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Technical Note:

Multi-year Changes in the Brewer-Dobson Circulation from HALOE Methane

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Abstract. This study makes use of Halogen Occultation Experiment (HALOE) methane (CH₄) in a search for multi-year changes in the Brewer-Dobson Circulation (BDC). Changes in CH₄ are determined for three, successive 5-yr time spans from 1992 to 2005, and there are significant differences in them. There is a clear separation for the changes in the northern hemisphere near 30 hPa or at the transition of the shallow and deep branches of the BDC. The CH₄ changes are positive and large in the shallow branch following the eruption of Pinatubo, but they then decrease and agree with tropospheric trends in the late 1990s and early 2000s. CH₄ decreases in the upper part of the deep branch from 1992 to 1997 or following the eruption of Pinatubo. CH₄ continues to decrease in the deep branch in the late 1990s but then increases in the early 2000s, although those changes are small compared with the seasonal and interannual variations of CH₄. Multi-year changes are due, in part, to wave forcings during El Nino Southern Oscillation (ENSO) of 1997-1998 and beyond and to episodic, sudden stratospheric warming (SSW) events during both time spans. It is concluded that time series of HALOE CH₄ provide effective tracer diagnostics for studies of the nature of the BDC from 1992 to 2005.

27

28 **1. Introduction**

29 Global-scale stratospheric transport is characterized in each hemisphere by a seasonal Brewer-
30 Dobson circulation (BDC), consisting of upward transport in the tropics, poleward transport to
31 higher latitudes, and descent in the polar vortex region (e.g., Butchart, 2014). Model studies
32 indicate that there are also multi-year changes in the BDC in response to increases in the
33 greenhouse gases (GHG) and to dynamical forcings during El Nino/Southern Oscillation
34 (ENSO) events, but where the effects of those forcings may differ within the shallow (lower) and
35 deep (upper) regions of the BDC. Remsberg (2015) reported that the distributions of methane
36 (CH_4) from the Halogen Occultation Experiment (HALOE) provide tracer diagnostics for
37 changes in the BDC. The present study is a refinement of his initial analysis and gives some
38 insight on mechanisms for changes in the BDC. Section 2 is a brief description of the methane
39 data and the analysis approach for them. Section 3 presents the results of the analyses in terms
40 of changes in the distribution of CH_4 for three successive 5-yr time spans. Qualitative
41 attributions are also considered for those changes. Section 4 summarizes the findings from this
42 exploratory study.

43

44 **2. Data and Analysis Method**

45 HALOE obtained sunrise (SR) and sunset (SS) occultation measurements across latitude zones
46 throughout its mission of October 1991 to November 2005. The present study considers zonal
47 averages of CH_4 for nine latitude zones and at twelve pressure levels (0.4 to 50 hPa), for a total
48 of 108 separate time series. A minimum of 5 profiles gives representative zonal averages for
49 each latitude zone; averages are based on many more profiles in most instances. Figure 1 shows
50 example time series from zonal averages of the SR and SS measurements at specific pressure
51 levels and in three different latitude zones. Figure 1(a) is the time series for the 10 hPa level at
52 30°N latitude, and there is a clear QBO-quasi-biennial oscillation (QBO) signal in the data.
53 Figure 1(b) is for 10 hPa at 30°S, where there is a combination of annual (AO), semi-annual
54 (SAO), and QBO signals. One can also see that seasonal and interannual variations are much
55 larger than the longer-term changes. Figure 1(c) is for 2 hPa at 45°N, where CH_4 decreases

56 | gradually in the early to middle 1990s ~~and~~but where it also has ~~larger~~large amplitudes in early
57 | 2002 and 2004.

58

59 | The analysis of CH₄ for this study is in the manner of Remsberg (2015) with the following
60 | modifications. The nine latitude zones are from 60°S to 60°N with a spacing of 15° and no
61 | overlap. The latitude bins are a bit narrower than before (15° versus 20°) but still provide
62 | representative sampling, even at ±45° latitude from 2000 to 2005 when the samples from
63 | HALOE are limited. To look for secular trends in ~~the BDC~~CH₄, multiple linear regression
64 | (MLR) analysis was applied to the CH₄ time series, as separated into three, 5-yr time spans that
65 | overlap by one year (July 1992 to June 1997; July 1996 to June 2001; and July 2000 to June
66 | 2005). The beginning and end months of July and June, respectively, were selected to avoid
67 | large excursions in CH₄ at the end points of time series for the northern hemisphere during the
68 | dynamically active winter season. Data prior to July 1992 were not used, to avoid issues related
69 | to variable solar lock-down procedures for the HALOE sun sensor and because of significant
70 | extinction from interfering aerosols following the Pinatubo eruption ~~of June 1991~~. The analyses
71 | also do not include the period after June 2005, when HALOE operations were limited.

