

1     **Extensional fault geometry and evolution within rifted**  
2     **margin hyper-extended continental crust leading to**  
3     **mantle exhumation and allochthon formation**

4  
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8  
9     **Abstract**

10    Seismic reflection interpretation at magma-poor rifted margins shows that crustal thinning  
11    within the hyper-extended domain occurs by in-sequence oceanward extensional faulting  
12    which terminates in a sub-horizontal reflector in the top-most mantle immediately beneath  
13    tilted crustal fault blocks. This sub-horizontal reflector is interpreted to be a detachment surface  
14    which develops sequentially with oceanward in-sequence crustal faulting. We investigate the  
15    geometry and evolution of active and inactive extensional faulting due to flexural isostatic  
16    rotation during magma-poor margin hyper-extension using a recursive adaptation of the rolling  
17    hinge model of Buck (1988) and compare modelling results with published seismic  
18    interpretation. In the case of progressive in-sequence faulting, we show that sub-horizontal  
19    reflectors imaged on seismic reflection data can be generated by the flexural isostatic rotation  
20    of faults with initially high-angle geometry. [Our modelling supports the hypothesis of Lymer](#)  
21    [et al. \(2019\) that the S reflector on the Galician margin is a sub-horizontal detachment generated](#)  
22    [by the in-sequence incremental addition of the isostatically rotated soles of block bounding](#)  
23    [extensional faults.](#) Flexural isostatic rotation produces shallowing of emergent fault angles,  
24    fault locking and the development of new high-angle short-cut fault segments within the  
25    hanging-wall. This results in the transfer and isostatic rotation of triangular pieces of  
26    hangingwall onto exhumed fault footwall, forming extensional allochthons which our  
27    modelling predicts are typically limited to a few km in lateral extent and thickness. [The initial](#)  
28    [geometry of basement extensional faults is a long-standing question. Our modelling results](#)  
29    [show that a sequence of extensional listric or planar faults with otherwise identical tectonic](#)  
30    [parameters produce very similar sea-bed bathymetric relief but distinct Moho and allochthon](#)

31 shapes. Our preferred interpretation of our modelling results and seismic data is that faults are  
32 initially planar in geometry but are isostatically rotated and coalesce at depth to form the  
33 seismically observed sub-horizontal detachment in the top-most mantle. In-sequence  
34 extensional faulting of hyper-extended continental crust results in a smooth bathymetric  
35 transition from thinned continental crust to exhumed mantle; in contrast out-of- sequence  
36 faulting results in a transition to exhumed mantle with bathymetric relief.

## 37 1. Introduction

38 The formation of a rifted continental margin during continental breakup requires continental  
39 crust and lithosphere to be stretched and thinned. In the case of a magma-poor rifted margins,  
40 5 progressive stages of margin formation resulting in 5 distinct margin domains have been  
41 identified: proximal, necking, hyper-extended, exhumed mantle and oceanic crust (Mohn et al.  
42 2012, Tugend et al. 2014). The hyper-extended domain of a magma-poor rifted margin forms  
43 when the crust is thinned to approximately 10 km thickness or less and the crust becomes fully  
44 brittle allowing faults to penetrate through the entire crust into the mantle (Pérez-Gussinyé et  
45 al., 2001; Manatschal, 2004). The hyper-extended domain has a crustal architecture  
46 characterised by tilted crustal fault blocks separated by oceanward dipping basement  
47 extensional faults and often underlain by a strong sub-horizontal seismic reflector. This is  
48 illustrated on figure 1(a) which shows a seismic reflection dip section (Lymer et al. 2019)  
49 within the hyper-extended domain of the distal Galicia Bank margin west of Iberia. The sub-  
50 horizontal reflector, known as the S reflector, has been interpreted to be a sub-horizontal  
51 detachment within the top-most mantle (Krawczyk et al., 1996; Reston et al., 1996, Lymer et  
52 al., 2019) into which basement extensional faults sole.

