2   **Technical Note:**

3   **Multi-year Changes in the Brewer-Dobson Circulation from HALOE Methane**

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11   **Abstract.** This study makes use of Halogen Occultation Experiment (HALOE) methane (CH_4) in

12   a search for multi-year changes in the Brewer-Dobson Circulation (BDC). Changes in CH_4 are

13   determined for three, successive 5-yr time spans from 1992 to 2005, and there are significant

14   differences in them. There is a clear separation for the changes in the northern hemisphere near

15   30 hPa or at the transition of the shallow and deep branches of the BDC. The CH_4 changes are

16   positive and large in the shallow branch following the eruption of Pinatubo, but they then

17   decrease and agree with tropospheric trends in the late 1990s and early 2000s. CH_4 decreases in

18   the upper part of the deep branch from 1992 to 1997 or following the eruption of Pinatubo. CH_4

19   continues to decrease in the deep branch in the late 1990s but then increases in the early 2000s,

20   although those changes are small compared with the seasonal and interannual variations of CH_4.

21   Multi-year changes are due, in part, to wave forcings during El Nino Southern Oscillation

22   (ENSO) of 1997-1998 and beyond and to episodic, sudden stratospheric warming (SSW) events

23   during both time spans. It is concluded that time series of HALOE CH_4 provide effective tracer

24   diagnostics for studies of the nature of the BDC from 1992 to 2005.

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1. Introduction

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Global-scale stratospheric transport is characterized in each hemisphere by a seasonal Brewer-

Dobson circulation (BDC), consisting of upward transport in the tropics, poleward transport to

higher latitudes, and descent in the polar vortex region (e.g., Butchart, 2014). Model studies

indicate that there are also multi-year changes in the BDC in response to increases in the

greenhouse gases (GHG) and to dynamical forcings during El Nino/Southern Oscillation

(ENSO) events, but where the effects of those forcings may differ within the shallow (lower) and

deep (upper) regions of the BDC. Remsberg (2015) reported that the distributions of methane

(CH_4) from the Halogen Occultation Experiment (HALOE) provide tracer diagnostics for

changes in the BDC. The present study is a refinement of his initial analysis and gives some

insight on mechanisms for changes in the BDC. Section 2 is a brief description of the methane

data and the analysis approach for them. Section 3 presents the results of the analyses in terms

of changes in the distribution of CH_4 for three successive 5-yr time spans. Qualitative

attributions are also considered for those changes. Section 4 summarizes the findings from this

exploratory study.

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2. Data and Analysis Method

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HALOE obtained sunrise (SR) and (SS) occultation measurements across latitude zones

throughout its mission of October 1991 to November 2005. The present study considers zonal

averages of CH_4 for nine latitude zones and at twelve pressure levels (0.4 to 50 hPa), for a total

of 108 separate time series. A minimum of 5 profiles gives representative zonal averages for

each latitude zone; averages are based on many more profiles in most instances. Figure 1 shows

example time series from zonal averages of the SR and SS measurements at specific pressure

levels and in three different latitude zones. Figure 1(a) is the time series for the 10 hPa level at

30°N latitude, and there is a clear QBO-signal in the data. Figure 1(b) is for 10 hPa at 30°S,

where there is a combination of annual (AO), semi-annual (SAO), and QBO signals. One can

also see that seasonal and interannual variations are much larger than the longer-term changes.

55   Figure 1(c) is for 2 hPa at 45°N, where CH_4 decreases gradually in the early to middle 1990s and

56   where it has larger amplitudes in early 2002 and 2004.

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58   The analysis of CH_4 for this study is in the manner of Remsberg (2015) with the following

59   modifications. The nine latitude zones are from 60°S to 60°N with a spacing of 15° and no

60   overlap. The latitude bins are a bit narrower than before (15° versus 20°) but still provide

61   representative sampling, even at ±45° from 2000 to 2005 when the samples from HALOE are

62   limited. To look for secular trends in the BDC, multiple linear regression (MLR) analysis was

63   applied to the CH_4 time series, as separated into three, 5-yr time spans that overlap by one year

64   (July 1992 to June 1997; July 1996 to June 2001; and July 2000 to June 2005). The beginning

65   and end months of July and June, respectively, were selected to avoid large excursions in CH_4 at

66   the end points of time series for the northern hemisphere during the dynamically active winter

67   season. Data prior to July 1992 were not used, to avoid issues related to variable solar lock-

68   down procedures for the HALOE sun sensor and because of significant extinction from

69   interfering aerosols following the Pinatubo eruption. The analyses also do not include the period

70   after June 2005, when HALOE operations were limited.