72

73 | An initial MLR analysis was applied to the 13-yr time span of the HALOE measurements for a
74 | range of pressures and latitudes but using only AO and SAO terms. Time series residuals from
75 | those runs were analyzed for interannual cycles, yielding significant terms with periods of 882
76 | days (~29-month or QBO-like) and of 690 days (22.6-month or sub-biennial (SB)). Those two
77 | terms were highly significant for many of the latitude/pressure time series, so they were included
78 | along with the seasonal terms for the MLR model. The 5-yr (or 60 month) time span is
79 | equivalent to two complete QBO cycles and avoids biases in the MLR trends due to that periodic
80 | term. A biennial (718-dy) term was also indicated for the subtropics, but it was not uniformly
81 | present elsewhere and was not retained for the model. A linear term completes the final MLR
82 | model; the analyses also correct for lag-1 autoregressive (AR1) effects. The MLR model fit to
83 | the data points is shown by the oscillating solid curve for July 1996 to June 2001 in each panel of
84 | Fig. 1, and the combination of the constant and linear terms is the dashed line. One can see that
85 | the seasonal and interannual variations have large amplitudes compared with the overall 5-yr

86 trend line, such that even minor changes from year to year can affect the linear changes.
87 Although the MLR fits and trends are based on analyzed AR1 values for each case, the MLR
88 curves in Fig. 1 are based on AR1 = 0 and give maximum amplitudes for the periodic terms.

89
90 The sensitivity of the trend coefficient to the approximate QBO term of the MLR fit was
91 determined for Fig. 1(a) (30°N, 10 hPa), where a QBO cycle shows clearly. Specifically, the
92 length of the QBO cycle was altered (28 months versus 29.5 months) as well as the length of the
93 time span for the MLR analysis (58 months rather than 60 months). The resulting trend
94 coefficients in each case differ by less than 6% from the one of Fig. 1(a). Figure 1(c) focuses on
95 the upper stratosphere, where CH₄ decreases from 1992 to 1997 or from one year after the
96 Pinatubo eruption. The 5-yr trend is less negative from 1996 to 2001 and then is positive from
97 2000 to 2005, punctuated by two winter maximums in early 2002 and 2004.

98
99 The distribution of the average CH₄ (its constant term) is shown in Figure 2 for the time span of
100 July 1996 to June 2001. Tropical entry-level values extend upward and are transported poleward
101 in each hemisphere. CH₄ decreases with altitude and latitude, due to the relatively slow chemical
102 conversion of CH₄ to water vapor (H₂O) and molecular hydrogen (H₂) in the upper stratosphere
103 (Brasseur and Solomon, 2005). That decay of CH₄ is nearly symmetric between the two
104 hemispheres. The primary purpose of Fig. 2 is to show the vertical and meridional gradients of
105 CH₄ that are acted upon by the BDC, generically considered as hemispheric, net circulations
106 composed of tropical ascent, poleward transport, plus descent in the polar vortex region.
107 Although the CH₄ distributions for the other two 5-yr time spans are like that of Fig. 2, there are
108 small but distinct differences in the 5-yr changes in CH₄ for the three successive time spans.

109
110 Distributions of the linear terms (% change / 5-yr) from the zonally averaged CH₄ data are shown
111 and discussed in Section 3 for each of the three periods of July 1992 to June 1997, July 1996 to
112 June 2001, and July 2000 to June 2005. Notably, there is good continuity for the trends with
113 pressure and latitude, indicating that each distribution is meaningful and related physically to
114 multi-year changes for the large-scale BDC. Mechanisms giving rise to the changing CH₄ are

115 related to external (volcanic) and/or wave forcings followed by radiative and/or chemical
116 relaxations therefrom. The changes in CH₄ are also compared with estimates of the stratospheric
117 net circulation that have been diagnosed and reported by other researchers.

119 3. Multi-year changes in CH₄

120 (a) July 1992 to June 1997

121 Figure 3 shows that CH₄ decreased in the upper stratosphere and lower mesosphere from July
122 1992 to June 1997 or afterfrom one year fromafter the Pinatubo eruption of June 1991. The
123 shading indicates where the trends are robust, the dark shading having a confidence interval (CI)
124 of greater than 90% and the light shading having CI between 70 and 90%. Positive changes-Note
125 that there are small, positive trends in CH₄ at low and middle latitudes indicate an
126 acceleration within the lower stratosphere, due to its tropospheric trends of ~0.4 % / yr (or 2.0 %
127 for this 5-yr period) (Dlugokencky et al., 2009). Changes of the CH₄ distributions across the 5-
128 yr time span represent where there were accelerations of the BDC, and negative changes imply
129 deceleration (positive changes of greater than the tropospheric trends of ~2.0 %) or decelerations
130 of the BDC. The negative (changes of less than ~2.0 %).

