Measurement report: Nocturnal subsidence behind the cold

2 front enhances surface particulate matter in the plain regions:

3 observation from the mobile multi-lidar system

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- 13 Abstract. A multi-lidar system, mounted in a vehicle to monitor the profiles of temperature, wind, and
- 14 particle optical properties, was utilized to investigate the winter fine particulate matter (PM_{2.5}) pollution
- 15 from a vertical perspective, in four cities in China in winter 2018. We observed the enhancement of
- 16 surface nocturnal PM_{2.5} in two typical plain cities (Changzhou and Wangdu), which was attributed to the
- 17 subsidence of PM_{2.5} transported from upstream polluted areas, with the wind turning north and
- 18 downdrafts dominating. Combining with the observed surface PM_{2.5}, the reanalysis meteorological data,
- 19 and the GEOS-Chem model simulation, we revealed the Transport-Nocturnal PM_{2.5} Enhancement by
- 20 Subsidence (T-NPES) events occurred frequently in the two cities, with percentages of 12.2 % and
- 21 18.0 %, respectively during Dec. 2018 Feb. 2019. Furthermore, the GEOS-Chem model simulation
- 22 further confirmed the ubiquity of winter T-NPES events on a large scale including North China Plain
- 23 and the Yangtze River Delta. Process analysis revealed that the subsidence was closely correlated with
- 24 the southeasterly movement of the high-pressure system and the passage of the cold front, resulting in
- 25 the increase of temperature aloft, a stronger inversion layer, and further PM_{2.5} accumulation in the
- 26 atmospheric boundary layer. Thus, a conceptual model of the T-NPES events was proposed to highlight
- 27 this surface PM_{2.5} enhancement mechanism in these plain regions. However, it did not apply to the two
- 28 cities in the basin region (Xi'an and Chengdu), due to the obstruction of the weather system movement
- 29 by the mountains surrounding the basin.

30 1 Introduction

31 The severe fine particulate matter (PM_{2.5}, particles with an aerodynamic diameter smaller than 2.5 µm) 32 pollution, caused by the rapid industrialization and urbanization in China (Guo et al., 2014; Huang et al., 33 2014), has essential impacts on visibility, ecosystem, regional and global climates, and human health 34 (Yue et al., 2017; An et al., 2019; De Marco et al., 2019; Li et al., 2019b; Hao et al., 2021). To mitigate 35 the PM_{2.5} pollution, the government of China implemented the Air Pollution Prevention and Control 36 Action Plan in 2013 by strict emission controls (Gao et al., 2020). Despite the fact that the annual average 37 concentration of PM_{2.5} has significantly decreased (Ding et al., 2019; Li et al., 2019a; Zhang et al., 2019b; 38 Silver et al., 2020; Geng et al., 2021b), the PM_{2.5} levels in the majority of Chinese cities are still above 39 the World Health Organization target (WHO. 2021). Particularly, the issue of PM_{2.5} pollution remained 40 critical in the North China Plain (NCP) and Yangtze River Delta (YRD) in winter time (Peng et al., 2021; 41 Qin et al., 2021). 42 The formation mechanisms of PM_{2.5} pollution were complex especially in China (Guo et al., 2014; Xiao 43 et al., 2021b), such as the high emission intensity (Zhang et al., 2019b), the rapid chemical formation of 44 secondary particles owing to the gas phase and heterogeneous reactions (Wang et al., 2017; Lu et al., 45 2019; Chen et al., 2020), and the interactions within the atmospheric boundary layer (ABL) (Ding et al., 46 2013; Gao et al., 2016; Dong et al., 2017; Li et al., 2017). While the long-range transport also had 47 significant impacts on the PM_{2.5} pollution (Guo et al., 2014; Zhang et al., 2015; Huang et al., 2018). Cold 48 fronts, as a common synoptic circulation in winter, were usually favorable for the quick removal of the 49 locally accumulated pollutants in the NCP (Zhao et al., 2013; Gao et al., 2017), but conversely transport 50 the pollutants to the YRD through a long distance (Kang et al., 2019; Huang et al., 2020; Kang et al., 51 2021). Zhou et al. (2023) indicated that the cold fronts could transport the precursors to the residual layer, 52 where the secondary pollution was rapidly driven to be generated and then exacerbate near-surface air 53 pollution as a result of the development of the daytime convective ABL. However, the above studies 54 have focused on the impact of the horizontally transported pollutants on the downstream regions after 55 the passage of the cold front. In comparison, few studies have been conducted on the variation in the 56 vertical direction of particulate matter in the ABL during the passage of the cold front. 57 The vertical mixing exchange process between layer has great impact on local air quality and the 58 subsidence motion is associated with the evolution of the inversion layer (Gramsch et al., 2014; Xu et 59 al., 2018; He et al., 2022). Zhang et al. (2022) reported that the PM_{2.5} concentration behind the cold front 60 increased due to the subsidence motion and inversion layer. Zhao et al. (2023) suggested that the frontal

61 downdrafts were an additional transport pathway in the nighttime to make a higher contribution to the 62 ground nitrate. Both of their studies were based on the model simulations, the observational evidence of 63 the subsidence behind the cold front and its impact on the nocturnal PM_{2.5} enhancement events is still 64 lacking. Shi et al. (2022) reported one subsidence case of particulate matter during the passage of the 65 cold front over Wangdu, China in winter, which revealed that the subsidence was closely connected to 66 the enhancement of nocturnal PM_{2.5}. 67 To investigate the mechanisms of nocturnal PM_{2.5} enhancement triggered by subsidence, the three-68 dimensional spatial and temporal distribution is crucial. Many field observations of the vertical 69 distribution of particulate matter have been performed employing various methods such as tethered 70 balloons (Wang et al., 2021; Ran et al., 2022), airplanes (Wang et al., 2018; Fast et al., 2022), unmanned 71 aerial vehicles (Song et al., 2021; Dubey et al., 2022) and the meteorological towers (Li et al., 2022; Yin 72 et al., 2023). Lidar, as an active remote sensing device with high temporal and spatial resolution, has 73 been extensively employed in atmospheric detection to obtain the profile of particulate matter, wind, and 74 temperature. The ground-based and satellite-based lidar have been widely used to detect the vertical 75 distribution of aerosol. In recent years, the mobile multi-lidar system has been gradually developed and 76 has become a powerful tool for observing target species in a vertical perspective. Compared with the 77 traditional ground-lidar system, the mobile multi-lidar system enables continuous mobile observations 78 and provides information on the distribution of specific factors along its path and can be used as an 79 effective supplement to other fixed lidars. Additionally, the mobile multi-lidar system can reach different 80 cities by its portable setting in a short time to carry out fixed-point observations. The mobile lidar system 81 has been used to carry out several observations in the past few years (Lv et al., 2020; He et al., 2021; Xu 82 et al., 2022). He et al. (2021) investigated the vertical distribution characteristics of particulate matter in 83 the Guanzhong Plain by using the mobile multi-lidar system. Xu et al. (2022) conducted an observational 84 study on the three-dimensional structure of particulate matter distribution in the Guangdong-Hong Kong-85 Macao Greater Bay Area by using the mobile multi-lidar system and proposed a conceptual model to 86 elucidate the vertical distribution of particulate matter under different wind and temperature conditions. 87 Here, we conducted the first nationwide field measurements in winter 2018 using the mobile multi-lidar 88 system during winter 2018 in China, to investigate the vertical distribution characteristics of particulate 89 matter in different cities. We focus on the observed nocturnal PM_{2.5} enhancement events and seek insights 90 into their characteristics and causes, by combining with the GEOS-Chem model simulation, the surface 91 PM_{2.5} observation, and meteorological reanalysis dataset. Finally, we examine the ubiquity of this