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72   An initial MLR analysis was applied to the 13-yr time span of the HALOE measurements for a

73   range of pressures and latitudes but using only AO and SAO terms. Time series residuals from

74   those runs were analyzed for interannual cycles, yielding significant terms with periods of 882

75   days (~29-month or QBO-like) and of 690 days (22.6-month or sub-biennial (SB)). Those two

76   terms were highly significant for many of the latitude/pressure time series, so they were included

77   along with the seasonal terms for the MLR model. The 5-yr (or 60 month) time span is

78   equivalent to two complete QBO cycles and avoids biases in the MLR trends due to that periodic

79   term. A biennial (718-dy) term was also indicated for the subtropics, but it was not uniformly

80   present elsewhere and was not retained for the model. A linear term completes the final MLR

81   model; the analyses also correct for lag-1 autoregressive (AR1) effects. The MLR model fit to

82   the data points is shown by the oscillating solid curve for July 1996 to June 2001 in each panel of

83   Fig. 1, and the combination of the constant and linear terms is the dashed line. One can see that

84   the seasonal and interannual variations have large amplitudes compared with the overall 5-yr

85   trend line, such that even minor changes from year to year can affect the linear changes.

86   Although the MLR fits and trends are based on analyzed AR1 values for each case, the MLR

87   curves in Fig. 1 are based on AR1 = 0 and give maximum amplitudes for the periodic terms.

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89   The sensitivity of the trend coefficient to the approximate QBO term of the MLR fit was

90   determined for Fig. 1(a) (30°N, 10 hPa), where a QBO cycle shows clearly. Specifically, the

91   length of the QBO cycle was altered (28 months versus 29.5 months) as well as the length of the

92   time span for the MLR analysis (58 months rather than 60 months). The resulting trend

93   coefficients in each case differ by less than 6% from the one of Fig. 1(a). Figure 1(c) focuses on

94   the upper stratosphere, where CH_4 decreases from 1992 to 1997 or from one year after the

95   Pinatubo eruption. The 5-yr trend is less negative from 1996 to 2001 and then is positive from

96   2000 to 2005, punctuated by two winter maximums in early 2002 and 2004.

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98   The distribution of the average CH_4 (its constant term) is shown in Figure 2 for the time span of

99   July 1996 to June 2001. Tropical entry-level values extend upward and are transported poleward

100   in each hemisphere. CH_4 decreases with altitude and latitude, due to the relatively slow chemical

101   conversion of CH_4 to water vapor (H_2O) and molecular hydrogen (H_2) in the upper stratosphere

102   (Brasseur and Solomon, 2005). That decay of CH_4 is nearly symmetric between the two

103   hemispheres. The primary purpose of Fig. 2 is to show the vertical and meridional gradients of

104   CH_4 that are acted upon by the BDC. Although the CH_4 distributions for the other two 5-yr time

105   spans are like that of Fig. 2, there are small but distinct differences in the 5-yr changes in CH_4 for

106   the three successive time spans.

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108   Distributions of the linear terms (% change / 5-yr) from the zonally averaged CH_4 data are shown

109   and discussed in Section 3 for each of the three periods of July 1992 to June 1997, July 1996 to

110   June 2001, and July 2000 to June 2005. Notably, there is good continuity for the trends with

111   pressure and latitude, indicating that each distribution is meaningful and related physically to

112   multi-year changes for the large-scale BDC.

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3. Multi-year changes in CH$_4$

(a) July 1992 to June 1997

Figure 3 shows that CH$_4$ decreased in the upper stratosphere and lower mesosphere from July 1992 to June 1997 or after one year from the Pinatubo eruption of June 1991. The shading indicates where the trends are robust, the dark shading having a confidence interval (CI) of greater than 90% and the light shading having CI between 70 and 90%. Positive changes in CH$_4$ at low and middle latitudes indicate an acceleration of the BDC, and negative changes imply deceleration of the BDC. The negative changes in CH$_4$ in the upper regions of Fig. 3 imply that there was an overall slowdown of the deep branch of the BDC during this time. Changes are larger at middle latitudes of the northern than of the southern hemisphere, indicating that ascent occurred within the deep branch of the BDC in the northern subtropics immediately after the eruption and then there was a decrease from those values. Separate, zonal mean cross sections of HALOE CH$_4$ (not shown) reveal that the 0.8 ppmv contour of CH$_4$ occurred at ~4 hPa in November 1991 but rose to ~2 hPa by February 1992 in response to the BDC of that winter (e.g., Russell et al. 1999). Thereafter, CH$_4$ values that had been lofted to higher altitudes underwent a gradual decline over time. Sudden stratospheric warming (SSW) events tend to accelerate the deep branch of the BDC and mix middle latitude and polar air; that mixing flattens the contours of zonal average CH$_4$ mixing ratio. However, there were no SSW events in the northern hemisphere during 1992 to 1997 (Choi et al., 2019).