54 The geometry and evolution of extensional faults and their relationship to the S reflector within  
55 the hyper-extended domain have been a long-standing question. Interpretation of 2D seismic  
56 reflection data (Ranero and Pérez-Gussinyé, 2010) has revealed that basement extensional  
57 faulting within the hyper-extended domain develops oceanward in-sequence with new faults  
58 developing in the oceanward direction at the same time as abandonment of earlier faults.  
59 Recent high-quality 3D seismic reflection seismic on the SW of Galicia Bank west of Iberia  
60 (Lymer et al 2019) confirms this oceanward in-sequence fault development and additionally  
61 provides observations that determine the relationship between the in-sequence basement

**Deleted:** While earthquake seismology favours a planar fault geometry with in the brittle seismogenic crust, seismic reflection imaging suggests a more listric geometry. Our modelling results show that a sequence of extensional listric or planar faults with identical parameters (i.e. location, heave, surface dip, Te) produce very similar sea-bed bathymetric relief. Listric and planar fault geometries do however produce distinct Moho and allochthon shapes. We propose that the initial fault geometry, prior to flexural isostatic rotation, is planar in the seismogenic crust becoming listric at depth as the brittle plastic transition is approached. Extensional faulting and thinning of hyper-extended continental crust may eventually lead to mantle exhumation. Where extensional faulting is in-sequence, this results in a smooth bathymetric transition from thinned continental crust to exhumed mantle. In contrast out-of- sequence faulting results in a transition to exhumed mantle with bathymetric relief.

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81 [extensional faulting and the underlying S sub-horizontal reflector. Basement extensional faults](#)  
82 [are observed to sole out into the sub-horizontal detachment within the top-most mantle imaged](#)  
83 [as the S seismic reflector. In 3D the S reflector shows corrugations that indicate the direction](#)  
84 [of slip and correlate with corrugations within the extensional block-bounding faults. Further](#)  
85 [analysis by Lymer et al. \(2019\) reveals that the S reflector is a composite surface made by the](#)  
86 [progressive ocean-ward in-sequence development of a sub-horizontal detachment into which](#)  
87 [the higher angle basement faults sole. Their analysis also reveals that as extension migrates](#)  
88 [oceanward in-sequence, several faults may be active simultaneously. A similar relationship has](#)  
89 [been observed between basement extensional faulting and sub-horizontal S type seismic](#)  
90 [reflectors in other rift basins using 3 D seismic reflection data. Figure 1\(b\) shows corrugations](#)  
91 [on the sub-horizontal reflector interpreted as a detachment surface and its relationship to](#)  
92 [basement extensional faulting above for the Porcupine Basin west of Ireland \(Lymer et al.](#)  
93 [2022\). Lymer et al. \(2019\) present a schematic summary \(Figure 1\(c\)\) of extensional basement](#)  
94 [faulting in the hyper-extended domain and its relationship to the sub-horizontal detachment](#)  
95 [within the top-most mantle, most probably controlled by serpentinization, into which they sole.](#)

96 [Lymer et al. \(2019\) propose that their observations strongly support the development of the S](#)  
97 [seismic reflector by a rolling-hinge process \(Buck 1988\) in which a sub-horizontal detachment](#)  
98 [is created by the incremental addition of the soles of basement extensional faults. In this paper,](#)  
99 [we use a recursive adaptation of the rolling hinge model of Buck \(1988\) to examine how both](#)  
100 [active and inactive fault geometries are modified by flexural isostatic rotation during sequential](#)  
101 [faulting to form the sub-horizontal structure imaged on seismic reflection data.](#)

102 [A long-standing question is whether the initial geometry of crustal extension faults is planar or](#)  
103 [listric; earthquake seismology and geodetic observations favour a planar geometry \(Jackson](#)  
104 [1987; Stein & Barrientos 1985\). Using the flexural isostatic rotation model, we also investigate](#)  
105 [whether an initial listric or planar fault geometry better fits seismic observations of the sub-](#)  
106 [horizontal reflector and the geometry of extensional allochthons. In addition, we examine the](#)  
107 [transition from hyper-extended continental crust to exhumed mantle and how it depends on the](#)  
108 [sequence of extensional faulting.](#) ▼

## 109 2. Model formulation

110 We use a numerical model (RIFTER) to replicate faulting and fault block geometry within the  
111 hyper-extended domain, and to investigate fault rotation, fault geometry interaction, the

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The hyper-extended domain of magma-poor rifted margins is formed when continental crust is thinned to approximately 10 km or less and the crust becomes fully brittle allowing faults to penetrate through the entire crust into the mantle (Pérez-Gussinyé et al., 2001; Manatschal, 2004; Tugend et al. 2014). It has a crustal architecture characterised by oceanward tilting crustal fault blocks often underlain by a strong coherent sub-horizontal seismic reflector (Krawczyk et al., 1996; Reston et al., 1996). ¶

The geometry and evolution of extensional faults within the hyper-extended domain has been a long-standing question. Interpretation of past and recently acquired high-quality seismic reflection images (Ranero and Pérez-Gussinyé, 2010; Lymer et al., 2019) has revealed that crustal thinning within the hyper-extended domain occurs by oceanward in-sequence extensional faults (Figure 1). These faults are shown to detach into the sub-horizontal seismic reflector beneath the crustal fault block which is interpreted to be a sub-horizontal detachment within the top-most mantle. This detachment has been shown to develop sequentially as extensional crustal faulting above propagates oceanward in-sequence to form the observed continuous structure as imaged on seismic data (Lymer et al., 2019). ¶