- 92 phenomenon in plain regions in China and propose a conceptual model, providing detailed vertical
- 93 insights into the enhancement of nocturnal surface PM_{2.5}.

2 Data and methods

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95 **2.1 Multi-lidar system**

A multi-lidar system was installed on the mobile observation vehicle. The vehicle, a modified 7-seater 96 97 Mercedes-Benz sport utility vehicle, was equipped with three lidar instruments mounted on steel bars at 98 the rear for stability. The mobile observation routes were primarily on flat highways, and the speed was 99 controlled to remain around 80 km/h to minimize the impact of frequent changes in speed and vehicle 100 bumps on the measurement results. 101 The multi-lidar system (Everise Technology Ltd., Beijing) consisted of a 3D visual scanning micro pulse 102 lidar (EV-Lidar-CAM), a twirling Raman temperature profile lidar (TRL20), a Doppler wind profile lidar 103 (WINDVIEW10), a global positioning system (GPS). The 3D visual scanning micropulse lidar had a 104 detection range of up to 30 km, a temporal resolution of 1 minute, and a vertical resolution of 15 m. The 105 3D lidar used an Nd: YAG laser to emit a 532 nm laser beam at a repetition frequency of 2500 Hz, which 106 is scattered by aerosol particles in the atmosphere. The backscattered signal is utilized to calculate the 107 aerosol extinction coefficient and depolarization ratio profile. The extinction coefficient increases with 108 higher particle pollution concentrations, while the depolarization ratio can distinguish between spherical 109 and non-spherical particles based on their size and shape. The Doppler wind profile lidar provides a 110 temporal resolution of 1 minute and a vertical resolution of 50 m. It emits a rotating 1545 nm laser beam 111 using a 10 kHz repetition rate fiber-pulse laser and measures the Doppler shift produced by the laser's 112 backscattered signal as it passes through airborne particles such as dust, water droplets in clouds and fog, 113 polluted aerosols, salt crystals, and biomass-burning aerosols to derive the horizontal and vertical wind 114 speeds at any height. The Raman temperature profile lidar, based on Raman scattering theory, calculates 115 atmospheric temperature by detecting the rotational Raman scattering signal of nitrogen or oxygen 116 molecules in the atmosphere. Operating at a 532 nm wavelength with an Nd: YAG laser at a repetition 117 frequency of 20 Hz, it has a temporal resolution of 5 minutes and a vertical resolution of 60 m. The 118 quality of the data obtained by the lidar system was checked by the Integrated Environmental 119 Meteorological Observation Vehicle before deployment. The results showed a percentage difference of 120 less than 15% between the lidar system data and the data provided by the Shenzhen Meteorological

Tower, demonstrating the high accuracy of the lidar instrument (Xu et al., 2022). Data during the instrument malfunction, below the blind zone, and in rainy weather had been excluded. Previous studies have utilized this lidar system and demonstrated its reliability (Xu et al., 2018; He et al., 2021). The mobile observation vehicle and multi-lidar system are shown in Figure 1(a). The more details of the multi-lidar system are shown in the Table 1.

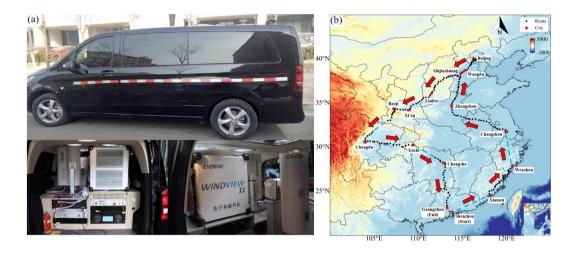


Figure 1. (a) The mobile observation vehicle and multi-lidar system. (b) For the mobile observation route and stopover cities, the blue dotted line shows the sections of missing data.

Table 1. Detailed parameters for the multi-lidar system

Lidar	Variable	Wavelength	Spatial and time resolution	Lowest observable altitude
3D visual scanning micro pulse lidar	Extinction coefficient, depolarization ratio	532 nm	15 m/1 min	30 m
Doppler wind profile lidar	Wind speed and direction profiles	1545 nm	50 m/1 min	40 m
Raman temperature profile lidar	Temperature profiles	532 nm	60 m/5 min	60 m

2.2 The route of nationwide mobile observation

132 To investigate the distribution characteristics of particulate matter during winter in different regions in 133 China, the Integrated Environmental Meteorological Observation Vehicle of Sun Yat-sen University was 134 utilized to conduct the first nationwide mobile observation campaign. The campaign, which lasted 43 135 days and covered approximately 11,000 km, started in Shenzhen on 30 November, 2018 and ended in 136 Guangzhou on 11 January, 2019. This campaign surveyed the PM_{2.5} vertical profiles across 15 cities, 137 including Shenzhen, Xiamen, Wenzhou, Changzhou, Zhengzhou, Wangdu, Beijing, Shijiazhuang, 138 Linfen, Xi'an, Baoji, Chengdu, Enshi, Changsha and Guangzhou. The observation route and stopover 139 cities are shown in Figure 1(b). Due to the precipitation, there were no observations between Shenzhen-140 Xiamen and Wenzhou-Changzhou, while some GPS data were missing between Beijing-Chengdu and 141 Enshi-Changsha. 142 To compare the vertical distribution characteristics of particulate matter in different regions, we 143 conducted fixed-point observations for several pollution days in four representative cities in the East 144 China region (Changzhou), North China Plain (Wangdu), Guanzhong Basin (Xi'an), and Sichuan Basin 145 (Chengdu). The dates and duration of the fixed-point observations are presented in Table 2. In the 146 following analysis, only the data obtained in the four fixed-point measurements are used since it has 147 enough time duration to show the vertical variation of PM_{2.5}.