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A more traditional indicator of changes in the BDC is stratospheric age-of-air (AoA), where negative AoA indicates acceleration and positive AoA implies a deceleration of the BDC. Pitari et al. (2016) estimated that AoA decreased in the middle to upper stratosphere by ~0.5 to 0.7 yr during 1991-1992, due mainly to ascent following the eruption of Pinatubo. Fig. 3 indicates a decline of CH$_4$ (and presumably an increase in AoA) from July 1992 onward. Methane is not a perfect tracer, however, as it has a chemical lifetime as short as only a few months at 45 km (~1.5 hPa) and then lengthening to 6 months and longer at 55 km and above and at 40 km and below (Brasseur and Solomon, 2005). The relatively short lifetime of CH$_4$ at 1.5 hPa means that even the seasonal variations of CH$_4$ are dampened at that level. The near-

143   zero changes for CH_4 near 15°S and 2 hPa in Fig. 3 may imply that there was still some transport

144   of CH_4 to that region from the tropics after July 1992.

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146   The 5-yr changes in Fig. 3 also indicate that there was an accumulation of CH_4 at ~20 to 30 hPa

147   at middle latitudes of both hemispheres during this period, in reasonable accord with a net

148   poleward transport of tropical CH_4 at the top of the shallow branch of the BDC. The tropical

149   trend of 3 to 4 % at 20 to 30 hPa is half that at middle latitudes, although it is still larger than the

150   tropospheric trends for CH_4 of ~0.3 to 0.4 % / yr (or 1.5 to 2.0 % for the 5-yr period)

151   (Dlugokencky et al., 2009).

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153   Figure 4 gives more detail about the effects of the Pinatubo eruption on CH_4 in the lower

154   stratosphere. Fig. 4(a) is for 15°N, 50 hPa and shows an initial increase in CH_4 in 1991 to the

155   middle of 1992, followed by decreasing values through 1993. HALOE CH_4 values are of the

156   order of 1.55 ppmv in 1992, declining to 1.45 ppmv in 1993, and then increasing again.

157   Independent CH_4 measurements at ground level are between 1.70 and 1.75 ppmv (Dlugokencky

158   et al., 2009). As an aside, HALOE CH_4 values for SR in 4(a) are consistently larger than for SS.

159   Those differences are likely due to uncorrected detector hysteresis effects for tropical SR

160   measurements just above cloud tops; they decrease at 30 hPa and are negligible at 20 hPa. Diallo

161   et al. (2017) reported that AoA decreased during the first six months following the eruption of

162   Pinatubo due to tropical upwelling. Then, AoA increased from early 1992 to spring 1993

163   between 20°S and 30°N and from 20 to 27 km (~50 hPa to 15 hPa), implying a deceleration of

164   the shallow branch of the BDC during that time. The HALOE SR and SS CH_4 variations are in

165   accord with the changes in AoA from 1991 to 1993 in the shallow branch of the BDC.

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167   Figure 4(b) is the HALOE CH_4 time series for 45°N, 30 hPa, and it shows a gradual increase of

168   CH_4 for 1993 to 1997. Yet, Diallo et al. (2017) reported increases in AoA for 1993 at tropical

169   and middle latitudes due to meridional mixing, followed by decreases in mixing and AoA

170   through 1997. Fig. 3 suggests that there was an accumulation of CH_4 at middle latitudes between

171   ~20 and 30 hPa, due in part to that mixing trend. It may also be that there was an overall

172   slowdown in the BDC during this 5-yr period, which was absent of SSW events and any

173   enhanced descent of CH_4-poor, polar air plus its subsequent mixing to middle latitudes.

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175   *(b) July 1996 to June 2001*

176   Figure 5 shows the 5-yr CH_4 changes for 1996 to 2001, when there were several SSW events—

177   on 15 December 1998, 25 February 1999, and 20 March 2000 (Choi et al., 2019). The negative

178   trends in the upper stratosphere are smaller in the northern hemisphere and larger in the southern

179   hemisphere than in Fig. 3, suggesting that there was tropical ascent but also increased mixing of

180   CH_4 to higher latitudes, related in part to SSW activity. Those changes are also where the

181   chemical loss of CH_4 to H_2O and H_2 may be a factor. It is apparent that there was greater

182   meridional transport of CH_4 from the tropics to middle latitudes and an accumulation of CH_4 at

183   ~10 hPa in both hemispheres during 1996 to 2001. Those positive trends are at a level of the

184   stratosphere where the conversion of CH_4 to H_2O and H_2 is not as effective.

