₄ changes for 1996 to 2001, when there were several SSW events—
149 on 15 December 1998, 25 February 1999, and 20 March 2000 (Choi et al., 2019). Their effect
150 on the associated BDC was a transport of CH₄ across adjacent latitudes in the upper stratosphere
151 and a broadening of the region of decreasing trends. SSW events also led to greater ascent of
152 CH₄ in the tropical upper stratosphere, where the chemical conversion of CH₄ was most effective
153 and the negative changes in Fig. 4 were enhanced compared to Fig. 3. It is also apparent that
154 there was greater meridional transport of CH₄ from the tropics to middle latitudes and an
155 accumulation of CH₄ at ~10 hPa in both hemispheres during 1996 to 2001. Those increasing
156 trends occurred at a level of the stratosphere, where the conversion of CH₄ to H₂O and H₂ is not
157 efficient.

158

159 There was a major warm ENSO event in 1997-1998 that altered wave forcing effects on CH₄ and
160 for the BDC. Randel et al. (2009) and Calvo et al. (2010) reported enhanced upwelling in the
161 tropics and an acceleration of the BDC at that time. Diallo et al. (2019) reported that ENSO
162 leads to the overall strengthening of the shallow branch of the BDC in the extratropics. It may
163 be that enhanced poleward transport in the shallow branch is why the CH₄ changes are more
164 nearly zero in the tropics and agree with tropospheric trends lower during 1996 to 2001. There is
165 a clear separation at ~30 hPa in the sign of the changes in the shallow versus the deep branch of
166 the northern hemisphere.

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168 The 1997-1998 warm ENSO event occurred near solar minimum, for which Calvo and Marsh
169 (2011) found enhanced wave forcing in the middle and upper stratosphere. That activity leads to
170 acceleration of the BDC and poleward transport of CH₄ to the extratropics. Barriopedro and
171 Calvo (2014) also found connections between ENSO and SSW events, although the exact effects



172 depend on the relative sequence of those events. Since major SSWs within 1996-2001 occur in
173 December 1998, February 1999 and in March 2000, it is likely that they merely led to further
174 accelerations of the BDC. As an example, Tao et al. (2015) gave details about how the SSW of
175 2009 led to an acceleration of the BDC. Their analyses support the present finding of increases
176 in CH₄ in the extratropics near 10 hPa in Fig. 4. More focused analyses of the relative roles of
177 SSWs and ENSO on the results of Fig. 4 and beyond the scope of the present exploratory study.

178

179 *(c) 2000 to 2005*

180 There was even more SSW activity in the northern hemisphere during the 5-yr span from 2000 to
181 2005 (on 11 February 2001, 2 January 2002, 18 January 2003, and 7 January 2004, according to
182 Choi et al., 2019). The distribution of changes in CH₄ in Figure 5 includes the net effect of those
183 episodic SSW events. As before, an SSW event accelerates the deep branch of the BDC,
184 bringing more CH₄ to high altitudes and greater meridional transport to higher latitudes. In the
185 stratopause region (~1 hPa) the changes are from negative to positive from Fig. 4 to Fig. 5 and
186 are rather uniform across latitude. On the other hand, the changes near 10 hPa are weaker now
187 than in Fig. 4 at middle latitudes of the northern hemisphere. Fig. 1(a) indicates that this change
188 may be a consequence, in part, of large amplitudes for CH₄ in early 2001 and in 2005 or near the
189 end points of the 5-yr period from July 2000 to June 2005.

190

191 In the southern hemisphere there was an anomalous SSW event on 22 September 2002, leading
192 to a splitting of the polar vortex (Newman and Nash, 2005). The CH₄ changes from Fig. 4 to
193 Fig. 5 at 10 hPa and 30°S were likely altered by that event (c.f., the time series segments in Fig.
194 1(b) for those two 5-yr periods). There is also no clear separation of the shallow and deep
195 branches of the BDC from Fig. 5 for the southern hemisphere.

196

197 Figure 6 provides a clearer picture of what was occurring from 2000 to 2005. Fig. 6(a) is a time
198 series of CH₄ at 45°S and 20 hPa, and it shows pronounced annual cycles in CH₄. Peak values
199 occur in 2001, and they may influence the overall analyzed trend for that time span. On the other



200 hand, there is little indication of a change in CH₄ at the time of the anomalous SSW event of
201 September 2002. Fig. 6(b) shows the corresponding CH₄ time series at the Equator and 20 hPa,
202 where the CH₄ variations are forced primarily by the QBO. There is a clear decrease in CH₄ in
203 2001 compared to the maximum at 45°S. As an aside, Fig. 6(b) also shows that tropical QBO
204 signals are nearly absent in CH₄ from 1996 to 2000. Bönisch et al. (2011) reported that tropical
205 upwelling increased after 2000 and accelerated the shallow branch of the BDC. Similar studies
206 based on variations in CH₄ may be helpful in determining the nature of the shallow layer of the
207 BDC prior to and after 2000.