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Table 2. Date and cities of fixed-point observations

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Date		Cities	Coordinate	Landform		
	2018.12.11-2018.12.14	Changzhou	119.97°E, 31.83°N	Plain area		
	2018.12.18-2018.12.22	Wangdu	115.25°E, 38.67°N	Plain area		
	2018.12.31-2019.01.02	Xi'an	109.01°E, 34.22°N	Basin area		
	2019.01.04-2019.01.09	Chengdu	103.92°E, 30.58°N	Basin area		

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2.3 Surface PM_{2.5} data and ERA5 reanalysis data

151 The nationwide hourly observations of surface PM_{2.5} in China are obtained from the China National

152 Environmental Monitoring Center (CNEMC) network (https://quotsoft.net/air, last accessed: March 2nd,

153 2023). Here, we used the hourly PM_{2.5} concentration data from the whole winter of 2018 (Dec. 2018 –

- 154 Feb. 2019) and selected data from the closest monitoring station to show the change in surface PM_{2.5}
- 155 concentration at the four observation sites.
- 156 The spatial distribution of daily average surface PM_{2.5} concentration is obtained from the TAP team
- 157 (http://tapdata.org.cn), with a spatial resolution of 10 km. Based on machine learning algorithms and
- 158 multi-source data information, the TAP team has built a multi-source data fusion system that integrates
- 159 ground observation data, satellite remote sensing information, high-resolution emission inventories, air
- quality model simulations, and other multi-source information (Geng et al., 2021a; Xiao et al., 2021a).
- 161 In addition to the observation data, we also apply the three-dimensional meteorological data from the
- 162 ERA5 dataset for the winter of 2018 (https://quotsoft.net/air, last accessed: March 2nd, 2023) (Munoz-
- 163 Sabater et al., 2021). This dataset contained temperature, horizontal and vertical wind speed, and
- 164 direction at pressure levels, as well as two-dimensional data including sea-level pressure and 2-m
- 165 temperature. The ERA5 dataset is the fifth generation of the European Centre for Medium-Range
- 166 Weather Forecasts (ECMWF) atmospheric reanalysis of the global climate. The ERA5 dataset has a
- horizontal resolution of 0.25°×0.25°, a vertical resolution of 25 hPa, and a temporal resolution of 1 h.

168 2.4 HYSPLIT backward trajectory model

- 169 The Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT) (Stein et al., 2015),
- 170 developed by NOAA Air Resources Laboratory, is a valuable tool for simulating the movement of air
- 171 mass and the transport of pollutants in the atmosphere, is used in our study to obtain the sources of
- 172 particulate matter at different heights. Altitudes of 100, 500, and 1000 m were set as the endpoints of the
- 173 trajectories, the meteorological input for the trajectory model was the FNL dataset, and each trajectory
- was calculated for 24 h duration.

175 2.5 GEOS-Chem model description

- 176 Given the short-term (less than one week) fixed-point observation duration of the mobile observation
- 177 vehicle in each city, we employ the global three-dimensional chemical transport model GEOS-Chem
- 178 version 13.3.1 to interpret the vertical observations (available at
- https://github.com/geoschem/GCClassic/tree/13.3.1, last assessed: March 2nd, 2023, (Bey et al., 2001))
- and to simulate the distribution of particulate matter concentrations during winter 2018 in China. We
- 181 perform the nested-grid version of the GEOS-Chem simulation at a spatial of 0.5° (latitude) × 0.625°
- 182 (longitude) resolution over East Asia (60-150°E, 11°S-55°N), The model has 47 vertical layers with 18

183 layers in the below 3 km. Boundary chemical conditions for the nested models are archived from a 184 consistent global simulation run at 4° latitude × 5° longitude resolution. Meteorological input is from the 185 Modern-Era Retrospective analysis for Research and Application version 2 (MERRA-2) (Gelaro et al., 186 2017). We conduct the model simulation from 2018/11-2019/02 with the first month as a spin-up. The model mechanisms and emissions mostly follow our previous study (Wang et al., 2022). In short, 187 188 the GEOS-Chem model describes a comprehensive stratospheric and tropospheric ozone–NO_x–VOCs– 189 aerosol-halogen chemical mechanism (Wang et al., 1998; Park et al., 2004; Parrella et al., 2012; Mao et 190 al., 2013). Photolysis rates are computed using the Fast-JX scheme (Bian and Prather. 2002). Advection 191 of tracers in GEOS-Chem is accomplished through the TPCORE advection algorithm. The boundary 192 layer mixing process is described in (Lin and McElroy, 2010). Dry and wet deposition of both gas and 193 aerosols is considered (Wesely, 1989; Zhang et al., 2001). We apply the latest version of the Community 194 Emissions Data System (CEDSv2) anthropogenic emissions inventory (O'Rourke et al., 2021), in which 195 the emissions over China have been adjusted to align with the Multi-resolution Emission Inventory for 196 China (MEIC) inventory (Zheng et al., 2018). Figure S1 showed the comparison of model results with 197 observations for monthly mean PM_{2.5}, and the correlation coefficients between model and observation 198 were about 0.6, which meant that the model results provided a relatively good reproduction of the 199 observations.

3 Results and discussions

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3.1 The observation of nocturnal PM_{2.5} enhancement in plain areas

202 During the fixed-point observation in Changzhou, we observed a typical surface PM_{2.5} concentration 203 enhancement event starting at 4:00 and lasting until 10:00 on 13 December. As shown in Figure 2(a), the 204 concentration of PM_{2.5} increased from 69 to 151 µg/m³. Figure 2(b-c) shows the spatiotemporal 205 distribution of the extinction coefficient and depolarization ratio. There was a clear layer with a low 206 extinction coefficient below 500 m from 16:00 on 12 December to 4:00 on 13 December, indicating low 207 PM_{2.5} concentration near the surface. Meanwhile, an aerosol layer with a high extinction coefficient of 208 about 0.7 km⁻¹ appeared at 500-1,000 m. Figure 2(d-e) depicted the west winds prevailing in the layer of 209 500-1,000 m with a wind speed (WS) of about 7 m/s. Based on the daily average concentration of PM_{2.5} 210 on 12 December shown in Figure S2, the western area of the observation site in Changzhou suffered 211 from severe air pollution with the concentration of PM_{2.5} exceeding 150 μg/m³. Under the strong forcing 212 of the west winds, the regional transport of aerosol from the west of Changzhou was detected, leading to 213 a high extinction coefficient layer at 500-1,000 m. The spatiotemporal distribution of the vertical velocity 214 in Figure 2(e) indicated the dominant updraft winds in the ABL, which was conducive to the suspension 215 of pollutants at 500-1,000 m. 216 However, the prevailing winds at 500-1,000 m shifted to the northwest/north after 4:00 on 13 December. 217 By 8:00, the north wind dominated in the ABL. The change in wind direction affected the transport 218 process of pollutants at 500-1,000 m, after which the transport basically disappeared. Meanwhile, the 219 downdraft winds dominated above 500 m (Figure 2(e)) and the aerosol layer suspended at 500-1,000 m 220 began to gradually transport and diffuse downward into the lower layer of ABL, which enhanced the 221 nocturnal surface PM_{2.5} concentration. Noteworthy, after 4:00 on 13 December, the surface temperature 222 was close to the temperature at 950 hPa, suggesting that the structure of the ABL was stable and was 223 conducive to the accumulation of the PM_{2.5}. 224 The sea surface field showed the cold high-pressure system moved southeast with increasing strength 225 from 20:00, 12 December to 8:00, 13 December (Figure S3). The change in the synoptic weather system 226 was accompanied by a cold frontal passage. The cold frontal passage was inferred to start at about 4:00, 227 13 December and last about 4 hours, which was further illustrated by the clockwise rotation of the 228 horizontal wind from the ground to the upper layer (Shi et al., 2022) and the transition from updrafts to 229 downdrafts, the observation site was located behind the cold front after 4:00 where the descending 230 movements dominated. Under the influence of the subsidence, the pollutants transported by the west 231 advection diffused downward to the low layer and further aggravated the local air quality.