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186   There was a major warm ENSO event in 1997-1998 that altered wave forcing effects on CH_4 and

187   for the BDC. Randel et al. (2009) and Calvo et al. (2010) reported enhanced upwelling in the

188   tropics and an acceleration of the BDC at that time. Diallo et al. (2019) reported that ENSO

189   leads to the overall strengthening of the shallow branch of the BDC in the extratropics. It may

190   be that enhanced poleward transport in the shallow branch is why the CH_4 changes are more

191   nearly zero in the tropics and agree more closely with tropospheric trends. There is a clear

192   separation at ~30 hPa in the sign of the changes in the shallow versus the deep branch of the

193   BDC in the northern hemisphere.

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195   The 1997-1998 warm ENSO event occurred near solar minimum, for which Calvo and Marsh

196   (2011) also found enhanced wave forcing in the middle and upper stratosphere. That activity

197   leads to acceleration of the BDC and poleward transport of CH_4 to the extratropics. Barriopedro

198   and Calvo (2014) also found connections between ENSO and SSW events, although the exact

199   effects depend on the relative sequence of those events. Since major SSWs within 1996-2001

occur in December 1998, February 1999 and in March 2000, it is likely that they merely led to further accelerations of the BDC. As an example, Tao et al. (2015) gave details about how the SSW of 2009 led to an acceleration of the BDC. Their analyses may support the present finding of increases in CH_4 in the extratropics near 10 hPa in Fig. 5. However, more focused studies of the relative roles of SSWs and ENSO on the results of Fig. 5 are beyond the scope of the present exploratory study.

(c) July 2000 to June 2005

There was even more SSW activity in the northern hemisphere during the 5-yr span from 2000 to 2005 (on 11 February 2001, 2 January 2002, 18 January 2003, and 7 January 2004, according to Choi et al., 2019). The distribution of changes in CH_4 in Figure 6 includes the net effect of those episodic SSW events. There was an increase in CH_4 at upper altitudes, where the effect of SSWs may have also led to greater poleward transport of CH_4 to higher latitudes. As before, an SSW event accelerates the deep branch of the BDC, bringing more CH_4 to high altitudes and greater meridional transport to higher latitudes. At the stratopause (~1 hPa) and in the lower mesosphere even small changes in CH_4 mixing ratio translate to relatively large percentage changes. Those changes are from negative to positive from Fig. 5 to Fig. 6 and are rather uniform across latitude. On the other hand, the changes near 10 hPa and at middle latitudes of the northern hemisphere are weaker now than in Fig. 5. Fig. 1(a) indicates that this change may be a consequence, in part, of large seasonal amplitudes for CH_4 in early 2001 and in 2005 or near the end points of the 5-yr period from July 2000 to June 2005.

In the southern hemisphere there was an anomalous SSW event on 22 September 2002, leading to a splitting of the polar vortex (Newman and Nash, 2005). The CH_4 changes from Fig. 5 to Fig. 6 at 10 hPa and 30°S were likely altered by that event (c.f., the time series segments in Fig. 1(b) for those two 5-yr periods). Note that there is no clear separation of the shallow and deep branches of the BDC for the southern hemisphere in Fig. 6.

Figure 7 provides a clearer picture of what occurred from 2000 to 2005. Fig. 7(a) is a time series of CH_4 at 45°S and 20 hPa, and it shows pronounced annual cycles in CH_4. A peak seasonal value occurs in 2001, and it may by influencing the overall analyzed trend for that time span. On the other hand, there is little indication of a change in CH_4 at the time of the anomalous SSW event of September 2002. Fig. 7(b) shows the corresponding CH_4 time series at the Equator and 20 hPa, where CH_4 variations are forced primarily by the QBO. There is a clear decrease in CH_4 in 2001 compared to the maximum at 45°S in Fig. 7(a). Fig. 7(b) also shows that tropical QBO signals are nearly absent in CH_4 from 1996 to 2000. Bönisch et al. (2011) reported that tropical upwelling increased after 2000 and accelerated the shallow branch of the BDC. Similar studies based on variations in CH_4 may be helpful in determining the nature of the shallow layer of the BDC both prior to and after 2000.

4. Summary findings

The present study is an analysis of the distributions of HALOE CH_4 for indications of secular changes in the BDC. Linear trends in CH_4 were determined for three, successive 5-yr time spans, and there are significant differences between them. There is a clear separation of the deep and shallow branches of the BDC at about 30 hPa in the northern hemisphere in each time span. Although the changes for CH_4 in the shallow branch are rather large following the eruption of Pinatubo, they agree well with tropospheric trends for CH_4 during the late 1990s and early 2000s. There are decreasing changes in the upper part of the deep branch of the BDC in the early to middle 1990s, indicating a decline of CH_4 from one year after the eruption. CH_4 changes in the middle and upper stratosphere differ markedly for the early 2000s compared to those of the late 1990s, although those differences are small compared to the seasonal and interannual variations of CH_4. In addition, the seasonal changes within the deep branches differ in each hemisphere, perhaps due to episodic SSW events and to wave forcings during ENSO.