208

209 **4. Summary findings**

210 The present study is an analysis of the distributions of HALOE CH₄ for indications of secular
211 changes in the BDC. Linear trends in CH₄ were determined for three, successive 5-yr time
212 spans, and there are significant differences between them. There is a clear separation of the deep
213 and shallow branches of the BDC at about 30 hPa in the northern hemisphere in each time span.
214 Although the changes for CH₄ in the shallow branch are rather large following the eruption of
215 Pinatubo, they agree well with tropospheric trends in CH₄ during the late 1990s and early 2000s.
216 There are decreasing changes in the upper part of the deep branch of the BDC in the early to
217 middle 1990s, indicating a decline of CH₄ following the eruption. CH₄ changes in the middle
218 and upper stratosphere differ markedly for the early 2000s compared to those of the late 1990s,
219 although those differences are small compared to the seasonal and interannual variations of CH₄.
220 In addition, the seasonal changes within the deep branches differ in each hemisphere, perhaps
221 due to episodic SSW events and to wave forcings during ENSO.

222

223 In terms of multi-year changes for the BDC, it appears that during the period of 1992 to 1997
224 there was acceleration in the shallow branch and deceleration in the deep branch. However,
225 those implied changes in the BDC may be anomalous because of the large perturbation to the
226 CH₄ distribution in 1991 from the Pinatubo eruption. During 1996 to 2001 the changes in the
227 shallow branch were nearer to zero, while decreasing trends persisted in the deep branch. Yet, it
228 also appears that there was acceleration of the poleward transport and mixing at middle latitudes



229 within the layer from 30 hPa to ~7 hPa during that 5-yr period. Then, there was deceleration of
230 the BDC in the shallow branch and acceleration in the deep branch during 2000 to 2005. The
231 implied BDC also differed markedly in the two hemispheres over that 5-yr span. It is concluded
232 that time series of HALOE CH₄ provide effective tracer diagnostics for studies of the secular
233 nature of the BDC from 1992 to 2005.

234

235 *Data availability.* The HALOE V19 profiles are at the NASA EARTHDATA site of EOSDIS,
236 and its website is https://disc.gsfc.nasa.gov/datacollection/UARHA2FN_019.html (Russell et al.,
237 1999).

238

239 *Competing interests.* The author has declared that there are no competing interests.

240

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243

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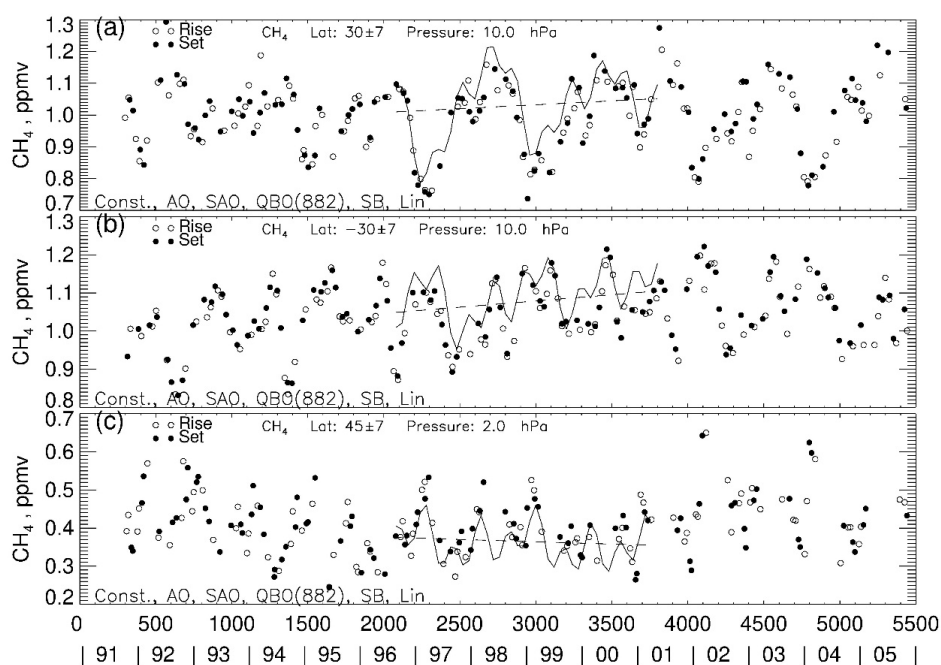
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309 **Figures**

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312 Figure 1—Time series of HALOE CH₄ (a) 30°N and 10 hPa, (b) 30°S and 10 hPa, and (c) 45°N
313 and 2 hPa. MLR fit for July 1996 through June 2001 is the solid curve, and its linear trend is the
314 dashed line. Day numbers on the abscissa are from 1 January 1991. Model terms are listed at
315 bottom left.