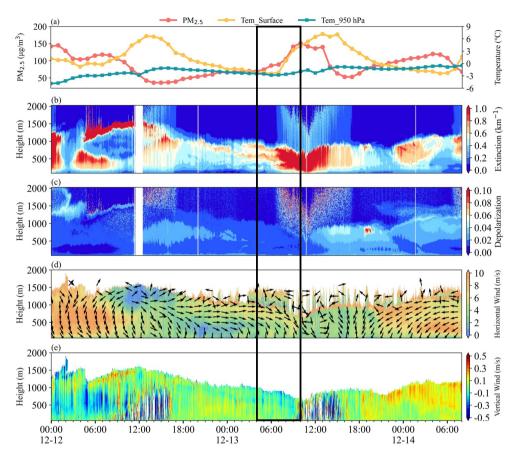


Figure 2. (a) Surface PM_{2.5} concentration, surface temperature, and 950 hPa temperature, (b) Extinction coefficient, (c) Depolarization ratio, (d) Horizontal wind, and (e) Vertical wind, during the observation in Changzhou from 12 December to 14 December. The black box indicated the nocturnal surface PM_{2.5} enhanced event.

After 8:00, the concentration of surface PM_{2.5} increased rapidly and peaked at around 10:00, the extinction coefficient below 1,000 m also reached a high level with 1.0 km⁻¹ at the same time and the depolarization remained at about 0.01. The surface temperature began to rise and the convective ABL developed rapidly, which enhanced the vertical mixing and resulted in the rapid increase in surface PM_{2.5} (Zhou et al., 2023). And the north winds following the passage of the cold front dominated in the ABL after 8:00, which could bring pollution from the NCP to the YRD (Kang et al., 2019; Huang et al., 2020). Therefore, we attribute the increase in the concentration of surface PM_{2.5} from 4:00 to 10:00 to the combination of the subsidence behind the cold front before 8:00, vertical mixing caused by the development of the convective ABL, and the transport by the north winds.

We also found similar nocturnal surface PM_{2.5} enhancement events during the fixed-point observation in Wangdu, on 19 December and 21 December respectively (Figure 3(a)). The concentration of PM_{2.5} started to enhance at 1:00, 19 December, and meanwhile, the layer of pollutants above 1,000 m started to transport and diffuse to the lower layer of ABL which was reflected by the change of the extinction coefficient shown in Figure 3(b). Unfortunately, due to the instrument malfunction, the wind profile data was unavailable and we used the ERA5 data instead, which previously showed good consistency with the observation of with Doppler wind lidar (Shi et al., 2022). As shown in Figure 3(d), from 10:00, 18 December to 0:00, 19 December, southwest winds prevailed above 1,000 m and the WS exceeded 8 m/s, a persistent southerly wind could result in severe air pollution in the NCP (Cai et al., 2017; Callahan et al., 2019; Zhang et al., 2019a). The wind forced the regional advection of pollutants from the south region suffering from serious air pollution (Figure S4) to the observation site. Meanwhile, the updrafts dominated in the ABL which facilitated the suspension of pollutants in the upper layer. After 0:00, 19 December, as the cold high-pressure system moved southwest accompanied by a cold front (Figure S5), the prevailing winds above 1,000 m shifted to the northwest gradually and downdrafts dominated behind the cold frontal passage. The changes in the horizontal and vertical wind fields caused the advection of pollutants to disappear basically and the pollutants layer suspended above 1,000 m began to transport and diffuse downward to the low layer of ABL. The passage of the cold front at 0:00, 19 December, lasted for 4 hours, and the subsidence behind the cold front caused the pollutants to diffuse downward, enhancing the concentration of nocturnal PM_{2.5}.

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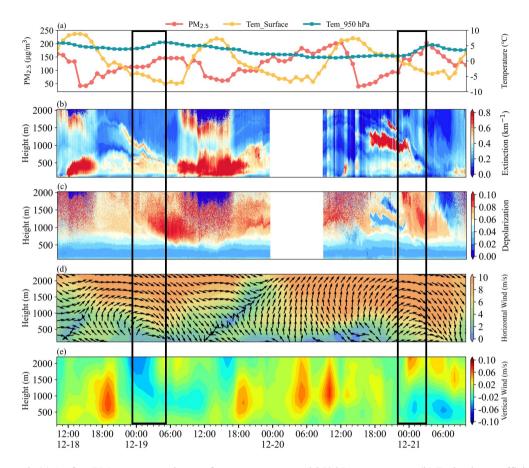


Figure 3. (a) Surface PM_{2.5} concentration, surface temperature, and 950 hPa temperature, (b) Extinction coefficient, (c) Depolarization ratio, (d) Horizontal wind, and (e) Vertical wind, during the observation in Wangdu from 18 December to 21 December. The black boxes indicated the Nocturnal PM_{2.5} enhancement events.