In terms of multi-year changes for the BDC, it appears that during the period of 1992 to 1997 there was acceleration of the shallow branch and deceleration of the deep branch. However, those implied changes in the BDC may be anomalous because of the large perturbation to the

257 CH$_4$ distribution in 1991 from the Pinatubo eruption. During 1996 to 2001 the changes in the

258 shallow branch were nearer to zero, while decreasing trends persisted in the deep branch. Yet, it

259 also appears that there was acceleration of the poleward transport and mixing at middle latitudes

260 within the layer from ~30 hPa to ~7 hPa during that 5-yr period. Then, there was a deceleration

261 in the shallow branch and acceleration in the deep branch of the BDC during 2000 to 2005. The

262 implied BDC also differed markedly in the two hemispheres over that final 5-yr span. It is

263 concluded that time series of HALOE CH$_4$ provide effective tracer diagnostics for studies of the

264 secular nature of the BDC from 1992 to 2005.

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266 *Data availability*. The HALOE V19 profiles are at the NASA EARTHDATA site of EOSDIS,

267 and its website is https://disc.gsfc.nasa.gov/datacollection/UARHA2FN_019.html (Russell et al.,

268 1999).

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270 *Competing interests*. The author has declared that there are no competing interests.

271

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274 him of possible detector hysteresis effects for the CH$_4$ gas filter correlation channel of HALOE.

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Figures

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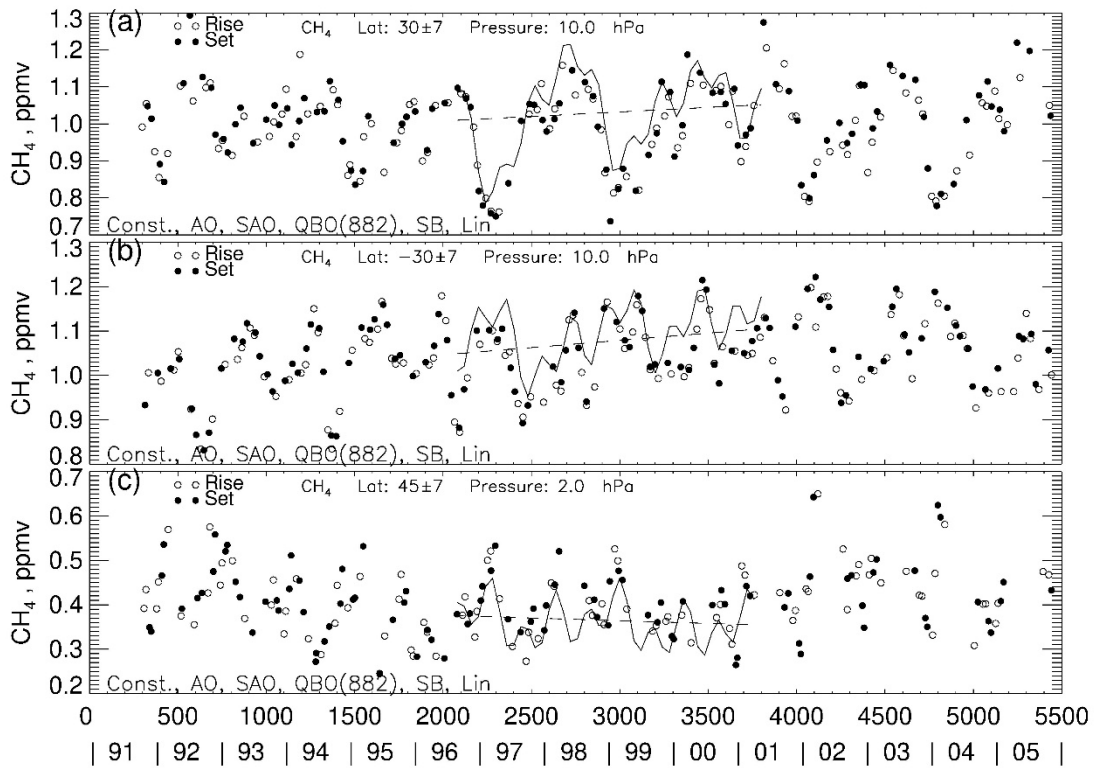
Figure 1—Time series of HALOE CH₄ (a) 30°N and 10 hPa, (b) 30°S and 10 hPa, and (c) 45°N

and 2 hPa. MLR fit for July 1996 through June 2001 is the solid curve, and its linear trend is the

dashed line. Day numbers on the abscissa are from 1 January 1991. Model terms are listed at

bottom left.