131
132 Negative changes in CH₄ in the upper regions of Fig. 3 imply that there was an overall
133 slowdownweakening of the deep branch (above the ~20-hPa level) of the stratospheric BDC
134 during this time. Changes5-yr period. Those negative changes are larger more pronounced at
135 middle latitudes of the northern than of the southern hemisphere, indicating that there was ascent
136 occurredof CH₄ within the deep branch of the BDC in the northern subtropics immediately after
137 thedue to external forcings from the Pinatubo eruption and then there was near 15°N followed by
138 a decrease from thoserelaxation toward lower values. Separate thereafter. In fact, separate,
139 zonal mean cross sections of HALOE CH₄ (not shown) reveal that the 0.8 ppmv contour of CH₄
140 occurred at ~4 hPa in November 1991 but rosehad risen to ~2 hPa by February 1992 in, most
141 likely a response toof the BDC of thatto winter wave forcings (e.g., Russell et al. 1999).
142 Thereafter, the CH₄ values that had been lofted to higher altitudes underwent a gradual decline
143 over time. Sudden stratospheric warming (SSW) events also tend to accelerate the deep branch

144 of the BDC and mix middle latitude and polar air; that mixing flattens the contours of zonal
145 average CH₄ mixing ratio. However, there were no SSW events in the northern hemisphere
146 during 1992 to 1997 (Choi et al., 2019).

147
148 A more traditional indicator of changes in the BDC is stratospheric age-of-air (AoA), where
149 negative AoA indicates acceleration and positive AoA implies a deceleration of the BDC.
150 Pitari et al. (2016) estimated that AoA decreased in the middle to upper stratosphere by ~0.5 to
151 0.7 yr during 1991-1992, due mainly to ascent following the eruption of Pinatubo. Fig. 3
152 indicates a decline of CH₄ (and presumably an increase in AoA) from July 1992 onward.
153 Methane is not a perfect tracer, however, as it has a chemical lifetime as short as only a few
154 months at 45 km (~1.5 hPa) and then lengthening to 6 months and longer at 55 km and above
155 and at 40 km and below (Brasseur and Solomon, 2005). The relatively short lifetime of CH₄ at
156 1.5 hPa means that even the seasonal variations of CH₄ are dampened at that level. The near-
157 zero changes for CH₄ near 15°S and 2 hPa in Fig. 3 may imply that there was still some transport
158 of CH₄ to that region from the tropics after July 1992.

159
160 The 5-yr changes in Fig. 3 also indicate that there was an accumulation of CH₄ at ~20 to 30 hPa
161 at middle latitudes of both hemispheres during this period, in reasonable accord with a net
162 poleward transport of tropical CH₄ at the top of the shallow (below the ~20-hPa level) branch of
163 the BDC. The tropical trend of 3 to 4 % at 20 to 30 hPa is half that at middle latitudes, (8 %),
164 although it is still larger than the tropospheric trends for CH₄ of ~0.3 to 0.4 % / yr (or 1.5 to 2.0
165 % for ~~the~~this 5-yr period) (~~Dlugokencky et al., 2009).~~

166
167 Figure 4 gives more detail about the effects of the Pinatubo eruption on CH₄ in the lower
168 stratosphere. Fig. 4(a) is for 15°N, 50 hPa and shows an initial increase in CH₄ in 1991 to the
169 middle of 1992, followed by decreasing values through 1993. HALOE CH₄ values are of the
170 order of 1.55 ppmv in 1992, declining to 1.45 ppmv in 1993, and then increasing again.
171 Independent CH₄ measurements at ground level are between 1.70 and 1.75 ppmv (Dlugokencky
172 et al., 2009). As an aside, HALOE CH₄ values for SR in Fig. 4(a) are consistently larger than for

173 SS. Those differences are likely due to uncorrected detector hysteresis effects for tropical SR
174 measurements just above cloud tops; they decrease at 30 hPa and are negligible at 20 hPa. Diallo
175 et al. (2017) reported that AoA decreased during the first six months following the eruption of
176 Pinatubo due to tropical upwelling. Then, AoA increased from early 1992 to spring 1993
177 between 20°S and 30°N and from 20 to 27 km (~50 hPa to 15 hPa), implying a deceleration of
178 the shallow branch of the BDC during that time. The HALOE SR and SS CH₄ variations are in
179 accord with the changes in AoA from 1991 to 1993 in the shallow branch of the BDC.

180

181 Figure 4(b) is the HALOE CH₄ time series for 45°N, 30 hPa, and it shows a gradual increase of
182 CH₄ for 1993 to 1997. Yet, Diallo et al. (2017) reported increases in AoA for 1993 at tropical
183 and middle latitudes due to meridional mixing, followed by decreases in mixing and AoA
184 through 1997. Fig. 3 suggests that there was an accumulation of CH₄ at middle latitudes between
185 ~20 and 30 hPa, due in part to that mixing trend. It may also be that there was an overall
186 slowdown in the BDC during this 5-yr period, which was absent of SSW events and any
187 enhanced descent of CH₄-poor, polar air plus its subsequent mixing to middle latitudes.