In this paper we investigate the geometry and evolution of extensional faults within the hyperextending brittle crust of a magma-poor margin using a numerical model which determines the flexural isostatic response to crustal thinning by sequential faulting. Using this model, which is an adaptation of the rolling hinge model of Buck (1988), we examine how both active and inactive fault geometries are modified during sequential faulting by flexural isostatic rotation to form the sub-horizontal structure imaged on seismic reflection data. In addition we examine the transition from hyper-extended continental crust to exhumed mantle and how it depends on the sequence of extensional faulting. ¶

A long-standing question is whether the initial geometry of crustal extension faults is planar or listric; earthquake seismology and geodetic observations favour a planar geometry (Jackson 1987; Stein & Barrientos 1985) while seismic reflections imaging suggests a more listric geometry. Using the flexural isostatic rotation model, we also investigate whether an initial listric or planar fault geometry better fits seismic observations of the sub-horizontal reflector and the geometry of extensional allochthons. ¶

157 formation of crustal allochthon blocks and the transition between hyper-extended and exhumed  
158 mantle domains. RIFTER is a kinematic forward lithosphere deformation model that allows  
159 the production of flexural isostatically compensated as well as balanced cross-sections. Within  
160 RIFTER, lithosphere is deformed by faulting in the upper crust with underlying distributed  
161 pure-shear deformation in the lower crust and mantle. A key attribute of RIFTER is that it  
162 incorporates the flexural isostatic response to extensional faulting and crustal thinning.  
163 Therefore, RIFTER can be used to model and predict the structural development of extensional  
164 tectonic settings (Figure 2). The model is kinematically controlled with fault geometry and  
165 displacement and pure-shear distribution given as model inputs as a function of time.  
166 Lithosphere flexural strength, parameterised as lithosphere effective elastic thickness, is also  
167 defined. Model outputs are geological cross-sections which are flexural isostatically  
168 compensated as well as structurally balanced (Figure 2). The kinematic formulation of RIFTER  
169 represents an advantage over dynamic modelling because the input data given to RIFTER can  
170 be constrained by observed geology. In addition, RIFTER provides for the isostatic testing of  
171 palinspastic cross-sections and can also be used to explore different kinematic scenarios. A  
172 more detailed description of the model formulation (originally called OROGENY) is given by  
173 Toth et al., (1996), Ford et al., (1999) and Jácome et al., (2003). These studies show the model  
174 formulation applied to compressional tectonics however similar physical principles apply for  
175 an extensional tectonics scenario. Gómez-Romeu et al., (2019) show how RIFTER can be used  
176 to reproduce both extensional and compressional tectonics using the Western Pyrenees as a  
177 case-study.

178 Within RIFTER, loads resulting from extensional lithosphere deformation are assumed to be  
179 compensated by flexural isostasy. The lithosphere flexural strength must be considered to  
180 determine the isostatic rotation of faults during extension and therefore to investigate their  
181 geometric evolution. These loads are generated by faulting, crustal thinning, sedimentation,  
182 erosion and lithosphere thermal perturbation and re-equilibration (Kusznir et al., 1991). For the  
183 purposes of calculating the flexural isostatic response, the lithosphere is represented as an  
184 elastic plate of effective elastic thickness ( $T_e$ ) floating on a fluid substratum. The lithosphere  
185 effective elastic thickness ( $T_e$ ) is defined as the equivalent thickness of a perfectly elastic plate  
186 which has the same flexural strength as the lithosphere. Extension on basement faults produces  
187 flexure which, as well as generating footwall uplift and hangingwall subsidence, gives rise to  
188 substantial bending stresses (Magnavita et al., 1994) in the cooler upper lithosphere; these large  
189 bending stresses are reduced by combined brittle and plastic failure. The flexural strength of

190 the lithosphere, and therefore  $T_e$ , are reduced by this brittle and plastic failure and this reduction  
191 becomes greater with increase in extension (Magnavita et al., 1994). Therefore, in extensional  
192 tectonic settings, a low effective elastic thickness ( $T_e$ ) is expected and required to reproduce  
193 the consequences of lithosphere deformation due to extensional faulting.

194 We use a  $T_e$  value of 0.5 km associated to each fault for the development of the transition  
195 between the hyper-extended domain and the initiation of exhumed mantle domain (Figure 3).