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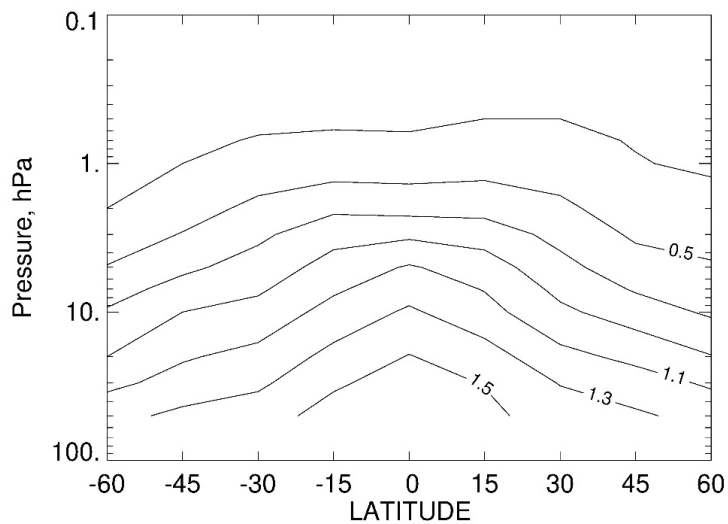
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323 Figure 2—Average CH₄ for July 1996 through June 2001; contour interval is 0.2 ppmv.

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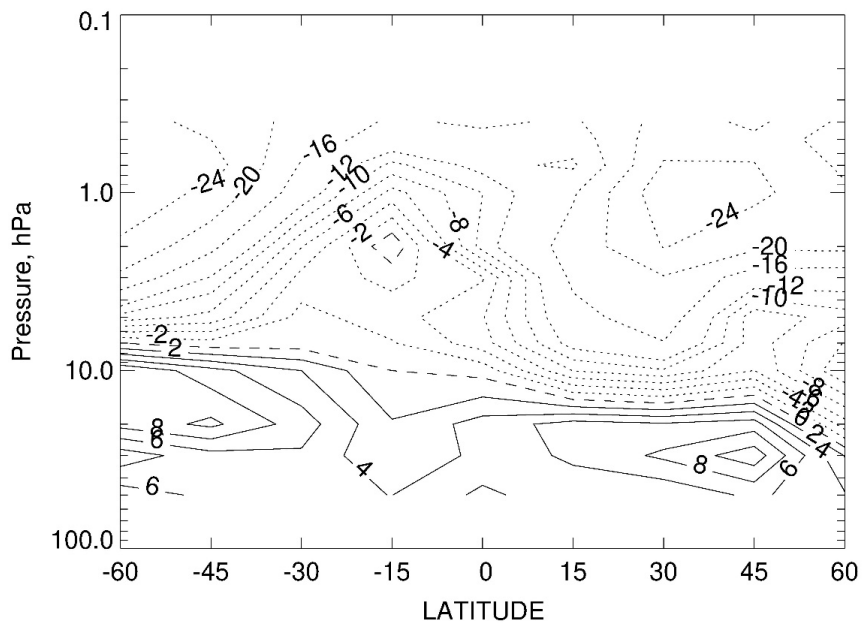
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339 Figure 3—Changes in CH₄ for July 1992 through June 1997 (in % / 5-yr); positive changes are

340 solid, negative changes are dotted, and zero is dashed. Contour interval is 2 % within ±12 % but

341 4 % outside that range.