188

189 *(b) July 1996 to June 2001*

190 Figure 5 shows the 5-yr CH₄ changes for 1996 to 2001, when there were several SSW events—
191 on 15 December 1998, 25 February 1999, and 20 March 2000 (Choi et al., 2019). The negative
192 trends in the upper stratosphere are smaller in the northern hemisphere and larger in the southern
193 hemisphere than in Fig. 3, suggesting that there was tropical ascent but also increased mixing of
194 CH₄ to higher latitudes, related in part to SSW activity. Those changes are also where the
195 chemical loss of CH₄ to H₂O and H₂ may be a factor. It is apparent that there was greater
196 meridional transport of CH₄ from the tropics to middle latitudes and an accumulation of CH₄ at
197 ~10 hPa in both hemispheres during 1996 to 2001. Those positive trends are at a level of the
198 stratosphere where the conversion of CH₄ to H₂O and H₂ is not as effective.

199

200 There was a major warm ENSO event in 1997-1998 that altered wave forcing effects on CH₄ and
201 for the BDC. Randel et al. (2009) and Calvo et al. (2010) reported enhanced upwelling in the
202 tropics and an acceleration of the BDC at that time. Diallo et al. (2019) reported that ENSO
203 leads to the overall strengthening of the shallow branch of the BDC in the extratropics. It may
204 be that enhanced poleward transport in the shallow branch is why the CH₄ changes are more
205 nearly zero in the tropics and agree ~~more~~ closely with tropospheric trends: that were smaller after
206 1995 (or ~1.0 % / 5-yr) (Dlugokencky et al., 2009). There is a clear separation at ~30 hPa in the
207 sign of the changes in the shallow versus the deep branch of the BDC in the northern
208 hemisphere.

209

210 The 1997-1998 warm ENSO event occurred near solar minimum, for which Calvo and Marsh
211 (2011) also found enhanced wave forcing in the middle and upper stratosphere. That activity
212 leads to acceleration of the BDC and poleward transport of CH₄ to the extratropics. Barriopedro
213 and Calvo (2014) also found connections between ENSO and SSW events, although the exact
214 effects depend on the relative sequence of those events. Since major SSWs within 1996-2001
215 occur in December 1998, February 1999 and in March 2000, it is likely that they merely led to
216 further accelerations of the BDC. As an example, Tao et al. (2015) gave details about how the
217 SSW of 2009 led to an acceleration of the BDC. Their analyses may support the present finding
218 of increases in CH₄ in the extratropics near 10 hPa in Fig. 5. However, more focused studies of
219 the relative roles of SSWs and ENSO on the results of Fig. 5 are beyond the scope of the present
220 exploratory study.

221

222 *(c) July 2000 to June 2005*

223 There was even more SSW activity in the northern hemisphere during the 5-yr span from 2000 to
224 2005 (on 11 February 2001, 2 January 2002, 18 January 2003, and 7 January 2004, according to
225 Choi et al., 2019). The distribution of changes in CH₄ in Figure 6 includes the net effect of those
226 episodic SSW events. There was an increase in CH₄ at upper altitudes, where the effect of SSWs
227 may have also led to greater poleward transport of CH₄ to higher latitudes. As before, an SSW
228 event accelerates the deep branch of the BDC, bringing more CH₄ to high altitudes and greater

229 meridional transport to higher latitudes. At the stratopause (~1 hPa) and in the lower mesosphere
230 even small changes in CH₄ mixing ratio translate to relatively large percentage changes. Those
231 changes are from negative to positive from Fig. 5 to Fig. 6 and are rather uniform across latitude.
232 On the other hand, the changes near 10 hPa and at middle latitudes of the northern hemisphere
233 are weaker now than in Fig. 5. Fig. 1(a) indicates that this change may be a consequence, in part,
234 of large seasonal amplitudes for CH₄ in early 2001 and in 2005 or near the end points of the 5-yr
235 period from July 2000 to June 2005.

236

237 In the southern hemisphere there was an anomalous SSW event on 22 September 2002, leading
238 to a splitting of the polar vortex (Newman and Nash, 2005). The CH₄ changes from Fig. 5 to
239 Fig. 6 at 10 hPa and 30°S were likely altered by that event (c.f., the time series segments in Fig.
240 1(b) for those two 5-yr periods). Note that there is no clear separation of the shallow and deep
241 branches of the BDC for the southern hemisphere in Fig. 6.