196 This value is consistent with those determined at slow-spreading ocean ridges ranging between  
197 0.5 and 1 km (e.g. Smith et al., 2008; Schouten et al., 2010; Buck, 1988) where a similar  
198 lithosphere flexural strength to that of the distal rifted margins is expected.

199 The initial crustal geometry for our modelling of extensional faulting within the hyperextended  
200 domain leading to mantle exhumation and allochthon formation is when the continental crust  
201 has been thinned down to 10 km (Tugend et al., 2014) corresponding to the point when faults  
202 within the seismogenic layer couple into the mantle (Pérez-Gussinyé et al., 2001). Prior to that,  
203 during the necking zone stage of margin formation (Mohn et al., 2012), faults are expected to  
204 be decoupled from the mantle by ductile deformation within the lower continental crust. The  
205 width of the necking zone with crust 10 km thick at the start of hyperextension is set to 100 km  
206 although this width value is not critical to this study. The starting bathymetry is set to 2 km  
207 corresponding to the isostatic equilibrium of continental crust thinned to 10 km with an highly  
208 elevated lithosphere geotherm (Figure 3b). For simplicity we only model faulting during hyper-  
209 extension on one distal rifted margin and do not include faulting within its distal conjugate.

210 This simplified initial model template allows us to focus on extensional faulting during the  
211 [hyper-extension stage of magma-poor rifted margin formation](#) avoiding the complexity  
212 occurring during the earlier rifting and necking phases. Figure 3c shows the resultant model of  
213 a [hyper-extended](#) distal rifted margin. The detailed numerical model stages to produce this are  
214 shown in Figures 3d-e and described below for the formation of the hyperextended domain, the  
215 initiation of the exhumed mantle domain and the formation of extensional allochthons.

### 216 **3. Model application to sequential faulting within the hyper-extended** 217 **margin domain**

218 The interpretation of sub-horizontal seismic reflectors below fault blocks within the  
219 hyperextended domain has been intensively debated (e.g. Reston et al., 1996). Interpretations  
220 suggested for [the S-type reflectors on the Iberian margin](#) (de Charpal et al., 1978; Krawczyk et

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227 al., 1996) are many and are reviewed later in the discussion. Despite this wide range of possible  
228 interpretations, after the work by Reston et al. (1996) and Krawczyk et al. (1996), it has been  
229 generally accepted that the S-type reflectors are detachment faults (Manatschal et al., 2001).  
230 Ranero & Pérez-Gussinyé (2010) show that extensional faulting within the hyper-extended  
231 domain develops oceanward in-sequence with initially steeply dipping faults. As in-sequence  
232 faulting propagates oceanward, active fault rotation modifies the deeper geometry of previously  
233 active faults leading to their deeper segments being passively rotated to a lower angle producing  
234 an apparent listric fault geometry or even a sub-horizontal appearance. Lymer et al., (2019)  
235 confirmed observationally that extensional faulting develops oceanward in-sequence, and that  
236 extensional faulting soles out into the sub-horizontal detachment imaged as the S-type-  
237 reflectors.

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238 Figure 3d shows the modelling results of progressive deformation within the hyper-extended  
239 domain resulting from a set of in-sequence extensional faults. The initial pre-movement dip of  
240 each extensional fault at the surface is 60°. This value is consistent with Andersonian  
241 extensional fault mechanics (Anderson 1905) and also the value of 55° – 60° determined for  
242 initial surface fault dip by Lymer et al. (2019) from their analysis of 3D seismic reflection data  
243 on the SW Galicia Bank margin. Note that our RIFTER modelling results shown in this paper,  
244 using high initial faults angles, do not apply to low angle extensionally reactivated thrusts  
245 (Morley, 2009; Deng et al. 2022).

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246 In the model results shown in Figure 3d-e the faults detach at 15 km depth corresponding to an  
247 assumed brittle-plastic transition within the topmost mantle (results obtained from an initial  
248 planar fault geometry are examined later). Flexural isostatic response to faulting leads to an  
249 uplift of the footwall block, subsidence of the hanging-wall block and a rotation of the active  
250 fault plane reducing its dip (Figure 3d1). The reduction of fault dip due to flexural isostatic  
251 rotation is expected to lead to the locking of that fault and the initiation of new faults with  
252 steeper dip. This is shown in Figure 3d2 and subsequent Figures 3d3-6.