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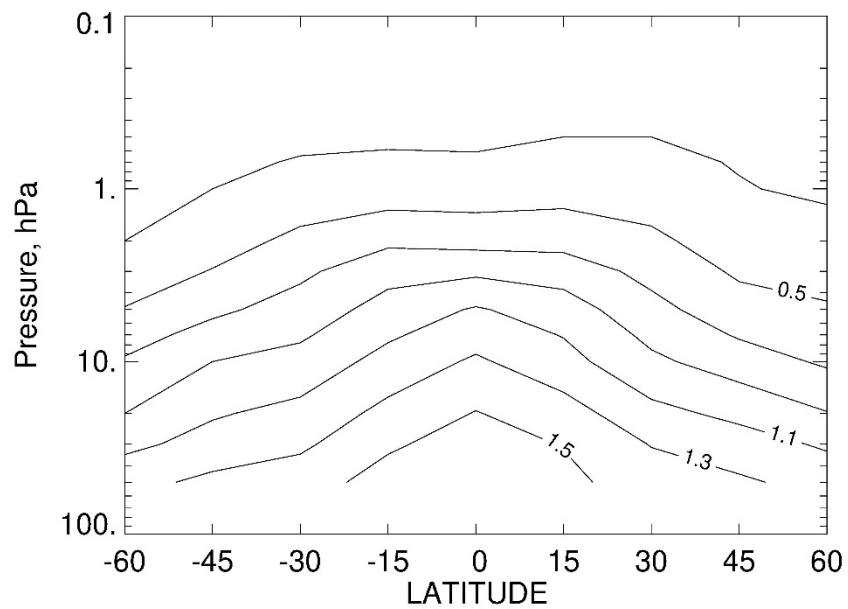
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375   Figure 2—Average CH$_4$ for July 1996 through June 2001; contour interval is 0.2 ppmv.

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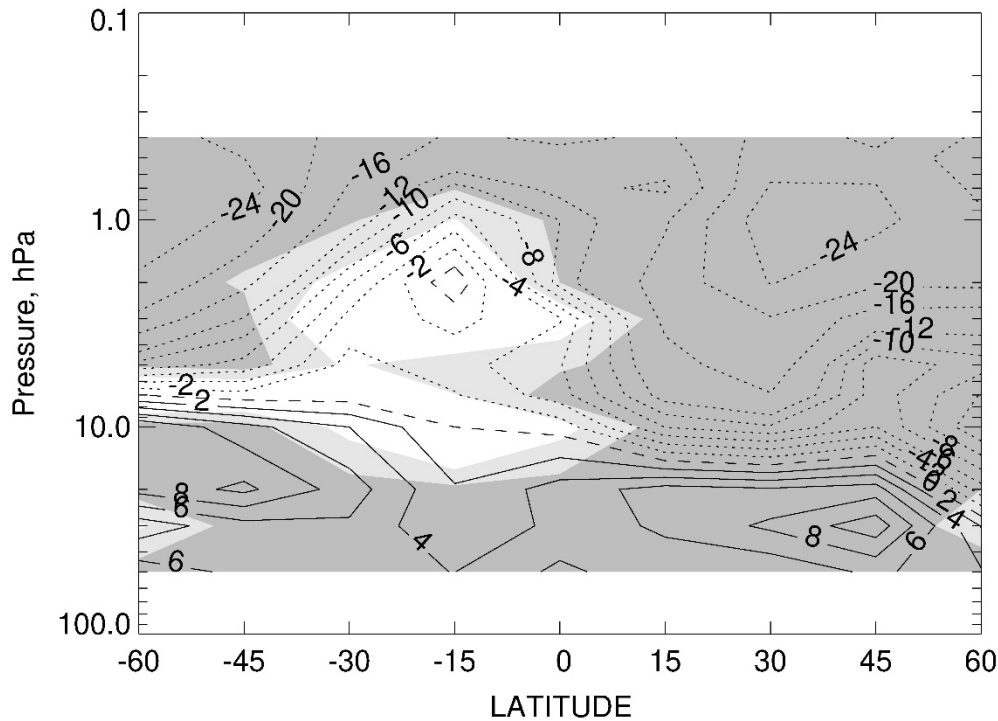
Figure 3—Changes in CH$_4$ for July 1992 through June 1997 (in % / 5-yr); positive changes are solid, negative changes are dotted, and zero is dashed. Contour interval is 2 % within ±12 % but 4 % outside that range. Dark shading shows where the confidence interval (CI) for the trends is greater than 90 %, and light shading shows where CI is between 70 and 90 %.