242

243 Figure 7 provides a clearer picture of what occurred from 2000 to 2005. Fig. 7(a) is a time series
244 of CH₄ at 45°S and 20 hPa, and it shows pronounced annual cycles in CH₄. A peak seasonal
245 value occurs in 2001, and it may be influencing the overall analyzed trend for that time span. On
246 the other hand, there is little indication of a change in CH₄ at the time of the anomalous SSW
247 event of September 2002. Fig. 7(b) shows the corresponding CH₄ time series at the Equator and
248 20 hPa, where CH₄ variations are forced primarily by the QBO. There is a clear decrease in CH₄
249 in 2001 compared to the maximum at 45°S in Fig. 7(a). Fig. 7(b) also shows that tropical QBO
250 signals are nearly absent in CH₄ from 1996 to 2000. Bönisch et al. (2011) reported that tropical
251 upwelling increased after 2000 and accelerated the shallow branch of the BDC. Similar studies
252 based on variations in CH₄ may be helpful in determining the nature of the shallow layer of the
253 BDC both prior to and after 2000.

254

255 **4. Summary findings**

256 The present study is an analysis of the distributions of HALOE CH₄ for indications of secular
257 changes in the BDC. Linear trends in CH₄ were determined for three, successive 5-yr time
258 spans, and there are significant differences between them. There is a clear separation of the deep
259 and shallow branches of the BDC at about 30 hPa in the northern hemisphere in each time span.
260 Although the changes for CH₄ in the shallow branch are rather large following the eruption of
261 Pinatubo, they agree well with tropospheric trends for CH₄ during the late 1990s and early
262 2000s. There are decreasing changes in the upper part of the deep branch of the BDC in the
263 early to middle 1990s, indicating a decline of CH₄ from one year after the eruption. CH₄
264 changes in the middle and upper stratosphere differ markedly for the early 2000s compared to
265 those of the late 1990s, although those differences are small compared to the seasonal and
266 interannual variations of CH₄. In addition, the seasonal changes within the deep branches differ
267 in each hemisphere, perhaps due to episodic SSW events and to wave forcings during ENSO.

268

269 In terms of multi-year changes for the BDC, it appears that during the period of 1992 to 1997
270 there was acceleration of the shallow branch and deceleration of the deep branch. However,
271 those implied changes in the BDC may be anomalous because of the large perturbation to the
272 CH₄ distribution in 1991 from the Pinatubo eruption. During 1996 to 2001 the changes in the
273 shallow branch were nearer to zero, while decreasing trends persisted in the deep branch. Yet, it
274 also appears that there was acceleration of the poleward transport and mixing at middle latitudes
275 within the layer from ~30 hPa to ~7 hPa during that 5-yr period. Then, there was a deceleration
276 in the shallow branch and acceleration in the deep branch of the BDC during 2000 to 2005. The
277 implied BDC also differed markedly in the two hemispheres over that final 5-yr span. It is
278 concluded that time series of HALOE CH₄ provide effective tracer diagnostics for studies of the
279 secular nature of the BDC from 1992 to 2005.

280

281 *Data availability.* The HALOE V19 profiles are at the NASA EARTHDATA site of EOSDIS,
282 and its website is https://disc.gsfc.nasa.gov/datacollection/UARHA2FN_019.html (Russell et al.,
283 1999).

284

285 *Competing interests.* The author has declared that there are no competing interests.

286

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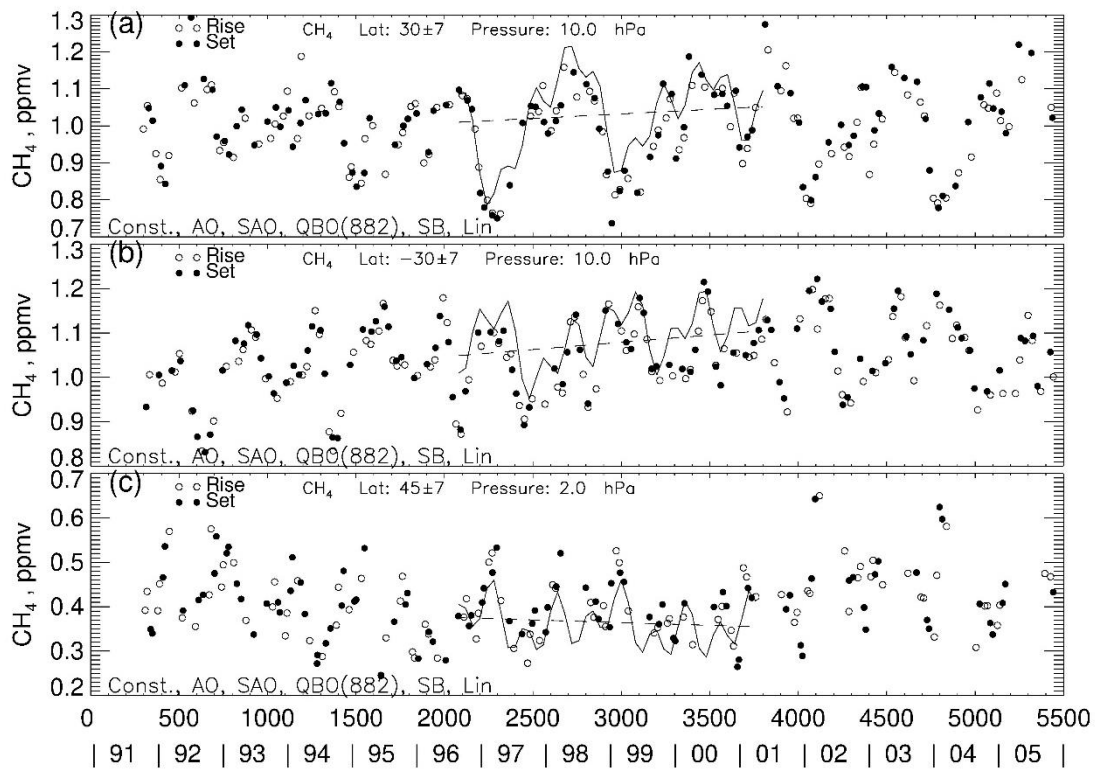
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377 **Figures**