253 Extension on each new fault not only reduces its own fault dip by flexural isostatic rotation but  
254 also further reduces the fault dip of earlier active faults within its footwall. The cumulative  
255 result of this process is that faults originally steeply dipping when active become sub-horizontal  
256 in their lower parts as illustrated in Figures 3d5 for fault number 1. In this case the sub-  
257 horizontal inactive fault is almost coincident with the Moho beneath the hyper-extended  
258 continental crustal fault-blocks (Figure 3d5). If fault extension is sufficiently large and the

265 hyper-extended continental crust is sufficiently thin, footwall exhumation leads to mantle  
266 exhumation (Figure 3d6) (Manatschal et al., 2001).

267 Table 1 summarizes the fault parameters and sequential fault displacement required to  
268 reproduce the structural architecture of the hyper-extended domain shown in Figure 3d.

#### 269 **4. Model application to mantle exhumation and extensional allochthon** 270 **formation**

271 For even greater extension on the exhumation fault, the exhumed mantle footwall becomes sub-  
272 horizontal at the sea-bed due to flexural isostatic rotation as predicted by the rolling-hinge  
273 model of Buck (1988). Extensional allochthon blocks sitting above sub-horizontal exhumed  
274 footwall are observed at magma-poor margins by seismic reflection imaging and field studies  
275 (Epin and Manatschal and references therein, 2018).

276 We use RIFTER to investigate the formation of extensional allochthon blocks by the  
277 rollinghinge model as suggested by Manatschal et al., (2001) and shown in Figure 3e.  
278 Allochthon blocks are produced by new steeply dipping extensional faults cutting through the  
279 hangingwall block of a master fault (fault 6 in our case in Figure 3e1) and pulling off triangular  
280 pieces of continental crust from the hanging-wall (i.e. the rolling hinge model of Buck, 1988).  
281 These new faults, created when the emergence angle of the master fault becomes too low (~30°  
282 dip), are short-cuts of the master fault and connect with it at depth. Depending on what depth  
283 they initiate at and their break-away position, the size of the crustal allochthon block generated  
284 will vary (Figure 3e). The intersection depth between the master fault and the new extensional  
285 faults is different in each model stage shown in Figure 3e but it ranges between 5 and 10 km  
286 depth consistent with deMartin et al., (2007). Another parameter that differs in each model  
287 stage is the distance between two consecutive allochthon blocks. This depends on how much  
288 the new extensional fault moved before it locked. A small fault offset will not generate exhumed  
289 mantle between two allochthon blocks as shown in Figures 3e3-4 whereas a large fault offset  
290 will generate exhumed mantle and a sub-horizontal sea-bed geometry between two allochthon  
291 blocks (Figures 3e4-5). Note that each allochthon block overlies sub-horizontal exhumed  
292 footwall generated by flexural isostatic rotation.

293 [The RIFTER model results shown in Figure 3 do not include sediment deposition during hyper-  
294 extension, mantle exhumation and allochthon formation. In Figure 4, incremental sediment  
295 deposition and its isostatic loading is included in the model; the tectonics remains the same as](#)

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296 [in Figure 3. Because of the diachronous tectonics of oceanward in-sequence extensional](#)  
297 [faulting during the formation of the distal magma-poor margin, sediments of the same age may](#)  
298 [be syn-tectonic if they are deposited where active faulting is occurring, or they may be pre- or](#)  
299 [post-tectonic. The important distinction between syn- and post-tectonic sedimentation due to](#)  
300 [diachronous tectonics during rifted margin formation is described in greater detail in Ribes et](#)  
301 [al. \(2019\) and Manatschal et al \(2022\).](#)

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302 Table 2 summarizes the initial fault parameters and the chronological fault displacement  
303 required to reproduce the structural architecture of the exhumed mantle domain shown in  
304 Figure 3e.

### 305 **5. Sensitivity to listric or planar fault geometry?**

306 Lithosphere deformation is achieved by localised deformation on faults and shear zones within  
307 the upper lithosphere with distributed deformation below at depth. A long-standing question is  
308 how deformation by faulting connects to deep distributed lithosphere deformation. This  
309 question also has implications for fault geometry. Our numerical experiments described above  
310 in sections 3 and 4 assume a listric fault geometry in which faults sole out into a sub-horizontal  
311 shear zone at 15 km depth below which deformation becomes distributed. In contrast  
312 earthquake seismology and geodetic analysis (Stein and Barrientos, 1985; Jackson, 1987)  
313 suggests that large extensional earthquakes involve faults whose geometry is planar.

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314 We explore the differences between using listric and planar fault in modelling the formation of  
315 the hyper-extended and exhumed mantle domains. The results are compared in Figure 5. The  
316 initial faults geometries for listric and planar faults are shown in Figures 5a and d respectively.  
317 Both have an initial surface dip of 60°. The initial listric fault geometry soles out at 15 km while  
318 the initial planar fault geometry continues downwards with a dip of 60°. We assume that the  
319 deformation transition from faulting to distributed deformation for the planar fault occurs  
320 within the mantle below the crust-mantle density interface and so does not affect the isostatic  
321 response to faulting.