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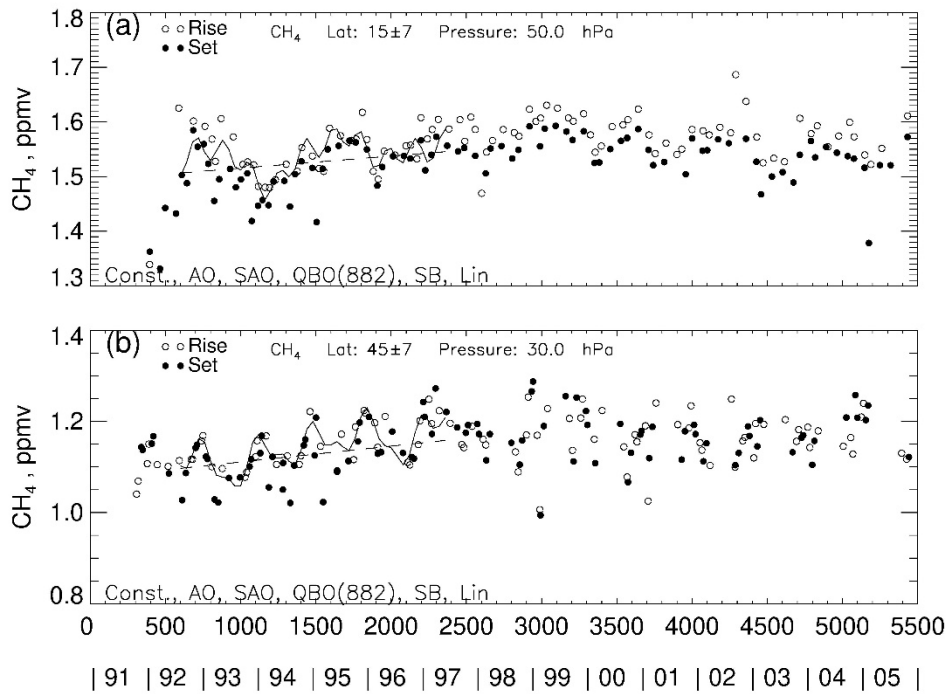
Figure 4—As in Fig. 1, but 4(a) is for 15°N and 50 hPa, and 4(b) is for 45°N and 30 hPa.

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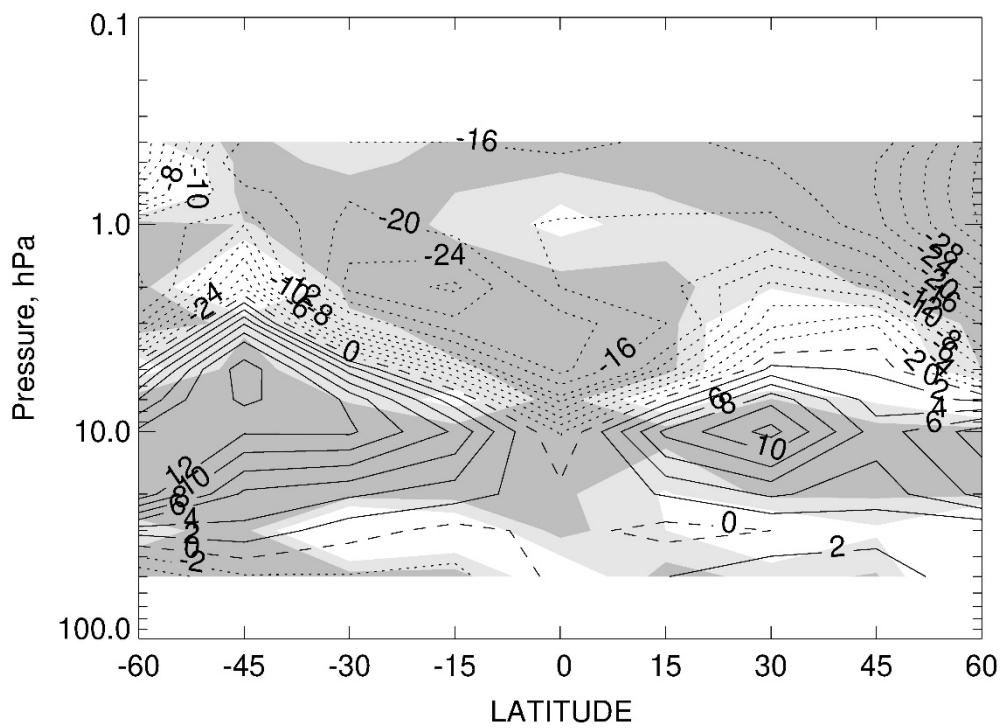
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417   Figure 5—As in Fig. 3, but for July 1996 through June 2001.