378



379

380 Figure 1—Time series of HALOE CH₄ (a) 30°N and 10 hPa, (b) 30°S and 10 hPa, and (c) 45°N
 381 and 2 hPa. MLR fit for July 1996 through June 2001 is the solid curve, and its linear trend is the
 382 dashed line. Day numbers on the abscissa are from 1 January 1991. Model terms are listed at
 383 bottom left. [The Pinatubo eruption occurred in June 1991.](#)

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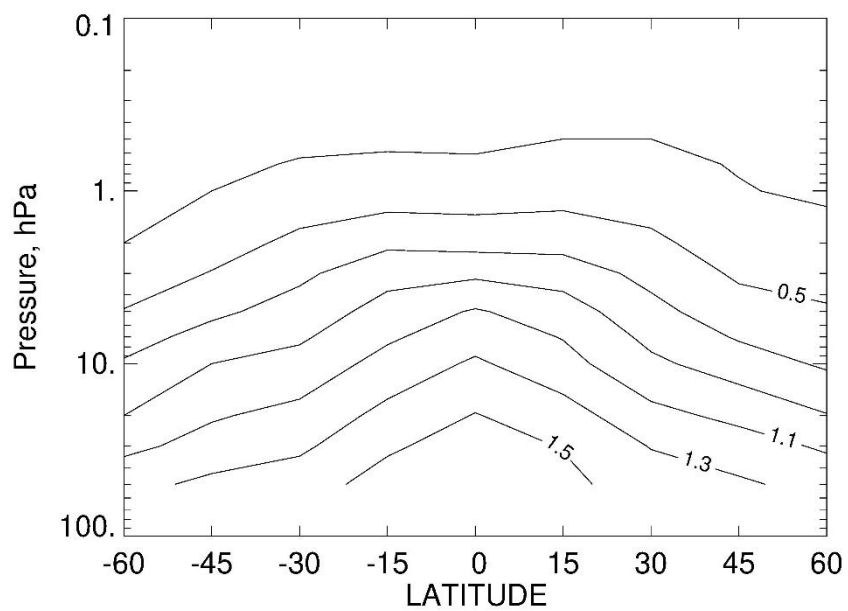
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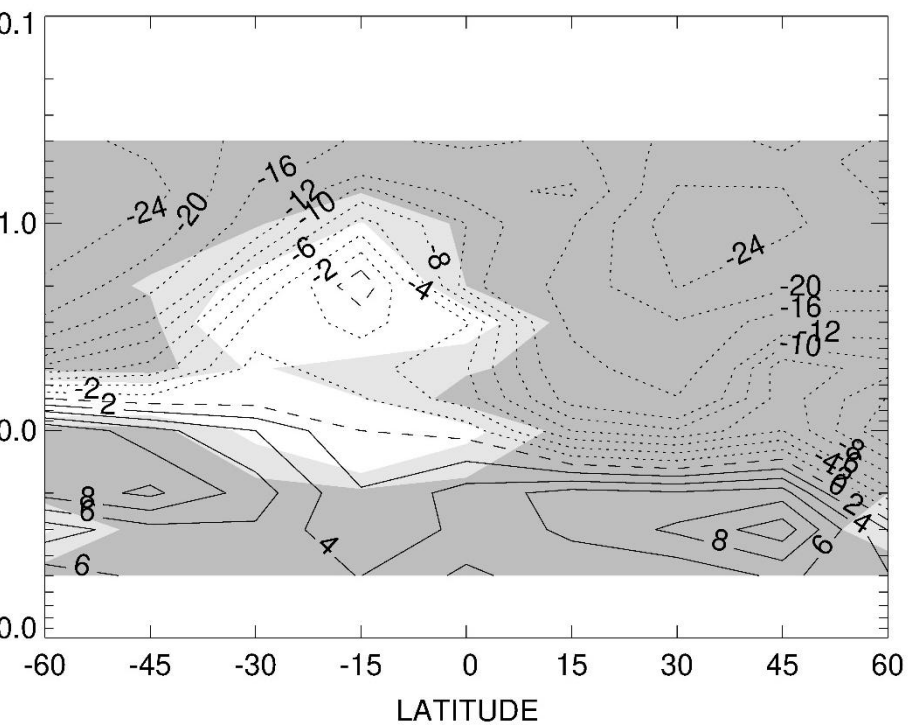
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391 Figure 2—Average CH₄ for July 1996 through June 2001; contour interval is 0.2 ppmv.
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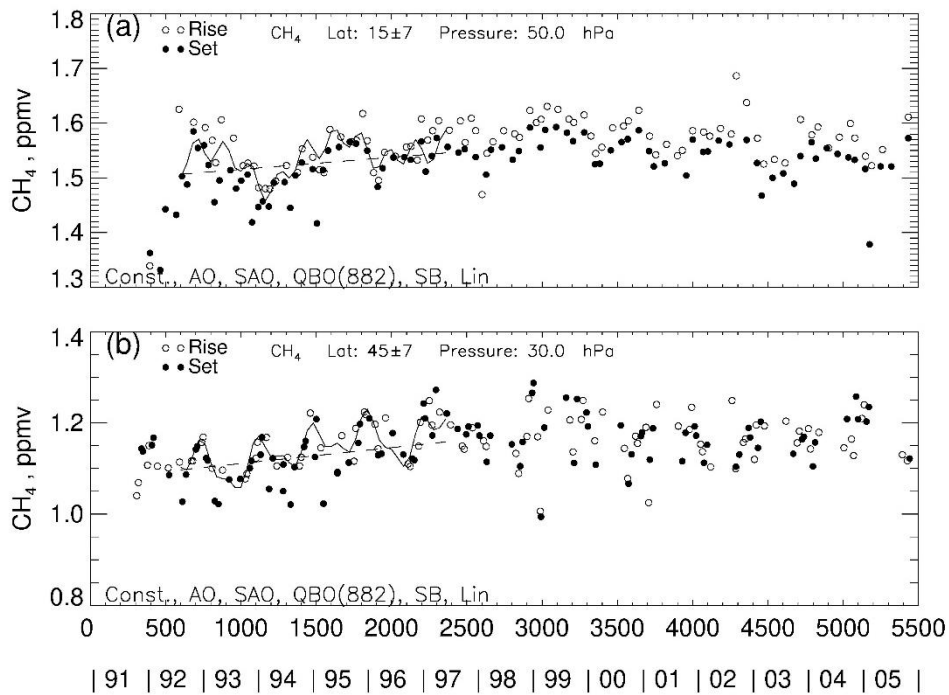
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406 Figure 3—Changes in CH₄ for July 1992 through June 1997 (in % / 5-yr); positive changes are
 407 solid, negative changes are dotted, and zero is dashed. Contour interval is 2 % within ±12 % but