The pattern of the nocturnal surface PM_{2.5} enhancement event on 21 December was highly similar to that on 19 December. However, the pollutant advection process lasted a longer duration which started at 16:00, 20 December and ended at 0:00, 21 December (Figure 3(b)), and the WS of the southwest wind above 1,000 m exceeded 12 m/s meeting the standard of the low-level jet (LLJ) (Kraus et al., 1985; Hu et al., 2013). The area south of the observation site in Wangdu suffered from more severe air pollution with the concentration of PM_{2.5} exceeding 300 μg/m³ (Figure S6). Under the strong forcing of the southwestern LLJ and the updrafts depicted in Figure 3(d-e), an aerosol layer with high extinction coefficient exceeding 2 km⁻¹ formed and was suspended at 1,000-1,500 m from 16:00, 20 December to

2.76 0:00, 21 December. Meanwhile, Figure 3(c) showed that the layer with low depolarization was consistent 277 with the layer with a high extinction coefficient, further confirming the role of transportation. 278 After 0:00, the wind direction of LLJ began to change due to the southeasterly movement of the high-2.79 pressure system accompanied by a cold front (Figure S7). The passage of the cold front started at 0:00, 280 21 December, and lasted for 4 hours, after which the downdrafts dominated below 1,500 m (Figure 3(e)), 281 and the northwestern LLJ no longer transported pollutants from the southern area but greatly enhanced 282 the turbulent mixing (Shi et al., 2022). Under the influence of the turbulence generated by LLJ and 283 subsidence behind the cold front, the aerosol-rich layer suspended at 1,000-1,500 m was gradually 284 transported and diffused downward into the lower layer of ABL, ultimately enhancing the concentration 285 of surface PM2.5, which was consistent with the result reported by Shi et al. (2022), with the secondary 286 inorganic aerosol increasing simultaneously during the subsidence process as observed by the tethered 287 balloon. 288 Noteworthy, when both nocturnal surface PM_{2.5} enhancement events in Wangdu occurred, the 289 temperature at 950 hPa showed an increasing trend as a result of the heating of the air by compression as 290 it descended, while the surface temperature continuously declined (Figure 3(a)). The opposite variation 291 of surface temperature and temperature at 950 hPa stabilized the lower atmosphere. The stronger

3.2 Transport-Nocturnal PM_{2.5} Enhancement by Subsidence Events

(Gramsch et al., 2014; Largeron and Staquet. 2016).

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During the fixed-point observation, we found the causes of three nocturnal PM_{2.5} enhancement events in different cities were similar. The processes can be summarized as three steps: First, the horizontal winds with high wind speed forced the transport of pollutants from the upstream region, while the updrafts dominated, resulting in the formation and suspension of an aerosol layer with high extinction coefficient at the high layer of the ABL. Then, under the influence of the southeasterly movement of the high-pressure system and the passage of the cold front, the horizontal wind direction shifted to the north or northwest and the downdrafts became dominant. Finally, the transport of pollutants disappeared due to the change of wind direction, and under the subsidence behind the cold front, the aerosol-rich layer suspended at the high layer was gradually transported and diffused downward into the lower layer of the

inversion layer was probably induced by subsidence (Carlson and Stull. 1986). With the more stable

atmospheric layer and inversion during subsidence, the concentration of surface PM_{2.5} enhanced

305 ABL, ultimately enhancing the concentration of nocturnal PM_{2.5}. Here, we defined this pollution pattern 306 as T-NPES (Transport-Nocturnal PM_{2.5} Enhancement by Subsidence) events. 307 To investigate the occurrence frequency of T-NPES events, we employed the GEOS-Chem model to 308 simulate the distribution of particulate matter concentrations in China during the whole winter of 2018 309 (Dec. 2018 – Feb. 2019). We utilized the simulated PM_{2.5} at 950 hPa and 900 hPa to represent the high-310 altitude PM_{2.5} concentration. We selected the closest grid data of the wind field data, 950 hPa, and 2-m 311 temperature data from the ERA5 dataset to the observation site in Changzhou and Wangdu to show the 312 meteorological condition. By analyzing the hourly concentration variation of PM_{2.5} and the distribution 313 of the wind field during the three months of winter 2018 in Changzhou and Wangdu, we found 11 typical 314 T-NPES events in Changzhou accounting for 12.2% and 18 T-NPES events in Wangdu accounting for 315 18%, which indicated that the T-NPES events were a relatively common phenomenon in the two cities. 316 Figure 4 shows the average pattern of all T-NPES events in Changzhou, the trend of the simulated PM_{2.5} 317 was consistent with the observation, confirming the credibility of the simulations. As shown in Figure 318 4(a), the enhancement of nocturnal surface PM_{2.5} started at 21:00, when there was no significant 319 enhancement in anthropogenic PM_{2.5} emissions, while the high-altitude PM_{2.5} represented by PM_{2.5} at 320 900 hPa and 950 hPa started to decrease, which was consistent with the observed event in Changzhou 321 described in Section 3.1. According to the distribution of the wind field (Figure 4(b)), west winds with 322 high wind speed prevailed in the layer above 1,000 m from 0:00 to about 18:00, which was conducive to 323 the transport of pollutants. The updrafts dominated from 0:00 to 12:00, forcing the pollutants suspending 324 in the upper layer, which was reflected by the enhancing PM_{2.5} concentration at high altitude (Figure 325 4(a)). Despite the downdrafts dominating after 12:00, there was no immediate reduction in PM_{2.5} 326 concentration at high altitudes, which might be related to the fact that the horizontal wind direction had 327 not changed, and the transport of pollutants continued. A brief updraft before 21:00 suspended the 328 pollutants at high altitudes. After 21:00, northwester winds and downdrafts dominated in the ABL and 329 the high-altitude PM_{2.5} began to gradually transport and diffuse downward causing the enhancement of 330 surface concentration of PM2.5, and this process continued until 4:00 in the next day. The surface 331 temperature and the temperature at 950 hPa gradually approached, which is consistent with the observed 332 case in Changzhou, indicating that the structure of the ABL was stable and was conducive to the 333 accumulation of the PM_{2.5}. As shown in Figure S8, the average sea level pressure indicated that the 334 southeasterly movement of the high-pressure system and the passage of the cold front, which resulted in

the shift in wind direction and subsidence behind the cold front, were the main causes of the T-NPES events.

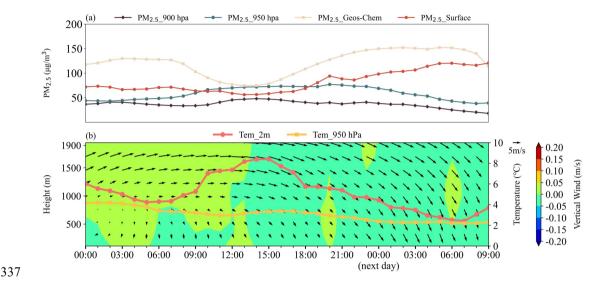


Figure 4. The average for all T-NPES events in Changzhou. (a) The concentration of PM_{2.5} at different levels, surface PM_{2.5} of observation (red line), surface PM_{2.5} of simulation (blue line), and PM_{2.5} at 900 hPa and 950 hPa. (b) The horizontal winds (arrows), the vertical winds (shaded), the temperature at 2 m, and temperature at 950 hPa.