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322 Listric and planar fault geometry model predictions are shown in Figures 4c and f and use the  
323 same fault locations, fault extension and sequence. Comparison shows that listric and planar  
324 fault geometries produces very similar sea-bed structural topography, and which cannot be used  
325 to distinguish whether fault geometry is listric or planar. In contrast, the listric and planar fault  
326 models produce different sub-surface structure. The Moho geometries predicted by the listric

334 and planar fault geometry models are also different, however whether these different predicted  
335 Moho geometries can be distinguished using seismic reflection data is uncertain.

336 In section 4 we used listric fault geometries to model allochthon formation. We now examine  
337 allochthon formation using planar faults and compare these predictions with those using listric  
338 faults (Figure 6). For both listric and planar fault geometries, Figure 6 shows the formation of  
339 allochthons for different separations of the hanging-wall short-cut fault from the primary  
340 extensional fault which has exhumed mantle footwall. Separations of 1 km (Figures 6a-b and  
341 g-h), 2 km (Figures 6c-d and i-j) and 5 km (Figures 6 e-f and k-l) are used. For the 1 km  
342 separation, a small allochthon is produced with similar triangular geometry for both listric  
343 (Figure 6b) and planar (Figure 6h) fault geometries. Increasing the separation to 2 km increases  
344 the allochthon size; however while the listric fault (Figure 6d) produces a triangular allochthon,  
345 the planar fault (Figure 6j) geometry produces a 4-sided body. For a 5 km separation, the  
346 allochthon size increases further and both listric (Figure 6f) and planar (Figure 6l) fault  
347 geometries produce a 4- sided body. For the larger separations of the short-cut fault from the  
348 primary fault, the detached fragment transferred to the exhumed mantle consists of continental  
349 basement with some autochthonous mantle beneath it (Figure 6j-l). Whether extensional  
350 allochthons can provide insight into answering the question [are](#) extensional faults listric or  
351 planar poses an interesting challenge.

## 352 6. The transition from hyper-extended crust to exhumed mantle and its 353 sensitivity to in-sequence vs out-of-sequence faulting

354 Stretching and thinning of the continental crust can eventually lead to mantle exhumation as  
355 observed by drilling on the distal Iberian margin (Figures 7a-b). Seismic reflection data (Figure  
356 7c) provides insight into how mantle exhumation was achieved by extensional faulting. Based  
357 on drill and seismic reflection data, Manatschal et al., (2001, 2004) proposed that an in-  
358 sequence ocean-ward propagating set of extensional faulting progressively thins the continental  
359 crust in the hyper-extended domain until eventually a large extensional fault exhumes mantle  
360 in its footwall. Our modelling of mantle exhumation using a set of in-sequence extensional  
361 faults as proposed by Manatschal et al., (2001, 2004) is shown in Figure 3 and 8a and produces  
362 a smooth bathymetric transition from continental crust to exhumed mantle.

363 While the in-sequence fault extension process provides a very good generalised model for the  
364 formation of the hyper-extended margin domain, mantle exhumation and their transition, it is

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385 unlikely that all faults propagate in-sequence oceanward. Some out-of-sequence faulting is to  
386 be expected when the 3D nature and along strike complexity of rifting and breakup is  
387 considered and can be seen seismically in Figure 7e. In Figure 8b we show the result of  
388 introducing an out-of-sequence fault, with the same dip sense as other faults, into the  
389 hyperextension and mantle exhumation model. All other faults have similar locations and  
390 extensions to those used to produce Figure 8a. The effect of introducing an out-of-sequence  
391 fault to exhume mantle is to produce a transition from thinned continental crust to mantle which  
392 is no longer smooth at the seabed but shows bathymetric relief. An out-of-sequence fault might  
393 also have an opposite dip-sense as shown in Figure 8c. This fault does not exhume mantle but  
394 does generate a horst containing exhumed mantle capped by thinned continental crust as  
395 observed in Figure 7c.

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## 396 7. Discussion

397 To better understand extensional fault geometry and its evolution during hyper-extension at  
398 magma-poor rifted margins, several important questions need to be answered: (i) are faults  
399 active at low angle, (ii) what is the relationship between the sub-horizontal reflector and block  
400 bounding faults, (iii) do faults have a listric or planar geometry and (iv) is faulting always in-  
401 sequence.