408 4 % outside that range. Dark shading shows where the confidence interval (CI) for the trends is
409 greater than 90 %, and light shading shows where CI is between 70 and 90 %.

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Figure 4—As in Fig. 1, but 4(a) is for 15°N and 50 hPa, and 4(b) is for 45°N and 30 hPa.

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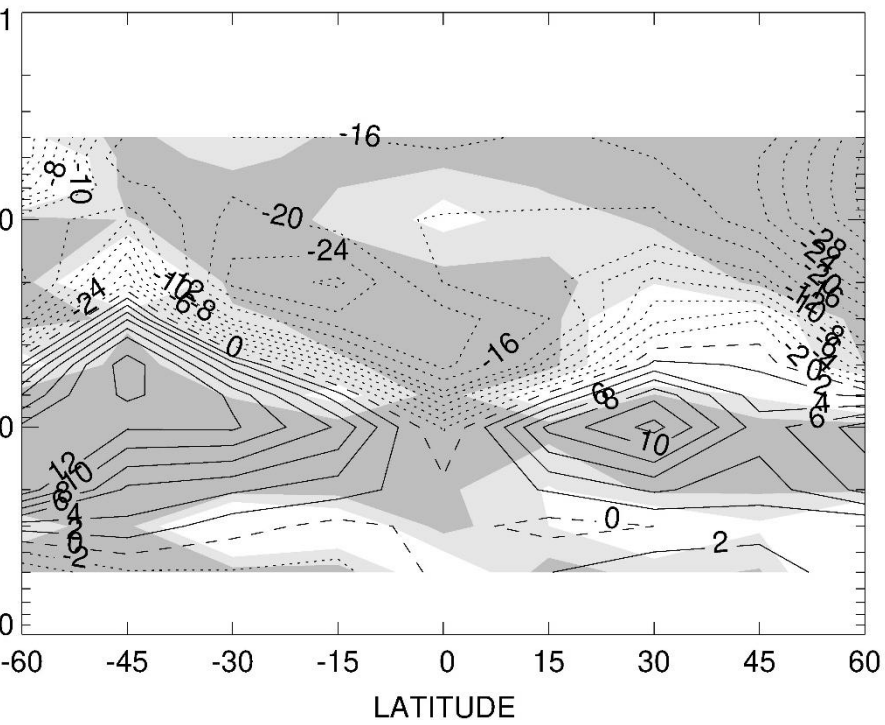
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433 Figure 5—As in Fig. 3, but for July 1996 through June 2001.