Figure 5 shows the average pattern of all T-NPES events in Wangdu, which was similar to that in Changzhou. Figure 5(a) demonstrated that the trend of simulated PM_{2.5} was consistent with the observation before 22:00 but was different thereafter. The trend of high-altitude PM_{2.5} was increasing before 15:00 due to the transport of pollutants by prevailing southwester horizontal winds and the dominance of updrafts which suspended the aerosol shown in Figure 5(b). After 18:00, the prevailing winds began to turn northwest and ultimately turn north at 0:00 in the next day, while a brief updraft between 18:00 and 20:00 suspended the pollutants at high altitude. The ABL was dominated by the northwestern winds and downdrafts after 21:00. Simultaneously, the high-altitude PM_{2.5} began to gradually transport and diffuse downward causing the enhancement of surface concentration of PM_{2.5}. The temperature at 950 hPa increased and the surface temperature declined (Figure 5(b)), which agreed with the two observation examples in Wangdu. The opposite variation of temperature at different heights stabilized the ABL and further enhanced the concentration of PM_{2.5}. By analyzing the weather circulation patterns, the causes of the T-NPES events were the same as those in Changzhou and were attributed to the southeasterly movement of the high-pressure system and the passage of the cold front (Figure S9).

Overall, the average patterns of T-NPES events in Changzhou and Wangdu were essentially in good agreement with the three cases of T-NPES in the two cities. But there were still slight differences, such as the change of Wangdu caused by the movement of high-pressure lasted a longer time in the average situation and the start time of subsidence behind the cold front was also not consistent, which were due to each T-NPES event was not exactly the same.

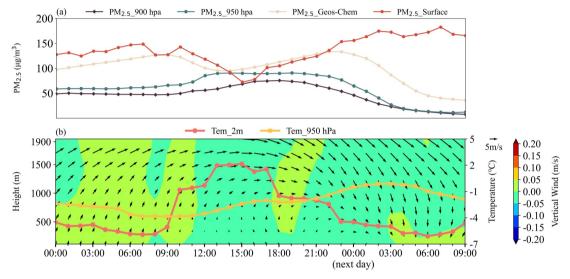


Figure 5. The average for all T-NPES events in Wangdu. (a) The concentration of PM_{2.5} at different levels, surface PM_{2.5} of observation (red line), surface PM_{2.5} of simulation (blue line), and PM_{2.5} at 900 hPa and 950 hPa. (b) The horizontal winds (arrows), the vertical winds (shaded), the temperature at 2 m, and temperature at 950 hPa

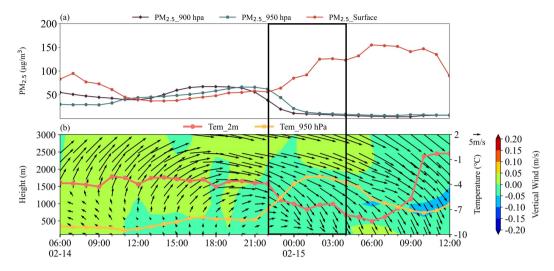
3.3 The universality of T-NPES events in eastern China

Despite the mobile observation vehicle had no observations in other cities of the NCP, the YRD, and the Loess Plateau, we could still utilize the simulated data and the ERA5 data to investigate the universality of T-NPES events occurrence in other cities. We selected Shijiazhuang, Beijing, and Tianjin as represented cities of the NCP, Shanghai, and Nanjing as represented cities of the YRD, and Taiyuan, Linfen as represented cities of the Loess Plateau. We found a similar pattern of T-NPES events in all these cities. However, these T-NPES events in different cities had some differences in detail. Here we divided the T-NPES events into four types based on the status of PM_{2.5} after T-NPES events. More information on the types, frequency of the T-NPES events, and their percentage of the winter 2018 was shown in Table 3. The typical representation of Type 1 is shown in Figure 6, the characteristic of Type 1 was that the southwestern winds transported the pollutants in the high-altitude of the ABL, then the

wind direction shifted to north and downdrafts dominated, finally, pollutants in high-altitude diffused into lower layer causing the surface PM_{2.5} enhanced. However, after the T-NPES event, the north wind near the ground was not strong enough to remove the pollutants, causing a high level of PM_{2.5} lasting the next day morning and may result in aggravation of the air pollution in the following day. The characteristic of the T-NPES event of Type 2 was basically consistent with Type 1. However, after the T-NPES event, as north winds became stronger, pollutants were rapidly removed, resulting in a clean boundary layer throughout (Figure 7). Even when the pollutants were removed more quickly by stronger north winds, the subsidence process might not be observed. Type 1 and Type 2 were both observed in the NCP cities, while Type 1 predominated in Wangdu and Shijiazhuang, and Type 2 in Beijing and Tianjin.

Table 3. Statistics of the T-NPES events in cities during Dec. 2018 – Feb. 2019

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Area	Type	City	Frequency (days)	Percentages
	Type 1 and 2	Wangdu	18	20.0%
NCD		Shijiazhuang	18	20.0%
NCP		Beijing	13	14.4%
		Tianjin	14	15.6%
	Type 3	Changzhou	11	12.2%
YRD		Shanghai	7	7.8%
		Nanjing	8	8.9%
I Di	T 4	Linfen	18	20.0%
Loess Plateau	Type 4	Taiyuan	13	14.4%



388 Figure 6. A typical T-NPES event of Type 1. The black box indicated the T-NPES event.

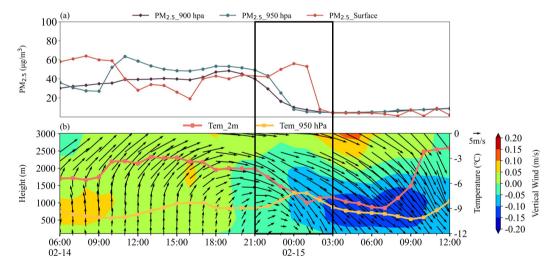


Figure 7. A typical T-NPES event of Type 2. The black box indicated the T-NPES event.

Figure 8 shows the typical representation of Type 3. The prevailing wind transporting pollutants was not southwest but west and the start and end of the T-NPES event were later than for Type 1 and 2. After the T-NPES event, the increase of 2-m temperature and the development of convective ABL led to vertical mixing and the increase of surface PM_{2.5}. Additionally, the stronger north wind might transport the

pollutants from the NCP to the YRD. Type 3 was similar to the example in Changzhou in Section 3.1 and indicative of a typical pattern in the YRD cities.

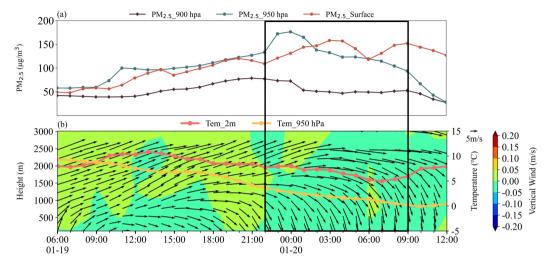


Figure 8. A typical T-NPES event of Type 3. The black box indicated the T-NPES event.