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402 In section 4 (Figure 3) we show for a listric fault geometry that flexural isostatic rotation  
403 progressively reduces the fault dip of inactive faults within the footwall of oceanward in-  
404 sequence faulting. From this we can deduce that the present-day sub-horizontal orientation of  
405 a fault at depth does not indicate that the fault was active at a sub-horizontal orientation. This  
406 conclusion is consistent with the modelling results of Ranero & Pérez-Gussinyé, (2010) and  
407 the 3D seismic observations of Lymer et al. (2019).

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408 The nature of the seismically imaged sub-horizontal reflectors beneath rotated fault blocks in  
409 the hyper-extended domain has been extensively debated (e.g. Reston et al. 1996; Lymer et al.  
410 2019 and references therein). Proposed origins of the sub-horizontal reflector have included a  
411 lithosphere scale extensional detachment fault (Wernicke et al., 1981), the top of a mafic  
412 underplate (Horsefield, 1992), a thin igneous intrusion (Reston, 1996), a serpentinization front  
413 (Boillot et al., 1987), and the brittle-plastic transition (de Charpal et al., 1978; Sibuet, 1992).  
414 Detailed seismology by Reston et al., (1996) was able to eliminate an igneous origin, leaving a

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426 [sub-horizontal detachment](#), in the top-most mantle as the most likely interpretation, probably  
427 assisted by mantle serpentinization (Pérez Gussinyé et al., (2001).

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428 Seismic reflection interpretation shows that extensional faults thinning the continental crust  
429 within the hyper-extended domain sole out into the [sub-horizontal reflector](#) (Reston et al. 1996;  
430 Manatschal et al., 2001). If extensional faults within the hyper-extended zone penetrate into  
431 the mantle, as suggested by Pérez Gussinyé et al., (2001), then the interpretation of seismically  
432 observed sub-horizontal reflectors being [a sub-horizontal detachment](#), requires it to be within  
433 the mantle rather than at the base of the thinned continental crust. Analysis of the recently  
434 acquired 3D seismic reflection data in the hyper-extended southern Galicia margin by Lymer  
435 et al. (2019) shows that oceanward in-sequence extensional crustal faulting detaches into a sub-  
436 horizontal detachment imaged as the sub-horizontal reflector (confirming the interpretations of  
437 Manatschal et al.; 2001 and Ranero & Pérez-Gussinyé: 2010). [Their 3D analysis of the  
438 correlation between corrugations within the S reflector surface and those within block  
439 bounding faults demonstrates that the sub-horizontal detachment imaged as the S reflector  
440 develops synchronously with the oceanward in-sequence crustal faulting.](#)

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441 Our listric fault model (Figure 4a-c) assumes that faults sole out into a horizontal detachment  
442 within the [top-most mantle](#), consistent with the seismically observed sub-horizontal [S reflector](#),  
443 being interpreted as [a horizontal detachment into which the block bounding extensional faults  
444 above sole into](#). Our model is also consistent with the interpretation of Lymer et al., (2019) that  
445 the sub-horizontal reflector is the relict of an oceanward propagating detachment at the base of  
446 the in-sequence crustal faulting and is not simultaneously active from distal to proximal. [Our  
447 modelling supports the hypothesis of Lymer et al. \(2019\) that the S reflector on the Galicia  
448 margin is a sub-horizontal detachment generated by the in-sequence incremental addition of  
449 the isostatically rotated soles of block bounding extensional faults.](#)

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450 In section 5 (Figure 5) we compare the response of listric and planar fault geometries for  
451 oceanward in-sequence hyper-extension. Significant flexural isostatic rotation leading to  
452 greatly reduced dip of planar faults at depth is also seen, especially for planar faults in the  
453 footwall of later faults with large extension. However, Figure 5 shows a clear difference  
454 between planar (Figures 5d-f) and listric (Figures 5a-c) fault geometries at depth: planar fault  
455 geometries do not result in a continuous sub-horizontal structure at depth. In contrast because  
456 all listric faults sole out at the same brittle-plastic transition depth, all listric faults form a single

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481 continuous sub-horizontal structure at depth resembling that observed on seismic reflection  
482 data in the hyper-extended domain.