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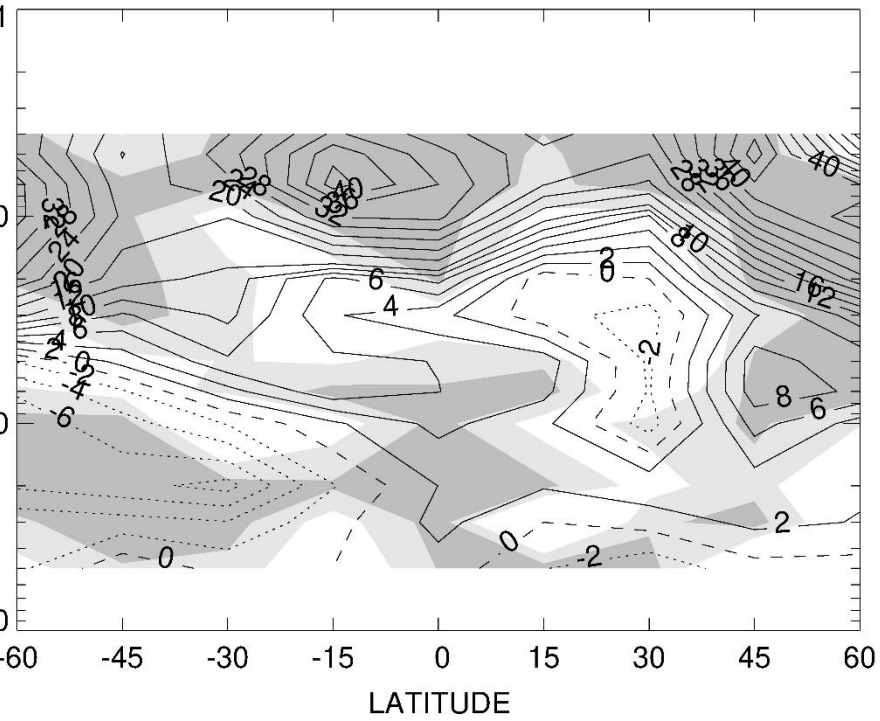
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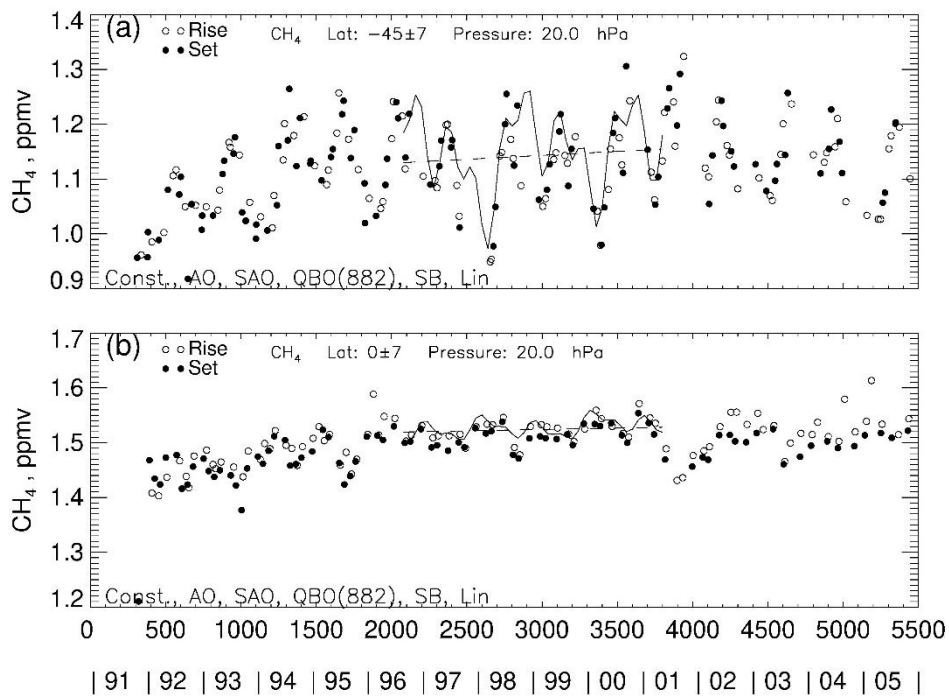
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446 Figure 6—As in Fig. 3, but for July 2000 through June 2005.

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Figure 7—As in Fig. 1, but 7(a) is for 45°S and 20 hPa, and 7(b) is for Eq and 20 hPa.