The typical representation of Type 4, which mainly occurred in the Loess Plateau cities, was shown in Figure 9. During the T-NPES event, the change of wind direction was only observed above 1,500 m while the wind speed below was so weak that the shift in wind direction was not significant, which was significantly different from the wind field of the other three types. The reason for the difference between Type 4 and other types was mainly related to the topography of the Loess Plateau, which has a blocking effect on the movement of the high-pressure system. Noteworthy, after the analysis of these T-NPES events in different cities in China, we suggested that the T-NPES events were a common pattern of the nocturnal PM_{2.5} enhancement, but did not always have an impact on the air pollution of the following day. The pollution levels on the following day depended more on the strength of the cold front, local pollution conditions, the structure of ABL, and regional transportation. Further quantification is needed to determine the relationship between the T-NPES events and the pollution levels on the following day.

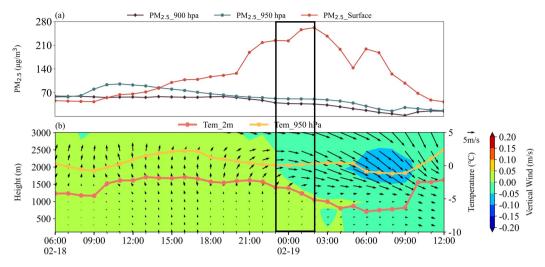


Figure 9. A typical T-NPES event of Type 4. The black box indicated the T-NPES event.

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To look insights into the mechanism of nocturnal PM_{2.5} enhancement, we systematically documented instances of nocturnal PM_{2.5} enhancement during the winter of 2018 in Wangdu and Changzhou according to the surface PM_{2.5} observation. We identified 48 such events in Wangdu and 27 in Changzhou, with proportions of T-NPES events of 37.5% and 40.7%, respectively. The results implied that T-NPES represents merely one among multiple pathways contributing to the nocturnal PM_{2.5} enhancement. We checked the nocturnal PM_{2.5} enhancement events that were not caused by T-NPES in Wangdu, the dominant wind field distributions within the ABL were southerly or characterized by static light wind, which indicated that the nocturnal PM2.5 enhancement might result from either horizontal transport from polluted regions in the southern areas or the local accumulation of particulates in the stable ABL. In the nocturnal PM_{2.5} enhancement events of non-T-NPES conditions in Changzhou, higher wind speeds in the ABL and predominantly from the northern and southwestern, which indicated the nocturnal PM_{2.5} enhancement might result from horizontal transport from the NCP (Huang et al., 2020) or caused by other reasons. For example, from the perspective of chemical formation, the nocturnal atmospheric oxidation may elevate the nighttime aerosol concentration (Wang et al., 2023; Yan et al., 2023). In addition, we found the T-NPES event does not always cause a nocturnal PM_{2.5} increase, in a few cases, the strong north wind following the cold front play a role in removing the aerosol. In summary, the T-NPES just represents one vertical transport mechanism that can collectively contribute to the enhancement of nocturnal PM_{2.5} with other physical and chemical processes (Zhao et al., 2023). Further

understanding of the coupling effect of transportation as well as the chemical formation to the nocturnal PM_{2.5} enhancement is thus highly needed. Based on these mentioned above, we suggested that the T-NPES events were a common phenomenon in winter in plain areas such as the NCP and the YRD. A conceptual model was thus developed and shown in Figure 10, there was the transportation of aerosol by the horizontal winds in the high altitude and the updrafts dominated before night, which was conducive to the formation and suspension of the aerosol layer. Then, with the southeasterly movement of the high-pressure system and the passage of the cold front at about the time of midnight, the wind direction began to turn north/northwest, causing the aerosol to dilute. Finally, the downdrafts dominated in the ABL and the LLJ might enhance the turbulence. Under the influence of subsidence behind the cold front and turbulence, the depth of the aerosol layer suspended in the high altitude began to decrease and the pollutants gradually transported and diffused downwards into the lower layer of the ABL, enhancing the concentration of surface PM_{2.5}.

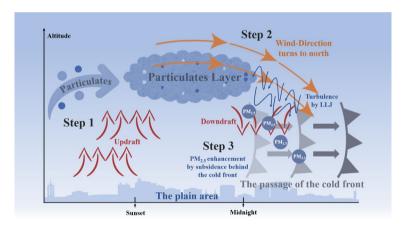


Figure 10. Conceptual scheme of the T-NPES events

3.4 No T-NPES event occurred in Basin areas

We further checked the fix-point measurement in Xi'an and Chengdu, two cities with typical basin topography. The results indicated that there were essentially no T-NPES events in either city, suggesting the concept did not work. Figure 11(a) indicated that the concentration of surface PM_{2.5} had no enhancement during the night from 23:00 on 31 December to 4:00 on 1 January, and from 22:00 on 1 January to 3:00 on 2 January in Xi'an. PM_{2.5} remained at a high concentration, while the extinction

450 coefficient did not show the subsidence process, suggesting that the T-NPES events were not common 451 here. 452 Taking the night of 31 December as an example, from 18:00 on 31 December to 4:00 on 1 January, the 453 concentration of surface PM_{2.5} increased before 23:00. Then it stabilized at high values, while the extinction coefficient remained at a high level with about 1.0-1.2 km⁻¹ near 500 m. As shown in Figure 454 455 11(d), from 18:00, 31 December to 6:00, 1 January, a light wind layer appeared below 1,000 m, with ~1 456 m/s. Such a static and stable condition was conducive to the accumulation of locally generated particulate 457 matter near the ground, causing the concentration of PM_{2.5} to enhance between 18:00 and 23:00 on 31 458 December and the formation and maintenance of the aerosol layer at about 500 m. Noteworthy, the wind 459 direction at the low layer was southeaster, while it was the opposite northwester at about 1,000 m, which 460 was the typical characteristic of mountain-valley breeze circulation. The dominance of downdrafts below 461 500 m suggested that Xi'an was in the upper area of the nocturnal mountain-valley breeze circulation. 462 The mountain-valley breeze circulation could only be observed when the background WS was relatively 463 weak, which further indicated a stable structure of the ABL. The example on 1 January was similar to 464 the above one, with the extinction coefficient reaching 2 km⁻¹ and the depolarization ratio decreasing 465 after 21:00 due to the hygroscopic growth of aerosol by the rise in relative humidity.