483 Earthquake seismology, however, favours a planar fault geometry for extension within the  
484 seismogenic layer (Stein and Barrientos, 1985; Jackson, 1987). How might extensional  
485 deformation on a planar fault in the brittle seismogenic layer **terminate at depth?** In the case of  
486 rifted margin hyper-extension, faults **penetrate the crust and** permit water to penetrate down  
487 into the top-most mantle (e.g. Pérez-Gussinyé et al., 2001) enabling mantle serpentinization to  
488 occur. **Serpentinized top-most** mantle at the base of extensional faults would **produce** a weak  
489 layer enabling the formation of a horizontal detachment. Planar faulting in the seismogenic  
490 layer, **isostatically rotated to low angles, would** then sole out into this horizontal detachment  
491 in the top-most **serpentinised** mantle immediately beneath thinned continental crust. The  
492 resulting fault geometry would not be dissimilar to that of the listric fault used in the modelling  
493 of sections 3 and 4 but with a more planar geometry in the upper brittle seismogenic layer **as**  
494 **observed on the 3D seismic of Lymer et al. (2019)**.

495 The rolling **hinge** model of Buck (1988) provides an explanation for the formation of triangular  
496 allochthons of continental crust emplaced on exhumed mantle (Buck 1988; Manatschal et al.  
497 2001; Epin & Manatschal, 2019). In Figures 3 and **6** we show **slivers of hanging wall**  
498 continental crust transferred onto exhumed mantle footwall by short-cut faults. Flexural  
499 isostatic rotation produces the observed geometry of triangular allochthons emplaced on sub-  
500 horizontal exhumed mantle. While listric and planar fault geometries produce nearly identical  
501 small allochthons, their difference becomes pronounced for large allochthons (Figure **6**). Listric  
502 faults always produce a triangular allochthon fragment of hanging-wall continental crust while  
503 planar faults produce a rectangular shape for large allochthons (semantically these large  
504 rectangular fragments produced by planar faults should perhaps be called autochthons).  
505 Whether reflection seismology observations of large allochthon shapes can be used to  
506 distinguish listric or planar fault geometry during hyper-extension remains to be investigated.

507 Oceanward in-sequence faulting shown in Figure 3 and as proposed by Manatschal et al. (2001)  
508 and Manatschal (2004) provides a good generalised model for the formation of hyper-extended  
509 magma-poor margins. However, it should be recognised that out-of-sequence faulting does  
510 occur during margin formation and is the inevitable consequence of the 3D nature of  
511 continental breakup at the regional scale where upper-plate/lower-plate polarity varies along  
512 margin strike. Lymer et al., (2019) also show that, at the more local scale, 3D fault system

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529 overlap must occur and would also break a simple oceanward in-sequence fault pattern. The  
530 transition from hyper-extended continental crust to exhumed mantle is particularly sensitive to  
531 the sequence of faulting; oceanward in-sequence faulting produces a smooth bathymetric  
532 transition onto exhumed mantle while out of sequence produces a transition with bathymetric  
533 relief as shown in Figure 8.

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## 534 8. Summary

535 a) Flexural isostatic rotation of extensional faulting (the rolling hinge model) applied to  
536 the formation of the hyper-extended domain of magma-poor rifted margins predicts  
537 fault geometry evolution consistent with the published interpretations of 3D seismic  
538 reflection data.

539 b) The same modelling shows that seismically observed low-angle extensional faults were  
540 not necessarily active at low angle and have been flexurally rotated to their present low  
541 angle geometry.

542 c) Modelling supports the hypothesis of Lymer et al. (2019) that the S reflector on the  
543 Galicia margin is a sub-horizontal detachment generated by the in-sequence  
544 incremental addition of the isostatically rotated soles of block bounding extensional  
545 faults.

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546 d) Extensional faults may initially have a planar geometry in the upper seismogenic layer  
547 but this initial planar geometry is modified by flexural isostatic rotation.

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548 e) The predicted geometry of extensional allochthons emplaced on exhumed mantle is  
549 sensitive to the initial geometry of block bounding faults. This may provide a means of  
550 distinguishing listric and planar faults using seismic reflection data.

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552 f) Sequential in-sequence oceanward extensional faulting is the dominant process during  
553 the extensional thinning of the hyper-extended domain at magma-poor rifted margins.  
554 Some out-of-sequence faulting does occur and generates a recognisably distinct transition  
555 onto exhumed mantle.

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## 557 Author contribution

558 JGR: Conceptualization, Formal analysis, Investigation, Methodology, Visualization, Writing  
559 – original draft preparation, Writing – review and editing. NK: Conceptualization, Formal

573 analysis, Funding acquisition, Investigation, Methodology, Project administration, Software,  
574 Supervision, Visualization, Writing – review and editing.

575

## 576 **Competing interests**

577 The authors declare that they have no conflict of interest.

578

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580

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583 [& Chris Morley for constructive reviews and Alan Roberts and Gianreto Manatschal for](#)  
584 [discussions. We also thanks Gael Lymer for his assistance with seismic images used in Figure](#)  
585 [1](#).

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