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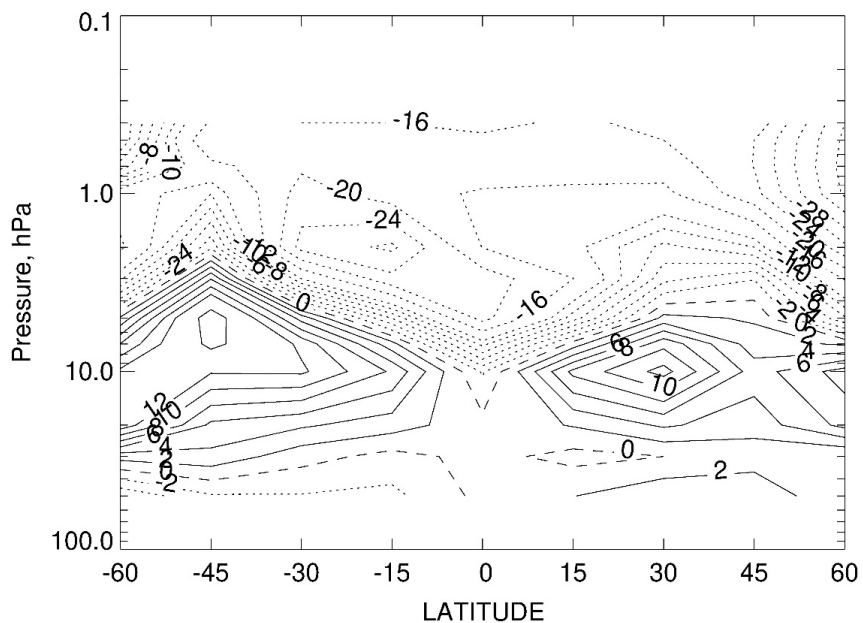
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352 Figure 4—As in Fig. 3, but for July 1996 through June 2001.

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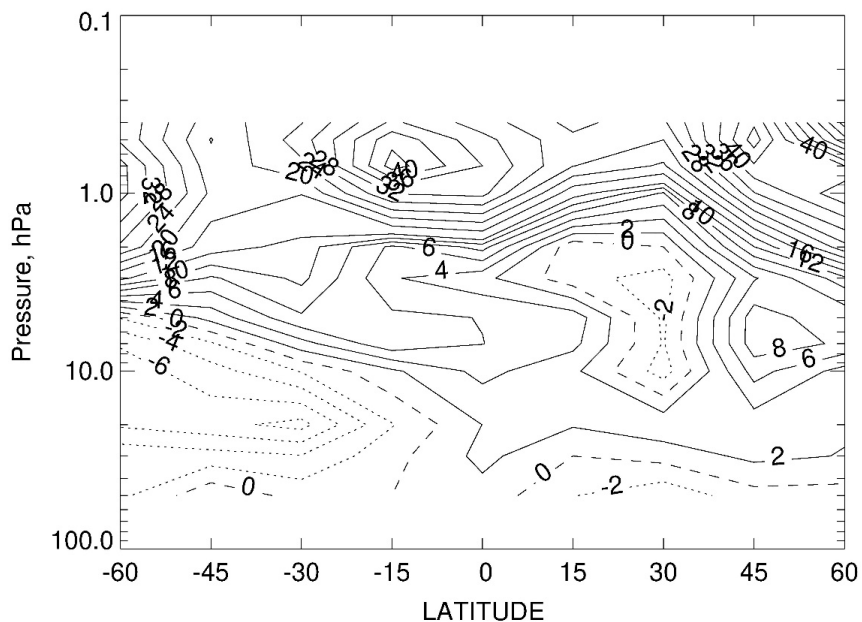
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365 Figure 5—As in Fig. 3, but for July 2000 through June 2005.

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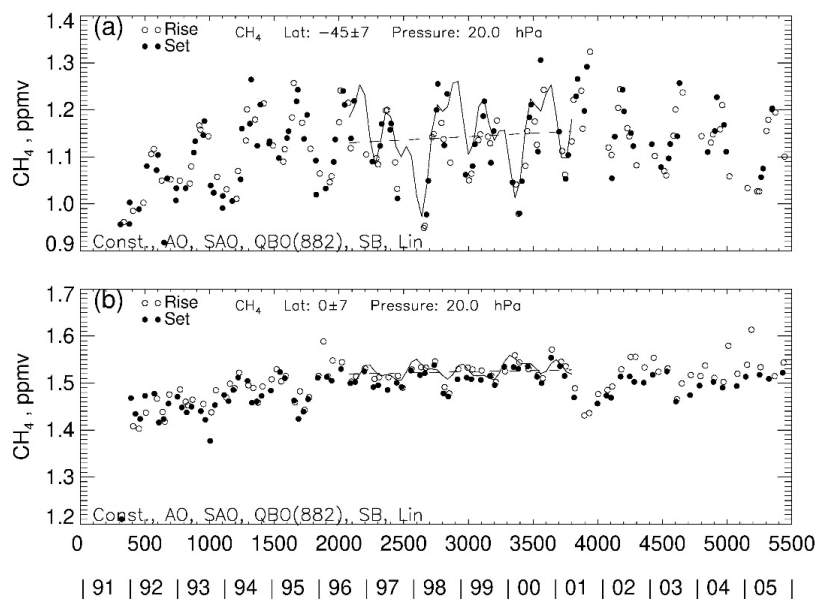
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378 Figure 6—As in Fig. 1, but 6(a) is for 45°S and 20 hPa, and 6(b) is for Eq and 20 hPa.