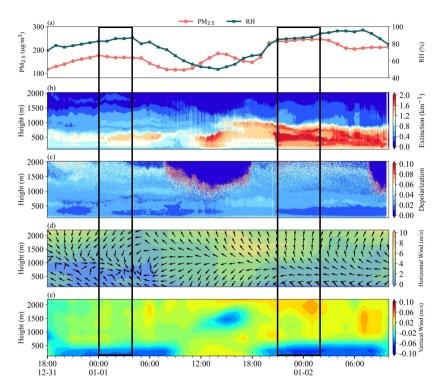


Figure 11. (a) Surface PM_{2.5} concentration and relative humidity, (b) Extinction coefficient, (c) Depolarization ratio, (d) Horizontal wind, and (e) Vertical wind, during the observation in Xi'an from 18:00, 31 December to 10:00, 2 January. The two black boxes were the period to be studied.

Due to the topography of the basin in Xi'an, the mountain-valley breeze circulation, or the horizontal winds with lower WS always dominated the ABL, which was not conducive to the transport and dispersion of particulate matter. The stable structure of the ABL resulted in the particulate matter accumulating in the low layer, which was the main feature of the nocturnal particulate matter distribution in Xi'an.

Figure 12 showed that the concentration of surface PM_{2.5} also had no significant enhancement but remained a high value over nighttime in Chengdu. The distribution of the extinction coefficient in the two black boxes presented a double-layer structure, one layer near 250 m and another layer suspended at about 500 m. Meanwhile, the wind field exhibited typical mountain-valley breeze circulation, as shown in the two black boxes in Figure 12(d), which presented westerly wind near 250 m and southeasterly wind above 500 m. The variation in wind direction due to the mountain-valley breeze circulation at different layers might be responsible for the double layer of particulate matter. Figure S10 illustrates the

backward trajectories when the double-layer appeared. The layer of particulate matter at about 100 m might have originated from the southwest area of Chengdu, whereas the layer of particulate matter at 500 m and 1,000 m might have originated from the northeast area of Chengdu. The different sources of particulate matter were consistent with the mountain-valley breeze circulation in Chengdu, further demonstrating the dominance of the mountain-valley breeze in the static and stable ABL at night. The orange box in Figure 12 indicated that the distribution of particulate matter in the ABL of Chengdu under the dominance of northeasterly winds with high WS. Both the extinction coefficient and the depolarization ratio showed a stratified structure, with the extinction coefficient initially higher below 750 m and lower above 750 m, whereas the depolarization ratio exhibited the opposite trend. The main cause of this phenomenon was that the different sources of particulate matter in the two layers. Under the influence of the dominant updrafts, local emissions with a high depolarization ratio were transported upwards, while the lower layer was occupied by particulate matter with a lower depolarization ratio transported by the northeasterly wind. As the continuous transport of the northeasterly wind, the entire ABL was occupied by transported particulate matter with a high extinction coefficient and a low depolarization ratio. Due to the short time during the fixed-point observation period, it is difficult to make a universal conclusion that no T-NEPS occurs in basin regions. Therefore, we further checked the surface and model simulation data of the two basin cities for three months in winter 2018. We found that, unlike the plain area, the T-NEPS events were rarely observed in the basin regions. It confirmed that the conceptual model was indeed not applicable in the basin area. This was mainly attributed to the fact that the movement of the weather system was blocked by the mountains surrounding the basin. Therefore, the movement of the high-pressure system and the passage of the cold front had a weak impact on the basin region. Without the downdrafts and the shift in wind direction associated with the movement of the highpressure system and the passage of the cold front, the structure of the ABL between Xi'an and Chengdu was relatively stable, making it difficult for particulate matter to be transported and diffused, and thus accumulate in the ABL at night. During the three months, we found that the wind field in Xi'an was dominated by light winds, while in Chengdu there were two states: one was dominated by light winds and the other by strong northeasterly winds. Fortunately, our fixed-point observations had captured these typical processes indeed. In addition, considering the wind fields in basin cities were mainly dominated by light winds, which was the main characteristic of basin area (Bei et al., 2016; Shu et al., 2021) and was similar to the wind fields below 1,500 m in Taiyuan and Linfen of the Type 4. Therefore, we

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suggested that the Loess Plateau cities might serve as a crucial transitional zone between the plains and the basin as introduced in Section 3.3. In summary, the conceptual model of T-NPES events was applicable to the plain areas which were more influenced by the movement of the weather system in winter, such as the NCP and YRD, but not to the basin areas.

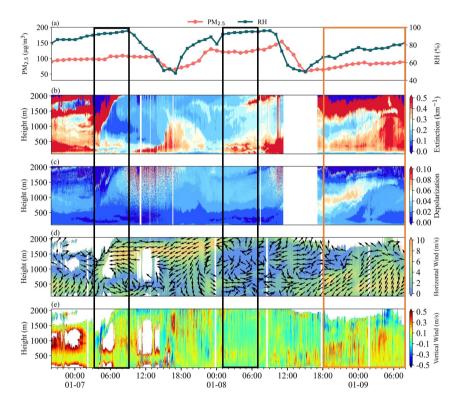


Figure 12. (a) Surface PM_{2.5} concentration and relative humidity, (b) Extinction coefficient, (c) Depolarization ratio, (d) Horizontal wind, and (e) Vertical wind, during the observation in Chengdu from 20:00, 7 January to 8:00, 10 January. The two black boxes were the period of the double-layer structure, the orange box was the period to be studied.

4 Conclusions and outlook

In this study, we reveal that the T-NPES is a relatively common and important pathway that causes PM_{2.5} pollution in the surface layer in plain areas in winter China. The fixed-point observations in Changzhou and Wangdu demonstrated that the T-NPES was associated with the subsidence of particulate matter in the upper layer due to the movement of high pressure and the passage of the cold front. Model simulations

- 527 further confirmed the ubiquity of T-NPES events in plain areas, despite these event types varied case by
- 528 case. However, the observations in Xi'an and Chengdu indicated that the event was less occurred in the
- 529 basin areas, as the impact of the weather system was weakened by the obstruction of mountains
- 530 surrounding the basin. In future studies, more multi-lidar measurements should be conducted in other
- 531 cities in the plains and basin areas to look insight to the detailed mechanism of T-NPES events. In
- 532 addition, more works are urgently needed to uncover the vertical profiles of chemical components of the
- particulate matter, since it may also be affected by the coupling of physical and chemical processes.
- 534 Code/Data availability. The datasets used in this study are available at:
- 535 https://doi.org/10.5281/zenodo.8368944 (Wang et al., 2023).
- 536 Author contributions. H.C.W. and S.J.F designed the study. Y.M.W. and H.C.W. analyzed the data,
- 537 H.L.W. and X.L. provided the GEOS-Chem model simulation results, and Y.M.W. and H.C.W. wrote
- 538 the paper with input from all coauthors.
- 539 Competing interests. The authors declare that they have no conflicts of interest.
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