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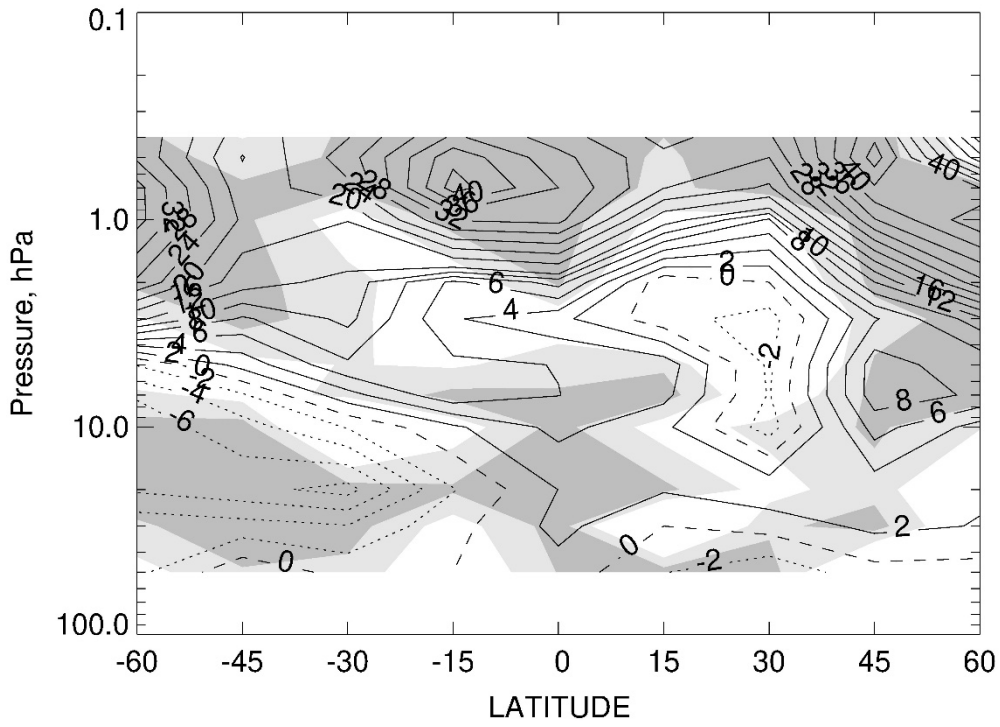
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430   Figure 6—As in Fig. 3, but for July 2000 through June 2005.

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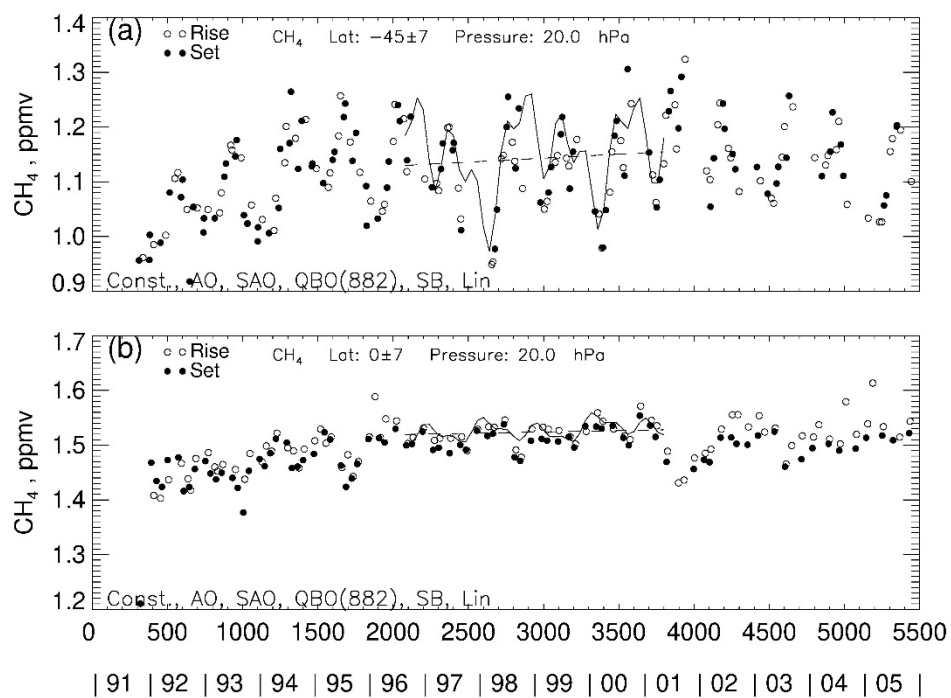
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443   Figure 7—As in Fig. 1, but 7(a) is for 45°S and 20 hPa, and 7(b) is for Eq and 20 hPa.

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